1	Microbiology of fermented soy foods in Asia: can we learn lessons for production of plant
2	cheese analogues?
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### 13 Abstract

14 The food industry is facing the challenge of creating innovative, nutritious, and flavored plantbased products, due to consumer's increasing demand for the health and environmental 15 16 sustainability. Fermentation as a unique and effective tool plays an important role in the innovation 17 of food products. Traditional fermented soy foods are popular in many Asian and African countries 18 as nutritious, digestible and flavorful daily staples or condiments. They are produced by specific 19 microorganisms with the unique fermentation process in which microorganisms convert the 20 ingredients of whole soybean or soybean curd to flavorful and functional molecules. This review 21 provides an overview on traditional fermented food produced from soy, including douchi, natto, 22 tempeh, and sufu as well as stinky tofu, including the background of these products, the 23 manufacturing process, and the microbial diversity involved in fermentation procedures as well as 24 flavor volatiles that were identified in the final products. The contribution of microbes to the 25 quality of these five fermented soy foods is discussed, with the comparison to the role of cheese 26 ripening microorganisms in cheese flavor formation. This communication aims to summarize the 27 microbiology of fermented soy foods in Asia, evoking innovative ideas for the development of 28 new plant-based fermented foods especially plant-based cheese analogues.

29 Keywords. douchi, natto, tempeh, sufu, plant-based cheese, Lactobacillus, Bacillus, Aspergillus.

#### 31 Introduction

32 Fermentation is a traditional, low cost and effective unit operation in food production. It transforms 33 agricultural raw materials into functional and flavored foods through desirable microbial growth 34 and enzymatic activity (Marco et al., 2021). Fermentation is also considered as an effective tool to 35 improve food safety through the reduction of anti-nutritive or even toxic compounds, and through 36 fermentative production of antimicrobial products including organic acids, alcohols, and 37 bacteriocins by that control spoilage organisms and pathogens (Gänzle, 2020; Ross et al., 2002). 38 The characteristics and quality of final fermented products depends on the dominant 39 microorganisms or core microbiota. Microorganisms commonly used for food fermentation 40 include lactic acid bacteria, acetic acid bacteria, yeasts and filamentous fungi (Marco et al., 2021). 41 Globally, fermented protein foods are prepared from soy or legumes, or from animal protein. In 42 the European tradition of food fermentations, fermented protein foods are exclusively produced 43 with animal proteins, i.e. meat, milk or fish (Gänzle, 2022). The current trend towards replacing 44 animal proteins with plant proteins to increase the sustainability of food production, and to meet 45 the demand of vegan or vegetarian consumers also necessitates to develop plant-based analogues 46 of fermented animal protein foods (Grossmann and McClements, 2021). To date, the development 47 of these fermented plant-protein foods was informed by the traditional use of cultures in meat and 48 cheese fermentations, or the selection of starter cultures defaults to Lactiplantibacillus plantarum 49 and Lacticaseibacillus casei (Mefleh et al., 2021). However, traditional fermentation of plant 50 protein foods, particularly fermented foods prepared from soy beans, may provide an additional 51 knowledge base for design of fermentation processes, and for selection of starter cultures.

Legumes are among of the most important agricultural crops. Multiple traditional fermented foods
employ legumes as main substrate and, among legumes, soy beans are most commonly used as

54 substrate for traditional fermented protein foods (Gänzle, 2022). Soy beans were domesticated 55 several thousand years ago in East Asia and has been introduced to other parts of the world less 56 than 500 years ago. It has a high protein content, about  $\sim 40\%$  and a well-balanced amino acid 57 composition (Etten et al., 1959; Medic et al., 2014). In addition, soy proteins exhibit good 58 functional properties, including gelling formation, emulsification, water-holding, and foaming 59 (Wolf, 1970). Thus, soybeans are an important source of plant proteins. In addition to the high 60 content of proteins, soybeans also contain other nutrients including diverse carbohydrates and 61 lipids as well as isoflavones (Medic et al., 2014). In East Asian countries, a variety of fermented 62 soy foods has been traditionally prepared and consumed as staple foods or as condiments; examples include *douchi*, *natto*, *tempeh*, and *sufu*. Of these, *douchi*, *natto*, and *tempeh* are whole 63 64 soybean-fermented products while *sufu*, a soft creamy "cheese-like" product, is fermented soybean 65 curd (Lite, 2005).

Fermented soy foods are produced with diverse fermentation microbes. *Natto* is fermented with 66 67 Bacillus subtilis biovar. Natto (Hosoi and Kiuchi, 2008), but Rhizopus spp. is a common starter 68 culture for tempeh-making (Hartanti et al., 2015). Traditional fermented soy foods such as *douchi*, 69 sufu, and tempeh are made using spontaneous fermentation, or with pure starter cultures to initiate 70 the fermentation, followed by the maturation (Han et al., 2001b; Lite, 2005; Nout and Rombouts, 71 1990). Their microbial communities significantly differ depending on the processing method even 72 though they are the same type of fermented soy products, subsequently influencing the flavor and 73 quality of final products. There are two *douchi* products, *Aspergillus*-type Liuyang *douchi* and 74 *Mucor*-type Yongchuan *douchi*, that exhibit remarkable differences in the microbiota composition 75 and flavor (Yang et al., 2019; Zhang et al., 2021). Although the fermentation process, microbial 76 compositions and their function on the flavor formation have been described, there are few studies

on summarizing their microbial diversity and microbial contributions to the flavor and quality offinal fermented soy products.

The review summarizes the production process and microbiology of five fermented soy foods in Asia, including *douchi*, *natto*, *tempeh*, and *sufu* as well as stinky tofu, evaluates the potential contribution of microbes to the flavor and quality of final products, and discusses the question whether these traditional fermented products provide guidance for the development of plant-based analogues of plant-based cheeses and fermented meats.

