

1 **Depth of root placement, root size and carbon reserves determine reproduction success of**
2 **aspen root fragments**

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9

10 **Abstract**

11 The vegetative recovery of species capable of resprouting is strongly driven by the disturbance
12 type, the resprouting traits of the species, and the resource availability in the surviving tissues.
13 Since aspen (*Populus tremuloides*) commonly regenerates from root suckers after disturbance;
14 we can take advantage of its ability to sprout by applying salvaged surface soils containing aspen
15 root fragments to reclaim heavily disturbed forest sites where soil surfaces have been displaced
16 by resource extraction. In two studies we investigated the role of root size, root carbohydrate
17 reserves, and the presence of fine roots on the ability of root fragments to initiate and grow
18 suckers from different soil depths. Roots of different diameters were collected from natural aspen
19 stands and buried at three different soil depths (5, 20 and 40 cm). Non-structural carbohydrate
20 (NSC) reserves were determined initially and after the experimental period at the end of August.
21 The initiation of suckers was not affected by soil depth, root size, and the presence of fine roots.
22 Suckers, however, did not emerge from the soil if root fragments were buried at a depth of 40
23 cm. The largest suckers were found on root fragments that were 2.1 to 3 cm in diameter. Sucker
24 performance and root fragment survival increased with the initial NSC reserves stored in the root
25 fragments. Insufficient initial NSC supply for suckering resulted in the death of root fragments
26 indicating that there might be a lower threshold of NSC root reserves. The presence of fine roots
27 appear to be a liability as overall sucker numbers were three times higher in root fragments, that
28 had all their fine roots removed compared to root fragments with fine roots attached.

29

30 **Keywords:** vegetative regeneration, *Populus tremuloides*, leaf area, soil temperature, sucker
31 initiation, forest reclamation

32

33 1. Introduction

34 The rapid recovery of photosynthetic potential from protected buds (budbank) is a common
35 strategy in plants living in disturbance prone environments. Species capable of resprouting have
36 strategies that allow them to translocate resources from surviving organs to the regenerating
37 tissues and organs. The vegetative recovery of these species after disturbance is strongly driven
38 by the disturbance type, the resprouting traits of the species involved, and the associated resource
39 availability in the surviving tissues (Chapin et al. 1990; Poorter et al. 2012; Clark et al. 2013).
40 Biomass allocation patterns and the related storage of non-structural carbohydrates (NSC)
41 reserves fundamentally drive the resource availability for resprouting after a severe disturbance,
42 where reserves need to be allocated to the maintenance of the surviving tissues (respiration) and
43 the regeneration and maintenance of new stem, leaf, and root tissues (Lambers et al. 2002).
44 These allocation patterns are known to be under the control of environmental variables such as
45 light, temperature and moisture (Poorter et al. 2012).

46 Trembling aspen (*Populus tremuloides* Michx.) regenerates through suckering from its root
47 system after disturbances such as fire or logging disturb the above-ground portion of the clone
48 (DesRochers and Lieffers 2001; Farmer 1962; Frey et al. 2003). Vegetative regeneration is dense
49 and vigorous when these disturbances create little damage to the root system (Frey et al. 2003;
50 Renkema et al. 2009). In contrast heavy mechanical soil disturbances from trafficking of the
51 forest floor during conventional forest harvesting (e.g. log decking areas) or after complete
52 removal of roots during road construction or mineral resource extraction can cause severe
53 reduction in the suckering potential from the fragmented root system (Renkema et al. 2009). In
54 the latter case the surface soils are often salvaged and stored for several months in stockpiles and
55 then placed back onto the disturbed area after operations have ceased (“roll back”), where the
56 root fragments in the roll back material could be available for sprouting and aspen regeneration.
57 The success of sucker initiation after this roll back of soil and root fragments is likely influenced
58 by factors such as the depth a root fragment is placed, the physiological state of the fragment,
59 and its ability to interact with the surrounding soil. Under natural undisturbed soil conditions
60 most suckers originate from smaller diameter roots (0.5 – 2.5 cm) (DesRochers and Lieffers
61 2001; Kemperman 1978; Schier and Campbell 1978) and from roots that are located at depths of
62 4 to 15 cm; this is likely a result of the more favourable conditions in these upper soil layers (e.g.
63 warm soil temperature, aeration, and high concentration of nutrients) (Brown and DeByle 1987;

64 Horton and Maini 1964; Kemperman 1978; Navratil 1991; Schier 1973; Schier and Campbell
65 1978). There is relatively little information on the vertical distribution of aspen roots in soil
66 (Strong and LaRoi 1983), but aspen roots can also be found in deeper parts of the soil profile, as
67 a result of root competition with other woody species (Mundell et al. 2007) or moisture
68 limitation (Snedden unpublished). However there is little knowledge of the suckering ability of
69 these deeper roots.

70 Available non-structural carbohydrate (NSC) reserves influence suckering performance of aspen
71 roots (Landhäusser and Lieffers 2002; Schier and Zasada 1973). Although NSC concentrations
72 appear not to influence the initiation of suckers, they are important for early sucker shoot
73 expansion and growth (Frey et al. 2003; Landhäusser and Lieffers 2002; Schier and Zasada
74 1973). As a result root NSC concentrations might be pivotal to success of suckers when they
75 originate from greater soil depths or are slowed by physical barriers (Landhäusser et al. 2007a,
76 2007b; Renkema et al. 2009). The role of the content of NSC reserves in aspen roots, however, is
77 less clear. If present, plant NSC reserves can readily be mobilized and translocated within the
78 plant to sinks where NSC are needed; however in root fragments the NSC reserves are limited by
79 the size of the of the root fragment and as a result pool size of the fragments might play a larger
80 role. Plant NSC reserves can be expressed as a concentration (tissue status) or content (pool size
81 - root size and concentration) (Dirr and Heuser 1987; Ede et al. 1997; Nguyen et al. 1990).

82 Another factor possibly influencing the suckering ability of root fragments in rolled back
83 materials could be related to the ability of the root fragments to connect with the surrounding soil
84 and its ability to take up water and nutrients. Nutrient and water uptake occurs largely through
85 fine roots and it is not clear if fine roots associated with fragments are a net benefit to the
86 suckering ability of root fragments.

