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University of Alberta

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# MODELING GREENHOUSE GAS EXCHANGE IN AN AGRICULTURAL FIELD AND A NATURAL GRASSLAND

BY

TAO LI



A thesis submitted to the Faculty of Graduate Studies and Research in partial fulfillment of the requirements for the degree of

**Doctor of Philosophy** 

in

**Soil Science** 

Department of Renewable Resources Edmonton, Alberta Spring, 2005

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# Abstract

 $N_2O$  accounts for 6% of the radiative forcing caused by global greenhouse gases, but the estimates of  $N_2O$  emissions using Intergovernmental Panel on Climate Change (IPCC) Tier 1 methodology may have larger than 62% of uncertainty. The temporal and spatial variation of site-specific  $N_2O$  emissions results in the uncertainty, however, it is not considered in IPCC Tier 1 and cannot be measured by current field measurement techniques. This study was intended to develop a scientifically defensible methodology to account for these variations under diverse agricultural practices, and to contribute to the development of an IPCC Tier 2 methodology.

The site-specific N<sub>2</sub>O emissions over the hummocky landscape have been addressed by modeling technique through combining *ecosys* and landscape soil property predictor (*LSPP*) with GIS in this study. The dynamics of climate, soil water content and soil temperature resulted in temporal variation of 83%, 99%, and 51% in the modeled hourly, seasonal and annual N<sub>2</sub>O emissions, respectively. Spatial heterogeneity in soil organic carbon, organic nitrogen, mineral nitrogen (NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup>) and water contents, soil temperature, and topography resulted in spatial variation ranging from 88% to 341% in the modeled annual N<sub>2</sub>O emissions. Modeled N<sub>2</sub>O emissions decreased from upper to lower slopes under wet conditions, but increased under dry conditions. "Hot spots" (localized area of very high N<sub>2</sub>O emissions) switched to "cold spots" (localized area of very lower N<sub>2</sub>O emissions) when relative water-filled porosity rose above 0.6 – 0.7. Zero tillage, manure fertilizer, permanent grassland or fallow reduced modeled annual N<sub>2</sub>O emissions from 18% up to 41% in comparison with conventional crop and land management. Total N<sub>2</sub>O emissions summarized from grid cells that fully represented sitespecific  $N_2O$  emissions were 18% lower than the estimation of IPPC Tier 1 for a wheatbarley-canola field during 2000 to 2002.

 $CO_2$  is another key greenhouse gas, the estimation of which can be improved by modeling. The modeled carbon gain of semi-arid natural grassland will be 28 g C m<sup>-2</sup> y<sup>-1</sup> during the next 100 years under changing climate. This grassland would be a sink of greenhouse gases with large carbon sequestration and less N<sub>2</sub>O emissions.

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# **TABLE OF CONTENTS**

Chapter 1: General Introduction	1
1.1 Spatial and temporal variation of N <sub>2</sub> O emissions	1
1.2 Detection of the temporal and spatial variation in N <sub>2</sub> O emissions	3
1.3 Mathematical models for simulating N <sub>2</sub> O emissions	
1.4 Soil properties for modeling landscape N <sub>2</sub> O emissions	6
1.5 Agricultural practices affecting N <sub>2</sub> O emissions	
1.6 Scaling up $N_2O$ emissions	
1.7 Objectives and contents	
References	
Chapter 2: The Prediction of Soil Properties by a Digital Terrain Model and	
Sensitivity of N <sub>2</sub> O Emissions to Uncertainties of Predicted Soil Properties	20
2.1 Introduction	20
2.2 Study site and field methods	23
2.2.1 Study site	23
2.2.2 Field sampling and laboratory analysis of soil properties	24
2.2.3 Calculating terrain attributes	25
2.3 Methods	33
2.3.1 Prediction models of soil properties	33
2.3.1.1 Stepwise multiple linear model (SMLR)	33
2.3.1.2 Artificial neural network (ANN)	
2.3.1.3 Inverse distance weight (IDW)	35
2.3.2 Validation of prediction models	36
2.3.2.1 Predictions of soil properties in validation dataset	36
2.3.2.2 Statistical analysis of model validation	36
2.3.3 Data management	
2.3.4 Sensitivities of N <sub>2</sub> O emissions to uncertainties of soil properties	38
2.3.4.1 Allocation of soil properties	38
2.3.4.2 Simulation of N <sub>2</sub> O missions	39
2.3.4.3 Assessing sensitivities of modeled $N_2O$ emissions	39
2.4 Results	40
2.4.1 Relationships between terrain attributes and measured soil properties	40
2.4.2 Validation of SMLR, ANN and IDW models	42
2.4.3 Prediction functions of SMLR	45
2.4.4 Sensitivities of modeled N <sub>2</sub> O emissions to uncertainties of predicted	
soil properties	47
2.5 Discussion	48
2.5.1 Terrain attributes, soil formation and soil properties	48
2.5.2 Predictive capability of SMLR, ANN and IDW	
2.5.3 Sensitivities of N <sub>2</sub> O emissions to uncertainties of soil properties	53
2.6 Conclusions	55
References	
Appendix 2.1: Stepwise multiple linear regression algorithm	63

Appendix 2.2: Equations of Artificial Neural Network (ANN)	65
Appendix 2.3: The interface of Landscape Soil Property Predictor (LSPP)	67
Chapter 2. Modeling Landsone Effects on N.O. Emissions in	
Chapter 3: Modeling Landscape Effects on N <sub>2</sub> O Emissions in	60
a Hummocky Agricultural Field	
3.2 Modeling N <sub>2</sub> O emissions in landscapes	
3.2.1 Modeling water transport.	
3.2.1.1 Surface water movement	
3.2.1.2 Subsurface water flow	
3.2.2 Solute Transport	
•	
3.2.2.1 Surface transport 3.2.2.2 Subsurface transport	
3.2.3 Gas Exchange	
3.2.4 N <sub>2</sub> O production.	
3.2.4.1 Denitrification.	
3.2.4.2 Nitrification	
3.2.5 Architecture of <i>3D-Ecosys-GIS</i> Model	
<ul><li>3.3 Study site</li><li>3.4 Field Measurement of N<sub>2</sub>O Emission</li></ul>	
-	
3.5 Model Experiment	
3.6.1 Measured and modeled N <sub>2</sub> O fluxes at the landscape scale	
-	
3.6.2 Temporal variation of N <sub>2</sub> O emissions across landscape	
3.6.3 Spatial variation of N <sub>2</sub> O emissions across landscape	00
3.6.3.1 Spatial variation of modeled soil water, solute and $N_2O$	00
emissions along a slope transect	
3.6.3.2 Spatial variation of modeled daily $N_2O$ emissions	
3.6.3.3 Spatial variation of modeled annual $N_2O$ emissions	
3.6.4 The relationship between modeled $N_2O$ emissions and terrain attributes	
3.7 Discussion	
3.7.1 The reliability of modeled $N_2O$ fluxes	
3.7.2 Temporal variation of modeled $N_2O$ emissions	
3.7.3 Spatial variations of modeled $N_2O$ emissions	103
3.7.4 Relation of temporal and spatial variation in $N_2O$ emissions	104
to $N_2O$ inventory	
3.8 Conclusions	
References	106
Appendix 3.1: The main equations and variables for simulating of	
landscape $N_2O$ emissions in the <i>3D-Ecosys-GIS</i>	
Appendix 3.2: The interface of <i>3D-Ecosys-GIS</i>	117
Chapter 4: The Effects of Land and Cropping Management on N <sub>2</sub> O Emissions	
in a Hummocky Agricultural Landscape	
4.1 Introduction	

a w Mannietery 1861 realtar at Bandsoupenninninninninninninninninninninninninni		
4.1 Introduction	119	
4.2 Methods		

4.2.1 Study site	122
4.2.2 Land and cropping management scenarios	123
4.2.3 Scaling up $N_2O$ emissions to field scale	
4.2.4 Model runs and evaluation	126
4.3 Results and discussion	127
4.3.1 Effects of tillage on N <sub>2</sub> O emissions	
4.3.2 Effects of fertilizer on N <sub>2</sub> O emissions	
4.3.3 Effects of rotation on N <sub>2</sub> O emissions	
4.3.3.1 Effects of conversion from grassland to cropping on $N_2O$	
emissions	
4.3.3.2 Effects of fallow on $N_2O$ emissions	139
4.3.3.3 Effects of continuous grassland versus cropping on $N_2O$	120
emissions	
4.3.4 Scaling N <sub>2</sub> O emissions up to field scale	
4.4 Conclusions	
References.	
Appendix 4.1: Equation for estimates of $N_2O$ emissions by IPCC method	
Appendix 4.2: The annual $N_2O$ emissions in the entire study site estimate	ea
by the regression function of terrain attributes and modeled $N_2O$	1.51
emissions of four sub sites	
Chanter 5. Climate Impact on Net Feedwater Duaductivity of a Semi avid	
Chapter 5: Climate Impact on Net Ecosystem Productivity of a Semi-arid Natural Grassland: Modeling and Measurement	150
Inatural Grassianu: Moutening and Measurement	
5.1 Introduction	152
<ul><li>5.1 Introduction</li><li>5.2 Methods</li></ul>	152 154
<ul><li>5.1 Introduction</li><li>5.2 Methods</li><li>5.2.1 Model Description</li></ul>	152 154 154
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 155 156 156 156
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156 156 157
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156 156 157 158
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 156 156 156 156 157 158
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 156 156 156 156 157 158 158 159
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156 156 156 158 158 158 159 160
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 156 156 156 156 157 158 158 158 159 160 160
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 156 156 156 156 156 157 158 158 159 160 161
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156 156 156 157 158 158 159 160 161 162
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156 156 156 157 158 158 158 159 160 161 162 162
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 156 156 156 156 156 157 158 158 159 160 161 161 162 162 162
<ul> <li>5.1 Introduction</li></ul>	152 154 154 154 154 155 156 156 156 156 156 157 158 158 159 160 160 161 162 162 162 162
<ul> <li>5.1 Introduction</li></ul>	$\begin{array}{c}152 \\154 \\154 \\154 \\154 \\156 \\156 \\156 \\156 \\156 \\156 \\156 \\156 \\156 \\156 \\162 \\162 \\162 \\162 \\162 \\165 \end{array}$
<ul> <li>5.1 Introduction</li></ul>	$\begin{array}{c}152 \\154 \\154 \\154 \\154 \\156 \\156 \\156 \\156 \\156 \\156 \\157 \\158 \\158 \\159 \\160 \\161 \\161 \\162 \\162 \\162 \\165 \\165 \\165 \end{array}$

5.3.3.2 CO <sub>2</sub> Fluxes	166
5.3.4 Daily Net Ecosystem Productivity	
5.3.5 Annual Net Ecosystem Productivity	
5.3.6 Century-Scale Net Ecosystem Productivity	
5.4 Discussion	
5.5 Conclusions	176
References	177
Chapter 6: Review, General Conclusions and Discussion	
References	

# List of Tables

Table 3.4: The Spatial variation of modeled daily N <sub>2</sub> O emissions and of soil NH <sub>4</sub> <sup>+</sup> and NO <sub>3</sub> <sup>-</sup> contents, and of soil daily relative water-filled porosity (RWFP) and temperature (ST) in the upper 20 cm soil zone during 3 daily emissions events (E1, E2, E3) over four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape
Table 3.5: Spatial variation of annual N2O emissions in the four sub sites (F1, F2,F3 and F4) in the hummocky agricultural landscape at Viking, Alberta,Canada, in 2000, 2001 and 2002
Table 3.6: The Pearson correlation (R) between annual N2O emissions and terrain attributes in the hummocky agricultural landscape at Viking, Alberta, Canada in 2000, 2001, 2002100
Table 4.1: The scenarios and their cropping, tillage and fertilizer managementsfor which N2O emissions were simulated in the hummocky agriculturallandscape at Viking, Alberta, Canada
Table 4.2: Annual N <sub>2</sub> O emissions and spatial variations under different tillage management at the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002
Table 4.3: The key factors explaining differences of N <sub>2</sub> O emissions among conventional (CT), reduced (RT) and no (NT) tillage128
Table 4.4: Annual N <sub>2</sub> O emissions, spatial variations and emission factors under chemical (CF), manure (MF), double chemical (DCF) and no (NF) fertilizer management in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002
Table 4.5: Annual N <sub>2</sub> O emissions and spatial variations under wheat-barley -canola (WBC), wheat-fallow (WF), wheat-wheat-fallow (WWF), continuous wheat (CW), continuous hay (CH) and hay-hay-wheat -wheat-wheat (HHHWWW) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002136
Table 4.6: Total N2O emission in the entire study field (76.6 ha) derived bydifferent scaling up methods
Table 5.1: Properties of the Orthic Brown Chernozem at Lethbridge, Alberta. Soil texture, organic matter content and pH of A (0 to 9 cm) and B horizons (9 to 25 cm) were measured at the field site, and those of lower layers were extracted from AGRASID (http://www.agric.gov.ab.ca /agdex/000/agrasid. Html) $\theta_{FC}$ , $\theta_{WP}$ , $\rho_b$ and $K_{sat}$ were calculated from soil texture (Saxton et al., 1986)
Table 5.2: The climate change scenario developed from results of the CanadianRegional Climate Model (CRCM-II) for the Lethbridge area162
Table 5.3: Regression of modeled on measured hourly energy and CO <sub>2</sub> fluxes in 1998, 1999 and 2000. Model outputs in the 58 <sup>th</sup> , 59 <sup>th</sup> and 60 <sup>th</sup> year of a 110 year model run were used in the regression analysis

- Table 5.4: The C balance of grassland calculated from gap-filled eddy covariance fluxes and modelled in 1998, 1999 and 2000. Positive values indicate C gain from atmosphere, negative values indicate C loss to atmosphere......169

# **List of Figures**

Figure 2.1: The study site, soil profile locations and sub site of sensitive study of N <sub>2</sub> O emissions in east center of Alberta, Canada (53°05'N, 111°47'W)23
Figure 2.2: The terrain attribute maps of the whole study site
Figure 2.3: The slope shape described in plan and profile curvature
Figure 2.4: The distributions of terrain attributes derived from the entire study site32
Figure 2.5: The architecture of artificial neural network (ANN)35
Figure 2.6: The data flow diagram accompanying landscape soil property predictor (LSPP)
Figure 2.7: The distribution of error ratio calculated by Eq. 2.6 for all predicted cases in the validation
<ul> <li>Figure 2.8: Soil properties estimated by SMLR for thickness (a), pH (g), and sand (c), silt (e), total organic carbon (i) and total organic nitrogen (k) contents in A horizon, and thickness (b), pH (h), sand (g), silt (f), total organic carbon (j) and total organic nitrogen (l) contents in B horizon in the hummocky landscape at Viking, Alberta, Canada</li></ul>
Figure 3.1: The architecture of 3D-Ecosys-GIS model76
Figure 3.2: The study site, observation transects and sub sites at Viking,
Alberta, Canada (53°05'N, 111°47'W)77 Figure 3.3: The measured (dot) and modeled (line) N <sub>2</sub> O fluxes at top, middle slope and foot slope in sub site F2 in the hummocky agricultural landscape at Viking, Alberta, Canada in 2000
<ul> <li>Figure 3.4: The measured (dot) and modeled (line) N<sub>2</sub>O fluxes at top, middle slope and foot slope in sub site F2 in the hummocky agricultural landscape at Viking, Alberta, Canada in 2001</li></ul>
Figure 3.7: The relationships of measured and modeled N <sub>2</sub> O fluxes at different landscape positions in four measuring transects in sub sites F1, F2, F3 and F4 in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002
Figure 3.8: The changes of (a) elevation, (b) rainfall, (c) relative water-filled

porosity (RWFP) in the upper 20 cm soil zone, (d) $NH_4^+$ , (e) $NO_3^-$ and (f) $N_2O$ emission on a hill slope transect from June 30 to July 8 2000. Numbers in lines indicate the days from June 30 2000
Figure 3.9: The soil RWFPs during three N <sub>2</sub> O emission events (E1: June 13 2000; E2: 19 June 2001; and E3: 28 May 2002) on the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada
Figure 3.10: The N <sub>2</sub> O emission (mg N m <sup>-2</sup> d <sup>-1</sup> ) during three N <sub>2</sub> O emission events (E1: June 13 2000; E2: 19 June 2001; and E3: 28 May 2002) on the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada
<ul> <li>Figure 3.11: The annual N<sub>2</sub>O emissions (g N m<sup>-2</sup>) in the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002</li></ul>
Figure 4.2: The dynamics of soil total organic nitrogen (TON) and NO <sub>3</sub> <sup>-</sup> contents, daily RWFP and N <sub>2</sub> O emissions under conventional tillage (CT), reduced tillage (RT) and no-tillage (NT) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 200, 2001, 2002129
Figure 4.3: An expanded graph from Fig. 4.2d to show the differences of N <sub>2</sub> O emission in a period of 2000 under conventional (CT, black solid line), reduced (RT, dots) and no (NT, long dash line) tillage130
Figure 4.4: The dynamics of soil total organic nitrogen (TON) and NO <sub>3</sub> <sup>-</sup> contents, daily RWFP and daily N <sub>2</sub> O emissions under chemical fertilizer (CF), double chemical fertilizer (DCF, no-fertilizer (NF) and cattle manure (MF) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001, 2002
Figure 4.5: The dynamics of soil total organic nitrogen (TON) and NO <sub>3</sub> <sup>-</sup> contents, daily RWFP and daily N <sub>2</sub> O emissions under wheat-barley-canola (WBC), wheat-wheat-fallow (WWF), wheat-fallow (WF), continuous wheat (CW), hay-hay-wheat-wheat-wheat (HHHWWW) and continuous hay (CH) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 200, 2001, 2002. Fertilizer was indicated if it was applicable
Figure 5.1: Measured (symbol) and modelled (line) soil moistures. Dash dot line indicates the permanent wilting point (17 % in vol. in 1998, 18% in 1999 and 2000). The depths for comparing measured and simulated data were 10 cm in 1998, 15 cm in 1999 and 2000163
Figure 5.2: Measured (symbols) and modelled (lines) latent heat flux (LE) and sensible heat flux (H) from days 177 to 182 and 225 to 230 during 1998 to 2000. Negative values indicate upward fluxes164

Figure 5.3: Measured (symbol) and modeled (line) net ecosystem CO <sub>2</sub> flux from days 177 to 182 and 225 to 230 during 1998 to 2000. Negative values indicate upward fluxes.	167
Figure 5.4: Measured (symbol) and modeled (line) daily net carbon exchange during 1998 to 2000. Negative values indicate C loss	168
Figure 5.5: Measured (symbol) and modelled (line) above-ground C during 1998 to 2000	170
Figure 5.6: Soil carbon change under current climate and climate change scenarios. The climate change scenarios were developed from the results of the Canadian Regional Climate Model (CRCM-II V3.5) nested with CGCM2 outputs from the GHG+A transient simulation under scenario "IS92a"	173

# **Chapter 1: General Introduction**

Global warming, which occurs due to increasing greenhouse gas concentrations in the atmosphere, is part of the large challenge of sustainable development. N<sub>2</sub>O currently accounts for 6 percent of greenhouse gases that caused global warming (IPCC Third Assessment Report, 2001). Kroeze et al. (1999) estimated that total global N<sub>2</sub>O emissions were about 17.6 Tg N in 1994. Approximately 9.7 Tg N (55%) of the total arose from natural terrestrial and aquatic systems, and approximately 7.9 Tg N (45%) came from anthro-pogenic sources. The contribution of agriculture to the global N<sub>2</sub>O source was about 35% (International Fertilizer Industry Association and FAO Report, 2001). Mosier and Kroeze (2000) estimated agricultural emissions increased from about 1.5 Tg N<sub>2</sub>O-N  $y^{-1}$  in 1900, to 2.9 Tg N  $y^{-1}$  in 1950, to 6.2 Tg N  $y^{-1}$  in 1994 and will increase to 9.1 Tg N y<sup>-1</sup> in 2020. However, Brown et al. (2002) and Lim et al. (1999) reported that the uncertainty of current N<sub>2</sub>O inventory (IPPC guidelines, 1997) was larger than 62% in agricultural soils, which was beyond the reduction targets in the Kyoto Protocol for most countries (Monni et al., 2004). This uncertainty mainly resulted from the large temporal and spatial variability of N<sub>2</sub>O fluxes (Flessa et al., 2002; Scott et al., 1999). Site specific conditions, such as soil properties, crop and soil management, and climate, also elicit large differences of N<sub>2</sub>O emissions (Beauchamp, 1997). Current N<sub>2</sub>O inventory (e.g. IPCC tier 1 methodology) uses single emission factor (1.25% of N input in Canada, Olsen et al. 2003) which summarized from numerous field experiment, but it does not account for the temporal and spatial variation and the effects of site specific conditions on N<sub>2</sub>O emissions. Therefore, current N<sub>2</sub>O inventories must be improved to fully consider the large spatial and temporal variability and site specifications so that the uncertainty in estimation of N<sub>2</sub>O emission can be minimized.

# 1.1 Spatial and temporal variation of N<sub>2</sub>O emissions

The coefficients of spatial variation (CSV – the ratio (%) of standard deviation to mean of emissions over a spatial scale) in N<sub>2</sub>O emissions have be found to vary between 21% and 500% (Table 1.1). Pennock and Corre (2001) reported that N<sub>2</sub>O emissions were significantly higher at foot slopes than at shoulders or midslopes, and that total emissions

increased positively with nitrogen fertilizing rate, and Corre et al. (1999) measured that N<sub>2</sub>O emissions at foot slopes were one to ten times higher than those at shoulder slopes in an agricultural soil in a glacial hummocky landscape in central Saskatchewan, Canada. Van Kessel et al. (1993) showed that denitrification rates were significantly higher at low landscape positions than at high landscape positions. Corre et al. (1996), van Kessel et al. (1993), and Pennock et al. (1992) have demonstrated that soil moisture is the dominant control on the rate of N<sub>2</sub>O emissions and that soil moisture is often higher at lower positions in a given landscape. Florinsky et al. (2003) also found that differences in N<sub>2</sub>O emissions were affected by differences in accumulation of soil moisture and organic matter due to the local topography. Large temporal variations of N2O emissions have also been attributed to soil thawing and freezing cycles, differences of plant residues, crop species, fluctuations of soil water and temperature, and dynamics of mineral nitrogen  $(NH_4^+ \text{ and } NO_3^-)$  (Flessa et al., 2002; Chao, et al., 2000; Röver et al., 1999; Williams et al., 1999; Kaiser et al., 1998). In agricultural soils, N availability and soil moisture are the major controls on temporal variations of N<sub>2</sub>O emissions (Weitz et al., 2001; Paul and Clark, 1996).

Author	CSV (%)	Spatial scale	Type of ecosystem
Mosier et al. (1981, 1982)	> 60		
Bremner et al. (1979)	50	$100 \mathrm{m}^2$	
Matthias et al. (1980)	31 – 168	$100 \mathrm{m}^2$	
Folorunso and Rolston (1984)	282 – 379	1 – 2 m apart	
Yamulki et al. (1995)	24 – 57	1-2 m apart	Wheat field
Grant and Pattey (2003)	30 -180	< 500 m	Fertilized agricultural fields
Choudhary et al. (2002)	119		Arable land, permanent pasture
Mahmood et al. (1998)	> 200		
Lemke et al. (1998)	< 92	Provincial scale	Agricultural soil
Williams et al. (1999)	21 – 286		Permanent pasture
Röver et al. (1999)			
Kaiser et al. (1996)	100 - 500		Arable soil
Myrold (1988)			

Table 1.1: The coefficients of spatial variation (CSV – the ratio (%) of standard deviation to mean of emissions over a spatial scale) in  $N_2O$  emissions reported in the literature.

Coefficients of temporal variation (CTV - the ratio (%) of standard deviation to mean of fluxes over a time period) in N<sub>2</sub>O emissions during growing seasons have been found to be larger than 150% (Thornton et al., 1996; Flessa et al., 1995). The temporal variation of seasonal N<sub>2</sub>O emissions was large, with fluxes ranging from 0 to 1100 mg m<sup>-2</sup>  $h^{-1}$ measured by automatic chambers in potato fields of Germany (Flessa et al., 2002). Water-filled porosity and soil temperature respectively explained 40% and 75% of this large temporal variability. In southern Taiwan, China, Chao et al. (2000) measured the mean CTVs of seasonal N<sub>2</sub>O emissions in various agro-ecosystems to be from 36.3% to 130.9% for paddy soil, from 14.8% to 17.6% for upland soil, from 16.8% to 17.9% for orchard soil, and from 24.9 to 25.5% for hardwood forest soil. Diurnal N<sub>2</sub>O fluxes varied from 16.1  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> to 412.0  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in a banana orchard (Chao et al., 2000). Through field measurements in a permanent pasture near Grange-over-Sands, Cumbria, UK, Williams et al. (1999) found that the diurnal variation of N<sub>2</sub>O emissions followed that of soil temperature at 10 cm depth, with N<sub>2</sub>O emissions peaking in late afternoon, and that fertilizer coinciding with rainfall caused large N2O emissions. They measured that the seasonal N<sub>2</sub>O emissions varied from 0.05 mg N m<sup>-2</sup> d<sup>-1</sup> to 16.8 mg N m<sup>-2</sup> d<sup>-1</sup>. Kaiser et al. (1998) measured the CTV of annual N<sub>2</sub>O emissions between 136% and 192% over spring barley, sugar beet and winter wheat fields at Timmerlah near Braunschweig, Lower Saxony, Germany. The large spatial and temporal variability of N<sub>2</sub>O emissions suggests a complex response to small environmental changes associated with landscape and time. Therefore, aggregation of plot-scale emission measurements to regional scales should be based upon sub-daily measurements at representative landscape positions, rather than upon less frequent measurements at individual sites as is currently done (Grant and Pattey, 2003). Aggregation needs to very localized to account for sitespecific environmental factors (Beauchamp, 1997). In addition, the identification of areas in which  $N_2O$  emissions are high in regional scales is essential for the implementation of  $N_2O$  emission mitigation strategies (Bareth, 2001).

#### 1.2 Detection of the temporal and spatial variation in N<sub>2</sub>O emissions

Currently, accurate measurement of N<sub>2</sub>O emissions at field scale is difficult because of their great spatial and temporal variability. Measurements of N<sub>2</sub>O emissions fall into two

main categories: surface chamber and micrometeorological techniques (International Fertilizer Industry Association and FAO Report, 2001). Surface chamber techniques are the most widely used technique for measuring N<sub>2</sub>O emissions at small spatial scales (< 1  $m^2$ ). The advantages of surface chamber measurements are low cost and easy utilization, and they are well adapted to addressing spatial variation in N<sub>2</sub>O emissions. However, it is difficult for surface chambers to capture the temporal variability of N<sub>2</sub>O emissions because of low measurement frequency (Bouwman, 1996; Blackmer et al., 1982). Flessa et al. (2002) developed an automated system for near-continuous, long-term measurements to track temporal variation, but the high cost and complicated installation reduced the possibility to address spatial variations by setting up this kind of chamber at different landscape positions. The temporal variability of N<sub>2</sub>O emissions may be better measured by micro-meteorological techniques (Wagner-Riddle et al., 1996). These techniques have low spatial resolution because the upwind fetch distance ranges from 20 to 200 m, and uncertainties in flux assessment are mainly due to spatial heterogeneity of N<sub>2</sub>O within the fetch (Laville et al., 1999). Micrometeorological techniques are therefore well adapted to provide long-term estimations of N<sub>2</sub>O emissions at the landscape scale, but have limited ability to resolve topographic and treatment effects on  $N_2O$  emissions. Presently, no measurement methods are available to address the temporal and spatial variations of N<sub>2</sub>O emission simultaneously. Mathematical models, which incorporate the biological, chemical and physical processes of N<sub>2</sub>O emissions and can be calibrated by measured data, may provide a methodology to address the spatial and temporal variability of  $N_2O$  emissions (Grant and Pattey, 2003).

#### 1.3 Mathematical models for simulating N<sub>2</sub>O emissions

A few ecosystem models have the ability to simulate  $N_2O$  emissions. DNDC (DeNitrification-DeComposition), a process-oriented simulation model of carbon (C) and nitrogen (N) biogeochemistry in agricultural ecosystems and an integrated onedimensional model of field-level C and N dynamics in soil-vegetation systems, was developed to assess  $N_2O$ , NO,  $N_2$ , NH<sub>3</sub> and CO<sub>2</sub> emissions from agricultural soils in daily time step (Li, 2000; Li et al., 1992). The model contains climate, crop growth, decomposition and denitrification sub-models. It has the ability to simulate the magnitude and seasonal trends of  $N_2O$  emissions at a regional scale (Brown et al., 2002; Li et al., 1996, 2001) and at a plot scale (Xu-Ri et al., 2003).  $N_2O$  is produced in nitrification and denitrification processes. The concentration of  $NH_4^+$  from decomposition of organic matter controls nitrification. A constant fraction (0.002) of nitrification is allocated to  $N_2O$ .  $N_2O$  from denitrification is a function of soluble C, soil temperature, soil pH, N-substrate availability, soil moisture and denitrifier biomass.

CENTURY, a multicompartmental ecosystem model developed to simulate long-term changes in soil organic C and N, nutrient cycling, and plant production for the soil-plant ecosystem, can simulate long-term nitrogen gases emissions at a regional scale on monthly time step (Liu et al., 2000; Parton et al., 1988, 1996; Robertson, 1989). The DAYCENT (Del Grosso et al., 2001; Kelly et al., 2000; Parton et al., 1998), a new version of CENTURY, simulates exchanges of C, nutrients (N, P, S), and gases (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, NO<sub>x</sub>, N<sub>2</sub>) among the atmosphere, soil and plants on a daily time step. DAYCENT includes sub-models for plant productivity, decomposition of dead plant material and soil organic matter, soil water and temperature dynamics, and trace gas fluxes under different land uses (Del Grosso et al., 2002). The N<sub>2</sub>O emission is a fraction of total N gas flux (N<sub>2</sub>+N<sub>2</sub>O) which is a function of soil water effects on denitrification, on the minimum rate of NO<sub>3</sub><sup>-</sup> and a soil respiration. Fractions of N<sub>2</sub> and N<sub>2</sub>O are calculated according to effects of soil NO<sub>3</sub>, respiration rate and water, respectively.

These one-dimensional (vertical direction) models have the ability to simulate the temporal and spatial (coarse scale) variations in  $N_2O$  emissions and the effects of land use, vegetation and management on  $N_2O$  emissions. However, these models do not simulate the energy and mass exchanges among adjacent grid cells and cannot represent the spatial heterogeneities of soil properties that vary associated with landscape topography. Therefore, these models are unable to simulate spatial variations of  $N_2O$  emissions at a landscape level.

*Ecosys* can be used to estimate energy,  $CO_2$ ,  $N_2O$ ,  $CH_4$ , and nutrient exchange under current or projected climates (Li et al., 2004; Grant, 2001, 1999, 1997, 1995a, 1995b; Grant and Nalder, 2000; Grant and Pattey, 1999; Grant et al., 2001a, 2001b, 2001c, 1999a, 1999b, 1998, 1995a, 1995b 1993a, 1993b, 1993c). It is three dimensional ecosystem model including X and Y for horizontal coordinates, and Z for elevation.

Theoretically, it has the ability to simulate the spatial and temporal variations of energy, carbon and trace greenhouse gases exchange (Grant and Pattey, 2003) on hourly time step and at any spatial scale. To represent the temporal and spatial variation of  $N_2O$  emissions, *Ecosys* requires topographically referenced soil properties and field management data at a fine scale (meters to tens meters).

# 1.4 Soil properties for modeling landscape N<sub>2</sub>O emissions

Topographically referenced soil properties at the fine scale are not routinely practical. Doing detailed soil sampling and laboratory analysis is difficult because of the high cost and large time requirement. However, during the last two decades, soil properties have been predicted from correlations between soil properties and various soil forming factors, such as vegetation, management practices, parent material, topography, and climate (McBratney et al., 2003; Park and Vlek, 2002). The predictions are based on the assumption that each soil property has a unique spatial distribution over the landscape due to differences in geochemical and geomorphological mobilities of soil materials, and also to differential responses of soil properties to given pedological and ecological processes of soil formation (Huggett, 1975; Park and Vlek, 2002). Among these factors of soil formation, the terrain attributes, which quantitatively describe the topography and can be easily derived from digital elevation maps, are most often used as key predictors of soil properties (McBratney et al., 2003; Bishop and McBratney, 2001; McBratney et al., 2000). However, uncertainties are always associated with predicted soil properties (Bui and Moran, 2003; McBratney et al., 2002; Chiles and Delfiner, 1999; Deutsch and Journel, 1998; Goovaerts, 1997; Cressie, 1993; Isaaks and Srivastava, 1990), although they have been already used for detailed soil mapping (Mueller and Pierce, 2003; Florinsky and Arlashina, 1998; Lexer and Honninger, 1998) and ecosystem management (Booltink, et al., 2001; Garcia, et al., 2000; Gessler et al., 2000; Stafford, 2000). The uncertainties in predicted soil properties would propagate uncertainties in N2O emissions when these soil properties are used to initialize ecosystem models to simulate N<sub>2</sub>O emissions. The magnitude of these uncertainties in N2O emissions will determine whether or not the predicted soil properties can be used to simulate N<sub>2</sub>O emissions. So far, such a study has not be conducted. Current N<sub>2</sub>O inventory (IPCC guidelines) will be improved if

the predicted soil properties can be used to initialize ecosystem models to represent the temporal and spatial variation of N<sub>2</sub>O emissions.

### 1.5 Agricultural practices affecting N<sub>2</sub>O emissions

The factors in the IPCC guidelines were summarized from numerous experiments and observations and for all agricultural soils, regardless of variations in soil and crop management and climate. Field measurements have indicated that crop rotation, tillage and fertilizer type strongly affect N<sub>2</sub>O emissions, but the effects were not consistent. Jacinthe and Dick (1997) measured 50% and 23% higher N<sub>2</sub>O emissions respectively in a continuous corn and corn-soybean rotation field than in a corn-soybean/wheat-hairy vetch rotation in fine loamy and silt soil at Piketon, OH, USA, using a chamber technique. The low carbon to nitrogen ratio (C:N) of crop residues contributed to higher N<sub>2</sub>O emissions (Kaiser et al., 1998). Lemke et al. (1999) measured higher N<sub>2</sub>O emissions in a fallow field than in a crop field in the Alberta parkland region, Canada. Mogge et al. (1999) and Choudhary et al. (2002) found that grassland always had lower N<sub>2</sub>O emissions than did crop fields. Harrison and Webb (2001) found that nitrate-nitrogen fertilizer caused greater N<sub>2</sub>O emissions in comparison with ammonium sulfate under wet conditions, while urea generally caused greater N<sub>2</sub>O emissions than ammonium-nitrogen fertilizers unless soil was dry and soil temperature was low. Christensen (1983) measured higher N2O emissions in an organically fertilized field than in a chemically fertilized field under the same N fertilizer rate. However, Lemke et al. (1999) estimated lower N2O emissions in manured field (total N 90 kg ha<sup>-1</sup> and C:N  $\approx$  24:1) than in an urea-fertilized field (56 kg N ha<sup>-1</sup>) according to chamber measurements. Choudhary et al. (2002), Ball et al. (1999) and Aulakh et al. (1984) found that N<sub>2</sub>O emissions were higher in no-tillage than in conventional tillage. In contrast, Lemke et al. (1999) measured that N<sub>2</sub>O emissions were generally lower in no-tillage than conventional (intensive) tillage, in the Alberta parkland region, Canada. These conflicting conclusions may be the result of the large spatial variation of N2O emissions (Table 1.1) at different measurement locations of field experimental treatments. Mathematical modeling can provide a well-constrained test to ensure that the differences in N<sub>2</sub>O emissions among different management scenarios arise

only from specified management factors. Therefore, modeling techniques may provide a method to clarify the effects of crop rotation, tillage and fertilizer on  $N_2O$  emissions.

# 1.6 Scaling up N<sub>2</sub>O emissions

As described above, because of the strong spatial and temporal variations of  $N_2O$  emissions, the heterogeneities of soil properties over landscapes, and the disadvantages of current measuring methods, accurately measuring or estimating  $N_2O$  emissions at landscape, regional, provincial and national scales is difficult. The aggregation of landscape  $N_2O$  emissions to longer time scales (e.g. seasonal, annual, decade), may be based on the possibility of a strong relation of emissions to 'average' biophysical conditions (International Fertilizer Industry Association and FAO Report, 2001). However, to obtain reliable 'average' biophysical conditions will be a difficult task because of the large variations in environmental conditions at different time scales.

A few models have the ability to calculate the field or regional N<sub>2</sub>O emissions (such as DNDC, CENTURY) based on the hypothesis that the N<sub>2</sub>O emissions are same if the vegetation, soil type and management are the same or similar at concerning scale. However, this hypothesis may be incorrect because Grant and Patty (2003) demonstrated that small environmental changes associated with landscape and time series may cause large changes in N<sub>2</sub>O emissions. Sozanska et al. (2002) used field measurements to establish a multiple regression model between N<sub>2</sub>O emissions and the factors affecting them. This model was then coupled with a GIS framework to estimate regional and national  $N_2O$  emissions. This model accounted for the effects of land use, soil temperature, soil water content, soil C content and N input on N<sub>2</sub>O emissions. It provided a simple method for estimating N<sub>2</sub>O emissions, but was constrained by the accuracy of measurements of soil temperature and soil water content which varied with landscape position. Minor differences in only one of the measurement sites used in model calibration can cause major shifts in the model's correlation coefficient (Bouwman et al., 1993). Freibauer (2003) reported that errors from regression models which were used to estimate regional to continental N<sub>2</sub>O emissions from agricultural soils in Europe were from 30% to 100%.

Currently, the national and provincial  $N_2O$  emissions are estimated using the IPCC guidelines (Olsen et al., 2003; Mosier et al., 1999). However, in order to minimize the uncertainties, more acute models should account for the temporal and spatial variations of  $N_2O$  emissions and the site-specific effects of crop and soil management and climate. So far, the crucial problem of scaling up  $N_2O$  emissions from micro-sites to plot (fine) scales (micrometeorological and chamber measurements, and modeling results) to coarse scales (field or landscape, regional, provincial and national scales) remains unsolved. Mathematical modeling may provide a solution to this problem if the model can handle large and complex input and output information at a coarse scale.

#### **1.7 Objectives and contents**

The objectives of this study were to develop a methodology for estimating N<sub>2</sub>O emissions at the landscape and field scales as well as to clarify the effects of cropping, tillage and fertilizer on N<sub>2</sub>O emissions. This study included following components. Firstly, a digital terrain model was developed to predict soil properties at a fine scale. Secondly, the capability of *ecosys* was extended to handle complex input and output information in map format, in which *ecosys* was integrated into a GIS framework. The new version of *ecosys*, named *3D-Ecosys-GIS*, provided a platform to simulate the temporal and spatial variation of N<sub>2</sub>O emissions based on physical, chemical and biological processes of N<sub>2</sub>O production and emissions. Thirdly, the effects of crop rotation, tillage and fertilizer on N<sub>2</sub>O emissions were studied at the landscape scale using *3D-Ecosys-GIS*, and a few methods for scaling up N<sub>2</sub>O emissions from plot or landscape scale to field scale were tested.

While a general introduction has been given in this chapter, the remainder of this thesis consists of following five chapters. Chapter 2 discusses the prediction of soil properties at fine scale and the possibility of using predicted soil properties as input data to simulate landscape  $N_2O$  emissions. Chapter 3 describes the modeling technique and the temporal and spatial variation of landscape  $N_2O$  emissions. Chapter 4 discusses the effects of crop rotation, tillage and fertilizer on  $N_2O$  emissions at landscape level and the  $N_2O$  emissions at the field scale. Chapter 5 describes the impact of climate change on net

 $CO_2$  exchange of natural grassland over next 100 years. Chapter 6 gives the general review, discussion and conclusions.

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# Chapter 2: The Prediction of Soil Properties by a Digital Terrain Model and Sensitivity of N<sub>2</sub>O Emissions to Uncertainties of Predicted Soil Properties

# 2.1 Introduction

There has been increasing interest in obtaining detailed information on spatial variation in soil properties with growing adoption of precision agriculture (Booltink, et al., 2001; Stafford, 2000), and fine scale soil maps (Zhu et al. 2004; Mueller and Pierce, 2003; Florinsky and Arlashina, 1998; Lexer and Honninger, 1998). This information is also needed for simulating landscape spatial variations in greenhouse gas emissions (Grant and Pattey, 2003), soil water retention (Romano and Palladino, 2002), crop yield (Garcia et al., 2000), and ecosystem productivity (Gessler et al., 2000). Detailed soil sampling and laboratory analyses are rarely possible because of high cost and time requirements. Many attempts have been made to establish correlations between soil properties and more readily available information, about vegetation, management practice, parent material, and topography (Park and Vlek, 2002). Many such correlations, which are used to extend sparse and expensive soil measurements, have been studied in the last two decades (McBratney et al., 2003; Bishop and McBratney, 2001; McBratney et al., 2000). The Scorpan model, which was developed from Jenny's (1941) famous equation, uses soil properties, climate, vegetation, terrain attributes, landscape position, parent material, and history or age of land as predictors of soil properties. Scorpan has been widely used although earlier research did not involve all the predictors in this equation (McBratney et al., 2003).

Prediction methods based on Scorpan can be classified into three groups (Bishop and McBratney, 2001). First, statistical methods are used to establish the correlations between land surface information and soil properties, then soil properties can be predicted by multiple linear regression (Bishop and McBratney, 2001; Ryan et al., 2000), regression tree (Park and Vlek, 2002), artificial neural networks (Park and Vlek, 2002; McBratney et al., 2000; Zhu, 2000), fuzzy-logic (Carre and Girard, 2002; MacMillan et al., 2000; Zhu and Band, 1994), or Bayesian rules (Lilburne et al., 1998). Second, geostatistical methods

such as ordinary kriging (Oberthur et al. 1999), kriging and co-kriging (Chaplot et al., 2000; Knotters et al., 1995; Odeh et al. 1994, 1995) are widely used in some models for mapping soil properties. Third, hybrid methods involve the integration of statistical and geostatistical methods. Regression-kriging adds the kriged residual values to regression predictions (Odeh et al., 1995, Bishop and McBratney, 2001). Kriging with external drift uses the local mean of the target variables and performs simple kriging on the residuals (Goovearts, 1999; Bishop and McBratney, 2001). Most of these methods use terrain attributes, land use and coverage types, which can be easily derived from topographical maps and remote sensing data, to build the prediction function.

The selection of predictors in Scorpan strongly depends on the soil properties that need to be estimated. Terrain attributes are one of the most frequently used predictors. The accuracy with which soil properties have been predicted has varied greatly with different terrain attributes used as predictors, so the key to predicting soil properties more accurately is the selection of appropriate terrain attributes rather than prediction methods (Park and Burt, 2002).

Terrain attributes are indicators of microtopographic characteristics. Microtopography influences the spatial distribution of soil moisture, pH, salts and free ions (Kovda et al., 1992), and hence soil formation (Buol et al., 1973). Therefore, terrain attributes provide a general knowledge of spatial patterns associated with the redistribution of water, solute and sediment in vertical and lateral directions through the landscape. Water is a fundamental factor of soil formation. Where soil water conditions are favorable, such as at concave slopes and water accumulating areas, pedogenic processes are active because soil water accelerates rates of soil physical, chemical and biological processes. Terrain attributes are found to influence almost all soil formation processes and are therefore related to soil water content (Oztas et al., 2003; Pennock and Corre, 2001; Gobin et al., 2000; Florinsky et al., 1998; Osher and Buol, 1998; King et al., 1994; Odeh et al., 1991) and nutrient content, hence to plant growth and community type (Oztas et al., 2003), microbial activities (Florinsky et al., 2003), nutrient accumulation and transformation, mass and energy transport, lateral and vertical redistribution of soil material (Kachanoski and Carter, 1999), and physical and chemical weathering. These soil formation processes result in different soil properties including horizon thicknesss (Gessler et al., 1995;

Kachanoski et al., 1985a, b; Bell et al., 1994; Moore et al., 1993; Carter and Ciolkosz, 1991; Pennock et al., 1987), soil texture (Osher and Buol, 1998; King et al., 1994; Odeh et al., 1991), pH, organic matter contents (Oztas et al., 2003; Pennock and Corre, 2001; Wang et al., 2001; Osher and Buol, 1998; Moore et al., 1993), and nitrogen (Wang et al., 2001) and other nutrients (Wang et al., 2001; Moore et al., 1993; Carter and Ciolkosz, 1991; Martz and de Jong, 1990). Spatial differences in mobility of water, solutes and sediment, and differential responses of soil materials to pedological and ecological processes arising from these differences in mobility, result in spatial variation of soil over a landscape (Park and Vlek, 2002; Huggett, 1975), and soil properties can be estimated based on relationships between terrain attributes and soil properties (McBratney et al., 2003). The estimated soil properties can be used for detailed soil mapping (Zhu et al. 2004; Mueller and Pierce, 2003; Florinsky and Arlashina, 1998; Lexer and Honninger, 1998) and ecosystem management (Booltink, et al., 2001; Garcia, et al., 2000; Gessler et al., 2000; Stafford, 2000) although with some uncertainty (Bui and Moran, 2003; McBratney et al., 2002; Chiles and Delfiner, 1999; Deutsch and Journel, 1998; Goovaerts, 1997; Cressie, 1993; Isaaks and Srivastava, 1990).

