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17	Can you hear me now? The effect of signal degradation on perceived predator threat in
18	black-capped chickadees (<i>Poecile atricapillus</i>)
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Avian predators vary in their degree-of-threat to chickadees; for example, smaller owls and hawks are of higher threat to chickadees as they can easily maneuver through the trees, while larger predators cannot. We conducted an operant go/no-go discrimination task to investigate the effect of signal degradation on perceived threat. Chickadees were trained to respond to highthreat northern saw-whet owl (NSWO) or low-threat great horned owl (GHOW) calls that were recorded at short distances, then tested with high- and low-threat owl calls that were rebroadcast and re-recorded across six distances (25m, 50m, 75m, 100m, 150m, and 200m). Subjects were further tested with high-threat and low-threat synthetic tones produced to mimic the natural calls across the six distances. We predicted that birds would perceive and respond to: 1) high-threat predator calls at longer distances compared to low-threat predator calls, and 2) synthetic tones similarly compared to the stimuli that they were designed to mimic. We believed chickadees would continue to perceive and respond to predators that pose a high threat at further distances; however, only responding to low-threat stimuli was consistent across distance recordings.

- 60 Synthetic tones were treated similarly to natural stimuli but at lower response levels. Thus, the
- 61 results of this study provide insights into how chickadees perceive threat.

- *Key words: threat perception, predator perception, black-capped chickadee, operant*65 *conditioning*

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Introduction

93 Almost every species on earth is or has been the prey of another species, since the time of 94 the Cambrian explosion or longer (541-485 million years ago; Briggs 2015). Predation is a major evolutionary force due to its impact on fitness, and consequently, the ability to perceive threat 95 and possess adaptive antipredator behaviours should be selected for (Lima and Dill 1990; Briggs 96 97 2015). For example, the ability to communicate and interpret mobbing calls (i.e., vocalizations 98 used to recruit conspecifics and heterospecifics) regarding nearby threat has been demonstrated 99 to be beneficial to many species (e.g., black-capped chickadee mobbing calls, *Poecile* 100 atricapillus, Baker and Becker2002; red-breasted nuthatches, Sitta canadensis, eavesdropping on 101 black-capped chickadee mobbing calls, Templeton and Greene 2007). 102 Black-capped chickadees are a non-migratory North American songbird that are typically 103 predated by avian predators, including both hawks and owls (Smith 1991). In the presence of a 104 predator, black-capped chickadees produce mobbing calls to recruit and coordinate conspecifics 105 and heterospecifics to attack and harass the nearby predator (Smith 1991). Templeton et al. 106 (2005) demonstrated that black-capped chickadee mobbing call production varies according to 107 predator wingspan and body length, indicating that small-sized predators, including the northern 108 saw-whet owl (NSWO; Aegolius acadicus), and large-sized predators, including the great horned 109 owl (GHOW; Bubo virginianus) are on opposite ends of the threat spectrum as high- and low-110 threat, respectively (Templeton et al. 2005). The stark contrast in chickadee responses between 111 these species influenced stimuli selection for our experiment, and for the purposes of this paper, 112 we will be referring to our NSWO and GHOW stimuli as high- and low-threat, respectively. 113 Small songbird prey provide relatively little energetic benefit and are quite maneuverable, 114 making them costly to pursue in forested areas (Pyke et al. 1977; Dudley 2002); due to the large

115 difference in wingspan and body length of these two species of owls, small NSWOs are more 116 maneuverable in comparison to large GHOWs, and small songbirds are more likely to meet their 117 energetic requirements (Templeton et al. 2005; Pyke et al. 1977). Avey and colleagues (2011) 118 conducted a study examining immediate early gene expression based on the work of Templeton 119 et al. (2005) in order to compare levels of ZENK expression in chickadees following exposure to 120 high- and low-threat predator calls; upon hearing NSWO calls and GHOW calls, black-capped 121 chickadees produced more gene expression in response to NSWO calls compared to GHOW 122 calls. These results suggest that NSWO and GHOW predators, and the calls that they produce, 123 are perceived to be different, potentially of high- and low-threat, respectively. Despite knowledge of which predators are on opposite ends of the threat spectrum for 124 125 black-capped chickadees, that chickadees demonstrate the ability to perceive predator threat from 126 both visual and auditory cues (Templeton et al. 2005; Avey et al. 2011), and the knowledge that 127 calling makes an owl more susceptible to being detected and mobbed by nearby prey (Chandler 128 and Rose 1988), few studies are focused on how acoustic signals produced by avian predators are 129 perceived by songbirds, and the extent to which signal degradation affects perceived threat 130 levels. Particularly, how do chickadees perceive the level of threat posed by potentially degraded 131 predator acoustic signals (i.e., owl calls transmitted through forests)? For example, are high-132 threat predators perceived and responded to at further distances than low-threat predators? 133 We sought to answer the above questions by collecting high- and low-threat owl calls and 134 conducting an operant go/no-go discrimination task to investigate the effect of signal degradation 135 on perceived threat. Specifically, chickadees were trained to respond to high-threat NSWO or 136 low-threat GHOW calls that were recorded at short distances, then tested with additional high-137 threat NSWO and low-threat GHOW calls that were originally recorded across six distances

(25m, 50m, 75m, 100m, 150m, and 200m). Subjects were further tested with high-threat and
low-threat synthetic tones produced to mimic the respective and natural NSWO and GHOW calls
across the six distances. We predicted that birds would perceive and respond to: 1) high-threat
predator calls at farther distances compared to low-threat predator calls, and 2) synthetic tones
similarly compared to the stimuli that they were designed to mimic. Each of these predictions are
described below.

