Investigation of Causal Mutations in Livestock and Companion Animals Using Whole-Genome Sequencing (WGS) and Bioinformatics

by

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Abstract

In this thesis project, three studies were conducted, aimed at identifying the causal variants responsible for phenotypic/genotypic sex discordance in cattle, copper toxicosis in Dalmatian dogs, and low fertility/infertility in cattle. In all three studies, whole-genome sequencing (WGS) and bioinformatics were used to detect candidate causal mutations.

The first study involved samples flagged during a national genetic testing program in Ireland due to the inconsistencies in genotype-test assigned sex compared to farmer-reported phenotypic sex. In all, cows were initially classified as XY male genotypically. Re-testing confirmed this classification, leading to the hypothesis of Swyer syndrome in these cases. First described in humans, individuals with Swyer syndrome possess XY sex chromosomes but develop female reproductive organs. Study samples were characterized by WGS, and data was analyzed alongside fertile XX and XY control animals. Sex chromosome complement was established using WGS data and confirmed that the genotyped cows were XY. Short sequence read alignments with the reference genome were examined for known disorders of sex development (DSD) genes. In seven out of eight affected individuals, an absence of reads aligning to the SRY gene was observed, leading to a designation of SRY-negative and explaining the cause of the DSD. To identify candidate causal mutations for the one SRY-positive affected individual, two variant calling pipelines for SNPs/indels and structural variants (SVs) along with variant filtering and annotation analysis were used. While no candidate causal variants in known DSD genes were identified, a small number (125) of potential causative mutations elsewhere in the genome were found. Due to the lack of known roles established for the genes affected by these variants in sex determination and development, further refinement of this list is needed to pinpoint a true causative mutation, for example through the characterization of additional samples identified through ongoing genetic testing.

The second study sought to find the cause of an apparent autosomal recessive condition occurring in Dalmatian dogs and other breeds, termed canine copper toxicosis (CT). Characterized by excessive copper in the liver, the disease ultimately causes liver damage and if untreated, potentially death. Dalmatian samples (n = 10) identified through pedigree analysis as presumptive cases, carriers, and controls were characterized using WGS. Three samples from Bedlington Terriers were included, two believed to have CT. SNPs, indels, and SVs were identified in all samples and filtered using various criteria, considering pedigree information, predicted functional impact, and overlap with known CT genes. This approach ruled out the involvement of the ATP7B or COMMD1 genes, both associated with CT in humans and dogs. One of the affected Bedlington carriers was confirmed to be missing the COMMD1 gene. Regarding the disease's cause in Dalmatians, no strong candidate mutations could be proposed from the identified variants. Nevertheless, after applying additional filtering steps to the genotype scenario output, we identified 7 SNPs and 1 indel with predicted functional impacts on genes, alongside 3 indels overlapping with candidate genes. However, due to the limited knowledge of the gene's role in copper metabolism, refining this list to pinpoint a specific causal mutation remains challenging.

In the third study, we investigated 20 animals with suboptimal fertility using WGS to identify recessive lethal mutations potentially causing embryo loss. We identified SNPs and indels and applied various strategies to isolate sites with heterozygous genotypes in the sequenced animals, never observed in homozygous form in living cattle. Our approach leveraged WGS results from over 2,703 additional cattle collected through the 1000 Bull Genomes Project. Additional filtering based on predicted functional impact led to the identification of 391 candidate causal

SNPs and 3,527 candidate causal indels potentially linked to subfertility/infertility in beef cattle. The large number of variants reflects the challenge of distinguishing rare or false-positive variants from true recessive lethals. Further experiments are required to substantiate the true recessive lethality of any identified candidate mutations.

As a result of this work, updates have been made to the genotyping chip used in genetic evaluations in Ireland to improve sex assignment. Additionally, a follow-up long-read study has begun, using additional Dalmatian samples to enhance the characterization of genomes in affected, carrier, and control animals.

Keywords: Swyer syndrome, copper toxicosis, genetic variations, structural variants, subfertility/infertility, recessive lethal

Preface

This thesis is an original work by Nahid Bandi Kenari. Chapter 2 has been published as Berry, D. P., Herman, E. K., Carthy, T. R., Jennings, R., Bandi-Kenari, N., O'Connor, R. E., Mee, J.F., O'Donovan, J., Matthews, D., & Stothard, P. Characterization of eight cattle with Swyer syndrome by whole-genome sequencing. Animal Genetics, 2023 Apr;54(2):93-103. EKH executed both variant-calling pipelines developed for the fourth chapter using the dataset specific to this chapter. NBK ran and troubleshooted both workflows and analyzed the data. PS supervised data analysis and integration and edited the chapter.

The study in Chapter 3 was designed by PS and Georgina MacIntyre. EKH ran the two developed variant calling pipelines for SNPs/indels and SVs. NBK analyzed the data. PS and GM supervised data analysis and integration and edited the chapter. This study received ethical clearance from the University of Alberta Animal Care and Use Committee (AUP 2165) following Canadian Council on Animal Care (CCAC) guidelines. In the US, it was approved by the Colorado State University Institutional Animal Care and Use Committee (15-5632A and KP IACUC Initial 4138), adhering to Federal Regulations and Guidelines.

The study in Chapter 4 was designed by PS, Changxi Li, and John Basarab. NBK executed and troubleshooted both variant calling workflows developed by EKH, conducting data analysis. PS supervised data analysis and integration and edited the chapter. The study utilized samples collected from the beef cattle herd at the Lacombe Research and Development Centre (LRDC) under the RFI Methane project, supervised by PI JB. The collection followed the University of Alberta Animal Care and Use Protocol (AUP00001140), approved by the LRDC Animal Care Committee. Additionally, the study adhered to Canadian Council on Animal Care (CCAC) guidelines for the care and use of farm animals in research, education, and evaluation (CCAC, 2009).

Dedications

For my dear family, especially my beloved sister Nasrin and my wonderful husband Aaron who has always been there for me through thick and thin.

For the courageous Iranian women and men, who are risking their lives to stand up for

their freedom and against oppression and injustice.

For "Woman, Life, Freedom"

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Lastly, I would be remiss in not mentioning my family, especially my sister Nasrin Bandi, my husband Aaron James McCaw, and my in-laws. Their belief in me has kept my spirits and motivation high during this process.

Table of Contents

Abstract ii
Prefacev
Dedications
Acknowledgmentsviii
List of Tables xiii
List of Figures xiv
List of Abbreviations xv
CHAPTER 1. General introduction
1.1. The importance of studying genes and genetic variants impacting traits
1.2. Approaches for identifying causative variants 4
1.2.1. Genetic linkage mapping 4
1.2.2. Association studies
1.2.3. Whole-genome sequencing (WGS) of a small number of cases and controls 10
1.3. Next-generation sequencing (NGS)
1.3.1. NGS-based whole-genome sequencing (WGS)
1.4. DNA variant identification and analysis
1.4.1. Types of genetic variations
1.4.2. Bioinformatic considerations and challenges 19
1.4.2.1. What is variant calling?
1.4.2.2. Variant annotation
1.4.2.3. Variant filtering
1.5. Molecular methods for conforming the causality of identified candidate mutations 25
1.6. Overarching research hypothesis and objectives
1.7. References
CHAPTER 2. Identification of candidate variants causing a disorders of sex development (DSD) called Swyer syndrome in cattle
2.1. Introduction and literature review
2.2. Outlines of the research objectives
2.3. Methods/experimental design and materials

2.3.1.	Animal samples for sequencing	48
2.3.2.	SNPs and indels identification and genotyping	49
2.3.3.	Structural variants (SVs) Identification and genotyping	51
2.3.4.	Sex chromosome assessment of study samples using sequence data	51
2.3.4.1.	X: Y coverage ratio	51
2.3.4.2.	X: autosome coverage ratio	51
2.3.4.3.	Y: autosome coverage ratio	52
2.3.5.	Detection of candidate causal variants from inspection of DSD gene alignments.	52
2.3.5.1.	Visualization of BAM files	52
2.3.6.	Identification of candidate causal variants by variant filtering	56
2.3.6.1.	Variant annotation	56
2.3.6.2.	Variant filtering for detecting causal variants for the <i>SRY</i> -positive XY female	56
(Swyer)	2)	.30
2.3.7.		51
2.4. Kes	Sample's say abromosome assessments	50
2.4.1.	Sample's sex chromosome assessments	50
2.4.2.	Variant filtaring for detecting accurate for the SDV negitive effected compl	01
2.4.3. (Swyer)	2)	.e 64
2.4.4.	Read depth plots	64
2.5. Dise	cussion/Conclusions	68
2.6. Ref	erences	74
CHAPTER 3	. The search for candidate genomic mutations associated with copper toxicosis in	
Dalmatian do	ogs	83
3.1. Intro	oduction and literature review	84
3.1.1.	Copper toxicosis (CT)	84
3.1.2.	Copper toxicosis in humans (Wilson's disease (WD))	87
3.1.3.	Copper toxicosis in dogs	89
3.1.4.	Using whole-genome sequencing in the search for copper toxicosis causes in	_
Dalmati	ans	91
3.2. Res	earch objectives	92
3.3. Met	hods/experimental design and materials	93

3.3.1.	Samples for sequencing	93
3.3.2.	Read mapping and genetic variant calling (SNPs, indels, or larger deletions)	94
3.3.3.	Structural variant calling	95
3.3.4.	Mapping rate and average genome coverage	96
3.3.5.	Verifying sample characteristics	96
3.3.6.	Identification of candidate causal variants by variant filtering	97
3.3.6.1.	Variant annotation	97
3.3.6.2.	Variant filtering	97
3.3.7. candida	Detection of candidate causal variants from inspection of copper toxicosis te gene alignments using IGV	. 100
3.4. Res	ults	. 100
3.4.1.	Sequencing, variant calling, and sample quality control	. 100
3.4.2.	Variant filtering	. 101
3.4.2.1.	Inspection of the candidate gene alignments in IGV	. 107
3.5. Dis	cussion/Conclusions	108
3.6. Ref	erences	. 111
CHAPTER 4	Detection of condidate recessive lethel mutations contributing to low fortility i	
	. Detection of candidate recessive remain mutations contributing to low returnly r	n
beef cattle us	ing whole-genome sequencing (WGS)	n . 123
beef cattle us 4.1. Intr	oduction and literature review	n . 123 . 124
beef cattle us4.1. Intr4.2. Res	oduction and literature review	n 123 124 128
beef cattle us4.1. Intr4.2. Res4.3. Met	oduction and literature review earch objectives	n . 123 . 124 . 128 . 128
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1.	A Detection of caludate recessive fethal inductions contributing to fow fertility f ing whole-genome sequencing (WGS) oduction and literature review earch objectives shods/experimental design and materials Sampling and whole-genome sequencing (WGS)	n . 123 . 124 . 128 . 128 . 128
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2.	 Detection of caldudate recessive retual inductions contributing to row retuinty reing whole-genome sequencing (WGS) oduction and literature review earch objectives chods/experimental design and materials Sampling and whole-genome sequencing (WGS) SNPs and indels identification and genotyping through variant calling 	n 123 124 128 128 128 128
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1.	 Detection of caldudate recessive retual inductions contributing to row retuinty reing whole-genome sequencing (WGS)	n 123 124 128 128 128 128 129
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1. 4.3.2.1.	 Detection of caldudate recessive rethat inductions contributing to row rethinty reing whole-genome sequencing (WGS)	n 123 124 128 128 128 129 129 130
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1. 4.3.2.1. 4.3.2.2.	 Detection of caldudate recessive retual inductions contributing to row retuinty reing whole-genome sequencing (WGS)	n 123 124 128 128 128 129 129 129 130
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1. 4.3.2.1. 4.3.2.2. 4.3.2.2. 4.3.3.	 betecholi of caldudate recessive fethal initiations controluting to low fertility fing whole-genome sequencing (WGS)	n 123 124 128 128 128 129 129 129 130 130
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1. 4.3.2.1. 4.3.2.2. 4.3.3. 4.3.4.	 betection of caldidate recessive rethat initiations contributing to low rethinty reining whole-genome sequencing (WGS)	n 123 124 128 128 128 129 129 129 130 130 131
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1. 4.3.2.1. 4.3.2.2. 4.3.3. 4.3.4. 4.3.5.	 A Detection of candidate recessive return initiations contributing to low returnly reining whole-genome sequencing (WGS)	n 123 124 128 128 128 128 129 129 129 130 130 131 131
beef cattle us 4.1. Intr 4.2. Res 4.3. Met 4.3.1. 4.3.2. 4.3.2.1. 4.3.2.1. 4.3.2.1. 4.3.2.2. 4.3.3. 4.3.4. 4.3.5. 4.4. Res	 Detection of candidate recessive return initiations contributing to low returnly reining whole-genome sequencing (WGS)	n 123 124 128 128 128 128 129 129 129 130 131 131 1s

4.6.	References	. 145
СНАРТ	ER 5. General conclusion	. 151
5.1	. Limitations	. 154
5.2	. Implications of work	156
5.3	. Future work	. 157
5.4	. References	. 158
Bibliog	caphy	. 160

List of Tables

Table 1.1. Types of functional impacts of annotated variants by SnpEff annotation tool
Table 2.1. Sequenced presumptive XY female (Swyer animal's) information. 49
Table 2.2. Information of genes previously reported to be associated with DSDs. 54
Table 2.2. Information of genes previously reported to be associated with DSDs (continued) 55
Table 2.3. Coverage ratios for genotypic sex assessment
Table 3.1. Details of sequenced animal samples 94
Table 3.2. List of candidate genes used for variant filtering. 99
Table 3.3. Average genome coverage and read mapping rates of the studied samples
Table 3.4. The number of SNPs, indels and SVs that passed downstream variant filtering 102
Table 3.5. Filtering by genotypes scenarios
Table 3.6. Details of Scenario1 variants that passed final filtrations
Table 4.1. Average genome coverage and read mapping rates of the studied samples
Table 4.2. The number of retained SNPs and indels after each step of filtering. 135
Table 4.3. Allele count (AC) and allele frequency (AF) of genotypes for each alternative allele(ALT) in the candidate causal SNPs.136
Table 4.4. Allele count (AC) and allele frequency (AF) of genotypes for each alternate allele (ALT)in the candidate causal indels.138

List of Figures

Figure 1.1. Investigation of a site of sequence variation in two samples through data visualization
Figure 1.2. Different types of SVs
Figure 1.3. A diagram of the WGS analysis steps
Figure 2.1. Violin plots of the sample's sex chromosome assessments using a sequenced dataset of eight Swyer animals and 21 control samples (10 females, labeled as XX; 11 males, labeled as XY)
Figure 2.2. Integrative genomics viewer (IGV) visualization of the <i>SRY</i> gene on the Y chromosome
Figure 2.3. Integrative genomics viewer (IGV) visualization of <i>ZFY</i> gene locus on the Y chromosome in control (XX and XY) and Swyer Individuals
Figure 2.4. Sliding-window coverage plots of the Y chromosome of the studied samples
Figure 2.5. The sliding-window plot of the right end of chromosome Y in all the samples including those that lack <i>SRY</i>
Figure 3.1. Copper metabolism in mammals
Figure 3.2. Pedigree of the Dalmatian families
Figure 3.3. The observed large deletion in the <i>COMMD1</i> gene in one of the Bedlington Terrier samples (BT-6)
Figure 4.1. Prevalence of the various ALT allele frequencies (AF) of the candidate causal SNPs
Figure 4.2. Prevalence of the various ALT allele frequencies (AF) of the candidate causal indels.

List of Abbreviations

BAM: Binary Alignment Map

CDS: Coding DNA sequence

CNVs: Copy number variations

DELs: Deletions

DSDs: Disorders or differences of sex development

DUPs: Duplications

FXR: Farnesoid X nuclear receptor

GATK: The Genome Analysis Toolkit

GWAS: Genome-wide association studies

GUI: Graphical user interface

HMG: High mobility group

INDELs: insertions/deletions

IGV: Integrative Genomics Viewer

INSs: Insertions

INVs: Inversions

MD: Menkes disease

MQ: Mapping Quality

NGS: Next-generation sequencing

QD: Quality by depth

SAM: Sequence Alignment Map

SNP: Single nucleotide polymorphism

SNV: Single nucleotide variant

SRY: Sex-determining region Y

SV: Structural variant

TDF: Testis-determining factor

TRAs: Translocations

VCF: Variant call format

VEP: Variant Effect Predictor

WD: Wilson disease

WGS: Whole-genome sequencing

WHW: West Highland White

Yp: Y chromosome short arm

CHAPTER 1. General Introduction

1.1. The Importance of Studying Genes and Genetic Variants Impacting Traits

Genetic variants are changes within the DNA sequence that comprise a gene. Formerly referred to as gene mutations, these types of genetic alterations are now more accurately described as "gene variants", because they do not always result in disease. Variants can affect one or several nucleotides and can be categorized depending on their characteristics, such as SNPs, indels (insertion-deletion), and structural variants (SVs) (Lek et al., 2016). Genetic diversity can originate from variants and from the rearrangement of genetic material that occurs during reproduction (recombination¹ and independent assortment²). Gene variants that affect how genes operate, or protein's function may lead to new characteristics in an organism. However, many other variants will not affect gene or protein function and are called "neutral variants." A significant focus of research efforts is distinguishing these neutral variants from those that influence gene function and phenotypes (Nachman & Crowell, 2000).

Gene variants can be categorized into two types: inherited and de novo. Inherited (or hereditary) variants are passed down from parent to offspring and can be found in all body cells. Such variants are inherited by offspring when the egg and sperm cells unite during fertilization. Consequently, in the case of inherited variants, the offspring's cells will carry alleles observed in the parent's DNA (Choi et al., 2009). On the other hand, de novo variants appear for the first time in the progeny and are not observed in the parent's genotype (although the mutation can occur in the parent). Such variants can arise through mutation in a germ cell or the fertilized egg during

¹. It is also known as crossing over, and it is the process in which DNA sequences are rearranged through a combination of chromosome or chromosome segment breakage, rejoining, and copying (Carroll, 2001).

². The law of independent assortment, proposed by Gregor Mendel, explains that during meiosis, the separation of gene variants for different traits is independent of each other. This leads to various combinations of these gene variants in gametes, contributing to genetic diversity (Gottlieb et al., 2023).

early embryogenesis. De novo variants can also occur at a later stage, such that they affect a small proportion of body cells in the individual and are not passed on to progeny. Such variants are called somatic variants. De novo mutations may arise due to environmental factors or errors in DNA replication during cell division (García-Nieto et al., 2019; Mohiuddin et al., 2022; Veltman & Brunner, 2012). When seeking to understand the genetic basis of a phenotype, particularly a newly observed or rarely observed phenotype, it can be essential to consider both inherited and de novo variants. For example, in livestock, de novo mutations have been identified as a cause of several severe dominant conditions such as glass-eyed albino (GEA), neurocristopathy (NC), osteogenesis imperfecta type 2 (OI), and bulldog calf syndrome (BD1, BD2, and BD3) (Bourneuf et al., 2017).

In some instances, genetic alterations that occur during growth give rise to a phenomenon known as mosaicism. Mosaicism refers to the situation where specific cells in the body possess a slightly different genetic makeup than others. The genetic modifications responsible for mosaicism occur later in life, from embryonic stages through adulthood, rather than in the parent's gametes or the zygote. During cell division, the cells originating from a cell that contains a genetic modification will also possess that variation. In contrast, the cells that come from a cell without the alteration will not have the variant. When some of the body's cells (somatic cells) contain a genetic variation while others do not, it is called "somatic mosaicism." The impact of somatic mosaicism on an individual's health can vary depending on the specific genetic variant and the percentage of cells affected by the variant. When some male or female gametes contain a genetic variation while others do not, this is known as "germline mosaicism." In cases of germline mosaicism, a parent who does not show symptoms of a genetic condition may still pass it on to their offspring, as the genetic variant is present in some of the parent's egg or sperm cells. Germline

mosaicism can explain the puzzling situation where a parent produces multiple offspring suffering from a dominant disorder, yet the parent does not exhibit the phenotype (Mohiuddin et al., 2022).

Most genetic variations do not significantly impact an individual's health, and those that do are relatively uncommon. However, some variations are prevalent enough in the population to be considered common genetic variations. They can account for differences in physical traits such as coat color, horned or polled, and ear shapes in livestock and coat color and pattern, tail length, and body size in dogs. While many of these common variations do not pose any harm to an individual's health, some may increase the likelihood of developing certain health conditions or disorders.

1.2. Approaches for Identifying Causative Variants

1.2.1. Genetic linkage mapping

Genetic linkage refers to the tendency of certain genes or genetic markers to be inherited together because they are located near each other on the same chromosome. Linkage mapping is a genetic method that uses the frequency of recombination between genetic markers to determine the relative location of genes on a chromosome (Liu, 2017). This method is an effective means of gene mapping since it identifies the chromosomal position of genes responsible for traits and aids in mapping quantitative trait loci (QTLs), regions on the genome linked with variations in a specific trait. This method is recognized as one of the earliest and most extensively employed approaches and has significantly contributed to identifying numerous genes that influence traits. This approach relies on the principle that DNA segments located in close proximity on a chromosome tend to be inherited together during the meiosis stage. This is due to their lower likelihood of being separated into different chromatids during chromosome crossing-over. In other words, different genes/loci alleles located closer together on a chromosome have a lower probability of recombining and a higher chance of being inherited together (Fay, 2006). In linkage mapping, the presence of a trait is related to the presence of DNA markers, with the aim of identifying a DNA marker that is tightly associated with the unknown causative marker or the causative variant itself.

1.2.1.1. Family-based linkage analysis

Linkage analysis looks for co-segregation of an allele and a phenotype through a pedigree and is often conducted within families and termed family-based linkage analysis (Cavalli-Sforza & Edwards, 1967; Lathrop et al., 1984). This approach applies data from families containing information on hereditary modes and the existence of the trait or disease in family members to detect areas of the genome potentially associated with the trait (Hill, 1974; Hill & Robertson, 1968). The application of linkage disequilibrium (LD) in family-based linkage analysis has been explored and debated in various influential studies (Kruglyak, 1999). Family-based linkage analysis is established by creating a population from a few recent ancestors, in which linkage disequilibrium (LD) is preserved over longer genetic distances within a family and through a few generations (Ben-Ari & Lavi, 2012).