### 84 Fermentation of soy beans.

#### 85 Douchi

86 Douchi, a traditional fermented soybean product has been consumed in China as a protein source 87 or seasoning, and has been used in Chinese traditional medicine (Chen et al., 2007; Lite, 2005; 88 Lite et al., 2002). The production of *douchi* involves a two-stage fermentation process: koji-89 making or pre-fermentation and maturation or post-fermentation (Figure 1). According to the 90 microbes in the koji-making phase, *douchi* can be grouped into three types: *Aspergillus*-type, i.e. 91 Baoxiangyuan and Liuyang douchi, Mucor-type, i.e. Yongchuan douchi, and Bacillus-type, i.e. 92 Qingyang douchi and Longnan douchi (Chen et al., 2007; Lite, 2005; Zhang et al., 2018). Mucor 93 *douchi* is fermented at a lower temperature but for a longer fermentation time when compared to 94 Aspergillus type douchi (Figure 1) (Lite, 2005). The salt content of Aspergillus-type Baoxiangyuan 95 and Liuyang *douchi* ranges from 4 to7% (w/w) (T. Chen et al., 2011b; Yang et al., 2016; Zhang et 96 al., 2022), which are low-salt *douchi* products, but other *Aspergillus*-type *douchi* is produced with 97 up to 16% (w/w) NaCl (Lite, 2005; Zhang et al., 2007). Mucor-type douchi is commonly produced 98 as high-salt *douchi* with a salt content of more than 12% (He et al., 2016; Hu et al., 2012).

99 Aspergillus-type douchi is most widely produced. Aspergillus-type douchi is made in Southern 100 China, e.g. Hunan, Jiangxi, Sichuan, and Guangdong provinces (Q. C. Chen et al., 2011; Chen et 101 al., 2012; Fan et al., 2009). Aspergillus spp. and Bacillus spp. were identified as dominant 102 microorganisms during pre-fermentation; Aspergillus strains originate from inoculation with 103 starter cultures while *Bacillus* spp. originate from the 'house flora' or the raw material (T. Chen et 104 al., 2011a, 2011b; Zhang et al., 2007). In the pre-fermentation step, Aspergillus and Bacillus spp. 105 produce hydrolytic enzymes including proteases, cellulases, amylases, and lipases and thus 106 generate substrates for other microorganisms in the subsequent *douchi* maturation (Hu et al., 2022; 107 Pel et al., 2007; Zhang et al., 2007). To prevent the formation of bitterness and astringency of the 108 final products, the extend of hydrolysis is controlled by washing and addition of brine (Lite, 2005). 109 *Douchi* is subsequently maturated in a brine mixture of salts and seasonings without addition of 110 starter cultures; the microbial communities of *douchi* and the flavor of the endproducts varies 111 depending on the change of environmental conditions. The bacterial and fungal communities listed 112 in Table 1 were identified as the dominant microbiota during maturation of Aspergillus-type 113 douchi or in mature Baoxiangyuan and Liuyang douchi, which contain 4 - 7% NaCl (Chen et al., 114 2012, 2014, 2022; T. Chen et al., 2011b; Li et al., 2018; Yang et al., 2019, 2016).

*Mucor*-type *douchi* is mainly produced in South-Western China (Wang et al., 2021; Yu et al., 2022; Zhang et al., 2021) In pre-fermentation, *Mucor* species are inoculated as starter cultures (Lite, 2005) and also secrete hydrolytic enzymes. *Mucor qu* is prepared at lower temperature with slower fermentation compared to *Aspergillus qu* (Figure 1). For *Mucor*-type *douchi*, *Mucor qu* is mixed directly with salts and seasonings without washing, followed by the secondary fermentation. During post-fermentation phase of Yongchuan *douchi*, the bacterial genera *Bacillus*, *Enterobacter*,

and *Pseudomonas*, and the fungal genera *Penicillium* and *Aspergillus* were identified as dominant
members of fermentation microbiota (Zhang et al., 2021).

Bacteria-type *douchi* is commonly produced in North China including Beijing and the Shandong and Gansu provinces. It is usually generated through the spontaneous fermentation with "house flora". Thus, the specificities of traditional bacteria-type *douchi* production vary depending on the environmental conditions. Zhang et al. (Zhang et al., 2018) characterized the microbial diversity of two bacteria-type *douchi* products and found that the microbial communities differed. The bacterial genera *Bacillus* and *Ignatzschineria* and the fungal genera *Pichia* and *Candida* were present in both *douchi* products.

130 The accumulation of tastants and volatile odorants imparts fermented *douchi* with a unique odor 131 and taste. The content of free amino acids increased gradually during fermentation (Zhang et al., 132 2007) and most of the volatiles are generated in the post-fermentation stage (Yang et al., 2019). 133 Because the optimum water activity for the Maillard reaction, 0.5 - 0.7 (Pereyra Gonzales et al., 134 2010), matches the water activity of *douchi* during drying, Maillard products that are formed 135 during drying may additionally contribute to the flavor formation of *douchi* (L. Liu et al., 2022). 136 Different types of *douchi* exhibit a different composition of volatiles due to the distinct 137 composition of fermentation microbiota during post-fermentation. In Aspergillus-type Liuyang 138 *douchi*, the volatiles phenylethyl alcohol, phenethyl acetate, isoamyl acetate, 2-methyl-butanal, 139 ethyl 2-methylbutyrate, 2,6-dimethylpyrazine, 1-octen-3-ol, 2-pentyl furan, benzeneacetaldehyde 140 and phenethyl butyrate were identified (Q. C. Chen et al., 2011; Chen et al., 2021). Naturally 141 maturated *Mucor*-type *douchi* contained a higher diversity of volatile compounds including esters, 142 phenols, and pyrazines compared to *Mucor*-type *douchi* inoculated by defined starters (He et al., 143 2016), likely reflecting that more diverse fermentation microbiota generally result in a more