144 First, we predicted that chickadees have the ability to perceive predators that pose a high 145 threat from further distances as it would assist in survival in comparison to responding to low-146 threat predators. Although songbirds change their behaviours depending on the distance of a 147 predator (i.e., blackbirds, *Turdus merula*, mobbing intensity is higher to nearby magpie, *Pica* 148 *pica*, predator dummies compared to distant magpies, whereas mobbing did not occur to 149 differentially to non-threat pigeon, Columbia livia, nearby and distant dummies; Kryštofková et 150 al. 2011), we believe that prey still perceive the threat posed and responding would be consistent 151 in this type of task. Second, we were interested in investigating if chickadees perceive owl calls 152 and synthetic stimuli as similar, generalizing the perception of threat, by creating synthetic tones 153 that match the duration and frequency of high-threat NSWO or low-threat GHOW calls. We 154 know, for example, that black-capped chickadees have difficulty discriminating between 155 acoustically-similar D notes produced by two different parid species (chestnut-backed 156 chickadees, *Poecile rufescens*, and tufted titmice, *Baeolophus bicolor*; Hahn et al. 2017), but we 157 do not know how chickadees will respond to acoustically-similar synthetic stimuli, connected 158 with anthropogenic noise. Overall, the results of this experiment will inform us about the 159 perception of threat across distance, as well as inform us of whether or not our synthetic stimuli 160 (i.e., tones) are perceived similarly to predator calls.

162 Subjects

Methods

163 Thirty-eight black-capped chickadees (19 males and 19 females; identified by DNA 164 analysis; Griffiths et al. 1998) were originally used in this experiment, tested between September 165 2015 and April 2016. Birds at least one year of age (determined by examining the colour and 166 shape of their outer tail rectrices; Pyle 1997) were captured in Edmonton (North Saskatchewan River Valley, 53.53°N, 113.53°W, Mill Creek Ravine, 53.52°N, 113.47°W), or Stony Plain 167 (53.46°N, 114.01°W), Alberta, Canada between December 2010 and February 2015. However, 168 169 two subjects failed during equipment shaping, eight failed pretraining (likely due to this initial 170 exposure to the aversive, predator stimuli), one failed discrimination training, one failed due to 171 low responding, and five birds died of natural causes. Thus, the data from only 19 birds (nine 172 males, ten females) were used. 173 Prior to the experiment, birds were individually housed in Jupiter Parakeet cages (30×40) 174 \times 40 cm; Rolf C. Hagen, Inc., Montreal, QB, Canada) in colony rooms containing other black-175 capped chickadees. Birds had visual and auditory, but not physical, contact with one another. 176 Birds had *ad libitum* access to food (Mazuri Small Bird Maintenance Diet; Mazuri, St Louis,

177 MO, USA), water (vitamin supplemented on alternating days; Prime vitamin supplement; Hagen,

178 Inc.), grit, and cuttlebone. Birds were given three to five sunflower seeds daily, one superworm

179 (*Zophobas morio*) three times a week, and a mixture of greens (spinach or parsley) and eggs

180 twice a week. Birds were maintained on a light:dark cycle that mimicked the natural light cycle

181 for Edmonton, Alberta, Canada.

182 Throughout the experiment, birds were housed individually in operant chambers (see183 apparatus below), maintained on the natural light cycle for Edmonton, Alberta, and had *ad*

184 *libitum* access to water (vitamin supplemented on alternate days), grit, and cuttlebone. Birds 185 were given two superworms daily (one in the morning and one in the afternoon). Food (i.e., 186 Mazuri) was only available as a reward for correct responding during the operant discrimination 187 task. Sixteen birds had previous experience discriminating musical chords, black-capped 188 chickadee *fee-bee* songs, Parid and finch vocalizations, and/or *chick-a-dee* mobbing calls (Hoang 189 2015; Hahn et al. 2016; Hahn et al. 2017; Congdon et al. 2019; respectively), but no operant 190 experience with the stimulus types used in this experiment (owl vocalizations). 191 **Apparatus**

192 During the experiment, birds were housed individually in modified colony room cages 193 $(30 \times 40 \times 40 \text{ cm})$ placed inside a ventilated, sound-attenuating chamber. The chambers were 194 illuminated by a 9W, full spectrum fluorescent bulb. Each cage contained three perches, a water 195 bottle, and a grit cup. An opening on the side of the cage $(11 \times 16 \text{ cm})$ provided each bird access 196 to a motor-driven feeder (see Njegovan et al. 1994). Infrared cells in the feeder and the request 197 perch (perch closest to the feeder) monitored the position of the bird. A personal computer 198 connected to a single-board computer (Palya and Walter 2001) scheduled trials and recorded 199 responses to stimuli. Stimuli were played from the personal computer hard drive, through either a 200 Cambridge A300 Integrated Amplifier, Cambridge Azur 640A Integrated Amplifier (Cambridge 201 Audio, London, England), or an NAD310 Integrated Amplifier (NAD Electronics, London, 202 England) and through a Fostex FE108 Σ or Fostex FE108E Σ full-range speaker (Fostex Corp., 203 Japan; frequency response range 80-18,000 Hz) located beside the feeder. See Sturdy and 204 Weisman (2006) for a detailed description of the apparatus.

205 Acoustic Stimuli

206 Natural stimuli. Acoustic stimuli were obtained from the Bayne Laboratory (Department 207 of Biological Sciences, University of Alberta, AB, Canada), Borror Laboratory of Bioacoustics 208 (The Ohio State University, OH, USA), and the Macaulay Library (Cornell Lab of Ornithology, 209 NY, USA; originally recorded between the years of 1954-2015 throughout Canada and USA). A 210 total of 34 vocalizations produced by small, high-threat northern saw-whet owls (NSWO) and 211 large, low-threat great horned owls (GHOW) were obtained due to their high quality, originally 212 recorded at short (i.e., close) distances; from here, the original recordings will be referred to as 213 "short" distance recordings. Four acoustic stimuli of both species were then rebroadcast and re-214 recorded (speakers and microphones were affixed at 1.5m) using a Song Meter SM2+ automated 215 audio recorder (Wildlife Acoustics, Maynard, Massachusetts, USA) in the boreal forest north of 216 Fort McMurray, AB (57.4998°N, -111.4490°W) on July 10, 2015, across six distances: 25, 50, 217 75, 100, 150, and 200m (resulting in 48 stimuli that were used in the experiment). 218 All stimuli broadcast in the field were normalized using peak amplitude. Stereo mics 219 from SM2 units were tested annually to ensure standardized gain on both channels and within 220 3dB of manufacturer specifications. All field broadcasts were conducted in a remote field setting 221 with no presence of anthropogenic sound, wind = 1 on the beaufort scale. Recordings were also

collected midafternoon to avoid overlap with avian vocalizations, and variation in vegetationsound was controlled for by using the same transect for all playbacks.

