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Modular Construction of Photoanodes with Covalently Bonded Ru- and Ir-Polypyridyl Visible Light Chromophores

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KEYWORDS ruthenium polypyridyl complex, iridium polypyridyl complex, diazonium,
electrografting, photoelectrochemistry, photoanode, visible light chromophore.

ABSTRACT 1,10-phenanthroline is grafted to indium tin oxide (ITO) and titanium dioxide nanoparticle (TiO₂) semiconductors by electroreduction of 5-diazo-1,10-phenanthroline in 0.1 M H₂SO₄. The lower and upper potential limits (-0.20 and 0.15 V_{SCE}, respectively) were set to avoid reduction and oxidation of the 1,10-phenanthroline (phen) covalently grafted at C5 to the semiconductor. The resulting semiconductor-phen ligand (ITO-phen or TiO₂-phen) was air stable, and was bonded to Ru- or Ir- by reaction with *cis*-[Ru(bpy)₂(CH₃CN)₂]²⁺ (bpy = 2,2'-bipyridine) or *cis*-[Ir(ppy)₂(CH₃CN)₂]⁺ (ppy = *ortho*-C_{phenyl} metallated 2-phenylpyridine) in CH₂Cl₂ and THF solvent at 50 °C. Cyclic voltammetry, X-ray photoelectron spectroscopy, solid-state UV-vis, and

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3 inductively coupled plasma mass spectrometry all confirmed that the chromophores SC-
4 $[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ and SC- $[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ (SC = ITO or TiO_2) formed in near quantitative
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6 yields by these reactions. The resulting photoanodes were active and relatively stable to
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8 photoelectrochemical oxidation of hydroquinone and triethylamine under neutral and basic
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10 conditions.
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14 15 16 INTRODUCTION 17

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19 We report the modular, versatile assembly of relatively base-stable photoanodes by covalent
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21 bonding of $[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ (bpy = 2,2'-bipyridine, phen = 1,10-phenanthroline) or *cis*-
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23 $[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ (ppy = *ortho*-C_{phenyl} metallated 2-phenylpyridine) to various metal oxide
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25 semiconductors (SC). Solar energy is essentially unlimited, and can meet most of our future
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27 energy demands with minimal negative impact on the environment.¹⁻² Cost-effective, efficient,
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29 and rapid large-scale storage is an inescapable requirement of the wide-spread utilization of
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31 renewable energy.³ Solar energy can be stored with the water oxidation reaction (WOR) by
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33 converting the protons and electrons into H_2 , or by using them to reduce carbon dioxide to form
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35 reusable fuels.⁴⁻⁵ In 1972, Fujishima and Honda reported the first photoelectrochemical
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37 conversion of water into H_2 and O_2 . This water splitting reaction (WSR) utilized TiO_2 as the
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39 semiconductor/catalyst and UV illumination as the energy source.⁶ Visible light constitutes ~44%
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41 of the solar spectrum,⁷ and several approaches are being pursued to develop efficient visible
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43 light-driven WSR systems.^{5,8-10} For example, narrow band-gap semiconductors including WO_3 ,¹¹
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45 BiVO_4 ,^{10,12} SrTiO_3 ,¹³⁻¹⁴ and doped TiO_2 ,¹⁵ are being investigated as visible light-driven
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47 photoelectrodes. Dye-sensitized photoelectrochemical cells (DSPECs) typically contain well-
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49 defined, separate photosensitizers (chromophores) and electrocatalysts. This distribution of
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3 function allows for independent optimization, and for direct study of their roles and interactions
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5 within operating DSPECs.¹⁶⁻¹⁸
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8 Ru- and Ir-polypyridyl complexes are common chromophores utilized in photoelectrochemical
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10 applications because they have strong, tunable metal-to-ligand charge transfer absorbance. They
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12 also undergo efficient intersystem crossing, and their excited states have relatively long
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14 lifetimes.¹⁹ Ruthenium is more abundant than iridium, and Ru(II)-polypyridine complexes have
15
16 wide absorption ranges that extend into the visible, and near infrared regions of the solar
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18 spectrum.²⁰⁻²² The first report of visible light WSR DSPEC was in 2009 by Mallouk's group.²³
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20 Their photoanode utilized Ru(II)(4,4'-dimethyl-bpy)(4,4'-(PO₃H₂)₂-bpy)(4-methyl-4'-
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22 CH(COOH)₂-bpy) as the chromophore (loading = 3.2×10^{-8} mol cm⁻²), and IrO₂ as the WOR
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24 catalyst adsorbed onto TiO₂. Hydrogen was produced at a Pt cathode. The visible-light driven
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26 WSR current density reached 0.03 mA cm⁻² (0 V_{SCE} bias, Xenon lamp, > 410 nm, pH 5.75
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28 Na₂SiF₆-NaHCO₃ buffer). To date, the most active DSPEC for visible-light driven WSR was
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30 constructed by Sun's group utilizing [Ru(II)(bpy)₂(4,4'-(PO₃H₂)₂-bpy)]²⁺ as the chromophore,
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32 and Ru(II)(bda)(4-picoline)L (H₂bda = bipyridine-dicarboxylic acid; L = N-(3-
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34 (triethoxysilyl)propyl)isonicotinamide) as the water oxidation catalyst adsorbed onto TiO₂. The
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36 visible-light driven WSR current density reached 1.7 mA cm⁻² (-0.04 V_{SCE} bias, 300 mW cm⁻²
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38 Xenon lamp, > 410 nm, pH 6.8 phosphate buffer, 2.51×10^{-9} mol cm⁻² chromophore, 8.38×10^{-10}
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40 mol cm⁻² WOR catalyst, Pt cathode).²⁴ Meyer's group has reported the Ru(II) polypyridyl-based
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42 covalent chromophore-catalyst assembly, [(4,4'-(PO₃H₂)₂-bpy)₂Ru(4-Mebpy-4'-epic)Ru(bda)(4-
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44 picoline)]²⁺ (4-Mebpy-4'-epic = 4-(4-methylbipyridin-4'-yl-ethyl)-pyridine), adsorbed on a
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46 SnO₂/TiO₂ core-shell electrode. The visible-light driven WSR current density reached 0.85 mA
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48 cm⁻² (0.05 V_{SCE} bias, 100 mW cm⁻² white light, pH 5.7 acetate buffer, Pt cathode).²⁵
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3 The WSR photocurrent of DSPECs often decays from desorption of the chromophore and/or
4 catalyst into water.^{4,26} For non-aqueous applications, Ru and Ir chromophores have been
5 deposited by methods including spin coating,²⁷ Langmuir-Blodgett films,²⁸ self-assembly of
6 monolayers,²⁹ and diazonium electroreduction.³⁰⁻³² For aqueous environments, Ru chromophores
7 are commonly attached to semiconductors through phosphonate, or carboxylate ester bonds made
8 by condensation between semiconductor surface hydroxides and acid groups attached to ligands
9 in the chromophore.^{4,23-24,33} These chromophores typically suffer from desorption under basic
10 conditions through hydrolysis of the esters.³⁴⁻³⁶ Atomic layer deposition (ALD) of Al₂O₃ or TiO₂
11 layers improves the stability of chromophore-WOR catalyst/semiconductor layers.³⁷⁻³⁸ Silatrane
12 and hydroxamic acid anchoring groups have also been utilized as binders, providing enhanced
13 stability towards hydrolysis up to pH 11.³⁹⁻⁴¹

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28 The rate of the WOR typically increases with pH.¹⁶ As well, a wide variety of earth abundant
29 catalysts are stable under alkaline conditions, but dissolve in acid.⁴² Moreover, proton reduction
30 is less competitive with CO₂ reduction at higher pHs.⁴³ For these reasons, it is necessary to
31 develop anchoring/protection methods that stabilize chemical linkages between chromophore- or
32 catalyst molecules and metal oxide semiconductors in strong alkaline solutions.¹⁶
33 Electroreduction of aryl diazonium ions forms aryl radicals that have been covalently grafted to a
34 variety of surfaces including metals, metal oxides, and carbon.⁴⁴⁻⁴⁶ The direct grafting of
35 diazonium-modified organometallic precursors to several electrode surfaces has been reported.<sup>30-
36 32,45,47</sup> For example, Meyer et al. grafted a Ru(II) diazonium-modified chromophore to a TiO₂
37 electrode. The resulting photoanode was more stable under alkaline conditions (0.1 M acetate
38 buffer with 0.5 M NaClO₄ and 0.05 M triethanolamine, pH 12) than phosphonic ester linkages.⁴⁸
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3 This approach requires excess organometallic-diazonium compound that cannot be reused, and
4 the lifetime of the radical intermediate depends upon the nature of the compound.⁴⁴
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7 We report a convenient and reliable covalent grafting of 1,10-phenanthroline (phen) at C5 to
8 indium-doped tin oxide (ITO) and titanium dioxide (TiO₂) surfaces by diazonium
9 electroreduction. The resulting semiconductor-phen ligand (SC-phen) bonds to Ru- and Ir-
10 organometallic precursors forming the corresponding chromophores (Scheme 1).
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19 EXPERIMENTAL SECTION