In a research conducted by van de Sluis et al. (1999) using fluorescence in situ hybridization (FISH)¹, they localized the copper toxicosis-related locus in humans to the region of

¹. It is a laboratory method employed for the precise identification and mapping of a particular DNA sequence situated on a chromosome. In this procedure, the complete set of chromosomes from an individual is attached to a glass slide and subsequently exposed to a "probe," which is a small section of purified DNA labeled with a fluorescent dye. This technique allows for the visualization and localization of the target DNA sequence through fluorescence microscopy (Huber et al., 2018).

chromosome CFA10q26 on the canine chromosome (van de Sluis et al., 1999), which corresponds to the area on human chromosome HSA2p13-21. This localization enabled the exclusion of any candidate gene known to be the cause of the disease (Dagenais et al., 1999; Nanji & Cox, 1999; van de Sluis et al., 1999). Afterward, in further research by van de Sluis et al. in 2002, by narrowing down the positions of the copper toxicosis gene to a section of less than 500 kb on the chromosome using DNA samples from privately owned dogs and linkage disequilibrium mapping, it was discovered that the deletion of exon 2 of the copper metabolism domain containing 1 (*COMMD1*) gene (previously known as *MURR1*) is the primary cause of copper toxicosis in Bedlington Terriers (BT) (Forman et al., 2005; van De Sluis et al., 2002). In another genetic linkage study by Yuzbasiyan-Gurkan et al. (1997), it was found that there was a linkage between copper toxicosis disorder and a microsatellite marker (C04107) in Bedlington Terriers (Yuzbasiyan-Gurkan et al., 1997).

1.2.2. Association studies

Genetic association studies involve analyzing the correlations/associations between genetic variants/markers and a particular trait or disease by comparing the genotypes of affected individuals to those of unaffected individuals without the need for a known family history (Lewis & Knight, 2012; Litonjua & Celedón, 2006). Such studies rely on linkage disequilibrium (LD) across families, which refers to the association or connection between genetic variations where the alleles of neighboring genetic markers located on the same chromosome tend to occur together more frequently within a specific population than if they were not linked (Holloway & Prescott, 2017). LD across families or historical LD is crucial in association studies as it allows the identification of genetic markers that effectively represent or "tag" the true underlying causal

variants (Joiret et al., 2019). This relationship enables researchers to leverage the information from these linked markers to gain insights into the associations between specific genetic variants and traits or diseases of interest. Examining genetic variants in tight LD saves time, money, and computational resources as it reduces the number of variants that need to be investigated, streamlining the process efficiently (Motsinger et al., 2007).

In their most basic form, association studies investigate whether a specific genetic marker is found more frequently in individuals with a particular trait or disease than those without (Litonjua & Celedón, 2006). Genetic association studies aim to identify candidate genes or regions of the genome that may be related to a disease by examining the correlation between the disease state and gene variation (Lewis & Knight, 2012). Association studies can be carried out with linkage studies or on their own, either de novo with candidate genes or genome-wide association studies (GWAS) (Mason et al., 2015). A greater frequency of a single-nucleotide polymorphism (SNP) allele or genotype in a group of individuals with a disease can be taken as particular evidence that the variant being studied increases the chance of developing that disease (Lewis & Knight, 2012). In association studies, SNPs are the most commonly analyzed markers; however, markers such as microsatellites, insertions and deletions, VNTR¹s, and CNV²s are also used (Lewis & Knight, 2012). Association studies are essential for detecting genes that increase susceptibility to complex disorders. These disorders are considered "complex" because a combination of multiple genes and environmental factors influences their susceptibility rate. A considerable amount of research on genetic studies for numerous complex disorders verifies that

¹. Variable number of tandem repeats (VNTR) refers to a location, within DNA where a short sequence of nucleotides is arranged as a repeat, in tandem. These repeats can vary in length among individuals (Guilherme et al., 2011).

². CNV represents a category of genome structural changes. It involves a DNA segment whose copy number varies compared to a standard genome, with sizes ranging from 1 kilobase to multiple mega-bases (Stachowiak et al., 2016).

multiple genetic variations play a role in disease risk; however, each one has only a small impact (Lewis & Knight, 2012).

1.2.2.1. Genome-wide association studies (GWAS)

Genome-wide association studies (GWAS) are large-scale association studies that involve genotyping hundreds of thousands of single nucleotide polymorphisms (SNPs) across many sample genomes to identify genetic variants that are associated with a particular trait or disorder (Uffelmann et al., 2021). For example, it has been established that copper toxicosis can be linked to a genetic mutation in the COMMD1 gene (COMMD1 del/del); however, it has also been observed that Bedlington Terriers can develop copper toxicosis without this mutation (non-COMMD1^{del/del}) (Coronado et al., 2003; Haywood et al., 2001; Hyun et al., 2004; van De Sluis et al., 2002). Haywood et al. (2016) conducted a research project to discover any other genetic variations (besides COMMD1^{del/del}) that may be connected to copper toxicity in Bedlington terriers using a combination of genome-wide association studies followed by deep sequencing of the candidate area. Blood samples for DNA analysis and liver tissue for diagnosis were taken from 30 Bedlington terriers without the COMMD1 del/del mutation, including the same numbers of dogs affected by copper toxicity and healthy control dogs. The DNA was first analyzed using GWAS and then re-sequenced more thoroughly to locate the potential abnormal gene (Haywood et al., 2016). They have discovered a strong association between a specific region on chromosome 37 and copper toxicosis in Bedlington Terriers that do not have the COMMD1 del/del mutation. This region possesses multiple SNPs that are closely linked to the condition. Additionally, this chromosomal region harbors the ABCA12 gene, which functions similarly to the ATP-ase 7B (ATP7B) gene associated with Wilson's disease in humans (Haywood et al., 2016).

1.2.2.2. Candidate gene studies

Candidate gene association studies focus on analyzing the genetic variations linked to a particular disease or trait within a limited set of predetermined genes (Modena et al., 2019, p. 18). In other words, this approach involves studying specific genes hypothesized to be connected to a particular trait based on previous research. These studies usually adopt a case-control design, where individuals with the disease (cases) and those without it (controls) are identified, and their genetic differences are assessed, such as identifying variants like SNPs, haplotypes¹, indels, and CNVs that are more frequent in one group compared to the other. The findings of these studies are typically presented as odds ratios $(ORs)^2$ or relative risks $(RRs)^3$, depending on whether the research is structured as a case-control or cross-sectional study, respectively (Modena et al., 2019, p. 18). For example, the findings of an investigation by Dirksen et al. (2017) comprise both a targeted candidate gene approach and an analysis of the transcriptome (Dirksen, Spee, et al., 2017). Their study's outcomes were crucial in elucidating various facets of copper's involvement as an initiating factor of hepatitis in Dalmatian, introducing APP as a potential candidate gene for copper-associated chronic hepatitis, and providing insights into the molecular basis of the disease in Dalmatian dogs (Dirksen, Spee, et al., 2017).

¹. A haplotype is a collection of genes that are in close proximity to each other on a chromosome and are inherited together as a single biological unit (Fuchs, 2018).

². An odds ratio (OR) is a statistical measure used to assess the association between an exposure and an outcome in research or epidemiology. It quantifies the likelihood of an outcome happening when a specific exposure is present, relative to the likelihood of the outcome occurring when that exposure is absent. In other words, it helps us understand how the odds of an event or outcome change based on whether a particular exposure is present or not (Persoskie & Ferrer, 2017).

³. Relative risk (RR) is the proportion of the chances of an event occurring in the exposed group compared to those in the non-exposed group. RR is computed by dividing the risk of death or disease in a particular population subset (Group A) by the risk in all other groups combined (Persoskie & Ferrer, 2017).

1.2.3. Whole-genome sequencing (WGS) of a small number of cases and controls

Whole-genome sequencing of a small number of cases and controls can provide valuable insights into the genetic basis of a disease or condition. By comparing the genomes of individuals with the disease (cases) to those without the disease (controls), researchers can identify genetic variations that are more common in one group compared to the other. These variations may then be further studied to determine their potential role in causing or predisposing to the disease. WGS allows researchers to analyze an individual's entire genome, including all their genes and noncoding regions. This provides a more comprehensive view of genetic variations than other genetic analysis methods, such as genotyping or exome sequencing, which only analyze specific genome regions (Q. Wang et al., 2015). In a study, Holopainen et al. (2017) combined SNP-based homozygosity mapping of two Dalmatian dogs affected with acute respiratory distress syndrome (ARDS) with whole-genome sequencing (WGS) of an additional affected dog to uncover the underlying genetic mechanism responsible for ARDS in Dalmatians. They identified a casespecific homozygous nonsense¹ variant, c.31C>T; p.R11*, in the ANLN gene that was unique to the affected individuals. Their research sample consisted of eleven Dalmatians, including two affected siblings, one healthy carrier, one healthy sibling, one healthy grandparent, and six other healthy dogs. All eleven dogs were subjected to genotyping using Illumina's CanineHD SNP arrav² (Holopainen et al., 2017).

¹. A nonsense mutation takes place when a codon originally encoding an amino acid is altered to a stop codon (Clark & Pazdernik, 2013).

². The Illumina CanineHD SNP Array is a high-density genotyping array specifically designed for studying the genetic makeup of dogs (canines). It is a microarray-based technology that allows researchers to analyze a large number of genetic markers across the genome of a dog. The CanineHD SNP Array offered by Illumina is used for various applications in canine genetics, including identifying genetic variants associated with specific traits, diseases, and behaviors in dogs (*CanineHD Whole-Genome Genotyping BeadChip*, n.d.).

1.3. Next-Generation Sequencing (NGS)

"Next-generation sequencing" (NGS) is a transformative high-throughput DNA sequencing technology widely used in research and diagnostics across human health, animal science (Xing et al., 2016), and veterinary studies. It has revolutionized various areas of biotechnology (Jiang et al., 2019; Oetting, 2012) and emerged as a leading tool in genomics and bioinformatics (Dunislawska et al., 2017; Gupta & Gupta, 2020). NGS has also significantly advanced molecular biology techniques and animal genetics, providing numerous benefits, including a comprehensive understanding of genetic information (Dunislawska et al., 2017).

NGS technology's emergence is rapidly transforming how researchers conduct genetic studies and the routine work of their studies. Unlike traditional sequencing techniques, NGS encompasses a range of high-throughput, large-scale, and parallel DNA sequencing methods. The term "NGS" does not refer to a single approach but rather a group of technologies that have emerged several decades after the development of the Sanger sequencing technique in 1977. Sanger sequencing was the primary sequencing method for nearly 30 years before the advent of NGS (Kishikawa et al., 2019; Kulski, 2016; Mardis, 2008; Sanger et al., 1992).

NGS encompasses a variety of innovative sequencing technologies such as sequencing-bysynthesis (Mardis & Wilson, 2009), sequencing-by-ligation, ion semiconductor sequencing, and single-molecule sequencing. However, it is worth mentioning that Illumina's sequencing-bysynthesis approach is currently the predominant technology utilized in genetics and related research fields (Kishikawa et al., 2019). Although different NGS platforms employ diverse sequencing techniques, they all provide much more sequence information than was previously attainable. Furthermore, using these sequencing platforms' unique features, such as extraordinary data processing ability, scalability, and fast data production, scientists and researchers can study biological systems to a degree of detail and precision that has never been imagined (Gupta & Gupta, 2020).

With the rapid development of NGS techniques and concurrent progress in bioinformatics software, programs, and methods, it is now possible for all research laboratories and groups with varying budget levels to generate remapped or even de novo assemblies of whole-genome sequences for any organism of interest (Gonzaga-Jauregui et al., 2012; Kulski, 2016; Lam et al., 2012; Pabinger et al., 2014). NGS technologies have been commercially available for nearly 15 years. During this period, there have been remarkable improvements in the speed, read length, and output size and significant decreases in the cost per base (Deng et al., 2016; Rantsiou et al., 2018; Van Dijk et al., 2014). Furthermore, following the first large-scale genetic variation research on the human genome, known as the "1000 Genomes Project" (1000 Genomes Project Consortium et al., 2010), other genomic studies on a larger scale have also been initiated. These include sequencing thousands of genomes, as seen in the Genome 10K Community of Scientists (2009) (Genome 10K Community of Scientists, 2009), and even millions of genomes, as in the case of the China National GeneBank Million Genomes Project (https://en.genomics.cn/).

Large-scale sequencing efforts have been conducted or are underway in livestock and dogs, including the 1000 Bull Genomes Project and the Canine Genome Sequencing Project. The 1000 Bull Genomes Project comprises complete genetic sequences obtained from 2,703 individuals, encompassing a substantial portion of global cattle diversity. The project has identified an extensive genetic diversity, including 84 million single-nucleotide polymorphisms (SNPs) and 2.5 million small insertion deletions within this dataset. This represents a remarkably high level of genetic variation captured by the project (Hayes & Daetwyler, 2019). The Dog10K project, also

known as the "10,000 Dog Genomes Project," is an initiative aimed at sequencing and analyzing the genomes of 10,000 canines from various breeds. The project aims to establish a comprehensive catalogue of genetic variation in dogs, studying both purebred and mixed-breed individuals. By examining the genetic diversity within the dog population, researchers hope to gain insights into the genetic basis of different traits, diseases, and behaviours in dogs. The Dog10K project can help further our understanding of canine evolution, domestication, and genetic factors underlying various canine traits and health conditions (Ostrander et al., 2019). These large-scale genomic research projects have significantly expanded our understanding of the relationships between genomic variations and traits of interest (Kilpinen & Barrett, 2013; Van Dijk et al., 2014).

Generally, after sequencing, bioinformatics tools are used to characterize an individual's DNA sequence reads by mapping them to a reference genome (Behjati & Tarpey, 2013). With these deep sequencing technologies, each of the billions of DNA bases in a genome is sequenced several times, providing high read depth and precise data that can reveal unexpected DNA variations (Behjati & Tarpey, 2013). The advantages of today's next-generation sequencing (NGS) technologies have transformed genomic studies and made whole-genome sequencing (WGS) a powerful means for this research area (Straiton et al., 2019). NGS has accelerated the discovery of genetic variants and the development of superior diagnostics, treatments, and breeds (Gupta & Gupta, 2020).

1.3.1. NGS-based whole-genome sequencing (WGS)

As a result of the advancements made in NGS technology, the feasibility and practicality of several previously complicated procedures have significantly increased. One of the most extensively used applications of NGS currently is whole-genome sequencing (WGS) (Mardis, 2008; Rantsiou et al., 2018; Ståhl & Lundeberg, 2012). WGS refers to the discovery of the arrangement of all nucleotides within an organism's entire DNA sequence in one procedure (Mardis, 2008; Rantsiou et al., 2018; Ståhl & Lundeberg, 2012).

An NGS-based assessment's utility arises from its ability to confidently find the differences between an individual's genome and a reference genome (Muzzey et al., 2015). Although this technique has been widely applied to human genome sequencing, the adaptable and scalable nature of NGS makes it equally advantageous for sequencing the genomes of other organisms, such as agriculturally important livestock, plants, or disease-causing microorganisms. The wide-ranging assessment of the entire genome provided by the WGS method is a crucial feature that makes it ideal for various detection purposes, such as identifying causative mutations of all types. Using the high-quality data generated by WGS can conceivably lead to the detection of all the genetic variations (P. Wu et al., 2019). The depth of sequencing is a crucial factor in the research design of WGS studies, as it determines the accuracy of variant calling and the cost of the study. Several studies have proposed optimal depths of sequencing that balance accuracy and cost (Ajay et al., 2011; Bentley et al., 2008; Fang et al., 2014; Jiang et al., 2019). According to Jiang et al. (2019) findings, a sequencing depth of 10X provides an optimal balance for practical purposes, ensuring sufficient coverage and reliable detection of variants. This depth allowed them to achieve over 99% genome coverage, ensuring accurate results and comprehensive variant discovery (Jiang et al., 2019). The recommended sequencing depths were determined by assessing the number of called variants and the level of agreement with reference datasets (Kishikawa et al., 2019).

1.4. DNA Variant Identification and Analysis

DNA variant identification refers to the process of detecting and analyzing genetic variations or mutations in an organism's genome. Identifying genetic variations is crucial to next-generation genome sequencing data analysis and whole-genome sequencing studies (Sathirapongsasuti et al., 2011). These DNA variations can result in trait differences, for example susceptibility to disease development. There are several steps involved in DNA variant identification and analysis. Some general steps include sequencing, read mapping, variant calling, genotype assignment, variant annotation, variant filtering, and validation. It is worth noting that the specific methods and tools used for DNA variant identification and analysis can vary depending on the research question, organism under study, available resources, and the latest advancements in genomics technologies. In other words, choosing the appropriate methodologies for genotype-calling, variant identification, and structural variation studies is directly linked to data management and research objectives (Sathirapongsasuti et al., 2011). Therefore, it is essential to design the study precisely since it fundamentally influences the analysis and experimental approaches (S. Y. Kim et al., 2010).

Bioinformatics is essential in managing large genomic data and is fundamental at every stage of the variant identification process (Muzzey et al., 2015). A key output of most variant detection and genotyping pipelines is a BAM file, which illustrates the relationships between the sequenced reads and a reference genome. BAM files can be interpreted and analyzed using automated approaches or examined manually to assess the genotype of a sample. For instance, a heterozygous SNP is identified when approximately half of the reads match the reference genome while the other half suggests the presence of a different (non-reference or alternate) allele. Using

a BAM visualization tool like IGV, SNPs can be detected as colored highlights in the read coverage section (see Figure 1.1) (Muzzey et al., 2015).



Figure 1.1. Investigation of a site of sequence variation in two samples through data visualization. Genomes from two samples aligned to a reference genomic region were visualized using Integrative Genomics Viewer (IGV) software (Thorvaldsdóttir et al., 2013). Differences between the aligned reads (gray horizontal bars) and the reference genome (sequence near the bottom of the figure) are shown using colored letters in the reads. Based on the visible sequence data plausible genotypes are T/T and T/C for the top and bottom samples, respectively.

1.4.1. Types of genetic variations

Genetic or DNA variants can be categorized in various ways based on their characteristics. Three commonly used categories of variants are single nucleotide polymorphisms (SNPs),

insertion-deletion polymorphisms (indels), and structural variants (SVs) (Modena et al., 2019).

Single nucleotide polymorphisms (SNPs) are genetic variations characterized by substituting a single nucleotide base. In humans, these variations occur at an approximate frequency of one in every 1000 nucleotides. This results in about 3 million common SNPs being inherited across generations (*A global reference for human genetic variation*, 2015; Fareed & Afzal, 2013; Gabriel et al., 2002). In Bos taurus, SNPs occur at an approximate frequency of one SNP per every 700 base pairs (bp) (B. H. Consortium et al., 2009). Meanwhile, dogs have accumulated over two million SNPs due to significant changes in their genetic makeup during their evolutionary process. These genetic markers play a crucial role in understanding genetic diversity within and across different breeds (D'Agaro et al., 2021). The combination of an individual's SNPs or alleles is often referred to as their genotype, however it should be noted that the other types of variants also contribute to genotype.

Insertion-deletion mutations (indels) are genetic variations that can either insert and/or delete one or more nucleotide base pairs, and they are often referred to as variants involving less than 50 bp (1 to 49 bp) (Auton et al., 2015; Ebert et al., 2021). Although there are fewer indels than SNPs in the human genome, they can still cause significant variation in terms of base pair changes (Mullaney et al., 2010).

Structural variants (SVs) are genetic changes that involve alterations in the structure of DNA molecules, typically defined as exceeding 50 base pairs (\geq 50 bp) (Alkan et al., 2011; Ebert et al., 2021; Sedlazeck et al., n.d.; Sudmant et al., 2015) to approximately 1 kb or sometimes even larger (Freeman et al., 2006; *Overview of Structural Variation*, n.d.). SVs can be classified into several categories based on their characteristics, including deletions (DELs), insertions (INSs), duplications (DUPs), inversions (INVs), and translocations (TRAs) (Abyzov et al., 2015) (Figure 1.2). DUPs can be further classified as tandem or interspersed types based on the distance between

the duplicated copies (Alkan et al., 2011; Stankiewicz & Lupski, 2010). These mutations involve various combinations of gains, losses, or rearrangements of DNA sequences (Alkan et al., 2011; Sedlazeck et al., n.d.; Sudmant et al., 2015). INVs and TRAs are considered balanced forms of structural variants, which means they do not result in any net change in the content of the genome (i.e., the amount of genetic material). In contrast, unbalanced SVs (DELs, DUPs, and INSs) lead to either a net loss or gain of genetic material relative to other individuals. For this reason, these types of SVs are also known as copy number variations (CNVs) because their presence leads to differences in the abundance of DNA segments between individuals (Carvalho & Lupski, 2016).



Figure 1.2. Different types of SVs. (Figure was adapted from Hall, 2021 (Hall, 2021) and was regenerated).

Studies have identified SVs as a potential cause of variation in various complex traits across different livestock breeds (L. Chen et al., 2021). For example, SVs have been associated with milk production and fertility in Nordic Red cattle (Kadri et al., 2014), nematode resistance in Angus cattle (Hou et al., 2012), coat texture or fur type in several dog breeds (Salmela et al., 2019), and abdominal fat content in chickens (Zhang et al., 2014). Furthermore, it has been demonstrated that SVs can negatively impact fertility in dairy cattle (Kadri et al., 2014). Also, multiple studies have highlighted the significant impact of SVs on functional changes within populations (Jeffares et al., 2017; Perry et al., 2007; Sudmant et al., 2015) and species (Kronenberg et al., 2018). Although SVs occur less frequently than SNPs, they can also have large impacts on cellular functions and gene expression (Chiang et al., 2017), which may affect traits of interest on a larger scale. SVs are commonly treated as distinct from small variants (such as SNPs and indels) as they require specific software tools for detection and are more challenging to detect reliably. As a result, there has been relatively less research on the importance of SVs compared to the vast number of studies on SNPs.