During the experiment, the short distance stimuli were presented at approximately 80 dB as measured by a Brüel & Kjær Type 2239 (Brüel & Kjær Sound & Vibration Measurement A/S, Nærum, Denmark) decibel meter (A-weighting, slow response) at the approximate height and position of a bird's head when on the request perch. By maintaining the decibels according to the 228 original, short distance stimuli, the rebroadcast, further distance stimuli maintained their natural229 attenuation.

To further investigate signal degradation across distance, we contrasted background and 230 231 foreground noise from each recording to calculate signal-to-noise ratios (SNR) using Audacity 232 2.4.2. (The Audacity Team, Carnegie Mellon University, PA, USA). Using the SNRs, we 233 compared the original stimuli to each set of rebroadcast stimuli across the six distances by 234 conducting paired-samples t-tests; see Table 1 for averages of the SNRs at each distance and 235 Table 2 for the statistical results. From these analyses, it is evident that SNR did not change 236 significantly over distance for GHOW stimuli whereas the SNR of NSWO stimuli were 237 significantly different, an effect of signal degradation.

Synthetic stimuli. The four natural NSWO and GHOW stimuli were rebroadcast and rerecorded across six distances (25, 50, 75, 100, 150, and 200m; 48 stimuli total) were reproduced
as synthetic tones using Audacity 2.2.2. (The Audacity Team, Carnegie Mellon University, PA,
USA). K.A.C. matched the frequency and amplitude (measured in the middle of the band using
SIGNAL 5.10.24 software; Engineering Design, Berkeley, CA, USA) of natural high-threat
NSWO and low-threat GHOW calls to produce high-and low-threat synthetic tones (see Figure
1). See additional equipment and recording details above.

245 **Procedure**

Pretraining. Pretraining began once the bird learned to use the request perch and feeder to obtain food. During Pretraining, birds received food for responding to all stimuli (future rewarded stimuli, unrewarded stimuli, and testing stimuli). Pretraining is critical as the procedure is designed to remove any pre-existing biases and to ensure that birds approached the feeder for all stimuli. A trial began when the bird landed on the request perch and remained for between 251 900-1100 ms. A randomly-selected stimulus played without replacement until all 154 stimuli had 252 been heard. If the bird left the request perch before a stimulus finished playing, the trial was 253 considered interrupted, resulting in a 30-s time out with the houselight turned off. If the bird 254 entered the feeder within 1 s after the entire stimulus played, it was given 1 s access to food, 255 followed by a 30-s intertrial interval, during which the houselight remained on. If a bird 256 remained on the request perch during the stimulus presentation and the 1 s following the 257 completion of the stimulus it received a 60-s intertrial interval with the houselight on, but this 258 intertrial interval was terminated if the bird left the request perch. This was to encourage a high 259 level of responding on all trials. Birds continued on Pretraining until they completed six 308-trial 260 blocks of $\geq 60\%$ responding on average to all stimuli, at least four 308-trial blocks $\leq 3\%$ 261 difference in responding to future rewarded and unrewarded stimuli, at least four 308-trial blocks 262 in which the bird had $\leq 3\%$ difference in responding to future testing stimuli to ensure that birds 263 did not display a bias for stimuli. Following a day of free feed, birds completed a second round in which they completed one 308-trial block of \geq 60% responding on average to all stimuli, 264 265 completed one 308-trial block of \leq 3% difference in responding to future rewarded and 266 unrewarded stimuli, completed one 308-trial block of \leq 3% difference in responding to future 267 testing stimuli to confirm that each bird continued to not display preferences following the break.

268 **Discrimination Training.** The procedure was the same as during Pretraining, except, 269 only 24 training stimuli were presented (with the remaining 130 withheld for use during Transfer 270 Testing), and responding to half of these stimuli were now punished with a 30-s intertrial interval 271 with the houselight off and no access to food. As during Pretraining, responses to rewarded 272 stimuli resulted in 1 s access to food. Discrimination training continued until birds completed six 273 312-trial blocks with a discrimination ratio $(DR) \ge 0.80$ with the last two blocks being 274 consecutive. For DR calculations see Response Measures, below.

275 Birds were randomly assigned to either a True category discrimination group (n = 12) or 276 Pseudo category discrimination group (n = 7). Black-capped chickadees in the True category 277 discrimination group were divided into two subgroups: one subgroup discriminated 12 rewarded 278 NSWO calls from 12 unrewarded GHOW calls (High Threat S+ subgroup: three male and three 279 female subjects), while the other subgroup discriminated 12 rewarded GHOW calls from 12 unrewarded NSWO calls (Low Threat S+ subgroup: three male and three female subjects). 280 281 The Pseudo category discrimination group was also divided into two subgroups. Each 282 subgroup discriminated six randomly-selected rewarded NSWO calls and six randomly-selected 283 rewarded GHOW calls from six unrewarded NSWO and six unrewarded GHOW calls (Pseudo 284 subgroup 1: two male and two female subjects; Pseudo subgroup 2: two male and two female subjects). The purpose of the Pseudo group was to include a control in which subjects were not 285 286 trained to categorize according to threat level, investigating if True group acquisition is due to 287 category learning (significantly fewer trials than the Pseudo groups) or simply rote memorization

(similar number of trials compared to the Pseudo group); fewer trials to criterion would provideevidence of category learning, and transfer of training provides further support.