If soil N<sub>2</sub>O emissions are calculated from the estimated soil properties with their uncertainties, the sensitivities of N<sub>2</sub>O emissions to these uncertainties will determine whether these estimations can be used for modeling N<sub>2</sub>O emissions. If the estimated soil properties can be used to estimate N<sub>2</sub>O emission, the large temporal and spatial variability of N<sub>2</sub>O emissions can be captured simultaneously in landscape, field and regional scales with a combination of detailed soil properties and mathematical modeling (Grant and Pattey, 2003). Large temporal and spatial variations of N<sub>2</sub>O flux rates are a main source of error in estimating cumulative N<sub>2</sub>O fluxes from cultivated soils (Flessa et al., 2002; Scott et al., 1999). Current measurement methods, such as micrometeorology and chamber techniques, have difficulty in catching the temporal and spatial variability simultaneously. These methods also have uncertainties in flux measurements and therefore large cumulative errors in N<sub>2</sub>O emissions (Flessa et al., 2002; Laville et al., 1999). Therefore, the combination of detailed soil properties and mathematical modeling simultaneously. These methods also have uncertainties in flux measurements and therefore large cumulative errors in N<sub>2</sub>O emissions (Flessa et al., 2002; Laville et al., 1999; Brumme and Beese 1992). Therefore, the combination of N<sub>2</sub>O emissions. However, the magnitude of the uncertainty in N<sub>2</sub>O emissions that results from the

uncertainty of soil properties is unclear. The propagation of uncertainties in  $N_2O$  emissions from uncertainties of soil properties will determine the usefulness of the mathematic modeling technique to address the temporal and spatial variability of  $N_2O$  emissions, in obtaining reliable estimations of  $N_2O$  emissions in landscape, field, regional, and even national scales.

The objectives of this study were (1) to investigate the ability to predict soil properties from terrain attributes in agricultural land with hummocky topography in a western Canadian prairie, and (2) to investigate the sensitivity of  $N_2O$  emissions to uncertainties of soil properties, then to determine whether or not the estimated soil properties can be used to simulate landscape  $N_2O$  emissions.

# 2.2 Study site and field methods

#### 2.2.1 Study site

The study site (Fig. 2.1) is a 76 ha field representative of the black soil region in the aspen parkland ecoregion in eastcentral Alberta, near Viking, Alberta, Canada. Average precipitation was 359 mm, and average air temperature was 2.8 °C from 1996 to 2002. This site has hummocky topography with a moderate slope (10-13%) and elevation difference of less than 12 m. It is representative of hummocky glaciated landscapes in the western prairies of Canada. Black Chernozemic soils are the dominant soil type. The site is under a conventional cultivation

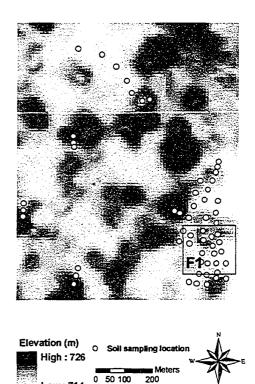


Figure 2.1: The study site, soil profile locations and sub site of sensitivity study of  $N_2O$  emissions in east center of Alberta, Canada (53°05'N, 111°47'W).

system with a wheat-barley-canola crop rotation fertilized with 86, 13, 76 kg N ha<sup>-1</sup>, respectively. The entire site was stratified into  $10 \times 10$  m grid cells for predicting soil properties. One sub site F1 (3.23 ha. Fig. 2.1) was selected from the entire site for testing sensitivity of N<sub>2</sub>O emissions to predicted soil properties. The difference of elevation within F1 was less than 8 m.

#### 2.2.2 Field sampling and laboratory analysis of soil properties

Three to five soil samples were collected from each of 57 soil profiles that were 50-100 cm deep and represented different landscape types in the study site. The geographic position of each soil profile was recorded by a global positioning system (Garmin GPS-III plus with accuracy 1 to 5 meters for position, Garmin International Inc. Olathe, KS, USA), and thicknesses of A and B horizons were measured on soil profile core. About 1 kg of composite soil sample was taken from each of A, B and C horizons in each profile. Soil samples were analyzed for clay, silt and sand contents, pH, and total organic carbon (TOC) and total organic nitrogen (TON). Soil samples were air-dried and ground to pass through a 2 mm sieve. Soil texture was analyzed using sedimentation hydrometer methods. Water-based (2:1 in water to soil) pH was measured with an electronic meter. TOC was measured by a 6N HCl acidification in a tin container followed by Dumas combustion protocol. TON was analyzed by Carlo-Erba, NA-1550 N+C Elemental analyser following the Dumas combustion protocol.

In the hummocky agricultural landscape, the spatial variations of soil properties were from 11% to 64% for 20 soil properties (Table 2.1). Over the landscape, soil texture was similar in A, B and C horizons, and pH increased from surface to deeper soil horizons. TOC and TON were found mainly in the A horizon, and concentration reduced to one third in the B horizons and one sixth in the C horizons. This large variation in soil properties was used for model calibration and validation.

Horizon	Item	Thickness	Texture (%)			Chemical Properties		
		(cm)	Clay	Silt	Sand	pН	TOC (g C/kg)	ΓON (mg N/kg)
A	$\overline{M} \pm SD^{\$}$	$24 \pm 13$	$21 \pm 4$	$33 \pm 7$	46 ± 7	$6.1 \pm 0.7$	35 ± 13	3108 ± 1057
	CV	54	19	21	15	11	37	34
В	M ± SD	35 ± 16	$26\pm5$	$32\pm8$	$42 \pm 9$	$6.9 \pm 0.8$	$11 \pm 7$	$1149 \pm 609$
	CV	46	19	25	21	12	64	53
C	$M \pm SD$		$23\pm 6$	$34\pm8$	43 ± 8	8.0 ± 0.9	6 ± 2	577 ± 242
C	CV		26	24	19	11	33	42

Table 2.1: Mean and coefficient of spatial variation (CSV – ratio (%) of standard deviation to mean over landscape) of measured soil properties in a hummocky agricultural landscape.

<sup>8</sup>: "M" and SD indicates the average and standard deviation of measured soil properties over landscape.

# 2.2.3 Calculating terrain attributes

A digital elevation map (DEM) was generated at a 10-meter resolution in ArcGIS 8.2 (from ESRI, 2002) by kriging interpolation from field data measured by a high accuracy differential global positioning system (GPS). Terrain attributes (TA) were calculated by ArcGIS 8.2 and Terrain Analysis Using Digital Elevation Models 2.0 (TauDEM. Tarbonton, 2002) from DEM. Twelve terrain attributes described below were used as predictors for soil properties in this study (Table 2.2).

VIKIN	ig, Alberta, Canada.	· · · · · · · · · · · · · · · · · · ·	
	Terrain attribute	Description	Source or reference
RE	Relative elevation (m)	Elevation difference between grid cell and mean of entire field.	
AS	Aspect (Degree in clockwise from north)	Direction of steepest slope.	Shary et al., 2002
SP	Slope (%)	Slope percentage.	Shary et al., 2002
CA	Catachment Area (m <sup>2</sup> )	Total upslope area to each grid cell.	Shary et al., 2002
SCA	Special catachment area $(m^2 m^{-1})$	CA per unit contour width.	TauDEM. Tarboton, 2002
TUL	Total upslope length (m)	Total length of upslope flow paths terminating at each grid cell.	TauDEM. Tarboton, 2002
LUL	Longest upslope length (m)	Length of the longest upslope flow path terminating at each grid cell.	TauDEM. Tarboton, 2002
IWI	Inverse Wetness Index (m m <sup>-3</sup> )	The ratio of slope to catchment area. (IWI=SP'/CA). SP' is slope in m $m^{-1}$ .	TauDEM. Tarboton, 2002
SPI	Stream Power Index $(m^3 m^{-1})$	SPI = SP*CA.	Florinsky et al., 2002; Moore et al., 1991
PLC	Plan curvature (Degree m <sup>-1</sup> )	Curvature along contour line.	Shary et al., 2002
PFC	Profile curvature (Degree m <sup>-1</sup> )	Vertical curvature along slope profile.	Shary et al., 2002
TCI	Terrain Complexity Index (dimensionless)	TCI = PFC'*log <sub>10</sub> (SCA). PFC' is elevation difference of each grid to surrounding grid cells in 3 by 3 moving windows.	Park et al., 2001.

Table 2.2: Terrain attributes used for predictions of soil properties in the study site at Viking, Alberta, Canada.

Relative elevation (RE) (Table 2.2) is the difference between elevation of a local grid cell and the mean elevation of the entire field (Fig. 2.2a). REs exercise significant influence over the spatial distribution of soil properties in agricultural landscapes

(MacMillan et al., 2000; Brubaker et al., 1993; Miller et al., 1985). Grid cells with negative RE are likely to be downslope, and hence accumulating water and sediments and to develop thicker and more fertile soil layers. Grid cells with a positive RE are likely to be upslope, and hence sources of water and sediments and to develop thinner and poorer soil layers. The REs were normally distributed in the whole site, but lower areas (RE < 0) were slightly larger than upper areas (RE > 0) (Fig. 2.4a).

Aspect (AS) (Table 2.2) determines the intensity of accepting radiation. It affects evapotranspiration, snow accumulation and melting (Florinsky and Arlashina, 1998; Young, 1972), and indicates the direction of water movement on slopes and thereby the soil spatial distribution. Areas with an AS of  $0^{\circ} - 90^{\circ}$  and  $270^{\circ} - 360^{\circ}$  (north facing) will receive less radiation energy. These areas were found mainly on hummocky slopes (Fig. 2.2b). All depression areas had greater exposure to radiation with an AS of  $90^{\circ} - 270^{\circ}$  (south face). Degree classes of AS occurred at almost equivalent proportions in the entire study site (Fig. 2.4b). This study site is therefore a typical hummocky landscape.

**Slope (SP)** (Table 2.2) is positively related to flow velocity, and hence erosive power of runoff (Wischmeier and Smith, 1978). Larger SP induces greater flow velocity (Manning's equation, Eq. 2.1) and larger sediment transport capacity of surface flow (Eq. 2.2), so that erosion which is the product of flow volume and transport capacity increases strongly with slope (Morgan et al., 1998a, b). Therefore, the higher the SP, the thinner the A Horizon is at upper slopes in comparison with that at lower slopes. The distribution of SP in the study site corresponds to the RE map (Fig. 2.2a and 2.2c). Higher SPs occur only on the hummocky slopes, and foot slopes possess moderate SPs (Fig. 2.2c). SPs of most grid cells are smaller than 8% (Fig. 2.4c).

$$u = (S^{\frac{1}{2}}r^{\frac{4}{3}})/n \tag{2.1}$$

Where *u* is the velocity of overland flow (cm s<sup>-1</sup>), *S* is the slope (cm cm<sup>-1</sup>), *r* is the hydraulic radius (cm), and *n* is Manning's surface roughness (cm<sup>-1/3</sup> s).  $TC = c(uS - 0.4)^{\eta}$  (2.2)

Where TC is the sediment transport capacity of overland flow (g cm<sup>-3</sup> water), and c and  $\eta$  are experimentally-derived coefficients depending on soil particle size.

**Catchment area (CA)** (Table 2.2) measures the upslope source area of water, sediment and nutrients for each grid cell. The large CAs occur at foot slopes and depressions, and largest CAs are found mainly in the main flow path network (Fig. 2.2d). The number of grid cells decreases exponentially from small to large CAs, and almost half of all grid cells in the entire site have CAs that include only their own local cell areas  $(10\times10 = 100 \text{ m}^2)$  (Fig. 2.4d). **Special catchment area (SCA)** (Table 2.2) indicates the ratio of upslope source area to contour width of a grid cell. Larger SCAs exist at foot slopes and convergences, but smaller SCAs occur at depressions, top slopes and summits because of their larger contour width or smaller upslope source area (Fig. 2.2e). One-third of grid cells have a 10 m<sup>2</sup> m<sup>-1</sup> SCA, but no grid cell has a SCA about 5 m<sup>2</sup> m<sup>-1</sup> (Fig. 2.4e). Large CA or SCA values indicate a large collection area of water and sediments, therefore, thick and fine soil layers with high nutrient and water contents are more likely to develop.

Total upslope length (TUL) and longest upslope length (LUL) (Table 2.2) are the lengths of up-stream flow paths of water into a local grid cell, and indicate the distance covering which suspended sediment flows to the grid cell, and also indirectly indicate the source area of water and sediment. Grid cells with larger values of TUL and LUL are more likely to accumulate water and sediment or to suffer strong water erosion because of greater detachment along longer flow paths with higher runoff velocity. These grid cells will likely receive fewer coarse soil particles suspended in water because flow distance is longer and coarse particles settle rapidly during overland flow, resulting in a finer soil texture, relatively higher nutrient and water contents, and thicker soil layers. Large values of TUL and LUL always occur at foot slopes and depressions (Fig. 2.2f and 2.2g). Their distributions over the entire site coincide with the distribution of CA (Fig. 2.2d, 2.2f, and 2.2g). Large LUL and TUL are found in the main flow path network, therefore water, solutes and sediment accumulate at lower landscapes where LUL and TUL are large below hummocky hills. However, more than 80% of grid cells in the study site have small TUL and LUL values (Fig. 2.4f and 2.4g), and a few grid cells have large TUL and LUL values as shown in Fig. 2.2f and 2.2g.

Inverse Wetness Index (IWI) (Table 2.2) is the ratio of slope to catchment area (CA). IWI indicates the time and amount of water and sediment that will stay in a grid

cell (Fig. 2.3). Therefore, IWI relates to surface soil water content and sediment deposition in an opposite way to how the wetness index relates (Park and Burt, 2002; Gomez-Plaza et al., 2001). In grid cells with smaller IWI, more sediment is deposited on the surface and more water infiltrates into deeper soil layers. Biological processes of soil formation in these cells are active because of better soil water conditions. As a result, a finer and thicker soil is developed. Because CAs are large at depressional and foot slope areas and the IWIs are therefore low (Fig. 2.2h), water and sediment will stay at foot slopes and depressions for long times. With small CAs and shallow slopes at summits, the low IWIs mean less sediment deposits and lower soil water contents. Large IWIs occur at middle to top slopes because of steep slopes. These areas are the most important source areas of water and sediment as was found in <sup>137</sup>Cs research conducted on hummocky landscapes (Pennock, 2003). Almost half of grid cells in the study site have IWI near zero, and the number of grid cells decreases exponentially from low to high IWIs (Fig. 2.4h).

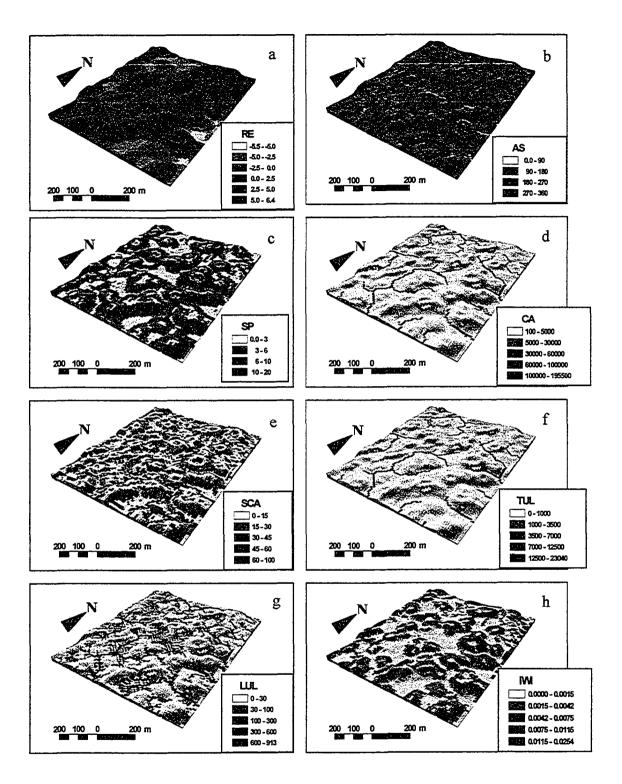
Stream Power Index (SPI) (Table 2.2), which is the product of SP and CA, is the potential erosive power of overland water flows (Florinsky and Arlashina, 1998; Moore et al., 1991). A grid cell with larger SPI likely has coarser soil texture, a thinner surface soil layer, and lower water and nutrient contents due to strong water erosion. Large SPIs occur at lower slopes because CAs are quite large and slopes are moderate (Fig. 2.2i), therefore, strong erosion generally happens at lower slopes. Erosion would be less at summits and depressions because SPIs are very small with almost no slope. The number of grid cells decreases exponentially from low to high SPI values (Fig. 2.4i). More than half of grid cells have SPI near zero. Large SPI indicating likelihood of strong erosion happens only in less than 5% grid cells in the study site.

**Plan Curvature (PLC)** (Table 2.2) is the rate at which slope changes in the general contour direction and indicates flow convergence or divergence across the dominant slope (Fig. 2.3). Flows diverge when PLC > 0, and flows converge when PLC < 0. When PLC is negative, surface flow is accelerated, increasing erosion and resulting in thinner and coarser soil layers. When PLC is positive, surface flow is decelerated, and erosion decreases and sediment is deposited. Therefore, soil layers are thicker and texture is finer. The irregular distributions of divergent and convergent grid cells reflect the characteris-

tics of a hummocky landscape (Fig. 2.2j). The highest PLCs occur mainly in summits and foot slopes, while the lowest PLCs exist mainly at the upper slopes and convergences (Fig. 2.2j). However, similar proportions of divergence and convergence areas imply a complex topographic landscape (Fig. 2.4j).

**Profile curvature (PFC)** (Table 2.2) is rate at which slope changes in the direction of the dominant flow path. A negative PFC indicates a concave slope (micro-valley), while a positive one is a convex slope (micro-hill) (Minasny and McBratney, 2001). PFC indicates accelerating or decelerating flow along convex or concave slopes (Shary et al., 2002; Fig. 2.3). Generally, erosion occurs at convex slopes (PFC > 0), while deposition occurs at concave slopes (PFC < 0). As a result, surface soil layers are thinner, textures are coarser, and nutrient and water contents are lower at convexities than at concavities. Convexities exist mainly at upper slopes and saddles, and the highest PFCs are at top slopes (Fig. 2.2k). Concavities occur at lower slopes and depressions, and the lowest PFCs exist mainly at foot slopes (Fig. 2.2k). Concavities and convexities have the same proportion in the study site (Fig. 2.4k).

Topographic complexity index (TCI) (dimensionless, Table 2.2) indicates threedimensional micro-terrain shapes within a given influencing radius at a grid cell. TCI estimates the sedimentation and transport capacities of overland flow (Park et al., 2001). Positive and negative TCIs are found in gilgai microrelief (Breemen and Buurman, 2002). Positive TCI means that the elevation of the grid cell is lower than those of surrounding grid cells (the gilgai depressions), so that the grid cell acts as a sink of water and soil materials, and has stronger eluviation, shrinking and swelling processes, which lead to the formation of a thick, fine surface soil layer, and high water and nutrient contents. Negative TCI means that the elevation of the grid cell is higher than those of surrounding grid cells (the gilgai mounds), so that the grid cell acts as a source of water and soil materials, and has weaker shrinking and swelling processes, which result in a thin and coarse surface soil layer and low water and nutrient contents (Breemen and Buurman, 2002). The similar proportion of positive and negative TCIs in the study site (Fig. 2.41) indicates that the number of grid cells which will be sources and sinks of water and soil material is similar from a three-dimensional topographic view. Foot slopes and upper slopes are the main sink and source areas, respectively (Fig. 2.21).



(Continued on next page)

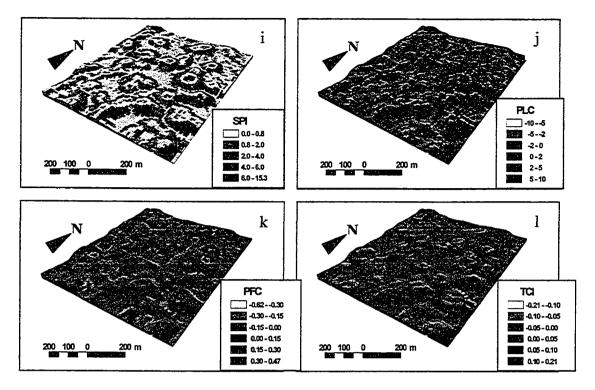


Figure 2.2: The terrain attribute maps of the whole study site. The units are m for RE, TUL and LUL; degree for AS; % for SP;  $m^2$  for CA;  $m^2 m^{-1}$  for SCA; m  $m^{-3}$  for IWI;  $m^3 m^{-1}$  for SPI; degree  $m^{-1}$  for PLC and PFC; and no unit for TCI.

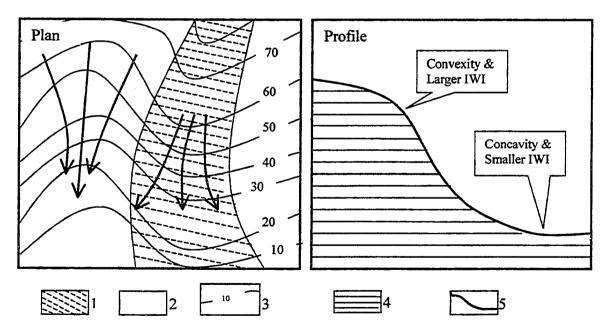


Figure 2.3: The slope shape described in plan and profile curvature. 1 - divergence area, 2 - convergence area, 3 - contour lines, 4 - soil body, 5 - soil surface.

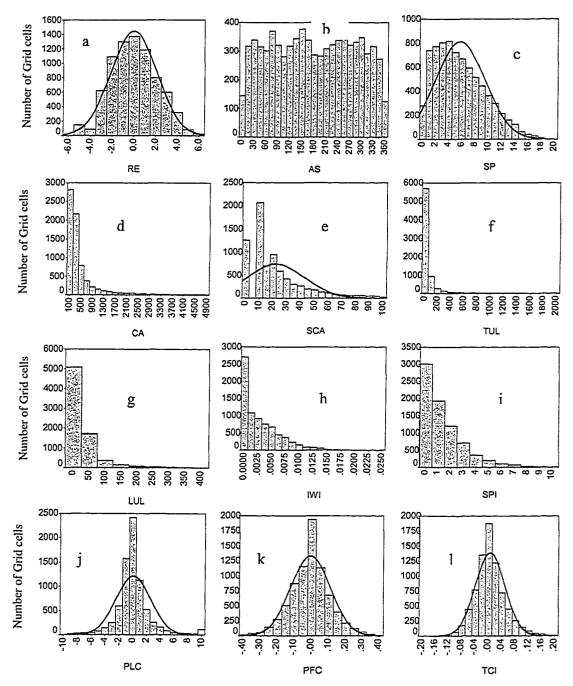


Figure 2.4: The distributions of terrain attributes derived from whole study site. The total amount of grid cells were 7663 with grid cell size  $10 \times 10$  meters. The units are m for RE, TUL and LUL; degree for AS; % for SP; m<sup>2</sup> for CA; m<sup>2</sup> m<sup>-1</sup> for SCA; m m<sup>-3</sup> for IWI; m<sup>3</sup> m<sup>-1</sup> for SPI; degree m<sup>-1</sup> for PLC and PFC; and no unit for TCI.

The preceding terrain attributes were chosen to build correlations between landscape topographic conditions and soil properties because the current hummocky landscape was developed from glacial till through the processes of water and soil redistributions.

# 2.3 Methods

# 2.3.1 Prediction models of soil properties

Stepwise multiple linear model (SMLR), artificial neural network (ANN) and inverse distance weight (IDW) are the common methods used to predict soil properties based on terrain attributes (TAs) (Park and Vlek, 2002; Bishop and McBratney, 2001; McBratney et al., 2000; Ryan et al., 2000; Zhu, 2000). These three methods were used to predict soil properties in a hummocky agricultural landscape at Viking, Alberta, Canada, and the predictions were assessed by independent validation.

Data for horizon thickness (excluding for the C horizon), soil texture, total organic carbon and nitrogen contents, and soil pH in A, B and C horizons in randomly selected 57 soil profiles (Table 2.1) were randomly split into two sub datasets. The calibration dataset consisted of 42 soil profiles used to derive the linear prediction functions in SMLR, to generate the neural network in the ANN, or to perform IDW interpolation in ArcGIS. The independent validation sub dataset consisting of the remaining 15 soil profiles was used to validate the SMLR, ANN or IDW models. During validation and prediction processes, the best two functions of texture were directly used to estimate two of silt, clay and sand contents, and third one depended on the estimated two and was subtracted from 100.

#### 2.3.1.1 Stepwise multiple linear model (SMLR)

A multiple linear regression function was developed for each soil property in each horizon (Table 2.1) by relating 12 TAs (x) to each soil property (y) in each of the 42 soil profiles in the calibration dataset using forward stepwise multiple linear regression methods. The TAs for the grid cells in which the 42 soil profiles in the calibration dataset were located were added into and removed from a multiple linear regression equation to develop the function with the highest correlation and lowest root mean square of error between each predicted and measured soil property. A FORTRAN algorithm was developed to perform this calibration process (Appendix 2.1). This process was repeated for each soil property presented in Table 2.1 to develop 20 different SMLR functions. The advantage of the SMLR was that the non-significant TAs were eliminated from prediction functions, so the predictions of unknown points in soil properties were simplified.

# 2.3.1.2 Artificial neural network (ANN)

The ANN used in this paper is a multiple layer, back propagation neural network with one hidden layer of 5 nodes and one node in the output layer (Fig. 2.5). Each node in the input layer was one of the 12 TAs, and input values of which were normalized from 0 to 1. The node in the output layer was the predicted soil property of which was also normalized from 0 to 1. The values of each node in the hidden layer ( $H_j$ ) resulted from a hyperbolic tangent function (for non-linear relationship) transformation of the products ( $x_j$ ) of input values in the input layer ( $I_i$ ) and a weighting factor between input and hidden layers ( $R_{i,j}$ ) (Appendix 2.2: Eqs. A2.1 and A2.2). The output value ( $Y_o$ ) resulted from a hyperbolic tangent function transformation of the products ( $x_o$ ) of values in the hidden layer ( $H_j$ ) and a weighting factor between hidden and output layers ( $R_{i,o}$ ) (Eqs. A2.3 and A2.4). The sum of squared errors ( $E^i$ ) between predicted and measured soil properties and weighting factors ( $W^i$ ) at a training iteration (t) were respectively calculated from Eqs. A2.5 and A2.6. Each weight-ing factor ( $R_{i,j}$  and  $R_{j,o}$ ) was reassigned by Eq. A2.7 for the next iteration. The learning rate ( $\alpha$ ) and momentum ( $\beta$ ) for controlling the convergence rate to final results were fixed (Eq. A2.7).

The calibration dataset was divided into two parts. The internal training data with randomly 85% of input data combinations (e.g. 35 combinations) in the calibration dataset were used to derive the weight factor array (Fig. 2.5), called the neural network topography by following Eqs. A2.1 to A2.7. The remaining calibration data (7 data combinations) were used to test the neural network topography. This process was called the internal test. In this process, the I<sub>i</sub> (Eq. A2.1) layer was replaced by normalized terrain attributes of the internal test grid cells to get predicted *Y*'(soil properties) (Eqs. A2.1 to A2.4), then the root mean square error (*RMSE*) was calculated by comparing predicted values with normalized measurements (Eq. A2.8) before reassigning the weighting factors. After reassigning all weighting factors by Eqs. A2.5 to A2.7, the internal training and testing processes were repeated until one of following three conditions was achieved. 1) *RMSE* in the internal test reached an acceptably low value (normally, *RMSE*  $\leq$  1% or 5% of mean of normalized measurements of soil property), 2) *RMSE* was not improved with further iteration, 3) Training reached a set maximum number of iterations (20000).

The calibration processes of ANN were repeated for each soil property in each horizon (Table 2.1) to get 20 different neural network topographies. When the final neural network topography was used to predict soil properties, the Y' was denormalized by the same function used to normalize values of soil properties used in developing the neural network topography.

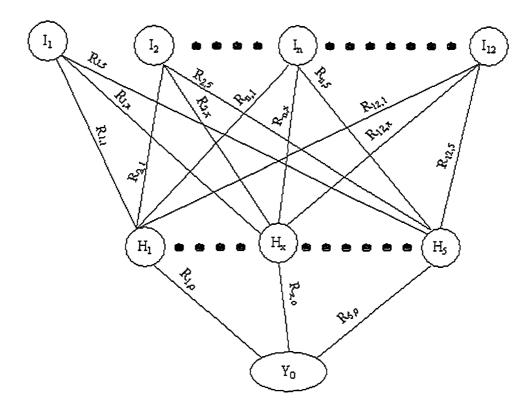


Figure 2.5: The architecture of artificial neural network (ANN).  $I_1$ ,  $I_2$ , to  $I_{12}$  are the input layer of 12 terrain attributes.  $H_1$  to  $H_5$  are the nodes of hidden layer.  $Y_0$  is a single node of output layer. R is the weighting rate from one layer to next layer.

# 2.3.1.3 Inverse distance weight (IDW)

IDW is a spatial surface interpolating function of ArcGIS 8.2. The basic assumption of IDW is that things that are close to one another are more alike than those that are farther apart. IDW assumes that each measured point has a local influence that diminishes with distance. IDW will estimate the soil properties of a grid cell by using its surrounding grid cells at which the soil properties have already been measured. Those soil properties measured closest to the grid cell will have more influence on the predicted value than will those farther away. The interpolation was repeated for each soil property in Table 2.1.

### 2.3.2 Validation of prediction models

The predicting capabilities of SMLR, ANN and IDW were evaluated through independent validations after the regression functions (SMLR) and neural network topographies (ANN) were obtained, and interpolations (IDW) had been done for all soil properties in Table 2.1. All soil properties in the validation dataset predicted by derived SMLR functions or neural network topographies in ANN, and interpolation in IDW. These validations were then evaluated through statistical test.

#### 2.3.2.1 Predictions of soil properties in validation dataset

In SMLR, the TAs from each data combination in the validation dataset replaced corresponding TAs in the regression function for each soil property (Table 2.1) to calculate 15 predicted values for each soil property, which were compared with measured values.

In ANN, the TAs associated with each soil property (Table 2.1) in the validation dataset replaced the corresponding TAs in the input layer of neural network topography to calculate predicted values through Eqs. A2.1 to A2.4 (Appendix 2.2), which were compared with measured values.

In IDW, interpolated soil property values for the 15 profiles in the validation dataset were compared with measured values.

# 2.3.2.2 Statistical analysis of model validation

Pearson correlation in SPSS statistical software was used to study the relationships between individual TAs and soil properties. Correlations ( $R^2$ ), normalized mean square error (NMSE) and mean absolute error (MAE) between predicted and measured soil properties in the validation dataset (Eqs. 2.3, 2.4 and 2.5, Park and Vlek, 2002; Gobin et al., 2001) were used to evaluate SMLR, ANN and IDW models. In equations 2.3 to 2.5, *n* represented the validating dataset size (15), *O* and *P* were the measured and predicted values respectively, while  $\overline{O}$  and  $\overline{P}$  were the means of measured and predicted values respectively,  $s^2$  was the variance of a measured soil property. The  $R^2$  and NMSE allowed for a direct comparison of model performance for different soil properties. The *MAE* and *NMSE* represented the absolute and relative uncertainty of model prediction. The error ratio (*ER*) calculated for each soil property in each profile (Eq. 2.6) evaluated the probability of different error classes over all soil properties in the validation dataset under SMLR, ANN and IDW by using the histogram method in SPSS.

$$R^{2} = \frac{(\sum_{i=1}^{r} (O_{i} - \overline{O})(P_{i} - \overline{P}))^{2}}{(\sum_{i=1}^{r} (O_{i} - \overline{O})^{2})(\sum_{i=1}^{r} (P_{i} - \overline{P})^{2})}$$
(2.3)

$$NMSE = \frac{\frac{1}{n}\sum_{i=1}^{n} (O_i - P_i)^2}{s^2}$$
(2.4)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} \frac{abs(O_i - P_i)}{O_i}$$
(2.5)

$$ER = \frac{O_i - r_i}{O_i} \tag{2.6}$$

#### 2.3.3 Data management

Because input and output datasets were large and impossible to manage manually, the Landscape Soil Property Predictor (LSPP) was developed to perform data management, including calibration of SMLR and ANN models, and prediction of soil properties for

specific landscape locations and the entire site with calibrated SMLR and ANN models (Fig. 2.6). IDW was a geostatistical model, for which interpolation could be done in ArcGIS 8.2, therefore it was not integrated into LSPP. The TAs were calculated in ArcGIS and TauDEM, and the results were integrated into text (\*.txt), database (\*.dbf) or comma delimited (\*.csv) files. Output files were represented in a

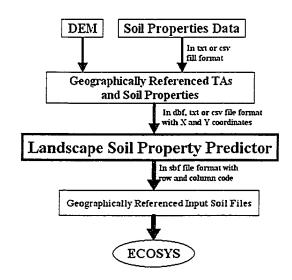


Figure 2.6: The data flow diagram accompanying landscape soil property predictor (LSPP).

dbf format. The dbf file could be converted into a map format (Appendix 2.3A and 2.3C). LSPP included a main interface (Appendix 2.3A) for file management, a function interface (Appendix 2.3B) for performing calibration and validation of SMLR and ANN, and a mapping interface (Appendix 2.3C) for converting text data into a map.

#### 2.3.4 Sensitivities of N<sub>2</sub>O emissions to uncertainties of soil properties

A sensitivity analysis of N<sub>2</sub>O emissions to uncertainties in soil properties was conducted to determine whether the soil properties predicted by LSPP were accurate enough to simulate landscape N<sub>2</sub>O emissions. *3D-Ecosys-GIS* (refer to Chapter 3 for details) was used to simulate N<sub>2</sub>O emissions over the sub site F1 (Fig. 2.1). *Ecosys*, which is the core of *3D-Ecosys-GIS*, is a three-dimensional biological, biochemical and physical process model, which has the capability to simulate landscape N<sub>2</sub>O emission (Grant and Pattey, 2003; Grant et al., 1993a, 1993b). Predicted soil properties varying within uncertainty ranges (See 2.3.4.1) were used to initialize the *3D-Ecosys-GIS* model. Sensitivities of landscape N<sub>2</sub>O emissions to uncertainties of predicted soil properties were evaluated by comparing the differences of modeled N<sub>2</sub>O emissions from different allocations of soil properties to grid cells within ranges of uncertainty established during LSPP validation (Fig. 2.1).

#### 2.3.4.1 Allocation of soil properties

The uncertainty of each soil property was defined as the standard deviation of ER (Eq. 2.6) between predicted and measured soil properties in the validation dataset. For each grid cell of 323 grid cells in sub site F1, horizon thickness, soil texture, and total organic carbon and nitrogen contents in A and B horizons were randomly allocated within their uncertainties according to combinations in Table 2.3 (e.g. predicted values  $\pm$  random values within uncertainty). Twelve combinations (Table 2.3) were used to identify the soil properties to which N<sub>2</sub>O emissions were the most sensitive. Emissions from these combinations were compared with those from a control combination (CC) which directly used predicted soil properties without any changes. In the 3D-Ecosys-GIS, uncertainties in soil texture affected soil hydraulic properties, thus soil water content and gas diffusivity, and thereby N<sub>2</sub>O emissions. Uncertainties in TOC and TON affected available sources of carbon oxidation, nitrogen mineralization, nitrification and denitrification. Uncertainties in horizon thick-ness affected total amount of TOC, TON and air-filled porosity. Considering that the generation and emission of N<sub>2</sub>O often occurs in upper 10 cm soil layers (Payne, 1991), the uncertainties in soil properties of the C horizon were not included in this study. Soil pH was excluded in this study because of its

small spatial variation (Table 2.1). Uncertainties of TOC and TON coincided because measured soil organic C:N ratios varied little through the landscape.

Table 2.3: The combinations of soil properties to be allocated for testing sensitivity of  $N_2O$  emissions to uncertainties of predicted soil properties in the hummocky agricultural landscape at Viking, Alberta, Canada. + indicates the soil property was included in a combination of predicted values  $\pm$  random uncertainties.

Combination		Horizon	A		Horizon B				
code <sup>¶</sup>	Thickness	Texture	TOC	TON	Thickness	Texture	TOC	TON	
CC									
ABHTCN	+	+	+	+	+	+	+	+	
ABH	+				+				
ABT		+				+			
ABCN			+	+			+	+	
AHTCN	+	+	+	+			1		
AH	+								
AT		+							
ACN			+	+					
BHTCN					+	+	+	+	
BH					+				
BT						+			
BCN							+	+	

<sup>1</sup>: The letter A and B indicate horizon A and B respectively, H is the horizon thickness, T is the soil texture, and CN is TOC and TON. CC is control combination in which soil property data is taken directly from prediction results.

# 2.3.4.2 Simulation of N<sub>2</sub>O missions

Only one model run for each combination in Table 2.3 was started on January 1, 1999, and ended on December 31, 2001 because of the limitation of computation facility. Except for soil properties, all other inputs of *3D-Ecosys-GIS* including soil and crop management, and hourly climate data were the same for all runs. The crops were canola in the very wet year 1999 (500 mm precip. vs. average of 359 mm), wheat in the wet year 2000 (451 mm precip. > average), and barley in the normal year 2001 (332 mm precip.  $\approx$ average). The outputs in 1999 were not used in the following assessment, in order to exclude the effects of initialized inorganic nitrogen contents on N<sub>2</sub>O emissions.

# 2.3.4.3 Assessing sensitivities of modeled N<sub>2</sub>O emissions

The modeled annual  $N_2O$  emissions of sub site F1 (3.23 ha. in Fig. 2.1) in the wet year 2000 and the normal year 2001 were used to assess sensitivities of  $N_2O$  emissions to

uncertainties of soil properties. Uncertainties of  $N_2O$  emissions (*ER* in %) were calculated from Eq. 2.6, where  $O_i$  and  $P_i$  were the annual  $N_2O$  emissions of sub site F1 from CC and one of the combinations in Table 2.3, respectively. The uncertainties of  $N_2O$  emissions were evaluated by comparing them with the uncertainties of current measure-ment methods reviewed from literature. Predicted soil properties from LSPP could be used to simulate landscape  $N_2O$  emissions, unless these sensitivities were greater than the uncertainties in current measurement methods.

# 2.4 Results

#### 2.4.1 Relationships between terrain attributes and measured soil properties

In the A horizon, decreases of PFC from convexities (PFC > 0) to concavities (PFC < 0) were associated with increased horizon thickness, silt, TOC and TON contents, and with decreased clay content (Table 2.4). Decreases of PLC from divergences (PLC > 0) to convergences (PLC < 0) were associated with decreased horizon thickness, silt, TOC and TON contents, and with increased clay and sand contents and soil pH. Decreases of RE from upper to lower positions were associated with increased horizon thickness, silt, TOC and TON contents, and with decreased clay content. When SP increased from shallow to steep, silt content and soil pH decreased. With larger CA and TCI, and longer TUL and LUL, clay content declined, but silt, TOC and TON contents rose. Moreover, horizon thickness increased, and sand content and soil pH decreased with longer LUL. With higher TCI, horizons were thicker and soil pH was lower. When IWI increased, horizon thickness, silt, TOC and TON contents decreased, but clay content increased. These relationships between TAs and soil properties were similar in the B and C horizons, but were less pronounced. These relationships were consistent with the expectations described for these TAs in 2.2.3.

The correlations between TAs and soil properties were also consistent with those found in other research (Oztas et al., 2003; Wang et al., 2001; Osher and Buol, 1998; Gessler et al., 1995; Bell et al., 1994; King et al., 1994; Moore et al., 1993; Carter and Ciolkosz, 1991; Odeh et al., 1991; Martz and de Jong, 1990; Kachanoski et al., 1985a, b), especially on hummocky landscapes over glacial till as in this study (Pennock and Corre, 2001; Pennock et al., 1987). These relationships are frequently found, but may vary with

derived from a DEM of the I Terrain	Thickness		Texture			nical Prop	oerties
Attributes <sup>§</sup>	of Horizon	Clay	Silt	Sand	pН	TOC	TON
		Horizon	A				
Profile curvature (PFC)	-0.52**	0.40**	-0.40**	0.15	0.22&	-0.62**	-0.60**
Plan curvature (PLC)	0.64**	-0.34*	0.55**	-0.34*	-0.33*	0.58**	0.59**
Relative elevation (RE)	-0.51**	0.50**	-0.32*	0.02	0.24&	-0.60**	-0.59**
Slope (SP)	-0.13	0.07	-0.30*	0.24&	-0.35**	-0.18	-0.16
Aspect (AS)	-0.06	-0.09	0.02	0.04	-0.05	-0.09	-0.11
Catchment area (CA)	0.19	-0.28*	0.45*	-0.26&	-0.19	0.38**	0.39**
Total upslope length (TUL)	0.19	-0.28*	0.44**	-0.25&	-0.18	0.38**	0.39**
Longest upslope length (LUL)	0.43**	-0.29*	0.55**	-0.36**	-0.27*	0.52**	0.53**
Special catchment area (SCA)	-0.10	0.02	-0.24&	0.21	-0.09	-0.01	-0.02
Terrain complex index (TCI)	0.57**	-0.37**	0.39**	-0.17	-0.27&	0.68**	0.66**
Stream power index (SPI)	-0.10	0.01	-0.24&	0.21	-0.22&	-0.04	-0.02
Inverse wetness index (IWI)	-0.55**	0.40**	-0.46**	0.21	0.15	-0.62**	-0.60**
		Horizon	В				
Profile curvature (PFC)	-0.15	0.08	-0.37**	0.28**	0.34*	-0.33*	-0.34**
Plan curvature (PLC)	0.19	0.13	0.41**	-0.44**	-0.43**	0.38**	0.47**
Relative elevation (RE)	-0.39**	-0.20	-0.14	0.32*	0.09	0.03	-0.01
Slope (SP)	0.47**	-0.12	-0.28*	0.29*	-0.21	-0.27&	-0.32*
Aspect (AS)	-0.07	-0.23&	0.03	0.07	-0.05	-0.04	-0.06
Catchment area (CA)	0.02	0.06	0.17	-0.21	-0.34**	-0.01	0.00
Total upslope length (TUL)	0.02	0.06	0.16	-0.20	-0.34**	-0.01	-0.01
Longest upslope length (LUL)	0.11	0.23&	0.30*	-0.44**	-0.39**	0.06	0.09
Special catchment area (SCA)	0.18	0.03	-0.17	0.13	0.14	-0.15	-0.14
Terrain complex index (TCI)	0.16	-0.01	0.39**	-0.39**	-0.48**	0.42**	0.44**
Stream power index (SPI)	0.42**	-0.03	-0.21	0.20	-0.05	-0.23&	-0.24&
Inverse wetness index (IWI)	-0.14	-0.17	-0.22&	0.37**	0.26&	-0.28*	-0.31*
		Horizon	<u>C</u>				
Profile curvature (PFC)		0.16	0.05	0.26&	0.32*	0.22&	0.04
Plan curvature (PLC)		-0.42**	-0.1	-0.39**	-0.46**	-0.21	-0.09
Relative elevation (RE)		0.43**	0.11	0.30*	0.34**	0.32*	0.16
Slope (SP)		0.01	-0.19	-0.02	-0.06	-0.21	0.18
Aspect (AS)		0.32*	0.30*	0.13	0.19	0.21	0.28*
Catchment area (CA)		-0.15	-0.10	-0.32*	-0.36**	-0.13	-0.09
Total upslope length (TUL)		-0.14	-0.10	-0.32*	-0.36**	-0.13	-0.08
Longest upslope length (LUL)		-0.32*	-0.21	-0.45**	-0.51**	-0.22&	-0.10
Special catchment area (SCA)		-0.04	-0.09	0.06	0.04	-0.11	-0.01
Terrain complex index (TCI)		-0.31*	-0.09	-0.38**	-0.44**	-0.25&	-0.13
Stream power index (SPI)		-0.07	-0.18	-0.01	-0.06	-0.23&	0.05
Inverse wetness index (IWI)		0.31*	0.07	0.29*	0.34**	0.22&	0.10

Table 2.4: Pearson correlation (R) between measured soil properties and their local TAs derived from a DEM of the hummocky landscape at Viking, Alberta, Canada.

\*\*: Significance level 0.01; \*: Significance level 0.05; &: Significance level 0.10.<sup>§</sup>: For abbreviations of terrain attributes, see Table 2.2. "AS" was transformed by cosine function.

the environment, geomorphology and pedology in different landscapes. Therefore, soil properties could be predicted from TAs, but the prediction functions and TAs to be used in these functions would vary according to local morphological, pedological and environmental conditions. In the hummocky agricultural landscape, landscape position which was indicated by RE, macro and micro slope shape (PFC, PLC and TCI), and the TAs that described water and sediment movement (TUL, LUL, CA and IWI) were the most important predictors of soil properties. PLC was the most consistent predictor across all soil properties in the A and B horizons.