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22 **Materials.** Chemicals were used without purification unless noted otherwise; 1,10-
23 phenanthroline-5-amine (Sigma Aldrich, 97%), H₂SO₄ (Sigma Aldrich, 99.999%), anhydrous
24 ethanol (Commercial Alcohols), NaNO₂ (Sigma Aldrich, ≥ 97.0%), dichloromethane, distilled
25 (Sigma Aldrich, ACS reagent, ≥ 99.5%), NH₄OH (Caledon), TiO₂ nanoparticles (Sigma Aldrich,
26 anatase, nanopowder < 25 nm particle size, 99.7% trace metals basis), Triton X-100 (EMD
27 Millipore Corporation), 2,4-pentanedione (Sigma Aldrich, ≥ 99%), tetrahydrofuran, distilled
28 (Sigma Aldrich, ACS reagent, ≥ 99.0%), TiCl₄ (Sigma Aldrich, ≥ 99.995%, trace metals basis),
29 NaClO₄ (Sigma Aldrich, ACS reagent, ≥ 98.0%), hydroquinone (Sigma Aldrich, ReagentPlus, ≥
30 99.5%), triethylamine, distilled (Sigma Aldrich, ≥ 99.0%), Na₂SO₄ (Sigma Aldrich, ACS reagent,
31 ≥ 99.0%), and triply distilled water.
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46 **Fabrication of TiO₂ coated FTO electrode.** FTO slides (Sigma Aldrich, surface resistivity ~7
47 Ω/sq) were sonicated in ethanol and water for 30 min each, and then treated in a 50 mM TiCl₄
48 solution at 70 °C for 30 min. TiO₂ paste was prepared following reported literature procedure.⁴⁹⁻
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51 ⁵⁰ Briefly, 2.0 g TiO₂ nanoparticles were mixed with 200 μL Triton X-100 surfactant and 200 μL
52 2,4-pentanedione in a 12 ml ethanol/water 1:1 solution, and stirred vigorously for 2 hours,
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3 followed by sonication for 30 min. The paste was doctor-bladed on a FTO surface with 4 layers
4 of scotch tape as spacer. After dried in air, the electrode was heated to 500 °C for 2 h, followed
5 by immersion in a 50 mM TiCl₄ solution at 70 °C for 30 min, wash with water and ethanol, and
6 heat treatment at 450 °C for 30 min. Figure S1 shows the morphology and thickness of the TiO₂
7 film.
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14 **Electrograft of 1,10-phenanthroline-5-amine.** ITO coated glass slide (Sigma Aldrich,
15 surface resistivity 8-12 Ω/sq) was cut into 2.5 × 1.2 cm² rectangular shape, and sonicated 15 min
16 each in 5 ml acetonitrile, 5 ml 2-propanol and 5 ml triply distilled water, then dried under
17 nitrogen flow. Two different electrografting techniques were implemented for deposition of
18 1,10-phenanthroline; i.e. cyclic voltammetry at different sweep rates and potentiostatic for
19 different durations. However, the optimized method with highest surface coverage was cyclic
20 voltammetry as follows. Electrochemical grafting of 1,10-phenanthroline onto ITO slide (or TiO₂
21 coated FTO slide) was carried out following previous report.⁵¹ Briefly, 20 ml aqueous 0.1 M
22 H₂SO₄ solution containing 1 mM 5-amino-1,10-phenanthroline (3.9 mg) was purged with N₂ for
23 15 min. One minute after 2 mM NaNO₂ (2.8 mg) was added, ~ 1 cm² ITO slide was immersed
24 into the solution as the working electrode. The potential was scanned between 0.15 and -0.20 V
25 vs. saturated calomel reference electrode (SCE) at 50 mV s⁻¹ for 10 cycles. After electrochemical
26 modification, the 1,10-phenanthroline coated ITO slide (ITO-phen) was rinsed and sonicated in
27 water for 20 seconds to remove physically absorbed species. The counter electrode was graphite.
28 The 1,10-phenanthroline grafted TiO₂ coated FTO electrode (TiO₂-phen) did not undergo
29 sonication. The ITO-phen (or TiO₂-phen) electrode was rinsed with distilled water and then
30 immersed in a solution of ethanol, and ammonium hydroxide (1:1) for 1 hour to deprotonate the
31 as-deposited phenanthroline. The electrode was then rinsed with water and ethanol, and dried
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3 under air.

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5 **Grafting of [Ir(ppy)₂(CH₃CN)₂]OTf or [Ru(bpy)₂(CH₃CN)₂](OTf)₂ onto ITO-phen or**
6 **TiO₂-phen.** The [Ir(ppy)₂(CH₃CN)₂]OTf and [Ru(bpy)₂(CH₃CN)₂](OTf)₂ are synthesized based
7
8 on previous reports.⁵²⁻⁵³ The electrode was placed in a Schleck tube and purged with nitrogen for
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10 15 minutes. [Ir(ppy)₂(CH₃CN)₂]OTf (20 mg, 0.0260 mmol) or [Ru(bpy)₂(CH₃CN)₂](OTf)₂ (20
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12 mg, 0.0252 mmol) was weighed out in the Schlenk tube. 0.5 ml of dichloromethane and 4.5 ml
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14 of THF were cannulated to dissolve the solid. The Schlenk tube was immersed in a paraffin oil
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16 bath and heated to 50 °C for 2 hours. After cooled down to room temperature, the electrode was
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18 removed from the bath and rinsed with CH₂Cl₂ (5 ml) and water (2 ml). The resulting electrodes
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20 ITO-[(phen)Ir(ppy)₂]⁺ and ITO-[(phen)Ru(bpy)₂]²⁺ are stable in air under dark for over six
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22 months. The deposition on TiO₂ electrode follows the same procedure, and the resulting
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24 electrodes are labeled as TiO₂-[(phen)Ir(ppy)₂]⁺ and TiO₂-[(phen)Ru(bpy)₂]²⁺, respectively.
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31 **Preparation of [Ru(bpy)₂(4,4'-(PO₃H₂)₂bpy)]²⁺ sensitized TiO₂ coated FTO electrodes.**
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33 The synthesis of [Ru(bpy)₂(4,4'-(PO₃H₂)₂bpy)]Br₂ is based on previous report.⁵⁴ The TiO₂
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35 coated FTO electrode is dipped in a solution of 10 μM [Ru(bpy)₂(4,4'-(PO₃H₂)₂bpy)]Br₂
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37 compound in 0.1 M HClO₄ for 12 hours, followed by dipping in 0.1 M HClO₄ solution for
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39 another 12 hours.⁵⁵ The resulting electrode (denoted as RuP-TiO₂) is washed with water, dried,
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41 and used.
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45 **Photoelectrochemistry.** The electrolyte used for photoelectrochemistry studies was a 0.1 M
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47 NaClO₄ solution containing either 0.5 M triethylamine (pH = 12.6) or 0.02 M hydroquinone (pH
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49 = 7.0). The counter electrode was a coiled platinum wire, and the reference electrode was a
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51 saturated calomel electrode. The electrolyte was stirred using a magnetic stir bar at 500 rpm. The
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53 homemade 100 ml four-neck quartz flask with a flat window was used as the reaction flask for
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3 photoelectrochemical experiments. The solution was purged with argon for 30 minutes prior to
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5 each measurement, followed by maintaining Ar atmosphere on top of the solution. Working
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7 electrode was washed with distilled water before use. The Newport QEPVSI-b system (300 W
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9 Xe lamp with Cornerstone M 260 monochromator) was calibrated against a silicon diode with
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11 known IPCE, and was used for incident photon-to-current conversion efficiency (IPCE)
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13 measurements. The incident light intensity was also checked with Thorlab S121C light meter.
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15 The IPCE was calculated based on the equation 1:
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$$18 \quad \text{IPCE (\%)} = \frac{100 \times 1240 \times i}{P \lambda} \quad (1)$$

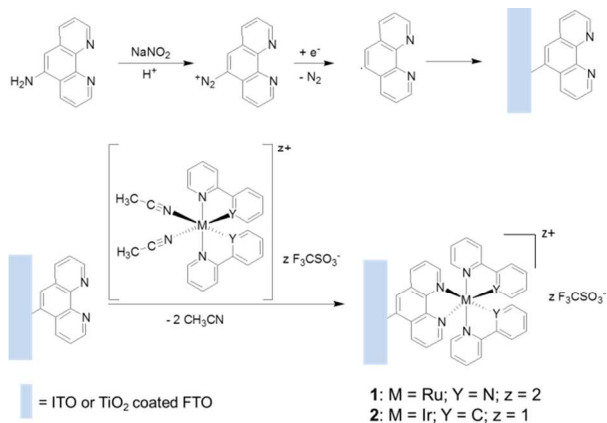
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20 where i is the photocurrent density (mA cm^{-2}), P is the light power (mW cm^{-2}), and λ is the
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22 wavelength (nm).
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27 **Instrumentation.** Electrochemical studies were done using Solartron SI 1287 Electrochemical
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29 Interface controlled by CorrWare for Windows Version 2-3d software. The X-ray photoelectron
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31 spectroscopy (XPS) measurements were performed on a Kratos Axis 165 instrument. The base
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33 pressure in the sample analytical chamber (SAC) was lower than 1×10^{-9} torr. A
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35 monochromatized Al $K\alpha$ source ($h\nu = 1486.6$ eV) was used at 12 mA and 14 kV. Survey scans
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37 spanned from the binding energy of 1100 to 0 eV, collecting with an analyzer pass energy of 160
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39 eV and steps at 0.3 eV. Inductively coupled plasma–mass spectrometry (ICP-MS) analyses were
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41 performed with Perkin Elmer Elan 6000. The SC-[(phen)Ru(bpy) $_2$] $^{2+}$ and SC-[(phen)Ir(ppy) $_2$] $^{+}$
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43 electrodes were dissolved in aqua regia solution for 24 hours to etch the SC layer in order to
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45 assess the surface coverage of Ru- or Ir- chromophores. UV-vis spectra were acquired using
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47 Cary 400 UV-vis spectroscopy. To acquire UV-vis spectra on SC surface, n pieces of SC-
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49 [(phen)Ru(bpy) $_2$] $^{2+}$ or SC-[(phen)Ir(ppy) $_2$] $^{+}$ were bonded together to enhance the signal ($n = 5$ for
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ITO and 1 for TiO₂). The spectra shown were the acquired spectra absorbance divided by n, with bare ITO or TiO₂ absorbance deducted.