Discrimination 85. This phase was identical to Discrimination training, except that the rewarded stimuli were rewarded with a reduced probability of getting a reward (i.e., P = 0.85). On unrewarded rewarded S+ trials, entering the feeder after the stimulus finished playing resulted in a 30-s intertrial interval, during which the houselight remained on, but there was no access to food. Discrimination 85 training was employed to introduce birds to trials in which there was no access to food, but the houselight remained illuminated, in order to prepare birds for Transfer Testing in which stimuli were neither rewarded or punished. Discrimination 85 training continued until birds completed two 312-trial blocks with a DR \ge 0.80.

298 **Transfer Testing.** During Transfer Testing, the stimuli and reinforcement contingencies 299 from Discrimination 85 were maintained and 130 additional stimuli were included as stimuli. 300 These stimuli were heard during Pretraining, but not Discrimination training. Testing stimuli 301 consisted of additional NSWO and GHOW calls recorded at short distances (5 stimuli per 302 species; 10 stimuli total); NSWO calls and GHOW calls recorded at six distances (i.e., 25m, 50m, 75m, 100m, 150m, 200m; four stimuli per type and distance; 72 stimuli total); and 303 304 synthetic high- and low-threat stimuli replicating all six distances (four stimuli per type and 305 distance; 48 stimuli total).

306 Due to the number of testing stimuli, we created four rounds of Transfer Testing. First, 307 birds completed at least three 322-trial blocks that included 10 additional NSWO and GHOW 308 recorded at short distances testing stimuli (i.e., 5 additional stimuli recorded at short distances 309 per species). Next, birds completed at least three 342-blocks of Transfer testing rounds 2, 3, 4, 310 and 5, in a random order; these testing rounds included 30 additional testing stimuli comprised of 311 a random assortment of NSWO and GHOW recorded at 25m, 50m, 75m, 100m, 150m, 200m 312 (four stimuli per type and distance), and high-and low-threat synthetic stimuli replicating all six 313 distances (four stimuli per type and distance). During Transfer Testing, the stimuli from 314 Discrimination 85 training were presented thirteen times each, randomly-selected without 315 replacement and the testing stimuli were each presented once during the 322- or 342-trial block 316 (round 1 and 2-5, respectively).

Birds completed a minimum of three blocks for each round of Transfer Testing and these
were included in the analysis. Between each round of Transfer, birds completed two 312-trial

blocks of Discrimination 85 with a DR ≥ 0.80. Following the final round of Transfer Testing,
birds were returned to the colony room.

Responses to testing stimuli resulted in a 30-s intertrial interval with the houselight on, but no access to food; we did not differentially reinforce or punish testing stimuli, and only presented each testing stimulus once each per trial block, so subjects did not learn specific contingencies associated with responding to these testing stimuli.

325 **Response Measures.** For each stimulus exemplar, a proportion response was calculated 326 by the following formula: R+/(N-I), where R+ is the number of trials in which the bird went to 327 the feeder after the stimulus, N is the total number of trials during which that stimulus was 328 presented, and I is the number of interrupted trials in which the bird left the perch before the 329 entire stimulus played. For Discrimination and Discrimination 85 training, we calculated a 330 discrimination ratio (DR), by dividing the mean proportion response to all rewarded stimuli by 331 the mean proportion response to rewarded stimuli plus the mean proportion response to 332 unrewarded stimuli. A DR of 0.50 indicates equal responding to rewarded and unrewarded 333 stimuli, whereas a DR of 1.00 indicates perfect discrimination. For Transfer Testing, we scaled 334 the proportion of response for each subject by rescaling the highest proportion of the response to 335 a test stimulus to 1.0 and rescaling the proportion of response to all other stimuli as a ratio of the 336 highest proportion of response.

337 Statistical Analyses. We conducted independent-samples *t*-tests on the number of trials
338 to criterion for the True and Pseudo category groups during Discrimination training. To
339 investigate responding to stimuli during Transfer Testing, we split stimuli into: natural stimuli
340 and synthetic stimuli. First, we conducted a three-way repeated measures ANOVA for the True
341 group with Condition (High Threat S+, Low Threat S+) × Stimulus Species (NSWO, GHOW) ×

342 Stimulus Distance (short distance, 25m, 50m, 75m, 100m, 150m, 200m) as fixed factors and the 343 scaled proportion of responding to natural stimuli during Transfer Testing as the dependent 344 variable; additional stimuli recorded at short distances were included with the distant stimuli to 345 directly compare all natural stimuli. Second, we conducted a three-way repeated measures 346 ANOVA for the True group with Condition (High Threat S+, Low Threat S+) \times Stimulus 347 Species (NSWO, GHOW) × Stimulus Distance (25m, 50m, 75m, 100m, 150m, 200m) as fixed 348 factors and the scaled proportion of responding to synthetic stimuli during Transfer Testing as 349 the dependent variable. Where applicable, significant analyses were followed by independent 350 samples *t*-tests on responding to stimulus type across distance to determine which stimuli birds 351 demonstrated transfer of training (i.e., to which stimuli birds responded).

