

# **Predicting RF Path Loss in Forests Using Satellite Measurements of Vegetation Indices**

by

Sujuan Jiang

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Department of Computing Science  
University of Alberta

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# Abstract

In this thesis, we propose a novel method for predicting the value of the radio frequency (RF) path loss exponent (PLE) from satellite remote sensing observations. The value of the PLE is required when designing wireless sensor networks for environmental monitoring. By taking field path loss measurements in single cells and extracting values of vegetation indices (VIs) from satellite data, we successfully build correlation models between PLE and VIs of different dates. We also characterize the composite correlation of all data from all the filed measurements, which covers the whole in-leaf phrase in forests. The correlations are strong ( $R^2 > 0.77$ ) and exhibit high statistical significance ( $p < 0.01$ ). It enables us to characterize and predict the RF propagation environment in forested areas without the need for field measurements, given that satellite data are available any location on Earth. We also propose a method of predicting missing high-resolution 30m x 30m Landsat 8 data required by our method from lower-resolution 250m x 250m MODIS observations that are not as easily degraded. Finally, we use the composite correlation model to predict path loss across multiple cells. A weighted sum method is applied to calculate the overall PLE value for a path across multiple cells. We compare the predicted RSSI values against actual field data. The result shows that the predicted RSSI data are very close to the field data with error less than 5%.

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# Chapter 1

## Introduction

### 1.1 Motivation

Wireless sensor networks (WSNs) have been very actively studied. There is a rich literature of theoretical studies on the abstract properties of WSNs, and algorithms for sensor coverage, sensor placement, relay placement, and base station mobility [1, 2, 3, 4]. A key issue in designing and deploying WSNs is the radio frequency (RF) propagation environment [5], largely because of the limited energy budget at the wireless nodes. RF transmission, and to a lesser extent, signal reception are the main consumers of energy in wireless nodes. Thus, if we can predict the magnitude of RF signal loss in the area to be covered by a WSN, we can develop power budgets for the links between nodes, and estimate the lifetime of the network for given battery resources.

RF propagation through vegetation has been studied at least since the 1960's [6]. One broad class of propagation models is empirical. These are based on experimental measurements of received signal strength, converting these data to attenuation, and regressing against distance. The current ITU-R recommended model for predicting attenuation in vegetation is of this form [7]. The shortcoming of an empirical model is that it has no mechanistic link to the properties of the vegetation in the area of interest. Model parameter values are specific to the site [8] or species investigated [9]. The key parameter in models for RF propagation through vegetation, such as the one recommended

by the ITU-R, is the path loss exponent (PLE).

In the thesis, we focus on RF propagation through vegetation. We propose a novel method for predicting PLE values from Landsat 8 remote sensing observations. We use satellite data to determine the vegetation condition of a given area of interest. A correlation model is built between PLE and Vegetation Indices (VIs) that represents vegetation densities. The satellite data we use are available for any location on Earth, thus enabling characterization and prediction of the RF propagation environment in forested area without the need for field measurements. Also, we propose a novel way of predicting high-resolution 30m x 30m Landsat 8 data required by our method from lower-resolution 250m x 250m MODIS observations that are not easily degraded. Such degradation occurs relatively frequently when cloud cover or aerosols such as pollution or sand storms degrade or significantly interfere with the high-resolution satellite data we are using. Finally, based on the single-cell model, we predict path loss through multiple cells. A heuristic weighted sum method is applied to calculate the overall path loss exponent for a path crossing multiple cells, and to predict the received signal strength indication (RSSI) along the path. We compare the predicted RSSI against real field data.

## 1.2 Contributions

First, we propose a novel method for predicting PLE values from Landsat 8 remote-sensing observations. At the moment, our model is specific to aspen boreal forests, which cover approximately 1.5 to 2.0 million square kilometres in Canada alone. The method is generalizable to other forest types, and we propose both broader coverage of boreal forests, and other vegetation types, as future work. The satellite data we use are available for any location on Earth, thus enabling characterization and prediction of the RF propagation environment in forested areas without the need for field measurements. As far as we know, this is the first reported work that links remote sensing observations to field predictions of RF loss.

A second contribution is that we also propose a novel way of predicting high-resolution 30m x 30m Landsat 8 data required by our method from lower-

resolution 250m x 250m MODIS observations that are not as easily degraded. Such degradation occurs relatively frequently when cloud cover or aerosols such as pollution or sand storms degrade or significantly interfere with the high-resolution satellite data we are using. We tested our proposal by comparing its predictions to actual values for a date when the 30m x 30m data are available, and the results show absolute errors of less than 5%.

In the end, we apply the single-cell correlation model between VI and PLE to predict path loss across multiple cells. With available satellite data, we have values of VI of all cells of the area of interest. By using the single-cell model and VI values, we get values of PLE of each cell. We then calculate the overall PLE for a path crossing multiple cells through a weighted sum method based on the path's distance in each cell. We compare the predicted RSSI data against actual field data retrieved from SensorCloud that gathers RSSI from deployed sensors in the area of interest. The result shows that the predicted RSSI data are very close to the actual field data.

Our contributions are summarized as follows:

- Exploration and demonstration of a significant single-cell correlation between the value of the path loss exponent, and the values of remotely sensed vegetation indices. The global availability of high-resolution 30m x 30m satellite data for these indices thus enables RF path loss predictions for WSNs anywhere in the world.
- Demonstration of a method for predicting high-resolution VI values from lower-resolution 250m x 250m satellite data. This enables us to fill in gaps in the temporal series of VI values when the satellite view of the area of interest is obscured by clouds or aerosols.
- Application of a weighted sum method to get the overall path loss exponent for a path crossing multiple cells. The method enables us to calculate the path loss between any two locations whose path crosses multiple cells.
- Prediction of path loss across multiple cells based on the single-cell model and the weight sum method. The predicted RSSI is compared against

the real data gathered from SensorCloud. This enables us to perform network simulation tests without the need for field measurements.

## 1.3 Organization

The thesis is organized as follows. Chapter 2 reviews previous work of RF propagation through vegetation and introduces relevant remote sensing observations including satellite data and vegetation indices. That is followed by our experimental observations of predicting path loss across single cells for in-leaf and out-of-leaf conditions at a site in Alberta, Canada. It also proposes a method of predicting missing high-resolution data from lower-resolution satellite observations. Chapter 3 presents our weighted sum method for predicting path loss across multiple cells. The predicted RSSI data are compared against real field data collected from SensorCloud. Chapter 4 presents conclusions and future work.

# Chapter 2

## Predicting Path Loss across Single Cells

In this chapter, we first present the background of RF propagation through vegetation which motivates us to extend current work to heterogeneous forests consisting of a mixture of species at varying densities. To measure the vegetation condition, we then introduce remote sensing observations of satellite measurements and use vegetation indices to represent the intensity of green for a given area of interest. Following that, we take field signal loss measurements at Mandy Lake to get the path loss exponent,  $\alpha$ , for each 30m x 30m area of interest. VI values are also calculated from Landsat 8 images for the same cells where we take the field measurements. Finally, we characterize the correlation model between VI and  $\alpha$  of different dates as well as a composite correlation model consisting of data points from all of our field trips. This is followed by results and discussion.

### 2.1 Background

The free space path loss model assumes that during signal transmission, the transmitter and receiver located in an empty open air area. It assumes that the received signal only decreases with distance and is not affected by any

obstacles [10]. The free space path loss is given by:

$$P_r = P_t * G_r G_t \left(\frac{\lambda}{4\pi d}\right)^2 \quad (2.1)$$

where  $P_t$  and  $P_r$  are the transmitted and received power,  $G_t$  and  $G_r$  are the gain of the transmit and receive antennas,  $\lambda$  is the wavelength, and  $d$  is the distance between the transmitter and receiver.

As vegetation covers a large proportion of our planet’s surface, the topic of RF propagation through vegetation has attracted more attention. When a signal is transmitted in a forested area, it could be absorbed or reflected by trees or plants [11]. Thus, a vegetated area will cause higher path loss than an empty open air area. If we can build a model of how vegetation affects RF propagation, the model will be useful for many real-world applications.

### 2.1.1 RF Propagation through Vegetation

The topic of RF propagation through vegetation has been of commercial interest since the 1960’s due to the importance of wireless links for telephony [6]. The early work was relevant to line-of-sight relay links, while more recently the topic has become important for the design and location of cellular network towers. In the first context, the propagation paths of interest are above the forest canopy, between two terminals situated several kilometres apart [12]. In the second, models are required to predict attenuation along the “slant path” between cellular tower and a user situated in or travelling through a forested area such as an urban park [8, 13]. Cellular operators also have an interest in predicting the effects of isolated trees or lines of trees, as these are common occurrences in urban landscapes [14].

The propagation paths for wireless sensor networks in forested areas are different than for telephony, with both terminals typically being located either in or below the canopy. Paths are usually roughly horizontal, following the terrain. There are some applications in which vertical paths need to be characterized, such as when measurements are being conducted in tree crowns [5]. In any case, the unpredictability of RF signal strength is a major issue in the

design of WSNs [5]. Attenuation predictions are needed both statically as a function of position at a given point in time, and dynamically as a function of wind, weather, and vegetation condition (in-leaf or out-of-leaf).

Several mechanistic models of RF attenuation in vegetation have been developed [14, 15]. Below about 200 MHz, where the dimensions of the vegetation are much smaller compared to the wavelength of the RF signal, a dissipative slab model can be used [6]. Above this frequency, from 200 MHz to 2 GHz, Cavalcante et al. proposed a four-layer slab model. This consists of a semi-infinite ground plane supporting above it a trunk layer, canopy layer, and air layer [6]. Models like these require numerical methods for solution, and depend on the values for several parameters in each layer (permittivity, conductivity and permeability). Their chief advantage over empirical models is that they provide physical insight into wave characteristics and propagation modes [15].

The direction taken by the ITU-R in Recommendation P.833 [7] is to recommend an empirical model, rather than a mechanistic one. For a radio “slant path” crossing the woodland, the attenuation loss,  $L$ , is :

$$L = Af^B d^C (\theta + E)^G \quad (2.2)$$

where  $f$  is the radio frequency (MHz),  $d$  is the vegetation depth (m),  $\theta$  is the radio path elevation (degrees), and  $A, B, C, E$ , and  $G$  are empirically evaluated parameters.

Common empirical models such as that recommended by the ITU-R predict an exponential decrease in signal strength with both distance and frequency [9, 14]. The ITU-R Recommendation is a good starting point for the general form of empirical predictions, but in itself is not sufficient for WSN design, directed as it is towards paths that traverse the forest canopy, rather than tree trunks and understory vegetation [16]. The parameter values in the Recommendation are also of limited applicability, as they are tied to specific species of trees that may or may not be present in a given area of interest. In addition, the parameter values given in the Recommendation are for a single species at a particular density. There is no guidance in the Recommendation for sites consisting of a mixture of species of varying densities, and of course sites

consisting of other tree or plant species are not addressed at all.

Our goal in the present work is to extend models of the form recommended by the ITU-R to heterogeneous forests consisting of a mixture of species at varying densities. We aim to find out how vegetation affect RF path loss in forests by using satellite measurements and to build the model between vegetation density and path loss exponent. The model enables characterization and prediction of the RF propagation environment in forested area without the need for field measurement.

### 2.1.2 Satellite Data and Vegetation Indices

One way to measure the vegetation condition in forests is using remote sensing observations from satellite measurements. Satellites provide global measurements of our planet by collecting images of the Earth's surface. When sunlight reaches the Earth, one part of the solar radiation will be absorbed by the surface, e.g., green plants can absorb the energy from sunlight for the use of photosynthesis. On the other hand, the surface can also reflect the solar radiation back into space. Thus, the reflected radiation of each wavelength will be collected by the satellite [17, 18] .

The spectrum of sunlight consists of many different wavelengths such as visible (i.e., blue, green, and red light) and near-infrared wavelengths. The point is that different materials of the planet's surface absorb and reflect each wavelength differently. For green plants, they can absorb the energy from the visible wavelength and reflect the radiation of near-infrared wavelength. However, for other materials such as buildings or roads, they can barely absorb any wavelength. The characteristic that green plants can absorb the energy from visible wavelength for photosynthesis provides us the idea that by measuring the reflected wavelengths, we can determine the vegetation condition on the ground [18].

Fig. 2.1 shows the green plants' reflectance percentage of visible and near-infrared wavelength [19]. The reflectance of the visible light is very low because green plants absorb a large amount of the visible wavelength for photosynthesis, as a result, only a very small amount of visible radiation will be reflected.



In contrast, the reflectance of the near-infrared wavelength is very high as its radiation can not be absorbed by plants. Thus, if we can get the information of the reflectance of visible and near-infrared wavelength for a given area of interest, we can determine the intensity of green for this area.