1.4.2. Bioinformatic considerations and challenges

Numerous analytical tools are available for scientists and researchers to conduct NGS analysis. However, integrating these techniques to obtain meaningful results can still be demanding and time-consuming, even for experienced researchers. Therefore, to facilitate this process, analytical workflows are practical alternatives that can analyze all stages, from raw data analysis to investigating a set of identified and annotated mutations. Regardless of whether an existing or custom workflow is used or if the analytical steps are performed separately, there are

several key steps in analyzing WGS data: variant calling, variant annotation, and variant filtering (Figure 1.3).



Figure 1.3. A diagram of the WGS analysis steps (Figure was adapted from Wolf et. al, (2015) (Wolf et al., 2015) and was regenerated).

1.4.2.1. What is variant calling?

The process of detecting genetic variants from raw sequenced data is known as "variant calling" (Muzzey et al., 2015). This process generally involves the following steps: 1) conducting whole-genome sequencing of the samples to produce FASTQ files, 2) aligning the read sequences
in the FASTQ files to a reference genome to generate alignment (BAM) files, and 3) identifying the positions where the aligned reads differ from the reference genome and storing those sites in a VCF (Variant Call Format) file (Muzzey et al., 2015).

Regardless of the type of sample and sequencing method used, the goal of variant calling is to accurately characterize the genotype. The extensive data produced by whole-genome sequencing has led to significant progress in bioinformatics techniques, aiming to accurately identify true variants while minimizing the occurrence of false positives and false negatives (Pabinger et al., 2014; Porterfield, 2015). Variant calling involves programmatically assessing the sequence reads aligned with the reference genome assembly to identify differences that suggest sequence variation. For short variants (SNPs and indels), mismatches between aligned reads, and the reference can reveal variants and be used to determine genotype, provided that read depth is sufficient. For SVs, various additional criteria are used, depending on the variant type. For example, unusually high or low read depth can signal the presence of CNVs. Other methods for identifying SVs include examining split reads (reads with portions aligned to distinct loci in the reference) and discordant read pairs (reads originating from the same physical fragment of DNA but mapping to distinct loci in the reference). Variant calling remains a challenge with short-read sequence data, especially for low-complexity or repetitive regions of the genome where it is difficult to align reliably.

1.4.2.2. Variant annotation

The importance of automatically predicting the functional effects of variants has increased due to the large volume of data generated by NGS platforms. Using computer programs to annotate variants enables study teams to filter and prioritize potential causal variants for further analysis. Bioinformatics programs use different approaches to annotate variants, many focusing on SNPs and indels, which are easily detectable and evaluated. Some programs, for example, VEP (McLaren et al., 2016), can annotate SNPs, indels, and SVs. Despite the potential role of SVs in influencing traits, their accurate functional annotation remains a significant challenge (Han et al., 2020). Variant annotation programs use multiple techniques, from simple sequence and location analysis to predicting the functional effects of variants on proteins. The results of this analysis usually assign a functional category to each variant. Examples include "nonsynonymous" for a variant that changes the translation of a coding sequence and "intronic" for a variant located within an intron and not expected to affect gene splicing. A standard set of terms for annotating variants is provided through the Sequence Ontology (Cunningham et al., 2015). Variants may also be classified into a few broader categories of functional impact, for example, HIGH, MODERATE, LOW, and MODIFIER in the case of the annotation program SnpEff (Cingolani, Platts, et al., 2012) (for more details, see the Table 1.1). Some tools have more detailed risk categories or scores that indicate the probability of a negative impact (Pabinger et al., 2014).

Impact	Description	Consequence examples
HIGH	The variant is presumed to exert a significant	Stop_gained ¹ ,
	disruptive effect on the protein, potentially leading to	Frameshift_variant ²
	protein truncation, loss of function, or activating a	
	mechanism known as nonsense mediated decay.	
MODERATE	A variant that is not disruptive but has the potential to	Missense_variant ³ ,
	alter the effectiveness of the protein.	Inframe_deletion ⁴
LOW	It is presumed to be mostly harmless or unlikely to	Synonymous_variant ⁵
	alter the behavior of the protein significantly.	
MODIFIER	Typically, these are non-coding variants or variants	Downstream_gene_variant ⁶
	that affect non-coding genes. Predicting their impact	
	is challenging, or there is insufficient evidence to	
	suggest any significant effect.	

Table 1.1. Types of functional impacts of annotated variants by SnpEff annotation tool

1. A sequence alteration in which at least one base within a codon is modified, causing the emergence of an early stop codon and ultimately resulting in a shortened polypeptide chain (*The MISO Sequence Ontology Browser*). 2. It is a genetic alteration brought about by the insertion or deletion of a specific number of nucleotides. This modification disrupts the reading frame, the way codons are grouped, leading to a profoundly different translation outcome compared to the original sequence (N. Li et al., 2011). 3. It refers to a point mutation where a single nucleotide alteration occurs within a codon, leading to the encoding of a different amino acid (Karch, 2016). 4. It occurs when the gene's reading frame remains intact and undisturbed, allowing to produce a partially truncated protein (King et al., 2014). 5. It alters the DNA sequence of a gene while keeping the amino acid sequence of the resulting protein unchanged (Dhindsa et al., 2022). 6. A sequence variation situated downstream (in the 3' direction) of a gene (Dhindsa et al., 2022).

Many computational variant annotation tools have been created that generate reports which can be used for subsequent rule-based filtering. SnpEff (Cingolani, Platts, et al., 2012), ANNOVAR (K. Wang et al., 2010), and Variant Effect Predictor (VEP) (McLaren et al., 2016) are popular variant annotation programs. Notably all three can be applied to a diverse range of genomes / species, including those of livestock species and of companion animals (of relevance to this thesis).

1.4.2.3. Variant filtering

NGS's large volume of data presents new challenges and opportunities, requiring innovative computational and theoretical approaches to identify causal variants for a disease/trait of interest. While workflows and associated software have greatly improved the processing of raw data and production of high-confidence variant calls, filtering tens of thousands of candidates to identify a relevant subset for a specific study remains a complex task best suited for bioinformaticians (Sefid Dashti & Gamieldien, 2017). Variant filtering is a crucial secondary analysis step in NGS that involves identifying highly confident variants and eliminating falsely called ones (Insights, 2021).

Filtering serves two primary roles in the context of WGS sequence variants. The first is to remove false-positive variants. For example, the VariantFiltration tool from the GATK package is a commonly used to filter variants and remove potential false-positive calls. This tool plays a crucial role in the post-processing of variant calls to improve the accuracy and quality of the results. It is designed to apply filters to variant calls in Variant Call Format (VCF) files, allowing users to remove or flag variants based on specific criteria. VariantFiltration provides flexibility in filtering variants by allowing users to define custom filter expressions based on various annotations and variant attributes. Common annotations include quality scores, allele depth, strand bias, and allele frequency information. By specifying filtering criteria and thresholds, VariantFiltration enables users to retain variants of interest and remove potential false positives or artifacts.

The second primary role is to prioritize the true variants in terms of which are most likely to be contributing to the phenotypic variation of interest. Both typically employ automated approaches but can involve manual visualization and investigation steps. The prioritization of variants in particular requires biological or biomedical reasoning, and biologists and clinicians are increasingly motivated to do the task themselves. Existing knowledge regarding the molecular and cellular mechanisms underlying a particular disease or phenotype can be highly beneficial when prioritizing probable candidates from a list of genes containing functional variants. Rarity/novelty predicted deleterious effects and expected segregation among affected/cases, and unaffected/control individuals in the study are the main criteria used to generate a list of candidate variants. Variants should not be dismissed as irrelevant solely because the knowledge filter does not reveal any disease, phenotypic, or functional links (Sefid Dashti & Gamieldien, 2017).

1.5. Molecular Methods for Validation of the Causality of Identified Candidate Mutations

Verifying that identified DNA variants or mutations are causative for a particular phenotype or disease often involves conducting molecular or functional assays to demonstrate their impact on gene expression, protein function, or other relevant cellular processes. Some of the commonly used methods for molecular and functional confirmation include reporter assays, gene editing, gene knockout or knockdown, and expression studies.

Reporter assays involve fusing the gene of interest (containing the identified mutation) with a reporter gene, such as luciferase or green fluorescent protein (GFP). Changes in the activity of the reporter gene can indicate the functional impact of the mutation. In this method, the wild-type and mutant versions of the gene are separately cloned into plasmids containing the reporter gene. The plasmids are then transfected into cells, and the reporter gene activity is measured and compared. Gene-editing methodologies, such as CRISPR-Cas9, precisely incorporate the identified mutation into the genome and monitor the resulting effects. The identified mutation is integrated into the cellular or model organism genome, and then functional consequences are evaluated which provides direct evidence of the mutation's causality.

Gene knockout or knockdown refers to complete elimination (knockout) or reduction (knockdown) of the expression of the gene of interest in a model organism or cell line and observing the resulting phenotype. In this method, technologies like CRISPR-Cas9 can be used to create a knockout or knockdown of the gene. Subsequently, the impact of the absence or declined expression of the gene on cellular or organismal function are evaluated.

Expression studies involve analyzing the levels of gene expression in the presence of the identified mutation compared to the wild-type gene. Methods such as quantitative PCR, RNA sequencing, or protein expression assays can be used to assess changes in gene expression associated with the mutation.

1.6. Overarching Research Hypothesis and Objectives

This thesis encompasses three research projects concerned with the identification of sequence variants responsible for phenotypic variation, using NGS-based whole-genome sequencing. Subsequent chapters provide relevant background information on each project. Here I provide a summary of the projects in terms of the main hypotheses and objectives:

- 1) Swyer syndrome in cattle
 - Hypothesis: The Swyer syndrome cases in Irish beef cattle are caused by inherited or de novo sequence variants that can be detected by WGS.

- Objectives: Use WGS data from affected and control animals as well as existing knowledge of genes implicated in disorders (or differences) of sex development (DSD) to identify the cause of Swyer syndrome in beef cattle; determine whether inherited or de novo variants are involved; propose strategies to identify Swyer animals in the future using routine genomic evaluations.
- 2) Copper toxicosis in Dalmatian
 - Hypothesis: Copper toxicosis (CT) in the Dalmatian breed is caused by a recessive mutation that can be discovered through the WGS of presumptive affected, carrier, and non-carrier animals.
 - Objectives: Use WGS from cases and controls as well as knowledge of CT mechanisms in other species and breeds to identify the cause of CT in Dalmatians; develop low-cost genetic test to identify carriers.
- 3) Infertility/subfertility in cattle:
 - Hypothesis: Cattle exhibiting low fertility carry recessive lethal alleles causing embryo loss that can be detected by WGS.
 - Objectives: Use WGS from cattle exhibiting low fertility to identify candidate recessive lethal mutations that may explain reduced fertility in cattle.

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CHAPTER 2. Identification of Candidate Variants Causing a Disorder of Sex Development (DSD) Called Swyer Syndrome in Cattle.

2.1. Introduction and Literature Review

Swyer syndrome in humans is a rare congenital condition characterized by the atypical development of sexual organs. It is classified as a disorder (or difference) of sex development (DSD) (Baetens et al., 2018). This condition affects sexual traits and is characterized by the abnormal growth of sex chromosomes, gonads, or anatomy. Swyer syndrome is also called XY complete gonadal dysgenesis, where "dysgenesis" denotes an abnormal development (Swyer Syndrome, 2022). Typically, in individuals without Swyer syndrome, sex development proceeds through a standard process that depends on the sex chromosomes they possess. However, individuals with Swyer syndrome experience altered sex development due to genetic mutations that cause either a gain or loss of function in genes responsible for gonad development or steroidogenesis¹ (Eggers et al., 2015). Females usually possess two X chromosomes (female cattle karyotype: 60, XX), while males have one X and one Y chromosome (male cattle karyotype: 60, XY). However, in Swyer syndrome, even though individuals have X and Y chromosomes like males, they develop abnormal female reproductive organs. As a result, these XY individuals develop female external genital organs and some female internal reproductive compositions with defects, leading to infertility (Ostrer, 2019). Initially described in humans by Gim Swyer in 1955 (Banoth et al., 2018), Swyer syndrome has been described in four species of the family Canidae (the dog, red fox, arctic fox and Chinese raccoon dog) (Nowacka-Woszuk & Switonski, 2009) and other species including buffalo (L. Iannuzzi et al., 2001), and cattle (Hare et al., 1994).

¹. Steroidogenesis refers to the intricate series of biochemical processes wherein cholesterol is transformed into steroid hormones, necessitating the involvement of transport proteins, enzymes, redox partners, and cofactors (Miller & Auchus, 2011).

Studies have shown that various genes are associated with Swyer syndrome in humans, some of which play a role in the formation and functioning of gonads and determining the male sex through the development of testes. Some identified genes linked to Swyer syndrome include *SRY*, *SOX9*, *SF1*, *WT1*, and *DHH* (Délot & Vilain, 2019). Mutations in these genes can result in the development of non-functioning gonads and the inability to produce viable gametes. About 50% of people with Swyer syndrome cannot be explained by the currently available molecular approaches, suggesting that several undiscovered genes might be involved in this disorder (Arboleda & Vilain, 2016; Baetens et al., 2018; Délot & Vilain, 2019).

Our study was initiated after the observation of a mysterious condition in multiple cows in Ireland. To improve the genetic merit of the country's beef cattle population and increase productivity, the Irish Cattle Breeding Federation has initiated a national genetic testing program, which the Irish government and the European Union support (Szenthe, 2022). In Ireland, the average pregnancy rate for mated cows is 87% (Irish Cattle Breeding Federation (ICBF), 2022), implying that 130,000 cows out of a herd of 1 million are not giving birth to any offspring. As a result, improving the pregnancy rate benefits farmers financially and enhances environmental sustainability (Szenthe, 2022). Consequently, farmers were urged to provide DNA samples from their female cattle and potential breeding heifers to improve their herd's genetic merit. These samples were then investigated using a large-scale SNP genotyping method to identify the superior females for breeding (genomic selection). More than 1 million samples were gathered in total. The issue that led to this study was that genetic testing reports returned to the farmers, in a small number of cases, the reported genetic sex for an individual was inconsistent with the phenotypic sex that the farmers had observed. Initially they thought that it was due to sample acquisition or handling results, however, multiple new re-tests led to the same outcomes. All cases involved a

phenotypically female animal receiving an SNP-based sex assignment of male, prompting an investigation into whether Swyer syndrome-type mutations were involved.

2.2. Outlines of the Research Objectives

- a) Identification and genotyping of SNPs and indels.
- b) Identification and genotyping of structural variants.
- c) Determination of sex chromosome complement.
- d) Identification of known genes linked to Swyer syndrome in other species through literature review.
- e) Detection of candidate causal variants by variant filtering.
- f) Detection of candidate causal variants from read coverage and read alignment analysis.

2.3. Methods/Experimental Design and Materials

2.3.1. Animal samples for sequencing

Sequence data for eight affected Irish animals and one unaffected dam was collected prior to our involvement in the study (Table 2.1). Animal ethics approval was not sought for these samples as they were already obtained through ongoing routine genetic testing or processing of commercial cattle. For some analyses, sequence data from non-Swyer XX and XY animals from the recessive lethal study chapter (chapter 4) was used. Animal ethics information for those samples is provided in that chapter.

Sample	Breed	Phenotypic Sex	Sire breed	Dam breed	NCBI SRA ⁹ ID
Control XX female	Limousin Cross	F	LM^1	LMX ⁶	SRS13440364
XY female (Swyer 1)	Limousin Cross	F	LM	LMX	SRS13440365
XY female (Swyer 2)	Belgian Blue cross	F	BB^2	LMX	SRS13440366
XY female (Swyer 3)	Limousin cross	F	LM	LMX	SRS13440367
XY female (Swyer 4)	Limousin cross	F	LM	HEX ⁷	SRS13440368
XY female (Swyer 5)	Charolais cross	F	CH^3	SIX ⁸	SRS13440369
XY female (Swyer 6)	Simmental cross	F	SI^4	SIX	SRS13440370
XY female (Swyer 7)	Angus	F	AA ⁵	AA	SRS13440371
XY female (Swyer 8)	Charolais	F	СН	СН	SRS13440372

 Table 2.1. Sequenced presumptive XY female (Swyer animal's) information.

¹. LM = Limousin, ². BB= Belgian Blue, ³. CH= Charolais, ⁴. SI= Simmental, ⁵. AA= Angus, ⁶. LMX= Limousin Cross, ⁷. HEX= Hereford Cross, ⁸. SIX= Simmental Cross, ⁹. SRA (Sequence Read Archive): The NCBI Sequence Read Archive is a repository that stores raw sequencing data from various high-throughput sequencing platforms, such as next-generation sequencing (NGS) and Sanger sequencing. Researchers and scientists deposit their sequencing data into the SRA to make it publicly accessible for analysis and research purposes.

2.3.2. SNPs and indels identification and genotyping

A bioinformatics workflow was used to call SNPs and indels using a high-performance computing (HPC) accessed through Compute Canada. Before applying the raw data (FASTQ files) to the variant calling analysis, the initial stages of the workflow involved data quality control and pre-processing of the raw reads. These steps were undertaken as part of the variant calling process to ensure data integrity and prepare the data for further analysis. The implementation of quality control measures not only enables the assessment of the quality of sequencing data but also facilitates the acquisition of high-quality data for downstream analysis (He et al., 2020). In addition, these steps are required to convert raw FASTQ files into a BAM file ready for analysis. Quality control was performed using the FastQC v0.11.9 tool (Andrews, 2010) on the raw FASTQ

inputs. The Trimmomatic v0.36 (Bolger et al., 2014) was employed to trim and remove adapters from the raw data. For read mapping, the trimmed reads were aligned against the standard ARS-UCD1.2_Btau5.0.1Y reference genome of Bos taurus using the BWA v0.7.17 software package (H. Li & Durbin, 2009). The ARS-UCD1.2_Btau5.0.1Y reference genome can be accessed at https://sites.ualberta.ca/~stothard/1000_bull_genomes/.

Another preprocessing step of the workflow, was base quality score recalibration (BQSR), which was carried out by the BaseRecalibrator tool of Genome Analysis Toolkit (GATK) v3.8 (McKenna et al., 2010), and a VCF file comprising identified variant locations provided through the 1000 Bull Genomes Project (Hayes & Daetwyler, 2019), which can be accessed at https://sites.ualberta.ca/~stothard/1000_bull_genomes/ARS1.2PlusY_BQSR.vcf.gz. BQSR improves variant calling accuracy by detecting and correcting systematic errors in base call estimation during next-generation sequencing (NGS). This step reduces false-positive and false-negative variant calls, enhancing the reliability and accuracy of variant identification.

Another tool from GATK called AnalyzeCovariates was used to evaluate the quality of the recalibration process. In the next step, GATK HaplotypeCaller was used to identify potential variant positions, and then the generated GVCF output files were combined and genotyped with GATK GenotypeGVCFs. Next, GATK SelectVariants extracted SNPs and indels from the output file. In the final output to soft filter the detected SNPs and indels, GATK VariantFiltration was applied.

2.3.3. Structural variants (SVs) identification and genotyping

Smoove v0.2.6 (Pedersen et al., 2018/2020) was used to detect and genotype structural variants (SVs), excluding gapped regions and regions where coverage is more than four standard deviations higher than the mean coverage, calculated across 10,000 bp windows by Mosdepth v0.3.2 (Pedersen & Quinlan, 2018). Genotyping was followed by running Duphold v0.2.3 (Pedersen & Quinlan, 2019) to annotate SV calls with sequence depth information. SnpSift v4.3t (Cingolani, Patel, et al., 2012) was used to soft filter variants that satisfied the pre-defined criteria.

2.3.4. Sex chromosome assessment of study samples using sequence data

Multiple approaches were used to infer the sex chromosome complement of the sample animals from the analyzed next-generation sequencing (NGS) outputs.

2.3.4.1. X: Y coverage ratio

The SAMtools v1.12 idxstats command (H. Li et al., 2009) was used to count the number of reads mapped to the X and Y chromosomes in the BAM files. Each count was divided by the length of the respective chromosome, and these normalized counts were used to calculate the final ratio.

2.3.4.2. X: autosome coverage ratio

The SAMtools v1.12 idxstats command (H. Li et al., 2009) was used to count the number of reads mapped to the X chromosome and chromosome 10 in the BAM files. Each count was

divided by the length of the respective chromosome, and these normalized counts were used to calculate the final ratio.

2.3.4.3. Y: autosome coverage ratio

The SAMtools v1.12 idxstats command (H. Li et al., 2009) was used to count the number of reads mapped to chromosome Y and chromosome 10 in the BAM files. Each count was divided by the length of the respective chromosome, and these normalized counts were used to calculate the final ratio.

2.3.5. Detection of candidate causal variants from inspection of DSD gene alignments

2.3.5.1. Visualization of BAM files

A compilation of 85 genes associated with disorders of sex development (DSD) in humans was made through literature searches (Table 2.2). The list of 85 DSD gene regions, including 1 kb upstream and 1 kb downstream, in each study sample were inspected using IGV v2.12.3 (Thorvaldsdóttir et al., 2013). The location of the bovine orthologs of these genes, classified as autosomal, X-linked, or chromosome-unassigned, was determined for the ARS-UCD1.2 assembly using Ensembl BioMart (release 105) (Howe et al., 2021). In addition, the location of *SRY* on the Y chromosome of the Btau5.0.1 assembly was retrieved from NCBI (release 105) (Sayers et al., 2021). The process involved loading the BAM files of all study samples into IGV, along with the DSD gene locations that had flanking sequences added in BED format. An attributes file was also supplied to display sample information in IGV. The gene regions were visually inspected for any evidence of low or absent reads and read pairing and insert size information were examined for any signs of deletions, insertions, inversions, and translocations. The Y chromosome was also analyzed similarly using IGV. In this case, all Y chromosome gene locations were obtained from NCBI and visually inspected to identify regions with missing coverage in the study samples compared to the control samples.