RESULTS AND DISCUSSION

The electroreduction of in situ generated 5-diazo-1,10-phenanthroline over carbon electrodes was reported by the groups of Ekinici and Bélanger.^{51,56} Figure 1A shows the cyclic voltammogram (CV) for the electroreduction of the 5-diazo-1,10-phenanthroline cation over ITO in 0.1 M H₂SO₄ (0.15 to -0.2 V versus SCE, all potentials in this paper are reported versus SCE except in non-aqueous electrolytes, scan rate of 50 mV s⁻¹). As reported for glassy carbon,⁵¹ the first negative going sweep contains a large peak corresponding to reduction of the diazonium ion. This peak decreases with cycling as the active sites are blocked by the deposition.⁴⁴ It was reported that phen grafted on glassy carbon undergoes irreversible reductions at potentials below -0.78 V, and oxidations above 0.42 V. A potential window between 0.15 and -0.2 V was chosen to avoid these degradations.



Scheme 1. Illustration of the electrochemical deposition of 5-diazo-1,10-phenanthroline cation, and subsequent formation of semiconductor-chromophore electrode.

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3 Figure 1B shows the high resolution N 1s region XPS spectra of the ITO electrode before and
4 after the diazonium reduction. The N 1s peak at 398.7 eV after grafting indicates the pyridine-
5 type nitrogen, hence presence of phen, on the ITO surface.⁵⁷ Figure 1C shows the redox peaks
6 for the redox probe $\text{Fe}(\text{CN})_6^{3-/4-}$ (5 mM $\text{K}_3[\text{Fe}(\text{CN})_6]$) are diminished and separated over ITO-
7 phen compared to bare ITO in CVs recorded in 0.1 M KCl. This inhibition of the electron
8 transfer is also consistent with the presence of phen on the ITO surface. The $\text{Fe}(\text{CN})_6^{3-/4-}$ redox
9 peak was not fully suppressed, indicating the film is thin and/or porous.⁵¹

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19 Figure 1D shows CVs of the ITO-phen and bare ITO electrodes with a strongly reducing lower
20 limit (-0.1 to -1.0 V) in 0.1 M Na_2SO_4 . The first negative going sweep with the ITO-phen
21 electrode contained a strong reduction peak at -0.82 V with a shoulder at -0.57 V that was greatly
22 diminished in the second sweep. This response is quite similar to that reported by Bélanger for
23 phen grafted to glassy carbon, and is attributed to $2\text{e}^-/2\text{H}^+$ reduction forming 1,4-
24 dihydropyridine-type compounds.^{51,58} The charge under the reduction peak corresponded to an
25 estimated surface coverage by grafted phen = 2.4×10^{-10} mol cm^{-2} , assuming a two-electron
26 process.
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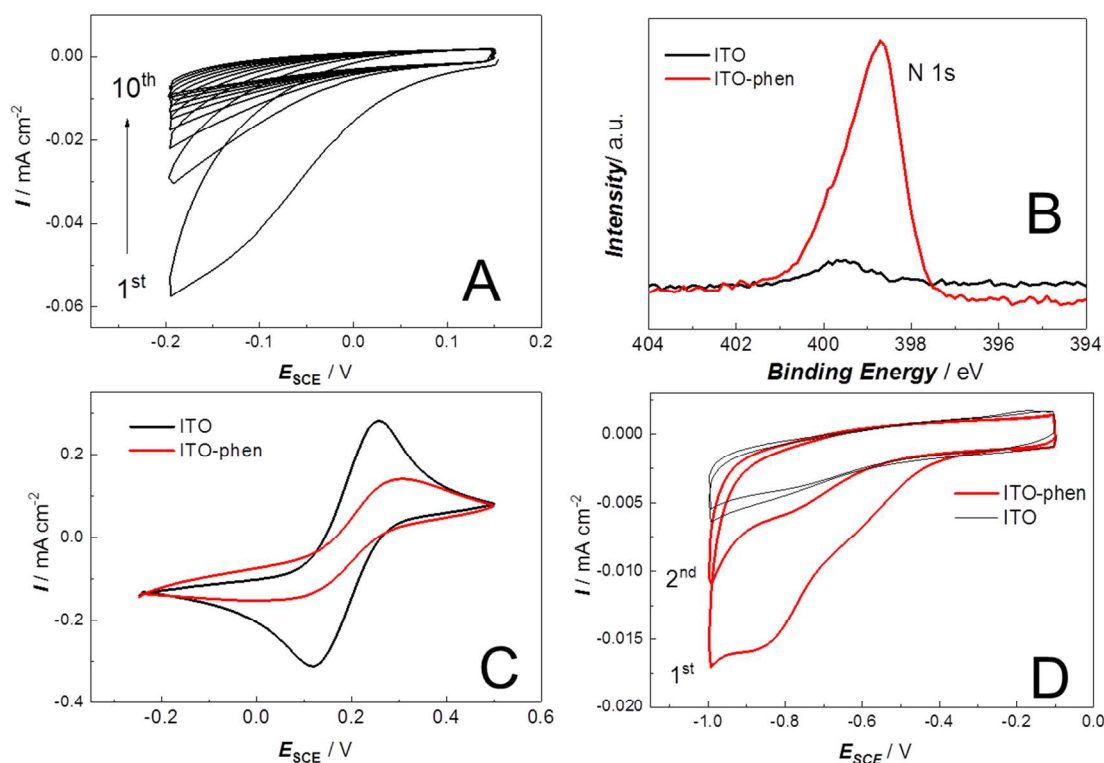


Figure 1. (A) Cyclic voltammogram of the ITO electrode in a 0.1 M H_2SO_4 solution containing 5-amino-1,10-phenanthroline (1 mM) and NaNO_2 (2 mM), scan rate 50 mV s^{-1} ; (B) High resolution N 1s region XPS spectra of the ITO electrode before and after 1,10-phenanthroline deposition; (C) Cyclic voltammograms for the bare ITO and 1,10-phenanthroline modified ITO electrode in 0.1 M KCl solution containing $5 \text{ mM Fe}(\text{CN})_6^{3-}$ at scan rate of 20 mV s^{-1} ; (D) Cyclic voltammograms of the ITO-phen and bare ITO electrodes in a N_2 -saturated 0.1 M Na_2SO_4 solution and a scan rate of 50 mV s^{-1} .

In principle, the ITO-phen ligand is protonated at nitrogen under the acidic conditions of the electrografting. Indeed, it was necessary to rinse the ITO-phen ligand with aqueous mixture solution of ethanol and ammonia, and then water and ethanol for the subsequent metallation reactions to occur after phen grafting. Exposing the neutralized ITO-phen to 1:9 $\text{CH}_2\text{Cl}_2/\text{THF}$

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3 solutions of the known compound⁵² *cis*-[Ru(bpy)₂(CH₃CN)₂](OTf)₂ (**1**, 5 mM, 50 °C, 2 h, bpy =
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5 2,2'-bipyridine) resulted in displacement of the acetonitrile ligands to form the photoanode ITO-
6 [(phen)Ru(bpy)₂](OTf)₂, with the Ru-polypyridyl chromophore covalently bonded at C5 to ITO.
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8 The solution of **1** could be reused several times for the metallation provided it was stored under
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10 N₂. The steps in the construction of the ITO-chromophore photoanode were investigated by
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12 cyclic voltammetry in a 0.1 M NBu₄PF₆ in CH₂Cl₂ electrolyte. Figure 2A and 2B show the CVs
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14 of the ITO-phen and bare ITO electrodes in this non-aqueous electrolyte. The CV of bare ITO is
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16 essentially featureless, while the CV of ITO-phen contains a broad oxidation response > 1.0 V
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18 versus Fc⁺/Fc (V_{Fc⁺/Fc}), and broad reduction response < -1.0 V_{Fc⁺/Fc}. Figure 2C shows the CV of
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20 ITO-[(phen)Ru(bpy)₂]²⁺ starting at -0.35 V_{Fc⁺/Fc} with the first sweep in the positive direction.
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22 There was an oxidation peak at 0.95 V_{Fc⁺/Fc} in the first anodic sweep that matches the reported
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24 Ru^{2+/3+} oxidation potential.⁵⁹ The corresponding reduction peak was absent in the reverse sweep,
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26 showing that the Ru³⁺ complex was unstable under these conditions, and decomposed by an
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28 unknown process. There was a cathodic peak at -1.62 V_{Fc⁺/Fc} that may have arisen from reduction
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30 of a phen-containing species remaining on ITO.⁵⁹ The estimated surface loading of the
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32 [(phen)Ru(bpy)₂]²⁺ chromophore was 2.4 × 10⁻¹⁰ mol cm⁻² based upon the charge under the
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34 Ru^{2+/3+} anodic peak. This coverage matches the coverage of ITO by phen in ITO-phen (2.4 × 10⁻¹⁰
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36 mol cm⁻², estimated from the charge for the 2e⁻ reduction to 1,4-dihydropyridine-type
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38 compound). Further, the loading of Ru in ITO-[(phen)Ru(bpy)₂]²⁺ was determined by dissolution
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40 into aqua regia and ICP-MS to be 2.3 × 10⁻¹⁰ mol cm⁻², quite consistent with the coverage
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42 obtained from cyclic voltammetry.
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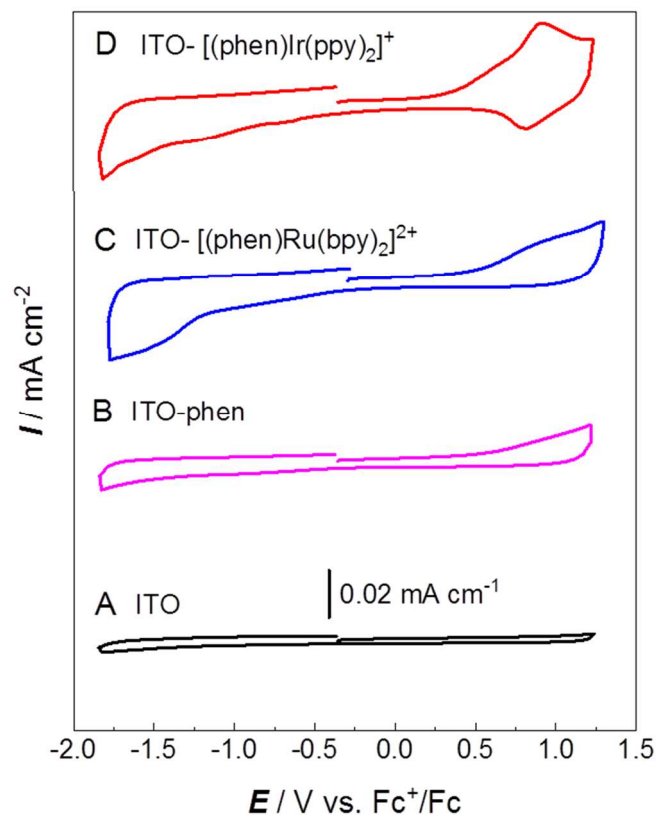