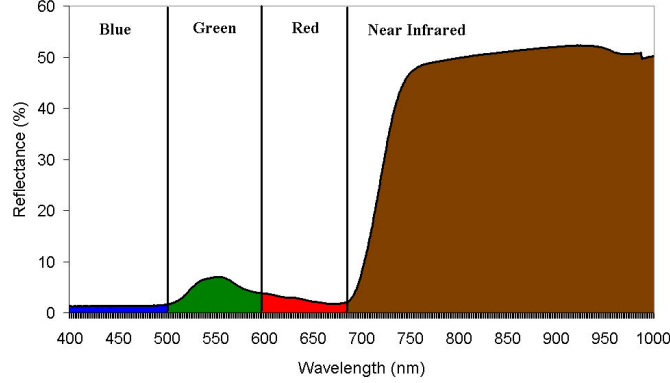


Figure 2.1: Green Plants' Reflectance of Different Wavelengths

Vegetation Indices (VIs) are created from different reflected wavelengths. VIs help us determine the vegetation density on the ground [20]. One of the most widely used VIs is Normalized Difference Vegetation Index (NDVI):

$$NDVI = \frac{\rho_{nir} - \rho_r}{\rho_{nir} + \rho_r} \quad (2.3)$$

where  $\rho_{nir}$  and  $\rho_r$  represents the reflectance of near-infrared and visible wavelength. NDVI assesses whether a given area has green plants or not and captures the intensity of green for this area. A dense area has a high NDVI value and a sparse area has a lower NDVI. Other vegetation indices including Simple Ratio (SR), and Soil Adjusted Vegetation Index (SAVI) also reflect the vegetation density. We choose NDVI as our vegetation index because NDVI is one of the most famous and significant vegetation indices [17, 20]. In general, NDVI reflects the vegetation density and is sensitive to the vegetation condition for a given area of interest. It should also be noticed that NDVI values could reach saturation in very dense areas. For areas with very high leaf-area-index (LAI), NDVI becomes insensitive to the variation of greenness and its values may not accurately reflect the actual vegetation condition on

the ground [21].

As satellites collect the reflected radiation of each wavelength from the Earth's surface, we can use the satellite images of the reflectance of visible and near-infrared wavelength to calculate NDVI values and to determine the vegetation density on the ground. The satellites we are using includes Terra, Aqua, and Landsat 8. An important sensor on Terra and Aqua is Moderate Resolution Imaging Spectroradiometer (MODIS). The highest resolution of MODIS images is 250m x 250m. Both Terra and Aqua collect images of the Earth's surface every eight days [22]. The Landsat 8 satellite collects information of the Earth's surface every sixteen days and its image has a very high-resolution of 30m x 30m [23]. In this work, we choose the higher-resolution Landsat 8 satellite images to calculate NDVI. Lower-resolution MODIS data are used to interpolate the higher-resolution NDVI values when Landsat 8 images have high cloudiness.

We download Landsat 8 images online from USGS Global Visualization Viewer of the visible and near-infrared wavelength to calculate NDVI for a specific area and a specific date [24]. Each calculated NDVI represents the vegetation density for an area of 30m x 30m (see Fig. 2.2). The high-resolution Landsat 8 data enables us to investigate how vegetation affects RF propagation in small cells.



Figure 2.2: Landsat 8 Images (30m x 30m)

After we have NDVI to represent the vegetation density on the ground, what we need to do next is to take signal loss measurements to get the path loss exponent (PLE) for the same area where we can calculate the NDVI values. Fig. 2.3 shows the rectangular of 90m x 90m area of interest. Each cell is also 30m x 30m so that it can match the pixel size of the Landsat 8 images. By taking field signal loss measurements and satellite observations, we can build the correlation model between vegetation indices and path loss exponent. The model enables us to characterize and predict the RF propagation environment in forested areas without the need for field measurements, given that satellite data are available any location on Earth.

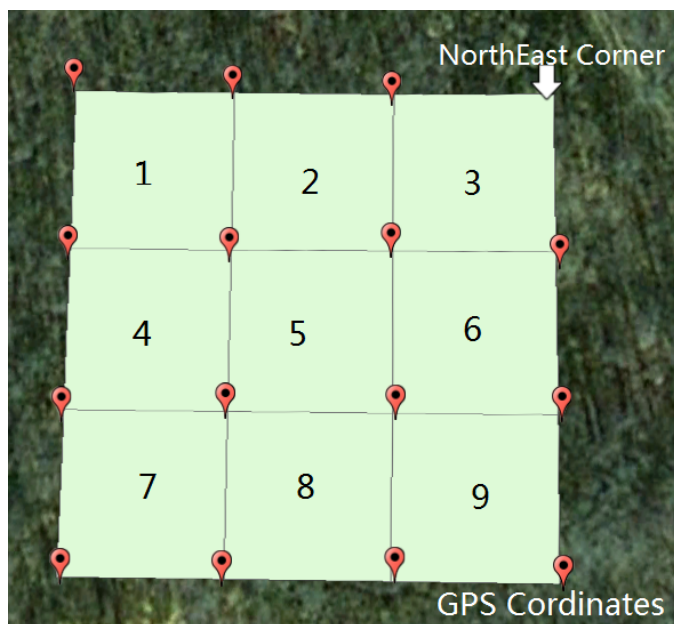


Figure 2.3: Network Grid of Taking Signal Loss Measurements

## 2.2 Methodology

The basic model for the attenuation of RF signals with distance, also called free space path loss, is:

$$P_r(d) = P_t * G_r G_t \left( \frac{\lambda}{4\pi d} \right)^2 \quad (2.4)$$

where  $P_r$  and  $P_t$  are the received and transmitted power in mW,  $d$  is the distance from the transmitter to receiver in meters,  $G_r$  and  $G_t$  are the gain of the receive and transmit antennas, and  $\lambda$  is the wavelength in meters. Defining  $K = G_r G_t (\lambda/4\pi)^2$  leads to:

$$P_r(d) = K * \frac{P_t}{d^2} \quad (2.5)$$

$K$  is determined by the gain of the receive and transmit antennas, their connection to the respective radio, and the frequency of operation. The value of  $K$  is fixed once the radios and antennas have been selected and interconnected and does not change with variation of vegetation.

We apply this variation of the free space path loss equation alone, and do not consider the potential effects of multi-path propagation within the forest. Previous work sponsored by the UK Radiocommunications Agency [9] found that multi-path propagation is not a significant factor in forests as long as the trees are in-leaf. That is the condition we consider in this work.

For areas with varying vegetation densities, we replace the fixed value of 2 in the exponent of  $d$  with the path loss exponent  $\alpha$ , where densely forested areas have high values of  $\alpha$ , and sparsely forested areas have low values:

$$P_r(d) = K * \frac{P_t}{d^\alpha} \quad (2.6)$$

Our objective is to characterize the relationship between the value of the path loss exponent,  $\alpha$ , and vegetation density. Vegetation density for a given area is usually represented by NDVI (see Eq. 2.3) that can be calculated from Landsat 8 satellite images.  $\alpha$  can be obtained from signal loss measurements in the field, in the same area of interest.

### 2.2.1 Determining K

To characterize the correlation model between vegetation density and  $\alpha$ , we first need to know the value of  $K$  in Eq. 2.6 where  $K = G_r G_t (\lambda/4\pi)^2$ .  $K$  is determined only by the gain of the receive and transmit antennas, their connection to the respective radio, and the frequency of operation. The value of

$K$  is fixed once the radios and antennas have been selected and interconnected and does not change with variation of vegetation.

To determine the value of  $K$ , we can take signal loss measurements between the transmitter and receiver with transmitted power  $P_t$ . At the receiver, we record the received signal strength indication (RSSI) which equals ten times the logarithm of  $P_r(d)$ . By taking logarithms on both sides of Eq. 2.6, we get

$$\log P_r(d) = -\alpha * \log d + \log K + \log P_t \quad (2.7)$$

where the transmitted power  $P_t$  of the Waspnotes is a constant, 63mW, and  $\text{RSSI} = 10 * \log P_r(d)$ . We select an area where the vegetation density and  $\alpha$  is roughly constant and doesn't change significantly within the area. By taking RSSI measurements at different distances from the transmitter within the area, we can get a set of simultaneous equations of Eq. 2.8 through 2.10 from which we can determine the value of  $K$ :

$$\log P_r(d_1) = -\alpha * \log d_1 + \log K + \log P_t \quad (2.8)$$

$$\log P_r(d_2) = -\alpha * \log d_2 + \log K + \log P_t \quad (2.9)$$

...

$$\log P_r(d_n) = -\alpha * \log d_n + \log K + \log P_t \quad (2.10)$$

We created special-purpose software for the transmitter to transmit packets, and for the receiver to detect the RSSI value in dBm and display it on an attached laptop. Portable GPS receivers (Garmin model 62S) with WAAS enabled were used to set the measurement positions and calculate the distance between the transmitter and receiver. Libelium Waspnotes with Digi International Xbee Pro S1 radios at 2.4GHz operating frequency and 2.1 dBi whip antennas were used for the transmitter and receiver.

We made two sets of measurements at several distances along straight lines in two different areas. The vegetation density, and thus  $\alpha$  is roughly constant within each area, with one area being denser than the other. The radio and antenna configurations were kept the same for these two sets of measurements,

so while we expected  $\alpha$  to differ,  $K$  was physically constrained to remain the same. By taking a series of signal measurements at a few different distances, we collected ten values of RSSI at each distance and used the averaged value for the final result.

These measurements were the raw data from which we calculated  $K$  (see Table 2.1, Figure 2.4, and Figure 2.5). We tested linear regression equations for their fit to the data. We used the coefficient of determination,  $R^2$ , to indicate how well a set of data points fit a regression equation. If the value of  $R^2$  is close to one, then the regression equation has a good fit of the data.  $R^2$  values of 0.8659 and 0.751 of the two equations show that the data fits the equations well.

Line 1 - sparse		Line 2 - dense	
distance (m)	RSSI (dB)	distance (m)	RSSI (dB)
7.07	-65	1.41	-65
10.63	-74	4.47	-76
20.00	-91	5.00	-77
20.81	-83	11.31	-74
28.64	-81	16.12	-87
36.07	-83	20.00	-83

Table 2.1: RSSI Measurements Used to Find  $K$

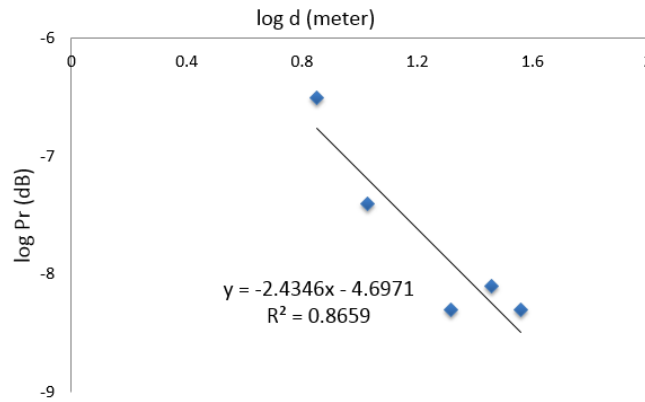


Figure 2.4: RSSI in the Sparse Area

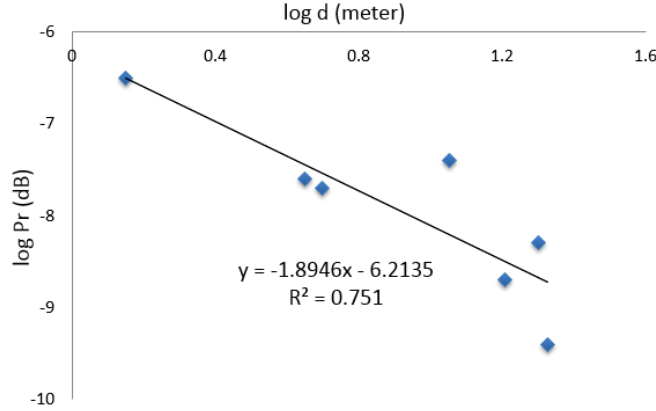


Figure 2.5: RSSI in the Dense Area

We used a least squares regression to find the values of  $K$  and  $\alpha$  that best explained the two sets of measurements. We allowed  $\alpha$  to be different in the two areas, but forced  $K$  to be the same. We found the value of  $\log K$  to be -5.9. In the denser area,  $\alpha$  had a value of 3.2, while in the sparser area it was 2.4.

### 2.2.2 Measuring RSSI

After the determination of  $K$ , we need to take signal measurements in different areas with varying vegetation densities to build the correlation model between vegetation density and  $\alpha$ . We performed another set of experiments to record the RSSI on a diagonal path across each cell in our 90m x 90m network grid (see Figure 2.7). The grid is divided into nine cells. Each cell is 30m x 30m, and corresponds to one pixel in the Landsat 8 images of the area (see Figure 2.8). In effect, the resolution of the satellite image sets the spatial resolution for our path loss predictions.

We made several separate field trips to gather data under different vegetation conditions. We used the same equipment configurations as for the determination of  $K$ . We used the previously determined value of  $K$  plus the RSSI data to calculate the value of  $\alpha$  for each cell, under the vegetation conditions on the date of the measurements. One pair of diagonal paths of each cell were used to make each measurement. Data gathered during each field

trip will be presented in Section 3.3.

The collected RSSI data are used to calculate  $\alpha$  of each cell according to:

$$\alpha = \frac{\log P_t + \log K - \log P_r(d)}{\log d} \quad (2.11)$$

where  $\text{RSSI} = 10 * \log P_r(d)$ ,  $P_t$  is 63mW,  $\log K$  is -5.9, and  $d$  equals  $30\sqrt{2}m$ . By extracting the VI values from satellite data for all cells in our grid, we can establish the correlation between VI and  $\alpha$ .

### 2.2.3 Predicting Missing VI Values

One of the potential drawbacks of relying on satellite data in the visible spectrum is that clouds and aerosols can interfere with the view of the ground. To alert users to this problem, the Landsat products include a “cloud cover” percentage index as an indication of the cloudiness of the view of the area of interest on the day each image is obtained. Figure 2.6 is a Landsat 8 image with the cloud cover index being 36% [24]. White spots among the green vegetated areas are clouds. The high cloud cover of the image makes the VI calculations for that date unreliable.