Ensembl Gene ID	Gene name	Chromosome	Gene start	Gene end (bp)
		/scaffold name	(bp)	
ENSBTAG0000000124	DHH	5	30728408	30733209
ENSBTAG0000000730	GCG	2	34292569	34302949
ENSBTAG00000001002	TCF7	7	45768913	45800957
ENSBTAG0000001315	DMRTA1	8	21730255	21735209
ENSBTAG0000001346	STRA8	4	98862169	98885072
ENSBTAG0000001649	ZFPM2	14	58819937	59239114
ENSBTAG0000001812	H1-8	22	56187762	56218695
ENSBTAG0000001992	CYP51A1	4	9459764	9476713
ENSBTAG0000002505	GPR3	2	125893878	125894870
ENSBTAG0000003027	EMX2	26	37830786	37837033
ENSBTAG0000003108	SRD5A2	11	14324110	14370453
ENSBTAG0000003837	RSPO1	3	108267191	108288596
ENSBTAG0000004257	TAF4B	24	30501605	30607271
ENSBTAG0000004886	ZARI	6	67172309	67176434
ENSBTAG0000005370	TMTC1	5	79807657	80114645
ENSBTAG0000005425	GATAA	8	7642403	7605002
ENSBIAG000000000000000000000000000000000000	GATA6	24	2/162202	3/10262/
ENSDIA00000003734	GATA0 CVD1141	24	24228402	24242000
ENSDIAG0000000934		21	54526405	54542900
ENSBIAG000000/1/3	PDGFKA	6	69/23655	69//1549
ENSBIAG000000/605	FKBP4	5	106946944	106955086
ENSB1AG0000008062	DMRT2	8	43506897	43513871
ENSBTAG0000008871	DDX4	20	23358630	23424574
ENSBTAG0000008905	FIGLA	11	13600445	13615325
ENSBTAG0000009017	NR5A1	11	95513842	95538735
ENSBTAG0000009062	<i>DMRTB1</i>	3	92781366	92786271
ENSBTAG0000009478	GDF9	7	44442457	44445396
ENSBTAG0000009832	NOBOX	4	107667095	107672444
ENSBTAG0000009917	PIP5K1B	8	44808121	45153937
ENSBTAG0000009972	INHA	2	107501784	107504801
ENSBTAG0000010414	DMRTA2	3	95865909	95870988
ENSBTAG00000010885	TSPYL1	9	34430224	34433482
ENSBTAG00000010889	FSHB	15	60976952	60980910
ENSBTAG00000011173	FAM189A2	8	45469516	45532469
ENSBTAG00000011234	FOXO3	9	41522588	41620269
ENSBTAG0000012888	C2H2orf80	2	96437069	96460618
ENSBTAG0000013499	LHX9	16	76858671	76878665
ENSBTAG0000013790	MAP3K1	20	22340163	22417428
ENSBTAG0000013801	PRYI	3	4147294	4246502
ENSBIAG0000013801	EGER?	5 26	4147294	41558002
ENSDIAC0000014004		20 V	41450745	41558092
EINSDIACUUUUUU14463 $ENSDIAC0000014725$			00000300	00700017 20011705
EINSDIAG00000014/33		4 10	00042300	00044303
ENSB1AG0000014890	CYPI9AI	10	59102240	59156607
ENSBIAG0000015132	RXFP2	12	29212314	292/4/4/
ENSBTAG00000015532	NR0B1	Х	113283185	113289019

Table 2.2. Information of genes previously reported to be associated with DSDs.

Ensembl Gene ID	Gene name	Chromosome	Gene start (bp)	Gene end (bp)
		/scaffold name		
ENSBTAG0000016465	DHCR7	29	48285096	48304702
ENSBTAG00000015894	WWOX	18	5240349	6170333
ENSBTAG00000016573	LHCGR	11	30977114	31040358
ENSBTAG00000016867	HSD17B3	8	82961057	83014357
ENSBTAG00000017082	POR	25	34146939	34213388
ENSBTAG00000017800	DMRT3	8	43580997	43593395
ENSBTAG0000018224	GLP1R	23	12914475	12946096
ENSBTAG00000019145	MOS	14	23299177	23300199
ENSBTAG00000019192	LHX8	3	69636164	69662240
ENSBTAG00000019273	DMRTC2	18	51367681	51372973
ENSBTAG00000019552	PGRMC1	Х	3623055	3631983
ENSBTAG0000020414	DHX37	17	50838143	50868907
ENSBTAG0000020737	SOX8	25	788526	793121
ENSBTAG0000020904	JAK3	7	5306153	5323991
ENSBTAG0000021111	POU5F1	23	27982798	27987297
ENSBTAG0000021713	MAMLD1	Х	33093515	33213252
ENSBTAG0000021827	TCF21	9	72066568	72069851
ENSBTAG0000022255	AR	Х	83085618	83294907
ENSBTAG0000022564	AKR1C4	13	43616999	43626832
ENSBTAG0000023832	ADAM8	26	25733217	25745433
ENSBTAG0000024269	TGFBR3	3	51501752	51709606
ENSBTAG0000031277	FOXL2	1	130185388	130186521
ENSBTAG0000032424	FSHR	11	31255653	31450384
ENSBTAG0000032887	SUPT3H	23	18239634	18641106
ENSBTAG0000033345	STAR	27	33312972	33320397
ENSBTAG0000033352	DMRT1	8	43600804	43697170
ENSBTAG0000038306	CBX2	19	52751728	52760319
ENSBTAG0000038434	ATRX	Х	74597105	74880603
ENSBTAG0000038735	LHB	18	55571842	55573733
ENSBTAG0000038815	DIAPH2	Х	45209466	45296719
ENSBTAG0000038976	ZGLP1	7	14838239	14841025
ENSBTAG0000040585	NR6A1	11	95553002	95774429
ENSBTAG00000044105	FOXO1	12	21900230	21991693
ENSBTAG00000045782	BMP15	Х	88803967	88810596
ENSBTAG00000045824	SOX9	19	58919579	58923174
ENSBTAG0000047268	WT1	15	63118358	63168835
ENSBTAG00000048237	FGF9	12	35378340	35406261
ENSBTAG00000049481	SOX3	NKLS02002208.1	4426876	4428231
ENSBTAG00000049558	CYP17A1	26	23411942	23419174
ENSBTAG00000050504	ARX	Х	118322990	118333857
ENSBTAG00000051083	WNT4	2	130696821	130711436

Table 2.2. Information of genes previously reported to be associated with DSDs (continued).

Ensembl BioMart (release 105) (Howe et al., 2021) was used to determine the genomic location of the bovine orthologs of these genes on the ARS-UCD1.2 assembly.

2.3.6. Identification of candidate causal variants by variant filtering

2.3.6.1. Variant annotation

Functional impact predictions for SNPs and indels were assigned using SnpEff v5.0e (Cingolani, Platts, et al., 2012) and the ARS-UCD1.2.99 SnpEff annotation database. In addition, Ensembl VEP (release 105) (McLaren et al., 2016) was used for SVs annotation. However, since these methods could not predict the impact of variants on the Y chromosome (due to the lack of Y chromosome information in the reference databases used for variant annotation), the VCF files were manually checked for variants that overlapped with the *SRY* gene, using gene coordinates obtained from NCBI (release 105).

2.3.6.2. Variant filtering for detecting causal variants for the *SRY*-positive XY female (Swyer 2)

The visualization of sequence read alignments identified *SRY* deletions in all affected animals except for Swyer 2. For this animal additional analyses were conducted. To identify candidate causal variants for the *SRY*-positive XY female (Swyer 2), variant filtering was performed using three filters: "recessive DSD," "dominant DSD," and "dominant de novo." The "recessive DSD" filter, implemented using SnpSift v5.0e (Cingolani, Patel, et al., 2012), was used to identify high- or moderate-impact variants in DSD genes with a homozygous non-referenceallele genotype in the *SRY*-positive XY female (Swyer 2). Similarly, the "dominant DSD" filter was used to identify high- or moderate-impact variants with a heterozygous genotype in sample Swyer 2. Both the "recessive DSD" and "dominant DSD" filters were applied to SNPs, indels, and SVs. SNPs and indels passing these filters were then assessed in the 1000 Bull Genomes Project
Run 9 data set, consisting of whole-genome SNP and indel genotypes from 5116 animals, to rule out common variants. The "dominant de novo" filter, on the other hand, was only applied to SNPs and indels (because there isn't sufficient population-scale SV data available for determining de novo SVs). It identified high- or moderate-impact variants with a heterozygous genotype in the Swyer 2 sample that were not observed in the 1000 Bull Genomes Project Run 9 (Hayes & Daetwyler, 2019), and that were not present in the VCF file containing known variant sites used for base quality score recalibration. Only variants with a FILTER value of PASS were retained. This filter was applied using a combination of the BCFtools v1.8 isec command (Danecek et al., 2021), SnpSift v5.0e (Cingolani, Patel, et al., 2012) and standard command-line text-processing utilities.

2.3.7. Read depth plots

Read depth plots were used to visualize read coverage of whole chromosomes and large chromosomal regions. A Python script was used to count reads at all positions using the SAMtools v1.12 depth command (H. Li et al., 2009) to generate sliding-window read depth plots. The mean depth for each window was then calculated and plotted using matplotlib v3.5.1 (Hunter, 2007). For the full Y chromosome plots, a window size of 10,000 was used, while a window size of 1000 was used for the *SRY*-region plots.

2.4. Results

2.4.1. Sample's sex chromosome assessments

To check for the presence of X and Y chromosomes in the Swyer samples we used read depth-based metrics to compare control and affected individuals. All three metrics performed as expected in the control animals, clearly separating XX and XY animals. For all three metrics the Swyer animals closely resembled the XY control animals but not the XX control animals (Figure 2.1, Table 2.3). The X: Y coverage ratio was very high for control XX animals (mean = 141.05) and closer to 1 for control XY (mean = 0.93) and Swyer cases (mean = 1.51). The X: autosome coverage ratio was close to 1 for control XX animals (mean = 1.03) and close to 0.5 for control XY (mean = 0.52) and Swyer animals (mean = 0.55). Lastly, the Y: autosome coverage ratio was close to zero for control XX animals (mean = 0.01) and close to 0.5 for control XY (mean = 0.57) and Swyer samples (mean = 0.44).



Figure 2.1. Violin plots of the sample's sex chromosome assessments using a sequenced dataset of eight Swyer animals and 21 control samples (10 females, labeled as XX; 11 males, labeled as XY). These plots exhibit the allocations in Swyer, XX, and XY samples of X to Y coverage ratio (the average is 1.5 in the Swyer group and 0.9 in the XY group; (A), X to autosome coverage ratio (B), and Y to autosome coverage ratio (C). Welch's t-test was utilized for comparing the means between the three sample groups and the results are displayed as * (P < 0.05), ** (P < 0.01), *** (P < 0.001), **** (P < 0.0001), or ns (P > 0.05).

Sample type	NCBI SRA ID	X: Y coverage ratio	X to autosome coverage ratio	Y to autosome coverage ratio
Control XX	SRS13440364	162.83	1.01	0.01
Control XY	SRS11145576	0.94	0.54	0.57
XY (Swyer 1)	SRS13440365	1.24	0.55	0.44
XY (Swyer 2)	SRS13440366	0.91	0.53	0.58
XY (Swyer 3)	SRS13440367	1.37	0.55	0.40
XY (Swyer 4)	SRS13440368	2.24	0.55	0.25
XY (Swyer 5)	SRS13440369	0.90	0.55	0.62
XY (Swyer 6)	SRS13440370	3.32	0.53	0.16
XY (Swyer 7)	SRS13440371	1.10	0.55	0.50
XY (Swyer 8)	SRS13440372	0.97	0.55	0.57
Control XX	SRS11145583	139.00	1.03	0.01
Control XX	SRS11145582	149.13	1.03	0.01
Control XX	SRS11145584	163.75	1.04	0.01
Control XY	SRS11145580	0.94	0.54	0.58
Control XX	SRS11145585	145.33	1.04	0.01
Control XX	SRS11145588	121.92	1.04	0.01
Control XX	SRS11145586	148.67	1.04	0.01
Control XX	SRS11145587	147.10	1.03	0.01
Control XX	SRS11145589	84.00	1.02	0.01
Control XX	SRS11145581	148.75	1.04	0.01
Control XY	SRS11145574	0.97	0.52	0.54
Control XY	SRS11145571	0.73	0.50	0.69
Control XY	SRS11145572	0.82	0.52	0.63
Control XY	SRS11145575	0.93	0.54	0.59
Control XY	SRS11145573	0.94	0.55	0.58
Control XY	SRS11145577	0.93	0.54	0.58
Control XY	SRS11145578	1.07	0.58	0.54
Control XY	SRS11145579	0.91	0.39	0.43
Control XY	SRS11145590	1.08	0.52	0.49

 Table 2.3. Read coverage ratios for genotypic sex assessments.

2.4.2. Inspection of the candidate gene alignments in IGV

In parallel to the text-based identification and filtering of variants, manual inspection of read alignments was performed using IGV. This analysis was confined to known DSD genes and was conducted for control and Swyer animals, to look for read coverage or alignment anomalies that could be indicative of differences between the samples. An obvious difference in read coverage was observed between the control XY individual and seven out of the eight XY female (Swyer) cattle, involving the *SRY* (sex-determining region Y) gene (Figure 2.2). Among the eight XY females with Swyer syndrome, seven of them exhibited a deletion on the Y chromosome that encompassed the *SRY* gene, resulting in an absence of the *SRY* gene (referred to as *SRY*-negative or *SRY*–).



Figure 2.2. Integrative genomics viewer (IGV) visualization of the SRY gene on the Y chromosome.

Further inspections using IGV confirmed that the affected XY females did indeed have the Y chromosome, as there was high read coverage observed in another region of the Y chromosome (*ZFY* gene) (Figure 2.3), well above what is observed in the XX animal. Thus, as expected based on the previously calculated read coverage metrics, the affected animals possess a Y chromosome but one that is incomplete, missing the *SRY* gene.



Figure 2.3. Integrative genomics viewer (IGV) visualization of *ZFY* gene locus on the Y chromosome in control (XX and XY) and Swyer 63

2.4.3. Variant filtering for detecting causal variants for the *SRY*-positive affected sample (Swyer 2)

Since the visual inspection of read alignments for candidate gene regions revealed that *SRY* deletions were responsible for seven of the eight Swyer cases, SNP, indel, and SV filtering was used to identify a causative variant for the one remaining case (Swyer 2). First the initial set of 18,454,171 SNPs/indels were filtered to remove false-positive variants, giving 17,494,752 SNPs/indels. However, the initial set of 19,831 SVs were not subjected to this quality filter because there were no available filtering tools and reference databases for discerning false-positive SVs. Next, three separate (non-sequential) filters were applied, each tailored to a particular variant type: "recessive DSD", "dominant DSD", and "dominant de novo".

The "recessive DSD" filter detected no SVs and only 13 SNPs/indels. However, all these variants were detected at relatively common frequencies within the reference genotype dataset (with allele frequencies ≥ 0.21). Consequently, they did not emerge as convincing candidate mutations. Similarly, the "dominant DSD" filter identified no SVs and 25 SNPs/indels. Yet, these variants also lacked compelling candidacy as causal mutations due to their prevalence in the reference dataset (allele frequency ≥ 0.003). The "dominant de novo" filter, on the other hand, produced a list of 125 SNPs/indels. Nonetheless, due to the absence of established roles for the genes affected by these variants in sex determination and development, further refinement of this list to pinpoint a specific causative mutation remains challenging.

2.4.4. Read depth plots

Sliding-window plots were used to illustrate the unusual coverage patterns of the Y chromosome in the affected animals, which showed large segments of normal, intermediate, and

large missing coverage (as depicted in Figure 2.4). The absence of coverage in the *SRY* region is interpreted as an absence of the *SRY* gene in these samples, which would explain the DSD phenotype. The reason for the intermediate coverage in most of the Swyer samples for other regions of the Y chromosome is not known (note coverage drop after the 10 Mb position in most Swyer samples). In addition, inspecting the sliding-window coverage plot and reading map alignment in IGV suggests that one affected sample (Swyer 3) has a larger deletion compared to the deletion observed in the other affected samples (as shown in Figure 2.4 and 2.5).



Figure 2.4. Sliding-window coverage plots of the Y chromosome of the studied samples. In all affected samples except Swyer 3, the coverage dropped from 42 Mb to the end of the Y chromosome. This region harbors the *SRY* gene (green dashed arrow lines).



Figure 2.5. The sliding-window plot of the right end of chromosome Y in all the samples including those that lack *SRY* (*SRY* position on reference genome highlighted by green dashed arrow lines (42.2 Mb)). Drop in coverage (highlighted in orange) suggests deletion occurs at approximately 42.1 Mb to the end of the chromosome (43.3 Mb) in all the affected samples except Swyer 2 (lacks the *SRY* deletion) and Swyer 3 (the deletion appears to be much larger (from 10 Mb to 43.3 Mb)).

2.5. Discussion/Conclusions

This study aimed to investigate the potential factors that contribute to Swyer syndrome by examining a range of genetic variations such as SNPs, indels, and SVs using WGS data from affected and control animals. It leveraged existing knowledge of genes linked to sex development disorders to pinpoint the syndrome's underlying cause. In 7 out of 8 affected cases, an absence of the *SRY* gene was detected (seven *SRY*-negative affected individuals). The additional SNPs, indels, SVs filtering did not reveal an obvious cause for that one *SRY*-positive affected individual (Swyer 2). Nevertheless, several potential causative mutations were discovered; however, further investigation is required to conclusively confirm them as true causal variants.

In humans, XY individuals with apparent female physical characteristics (Swyer syndrome) are observed at a ratio of about 1 in 20,000 to 80,000 live-borns (Kane et al., 2023). According to previous reports and studies, approximately 15–20% of Swyer syndrome cases in humans are mostly caused by mutations in the sex-determining region of the Y chromosome (*SRY*) gene (Kane et al., 2023), or due to a significant deletion of the section of the Y chromosome containing the *SRY* gene (Behtash & Karimi Zarchi, 2007; Machado et al., 2014; Michala et al., 2008; Ono & Harley, 2013; Ostrer, 2019). The *SRY* gene is a master sex determining gene that produces a transcription factor belonging to the high mobility group (HMG) box family (Kanai et al., 2005). This protein functions as the testis-determining factor (TDF) and initiates the differentiation of bipotential undifferentiated gonadal tissue into testes in mammals (Kanai et al., 2005). Therefore, any mutations in this gene can have significant implications, leading to the development of abnormal sex glands and XY females, as seen in Swyer syndrome. In several mutational analysis studies in human XY female patients, it has been identified a list of 11 mutations in the DNA-binding high mobility group (HMG)-box of the *SRY* gene, including six

missense mutations, three nonsense mutations, and two deletions causing frameshifts (Berta et al., 1990; Hawkins, Taylor, Berta, et al., 1992; Hawkins, Taylor, Goodfellow, et al., 1992; Hawkins, 1993; Jäger et al., 1990, 1992; K. D. McElreavey et al., 1992; Müller et al., 1992).

In a study by Kawakura et al. (1996), which involved chromosome analysis using PCR, it was reported that in 3 out of 6 cases of bovine XY females banding patterns for the SRY gene in the agarose gel was not observed (SRY-negative XY females). Thus, for the other three remaining SRY-positive XY females, the true cause remained unknown (Kawakura et al., 1996). In their subsequent study (Kawakura et al., 1997), they investigated the structure of the Y chromosome using FISH and G-binding in the three SRY-negative cases of bovine XY females. They found that although two Y chromosome-specific probes (BC1.2 and btDYZ-1) hybridized to the short arm of the Y chromosome in a normal bull, they hybridized to both arms of the Y chromosome in the three SRY-negative XY females. Therefore, they concluded that the Y chromosome of these XY affected cattle that lack SRY had an abnormal structure. Additionally, their findings support the location of SRY on the short arm of the bovine Y chromosome (Yp). (Kawakura et al., 1997). Using next-generation sequencing (NGS) in our investigation of eight XY affected females detected through SNP chip/phenotype discordance in Ireland delivers an additional understanding of the occurrence and characteristics of SRY deletions in cattle. Additionally, we detected an SRYpositive XY female (Swyer 2). Therefore, all but one of the cases in this study can be explained by an SRY deletion. According to our study findings, Swyer syndrome in cattle is estimated to occur at a rate of 1 in every 100,000 cases. Our results also indicate that SRY deletions are a predominant cause of Swyer syndrome in cattle.

It is believed that defects in genes other than the *SRY* gene can cause Swyer syndrome (Khare et al., 2017). These additional genes are thought to be involved in promoting testicular

development and the differentiation of XY fetuses into males (Ostrer, 2019). One such gene implicated in Swyer syndrome is *Map3K1*, as mutations in this gene are frequently identified as a contributing factor to the condition (Ostrer, 2019; Pearlman et al., 2010). In some instances of Swyer syndrome, affected individuals have been found to carry mutations in the NROB1 gene on the X chromosome (Du et al., 2014; Kane et al., 2023). Furthermore, investigations have linked some instances of Swyer syndrome to mutations in the desert hedgehog (DHH) gene on chromosome 12 (Canto et al., 2004). Mutations in the DEAH37 gene have also been identified as a common cause of the condition (K. McElreavey et al., 2020). In a few rare cases, mutations in genes such as steroidogenic factor 1 (SF1 or NR5A1) (Köhler et al., 2008), Wnt-4 (WNT4) (Domenice et al., 2004), CBX2, GATA4, and WWOX (Khare et al., 2017) have been associated with Swyer syndrome (Ostrer, 2019). However, it is noted that additional genes not yet identified may be involved in the development of Swyer syndrome (Ostrer, 2019). In some cases, it has been reported that the condition is not hereditary and may be caused due to a new mutation (de novo variant) or abnormality (Berta et al., 1990). Nevertheless, there were reports of instances where individuals affected by Swyer syndrome, caused by a mutation in the SRY gene, have fathers (and in some cases, brothers) who also possess the SRY mutation on the Y chromosome (Hawkins, Taylor, Goodfellow, et al., 1992). The reasons why these fathers and/or brothers did not experience the development of Swyer syndrome remain unknown. It has been hypothesized that additional genes and/or factors, in conjunction with the SRY gene mutation, may be required for the manifestation of Swyer syndrome in these affected individuals.