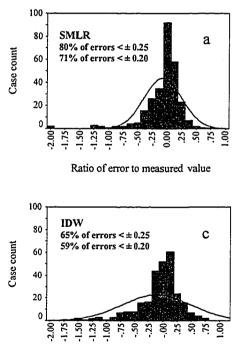
In some cases, however, relationships between TAs and soil properties were contrary to the expected relationships described in 2.2.3. For example, SP and SPI were positively related to thickness of the B horizon. Some TAs were found not to be useful predictors of soil properties. SCA was not significantly related to any soil properties, therefore, they would not be important predictors of soil properties in the hummocky agricultural landscape at Viking (Table 2.4). SPI was significantly related only to thick-ness of the B horizon, but was not an important TA for other soil properties. AS was only positively and significantly related to TON and clay and silt contents of the C horizon, therefore, it was important for the prediction of these soil properties. In addition, no apparent predictors existed among all the TAs for clay content of the B horizon, and the silt and TON contents of the C horizon.

Some TAs, such as PFC in the B horizon, and PFC, RE and IWI in the C horizon positively correlated to soil pH while CA and TUL in the B and C horizons negatively correlated to soil pH. This implies the pH of deeper soil horizons was easier to predict by TAs than that of upper horizons. These TAs determined the amount of water and solute collection and the vertical translocation of salt and free ions, especially the basic cations, hence the concentration of soil solution, thereby the soil pH (Kovda et al., 1992). The relationships of TOC and TON to TAs were same in A, B and C horizons. Topography weakly influenced the TOC and TON of the C horizon mainly because of very low TOC and TON (Table 2.1).

#### 2.4.2 Validation of SMLR, ANN and IDW models

Table 2.5 presents the results of independent validation for predicted versus measured soil properties in the validation dataset (15 data combinations). Each of the three models (SMLR, ANN and IDW) described earlier can partially explain variation in individual soil properties in the landscape. Predicted results for the A horizon were generally in better agreement with measured values than were those for the B and C horizons.

Soil properties predicted by SMLR, except sand content in the A horizon and TON and TOC in the C horizon, were significantly correlated to measured values ( $R^2 \ge R^2_{critical}$ = 0.26 at P  $\le$  0.05) with quite low bias (*MAE* < 0.4, Eq. 2.5). Variances of prediction errors were smaller than variances of measured values (*NMSE* < 1, Eq. 2.4). The error ratios (*ER*, Eq. 2.6) of 300 cases (20 soil properties × 15 soil profiles) predicted by SMLR were nearly normally distributed (Fig. 2.7a). Soil properties estimated by SMLR were within 25% of measured soil properties in 80% of cases. The standard deviation of *ER* (uncertainties for all cases) ranged from -39% to 25% of measured soil properties.



Ratio of error to measured value

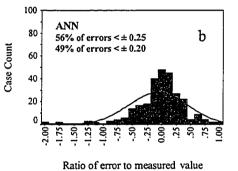


Figure 2.7: The distribution of error ratio calculated by Eq. 2.6 for all predicted cases in the validation. Solid line indicates the normal distribution of ratio of error to measured value.

All soil properties of the A horizon predicted by ANN, except clay content, were significantly correlated with measured values ( $R^2 \ge 0.26$ , Table 2.5). The predicted thickness, texture and TOC of the B horizon, and texture and pH of the C horizon were

also significantly correlated with measured values. However, *NMSE* and *MAE* from ANN were larger than those from SMLR. ANN predicted 56% of soil properties within 25% of the measured values and the *ER* had a nearly normal distribution. Predicted uncertainties were from -56% to 44% of measured soil properties (Fig. 2.7b).

Methods			SML	R		ANN			IDW		
Items		R <sup>2</sup>	MAE	NMSE	R <sup>2</sup>	MAE	NMSE	$\mathbb{R}^2$	MAE	NMSE	
	Horizon Thic	kness	0.64	0.25	0.23	0.67	0.40	0.63	0.29	0.49	0.12
		Clay	0.58	0.13	0.18	0.15	0.22	0.67	0.57	0.15	0.13
n A	Texture	Silt	0.29	0.11	0.68	0.58	0.22	2.48	0.27	0.15	0.80
[ZO]		Sand	0.11	0.08	0.28	0.26	0.22	7.01	0.60	0.08	0.62
Horizon	Chemical	pН	0.35	0.06	0.39	0.36	0.09	1.05	0.04	0.09	0.51
Ξ	Properties	TOC	0.76	0.17	0.26	0.66	0.31	0.69	0.21	0.49	0.39
		TON	0.73	0.16	0.31	0.76	0.25	0.93	0.26	0.44	0.40
	Horizon Thic	kness	0.38	0.38	0.34	0.16	0.70	2.12	0.22	0.76	0.66
		Clay	0.29	0.14	0.22	0.33	0.24	1.51	0.18	0.17	0.32
u B	Texture	Silt	0.38	0.22	0.09	0.37	0.25	0.69	0.21	0.27	0.11
IOZ		Sand	0.42	0.21	0.20	0.35	0.28	0.83	0.18	0.28	0.14
Horizon B	Chemical	pН	0.45	0.08	0.26	0.14	0.13	0.57	0.25	0.13	0.23
јЦ;	Properties	TOC	0.26	0.28	0.39	0.32	0.35	3.44	0.13	0.42	0.74
		TON	0.35	0.23	0.98	0.07	0.49	3.78	0.26	0.38	0.93
		Clay	0.28	0.24	0.07	0.16	0.34	0.07	0.30	0.26	0.14
υ	Texture	Silt	0.38	0.11	0.90	0.52	0.33	1.40	0.11	0.12	0.14
on		Sand	0.42	0.11	0.05	0.20	0.27	0.88	0.01	0.17	0.07
Horizon	Chemical	pН	0.72	0.05	0.01	0.75	0.17	0.55	0.25	0.14	0.06
Нo	Properties	TOC	0.24	0.23	0.17	0.00	0.55	2.50	0.16	0.16	0.29
		TON	0.04	0.74	1.35	0.10	0.40	1.14	0.32	0.60	23.36

Table 2.5: Validation results of measured versus predicted soil properties for three model methods ( $R^2 = 0.26$  at  $P \le 0.05$ ).

Predictions by IDW in thickness, soil texture and TON in the A horizon, TON in the B horizon, and clay and TON contents in the C horizon, were significantly correlated with measured values ( $R^2 \ge 0.26$ , Table 2.5), but not strongly. *NMSE* and *MAE* of IDW were similar to those of SMLR. IDW predicted 65% of soil properties within 25% of the measured values, and *ER* also had a nearly normal distribution with uncertainties from - 72% to +50% (Fig. 2.7c).

In summary, SMLR was the best method to predict soil properties using a small calibration dataset (42 data combinations). IDW had a low predicting ability, especially when IDW predicted soil properties of grid cells which were outside the spatial range of

those of the training data. ANN had poor predicting ability because of the limitation of the small calibration dataset size in this study. The left skew of the *ER* distribution implied that SMLR, ANN and IDW overestimated soil properties, sometimes by as much as two times (Fig. 2.7) according to Eq. 2.6.

# 2.4.3 Prediction functions of SMLR

The correlations ( $\mathbb{R}^2$ ) of prediction functions derived by SMLR were lower than 0.78 (Table 2.6), although the prediction results of SMLR were the best of the three predicting methods in this study. The prediction functions of TOC and TON in the C horizon were not good enough for prediction, resulting in the poor predictions for these two soil properties (Table 2.5).

Most of the terrain attributes that were significantly related to soil properties in Table 2.4 are presented in the corresponding prediction functions in Table 2.6 as predictors. The relationships between individual terrain attributes and soil properties were the same in both tables. However, some terrain attributes, which also affected the soil properties significantly as shown in Table 2.4, were not included in the prediction functions in Table 2.6. For instance, CA was not included in the prediction functions for silt content and TOC of the A horizon, and the LUL was not included in the prediction for pH of the A horizon. These terrain attributes were excluded because they were highly correlated with other terrain attributes in the prediction functions.

Terrain	Thickness		Texture		Cher	nical Pro	perties
Attributes <sup>§</sup>	of Horizon	Clay	Silt	Sand	pН	TOC	TON
	(cm)	(%)	(%)	(%)	(%)	(g/kg)	(mg/kg)
		Horizor	пA				
Intercept	25.85	18.45	35.00	46.01	6.71	40.61	3556.11
Profile curvature (PFC)	-10.12	5.99	-18.03			-10.96	-828.83
Plan curvature (PLC)	1.16		0.61	-0.45	-0.07	0.60	58.39
Relative elevation (RE)	-1.25	0.85	0.04			-1.84	-142.28
Slope (SP)			-0.35		-0.07		
Aspect (AS)							
Catchment area (CA)							1.17
Total upslope length (TUL)			0.11			0.13	9.87
Longest upslope length (LUL) Special catchment area (SCA)	0.16		0.09	0.01		0.02	1.88

Table 2.6: The prediction functions of individual soil property derived by SMLR from 42 data combinations in calibration dataset.

Terrain complex index (TCI)	8.74	-2.69	0.02	0.54		75.29	5947.28
Stream power index (SPI)	261 21	00 (1	10.24	0.54		79.40	505 22
Inverse wetness index (IWI) R <sup>2</sup>	-361.31	82.61	-18.34	35.82	0.40	-78.40	-505.33
	0.65	0.43	0.54	0.13	0.42	0.78	0.76
se	7.18	3.10	4.47	5.71	0.54	7.31	629.49
<u>p</u>	<0.01	< 0.05	<0.01	>0.05	< 0.01	< 0.01	< 0.01
÷		Horizon		41.50		10.05	1410.50
Intercept	33.17	28.39	31.01	41.73	7.36	13.95	1412.58
Profile curvature (PFC)		11.92	-24.68	0.53	1.31		
Plan curvature (PLC)	• • • •		0.65	-0.27	-0.06	0.38	46.55
Relative elevation (RE)	-3.08						
Slope (SP)	1.19	-0.16	-0.71	0.69			-28.04
Aspect (AS)							
Catchment area (CA)					-0.0004		
Total upslope length (TUL)							
Longest upslope length (LUL)		0.13		-0.24			
Special catchment area (SCA)	1						
Terrain complex index (TCI)		-19.57	37.80	-25.03	-5.11	52.39	4762.63
Stream power index (SPI)	4.89						
Inverse wetness index (IWI)			1269.66				-7271.91
R <sup>2</sup>	0.50	0.44	0.39	0.51	0.53	0.48	0.50
se	11.45	4.44	6.50	6.26	0.58	4.95	476.62
<u>p</u>	< 0.01	< 0.05	< 0.01	< 0.01	< 0.01	< 0.01	< 0.01
· · · · · · · · · · · · · · · · · · ·		Horizon	C				
Intercept		20.558	37.36	41.08	8.78	6.43	758.23
Profile curvature (PFC)					1.11	2.59	-2007.36
Plan curvature (PLC)		-0.84		-0.02	-0.08	0.07	44.51
Relative elevation (RE)		1.29		0.53	0.05	0.26	72.52
Slope (SP)			-1.07			-0.16	32.03
Aspect (AS)		3.97	8.15				1.09
Catchment area (CA)				-0.00005	5	-0.01	-2.65
Total upslope length (TUL)				-0.33		0.06	21.45
Longest upslope length (LUL)	)	-0.02	-0.06	-0.10	-0.01	0.06	14.06
Special catchment area (SCA)						0.03	-2.15
Terrain complex index (TCI)				-62.08	-5.26	1.12	-7553.19
Stream power index (SPI)						-0.35	
Inverse wetness index (IWI)		159.97			1.40	124.86	
R <sup>2</sup>		0.39	0.39	0.42	0.66	0.15	0.07
se		5.29	5.74	6.45	0.54	2.13	742.79
р		< 0.01	< 0.05	< 0.01	0.00	>0.05	>0.05

 $\frac{1}{8}$ : For abbreviations of terrain attributes, see Table 2.2. "AS" was transformed by cosine function. "se" was the standard error of prediction functions. "p" was the significance level.

Almost all terrain attributes were included in the prediction functions for TOC and TON of the C horizon (Table2.6), because no terrain attribute was significantly related to

TOC and TON of the C horizon (Table 2.4) and SMLR could not identify significant predictors among the terrain attributes. As a result, the lower significance level of prediction functions implied that the TOC and TON of the C horizon could not be predicted well (Table 2.5).

# 2.4.4 Sensitivities of modeled $N_2O$ emissions to uncertainties of predicted soil properties

Because the best predictions of soil properties in LSPP were achieved by SMLR (Table 2.5 and Fig. 2.7), soil properties and their uncertainties (Table 2.7) predicted by SMLR were used to evaluate the sensitivities of  $N_2O$  emissions to uncertainties of soil properties. The uncertainty of each predicted soil property was calculated as described in section 2.3.4.1.

Table 2.7: Uncertainties (%) of predicted soil properties from validation of SMLR with measured soil properties.

Horizon	Thickness	Sand content	Silt content	TOC	TON
A	39	6	11	21	20
В	32	19	27	36	32

Note: The uncertainty of clay content was not presented in this table because the clay content was not necessary input in the *3D-Ecosys-GIS*.

Adding the uncertainties to soil properties generally lowered the modeled total  $N_2O$  emissions of the sub site F1 (Fig. 2.1), but adding the uncertainties to TOC and TON in the B horizon caused an increase (BCN, Table 2.8). The uncertainties of soil properties caused less than 16% uncertainty in modeled  $N_2O$  emission of F1. There were no obvious differences in the uncertainties of  $N_2O$  emissions in the wet (2000) and normal (2001) years. The uncertainties in TOC and TON of the A horizon (ACN combination, Table 2.3) resulted in the largest uncertainty of modeled  $N_2O$  emissions. The uncertainties in horizon thickness and soil texture resulted in small uncertainties in modeled  $N_2O$  emissions. The effects of uncertainties of horizon thickness and soil texture in total  $N_2O$  emissions were offset by those of TOC and TON over the landscape, because the uncertainties of modeled  $N_2O$  emissions from ABHTCN and AHTCN were similar to those from ABCN and ACN respectively (Table 2.8).

Combination <sup>§</sup>		Changes to CC from	(%)
Combination	2000	2001	Average
CC	0.00	0.00	0.00
ABHTCN	-3.97	-3.16	-3.63
ABH	-1.32	-0.50	-0.97
ABT	-5.46	-4.71	-5.14
ABCN	-4.25	-3.36	-3.88
AHTCN	-15.45	-14.94	-15.24
AH	-1.15	0.00	-0.66
AT	-0.89	-0.05	-0.54
ACN	-15.60	-15.21	-15.44
BHTCN	-4.77	-4.39	-4.61
BH	-1.76	-1.19	-1.52
BT	-0.27	-0.31	-0.29
BCN	0.20	0.36	0.27

Table 2.8: The uncertainties of total  $N_2O$  emission in the sub site F1 (3.23 ha.) caused by uncertainties of soil properties.

<sup>§</sup>: For abbreviations of combinations, see Table 2.3.

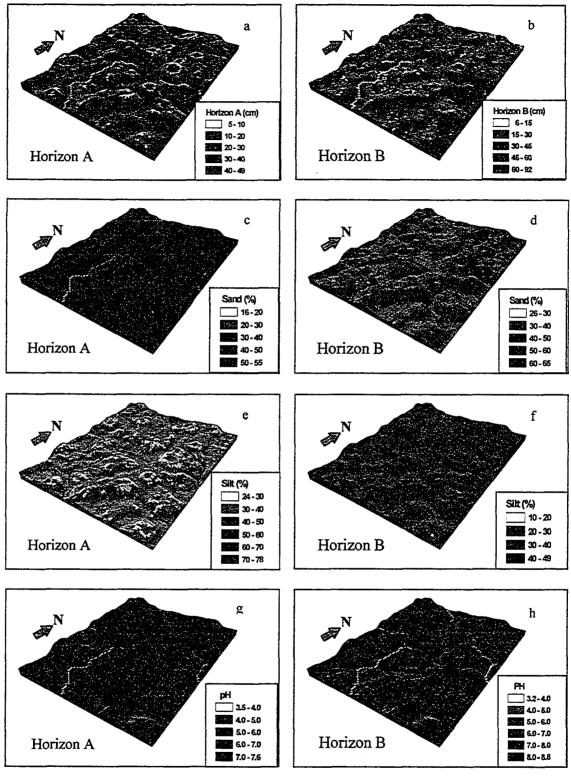
# **2.5 Discussion**

# 2.5.1 Terrain attributes, soil formation and soil properties

The significant relationships of TAs to soil properties (Table 2.4) suggested that TAs governed the redistribution of water, solute and sediment. AS and RE controlled the direction of the redistribution. SP, PFC and PLC changed the speed of water movement resulting in the redistribution of sediment. PFC, PLC, TCI and CA determined locations of erosion or deposition and their extent through changes in speed and amount of surface flow (Eqs. 2.1 and 2.2). IWI and TCI determined the magnitude of illuviation and eluviation. As a result, soil formation was affected as described in 2.2.3, resulting in the heterogeneities of soil properties. A few <sup>137</sup>Cs measurements conducted in Black Chernozem soils in a similar hummocky glacial till landscape at Saskatchewan, Canada, had found that the redistribution of water, solute and sediment in hill slopes in the hummocky agricultural landscape resulted in the spatial differences of soil properties (Pennock et al., 1999, 1994; Pennock and De Jong, 1991, 1987). Large net losses from soil erosion changed to small net losses or net gains from deposition as PFC decreased from convexities to concavities, PLC decreased from divergences to convergences, CA increased from upper slopes to lower slopes, and SP decreased from steep slopes to

shallow slopes (Pennock and De Jong, 1991, 1987). As a result, depth of the A horizon, soil organic matter and total organic nitrogen contents increased, soil pH decreased and soil texture was finer (Pennock et al., 1999, 1994). Similar relationships between TAs and soil properties were found in this study although most of the correlation coefficients were lower than 0.6 (Table 2.4). However, different correlations between individual TAs and soil properties (Table 2.4) implied complex effects of TAs on soil formation. Therefore, no general function could be used to estimate different soil properties, or even the same soil properties in different soil horizons as others have found (Park and Vlek, 2002; Bishop and McBratney, 2001; McBratney et al., 2000; Ryan et al., 2000; Zhu, 2000).

The results of SMLR predicted by the functions in Table 2.6 showed that coarser soil changed to finer and thickness of the A horizon increased from upper to lower slopes (Fig. 2.8a). These results were consistent with conclusions from <sup>137</sup>Cs studies conducted by Zebarth et al. (2002) on a hummocky podzolic landscape in New Brunswick, Canada, and by Pennock et al. (1994) on a Chernozemic soil in a hummocky glacial till landscape in Saskatchewan, Canada. Associated with sediment redistribution, TOC and TON contents increased from upper to lower slopes, and pH decreased. Active surface and subsurface transport (e.g. surface runoff, and vertical and lateral water movement in subsurface soil) selectively removed fine soil particles. Silt and clay with solute were eroded from upper slopes and deposited at concave (PFC < 0) and foot slope areas (PFC < 0, large CA, small SP and IWI) (Figs. 2.2 and 2.8e and f) due to the decrease in velocity of runoff with decreased SP (Eqs. 2.1 and 2.2). Eluviation at positions with large CA, very small IWI and positive TCI resulted in higher clay or silt contents in the B horizon than in the A horizon, and smaller differences of TOC and TON between the A and B horizons (Table 2.1, Figs. 2.2 and 2.8i, j, k and l). Eluviation leached solute and basic cations to deeper horizons, resulting in the increases of predicted and measured pH values (predicted (and measured) average pH = 6.1 (6.1) in the A horizon < 6.9 (6.9) in the B horizon < 7.9 (7.5) in the C horizon; Fig. 2.8g and h). This eluviation was demonstrated by field measurements in a similar landscape and soil type to this study at



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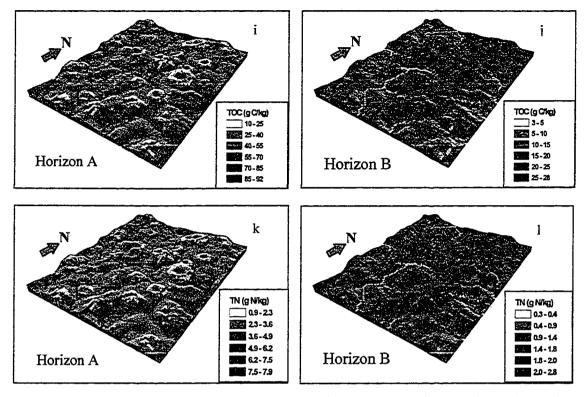


Figure 2.8: Soil properties estimated by SMLR for thickness (a), pH (g), and sand (c), silt (e), total organic carbon (i) and total organic nitrogen (k) contents in A horizon, and thickness (b), pH (h), sand (g), silt (f), total organic carbon (j) and total organic nitrogen (l) contents in B horizon in the hummocky landscape at Viking, Alberta, Canada.

Saskatchewan, Canada (Pennock et al., 1994). At lower slopes, the thicker A horizon and stronger eluviation with large CA and TCI, and negative PFC reduced capillary rise, weakening the effects of carbonate on pH. Strong water leaching at lower slopes also lowered soil pH.

#### 2.5.2 Predictive capability of SMLR, ANN and IDW

IDW as an interpolating method was not good enough to derive soil properties based on a few measured data points on the hummocky landscape (Table 2.5, Fig. 2.7) because of the great variation of the topography. ANN, the nonlinear adaptive model, predicted soil properties less successfully because of its strong dependency on size (35 data combinations) and quality of the training dataset. If the training dataset was too small or did not represent all variations of TAs and soil properties in the study site, the prediction would be poor. Except for a few surface soil properties, the nonlinear functions derived by ANN could not predict soil properties reliably in this study, as was also found by Park and Vlek (2002). SMLR proved to be a more effective method to describe the spatial variations of soil properties in this hummocky agricultural landscape at Viking, Alberta, Canada.

Validation (Table 2.5) indicated that soil properties, except for TON and TOC of horizon C and sand content of the A horizon, had clear correlations with TAs that could be described using a linear statistical model. MAEs from SMLR for all soil properties in this study (25%) were comparable with those of a soil inference system method for pH, soil texture and TOC (4% to 69% in Tables 4, 5 and 6, McBratney et al. 2002). These MAEs were less than uncertainties from multiple linear regression and cokrigingregression methods for soil moisture, residual phosphorus, solum thickness, depth to calcium carbonate and organic carbon content in a Canadian Black Chernozemic soil in a glacial till landscape in Manitoba (~38% in Florinsky et al., 2002). Uncertainties of less than 25% in 80% of predicted soil properties (Fig. 2.7a) were consistent with the results of Moore et al. (1993). Some uncertainty may be attributed to the different spatial scales of the TAs and the measured soil properties. TAs represented the average status in  $10 \times 10$ m grid cells while soil properties were measured in area of 0.5×0.5 m. The uncertainties in the representation of topography generated during the production of the DEM (Goovaerts, 2001) would induce accompanying uncertainty within TAs. These two sources of uncertainty from different resolutions and generation of the DEM resulted in the low coefficients between predicted and measured values (Table 2.5) and some high uncertainties (Table 2.7). With significant correlations  $(R^2)$  and lower biases (MAE and NMSE) (Table 2.5) in comparing predicted soil properties with measured values, the predictions of SMLR were reliable for horizon thickness, texture, pH and TOC and TON in the A, B and C horizons, except for TOC and TON of the C horizon, and could be used to produce detailed soil information maps and for further detailed ecosystem research.

Attempts to predict soil properties from linear regression functions of TAs achieved varying degrees of success. Generally, the predictive capability of regression models was the greatest in the A horizon and less in the deeper soil layers (average  $R^2 = 0.54$  in the A horizon > 0.46 in the B horizon > 0.38 in the C horizon, Table 2.5) because of the higher

correlation between TAs and soil properties of the A horizon (Table 2.4). The TAs would have affected the surface movement of water, solute and sediment much more than vertical and lateral subsurface movement of water, solutes and soil particles during soil formation. Spatial variations of soil properties resulted mainly from active surface runoff, and differentiation of soil properties into different horizons resulted from eluviation and illuviation processes. The variations of soil properties were related to the three-dimensional arrangement of slope geometry and soil development (Park and Burt, 2002 and 1999). The predicted and measured results (Fig. 2.8 and Table 2.1) supported the basic assumption that the functional correlation between soil properties and landform geometry arose from the downslope hydraulic gradient that controlled water movement (Huggett, 1975; Moore et al., 1993).

#### 2.5.3 Sensitivities of N<sub>2</sub>O emissions to uncertainties of soil properties

The random changes of soil properties within 39% (Table 2.7) of predicted values caused less than 16% uncertainty in modeled N<sub>2</sub>O emissions from the sub site F1 (Table 2.8). These uncertainties of modeled N<sub>2</sub>O emissions were smaller than the uncertainties of N<sub>2</sub>O fluxes measured by chamber and were similar to those measured by micrometeorological methods (Laville et al., 1999; Table 2.9), and slightly smaller than the differences in N<sub>2</sub>O emissions measured by chambers with different measuring frequentcies (Table 2.9; Flessa et al., 2002; Crill et al., 2000; Scott et al., 1999; Brumme and Beese, 1992). These uncertainties of modeled N<sub>2</sub>O emissions were comparable to or even smaller than the differences among different measurement methods (Christensen et al., 1996; Table 2.9), and smaller than uncertainties of estimates for regional N<sub>2</sub>O emissions by IPCC and regression methods that are based on soil N, fertilizer, texture, etc. (Table 2.9, Brown et al., 2001; Freibauer, 2003). However, the sensitivity of modeled N<sub>2</sub>O emissions to uncertainties of soil properties differed among soil properties (Table 2.8).

Uncertainties of soil properties in the A horizon caused large uncertainties in modeled  $N_2O$  emissions (Table 2.8). Payne (1991) summarized from numerous N isotope research that denitrification generally occurred in the upper 10 cm soil layer. In the sub site F1, average thickness of the A horizon was 23 cm, and minimum thickness of the A horizon

	Uncertainty or	Measurement	Compared to		1	
Methods	difference	frequency	measurement	Field condition	References	
Chamber	±40%~50%	,		Ileanne feutiliand		
Eddy covariance	±27%	These were unce	rtainties for	Heavy fertilized maize field	Laville et al., 1999	
Gradient estimates	±18%	measured fluxes	from	maize neid		
Fourier transform infrared spectrometers (FTIR)	±6.4%	measurement me	thods		Walsh et al., 1997	
	+40%	Weekly	1 meas. /9 hours	Fertilized potato	Flessa et al., 2002	
	-32% ~ -56%	Monthly	T mods. 79 modes	Portilized polato	Piessa et al., 2002	
Chamber	+6% ~ +49%	Weekly	5 meas./day	Beech	Brumme and Beese, 1992	
Chamber	-40%	Every 3 days	4 meas./day	Grassland	Scott et al., 1999	
	+20%	Daily 4 meas./day		Рарауа	Crill et al., 2000	
	+40%	Per 2.5 days	4 meas./uay	rapaya		
IPCC estimates	>±62%				Brown et al. 2001	
Regression estimates	±29%~64%	For European ag fertilizer, etc.	ricultural soil based	on soil N, texture,	Freibauer A., 2003	
0.008 m <sup>2</sup> closed chamber	-20%	1 meas./day				
0.140 m <sup>2</sup> open flow chamber	-6%	9~24meas:/day	· ·			
Gradient FTIR	-26%	8~24meas./day				
Gradient TDL, MPI <sup>a</sup>	< 1%	1 meas./day	$0.125 \text{ m}^2$			
Gradient TDL, ITE <sup>a</sup>	+61%	1 meas./day	chamber and		Christonson et al. 1006	
Eddy covariance TDL, MPI	+31%.	2~11meas./day	1 meas./day	23 14	Christensen et al., 1996	
Eddy covariance TDL, ITE	+55%	8-24meas./day	i meas./uay			
Conditional sampling TDL, ITE	+88%	1 meas./day		r . 5	, 	
Conditional sampling FTIR	+145%	1 meas./day		•		

<sup>a</sup>: Tunable diode laser spectroscopy (TDL). MPI and ITE indicated the different equipment producer and with different mast closer.

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was 8 cm. Therefore, uncertainties of soil properties in the B horizon caused very small uncertainties in modeled  $N_2O$  emissions.

The uncertainties of TOC and TON caused the largest uncertainty of modeled  $N_2O$  emissions (Table 2.8). Concurrent changes in TOC and TON also meant concurrent changes in the amount of substrates for carbon oxidation and nitrogen mineralization, hence in the demand for electron acceptors and the production of  $NH_4^+$  and  $NO_3^-$ . Therefore, the changes of TOC and TON directly affected  $N_2O$  production and had stronger effects on uncertainty of  $N_2O$  emissions than did other tested soil properties.

In summary, the uncertainties of soil properties predicted by SMLR in LSPP based on the topographic terrain attributes caused uncertainties in modeled  $N_2O$  emissions (Table 2.8). The uncertainties of TOC and TON were the main reasons for uncertainties of modeled  $N_2O$  emissions. However, these uncertainties in modeled  $N_2O$  emissions compared well with the uncertainties found in current measuring and estimating methods (Table 2.9). The soil properties predicted by SMLR in LSPP could be used as soil property inputs of *3D-Ecosys-GIS* to simulate landscape  $N_2O$  emission.

# 2.6 Conclusions

The landscape position, slope shape such as concavity, convexity, convergence and divergence, and factors that describe the redistribution of water, solute and sediment such as upslope stream length, catchment area, micro topographic complex index and inverse wetness index, were the most important predictors of soil properties in the hummocky agricultural landscape. The results of SMLR were more accurate than those of the pure GIS interpolation method – Inverse Distance Weight (IDW), and were more efficient than the complex nonlinear method – Artificial Neural Network (ANN). Uncertainties of SMLR predictions were 20% to 39% for horizon thickness, less than 30% for soil texture, pH, total organic carbon and nitrogen contents, and increased with depth of soil layers.

When the soil properties predicted by SMLR in LSPP were used to initialize ecosystem model (*3D-Ecosys-GIS*) to simulate landscape  $N_2O$  emissions, uncertainties in predicted soil properties up to 39% caused uncertainties in modeled annual  $N_2O$  emissions of entire landscape up to 16%. Landscape  $N_2O$  emissions were most sensitive to uncertainties of total organic carbon and nitrogen contents. These uncertainties of  $N_2O$ 

emissions were comparable to or even smaller than the uncertainties of  $N_2O$  emissions in current field measurement techniques (chamber and micrometeorological (+ TDL) methods) or estimations such as IPCC inventory. Therefore, the soil properties predicted by SMLR in LSPP could be used to initialize an ecosystem model for simulating landscape  $N_2O$  emissions. It would then be very useful to improve the estimate of  $N_2O$ emissions by accounting for temporal and spatial variation caused by spatial heterogeneities of site specific conditions.

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#### Appendix 2.1: Stepwise multiple linear regression algorithm

C NOTE: The input file must be text format with fixed name as "temptxt" PROGRAM SMLR FORWARD USE MSIMSLSD USE MSFLIB INTEGER LDCOEF, LDCOV, LDCOVS, NVAR, II, I, J, & IFRQ, IGRP, IWT, LDX, LDXMEA, NCOL, NGROUP, & NROW, NRMISS, IDO INTEGER NI(1) PARAMETER (IFRQ=0, IGRP=0, IWT=0, NGROUP=1, LDXMEA=1, & IDO=3)INTEGER IEND, INVOKE, IPRINT, ISTEP, NFORCE, NOBS, NSTEP INTEGER, ALLOCATABLE::LEVEL(:), IND(:) REAL(8) AOV(13), TOL, PIN, POUT, B0, SWT(1) REAL(8), ALLOCATABLE:: COEF(:,:), COVS(:,:), HIST(:), SCALE(:)' SWEPT(:), X(:,:), D(:), OB(:), XVAL(:,:), COV(:,:), DIF(:), XMEAN(:,:) & INTEGER.ALLOCATABLE::IWK(:) OPEN(UNIT=10,FILE='temptxt',STATUS='OLD') READ(10,\*)NVAR,NOBS ALLOCATE(LEVEL(NVAR)) LDCOEF=NVAR LDCOV=NVAR LDCOVS=NVAR LDX=NOBS NROW=NOBS NCOL=NVAR ALLOCATE(D(NVAR),OB(NVAR),XVAL(NVAR, NGROUP),DIF(NVAR), & X(NOBS,NVAR),IND(NVAR),COEF(LDCOEF,5),COV(LDCOV,NVAR), & COVS(LDCOVS,NVAR),HIST(NVAR),SCALE(NVAR),SWEPT(NVAR), & IWK(2\*NVAR),XMEAN(LDXMEA,NVAR)) С !! read patterns from file !! С READ(10,\*)(LEVEL(I1),I1=1,NVAR) С !! read X1, X2, ... Xn, Y data READ(10,\*)((X(I,J), J = 1, NVAR), I=1, NOBS)CLOSE(10) DO I=1, NVAR IND(I)=IENDDO NI(1)=NOBS CALL DC2VPL (IDO, NROW, NVAR, NCOL, X, LDX, IND, IFRQ, & IWT, NGROUP, IGRP, NI, SWT, XMEAN, LDXMEA, COV, & LDCOV, NRMISS, D, OB, XVAL, DIF) INVOKE = 0NFORCE = 0NSTEP = -1ISTEP = 1PIN = 0.20POUT = 0.25 TOL = 100.0\*DMACH(4)IPRINT = 1CALL DR2TEP (INVOKE, NVAR, COV, LDCOV, LEVEL, NFORCE, NSTEP, ISTEP, NOBS, PIN, POUT, TOL, IPRINT, SCALE, HIST, &

& IEND, AOV, COEF, LDCOEF, COVS, LDCOVS, SWEPT, IWK) B0=XMEAN(1,NVAR) DO 5 I = 1, (NVAR-1) IF(HIST(I).GT.0.0)THEN B0=B0-XMEAN(1,I)\*COEF(I,1) J=J+1 XMEAN(1,J)=XMEAN(1,I) ENDIF

5 CONTINUE

OPEN(UNIT=6,FILE='TESTOUT') WRITE(6,6) AOV(11) WRITE(6,7) AOV(12) WRITE(6,8) AOV(10) WRITE(6,9) AOV(9)

- 6 FORMAT('R-Squared ', F10.6)
- 7 FORMAT('Adjusted R2 ', F10.6)
- FORMAT('P-Value ', F10.6)
   FORMAT('F-stats ', F10.6)
   WRITE(6,10) AOV(13)

WRITE(6,20) B0

- 10 FORMAT( 'Standard Error ',F8.4)
- 20 FORMAT( 'INTERCEPT ',F10.4) DO I1=1,NVAR
  - IF(SWEPT(I1).GT.0)THEN WRITE(6,30) I1,COEF(I1,1) ENDIF
- 30 FORMAT(I5,F10.4) ENDDO CLOSE(6) OPEN(UNIT=7,FILE='TESTOUT2') DO I1=1,NVAR IF(SWEPT(I1).GT.0)THEN WRITE(7,40) I1 ENDIF
  40 FORMAT(I5) ENDDO
  - CLOSE(7)

END PROGRAM SMLR\_FORWARD

# Appendix 2.2: Equations of Artificial Neural Network (ANN).

# Equations:

$$x_{j} = \sum_{i=1}^{n} R_{i,j} I_{i}$$
 (A2.1)

$$H_{j} = \frac{e^{i_{j}} - e^{-i_{j}}}{e^{i_{j}} + e^{-i_{j}}}$$
(A2.2)

$$x_{o} = \sum_{j=1}^{q} R_{j,o} H_{j}$$
 (A2.3)

$$Y_o = \frac{e^{x_o} - e^{-x_o}}{e^{x_o} + e^{-x_o}}$$
(A2.4)

$$E' = \sum_{k=1}^{m} (Y'_k - Y'_k)^2$$
(A2.5)

$$W^{t} = \sum_{i=1}^{n} \sum_{j=1}^{q} R_{i,j}^{t} + \sum_{j=1}^{q} \sum_{o=1}^{p} R_{j,o}^{t}$$
(A2.6)

$$R_{i,j}^{t+1} = R_{i,j}^{t} + \alpha \frac{\partial E}{\partial W} + \beta (R_{i,j}^{t} - R_{i,j}^{t-1})$$
(A2.7)

$$RMSE = \sqrt{\left(\sum_{r=1}^{s} (Y_{r} - Y_{r}')^{2}\right)/s}$$
(A2.8)

#### Superscripts and subscripts:

*n*: number of variables in input layer (n = 12 in this study). *q*: number of variables in hidden layer (q = 5 in this study).

p: number of variables in output layer (p = 1 in this study).

*i*: i<sup>th</sup> variable in input layer.

j: j<sup>th</sup> variable in hidden layer.

o: o<sup>th</sup> variable in output layer.

*m*: number of interior training data pairs (k = 35 in this study).

k: k<sup>th</sup> data pair in interior training data pairs.

s: number of interior testing data pairs (s = 7 in this study).

r: r<sup>th</sup> data pair in interior testing data pairs.

t: training loop.

## Variables:

x: temporary value for hyperbolic tangent normalization.

*R*: weighting ratio from current layer to next layer.

*I*: value in input layer (normalized TA).

H: temperate value in hidden layer.

Y: the predicted value in output layer (normalized soil property).

Y': the normalized value of measured soil property.

E: total square error between predicted and measured values.

W: total of weighting rate.

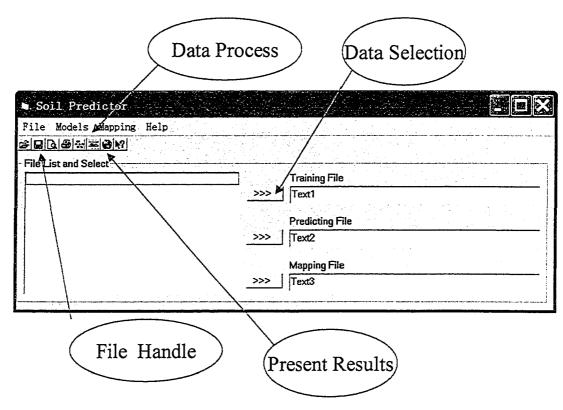
 $\partial E$ : the difference of E between loop t and t-1.

 $\partial W$ : the difference of W between loop t and t-1.

 $\alpha$ : the learning rate (from 0 to 1).

 $\beta$ : the momentum rate (from 0 to 1).

*RMSE*: root of mean square error in interior test.



Appendix 2.3: The interface of Landscape Soil Property Predictor (LSPP).

Appendix 2.3A: The main interface of LSPP.

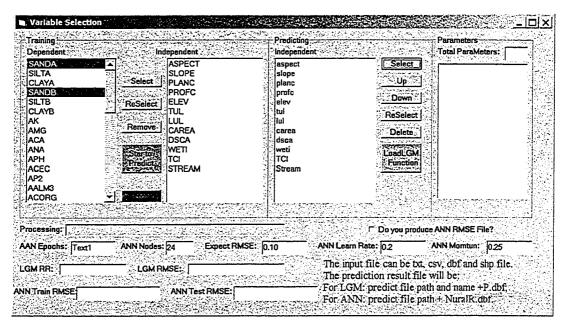
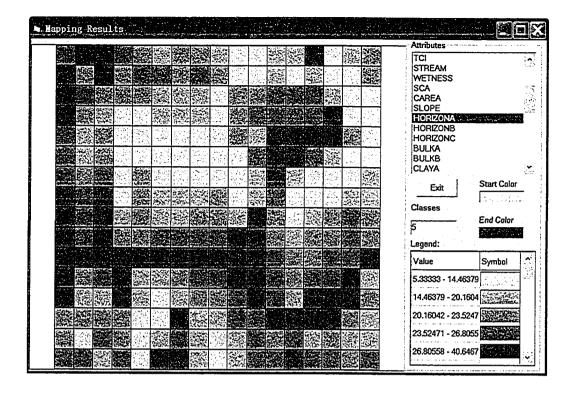


Figure 2.3B: The function interface of LSPP.



Appendix 2.3C: The mapping interface of LSPP.

# Chapter 3: Modeling Landscape Effects on N<sub>2</sub>O Emissions in a Hummocky Agricultural Field

# **3.1 Introduction**

N<sub>2</sub>O accounts for 6 percent of radiative forcing that cause global warming (IPCC Third Assessment Report, 2001). Global N<sub>2</sub>O emissions were 17.7 Tg N per year, of which 4.2 Tg N (24% of the total) came from agricultural soil in 1998 (IPCC, 2001). In Canada, agriculture contributed 8.3% of 670 Mt CO<sub>2</sub> equivalent greenhouse gas emissions in 2001, of which N<sub>2</sub>O emission accounted for 60% (Olsen et al., 2003). Currently, global and national N<sub>2</sub>O emissions are estimated from the IPCC guidelines (1997), which are summarized from limited data sets. The guidelines do not account for the site-specific effects of topography, climate, soil properties, cropping and soil management, and the large temporal and spatial variations in  $N_2O$  emissions. Uncertainty in estimates of  $N_2O$ emissions by the IPPC guidelines might be 70% to 80% in arable soil at national scle (Lim et al., 1999). This uncertainty is much higher than the current reduction target (about 20%) in the Kyoto Protocol. Large temporal and spatial variations of N<sub>2</sub>O fluxes have been a main source of error in estimating cumulative N<sub>2</sub>O fluxes from arable soils (Flessa et al., 2002; Scott et al., 1999). Therefore, a new inventory method that considers site-specific effects and large temporal and spatial variation is necessary to provide more accurate estimates of N<sub>2</sub>O emissions.

Spatial variation of  $N_2O$  emissions is generally larger than 100% in spatial scales of meters to hundreds of meters. Pennock and Corre (2001) reported that  $N_2O$  emissions were significantly higher at footslopes than at shoulders or midslopes, and that total emission increased with nitrogen fertilizing rate. Corre et al. (1999) measured that  $N_2O$  emissions were one to ten times higher at foot slopes than at shoulder slopes in an agricultural soil in central Saskatchewan. Van Kessel et al. (1993) showed that denitrification rates were significantly higher at low landscape positions than at high landscape positions. Choudhary et al. (2002) reported the mean coefficient of spatial variation in  $N_2O$  emission was 119% on conventional and no-tillage agricultural systems, and permanent pasture in New Zealand. The large-scale spatial variability in  $N_2O$  emission might be up to 92% in the

agricultural soils of the boreal and parkland regions of Alberta, Canada (Lemke et al., 1998). Williams et al. (1999) found that the coefficients of spatial variability in N<sub>2</sub>O emission ranged from 21% to 286% in a permanent pasture in UK. Röver et al. (1999), Kaiser et al. (1996), Myrold (1988) and Folorunso and Rolston (1984) reported the coefficients of spatial variation in N<sub>2</sub>O emission were 100% to 500% in arable soil. In addition, Weitz et al. (2001), Corre et al. (1996), van Kessel et al. (1993), and Pennock et al. (1992) have demonstrated that soil moisture is the dominant control on N<sub>2</sub>O fluxes, and that soil moisture often increased as they moved downhill in a given landscape. N availability would be another factor controlling N<sub>2</sub>O emissions (Weitz et al., 2001; Paul and Clark, 1996).

However, finding complete descriptions of the effects of landscape patterns, especially long-term effects, on N<sub>2</sub>O emission is difficult. As well as having large spatial variability, N<sub>2</sub>O emissions also have large temporal variability. Flessa et al. (2002) used an automatic chamber system to measure N<sub>2</sub>O fluxes (4 measurements per day) in potato fields in Germany. They found that temporal variation of the seasonal N<sub>2</sub>O emission was large with flux rates ranging from 0 to 1100  $\mu$ g m<sup>-2</sup> h<sup>-1</sup>. Water filled porosity and soil temperature explained 40% and 75% of the large temporal variability, respectively. In Taiwan, Chao et al. (2000) found that temporal coefficients of seasonal variation in N<sub>2</sub>O production measured by chamber 1 to 6 times per day were 36–131%, 19–18%, 17–18%, and 25–26% for paddy soil, upland soil, orchard soil, and hardwood forest soil, respectively, and that diurnal N<sub>2</sub>O flux measurements varied from 16.1  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> to 412.0  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in a banana orchard. Through field measurements with a continuous monitoring flow-through system in a permanent pasture near Grange-over-Sands, Cumbria, UK, Williams et al. (1999) found that the diurnal variation of N<sub>2</sub>O emission followed that of soil temperature at 10 cm depth, with N<sub>2</sub>O production peaking in late afternoon. They also found that large N<sub>2</sub>O emissions occurred when fertilizer coincided with rainfall. They measured seasonal N<sub>2</sub>O fluxes that varied from 0.05 mg N m<sup>-2</sup> d<sup>-1</sup> to 16.8 mg N m<sup>-2</sup> d<sup>-1</sup>. Kaiser et al. (1998) measured coefficients of temporal variation in N2O fluxes that ranged between 136% and 192% over spring barley, sugar beet and winter wheat fields in Germany. Large temporal variation of N<sub>2</sub>O emissions were caused by daily, seasonal and annual changes of climate, soil thawing and freezing cycles, differences in plant residues, crop species, the

fluctuations of soil water and temperature, and fertilizer effects on the dynamics of nitrification  $(NH_4^+)$  and denitrification  $(NO_3^-)$  (Flessa et al., 2002; Chao, et al., 2000; Röver et al., 1999; Williams et al. 1999; Kaiser et al., 1998). However, the large temporal variations of N<sub>2</sub>O emission have been mostly assessed by infrequent chamber measurements.