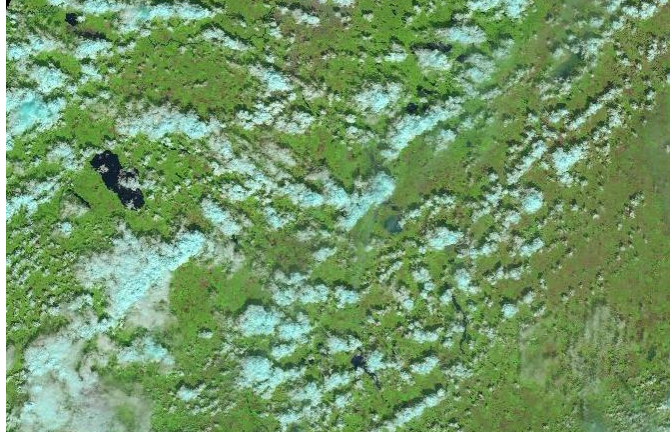


Figure 2.6: A Landsat 8 Image with Cloud Cover of 36%

To solve the problem of Landsat 8 images with high cloud cover and thus missing VI values for that date, we test the use of low-resolution MODIS



satellite data having 250m x 250m pixels to predict the higher-resolution 30m x 30m Landsat 8 data for the missing date. VI values from Landsat 8 images follow the same trend as the change in the encompassing MODIS pixel. That is, if  $L$  denotes Landsat 8 values,  $M$  denotes MODIS values, and if the subscripts 1, 2 and 3 denote three successive dates, then:

$$L_2 = L_1 + (L_3 - L_1) * (M_2 - M_1) / (M_3 - M_1) \quad (2.12)$$

where date 2 is the date when the missing Landsat 8 values are encountered.

Therefore, if the cloud cover of some date is high, causing the high-resolution Landsat 8 VI values to be unreliable, we can use the lower-resolution MODIS satellite data to predict the missing Landsat 8 values.

## 2.3 Field Measurements

We made several field trips to the Ministik Game Bird Sanctuary on July 23, August 24, and October 11 of 2013, and June 7 and June 22 of 2014. The sanctuary is approximately 55 km east of Edmonton, Alberta, Canada. Vegetation includes Boreal Mixed-Wood forest, Balsam Poplar and Trembling Aspen. The forest has almost no conifers. The tallest trees is about 15 meters and the forest has a dense understory.

We took signal loss measurements in a rectangular 90m x 90m area of interest. The grid was oriented along the cardinal directions, with its northeast corner at UTM co-ordinates 12 U 366975E 5907915N. The grid is shown on the map in Figure 2.7 with the pixels of the Landsat 8 image shown in Figure 2.8. We divided the grid into nine 30m x 30m cells to match the pixel size of the available Landsat 8 images of the area. Co-ordinates of all corners of the grid were calculated before making each trip.

Two persons were involved in taking signal loss measurements from the transmitter to receiver. One person held the transmitter at one corner of a cell, using a portable GPS for positioning. While the transmitter was transmitting packets, the other person went to the other diagonal corner (again, using a portable GPS for positioning) and collected the RSSI data from the receiver.

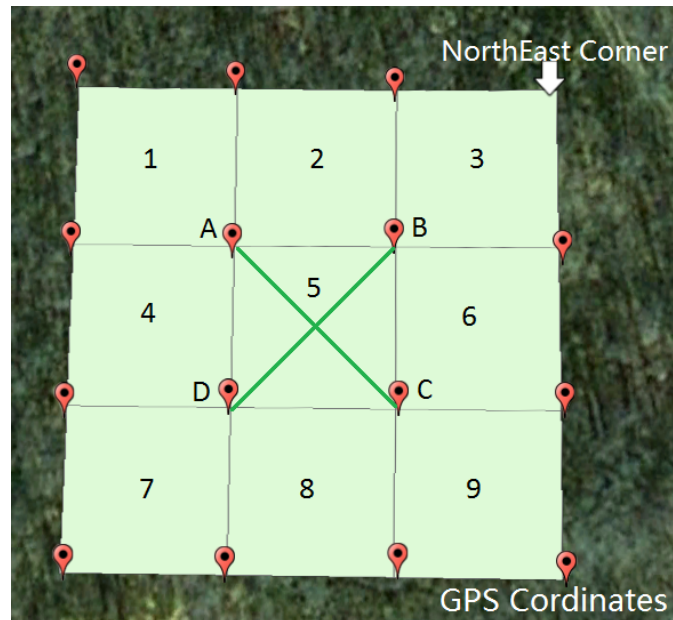


Figure 2.7: Grid of Study Area



Figure 2.8: Grid of Pixels in Landsat 8 Image

Walkie-talkies were used to co-ordinate movements from place to place, and to co-ordinate data gathering. Both the transmitter and receiver were held approximately one meter above the ground while gathering data. For each cell, we made the RSSI measurements for two diagonals. Ten RSSI values were collected for each diagonal. Figure 2.7 demonstrates two diagonal paths (AC and BD) we were measuring in cell 5. The collected RSSI values from the two diagonals were averaged for the final result.

## 2.4 Data Reduction

This section presents the data we collected and how we analyzed them.

### 2.4.1 Measuring RSSI

We made five separate field trips from June to October in 2013 and 2014, to collect data in different vegetation densities. Table 2.2 shows the raw data that we collected in 2013 and 2014.

Cell \ Date	Jul 2013	Aug 2013	Oct 2013	Jun 7, 2014	Jun 22, 2014
1	-94	-98	-89.4	-77.3	-73.0
2	-101	-98	-89.0	-81.4	-77.4
3	-100	-94	-88.4	-84.1	-77.6
4	-97	-95	-87.9	-71.6	-65.1
5	-98	-89	-96.3	-83.4	-79.7
6	-101	-96	-93.6	-84.0	-85.2
7	-94	-95	-91.8	-73.1	-67.9
8	-95	-90.4	-96.5	-84.1	-78.3
9	n/a	-98	-93.0	-83.9	-78.9

Table 2.2: RSSI (dB) of June to October in 2013 and 2014

These RSSI data are used to calculate  $\alpha$  for each cell according to Eq. 2.11.  $\alpha$  values for each cell of different dates are shown in Table 2.6 and 2.7.

### 2.4.2 Interpolating Missing VI Measurements

For August and October of 2013, the cloud cover indices of Landsat 8 images were 2.36 and 3.45, respectively. These images were clear and they generated high quality data. However, for July 2013, the cloudiness index was 13.15. This is extremely high, making the VI calculations for that date unreliable. To solve the problem of missing Landsat 8 data, we tested the use of lower resolution MODIS satellite data having 250m x 250m pixels to interpolate the July Landsat data from the May and September of 2013 Landsat data, following the same trend as the change in the encompassing MODIS pixel.

May	July	August	September
0.57	0.86	0.81	0.75

Table 2.3: NDVI values of MODIS data from May to September of 2013

cell	May	September	Predicted	Actual
1	0.5772	0.7145	0.7587	0.7439
2	0.573	0.7177	0.7643	0.7454
3	0.5784	0.7278	0.7759	0.7538
4	0.5938	0.7285	0.7719	0.7571
5	0.5573	0.7239	0.7776	0.7517
6	0.5153	0.7203	0.7864	0.7498
7	0.6143	0.7317	0.7695	0.7623
8	0.5624	0.7326	0.7874	0.7693
9	0.5676	0.7353	0.7893	0.7631

Table 2.4: Actual and Predicted NDVI Values for August 24, 2013

By applying the model of Eq. 2.12, we first tested this method by comparing the values it predicts for a date when the Landsat 8 data are available. We used MODIS and Landsat data from May 20, August 24, and September 9, 2013 (Table 2.3). The actual and predicted values are shown in Table 2.4. The agreement between the actual and predicted values for August 24 is very good with the maximum error 4.9%, so we applied this method to calculate the missing NDVI values for our July 23, 2013 field trip (Table 2.5).

cell	May	July	September
1	0.5772	0.7984	0.7145
2	0.5730	0.8061	0.7177
3	0.5784	0.8191	0.7278
4	0.5938	0.8108	0.7285
5	0.5573	0.8257	0.7239
6	0.5153	0.8456	0.7203
7	0.6143	0.8034	0.7317
8	0.5624	0.8366	0.7326
9	0.5676	0.8378	0.7353

Table 2.5: Predicted NDVI Values for July 23, 2013

## 2.5 Correlating $\alpha$ to VIs

In this section, we aim to build the correlation model across single cells between  $\alpha$  and VIs.  $\alpha$  values are calculated through Eq. 2.11 from raw RSSI data of field measurements (Table 2.2). VI values are calculated from Landsat 8 satellite data. First, we correlate models between  $\alpha$  and VIs of for each field trip. Then we build a composite correlation model of all the NDVI and RSSI values from all of our field trips.

### 2.5.1 Correlating $\alpha$ to VIs for Each Trip

The raw RSSI data for all field trips is shown in Table 2.6 and 2.7, along with the values for  $\alpha$  calculated from Eq. 2.11, and the Landsat NDVI of each cell. During our first field trip in July 2013, laptop power constraints prevented us from collecting RSSI data for the ninth cell. The NDVI values shown for July 2013 are the interpolated values from Table 2.5.

For each field trip, we test linear, logarithmic, and quadratic equations of  $\alpha$  as a function of NDVI. We use two figures of merit,  $R^2$  and  $p$ , to assess how well each correlation fits a particular data set. The coefficient of determination,  $R^2$ , indicates how well a set of data points fit a regression equation. If the value of  $R^2$  is close one, then the regression equation has a good fit of the data. The statistical significance of the correlation is  $(1 - p)$  so that smaller values for  $p$  are better.  $p$  value of 0.05 or less are considered very good. The

$R^2$  and  $p$  values for all the NDVI models we tested are shown at the end of the section in Table 2.9.

	July 23			August 24			October 11		
Cell	RSSI	$\alpha$	NDVI	RSSI	$\alpha$	NDVI	RSSI	$\alpha$	NDVI
1	-94	3.256	0.7984	-98	3.502	0.7590	-89.4	3.010	0.4309
2	-101	3.686	0.8061	-98	3.502	0.7534	-89.0	2.949	0.3862
3	-100	3.625	0.8191	-94	3.256	0.7491	-88.4	2.888	0.3862
4	-97	3.440	0.8108	-95	3.318	0.7634	-87.9	2.888	0.4529
5	-98	3.502	0.8257	-89	2.945	0.7384	-96.3	3.380	0.3515
6	-101	3.686	0.8456	-96	3.379	0.7466	-93.6	3.195	0.3611
7	-94	3.256	0.8034	-95	3.318	0.7501	-91.8	3.133	0.4231
8	-95	3.318	0.8366	-90.4	3.035	0.7424	-96.5	3.379	0.3729
9	n/a	n/a	0.8378	-98	3.502	0.7511	-93.0	3.195	0.3568

Table 2.6: Cell Data of 2013

	June 7			June 22		
Cell	RSSI	$\alpha$	NDVI	RSSI	$\alpha$	NDVI
1	-77.3	2.230	0.7126	-78	2.273	0.7895
2	-81.4	2.482	0.7293	-82	2.529	0.7991
3	-84.1	2.647	0.7462	-82	2.519	0.7973
4	-71.6	1.880	0.6730	-65.1	1.479	0.7825
5	-83.4	2.605	0.7327	-79.7	2.378	0.7977
6	-84.0	2.642	0.7607	-88	2.888	0.8052
7	-73.1	1.972	0.6624	-74	2.02	0.784
8	-84.1	2.648	0.7582	-84	2.642	0.7988
9	-83.9	2.630	0.7538	-80	2.396	0.7919

Table 2.7: Cell Data of 2014

Fig. 2.9 to 2.11 show the linear, logarithmic, and quadratic equations for their fit of  $\alpha$  as functions of VIs to the data of July 23, 2013. We can see all  $R^2$  values of the equations are larger than 0.8 and  $p$  values less than 0.013, indicating that these equations fit the data points very well. The equations predict that  $\alpha$  values increase as NDVI values increase, i.e., areas with higher vegetation density cause more path loss than areas with lower vegetation density. The linear model is the simplest one and fits the data very well. It

reflects how vegetation affects RF propagation in forests by giving a simple linear mathematical formula of the relationship between  $\alpha$  and NDVI. The more complex quadratic model fits these data extremely well with  $R^2$  value greater than 0.88 and  $p$  value less than 0.006. The logarithmic model also fit the data very well, with  $R^2$  and  $p$  value a little better than the linear one.