Figure 2. Cyclic voltammograms of the ITO electrodes throughout the chromophore grafting process in a N_2 -saturated CH_2Cl_2 solution containing 0.1 M NBu_4PF_6 , scan rate: 200 mV s^{-1} . (A) A bare ITO electrode, (B) an ITO-phen electrode, (C) an ITO- $[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ electrode, and (D) an ITO- $[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ electrode.

The metallation can be repeated with the known compound⁵³ *cis*- $[\text{Ir}(\text{ppy})_2(\text{CH}_3\text{CN})_2]\text{OTf}$ (**2**, $\text{ppy} = \textit{ortho}\text{-C}_{\text{phenyl}}$ metallated 2-phenylpyridine) under the same conditions as for Ru. Figure 2D shows the cyclic voltammogram of the resulting ITO- $[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ (0.1 M NBu_4PF_6 , CH_2Cl_2) starting at $-0.35 \text{ V}_{\text{Fc}^+/\text{Fc}}$ and the first sweep in the positive direction. The $\text{Ir}^{3+/4+}$ redox couple peaks at $0.90 \text{ V}_{\text{Fc}^+/\text{Fc}}$ and $0.81 \text{ V}_{\text{Fc}^+/\text{Fc}}$ are similar to those reported for related Ir chromophores in the literature.⁶⁰ This redox couple is more reversible than the $\text{Ru}^{2+/3+}$ couple in ITO- $[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$. The reduction peak in the first negative going sweep at $-1.74 \text{ V}_{\text{Fc}^+/\text{Fc}}$ may be

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3 attributed to the irreversible reduction of the phenanthroline ligand on the photoelectrode.⁶⁰⁻⁶¹
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5 The charge under the Ir^{3+/4+} oxidation peak corresponds to a loading of Ir = 2.8×10^{-10} mol cm⁻².
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7 This loading agrees with the estimated coverage of phen in ITO-phen. Moreover, ICP-MS results
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9 after dissolution of Ir into aqua regia solution correspond to an Ir loading = 2.6×10^{-10} mol cm⁻²,
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11 consistent with the Ir and phen coverages estimated with cyclic voltammetry. Taken together, the
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13 results from the Ru and Ir metallation show that this method is versatile and that little free phen
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15 ligand remains on the ITO surface after the metallation.
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19 Figures 3A and 3B show the XPS spectra of the ITO-[(phen)Ir(ppy)₂]⁺ and ITO-
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21 [(phen)Ru(bpy)₂]²⁺ photoelectrodes, respectively. In Figure 3A, the XPS spectrum of ITO-
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23 [(phen)Ir(ppy)₂]⁺ contains well-defined Ir 4f peaks. The Ir 4f_{7/2} peak at 62.8 eV is consistent with
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25 the Ir +3 oxidation state.³² In Figure 3B, the XPS spectrum of the Ru 3d_{5/2} peak at 281.4 eV is
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27 consistent with the Ru +2.^{47,62} The estimated atomic ratios of N:Ir and N:Ru from the XPS data
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29 are ~ 4:1 and 6:1, respectively, indicating that all the 1,10-phenanthroline groups are metallated,
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31 and that azo-coupling did not occur during the diazonium reduction.⁶³ Ir and Ru peaks were not
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33 observed in the XPS spectra of bare ITO or ITO-phen electrodes (Figure S12).
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38 Figures 3C and 3D show the solid-state UV-vis absorption spectra of the ITO-[(phen)Ir(ppy)₂]⁺
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40 and ITO-[(phen)Ru(bpy)₂]²⁺ photoelectrodes, respectively. The lower wavelength was set to 350
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42 nm because ITO absorbs strongly below this value. The UV-vis absorption spectrum of ITO-
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44 [(phen)Ir(ppy)₂]⁺ strongly resembles that of *cis*-[Ir(ppy)₂(phen)]OTf in CH₂Cl₂ solution (Figure
45
46 3C, inset). The visible absorption spectrum results from overlapping metal-to-ligand charge
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48 transfer (¹MLCT, ³MLCT) ($d\pi(\text{Ir}) \rightarrow \pi^*(\text{phen})$), ligand-to-ligand charge transfer (¹LLCT, ³LLCT),
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50 and ligand centered (LC) ³ $\pi \rightarrow \pi^*$ transitions.⁶⁴ The mixing of the formally spin-forbidden ³MLCT,
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52 ³LLCT, and (³LC) ³ $\pi \rightarrow \pi^*$ with higher-lying ¹MLCT transitions allows for relatively intense
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absorptions. This mixing arises from strong spin-orbit coupling induced by the heavy iridium(III) atom.⁶⁴⁻⁶⁵

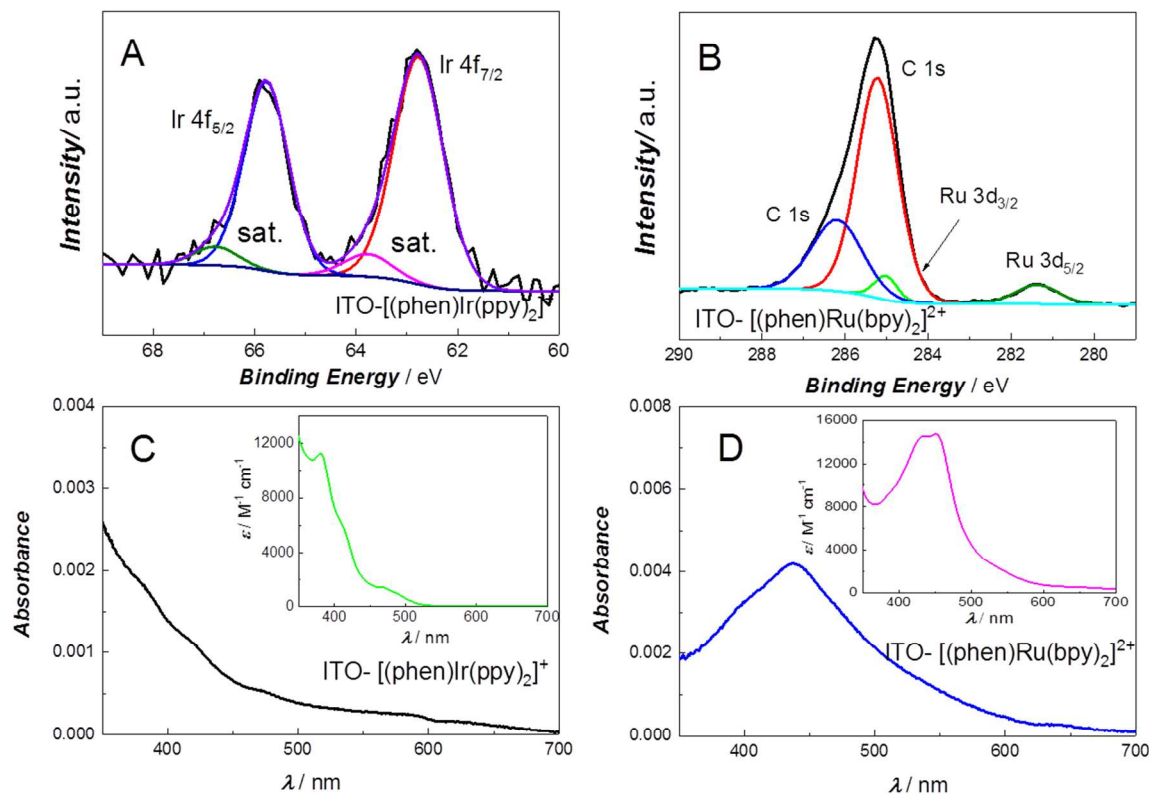


Figure 3. High resolution XPS spectra on (A) Ir 4f region of ITO-[(phen)Ir(ppy)₂]⁺ electrode and (B) Ru 3d region of ITO-[(phen)Ru(bpy)₂]²⁺ electrode. UV-vis absorption spectra of the (C) ITO-[(phen)Ir(ppy)₂]⁺ and (D) ITO-[(phen)Ru(bpy)₂]²⁺ electrodes with ITO absorbance deducted. The insets correspond to the UV-vis absorption spectra of the *cis*-[Ir(ppy)₂(phen)] OTf and [Ru(bpy)₂(phen)] (OTf)₂ in CH₂Cl₂ solution, respectively.