In the present study, the *SRY* deletion was not detected using the standard (non-visual) programs and pipelines that were used for SV calling and analysis. This is likely attributed to the large size of the deletion, which could present challenges for detection using the specific

methodology employed in the study. However, as previously mentioned, it was through further assessments of read alignments in IGV (Thorvaldsdóttir et al., 2013) and sliding-window read depth plots that we could identify a lack of *SRY* coverage in 7 out of 8 affected cattle. Remarkably, six out of the seven *SRY*-negative XY affected animals appeared to have a similar or identical deletion involving approximately 1.2 Mb at the distal region of the short arm (Yp) of the Y chromosome (Fechner, 1996). It worth mentioning that one individual (Swyer 3) appeared to have a much larger deletion, approximately 42.3 Mb. The observed similarity or identity in deletions among the six animals could be attributed to specific characteristics of the bovine Y chromosome that make it susceptible to this type of deletion. While the presence of the same mutation in different individuals could reflect inheritance of a common mutation (for example, a bull with a mosaic germline could conceivably sire multiple XY females with the same Y chromosome abnormality), this is not the case in this data set as each animal was produced by a different sire.

It is noteworthy that on the sliding-window read depth plots; we observed that the XY control sample and one of the XY affected samples (Swyer 2) exhibited consistent coverage across the Y chromosome, while the other seven XY affected Swyer individuals showed decreased coverage starting around 10 Mb. Additionally, the read coverage near the end of the Y chromosome drops to zero before the *SRY* gene, except for the XY affected sample (Swyer 3), which has a significantly larger deletion starting near 10 Mb. One potential explanation of this situation is that the deletion size varies between cells within each sample, resulting in a mixture of Y chromosome templates being analyzed through sequencing. This mixture may arise because an initial deletion makes cells more likely to acquire additional deletions (Guo et al., 2020). By conducting further investigations that involve karyotyping multiple cells from the same sample or individual, it should be possible to gain additional insights and achieve a deeper understanding of

the underlying mechanisms related to this matter. However, it is worth mentioning that this study is the first to reveal an unusual coverage pattern of bovine Y chromosome, potentially indicating chromosome instability.

The presence of *SRY* deletions in 7 out of 8 affected samples in this comprehensive study of XY females suggests that incorporating *SRY* SNP markers on SNP chips could aid in identifying and characterizing XY females. In cases where these markers are recorded as missing, it could indicate the presence of an *SRY* deletion. As an alternative, the findings of this study suggest that PCR-based methods, including conventional or real-time PCR, could be used to check for the presence of the *SRY* gene and provide valuable information. Despite the low prospect of economic impact due to the rarity and infertility of affected cases, discrepancies between genotype-based sex and phenotypic sex can lead to mistrust among farmers towards genotyping and DNA-based breeding techniques. However, the ability to provide explanations for these incidents could significantly reduce such concerns.

For the one *SRY*-positive XY female, no true causal mutation was identified based on inspections of known DSD genes for dominant or recessive mutations involving SNPs, indels, or SVs. However, further investigation through "dominant de novo" filter resulted in the discovery of a list of 125 de novo variants including 23 high-impact and 102 moderate-impact SNPs/indels candidate mutations. Nonetheless, because the genes impacted by these variants lack established functions in sex determination and development, narrowing down this list to identify a particular causative mutation is still a challenging task.

It is essential to note that the identification and characterization of variants are influenced and be restricted by factors such as the length of reads, sequencing depth, available annotation information, reference sequences, and software tools. Additionally, it is crucial to consider that the tissue sample sequenced from this individual may not contain the causative mutation. Chimerism, a condition where a mutation occurs in a portion of cells leading to a change in sex, can also impact the results, as observed in some rare cases (Berkovitz & Seeherunvong, 1998; Reindollar et al., 1987). It is worth mentioning that this study represents the first research using WGS for the identification of *SRY* deletions in XY females within the cattle population. Despite the challenges in identifying the causative mutation in this individual, the gathered information, including candidate variants, descriptive phenotypes, and sequence data, could be valuable for future investigations in identifying the mutations associated with this condition in cattle and other species.

For more exploration of the result of our study, researchers in Ireland conducted cytogenetic and necropsy observations of the *SRY*-positive individual (Swyer 2). Karyotype analyses were performed on the *SRY*-positive individual's blood, mammary, and reproductive tract, consistently showing a karyotype of 60, XY. This indicated no mosaicism with 60, XX and confirmed the presence of the *SRY* gene in the individual's genome based on the karyotype analysis.

It is acknowledged that in regards to Swyer syndrome cases in human, even with advancements in genomic technology, almost 50% of Swyer syndrome cases often have no known cause, suggesting that unidentified mutations may play a role (Baetens et al., 2018). The continued large-scale phenotyping and genotyping of cattle could identify further Swyer syndrome as well as other DSD cases, the in-depth characterization of which could lead to the discovery of novel causative mechanisms and new knowledge of the processes that regulate sexual development.

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Thorvaldsdóttir, H., Robinson, J. T., & Mesirov, J. P. (2013). Integrative Genomics Viewer (IGV): High-performance genomics data visualization and exploration. *Briefings in Bioinformatics*, 14(2), 178–192. https://doi.org/10.1093/bib/bbs017 CHAPTER 3. The Search for Candidate Genomic Mutations Associated with Copper Toxicosis in Dalmatian Dogs.

3.1. Introduction and Literature Review

3.1.1. Copper toxicosis (CT)

Copper toxicosis (CT) is a complex genetic condition in humans, dogs, and several other species, diagnosed by the excess of the trace element copper in the liver and bloodstream (X. Wu, Chien, et al., 2019; X. Wu, den Boer, et al., 2020). If not promptly treated, this metabolic disorder can be life-threatening and may lead to liver failure and the eventual death of the affected individual (Scheinberg & Sternlieb, 1965). Copper is an essential element critical to various cellular functions, acting as an electron donor or acceptor in diverse reactions. However, an excess of copper ions in cells can result in detrimental effects, producing free radicals and increasing oxidative stress. Copper metabolism in multicellular organisms involves several processes, including absorption, dispersion, retention, and elimination at both the cellular and systemic levels. In mammals, bioavailable dietary copper ions are taken up by enterocytes through a process that relies on the copper transport protein Ctr1 (J. Chen et al., 2020).

Copper is transported from the intestinal tract to the liver for the purpose of redistribution to copper-dependent proteins (Linder et al., 1998). One of these proteins is ceruloplasmin, which requires at least six copper molecules to perform its main role of transporting iron throughout the body (Harris et al., 1999). After copper ions are taken up by cells, they are transported to ATP7A (ATPase copper-transporting α) (Chelly et al., 1993; Mercer et al., 1993; Vulpe et al., 1993), which moves Cu+ from enterocytes into the bloodstream. From there, copper ions are carried to the liver via the portal vein, where they are taken up by hepatocytes through Ctr1 (copper transporter 1). Cu+ can then be secreted into the blood or bile via the Atox1/ATP7B (ATPase copper-transporting β)/ceruloplasmin pathway. In the blood, copper can travel to peripheral tissues and be taken up again by Ctr1. In peripheral tissue cells, copper ions are either stored by molecules such as metallothionein or directed to utilization pathways by chaperones like Atox1 (Antioxidant 1 Copper Chaperone), Cox17 (Cytochrome c oxidase copper chaperone), and CCS (copper chaperone for Sod1). Excess copper within hepatocytes is sequestered into vesicles for extrusion at the plasma membrane (Figure 3.1.). Maintaining proper regulation of copper metabolism is crucial for homeostasis and disease prevention. Disruptions in copper balance, whether inherited or acquired, such as deficiency, excess, or misplacement, can lead to the development or exacerbation of various diseases (J. Chen et al., 2020).



Figure 3.1. Copper metabolism in mammals. Cuprous ions (Cu+) undergo various utilization and detoxification pathways within the cell, including the cytosolic, mitochondrial, and Golgi routes. In the cytosol, CCS plays a role in loading Cu+ ions and activating superoxide dismutase 1 (Sod1). The chaperone Cox17 guides Cu+ ions to CcO through Sco1 or Sco2. Atox1 transfers copper to P1B-type ATPases, such as ATP7A and ATP7B, located on the trans-Golgi network (TGN). Cuproproteins (CuPrs) assembled in the Golgi compartment can either be secreted from the cell or sorted into specific organelles. Excessive copper ions in the cytosol can be temporarily bound by metallothioneins (MT1/MT2) and stored as a complex known as Cu-MT. In enterocytes or hepatocytes, ATP7A and ATP7B translocate to the plasma membrane, facilitating the efflux of Cu+ ions into the blood or bile, respectively. Elevated copper levels induce the expression of MT1 and MT2, which are regulated by transcription factors MTF1 and Nrf2, aiding in removing excess Cu+. (This figure was altered with permission from the "Springer Nature" (Chen et al., 2020)).

3.1.2. Copper toxicosis in humans (Wilson's disease (WD))

Wilson's disease (WD), a rare autosomal recessive disorder in humans, is the well-studied disease of copper overload that occurs as a result of mutations in the *ATP7B* gene that codes the copper transporter ATP7B protein (Bull et al., 1993; Corbee & Penning, 2021; Fieten et al., 2012; Scheinberg & Sternlieb, 1965; Tanzi et al., 1993; Weiskirchen & Penning, 2021). The ATP7B protein is vital in the excretion of the hepatic (liver) accumulated copper. Despite *ATP7B* gene mutations being recognized as the primary cause of Wilson's disease, there have been reported cases where individuals are diagnosed with the condition despite lacking any mutations in this gene (Kenney & Cox, 2007; Mak et al., 2008; Nicastro et al., 2010; Park et al., 2010). Although over 500 known mutations in the *ATP7B* gene associated with the disease has been recorded so far (https://grenada.lumc.nl/LSDB_list/lsdbs/ATP7B, access date: 17 March 2023), there are suggestions that other genetic variants (genes) may contribute to Wilson's disease onset or symptoms (Coffey et al., 2013).

There are two primary manifestations of Wilson's disease in humans, distinguished by their initial symptoms: one primarily related to the liver, and the other neurological in nature. Initially, it was expected that specific *ATP7B* gene variants would align with each of these clinical presentations, establishing a clear genotype-to-phenotype correlation. However, this anticipated correlation does not seem to hold true. Therefore, researchers have explored other genes or modifier genes that may regulate cell copper levels due to the phenotypic variation observed within the same genotype (to distinguish neurological from hepatic onset) or the lack of a clear correlation between genotype and phenotype in Wilson's disease (WD) (Weiskirchen & Penning, 2021). In dogs, only the hepatic form of Wilson's disease has been identified.

Modifier genes are defined as genes that can affect the expression of another gene or the phenotype it presents. Several modifier genes have been proposed as potential candidates for influencing the varied phenotypic expression of WD (Genin et al., 2008; Medici & LaSalle, 2019). Grubenbecher et al. (2006) discovered that a common variation in the *PRNP* gene could affect the clinical progression of neurological Wilson's disease. This genetic variation delays the age at which symptoms manifest and influences the specific symptoms that develop. Moreover, the *PRNP* gene is responsible for the synthesis of prion protein, which is present in various tissues, including the brain, and has been proposed to be involved in copper transport. The interaction among genetic variations in various genes, including *ATP7B* and *PRNP*, can influence the clinical presentation and advancement of Wilson's disease, underscoring the intricate nature of this condition and the significance of genetic factors in its development (Grubenbecher et al., 2006).

Several human diseases have canine equivalents, such as copper toxicosis. The identification of genes and mutations linked to diseases in dogs can hold significant implications for humans as well. Such discoveries can provide valuable insights into the genetic pathways and mechanisms involved in the development and progression of diseases in both dogs and humans. Additionally, the endeavor to tackle canine diseases is driven by a combination of scientific curiosity and the desire to improve the well-being of beloved human companions. In the event that the present research identifies a genetic variant responsible for the disorder in Dalmatians, it could bring the potential to create a genetic test to diminish the number of dogs affected by the condition. This test would empower breeders to identify carriers of the CT variant and adapt their breeding plans accordingly. An illustrative success story in this regard is the reduction in the prevalence of CT among Bedlington terriers through the application of the *COMMD1* gene deletion test.

3.1.3. Copper toxicosis in dogs

Several studies have shown similarities and differences in the characteristics of Wilson disease and copper toxicity in certain dog breeds. As in humans, mutations in *ATP7B* have been shown to be linked to the disease. However, in dogs, additional mutations have been associated with the disorder. For example, in Bedlington terriers, copper toxicity is caused by an autosomal recessive defect (a deletion) in exon 2 of the *COMMD1* (Copper Metabolism Domain Containing 1) gene (Coronado et al., 2003; Klomp et al., 2003), while in Labrador retrievers and Dobermann dogs, evidence suggests it is caused by genetic modifications in both *ATP7A* and *ATP7B* genes (Fedoseienko et al., 2014; Fieten et al., 2012, 2016; Forman et al., 2005, p. 1; X. Wu, Mandigers, et al., 2019).

The *COMMD1* gene, also known as *MURR1* and *C2orf5*, encodes the copper metabolism MURR1 domain-containing protein 1 (Favier et al., 2012; Forman et al., 2005; Y. G. Kim et al., 2016; Klomp et al., 2003; Lee et al., 2007; Nakaichi et al., 2021; van De Sluis et al., 2002). The *COMMD1* protein is classified as a housekeeping protein due to its extensive interaction with several other proteins (Fedoseienko et al., 2014). Studies indicated that it plays a vital role in facilitating the recycling of valuable proteins for reuse, such as ATP7B. This protein is involved in copper excretion from hepatocytes, and its absence leads to a progressive accumulation of copper within these cells, resulting in chronic hepatitis and cirrhosis in Bedlington terrier dogs (Favier et al., 2012; Forman et al., 2005; Y. G. Kim et al., 2016; Klomp et al., 2003; Lee et al., 2007; Nakaichi et al., 2021; van De Sluis et al., 2002).

In a genome-wide association study involving 235 Labrador retrievers conducted by Fieten et al. (2016), two chromosome regions were detected as harboring *ATP7B* and *ATP7A*, which were

associated with variations in hepatic copper levels. Through DNA sequence analysis, missense mutations were discovered in both genes. Specifically, the amino acid substitution ATP7B:p.Arg1453Gln (mutation *ATP7B*:c.4358G>A) was found to be linked to copper accumulation, while the amino acid substitution ATP7A:p.Thr327Ile (mutation *ATP7A*:c.980C>T) was observed to provide partial protection against copper accumulation (Fieten et al., 2016). Wu et.al (2019), in a research explored whether these two detected mutations (*ATP7A*:c.980C>T and *ATP7B*:c.4358G>A) in Labrador Retrievers were associated with hepatic copper accumulation in Dobermann pinschers as well. They found a significant association between the *ATP7B*: c.4358G>A mutation and hepatic copper accumulation in Dobermanns (X. Wu, Mandigers, et al., 2019). The *ATP7B* gene encodes the ATPase copper-transporting beta (ATP7B) protein, and it plays a crucial role in regulating copper levels in the liver and facilitating copper excretion into the bile (Bull et al., 1993).

In addition to Bedlington terriers (Twedt et al., 1979), Labrador retrievers, and Dobermann pinschers (Thornburg, 1998), there is evidence for familial hepatic copper toxicosis disorder in a few other dog breeds, including Dalmatians (V. L. Cooper et al., 1997; Corbee & Penning, 2021; Webb et al., 2002), Skye terriers (Askwith & Kaplan, 1998; V. L. Cooper et al., 1997), West-Highland White terriers (Thornburg et al., 1986, 1990), and Keeshonds (Dirksen, Burgener, et al., 2017).

Pedigree studies suggested that copper toxicosis in dogs can be inherited in a complex manner, except for the Bedlington terrier breed (Corbee & Penning, 2021). This means that a single genetic mutation does not solely determine the phenotype of copper toxicosis but is influenced by other factors, such as environmental factors and/or additional genes (Corbee & Penning, 2021). For example, a study by Fieten et al. (2016) suggests that copper toxicosis in dogs is believed to

be inherited through a complex mode of inheritance involving both sex-linked and additive patterns (Fieten et al., 2016). According to a pedigree study conducted by Nakaichi et al. (2022), the findings indicated that copper-associated hepatitis observed in the studied Dalmatians follows an autosomal recessive mode of inheritance (Nakaichi et al., 2022). Regardless of the mode of inheritance, investigating the causes of this disorder in different dog breeds could have significant value in the veterinary field (Corbee & Penning, 2021; X. Wu, Mandigers, et al., 2020).

3.1.4. Using whole-genome sequencing in the search for copper toxicosis causes in Dalmatians

Whole-genome sequencing (WGS) has facilitated the identification of mutations accountable for various inherited conditions in dogs (Jagannathan et al., 2019). Notable instances include the identification of a de novo variant in *ASPRV1* linked to ichthyosis (Bauer et al., 2017), variants in *GJA9* associated with polyneuropathy (also known as lower motor neuron disease) (Becker et al., 2017), and *RBP4* involved in a congenital eye defect (Kaukonen et al., 2018). The advantage of WGS is that it can provide a nearly complete characterization of the genomes of affected and unaffected individuals. Through the sequencing of even a small number of individuals, variants unique to or enriched in the affected group can be discovered. Moreover, the impacts of variants on gene products can be reliably predicted using gene location and function information available from gene information databases such as those provide by NCBI and Ensembl. The WGS technology together with bioinformatics approach works particularly well for Mendelian disorders (Teare & Santibañez Koref, 2014) but has been used for complex conditions as well (French et al., 2022; Kanzi et al., 2020).

Given the success of recent work in dogs and other species employing WGS for causative mutation investigation, we sought to identify CT mutations in Dalmatians using WGS. Extensive pedigree analysis conducted for this project (Dr. Georgina Macintyre, Personal Communication, March 01, 2017) and a smaller study by others supports an autosomal recessive inheritance pattern (Nakaichi et al., 2021, 2022), suggesting that a causal mutation might be found through studying the presence and inheritance pattern of variants in affected and unaffected individuals. In this study we analyzed whole-genome sequence data from 13 Dalmatians including presumptive affected, unaffected, and carrier individuals identified through a combination of pedigree analysis, liver biopsy, and owner-provided health information. Three Bedlington Terrier dogs were included in the study as well, including one CT-affected individual known to carry a *COMMD1* mutation, another with CT but with an intact *COMMD1* gene and a surmised unknown CT-associated mutation, and an unaffected individual, also with an intact *COMMD1* gene.

3.2. Research Objectives

- a) Identification and genotyping of SNPs and indels through whole-genome sequence analysis
- b) Identification and genotyping of structural variants through whole-genome sequence analysis
- c) Identification of candidate CT-causal variants by variant filtering
- d) Detection of candidate CT-causal variants from read coverage and read alignment analysis
3.3. Methods/Experimental Design and Materials

3.3.1. Samples for sequencing

The present study obtained ethical research clearance from the Committee of Animal Care and Use at the University of Alberta (AUP 2165) under the guidelines set forth by the Canadian Council on Animal Care (CCAC) regarding the care and utilization of animals in research, pedagogy, and assessment (Canadian Council on Animal Care, 2009). In the US, approved by the Colorado State University Institutional Animal Care and Use Committee (15-5632A and KP IACUC Initial 4138), following Federal Regulations and Guidelines.

In total, genomic DNAs from sixteen dogs, including 13 Dalmatians and 3 Bedlington Terriers, were sequenced using the HiSeqX PE150 and NovaSeq 6000 PE150 Illumina platforms (30x coverage). The dog samples for sequencing were carefully chosen based on pedigree information, disease status (healthy, mildly affected and affected). Five Dalmatian families with affected and unaffected littermates were chosen (Figure 3.2). Two of the Bedlington Terriers were affected and the other one was a healthy individual. Further details on the samples can be found in Table 3.1.



Figure 3.2. Pedigree of the Dalmatian families.

Sample	Breed	Relationship	Sex	Biopsy	Disease Status
D-2	Dalmatian	Littermate of D-1 & D-3	М	Yes	Affected - Copper toxicosis
D-9	Dalmatian	Not related	F	Yes	Affected - Copper toxicosis
D-10	Dalmatian	Offspring of D-11 & D12	F	Yes	Affected - Copper toxicosis - copper values not yet provided
D-15	Dalmatian	Offspring of D-13	F	Yes	Affected - Copper toxicosis
D-16	Dalmatian	Offspring of D-14	Μ	Yes	Affected - Copper toxicosis
D-3	Dalmatian	Littermate of D-2 & D-1	F	Yes	Healthy - No liver copper at 4 vears
D-4	Dalmatian	Sire of D1-D3	Μ	No	Healthy
D-5	Dalmatian	Dam of D1-D3	F	No	Healthy
D-1	Dalmatian	Littermate of D-2 & D-3	М	Yes	Mildly affected - Mild copper- associated hepatopathy
D-11	Dalmatian	Sire of D-10 & D- 14 and Great Grand Sire (dam side) of D-16	М	Yes	Unknown - negative biopsy (anecdotal)
D-12	Dalmatian	Dam of D-10	F	Unknown	'Healthy' at 16 years old (anecdotal)
D-13	Dalmatian	Sire of D-15	М	Unknown	Unknown
D-14	Dalmatian	Dam of D-16	F	Unknown	Unknown
BT-6	Bedlington Terrier	Not related	М	Yes	Affected - Copper toxicosis
BT-7	Bedlington Terrier	Not related	F	Yes	Healthy
BT-8	Bedlington Terrier	Not related	F	Yes	Affected - Copper toxicosis

Table 3.1. Details of sequenced animal samples.