352 **Ethical Note.** Throughout the experiment, birds remained in the testing apparatus to 353 minimize the transport and handling of each bird and reduce stress. Following the experiment, 354 birds were returned to the colony room for use in future experiments. With the exception of five 355 birds that died of natural causes, birds remained healthy during the experiment. All procedures 356 were conducted in accordance with the Canadian Council on Animal Care (CCAC) Guidelines 357 and Policies with approval from the Animal Care and Use Committee for Biosciences for the 358 University of Alberta (AUP 108), which is consistent with the Animal Care Committee 359 Guidelines for the Use of Animals in Research. Birds were captured and research was conducted under an Environment Canada Canadian Wildlife Service Scientific permit (#13-AB-SC004), 360 361 Alberta Fish and Wildlife Capture and Research permits (#56076 and #56077), and City of Edmonton Parks Permit. 362

363

Results

364 Trials to Criterion

365	To determine whether birds in the two True category groups differed in their speed of
366	acquisition, we conducted an independent-samples <i>t</i> -test on the number of 312-trial blocks to
367	reach criterion for the two True category conditions (High Threat S+: X +SEM = 143.000+8.881,
368	$N = 6$; Low Threat S+: X+SEM = 132.167+9.141, $N = 6$). There was no significant difference, t_{10}
369	= 0.850, <i>p</i> = 0.415, <i>d</i> = .0538, 95% Confidence Interval [CIs] = -17.564, 39.230.
370	To determine whether birds in the two Pseudo category groups differed in their speed of
371	acquisition, we conducted an independent-samples <i>t</i> -test on the number of 312-trial blocks to
372	reach criterion for the two Pseudo category conditions (Pseudo 1 Group: X +SEM =
373	502.667+167.185, <i>N</i> = 3; Pseudo 2 Group: <i>X</i> +SEM = 513.500+133.878, <i>N</i> = 4). There was no
374	significant difference, <i>t</i> ₅ = -0.039, <i>p</i> = 0.970, <i>d</i> = .025, 95% CIs = -723.075, 701.409.
375	To compare the acquisition performance of the True and Pseudo category groups and to
376	determine if the True group learned to categorize in fewer trials than the Pseudo group, we
377	conducted an independent-samples <i>t</i> -test on the number of 312-trial blocks to reach criterion for
378	the True category and Pseudo category groups. Due to a violation of Levene's test, we used the
379	<i>p</i> -value that did not assume homogeneity of variance; there was a significant difference between
380	the groups ($t_{6.030} = -2.962$, $p = 0.025$, $d = -2.412$, 95% CIs = -677.612, -64.935) in that True birds
381	learned to discriminate significantly faster than Pseudo birds. Thus, this suggests that NSWO vs.
382	GHOW calls are both perceptual and biologically-relevant categories according to our subjects.
383	Analysis of Transfer Stimuli
384	Natural stimuli. To determine if the pattern of learning was the same across calls from
385	testing species in Transfer Testing, we conducted a three-way repeated measures ANOVA for

387 GHOW) × Stimulus Distance (short distance, 25m, 50m, 75m, 100m, 150m, 200m) as fixed

the True group with Condition (High Threat S+, Low Threat S+) × Stimulus Species (NSWO,

factors and the proportion of responding during Transfer Testing as the dependent variable. 388 Using a Huynh-Feldt correction, there was a significant three-way interaction of Condition \times 389 Stimulus Species × Stimulus Distance ($F_{1,1,6} = 9.293$, p < 0.001, $\eta^2 = .650$), indicating that there 390 391 was differential responding to stimulus species according to condition across all seven stimulus distances. The interaction of Condition × Stimulus Species ($F_{1,6} = 36.109$, p = 0.002, $\eta^2 = .878$), 392 393 and the two-way interaction of Stimulus Species \times Stimulus Distance were also significant ($F_{1,6}$ = 4.779, p = 0.002, $\eta^2 = .489$), indicating that there was a significant difference in responding to 394 Stimulus Species based on the Condition, and Stimulus Distance based on Stimulus Species. The 395 Stimulus Species main effect was significant ($F_1 = 62.038$, p = 0.001, $\eta^2 = .925$); however, the 396 397 two-way interaction of Condition \times Species Distance and the main effects of Condition and 398 Stimulus Distance were non-significant (all ps > 0.148). To further investigate the three-way 399 interaction, we conducted independent samples and paired-samples t-tests; see Table 3 and 4 for 400 these statistical results, respectively. These results indicate that black-capped chickadees in both 401 groups were able to transfer training to reward-contingency stimuli recorded at short distances, 402 and that the Low Threat S+ subgroup responded significantly more to GHOW stimuli compared 403 to the High Threat S+ subgroup across all seven distances. In contrast, the High Threat S+ group 404 responded significantly more to stimuli recorded at short distances compared to stimuli recorded at 150m; see Figure 2. This suggests that there is a perceptual difference from the subjects' 405 406 perspective, which is likely due to the physical difference between signals (i.e., a result of signal

407 degradation).

408 Synthetic stimuli. To determine if the pattern of learning was the same across calls from 409 testing species in Transfer Testing, we conducted a three-way repeated measures ANOVA for 410 the True group with Condition (High Threat S+, Low Threat S+) × Stimulus Type (synthetic

411 high-threat, synthetic low-threat) × Stimulus Distance (short distance, 25m, 50m, 75m, 100m, 150m, 200m) as fixed factors and the proportion of responding during Transfer Testing as the 412 413 dependent variable. Using a Huynh-Feldt correction, there was a significant three-way 414 interaction of Condition × Stimulus Type × Stimulus Distance ($F_{1,1,5} = 4.420$, p = 0.005, $\eta^2 =$ 415 .469), indicating that there was differential responding to stimulus species according to condition 416 across all seven stimulus distances. The two-way interaction of Condition \times Stimulus Type (F_{1,5}) = 37.465, p = 0.002, $\eta^2 = .882$), and the two-way interaction of Condition × Stimulus Distance 417 were also significant ($F_{1,5} = 2.751$, p = 0.044, $\eta^2 = .355$), indicating that there was a significant 418 419 difference in responding to Stimulus Type on the Condition and Stimulus Distance based on 420 Condition. However, the two-way interaction of Stimulus Type × Stimulus Distance and the 421 main effects of Condition, Stimulus Type, and Stimulus Distance were non-significant (all ps >422 0.245). To further investigate the three-way interaction, we conducted independent samples and 423 paired-samples *t*-tests; see Table 5 and 6 for these statistical results. These results indicate that 424 black-capped chickadees in the High Threat S+ group were able to transfer training to stimuli 425 recorded at 25m and 50m, and responded significantly more to stimuli recorded at 25m 426 compared to stimuli recorded at 100m. However, unlike responding to the natural stimuli, the 427 Low Threat S+ subgroup did not respond significantly more to low-threat stimuli compared to 428 the High Threat S+ subgroup across distances; see Figure 3. These results demonstrate that chickadees in the High Threat S+ group performed similarly to natural and synthetic stimuli, 429 430 suggesting that chickadees trained to recognize and respond to highly threatening stimuli were capable due to the acoustic similarities. 431