144 diverse and intense flavor profile. The use of co-cultures which consist of the salt tolerant 145 Tetragenococcus halophilus, Zygosaccharomyces rouxii and Candida versatilis accelerated the 146 formation of volatiles (He et al., 2016). Wang et al. (Wang et al., 2021) identified 10 compounds 147 present with high odor activity values in *Mucor*-type Yongchuan *douchi*, including diacetyl, 148 dimethyl trisulfide, acetic acid, acetylpyrazine, 3-methylvaleric acid, 4-methylvaleric acid, 2-149 methoxyphenol, maltol,  $\gamma$ -nonanolactone, eugenol and phenylacetic acid. Aspergillus-type douchi 150 had more phenols and pyrazines while *Mucor*-type *douchi* contained more esters and acids (Wang 151 et al., 2010b). *Mucor*-type Yongchuan *douchi* matured for 10 month contained a higher content of 152 the umami-tasting glutamic acid and aspartic acid and a lower content of bitter-tasting amino acids 153 such as isoleucine, leucine and arginine when compared to Aspergillus-type Yongchuan douchi 154 that was matured for one month (Zhang et al., 2021). Esters that impart floral and fruity odors, 155 including ethyl isovalerate, isoamyl acetate, and ethyl phenylacetate, were also present in higher 156 quantities in the *Mucor*-type Yongchuan *douchi* when compared to *Aspergillus*-type Yongchuan 157 douchi (Zhang et al., 2021).

158 Natto

159 Natto, a Japanese fermented soybean food, is categorized into three types based on the production 160 methods and microorganisms used, including yukiwari-natto, hama-natto, and itohiki-natto. 161 Itohiki-natto (hereafter shortened to "natto") is fermented with Bacillus subtilis biovar. Natto and 162 is the most widely consumed product in Japan (Hosoi and Kiuchi, 2008; Reddy et al., 1983; Ruiz 163 Sella et al., 2021). The procedure for *natto*-making is shown in Figure 2. Natto strains are able to 164 impart *natto* with the characteristic flavor and the sticky texture after fermentation. They have a 165 very similar genome structure to other B. subtilis strains that are not suitable for natto-making but 166 additionally require biotin for growth (Kubo et al., 2011). The sticky material on the natto surface

167 consists mainly of poly- $\gamma$ -glutamate ( $\gamma$ -PGA) and polysaccharides (Saito et al., 1974). The texture 168 of *natto* is dependent on  $\gamma$ -PGA; the amount of  $\gamma$ -PGA produced, in turn, relates to the available 169 carbon sources and the concentration of L-glutamate (Shih and Van, 2001). More  $\gamma$ -PGA was 170 produced by *Bacillus subtilis* biovar. Natto using a medium with citric acid, ammonium sulfate 171 and a lower concentration of L-glutamate (Kunioka, 1995). The genetic determinants of *Bacillus* 172 species that relate to their use in food fermentations including *natto* fermentation were recently 173 reviewed (Li et al., 2023).

174 The flavor characteristics of *natto* depends on the fermentation conditions, the raw material, and 175 natto strains that are used (Wei et al., 2001). A storage temperature of more than 15 °C promoted 176 the production of ammonia and branched-fatty acids during maturation, leading to an undesirable 177 ammonia smell (Kanno and Takamatsu, 1987). Thus, storage temperatures of less than 10 °C are 178 used to obtain good quality *natto* (Kada et al., 2008). Soybeans with a higher sugar content but a 179 lower protein and oil content generated *natto* products with superior sensory properties 180 (Yoshikawa et al., 2014). Natto strains secret protease, especially the serine protease nattokinase, 181 to hydrolyse proteins into peptides and amino acids (Ichishima et al., 1986; Ju et al., 2019; Lan et 182 al., 2020). Natto strains also express levansucrase to synthesize the polysaccharide levan from 183 sucrose or raffinose (Bersaneti et al., 2018; Shih et al., 2010, 2005). Pyrazines produced by B. 184 subtilis biovar. Natto strains are key contributor to the characteristic odour of natto (Kłosowski et 185 al., 2021; Kosuge et al., 1971; Liu et al., 2018a; Sugawara et al., 1985). Key aroma compounds in 186 natto include 2,3-butanedione, 5-methyl-2-hexanone, 3-hydroxy-2-butanone, 2-nonanone, 187 furaldehyde, acetic acid, 2-ethyl butyric acid, ethyl acetate, 2,5-dimethylpyrazine, 2,3,5-188 trimethylpyrazine, 3,5-dimethyl-2-ethylpyrazine, 2,3,5,6-tetramethylpyrazine, 2,3,5-methyl-6ethylpyrazine, and benzaldehyde; of these, the pyrazines exhibited the highest odor activity values
(Liu et al., 2018b; Tanaka et al., 1998).