3.3.2. Read mapping and genetic variant calling (SNPs, indels, or larger deletions)

A Snakemake workflow (Köster & Rahmann, 2012) was used to detect SNPs and indels in a high-performance computing (HPC) environment provided by Compute Canada. Prior to the variant calling analysis, the initial stages of the workflow involved quality control and preprocessing of the raw sequencing data (FASTQ files). FastQC v0.11.9 and Trimmomatic v0.36 were used to inspect read quality and to trim reads, respectively. BWA v0.7.17 (H. Li & Durbin, 2009) was used to align the trimmed reads to a reference genome of Canis lupus familiaris (ROS_Cfam_1.0). For coordinating, sorting and indexing the generated SAM files to BAM files the Picard v2.18 (Broad Institute, 2018) SortSam tool was used. Picard v2.18 MarkDuplicates (Broad Institute, 2018) was used to combine the BAM files from the same sample and mark suspected read duplicates. Base quality score recalibration (BQSR) was performed using the BaseRecalibrator tool of Genome Analysis Toolkit (GATK) v3.8 (McKenna et al., 2010) to improve the accuracy of variant calling. The quality of the recalibration run was assessed using GATK AnalyzeCovariates tool. Potential variant sites were detected using GATK HaplotypeCaller, and the resulting GVCF files were jointly genotyped with GATK GenotypeGVCFs. SNPs and indels were extracted using GATK SelectVariants. GATK VariantFiltration was employed to generate filters for soft filtering of SNPs and indels.

3.3.3. Structural variant calling

Smoove v0.2.6 (Pedersen et al., 2018/2020) was used to detect structural variants (SVs) and genotype samples, excluding gapped regions and regions where coverage is more than four standard deviations higher than the mean coverage, calculated across 10,000 bp windows by Mosdepth v0.3.2 (Pedersen & Quinlan, 2018). Genotyping was followed by running Duphold v0.2.3 (Pedersen & Quinlan, 2019) to annotate SV calls with sequence depth information. Next, SnpSift v4.3t (Cingolani, Patel, et al., 2012) was used to soft filter variants that satisfied the following criteria: for all SVs, a MeanSmooveHetQuality (MSHQ) > 3; in the case of deletions, a fold-change for the variant (H. Li et al., 2009) depth relative to flanking regions (DHFFC) < 0.7; and in the case of duplications, a DHFFC > 1.25.

3.3.4. Mapping rate and average genome coverage

Two metrics of mapping rate and average genome coverage were used to check the quality of the read-mapping process and its output BAM files. The mapping rate, also known as the "Uniquely mapped reads percentage," is the ratio of uniquely mapped reads out of all the input reads. A mapping rate exceeding 90% is deemed excellent for variant calling analysis, while mapping rates above 80% are considered good (Dobin & Gingeras, 2015; Mistry et al., 2021). SAMtools v1.12 (H. Li et al., 2009) was used to calculate this metric.

In the concept of whole-genome sequencing, the average genome coverage can be estimated using the parameters including the number of reads (N), the length of the average read (L) and the length of the reference genome (G):

 $N \times L/G$ (Sims et al., 2014)

Mosdepth v0.3.2 (Pedersen & Quinlan, 2018) was used for estimating the average genome coverage of the studied sample's BAM files. The acceptable range of the average genome coverage for a reliable variant calling is different depending on various study goals. Although according to studies by Ajay et al. (2011) and Sims et al. (2014) the acceptable range of the average genome coverage for a reliable variant calling should be between 30 to 50 (Ajay et al., 2011; Sims et al., 2014), Jiang et al. (2019) findings indicated that an average genome coverage of 10 provides an optimal balance for practical purposes, ensuring sufficient coverage and reliable detection of variants (Jiang et al., 2019).

3.3.5. Verifying sample characteristics

Variant calling results were analyzed to verify sample sex and reported relationships, to highlight sample handling or attribution errors. For sex assessment, X chromosome heterozygous

SNP proportion was calculated from the SNP VCF file using the vcftools v0.1.16 (Danecek et al., 2011). The "het" option in vcftools was used for this calculation, considering only SNPs with a "FILTER" value of "PASS" in the VCF file. Relatedness between samples was calculated using the KING algorithm, implemented in vcftools v0.1.16 (Danecek et al., 2011), and accessed using the relatedness2 option. The analysis was performed on the SNP VCF file, considering only SNPs with a "FILTER" value of "PASS" according to the variant call format (VCF) file used in the study.

3.3.6. Identification of candidate causal variants by variant filtering

3.3.6.1. Variant annotation

SNPs and indels were annotated with functional impact predictions using SnpEff v5.0e (Cingolani, Platts, et al., 2012). For SVs annotation, Ensembl Variant Effect Predictor (VEP) (release 105) (McLaren et al., 2016) was used.

3.3.6.2. Variant filtering

Variants were filtered using SnpSift v5.0e (Cingolani, Patel, et al., 2012) based on quality, genotype, predicted functional impact, and overlap with previously proposed candidate copper toxicosis genes. Genotype filtering was used to identify variants that fit with the expected mode of inheritance, the disease status of study animals, and the known relationships among study animals. Multiple filters were applied, to accommodate uncertainties around the status of some samples. Predicted functional impact filtering was used to identify variants that, based on variant annotation, are expected to impact protein function. For filtering based on overlap with candidate genes, a list of 71 genes (as presented in Table 3.2) known to be involved in copper balance

regulation, as well as closely related genes or those potentially involved in copper metabolism or the development of copper metabolism disorders in dog were selected (X. Wu, den Boer, et al., 2020). The VCF outputs obtained through the previous filtering step (genotype-based filtering) were used in this analysis. The functional impact and candidate gene filters were applied separately as well as in succession to the variants passing the genotype filters.

Gene	EnsemblID (Gene stable ID)	Chromosome Gene		EnsemblID (Gene stable ID)	Chromosome
GRM1	ENSCAFG00845013661	1	STX5	ENSCAFG00845007709	18
COX6B1	ENSCAFG00845001977	1	MGST2	ENSCAFG00845021915	19
SOD2	ENSCAFG00845001754	1	CHCHD4	ENSCAFG00845023967	20
MT2A	ENSCAFG00845003852	2	LTF	ENSCAFG00845011930	20
MT4	ENSCAFG00845003871	2	STXBP2	ENSCAFG00845027713	20
MT1	ENSCAFG00845003828	2	RETN	ENSCAFG00845027860	20
COMMD3	ENSCAFG00845008000	2	ATP7B	ENSCAFG00845020988	22
PAM	ENSCAFG00845001601	3	COMMD6	ENSCAFG00845024802	22
FAH	ENSCAFG00845004974	3	CP	ENSCAFG00845023529	23
ATOXI	ENSCAFG00845005141	4	COMMD2	ENSCAFG00845024239	23
SCO1	ENSCAFG00845002812	5	FOXA2	ENSCAFG00845027259	24
COX10	ENSCAFG00845004049	5	PRNP	ENSCAFG00845013725	24
CIRH1A	ENSCAFG00845010015	5	COMMD7	ENSCAFG00845021762	24
COX19	ENSCAFG00845008542	6	GSS	ENSCAFG00845017262	24
ALT	ENSCAFG00845011384	6	EDN3	ENSCAFG00845014217	24
NPC1	ENSCAFG00845014993	7	CLU	ENSCAFG00845010565	25
ESCO1	ENSCAFG00845016045	7	ACTA2	ENSCAFG00845029801	26
CLUL1	ENSCAFG00845001733	7	KRT18	ENSCAFG00845023645	27
FOXA1	ENSCAFG00845002956	8	DMT1	ENSCAFG00845015776	27
KTN1	ENSCAFG00845015557	8	COX15	ENSCAFG00845030808	28
ABCD4	ENSCAFG00845014165	8	CUTC	ENSCAFG00845030834	28
COX11	ENSCAFG00845016207	9	COMMD4	ENSCAFG00845019252	30
SURF1	ENSCAFG00845009922	9	APP	ENSCAFG00845015660	31
SCO2	ENSCAFG00845027878	10	SOD1	ENSCAFG00845022725	31
COMMD1	ENSCAFG00845016604	10	COX17	ENSCAFG00845017310	33
COMMD10	ENSCAFG00845005464	11	ALS2	ENSCAFG00845030698	37
CTR2	ENSCAFG00845014791	11	СРО	ENSCAFG00845021788	37
CTR1	ENSCAFG00845007669	11	IDH1	ENSCAFG00845023478	37
PRDM1	ENSCAFG00845007842	12	PIKFYVE	ENSCAFG00845023569	37
COMMD5	ENSCAFG00845011944	13	CPS1	ENSCAFG00845017752	37
COMMD8	ENSCAFG00845008641	13	ABCA12	ENSCAFG00845020774	37
IL6	ENSCAFG00845008825	14	SLC11A1	ENSCAFG00845027087	37
COMMD9	ENSCAFG00845020681	18	DNPEP	ENSCAFG00845030107	37
WT1	ENSCAFG00845027359	18	ATP7A	ENSCAFG00845020199	Х
MTL5	ENSCAFG00845006803	18	XIAP	ENSCAFG00845025680	Х
CCS	ENSCAFG00845012574	18			

Table 3.2. List of candidate genes used for variant filtering.

Data in this table is from X. Wu, den Boer, et al., (2020).

3.3.7. Detection of candidate causal variants from inspection of copper toxicosis candidate gene alignments using IGV

The list of candidate genes related to copper toxicosis in dogs in the previous step was also used in this step. Ensembl identifiers of candidate copper toxicosis genes were used to obtain the locations of the genes on the ROS_Cfam_1.0 assembly. IGV v2.12.3 (Thorvaldsdóttir et al., 2013) was used to inspect the copper toxicosis-related gene regions, including 1 kb upstream and 1 kb downstream in each study sample. The BAM files from all study samples were loaded into IGV, along with the gene locations and their flanking sequence in BED format. An attributes file was supplied so that sample information could be displayed in IGV. Each gene region was inspected for visual evidence of low or absent reads. Read pairing and insert size information was examined for evidence of deletions, insertions, inversions, and translocations.

3.4. Results

3.4.1. Sequencing, variant calling, and sample quality control

Alignment of sequence reads from the 16 sequenced individuals yielded an average genome coverage of 38.13 and a read mapping rate of 99.43% (Table 3.3), which is well within the range recommended for variant discovery and genotyping (Ajay et al., 2011; Mistry et al., 2021). SNP/Indel and SV variant calling produced 13,391,948 and 49,429 variants respectively, which were used in downstream variant filtering. Relationship and sex checks conducted using the SNP data were consistent with sample attributes provided prior to sequencing, except for the sex assignments of the Bedlington Terrier samples (data not shown). The cause of this discrepancy is unknown but did not preclude the planned analyses, which focused on the Dalmatians.

Sample	Average genome	Mapping rate
Sample	coverage	(%)
D-2	40.16	99.03
D-9	35.17	99.84
D-10	37.24	99.85
D-15	33.70	99.84
D-16	33.50	99.87
D-3	43.05	98.99
D-4	41.33	98.84
D-5	43.31	98.95
D-1	38.87	99.06
D-11	30.15	99.89
D-12	32.05	99.88
D-13	37.35	99.85
D-14	41.50	99.86
BT-6	42.46	98.95
BT-7	39.13	99.05
BT-8	41.03	99.05
Mean	38.13	99.43

Table 3.3. Average genome coverage and read mapping rates of the studied samples.

3.4.2. Variant filtering

The SNP/Indel and SV variant calling workflows generated VCF files containing millions of variants, which is not unexpected. To refine the search for a candidate causal variant, several filtering steps were taken, each reducing the number of remaining variants under consideration (Table 3.4). The first filtering step called "Quality" was applied to remove false-positive variants using commonly used criteria in the variant calling process. This quality filter was not applied to the SVs due to the lack of filtering tools and reference databases for identifying false-positive SVs.

Input source	Input SNPs	Input Indels	Input SVs	Filters	Output SNPs	Output Indels	Output SVs
Variant calling	9,050,768	3,548,482	49,429	Quality	8,216,377	3,480,761	49,429
Quality	8,216,377	3,480,761	49,429	Scenario 1	3,252	1,979	17
Scenario 1	3,252	1,979	17	Function	7	1	0
Scenario 1	3,252	1,979	17	Candidate	0	3	0
Scenario 1	3,252	1,979	17	Function & Candidate	0	0	0
Quality	8,216,377	3,480,761	49,429	Scenario 2	0	0	0
Quality	8,216,377	3,480,761	49,429	Scenario 2b	5	17	0
Scenario 2b	5	17	0	Function	0	0	0
Scenario 2b	5	17	0	Candidate	0	0	0
Scenario 2b	5	17	0	Function & Candidate	0	0	0

Table 3.4. The number of SNPs, indels and SVs that passed downstream variant filtering.

After quality control filtering, multiple "filtering by genotypes" approaches were applied, in order to identify variants that fit with the hypothesized autosomal recessive inheritance pattern for a causative allele. Three different genotype scenarios were considered (Table 3.5). Each scenario is defined by the genotype pattern that leads to variants passing the filter (i.e., being considered as candidate causal mutations). Alleles in the genotypes are expressed either as "0" corresponding to the allele observed in the reference genome, or "1" corresponding to the allele not observed in the reference genome (also termed the alternative allele). In the first scenario (Scenario 1), a subset of samples with high-confidence phenotypes and their parents were considered. This filter kept variants where affected individuals D-2, D-9, D-10, D-15, and D-16 have homozygous non-reference genotypes (1/1), and carrier parents D-4 and D-5 are heterozygous (1/0). An unaffected littermate D-3 was permitted to be homozygous reference (0/0) or heterozygous (1/0) (Table 3.5). The genotypes of the remaining samples were not examined in

Scenario 1. The number of variants entering and exiting this, and other filters is shown in Table 3.4 .

An alternate, more restrictive filter was also applied, termed "Scenario 2". This filter considered the genotypes of all the Dalmatians in the data set, looking for 1/1 genotypes in the case of affected animals, and 1/0 genotypes for parents of affected animals. For this filter, D-2 was assigned a genotype of 0/0 to be consistent with the autosomal recessive model and the lack of disease in this individual at 4 years of age. No variants passed this filter (Table 3.4).

A third filter was applied, termed "Scenario 2b", that is slightly less restrictive than Scenario 2 in that it allows D-3 to be 1/0 or 0/0 and D-1 to be 1/1 or 1/0 (Table 3.5). These allowances were made to capture uncertainty around these samples' genotypes, given their phenotypes and /or relationships to other animals in the data set. D-1 dog was mildly affected (diagnosed with mild copper-associated hepatopathy). This could indicate two possibilities that we considered in this filtering scenario: either this individual was a CT-affected Dalmatian that was detected in the early stages of the progression of the disorder, or it is a carrier which influenced by an environmental factor, such as a diet rich in copper, or potentially other genetic and environmental changes. D-3 sample was a healthy individual with no signs of hepatic copper at 4 years. This diagnosis could imply two possibilities that was considered in this filtering scenario: This individual could be a non-carrier and therefore representing a completely healthy genetic control, or it could be a carrier without evident symptoms, which is typically the case. The challenge here arises from our limited understanding of whether carriers can eventually develop symptoms, a question that also poses complexities in humans, where most carriers do not exhibit symptoms, based on our current understanding. In the end, 5 SNPs, 17 indels and no SVs were kept in the resulting file following the implementation of this filtering step (Table 3.4).

Sample	Disease status	Relationship	If autosomal recessive (simple model)	Scenario 1 genotypes	Scenario 2 genotypes	Scenario 2b genotypes
D-2	Affected - Copper toxicosis	Littermate of D- 1 & D-3	Diseased	1/1	1/1	1/1
D-9	Affected - Copper toxicosis	Distantly related (no parental DNA sequenced)	Diseased	1/1	1/1	1/1
D-10	Affected -	Offspring of D- 11 & D12	Diseased	1/1	1/1	1/1
D-15	Affected - Copper toxicosis	Offspring of D- 13	Diseased	1/1	1/1	1/1
D-16	Affected - Copper toxicosis	Offspring of D- 14	Diseased	1/1	1/1	1/1
D-3	Healthy - No liver copper at 4 years	Littermate of D- 2 & D-1	Healthy (possible carrier, but unlikely)	1/0 or 0/0	0/0	1/0 or 0/0
D-4	Healthy	Sire of D1-D3	Obligate carrier	1/0	1/0	1/0
D-5	Healthy	Dam of D1-D3	Obligate carrier	1/0	1/0	1/0
D-1	Mildly affected - Mild copper- associated hepatopathy	Littermate of D- 2 & D-3	Obligate carrier/affected	-	1/1	1/1 or 1/0
D-11	Unknown - negative biopsy (anecdotal)	Sire of D-10 & D-14 and Great Grand Sire of D- 16	Obligate carrier	-	1/0	1/0
D-12	'Healthy' at 16 years old (anecdotal)	Dam of D-10	Obligate carrier	-	1/0	1/0
D-13	Unknown	Sire of D-15	Obligate carrier	-	1/0	1/0
D-14	Unknown	Dam of D-16	Obligate carrier	-	1/0	1/0
BT-6	Affected - Copper toxicosis	Not related	Diseased - fits published gene model - high copper	-	-	-
BT-7	Healthy	Not related	Healthy (possible carrier)	-	-	-
BT-8	Affected - Copper toxicosis	Not related	Diseased - does not fit published gene model - intermediate copper	-	-	-

Table 3.5. Filtering by genotypes scenarios

Given the large number of variants passing Scenario 1 and 2b, three additional filters were applied. The first filter kept variants predicted to have an impact on the function of a gene or protein and was termed "Function". The second filter, termed "Candidate", kept variants that overlap with a proposed copper toxicosis candidate gene. The third filter, termed "Function & candidate" kept variants that passed both function and candidate gene filters. Ultimately, just 7 SNPs and 1 indel from Scenario 1 were identified as having a predicted functional impact on genes, and 3 indels from Scenario 1 overlapped with candidate genes (Table 3.6). No variants from Scenario 2b passed either filter and no variants passed through both filters.

Variant	Final Filter	Chromo some	Position	ID	Gene Name	Ensembl Gene ID	Impact	Consequence	Gene Information
SNP	Function	6	55489862	rs24346917	ABCA4	ENSCAFG00845015579	MODERATE	Missense variant ¹	Description: ATP binding cassette subfamily A member 4 [Source: NCBI]. Gene type: Protein coding
SNP	Function	9	36668670	rs851554803	PPM1D	ENSCAFG00845028053	MODERATE	Missense variant	Description: protein phosphatase, Mg2+/Mn2+ dependent 1D [Source: HGNC]. Gene type: Protein coding
SNP	Function	9	61752385	rs852337682	DAB2IP	ENSCAFG00845014246	MODERATE	Missense variant	Description: DAB2 interacting protein [Source: HGNC]. Gene type: Protein coding
SNP	Function	13	50140922	rs852477228	ENSCAFG00845014237	ENSCAFG00845014237	MODERATE	Missense variant	Description: This is a novel dog gene [Source: Ensembl]. Gene type: Protein coding
SNP	Function	23	6948039	rs851775508	TRANK1	ENSCAFG00845026194	MODERATE	Missense variant	Description: tetratricopeptide repeat and ankyrin repeat containing 1 [Source: HGNC]. Gene type: Protein coding
SNP	Function	35	23807015	rs23933721	ENSCAFG00845024392	ENSCAFG00845024392	MODERATE	Missense variant	Description: This is a novel dog gene [Source: Ensembl]. Gene type: Protein coding
SNP	Function	35	24024171	rs852645952	ENSCAFG00845025154	ENSCAFG00845025154	MODERATE	Missense variant	Description: This is a novel dog gene that has 1 transcript [Source: Ensembl]. Gene type: Protein coding
Indel	Function	10	20740068		PPARA	ENSCAFG00845010405	HIGH	Frameshift variant ²	Description: peroxisome proliferator activated receptor alpha [Source: NCBI]. Gene type: Protein coding
Indel	Candidate	8	31692149		KTN1	ENSCAFG00845015557	MODIFIER	intron variant ³	Description: kinectin 1 [Source: HGNC]. Gene type: Protein coding
Indel	Candidate	24	1563989		FOXA2-PAX1	ENSCAFG00845027259- ENSCAFG00845027290	MODIFIER	intergenic region ⁴	Note: This variant overlapped with two candidate genes. <i>FOXA2</i> description: forkhead box A2 [Source: HGNC]. <i>PAX1</i> description: paired box 1 [Source: HGNC]. Both gene's type: Protein coding.
Indel	Candidate	33	23542313		COX17	ENSCAFG00845017310	MODIFIER	downstream gene variant ⁵	Description: cytochrome c oxidase copper chaperone COX17 [Source: NCBI]. Gene type: Protein coding

Table 3.6. Details of Scenario1 variants that passed final filtrations.

1. A genetic mutation where one amino acid in a protein is replaced by another due to a single nucleotide change. This can affect the protein's function and may or may not be linked to disease. 2. A genetic mutation caused by insertions or deletions of DNA nucleotides, shifting the reading frame during translation. This often results in a nonfunctional or truncated protein and possibly can be associated with severe consequences. 3. A variant occurring within a gene's non-coding intron region, between exons. These variants typically don't impact protein-coding but can affect gene regulation and splicing. 4. A variant in non-coding genomic regions between genes. These variants don't affect gene coding sequences and may have regulatory or structural roles. 5. A variant in the non-coding region just after a gene. These can influence gene regulation and splicing but don't change the gene's coding sequence.

3.4.2.1. Inspection of the candidate gene alignments in IGV

The inspection of candidate gene regions in IGV for anomalous read coverage or alignments revealed no differences between cases and controls for the Dalmatian samples. In one of the Bedlington Terrier samples (BT-6), a control known to have the *COMMD1* deletion from previous PCR studies (Coronado et al., 2003; Forman et al., 2005), there was a clear absence of read coverage for the *COMMD1* gene region, consisting with a homozygous deletion (figure 3.3). This does not represent a new finding but demonstrates the ability of whole-genome sequencing to reveal such deletions. Notably no such deletion is present in the Dalmatian samples.



Figure 3.3. The observed large deletion in the COMMD1 gene in one of the Bedlington Terrier samples (BT-6).