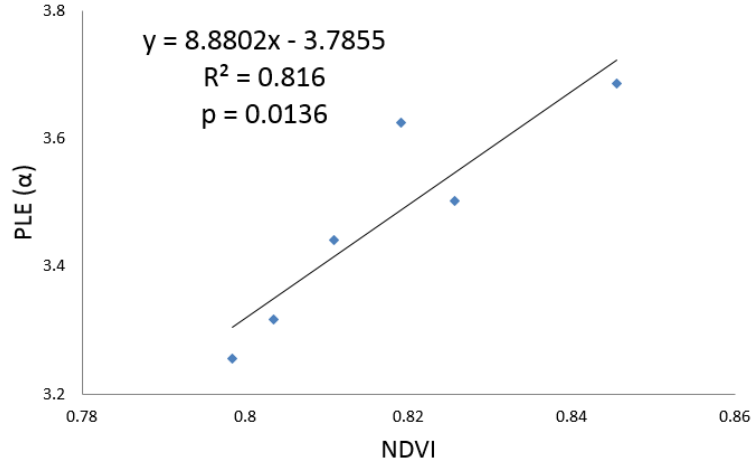


Figure 2.9: Linear Fit of  $\alpha$  vs. NDVI on July 23, 2013

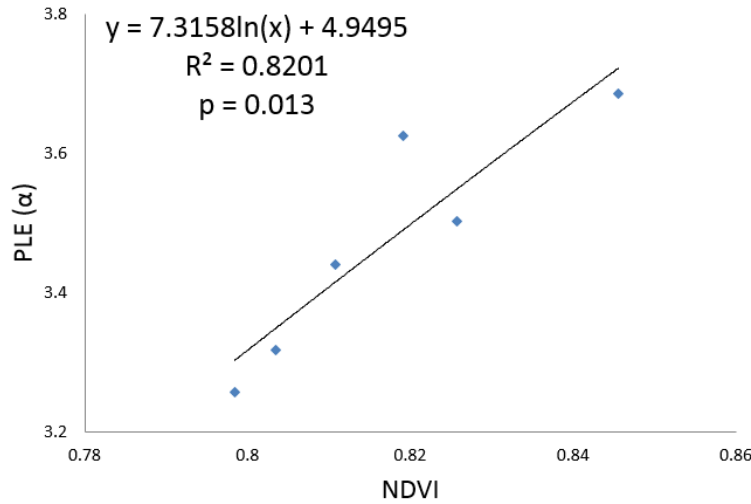


Figure 2.10: Logarithmic Fit of  $\alpha$  vs. NDVI on July 23, 2013

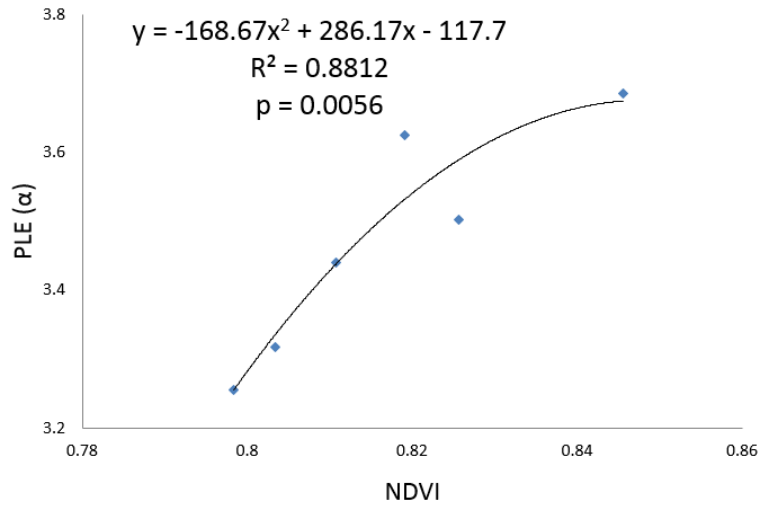


Figure 2.11: Quadratic Fit of  $\alpha$  vs. NDVI on July 23, 2013

Fig. 2.12 to 2.14 show the linear, logarithmic, and quadratic equations for their fit to the data of August 24, 2013. These equations reveal the same trend between  $\alpha$  and VIs as the trip of July 23, 2013, predicting that  $\alpha$  values increase as NDVI values increase. The equations also fit the data very well with good  $R^2$  and  $p$  values. The complex quadratic model has the highest  $R^2$  value and lowest  $p$  value. The logarithmic model also has good  $R^2$  and  $p$  values, but it is not significantly better than the simpler linear model.

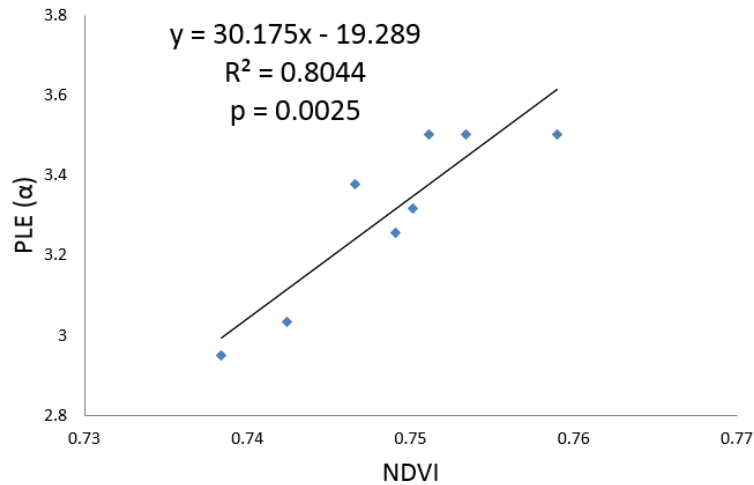


Figure 2.12: Linear Fit of  $\alpha$  vs. NDVI on August 24, 2013



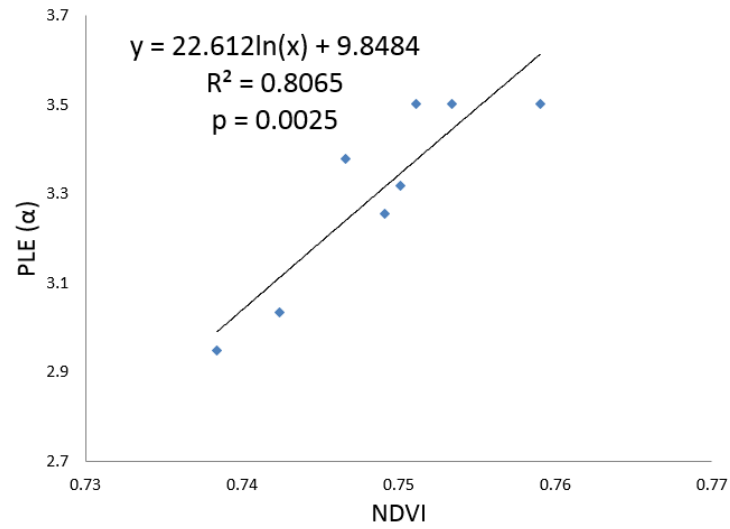


Figure 2.13: Logarithmic Fit of  $\alpha$  vs. NDVI on August 24, 2013

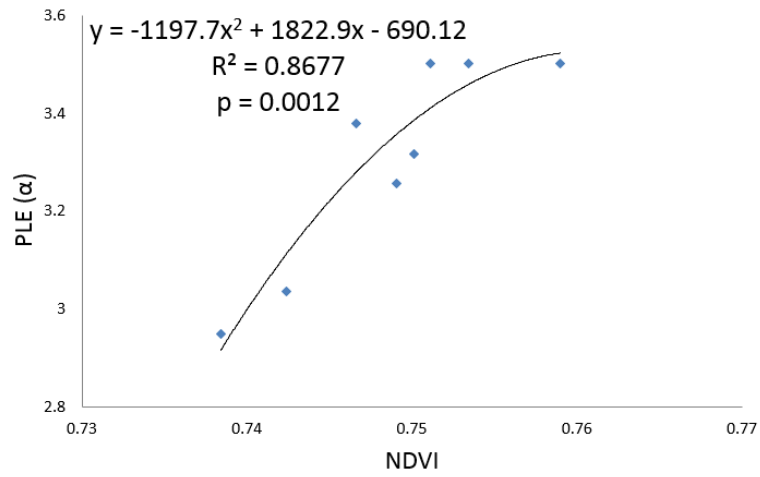


Figure 2.14: Quadratic Fit of  $\alpha$  vs. NDVI on August 24, 2013

Fig. 2.15 and 2.16 are linear equations of  $\alpha$  as functions of NDVI from data sets of June 7 and June 22, 2014, respectively.

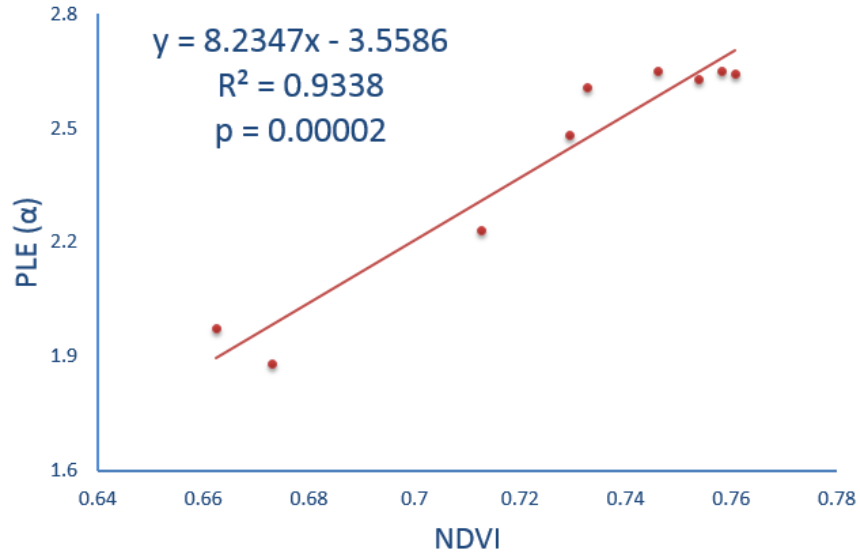


Figure 2.15: Linear Fit of  $\alpha$  vs. NDVI on June 7, 2014

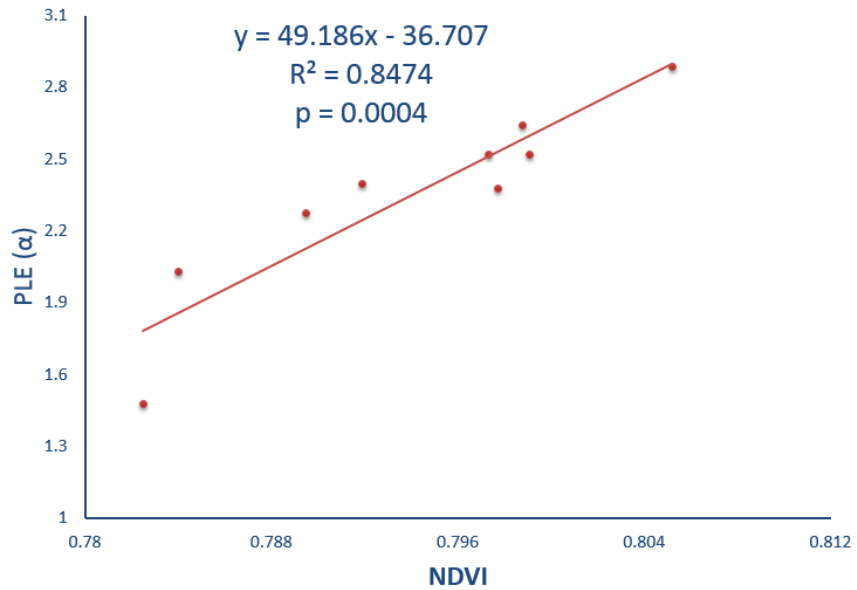


Figure 2.16: Linear Fit of  $\alpha$  vs. NDVI on June 22, 2014

These two linear equations (Fig 2.15 and 2.16) show that  $\alpha$  value increase as NDVI values increase, which reveals the same trend between  $\alpha$  and VIs as the trips of July 23 and August 24 in 2013. For the trip of June 7, 2014, the linear model as well as the logarithmic and quadratic models fits the data points extremely well with  $R^2$  value greater than 0.93 and  $p$  value less than 0.00002. The equations of June 22, 2014 also have very good  $R^2$  and  $p$  values ( $R^2 > 0.8473$  and  $p < 0.0004$ ).  $R^2$  and  $p$  values of logarithmic and quadratic correlation models of the two dates are shown in Table 2.9.

Figure 2.17 is the linear fit of  $\alpha$  as a function of NDVI from data set on October 11, 2013. The correlation is qualitatively different than the other dates, giving an inverse relationship between  $\alpha$  and NDVI. The linear model (plus its logarithmic and quadratic models) predicts that  $\alpha$  values should decrease as NDVI values increase. The correlation also exhibits a much lower value of  $R^2$  and a much higher value of  $p$  than the other dates, indicating that the correlation model of October is unreliable.

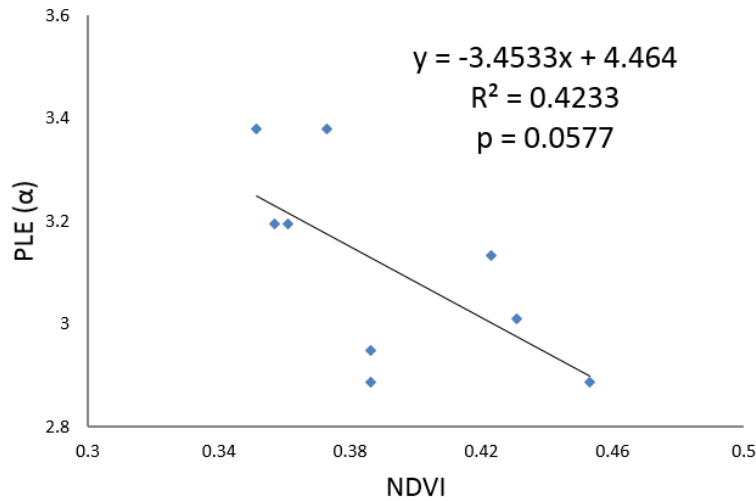


Figure 2.17: Linear Fit of  $\alpha$  vs. NDVI in October, 2013

## 2.5.2 The Composite Correlation Model

So far, we have characterized the correlation models between  $\alpha$  and VI values for each field trip. However, each model only reflects the relationship between  $\alpha$  and VIs in a “local” range of VI values. For example, NDVI values may vary from 0.65 to 0.7 of the correlation model in June, from 0.8 to 0.85 in July, and from 0.74 to 0.76 in August. Thus, there are some gaps of the range of NDVI values that may not be covered by any of the correlation models.