191 Tempeh

192 *Tempeh* (or *tempe*) is a mold-fermented soybean food which is commonly consumed in Indonesia 193 as snack or as staple food (Nout and Rombouts, 1990). Other legumes, cereal grains and processing 194 by-products have been also used for the tempeh production. Tempeh-making involves a two-stage 195 fermentation: the natural fermentation by lactic acid bacteria during soybean soaking and fungal 196 fermentation by *Rhizopus* spp. starter cultures (Figure 3). In the first-stage, spontaneous 197 fermentation, microorganisms shown in Table 2 were identified, in which Lacticaseibacillus casei, 198 Enterococcus faecium and Staphylococcus epidermidis are dominant microbiota responsible for 199 the acidification during the soaking of soybeans, where the pH value drops from 6.5 to 4.5 200 (Mulyowidarso et al., 1989). The to increase the reliability of the acidification by lactic acid 201 bacteria during soaking, the addition of lactic or acetic acids to the soaking water (Nout et al., 202 1985), back-slopping of the soaking water, or use of *Lactiplantibacillus plantarum* as starter 203 culture were used (Nout et al., 1987b). Leuconostoc fallax, Pediococcus pentosaceus, and 204 Weissella cibaria were identified as dominant members of bacterial communities after 10 back-205 slopping cycles at 30 °C (Yan et al., 2013). Acidification to pH values ranging from pH 3.5–5.0 206 (Yoneya, 2004) inhibits the growth of potential pathogenic and spoilage microorganisms including 207 Staphylococcus aureus (Nout et al., 1988) and Bacillus cereus (Nout et al., 1987a) but does not 208 impact growth of *Rhizopus* if the pH remains above 3.5.

After soaking, the hulls of the soybeans are removed, followed by a cooking process that softens

210 the matrix and eliminates most undesirable microorganisms. In the second-stage of fermentation,

211 Rhizopus spp. such as R. arrhizus, R. delemar, R. microsporus and R. stolonifer are dominant

212 (Dwidjoseputro and Wolf, 1970; Hartanti et al., 2015; Sjamsuridzal et al., 2021). In addition to 213 Rhizopus spp, bacteria and yeasts have frequently been isolated from tempeh (Table 2) (Ashenafi 214 and Busse, 1991a; Mulyowidarso et al., 1990; Samson et al., 1987). The use of co-inoculant 215 lactobacilli in tempeh fermentation prevents the growth of undesirable microorganisms and 216 generate a high quality product (Nout et al., 1987a). Citrobacter freundii, K. pneumoniae or 217 Propionibacterium freudenreichii have also been investigated as a co-inoculants to increase the 218 yield of vitamin B12 in tempeh fermentation (Keuth and Bisping, 1994; Signorini et al., 2018; 219 Wiesel et al., 1997).

220 Fresh tempeh appears as a firm cake covered with white mycelium and a meaty, mushroom-like 221 and nutty flavor (Hachmeister and Fung, 1993). Rhizopus spp. strains as dominant fermenters 222 produce a variety of glycosyl hyrolases, proteases and lipases to break down polysaccharides, 223 proteins and lipids (Nout and Rombouts, 1990). Amino acids and peptides impart umami taste 224 (Amin et al., 2020). 2-Methylpropanal, 1-octene-3-ol and 3-(methylthio)propanal were determined 225 as the major aroma compounds in tempeh fermented by R. oligosporus NRRL 2710 (Jeleń et al., 226 2013). The use of the co-inoculant Saccharomyces cerevisiae additionally contributed to the 227 formation of alcohol, ester, styrene, phenol, and maltol compared to the regular fermented tempeh 228 (Kustyawati et al., 2017).

## 229 Fermentation of precipitated soy proteins

230 Sufu (furu)

Sufu (Furu or Dou-furu), a fermented soybean curd product is a soft, flavoured and creamy cheeselike product that is consumed as staple food in China. The production of *sufu* involves two-step
fermentation processes: pre-fermentation or *pehtze*-making and post-fermentation or *sufu* ripening

(Figure 4). According to the microbes that are present in *pehtze*-making, *sufu* can be grouped into
mold-fermented and bacteria-fermented sufu (Han et al., 2001b).

Dominant microorganisms during *sufu* ripening are shown in Table 3. The molds *Actinomucor*spp., *Mucor* spp., and *Rhizopus* spp. are commonly used as pure starter cultures for the *pehtze*making of mold-fermented sufu (Cheng et al., 2011; Han et al., 2001b, 2004a; Huang et al., 2018;
X. Li et al., 2021), which produce proteases (Chou and Hwan, 1994; Han et al., 1988). Strains of *Micrococcus* spp. and/or *Bacillus* spp are also used as starter cultures for the production of
bacteria-fermented sufu (Bao et al., 2020; Han et al., 2004a), of these two, *Bacillus* spp. produce
extracellular proteases (Li et al., 2023).

243 Flavor formation occurs predominantly in the ripening stage of *sufu* fermentation and is highly 244 dependent on the dressing mixtures (Figure 4) and the activity of microorganisms (He et al., 2022; 245 He and Chung, 2020; Huang et al., 2018; Song et al., 2021; Yao et al., 2021). The final salt content 246 of sufu ranges from 10 to 14%, imparting a salty taste to sufu (Han et al., 2001b). In ripened sufu, 247 free amino acids accumulate and umami-tasting glutamic acid was the most abundant (Han et al., 248 2004b; Kim et al., 2011; Li et al., 2010; Xie et al., 2018; Yao et al., 2021). Ethyl 2-249 methylpropanoate, diacetyl, ethyl butanoate, ethyl 2-methylbutanoate, 3-(methylthio)propanal, 250 ethyl 3-phenylpropionate, 2-heptone, ethyl pentanoate, ethyl hexanoate, ethyl heptanoate, ethyl 251 octanoate, benzaldehyde, and phenylethanol contribute to the aroma of red sufu (Chung, 2000; 252 Chung et al., 2005; Wang et al., 2019). (E,E)-2,4-Decadienal, ethyl hexanoate, eugenol, methional, 253 ethyl 2-methylbutyrate, (E,E)-2,4-nonadienal, and 1-octen-3-ol were identified as aroma 254 compounds with high odour activity value in white *sufu* (He et al., 2020). Production of volatile 255 compounds including hexadecenoic acid ethyl ester, methoxy acetic acid pentyl ester, benzene 256 propanoic acid ethyl ester, ethyl 9-hexadecenoate, ethyl oleate, ethanol, 3-methyl-1-butanol, 5257 methoxy-1-pentanol, and eugenol during ripening provided the typical flavor for bacteria258 fermented Kedong *sufu* (Fan et al., 2019).