87 In two controlled field studies we explored the regeneration potential of aspen from root
88 fragments in relation to (i) soil depth (e.g. depth of root burial), (ii) root tissue non-structural
89 carbohydrate reserve concentration and content, and (iii) the importance of attached fine roots on
90 root fragments for sucker initiation, emergence, and growth performance. We hypothesized that
91 root fragment buried at a deeper soil depth will require more reserves to reach the soil surface
92 and might face greater reserve exhaustion. We further hypothesized that root fragments with
93 attached fine roots will have improve soil contact, allowing the regenerating root suckers to
94 access water and nutrient resources more easily.

96 **2. Methods**

97 **2.1 Research site**

98 The experimental site was located at the Crop Diversification Centre North near Edmonton,
99 Alberta (53°38'N, 113°21'W; 668 m a.s.l.). A level agricultural field was used for the
100 experiment. The soil texture of the soil was a silty loam which was deep and well drained.
101 Precipitation during the study between May 1 and August 30, 2010 amounted to 174.1 mm and
102 no extended drought periods were observed. Mean air temperature over the four months was
103 14°C (Environment Canada 2010) and average soil temperatures were 16.9°C at 5 cm soil depth,
104 16.1°C at 20 cm, and 15.3°C at 40 cm.

105

106 **2.2 Plant material**

107 The root material used in this study was collected in February 2010 near Genesee, Alberta
108 (53°19'N, 114°18'W) from an aspen stand (4 ha) that had been cut and naturally regenerated 9
109 years earlier. Trees were up to 7 m tall and stem density was 13000 stems/ha. The roots and soil
110 materials were collected using large scale operational methods where the above-ground portion
111 of the aspen stand was sheared off close to the soil surface under frozen conditions (>20 cm soil
112 depth) using a straight blade mounted on a large bulldozer. Then the top 20 cm of the frozen
113 forest floor and mineral soil containing the aspen roots were pushed into large windrows. On the
114 same day, thirty root fragments (> 65 cm in length) were chosen for each of three diameter size
115 classes (Class 1: 1-2 cm, Class 2: 2.1-3 cm; Class 3: 3.1-4 cm) for a total of 90 roots. All selected
116 fragments were straight, had no major lateral roots, and were visibly undamaged. To test the
117 influence of the presence of fine roots attached to fragments an additional 20 undamaged root
118 fragments (0.6 – 1.5 cm in diameter, 18 – 60 cm long) were collected. All of those selected
119 fragments had fine roots (>1 mm diameter) still attached and were collected at the same time. All
120 collected roots were wrapped in plastic, and stored at -5°C until the end of April 2010.

121

122 **2.3 Treatments**

123 In late April, fragments were slowly thawed over two days and both ends were re-cut to remove
124 potential pathogens that may have attached during storage. A sample of each root fragment (1 cm
125 in length) was also taken to determine root non-structural carbohydrate (NSC) reserve

126 concentrations (see below) prior to planting. To explore the impact of fragment size and burial
127 depth, all root fragments were trimmed to a total length of 50 cm. To estimate root fragment
128 volume, root diameter was measured at both ends of each fragment. Root fragments were planted
129 (buried) horizontally at 3 different soil depths (5, 20 and 40 cm) at the research site. The
130 experiment was designed as a complete block design with 10 blocks (2×3 m), each consisting of
131 three plots (1×1 m), which were randomly assigned to one of the three soil depths and each
132 containing one root fragment of each of the three different diameter size classes (class 1: 1-2 cm;
133 class 2: 2.1-3 cm; class 3: 3.1-4 cm). HOBO soil temperature data loggers (Onset Computer
134 Corporation, Bourne, Mass.) were also placed at the three soil depths ($n = 3$ at each depth) to
135 record soil temperatures over the four summer months.

136 To test the impact of the presence of fine roots, collected root fragments were slowly thawed
137 under moist conditions. The experiment was a paired design with 10 pairs of root fragments of
138 similar diameter and length, as well as similar in the number and length of attached fine roots.
139 Among the pairs, the number of attached fine roots per root fragment ranged from 8 to 19 fine
140 roots. One of the root fragments in each pair had all fine roots removed prior to planting and a
141 sample of each root fragment (1 cm) was taken to determine initial NSC reserves of each of the
142 20 root fragments. Each pair was buried horizontally at a depth of 10 cm. A soil temperature data
143 logger was also placed at the same location.

144 Over the course of the growing season (May 1, 2010 to August 24, 2010) the plots were visited
145 several times in order to remove weeds and to monitor sucker emergence.

146

147 **2.4 Measurements**

148 At the end of August, root fragments (and their suckers) were then carefully excavated and the
149 entire clone was kept on ice until brought back to the lab. In the lab all roots and suckers were
150 carefully washed and then separated into dead and live root fragments. Live root fragments were
151 distinguished from dead root fragments by their yellow bark and white phloem, while dead
152 fragments had dark brown bark with black phloem.

153 For each clone (root fragment), the total number of suckers initiated were counted and divided
154 into emerged suckers (suckers that had made it above the soil surface) and non-emerged suckers.

155 The total extension length of emerged and non-emerged suckers (below-ground plus above-
156 ground length) and the above-ground height were recorded. A root tissue sample (1 cm long) was

157 taken from the center of each root fragment to determine non-structural carbohydrate (NSC)
158 reserve concentrations at the end of the study. As there were no new roots initiated directly on
159 the root fragments, only the number and mass of new lateral roots initiated on the below-ground
160 portion of the suckers (adventitious roots) were measured. All roots and suckers, as well as the
161 root fragment NSC samples were oven dried at 68°C until constant weight. Dry mass of sucker
162 stems and leaves were determined.

163 For NSC analyses, root fragment tissue samples were ground to pass 40-mesh (0.4 mm) using a
164 Wiley mill (Thomas Scientific, Swedesboro, New Jersey). Soluble sugars were extracted from
165 ground tissue by boiling samples three times in 80% ethanol at 95°C. Phenol-sulfuric acid assay
166 was used to determine colourimetrically total soluble sugar concentrations. The residue was
167 analyzed for starch by enzymatic digestion with a mixture of α -amylase and amyloglucosidase
168 for 20 h, followed by the colourimetric measurement of glucose hydrolyzate with a peroxidase–
169 glucose oxidase-o-dianisidine reagent (Chow and Landhäusser 2004).

170 Growing season degree-days were calculated by taking the average daily soil temperature minus
171 the base temperature of 8°C and summing the number of day above the threshold during the
172 experimental period. A base temperature of 8°C was chosen based upon Fraser (2002), who
173 found that 8°C is the a soil temperature at which aspen starts to initiate suckers.