To characterize the relationship between  $\alpha$  and NDVI through a wider range of VI values in a more consistent way, e.g., from 0.65 to 0.85, we correlate a composite model of all the RSSI data and NDVI values from all of our field trips of the leaf-on conditions. Data of October 2013 are not selected because the correlation model of October is unreliable. Fig 2.18 shows data points of all NDVI and  $\alpha$  values of all of our field trips except October 2013.

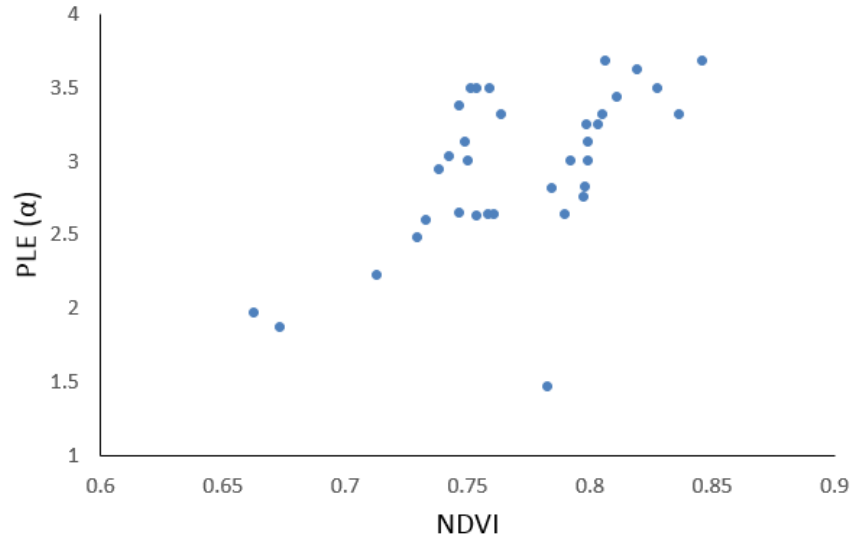


Figure 2.18: NDVI and  $\alpha$  Values from All Field Trips

### Excluding Outliers

As Fig. 2.18 consists of all NDVI and  $\alpha$  values of all of our field trips of different dates, it may include some outliers that behave differently than the other data points. For example, the circled data point (0.7825, 1.4745) in Fig 2.19 is

from cell 4 of date June 22, 2014. It has NDVI value of 0.7825 and  $\alpha$  value of 1.4745. However, other data points with NDVI value of about 0.78 usually have  $\alpha$  values greater than 2.5. The point also has the lowest  $\alpha$  value that is very distant from all the other points. Therefore, this point may be an outlier.

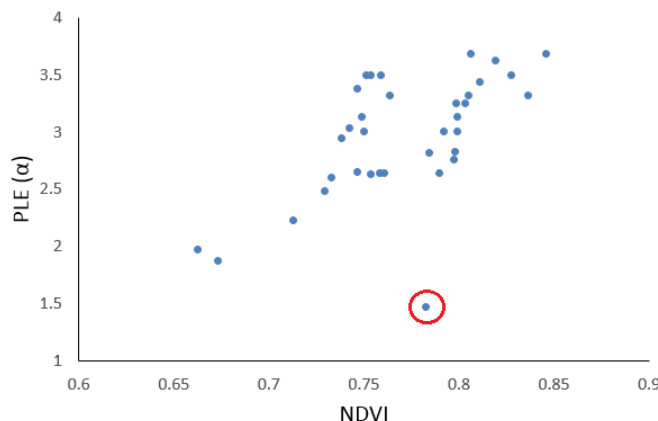


Figure 2.19: An Outlier of All the Data Points

To detect outliers from all the data points, we test the linear equation for its fit of  $\alpha$  to NDVI for each individual cell consisting of RSSI and NDVI values of the four different dates. The equation of each cell should follow the trend that  $\alpha$  increases as NDVI increase and exhibit strong correlation. If the equation fits the data of a cell very well with a good  $R^2$  value, all the data points in the cell can contribute to the composite correlation model. Otherwise, the equation has a poor fit to the data and there may be some outliers in the cell. Then we should test the quality of the fit of  $\alpha$  to NDVI after deleting the suspected point of the cell. If there is a much better fit of  $\alpha$  to NDVI after removing the suspected point, the point is considered as an outlier and does not contribute to the composite correlation model.

Fig. 2.20 to 2.23 show the linear fits of  $\alpha$  as functions of NDVI to the data in cell 2, 5, 7, and 8. Each figure consists of NDVI and RSSI values from the four different dates of one cell. Each equation fits the data very well with good  $R^2$  values. The  $R^2$  values of cell 2, 5, 7, and 8 are 0.7474, 0.862, 0.8737, and 0.9551, respectively. Therefore, NDVI and  $\alpha$  values in these cells can contribute to the composite correlation model.

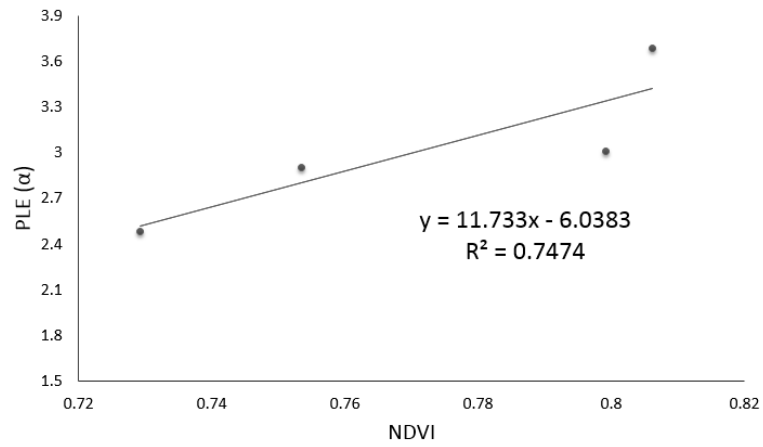


Figure 2.20: Linear Fit of  $\alpha$  to VIs for Cell 2 Consisting of NDVI and  $\alpha$  Values from Different Dates

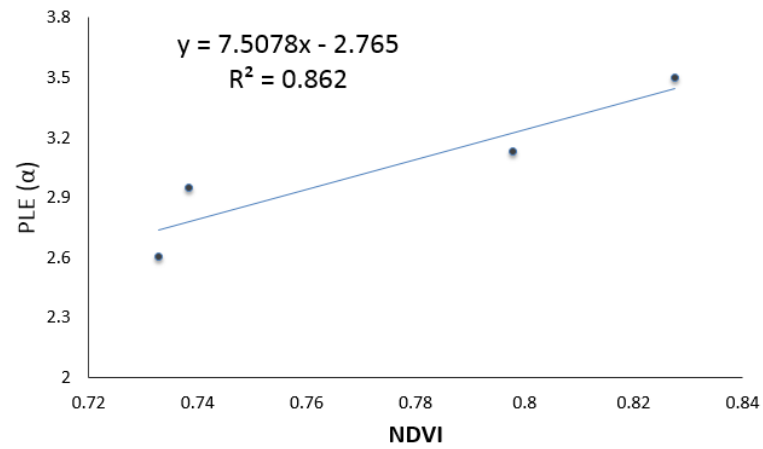


Figure 2.21: Linear Fit of  $\alpha$  to VIs for Cell 5 Consisting of NDVI and  $\alpha$  Values from Different Dates

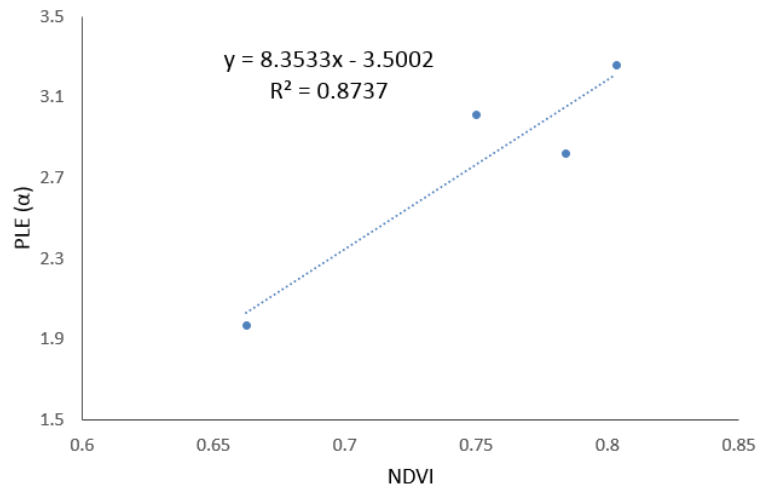


Figure 2.22: Linear Fit of  $\alpha$  to VIs for Cell 7 Consisting of NDVI and  $\alpha$  Values from Different Dates

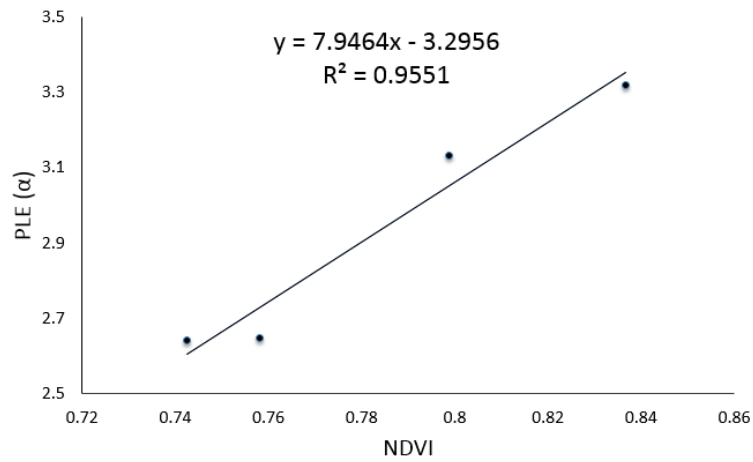


Figure 2.23: Linear Fit of  $\alpha$  to VIs for Cell 8 Consisting of NDVI and  $\alpha$  Values from Different Dates

Cell 2, 5, 7, and 8 have very good fit of  $\alpha$  to NDVI for each one. However, other cells do not have as good fit of  $\alpha$  to NDVI as these four cells. Fig. 2.24 shows the  $\alpha$  and NDVI values of cell 4 of the four dates. The linear equation has a poor fit of  $\alpha$  to NDVI with a very low  $R^2$  value. The circled point (0.7825, 1.4745) from June 22, 2014, same as in Fig. 2.19, behaves very differently than the other data points in the cell. If we delete the circled point, a linear equation shows a much better fit to the data with  $R^2$  value of 0.9265 (see Fig. 2.25). Thus, the circled point is considered as an outlier.

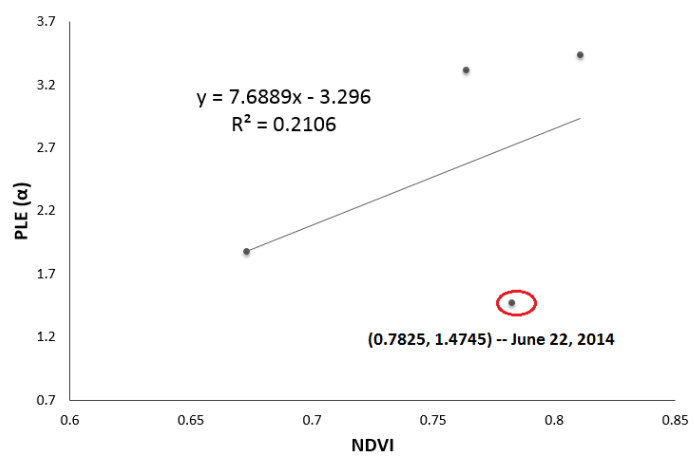


Figure 2.24: Linear Fit of  $\alpha$  to VIs for Cell 4

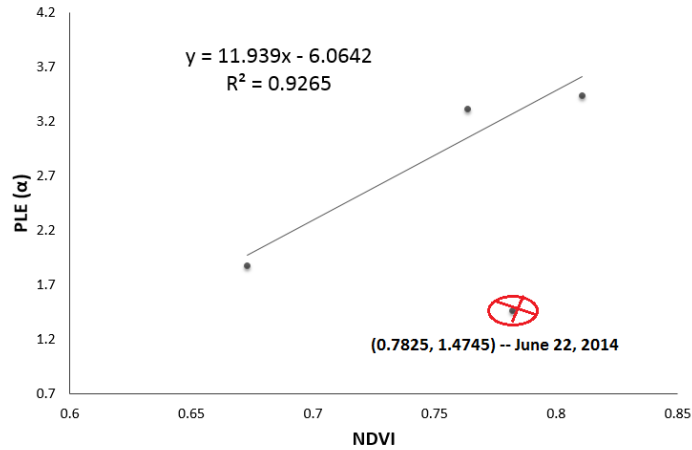


Figure 2.25: Linear Fit of  $\alpha$  to VIs for Cell 4 after Removing the Outlier



For cell 1, 3, and 6, we also detect one outlier out of each cell. Fig. 2.26 shows that in cell 1, the data point (0.759, 3.5) from August 2013 deviates from the other points. After deleting this point, we have a better linear fit with  $R^2$  of 0.7325. Fig. 2.27 detects another suspected point at (0.7491, 3.13) from August 2013 in cell 3. The equation shows a much better linear fit with  $R^2$  of 0.8647 to the data without the point. Cell 6 also detects an abnormal point at (0.7466, 3.379) from August 2013. Fig. 2.28 shows a very good fit of  $\alpha$  to NDVI with  $R^2$  of 0.9802 after removing the abnormal point. Therefore, these abnormal points in each cell are considered as outliers.