259 Stinky tofu

260 Stinky tofu (choudoufu), a popular Chinese snack, essentially consists of tofu seasoned with a 261 fermented brine. The flowchart for making of stinky tofu is shown in Figure 5. The Mandarin word 262 for stinky tofu (臭豆腐, *choudoufu*) is best translated as "smelly tofu" because the word "*chou*" 263 has no negative connotation and is also used for strong but acceptable flavors. In contrast, the 264 English word "stinky" is used to describe a negative perception of odor. The scientific literature, 265 however, consistently refers to *choudoufu* as "stinky tofu" so this communication follows 266 convention. The brine with the strong odour is produced by fermentation. Two formulas with 267 different raw materials are commonly used, one consisting mainly of mixed vegetables such as 268 spiny amaranth, bamboo shoot and wax gourd with or without meat; the second additionally 269 contains mashed tofu (Chao et al., 2008). Only few studies describe differences in the microbial 270 communities in the two types of stinky brines. Also, microbes that are present during different 271 fermentation phases are only partially investigated (Gu et al., 2018a). Lactic acid bacteria and the 272 genus *Bacillus* are considered as dominant microbiota in the fermentation of stinky brines. 273 Organisms of the genera Enterococcus, Lactococcus, Streptococcus, several genera of the 274 Lactobacillaceae as well as Bacillus spp. such as B. megaterium, B. polymyxa, B. pumilus, B. 275 subtilis, and B. sphaericus were identified in fermented stinky brines (Chao et al., 2008; 2010; Gu 276 et al., 2018b; Lei et al., 2013; G. Liu et al., 2022). The lactic acid bacteria in stinky tofu brines 277 overlap with core microorganisms in spontaneous vegetable fermentation (Ashaolu and Reale, 278 2020). The strains of the genus *Paraeggerthella* and *Eggerthella* involving the S-equol production 279 were also isolated from brines of stinky tofu (Abiru et al., 2013).

280 Stinky tofu is categorized as alkaline fermented food where ammonia is produced from amino 281 acids during fermentation. Ammonia formed during the fermentation of stinky brines results from 282 the deamination of amino acids in neutral or alkaline conditions. Ammonia and  $CO_2$  in the stinky 283 brine permeates into the tofu during brining but evaporates during deep-frying or steaming process, 284 imparting a fluffy and porous texture on the surface of stinky tofu (Teng et al., 2004). Volatile 285 compounds imparting the characteristic flavor of stinky brines include camphene, caryophyllene, 286 D-limonene, 3-(1,5-dimethyl-4 hexene)-6 methylene-cyclohexene, 5-(1,5-dimethyl-4-hexene)-2-287 methyl-1,3-cyclohexane), butanoic acid, pentanoic acid, heptanoic acid, octanoic acid, nonanoic 288 acid, N-decanoic acid, dodecanoic acid, tridecanoic acid, hexadecenoic acid, and tetradecanoic 289 acid, 2,6-dimethyl-2-octanol, butane, pentanoic acid-2,2,4-trimethyl-3-carboxyisopropyl-isobutyl 290 ester, 2-undecanone, dimethylamine, and sulfur hydrogen sulfide (Wang et al., 2020).

## 291 Similarities and difference of fermented soy products and cheeses.

292 Focussing on the differences first, the substrate supply in soy or plant-based cheese analogues 293 produced from soy or pulses along with other ingredients such as nuts or seeds and fats or oils 294 differs substantially from the substrate supply in milk or cheeses. Milk contains lactose as sole 295 source of fermentable carbohydrates and lactose metabolism is a major criterion for starter cultures 296 (van de Guchte et al., 2006; Wels et al., 2019). Soybean and soybean curd contain more diverse 297 carbohydrates, including fructose, glucose, sucrose, maltose, raffinose, stachyose and verbascose 298 (Medic et al., 2014) and the ability of cultures to ferment raffinose-family oligosaccharides likely 299 impacts acidification (Teixeira et al., 2012). In addition, plant-based cheese analogues contain 300 starch and non-starch polysaccharides (Medic et al., 2014), which are completely absent in milk 301 or cheese. Extracellular glycosyl hydrolases that degrade starch and non-starch polysaccharides 302 thus impact the ripening. These enzymes are commonly produced by bacilli and mycelial fungi 303 (Li et al., 2023) but not by lactic acid bacteria or yeasts (Gänzle and Follador, 2012). Phytate 304 hydrolysis as well as the degradation of other anti-nutritive components including raffinose-family 305 oligosaccharides and lectins is relevant in fermentation of pulses and cereals but not in milk 306 (Gänzle, 2020; Tsuji et al., 2015). Pulses, as any other plant material, contain phenolic compounds 307 and the ability of lactic acid bacteria to hydrolyse glycosides of secondary plant metabolites or to 308 convert phenolic acids to flavor volatiles or other bioactives will impact product quality (Gaur and 309 Gänzle, 2023; C. Li et al., 2021). Last but not least, milk fat is composed of diverse fatty acids 310 including C4 to C7 short chain fatty acids that are odor-active upon release from the triglycerides 311 (McSweeney et al., 2020) but short chain fatty acids are generally absent in plant oils. Conversely, 312 unpasteurized plant substrates have lipoxygenase activity which oxidizes unsaturated fatty acids 313 and generates the "beany" flavor of protein preparations from pulses. This flavor defect requires 314 heterofermentative lactobacilli to reduce the odor-active aldehydes to alcohols with a much higher 315 odor threshold (Sugahara et al., 2022).