174

175 **2.5 Data analysis**

176 The root diameter and soil depth experiment was a complete block design with ten blocks
177 consisting of three plots each, which were randomly assigned to one of the three depths and
178 containing one root fragment each of the three different diameter size classes. Data of the non-
179 emerged sucker variables were analyzed as a randomized 3 × 3 factorial design with three soil
180 depths and three size classes as non-emerged suckers were present at all three depths and root
181 diameter size classes.

182 The design of the fine root study was a paired design with ten replicate pairs of root fragments
183 with and without attached fine roots. This study was analyzed as a paired one-way ANOVA.
184 Tested variables for both studies included number of emerged suckers, number and length of fine
185 roots associated with new suckers, leaf dry mass, total sucker dry mass and the number of non-
186 emerged suckers, their heights and dry mass.

187 For both studies, the number of new roots, length of new roots, and number of non-emerged
188 suckers did not meet the assumption of homogeneity of variances and therefore were log
189 transformed. However, no response variables related to the whole root data set met the
190 assumption of normality (using the Shapiro-Wilk test), as a result the variables were analyzed
191 using both the non-parametric Kruskal-Wallis k-sample test and ANOVA. Since the
192 interpretations were the same between both tests, only the results of the ANOVAs are presented.
193 Emerged sucker numbers and their height growth over the course of the growing season (3
194 measurements) were analyzed using the repeated measures ANOVA. For this analysis only the
195 data from root fragments buried at 5 and 20 cm depth were used, as suckers emerged only at
196 these two depths. A reduced data set, which included only those root fragments that produced
197 emerged suckers, was used in the analyses of differences in emerged sucker numbers per root
198 fragment between treatments and of relationships between (1) sucker leaf dry mass and the
199 number of new fine roots associated with emerged suckers, (2) the leaf mass and NSC content in
200 the root fragment, and (3) initial May starch content and total sucker dry mass.
201 To explore the impact of initial and final NSC content and concentration of root fragments on
202 sucker production and root fragment mortality, data were analyzed as a one-way ANOVA.
203 Relationships between total sucker length and initial starch concentration of root fragments and
204 between sucker leaf dry mass and root fragment starch concentration at the end of the experiment
205 were analyzed using simple linear regression. The NSC data set included the root fragments of
206 all three diameter size classes, soil depth 5 and 20 cm, and root fragments without fine roots
207 attached of the fine root study. Since root mortality data were categorical, the influence of soil
208 depth, root diameter size, roots with fine roots, roots without fine roots and presence of suckers
209 on root fragment mortality was analyzed using the proc catmod procedure in SAS. A
210 significance level of $\alpha = 0.05$ was used for all analyses.

211

212 **3. Results**

213 *Soil depth*

214 Average daily growing season soil temperatures (May to August 2010) decreased with soil depth
215 from 16.8°C at 5 cm, 16.1°C at 20 cm to 15.3°C at 40 cm depth with the soil temperature at 5 cm
216 depth being higher than at 40 cm depth ($p = 0.034$). Earlier research indicated that 60 degree-

217 days (at a base temperature of 8°C) were generally needed for the sucker initiation on root
218 fragments (Fraser et al. 2002). Our root fragments reached these heat sums on May 19th at a soil
219 depth of 5 cm, on May 20th at a soil depth of 20 cm, and on May 29th, 2010 at a soil depth of 40
220 cm.

221 There was no significant interaction between soil depth and root diameter size for any of the
222 measured response variables, as a result only main effects are presented. Mortality of fragments
223 was 80% at 5 and 40 cm soil depth compared to 50% at 20 cm ($p = 0.045$; Table 1). All live root
224 fragments had produced suckers; however, three of the root fragments that were dead at the end
225 of the growing season had produced live suckers. These live suckers were all supported by new
226 lateral roots originating from the below-ground portion of the sucker.

227 Of the 90 planted root fragments, 27 (30%) had produced suckers (emerged and/or non-emerged
228 suckers (NES)). The total number of suckers initiated averaged 1.7 suckers root⁻¹ and was not
229 affected by soil depth ($p = 0.407$). Although root fragments buried at 40 cm depth had a similar
230 total number of suckers per fragment than fragments buried at 5 and 20 cm, none of these
231 suckers had emerged above the soil surface ($p = 0.011$). However, in fragments buried at 5 and
232 20 cm, the number of emerged suckers was not impacted by soil depth (average 0.55 emerged
233 suckers root⁻¹; Table 1). As can be expected, non-emerged sucker height was impacted by soil
234 depth with the taller NES at the deeper soil depths ($p = 0.005$; Table 1).

235 Of the 27 root fragments that had suckered, 18 root fragments produced emerged suckers (only at
236 depths 5 and 20 cm), with more emerged suckers at a soil depth of 5 cm (2.7 emerged suckers
237 root⁻¹) compared with 20 cm (1.3 emerged suckers root⁻¹) ($p = 0.026$). At 5 cm depth, suckers
238 reached the soil surface 10 days earlier than at 20 cm depth ($p = 0.008$; Fig. 1a). The total length
239 of emerged suckers (i.e. the length below-ground plus above-ground) was also affected by soil
240 depth ($p = 0.029$); however, once the suckers were above-ground, growth and leaf dry mass was
241 not different between the two soil depths (both $p > 0.471$; Table 1; Fig. 1b).

242 While the buried root fragments themselves did not produce any new roots, emerged suckers
243 produced similar numbers of new adventitious roots on the below-ground portions of the sucker
244 (3.1 new roots per root fragment; $p = 0.646$); however, the total length of those roots was higher
245 at suckers from fragments buried at a soil depth of 5 cm ($p = 0.003$) (Table 1).

246

247 *Importance of root fragment diameter*

248 Root fragment diameter had no impact on fragment mortality ($p = 0.608$). The number of non-
249 emerged suckers increased with root fragment diameter ($p = 0.011$); however, it did not affect
250 the total number of suckers (sum of emerged and NES) produced by a fragment ($p = 0.378$;
251 Table 2). Although fragment diameter did not affect the number of emerged suckers ($p = 0.629$),
252 diameter class 2 root fragments had the greatest total sucker length (sum of below- and above-
253 ground) ($p = 0.001$) and above-ground height ($p = 0.002$; Table 2). Accordingly, emerged
254 suckers from class 2 root fragments had more leaf and total sucker dry mass per root fragment
255 (Table 2). Suckers emerging from the two larger fragment diameters had on average 3 new
256 adventitious roots sucker⁻¹ compared to only 0.3 new roots sucker⁻¹ when originating from the
257 class 1 root fragment diameter ($p < 0.005$; Table 2). There was also an increase in growth of
258 these adventitious roots with an increase in fragment diameter ($p < 0.005$); however, we could
259 not detect a relationship between leaf dry mass and the number and length of new fine roots ($p =$
260 0.982).