432

Discussion

In the current study, we confirmed that black-capped chickadees treat acoustically-433 434 distinct high-threat northern saw-whet owl (NSWO) and low-threat great horned owl (GHOW) 435 calls as belonging to two separate perceptual categories. True group birds learned to discriminate 436 stimuli in fewer trials compared to Pseudo group birds, suggesting that birds in the True group 437 treated stimuli produced by two different species across multiple individuals as belonging to two 438 perceptual categories leading to significantly faster task acquisition in the True group compared 439 to the Pseudo group. Following training, chickadees were tested with NSWO and GHOW calls 440 that were re-recorded across six distances, and synthetic high-and low-threat tones, to investigate 441 the perception of threat and the effect of signal degradation on these two stimulus categories.

442 Natural Stimuli: Short Distance

443 Northern saw-whet and great horned owls have been reported to be on opposite ends of the threat spectrum for black-capped chickadees as high- and low-threat, respectively 444 445 (Templeton et al. 2005). In addition, their calls have previously been used as acoustic stimuli to 446 produce significantly different levels of immediate early gene expression in the black-capped 447 chickadee auditory system (Avey et al. 2011). To ensure that the original stimuli were in fact treated as distinct perceptual categories, we tested True groups with additional NSWO and 448 449 GHOW stimuli recorded at short distances. We found that both the High Threat S+ and Low 450 Threat S+ subgroups responded appropriately to testing stimuli (i.e., NSWO and GHOW calls, 451 respectively). Thus, this provides further support for True group category learning compared to 452 the Pseudo group, and demonstrates that both True subgroups demonstrated transfer of training 453 to stimuli recorded at short distances that were previously non-differentially reinforced but of the 454 rewarded category.

455 Natural Stimuli: Distant

456 We then tested birds with NSWO and GHOW calls that were rebroadcast and re-recorded 457 at multiple distances. We predicted that chickadees would perceive and respond to high-threat 458 NSWO calls at further distances compared to low-threat GHOW calls as the ability to perceive 459 predators that pose a higher threat from farther distances would assist in survival and thus, is 460 likely to be naturally selected through evolution. However, we found that only the chickadees in 461 the Low Threat S+ subgroup responded consistently to GHOW stimuli across all distances, 462 whereas the High Threat S+ subgroup appeared to decrease responding to high-threat NSWO 463 stimuli as distance increased (i.e., stimuli recorded at short distances vs. 150m). 464 In light of these results, we propose that high-threat owls may be of lower salience when heard from further distances as chickadees do not perceive predators at such distances to 465 466 continue to be of high-threat. Perhaps, if a small, high-threat predator is at a far enough distance, 467 these predators are no longer considered to be an imminent danger, and if the signal is not perceived as high-threat, possibly explaining why chickadees ceased responding to high-threat 468 469 testing stimuli recorded at far distances; in contrast, perhaps low-threat predators are considered 470 low-threat, regardless of distance.

A second hypothesis is that there may be an issue with transmission of high-threat 471 472 NSWO signals over distance, as signal degradation may be a cause for the error in perception. It 473 is likely that our higher-frequency, high-threat NSWO calls may be more affected by signal 474 degradation than low-frequency, low-threat GHOW calls (see Figure 4). Upon transmission, the 475 high-frequency notes contained in the black-capped chickadee chick-a-dee calls (i.e., A, B, C notes) attenuated most in dense coniferous forests compared to deciduous and mixed forests 476 477 (Proppe et al. 2010). This is further supported by the analyses conducted on our stimuli set in 478 which there were statistical differences between the original, short distance NSWO stimuli and

479 the stimuli at each distance; this was not true of GHOW stimuli (see Table 2). Yip et al. (2017) 480 also demonstrated that sound attenuation appears to be frequency-dependent in that high-481 frequency songbird vocalizations had a lower effective detection radius compared to lower-482 frequency owl vocalizations, and that high-frequency vocalizations attenuated more when played 483 back in the forest compared to roadside playback. Considering that our stimuli were re-recorded 484 at multiple distances throughout the boreal forest, which is primarily coniferous, this could 485 explain our results, suggesting a strong influence of signal degradation on high-frequency, high-486 threat NSWO calls compared to low-frequency, low-threat GHOW calls. In general, call 487 propagation rates can vary depending on the species due to acoustic traits like call frequency and 488 structure (i.e., NSWO could attenuate more quickly than GHOW) and signals will degrade at 489 differing rates depending on those acoustic traits over distance (i.e., due to reverberation, 490 refraction, and absorption; Yip et al., 2017). Thus, we propose that the degradation impacts 491 signal perception by impairing category perception.

492 Nonetheless, we recommend further investigation to examine this lack of responding to 493 originally high-threat NSWO calls at further distances, perhaps by including stimuli that have 494 similar acoustic characteristics to high threat calls, yet are of low threat, we can tease apart if 495 responding is based on the threat posed by the vocalizations or the effect of signal degradation 496 across distance. However, large animals typically produce vocalizations with lower frequencies 497 compared to small predators (e.g., Martin et al. 2011) so finding a stimulus that would satisfy 498 both higher-frequency and low-threat characteristics might be difficult. Conversely, NSWOs and GHOWs pose varying threat levels to chickadees, but not to humans (*Homo sapiens*). Yip et al. 499 500 (2017) had human observers determine at what distances high-frequency songbird and lower-501 frequency owl vocalizations were detectable and found that the results for NSWO and GHOW

calls to be comparable in that both stimuli were detectable or not detectable, dependent on the
observer. We propose that an extension of the current experiment could be completed as a
comparative go/no-go task with human participants to further investigate if lack of responding to
NSWO stimuli is based on threat perception or signal degradation.