Figure 3D shows the solid-state UV-vis absorption spectrum of the ITO-[(phen)Ru(bpy)₂]²⁺ photoelectrode. The absorption at 440 nm likely results from overlapping $d\pi(\text{Ru}) \rightarrow \pi(\text{bpy})^*$ and $d\pi(\text{Ru}) \rightarrow \pi(\text{phen})^*$ ¹MLCT absorptions.⁶⁶ The UV-vis spectrum of [Ru(bpy)₂(phen)]²⁺ in CH₂Cl₂ solution contains a similar peak at 452 nm with a shoulder at 420 nm (Figure 3D, inset).⁶⁷

The surface coverages (Γ) by Ir and Ru in ITO-[(phen)Ir(ppy)₂]⁺ and ITO-[(phen)Ru(bpy)₂]²⁺ were estimated from their solid-state UV-vis absorption spectra with equation 2, employing the molar extinction coefficients (ϵ) measured for *cis*-[Ir(ppy)₂(phen)]⁺ and [Ru(bpy)₂(phen)]²⁺ in CH₂Cl₂ solution at 440 nm.^{2,68}

$$\Gamma (\text{moles per cm}^{-2}) = \frac{\text{Absorbance}}{\epsilon (\text{M}^{-1} \text{cm}^{-1})} \quad (2)$$

The estimated coverages were 3.19×10^{-10} mol cm⁻² ITO-[(phen)Ir(ppy)₂]⁺, and 2.80×10^{-10} mol cm⁻² in ITO-[(phen)Ru(bpy)₂]²⁺. These values are comparable with those obtained from cyclic voltammetry and ICP-MS. Taken together, the results from cyclic voltammetry, ICP-MS, XPS, and UV-vis absorbance spectroscopy all show that most, if not all of the phen ligands in ITO-phen were converted into Ir- or Ru-chromophores by this procedure. It seems that the amount of 1,10-phenanthroline deposited on the surface limits the coverage of the chromophores. Further investigations of other grafting techniques may lead to higher loadings of chromophore.

High surface area TiO₂ (10 μm thick film) on FTO is readily converted into the photoelectrode TiO₂-[(phen)Ru(bpy)₂]²⁺ utilizing the same procedure. The coverage by Ru in TiO₂-[(phen)Ru(bpy)₂]²⁺ was 2.0×10^{-9} mol cm⁻² as determined by cyclic voltammetry (Figure S7), UV-vis spectroscopy (Figure S13), and ICP-MS. For comparison, we prepared and adsorbed the common chromophore [Ru(bpy)₂(4,4'-(PO₃H₂)₂bpy)]²⁺ onto the TiO₂/FTO using common methods reported in the literature.⁵⁴⁻⁵⁵ The Ru coverage in this control photoanode (denoted as RuP-TiO₂) was ~ 4.5 times higher, 9.0×10^{-9} mol cm⁻², as measured by solid-state UV-vis absorption spectroscopy (Figure S14) and ICP-MS.

Figures 4 and 5 show the results of the photoelectrochemical activities and incident photon-to-current conversion efficiencies (IPCE) of the photoelectrodes in different solutions and pHs. Figure 4A shows the IPCE vs. wavelength plots of the photoanodes for photoelectrochemical

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3 oxidation of 0.5 M triethylamine (Et₃N) in 0.1 M NaClO₄ solution (-0.3 V, pH = 12.6). Unlike
4 TiO₂-[(phen)Ru(bpy)₂]²⁺, the control RuP-TiO₂ bleached within 20 seconds of immersion into
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6 the basic solution. The IPCE for TiO₂-[(phen)Ru(bpy)₂]²⁺ was 1.4% at 450 nm, 12 times higher
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8 than the bleached RuP-TiO₂ control. Figure 4B shows the long-term photoelectrochemical
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10 oxidation of triethylamine (2.3 mW cm⁻², 450 nm). TiO₂-[(phen)Ru(bpy)₂]²⁺ was the most active.
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12 When the light was turned on at 300 s, a sharp increase in current is occurred, followed by a
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14 rapid decline over the first 400 s of illumination, then a more stable region. The initial
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16 photocurrent reached 8 μA cm⁻², a value 6 x that of TiO₂-phen, and 8 x TiO₂. The initial turnover
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18 frequency (TOF) was determined with eq 3 (*i* = photocurrent density, *n* = electron transfer
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20 number, *Γ* = surface coverage).^{24,55}
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$$26 \quad \text{TOF (h}^{-1}\text{)} = \frac{3600 i}{nF\Gamma} \quad (3)$$

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29 The initial TOF for TiO₂-[(phen)Ru(bpy)₂]²⁺ was 74 h⁻¹, and dropped to 44 h⁻¹ at 400 s
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31 illumination, during the initial decline. From 400 to 3000 s, the photocurrent only dropped by
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33 7.6%. Figure 4C shows the photocurrent normalized to 200 s illumination time. The TiO₂-
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35 [(phen)Ru(bpy)₂]²⁺ maintained 87% of photocurrent after 1000 s, and 81% after 3300 s.
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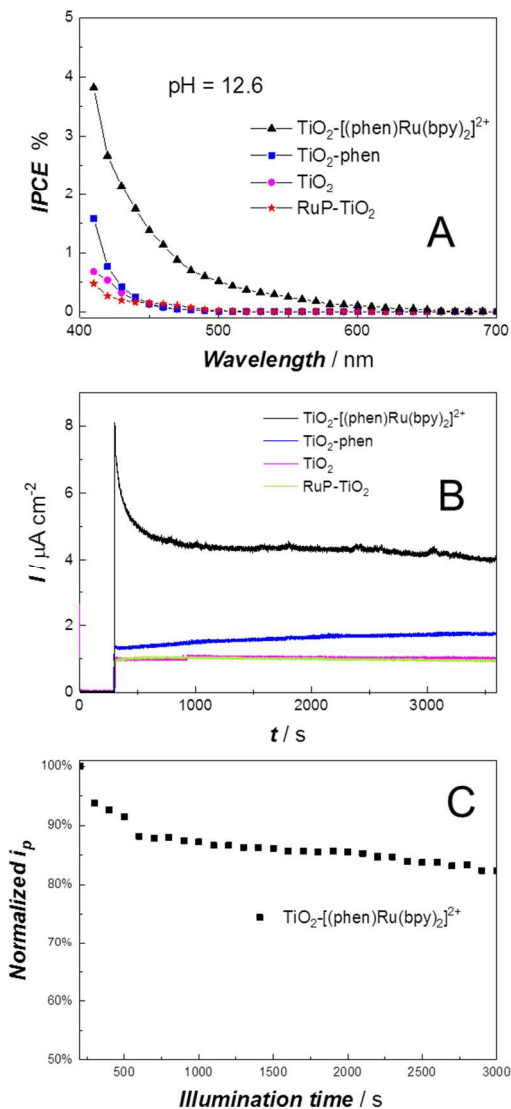


Figure 4. (A) The IPCE measurements and (B) Photoelectrochemical responses of the $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$, $\text{TiO}_2\text{-phen}$, bare TiO_2 and RuP-TiO_2 electrodes in 0.1 M NaClO_4 containing 0.5 M triethylamine at constant potential of -0.3 V under Ar (pH = 12.6); (C) Photocurrent normalized to current at 200 s illumination for $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ electrode.

Figure 5A shows the IPCE vs. wavelength for the photooxidation of hydroquinone at neutral pH. The peak IPCE for the RuP-TiO_2 control was 6.3%, occurring at 450 nm. The IPCE for $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ was 3.1% at 450 nm, and reached 5.5% at 410 nm. We note that the coverage by chromophore in the RuP-TiO_2 control was 4.5 times that of $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$.

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3 [(phen)Ru(bpy)₂]²⁺ (*vide supra*). Figure 5B shows the long-term stability results for
4 photooxidation of hydroquinone. An immediate increase of current occurs upon exposure of all
5 electrodes to light. The photocurrent was more stable for all the electrodes under neutral
6 conditions than they were in base, and the large initial drop-off was absent. The initial
7 photocurrent for TiO₂-[(phen)Ru(bpy)₂]²⁺ was 25 μA cm⁻², corresponding to TOF = 231 h⁻¹, and
8 the photocurrent increased slightly during the first 200 s illumination, then slowly decreased to
9 22 μA cm⁻² at 3300 s illumination. The initial photocurrent for RuP-TiO₂ was 45 μA cm⁻²,
10 corresponding to TOF = 92 h⁻¹, but the photocurrent dropped to 34 μA cm⁻² at 3300 s
11 illumination.
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24 Figure 5C compares the photocurrent for TiO₂-[(phen)Ru(bpy)₂]²⁺ and RuP-TiO₂ normalized
25 to Ru surface coverage (2.0 × 10⁻⁹ mol cm⁻² for TiO₂-[(phen)Ru(bpy)₂]²⁺, and 9.0 × 10⁻⁹ mol cm⁻²
26 for RuP-TiO₂). The normalized photocurrent for TiO₂-[(phen)Ru(bpy)₂]²⁺ was ~ 3 x that of RuP-
27 TiO₂ throughout the process. The origin of this enhanced normalized photocurrent is under
28 investigation. Figure 5D compares the stability of the TiO₂-[(phen)Ru(bpy)₂]²⁺ and RuP-TiO₂
29 electrodes by normalizing activities to the current at 200 s of illumination. The TiO₂-
30 [(phen)Ru(bpy)₂]²⁺ maintained 97% of photocurrent after 1000 s, and 85% after 3300 s. The
31 control RuP-TiO₂ dropped to 88% after 1000 s, and down to 69% after 3300 s. ICP-MS showed
32 that 33% of the surface Ru was lost to the solution over 3300 s for the control RuP-TiO₂,
33 compared to 10% for TiO₂-[(phen)Ru(bpy)₂]²⁺.
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47 The TiO₂-[(phen)Ir(ppy)₂]⁺ electrodes were also prepared using this method. The coverage of
48 Ir on the TiO₂-[(phen)Ir(ppy)₂]⁺ is 2.2 × 10⁻⁹ mol cm⁻² as determined by cyclic voltammetry
49 (Figure S7), UV-vis spectroscopy (Figure S13) and ICP-MS. Figure 6A shows the IPCE results
50 of the TiO₂-[(phen)Ir(ppy)₂]⁺ and TiO₂-phen in neutral (hydroquinone) and basic (Et₃N)
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electrolytes. Under neutral conditions, the peak IPCE for $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ reached 4.0% at 410 nm, and decreased with increasing wavelength. Under alkaline conditions, the peak IPCE value reached 2.0% at 410 nm. Compared with the IPCE of $\text{TiO}_2\text{-phen}$, the $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ does not lead to a substantial IPCE enhancement possibly due to its less favorable light harvesting in the visible region.