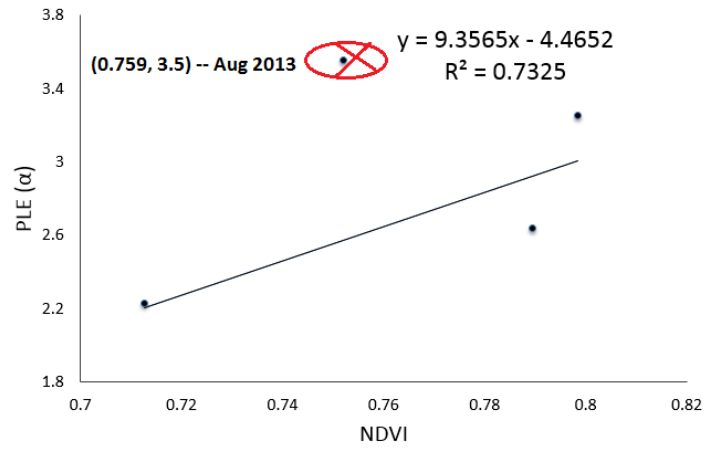


Figure 2.26: Linear Fit of  $\alpha$  to VIs for Cell 1 after Removing the Outlier

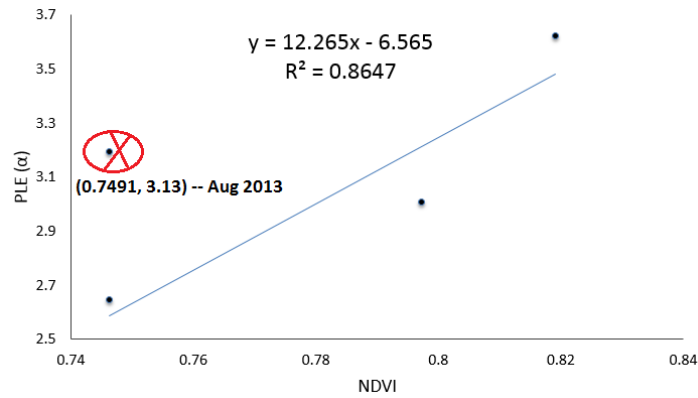


Figure 2.27: Linear Fit of  $\alpha$  to VIs for Cell 3 after Removing the Outlier

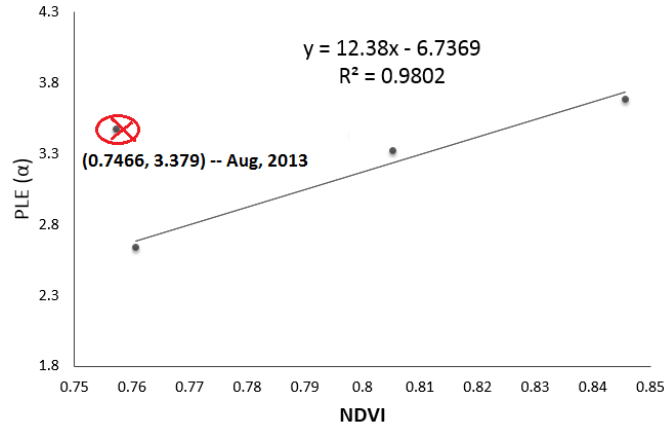


Figure 2.28: Linear Fit of  $\alpha$  to VIs for Cell 6 after Removing the Outlier

For the ninth cell, we have only three data points in total as laptop power constraints prevented us from collecting RSSI data for the cell in July 23, 2013. The number of points is small for us to determine whether the cell contains any outliers or not. We consider adding data points of other cells to help determine the quality of data in the ninth cell. As the eighth cell has a very good fit of  $\alpha$  to NDVI with  $R^2$  of 0.9551 (see Fig. 2.23), we combine the data of cell 8 and 9 and test the quality of the linear fit to the data. We detect a point at (0.7511, 3.5) from August 2013 that deviates from the other points. Fig. 2.29 shows a much better linear equation of  $\alpha$  to NDVI with  $R^2$  of 0.8892 after deleting the point.

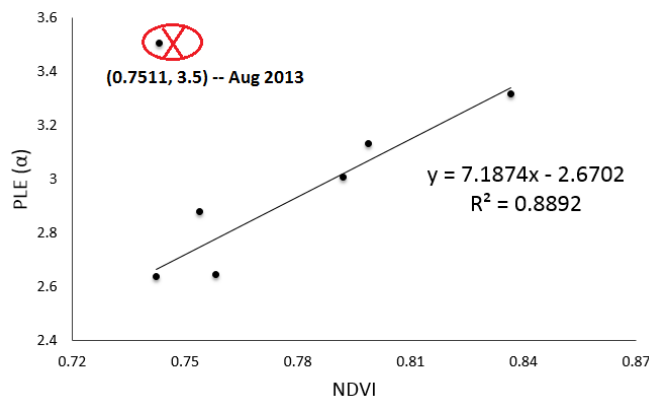


Figure 2.29: Linear Fit of  $\alpha$  to VIs for Cell 8 and 9 after Removing the Outlier

Fig. 2.25 to 2.29 all show much better linear fits of  $\alpha$  to NDVI with good  $R^2$  values after deleting the abnormal points in each cell. These points are considered as outliers. One similarity of the outliers in cell 1, 3, 6, and 9 is that they all come from the data of August 2013. It implies that data points in August 2013 behave differently than the other dates. The correlation model of August 2013 is not as reliable as correlation models of the other dates on July 23, 2013, June 7, 2014, and June 22, 2014. The reason for this abnormality in August 2013 may be caused by the weather conditions such as wind. We know that wind can interfere with signal transmission and affect the received signal strength. Future considerations of weather conditions should be recorded in more detail when taking field trip measurements.

### Correlating the Composite Model

After excluding the outliers in cell 1, 3, 4, 6, and 9, we can use the other data points in these cells to build the composite correlation model between  $\alpha$  and VIs. We test the linear, logarithmic, and quadratic equations for their fit to the data. Fig. 2.30 to 2.32 are these equations for their fits to the data points.

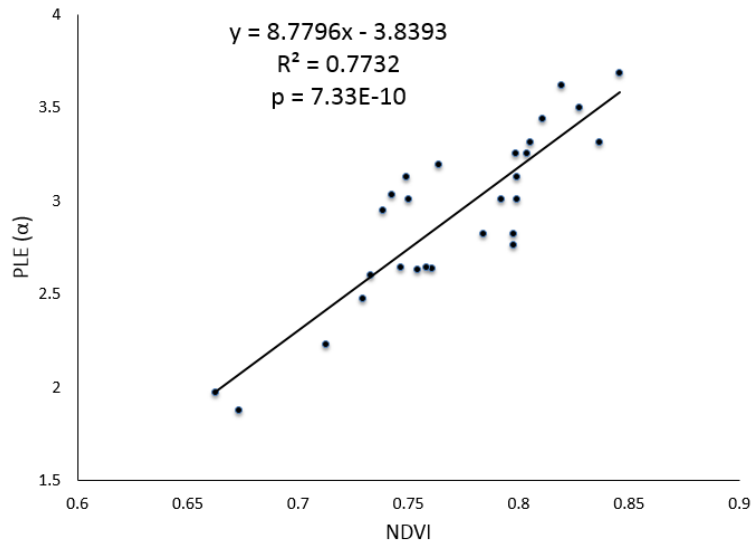


Figure 2.30: Linear Fit of  $\alpha$  vs. NDVI of the Composite Correlation

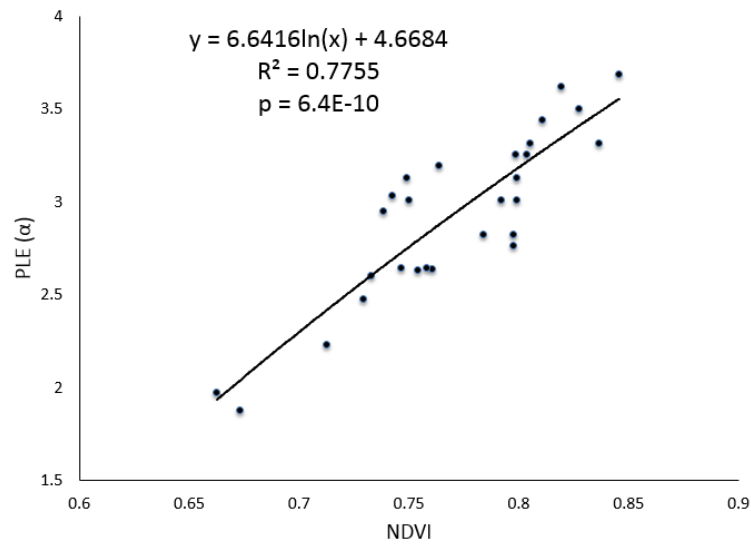


Figure 2.31: Logarithmic Fit of  $\alpha$  vs. NDVI of the Composite Correlation

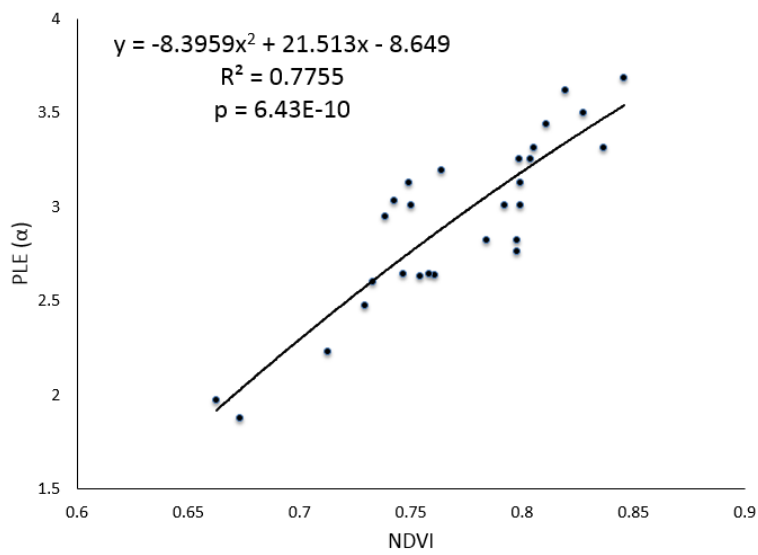


Figure 2.32: Quadratic Fit of  $\alpha$  vs. NDVI of the Composite Correlation

The equations of the composite correlation model predict that  $\alpha$  values increase as NDVI increase. All the equations have very good fits of  $\alpha$  to NDVI with  $R^2$  greater than 0.77 and extremely small  $p$  values that are less than  $7.4E - 10$ , indicating these correlations have high statistical significance. The quadratic and logarithmic equations are only a little better than the simpler linear model. The composite correlation also exhibit a wide range of NDVI values, varying from 0.65 to 0.85, which covers the whole in-leaf season in forests.

### Test for Normality

We use the normal probability plot to test the distribution of the data. The RSSI values in this composite model should exhibit relatively normal distribution. First, we order RSSI values from 1 to  $N$ . Then, we use the formula  $\frac{i-0.5}{N}$  ( $i = 1, 2, \dots, N$ ) to find z-values from the normal distribution table. Table 2.8 shows the RSSI and z-values. Fig 2.33 is the ordered RSSI values plotted against the z-values. The plot shows that the RSSI values are falling close to a straight line. Therefore, the RSSI data are fairly normal distributed.

i	RSSI	$(i - 0.5)/N$	z-value	i	RSSI	$(i - 0.5)/N$	z-value
1	-101	0.019	-2.09	15	-89	0.537	0.09
2	-100	0.056	-1.59	16	-87	0.574	0.19
3	-98	0.092	-1.32	17	-87	0.611	0.28
4	-97	0.129	-1.13	18	-86	0.648	0.38
5	-95	0.167	-0.97	19	-84	0.685	0.48
6	-95	0.203	-0.84	20	-84	0.722	0.59
7	-94	0.241	-0.7	21	-84	0.759	0.7
8	-94	0.278	-0.59	22	-84	0.796	0.83
9	-92	0.315	-0.48	23	-83	0.833	0.97
10	-92	0.352	-0.38	24	-81	0.870	1.13
11	-91	0.389	-0.28	25	-77	0.907	1.32
12	-90	0.426	-0.19	26	-73	0.944	1.59
13	-90	0.463	-0.09	27	-72	0.981	2.09
14	-90	0.5	0				