The disadvantages of current field measurement techniques (micrometeorology and chamber) constraine the complete characterization of the large temporal variation, especially diurnal variation, as well as spatial variation in landscape. Chamber methods can be used to detect spatial variation, but have difficulty in measuring temporal variation unless automated. Micrometeorological methods can be used to measure temporal variation, but cannot resolve spatial variation in landscapes because their footprint areas are generally larger than 1 ha. Therefore, no measurement techniques have been available to assess temporal and spatial variations simultaneously. Ecosystem modeling would be the most useful method to address the large temporal and spatial variation of  $N_2O$  emissions if it could be combined with a geographic information system (GIS), and information about spatial variation of soil properties in landscapes.

To represent the effects of landscape heterogeneity on  $N_2O$  emissions in ecosystem models, the physical, chemical and biological processes that drive  $N_2O$  emissions, must be described in three dimensions. *Ecosys* is an ecosystem model that simulates water, solute and gas transport, and their effects on plant and microbial transformation of carbon (C) and nitrogen (N) (Li et al, 2004; Grant et al. 2001a, 2001b, 2001c, 1999a, 1999b, 1998, 1995a, 1995b; Grant and Nalder, 2000; Grant, 1999, 1997, 1995). When water transport causes  $O_2$  deficiency, the shortage of electron acceptors for carbon oxidation is met through a series of denitrification processes from which  $N_2O$  is generated (Grant and Pattey, 2003).

In summary, no clear description of the magnitude and variation of  $N_2O$  emissions from a landscape is available. Landscape effects on temporal and spatial variations of  $N_2O$ emissions must be clarified to provide methods to improve estimates of  $N_2O$  emissions used in current national inventories. This chapter describes the integrated model of *ecosys* and GIS (*3D-Ecosys-GIS*), and its application in modeling landscape effects on the temporal and spatial variations of  $N_2O$  emissions.

# 3.2 Modeling N<sub>2</sub>O emission in landscapes

In *ecosys*, three-dimensional water, solute and gas transport is simulated to determine spatial variation in the physical environment controlling N<sub>2</sub>O emissions in landscapes. Horizon thickness, soil texture, total organic carbon and nitrogen contents and pH were the main soil properties in this study that were considered to cause the spatial variation in N<sub>2</sub>O emissions. The calculation of water, solute and gas flows are described below with reference to equations in Appendix 3.1. To represent the spatial variations of soil properties and topography to *3D-Ecosys-GIS*, the landscape was divided into square grid cells in x (W–E) and y (N–S) directions, and soil was stratified into a number of soil layers in the z (vertical) direction.

#### 3.2.1 Modeling water transport

#### 3.2.1.1 Surface water movement

Runoff of surface water is generated when rainfall exceeds the sum of soil infiltration capacity, surface storage and plant interception. Surface water flow  $(Q_w, \text{m}^3 \text{ m}^{-2} \text{h}^{-1})$  of each landscape position (grid cell in a watershed) in x (W–E) and y (N–S) directions is calculated by the Manning equation (Eq. A3.1, Morgan et al., 1998a, b). The slope (S) in Eq. A3.1 is calculated from the elevation difference of the ground surface plus depth of mobile surface water (d) between adjacent grid cells. The d in Eq. A3.1 is the positive difference between depth of surface water plus ice thickness and the maximum depth of surface storage per unit area. The maximum depth of surface storage is calculated from surface roughness and S according to Shaffer and Larson (1987) based on the assumption of triangular rills. The Manning's roughness coefficient (n, Eq. A3.1) is calculated from microtopographic roughness and particle size (soil texture) according to Morgan et al. (1998b). Surface flows ( $Q_w$ ) in easterly and southerly directions are assigned positive values, and  $Q_w$  in westerly and northerly directions are assigned negative values.

#### 3.2.1.2 Subsurface water flow

Water movement in subsurface soil is governed by a three-dimensional Richards' Equation (Eq. A3.3) (Kutilek and Nielsen, 1994; Somma et al., 1998; Sharma et al., 1987) for each x, y and z direction in a soil layer of a grid cell in the landscape.

When water moves through unsaturated soil, the hydraulic conductivity  $K(\theta)$  is the geometric mean of those in the zones from and to which water is moving (Eq. A3.3).  $\partial \Psi_i$  and  $\partial Z_{d_i}$  are the differences of soil water potential (MPa) and distance (m) in the zones from and to which water is moving.  $\Psi$  is total water potential (MPa), which is sum of matric, gravitational and osmotic potentials.

When water moves in saturated soil, Eq. A3.3 is formulated as a three-dimensional Green-Ampt equation. The  $K(\theta)$  equals saturated hydraulic conductivity ( $K_s$ ).  $\partial \psi_i$  and  $\partial Z_{d_i}$  are the differences of soil water potential and distance in the saturated zone behind the wetting front and those in the unsaturated zone ahead of the wetting front.

#### **3.2.2 Solute Transport**

# 3.2.2.1 Surface transport

For each x, y position (grid cell) in a landscape, solute transport  $Q_{sj}$  (g m<sup>-2</sup> h<sup>-1</sup>) in x and y directions across the soil surface (Eq. A3.4) is calculated for each solute j from surface water flow  $Q_w$  (Eq. A3.1) and surface solute concentration (Eq. A3.5). The concentration of each solute by which transport is driven is generated from precipitation-dissolution, ion exchange, biological transformation and ion pairing reactions, and from the mixing of solute between water in the top-surface layer (depth = 0.005 – 0.01 m) and the surface water above.

#### 3.2.2.2 Subsurface transport

A three-dimensional convection-dispersion equation (Eq. A3.6) (Kasteel et al., 2000; El-Sadek et al., 1999; Somma et al., 1998; Su, 1997; Yang et al., 1997) is used to calculate the subsurface transport flux of each solute through each landscape position x, y and z. In each x, y and z, the sink or source term  $U_n$  is negative when solute is added, and positive when solute removed from the soil solution through transformation, desorption, dissolution, ion exchange and ion pairing reactions, fertilizer, and uptake or release by root, mycorrhizae (Grant, 1998) and microbial communities.

#### 3.2.3 Gas Exchange

Three-dimensional gas convection-diffusion equation (Eqs. A3.7 and A3.8) (Cousin et

al., 1999) is used to simulate transport of each gas k including O<sub>2</sub> and N<sub>2</sub>O. N<sub>2</sub>O is generated through N<sub>2</sub>O production processes (see 3.2.4) that are directly and indirectly affected by soil water, nutrient contents and soil temperature. The gas exchange between gaseous and aqueous phases ( $E_k$ , Eq. A3.9) maintains the dynamic equilibrium of gases in soil gaseous and aqueous phases. The biological term  $U_{gk}$  (Eq. A3.8) is assigned a positive value when the gas is consumed by biological processes, and assigned a negative value when the gas is generated through biological processes.

Gas exchange processes within the soil and between the soil surface and atmosphere are very sensitive to soil water content. As soil water content increases, air-filled porosity ( $\theta_g$ in Eq. A3.10) decreases, sharply reducing gaseous diffusivity ( $D_{gk}$ , Eq. A3.10), and hence gas concentration  $G_{gk}$  (Eqs. A3.7). Thus this change decreases aqueous gas concentration ( $G_{wk}$ , Eq. A3.8) through Eq. A3.9. In the case of O<sub>2</sub>, the supply of O<sub>2</sub> for biological uptake ( $U_{gk}$ , Eq. A3.8) decreases rapidly, forcing the use of other electron acceptors that drive denitrification processes, sequentially generating NO<sub>2</sub><sup>-</sup>, N<sub>2</sub>O, and eventually N<sub>2</sub> (see 3.2.4).

There is also a complex sensitivity of gas exchange to soil temperature in the model. An increase in soil temperature causes a decrease in gas solubility ( $f_{sk}$  in Eqs. A3.9 and A3.12), and so decreases aqueous gas concentration  $G_{wk}$  (Eqs. A3.8 and A3.9). An increase in soil temperature also raises biological consumption (e.g.  $U_{gO2}$  in Eq. A3.8) further decreasing  $G_{wk}$ . These decreases are only partially offset by an increase of gaseous and aqueous diffusivity with soil temperature ( $f_g$  and  $f_w$  in Eqs. A3.10 and A3.11).

The sensitivity of gas exchange to soil water and temperature can result in sharp changes  $O_2$  supply, and hence in  $N_2O$  production through denitrification and nitrification with comparatively small changes in soil water and temperature.

# 3.2.4 N<sub>2</sub>O production

# 3.2.4.1 Denitrification

The oxidation of soil carbon by all aerobic heterotrophs (Eq. A3.15) develops a demand for electron acceptors ( $R'_{02}$ , Eq. A3.16) met preferentially by aqueous O<sub>2</sub>. The consequent reduction of aqueous O<sub>2</sub> ( $R_{02}$ , Eq. A3.17) drives the transport of O<sub>2</sub> between the atmosphere and the soil depending on aerodynamic boundary layer conductance and soil gaseous diffusivity (Eqs. A3.7 and A3.10), and to a lesser extent dispersion-diffusion in water (Eq. A3.8). If the reduction of O<sub>2</sub> ( $R_{02}$ , Eq. A3.17 =  $U_{gk}$  in Eq. A3.8) is smaller than demand ( $R'_{02}$ , Eqs. A3.16), the demand for electron acceptors unmet by O<sub>2</sub> ( $R_e$ , Eq. A3.18) can be met by NO<sub>3</sub><sup>-</sup> (Eq. A3.19), NO<sub>2</sub><sup>-</sup> (Eq. A3.20), and N<sub>2</sub>O (Eq. A3.21) reduction, sequentially increasing the production of NO<sub>2</sub><sup>-</sup>, N<sub>2</sub>O and N<sub>2</sub> (Grant, 2001, 1995b; Grant et al., 1993a, 1993b). Aqueous N<sub>2</sub>O is thus competitively generated and consumed by NO<sub>2</sub><sup>-</sup> and N<sub>2</sub>O reduction, with net production being the difference between Eqs. A3.20 and A3.21. The parameters of 0.0625, 7 and 2 in Eqs. A3.17 to A3.20 convert O<sub>2</sub> (g) to electron (mol), electron (mol) to NO<sub>x</sub>-N (g) and NO<sub>2</sub><sup>-</sup>-N (g) to N<sub>2</sub>O-N (g). The temperature sensitivity of heterotrophic oxidation ( $f_t$  in Eq. A3.15) is an Arrhenius function of soil temperature (Eq. A3.22).

#### 3.2.4.2 Nitrification

N<sub>2</sub>O can also be generated through the reduction of NO<sub>2</sub><sup>-</sup> by ammonium oxidizers during nitrification. The oxidation of NH<sub>4</sub><sup>+</sup> by aerobic-autotrophic ammonium oxidizers (Eq. A3.23) develops a demand for O<sub>2</sub> ( $R'_{02n}$ , Eq. A3.24). The actual reduction of O<sub>2</sub> is limited by the concentration of O<sub>2</sub> at the surface of ammonium oxidizers ( $R_{02n}$ , Eq. A3.25) which is controlled by transport (Eqs. A3.7 and A3.8) and dissolution (Eq. A3.9) processes. As O<sub>2</sub> availability decreases, the deficiency of electron acceptors for NH<sub>4</sub><sup>+</sup> oxidation (Eq. A3.26) is met by NO<sub>2</sub><sup>-</sup> generated in nitrification. The reduction of NO<sub>2</sub><sup>-</sup> generates N<sub>2</sub>O (Eq. A3.27). The sensitivity of N<sub>2</sub>O production from nitrification is mainly controlled by gas exchange (Eqs. A3.7 to A3.14), and by O<sub>2</sub> demand of NH<sub>4</sub><sup>+</sup> oxidation affected by soil temperature through an Arrhenius function (Eq. A3.22). The production of N<sub>2</sub>O by this mechanism is usually a small fraction of ammonium oxidized, because  $[O_{2n}]$  is usually much larger than  $K_{02n}$  in Eq. A3.25.

#### 3.2.5 Architecture of 3D-Ecosys-GIS Model

At a landscape scale, *ecosys* can model hundreds of grid cells, and each grid cell has its own terrain attributes, soil properties, crops and soil management practices. Manually handling the information and editing the input files of *ecosys* for hundreds of grid cells is difficult. A link program was developed to integrate the three dimensional *ecosys* into a GIS framework which handles inputs for all grid cells, enabling *ecosys* to simulate landscape  $N_2O$  emission (Fig. 3.1). The integrated model is named *3D-Ecosys-GIS* (see the interface in appendix 3.2) as a sub version of *ecosys*. The communications between the GIS and link programs and between the link program and *3D-ecosys* are maps and text files, respectively.

A digital elevation map (DEM) was derived in the GIS for the landscape being studied from global positioning system (GPS) data (Fig. 3.1). The GIS suggested the minimum size of grid cells for which topographic attributes could be derived according to the density of measured points in the GPS data. This

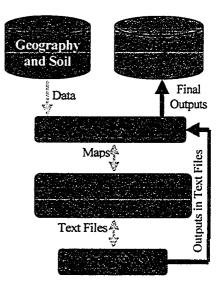


Figure 3.1: The architecture of *3D-Ecosys-GIS* model.

minimum size was then increased as required for landscape analysis while retaining at least 3 grid cells within typical slope lengths. Terrain attributes (Chapter 2) were derived from the DEM by functions and extensions of GIS software.

The Landscape Soil Property Predictor (LSPP) was used to estimate properties of soil profiles at fine scale from limited measurements of soil profile properties and from terrain attributes derived in the GIS (Fig. 3.1, see details in Chapter 2).

The linkage program (Fig. 3.1) consisted of input and output file handlers. The input file handler stratified grid cells to have the same number of soil layers, and generated text input files in the format required by 3D-ecosys for a sample watershed in the landscape derived from the DEM in GIS. The output file handler converted text files from 3D-ecosys into GIS maps of N<sub>2</sub>O emissions.

### 3.3 Study site

The study site (Fig. 3.2) is a 76.6 ha. field representative of the black soil region in the aspen parkland ecoregion near Viking, Alberta, Canada. Average precipitation was 359 mm, and average air temperature was 2.8 °C during 1996 to 2002. The site has a hummocky topography with a moderate slope (10% to 13%). The elevation of the site varies between 714 m and 726 m. The field is dominated by black chernozemic soils. The field was cultivated conventionally with a wheat, barley and canola crop rotation fertilized

with 86, 13, and 76 kg N ha<sup>-1</sup>, respectively, and cropping management using precision agriculture.

# 3.4 Field Measurement of N<sub>2</sub>O

# Emission

Four field observation transects were marked out in 1997 (Fig. 3.2) to measure  $N_2O$  fluxes by the static chamber method. Four measurement locations along each transect represented top, middle, and foot slopes and depressional areas. Field measurements of  $N_2O$  emissions were performed for these transects by research staff of Tom W. Goddard's group in Alberta Agriculture, Food and Rural Development.

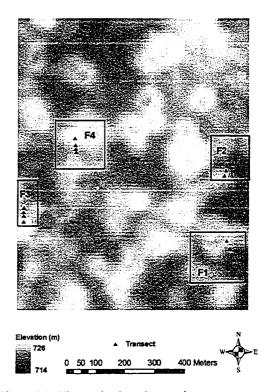


Figure 3.2: The study site, observation transects and sub sites at Viking, Alberta, Canada (53°05'N, 111°47'W).

Rectangular plexiglass chambers were used on the upland portions of these transects. The chambers were  $0.1 \text{ m}^2$  in area and had 10 liter headspace capacity. In the depressional areas, cylindrical chambers 15 cm in diameter were used to minimize plant disturbance (Sprout and Goddard, 2003). The upland chambers were removed and replaced after seeding and harvesting operations. At sampling times flat lids were placed on the chambers and held in place with heavy duty rubber bands. After 30 minutes an air sample was removed from the chamber with a 20 cc syringe and placed in a 10 ml container for storage and transport. Samples were taken approximately twice a week during peak times at spring thaw in March and after high rainfall events from May to July. Otherwise, samples were taken about once per week until August, then once every two weeks, and then once a month in the late fall and winter. The actual sample dates depended on spring thaw and rainfall events. Samples were always taken between 13:00 and 14:00 of local time.

N<sub>2</sub>O was measured with a gas chromatograph (model 3400, Varian Instrument Company, Walnut Creek, California, USA) equipped with a Ni63 electron capture detector and a ten port 2 position valve and valve actuator (Valco Valve # ET4C10UWE, Valco Instruments Company, Houston, Texas, USA). Separation of N<sub>2</sub>O from other air components was achieved by using a pre-column (Porapak N, 80/100 mesh, 0.45m x 1/8" OD x 2mm ID stainless steel, Chrompack #ML3256) followed by an analytical column (Hayesep D, 80/100 mesh, 1.8m x 1/8"OD x 2mm ID, Chrompack #PDP3206). The pre-column was backflushed 30 seconds after injection to prevent water and sulphur components from entering the analytical column. The retention time for N<sub>2</sub>O was approximately 1.6 minutes at a flow rate of 20 ml/min of argon/methane (90:10% by volume) and an oven temperature of 60 °C. The detector was held at 300°C. Standards and samples were manually injected into the 1 ml sample loop using plastic luer type syringes. The instrument was standardized across a range of 0.29–6.86 ppm N<sub>2</sub>O by injecting custom gas mixtures (Praxair Specialty Gasses, Edmonton, AB) at full strength and at specific dilutions with high purity nitrogen. Star Chromatography Workstation software (version 3.3, Varian Instrument Company, Walnut Creek, California, USA) was used for data processing.

# **3.5 Model Experiment**

Computational constraints prevented simulation of N<sub>2</sub>O emission for the entire study site at 10 meters resolution (7763 grid cells) with the *3D-Ecosys-GIS* model. Simulation was limited to four sub sites (F1, F2, F3 and F4 in Fig. 3.2). Each sub site included one complete mini-watershed around one observation transect. These sub sites were represented in *3D-Ecosys-GIS* as a matrix with  $10\times10$  meters resolution, resolved into rows x (W–E) and columns y (N–S). Measurement locations along each transect were identified by column and row numbers in each sub site. Some locations were at boundaries of two adjacent grid cells, or at the intersection of four adjacent grid cells. Therefore, averaged model values of adjacent grid cells were used to compare with measurements at these locations. Plant residue carbon was initialized from the outputs of an initializing model run for one grid cell over 50 years. Crop and soil management in these four sub sites followed that reported for the field.

The model was run for each sub site starting on January 1, 1997 and ending December 31, 2002. Hourly climate data from 1997 to 2002 from a meteorological station at Viking were used in all simulation runs. The crops were wheat in 1997, barley in 1998, canola in

1999, wheat in 2000 (precipitation = 451 mm), barley in 2001 (precip. = 332 mm) and canola in 2002 (Precip. = 221 mm). The outputs in 1997, 1998 and 1999 were not used to evaluate the effects of landscape on N<sub>2</sub>O emissions to allow inorganic nitrogen in the model to become independent from initialized values. The modeled hourly N<sub>2</sub>O emissions were compared with measured data to validate the *3D-Ecosys-GIS* model.

# **3.6 Results**

# 3.6.1 Measured and modeled N<sub>2</sub>O fluxes at the landscape scale

Modeled N<sub>2</sub>O fluxes varied from 0 to 3600  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup>, while measured N<sub>2</sub>O fluxes varied between 0 and 300  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> (Figs. 3.3, 3.4, 3.5 and 3.6). In the example transect in sub site F2, modeled N<sub>2</sub>O fluxes decreased from wet through normal to dry years (Fig. 3.3, 3.4 and 3.5). Modeled N<sub>2</sub>O fluxes decreased from top through middle to foot slopes in the wet year (2000; precip. 451 mm >> average precip. 359 mm) (Fig. 3.3b, c and d) while they increased in the dry year (2002; 221 mm << average precip.) (Fig. 3.5b, c and d). However, fluxes were lower at middle slope than at top and foot slopes in the normal year (2001; precip. 332 mm  $\approx$  average precip.) (Fig. 3.4b, c and d).

Modeled N<sub>2</sub>O fluxes were very sensitive to change in soil water content. Much of the variation of modeled N<sub>2</sub>O fluxes resulted from small changes in relative water-filled porosity (RWFP). Increasing RWFP decreased air-filled porosity ( $\theta_g$ , Eq. A3.10), which causes large reductions of gas diffusivity when  $\theta_g$  declined below threshold values ( $D_g$ , Eq. A3.10). Reduction of  $D_g$  slowed replenishment of O<sub>2</sub> ( $U_{gk}$ ,  $R_{O2}$  and  $R_{O2n}$ , Eqs. A3.8, A3.17 and A3.25), and so raised the unmet demand for electron acceptors ( $R_e$  and  $R_{en}$ , Eqs. A3.18 and A3.26), causing large increases of N<sub>2</sub>O fluxes (Eqs. A3.19 to A3.21, and A3.27; Figs. 3.3, 3.4, 3.5 and 3.6). For instance, increases in RWFP of only 0.02 and 0.06 caused N<sub>2</sub>O fluxes to raise by about 1600 µg N m<sup>-2</sup> h<sup>-1</sup> on days 148 to 149 and by 3400 µg N m<sup>-2</sup> h<sup>-1</sup> on days 162 to 165 in 2000 (Fig. 3.6a). Similarly, an increase in RWFP of 0.07 to 0.08 increased N<sub>2</sub>O fluxes by 400 µg N m<sup>-2</sup> h<sup>-1</sup> on days 153 to 155 in 2001 (Fig. 3.6b) and by 140 µg N m<sup>-2</sup> h<sup>-1</sup> on days 199 to 200 in 2002 (Fig. 3.6c). Thus the time course of modeled emissions was more characteristic of a threshold response to changes in  $\theta_g$ , rather than a continuous one.

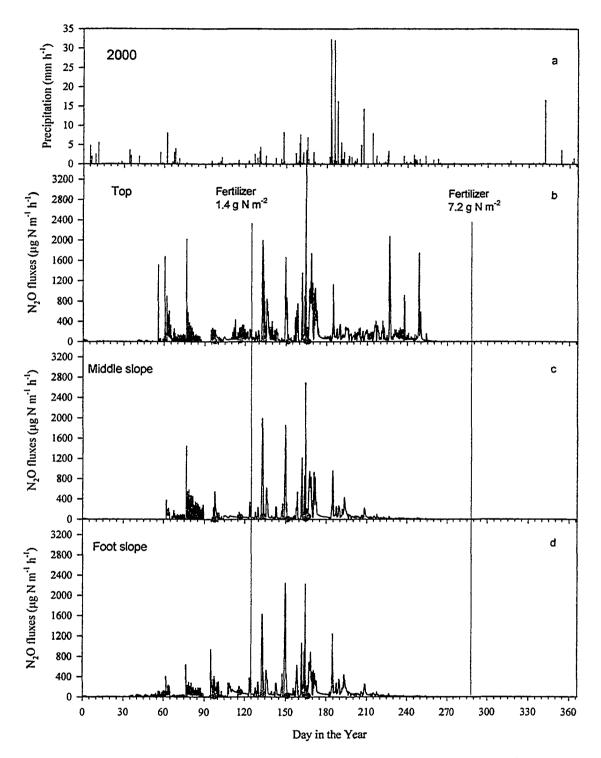


Figure 3.3: The measured (dot) and modeled (line)  $N_2O$  fluxes at top, middle and foot slopes in sub site F2 in the hummocky agricultural landscape at Viking, Alberta, Canada in 2000.

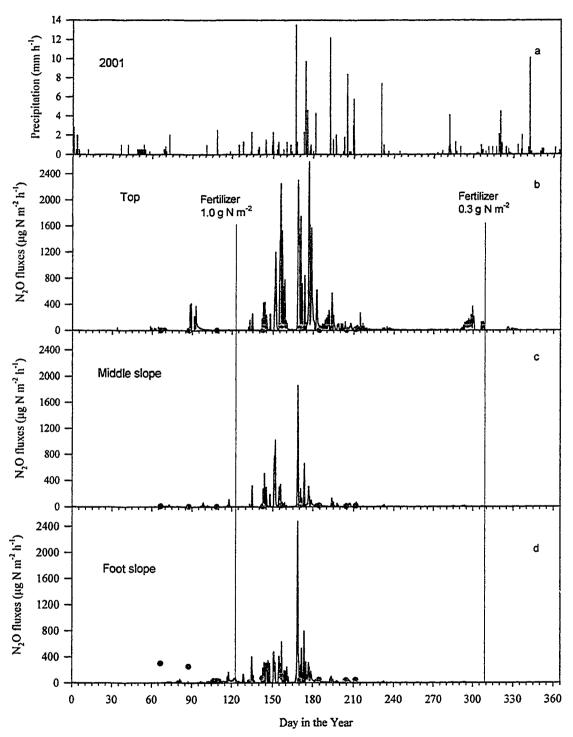


Figure 3.4: The measured (dot) and modeled (line)  $N_2O$  fluxes at top, middle and foot slopes in sub site F2 in the hummocky agricultural landscape at Viking, Alberta, Canada in 2001.

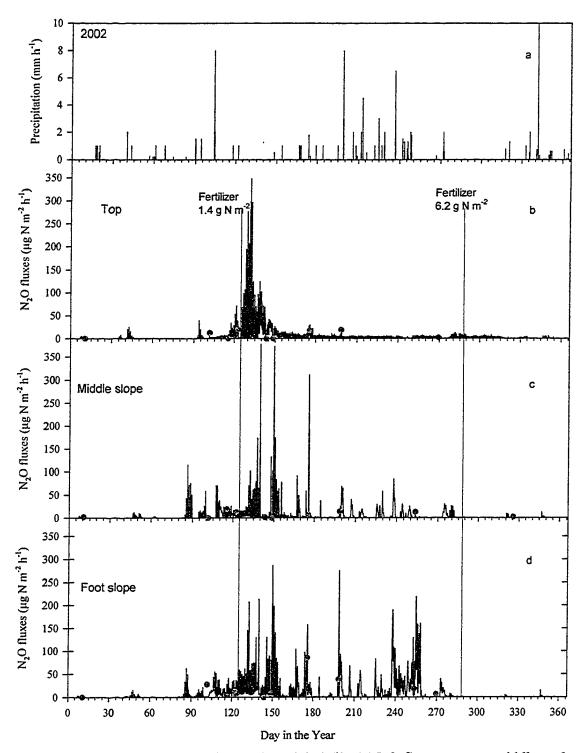


Figure 3.5: The measured (dot) and modeled (line)  $N_2O$  fluxes at top, middle and foot slopes in sub site F2 in the hummocky agricultural landscape at Viking, Alberta, Canada in 2002.

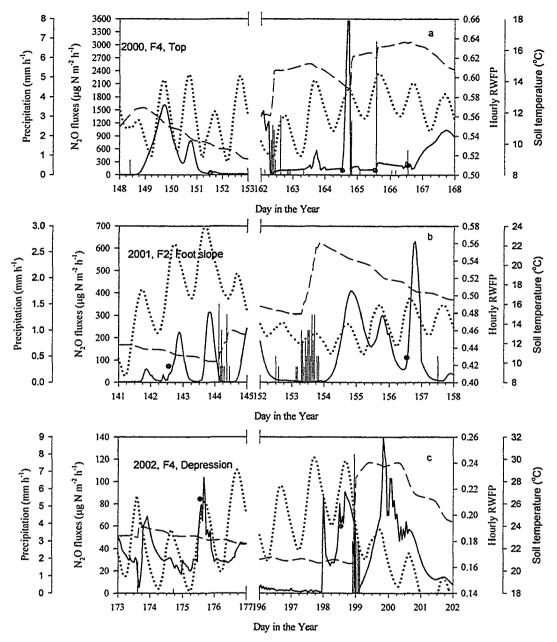


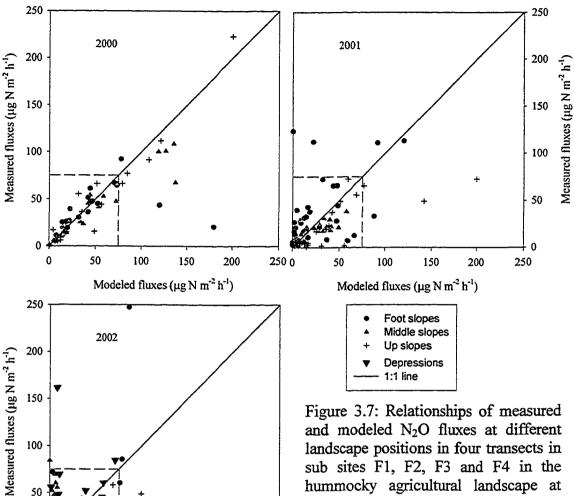
Figure 3.6: The measured (dots) and modeled (solid line)  $N_2O$  fluxes, rainfall (vertical bars), and relative water-filled porosity (RWFP, long-dash line) and soil temperature (dot line) in upper 20 cm zone at (b) foot slope in sub site F2, and (a) top and (c) depression in sub site F4 in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001, 2002.

Modeled  $N_2O$  emissions responded sharply to diurnal changes in soil temperature. Most of modeled peak  $N_2O$  emissions occurred during the late afternoon to early night following the peak of the average soil temperature in the upper 20 cm soil zone (Fig. 3.6). Peak soil temperatures occurred later than did peak air temperatures because thermal conductivity of soil was lower than that of air. As soil temperatures increased during the day, microbial activities developed higher O<sub>2</sub> demand ( $R'_{O2}$ , Eqs. A3.15 and A3.16;  $R'_{O2n}$  Eqs. A3.23 and A3.24) through an Arrhenius function (Eq. A3.22). The replenishment of O<sub>2</sub> was decreased at the same time because of decreasing O<sub>2</sub> solubility in warmer soil (Eqs. A3.9 and A3.12), so that rising deficiency of O<sub>2</sub> (( $R'_{O2} - R_{O2}$ ) and ( $R'_{O2n} - R_{O2n}$ )) and the consequent demand for electron acceptors ( $R_e$  and  $R_{en}$ , Eqs. A3.17 and A3.26) produced large N<sub>2</sub>O emissions in warmer soil (Eqs. A3.19 to A3.20, and A3.27). For example, large N<sub>2</sub>O fluxes on days 149 to 150 in 2000 (Fig. 3.6a), 142 to 144 in 2001 (Fig. 3.6b), and 175 to 176 in 2002 (Fig. 3.6c) were caused by increases of soil temperature in the upper 20 cm soil zone.

Rapid changes in modeled N<sub>2</sub>O fluxes caused by small changes in soil water and temperature complicated comparisons with measured fluxes. For example, during selected periods in Fig. 3.6, measured N<sub>2</sub>O fluxes were 110 and 120  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in 2000, 111  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in 2001, and 56  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in 2002. Measured N<sub>2</sub>O fluxes did not show the large temporal variation of modeled N<sub>2</sub>O fluxes (Fig. 3.3, 3.4, 3.5 and 3.6). However, measured and modeled N<sub>2</sub>O fluxes matched each other very well at the time of the measurements.

The correlations between modeled and measured hourly N<sub>2</sub>O fluxes were highly significant in 2000, 2001 and 2002 (Table 3.1). Modeled results explained variation of measured N<sub>2</sub>O fluxes very well in the wet year ( $R^2 = 0.87$  in 2000), but less well in the normal ( $R^2 = 0.40$  in 2001) and dry years ( $R^2 = 0.37$  in 2002) when variation in fluxes was smaller. The model generally overestimated N<sub>2</sub>O fluxes in the three years, i.e. *b* in Table 3.1 was smaller than 1 (Tables 3.1 and 3.2, Fig. 3.7). Measured and modeled N<sub>2</sub>O fluxes from the wet year were larger and much closer to the 1:1 line than were those in the normal and dry years (Fig. 3.7). The smaller correlations ( $R^2$ , Table 3.1) and large scatter from the 1:1 line in the normal and dry years probably resulted from smaller variation in measured values with most measured N<sub>2</sub>O fluxes being smaller than 75 µg N m<sup>-2</sup> h<sup>-1</sup> (Fig. 3.7b and c).

The averaged measured and modeled  $N_2O$  fluxes from the four transects decreased from tops through middle slopes to foot slopes in the wet year, but were in reverse order in the dry year (Table 3.2). Both measured and modeled  $N_2O$  fluxes larger than 75 µg N m<sup>-2</sup> h<sup>-1</sup>



hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001, 2002. Dash line indicats that most measured and modeled N2O fluxes were lower than 75  $\mu$ g N m<sup>- $\overline{2}</sup> h<sup>-1</sup>$  in 2000,</sup> 2001 and 2002.

mainly occurred at tops and middle slopes in the wet year, and at foot slopes and depressions in the normal and dry years (Fig. 3.7 and Table 3.2). The coefficients of spatial variation (CSV - the ratio (%) of standard deviation to mean of non-zero N2O fluxes across landscape) in measured and modeled N<sub>2</sub>O fluxes were similar (Table 3.2, Fig. 3.7).

250

The ratio of standard differences between measured and modeled N<sub>2</sub>O fluxes (SD) to the means of measured N<sub>2</sub>O fluxes (RSD) varied annually between 120% and 170% in the three years (Table 3.1). The RSDs were in the ranges of coefficients of variation (CV) in measured N<sub>2</sub>O fluxes at each landscape position among the four transects (Fig. 3.2) (taking landscape positions as treatments and transects as replications) (18% ~ 183%, Table 3.2).

50

0

50

100

150

Modeled fluxes ( $\mu g N m^{-2} h^{-1}$ )

200

The ranges of CVs for measured and modeled  $N_2O$  fluxes were pronounced, although CVs varied among measured events (Table 3.2). Modeled and measured  $N_2O$  fluxes both decreased with precipitation, but were not related to fertilizer application rate (Tables 3.1 and 3.2).

Table 3.1: Statistical results from log-transformed regressions of measured on modeled  $N_2O$  fluxes (µg N m<sup>-2</sup> h<sup>-1</sup>). Sig.: significance level. SD was standard differences between modeled and measured values. RSD was the ratio of SD to measured mean. a ± se was the regression intercept and its standard error, and b ± se was the regression slope and its standard error.

Year	Data pairs <sup>§</sup>	Precipitation (mm)	Fertilizer (g N m <sup>-2</sup> )	R <sup>2</sup>	Sig.	SD (μg N m <sup>-2</sup> h <sup>-1</sup> )	RSD (%)	a ±se	b ±se
2000	60	451	8.6	0.87	<0.001	51	150	$0.44 \pm 0.16$	$0.84 \pm 0.04$
2001	81	332	1.3	0.40	<0.001	27	123	$1.25 \pm 0.23$	0.55 ± 0.08
2002	103	221	7.6	0.37	<0.001	27	169	$1.39\pm0.18$	$0.52 \pm 0.07$

<sup>8</sup>: The statistical analysis was performed from non-zero data pairs.

Table 3.2: The coefficients of variation (CV) in log-transformed values and mean of measured and modeled  $N_2O$  fluxes for measured emission events at top, middle and foot slopes and depressions in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002.

	To	ps	Middle slopes		Foot slopes		Depressions		Coefficient
	CV range <sup>§</sup> (%)	$Mean‡ (\mu g N m-2 h-1)$	CV Range (%)	Mean (μg N m <sup>-2</sup> h <sup>-1</sup> )	CV range (%)	Mean (µg N m <sup>-2</sup> h <sup>-1</sup> )	CV Range (%)	Mean (μg N m <sup>-2</sup> h <sup>-1</sup> )	of spatial variation (%) <sup>¶</sup>
	Measured N <sub>2</sub> O fluxes								
2000	60~162	40	33~84	32	18~133	30			93
2001	48~168	16	18~81	13	36~126	38			147
2002	72 ~ 178	8	67 ~ 183	13	48~169	21	62~139	23	133
			Modeled N <sub>2</sub> O fluxes						
2000	48~126	55	38~103	49	9~133	46			84
2001	53 ~ 173	28	27~81	25	41 ~ 166	47			124
2002	40 ~ 190	13	37 ~ 179	18	42 ~ 186	26	77 ~ 181	30	148

<sup>8</sup>: Zero values were removed before log-transformation. CV indicated coefficient of variation of a measured or modeled  $N_2O$  emission event taking landscape positions as treatments and measurement transects as replications.

<sup>1</sup>: The mean of modeled fluxes came from the N<sub>2</sub>O fluxes that corresponded to those in the measured emission events.

<sup>1</sup>: Coefficient of spatial variation in measured  $N_2O$  fluxes was developed from non-zero measurement data. Coefficient of spatial variation of modeled  $N_2O$  fluxes was calculated from the modeled values that corresponded to those in the measured emission events.

In summary, modeled N2O fluxes were comparable with measured values in

coefficients of variation and spatial patterns in the hummocky agricultural landscape. Modeled  $N_2O$  emissions of *3D-Ecosys-GIS* could therefore represent the field  $N_2O$  emissions.

#### 3.6.2 Temporal variation of N<sub>2</sub>O emissions across landscape

Total area of the four sub sites was 12% (9 ha.) of the entire study site (F1, F2, F3 and F4 in Fig. 3.1). Across the hummocky agricultural landscape, average hourly N<sub>2</sub>O fluxes for the whole year declined by one-third from the wet year to the dry year (Table 3.3). The coefficient of temporal variation (CTV – ratio (%) of standard deviation to mean of log-transformed non-zero N<sub>2</sub>O fluxes at one site over time) in modeled hourly N<sub>2</sub>O fluxes was higher in the dry year than those in the normal and wet year (97% vs. 81% and 73%). Modeled hourly N<sub>2</sub>O fluxes reached 2569  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in the wet year, but 16% of that rate in the dry year (Table 3.3).

Table 3.3: Temporal variation of modeled hourly N<sub>2</sub>O fluxes ( $\mu$ g N m<sup>2</sup> h<sup>-1</sup>) for all sub sites<sup>‡</sup> in the three years in the hummocky landscape at Viking, Alberta, Canada.

Year	Annual total $(g N m^{-2})^{\ddagger}$	Average hourly flux $(\mu g N m^2 h^{-1})$	CTV (%) <sup>§</sup>	Maximum flux (μg N m <sup>2</sup> h <sup>-1</sup> )
2000	0.56	64	73	2569
2001	0.38	43	81	1684
2002	0.18	21	97	410
In 3 years	1.12	43	83	2569

<sup>§</sup>: The coefficient of temporal variation (CTV) was calculated from log-transformed values of modeled  $N_2O$  fluxes.

<sup>‡</sup>: This is average values of all model grid cells excluded those from boundary grid cells.

Most modeled emissions occurred between seeding (spring fertilizer) to grain filling (May 4 to July 30), contributing 76% and 74% of annual N<sub>2</sub>O emissions in 2000 and 2001 (Figs. 3.3 to 3.5). Periods of greatest N<sub>2</sub>O emissions coincided with those of precipitation. Emissions remained larger during May and June than during the rest of in 2002 even though precipitation during this period was only 19% of the annual total (Fig. 3.5). With substrates (mineral N) for nitrification and denitrification provided by spring fertilizer (early May, Figs. 3.3 to 3.5) and mineralization during this period, large N<sub>2</sub>O emissions occurred after any effective rainfall that could cause transient O<sub>2</sub> deficiency. Before May, lower soil temperature caused lower demand for O<sub>2</sub> and hence electron acceptors, lowering N<sub>2</sub>O emissions (Figs. 3.3 to 3.5, Eqs. A3.15 to A3.21). After July 30, mineral N was

depleted by crop uptake resulting in lower N<sub>2</sub>O emissions. The fall fertilizer did not affect N<sub>2</sub>O emissions in the late fall and winter (Figs. 3.3 to 3.5). If precipitation was high in winter and spring, such as in 2000 (Fig. 3.3a), fall fertilizer application considerably increased the N<sub>2</sub>O emissions in the following spring (Fig. 3.3b, c and d). The spring fertilizer application might continuously raise N<sub>2</sub>O emissions through the growing season until fall fertilizer application (Fig. 3.5c and d).

As the precipitation declined from 451 mm in 2000, through 322 mm in 2001, to 221 mm in 2002, modeled annual N<sub>2</sub>O emission declined from 0.56 g N m<sup>-2</sup>, through 0.38 g N m<sup>-2</sup>, to 0.18 g N m<sup>-2</sup>. The large decrease of annual N<sub>2</sub>O emission resulted mainly from the decrease of precipitation during the main emission period (Fig. 3.5a).

### 3.6.3 Spatial variation of N<sub>2</sub>O emissions across landscape

# 3.6.3.1 Spatial variation of modeled soil water, solute and N<sub>2</sub>O emissions along a slope transect

A hill slope in the hummocky agricultural landscape was randomly selected from sub site F2 to study the changes in soil water content in the upper 20 cm soil zone that controlled variation in N<sub>2</sub>O emissions. This hill slope included top, backslope (concavity with small catchment area), midslope, foot slope (concavity with large catchment area) and flat area (Fig. 3.8a), with a horizontal distance of 100 meters encompassing 10 grid cells. From June 30 to July 8, 2000, total rainfall was 122.7 mm including four big rainfall events on July 1 (32.8 mm), July 2 (24.1 mm), July 4 (37.6 mm) and July 6 (24.4 mm) (Fig. 3.8b). All data for this study were abstracted from the model run for sub site F2.

On the first day (June 30), the relative water-filled porosity (RWFP) in the upper 20 cm soil zone increased from upper slope to lower slope, and was slightly higher at concavities and at foot slopes (Fig. 3.8c). The spatial patterns of  $NH_4^+$  (Fig. 3.8d) and  $NO_3^-$  (Fig. 3.8e) contents in soil were similar to that of RWFP (Fig. 3.8c). N<sub>2</sub>O emissions were less than 2 mg N m<sup>-2</sup> d<sup>-1</sup> on top, backslope and midslope (Fig. 3.8g). N<sub>2</sub>O emission was about 6 mg N m<sup>-2</sup> d<sup>-1</sup> at the foot slope (Fig. 3.8f) with higher RWFP (Fig. 3.8c).

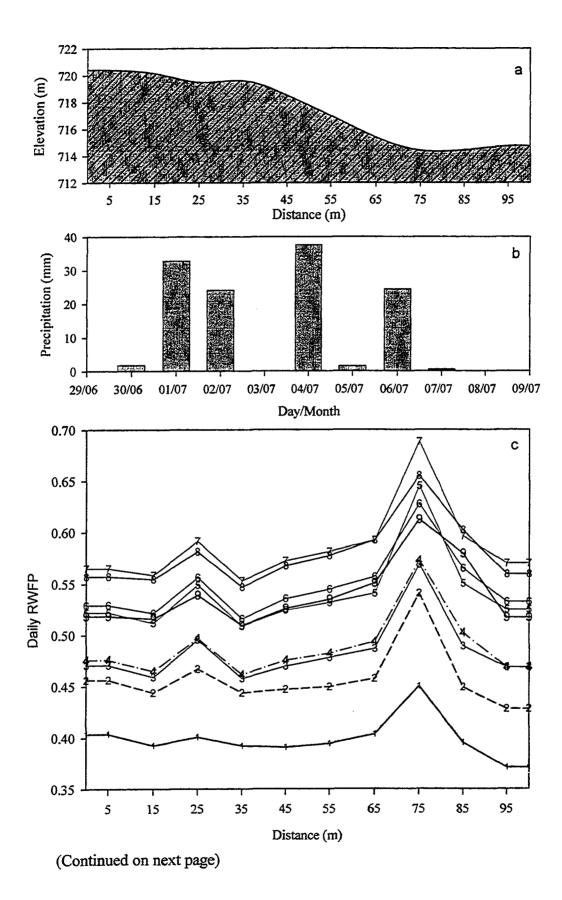
Rainfall from July 1 to 6 (Fig. 3.8b) caused increases in RWFP (Fig. 3.8c). Runoff flowed to and accumulated at concavities (back and foot slopes at 20 to 30 m and at 70 to 80 m of horizontal distance in Fig. 3.8a) (Eqs. A3.1 and A3.2), resulting in larger increases

of RWFP and NH<sub>4</sub><sup>+</sup> during the study period (Fig. 3.8c and d). The increase of NH<sub>4</sub><sup>+</sup> might have resulted from (1) water blocking the surface soil resulting in shortage of O<sub>2</sub>, reducing nitrification (Eq. A3.25), and (2) surface transport of solute accompanying surface runoff resulting in larger increases at backslopes and footslopes (Eqs. A3.4 and A3.5). The NO<sub>3</sub><sup>-</sup> content decreased with the increase of soil water on the rainy days (Fig. 3.8e) probably from less replenishment through nitrification, from consumption through denitrification and very small amount of leaching. The decrease of NO<sub>3</sub><sup>-</sup> was less at lower slopes than at upper slopes because NO<sub>3</sub><sup>-</sup> was transported from upper slopes to lower slopes with surface and subsurface water flow (Eqs. A3.4 to A3.6) partially offsetting the decrease of NO<sub>3</sub><sup>-</sup> described above during the rainy days. The N<sub>2</sub>O emissions increased during the first 4 days with the rise in RWFP, then decreased during the following two days as RWFP rose further causing lower gas diffusivity (Fig. 3.8c and f, Eq. A3.10). On July 3, the threshold value of RWFP to N<sub>2</sub>O emission remarkably increased the emission over the slope (Fig. 3.8c and f).