506 Synthetic Stimuli

507 In the current study, synthetic stimuli were created to sound like high-threat NSWO and 508 low-threat GHOW calls. We predicted chickadees would respond to synthetic high-threat and 509 low-threat tones similarly to the natural predator calls. Chickadees in the High Threat S+ and 510 Low Threat S+ subgroups did in fact respond appropriately to high-threat and low-threat 511 synthetic tones, respectively, but did so at lower and typically non-significant levels. The finding 512 that our subjects responded to synthetic stimuli similarly, although at a lower level, to the 513 original calls is important as it suggests that our synthetic signals contain some acoustic features 514 of these owl calls that are related to the concept of high- and low-threat, but that the birds can 515 still perceive them as different from owl calls. The most pivotal result is that the High Threat S+ 516 group outperformed the Low Threat S+ group at responding to appropriate stimuli across 517 distance, which suggests a biologically-relevant finding, critical for survival: Chickadees 518 recognized and responded similarly to stimuli that mimicked a natural, high-threat predator's 519 call.

520 Conclusions

521 Overall, this experiment provides insights into songbird perception of predator threat, and 522 how that perception is affected by distance and signal degradation. Our findings do not support 523 our prediction that black-capped chickadees would continue to discriminate high-threat signals at 524 further distances compared to low-threat signals. Again, we propose that chickadees in the High 525 Threat S+ subgroup responded in this way as they were discriminating threat not species as at 526 further distances small predators may no longer pose a high threat. This would explain the 527 difference between the High Threat S+ and Low Threat S+ results. Future studies are necessary 528 to parse threat perception from the effects of signal degradation, including stimuli of high-threat 529 and low-frequency and/or comparative trials with humans. Synthetic tones that were created to 530 match frequency and duration of NSWO and GHOW stimuli have demonstrated that chickadees 531 will respond to tones similarly compared to natural predator calls. If chickadees had 532 inappropriately responded to synthetic stimuli that were acoustically similar to high-threat 533 predator calls, then the species may not survive. In general, an inability to discriminate between 534 biologically-relevant and biologically-irrelevant acoustic stimuli may result in a loss of 535 opportunities to feed or mate due to antipredator behaviours, or could instead result in 536 habituation to the incorrect signals (i.e., not producing antipredator behaviours in the presence of 537 a high-threat predator). Thus, this ability to perceive and respond to threat appropriately was 538 likely selected for. In total, the natural and synthetic stimuli used in the current experiment 539 provides many insights into the threat perception of songbirds, including the effects of distance 540 and signal degradation, and the perceptual similarities between natural and synthetic stimuli 541 542 543 544 545 546 547

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571 Table 1.

- 572 Average signal-to-noise ratio of all great horned owl (GHOW) and northern saw-whet owl
- 573 (NSWO) calls at each distance of the original and rebroadcast stimuli, calculated using Audacity
- 574 2.4.2. (The Audacity Team, Carnegie Mellon University, PA, USA).

Distance	GHOW	NSWO
Short	35.920	31.46
25m	26.075	13.835
50m	20.930	13.288
75m	19.943	13.135
100m	18.920	12.555
150m	15.988	12.030
200m	13.703	4.800

599 Table 2.

600 Results of the paired samples *t*-tests comparing signal-to-noise ratios of the original, short

601 distance stimuli to the rebroadcast stimuli of the six additional distances. Bonferroni corrections 602 have been applied (p = .05/6 tests = .0083).

Paired Samples t-test Comparison	GHOW t-value (df=3) & p-value	NSWO t-value (df=3) & p-value
Short v. 25m	2.183, .117	8.063, .004
Short v. 50m	2.408, .095	8.314, .004
Short v. 75m	2.636, .078	7.104, .006
Short v. 100m	2.775, .069	8.224, .004
Short v. 150m	2.854, .065	6.432, .008
Short v. 200m	2.885, .063	10.452, .002

Bold font indicates significance.

631 Table 3.

632 Results of the independent samples *t*-test comparing subjects' responding in High Threat S+ vs.

633 Low Threat S+ groups to each natural stimulus across distance during Transfer Testing, with

- 634 Bonferroni corrections (p = 0.05/14 = 0.0035).
- 635 Note: Negative *t*-values indicate that Low Threat S+ responded more than High Threat S+.

	t-test	p-value	Cohen's d	Confidence Intervals
High Thr	reat S+ Group	vs. Low Threa	at S+ Group (a	df = 10)
NSWO stimuli				
Short	4.086	0.002	2.584	0.207, 0.704
25m	0.585	0.571	0.370	-0.253, 0.434
50m	1.065	0.312	0.674	-0.159, 0.451
75m	1.343	0.209	0.849	-0.119, 0.480
100m	-3.850	0.003	-2.435	-0.614, -0.164
150m	-1.883	0.089	-1.191	-0.546, 0.046
200m	-3.341	0.007	-2.113	-0.625, -0.125
GHOW stimuli				
Short	-8.910	<.001	-5.635	-0.799, -0.479
25m	-6.975	<.001	-4.411	-0.852, -0.440
50m	-11.859	<.001	-7.500	-0.924, -0.632
75m	-8.470	<.001	-5.357	-0.833, -0.486
100m	-5.057	<.001	-3.198	-0.680, -0.264
150m	-9.502	<.001	-6.010	-0.832, -0.516
200m	-6.750	<.001	-4.269	-0.859, -0.433

Bold font indicates significance.