261 Initial non-structural carbohydrate (NSC) content and concentrations in the root fragments varied
262 with fragment diameter (Table 2). While NSC content increased with increasing diameter, NSC
263 concentrations decreased; however, these relationships did not persist after the growing season,
264 where root fragment death and/or suckering success likely impacted the reserves status of the
265 root fragments. At the end of the experiment, live root fragments that had produced emerged
266 suckers had on average 8.5 g NSC content root⁻¹ (8.7% NSC of dry mass) while live root
267 fragments with no emerged suckers had 5.4 g root⁻¹ (4.5%) NSC and dead root fragments had an
268 average NSC content of only 2.9 g root⁻¹ (2.4%) (both content and concentration $p < 0.005$; Fig.
269 2). Dead root fragments had very little starch reserves (at the detection limit), while fragments
270 with emerged suckers had higher tissue starch concentration (1.7%) compared to the initial
271 measurement (0.5%) ($p = 0.003$) (Fig. 2).

272 Root fragments that died during the experiment started with lower initial NSC content (approx..
273 40%) and concentrations (approx. 25% lower) compared to root fragments that stayed alive (both
274 $p < 0.01$; Fig. 3). Initial starch content and concentration were also lower in root fragments that
275 were dead at the end of the experiment than in live fragments (both $p < 0.001$; Fig. 3).

276 There was a positive linear relationship between the initial NSC and starch concentration of root
277 fragments and the above-ground height of suckers ($p=0.033$; $R^2=0.348$; Fig 4A; NSC not
278 shown), while initial NSC and starch content of root fragments was not related to sucker growth

279 (p=0.913). Further, starch concentration in root fragments at the end of the study was positively
280 related to sucker leaf dry mass (p = 0.008; R²=0.517; Fig. 4B).

281 *Importance of fine roots*

282 Whether or not fine roots were attached to root fragments had no impact on fragment mortality
283 (55%) (p = 0.185). However, there were more suckers (emerged and NES) initiated on fragments
284 without fine roots (1.8 suckers root⁻¹) than on fragments with fine roots attached (0.6 suckers
285 root⁻¹; p = 0.032; Table 3), but this effect was not detectable for emerged suckers only (p =
286 0.545; Table 3). However, there were more and longer new adventitious roots attached to
287 emerged suckers when associated with root fragments without fine roots attached compared to
288 emerged suckers on fragments with fine roots attached (both p < 0.005, Table 3).

289

290 **4. Discussion**

291 Soil depth had a considerable impact on the ability of suckers to emerge above the soil surface.
292 Suckers originating from root fragments that were buried at a depth of 40 cm were unsuccessful
293 in reaching the soil surface in one growing season, indicating that the required resources
294 contained in the root fragments (fragment size and initial NSC concentration) were not sufficient
295 to allow suckers to emerge above the soil surface from a depth of 40 cm. This is a plausible
296 explanation as soil conditions (deep agricultural soil with silty loam soil texture) had no obvious
297 restrictions to sucker expansion. There is strong evidence that sucker growth was dependent on
298 the reserve status (starch concentration) of the fragment (Figure 4A). Suckers in our study
299 emerged above-ground only successfully from fragments at a soil depth of 20 cm or less.
300 Interestingly the failure to reach the surface was not influenced by fragment diameter, which
301 indicates that fragment reserve content did not play a critical role in the suckering success of
302 fragments.

303 Fragment survival was highest at the 20 cm depth, perhaps because at the 5 cm depth there may
304 have been intermittent periods of water stress, while at the 40 cm depth no suckers made it to the
305 surface not allowing a replenishment of root reserves. However at a depth of 5 cm, root
306 fragments produced more emerged suckers than root fragments at a depth of 20 cm. We believe
307 that the shorter distance to the soil surface enabled more suckers at the shallower depths to reach
308 the surface before apical dominance started to suppress sucker initiation and growth (Eliasson

309 1971; Farmer 1962; Schier 1972; Steneker 1974; Wan et al. 2006). In turn, limited root reserves
310 and the longer distance needed to reach the surface may have been the reason why root
311 fragments buried at a depth of 20 cm were able to support only very few dominant suckers until
312 they started photosynthesizing. This assumption is also supported by the fact that depth had a
313 significant effect on emerged total sucker height as root fragments buried at depth 20 had to
314 produce the tallest suckers to reach the surface, but their subsequent height growth above the
315 surface was not different between soil depth treatments.

316 The initiation of suckers (total number of suckers) on root fragments was not affected by soil
317 depth, root diameter size (within our tested range), and the presence of fine roots in our study.
318 This is not too surprising, as it is known that the initiation of suckers is mainly driven by the
319 absence of apical dominance, which is primarily mediated by growth regulators such as auxin
320 and cytokinin (Eliasson 1971; Farmer 1962; Schier 1972; Steneker 1974). However, suckers
321 initiated on fragments that were buried at 40 cm did not grow taller than 20 cm below-ground,
322 and many of the root fragments were dead after the growing season, indicating that there were
323 restrictions in resource availability from the fragment, as soil temperatures during the growing
324 season were only marginally lower at 40 cm than at 5 or 20 cm depth and were well above the
325 threshold for suckering at 8 °C. This might suggest that independent of soil temperature, soil
326 depth also plays a role in the emergence of suckers from aspen root systems. In natural
327 conditions suckers typically emerge from roots located within 8 cm of the surface (Brown and
328 DeByle 1987; Navratil 1991; Schier and Campbell 1978) but in natural conditions this is also
329 where most roots are found. Root size, as controlled by diameter, did not influence emerged
330 sucker numbers, which conforms to the results of several other studies which report that suckers
331 mostly sprout from lateral roots with diameters between 0.5 – 2.5 cm (DesRochers and Lieffers
332 2001; Peterson and Peterson 1992; Schier 1973). Further, the diameter of small root cuttings (2 –
333 10 mm) of hybrid aspen (*P. tremula* L. × *P. tremuloides* Michx.) (Stenvall et al. 2006) and aspen
334 (Schier 1978; Starr 1971) did not affect their suckering efficiency.