During the two days after rain events, RWFP decreased because water was removed by evapotranspiration and drained to deeper soil layers and to adjacent grid cells through subsurface downhill flow (Eq. A3.3). Air-filled porosity increased which restored gaseous pathways through the soil surface and caused rapid increase of gas diffusion (Eqs. A3.7 and A3.10). Consequently, accumulated N<sub>2</sub>O production from previous days was rapidly released to the atmosphere (day 9, Fig. 3.8f). The lower RWFP resulted in lower N<sub>2</sub>O emissions on slopes. A RWFP of about 0.6 resulted in N<sub>2</sub>O emission of about 60 mg N m<sup>-2</sup> d<sup>-1</sup> at the foot slope (Day 9, Fig. 3.8f). When RWFPs were 0.6 - 0.7 at the foot slope (days 5–8, Fig. 3.8c), N<sub>2</sub>O emissions were 20 to 40 mg m<sup>-2</sup> d<sup>-1</sup> lower (Fig. 3.8f). These results demonstrated how the large spatial and temporal variations of N<sub>2</sub>O emissions arose in the model (e.g. in Tables 3.2 and 3.3).

# 3.6.3.2 Spatial variation of modeled daily N<sub>2</sub>O emission

Three events were used to study the spatial variability of modeled RWFP and associated  $N_2O$  emissions in the hummocky agricultural landscape (Figs. 3.9 and 3.10).  $N_2O$  emission events on 13 June 2000 (E1), 19 June 2001 (E2) and 28 May 2002 (E3) were the largest at most grid cells in the four sub sites (F1, F2 F3 and F4, Fig. 3.2) in each of the three years. For event one (E1), precipitation during the week before the event was 45.6 mm, including



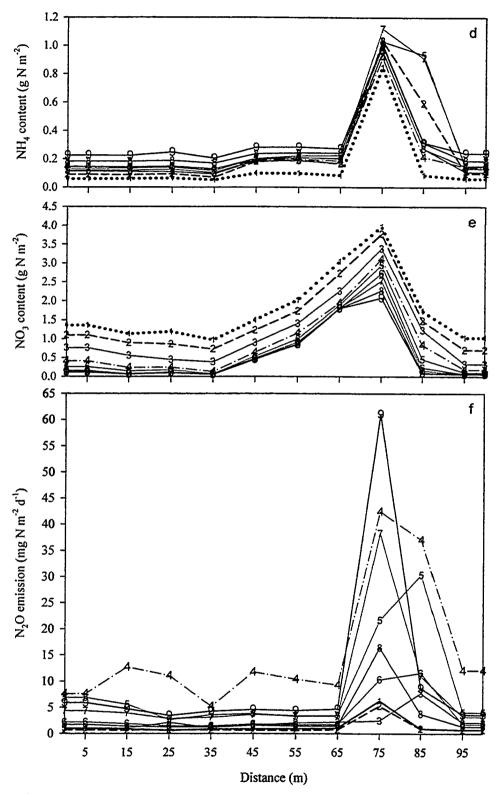


Figure 3.8: The changes of (a) elevation, (b) rainfall, (c) relative water-filled porosity (RWFP) in the upper 20 cm soil zone, (d)  $NH_4^+$ , (e)  $NO_3^-$  and (f)  $N_2O$  emissions on a hill slope transect from June 30 to July 8 2000. Numbers on lines indicate the days from June 30 2000.

6.4 mm during the day of event and a maximum daily precipitation of 13.7 mm. For event two (E2), precipitation during the week before the event was 28.3 mm, including a large rainfall of 25.7 mm 3 days before the event day. For event three (E3), no precipitation occurred during the week before the event.

Continuous rainfall before E1 elevated RWFPs to be higher than 0.6 over the landscape. As discussed in 3.6.3.1, surface and subsurface water flow (Eqs. A3.1 to A3.3) resulted in further increase of RWFP at lower slopes and depressions, although the differences among RWFPs might be less than 0.1 (Fig. 3.9a, d, g and j). Associated with the spatial variation of RWFP, modeled daily N<sub>2</sub>O emissions were generally higher at upper slopes than those at lower slopes (Fig. 3.10a, d, g and j). The daily N<sub>2</sub>O emissions were lower than 1 mg N m<sup>-2</sup> at "cold spots" in depressional areas (indicate by locations "1" in Fig. 3.10a, and "2" in Fig. 3.10 j). The higher RWFP at lower slopes and depressions greatly decreased gas diffusivity ( $D_g$ , Eq. A3.10) causing a strong deficiency of  $O_2$  and hence a strong demand for electron acceptors ( $R_e$  and  $R_{en}$ , Eqs. A3.18 and A3.26), forcing denitrification to the terminal stage (N<sub>2</sub>, Eq. A3.21) to supply enough electron acceptors, and thereby reducing N<sub>2</sub>O production (Figs. 3.8c, f, 3.10a, d, g and j). Spatial variation of 9% in RWFP resulted in spatial variation of 102% in daily N<sub>2</sub>O emissions (Table 3.4). The redistribution of solute through surface and subsurface flow (Eqs. A3.4 to A3.6) resulted in large spatial variations in  $NH_4^+$  and  $NO_3^-$  (Table 3.4, Fig. 3.8d and e), contributing to the large spatial variation in daily N<sub>2</sub>O emissions.

During E2, modeled maximum N<sub>2</sub>O emission of 142 mg N m<sup>-2</sup> d<sup>-1</sup> in the landscape was lower than that during E1 (151 g N m<sup>-2-</sup> d<sup>-1</sup>). However, the coefficient of spatial variations in daily N<sub>2</sub>O emissions increased to 142% with the increased spatial variation of modeled RWFP (Table 3.4). The subsurface movement of water during 3 days after a large rainfall event increased the differences of modeled RWFP between upper and lower slopes (Figs. 3.8c f, 3.9b and k). The modeled RWFPs were higher than 0.4 at most grid cells in the landscape, and 0.05 to 0.35 higher at lower slopes, convergences and depressions than at upper slopes (Fig. 3.9b, e, h and k). Modeled N<sub>2</sub>O emissions were 2 to 30 mg N m<sup>-2</sup> d<sup>-1</sup> higher at tops and foot slopes than at midslopes in sub site F1, F2, and F3 (Fig. 3.9b, e, and h), but they were about 20 mg N m<sup>-2</sup> d<sup>-1</sup> higher at upper slopes than at "cold spots" at depressional areas in F1 and F4 ("1" in Fig. 3.10b, "2" in Fig. 3.10k).

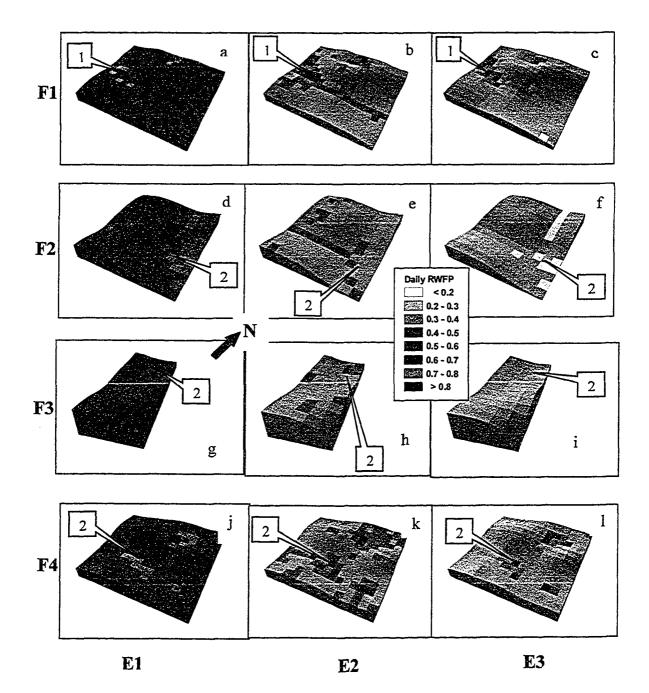


Figure 3.9: The soil RWFPs during three  $N_2O$  emission events (E1: June 13 2000; E2: 19 June 2001; and E3: 28 May 2002) on the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada. 1 indicates a grid cell with continuously low emission. 2 indicates grid cell that changed between low and high emissions.

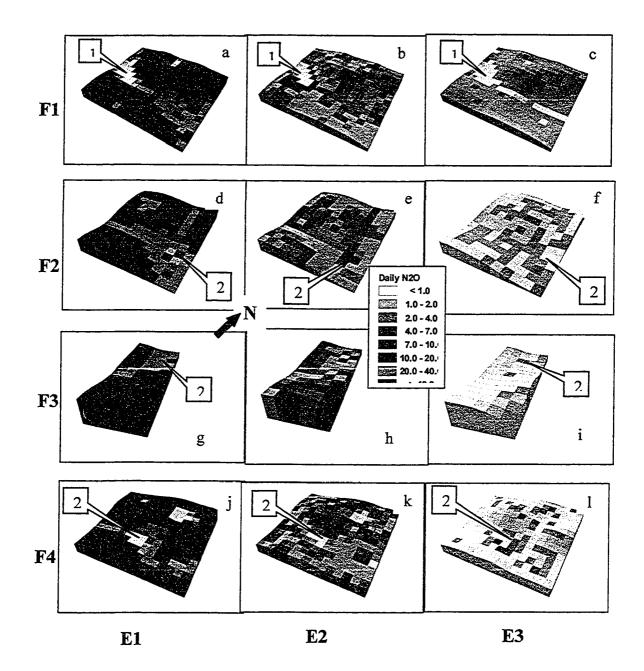


Figure 3.10: The N<sub>2</sub>O emission (mg N m<sup>-2</sup> d<sup>-1</sup>) during three N<sub>2</sub>O emission events (E1: June 13 2000; E2: 19 June 2001; and E3: 28 May 2002) on the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada. 1 indicates a grid cell with continuously low emission. 2 indicates a grid cell that changed between low and high emissions.

sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape.										
	$N_2O$ (mg N m <sup>-2</sup> d <sup>-1</sup> )	$\begin{array}{ccc} N_2O & ST \\ (mg N m^{-2} d^{-1}) & RWFP^c & C \\ \end{array}$		$NH_4^+$ (g N m <sup>-2</sup> )	$\frac{\text{NO}_3}{(\text{g N m}^{-2})}$					
		l variation of da	aily event on 13	June 2000 (E						
Mean <sup>a</sup>	26.9	0.62	12.2	0.5	5.1					
CSV (%) <sup>b</sup>	102	9	2	376	75					
Max <sup>a</sup>	150.8	0.85	14.2	17.5	68.1					
Min <sup>a</sup>	0.0	0.36	11.0	0.0	0.0					
	Spatial	l variation of da	aily event on 19	June 2001 (E	2)					
Mean	10.8	0.43	13.5	0.5	5.3					
CSV (%)	126	36	1	398	62					
Max	141.6	0.87	15.3	16.5	70.1					
Min	0.0	0.14	13.0	0.0	0.3					
	Spatial	l variation of da	aily event on 28	May 2002 (E	3)					
Mean	5.1	0.34	16.7	0.4	3.2					
CSV (%)	163	46	15.0	443	94					
Max	52.2	0.84	19.7	17	32.7					
Min	0.0	0.11	6.4	0.0	0.0					

Table 3.4: The spatial variation of modeled daily  $N_2O$  emissions and of soil  $NH_4^+$  and  $NO_3^-$  contents, and of soil daily relative water-filled porosity (RWFP) and temperature (ST) in the upper 20 cm soil zone during 3 daily emissions events (E1, E2, E3) over four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape.

<sup>a</sup>: Mean, Max and Min were the average, maximum and minimum of daily  $N_2O$  emission in all grid cells in the four sun sites.

<sup>b</sup>: CSV was the coefficient of spatial variation of daily N<sub>2</sub>O emissions in all grid cells across these four sub sites.

<sup>c</sup>: RWFP was the relative water-filled porosity in the upper 20 cm soil zone.

During E3, with no precipitation for a long time prior to the event, the modeled RWFP was lower than 0.4 at most grid cells in the landscape, and lower at upper slopes than at lower slopes (Fig. 3.9c, f, i and l). Modeled N<sub>2</sub>O emissions varied from 0 to 53 mg N m<sup>-2</sup> d<sup>-1</sup> with a coefficient of spatial variation of 163% which was larger than that during E1 and E2 (Table 3.4). Modeled daily N<sub>2</sub>O emissions were higher at lower slopes than at upper slopes (Fig. 3.10c, f, i and l), especially at the "hot spot" ("2" in Fig. 3.10f, i and l). The spatial variation of modeled RWFP increased from 9% on rainy days, through 36% in three days after a large rainfall, to 46% in a dry period, and enhanced the spatial variations of NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> contents (Table 3.4).

Spatial variation of modeled soil temperature (ST) in the upper 20 cm soil zone was smaller than 15%, and increased from E1 to E3 (Table 3.4). Due to the large heat capacity of water, wet soils tended to uniform soil temperatures in the landscape, so that

the spatial variation in E1 and E2 were smaller than 2%. Modeled RWFP, ST, and  $NH_4^+$  and  $NO_3^-$  contents explained 32%, 49%, 27% and 56% of the spatial variation in modeled daily  $N_2O$  emissions, respectively. However, the spatial variations of ST,  $NH_4^+$  and  $NO_3^-$  contents resulted partially from the spatial differences of water. Therefore, the spatial variation of water was the key cause of spatial variations in daily  $N_2O$  emissions in the landscape as well as in the hill slope transect (see 3.6.3.1).

### 3.6.3.3 Spatial variation of modeled annual N<sub>2</sub>O emissions

Modeled annual N<sub>2</sub>O emission varied from 0.01 g N m<sup>-2</sup> to 7.3 g N m<sup>-2</sup> in the hummocky agricultural landscape (Table 3.5). The coefficient of spatial variation (CSV – the percentage of standard deviation to mean of annual N<sub>2</sub>O emissions over the landscape) varied from 58% to 367% in the four sub sites (F1, F2, F3 and F4, Fig. 3.2) in 2000, 2001 and 2002. The CSVs were doubled from the wet year (2000), through the normal year (2001) to the dry year (2002) (Table 3.5). CSVs were markedly different among these four sub sites. Sub site F4 had the highest annual emission and the largest CSV, because of its internal watershed and complex topography. The annual N<sub>2</sub>O emissions of sub site F3 were similar to the sub sites F1 and F2, but the CSVs were lower because of its smooth slopes with water outlets. The complex spatial heterogeneities in topography, soil properties and soil water content resulted in the large spatial variation and the large differences in CSV of annual N<sub>2</sub>O emission among these four sub sites.

For these four sub sites (895 grid cells), annual N<sub>2</sub>O emissions were generally larger than 0.4 g N m<sup>-2</sup> in the wet year, and were generally larger at upper slopes than at lower slopes (Fig. 3.11a, d, g and j). In the normal year, annual N<sub>2</sub>O emissions were between 0.1 g N m<sup>-2</sup> and 0.4 g N m<sup>-2</sup> at most landscape positions, the emissions on slopes were uniform, and very high emissions occurred at foot slopes, water flow paths and depressions (Fig. 3.11b, e, h and k). In the dry year, annual N<sub>2</sub>O emission always occurred in the "hot spot" at foot slopes and depressions (position "2" in Fig. 3.11c, f, i and l). The largest annual N<sub>2</sub>O emission always occurred in the "hot spot" at foot slopes and depressions (position "2" in Fig. 3.11), where it could be more than 10 times higher than at upper slopes and tops (position "2" in Fig. 3.11b, c, e, f, k and l). At "cold spots" ("1" in Fig. 3.11), the annual N<sub>2</sub>O emissions were always the lowest because the RWFPs were too high (e.g. > 0.7, position "1" in Fig.

3.9) to generate less than 0.1 mg N m<sup>-2</sup> d<sup>-1</sup> (position "1" in Fig. 3.11) at most times during the year. However, the "hot spots" could switch to "cold spots" if RWFPs rose enough (position "2" in Fig. 3.11j, k and l).

and 2002.				
Sub Site	Item	2000	2001	2002
	Mean (g N $m^{-2} y^{-1}$ )	0.52	0.40	0.15
F1	CSV (%)	61	154	214
<b>F1</b>	Max ( $g N m^{-2} y^{-1}$ )	3.36	4.66	3.48
	$Min (g N m^{-2} y^{-1})$	0.04	0.03	0.02
	Mean (g N m <sup>-2</sup> y <sup>-1</sup> )	0.56	0.31	0.12
F2	CSV (%)	58	105	163
1.2	Max (g N m <sup>-2</sup> y <sup>-1</sup> )	2.79	2.16	1.93
	$\frac{\text{Min} (g \text{ N m}^{-2} \text{ y}^{-1})}{\text{Mean} (g \text{ N m}^{-2} \text{ y}^{-1})}$	0.09	0.08	0.01
	Mean (g N m <sup>-2</sup> y <sup>-1</sup> )	0.55	0.36	0.16
F3	CSV (%)	41	77	109
1.5	Max (g N m <sup>-2</sup> y <sup>-1</sup> )	1.52	1.30	0.70
	$Min (g N m^{-2} y^{-1})$	0.12	0.09	0.02
	Mean (g N m <sup>-2</sup> y <sup>-1</sup> )	0.63	0.45	0.29
F4	CSV (%)	122	210	367
1.4	Max (g N m <sup>-2</sup> y <sup>-1</sup> )	7.09	6.67	7.30
	$Min (g N m^{-2} y^{-1})$	0.06	0.07	0.02
-	Mean (g N m <sup>-2</sup> y <sup>-1</sup> )	0.57	0.39	0.19
ALL sub sites	CSV (%)	88	170	341
	Max (g N m <sup>-2</sup> y <sup>-1</sup> )	7.09	6.67	7.30
	$Min (g N m^{-2} y^{-1})$	0.04	0.03	0.01

Table 3.5: Spatial variation of annual  $N_2O$  emission in the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002.

### 3.6.4 The relationship between modeled N<sub>2</sub>O emissions and terrain attributes

Terrain attributes, which indicated the topographic characteristics, were significantly related to soil properties, and directly influenced redistribution of water, sediment and solutes (see Chapter 2). Therefore, terrain attributes (TAs) may also be predictors of  $N_2O$  emissions. Annual  $N_2O$  emissions were significantly and negatively related to the slope gradient (SP), special catchment area (SCA), and stream power index (SPI) of the landscape (Table 3.6). Profile curvature (PFC), plan curvature (PLC), total upslope length

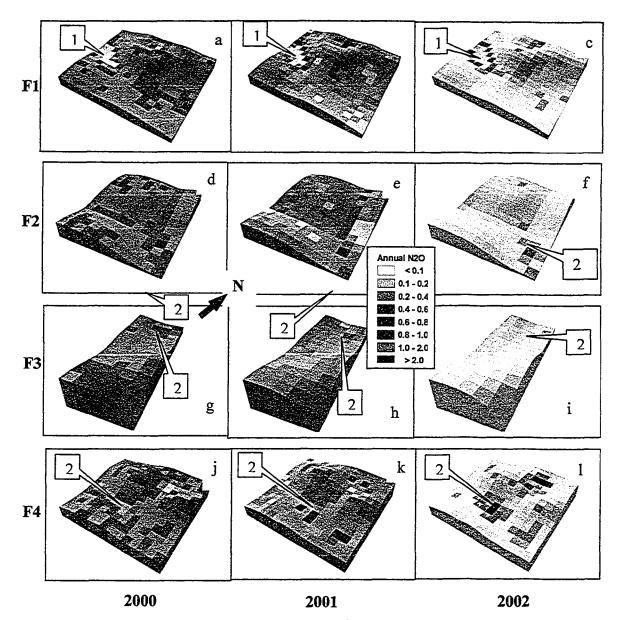


Figure 3.11: The annual  $N_2O$  emissions (g N m<sup>-2</sup>) in the four sub sites (F1, F2, F3 and F4) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002. 1 indicates a grid cell with continuously low emission ("cold spot"). 2 indicates a grid cell that changed between low and high emissions ("cold spot") or "hot spot").

(TUL), topographic complex index (TCI), and catchment area (CA) significantly affected the annual N<sub>2</sub>O emissions, but the relationships were dependent on precipitation. In the wet and normal year (2000 and 2001), effective rainfall events (Fig. 3.3a and e) caused active surface and subsurface water movement and solute translocation (Fig. 3.8). Water accumulated at concavities (PFC < 0), lower landscape positions (RE < 0), gilgai depressions (TCI > 0), and at grid cells which had large catchment areas (large CA or longer TUL). More water infiltrated at slope divergences (PLC > 0) than at convergences (PLC < 0) because of reduction in velocity of surface flow. As a result, these locations maintained high soil water contents for long periods, causing a sustained deficiencies of electron acceptors ( $R_e$  and  $R_{en}$ , Eqs. A3.17 and A3.26) due to reduced O<sub>2</sub> supply ( $U_{gk}$ ,  $R_{O2}$ ) and Ro2n, Eqs. A3.8, A3.17 and A3.25). As a result, less N2O was emitted in the model because N<sub>2</sub>O was converted to N<sub>2</sub> (Eq. A3.21). Therefore, N<sub>2</sub>O emissions were positively related to PFC and RE, and negatively related to PLC, TUL, TCI and CA in the wet and normal years. In the dry year, water and solute redistribution did not effectively happen with very low precipitation, especially during the main emission period (May to July, Fig. 3.5a). With generally lower soil water contents over the landscape in the dry year than in the wet and normal years (Fig. 3.9), soil water contents at the locations of water accumulation were high enough to cause active N<sub>2</sub>O emission. Therefore, N<sub>2</sub>O emissions were negatively related to PFC and RE, and positively related to PLC, TUL, TCI and CA.

However, the total N<sub>2</sub>O emissions over two and three years (multiple-year periods) had consistently significant relationships with terrain attributes. The effects of annual precipitation on annual N<sub>2</sub>O emissions was eliminated because of the long-term N<sub>2</sub>O emissions reflected the average effect of precipitation over long-term period. In summary, terrain attributes could be used to estimate spatial N<sub>2</sub>O emissions, but estimations would be more reliable for long term (multiple years) N<sub>2</sub>O emissions than for annual N<sub>2</sub>O emissions. The terrain attributes that were the best predictors for annual N<sub>2</sub>O emissions were different for different years. The PFC, RE, LUL, SPI, SP, SCA were the best predictors to estimate long-term (multiple-year) N<sub>2</sub>O emissions.

In addition, some terrain attributes such as SPI and SCA were highly related to  $N_2O$  emissions, but were not related to soil properties. The terrain attribute affected soil properties at either positively or negatively, but affected  $N_2O$  emissions at both direction.

Item	Annua	l N <sub>2</sub> O em	ission	Multiple	e year N <sub>2</sub>	) emission
Year	2000	2001	2002	00-02 <sup>‡</sup>	00-01 <sup>§</sup>	01-02 <sup>¶</sup>
Profile curvature (PFC)	0.14	0.24	-0.15	0.13	0.15	0.15
Plan curvature (PLC)	-0.14	-0.08	0.1	-0.07	-0.08	-0.02
Relative elevation (RE)	0.23	0.28	-0.02	0.27	0.27	0.22
Aspects (AS)	0.07	0.05	-0.04	0.05	0.07	0.02
Total upslope length (TUL)	-0.07	-0.12	0.09	-0.06	-0.07	-0.06
Longest upslope length (LUL)	-0.05	-0.13	0.08	-0.07	-0.08	-0.08
Topographic complex index (TC	I)-0.16	-0.14	0.16	-0.1	-0.11	-0.07
Stream power index (SPI)	-0.22	-0.42	-0.24	-0.38	-0.33	-0.4
Inverse wetness index (IWI)	0.06	0.01	-0.25	-0.05	0	-0.06
Special catchment area (SCA)	-0.22	-0.36	-0.13	-0.32	-0.29	-0.31
Catchment area (CA)	-0.07	-0.11	0.09	-0.06	-0.07	-0.06
Slope gradient (SP)	-0.17	-0.42	-0.34	-0.38	-0.31	-0.43

Table 3.6: The Pearson correlation (R) between annual  $N_2O$  emissions and terrain attributes<sup>†</sup> in the hummocky agricultural landscape at Viking, Alberta, Canada in 2000, 2001, 2002.

Note: Correlation coefficient  $\geq 0.07$  at significance level 0.05, and  $\geq 0.09$  at significance level 0.01 with n = 895.<sup>†</sup>: The terrain attributes were defined at Chapter 2.<sup>‡</sup>: The total N<sub>2</sub>O emission from 2000 to 2002.<sup>§</sup>: The total N<sub>2</sub>O emission of 2000 and 2001; <sup>¶</sup>: The total N<sub>2</sub>O emission of 2001 and 2002.

### **3.7 Discussion**

### 3.7.1 The reliability of modeled N<sub>2</sub>O fluxes

Measured N<sub>2</sub>O fluxes did not show the large short-term fluctuations apparent in the modeled fluxes (Figs. 3.3 to 3.6). In the *3D-Ecosys-GIS* model, the functions of soil water (gas diffusivity, Eq. A3.10) and temperature (Arrhenius, Eq. A3.21) in N<sub>2</sub>O emissions promoted rapid changes of N<sub>2</sub>O fluxes (Figs. 3.3 to 3.6). This large temporal variation had been found in measurements elsewhere. Thornton et al. (1996) found that N<sub>2</sub>O fluxes measured with chamber in 3 minute intervals had a coefficient of temporal variation larger than 200% in a fertilized maize field. Continuous measurements have shown that the diurnal changes of N<sub>2</sub>O fluxes could be as much as 2500  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup>, and often more than 720  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> in a fertilized maize field (by micrometeorological method, Laville et al., 1999), and as much as 1200 mg N m<sup>-2</sup> h<sup>-1</sup> in a fertilized grassland in silty clay loam soil (by automated chamber, Thornton et al., 1998). Research with a continuous automated chamber, conducted in a potato field north of Munich in Germany,

showed that N<sub>2</sub>O fluxes could change between ~0  $\mu g$  N m<sup>-2</sup> h<sup>-1</sup> and ~1200  $\mu g$  N m<sup>-2</sup> h<sup>-1</sup> with a change of less than 0.05 in soil water-filled porosity over 2 to 5 days (Flessa et al., 2002). At the same site, Flessa and Dörsch (1995) found that N<sub>2</sub>O fluxes measured by chamber ranged from 0 to 2700  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> over about 5 days in a spring barley field fertilized with 50 kg N ha<sup>-1</sup>. Lemke et al. (1999) measured N<sub>2</sub>O fluxes up to 1400  $\mu$ g N m<sup>-2</sup> h<sup>-1</sup> by chamber near Edmonton, Alberta, where soil and soil management were similar to those in this study. In this study, the non-continuous chamber measurements (8, 9 and 11 measured events in 2000, 2001 and 2002, respectively. Fig. 3.3) might not have recorded short-term changes in emissions. Laville et al. (1999) found that non-continuous chamber measurements did not show the large diurnal variation of N<sub>2</sub>O fluxes measured by micrometeorological methods. In addition, modeled peak N<sub>2</sub>O fluxes occurred during the late afternoon to early night following diurnal variation in soil temperature in the upper 20 cm soil zone (Fig. 3.6). Measurements taken with a continuous monitoring flow-through system on grassland demonstrated that the peak N<sub>2</sub>O fluxes occur in the late afternoon and diurnal changes followed soil temperature at 10 cm depth (Williams et al., 1999). The chamber measurements in this study were performed between 13:00 and 14:00 of local daylight saving time, perhaps too early to measure peak N<sub>2</sub>O emissions.

The modeled N<sub>2</sub>O fluxes explained a significant fraction of the variation in measured N<sub>2</sub>O fluxes, although these fractions were lower in the normal (2001) and dry (2002) years (Table 3.1). The ratio of standard differences between modeled and measured N<sub>2</sub>O fluxes to the mean of measured values (RSD: 123% to 169% in Table 3.1) were comparable to variation of replicated chamber measurements measured elsewhere. Kaiser et al. (1998) reported that the coefficients of variation among repeated chamber measurements varied between 149% and 161% with an average of 154% in a fertilized sugar beet field at Lower Saxony, Germany. Folorunso and Rolston (1984) reported that the differences of measured N<sub>2</sub>O fluxes might be 282% to 379% at chamber locations 1 to 2 meters apart of in a sorghum field (alluvial soil) at California, USA. Coefficients of variation among measured N<sub>2</sub>O fluxes from four chambers located in a 2×3 m grid in a fertilized spring wheat field of clay-loamy soil with slight slope ( $\approx 2\%$ ) varied between 11% and 976% with an average of 173% (Metivier et al. 2003, unpublished data). Comparison of variation in measured and modeled fluxes was complicated by their

different spatial scales. Measured N<sub>2</sub>O fluxes represented emission from 0.1 m<sup>2</sup> flux chambers while modeled results represented emissions from a 100 m<sup>2</sup> grid cell. The RSDs were comparable with variation measured over a 100 m<sup>2</sup> area (123% ~ 169% in Table 3.1 vs.  $31\% \sim 168\%$  in 100 m<sup>2</sup> in Matthias et al. (1980)).

In summary, measuring frequency may have been too low to follow the large temporal variations of modeled  $N_2O$  emission, and would have to be increased for well-constrained model validation and better estimates of  $N_2O$  emissions. Continuous data from automated chambers or micrometeorological methods would be much better than those from manual chambers in representing the large temporal variation of  $N_2O$  fluxes and for validating simulation results. Measuring time in this study (13:00 to 14:00 of local daylight saving time) did not coincide with the peak time of modeled  $N_2O$  emissions, and should have been delayed to the late afternoon to try to capture peak emissions. However, modeled  $N_2O$  fluxes appeared to reproduce measured  $N_2O$  fluxes, with differences between measured and modeled  $N_2O$  fluxes being comparable to uncertainty of chamber measurements caused by temporal and spatial differences.

### 3.7.2 Temporal variation of modeled N<sub>2</sub>O emissions

The large temporal variation in N<sub>2</sub>O fluxes (Table 3.3) resulted from the functions of soil water and temperature used to calculate N<sub>2</sub>O emissions in the *3D-Ecosys-GIS* (Eqs. A3.7 to A3.27). These strong effects of soil water and temperature on N<sub>2</sub>O emissions as described in section 3.6.1 have been demonstrated in other field measurements. The coefficients of temporal variation in hourly N<sub>2</sub>O fluxes modeled in this study were lower than that in measurements of Flessa and Dörsch (1995) (73 to 97% vs. 143 to 233%), and consistent with the temporal variations of N<sub>2</sub>O fluxes measured in other arable soils (Sehy et al., 2003; Flessa et al., 2002; Chao et al., 2000).

The differences in annual precipitation from 2000 to 2002 resulted in differences from 1 to 10 times in averaged hourly  $N_2O$  emissions, and differences of 2 to 3 times in annual  $N_2O$  emissions. These differences suggested that (1) the CTV in  $N_2O$  emission was likely independent of site conditions such as crop, soil and management, and likely determined by short-term changes of soil water content and temperature; (2) the differences in length of main emission periods resulted in large differences in total  $N_2O$  emissions (i.e. peak

emissions may be similar, but duration of emission events may be longer with wetter or warmer conditions); and (3) current  $N_2O$  emission inventory for provincial and national scales (IPCC guidelines, 1997) that does not account for temporal variation could generate large uncertainty in estimates of  $N_2O$  emissions.

### 3.7.3 Spatial variations of modeled N<sub>2</sub>O emission

The spatial variation in modeled  $N_2O$  emissions resulted from the redistribution of soil water,  $NH_4^+$  and  $NO_3^-$  through surface and subsurface flows (Eqs. A3.1 to A3.6; Figs. 3.8, 3.9, 3.10 and 3.11; Tables, 3.3, 3.4 and 3.5). Generally, the higher water,  $NH_4^+$  and  $NO_3^-$  at lower landscape positions caused higher  $N_2O$  emissions (Eqs. A3.7, A3.10, A3.17 to A3.20). This result was consistent with research conducted by Corre et al. (1996), van Kessel et al. (1993) and Pennock et al. (1992) in agricultural fields of glacial till landscapes at central Saskatchewan, Canada, and by Weitz et al. (2001) in loam and clay soils with annual (maize and taro) and perennial (papaya, balsa) crops in Costa Rica. The CSV in modeled annual  $N_2O$  emissions varied between 61% and 367% with differences in curvatures, catchment areas and slope gradients among four sub sites and in annual precipitation (Table 3.5; Fig. 3.8 to 3.11). The CSVs were similar to the measured spatial variability in a permanent pasture of UK (21% to 287%, Williams et al. 1999), and consistent with the measured spatial variation elsewhere (100% to 500%, Röver et al., 1999; Kaiser et al., 1996; Myrold, 1988; Folorunso and Rolston, 1984).

Modeled daily and annual N<sub>2</sub>O emissions were lower at foot slopes and depressions, especially the "cold spots" indicated as "1" (Figs. 3.10a and j, 3.11a, d, g and j) if the RWFP was consistently higher than 0.6 - 0.7 (Figs. 3.8c, 3.9a and j). When RWFPs were lower than 0.6 over the landscape (Fig. 3.9), N<sub>2</sub>O emissions were higher at the lower slopes than those at the upper slopes, and the "cold spots" switched to "hot spots" ("2" in Figs. 3.10 and 3.11). Davidson (1991) predicted maximum N<sub>2</sub>O fluxes would occur when RWFP was about 0.6. Weitz et al. (2001) measured decreasing N<sub>2</sub>O fluxes when RWFP exceeded 0.7 in annual and perennial crop fields in tropical lowland of Costa Rica, and Crill et al. (2000) reported evidence of decreasing N<sub>2</sub>O fluxes when RWFP exceeded 0.8 in a loamy land under annual cropping. However, this study found that there were no

fixed RWFP threshold values at which  $N_2O$  emission increased or decreased (Fig. 3.6). The thresholds likely became lower at higher temperatures.

In summary, modeled N<sub>2</sub>O emission in the hummocky agricultural landscape showed a similar spatial variation to that measured elsewhere. The large spatial variation meant measurements taken at a few locations could not represent the N<sub>2</sub>O emissions for the entire landscape. The aggregated N<sub>2</sub>O emissions from grid cell (100 m<sup>-2</sup>) in a watershed could represent landscape effects on N<sub>2</sub>O emissions at small watershed scales. The results could then be scaled up to larger areas. It would be helpful to reduce uncertainty in current N<sub>2</sub>O inventory (IPCC guidelines) by accounting for the large spatial variation of N<sub>2</sub>O emissions.

### 3.7.4 Relation of temporal and spatial variation in N<sub>2</sub>O emissions to N<sub>2</sub>O inventory

The temporal and spatial changes of soil water and solute contents, and soil temperature caused temporal and spatial variation in  $N_2O$  emissions (>70%, Table 3.3, 3.4, and 3.5). In particular, annual N2O emissions were 1 to 3 times different due to differences in precipitation, and more than 10 times different between upper and lower slopes due to topographic differences (Table 3.5, Figs. 3.10 and 3.11). Therefore, measurements taken at a lower frequency or at a few locations would not likely represent N<sub>2</sub>O emissions at larger temporal and spatial scales. Flessa et al. (2002) and Scott et al. (1999) have demonstrated that large temporal and spatial variations of N<sub>2</sub>O fluxes were a main source of error in estimating cumulative N<sub>2</sub>O fluxes from cultivated soils. Crill et al. (2000), Flessa et al. (2002), Scott et al. (1999) and Brumme and Beese (1992) reported that the differences of cumulative N<sub>2</sub>O emissions estimated from higher (2 to 5 measurement per day) and from lower (1 measurement per day to month) measurement frequencies were 6% - 56%. Alternation between "hot spots" and "cold spots" ("2" in Figs. 3.10 and 3.11) would cause large uncertainty in estimated N<sub>2</sub>O emissions if a few measurement sites were located at these spots. Denmead et al. (2000) found differences of about 360% in averaged  $N_2O$  emissions estimated at 0.05 ha., 5  $\mathrm{km}^2$  and 100  $\mathrm{km}^2$ spatial scales regardless of spatial variation. Current N2O inventory (IPCC guidelines, 1997), which do not consider the large temporal and spatial variation of N<sub>2</sub>O emissions,

would generate large errors in the estimates of  $N_2O$  emissions, probably larger than 62% (Brown et al. 2001).

This variation could be represented by ecosystem simulation models such as 3D-*Ecosys-GIS*. N<sub>2</sub>O emissions for the entire study field could be estimated from modeled results for the four sub sites. Uncertainty of total N<sub>2</sub>O emissions could then be reduced with full consideration of the temporal and spatial variability at field scale. However, the performance limitation of current computers made it difficult to simulate the N<sub>2</sub>O emissions for more than 100 hectares in tens of meters resolution. Fortunately, there were correlations between terrain attributes and N<sub>2</sub>O emissions (Table 3.6). Although these correlations were weak, the relationship function could be built by using the simulation results for N<sub>2</sub>O emission from pilot sub sites and terrain attributes derived from DEM. The DEM can be easily obtained from GIS. Therefore, N<sub>2</sub>O emission at coarse scales, such as provincial and national scales, could be estimated with consideration of this large temporal and spatial variation. At the least, the results from this method could be used to improve confidence in the estimate of N<sub>2</sub>O emissions from IPCC guidelines.

### **3.8 Conclusions**

The modeled N<sub>2</sub>O fluxes were comparable with the measured fluxes during measured emission events. Due to the low measuring frequency and the brief measuring time, measurements did not catch the large temporal variability that exists in most agroecosystems. Variability in the modeled results was consistent with continuous chamber and micrometeorological measurements conducted in similar agroecosystems elsewhere. However, frequent or continuous measurements are still necessary for well-constrained model validation.

By analyzing the modeled outputs, the coefficients of temporal variation were found to be 83%, 99%, and 51% for hourly, seasonal and annual N<sub>2</sub>O emissions, respectively. Dry climate enlarged the temporal variations, and shortened the main emitting season. More than 60% of N<sub>2</sub>O was emitted during the period of crop vegetation development. Emissions were lower in fall, winter, and early spring. Fall fertilizer did not produce noticeable N<sub>2</sub>O emissions after application in this hummocky agricultural landscape. The annual  $N_2O$  emission in the dry year was only one-third of that in the wet year with lower peak emissions and shorter emission season.

In the hummocky agricultural landscape, coefficients of spatial variation increased from 88% in the wet year, through 170% in the normal year, to 341% in the dry year. The spatial heterogeneities of RWFP and soil temperature in the upper 20 cm soil zone caused the large spatial variability of  $N_2O$  emission.  $N_2O$  emissions were higher at upper slopes than at lower slopes during rainy period and in the wet year, but they were lower at upper slopes than at lower slopes during dry period and in the normal and dry years.

Landscape modeling methods that fully represent the large temporal and spatial variation of  $N_2O$  emissions could improve confidence in the  $N_2O$  inventory, and provide more realistic estimates of  $N_2O$  emissions.

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### Appendix 3.1: The main equations and variables for simulating of landscape $N_2O$ emissions in the *3D-Ecosys-GIS*

### Subscripts:

- i: The direction in x (W-E) or y (N-S) in each landscape position (, i is the direction in x,
- y, z in subsurface).
- *j*: The solute (j = 1, 2, 3, n).
- k: Gas.
- x: Landscape position increasing from west to east.
- y: Landscape position increasing from north to south.
- z: Landscape position increasing with depth.

### Variables:

 $[W_C]$ : Concentration of soluble hydrolysis products of soil carbon in soil solution (g C m<sup>-3</sup>).

 $[CO_{2s}]$ : CO<sub>2</sub> concentration in soil solution (g C m<sup>-3</sup>).

 $[O_{2h}]$ : O<sub>2</sub> concentration at heterotroph surfaces (g O<sub>2</sub> m<sup>-3</sup>).

 $[O_{2n}]$ : O<sub>2</sub> concentration at ammonia oxidizer surfaces (g O<sub>2</sub> m<sup>-3</sup>).

*a*: Air-water interface area.

 $A_k$  and  $B_k$ : Empirical parameter to solve the solubility of gas k at 30 °C.

 $C_j$ : Concentration of j as solute (g m<sup>-3</sup>).

 $C_j$ : The concentration of solute j in water phase (g m<sup>-3</sup>).

d: Depth of mobile surface water per unit area (m).

D: The dispersivity-diffusivity coefficient  $(m^2 h^{-1})$ .

 $D'_{g}$ : Gaseous diffusivity at 30 °C (m<sup>2</sup> h<sup>-1</sup>).

 $D_{wk}$ : Aqueous diffusivity of gas k in water at 30 °C (m<sup>2</sup> h<sup>-1</sup>).

 $D_g$ : Gaseous diffusivity of gas k (m<sup>2</sup> h<sup>-1</sup>).

 $D_{sk}$ : Volatilization-dissolution transfer coefficient of gas k (m h<sup>-1</sup>).

 $D_{wk}$ : Aqueous dispersivity-diffusivity of gas k (m<sup>2</sup> h<sup>-1</sup>).

*E*: Activation energy (cal  $mol^{-1}$ ).

 $E_k$ : Gas exchange of gas k between gaseous and aqueous phases (g m<sup>-3</sup>).

 $f_e$ : The fraction of transferred electrons based on the ratio of denitrifer growth rates under anaerobic vs. aerobic conditions (Koike and Hattori, 1975).

 $f_{sk}$ : Temperature function on solubility of gas k.

 $f_t$ : Soil temperature function (dimensionless).

 $G_{gk}$ : The concentration of gas k in soil air (g m<sup>-3</sup>).

 $G_{wk}$ : The concentration of gas k in aqueous phases (g m<sup>-3</sup>).

 $K(\theta)$ : A spatially dependent unsaturated hydraulic conductivity (m h<sup>-1</sup>) at landscape position x, y, z.

 $K_{NH4}$ : Michaelis-Menten constant for oxidation of NH<sub>4</sub><sup>+</sup> by  $M_{na}$  (g N m<sup>-3</sup>).

 $K_{NO2}$ : Michaelis-Menten constant for reduction of NO<sub>2</sub><sup>-</sup> by ammonia oxidizers (g N m<sup>-3</sup>).

 $K_{NO_3}$ ,  $K_{NO_2}$  and  $K_{N_2O}$  are Michaelis-Menten constant for reduction of NO<sub>3</sub>, NO<sub>2</sub> and N<sub>2</sub>O by heterotrophic denitrifiers (g N m<sup>-3</sup>).

 $K_{O2h}$ : Michaelis-Menten constant for reduction of O<sub>2</sub> in soil solution by heterotrophs  $(0.032 \text{ g } \text{O}_2 \text{ m}^{-3}).$ 

 $K_{O2n}$ : Michaelis-Menten constant for reduction of O<sub>2</sub> in soil solution by ammonia oxidizers (0.32 g  $O_2 m^{-3}$ ).

 $K_{Rh}$ : Michaelis-Menten constant for respiration of W<sub>C</sub> by heterotrophs (35 g C m<sup>-3</sup>). L: Width of flow paths (m).

 $L_x$ : Width of water flow path in x direction (grid cell size) (m).

 $L_{v}$ : Width of water flow path in y direction (grid cell size) (m).

 $M_{ha}$ ; Active biomass of heterotrophs (g C m<sup>-2</sup>).

 $M_i$ : Mass of solute j (g).

 $M_{na}$ : Active biomass of  $NH_4^+$  oxidizers (g C m<sup>-2</sup>)

*n*: Manning's surface roughness coefficient ( $m^{5/3}$  h).

 $Q_{si}$ : Solute flow of solute j (g m<sup>-2</sup> h<sup>-1</sup>).

 $Q_w$ : Water flow (m<sup>3</sup> m<sup>-2</sup> h<sup>-1</sup>).

 $\tilde{Q}_{wi}$ : The i<sup>th</sup> (i = 1, 2, 3 in x, y, or z direction) component of water flux density (m<sup>3</sup> m<sup>-2</sup> h<sup>-1</sup>

*R*: Gas constant (cal mol<sup>-1</sup>  $k^{-1}$ ).

r: Hydraulic radius is the rate of area flow cross-section to wet perimeter (m).

 $R'_{O_2}$ : O<sub>2</sub> reduction by heterotrophs under saturating soil [O<sub>2</sub>] ( $gO_2 m^{-2} h^{-1}$ ).

 $R_{O2n}$ : Rate of soil solution O<sub>2</sub> reduction by ammonia oxidizers under non-limiting soil solution  $O_2$  (g  $O_2$  m<sup>-2</sup> h<sup>-1</sup>);

 $R_e$ : Electron transfer to N oxides by denitrifiers (mol e m<sup>-2</sup> h<sup>-1</sup>).  $R_{en}$ : Electron transfer to N reduced by nitrifiers (mol e<sup>-</sup> m<sup>-2</sup> h<sup>-1</sup>).

 $R_{NO_3}$ ,  $R_{N_2O}$  and  $R_{N_2O}$ : NO<sub>3</sub>, NO<sub>2</sub> and N<sub>2</sub>O reduction by heterotrophic denitrifiers (g N m<sup>-2</sup>  $h^{-1}$ ).