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Table 4.

642 Results of the paired-samples *t*-tests comparing subjects' responding in High Threat S+ and Low

643 Threat S+ groups to each natural stimulus between natural NSWO and GHOW stimuli recorded

644 at short distances vs. distant stimuli during Transfer Testing, with Bonferroni corrections (p =

0.05/6 = 0.0083).

	t-test	p-value	Cohen's d	Confidence Intervals
High Threat $S+(df=5)$				
NSWO Short v. 25m	4.101	0.009	0.698	0.064, 0.278
NSWO Short v. 50m	1.481	0.199	0.794	-0.136, 0.505
NSWO Short v. 75m	1.370	0.229	0.649	-0.138, 0.452
NSWO Short v. 100m	3.751	0.013	2.550	0.172, 0.920
NSWO Short v. 150m	4.735	0.005	2.731	0.246, 0.831
NSWO Short v. 200m	3.954	0.011	2.865	0.210, 0.992
Low Threat $S+(df = 5)$				
GHOW Short v. 25m	-1.220	0.277	-0.776	-0.604, 0.215
GHOW Short v. 50m	-1.085	0.327	-0.629	-0.421, 0.171
GHOW Short v. 75m	-1.765	0.138	-0.649	-0.290, 0.054
GHOW Short v. 100m	-3.630	0.015	-2.008	-0.510, -0.087
GHOW Short v. 150m	-1.520	0.189	-0.736	-0.449, 0.115
GHOW Short v. 200m	-3.287	0.022	-2.143	-0.408, -0.050

Bold font indicates significance.

656 Table 5.

657 Results of the independent samples *t*-test comparing subjects' responding in High Threat S+ vs.

658 Low Threat S+ groups to synthetic stimuli across distance during Transfer Testing, with

659 Bonferroni corrections (p = 0.05/10 = 0.005).

660 Note: Negative *t*-values indicate that Low Threat S+ responded more than High Threat S+.

	t-test	p-value	Cohen's d	Confidence Intervals
High Thr	eat S+ Group v	s. Low Threa	t S+ Group (<i>d</i>	df = 10)
High-threat synthetic stin	nuli			
25m	6.864	<.001	4.341	0.352, 0.690
50m	3.607	0.005	2.281	0.111, 0.472
75m	2.573	0.038	1.627	0.036, 0.505
100m	0.532	0.607	0.336	-0.177, 0.288
150m	-0.425	0.680	-0.269	-0.347, 0.236
200m	0.863	0.409	0.546	-0.088, 0.199
Low-threat synthetic stimuli				
25m	-2.105	0.079	-1.331	-0.584, 0.043
50m	-1.119	0.289	-0.708	-0.374, 0.124
75m	-2.945	0.015	-1.863	-0.354, -0.049
100m	-3.195	0.010	-2.021	-0.460, -0.082
150m	-1.633	0.134	-1.033	-0.476, 0.073
200m	-1.593	0.142	-1.008	-0.450, 0.075

661 **Bold** font indicates significance.

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665 **Table 6.**

666 Results of the paired-samples *t*-tests comparing subjects' responding in High Threat S+ and Low

667 Threat S+ groups to each natural stimulus between synthetic high-threat and low-threat stimuli

recorded at short distances vs. distant stimuli during Transfer Testing, with Bonferroni

669 corrections (p = 0.05/5 = 0.01).

	t-test	p-value	Cohen's d	Confidence Intervals
High Threat $S+(df=5)$				
high-threat 25 v. 50m	3.038	0.029	1.073	0.026, 0.308
high-threat 25 v. 75m	2.236	0.076	0.879	-0.025, 0.358
high-threat 25 v. 100m	9.400	<0.001	2.017	0.237, 0.416
high-threat 25 v. 150m	3.528	0.017	1.949	0.107, 0.684
high-threat 25 v. 200m	3.883	0.012	2.669	0.131, 0.646
Low Threat $S+(df = 5)$				
low-threat 25 v. 50m	-1.000	0.363	-0.553	-0.223, 0.098
low-threat 25 v. 75m	-2.236	0.076	-0.690	-0.179, 0.012
low-threat 25 v. 100m	-1.685	0.153	-0.900	-0.351, 0.073
low-threat 25 v. 150m	-2.229	0.076	-1.092	-0.389, -0.028
low-threat 25 v. 200m	-3.051	0.028	-0.830	-0.141, -0.012

670 **Bold** font indicates significance.

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Figure 1. Sample sound spectrograms of high-threat northern saw-whet owl (NSWO) and lowthreat great horned owl (GHOW) calls, and synthetic high-threat and low-threat tones used as

677 acoustic stimuli with time (msec) on the *x*-axis and frequency (kHz) on the *y*-axis.

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681 *Figure 2*. Scaled proportion of responding to natural high-threat NSWO and low-threat GHOW 682 calls by black-capped chickadees in the High Threat S+ subgroup (\mathbf{A} ; n = 6) and Low Threat S+ 683 subgroup (\mathbf{B} ; n = 6) during the Transfer Testing phase \pm SEM across recording distances (short 684 distances/<25, 25, 50, 75, 100, 150, 200m).

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Figure 3. Scaled proportion of responding to synthetic high-threat and low-threat synthetic tones 689 by black-capped chickadees in the High Threat S+ subgroup (\mathbf{A} ; n = 6) and Low Threat S+ 690 subgroup (\mathbf{B} ; n = 6) during the Transfer Testing phase \pm SEM across recording distances (25, 50, 691 75, 100, 150, 200m).



Figure 4. Sample sound spectrograms of high-threat northern saw-whet owl (NSWO) and low threat great horned owl (GHOW) calls across distances (25m, 100m, and 200m), with time
 (msec) on the *x*-axis and frequency (kHz) on the *y*-axis, to demonstrate the effect of signal
 degradation on NSWO stimuli compared to GHOW stimuli.

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