316 The primary acidification cultures in cheese making and in fermentation of plant cheeses also 317 differ. Cheese-making always involves primary acidification with a traditional back-slopped 318 starter culture, or defined strain starter cultures derived from traditional cultures that contain 319 Streptococcus thermophilus with Lactobacillus delbrueckii or Lactobacillus helveticus, or 320 Lactococcus lactis and Lactococcus cremoris in association with Leuconostoc spp. (Parente et al., 321 2017). L. delbrueckii is highly specialized on lactose as substrate (van de Guchte et al., 2006) and 322 unlikely to perform well in any plant fermentation. Lc. lactis and Leuconostoc spp. also occur in 323 association with plants and in spontaneous plant fermentations and may be suitable for production of plant-based cheese analogues (Sooresh et al., 2023; Strafella et al., 2021). The traditional 324 325 fermentation of soy (Tables 1-3) and other plant fermentations (Gänzle, 2022) relies on dozens

of other species of lactic acid bacteria that may be more suitable for fermentation of plant-based cheese analogues than either lactococci with *Leuconostoc* spp. or the current default *Lp. plantarum* or *Lc. casei* (Mefleh et al., 2021). While the commercial availability of starter cultures dedicated to plant-based dairy and meat alternatives is increasing, these do not yet take advantage of the biodiversity of lactobacilli with potential use in plant-based cheese analogues. For example, the use of heterofermentative lactobacilli likely is a necessity to control the "beany" flavor caused by lipid oxidation products (Sugahara et al., 2022).

333 The succession of fermentation microbiota also differs in cheese ripening and traditional soy 334 fermentations. Cheese making relies on acidification by lactic acid bacteria, followed by ripening 335 with diverse ripening cultures. Depending on the type of cheese, microorganisms during ripening 336 include non-starter lactic acid bacteria, propionibacteria, P. roqueforti or the surface cultures 337 Penicillum camemberti in association with yeasts including Debaryomyces hansenii and 338 Geotrichum candidum. Bacterial red smear surface cultures include Brevibacterium spp. and 339 *Corynebacterium* spp. in addition to a large diversity of other microbes (Fox et al., 2017; Irlinger 340 et al., 2017; Wolfe et al., 2014). In traditional Asian pulse fermentations, this sequence is reversed; 341 hydrolytic cultures including mycelial fungi and / or bacilli grow first, followed by growth of 342 yeasts and lactic acid bacteria to develop the texture, taste and odor of the product (Figures 1 and 343 4). Because most lactobacilli do not express extracellular protease activities (Zheng et al., 2015), 344 a pre-fermentation with protease-producing microbes may be suitable for products that are 345 composed of pasteurized ingredients.

The fermentation of cheeses and of traditional pulse fermentations is similar in one major aspect:
Microbial metabolism converts sugars, proteins and lipids to taste-active compounds and odorants.
The composition of ripening microbiota in cheeses and fermented soy food overlaps to some

extend and includes e.g. *Lacticaseibacillus* spp. and *Lactiplantibacillus* spp.. Thus, the formation
of tastants and odorants in cheeses and plant-based cheese-analogues may involve comparable
microbes, metabolic pathways, and flavor-active compounds.

Carbohydrate metabolism is an important way to produce flavor compounds. In cheese making, the flavor compounds such as acetate, diacetyl and acetoin are generated through the metabolism of lactose and citrate by the starter culture (Lo et al., 2018; McSweeney et al., 2017). The conversion of citrate to diacetyl has also been described for plant-based fermentations with addition of lemon or apple juice as a source of citrate (Comasio et al., 2021).

357 Proteolysis and catabolism of amino acids are the major process for the flavor formation of cheese 358 (Yvon and Rijnen, 2001). The molds and some of lactic acid bacteria in cheese aging possess 359 comprehensive proteolytic systems, which can accelerate cheese ripening and convert the peptides 360 that are produced by protease and peptidase originating from milk, coagulant and starter cultures 361 to small peptides and amino acids (Griffiths and Tellez, 2013; McSweeney, 2017). Some of 362 peptides and amino acids directly contribute to the flavor of cheese; the bitter peptides produced 363 in aging process provided ripened Cheddar cheese with a perception of bitterness (Karametsi et al., 364 2014), and glutamic acid generated in ripening phase imparts umami taste (Ganesan and Weimer, 365 2017; Toelstede and Hofmann, 2008). Proteolysis is also a critical source for the production of 366 flavor compounds in fermented soy foods; glutamic acid was as the most abundant of free amino 367 acids in ripened *sufu* and several hydrophobic amino acids such as Phe, Leu, and Val contributed 368 to bitterness of sufu (Xie et al., 2018).

369 In cheese ripening, yeasts and some lactic acid bacteria transform amino acids including valine, 370 leucine, isoleucine, phenylalanine, tryptophan, tyrosine and methionine into odor volatiles such as 371 aldehydes, alcohols and carboxylic acids by amino acid catabolism pathway/Ehrlich pathway (Dzialo et al., 2017; Yvon and Rijnen, 2001). The sulphur compounds including methional,
methanethiol, dimethyldisulphide and dimethyltrisulphide are also major aroma compounds of
cheese, resulting from methionine degradation by cheese microorganisms such as *Brevibacterium lines* and *Geotrichum candidum* through amino acid elimination pathway (Berger et al., 1999; Dias
and Weimer, 1998). However, the sulphur compounds are usually absent from fermented soy foods
due to the limitation of methionine in the soy protein.