3.5. Discussion/Conclusions

In the present study, various potential causes of copper toxicosis in a collection of presumptive cases, carriers, and controls, considering SNPs, indels, and SVs were investigated. Despite employing SNP, indel, and SV filtering strategies based on genotype, predicted functional consequence, and candidate gene overlap, no obvious candidate causal variants were identified. Ultimately, it was through multiple additional filtering steps on Scenario 1 output variants that we detected 7 SNPs and 1 indel of the variants (variants passing the genotype filters) as having a predicted functional impact on genes and 3 indels were detected to be overlapping with candidate genes. However, because of the lack of the affected gene's known roles in copper metabolism, it is difficult to refine this list further to produce a specific candidate causal mutation.

It is worth noting that among the three detected indels that passed the candidate filter, one is located downstream (position: 23542313 bp) of the *COX17* gene, which encodes the cytochrome c oxidase copper chaperone COX17 (Banci et al., 2011). This indel is categorized as having a modifier functional impact. Modifier functional impact refers to a genetic variant predicted to have a regulatory or modifying effect on other genetic elements rather than directly altering protein-coding sequences. Such variants can play a role in modulating gene expression or modifying the impact of other genetic variants, hence the term "modifier." While this variant doesn't alter the gene's coding sequence, it may affect gene regulation or splicing processes, potentially influencing *COX17*'s role in copper metabolism and cytochrome c oxidase function. However, it is crucial to emphasize that further experiments/research are needed to provide more robust evidence that any of the identified candidate variants are true candidate mutations.

There are multiple potential reasons for the absence of a true candidate causal mutation in this study. One possible explanation is genetic heterogeneity. Genetic heterogeneity happens when various genetic variations or mutations cause the same condition or disease in a group of affected individuals (Rimoin et al., 2013). In other words, it can occur as a result of different mutations of a gene (allelic heterogeneity) or mutations in different genes (locus heterogeneity) and both instances can cause the same disease in different individuals (Rimoin et al., 2013).

The presence of modifier genes could also present difficulties in the search for a causative mutation using the approaches applied in this study. Unlike disease-causing genes, which result in the direct development of specific conditions through pathogenic mutations, modifier genes are genetic elements that do not cause a particular condition independently, instead they affect the expression of other genes, both in terms of their physical manifestation and at the molecular level. They function as controllers or influencers of the impacts exerted by other genes within the genome and have the ability to influence various traits (Geurts van Kessel et al., 2013).

Another possible reason for not detecting a candidate causal mutation in this study could be due to a situation called phenocopies. Phenocopies are instances where a specific set of observable traits (phenotype) appears in individuals, but it is not attributed to the anticipated genetic mutation or inheritance pattern. Instead, the observed phenotype arises from influences other than genetics, such as non-genetic factors or environmental elements. Phenocopies can occur for various reasons, which include: 1) Environmental factors / phenotypic variability: Environmental factors like diet, toxins, medications, or infections can imitate the effects of specific genetic mutations, resulting in similar observable characteristics. Copper toxicosis in some canine breeds, is believed to be a multifactorial disease involving both genetic and environmental factors (Fieten et al., 2016). For example, copper levels in the liver are influenced by the uptake of copper through diet (Fieten et al., 2016) and in some cases, dogs with high levels of copper in the liver can be treated with a copper-restricted diet (Fieten et al., 2014). Thus, factors and possible interventions may not always be apparent and could complicate the issue of assignment cases and controls.

Another possible explanation for this could be that the detection of certain gene variants might have been limited because the list of candidate genes selected for filtering in this study were primarily chosen based on their known association with copper metabolism including genes involved in copper balance regulation and closely related genes with potential involvement in copper metabolism disorders in dogs. However, implying new filters that include other pathways, such as vesicular trafficking of proteins, might reveal intriguing gene variants.

Additionally, another contributing factor to this issue might be that some of the affected individuals may not have generated high-quality reads across specific genomic regions, which could result in their exclusion from the analysis, as they needed to meet the criteria of having homozygous non-reference genotype (1/1).

Despite the disappointing lack of a clear candidate casual mutation in Dalmatians from this study the results are still of value. Firstly, the in-depth assessments of CT candidate genes done in this study suggest that mutations in the previously identified genes *COMMD1*, *ATP7A*, and *ATP7B* (Fedoseienko et al., 2014; Fieten et al., 2012; Forman et al., 2005; Klomp et al., 2003) are unlikely to be involved in the disease in Dalmatians. In the case of *COMMD1* it is especially clear from the IGV visualizations that a deletion of this gene is not present in the affected Dalmatians, and that we would detect such a deletion if it were present. Therefore, the findings of this study indicate that the existing *COMMD1* deletion test available to breeders, to identify carriers of the CT-

associated allele, will not be applicable or useful for the Dalmatian breed. The results of the presented study also suggest that the same limitation applies to *ATP7B* as well. The test currently offered for Labrador Retrievers will not be a reliable indicator for the Dalmatian breed. Secondly, the sequence data generated can be used in future studies involving additional samples and employing new bioinformatic methods. For example, new reference genomes, mapping procedures, or variant calling methods could deliver new insights.

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CHAPTER 4. Detection of Candidate Recessive Lethal Mutations Contributing to Low Fertility in Beef Cattle Using Whole-Genome Sequencing

(WGS)

4.1. Introduction and Literature Review

The condition known as suboptimal fertility/infertility refers to a decreased or absent capacity to produce progeny that can grow and function as healthy and productive individuals and it leads to significant economic damage to producers. According to the Alberta Cow-Calf Audit (1986-88, 1997-1998), the culling rate in Alberta stands at around 10 to 11% (SD=10%) of the cow herd annually (Alberta Cow-Calf Audit, 2001). Additionally, findings from the 2nd Western Canadian Cow-Calf Survey (WCCCS II aggregate report, 2017) reveal that the average culling rate specifically for breeding females during Fall/Winter 2017/18 was documented at 11.7% (2nd Western Canadian Cow-Calf Survey, 2018). With prevailing weaned calf prices reported at \$3.60/lb for a 500 lb calf (Alberta Beef Producers, 2023), each infertile cow represents a loss of \$1,800 in income for the cow-calf producer. Additionally, the replacement of an infertile cow incurs a cost of approximately \$900 per replacement heifer (factoring in the cost of developing the replacement heifer minus the income from selling the culled cow). Consequently, an incidence rate of 11% for infertile cows (considering an average culling rate of 11%), equivalent to 11 cows in a 100-head herd, could potentially result in a total economic loss of \$29,700 annually.

Generally, cattle breeding programs aim is to have 90-95% of their cows and replacement heifers bred in an average 65-day breeding season (Navarre, 2010). However, achieving this goal may encounter challenges due to various issues, including reproductive loss, bull infertility, inadequate nutrition pre-breeding season, drought, improper development of replacement heifers, low heterosis, inadequate vaccination program for reproductive diseases.

Given the low heritability of fertility traits, a significant proportion of the phenotypic variance in this trait is attributed to non-additive genetic effects rather than additive genetic effects.

This phenomenon arises from the unique combination of genes inherited by an offspring through genetic recombination and Mendelian sampling. Notably, heterosis, a non-additive genetic effect, significantly influences female fertility, longevity, and lifetime productivity (Basarab et al., 2018). The underlying biological mechanisms linked to these advantageous outcomes are believed to be associated with dominance and epistasis, which denote interactions between genes.

Reproductive loss pertains to a decrease in the reproductive efficiency of a cattle herd, resulting in a diminished number of offspring being born. Pinpointing the exact cause in each instance can be challenging, given that reproductive loss may arise from various factors, including infertility, abortion, stillbirths, and neonatal mortality (Waldner, 2019). The impact of these losses on the profitability of a cattle operation can be significant, as they result in fewer calves being born (Daly, 2021; Waldner, 2019).

Causes of infertility/suboptimal fertility can be categorized into two groups: infectious and non-infectious (Navarre, 2010). Non-infectious causes of infertility in female cattle are nutrition, stress and genetics and in male cattle are failing to use a bull that has passed a breeding soundness exam, insufficient bull numbers for cow numbers and environment, and bull(s) with poor libido (Navarre, 2010). Inadequate nutrition for cows is one of the leading factors that can cause infertility in beef herds. Although overfeeding, particularly in heifers, can also be problematic, it is not as prevalent. Infertility can be influenced by the cow's body condition prior to calving, after calving, and at breeding. For example, cows that are thin when they calve but gain weight during breeding are more likely to conceive than cows that remain thin. However, cows that maintain optimal body conditions during pregnancy are more likely to become pregnant again (Navarre, 2010).

Stress is another significant risk factor for pregnancy loss during any gestation phase in cattle. Therefore, practicing low-stress handling techniques while processing cattle is crucial for minimizing this issue. Heat stress can also cause infertility, particularly in the initial stages of pregnancy. High humidity can further aggravate heat stress, resulting in a more detrimental effect on conception rates (Navarre, 2010).

The presence of recessive lethal mutations is one of the genetic factors that can contribute to infertility or suboptimal fertility in cattle. When two carriers (heterozygotes) possessing a recessive lethal mutation mate, offspring homozygous for the lethal mutation are not viable and depending on when the lethality occurs this cause of reduced fertility may lead to early embryonic loss, stillbirth, or calf death. A carrier animal will appear normal phenotypically, making it difficult to address the issue through traditional breeding techniques without knowledge of the allele and a means to detect its presence.

Several studies have identified genomic regions that appear to harbor recessive lethal variants, in a variety of breeds including Ayrshires (T. A. Cooper et al., 2014), Brown Swiss (Schwarzenbacher et al., 2012; VanRaden et al., 2011), Holstein (Adams et al., 2016; Agerholm et al., 2006; Charlier et al., 2012; Fritz et al., 2013; Sahana et al., 2013; VanRaden et al., 2011), Jersey (Sonstegard et al., 2013; VanRaden et al., 2011), Montbéliarde (Fritz et al., 2013), and Normande breed (Fritz et al., 2013). Using the above studies results, Valour et al. (2015) in a review article concluded that an overall significant negative impact on the calving rate was observed for 20 haplotypes. Additional studies were conducted for the characterization of six of those areas using whole-genome sequencing data obtained from carrier bulls. These studies identified mutations believed to be responsible for the lethality, in the genes *FANCI* (Brachyspina),

APAF1 (haplotype H1), *SMC2* (HH3), *GART* (HH4), *CWC15* (JH1), and *SLC37A2* (MH1) (Valour et al., 2015).

VanRaden et al. (2011) found five new lethal recessives by investigating haplotypes with high frequencies among three cattle breeds, including Holstein, Jersey, and Brown Swiss, but they were not observed in homozygous form (VanRaden et al., 2011). Cooper et al (2014) identified a haplotype that affects Ayrshire fertility and traces back in the genotyped population to the bull Selwood Betty's Commander (born in 1953). The haplotype carrier frequency for genotyped Ayrshires was 26.1%. That haplotype, which was designated as AH1, was expected to be homozygous in 14 animals (56 mating of carrier sire by carrier maternal grandsire (MGS) divided by 4). However, none were observed in the genotyped population (T. A. Cooper et al., 2014). In a study by Hoff et al (2017), seven loci that potentially possess recessive lethal haplotypes were found but none of them were in homozygous form despite relatively high expectation of homozygote occurrence due to an adequately high frequency and pedigree-based prediction. They proposed these haplotypes as candidates for carrying autosomal recessive lethal alleles. The direct phenotypic effects of these haplotypes had not been noticed, but could be inconspicuous such as in the event of early embryonic loss or may be unreported defects leading to the loss of the calf (Hoff et al., 2017).

In this study we evaluated an alternative approach for detecting candidate recessive lethal mutations: whole-genome sequencing of 20 beef cattle selected among those that had demonstrated a high infertility/subfertility rate (more than 15% open rate). The rationale for this approach is that WGS provides a near-complete view of the genome that, coupled with bioinformatic tools, can reveal mutations that have a large impact on gene function.

4.2. Research Objectives

- a) Identification and genotyping of SNPs and indels based on whole-genome sequences of the nine selected beef cows and 11 AI sires.
- b) Use of bioinformatic strategies to identify candidate recessive lethal variants among the identified variants.

4.3. Methods/Experimental Design and Materials

4.3.1. Sampling and whole-genome sequencing (WGS)

The samples used in this study were collected under the RFI Methane project, from the beef cattle herd at the Lacombe Research and Development Centre (LRDC), under the supervision of PI John Basarab, following the University of Alberta Animal Care and Use Protocol (AUP00001140) approved by the LRDC Animal Care Committee. Furthermore, the study adhered to the guidelines established by the Canadian Council on Animal Care (CCAC) concerning the care and utilization of farm animals in research, education, and evaluation (Canadian Council on Animal Care, 2009).

Beef cattle were chosen among those that had demonstrated a high infertility rate (more than 15% open rate). For this matter, 11 mating groups of 15–49 crossbred cows were exposed to purebred black Angus, Red Angus, and black Simmental AI sires during the breeding season of 2017 at the LRDC. The open rate among these mating groups varied from 5 to 22%. Therefore, three criteria were considered for selecting the animals for sequencing: 1) Selecting cows from the mating groups was based on the highest open rate for the 2017 breeding season. 2) Only the
youngest open cows were selected. 3) Bulls common to mating groups with the highest open rates were selected. In total 20 animals, including nine cows and 11 AI sires, were selected for sequencing.

Genomic DNA samples from the 20 selected animals were sent to the Génome Québec Innovation Centre for Illumina HiSeqX PE150 whole-genome sequencing (with 20x sequencing depth). The resulting FASTQ files were used for variant calling and genotyping.

4.3.2. SNPs and indels identification and genotyping through variant calling

SNPs and indels calling was performed using a custom pipeline written by Dr. Emily Herman (University of Alberta) using the Snakemake workflow management system (Köster & Rahmann, 2012; Mölder et al., 2021). The workflow was run on a high-performance computing cluster (cedar) accessed through Compute Canada. The pipeline steps can be classified into two main procedures: read mapping and variant calling. Additional information about this pipeline is available from the GitHub repository: https://github.com/stothard-group/variant-calling-pipeline.

4.3.2.1. Read mapping

For sequence read quality assessment, the FastQC v0.11.9 program (Andrews, 2010) was run on all FASTQ files. Trimmomatic v0.36 (Bolger et al., 2014) was used for quality trimming. For mapping the trimmed reads against the reference genome of Bos taurus (ARS-UCD1.2_Btau5.0.1Y), the BWA v 0.7.17 (H. Li & Durbin, 2009) software package was used. To coordinate-sort and to index the created SAM files from the previous step to BAM files, the Picard v2.18 (Broad Institute, 2018) SortSam tool was used. Picard v2.18 MarkDuplicates (Broad Institute, 2018) was run to aggregate the BAM files from the same sample and mark suspected read duplicates. Base quality recalibration of BAM files was performed using GATK v.3.8 (O'Connor & Van Auwera, 2020) PrintReads tool to create recalibrated bam files.

4.3.2.1.1. Read counts, mapping rates and sequencing coverage/depth

Read counts, mapping rates and average genome coverage/depth were calculated using the BAM files generated for each sample through the read mapping process, along with SAMtools v1.17 (H. Li et al., 2009) and htslib v1.17 (H. Li & Durbin, 2009). The number of sequencing reads generated from a sample is referred to as the "read count." The mapping rate indicates the proportion of reads correctly aligned to the reference genome (Y. Chen et al., 2021; Trapnell et al., 2009). Average genome coverage, also referred to as average genome depth, denotes the mean frequency with which a base in the genome is sequenced.

4.3.2.2. Variant calling

The HaplotypeCaller tool from GATK v.3.8 (O'Connor & Van Auwera, 2020), was run on contigs and chromosome together with the recalibrated BAM files generated in the "Read Mapping" procedure. The HaplotypeCaller calls SNPs and indels concurrently through local reassembly of haplotypes. For genotyping chromosomes and contigs, the GenotypeVCFs tool from the GATK v.3.8 (O'Connor & Van Auwera, 2020) toolkit, was run on the created '.g.vcf' files for each chromosome and the combined contigs, per sample. For extracting SNPs and indels from each chromosome and contigs, per sample, the GATK v.3.8 (O'Connor & Van Auwera, 2020) SelectVariants tool was used. Filtering SNPs and indels from chromosomes and contigs, was

performed using the VariantFiltration tool from the GATK v.3.8 (O'Connor & Van Auwera, 2020) toolkit. Finally, for concatenating and combining chromosome and contig SNPs and indels VCF files, the GatherVcfs (*GatherVcfs (Picard*), 2019) was used.

4.3.3. Assessments of sample's sex chromosomes for quality control of the samples

As part of routine screening for sample handling errors, the sex chromosome complement of samples was assessed using SNP genotypes. Two approaches were applied to high-confidence SNPs (SNPs with a FILTER value of "PASS") to differentiate XX and XY samples: Xchromosome heterozygosity and Y-chromosome missing genotype proportion. The first metric is expected to be higher in XX animals and the latter lower in XY animals. VCFtools v0.1.16 (Danecek et al., 2011) was used to calculate the proportion of heterozygous X-chromosome SNPs in each sample. The Y chromosome missing genotype proportion was calculated using BCFtools v1.8 query command (Danecek et al., 2021)

4.3.4. Identification of candidate recessive lethals using variant filtering

To identify candidate recessive lethals related to suboptimal fertility/infertility in cattle, a four-step filtering process was employed to narrow down the large number of variants discovered during the first objective. This process was implemented to identify the most credible candidate lethal haplotypes.

The primary objective of the first filtering step, "Filter 1," was to eliminate sites that had a "FILTER" flag other than "PASS." The filter set defined in the variant calling pipeline employed in this study, which were based on the recommendations of the 1000 Bull Genomes Project, was

utilized for this purpose. The primary purpose of applying this filter was to remove low-quality variants that lacked adequate supporting evidence, thereby reducing the number of false-positive variants in the dataset.

The purpose of the second filtering step, called "Filter 2," was to remove sites where a homozygous non-reference genotype was observed. The reason for applying this filter was that any variants observed in homozygous forms are not reasonable recessive lethal candidate mutations since phenotypically normal cattle were sequenced to generate the VCF files for analysis. However, one challenge with this step was that a site could receive a homozygous genotype in an individual due to low read depth, leading to potential false positives. Therefore, sites that exhibited at least one homozygous non-reference genotype and where that genotype was of good quality (GQ > 10), according to the VCF file, were removed. "GQ" refers to "genotype quality," a measure of confidence in the genotype call at a particular site. Thus, a higher GQ score indicates a higher confidence level in the genotype call. GQ is calculated by the variant calling software based on the read depth and quality of the reads supporting each allele.

The third filtering step, called "Filter 3", was done using the 1000 Bull Genomes Project dataset (Hayes & Daetwyler, 2019). This project aims to provide the bovine research community with an extensive database of genotypes for the imputation of genetic variants for genomic prediction and genome-wide association studies in all cattle breeds. In this step, variants that were homozygous non-reference (1/1) in any animal in the 1000 Bulls data set were removed from the 20-animal data set, with the rationale that such variants are not good candidates as recessive lethals.

For the next filtering step, called "Filter 4," initially, the remaining variants were annotated with predicted functional impacts and then those with high functional impacts were kept. SNPs

and indels were annotated with functional impact predictions using SnpEff v5.0e (Cingolani, Platts, et al., 2012) and the ARS-UCD1.2.99 SnpEff annotation database.

4.3.5. Allele frequency (AF) of alternative alleles for candidate causal SNPs and indels

The allele frequency (AF) signifies the proportion or occurrence frequency of the alternate allele in the population/dataset at a specific genomic position. AF for the remaining candidate recessive lethal variants was assessed in the sequenced animals, using INFO tags in the VCF file, to further assess the plausibility of each recessive lethal candidate.

4.4. Results

The current research involved analyzing 20 animal's genomes to detect potential recessive lethal mutations associated with subfertility/infertility in beef cattle using two variant calling pipelines. The alignment of sequence reads from the 20 sequenced individuals resulted in an average genome coverage of 18.43 and a read mapping rate of 97.71% (details in table 4.1). These values fall within the recommended range for variant discovery and genotyping, as suggested by Mistry et al. (2021) and koboldt (2020) (Koboldt, 2020; Mistry et al., 2021).

Sample	Read counts	Mapping rates	Average genome coverage
C1	388,304,443	99.70	18.57
C2	367,379,344	99.66	16.87
C3	401,869,604	99.64	19.41
B1	363,255,910	99.34	16.87
C4	413,252,308	97.84	19.37
C5	457,212,533	96.98	21.19
C6	551,415,804	99.68	26.28
C7	452,295,797	99.54	21.73
C8	437,641,238	99.56	21.08
C9	364,415,007	99.34	17.50
B2	387,655,892	99.64	18.22
B3	337,508,516	99.76	16.00
B4	407,098,910	99.68	19.05
B5	401,277,740	99.77	18.97
B6	344,519,847	99.66	16.31
B7	448,596,000	99.27	20.87
B8	385,603,318	99.49	17.85
B9	468,042,708	66.36	12.29
B10	33,2951,159	99.42	15.61
B11	307,258,012	99.77	14.49
Mean	400,877,705	97.71	18.43

Table 4.1. Average genome coverage and read mapping rates of the studied samples.

The findings from the analysis of the sample's sex chromosomes, including X-chromosome heterozygosity and Y-chromosome missing genotype proportion, were consistent with what was anticipated based on the supplied sample information (data not shown).

Following variant calling and sex chromosome assessment, various filters were applied in an attempt to identify a final set of plausible recessive lethal candidate mutations. The successive filter steps reduced the initial set of 17,255,921 SNPs and 2,590,194 indels, to a final set of 391 SNPs, and 3,527 indels, (Table 4.2).

Filters	Description	Number of retained SNPs after filtering	Number of retained indels after filtering
Variant calling	This shows the initial numbers of SNPs and indels obtained using variant calling process.	17,255,921	2,590,194
Filter 1	This filter is for removing low-quality variants (sites that had a "FILTER" flag other than "PASS.")	16,076,677	2,521,814
Filter 2	This filter is for removing sites where a homozygous non-reference genotype was observed	7,734,915	1,133,491
Filter 3	This filter is for removing variants from the 20-animal data set that were homozygous non-reference $(1/1)$ in any animal in the 1000 Bulls data set	1,110,503	400,760
Filter 4	This filter is for keeping variants with high functional impacts	391	3,527

Table 4.2. The number of retained SNPs and indels after each step of filtering.