Table 2.8: RSSI and z-values

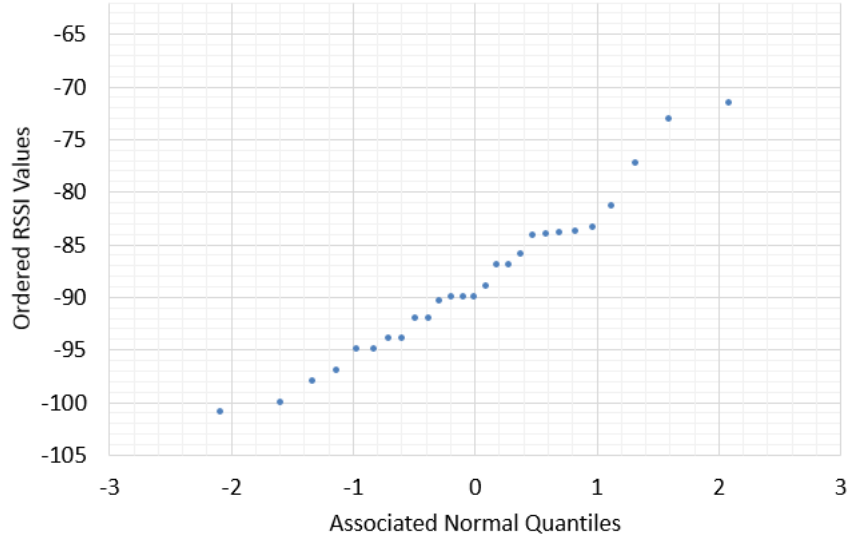


Figure 2.33: Normal Probability Plot

### Test for Randomness

We test the randomness of our data by using the residuals from the linear composite model. For a given number  $N$  of discrete observations, the Fourier series to the residuals are:

$$n(m) = a_0 + \sum_{m=1}^N (a_i * \cos(2\pi m f(i)) + b_i * \sin(2\pi m f(i))) \quad (2.13)$$

where

$$a_i = (2/N) \sum_{m=1}^N n(m) \cos(2\pi m f(i))$$

$$b_i = (2/N) \sum_{m=1}^N n(m) \sin(2\pi m f(i))$$

$$f(i) = i/N, \quad i = 1, 2, \dots, q$$

$$m = 1, 2, \dots, N$$

$$N = 2q + 1, \text{ if } N \text{ is an odd number}$$

$$N = 2q \text{ if } N \text{ is an even number.}$$

The intensity values in the frequency domain is defined as:

$$I(f(i)) = (N/2)(a_i^2 + b_i^2) \quad (2.14)$$

Then, we plot the cumulative periodogram by adding up the intensity values:

$$C(f(i)) = \frac{\sum_{j=1}^i I(f(j))}{\sum_{j=1}^q I(f(j))} \quad i = 1, 2, \dots, q \quad (2.15)$$

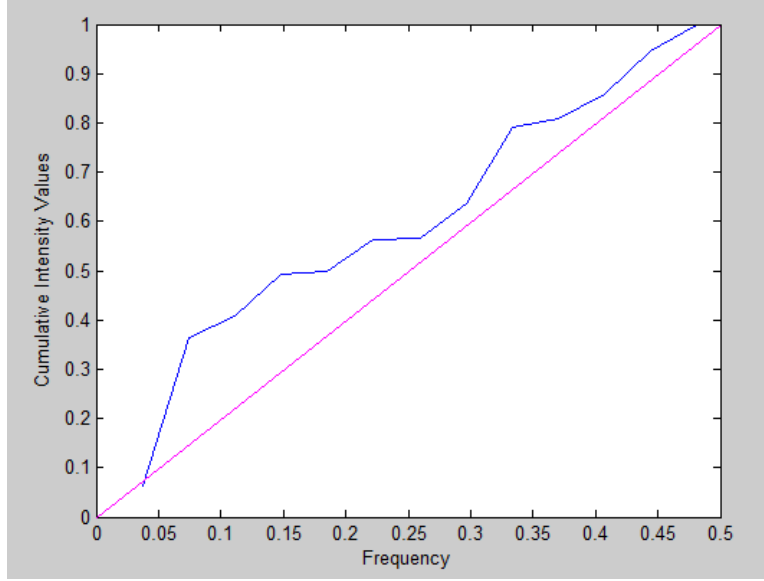


Figure 2.34: Cumulative Periodogram

If the residual series exhibit a distribution of Gaussian white noise, then the variables generated by  $C(f(i))$  will follow a straight line close to  $y_i = 2 * f(i)$  from  $(0,0)$  to  $(0.5,1)$ . The cumulative intensity values in Fig. 2.34 shows closeness to the straight line, indicating that our data follows the Gaussian white noise and are randomly distributed.

## 2.6 Results and Discussion

We tested linear, logarithmic, and quadratic equations for their fit to the data of each field trip as well as data of all NDVI and RSSI values from all of our trips. The  $R^2$  and  $p$  value for each correlation model is shown in Table 2.9.

For the data from July 23 and August 24 of 2013, and June 7 and June 22 of 2014, we found that the simplest model, the linear function, fits NDVI to  $\alpha$  very well. These models have  $R^2$  values greater than 0.80 and  $p$  values of 0.014

	Linear		Logarithmic		Quadratic	
	$R^2$	$p$	$R^2$	$p$	$R^2$	$p$
July 2013: $\alpha$ -NDVI	0.8160	0.0136	0.8201	0.0130	0.8812	0.0056
August 2013: $\alpha$ -NDVI	0.8044	0.0025	0.8065	0.0025	0.8677	0.0012
October 2013: $\alpha$ -NDVI	0.4233	0.0577	0.4334	0.0538	0.4766	0.0396
June 7, 2014: $\alpha$ -NDVI	0.9338	0.00002	0.9348	0.00002	0.9357	0.00002
June 22, 2014: $\alpha$ -NDVI	0.8473	0.0004	0.8485	0.0004	0.8672	0.0003
Composite $\alpha$ -NDVI	0.7732	7.33E-10	0.7755	6.4E-10	0.7755	6.43E-10

Table 2.9: Suitability of Regression Models

or better. The slightly more complex quadratic model fits these data extremely well. The logarithmic model for these data also has very good values of  $R^2$  and  $p$ , but they are not significantly better than the simpler linear model.

However, the correlation for the data of October 2013 is qualitatively different than the other dates, giving an inverse relationship between  $\alpha$  and NDVI. It predicts that  $\alpha$  should decrease as NDVI increases. This correlation also exhibits a much lower value of  $R^2$  and a much higher value of  $p$  than the other correlations. The explanation for this dramatic shift is an equally dramatic change in the forest: during June to August, the trees were in-leaf. By the time we visited the site in October, the trees had dropped their leaves, and the forest was out-of-leaf. This can be observed in the change in NDVI values. From June to August, NDVI ranges from 0.65 to 0.85. The high NDVI values correspond to densely vegetated areas that can largely affect RF propagation. However, in October, the NDVI values are much lower, and range from 0.35 to 0.46, which indicates the area is out-of-leaf and RF propagation is no longer affected by vegetation. The satellite sensor, of course, was still receiving reflectance data from the area of interest in October, likely from fallen leaves decomposing on the forest floor [25].

We conclude that our proposed method of using NDVI data to predict  $\alpha$  is only applicable when the forest is in-leaf. Further work is required to predict path loss in the out-of-leaf condition. As noted earlier, the underlying model would also have to change, as multi-path propagation becomes important in this condition.



We also build a composite correlation model of all RSSI and NDVI values from all of our field trips except October. By analyzing the quality of data in each individual cell consisting of  $\alpha$  and NDVI values of different dates, we found that cell 2, 5, 7, and 8 have very good fit of  $\alpha$  to NDVI. The other cells, however, have abnormal points that deviate from the other data points. We tested the improvement of the linear fit after deleting the abnormal points for each cell. Each cell exhibits a much better linear fit without the outliers. After excluding the outliers, we use all the other data points to characterize the relationship between  $\alpha$  and NDVI. We tested linear, logarithmic, and quadratic equations for their fit to the data points. The equations fit the data very well with  $R^2$  larger than 0.77 and extremely small  $p$  value less than  $7.4E - 10$ . The logarithmic and quadratic models are only a little better than the simpler linear model.

The composite correlation model consists of much more data points than the correlation model of single dates. The model detects outliers by analyzing the quality of data in single cells. It finds that most of the outliers come from the data of August 2013, which implies that the correlation model in August is not as reliable as the other dates. Moreover, the composite correlation model covers a wider range of NDVI values, varying from 0.65 to 0.85, and reflects how vegetation affects the RF propagation in a more consistent way than the correlations of single dates. The composite correlation is representative of the relationship between  $\alpha$  and VIs from field measurement in June, July, and August. As NDVI values in September vary from 0.65 to 0.75, which are also in the range of the composite correlation model, we can apply the model to the time from June to September, i.e., the whole in-leaf season in forests.

To summarize, we characterize the relationship between  $\alpha$  and NDVI of different dates. We found that a quadratic model has the best ability to predict  $\alpha$  from satellite NDVI measurements. However, a simpler linear model also performs quite well. We also correlate a composite model of all NDVI and RSSI values from all of our field trips. The composite correlation model covers a wide range of NDVI values and can be applied to the whole in-leaf phrase in forests.

## Chapter 3

# Predicting Path Loss across Multiple Cells

After we build the correlation model across single cells between path loss exponent,  $\alpha$ , and vegetation indices (VIs), we can use the model to predict path loss across multiple cells. First, with available satellite data, we can get VI values of all cells in the area of interest. By applying the VI values to the correlation model, we can get  $\alpha$  values for each cell. Then, we use a heuristic weighted sum method to calculate the overall  $\alpha$  value for a path crossing multiple cells, and to predict the RSSI value based on the path's distances in each crossing cell. Finally, we compare the predicted RSSI values against actual field data retrieved from SensorCloud that gathers RSSI from deployed sensors to an aggregator in the area of interest.

### 3.1 Weighted Sum Model

For a path crossing multiple cells where each cell has a different vegetation density, and thus a different value of  $\alpha$ , we need to determine the overall  $\alpha$  value of this path to calculate the received signal strength. Figure 3.1 shows a path AC that crosses two cells—cell 5 with distance  $AB = d_1$  and cell 8 with distance  $BC = d_2$ . The previous path loss model for areas with varying

vegetation densities is:

$$\log P_r(d) = -\alpha * \log d + \log K + \log P_t \quad (3.1)$$

where  $d = d_1 + d_2$ , and  $P_t$  and  $K$  are constants once the radios and antennas have been selected. The only factor left unknown to calculate the received power  $P_r(d)$  is the  $\alpha$  value for path AC that crosses two cells with different  $\alpha$  values in each cell.

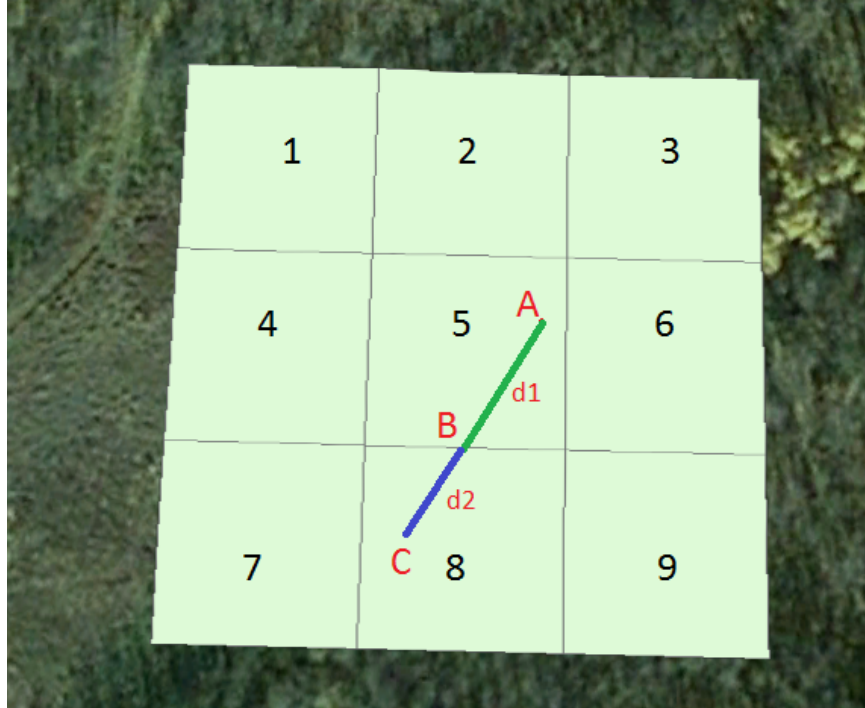


Figure 3.1: A Path across Multiple Cells

In this work, we propose a weighted sum method to determine the overall  $\alpha$  value for one path across multiple cells. With available satellite data, we can get VI values of each cell in the area of interest. By applying the VI values to the correlation model concluded in chapter 3, we can get  $\alpha$  value for each cell. To get the overall  $\alpha$  value for the path, we consider distances in each crossing cell. The following gives the definition of the weighted sum method:

For a path that crosses multiple cells  $c_1, c_2, \dots, c_n$  with distances  $d_1, d_2, \dots, d_n$

and path loss exponent  $\alpha_1, \alpha_2, \dots, \alpha_n$  in each cell, we define the path's overall path loss exponent  $\alpha'$  as:

$$\alpha' = \frac{d_1 \times \alpha_1 + d_2 \times \alpha_2 + \dots + d_n \times \alpha_n}{d_1 + d_2 + \dots + d_n} \quad (3.2)$$

$$\alpha' = \frac{\sum d_m \times \alpha_m}{\sum d_i} \quad m, i = 1, 2, \dots, n. \quad (3.3)$$

In Figure 3.1, assuming  $\alpha_1$  in cell 5 is 2.0,  $\alpha_2$  in cell 8 is 3.0,  $d_1 = 20m$ , and  $d_2 = 15m$ , the overall path loss exponent  $\alpha'$  for path AC is:

$$\alpha' = \frac{\alpha_1 * d_1 + \alpha_2 * d_2}{d_1 + d_2} = \frac{2.0 * 20 + 3.0 * 15}{20 + 15} = 2.43 \quad (3.4)$$

## 3.2 SensorCloud Data

The weighted sum method allows us to calculate the overall path loss exponent between any two locations whose path crosses multiple cells. To test our correlation model, we need to compare the predicted RSSI against real field data. To obtain real field data, we deploy wireless sensor nodes in a testing area and use an aggregator to gather RSSI data from each sensor. The RSSI data is displayed online on SensorCloud.