335 The presence of fine roots on root fragments was negatively related to the number of suckers that
336 were initiated; indeed, when fine roots were attached to the fragment there were only 60% fewer
337 suckers as when fine roots were removed. The mechanism for this inhibition are not clear but
338 fine roots have much higher respiration costs which can be more than 10-times greater in fine
339 roots than in coarse roots for a the root fragment (DesRochers et al. 2002) and given that fine

340 roots are more likely to be injured during extraction, significant C resources may have also gone
341 into the repair of these fine roots. Further, growth regulators (hormones) produced from
342 wounded fine roots may also have inhibited sucker initiation (Frey et al. 2003). However,
343 emerged suckers grew just as well whether the root fragments had fine roots attached or not. The
344 shallow burial depth of these fragments in this study at only 10 cm may have also allowed
345 suckers to quickly reach the soil surface. Also the similar growth of the suckers was not
346 anticipated, as it is known that fine roots play an important role in the supply of trees with soil
347 resources of water and nutrients (Charlton 1996).

348 Similar to natural conditions, where suckers will replenish carbohydrate reserves of the parent
349 root system (DesRochers and Lieffers 2001, Landhäusser and Lieffers 2002), root fragments of
350 our study were provided with NSC from the emerged suckers. The connection of the emerged
351 suckers to the root fragment appear to be functional, as NSC reserves were higher in fragments
352 with emerged suckers than in live fragments with no emerged suckers regardless of soil depth
353 (Figure 2). This might indicate that root fragments might still be used as a reserve storage organ
354 (we had only 3 root dead fragments with suckers that were alive after the growing season). This
355 is further supported by our observation that an increase in sucker leaf dry mass also resulted in
356 higher starch reserve levels in the root fragments at the end of the growing season (Figure 4B).
357 The initiation and growth of new adventitious fine roots on the belowground portion of the
358 suckers was only associated with emerged suckers. The initiation of new roots is thought to be
359 controlled by the successful emergence of suckers, where the supply of newly fixed
360 carbohydrates from the leaves promotes root initiation (Eliasson 1968). This is further supported
361 by work from Stenvall et al. (2006) who found that the initiation of new roots on root fragments
362 took twice as long as the initiation of suckers and that root fragments with the best suckering
363 produced also the highest number of new roots.

364 In summary, while soil depth did not influence root sucker initiation, it strongly affected the
365 ability of suckers to reach the soil surface; therefore, suckers originating from root fragments
366 buried deeper than 20 cm are unlikely to emerge above the soil. The amount of initial
367 carbohydrate reserves stored in root fragments rather than the presence of fine roots played an
368 essential role in sucker emergence and performance and root fragment survival. In this study the
369 NSC reserves of roots were higher than what has been previously observed in healthy but mature
370 aspen stands (Landhäusser and Lieffers 2003); this is likely the result of our donor roots

371 originating from a 9-year-old stand with a stem density of 13000 stems per hectare. The
372 importance of sufficient carbohydrate supply for sucker growth was underlined by the fact that
373 root fragments, that were dead by the end of the experiment started out with lower initial
374 reserves of NSC indicating that there might be a lower threshold of NSC root reserves (but above
375 the complete exhaustion of root NSC reserves), below which aspen roots have difficulty to
376 regenerate vegetatively. Regardless, these results highlight the importance of minimizing the
377 handling and storage of roots to prevent excessive loss of NSC reserves prior to roll back.
378 Therefore prolonged storage of roots during warm soil conditions should be avoided. Minimizing
379 the loss of fine roots associated with fragments is likely not a priority in salvage as the benefit of
380 not having to produce new adventitious roots on emerged suckers was countered by the reduction
381 of suckers on fragments that had fine roots attached.

382

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- 474

475 **Tables**476 **Table 1**

477 Impact of soil depth on suckering response and mortality after one growing season (mean \pm SE; n
 478 = 10). Means sharing the same letter are not significantly different ($\alpha = 0.05$; LSD means
 479 comparison test) across treatments.

| Response variable | Soil depth (cm) | | |
|--|-------------------|------------------|------------------|
| | 5 | 20 | 40 |
| Total number of suckers per root fragment | 1.2 \pm 0.5 a | 2.5 \pm 0.8 a | 1.3 \pm 0.9 a |
| Number of emerged suckers per root fragment | 0.6 \pm 0.2 a | 0.5 \pm 0.1 a | 0 b |
| Emerged sucker total length (below- + above-ground) (cm) | 23.0 \pm 1.7 b | 39.8 \pm 5.4 a | N/A |
| Emerged sucker height above-ground (cm) | 18.0 \pm 1.7 a | 19.8 \pm 5.4 a | N/A |
| Leaf dry mass per root fragment (g) | 0.5 \pm 0.2 a | 0.8 \pm 0.3 a | N/A |
| Emerged sucker dry mass per root fragment (g) | 0.4 \pm 0.1 a | 0.8 \pm 0.3 a | N/A |
| Number of new roots per emerged sucker | 5.0 \pm 3.3 a | 1.2 \pm 0.7 a | N/A |
| Length of new roots (cm) | 58.7 \pm 23.4 a | 12.2 \pm 3.2 b | N/A |
| Number of non-emerged suckers per root fragment | 0.6 \pm 0.2 b | 2.0 \pm 0.7 a | 1.3 \pm 0.9 ab |
| Non-emerged sucker length (cm) | 2.2 \pm 0.3 b | 5.3 \pm 0.6 a | 8.5 \pm 1.4 a |
| Non-emerged sucker dry mass per root fragment (g) | 0.06 \pm 0.03 b | 0.4 \pm 0.1 a | 0.2 \pm 0.1 a |
| Root fragment mortality (%) | 80 \pm 7.0 a | 50 \pm 7.0 b | 80 \pm 7.0 a |

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481

482 **Table 2**

483 Impact of root fragment diameter (class 1: 1-2 cm; class 2: 2.1-3 cm; class 3: 3.1-4 cm) on
 484 suckering response and mortality after one growing season (mean \pm SE; n = 10). Means sharing
 485 the same letter are not significantly different ($\alpha = 0.05$; LSD means comparison test) across
 486 treatments.