Each filtering scenario is characterized by a specific quality criterion, genotype pattern, or functional annotation that results in variants successfully passing the filter, thereby being identified as potential causal mutations. As a result of implementing four filtering steps in this research study, 391 SNPs and 3,527 indels with "HIGH" functional impacts were obtained which are considered potential causative variants. These variants are available at https://doi.org/10.5683/SP3/MZTWVP.

The frequency of the putative causal alleles was assessed in the 20 sequenced animals, the rationale being that true recessive lethal alleles should be rare, and that alleles appearing in several animals are unlikely to be causative alleles. A large portion of the candidate causal SNP alleles (43%) appeared just once in the sequenced animals (figure 4.1), whereas 79% of the candidate cause indel alleles were observed once (figure 4.2).

Allele count (AC) in genotypes	Allele frequency (AF) for	The number of locations/sites	
for each ALT allele in the VCF	each ALT allele in the VCF	(variant counts) in the candidate	
file	file	SNPs	
AC= 1	AF=0.025	169	
AC=2	AF=0.05	63	
AC=3	AF=0.075	35	
AC= 3,2	AF=0.075,0.05	1	
AC= 3,6	AF=0.075,0.15	1	
AC= 4,4	AF=0.111,0.111	1	
AC=4	AF=0.1	21	
AC= 5,2	AF=0.125,0.05	1	
AC=5	AF=0.125	21	
AC=6	AF=0.15	14	
AC= 7,3	AF=0.194,0.083	1	
AC=7	AF=0.175	12	
AC= 8, 1	AF=0.2,0.025	1	
AC=8	AF=0.2	4	
AC=9	AF=0.225	7	
AC= 10	AF=0.25	3	
AC=11	AF=0.275	4	
AC= 12	AF=0.3	6	
AC= 13	AF=0.325	7	
AC= 14	AF=0.35	4	
AC= 15	AF=0.375	1	
AC= 16	AF=0.4	1	
AC= 17	AF=0.425	3	
AC= 18,2	AF=0.45,0.05	1	
AC= 18	AF=0.45	2	
AC= 19	AF=0.475	1	
AC= 20	AF=0.5	5	
AC=21	AF=0.525	1	

Table 4.3. Allele count (AC) and allele frequency (AF) of genotypes for each alternative allele (ALT) in the 391 candidate causal SNPs.

AC and AF for the final candidate recessive lethal SNPs was assessed in the sequenced animals, using INFO tags in the VCF file.



Figure 4.1. Prevalence of the various ALT allele frequencies (AF) of the candidate causal SNPs.

Allele count (AC) in genotypes	Allele frequency (AF) for each ALT	The number of locations
for each ALT allele in the	allele in the same order as in the	(variant counts) in the
same order as in the VCF file	VCF file	candidate indels
AC=1,1,1	AF=0.025,0.025,0.025	1
AC=1,1	AF=0.025,0.025	22
AC=1,2	AF=0.025,0.05	1
AC=1,5	AF=0.026,0.132	1
AC=1	AF=0.025	2787
AC=2,1,1	AF=0.05,0.025,0.025	3
AC=2,1	AF=0.05,0.025	6
AC=2,2	AF=0.05,0.05	3
AC=2,3	AF=0.053,0.079	1
AC=2,6	AF=0.05,0.15	1
AC=2,8	AF=0.05,0.2	1
AC=2	AF=0.05	224
AC=3,1	AF=0.075,0.025	3
AC=3,2	AF=0.075,0.05	2
AC=3,4	AF=0.075,0.1	1
AC=3,5	AF=0.083,0.139	1
AC=3,7	AF=0.083,0.194	1
AC=3,9,1	AF=0.075,0.225,0.025	1
AC=3	AF=0.075	129
AC=4,1	AF=0.1,0.025	2
AC=4	AF=0.1	89
AC=5,1	AF=0.132,0.026	1
AC=5,3	AF=0.139,0.083	1
AC=5,5,2,5,2,1	AF=0.125,0.125,0.05,0.125,0.05,0.025	1
AC=5	AF=0.125	56
AC=6,1,1	AF=0.15,0.025,0.025	1
AC=6,1	AF=0.15,0.025	2
AC=6,3	AF=0.158,0.079	1
AC=6,6	AF=0.158,0.158	1
AC=6	AF=0.15	33
AC=7,1	AF=0.175,0.025	1
AC=7,4	AF=0.175,0.1	1
AC= 7	AF=0.175	32
AC=8,1	AF=0.2,0.025	1
AC=8,13	AF=0.2,0.325	1
AC=8,3	AF=0.2,0.075	1
AC=8,4	AF=0.2,0.1	1
AC=8,5	AF=0.2,0.125	1
AC= 8	AF=0.2	14
AC= 9	AF=0.225	11
AC=10,2	AF=0.263,0.053	1
AC=10,3	AF=0.263,0.079	1

Table 4.4. Allele count (AC) and allele frequency (AF) of genotypes for each alternate allele (ALT) in the 3,527 candidate causal indels.

AC and AF for the final candidate recessive lethal indels was assessed in the sequenced animals, using INFO tags in the VCF file.

Allele count (AC) in genotypes for each ALT allele in the	Allele frequency (AF) for each ALT allele in the same order as in the	The number of locations (variant counts) in the
same order as in the VCF file	VCF file	candidate indels
AC=10,4	AF=0.25,0.1	1
AC=10,5	AF=0.263,0.132	1
AC= 10	AF=0.25	24
AC=11	AF=0.275	7
AC= 12	AF=0.3	8
AC=13,2	AF=0.342,0.053	1
AC= 13	AF=0.325	9
AC= 14	AF=0.35	8
AC= 16	AF=0.4	4
AC= 17	AF=0.425	2
AC=18	AF=0.45	4
AC= 19	AF=0.475	4
AC= 20	AF=0.5	9
AC=21	AF=0.525	2

Table 4.4. Allele count (AC) and allele frequency (AF) of genotypes for each alternate allele (ALT) in the 3527 candidate causal indels (continued).

In several cases, the Allele Counts (AC) display multiple values. This happens when various alternative alleles are observed at these specific positions. The naming convention for these alleles adheres to the order in which they are listed in the variant VCF file. For example, when AC=3,9,1, it denotes the presence of 3 instances of ALT allele type 1 (0/1 genotype), 9 instances of ALT allele type 2 (0/2 genotype), and 1 instance of ALT allele type 3 (0/3 genotype).



Figure 4.2. Prevalence of the various ALT allele frequencies (AF) of the candidate causal indels.

4.5. Discussion/Conclusions

In the present study, 20 cattle's genomes were analyzed through whole-genome sequencing (WGS), resulting in the identification of 391 candidate causal SNPs and 3,527 candidate causal indels with "HIGH" functional impacts that could be potentially related to subfertility/infertility in beef cattle. However, the large number of the detected candidate variants indicates that this approach may not be a sufficient method to pinpoint the specific true recessive lethal mutations. Some of the identified candidate recessive mutations were found to be at high frequency among the 20 sequenced individuals, suggesting that in the future additional filtering steps could be added to refine the candidate list further. Many of the candidate causal variants are likely variants of no functional significance or are false-positive variants arising from read alignment errors, which could stem from reference genome issues. The latter issue could explain variants that are found in nearly all animals but never in homozygous form.

It should be noted that in order to accurately identify the true recessive lethal alleles among the list of candidates found in this study, more information of the impact of the identified variant on the low fertility or the genes contributions to the low fertility would be required. It is also important to keep in mind that other factors besides recessive lethal alleles may also contribute to low fertility in these 20 animals. One of the factors contributing to low fertility could be the presence of alleles with negative effects on fertility, such as those leading to reduced sperm motility. For instance, a mutation in the *TMEM95* gene, situated on cattle chromosome 19, has been identified as a cause of complete infertility in bulls, resulting in a significantly low insemination success rate of 2% (Pausch et al., 2014; Steckler, 2014). Moreover, another possible factor contributing to low fertility/infertility in cattle involves various chromosomal abnormalities, including numerical anomalies affecting sex chromosomes and structural (balanced) chromosome aberrations. These abnormalities have been found to have detrimental effects on reproduction, particularly in female carriers. Since breeders cannot spot these chromosomal abnormalities as noticeable phenotype abnormalities, they can persist in the population without being selected against. Therefore, they can evade animal selection without cytogenetic control, ultimately negatively impacting fertility (A. Iannuzzi et al., 2021).

Sex chromosome abnormalities that can cause infertility in cattle encompass X-trisomy, X-monosomy, XXY syndrome, XY Sex Reversal Syndrome, XX Sex Reversal, XX/XY Mosaicism (Free-Martinism), and Diploid-Triploid XX/XXY Mosaicism (Mixoploidy). Additionally, structural chromosome abnormalities in cattle include reciprocal translocations (rcp), Robertsonian translocations (rob), simple translocations, pericentric inversions, tandem fusion (TAN), and cytogenetically detectable deletions and duplications (A. Iannuzzi et al., 2021). In most of the reported cases involving sex chromosome abnormalities, sterility was a common observation. However, in one of the cases documented by Schmutz et al. (1994), a Klinefelter bull was born to a fertile 61,XXX cow (X-trisomy). This is noteworthy because these cows had been previously described as having limited fertility or, more commonly, being sterile (Schmutz et al., 1994). On the other hand, cases involving structural chromosome abnormalities were generally associated with reduced fertility or subfertility which aligns with the focus of the current study.

Another potential explanation for the low fertility in these animals is their low heterosis for fertility, indicating that they possess an unfavorable combination of alleles that adversely impacts this trait. The overall influence of their genetic makeup on fertility could be the underlying cause, rather than a specific recessive lethal allele. Nonetheless, it is essential to consider the influence

of environmental factors as well. Exposure to toxins, nutritional deficiencies, diseases or infections, temperature stress, trauma, and stress can potentially affect specific animal samples, resulting in challenges related to conception or offspring loss.

Some of the candidate SNPs and indels that passed our filtration steps were common among all the 20 sequenced animals, which suggests they are common variants in these breeds or sequencing artifacts and not recessive lethals. New filtration steps could be applied in future studies to address this issue and eliminate these common mutations. During the examination of the final list of candidate recessive lethals, a notable challenge emerged concerning positions with various alternate alleles (genotypes 0/1, 0/2, 0/3, and so on). This complexity could stem from the repeated occurrence of the same base in adjacent positions of the genome, leading to sequencing or alignment errors. Or these sites could truly be highly polymorphic. The accuracy and fidelity of the sequencing process can significantly influence variant detection. Consequently, certain genomic regions might pose difficulties in sequencing, ultimately giving rise to the occurrence of false-positive variants.

The presence of multiple alleles at some sites complicates variant assessment. For example, a variant in the data set, the candidate SNP located on chromosome 5 at 58924076 bp (AC=18, 2 and AF=0.45, 0.05) with eighteen instances of alternate allele type 1 (0/1 genotype) and two instances of alternate allele type 2 (0/2 genotype). The high frequency of alternative allele type 1 renders it unlikely to be a true recessive lethal allele, but alternative allele type 2 shows potential as a candidate causative recessive lethal allele, necessitating further investigation. To enhance the identification of true causative recessive lethal alleles, a filter that removes those variants appearing in more than a certain number of individuals could be implemented in future research endeavors.

Additional experiments are needed to provide more robust evidence that any of the identified candidate mutations are true recessive lethals. The first step would be to verify the presence of the candidate allele in the affected sample(s) using Sanger sequencing. The next step would be to apply a low-cost genotyping assay for the site to many animals in the population, to establish allele frequency and departure from Hardy-Weinberg equilibrium in the form of a notable lack of homozygous genotypes. Another approach would be to cross heterozygous animals for the candidate mutation and observe the frequency of homozygous offspring. If the mutation is a true recessive lethal, viable homozygous offspring it would be possible to make a strong case that the mutation is a recessive lethal. Another approach would be to perform genome editing to create homozygous animals for the mutation and observe their viability and fertility. This approach could work to rule out candidate mutations but a failure to obtain homozygous progeny would be difficult to interpret. Moreover, the cost and complexity of this approach makes it unlikely to be used.

For future strategies for finding recessive lethals in this population, a more routine genotype panel approach may be warranted, as it has been used in previous studies. This approach involves analyzing genome-wide genotypes from a large number of animals to highlight regions of the genome that are not observed in homozygous form. Once these regions are identified, targeted sequencing can be employed to pinpoint specific mutations. Currently, mid- or high-density SNP chips are the most suitable genotyping platform for these studies, as they are routinely used for other purposes like GEBV estimation. In the future, sequencing might be feasible provided that a large number of animals can be sequenced so that departures from Hardy-Weinberg equilibrium can be detected for low frequency alleles.

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CHAPTER 5. General Conclusion

In this thesis, three studies were carried out seeking to identify the causal variants contributing to low fertility in cattle, Swyer syndrome in cattle, and copper toxicosis in the Dalmatian dog breed. In each study, whole-genome sequencing (WGS) and bioinformatics techniques were applied based on emerging evidence into the molecular mechanism of these conditions that supports the possible involvement of large-effect genetic variations. Beyond identifying causal variants, I sought to enhance our comprehension and insight into the potential genetic roots of these conditions in the studied species.

In the first study, eight phenotypically female cattle with SNP chip genotypes indicating a male genotype were investigated to understand the genetic basis of this apparent discrepancy between genotype and phenotype. In humans, this condition (Swyer syndrome) has been linked to a variety of mutations and inheritance patterns. Through an in-depth investigation of whole-genome sequence data collected from the affected individuals and controls, all but one case can be attributed to a Y chromosome deletion that encompasses the *SRY* gene. A clear cause could not be identified for the remaining case, despite careful inspections of known DSD genes for impactful dominant or recessive mutations and any other genes for impactful de novo mutations. A list of 125 SNPs/indels was produced using the "dominant de novo" filter for the one remaining case, but more work is needed to narrow this list further. The finding that most cases are related to Y chromosome anomalies leads to recommendations for how large-scale genetic improvement programs can better detect such cases in the future to avoid confusion and technology distrust among farmers.

In the second study, to identify the possible causative variant related to copper toxicosis in 13 Dalmatians and 3 Bedlington Terriers, all the identified variants within genes known to contribute to the human form of the disease and suspected to play a role in certain other dog breeds were considered. Despite multiple investigations using different variant filtering methods and manual data inspections, no candidate causal variant associated with copper toxicosis in Dalmatians was found. One challenge was that the phenotype (copper levels in the liver) can be affected by diet, environmental contamination, and possibly other non-genetic factors (Gaetke et al., 2014), which may lead to incorrect classification samples. Even though the true causative variant was not discovered in this study, the gathered information, including the dataset, along with the results and outputs, will still be helpful to researchers working in this area. In particular, the results provide strong evidence that previously reported genes *ATP7A*, *ATP7B*, and *COMMD1* are not responsible for copper toxicosis in Dalmatians. However, it must be noted that completely ruling out the involvement of a particular gene is difficult from whole-genome sequence data alone, as uncharacterized sequence differences, even far from the gene, could exist and affect phenotype. Indeed, several factors, such as read length, sequencing depth, annotation information, reference sequences, and software tools, can influence variant detection and characterization.

In the third study, I aimed to identify recessive alleles responsible for low fertility in a sample of 20 cattle. The implicit hypothesis was that recessive lethal variants contributed to low fertility and could be detected through whole-genome sequencing and bioinformatics. Although many candidate recessive lethals were identified, more information on the impact of the identified variant on the disease or the genes' contributions to the disease would be needed to identify a more specific candidate or a true recessive lethal allele. The low fertility observed in our sample animals may not solely be due to the presence of a specific recessive lethal allele. However, it may instead be influenced by a collection of alleles that negatively affect the trait. Additionally, the observed low fertility in our crossbred beef cows may be associated with low heterosis. Other factors, such as environmental conditions or other unknown causes, may have contributed to their inability to

conceive or to lose their offspring. Thus, it is essential to consider all potential factors that could affect fertility in our sample, not just the recessive lethal alleles. Some of the candidate causal mutations that passed our filtration steps appear to be common in our set of 20 sequenced animals, which has lowered our confidence in their potential significance because a true recessive lethal is unlikely to be that common. In future studies, additional filtration steps must be applied to eliminate these common mutations further.

5.1. Limitations

Certain limitations in the present research could have impacted on the findings observed.

Small sample size: A notable constraint of this study was the relatively limited sample size in each study group, potentially impeding our ability to reliably identify consistent significant or non-significant results. Small sample sizes can arise in various scenarios, such as when the number of cases is low or when obtaining samples proves challenging. For instance, in the Swyer Syndrome study, the condition's rarity naturally leads to a small sample size. Similarly, collecting a large sample size can be challenging in the second study, the copper toxicosis disease, where the number of affected animals is limited. Moreover, in the context of whole-genome sequencing (WGS), the high cost of sequencing can impose constraints on the feasible sample size for a study. Employing filtering methods on a limited sample size may lead to a considerable number of variants passing the filter, potentially resulting in erroneous conclusions and interpretations. This situation arises because small sample sizes lack the statistical power required to distinguish genuine variants from noise or false positives. When applying statistical approaches with small sample sizes, the statistical power diminishes, reducing the likelihood of detecting true

associations. Consequently, false-negative results may occur, leading to the failure to identify true associations and missing crucial genetic variants or pathways.

Sequencing data: Another limitation of our study was that the short reads generated by next-generation sequencing technologies could pose challenges in accurately identifying genetic variations. The length of these reads is usually under 300 base pairs, which can be problematic when trying to resolve complex genomic regions or repetitive sequences. This can result in false positives and negatives in variant calling, ultimately missing a true causative variant (Zhou et al., 2022). Furthermore, incomplete reference genomes can also pose challenges for accurate variant discovery and genotyping. The reference genome plays a crucial role in variant calling, serving as a fundamental benchmark for identifying discrepancies between the sample and the reference. It is essential to recognize that the reference genome is typically constructed from a relatively small number of individuals and may not encompass the full diversity of genetic variations present in the population. As a result, this limitation can lead to false negatives, wherein true genetic variations existing in the sample are not identified (Veeckman et al., 2019). In addition, the identification and characterization of variants are also limited by other factors, including sequencing depth, quality of available annotation information, and software tools.

Phenotyping: Measuring phenotypes can pose challenges and introduce unreliability due to several factors, including invasiveness, variability, and environmental effects. For instance, in the second study, measuring copper levels in the liver can be invasive, necessitating a liver biopsy, which may not always be feasible or practical. Additionally, copper levels in the liver can be influenced by various factors, such as dietary intake and environmental contamination, potentially involving non-genetic elements (Gaetke et al., 2014), which may lead to incorrect classification of samples. These environmental factors may lead to variation in the phenotype of interest, potentially

obscuring any underlying genetic effects. Additionally, in the third study, environmental conditions may have contributed to their inability to conceive or maintain their offspring. Hence, we must consider all potential factors affecting fertility in our sample, not just the recessive lethal alleles.

Polygenic and single-gene contributions to phenotype: the genetic basis of copper toxicosis in dogs is not entirely clear. It is not known if this disorder is caused by a single gene or multiple genes with varying effects. The approach employed in this study will be practical only if the datasets have significant contributions from a single gene with a large effect. Therefore, it is uncertain if a single genetic variant with a large effect size can be identified using our filtering approach. Furthermore, the phenotype associated with fertility may be influenced by multiple genes with minor effects, including recessive lethals. However, it is important to acknowledge that complex phenotypes such as fertility are often polygenic and can be influenced by a combination of genetic and environmental factors, which may not be captured by our approach.

Moreover, in the third study, the reduced fertility (the phenotype) observed in our animal samples might be influenced by other factors rather than entirely affected by a specific recessive lethal allele. In other words, it may be influenced by a combination of unfavorable alleles that affect the trait or may be is due to low heterosis in our sample animals.

5.2. Implications of Work

Swyer syndrome study: The list of 125 SNPs/indels in SNPs/indels that was compiled by the "dominant de novo" filter the specific *SRY*+ case in this study could potentially hold value for

future research in both cattle and humans, particularly in cases where the underlying causes are still unknown.

Copper toxicosis study: The results of our study have proved that specific significant genes previously known to be linked to the disease are not implicated in Dalmatians. The comprehensive information provided in this study can serve as a foundation for future research endeavors focused on unraveling the genetic origins of the disease in Dalmatians as well as other canine breeds.

Subfertility/infertility study: This study depicted that the applied approach alone may not be adequate to identify the true causative recessive lethal alleles associated with the subfertility/infertility in beef cattle.

5.3. Future Work

Swyer syndrome study: Due to Ireland's extensive cattle breeding program, which involves genotyping, they are in a favorable position to detect DSD cases, which could serve as an asset in identifying other genes associated with Swyer syndrome. For example, other cases may arise from the unknown factor in the same SRY+ animal, providing an opportunity to employ filters to determine the underlying cause in this specific scenario.

Copper toxicosis study: To tackle the challenge of detecting structural variations (SVs) using short-read sequencing in this study, two potential solutions can be carried out in future research. The first option involves performing long-read sequencing to address the issue. The second option is gathering more samples from affected and control groups to facilitate a more comprehensive linkage or genome-wide association (GWAS) analysis. Despite the difficulty of phenotyping, a

larger sample size can allow for the application of statistical methods instead of relying solely on rigid filtering criteria, which may help to mitigate issues arising from misclassified phenotypes.

Subfertility/infertility study: The appropriate strategy to adopt for future work depends on the objective. For example, if the primary aim is to identify recessive lethals, employing a "missing haplotypes in homozygous form" research would be advisable using SNP chip genotypes on large numbers of individuals. Conversely, if the goal is to enhance fertility or identify any underlying causal variants, approaches such as estimated breeding values (EBV) and genome-wide association studies (GWAS) would be more suitable.

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