Lord MicroStrain's SensorCloud provides a method of gathering data remotely from sensor networks, which enables people to collect RSSI data without the need to go to field. An MicroStrain WSDA-1000-LXRS Aggregator is used (see Fig. 3.2) to connect field wireless sensor nodes to SensorCloud [26]. After installing the aggregator and building the connection between wireless nodes and SensorCloud, the aggregator can detect and display the RSSI values online in SensorCloud. Thus, we can view and download RSSI data from each sensor to the aggregator online. Figure 3.4 is a visualization plot that shows the RSSI data for the paths from each field sensor to the aggregator on September 25, 2013.



Figure 3.2: The WSDA Aggregator Deployed in the Field

### 3.3 Multi-cell Path Loss Calculations

In this section, we describe our network site that consists of eight sensors and one aggregator for multi-cell path loss calculations. We calculate the overall  $\alpha$  value and RSSI data for paths from each sensor to the aggregator based on the weighted sum method. The predicted RSSI values are compared against real field data gathered from the aggregator and displayed on SensorCloud.

#### 3.3.1 Calculating Path Loss Using the Correlation Model

We select the same grid as our work site as for determining the correlation model between  $\alpha$  and vegetation indices. Eight wireless sensor nodes (No. 223—230) and one aggregator are deployed through the nine cells (see Figur 3.3).

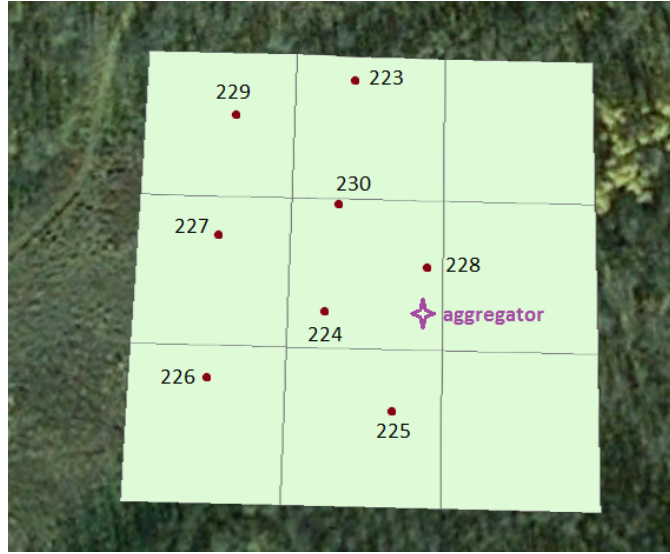


Figure 3.3: Deployment of Aggregator and Sensor Nodes

We select September 25, 2013 and June 8, 2014 as the testing dates when Landsat 8 data are available. As our correlation model is only applicable to in-leaf forests, the time between October 2013 and May 2014 when trees are out-of-leaf is not selected as our testing date for multi-cell path loss calculations.

As the sensors used here are different from what we used in the previous

correlation model, the value of  $K$  and  $P_t$  are also different. However, the values of  $K$  and  $P_t$  are fixed once the radios and antennas have been selected; thus, like in section 3.1, we select a cell with constant  $\alpha$  value and use SensorCloud's RSSI data from sensors in the cell to determine  $K$  and  $P_t$ . We choose the middle cell that contains the aggregator, sensor 224, and sensor 228. We retrieve the RSSI data from the two sensors on September 25, 2013. The average RSSI received at the aggregator is -56 dB from sensor 224 with distance 20.4m and -41 dB from sensor 228 with distance 3m. From equation 3.1, we have:

$$-4.1 = \log K + \log P_t - \alpha * \log 3 \quad (3.5)$$

$$-5.6 = \log K + \log P_t - \alpha * \log 20.4 \quad (3.6)$$

We find the value of  $\log K + \log P_t$  is -3.4, and  $\alpha$  value of cell 5 is 1.67.

We choose the linear composite correlation model concluded from all the leaf-on measurements in chapter 3 (see Fig 2.30). The correlation has  $R^2$  of 0.7732 and extremely small  $p$  value that exhibits high statistical significance:

$$\alpha = 8.7796 * NDVI - 3.8393 \quad (3.7)$$

We first calculate NDVI values of the nine cells in the grid. Then by applying the correlation model of Eq. 3.7, we get the  $\alpha$  values for each cell. Table 3.1 shows NDVI and  $\alpha$  values for the two testing dates. The predicted  $\alpha$  value of September 25, 2013 of cell 5 is 1.69, which is very close to the  $\alpha$  value of 1.67 calculated from actual RSSI data in Eq. 3.5 and 4.6. It indicates that the correlation model in Eq. 3.7 reveals a good relationship between  $\alpha$  and vegetation density in forests.

After we get the  $\alpha$  values of the nine cells in the grid, we can apply the weighted sum method to calculate the overall  $\alpha$  value from each sensor to the aggregator. Since co-ordinates of the aggregator and sensors are known, we can calculate the total distance from each sensor to the aggregator as well as distances in each path's crossing cells. Table 3.2 shows the crossing cells from each sensor to the aggregator. Each line indicates the cell numbers that a path

	Sep 25, 2013		June 8, 2014	
Cell	NDVI	$\alpha$	NDVI	$\alpha$
1	0.6138	1.5496	0.7491	2.7375
2	0.6219	1.6207	0.7408	2.6602
3	0.6620	1.9728	0.7562	2.7998
4	0.6392	1.7726	0.7583	2.8182
5	0.6297	1.6892	0.7474	2.7226
6	0.6364	1.7480	0.7266	2.5400
7	0.6337	1.7243	0.765	2.8771
8	0.6383	1.7647	0.7632	2.8613
9	0.6475	1.8455	0.7599	2.8323

Table 3.1: NDVI and  $\alpha$  Values for Each Cell of the Testing Dates

is crossing, and the distance traversed in each cell. For example, the path from sensor 223 to the aggregator crosses 25 meters of cell 2 and 18 meters of cell 5.

sensor $n$ to the aggregator	crossing cells	distances in each crossing cell (m)
223	2, 5	25, 18
224	5	20.4
225	8, 5	12.5, 12.5
226	7, 8, 5	15.8, 2.5, 29.2
227	4, 5	15, 27
228	5	3
229	1, 2, 5	15, 32.3, 4.4
230	5	26.2

Table 3.2: Distances of Paths Across Each Cell

Based on the distances in the cells crossed by each path, and  $\alpha$  values from Table 3.1 and 3.2, we apply the weighted sum method of Eq. 3.2 to get the overall  $\alpha$  value for each sensor to the aggregator. The overall  $\alpha$  values and predicted RSSI data for each path are shown in Table 3.3 and 3.4.



### 3.3.2 Comparison between Predicted and Actual RSSI

Real field RSSI data are extracted from SensorCloud of Sep 25, 2013 and June 8, 2014 when Landsat 8 are available on these dates. Figure 3.4 is a visualization plot of RSSI data from different sensors on September 25, 2013. For each testing date, we record the RSSI values from SensorCloud every ten minutes and for 24 hours. We use the average values for the final result (see Table 3.3 and 3.4).

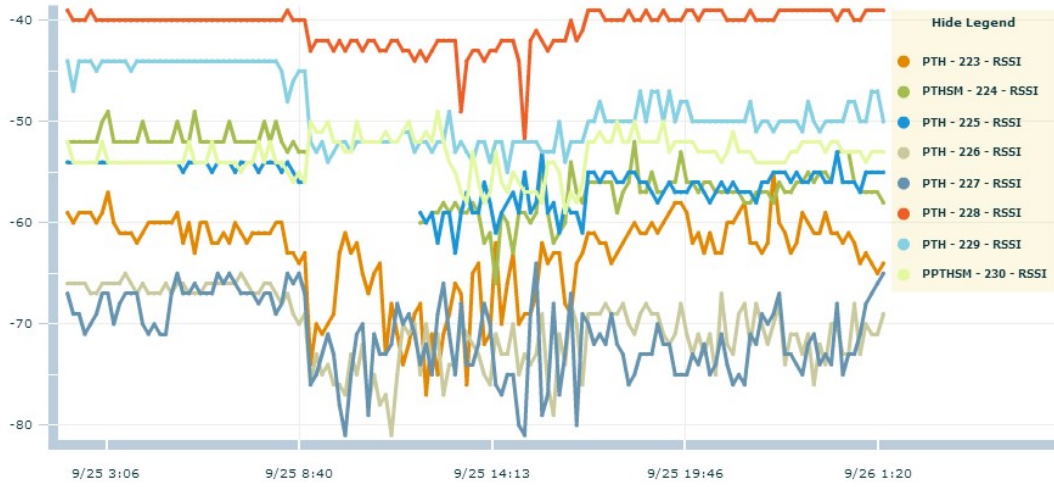


Figure 3.4: Actual RSSI Data Displayed on SensorCloud from Different Sensors to the Aggregator

Table 3.3 and 3.4 list the overall  $\alpha$  values, the predicted RSSI, and the actual field RSSI values from each sensor to the aggregator. Because some sensors have been damaged by June 2014 (e.g., 225 and 229), the actual RSSI values from those sensors are missing.

## 3.4 Results and Discussion

We use the mean absolute percentage error (MAPE) to measure the accuracy of the RSSI prediction from our correlation model. MAPE measures how close

predictions are to the eventual outcomes. The definition is:

$$M = \frac{100\%}{n} \sum_{t=1}^n \left| \frac{A_t - F_t}{A_t} \right| \quad (3.8)$$

where  $A_t$  is the actual value and  $F_t$  is the forecast value. In our case,  $A_t$  is the actual RSSI from SensorCloud and  $F_t$  is the predicted RSSI. For the data tested on September 25, 2013, we have  $M = 3.68\%$ . For the data from June 8, 2014,  $M$  equals  $4.78\%$ . The predicted RSSI is very close to the actual RSSI from Table 3.3 and 3.4.

Sensor n	overall $\alpha$ value	predicted RSSI	actual RSSI
223	1.6494	-60.94	-61.8
224	1.6892	-56.12	-55.71
225	1.727	-58.14	-56.33
226	1.7049	-62.59	-64.0
227	1.7190	-61.9	-64.2
228	1.6892	-42.06	-40.92
229	1.6059	-61.52	-55.0
230	1.6892	-57.95	-54.0

Table 3.3: Predicted and Actual RSSI Data from Each Sensor to the Aggregator of September 25, 2013

Sensor n	overall $\alpha$ value	predicted RSSI	actual RSSI
223	2.6863	-77.88	-76
224	2.7226	-69.66	-62.0
225	2.792	-73	n/a
226	2.7813	-80.64	-79
227	2.7568	-78.75	-77.2
228	2.7226	-46.99	-43
229	2.6772	-79.87	n/a
230	2.7226	-72.62	-73

Table 3.4: Predicted and Actual RSSI Data from Each Sensor to the Aggregator of June 8, 2014

We conclude that our correlation model from single cells predicts path loss across multiple cells very well with an error less than 5%. With satellite data available anywhere on earth, the model enables us to get the  $\alpha$  value for each 30m x 30m cell that corresponds to a Landsat 8 pixel. With the weighted sum method, we can calculate the path loss between any two locations whose path crosses multiple cells.

# Chapter 4

## Conclusions and Future Work

We propose a relatively simple correlation model of different dates to predict values for the path loss exponent,  $\alpha$ , based on satellite observations of VIs. We also characterize the composite correlation of  $\alpha$  to VIs of all the filed measurements, which covers the whole in-leaf phrase in forests. We found this approach to work very well for leaf-on conditions in a study site consisting of boreal forest in central Alberta, which is specific to aspen boreal forests that cover approximately 1.5 to 2.0 million square kilometers in Canada alone. The correlations are strong ( $R^2 > 0.77$ ) and exhibit high statistical significance ( $p < 0.01$ ). The correlations enables us to characterize and predict the RF propagation environment in forested areas without the need for field measurements, given that satellite data are available any location on Earth.

We also propose a method to fill in missing high-resolution 30m x 30m data for dates where the satellite's view of the area of interest is obscured by clouds or aerosols such as pollution or sand storms degrade or significantly interfere with the high-resolution satellite data we are using. We tested our proposal by comparing its predictions to actual values for a date when the 30m x 30m data are available, and the results show absolute errors of less than 5%.

Finally, we apply the correlation model between  $\alpha$  and VIs to predict path loss across multiple cells. With available Landsat 8 data, the correlation model enables us to get the  $\alpha$  value for each cell in the area of interest. By using the weighed sum method, we can calculate the overall  $\alpha$  and RSSI for a path between any two locations. The predicted RSSI are compared with actual field

data retrieved from SensorCloud that that gather RSSI from each sensor to the aggregator deployed in the area of interest. Results show that the predicted RSSI values are very close to the real ones with error less than 5%.

Based on these promising initial results, future research includes taking more field RSSI measurements in forested areas. Carrying out field measurements in each month when trees are in-leaf will enable us to develop much more extensive correlations between  $\alpha$  and vegetation densities for the entire leaf-on phase in boreal forest sites. Also, details about the weather conditions such as the wind speed should also be recorded and considered carefully to make the measured RSSI data more reliable when taking field trips. These correlations will provide much more complete information for people who want to design and deploy WSNs in fields.

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