378 Free fatty acids are either aroma compounds such as butyric acid and hexanoic acid, or important 379 precursors of aroma compounds such as esters, ketones, lactones, and secondary alcohols (Thierry 380 et al., 2017). Cheese ripening microorganisms including Propionibacterium freudenreichii, 381 Yarrowia lipolytica, Geotrichum candidum, and Penicillium spp. have high lipase activities, 382 releasing free fatty acids from fat (Abeijón Mukdsi et al., 2014; Corzo and Revah, 1999; Gaborit 383 et al., 2001; McSweeney and Sousa, 2000). During sufu ripening, a variety of ethyl esters were 384 synthesized from the ethanol in dressing mixtures and fatty acids (Liang et al., 2019; Yao et al., 385 2021).

The salt content directly influences the overall acceptance of food. In order to inhibit the growth of undesirable microbiota, the high concentrations of salt solution are frequently utilized in the fermentation of *douchi* and *sufu*. The salt contents of final *douchi* and *sufu* products range from 5 to 18%, imparting a very salty taste to these two products. However, the salt content of cheese varies among different-type products, ranging from 0.5 to 6% (Guinee, 2004), which is significantly lower than that in *douchi* or *sufu*. There were more high salt tolerant microorganisms survived in post-fermentation of *douchi* or *sufu*, compared to those in cheese ripening.

## 393 Conclusions

394 Fermented soy foods exhibit the unique characteristics as a result of specific fermentation process 395 and microbial activity. The heating step inactivates the vegetative microorganisms and enzymes 396 in raw soybeans prior to the major fermentation. Thus, with the exception of stinky tofu, fermented 397 soy foods use fungi and *Bacillus* spp. as starter cultures to produce a variety of hydrolytic enzymes 398 to decompose proteins, polysaccharides and lipids at the initial stage of fermentation. There is no 399 addition of salts in the fermentation of *natto* and *tempeh*. Their flavor and quality are highly related 400 to the microbial activity of starter cultures. However, *douchi* and *sufu* undergo a long-time 401 maturation and the addition of salts is essential to control the undesirable microorganism growth 402 during the maturation. The lactic acid bacteria and yeasts dominate at the end of post-fermentation 403 and finally impart the characteristic flavor and texture to the final products by a series of microbial 404 enzymatic reactions. The understanding of major fermentation microbes and the major pathways 405 for flavor formation in the production of traditionally fermented soy may provide helpful templates 406 for the design of plant-based fermented products.

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## 921 Figure legends

922 Figure 1. Flowchart for the fermentation of *Mucor*-type and *Aspergillus*-type *Douchi*. Red 923 coloring indicates a heating step that inactivates vegetative microorganisms; green coloring 924 indicates the inoculation with starter cultures; blue coloring indicates addition of ingredients that 925 alter intrinsic factors which influence microbial growth. The seasoning or dressing mixture 926 contains 5 – 18% salt (Endo et al., 2014; He et al., 2016; Lite, 2005; Zhang et al., 2007) and 927 additionally includes mixture of dry ground ginger, shallots or garlic (Zhang et al., 2007), a small 928 amount of sugar, and selected spices such as capsicum paste (Lite, 2005), 5% fermented rice and 929 5% liquor (He et al., 2016), Chinese prickly ash, fresh hot pepper paste, and dry hot pepper powder (Liu et al., 2012). The moisture content of the final product ranges from 35 to 50% (Lite, 2005);
the final pH ranges from pH 6.5 to 6.9 (Wang et al., 2010a). The photo of douchi at the lower left
represents a product purchased at a local supermarket in Edmonton, Alberta, Canada.

933 Figure 2. Flowchart for fermentation of *natto*. Red coloring indicates a heating step that inactivates 934 vegetative microorganisms; green coloring indicates the inoculation with starter cultures; blue 935 coloring indicates addition of ingredients that alter intrinsic factors which influence microbial 936 growth. The moisture content of the product is 59.5% (aw 0.93 to 0.97)(Kim et al., 2012), the pH 937 ranges from 4.9 to 7.5 (Kim et al., 2012; Tsai et al., 2007). The surface of natto is covered with 938 slime or mucus that consists of 58 % of  $\gamma$ -polyglutamic acid and of 40% of polysaccharides (Saito 939 et al., 1974). The photo of *natto* at the lower left represents a product purchased at a local 940 supermarket in Edmonton, Alberta, Canada.

**Figure 3.** Flowchart for the production of *tempeh*. Red coloring indicates a heating step that inactivates vegetative microorganisms; green coloring indicates the inoculation with starter cultures; blue coloring indicates addition of ingredients that alter intrinsic factors which influence microbial growth. The moisture content of the final product is about 58%, the pH ranges from 6.6 to 7.1 (Sparringa and Owens, 1999). The photo of *tempeh* at the lower left was available under a Creative Commons License on Aug 12, 2023 on https://en.wikipedia.org/wiki/Tempeh.

**Figure 4.** Flowchart for the production of mould-fermented and bacteria *sufu*. Red coloring indicates a heating step that inactivates vegetative microorganisms; green coloring indicates the inoculation with starter cultures; blue coloring indicates addition of ingredients that alter intrinsic factors which influence microbial growth. The final products have a moisture content of 58-73% (Han et al., 2001b, 2001a), a salt content of 6-15% (w/w)(Han et al., 2001a, 2004a), an ethanol content of 0-7% (v/v)(Han et al., 2004a) and a pH of 5.25-7.45 (Han et al., 2001a). The dressing mixture contain one or more of the following: Red sufu, salt (10-14%), angkak (2%), alcoholic beverage (final alcohol content, 5-12% v/v), sugar (5-10%), soybean paste (3-5%), Chiang (wheatbased miso, 3%)<sup>2,7</sup>; the dressing mixture for white sufu is similar but contains no angkak (red rice fermented with *Monascus purpureus*); Grey sufu, soy whey, salt (14%). The photo of *sufu* at the lower left represents a product purchased at a local supermarket in Edmonton, Alberta, Canada..