| Response variable | Root diameter class (cm) | | |
|---|--------------------------|------------------|--------------------|
| | 1 - 2 | 2.1 - 3 | 3.1 - 4 |
| Total number of suckers per root fragment | 0.9 \pm 0.4 a | 1.7 \pm 0.6 a | 2.4 \pm 1.1 a |
| Number of emerged suckers per root fragment | 0.3 \pm 0.1 a | 0.4 \pm 0.2 a | 0.3 \pm 0.1 a |
| Emerged sucker total length (below- + above-ground) (cm) | 24.2 \pm 1.1 b | 42.3 \pm 3.4 a | 26.9 \pm 2.7 b |
| Emerged sucker height above-ground (cm) | 9.1 \pm 1.7 b | 28.3 \pm 2.9 a | 12.5 \pm 2.1 b |
| Leaf dry mass per root fragment (g) | 0.2 \pm 0.01 b | 1.4 \pm 0.2 a | 0.3 \pm 0.04 b |
| Emerged sucker dry mass per root fragment (g) | 0.2 \pm 0.1 b | 0.7 \pm 0.3 a | 0.2 \pm 0.1 b |
| Number of new roots per emerged sucker | 0.3 \pm 0.2 b | 3.8 \pm 2.5 a | 2.1 \pm 1.5 a |
| Length of new roots (cm) | 4.7 \pm 1.0 c | 28.3 \pm 9.1 b | 147.1 \pm 54.9 a |
| Number of non-emerged suckers per root fragment | 0.6 \pm 0.3 b | 1.3 \pm 0.4 ab | 2.1 \pm 1.0 a |
| Non-emerged sucker height (cm) | 5.1 \pm 0.9 a | 4.4 \pm 0.5 a | 6.9 \pm 1.4 a |
| Non-emerged sucker dry mass per root fragment (g) | 0.1 \pm 0.03 a | 0.2 \pm 0.07 a | 0.3 \pm 0.1 a |
| Root fragment mortality (%) | 70 \pm 9.0 a | 70 \pm 10.0 a | 80 \pm 7.0 a |
| Initial non-structural carbohydrate content per root fragment (g) | 9.4 \pm 0.7 c | 16.1 \pm 1.1 b | 20.4 \pm 1.3 a |
| Initial non-structural carbohydrate concentration per root fragment (%) | 15 \pm 0.8 a | 12.9 \pm 0.9 b | 10.7 \pm 0.4 c |

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491 **Table 3**

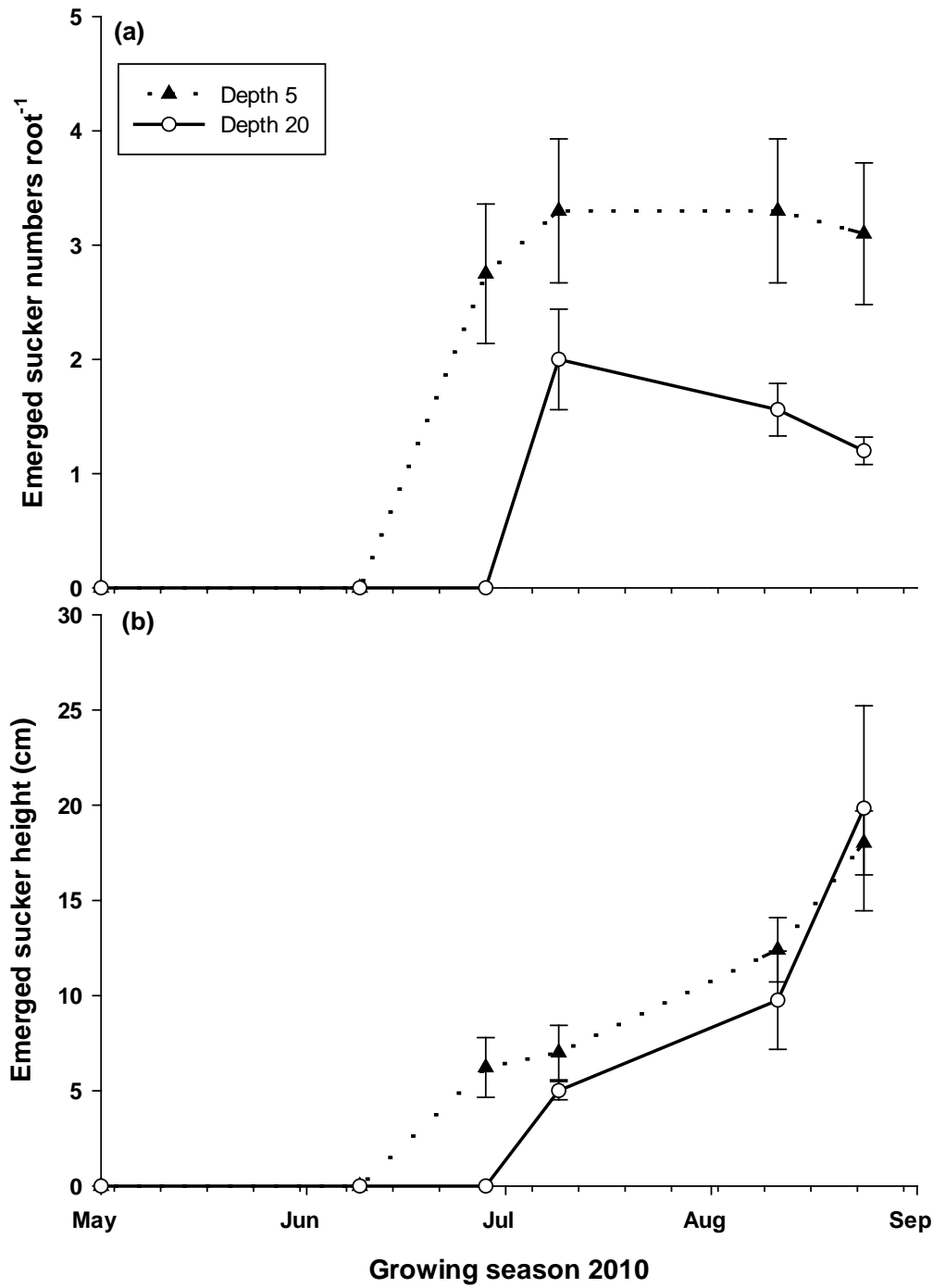
492 Role of fine roots in the suckering response and mortality of root fragments after one growing
 493 season (mean \pm SE; n = 10). Means sharing the same letter are not significantly different ($\alpha =$
 494 0.05; LSD means comparison test) across treatments.