 $R_{O_2}$ : O<sub>2</sub> reduction by heterotrophs under ambient soil [O<sub>2</sub>] (g O<sub>2</sub> m<sup>-2</sup> h<sup>-1</sup>).

 $R_{O2c}$ : Respiratory quotient for reduction of O<sub>2</sub> coupled to oxidation of C (2.67 g O<sub>2</sub> g<sup>-1</sup> C)  $R_{O2n}$ : Rate of soil solution O<sub>2</sub> reduction by ammonia oxidizers under ambient soil solution  $O_2$  (g  $O_2$  m<sup>-2</sup> h<sup>-1</sup>);

 $r_{O2n}$ :Respiratory quotient for reduction O<sub>2</sub> coupled to oxidation of NH<sub>4</sub><sup>+</sup> (3.43 g O<sub>2</sub> g<sup>-1</sup> N). S: Slope (m  $m^{-1}$ ).

 $S_k$ : Ostwald solubility coefficient of gas k at 30 °C (m<sup>3</sup> air m<sup>-3</sup> water).

 $s_r$ : Slope of channel side during surface flow (m m<sup>-1</sup>).

T: Soil temperature ( $^{\circ}$ C).

T: The temperature of soil ( $^{\circ}$ C).

 $U_{gk}$ : The uptake (negative value) or production (positive value) of microbial and plant in gas  $O_2$  or  $N_2O$  (g m<sup>-3</sup> h<sup>-1</sup>).

 $U_{gO_2}$ : O<sub>2</sub> reduction by heterotrophs under ambient soil [O<sub>2</sub>] (g O<sub>2</sub> m<sup>-2</sup> h<sup>-1</sup>).

 $U_n$ : Added or removed solute (g m<sup>-3</sup> h<sup>-1</sup>).

 $U_{w}$ : Root water uptake (m<sup>3</sup> m<sup>-3</sup> h<sup>-1</sup>).

W: Soil water mass  $(m^3)$ .

X'<sub>C</sub>: Specific oxidation of W<sub>C</sub> by heterotrophs at saturating [W<sub>C</sub>] and 30°C (0.2 g C g C<sup>-1</sup> h<sup>-1</sup>. Ridge, 1976; Shields et al., 1974).

 $X_{NH4}$ : Specific rate of NH<sub>4</sub> oxidation of by  $M_{na}$  under non-limiting [O<sub>2n</sub>] at 30°C (g N g<sup>-1</sup>)  $M_{na} h^{-1}$ ).

 $X_C$ : Oxidation of W<sub>C</sub> coupled to reduction of O<sub>2</sub> by heterotrophis under saturating [O<sub>2</sub>] (g C m<sup>-2</sup> h<sup>-1</sup>).

 $X_{NH4}$ : Oxidation of NH<sub>4</sub><sup>+</sup> coupled to reduction of O<sub>2</sub> by  $M_{na}$  under non-limiting [O<sub>2n</sub>] at the surface of nitrifiers (NH<sub>4</sub><sup>+</sup> oxidizers) (g N m<sup>-2</sup> h<sup>-1</sup>).

 $Z_{di}$ : Distance in i<sup>th</sup> directions (m, i=1, 2, 3 in x, y, and z directions).

- $\Psi$ : Soil water potential (MPa; time 100 using in A3.3).
- $\alpha$ : Frequency factor.
- $\lambda$ : Hydrodynamic dispersion coefficient (0.04 m, Bresler, 1973).
- $\theta$ : Volumetric water content (m<sup>3</sup> m<sup>-3</sup>).
- $\theta_g$ : Air-filled porosity (m<sup>3</sup> m<sup>-3</sup>).
- $\theta_s$ : Total porosity (m<sup>3</sup> m<sup>-3</sup>).
- $\theta_{w}$ : Aqueous gas content (m<sup>3</sup> m<sup>-3</sup>).

 $\tau$ . Tortuosity coefficient for aqueous diffusion. (0.2).

### **Equations:**

### Water translocation

Surface water flow

$$Q_{wi} = \frac{r^{2/3} S_i^{1/2} d L_i}{n}$$
(A3.1)

$$r = \frac{s_r a}{2(s_r^2 + 1)^{0.5}} \tag{A3.2}$$

Subsurface water flow

$$\frac{\partial \theta}{\partial t} = \sum_{i=1}^{3} \frac{\partial Q_{wi}}{\partial Z_{di}} - U_{w} = \sum_{i=1}^{3} \frac{\partial}{\partial Z_{di}} \left[ K(\theta) \frac{\partial \Psi_{i}}{\partial Z_{di}} \right] - U_{w}$$
(A3.3)

### Solute transport

Surface solute transport

$$Q_{sji} = Q_{wi}C_j \tag{A3.4}$$

$$C_{j} = M_{j} / (W + dL_{x}L_{y})$$
(A3.5)

Subsurface transport

$$\frac{\partial(\partial C_j)}{\partial t} = \sum_{i=1}^{3} \left[ \frac{\partial}{\partial Z_{di}} \left( \theta D \frac{\partial C_{ji}}{\partial Z_{di}} \right) - \frac{\partial(Q_{wl}C_{jl})}{\partial Z_{di}} \right] - U_n$$
(A3.6)

**Gas Flux** 

$$\frac{\partial(\theta_{g}G_{gk})}{\partial t} = \sum_{i=1}^{3} \left[ \frac{\partial}{\partial X_{i}} \left( D_{gk} \frac{\partial G_{gki}}{\partial X_{i}} \right) \right]$$
(A3.7)

$$\frac{\partial(\theta_{w}G_{wk})}{\partial t} = \sum_{i=1}^{3} \left[ \frac{\partial(\mathcal{Q}_{wi}G_{wki})}{\partial X_{i}} - \frac{\partial}{\partial X_{i}} \left( D_{wk} \frac{\partial G_{wk}}{\partial X_{i}} \right) \right] - U_{gk}$$
(A3.8)

$$E_k = aD_{sk}(S_k f_{sk} G_{gk} - G_{wk}) \tag{A3.9}$$

$$D_{gk} = D'_{gk} f_g \theta_g^{33} / \theta_s^2$$
(A3.10)

$$D_{wk} = \lambda |Q_w| + D'_{wk} f_w \tau \theta \tag{A3.11}$$

$$f_{sk} = e^{(A_k - B_k T)}$$
 (A3.12)

$$f_g = \left(\frac{T + 273.15}{273.15}\right)^6 \tag{A3.13}$$

$$f_g = \left(\frac{T + 273.15}{273.15}\right)^1$$
(A3.13)  
$$f_w = \left(\frac{T + 273.15}{273.15}\right)^{1.75}$$
(A3.14)

## N<sub>2</sub>O production Denitrification

$$X_{c} = \{X'_{c} M_{ha}[W_{c}]/(K_{Rh} + [W_{c}])\}f_{t}$$
(A3.15)

$$\dot{R}_{02} = R_{02c} X_C \tag{A3.16}$$

$$R_{02} = R_{02} [O_2 k] / ([O_2 k] + K_{02k}]) \tag{A3.17}$$

$$R_{02} = R_{02} [O_{2h}/([O_{2h}] + R_{02h}])$$
(A3.18)  
$$R_{e} = 0.125 f_{e} (R_{02} - R_{02})$$
(A3.18)

$$R_{NO_3} = 7 R_e [NO_3] / ([NO_3] + K_{NO_3})$$
(A3.19)

$$R_{NO_2} = (7 R_e - R_{NO_3}) [NO_2] / ([NO_2] + K_{NO_2})$$
(A3.20)

$$R_{N_{2}O} = 2 \left( 7 R_e - R_{NO_3} - R_{NO_2} \right) \left[ N_2 O \right] / \left( [N_2 O] + K_{N_2 O} \right)$$
(A3.21)

$$f_t = \alpha e^{\frac{E}{R(2T).(S+T)}}$$
(A3.22)

### Nitrification

itrification	
$X_{NH4} = \{X_{NH4}M_{na}[NH_{4}^{+}]/([NH_{4}^{+}] + K_{NH4})\}\{[CO_{2s}]/([CO_{2s}] + K_{CO2})\}\}$	$f_t(A3.23)$
$R_{O2n} = r_{O2n} X_{NH4}$	(A3.24)
$R_{O2n} = R_{O2n} ([O_{2n}]/([O_{2n}] + K_{O2n}))$	(A3.25)
$R_{en} = 0.125 f_e(\dot{R}_{O2n} - R_{O2n})$	(A3.26)
$R_{N2On} = 7R_{en} \{ [CO_{2s}] / ([CO_{2s}] + K_{CO2}) \} \{ [NO_2^-] / ([NO_2^-] + K_{NO2n}) \}$	(A3.27)

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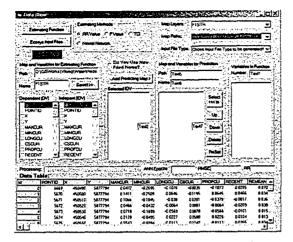
### Appendix 3.2: The interface of 3D-Ecosys-GIS

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Appendix 3.2A: The main interface of 3D-ECOSYS-GIS model.

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Appendix 3.2B: The sub interface for selecting data maps.



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Appendix3.2C: The sub interface for estimating soil properties from terrain attributes and handling the input files of ecosys.

Appendix 3.2D? The sample of sub interface to handle input files of eccesys (This was used for handling soil data input file).

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Appendix 3.2E: The interface to handle outputs.

# Chapter 4: The Effects of Land and Cropping Management on N<sub>2</sub>O Emissions in a Hummocky Agricultural Landscape

### **4.1 Introduction**

Agriculture is the main anthropogenic source of  $N_2O$  (Choudhary et al., 2002), perhaps as much as 80% of all anthropogenic sources (Beauchamp, 1997). In Canada,  $N_2O$  emission accounts for 60% of the agricultural greenhouse gas effect. How to reduce  $N_2O$  emissions by changes in agricultural practices is still a crucial question. The amount of  $N_2O$  emission depends on complex interactions among agricultural practices, climatic factors, soil properties, soil water content, soil temperature, and microbial biomass (Scott et al. 2002; Akiyama et al., 2000; Kaiser and Ruser, 2000; Frolking et al., 1998; Kaiser et al., 1998; Smith et al., 1998; Minami, 1997; Qian et al., 1997; Matson and Vitousek, 1990; Cicrone, 1989; Cates and Keeney, 1987; Cates and Keeney, 1986; Goodroad and Keeney, 1984; Smith et al., 1983). Among these factors, agricultural practices are the easiest ones to change. Appropriate agricultural practices, such as tillage, fertilizer, and crop rotation can reduce  $N_2O$  emission from agricultural land.

Tillage affects  $N_2O$  emissions. Aulakh et al. (1984) found that  $N_2O$  emissions with notillage were two times that with conventional tillage in a wheat field in Saskatchewan, Canada. Reduced aerobic conditions and higher denitrifier populations contributed to the higher  $N_2O$  emissions. Ball et al. (1999) measured 2 to 10 times higher  $N_2O$  emissions by automated chambers in a no-tillage than in a conventionally tilled spring barley in an imperfectly drained loamy soil. Choudhary et al. (2002) found that  $N_2O$  emissions were slightly higher in a no-tillage than in a conventional tillage field, but the differences were not significant. However, Lemke et al. (1999) measured that  $N_2O$  emissions were generally lower in a no-tillage than in a conventional (intensive) tillage field, and higher in a fallow field than in a crop field in the Alberta parkland region, Canada.

Fertilizer applications increase  $N_2O$  emissions, but the effects depend on fertilizer types. In a permanent grassland on a sandy loam soil, cow slurry resulted in 8 times as much  $N_2O$  emissions as did  $NH_4NO_3$  fertilizer with doubled N input (Christensen, 1983). A crop field fertilized by farmyard manure (93 kg N ha<sup>-1</sup> y<sup>-1</sup>) emitted twice as much  $N_2O$ 

as one fertilized by cattle slurry (333 kg N ha<sup>-1</sup> y<sup>-1</sup>) in a sandy soil in northern Germany because of higher microbial biomass and approximately neutral pH in the farmyard manure field (Mogge et al., 1999). Harrison and Webb (2001) reviewed the effects of N fertilizer types on N<sub>2</sub>O emission. They found that nitrate fertilizer caused greater N<sub>2</sub>O emissions than did ammonium sulfate under wet conditions, and that urea generally caused greater N<sub>2</sub>O emissions than did ammonium and ammonia fertilizers unless soil was dry and soil temperature was low. Lemke et al. (1999) estimated lower N<sub>2</sub>O emissions in a manured field (total N 90 kg ha<sup>-1</sup> and C:N  $\approx$  24:1) than in a urea fertilized field (56 kg N ha<sup>-1</sup>) according to chamber measurements from a Black Chernozemic soil at Ellerslie, Alberta, Canada.

Crop rotation also affects soil  $N_2O$  emissions. The soil in the corn phase of a corn-oatalfalfa-alfalfa rotation emitted 2.5 times more  $N_2O$  than did the soil of a continuous corn in a laboratory anaerobic incubation experiment (Drury et al., 2004). Field chamber measurements showed that  $N_2O$  emissions were respectively 50% and 23% higher in a continuous corn (fertilizer 180 kg N ha<sup>-1</sup> y<sup>-1</sup>) and corn-soybean (150 kg N ha<sup>-1</sup> in corn year) rotations than in a corn-soybean/wheat-hairy vetch (130 kg N ha<sup>-1</sup> in corn year) rotation in fine loamy and silt soil at Piketon, OH, USA (Jacinthe and Dick, 1997). Kaiser et al. (1998) summarized three years of field chamber measurements to estimate the effects of crops on  $N_2O$  emissions in a loamy silt luvisol soil in southern Germany. They found that the  $N_2O$  emission factors in sugar beet fields were the highest followed by those in winter rape, winter barley and winter wheat fields, and that the carbon to nitrogen ratio (C:N) of crop residues contributed to the differences in  $N_2O$  emissions.  $N_2O$  emissions of grasslands were always lower than those of crop fields with and without fertilizer in a sandy soil in northern Germany (Mogge et al., 1999) and a silt loamy soil in New Zealand (Choudhary e al., 2002).

In summary, the  $N_2O$  emissions in arable soils were site specific, and the effects of agricultural practices on  $N_2O$  emissions were inconsistent. No-till resulted in higher  $N_2O$  emissions in poorer drained or wetter ecosystems, but it might reduce  $N_2O$  emissions in well-drained or dry ecosystems when  $O_2$  supply is adequate. With same fertilizer rates,  $N_2O$  emissions were higher in wetter or poorer drained ecosystems. Under the same crop rotations, differences in fertilizer rates or C:N of crop residue resulted in different  $N_2O$ 

emissions. Therefore, the influence of agricultural practices on  $N_2O$  emissions still needs to be carefully investigated in future studies. The great spatial and temporal variability of  $N_2O$  emissions (Choudhary et al., 2002; Kaiser et al., 1998) make these attempts more difficult.

The accurately measuring or estimating  $N_2O$  emissions at landscape, field, regional, provincial and national scales is difficult because of the large spatial and temporal variability of those emissions (Choudhary et al., 2002; Kaiser et al., 1998; Chapter 3), the heterogeneities of soil properties over landscapes (Chapter 2), and the limitations of current measuring methods (Laville et al., 1999; Chapter 1). Nowadays, national and provincial N<sub>2</sub>O emissions are estimated by using the IPCC guidelines (Olsen et al. 2003; Mosier et al., 1998). The factors in the IPCC guidelines were summarized from numerous experiments and observations, and are for all agricultural soils, regardless of variations in soil, cropping and climates. However, uncertainties in the estimates of N<sub>2</sub>O emissions were 70 to 80% for agricultural soils (Lim et al., 1999).

Instead of IPCC, other methods have been tested to reduce the uncertainty in estimates of N<sub>2</sub>O emissions in provincial, national and continental scales. Sozanska et al. (2002) used field measurements to establish a multiple regression model between N<sub>2</sub>O emissions and factors affecting them. This model was coupled with a GIS framework to estimate regional and national N<sub>2</sub>O emissions. This model accounted for effects of land use, soil temperature, soil water content, soil carbon content and nitrogen input on N<sub>2</sub>O emissions. It offered a simple method for producing a spatial and temporal inventory of N<sub>2</sub>O emissions, but it was also constrained by the accuracy of model inputs for soil temperature and soil water content that varied with landscape position. Bouwman et al. (1993) had earlier proved that this kind of regression has potential problems because minor differences in N<sub>2</sub>O emission or factors at only one of the measurement sites used in model calibration could cause major shifts in model parameterization. Freibauer (2003) reported that errors in N<sub>2</sub>O emissions estimated by regression models, which were used to estimate regional to continental N<sub>2</sub>O emissions from agricultural soils in Europe, were 30 to 100%. Li et al. (2001) used the denitrification-decomposition (DNDC) model to estimate national N<sub>2</sub>O emission using a county as a single plot. They found the uncertainty of this estimates would be  $\pm 50\%$ . However, they did not account for the great

variation in topography, landscape, soil properties and land uses within a county, resulting in uncertainty similar to that of the IPCC method. The problem in scaling measurements and modeling results of  $N_2O$  emissions from soils was related to their extreme spatial and temporal heterogeneity (Bouwman et al., 2002). So far, the crucial problem of scaling up  $N_2O$  emissions from the micro-site or plot scales (those of chamber and micrometeorological measurements, and model outputs) to large scales (landscape, field, regional, provincial and national scales) remains unsolved.

Modeling can provide well-constrained tests of the effects of agricultural practices on  $N_2O$  emission because all factors that affect  $N_2O$  emissions can be easily constrained, except for the one being tested. *Ecosys*, which is the core of *3D-Ecosys-GIS* (Chapter 3), has the capability to represent the effects of cropping and soil management on the productivity,  $CO_2$ ,  $N_2O$  and  $CH_4$  emission, water and energy exchange of ecosystems (Grant et al., 2004, 2001; Grant, 2004, 1999, 1998; Li et al., 2004; Grant and Pattey, 2003, 1999). *3D-Ecosys-GIS* can be used to investigate the effects of agricultural practices on  $N_2O$  emissions. The combination of modeling results of *3D-Ecosys-GIS* and terrain attributes that are easily derived from elevation maps (Chapters 2 and 3) may provide a good way to estimate  $N_2O$  emissions at large scales, because *3D-Ecosys-GIS* 

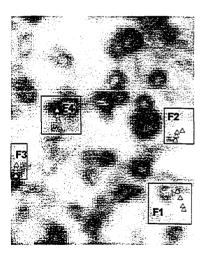
can simulate landscape  $N_2O$  emissions in three dimensions to represent the large temporal and spatial variation of  $N_2O$  emissions (Chapter 3).

The objectives of this study were (1) to use modeling to investigate the effects of tillage, rotation and fertilizer on  $N_2O$  emissions at a landscape scale, and (2) to test scaling up methods for improving current  $N_2O$  inventory methodology.

### 4.2 Methods

### 4.2.1 Study site

The study site (Fig. 4.1) is a 76.6 hectare field representative of the black soil region in the aspen parkland eco-region in east central Alberta, at



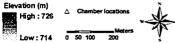


Figure 4.1: The study site, chamber locations and sub sites at Viking, Alberta, Canada (53°05'N, 111°47'W).

Viking, Alberta, Canada. It has a hummocky topography with moderate slope (10-13%). Elevation varies from 714 m to 726 m above sea level. The field is dominated by black chernozemic soils. The field is under conventional cultivation with a wheat, barley and canola crop rotation fertilized with 86, 13, 76 kg N ha<sup>-1</sup>, respectively, and management using precision agriculture. Average annual precipitation from 1997 to 2002 was 359 mm, and average air temperature was 2.8 °C. Four sub sites described in Chapter 3 (F1, F2, F3, F4 in Fig. 4.1) were used to simulate N<sub>2</sub>O emissions in *3D-Ecosys-GIS*.

### 4.2.2 Land and cropping management scenarios

This study was designed to test the effects of tillage, fertilizer and rotation on  $N_2O$  emissions. The interacting effects of these three factors were not considered in this study. The land use practices that were tested in this study are widely used currently or have potential to reduce  $N_2O$  emissions. Table 4.1 presents all tested land use scenarios and their crop and soil management. The current crop rotation (wheat-barley-canola (WBC)) and soil management (chemical fertilizer (CF) and conventional tillage (CT)) in the study site are used as a check scenario for all other scenarios. Except for the management practice being tested, all other management was same among scenarios in each of following three testing groups:

- 1. The tillage group included three scenarios: conventional tillage (CT), reduced tillage (RT) and no-tillage (NT). The CT scenario had two tillage events in spring and fall. The spring tillage was implemented with a heavy harrow one-half month before seeding. The fall tillage was implemented with a cultivator one-half or one month after harvest. In *3D-Ecosys-GIS*, the effect of tillage is represented as an implement-specific tillage coefficient that incorporates surface crop residue, changes the land surface roughness, and mixes soil material to a specified depth. (Grant et al., 2004, 2001). This incorporation affects surface energy exchange and soil heating, and also spatial relations between microbial population and soil organic matter, thereby affecting decomposition, respiration, mineralization, nitrification and denitrification.
- 2. The fertilizer group involved four scenarios: chemical fertilizer (CF), cattle manure (MF), no-fertilizer (NF) and double chemical fertilizer (DCF). In the MF scenario,

the total amount of nitrogen input for each crop was same as that in the CF scenario, 10% and 30% of total nitrogen in MF were  $NH_4^+$ -N and urea, and the total C to total N ratio was 24:1. The spring fertilizer was banded in 23 cm rows at 3.8 cm depth at seeding, and the fall fertilizer was injected to 9 cm depth in rows 30 cm apart in the middle of October. For CF, the amount of nitrogen fertilizer was 86, 13 and 76 kg N ha<sup>-1</sup> in 2000, 2001 and 2002, respectively. In *ecosys*, products of fertilizer solubilization and manure mineralization are added to mineral N pools (NH<sub>3</sub>, NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup>) in the zone of incorporation, thereby altering N transformation kinetics (Appendix 3.1: Eqs. A3.7 to A3.27).

Table 4.1: The scenarios and their cropping, tillage and fertilizer managements for which  $N_2O$  emissions were simulated in the hummocky agricultural landscape at Viking, Alberta, Canada.

Group	Scenario		Crop			Tillage	:	Fertilizer			
Gioup	Scenario	2000	2001	2002	2000	2001	2002	2000	2001	2002	
Check	Wheat-barley- canola (WBC)	Wheat	Barley	Canola	СТ	СТ	СТ	CF00 <sup>‡</sup>	CF01 <sup>‡</sup>	CF02 <sup>‡</sup>	
Tillage	Reduced tillage (RT)	Wheat	Barley	Canola	RT	RT	RT	CF00	CF01	CF02	
	No-tillage (NT)	Wheat	Barley	Canola	NT	NT	NT	CF00	CF01	CF02	
Fertilizer	Manure fertilizer (MF)	Wheat	Barley	Canola	СТ	СТ	СТ	MF	MF	MF	
	Double chemical fertilizer (DCF)	Wheat	Barley	Canola	СТ	СТ	СТ	2× CF00	2× CF01	2× CF02	
	No-fertilizer (NF)	Wheat	Barley	Canola	CT	CT	СТ	NF	NF	NF	
	Continuous wheat (CW)	Wheat	Wheat	Wheat	CT	CT	CT	CF00	CF01	CF02	
	Continuous hay (CH)	Grass	Grass	Grass	NT	NT	NT	CF00	CF01	CF02	
Rotation	Wheat-fallow (WF)	Wheat	Fallow	Wheat	CT	СТ	CT	CF00	NF	CF02	
Rotation	Wheat-wheat- fallow (WWF)	Wheat	Wheat	Fallow	СТ	СТ	СТ	CF00	CF01	NF	
	Hay-hay-hay- wheat-wheat- wheat (HHHWWW)	Wheat	Wheat	Wheat	СТ	СТ	СТ	CF00	CF01	CF02	

<sup>‡</sup>: CF00, CF01, CF02 indicate the chemical fertilizer in 2000, 2001 and 2002. In 2000, spring and fall fertilizer were 14 (40% urea + 60% NH<sub>4</sub><sup>+</sup>) and 72 (93% urea + 7% NH<sub>4</sub><sup>+</sup>) kg N ha<sup>-1</sup> respectively. In 2001, spring and fall fertilizer were 10 (100% urea) and 3 (100% urea) kg N ha<sup>-1</sup>. In 2002, spring and fall fertilizer were 14 (40% urea + 60% NH<sub>4</sub><sup>+</sup>) and 62 (95% urea + 5% NH<sub>4</sub><sup>+</sup>) kg N ha<sup>-1</sup>.

3. The crop rotation group included six scenarios: wheat-barley-canola (WBC), continuous wheat (CW), continuous hay (CH) harvested twice a year on July 15 and September 15, wheat-fallow (WF), wheat-wheat-fallow (WWF), and hay-hay-hay-wheat-wheat (HHHWWW). In HHHWWW, hay was harvested once on August 30 in the first year of hay, and twice on July 15 and September 15 in the second and third years. Hay land was plowed at 20 cm depth one-half month after the second harvest in the third year. The amount and date of fertilizers in the three years of hay in this rotation corresponded to those of WBC banded at 5 cm depth in rows 30 cm apart. In *ecosys*, key functional characteristics of each crop species (e.g. photosynthetic type, growth habit, N<sub>2</sub>-fixing capability, maturity group and others) direct the model to simulate its biological behavior during its phase in the modeled rotation.

### 4.2.3 Scaling up N<sub>2</sub>O emissions to field scale

In order to estimate the total  $N_2O$  emission in the entire study site, four scaling up methods were calculated using  $N_2O$  emissions calculated from the check scenario (same as measurement conditions) in the first part of the modeling experiment.

- Scaling up of measured results (MeM): The total emission of the entire study site was the product of the total area of the entire study field multiplied by the average of annual N<sub>2</sub>O emissions estimated from field measurements. The estimated annual N<sub>2</sub>O emissions at 16 chamber measurement locations (Fig. 4.1) were derived by linear interpolation from 8, 9 and 11 measured events in 2000, 2001 and 2002, respectively. These 16 locations represented the depressions, footslopes, midslopes and tops in the hummocky agricultural landscape.
- Scaling up of modeling results (MoM): the total N<sub>2</sub>O emission of the entire site was the product of the field area and the average of annual N<sub>2</sub>O emissions aggregated from model outputs (Fig. 3.11) for each sub site (F1, F2, F3 and F4 in Fig. 4.1) on per unit area.
- IPCC method: The total N<sub>2</sub>O emission of the entire study site was estimated by using 1997 IPCC guidelines given by equations in Appendix 4.1 (Eqs. A4.1 – A4.4) (Eqs. A3-12 to A3-19 in Olsen et al., 2003). The N<sub>2</sub>O emission from chemical fertilizer

applications was calculated by Eqs. A4.2 and A4.3. The  $N_2O$  emission from crop residues was calculated by Eq. A4.4 (Eqs. A3-19, Olsen et al., 2003).

4. Relationship of terrain attributes to N<sub>2</sub>O emissions (TAR): A regression function was developed by stepwise multiple linear regression based on the modeled N<sub>2</sub>O emissions and terrain attributes in the four sub sites (F1, F2, F3 and F4, Fig. 4.1). Terrain attributes were derived in Chapter 2, and used in the regression function to estimate N<sub>2</sub>O emissions for each grid cell. The total emission of entire study site was the sum of N<sub>2</sub>O emissions in all grid cells.

#### 4.2.4 Model runs and evaluation

The 3D-ECOSYS-GIS model (Chapter 3) was used to simulate N<sub>2</sub>O emissions from the different scenarios for tillage, fertilizer and rotation in Table 4.1. To reduce computation time required to run the three dimensional model for the entire study site that included 7663 grid cells at 10×10 meter resolution, four sub sites (F1, F2, F3 and F4 in Fig. 4.1) were selected from the entire study field and used to formulate four simulation runs for each scenario. Each sub site included one mini-watershed to represent distribution of tops, midslopes, foot slopes and depressions in the hummocky agricultural landscape. Simulations were started on a model date of 1 January 1997 and ended on 31 December 2002, using hourly weather data from the meteorological station at Viking nearest the study field (about 10 km away) provided by Len Kryzanowski (Alberta Agriculture, Food and Rural Development, Edmonton, Alberta, Canada). Crop and soil management practices, except for those being tested, were same as those in the check scenario (WBC). The model was initialized with 1235 g C m<sup>-2</sup> of residue carbon (i.e. the sum of accumulative crop and microbial residues) with a C:N of 12.4:1 (Chapter 3). Topographically referenced soil properties were those derived in Chapter 2 by a stepwise multiple linear regression (SMLR) method in the landscape soil property predictor (LSPP).

The modeled annual  $N_2O$  emissions in 2000, 2001 and 2002, and the total of the three years were used to examine the effects of cropping system, tillage and fertilizer on  $N_2O$  emissions.

### 4.3 Results and discussion

### 4.3.1 Effects of tillage on N<sub>2</sub>O emissions

Simulation data showed that no-tillage (NT) reduced total N<sub>2</sub>O emission by 19% and 38% during 2000 to 2002 in the hummocky agricultural landscape in comparison with reduced (RT) and conventional tillage (CT), respectively (Table 4.2). RT decreased total N<sub>2</sub>O emission during the three years by 23% in comparison with CT. In the normal year (2001, precip. 332 mm  $\approx$  average precip. 359 mm), annual N<sub>2</sub>O emissions across the landscape under NT were not remarkably different from those under RT, but were lower than those under CT. In the wet (2000, precip. = 451 mm >> average precip.) and dry (2002, precip. = 221 mm << average precip.) years, reduced tillage lowered the annual N<sub>2</sub>O emissions. As the climate changed from the wet to dry year, annual N<sub>2</sub>O emissions declined by 67% under CT, 76% under RT and 81% under NT. Reduced tillage caused a larger relative decline in N<sub>2</sub>O emissions during dry versus wet weather.

Table 4.2: Annual  $N_2O$  emissions and spatial variations under different tillage management at the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002.

Values across 4 sub sites	Tillage	2000	2001	2002	Total
Mean emission over	CT	0.57	0.39	0.19	1.14
landscape (g N m <sup>-2</sup> )	RT	0.55	0.20	0.13	0.88
Tanuscape (g N III )	NT	0.43	0.21	0.08	0.71
	CT	88	170	341	116
Coefficient of spatial variation	RT	82	136	201	86
in N <sub>2</sub> O emission (CSV, %)	NT	114	292	496	168

Lower N<sub>2</sub>O emissions were modeled under NT because crop residue was not incorporated into soil. Consequently, surface residue was much larger under NT than under RT or CT (Table 4.3), so that less N was mineralized, reducing mineral N in soil. As a result, NO<sub>3</sub><sup>-</sup> concentration was lower under NT than under RT and CT in 2000, especially during the main emission period (May to July, Chapter 3), although not in 2001 and 2002 (Fig. 4.2b). The accumulation of surface reside lowered soil temperature under NT, so that carbon oxidation declined from CT, through RT, to NT (Table 4.3), reducing the demand for O<sub>2</sub> (Eqs. A3.15, A3.16, A3.23 and A3.24), while increasing O<sub>2</sub> solubility and hence supply of O<sub>2</sub> (Eqs. A3.9 and A3.12). In the *3D-Ecosys-GIS*, soil was less aerobic when the respiration coefficient (the ratio of O<sub>2</sub> taken up to CO<sub>2</sub> evolved from soil) was lower than 2.67 g  $O_2$  g<sup>-1</sup> C, indicating higher relative water-filled porosity (RWFP) (Fig. 4.2c). The days with lower respiration coefficient were more frequent under NT than under RT and CT, especially in the wet year (2000) (Table 4.3). The higher modeled RWFP under NT also indicated less aerobic soil (Fig. 4.2c), decreasing the supply of O<sub>2</sub> (Eqs. A3.7 and A3.10). However, the decreased O<sub>2</sub> demand plus higher O<sub>2</sub> solubility with lower NO<sub>3</sub><sup>-</sup> more than offset the decreased O<sub>2</sub> supply due to higher RWFP, resulting in lower N<sub>2</sub>O emission (Eqs. A3.15 to A3.27; Fig. 4.2d).

Table 4.3: The key factors explaining differences in  $N_2O$  emissions among conventional (CT), reduced (RT) and no (NT) tillage.

Year Scenari	Surface residue at to the end of calendar year (g C m <sup>-2</sup> )	Annual average soil temperature in upper 10 cm (°C)	Soil carbon oxidation (g C m <sup>-2</sup> y <sup>-1</sup> )	Days for respiration coefficient <sup>§</sup> < 2.67
CT	355	5.6 ± 7.5	310	133
2000RT	550	$5.4 \pm 7.2$	278	163
NT	648	$5.1 \pm 6.6$	257	193
CT	278	$6.2 \pm 8.4$	287	125
2001 RT	548	$5.8 \pm 7.9$	242	127
NT	702	$5.4 \pm 7.2$	224	130
CT	225	4.6 ± 9.7	228	130
2002RT	514	$4.4 \pm 8.9$	183	132
NT	694	$3.9 \pm 7.9$	175	133

<sup>§</sup>: Respiration coefficient is the ratio of  $O_2$  uptake to carbon released through soil respiration.

However, the simulation results also showed that N<sub>2</sub>O emissions were higher under RT and NT than under CT during selected periods, for example, after day 180 in 2000 (Fig. 4.3). In *3D-Ecosys-GIS*, large precipitation (130 mm, 29% of annual precipitation) during this period caused soil water contents (0–2.5 cm) to be frequently higher under NT (modeled daily RWFP =  $0.59 \pm 0.18$ ) and RT ( $0.57 \pm 0.17$ ) than under CT ( $0.49 \pm 0.15$ ), resulting more frequent temporary surface blockage of gas exchange, although the modeled RWFPs in upper 20 cm soil zone were similar (Fig. 4.2c). As a result, gas diffusivity was sharply decreased after rainfall under RT and NT (Eq. A3.10), rapidly reducing the supply of O<sub>2</sub> (Eqs. A3.7 to A3.9, A3.17 and A3.25), so that the deficiency of electron acceptors increased greatly (Eqs. A3.18 and A3.26), leading to the increase of N<sub>2</sub>O emission (Eqs. A3.19 to A3.21 and A3.27).

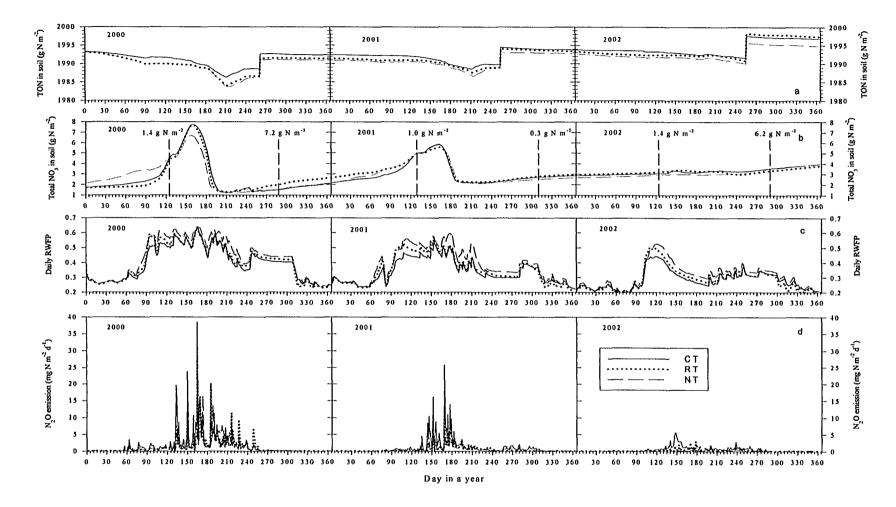


Figure 4.2: The dynamics of soil total organic nitrogen (TON) and  $NO_3$  contents, daily RWFP in upper 20 cm soil zone and  $N_2O$  emissions under conventional tillage (CT), reduced tillage (RT) and no-tillage (NT) in the hummocky-agricultural landscape at Viking, Alberta, Canada, in 200, 2001, 2002.

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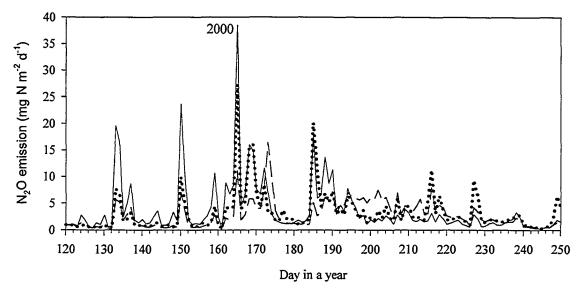


Figure 4.3: An expanded graph from Fig. 4.2d to show the differences of  $N_2O$  emission in a period of 2000 under conventional (CT, black solid line), reduced (RT, dots) and no (NT, long dash line) tillage.

Lower N<sub>2</sub>O emissions modeled under NT and RT than under CT disagreed with results of chamber measurements by Choudhary et al. (2002), Ball et al. (1999) and Aulakh et al. (1984). Aulakh et al. (1984) found that less aerobic conditions and higher denitrifier population contributed to the higher N<sub>2</sub>O emissions under NT soil than under CT soil in Saskatchewan, Canada. On the other hand, some field measurements supported the modeled results. Lemke et al. (1999) measured N<sub>2</sub>O emissions that were generally lower, but occasionally higher, in NT than in CT at Ellerslie, Alberta, Canada, where the soil was similar to the soil in this study. The following reasons may explain the conflicting results. Measurements taken over short periods, such as shown in Fig. 4.3, might lead to the conclusion that N<sub>2</sub>O emission was higher in NT and RT than in CT. Under certain conditions, small spatial variation in topography and soil properties could cause large differences in measurements of N<sub>2</sub>O emissions (20% to 500%) (Chapter 3; Williams et al., 1999; Röver et al., 1999; Kaiser et al., 1996; Folorunso and Rolston, 1984; Myrold, 1988). Spatial variation in soil properties and topography between field plots under NT and CT might also cause differences in measurements of N<sub>2</sub>O emissions. The simulation tests ensured that all conditions, except for tillage, were the same across landscape for different tillage scenarios, so that the differences of modeled N<sub>2</sub>O emissions came only

from tillage. Model results suggested that tillage effects on  $N_2O$  emissions may be seasonal.

The coefficients of spatial variation (CSV) in annual N<sub>2</sub>O emissions ranged between 81% and 496% in three tillage scenarios in 2000, 2001 and 2002 (Table 4.2). RT decreased the spatial variations in  $N_2O$  emission, but NT increased them. Guzha (2004) measured that roughness and infiltration under NT were significantly lower than under CT. Different tillages in the model caused differences in surface roughness under NT, RT and CT (additional surface roughness was 0 cm for NT and 4 cm for RT and CT, the storage capacity of surface residue in water did not account for in the model currently). As a result, with the same amount of rainfall, more runoff was generated under NT than under RT and CT, so that more water could accumulate at lower landscape positions and less water would infiltrate at upper landscape positions, enlarging the spatial differences of soil water content. Therefore, spatial variations of N2O emissions were larger under NT than under RT and CT. Because the differences in surface roughness and infiltration between RT and CT were small or even non-existent, the differences in the coefficients of spatial variations in N2O emissions were also small. In addition, annual N2O emissions decreased sharply with the decreases of annual precipitation from the wet to dry year, enlarging CSV.

In summary, tillage increased  $N_2O$  emissions, but decreased spatial variation. In addition, there was large temporal variation of annual  $N_2O$  emission. Therefore, the current  $N_2O$  inventory, which does not account for the effects of tillage on  $N_2O$ emissions, and on their temporal and spatial variations, could have a large uncertainty.

#### 4.3.2 Effects of fertilizer on N<sub>2</sub>O emissions

Simulated annual N<sub>2</sub>O emissions across the landscape were significantly different under different fertilizer management (Table 4.4). Doubling current chemical fertilizer rates (DCF) raised N<sub>2</sub>O emissions by 108% in the normal year (2001), by 47% in the wet year (2000), and by 32% in the dry year (2002) in comparison with current chemical fertilizer rates (CF) (8.6 g N m<sup>-2</sup> y<sup>-1</sup> in 2000, 1.3 g N m<sup>-2</sup> y<sup>-1</sup> in 2001, 7.6 g N m<sup>-2</sup> y<sup>-1</sup> in 2002) (Table 4.4). Total N<sub>2</sub>O emission from CF during three years was respectively 1.7 and 2.5 times those from cattle manure (MF) and no-fertilizer (NF). Over three years, fertilizer emission factors evaluated from the emissions during the 12 months period following fall application (mid-Sept. – mid-Sept.) were different. Therefore, was no relationship was found between N input and N<sub>2</sub>O emissions under CF, DCF and MF. This result agreed with the conclusion of Kaiser and Ruser (2000) from numerous field measurements in different arable soils in Germany.

Table 4.4: Annual  $N_2O$  emissions, spatial variations and emission factors under chemical (CF), manure (MF), double chemical (DCF) and no (NF) fertilizer management in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002.

Values across 4 sub sites	Fertilizer	2000	2001	2002	Total
	CF	0.57	0.39	0.19	1.14
Mean emission over	DCF	0.84	0.81	0.45	2.10
landscape (g N m <sup>-2</sup> )	MF	0.34	0.27	0.08	0.68
	NF	0.22	0.18	0.06	0.46
Mean emission factor	CF	4.6	2.6	7.6	3.9
over landscape (%) <sup>‡</sup>	DCF	4.1	3.8	5.6	4.1
	MF	1.6	1.1	1.2	1.3
Coefficient of spatial	CF	88	170	341	116
Coefficient of spatial variation in N <sub>2</sub> O emission	DCF	74	124	140	80
(CSV, %)	MF	181	266	621	212
(C3 v, 70)	NF	149	227	456	177

<sup>‡</sup>: The emission factor was calculated from period between fall fertilizer applications.

In *3D-Ecosys-GIS*, additional N (mostly urea) increased NH<sub>4</sub><sup>+</sup> under DCF. Part of the increased NH<sub>4</sub><sup>+</sup> was oxidized, increasing soil NO<sub>3</sub><sup>-</sup> content (Fig. 4.4b) and raising O<sub>2</sub> demand (Eqs. A3.23 and A3.24). Under less aerobic soil, this demand rapidly increased deficiency of electron acceptors for nitrification (Eqs. A3.25 and A3.26), leading to more N<sub>2</sub>O production (Eq. A3.27; Fig. 4.4d; Table 4.4). Higher NO<sub>3</sub><sup>-</sup> (Fig. 4.4b) provided more substrate for denitrification, thereby raising N<sub>2</sub>O emissions under anaerobic soil (Eqs. A3.19 to A3.21; Fig. 4.4d, Table 4.4). The additional N under DCF was partially taken up by the crop, increasing crop productivity (net primary productivity (NPP) = 1402 g C m<sup>-2</sup> y<sup>-1</sup> under DCF vs. 1152 g C m<sup>-2</sup> y<sup>-1</sup> under CF in three years). The organic nitrogen returned from residue in DCF was higher than those in CF, NF and MF, leading to the accumulation of organic carbon and nitrogen in soil (Fig. 4.4a). Therefore, more rapid carbon oxidation (988g C m<sup>-2</sup> y<sup>-1</sup> under DCF vs. 825 g C m<sup>-2</sup> y<sup>-1</sup> under CF in three years) increased O<sub>2</sub> demand, raising N<sub>2</sub>O emissions (Eqs. A3.15 to A3.21).

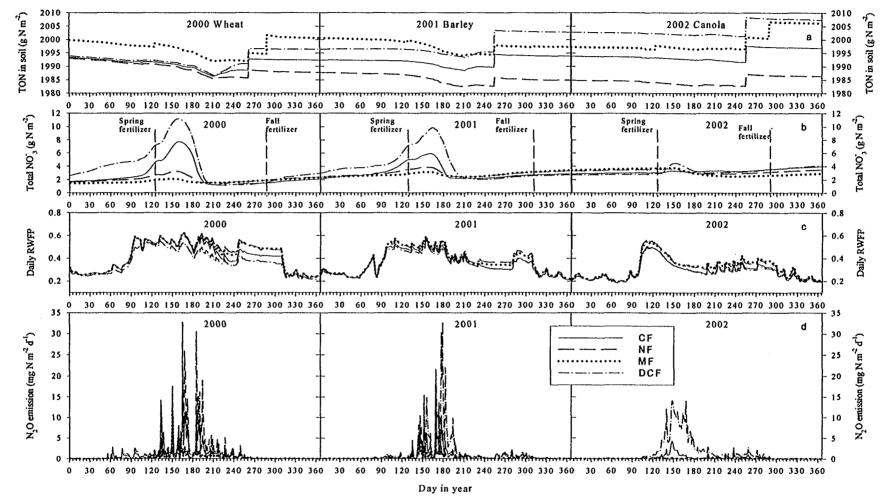


Figure 4.4: The dynamics of soil total organic nitrogen (TON) and  $NO_3^-$  contents, daily RWFP and daily  $N_2O$  emissions under chemical fertilizer (CF), double chemical fertilizer (DCF), no-fertilizer (NF) and cattle manure (MF) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001, 2002.