**Figure 5.** Flowchart for fermentation of stinky tofu (*choudoufu* or smelly tofu). Red coloring indicates a heating step that inactivates vegetative microorganisms; green coloring indicates the inoculation with starter cultures; blue coloring indicates addition of ingredients that alter intrinsic factors which influence microbial growth. pH of stinky tofu brines: 5.20~7.72(Chao et al., 2008b), salt of stinky tofu brines: 1% (Chao et al., 2008b; Teng et al., 2004). The photo of stinky tofu at the lower left was available under a Creative Commons License on Aug 12, 2023 on https://en.wikipedia.org/wiki/Stinky\_tofu.

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Douchi	Fermentation Microorganism					
	Pre- Aspergillus oryzae, Bacillus subtilis, Aspergillus niger, Asp egypticus					
Aspergillus- type douchi	Post-	Anaerosalibacter, Pseudomonas, Bacillus, Staphylococcus, Enterococcus, Lactococcus, Weissella, Pediococcus, Pichia, Aspergillus, Lichtheimia, Petromyces, Rhizopus, Penicillium, Candida, Saccharomyces, and Debaryomyces				
Mucor-type	Pre-	Mucor spp., Actinomucor elegans				
douchi	Post-	Tetragenococcus halophilus, Zygosaccharomyces rouxii, Candida versatilis, Staphylococcus, Bacillus, Aspergillus, and Penicillium				

**Table 1.** Fermentation microorganisms identified in Aspergillus-type- and in Mucor-type douchi.

With information from: (Chen et al., 2007, 2012, 2014; T. Chen et al., 2011a, 2011b; He et al., 2016; Li et al., 2018; Lite, 2005; Yang et al., 2019, 2016; Zhang et al., 2007b, 2021).

Tempeh	Fermentation Microorganism						
	Pre-	Klebsiella pneumoniae, Klebsiella ozaenae, Enterobacter cloacae, Enterobacter agglomerans, Citrobacter diversus, Bacillus brevis, Pichia burtonii, Candida diddensiae, Rhodotorula rubra, Lacticaseibacillus casei, Enterococcus faecium, Staphylococcus epidermidis, and Streptococcus dysgalactiae, and co-inoculant Lactiplantibacillus plantarum					
Tempeh	Post-	<ul> <li>Rhizopus spp. (R. arrhizus, R. delemar, R. microsporus and R. stolonifer),</li> <li>Bacillus pumilus, Bacillus brevis, Streptococcus faecium, Lacticaseibacillus casei, KIebsiella pneumoniae Enterobacter cloacae, Micrococcus varians,</li> <li>Trichosporon beigelii, Clavispora (Candida) lusitaniae, Candida maltose,</li> <li>Candida intermedia, Yarrowia lipolytica, Mucor indicus and Mucor circinelloides, and/or co-inoculants: lactobacilli, Citrobacter freundii,</li> </ul>					
		Klebsiella pneumoniae, and Propionibacterium freudenreichii					

**Table 2.** Fermentation microorganisms identified in *tempe* or *tempeh*

With information from (Mogessie Ashenafi and Busse, 1991; Feng et al., 2005; Hartanti et al., 2015; Keuth et al., 1994; Mulyowidarso et al., 1990, 1989; Nout et al., 1987a; Samson et al., 1987; Signorini et al., 2018; Sjamsuridzal et al., 2021; Wiesel et al., 1997)

Type of product	Fermentation Microorganism				
	Pre-	Actinomucor spp., Mucor spp. and Rhizopus spp. (Actinomucor elegans, Actinomucor taiwanensis, Actinomucor repens, Mucor racemosus, Mucor Wutongqiao, Mucor circinelloides, Mocur hiemalis, Mucor flavus, Rhizopus microsporus var. microspores, and Rhizopus oryzae)			
Mould-		<ul> <li>Pure culture fermentation: Enterobacter, Acinetobacter, Lactococcus, Tetragonococcus, Bacillus, Enterococcus, Streptococcus, Macrococcus, Monascus and Aspergillus;</li> <li>Spontaneous fermentation: Bacillus, Enterococcus, Lactococcus, Leuconostoc, Lactobacilli, Weissella, Enterobacter, Tetragenococcus, Trabulsiella, Sphingobacterium, and Stenotrophomonas, Sterigmatomyces, Debaryomyces, Tausonia, Pichia, Candida, Geotrichum, Fusarium, and Actinomucor</li> </ul>			
fermented sufu	Post-				
	Pre-	Bacillus spp. or Micrococcus spp.			
Bacteria- fermented sufu	Post-	<b>Spontaneous fermentation:</b> Enterococcus avium, Enterococcus faecalis, Staphylococcus carnosus, Leuconostoc mesenteroides, Staphylococcus saprophyticus, Streptococcus lutetiensis, Kocuria rosea, Kocuria kristinae, Bacillus pumilus, Bacillus cereus group <sup>*</sup> and Bacillus subtilis			

**Table 3.** Fermentation microorganisms identified in fermentation of *sufu*

\*The organism was identified by sequencing of 16 rRNA genes, which does not differentiate between *B. cereus*, *B. thuringiensis*, *B. anthracis* and closely related species. With information from (Feng et al., 2013; Han et al., 2001b; He and Chung, 2020; Huang et al., 2018; Li et al., 2022; X. Li et al., 2021; Liang et al., 2019; Tan et al., 2020; Wan et al., 2020; Xu et al., 2020).