| Response variables | Treatment | |
|--|------------------|--------------------|
| | With fine roots | No fine roots |
| Total number of suckers per root fragment | 0.6 \pm 0.3 b | 1.8 \pm 0.6 a |
| Number of emerged suckers per root fragment | 0.6 \pm 0.3 a | 0.9 \pm 0.3 a |
| Emerged sucker total length (below- + above-ground) (cm) | 41.1 \pm 9.4 a | 37.1 \pm 5.7 a |
| Emerged sucker height above-ground (cm) | 31.1 \pm 9.4 a | 27.1 \pm 5.7 a |
| Leaf dry mass per root fragment (g) | 2.0 \pm 0.6 a | 1.6 \pm 0.4 a |
| Emerged sucker dry mass per root fragment (g) | 4.2 \pm 1.4 a | 3.3 \pm 0.8 a |
| Number of new roots per emerged sucker | 1.5 \pm 1.5 b | 9.6 \pm 4.2 a |
| Length of new roots (cm) | 9.6 \pm 5.3 b | 108.3 \pm 44.8 a |
| Number of non-emerged suckers per root fragment | 0 b | 0.9 \pm 0.5 a |
| Non-emerged sucker height (cm) | N/A | 3.6 \pm 0.5 a |
| Non-emerged sucker dry mass per root fragment (g) | N/A | 0.6 \pm 0.02 a |
| Root fragment mortality (%) | 70 \pm 15.0 a | 40 \pm 16.0 a |

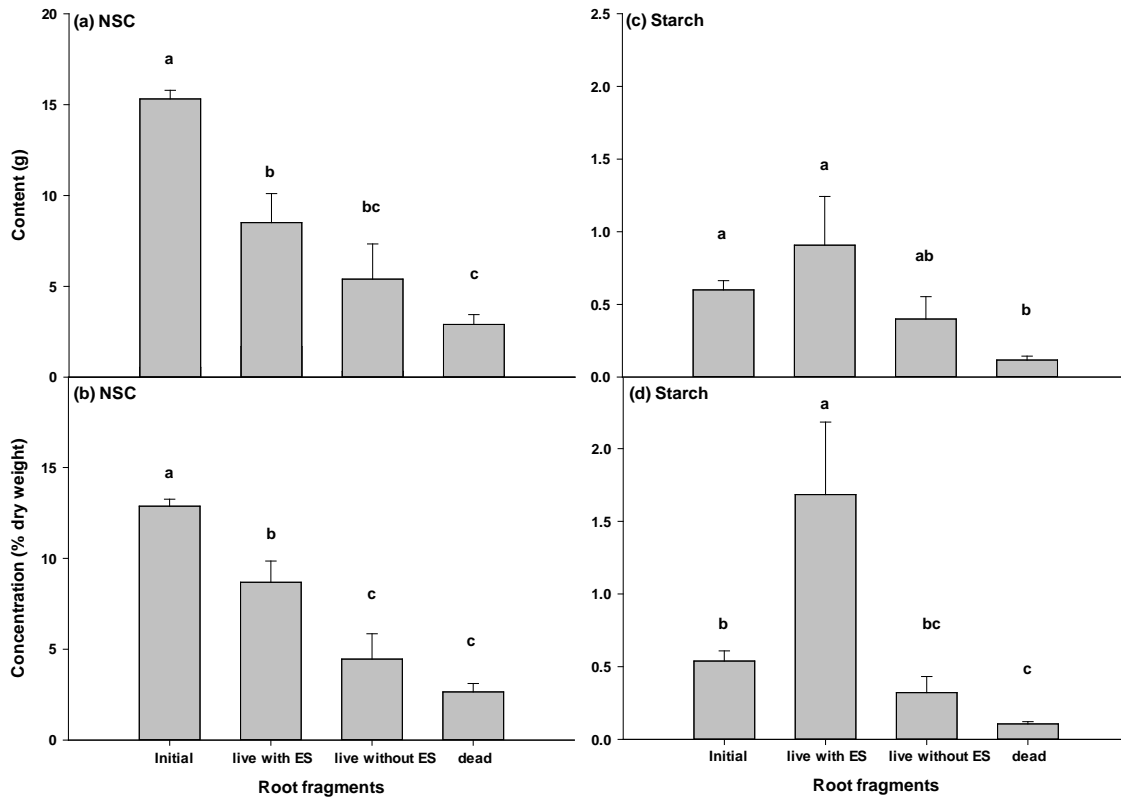
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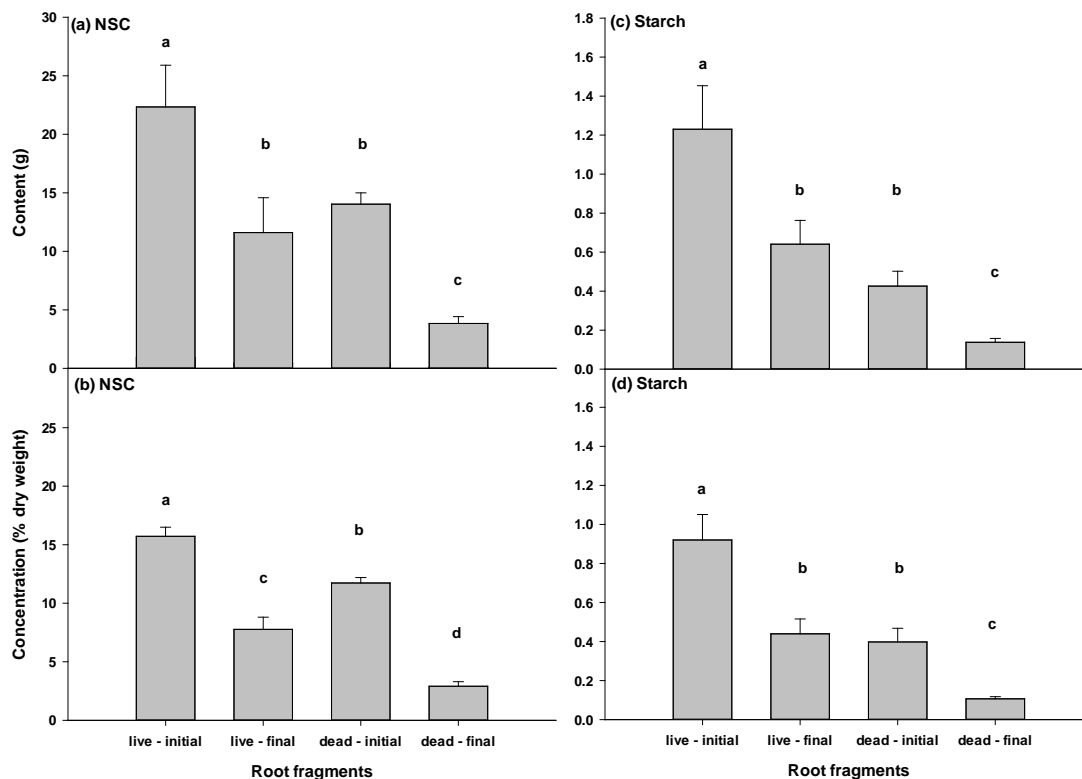


499
 500 **Fig. 1.** Emerged sucker numbers (a) and height of suckers above the soil surface (b) sprouting
 501 from root fragments buried at 5 and 20 cm, over the 2010 growing season. Error bars indicate
 502 one standard error of the mean (n = 10).