133

The N<sub>2</sub>O emissions in MF were 42% to 62% of those in CF from the wet to the dry years with same total nitrogen fertilizer rates. The total organic nitrogen was higher in MF than in CF (Fig. 4.4a) because 60% of nitrogen in manure was organic nitrogen rather than 100% inorganic nitrogen as in chemical fertilizers. The organic nitrogen was available for nitrification only after it had been mineralized, and for denitrification only if NH4<sup>+</sup> had been nitrified. In the simulation, the total C to total N ratio was assigned as 24:1 for cattle manure. The higher organic C:N ratio (e.g. 36:1 for organic C to organic N) reduced net mineralization rate and sources of nitrification, resulting in lower substrate (NO<sub>3</sub><sup>-</sup>, Fig. 4.4b) for denitrification, and hence lower N<sub>2</sub>O emission (Fig. 4.4d) under similar soil water conditions (Fig. 4.4c). In contrast, CF provided more direct sources of nitrification and denitrification than did manure fertilizer under the same nitrogen application (Fig. 4.4b). In the model, higher nitrification substrate developed higher  $O_2$  demand (Eqs. A3.23 and A3.24), resulting higher  $N_2O$  emission from nitrification process under less aerobic soil (Eqs. A3.25 to A3.27). Therefore, greater proportion of urea in chemical fertilizer (60% to 97% of input N) also increased  $N_2O$ emissions more than ammonium and ammonia-nitrogen fertilizers (Harrison and Webb, 2001), and the additional high soil nitrate favored high N<sub>2</sub>O emissions through denitrification (Sehy et al, 2003).

The lower N<sub>2</sub>O emissions modeled in MF disagreed with most field research. Kaiser and Ruser (2000), Mogge et al (1999), Eichner (1990) and Bouwmam (1990) found that N<sub>2</sub>O emissions were larger in the arable soils that received organic fertilizers than those that received chemical fertilizers, because the more easily mineralizable organic matter in organic fertilizers might increase microbial biomass and O<sub>2</sub> consumption, thereby elevating N<sub>2</sub>O emissions (Flessa and Beese, 1995). On the other hand, lower N<sub>2</sub>O emissions modeled in MF were consisted with other field measurements. Paul and Zebarth (1997) found that the N<sub>2</sub>O production was lower with manure than with chemical fertilizer in a laboratory incubation study of the soils of south coastal British Columbia, Canada. Through a field experiment on an andisol soil at Tsukuba, Japan, Hiroko and Haruo (2003) found that N<sub>2</sub>O emissions were lower with cattle manure than with urea fertilizer under the same N inputs. They thought that the reason for this was that the high C:N ratio (24:1) reduced the NO<sub>3</sub><sup>-</sup> in soil, leading to the lower N<sub>2</sub>O emissions, although the differences were not significant. Lemke et al. (1999) also measured significantly higher  $N_2O$  emissions with chemical fertilizer than with manure fertilizer at Ellerslie, Alberta, Canada, where the soil was similar to the soil in this study, even though N input with organic manure was much higher than that with chemical fertilizer (90 vs. 65 kg N ha<sup>-1</sup>).

The simulation results showed that both CF and MF increased soil nitrogen content (Fig. 4.4a) resulting in higher  $N_2O$  emissions than those under NF (Fig. 4.4d). With the lower  $N_2O$  emissions, emission factors of  $N_2O$  were consistently lower under MF than under CF in the three years.

The emission factors and CSVs in annual  $N_2O$  emissions changed with the amount and types of fertilizer, and climate. There were no consistent relationships between  $N_2O$  emission, its CSV, and the amount and type of fertilizer. In addition, the differences of annual precipitation caused large differences in  $N_2O$  emissions. Therefore, current  $N_2O$  inventory (Olsen et al., 2003; IPCC guidelines, 1997), in which  $N_2O$  emission is estimated from a constant emission factor regardless of the effects of fertilizer types, amount, climate (e.g. precipitation) and spatial variation, would be unlikely to allow accurate estimates of  $N_2O$  emissions.

#### 4.3.3 Effects of rotation on N<sub>2</sub>O emissions

In the hummocky agricultural landscape, cropping systems strongly influenced  $N_2O$  emissions (Table 4.5). Among the six rotations, total  $N_2O$  emissions modeled during three years varied from 0.7 to 1.3 g N m<sup>-2</sup> and were significantly different among the cropping systems, except for the total  $N_2O$  emissions between CW and WBC. The total  $N_2O$  emission was the highest in hay-hay-hay-wheat-wheat-wheat (HHHWWW) (i.e. emissions under wheat following conversion from grassland), followed by WBC, CW, WWF, CH and WF. For the total  $N_2O$  emissions during the three years, CSV decreased from 116% to 63% in the order of WBC, CH, WF, CW, WWF and HHHWWW. The spatial variations were reduced when grass and fallow were included in rotation systems. Annual  $N_2O$  emissions declined with declining precipitation in 2000, 2001 and 2002 (Table 4.5). Therefore, the effects of crop rotation and climate on soil  $N_2O$  emissions, and

on their temporal and spatial variations must be accounted in current  $N_2O$  inventory methods to reduce the uncertainties of estimations.

# 4.3.3.1 Effects of conversion from grassland to cropping on $N_2O$ emissions

The conversion from grassland to cropland increased N<sub>2</sub>O emissions in cropland phase by 18% in comparison with CW, especially N<sub>2</sub>O emission was 49% higher during the first crop year after the third hay year than in CW (Table 4.5). In the model, plowing mixed a large amount of residue into the soil, accelerating carbon oxidation (325 g C m<sup>-2</sup> y<sup>-1</sup> in HHHWWW vs. 298 g C m<sup>-2</sup> y<sup>-1</sup> in CW during 2000) and nitrogen mineralization, thereby raising NO<sub>3</sub><sup>-</sup> (Fig. 4.5d). More rapid oxidation of carbon and NH<sub>4</sub><sup>+</sup> elevated the demand for O<sub>2</sub>, raising N<sub>2</sub>O emissions (Table 4.5; Eqs. A3.15 to A3.27). However, the conversion effects on N<sub>2</sub>O emissions were not apparent in 2001 and 2002 (Table 4.5). Field measurements are consistent with simulation results. Flessa and Beese (1995) and Kasier et al. (1998) found residue incorporation in soil increased O<sub>2</sub> consumption and elevated N<sub>2</sub>O emission. Mosier et al. (1997) also found that conversion from grassland to cropland increased N<sub>2</sub>O emission from the shortgrass steppe at Fort Collins, Colorado, USA.

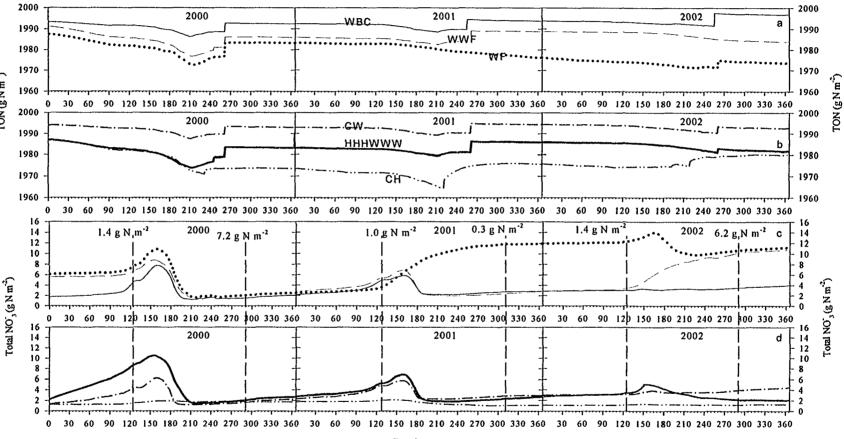
Table 4.5: Annual  $N_2O$  emissions and spatial variations under wheat-barley-canola (WBC), wheat-fallow (WF), wheat-wheat-fallow (WWF), continuous wheat (CW), continuous hay (CH) and hay-hay-wheat-wheat-wheat (HHHWWW) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 2000, 2001 and 2002.

Values across 4 sub sites	Cropping system	2000	2001	2002	Total
	WBC	0.57	0.39	0.19	1.14
Mean emission	WF	0.55	0.09	0.07	0.70
over landscape	WWF	0.55	0.25	0.13	0.93
$(g N m^{-2})$	CW	0.53	0.31	0.27	1.11
	CH	0.46	0.18	0.12	0.76
	HHHWWW	0.79	0.28	0.18	1.31
	WBC	88	170	341	116
Coofficient of anoticl	WF	69	251	338	86
Coefficient of spatial variation in N <sub>2</sub> O emission	WWF	69	159	209	78
(CSV, %)	CW	96	140	87	82
	CH	91	205	247	109
	HHHWWW	69	143	109	63

Note: See the crops in 2000, 2001 and 2002 in Table 4.1.



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Day in year

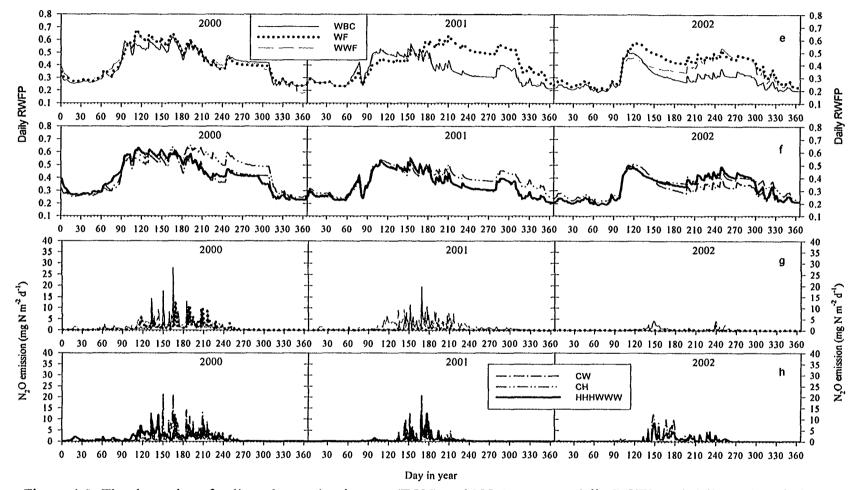


Figure 4.5: The dynamics of soil total organic nitrogen (TON) and  $NO_3^-$  contents, daily RWFP and daily N<sub>2</sub>O emissions under wheat-barley-canola (WBC), wheat-wheat-fallow (WWF), wheat-fallow (WF), continuous wheat (CW), hay-hay-hay-wheat-wheat (HHHWWW) and continuous hay (CH) in the hummocky agricultural landscape at Viking, Alberta, Canada, in 200, 2001, 2002.

138

# 4.3.3.2 Effects of fallow on N<sub>2</sub>O emissions

The simulation results showed that annual N<sub>2</sub>O emissions in the fallow year of WF and WWF were lower than those in the cropped year of WBC, CW and HHHWWW, and comparable with those of CH (Table 4.5). In model, much lower CO<sub>2</sub> effluxes modeled in fallow years [201 g C m<sup>-2</sup> y<sup>-1</sup> in fallow year of WF (2001) and 159 g C m<sup>-2</sup> y<sup>-1</sup> in fallow year of WWF (2002) vs. 276 g C m<sup>-2</sup> y<sup>-1</sup> and 239 g C m<sup>-2</sup> y<sup>-1</sup> in CW in 2001 and 2002] indicated much lower O<sub>2</sub> demand (Eq. A3.16). The reason for the lower carbon oxidation under fallow in the model was the lower microbial actovoty, as has been found in field measurements (Mosier et al., 1997). Modeled accumulation of NO<sub>3</sub><sup>-</sup> in fallow years (Fig. 4.5c) provided more alternative electron acceptors for carbon oxidation. The conversion of NO3<sup>-</sup> to NO2<sup>-</sup> would meet most of the limited demand for electron acceptors, so that less NO<sub>2</sub><sup>-</sup> was converted into N<sub>2</sub>O, resulting in less N<sub>2</sub>O emission (Eqs. A3.19 to A3.21), even though higher RWFP in fallow years (Fig. 4.5e) reduced O<sub>2</sub> supply (Eqs. A3.7 to A3.14). Therefore, small demand for, and large supply of electron acceptors would suppress N<sub>2</sub>O production (Fig. 4.5g; Cooper and Smith, 1963). The lower N<sub>2</sub>O emissions modeled in fallow years conflicted with field measurements (Mosier et al. 1996, 1997). They found that the high soil water content (e.g. Fig. 4.5e) and mineral nitrogen content in fallow periods (e.g. Fig. 4.5c) contributed to high N<sub>2</sub>O emissions.

## 4.3.3.3 Effects of continuous grassland versus cropping on N<sub>2</sub>O emissions

CH reduced N<sub>2</sub>O emissions by 35% in comparison with those from CW and WBC (Table 4.5). In the simulation, soil organic carbon and nitrogen in CH were decreased because of heavy mowing while they were unchanged or increased in CW and WBC (Fig. 4.5a and b). The much lower  $NO_3^-$  content in soil under CH provided little substrate for denitrification (Fig. 4.5d), resulting in low N<sub>2</sub>O emissions (Fig. 4.5h). The lower N<sub>2</sub>O emissions modeled under CH were consistent with field measurements elsewhere. Choudhary et al. (2002) and Mogge et al. (1999) found that N<sub>2</sub>O emissions were lower in permanent grassland than in cropland.

#### 4.3.4 Scaling N<sub>2</sub>O emission up to field scale

Four scaling up methods were used to estimate  $N_2O$  emissions in WBC for the entire site (76.6 ha) over the three years. These estimates varied between 359 kg N and 873 kg N over the field during three years (Table 4.6).

The MeM method using field chamber measurements derived the lowest N<sub>2</sub>O emissions (Table 4.6). The annual N<sub>2</sub>O emission in 2000 was only one-fifth to one-third of that estimated by other three methods, leading to a low total N<sub>2</sub>O emission over the three years. Field studies have demonstrated that estimates of N2O emissions aggregated from low frequency measurements have large uncertainties. Brumme and Beese (1992) used an automated gas sampling chamber and analysis system to measure N<sub>2</sub>O emissions from a beech stand. They found that weekly measurements resulted in a mean overestimation of 21% of emissions aggregated from continuous measurements during 6 months. Scott et al. (1999) reported that cumulative emissions from continuous measurements over a sewage sludge-amended grassland were underestimated by about 40% when aggregated from measurements twice a week by chamber. Flessa et al. (2002) reported that over-estimates of cumulative fluxes from a potato field based on weekly measurements could be reduced from 48% to 15% with additional measurements after rainfall events. Crill et al. (2000) reported that total N<sub>2</sub>O emissions estimated from 1 measurement per day or per 2.5 days were 20% and 40% higher respectively than that from 5 measurements per day. In this study, the number of chamber measurements from each of 16 locations was 8 in 2000, 9 in 2001, and 11 in 2002. The low frequency of measurements led to large uncertainty in the estimations of annual N<sub>2</sub>O emissions. For example, although the precipitation was highest in 2000, the estimated annual N<sub>2</sub>O emission linearly interpolated from 8 measurements was lower than that in 2001 and close to that in 2002. As discussed in chapter 3, spatial variation of annual N<sub>2</sub>O emissions were larger than 200% in the hummocky agricultural landscape. Measurements were done at 16 locations that represented the depressions, foot-slopes, midslopes and tops. These measurements did not represent the diversity of  $N_2O$  emissions in the hummocky agricultural landscape. Therefore, MeM would have a larger uncertainty (likely underestimated) in the total N<sub>2</sub>O emission for the entire field than that reported by Flessa et al. (2002), Crill et al. (2000), Scott et al. (1999) and Brumme and Beese (1992).

Unfortunately, continuous measurement covering the entire field was not practical, so that the error was impossible to identify.

Scaling up methods <sup>‡</sup>	2000	2001	2002	Total			
	For entire study field (kg N <sub>2</sub> O-N)						
MeM	95.3	186.7	76.8	358.9			
IPCC	308.2	257.2	204.9	770.3			
MoM	433.2	296.9	143.1	873.1			
TAR <sup>¶</sup>	298.9	219.8	112.4	631.1			
	For unit area (kg N <sub>2</sub> O-N ha <sup>-1</sup> )						
MeM <sup>§</sup>	1.2	2.4	1.0	4.7			
IPCC	4.0	3.4	2.7	10.1			
MoM	5.7	3.9	1.9	11.4			
TAR	3.9	2.9	1.5	8.2			

Table 4.6: Total  $N_2O$  emissions in the entire study field (76.6 ha) derived by different scaling up methods.

<sup>‡</sup>: For descriptions, see section 4.2.3. <sup>§</sup>: Provided by Tom W. Goddard. <sup>§</sup>: Terrain attributes explained 42% to 57% of the variation of  $N_2O$  emissions.

 $N_2O$  emissions estimated by the IPPC method from fertilizer rate and crop production were 22% and 115% higher than those estimated from terrain attributes (TAR) and directly from the model (MeM), but 9% lower than that derived from field measurements (MoM). Webster et al. (2002) reported that global estimations of N<sub>2</sub>O emissions based on six N<sub>2</sub>O IPCC emission scenarios had at least 67% uncertainty. The estimation of N<sub>2</sub>O emission from the UK, using the IPCC default values for all emission factors and parameters, had an overall uncertainty of 62% (Brown et al., 2001). N<sub>2</sub>O emissions had great temporal and spatial variability in the hummocky agricultural landscape (Chapter 3). The simulation results of 3D-Ecosys-GIS showed that tillage, fertilizer and crop rotation changed the N<sub>2</sub>O emission as well as spatial variation. Akiyama et al (2000) found that the fertilizer types strongly affected  $N_2O$  emission factors. Kaiser and Ruser (2000) found that soil properties, crops and cropping management affected N2O emissions. IPCC methods did not account for the temporal and spatial variations that resulted from heterogeneities of soil properties, microtopography, fertilizer types, soil and cropping management, and climate. In this study, in comparison with three other scaling up methods, uncertainty similar to that reported by Brown et al (2001) might exist, and the IPCC method would likely overestimate the  $N_2O$  emissions for the hummocky agricultural landscape.

The scaled up  $N_2O$  emissions from TAR (Appendix 4.2) were higher than those from MeM, but lower than those from IPCC and MoM (Table 4.6). Terrain attributes indicated the characteristics of microtopography that are related to soil properties, and to the redistribution of water, solute and sediment (Chapter 2), that affect N<sub>2</sub>O emissions (see Chapter 3 for key terrain attributes). Through simulations of 3D-Ecosys-GIS and TAR functions, this method accounted for the effects of climate, and spatial variability of microtopography, soil properties, soil water content and crop growth on  $N_2O$  emission during scaling up. Therefore, this method would eliminate the uncertainties generated by temporal and spatial variability. However, the accuracy of the TAR in the estimation of  $N_2O$  emission was limited by (1) the accuracy of the mathematical model used to represent the soil  $N_2O$  emission; (2) the accuracy and precision of the digital elevation map (DEM) that determined the accuracy of terrain attributes; and (3) the error generated by the function relating  $N_2O$  emission to terrain attributes. In this study, the modeled  $N_2O$ fluxes were validated by measurement data in Chapter 3 (Tables 3.1 and 3.2, Fig. 3.3 to 3.7). The modeled  $N_2O$  emissions represented the temporal and spatial variation in  $N_2O$ emissions in the hummocky agricultural landscape in as much as differences between modeled and measured N<sub>2</sub>O fluxes were within the range of uncertainty of chamber measurements. The DEM was generated from intensive field data (1 measurement per 10 to 12 m<sup>2</sup> area) measured by a global positioning system (GPS). Therefore, the uncertainty in the TAR would mainly come from error in the function relating N<sub>2</sub>O emission to terrain attributes. Unfortunately, the uncertainty in the TAR method could not be evaluated because of total N<sub>2</sub>O emission could not be measured at entire field.

The MoM method derived the highest  $N_2O$  emissions (Table 4.6). The estimated annual  $N_2O$  emissions were 27% to 45% higher, although the grid cell emissions were the same as those used in the TAR method. Differing proportions of landscape positions in the four sub sites and the entire study site resulted in differences in  $N_2O$  emissions. For example, the proportion of concavities (profile curvature <= 0 associated with the higher  $N_2O$  emissions) and convexities (profile curvature > 0 associated with the lower  $N_2O$ emissions) were 54% and 46% in the four sub sites as opposed to 50% and 50% in the entire field. Moreover, the proportion of micro-depressions associated with higher  $N_2O$  emissions and micro-mounds associated with lower  $N_2O$  emissions were 53% and 47% in the four sub sites rather than 50% and 50% in the entire field. As discussed in Chapter 3, the differences between lower and higher  $N_2O$  emissions across the landscape were 80% to 250% if soil water content was high enough for generation of  $N_2O$ . The higher proportion of locations associated with higher  $N_2O$  emissions in the sub sites resulted in a bias to higher  $N_2O$  emissions for the entire site.

# **4.4 Conclusions**

Modeled total N<sub>2</sub>O loss from NT in three years was 81% of that from RT and 62% of that from CT, and the emissions of N<sub>2</sub>O from RT was 77% of that from CT. NT increased spatial variation of N<sub>2</sub>O emissions in comparison with that from CT. Lower emissions modeled under NT were caused by reduced residue incorporation. MF reduced total N<sub>2</sub>O emissions by 40%, and fertilizer emission factors by two-third during the three years in comparison with CF under same N input. However, MF showed higher spatial variation of N<sub>2</sub>O emissions in the hummocky agricultural landscape. Doubled chemical fertilizer resulted in 67% increase of total N<sub>2</sub>O emission during three years in comparison with CF. The conversion from grassland to cropping led to a increase in N<sub>2</sub>O emissions of 15% to 87% in comparison with continuous cropping or permanent grassland. However, the spatial variation of N<sub>2</sub>O emissions under conversion was lower. Crop rotation that included fallow and permanent hay lowered N<sub>2</sub>O emissions and their spatial variation.

The total N<sub>2</sub>O loss over the entire study site during three years may have been underestimated from the field chamber measurements, because the measuring frequency was too low and the peak fluxes may not have been measured (Fig. 3.3 to 3.6 in Chapter 3). IPCC methods may have overestimated the total N<sub>2</sub>O loss for the hummocky agricultural landscape because they did not account for site and climate effects, and large temporal and spatial variation in N<sub>2</sub>O emissions. MoM may have overestimated N<sub>2</sub>O emissions because of the higher proportions of landscape positions associated with higher N<sub>2</sub>O emissions in four pilot sub sites. The TAR accounted for the effects of soil heterogeneities, the redistribution of water and solute, site and management specification on N<sub>2</sub>O emissions. Therefore, it could estimate the total N<sub>2</sub>O emissions at the field scale more realistically. This method estimated that total N<sub>2</sub>O loss from the 76.6 ha hummock agricultural landscape during three years was 631 kg N<sub>2</sub>O-N (2.7 kg N<sub>2</sub>O-N ha<sup>-1</sup> y<sup>-1</sup>). However, further research is needed for this method because terrain attributes can only explain 40% to 60% of the variation of N<sub>2</sub>O emissions.

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## Appendix 4.1: Equation for estimates of N<sub>2</sub>O emissions by IPCC method

## Variables:

 $E_{N,O}$ : Total N<sub>2</sub>O emission from crop land (kg N ha<sup>-1</sup> y<sup>-1</sup>).

 $CF_{N,O}$ : The emission from chemical fertilizer (kg N ha<sup>-1</sup> y<sup>-1</sup>).

 $CR_{N_2O}$ : Total emission from crop residue (kg N ha<sup>-1</sup> y<sup>-1</sup>).

 $SF_t$ : fertilizer application (kg N ha<sup>-1</sup> y<sup>-1</sup>).

 $F_{ASN}$ : the fraction of chemical nitrogen fertilizer available for nitrification and denitrification process.

 $F_{racGASN}$ : the fraction of chemical nitrogen fertilizer applied to soil that volatilizes as NH<sub>3</sub> and NO<sub>x</sub> (used 0.1 kg (NH<sub>3</sub>-N + NO<sub>x</sub>-N) kg<sup>-1</sup> N, IPCC, 1997).

 $F_A$ : the fraction of nitrogen available for nitrification/denitrification processes due to animal waste applied as fertilizers.

*EF1*: the emission factors for fraction of nitrogen input (0.0125 kg  $N_2$ O-N kg<sup>-1</sup> N, IPCC, 1997).

 $C_{TCR}$ : Total crop production (kg ha<sup>-1</sup>).

 $DMF_T$ : Specific dry matter fraction (0.8).

 $F_N$ : Fraction of nitrogen in dry mass (0.3 kg N kg<sup>-1</sup> dry mass. IPCC, 1997).

 $F_R$ : Fraction of crop mass removed from fields (0.45, Canada's Greenhouse Gases Inventory, 2003).

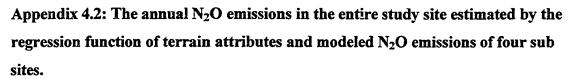
## **Equations:**

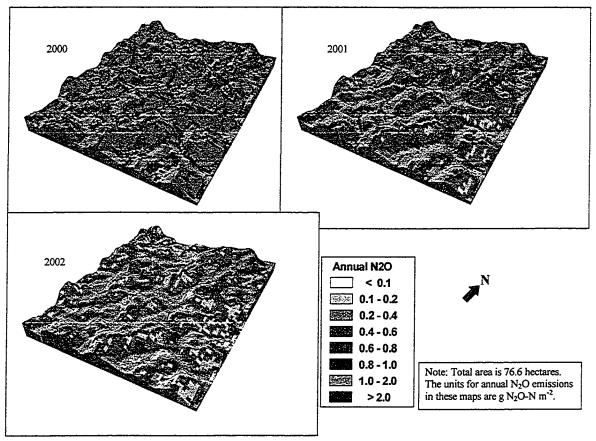
$E_{N_2O} = CF_{N_2O} + CR_{N_2O}$	(A4.1)
------------------------------------	--------

$$CF_{N,O} = SF_t \times F_{ASN} EF1 \tag{A4.2}$$

$$F_{ASN} = 1 - F_{racGASN} \tag{A4.3}$$

$$CR_{N,O} = 2[C_{TCR} \times F_N \times DMF_T \times (1 - F_R) \times EF1]$$
(A4.4)





# Chapter 5: Climate Impact on Net Ecosystem Productivity of a Semi-arid Natural Grassland: Modeling and Measurement

# **5.1 Introduction**

Grasslands are important primary producers, and store substantial amounts of carbon. Thuille et al. (2000) used radiocarbon dating to show that grassland after deforestation in the Southern Alps, Italy, gained an average of 15 g C m<sup>-2</sup> y<sup>-1</sup> between 1740 and 1935. Anderson (1977) reported that dry grassland in a cool temperate steppe of southern Saskatchewan gained  $28 \pm 4$  g C m<sup>-2</sup> y<sup>-1</sup> organic carbon. The impact of climate change on these gains is of concern because of the large areas occupied by grasslands. Melillo et al. (1996) used CENTURY to model climate perturbations for more than 31 grassland sites representing more than 7 ecoregions of the world in which annual precipitation ranged from 220 mm to over 1500 mm. Their results showed that global grasslands would lose 1 to  $2 \times 10^{15}$  g C after 50 years of climate change.

Rising atmospheric CO<sub>2</sub> concentration (C<sub>a</sub>) and temperature hypothesized during climate change exert interactive effects on net ecosystem productivity (NEP). Elevated C<sub>a</sub> often stimulates gross primary productivity (GPP), and improves the efficiency of plant water use, causing substantial increases of NEP (Hu et al., 1999; Cao and Woodward, 1998; Thornley and Cannell, 1997). On the other hand, rising air temperature can reduce NEP (Coughenour and Chen, 1997) by raising heterotrophic respiration ( $R_h$ ) more than net primary productivity (NPP) (Thornley and Cannell, 1997), especially if water deficits are aggravated (Arneth et al., 1998). The magnitude and direction of changes in grassland NEP during climate change will be determined by the balance between positive and negative impacts of changes in C<sub>a</sub>, temperature and water status.

The impacts of climate on  $CO_2$  and energy exchange of ecosystems including grasslands is currently studied at a number of locations using the eddy covariance technique as part of international networks, for example Euroflux and FLUXNET (Aubinet et al., 2000, Running et al., 1999). Because eddy covariance captures these impacts on the diurnal time scales at which they occur, this technique has become an important means of measuring seasonal and annual variation in ecosystem carbon

budgets (Flanagan et al., 2002; Saigusa et al., 1998), and of measuring environmental and biological controls on  $CO_2$  exchange processes (Valentini et al., 2000; Baldocchi and Meyers 1998).

However the eddy covariance technique has some  $CO_2$  and energy flux loss (Massman, 2000) caused by separation of the sampling tubes for  $CO_2$  and water vapour measurements, loss of turbulent structure during gas flow in sampling tubes, and limitation in the response time of sensors and data acquisition hardware to changes in vertical wind speed measured by the sonic anemometer (Moncrieff et al., 2000). This loss causes incomplete retrieval of input energy as sensible (H) and latent (LE) heat. In intercomparisons of different eddy covariance systems over grassland, Twine et al. (2000) observed a systematic lack of closure in surface energy budgets between 10% and 30%, and standard deviation (SD) of latent heat fluxes (LE) between 17 W m<sup>-2</sup> and 48 W m<sup>-2</sup>. They also observed that  $CO_2$  fluxes were underestimated by the same factor as eddy covariance LE measurements when energy balance closure was not achieved. Although transfer functions could be used to account for these losses, underestimation of  $CO_2$  and energy flux occurs, particularly of nighttime effluxes. Flanagan (2002) showed that LE plus H measured by eddy covariance over a grassland accounted for 87% to 90% of net radiation minus soil heat flux.

In spite of these losses,  $CO_2$  and energy fluxes measured by eddy covariance are extremely important in testing mathematical models of ecosystem  $CO_2$  and energy exchange. These models can in turn provide estimates of these fluxes when measured values are missing or inaccurate, and also under future climate scenarios. **Ecosys** is one such model used to estimate energy,  $CO_2$ ,  $N_2O$ ,  $CH_4$ , and nutrient exchange under current or projected climates (Grant, 1999, 1997, 1995a, 1995b; Grant et al., 2003, 2001a, 2001b, 2000, 1999, 1998). This model uses several basic hypotheses described below to simulate effects of  $CO_2$ , temperature and water stress on carbon and energy exchange of terrestrial ecosystems (Grant et al. 1995a, 1995b, 1998, 1999, 2001c). By testing these effects with eddy covariance measurements recorded during soil drying, we can obtain a degree of constraint to hypothesis testing not accomplished in earlier modelling studies.

The objectives of this study are to (1) test hypotheses of how current climate affects ecosystem energy and  $CO_2$  exchange over a semiarid grassland near Lethbridge, Alberta,

Canada using eddy covariance measurements, and (2) predict how  $CO_2$  exchange of this grassland will be affected by projections of climate change during the next 100 years.

# 5.2 Methods

## **5.2.1 Model Description**

**Ecosys** is a general model of terrestrial ecosystems, which can simulate the dynamics of carbon, nitrogen, phosphorus, heat and water, and the effects of management practices such as tillage methods, fertilizer and irrigation on these dynamics (Grant et al., 2001b). Hypotheses and algorithms of *ecosys* relevant to the objectives of this study are described below with reference to equations published in earlier articles.

## 5.2.1.1 Energy exchange

First-order closures of energy balances are used to calculate hourly energy exchange between the atmosphere and grassland canopy, residue, soil and snow surfaces (Grant, 2001, Eqs. A1-A16) (Grant et al. 1999a, 1999b, 1995a, 1995b, 1993a, 1992). Surface energy exchanges are coupled to subsurface conductive, convective and latent heat transfers using a forward differencing scheme with heat capacities and thermal conductivities calculated from De Vries (1963) (Grant, 2001, Eqs. A18, A26, A27). If intercepted precipitation is present on leaf or stem surfaces, latent heat flux is calculated from evaporation caused by canopy-atmosphere vapor density gradients and aerodynamic conductance (Grant, 2001, Eq. A4). If intercepted precipitation is not present, latent heat flux is calculated from transpiration which is also controlled by stomatal conductance (Grant, 2001, Eqs. A3, A13, A14).

#### 5.2.1.2 Water Relations

Soil-plant and plant-atmosphere water transfers are coupled through an hourly twostage convergence solution for the transfer of water and heat through a multi-layered, multi-population soil-root-canopy-atmosphere system. The first stage of this solution requires convergence to a canopy temperature for each plant population at which the first-order closure of the canopy energy balance is achieved. In the second stage, a canopy water potential is sought at which the difference between canopy transpiration

from the energy balance and total water uptake from all rooted soil layers (Grant, 2001, Eqs. B9, B10) equals the difference between canopy water contents from the previous and current hours. The canopy water potential determines transpiration by setting the osmotic potential (Grant, 2001, Eq. B2) and hence turgor potential (Grant, 2001, Eq. B1) which, with leaf carboxylation rate described under  $CO_2$  fixation below, determines stomatal conductance. Canopy and root water potentials (Grant, 2001, Eq. B15) also determine water uptake in each rooted soil layer by setting the soil-root-canopy potential gradients (Grant, 2001, Eqs. B9, B10) used to calculate total water uptake (Grant et al., 1999). Changes in canopy water potential also determine changes in canopy water content according to plant water potential-water content relationships. Soil-root resistances are the sum of radial hydraulic resistances to water uptake from each soil layer (Grant, 2001, Eq. B11), and of radial + axial hydraulic resistances of primary and secondary root systems (Grant, 2001, Eqs. B12, B13, B14). Root lengths and surface areas used in calculating these resistances are provided by a root system submodel driven by shoot-root C transfers and by root C oxidation and growth (Grant, 1993a, 1993b, 1998; Grant and Robertson, 1997).

#### 5.2.1.3 CO<sub>2</sub> fixation

NEP is the difference between gross CO<sub>2</sub> fixation (GPP) and autotrophic ( $R_a$ ) plus heterotrophic respiration. CO<sub>2</sub> fixation is calculated in *ecosys* from coupled algorithms for carboxylation and diffusion (Grant, 2001, Eq. C10). Carboxylation rates are calculated for each leaf surface of multispecific plant canopies as the lesser (Grant, 2001, Eqs. C1, C6, C10) of dark and light (Grant, 2001, Eqs. C2, C3, C5, C7, C8, C9) reaction rates according to Farquhar et al. (1980) (Grant et al., 1999). These rates are driven by absorbed irradiance, canopy temperature and C<sub>a</sub>. Maximum dark or light reaction rates used in these functions are determined by specific activities and surficial concentrations of rubisco (Grant, 2001, Eq. C3) or chlorophyll (Grant, 2001, Eq. C8) respectively. These activities and concentrations are determined by N and P uptake and assimilation during leaf growth as described in Grant et al. (2001c, 1989).

 $CO_2$  diffusion rates are calculated for each leaf surface by first calculating the leaf stomatal conductance required to maintain a set  $CO_2$  concentration in leaf (C<sub>i</sub>) to C<sub>a</sub> ratio

according to the leaf carboxylation rate and the  $CO_2$  concentration difference between the canopy atmosphere and the mesophyll at zero canopy water potential (Grant, 2001, Eq. C11). This conductance is then reduced according to an exponential function of canopy turgor (Grant, 2001, Eq. C13) generated from the convergence solution for canopy water potential described above. A convergence solution is then sought for C<sub>i</sub> and its aqueous equivalent at which diffusion equals carboxylation (Grant, 2001, Eq. C14).

#### 5.2.1.4 Autotrophic respiration

Total  $R_a$  is the sum of maintenance, growth and senescence (if any) respiration.  $R_a$  is driven by the oxidation of non-structural C products of GPP in each tiller and root layer as determined by the size of the non-structural C pool, temperature, and O<sub>2</sub> uptake (roots) (Grant et al., 1999, Eq. A26).  $R_a$  is divided into maintenance and growth components. Maintenance respiration is calculated from the N content of each tiller and root layer (Grant et al., 1999, Eq. A27), and an exponential function of canopy or soil temperature. If oxidation of non-structural C is less than maintenance respiration, the difference is made up from respiration of remobilizable C (assumed to be protein C) in leaves and supporting organs (Grant et al., 1999, Eq. A29), causing senescence and litterfall of associated non-remobilizable C. Non-structural C oxidized in excess of maintenance respiration requirements is used as growth respiration (Grant et al., 1999, Eq. A28) that drives the formation of new phytomass.

## 5.2.1.5 Heterotrophic respiration

## 5.2.1.5.1 Decomposition

Soil organic matter in *ecosys* is resolved into four substrate-microbe complexes (plant residue, animal manure, particulate organic matter and non-particulate organic matter) within each of which C, N and P may move among five organic states: solid substrate, absorbed substrate, soluble hydrolysis products, microbial communities, and microbial residues (Grant, 1999). Each organic state in each complex is resolved into structural components of differing vulnerability to hydrolysis and further resolved into elemental fractions C, N and P within each structural component. Microbial communities are also resolved into functional type including obligate aerobes, facultative anaerobes

(denitrifiers), obligate anaerobes (fermenters), methanogens, diazotrophs (N fixers) and autotrophs (nitrifiers and CH<sub>4</sub> oxidizers).

Litterfall described in *Autotrophic Respiration* above is added to the plant residue complex and partitioned into carbohydrate, protein, cellulose and lignin components. Rates of component hydrolysis are the product of the active biomass and specific activity of each microbial functional type within each complex (Grant, 2001, Eq. E1) (Grant and Rochette, 1994; Grant et al., 1993b), and by temperatures of surface residue and a spatially resolved soil profile (Grant et al., 1998; Grant, 1997; Grant and Rochette, 1994). Biomass growth of each functional type is driven by its growth respiration described in Microbial Growth below. Specific microbial activity is determined by substrate-microbe density relationships affected by soil water content (Grant, 2001, Eq. E3) (Grant and Rochette, 1994; Grant et al., 1993a). A fraction of the hydrolysis products from lignin (j=lignin) in Grant, 2001, Eqs. E1, E3) are coupled with those from protein and carbohydrate according to the stoichiometry proposed by Schulten and Schnitzer (1997), and the resulting compound is transferred to the particulate organic matter complex. Rates of particulate organic matter formation are thus determined by substrate lignin content and heterotrophic microbial activity. All remaining hydrolysis products are added to soluble pools which drive microbial respiration and growth.

## 5.2.1.5.2 Microbial growth

The concentration of the soluble hydrolysis products in *Decomposition* above determines rates of C oxidation by each heterotrophic population in each complex (Grant, 2001, Eq. E11), the total of which drives  $CO_2$  emission from the soil surface. This oxidation is coupled to the reduction of  $O_2$  by all heterotrophic aerobic populations (Grant, 2001, Eq. E13) (Grant et al., 1993a, 1993b; Grant and Rochette, 1994), to the sequential reduction of  $NO_3^-$ ,  $NO_2^-$  and  $N_2O$  by heterotrophic denitrifiers (Grant et al., 1993c, 1993d) and to the reduction of organic C by fermenters and of their acetate product by heterotrophic methanogens (Grant, 1999). Some of the C oxidation is required for maintenance respiration as determined from microbial N and soil temperature (Grant, 2001, Eq. E18), and the remainder is used for growth respiration that drives biosynthesis (Grant, 2001, Eq. E20) (Grant and Rochette, 1994). The energy yields of these oxidation-

reduction reactions vs. energy requirements for biosynthesis determine microbial growth and hence active biomass of each heterotrophic functional type from which its decomposer activity is calculated as described in *Decomposition* above. In addition, autotrophic nitrifiers conduct  $NH_4^+$  and  $NO_2^-$  oxidation and  $N_2O$  evolution (Grant, 1995), autotrophic methanogens conduct  $H_2$  oxidation, and autotrophic methanotrophs conduct  $CH_4$  oxidation (Grant, 1999), the energy yields from which determine autotrophic growth and hence biomass and activity. Microbial populations in the model seek to maintain steady-state ratios of biomass C:N:P by mineralizing or immobilizing  $NH_4^+$ ,  $NO_3^-$  and  $H_2PO_4^-$ , thereby regulating solution concentrations that drive N and P uptake by roots and mycorrhizae. Microbial populations undergo first order decomposition, products of which are partitioned between microbial residues within the same substrate-microbe complex, and the solid substrate of the non-particulate organic matter complex according to soil clay content (Grant *et al.*, 1993a,b). Rates of non-particulate organic matter formation are thus determined by rates of microbial decay and by soil clay content.

#### **5.2.2 Field Experiment**

#### 5.2.2.1 Description of study site

The data used to validate *ecosys* were from a research project established in June 1998 on a prairie grassland site at Lethbridge as part of the Ameriflux network (Flanagan et al., 2002; Running et al 1999). The study site was approximately 1.5 km west of Lethbridge, Alberta, Canada (Latitude: N49.43°, Longitude: W112.56°, Altitude: 951 m), on 64 ha of an uncultivated, mixed grass prairie (slopes equal to or less than 2%). The soils were Orthic Dark-Brown Chernozems with a clay loam A horizon (0.09 m, 29% sand, 40% silt, and 31% clay) and a clay B horizon (0.16 m, 27% sand, 30% silt, and 43% clay). Mean daily temperature for January and July were -8.6°C and 18°C, respectively. The long-term mean annual precipitation (1908 to 1999) was 401.5 mm. There was often a single peak in precipitation early in the summer followed by moderate to severe drought. Average pan evaporation (Class A) normally exceeded the average precipitation by at least 200% and often 300% in the summer months.

# 5.2.2.2 Measurement energy and CO<sub>2</sub> fluxes

The eddy covariance technique was used to measure the fluxes of water vapor, CO<sub>2</sub> and sensible heat on a continuous basis from June 19, 1998 to December 31, 2000. A three dimensional ultrasonic anemometer (Solent 1012, Gill Instruments Ltd., Lymington, England) was mounted on a one meter boom placed on top of a 6 meter tower and oriented in the prevalent wind direction (west) to measure wind speed, direction and air temperature. Changes in CO2 and water vapor concentration were measured with a closed path, fast response infrared gas analyzer (IRGA) (LI-6262, LI-COR Inc., Lincoln, Nebraska) housed in an insulated instrument hut. Air for CO2 and water vapor measurements was drawn at 8 L min<sup>-1</sup> through 15 m of tubing (3 mm inner diameter Bev-A-Line IV Tubing, LABCOR, Concord, Ontario) by a diaphragm pump (Capex V2X 12 VDC, Charles Austen Pumps Ltd., Surrey, England, or KNF UN828 KNI, KNF Neuberger Inc, Trenton, New Jersey) placed downstream from the IRGA. Fluxes of water vapor, CO<sub>2</sub>, and sensible heat were computed using the University of Edinburgh EdiSol software (Moncrieff et al., 1997). Transfer functions were used to correct for a high frequency component of the flux that was missing from the measurements (Moncrieff et al., 1997).

Along with the eddy flux instrumentation, a weather station was established to provide meteorological data. Net radiation and photosynthetic photon flux density (PPFD, 400-700 nm wave band) were measured by a net radiometer (REBS Q\*7.1, Radiation Energy Balance System, Seattle, Washington) and a LI-COR Quantum Sensor (LI-190SA, LI-COR, Lincoln, Nebraska) mounted on a nearby 3 m tower. Relative humidity and air temperature were measured using a shielded thermistor and a capacitance humidity probe (207 Temperature and Relative Humidity Probe, Campbell Scientific Ltd., Edmonton, Alberta) placed 2 meters above the ground. Mean soil heat flux was calculated from two soil heat flux transducers (REBS HFT-3.1, Radiation Energy Balance System, Seattle, Washington), placed about 2 cm below the soil surface. Total precipitation was recorded in 15-minute intervals by a tipping bucket rain gauge (TE525, Texas Electronics, Inc., Dallas, Texas) positioned 1 meter above ground approximately 6 m from the eddy covariance tower. All data other than precipitation were recorded as half-hourly averages on dataloggers (CR10 and CR10X, Campbell Scientific Ltd., Edmonton, Alberta).

Because we were not equipped to measure precipitation in the form of snow, precipitation data from the Lethbridge Research Centre (LRC) of Agriculture and Agri-Food Canada about 10 km away were used from October 1 to April 30. Data from the RLC were also used when meteorological data from the site were missing.

Soil samples (0 to 10 cm depth for 1998 and 0 to 15 cm for 1999 and 2000) were collected for water content measurements on a weekly basis using a soil corer. Six replicates were selected using a stratified random method. The known volume of soil was weighed, dried at 105°C for 24 hours, and then re-weighed. The gravimetric moisture content was converted into volumetric measurement using the bulk density of the soil

#### **5.2.3 Model Experiment**

## 5.2.3.1 Testing CO<sub>2</sub> and energy fluxes under current climate

Hourly climate data from 1990 to 1997 and during non-measurement periods from 1998 to 2000 were obtained from the LRC about 10 km away from the study site. These data were combined with those recorded at the study site during eddy covariance measurements to construct continuous hourly (1990-1997) and half-hourly (1998-2000) meteorological files of incoming shortwave radiation, air temperature, relative humidity, wind speed and precipitation used to simulate surface boundary conditions in ecosys. The model was initialized with the physical properties of the Orthic Dark-Brown Chernozem (Table 5.1). Soil texture, pH and organic carbon and nitrogen contents of A and B horizons were measured at the field site, and those of deeper horizons up to 120 cm were extracted from Agricultural Region of Alberta Soil Inventory Database (AGRASID, Nikiforuk et al., 1998). Field capacity ( $\theta_{FC}$ ), permanent wilting point ( $\theta_{WP}$ ), bulk density  $(\rho_b)$  and saturated hydraulic conductivity (K<sub>sat</sub>) were calculated from soil texture according to Saxton et al. (1986). The model was also initialized with the biological properties of grass (Grant et al., 2001b) which was seeded onto bare soil during the first year of the model run. The model was then run for 110 years by repeating the climate record from 1991 to 2000 eleven times. Average annual precipitation during this run was 94% of the mean annual value recorded from 1908 to 1999 (375.9 mm vs. 401.5 mm).