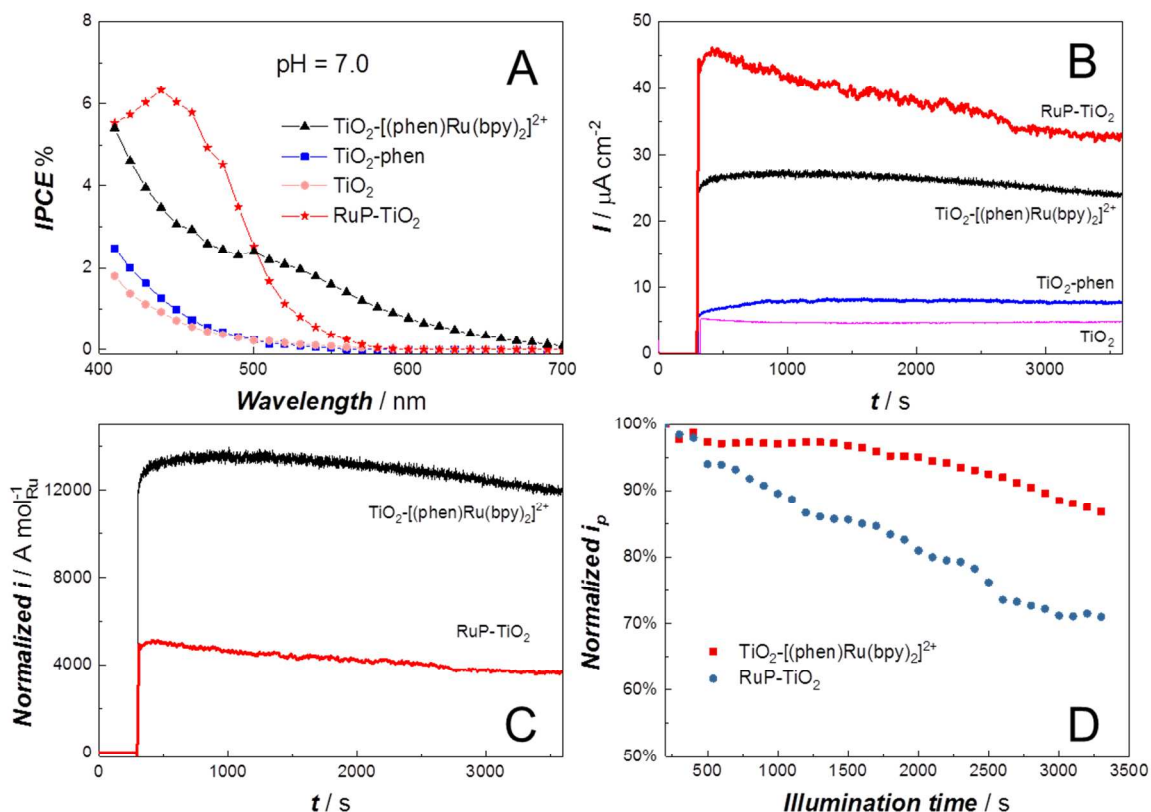


Figure 5. (A) The IPCE measurements and (B) Photoelectrochemical responses of $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$, $\text{TiO}_2\text{-phen}$, bare TiO_2 and RuP-TiO_2 electrodes in 0.1 M NaClO_4 with 0.02 M hydroquinone under Ar at 0 V; (C) Photocurrent response normalized to moles of surface Ru for $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ and RuP-TiO_2 electrodes in 0.1 M NaClO_4 with 0.02 M hydroquinone under Ar at 0 V; (D) Photocurrent stability comparison between $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$ and RuP-TiO_2 normalized to the 200 s illumination current for each electrode. All solutions are stirred at 500 rpm.

Figure 6B shows the long-term photocurrent responses of $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ electrode under neutral (0.1 M NaClO_4 containing 0.02 M hydroquinone) and basic (0.1 M NaClO_4 containing 0.5 M Et_3N) conditions under 450 nm 2.3 mW cm^{-2} irradiation. In neutral solution, the initial photocurrent for $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ reached $22 \mu\text{A cm}^{-2}$, corresponding to $\text{TOF} = 187 \text{ h}^{-1}$. The $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ electrode maintained 74% photocurrent after 3300 s illumination relative to the photocurrent at 200 s. In basic solution, the electrode reached $8 \mu\text{A cm}^{-2}$ ($\text{TOF} = 68 \text{ h}^{-1}$) initially, then dropped quickly. Only 40% photocurrent was maintained after 3300 s relative to that of 200 s illumination. Compared with $\text{TiO}_2\text{-}[(\text{phen})\text{Ru}(\text{bpy})_2]^{2+}$, $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ electrode showed inferior stability in both neutral and basic conditions.

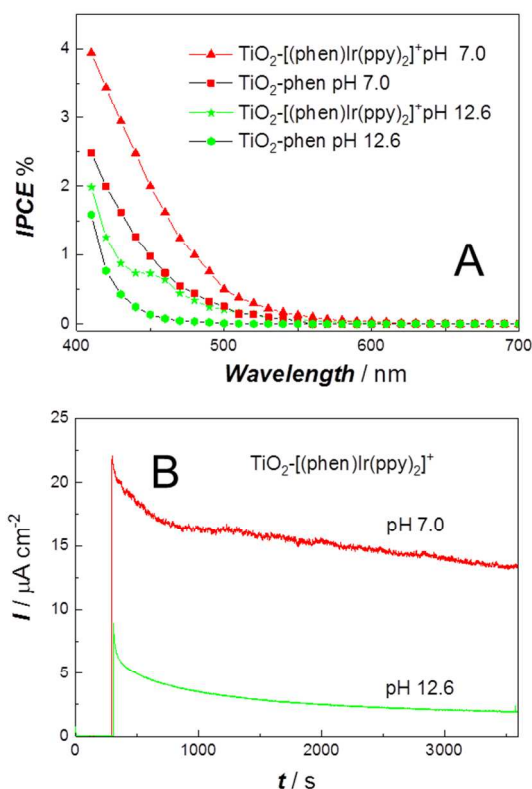


Figure 6. (A) The IPCE measurements and (B) Photoelectrochemical responses of the $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ and $\text{TiO}_2\text{-phen}$ electrodes in 0.1 M NaClO_4 containing 0.5 M triethylamine (pH

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3 = 12.6) at constant potential of -0.3 V, and in 0.1 M NaClO₄ with 0.02 M hydroquinone (pH =
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5 7.0) at 0 V under Ar. All the solutions are stirred at 500 rpm.
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8 The IPCE of all the photoelectrodes were higher under neutral conditions than they were in
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10 base. Similar results were observed by Meyer et al., who attributed the higher IPCE to a decrease
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12 in the rate of back electron transfer as the pH is decreased.⁵⁵ Other factors like the difference in
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14 the redox potentials of hydroquinone and triethylamine could also affect the electron transfer
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16 kinetics. More investigation is required to determine the origins of this phenomenon.
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19 20 CONCLUSIONS

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23 We have demonstrated a versatile, two-step process to prepare semiconductors-chromophore
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25 assembly. We prepared demonstration photoelectrodes with Ru and Ir chromophores anchored to
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27 ITO or TiO₂ surfaces by covalent bond. The strong linkage between the chromophores and the
28
29 TiO₂ surface is more stable under neutral and alkaline conditions than that with phosphonate
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31 ester bridges, and the sensitized electrodes can potentially be paired with a suitable catalyst and
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33 be used for photoelectrochemical oxidation of water in a wide pH range. Further studies to
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35 extend the method to other systems to construct water oxidation and CO₂ reduction
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37 photoelectrodes are under way in our laboratories.
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45 ASSOCIATED CONTENT

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48 **Supporting Information.** The following files are available free of charge.