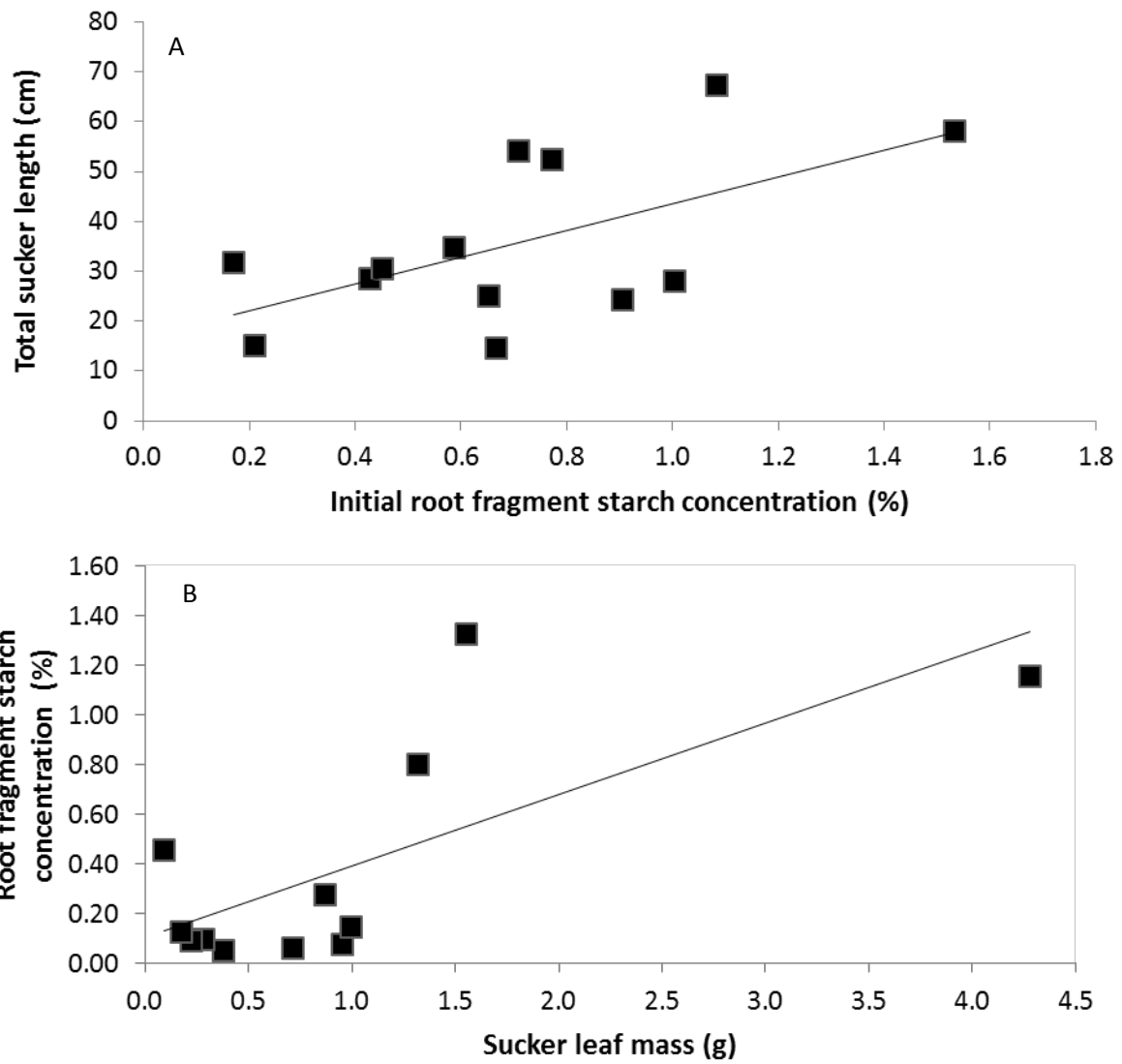
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506 **Fig. 2.** NSC content (a) and concentration (b) and starch content (c) and concentration (d) of root
 507 fragments prior to burial (initial) and at the end of the first growing season (root fragments that
 508 produced emerged suckers (live with ES), live root fragments that did not produce emerged
 509 suckers (live without ES), and dead root fragments without suckers (dead) (n = 10). Data set for
 510 initial measurements includes all root fragments used in the diameter and depth study and fine
 511 root study. Data set of post experiment measurements only includes root fragments from both
 512 experiments buried at a depth ≤ 20 cm. Error bars indicate one standard error of the mean.



514
 515 **Fig. 3.** NSC content (a) and concentration (b) and starch content (c) and concentration (d) of
 516 alive root fragments at the beginning of the experiment (live-initial) and at the end of the
 517 experiment (live-final) and of dead root fragments at the beginning (dead-initial) and at the end
 518 of the experiment (dead-final) (n = 10). Initial measurements were taken in late April and final
 519 measurements in late August. Data set for initial measurements includes all root fragments of the
 520 diameter and depth study and fine root study, regardless of emerged, non-emerged or no suckers.
 521 Data set of post experiment measurements only includes root fragments from both experiments
 522 buried at a depth ≤ 20 cm. Error bars indicate one standard error of the mean.

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548 **Fig. 4.** Relationship between total sucker length and initial starch concentration of root fragments
549 (A) and between sucker leaf dry mass and root fragment starch concentration at the end of the
550 experiment (B) in aspen root fragments that had produced emerged suckers and were buried at 5
551 and 20 cm depth (n=12).