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51 NMR of synthesized complexes; Electrochemical studies carried out on photoanodes; XPS, UV-
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53 vis and ToF-SIMS characterizations.
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12 **Author Contributions**
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35 **Notes**
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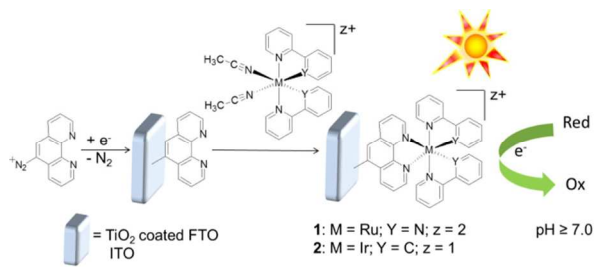
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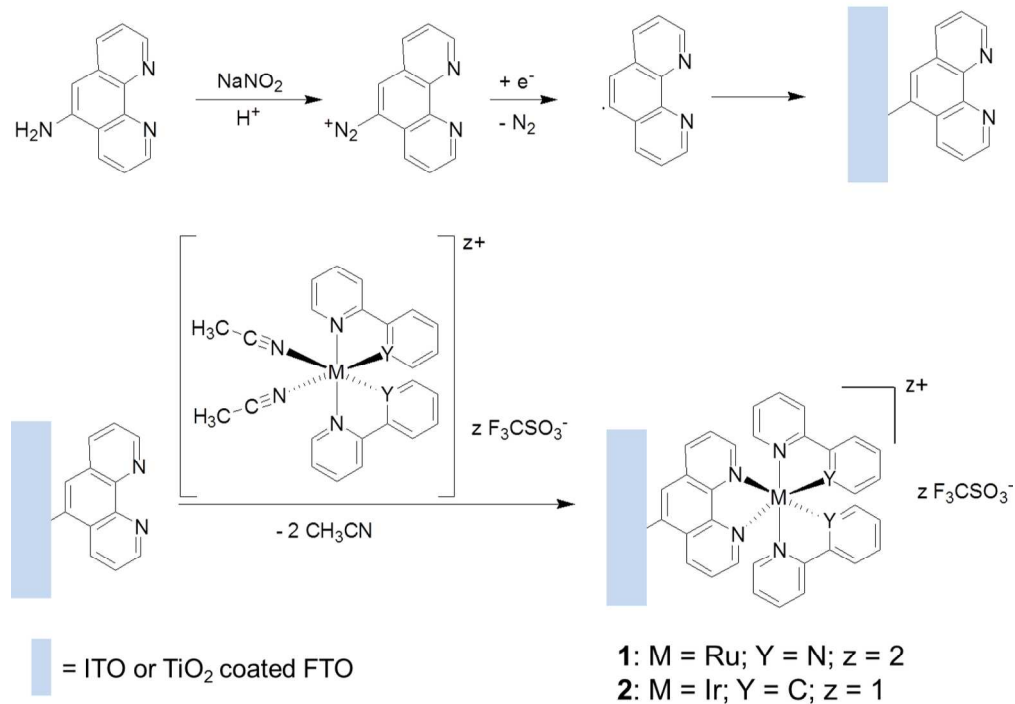
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32 Scheme 1. Illustration of the electrochemical deposition of 5-diazo-1,10-phenanthroline cation, and
 33 subsequent formation of semiconductor-chromophore electrode.

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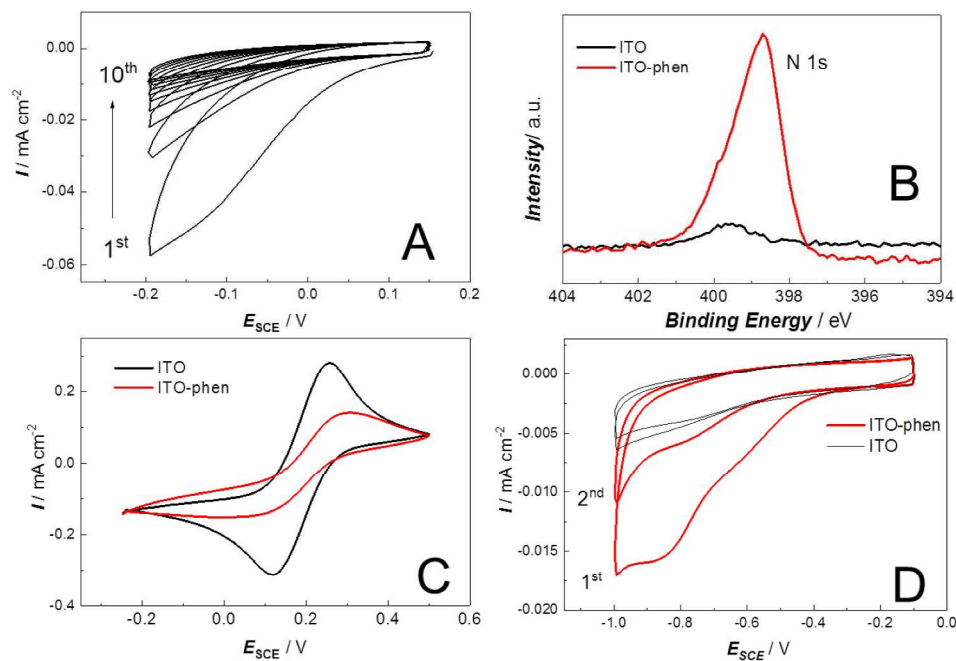


Figure 1. (A) Cyclic voltammogram of the ITO electrode in a 0.1 M H₂SO₄ solution containing 5-amino-1,10-phenanthroline (1 mM) and NaNO₂ (2 mM), scan rate 50 mV s⁻¹; (B) High resolution N 1s region XPS spectra of the ITO electrode before and after 1,10-phenanthroline deposition; (C) Cyclic voltammograms for the bare ITO and 1,10-phenanthroline modified ITO electrode in 0.1 M KCl solution containing 5 mM Fe(CN)₆³⁻ at scan rate of 20 mV s⁻¹; (D) Cyclic voltammograms of the ITO-phen and bare ITO electrodes in a N₂-saturated 0.1 M Na₂SO₄ solution and a scan rate of 50 mV s⁻¹.

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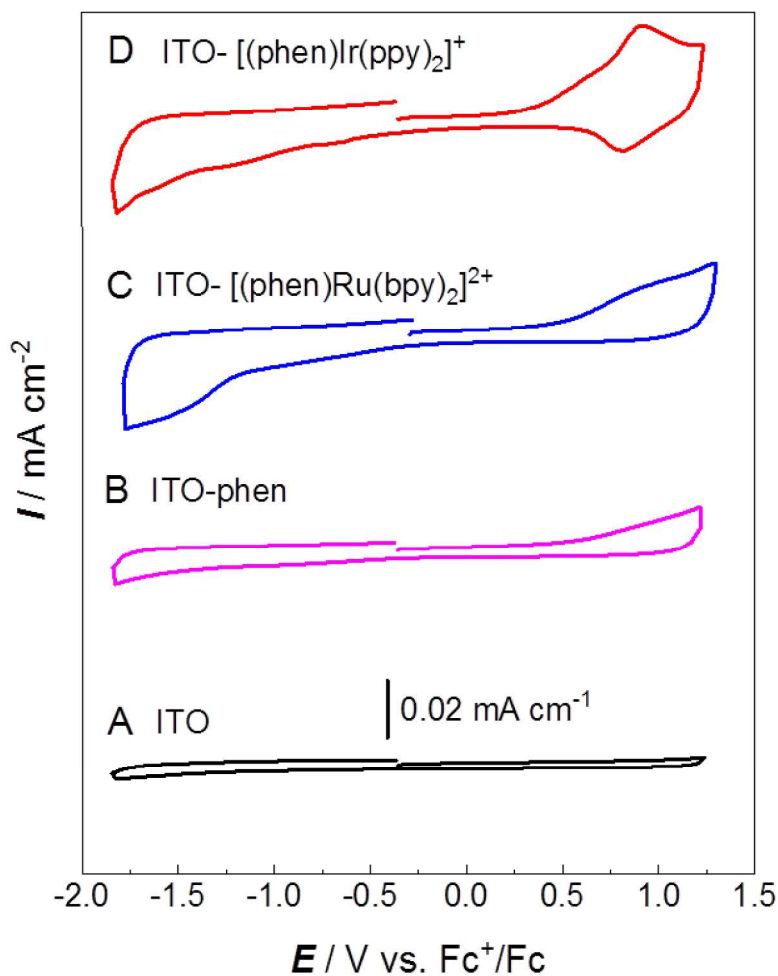


Figure 2. Cyclic voltammograms of the ITO electrodes throughout the chromophore grafting process in a N₂-saturated CH₂Cl₂ solution containing 0.1 M NBu₄PF₆, scan rate: 200 mV s⁻¹. (A) A bare ITO electrode, (B) an ITO-phen electrode, (C) an ITO-[(phen)Ru(bpy)₂]²⁺ electrode, and (D) an ITO-[(phen)Ir(ppy)₂]⁺ electrode.

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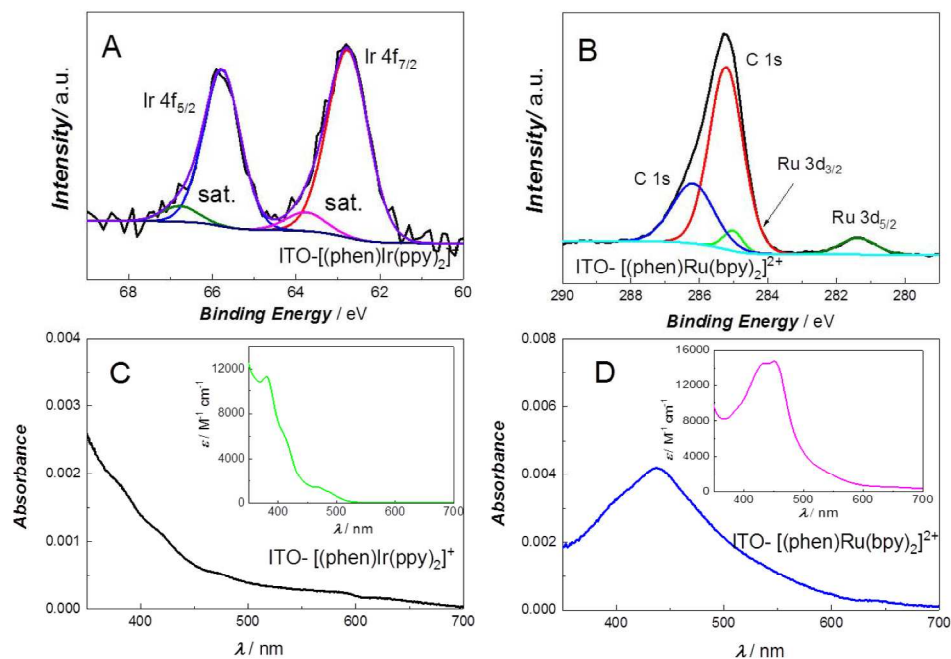


Figure 3. High resolution XPS spectra on (A) Ir 4f region of ITO-[(phen)Ir(ppy)₂]⁺ electrode and (B) Ru 3d region of ITO-[(phen)Ru(bpy)₂]²⁺ electrode. UV-vis absorption spectra of the (C) ITO-[(phen)Ir(ppy)₂]⁺ and (D) ITO-[(phen)Ru(bpy)₂]²⁺ electrodes with ITO absorbance deducted. The insets correspond to the UV-vis absorption spectra of the cis-[Ir(ppy)₂(phen)] OTf and [Ru(bpy)₂(phen)] (OTf)₂ in CH₂Cl₂ solution, respectively.

297x210mm (300 x 300 DPI)

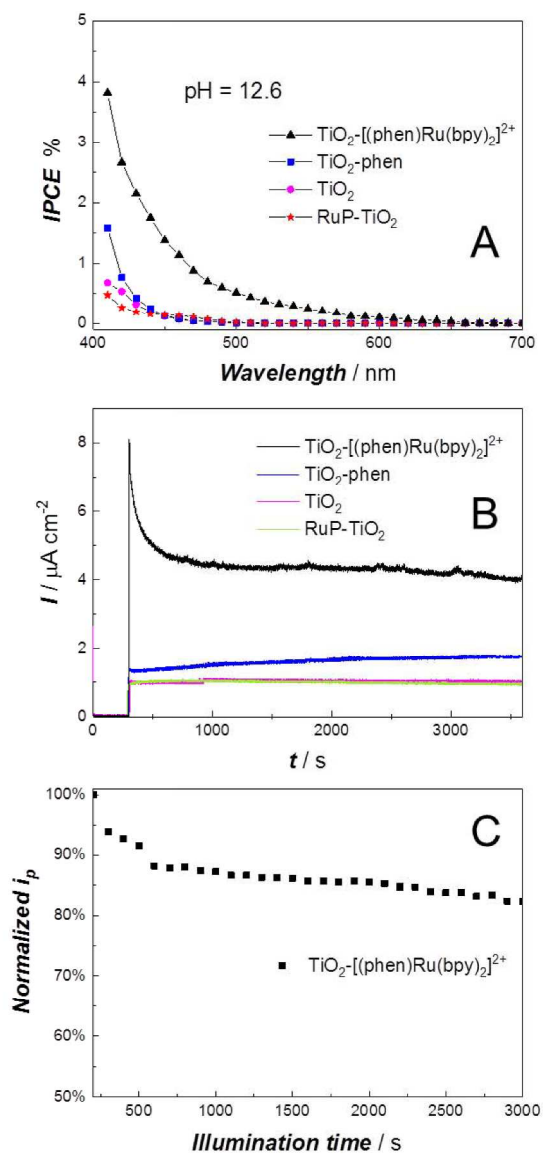


Figure 4. (A) The IPCE measurements and (B) Photoelectrochemical responses of the TiO₂-[(phen)Ru(bpy)₂]²⁺, TiO₂-phen, bare TiO₂ and RuP-TiO₂ electrodes in 0.1 M NaClO₄ containing 0.5 M triethylamine at constant potential of -0.3 V under Ar (pH = 12.6); (C) Photocurrent normalized to current at 200 s illumination for TiO₂-[(phen)Ru(bpy)₂]²⁺ electrode.

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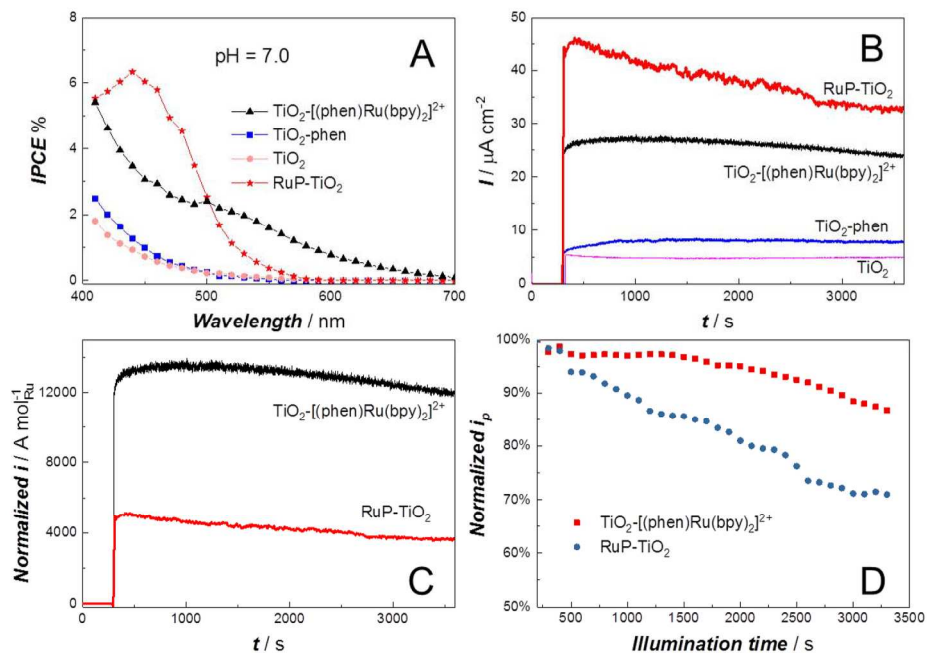


Figure 5. (A) The IPCE measurements and (B) Photoelectrochemical responses of TiO₂-[(phen)Ru(bpy)₂]₂²⁺, TiO₂-phen, bare TiO₂ and RuP-TiO₂ electrodes in 0.1 M NaClO₄ with 0.02 M hydroquinone under Ar at 0 V; (C) Photocurrent response normalized to moles of surface Ru for TiO₂-[(phen)Ru(bpy)₂]₂²⁺ and RuP-TiO₂ electrodes in 0.1 M NaClO₄ with 0.02 M hydroquinone under Ar at 0 V; (D) Photocurrent stability comparison between TiO₂-[(phen)Ru(bpy)₂]₂²⁺ and RuP-TiO₂ normalized to the 200 s illumination current for each electrode. All solutions are stirred at 500 rpm.

215x166mm (300 x 300 DPI)

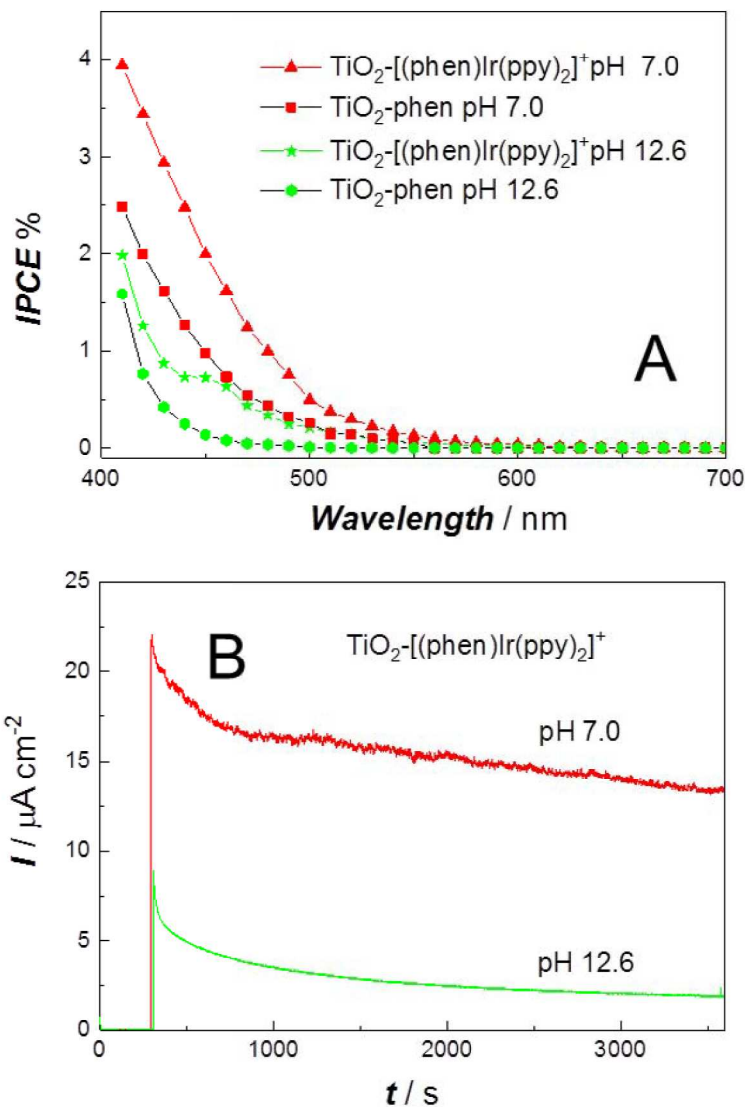


Figure 6. (A) The IPCE measurements and (B) Photoelectrochemical responses of the $\text{TiO}_2\text{-}[(\text{phen})\text{Ir}(\text{ppy})_2]^+$ and $\text{TiO}_2\text{-phen}$ electrodes in 0.1 M NaClO_4 containing 0.5 M triethylamine (pH = 12.6) at constant potential of -0.3 V, and in 0.1 M NaClO_4 with 0.02 M hydroquinone (pH = 7.0) at 0 V under Ar. All the solutions are stirred at 500 rpm.

210x297mm (300 x 300 DPI)