Table 5.1: Properties of the Orthic Brown Chernozem at Lethbridge, Alberta. Soil texture, organic matter content and pH of A (0 to 9 cm) and B horizons (9 to 25 cm) were measured at the field site, and those of lower layers were extracted from AGRASID (http://www.agric.gov.ab.ca/agdex/000/agrasid.html).  $\theta_{FC}$ ,  $\theta_{WP}$ ,  $\rho_b$  and  $K_{sat}$  were calculated from soil texture (Saxton et al., 1986).

Depth of layers (m)	0.01	0.03	0.05	0.11	0.21	0.3	0.4	0.5	0.7	0.9	1.2
$\overline{\rho_b}$ (Mg m <sup>-3</sup> )	1.24	1.24	1.24	1.24	1.27	1.27	1.32	1.3	1.37	1.4	1.4
$\theta_{\rm FC} ({\rm m}^3{\rm m}^{-3})$	0.32	0.32	0.32	0.32	0.38	0.38	0.32	0.35	0.28	0.27	0.27
$\theta_{WP} (m^3 m^{-3})$	0.17	0.17	0.17	0.17	0.24	0.24	0.19	0.2	0.15	0.13	0.13
$K_{sat} (mm h^{-1})$	3.8	3.8	3.8	3.8	2.0	2.0	2.9	2.9	5.4	7.0	7.0
Sand content $(g kg^{-1})$	288	288	288	288	274	274	330	260	385	410	410
Silt content $(g kg^{-1})$	400	400	400	400	296	296	333	383	365	370	370
pH	7.1	7.1	7.1	7.1	7.3	7.3	7.4	7.4	7.6	7.5	7.5
Organic C ( $g C kg^{-1}$ )	61.1	47.2	31.1	19.2	14.4	3.2	3.0	2.1	1.4	0.7	0
Organic N (g N Mg <sup>-1</sup> )	)4800	3800	2600	1600	1100	287	275	187	125	63	0

During the 78<sup>th</sup>, 79<sup>th</sup> and 80<sup>th</sup> year of the 110 year model run, in which climate data from 1998, 1999 and 2000 respectively were used,  $CO_2$  and energy fluxes calculated by the model were compared with those measured by eddy covariance during 1998, 1999 and 2000. Diurnal variation in modeled vs. measured fluxes was compared during two 5 day periods in each of the three years, the first in late June (Day 177-182) under cooler, wetter conditions, and the second in mid-August (Day 225-230) under warmer, drier conditions. Agreement between modeled vs. measured fluxes was examined by regressing modeled hourly fluxes on hourly averages of measured half-hourly fluxes for each yearly dataset. Daily and annual totals of  $CO_2$  exchange in the model were then compared with those estimated from measured  $CO_2$  fluxes. These model tests were intended to establish confidence in model projections of NEP during a long-term climate change scenario.

#### 5.2.3.2 Predicting changes in NEP during climate change

A climate change scenario was developed from the results of CRCM-II (Canadian Regional Climate Model) under the IS92a emissions scenario in which  $C_a$  was assumed to double in 100 years (Table 5.2). This rise in  $C_a$  caused rises in seasonal temperatures that varied from  $1.5^{\circ}$ C (October to December) to  $4.1^{\circ}$ C (January to March), and changes in seasonal precipitation that varied from -17.2% (July to September) to +22.7% (April to June). Relative humidity did not change, and wind speed decreased by 9.5% only

during October to December but otherwise did not change. Because changes in precipitation and humidity were predicted with less confidence than were those in air temperature, a second climate change scenario was run with the same rises in  $C_a$  and temperature but without changes in precipitation and humidity. Changes were implemented hourly to create gradual climate change during ten cycles of 1991-2000 climate data in a 110 year model run. Results for NPP and NEP of wet and dry years and for long-term soil C storage were compared with those modeled during 110 years under unchanged 1991 to 2000 climate. The possibility that climate-induced changes in NEP were an artifact of model parameterization was tested by conducting a Monte Carlo simulation of variation in NEP caused by variation in selected key parameters that regulate soil-plant-atmosphere water transfer in the model.

Table 5.2: The climate change scenario developed from results of the Canadian Regional Climate Model (CRCM-II) for the Lethbridge area.

Period	temperature	÷.	$\Delta$ Precipitatio	$n \Delta$ Wind spee $(y^{-1})$	-
	$(^{\circ}C y^{-1})$	$(^{\circ}C y^{-1})$	<u>(</u> , )	0)	(y <sup>-1</sup> )
Jan.—March	0.039	0.041	1.000	1.000	1.007
April—June	0.032	0.031	1.002	1.000	1.007
July—Sep.	0.031	0.038	0.998	1.000	1.007
Oct.—Dec.	0.028	0.015	1.001	0.999	1.007

# **5.3 Results**

## 5.3.1 Soil Water Content

Declining annual precipitation from 1998 (466 mm) through 1999 (363 mm) to 2000 (276 mm) caused the onset of soil drying (soil water content  $\theta$  declined to  $\theta_{WP}$ ) in the both the model and the field to advance from the end of August in 1998 to the end of July in 1999 and to the end of June in 2000 (Fig. 5.1). Lower  $\theta$  modeled during spring 1998 was caused by the appearance of only 72 mm precipitation in the weather data between mid-April and mid-June which was insufficient to maintain higher  $\theta$  in the model.

#### 5.3.2 Diurnal Variation in Energy and CO<sub>2</sub> Fluxes

5.3.2.1 Energy Fluxes

The model accurately simulated the change in energy balance from H being similar to LE during June and August 1998 and June 1999 (Fig. 5.2) when  $\theta$  was higher than  $\theta_{WP}$  (0 to 15 cm soil layer) (Fig. 5.1), to H being larger than LE during August 1999 and June 2000 when  $\theta$  was close to  $\theta_{WP}$ , and to H being much larger than LE during August 2000 when  $\theta$  was lower than  $\theta_{WP}$ .

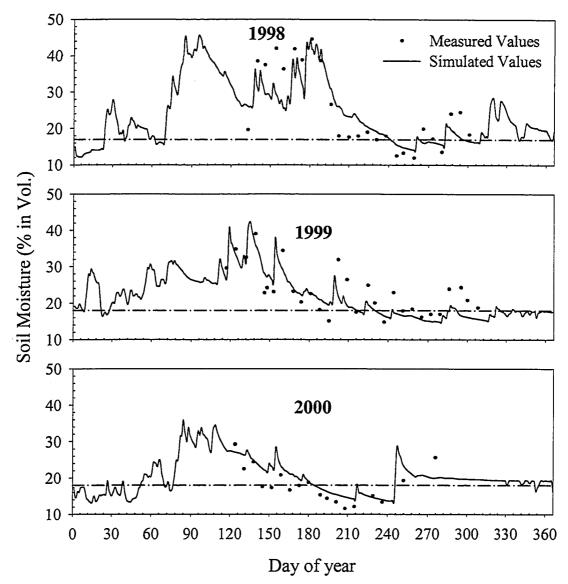


Figure 5.1: Measured (symbol) and modelled (line) soil moistures. Dash dot line indicates the permanent wilting point (17 % in vol. in 1998, 18% in 1999 and 2000). The depths for comparing measured and simulated data were 10 cm in 1998, 15 cm in 1999 and 2000.

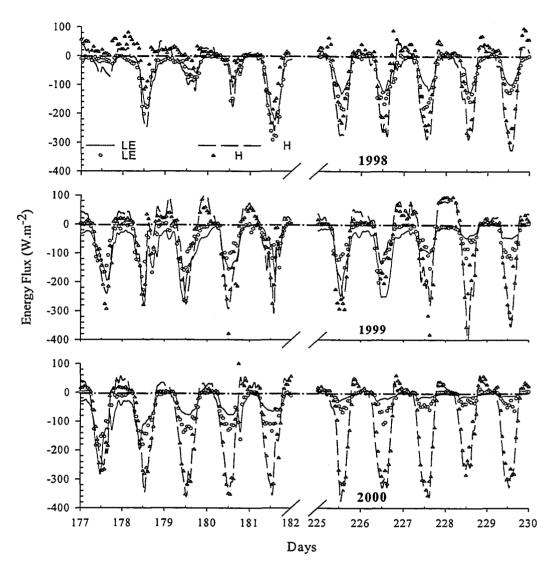


Figure 5.2: Measured (symbols) and modelled (lines) latent heat flux (LE) and sensible heat flux (H) from days 177 to 182 and 225 to 230 during 1998 to 2000. Negative values indicate upward fluxes.

Changes in modelled H and LE were determined by relationships among equations for energy exchange (Grant, 2001, Eqs. A1-A27) and water relations (Grant, 2001, Eqs. B1-B15). During soil drying (Fig. 5.1), declining hydraulic conductivity caused soil-root resistances to rise (Grant, 2001, Eq. B11). In combination with declining soil water potential, these rises forced declines in root and canopy water potentials (Grant, 2001, Eq. B15) and hence turgor (Grant, 2001, Eq. B1), which forced rises in canopy stomatal resistance (Grant, 2001, Eqs. A13, A14) that reduced LE (Grant, 2001, Eq. A3) and raised H (Grant, 2001, Eq. A1).

## 5.3.2.2 CO<sub>2</sub> Fluxes

Both modelled and measured influxes and effluxes of  $CO_2$  declined from June and August 1998 and June 1999 when  $\theta$  was higher than  $\theta_{WP}$  (Fig. 5.1) through August 1999 and June 2000 when  $\theta$  was close to  $\theta_{WP}$  to August 2000 when  $\theta$  was lower than  $\theta_{WP}$  (Fig. 5.3). Modeled effluxes of  $CO_2$  were frequently larger than those measured, notably during 1998 when  $\theta$  was higher.

During soil drying, the rise in canopy stomatal resistance to water vapor (Grant, 2001, Eqs. A13, A14) and hence leaf resistance to CO<sub>2</sub> (Grant, 2001, Eqs. C13, C14) caused a decline in CO<sub>2</sub> diffusion in the model (Grant, 2001, Eq. C11) and hence in GPP (Grant, 2001, Eq. C10) and NPP. Soil drying also increased the aqueous concentration of microbes, and thereby decreased rates of heterotrophic decomposition (Grant, 2001, Eqs. E1, E3), and hence microbial respiration (Grant, 2001, Eqs. E11, E13). Declines with  $\theta$  in R<sub>h</sub> were less than those in NPP, causing NEP also to decline with  $\theta$  (Fig. 5.3).

Modeled and measured fluxes indicated that the grassland at Lethbridge was a net sink during June and August 1998 and June 1999 when  $\theta$  was higher than  $\theta_{WP}$ , neither a sink nor a source during June 2000 and August 1999 when  $\theta$  was close to  $\theta_{WP}$ , and a source during August 2000 when  $\theta$  was lower than  $\theta_{WP}$ .

# 5.3.3 Modeled vs. Measured Energy and CO<sub>2</sub> Fluxes

#### 5.3.3.1 Energy Fluxes

Modeled and measured H were well correlated ( $R^2$  was greater than 0.8) and unbiased (slope *b* close to one, intercept *a* close to zero) during 1998, 1999 and 2000 (Table 5.3). Modeled LE was close to measured LE (*b* was close to 1) during 1999, and smaller (*b* was less than 1) during 1998 and during 2000 when variation in both modelled and measured fluxes was limited by water stress (Fig. 5.2). Standard differences (SD) between modelled and measured H and LE were not higher than 42 W m<sup>-2</sup> and 23 W m<sup>-2</sup> respectively during the 3 years of the study.

Item	Year	1998	1999	2000
Н	$R^2$	0.90	0.91	0.91
	$b \pm SE$	$1.00 \pm 0.02$	$0.86 \pm 0.01$	$0.98 \pm 0.01$
	$a \pm SE (W m^{-2})$	$-11 \pm 1$	$3 \pm 1$	$-2 \pm 1$
	SD (W m <sup>-2</sup> )	38	37	42
LE	$R^2$	0.82	0.83	0.72
	$b \pm SE$	$0.74 \pm 0.01$	$0.98 \pm 0.01$	$0.68 \pm 0.01$
	$a \pm SE (W m^{-2})$	$-11 \pm 1$	$-11 \pm 0$	$-11 \pm 0$
	SD (W.m <sup>-2</sup> )	23	21	22
С	$\mathbb{R}^2$	0.81	0.79	0.68
	$b \pm SE$	$0.79 \pm 0.02$	$1.00 \pm 0.01$	$0.61 \pm 0.01$
	$a \pm SE \ (\mu mol m^{-2} s^{-1})$	$-0.5 \pm 0.0$	$-0.2 \pm 0.0$	$-0.1 \pm 0.0$
	SD ( $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> )	1.3	1.0	0.8
1		995	3207	3300

Table 5.3: Regression of modeled on measured hourly energy and  $CO_2$  fluxes in 1998, 1999 and 2000. Model outputs in the 58<sup>th</sup>, 59<sup>th</sup> and 60<sup>th</sup> year of a 110 year model run were used in the regression analysis.

b = slope. a = intercept. SD = standard difference between modelled and measured values

## 5.3.3.2 CO<sub>2</sub> Fluxes

Correlation between measured and modeled  $CO_2$  fluxes was greater than 0.6 (Table 5.3). Modeled fluxes were similar to measured fluxes in 1999 (*b* was 1) and smaller in 1998 and 2000 (*b* was smaller than 1). The negative bias in the modeled fluxes (*a* was negative) was caused by frequently larger modelled vs. measured effluxes (Fig. 5.3). SD between measured and modeled fluxes averaged 1.0  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> during the 3 years of the study.

## 5.3.4 Daily Net Ecosystem Productivity

Seasonal changes in modeled vs. measured daily NEP were examined during 1998 to 2000. Modeled and measured NEP rose during late April and May to maximum values in late May and early June and then declined during July and August (Fig. 5.4). Daily NEP rose less and declined earlier with declining precipitation from 1998 to 2000. The earlier rise of NEP measured in 2000 vs. 1999 was not simulated from air temperatures measured in 2000 vs. 1999, indicating a need to improve the timing of modeled leafout. This earlier rise reduced correlations between modeled vs. measured LE and CO<sub>2</sub> fluxes in 2000 (Table 5.3). After early June, NEP declined with soil drying (Fig. 5.1), reaching zero when  $\theta$  was lower than  $\theta_{WP}$  in late August 1998, late July 1999 and late June 2000,

and remaining below zero thereafter. Measured NEP followed similar annual time courses. Rainfall events during August and September 2000 caused brief  $CO_2$  emission events to be modelled and measured (Fig. 5.4).

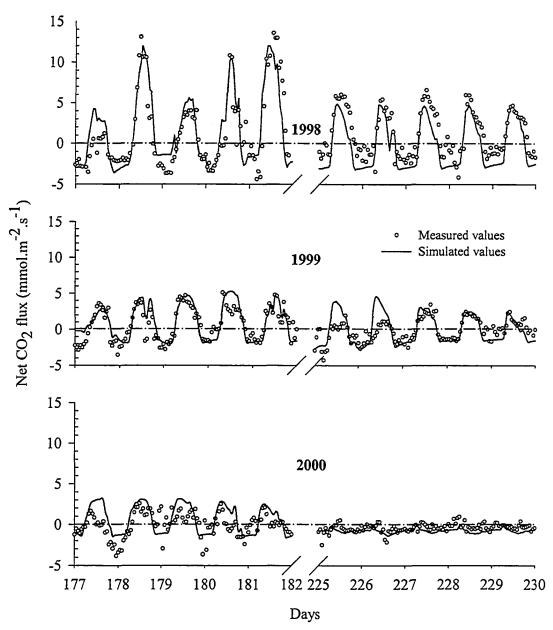


Figure 5.3: Measured (symbol) and modeled (line) net ecosystem  $CO_2$  flux from days 177 to 182 and 225 to 230 during 1998 to 2000. Negative values indicate upward fluxes.

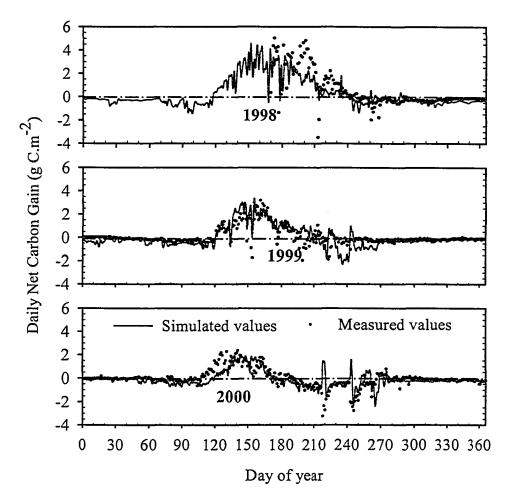


Figure 5.4: Measured (symbol) and modeled (line) daily net carbon exchange during 1998 to 2000. Negative values indicate C loss.

# 5.3.5 Annual Net Ecosystem Productivity

Annual GPP of the modelled grassland decreased with annual precipitation from 627 g C m<sup>-2</sup> in 1998 through 384 g C m<sup>-2</sup> in 1999 to 227 g C m<sup>-2</sup> in 2000 (Table 5.4). The fraction of  $R_a$  modelled in the roots to total  $R_a$  rose with declining precipitation from lower than 0.5 in 1998 to higher than 0.5 in 1999 and 2000. In the model this rise was driven by greater constraints on shoot vs. root growth respiration ( $R_g$ , Grant, et al., 1999, Eq. A28) imposed by lower shoot vs. root turgor generated from lower shoot vs. root water potentials during water uptake (Grant, 2001, Eq. B15). Modeled NPP declined from 372 g C m<sup>-2</sup> in 1998 through 200 g C m<sup>-2</sup> in 1999 to 92 g C m<sup>-2</sup> in 2000. Greater root vs. shoot  $R_g$  (Grant, et al., 1999, Eq. A28) caused modeled root NPP to increase from less than 0.7 of total NPP in 1998 to more than 0.7 in 1999 and 2000, thereby simulating

the rise in the allocation of plant C to root growth commonly found in plants growing under water stress (Derner et al., 2001). Annual  $R_h$  decreased with precipitation through decreased specific microbial decomposition caused by higher aqueous microbial concentrations (Grant, 2001, Eq. E1) (Grant and Rochette, 1994; Stott, et al., 1986). McGinn and Akinremi (2001) measured similar declines in soil respiration from fallow fields at Lethbridge in drier vs. wetter years. In the model the decrease in annual  $R_h$  was comparatively less than that in NPP, so that NEP declined from +59 g C m<sup>-2</sup> (C sink) in 1998 through +5 g C m<sup>-2</sup> in 1999 to -33 g C m<sup>-2</sup> (C source) in 2000.

Items	1998	· · · · · · · · · · · · · · · · · · ·	1999		2000	
Precipitation (mm)	466		363		276	
	Calculated	Modeled	Calculated	Modeled	Calculated	Modeled
	g C m <sup>-2</sup> y <sup>-1</sup>					
GPP (Total C Fixation)	373 <sup>†</sup>	627	287	384	272	227
Autotrophic respiration		255		184		135
Shoot		141		91		66
Root		114		93		69
NPP		372		200		92
Shoot		184		78		35
Root		188		122		57
PAGB <sup>‡</sup>	95	79	53	52	41	36
Heterotrophic respiration		313		195		125
TER	264 <sup>†</sup>	568	266	379	290	260
NEP	109 <sup>†</sup>	59	21	5	-18	-33

Table 5.4: The C balance of grassland calculated from gap-filled eddy covariance fluxes and modelled in 1998, 1999 and 2000. Positive values indicate C gain from atmosphere, negative values indicate C loss to atmosphere.

<sup>†</sup> calculated GPP, TER and NEP in 1998 were from 120 days of data during June 24 to June 30 and August 4 to December 10.

<sup>‡</sup>Peak above-ground biomass without litterfall.

Above-ground NPP (ANPP) of 184 g C m<sup>-2</sup> (Table 5.4) modelled in 1998 under 466 mm of precipitation was close to the 168 g C m<sup>-2</sup> found by Epstein et al. (1996) to correspond to the ANPP of North American grasslands with a mean annual temperature of 5°C and a mean annual precipitation of 400 mm to 450 mm as at Lethbridge. Modeled ANPP declined sharply with precipitation below 400 mm as found in other temperate

grasslands by Epstein et al. (1996). The modeled and measured peak above-ground biomass declined with annual precipitation from 1998 to 2000 (Table 5.3) (Fig. 5.5).

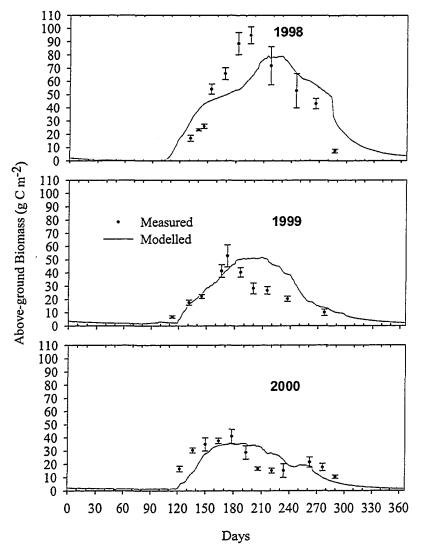


Figure 5.5: Measured (symbol) and modelled (line) aboveground C during 1998 to 2000.

Annual GPP and total ecosystem respiration (TER) were estimated from eddy covariance fluxes as described by Flanagan et al. (2002) who showed that this grassland was a net C sink in 1998 and 1999 and a source in 2000. These estimates were lower than GPP and TER modelled in 1998 and 1999, but were slightly higher than those modelled in 2000. NEP estimated from eddy covariance fluxes was larger than that modelled in 1998 (109 g C m<sup>-2</sup> estimated from 20 June to 31 Dec. in 1998 vs. 59 g C m<sup>-2</sup> modelled

during the entire year), but was close in 1999 (21 g C m<sup>-2</sup> estimated vs. 5 g C m<sup>-2</sup> modelled) and in 2000 (-18 g C m<sup>-2</sup> measured vs. -33 g C m<sup>-2</sup> modelled). The lower modeled vs. estimated values of annual NEP were attributed to larger TER calculated from modeled vs. measured CO<sub>2</sub> effluxes (Fig. 5.3).

#### 5.3.6 Century-Scale Net Ecosystem Productivity

Changes in soil C modelled during 110 years after grassland seeding are shown in Fig. 5.6. The decline in soil C modelled during the first 10 years occurred while grass C stocks were being rebuilt after seeding, and was thus a transient disturbance effect. During the following 100 years under current climate, the semiarid ungrazed grassland at Lethbridge was modelled to gain an average of 26 g C m<sup>-2</sup> y<sup>-1</sup> (Fig. 5.6). In this waterlimited ecosystem, modelled gains in soil C tended to be larger during wetter years as shown in Table 5.4. Greatest gains in soil C were modelled during years with average or above average precipitation that followed years with below-average precipitation. For example, soil carbon gained more than 60 g C m<sup>-2</sup> y<sup>-1</sup> under 1997 weather with 370 mm annual precipitation following 1996 weather with 237 mm, and less than 30 g C m<sup>-2</sup> y<sup>-1</sup> under 1993 weather with 506 mm annual precipitation following 1992 weather with 361 mm. Under these conditions NPP rose more rapidly with precipitation than did R<sub>h</sub> because active litter C had been depleted by low litterfall from the previous year. Greatest losses of soil C were modelled during years with below-average precipitation that followed years with average or above-average precipitation. For example, soil C lost more than 30 g C  $m^{-2} v^{-1}$  under 2000 weather with 276 mm following 1999 weather with 363 mm (Table 5.4), and more than 30 g C m<sup>-2</sup> y<sup>-1</sup> under 1996 weather with 237 mm following 1995 weather with 459 mm. Under these conditions NPP declined more rapidly with precipitation than did R<sub>h</sub>, which was partly sustained by high litterfall from the previous year. There was thus no unique relationship in the model between annual precipitation and NEP.

During 100 years under the climate change scenario described in Table 5.2, the average modelled gain in soil C rose from 26 to 28 g C m<sup>-2</sup> y<sup>-1</sup> so that after 100 years soil C was 159 g C m<sup>-2</sup> greater than that modelled under current climate (Fig. 5.6). Modeled gains in soil C were little affected by uncertainty about changes in precipitation or

171

humidity predicted under climate change. Averages GPP of grassland modelled under climate change rose by 20% after 100 years (Table 5.5), because (1) elevated  $C_a$  raised CO<sub>2</sub> fixation (Grant, 2001, Eqs. C1, C6, C10), although this rise was partially offset by reduced leaf nitrogen concentration (Grant, 2001, Eq. C3) (Grant et al., 2001c), (2) elevated  $C_a$  reduced stomatal conductance (Grant, 2001, Eq. A14) and hence transpiration (Grant, 2001, Eq. A3) (Grant et al., 2001c), and so delayed onset of water stress (Volk et al., 2000), (3) elevated temperature increased CO<sub>2</sub> fixation (Grant, 2001, Eq. C3), and (4) elevated temperature increased rates of soil N mineralization (Grant, 2001, Eq. C3), and (4) elevated temperature increased rates of soil N mineralization (Grant, 2001, Eqs. E1, E3), biological N fixation and plant N uptake, partially offsetting the reduction in leaf nitrogen concentration modelled under elevated  $C_a$ . An increase in the ratio of shoot to root NPP modelled under the climate change scenario was caused by improved plant water status. This improvement occurred because rises in transpiration under higher air temperatures were offset by declines in transpiration under higher  $C_a$ , so that higher precipitation (Table 5.2) contributed to higher soil water content.  $R_h$  modelled after 100 years of climate change rose almost as much as did NPP, so that NEP was little changed.

	Current climate		Climate c	Climate change	
Year	Average	Sd	Average	Sd	
Annual precipitation (mm)	372	84	395	89	
	g C m <sup>-2</sup> y <sup>-1</sup>		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
GPP	428	104	512	91	
Autotrophic respiration	180	29	208	22	
Above ground	91	20	111	11	
Root	89	13	97	21	
NPP	248	78	304	73	
Heterotrophic respiration	222	53	278	49	
NEP	26	30	26	37	

Table 5.5. Average annual C transfers during last ten years following 100 years under current (1991-2000) vs. changed (Table 5.2) climates. Sd is standard deviation of annual values.

In all the long-term model runs, maximum NEP was attained 30 to 80 years after seeding, after which NEP declined (Fig. 5.6), indicating that modelled soil C would eventually reach a maximum (Dugas et al., 1999).

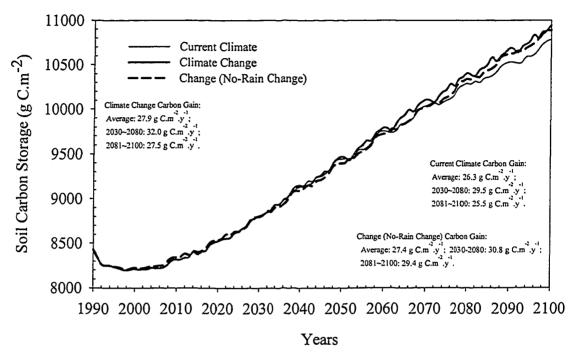


Figure 5.6: Soil carbon change under current climate and climate change scenarios. The climate change scenarios were developed from the results of the Canadian Regional Climate Model (CRCM-II V3.5) nested with CGCM2 outputs from the GHG+A transient simulation under scenario "IS92a".

# **5.4 Discussion**

Correlation coefficients relating modelled to measured energy and  $CO_2$  fluxes during the three years of this study were 0.7 to 0.8 (Figs. 2 and 3; Table 5.3). Because eddy covariance flux measurements were unreplicated, differences between modelled and measured fluxes must be assessed from comparisons with estimates of uncertainty in the measured fluxes. Although modelled LE was less than measured LE in 1998 and 2000 (Table 5.3), standard differences (SD) between modelled and measured values (22 W m<sup>-2</sup>) were smaller than standard errors for LE measured over grassland with different eddy covariance systems when regressed on LE measured by a common standard system by Twine et al. (2000) (38 W m<sup>-2</sup>). Twine et al. (2000) also reported that uncertainty in CO<sub>2</sub> flux measurement by eddy covariance varied between 10% and 30% over grassland. These uncertainties, if applicable to this site, are as great as the differences in modelled vs. measured hourly  $CO_2$  fluxes (Table 5.3) and annual NEP (Table 5.4) except for NEP in 1998 when the measured value was estimated from about 120 days of eddy covariance data.

The differences between modelled and measured annual C balances may be attributed to larger TER modelled vs. measured during 1998 and 1999 (Table 5.4). These larger modelled values were apparent in the larger nighttime CO<sub>2</sub> effluxes frequently modelled vs. measured during the flux comparison periods (Fig. 5.3). In the model, CO<sub>2</sub> effluxes were closely coupled to CO<sub>2</sub> influxes because  $R_a$  and  $R_h$  were calculated from wellestablished coefficients applied to products of CO<sub>2</sub> fixation, either plant non-structural C, the substrate for  $R_a$ , or soil litter C, the main substrate for  $R_h$ . TER measured by eddy covariance has been found to be about 30% less than that estimated by scaling up chamber measurements under well-mixed conditions in forests (Lavigne et al., 1997), although the difference may be smaller in grassland. Modeled TER and GPP were partly affected by the timing of leafout and leaf fall (Fig. 5.4), controls on which remain unclear.

Our modelled long-term gain of 26 g C m<sup>-2</sup> y<sup>-1</sup> in a cool, semi-arid grassland under current climate was less than one of 33 g C m<sup>-2</sup> y<sup>-1</sup> for cool, temperate, and subtropical grassland following conversion from agriculture summarized from the literature by Post and Kwon (2000), greater than one of 15 g C m<sup>-2</sup> y<sup>-1</sup> in dry natural grassland during more than 100 years following forest in the Italian Alps measured with radiocarbon dating by Thuille et al (2000), and close to one of  $28 \pm 4$  g C m<sup>-2</sup> y<sup>-1</sup> in a cool temperate dry natural grassland (Anderson, 1977).

The further gain of about 2 g C m<sup>-2</sup> y<sup>-1</sup> modelled in this grassland under changing vs. current climate differed from the small net loss (1 to  $2 \times 10^{15}$  g C from global grasslands after 50 years of climate change) simulated by the CENTURY model (Melillo et al, 1996). However, modelling and experimental results have indicated that elevated C<sub>a</sub> and temperature can raise grassland NPP and C storage. The increases in NPP of the cool semi-arid grassland modelled here after 100 years (average 23%, Table 5.5) was within the range of 20% to 30% simulated by Coughenour and Chen (1997) for a warmer semi-

arid grassland in Colorado under higher vs. lower precipitation, a 3 °C rise in temperature and doubled C<sub>a</sub>. Our modelled increase in NPP was slightly lower than ones in ANPP of 26% to 47% measured in open-top chambers by Morgan et al. (2001) over a semiarid grassland under doubled C<sub>a</sub> which they attributed to more rapid CO<sub>2</sub> fixation and improved water status. The long-term increase in grassland NEP under climate change of about 2 g C m<sup>-2</sup> y<sup>-1</sup> modelled here was close to one of about 4 g C m<sup>-2</sup> y<sup>-1</sup> modelled by Cao and Woodward (1998) for global terrestrial ecosystems under doubled C<sub>a</sub>, and smaller than one of about 8 g C m<sup>-2</sup> y<sup>-1</sup> modelled for semi-arid grasslands by Coughenour and Chen (1997) under a 20% increase in precipitation, a 3°C rise in temperature and doubled C<sub>a</sub>.

Questions have been raised about the effects of uncertainty in poorly constrained model parameters on model results. In ecosys, three key parameters regulating soil-plantatmosphere water transfer that are poorly constrained (in that experimental research into their values has given variable results) are: the stomatal resistance shape parameter (Grant, 2001, Eqs. A13, C13), root radial resistivity (Grant, 2001, Eq. B13) and root axial resistivity (Grant, 2001. Eq. B12). Monte Carlo error analysis showed that random variation in these parameters within 20% of their set values in the model caused variation of less than 2% in long-term average grassland NEP under current climate. This small variation in modelled NEP occurred because other processes in the model responded to the perturbation in the test parameter by offsetting its effect on NEP. For example, reducing the shape parameter for stomatal resistance initially lowered stomatal resistance and raised transpiration, thereby lowering both soil and canopy water potentials. Lower canopy potential forced lower canopy turger and hence higher stomatal resistance, thereby offsetting the effect of the reduced shape parameter. Similar offsetting responses to perturbation occurred with the other test parameters. The modelled increase in NEP under climate change was less than the 2 g C m<sup>-2</sup> y<sup>-1</sup> or 7% gain in long-term NEP under climate change, indicating that this gain was unlikely to be an artifact of uncertainty in model parameterization. However this gain is small, and indicates that no substantial change in NEP is likely to occur under the hypothesized climate scenario.

Concern has been expressed that rising temperatures predicted under most climate change scenarios (e.g. Table 5.2) will lead to soil drying during summer in northern

continental land areas (Boer et al., 1992). In the model, the assumption that ratio of C<sub>i</sub> to C<sub>a</sub> is conserved (but not constant) caused rising C<sub>a</sub> to reduce transpiration even when accompanied by rising temperature. The modelled reduction in transpiration was greater under N-limited conditions as such as those in natural grasslands. In a free air CO2 enrichment experiment under 1.5 time ambient Ca, Kimball et al. (1999) measured, and Grant et al. (2001c) modelled (with ecosys), reductions in wheat evapotranspiration of 19% and 16% respectively under low N fertilization, but reductions of only 7% and 9% respectively under high N fertilization. In sensitivity tests of the model at the grassland site, doubling C<sub>a</sub> over 100 years with no change in temperature and precipitation reduced average annual evapotranspiration by 32% after 100 years, and raising air temperature by 3 °C over 100 years without raising C<sub>a</sub> increased annual evapotranspiration by 26%. This increase in evapotranspiration modelled under higher temperature did not entirely offset the decrease in transpiration modelled under doubled C<sub>a</sub>. The combined effects of rising  $C_a$ , temperature and precipitation during climate change in the model were such that soil water content increased slightly from that modelled under current climate. Therefore current climate change scenarios are not predicted to cause soil drying in semi-arid grassland unless they include substantial reductions in precipitation.

# **5.5 Conclusions**

**Ecosys** was able to simulate energy and carbon fluxes over a temperate semi-arid grassland within the precision of eddy covariance measurements, although further research is needed to reconcile modelled vs. measured ecosystem respiration. These simulations indicated that grassland was a carbon source when near-surface soil water content was lower than wilting point, and a carbon sink when it was higher than wilting point. The eddy covariance measurements provided a well-constrained test of *ecosys* which improved confidence in model predictions that the grassland would gain 26 g C m<sup>-2</sup> y<sup>-1</sup> and 28 g C m<sup>-2</sup> y<sup>-1</sup> during next 100 years under current climate and a climate change scenario developed from CRCM-II under IS92a. Gains in soil C were predicted to increase slightly under climate change because increases in GPP from rising air temperature, precipitation and C<sub>a</sub> offset increases in respiration from rising air temperature.

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# **Chapter 6: Overview, Discussion and General Conclusions**

Large temporal and spatial variations in  $N_2O$  emissions, which result from site specific conditions, are key impediments to estimating regional emissions of  $N_2O$  emissions in national greenhouse gas (GHG) inventories. This study is intended to develop a scientifically defensible methodology to account for these variations under diverse agricultural practices. This methodology will contribute to needs of IPCC Tier 2 methodology for assessing GHG emissions. IPCC Tier 2 will eventually replace IPCC Tier 1 methodology (IPCC guidelines, 1997) to improve estimates of national GHG emissions. By using a constant ratio between N input and  $N_2O$  emissions, IPCC Tier 1 does not account for following factors which are known to affect the  $N_2O$  emissions.

- Changes of air temperature and precipitation result in the temporal variation of soil temperature and soil water content, which strongly affect N<sub>2</sub>O emissions (Flessa et al. 2002). N<sub>2</sub>O emissions increase greatly when the climate changes from dry to wet or from cold to warm (Kaiser and Ruser, 2000; Röver et al., 1998).
- (2) Heterogeneity in soil texture and nutrient content causes differences in soil hydraulic properties and in available substrate for carbon oxidation, mineralization, nitrification and denitrification, causing differences in N<sub>2</sub>O emissions (Bouwman and Boumans, 2002; Skiba et al., 1997; Remde et al., 1993; Davidson, 1991).
- (3) Topography affects the redistribution of soil water and solutes, and translocation of sediment in a given landscape (Morgan et al., 1998a and 1998b), strongly affecting N<sub>2</sub>O emissions (Choudhary et al, 2002; Corre et al., 1996).
- (4) Agricultural practices such as tillage, fertilizer application and cropping system also strongly change N<sub>2</sub>O emissions (Choudhary et al., 2002; Ball et al., 1999; Lemke et al., 1999; Mogge et al., 1999; Kaiser et al., 1998; Jacinthe and Dick, 1997; Eichner, 1990).

The complex interactions of above factors cause the large temporal and spatial variations in  $N_2O$  emissions which are the sources of uncertainty in the estimate of  $N_2O$  emission using IPCC Tier 1 methodology. Because this uncertainty is larger than 62% in

agricultural soils (Brown et al., 2002; Lim et al., 1999), the effects of the above factors on  $N_2O$  emissions must be involved in the IPCC Tier 2 methodology.

Currently, chamber measurement methods can address spatial variation of N<sub>2</sub>O emissions while micrometeorological methods can only detect the temporal variation at fine scale. No measurement method can address both temporal and spatial variation simultaneously (Chapter 1). Modeling is the potential method to address all factors and their interactions that affect N<sub>2</sub>O emissions (Grant and Pattey, 2003). However, the model must have the ability to simulate biological, physical and chemical processes of N<sub>2</sub>O emission, to calculate water, solute and gas exchanges in three dimensions, and to represent the effects of climate and agricultural practices on N<sub>2</sub>O emissions at fine scale. *Ecosys* is the only terrestrial ecosystem model which can match these requirements (Li et al., 2004; Grant 2001, 1999, 1997, 1995a, 1995b; Grant and Nalder, 2000; Grant and Pattey, 2003, 1999; Grant et al., 2001a, 2001b, 2001, 1999a, 1999b, 1998, 1993a, 1993b, 1993c), but it does not have the ability to manage large amount of inputs and outputs for complex landscapes. Therefore, *ecosys* was integrated into a geographic information system (GIS) framework to be a new version of *ecosys* for landscape scale modeling which was entitled *3D-Ecosys-GIS* in this study.

With the 3D-Ecosys-GIS, site-specific N<sub>2</sub>O emissions and their temporal and spatial variations were evaluated at a full landscape (or mini-watershed) scale. In 3D-Ecosys-GIS, the cycling of carbon and nitrogen, and the three-dimensional transport of water, solute and gas (Eqs. A3.1 to A3.14) were computed at a time-step of seconds to hours and at a spatial scale of meters. The sensitivities of cycling and transport to soil water and temperature were also considered (Eqs. A3.9 to A3.27). These greatly detailed calculations allowed the effects of diverse soils, crops, climates, agricultural practices and topography to be reflected in landscape-scale estimates of N<sub>2</sub>O emissions. The inadequacies of IPCC Tier 1 methodology discussed earlier have been addressed as following in this study:

 Modeled results of 3D-Ecosys-GIS clearly showed the effects of precipitation and air temperature on N<sub>2</sub>O emissions. Annual N<sub>2</sub>O emission decreased by 67% as precipitation declined by 51% from wet through normal to dry years (Tables 4.2, 4.4 and 4.5). The field chamber measurements (4 times per week) conducted by Hellebrand et al. (2003) showed that annual  $N_2O$  emissions decreased by 29% as annual precipitation declined by 30% in a fertilized biofuel field at Postdam in Germany.

The changed dynamics of soil water and temperature resulting from the changes of precipitation and air temperature were the main causes of large temporal variations in hourly and seasonal N<sub>2</sub>O emissions (Figs. 3.3 to 3.6). These temporal variations of N<sub>2</sub>O emissions in the hummocky agricultural landscape (73% - 99%, Table 3.3) were consistent with field measurements elsewhere (36% – 131% in Sehy et al., 2003; Flessa et al., 2002 and Chao et al., 2000). As a result, emission factors for a given N input varied with changes of climate (Table 4.4) as also found by Hellebrand et al. (2003). Therefore, estimates of annual emissions using IPCC Tier 1 with a fixed emission factor (0.0125 kg N kg<sup>-1</sup> N input, Olsen et al., 2003) are not likely to be accurate if the effects of air temperature and precipitation are not accounted for. Estimates of emissions linearly interpolated from infrequent chamber measurements are also not likely to be accurate because these measurements cannot represent the temporal variation of N<sub>2</sub>O emissions, so that (Flessa et al., 2002).

Other modeled results in this study showed the strong effects of climate on  $CO_2$  exchange after a well-constrained validation by highly frequent eddy covariance measurement data. The natural grassland at Lethbridge was modeled and measured to be carbon sink under higher precipitation and a carbon source under lower precipitation (Figs. 5.3 and 5.4, Tables 5.4 and 5.5).

(2) Landscape Soil Property Predictor (LSPP), a sub-model of 3D-Ecosys-GIS, represented spatial heterogeneity in key soil properties related to N<sub>2</sub>O emissions over the hummocky agricultural landscape (Fig. 2.8). Modeled results of 3D-Ecosys-GIS successfully addressed the effects of soil heterogeneity on N<sub>2</sub>O emissions. As soil texture changed from coarse to fine, and total organic carbon and nitrogen contents increased from upper to lower slopes (Fig. 2.8), annual N<sub>2</sub>O emissions increased (Fig. 3.11; Appendix 4.2) which was consistent with results summarized from a large number of field measurements (Table 5 in Bouwman and Boumans, 2002). Therefore, estimates of N<sub>2</sub>O emissions must involve the

effect of soil properties on  $N_2O$  emissions, although this is not done in IPCC Tier 1.

(3) Modeled results also demonstrated the strong effects of topography on the spatial variation of N<sub>2</sub>O emissions (Table 3.5, Figs. 3.10 and 3.11). The N<sub>2</sub>O emissions increased from upper to lower slopes under dry conditions, but decreased under wet conditions. Such large spatial variation (88% to 341% in Table 3.5) has been measured in comparable studies elsewhere (Choudhary et al., 2002; Corre et al., 1996), but the complexity in spatial variation could not be measured by current methods (i.e. chamber and micrometeorological methods).

Modeled results showed that the positions of "hot spots" (localized sites with very high  $N_2O$  emission) and "cold spots" (localized sites with very low  $N_2O$  emission) could alternate while relative soil water-filled porosity changed around 0.6–0.7 in the hummocky agricultural landscape (Figs. 3.8 to 3.11; Sehy et al. 2003). The detailed identification of "hot spots" and "cold spots" are useful for more accurate estimates of  $N_2O$  emissions, but current field measurements and IPCC Tier 1 cannot identify those spots at landscape scale, and may not account for the contribution of rapid emission from "hot spots" to total emission over a landscape. Moreover, the spatial complexity of  $N_2O$  emissions must be considered when evaluating the effects of agricultural practices on  $N_2O$  emissions at a full landscape scale.

(4) With 3D-Ecosys-GIS, the effects of agricultural practices on N<sub>2</sub>O emission were evaluated at a full landscape scale. Modeled results showed the effects of agricultural practices on N<sub>2</sub>O emissions. N<sub>2</sub>O emission was 38% lower under zero-tillage than under conventional tillage (Table 4.2). Lower N<sub>2</sub>O emission under zero-tillage was measured by Lemke et al. (1999) on a similar soil to that of this study, and also in some other field studies (Kaharabata et al., 2003; Choudhary et al., 2002; Yamulki and Jarvis, 2002; Jacinthe and Dick, 1997), but Baggs et al. (2003), Röver et al. (1999) and Williams et al. (1999) measured higher N<sub>2</sub>O emissions in zero-tillage fields. Manure fertilizer lowered N<sub>2</sub>O emission in the model by 40% in comparison with chemical fertilizer under the same N input (Table 4.4). Such a reduction was apparent in the field

measurements of Hiroko and Haruo (2003) and Lemke et al. (1999), but was contradicted by field measurements of Kaiser and Ruser (2000) and Mogge et al. (1999). Conversion from cropland to grassland in the model also lowered N<sub>2</sub>O emission (Table 4.5) as has been measured elsewhere (Choudhary et al, 2002). Fallow decreased N<sub>2</sub>O loss by 48% to 75% (Table 4.5). Lower N<sub>2</sub>O emission was measured by Lemke et al. (1999) in fallow field on a similar soil to that of this study, but Mosier et al. (1997) measured higher N<sub>2</sub>O emission. In contrast, increasing chemical fertilizer rate and conversion from grassland to cropland increased N<sub>2</sub>O loss from the hummocky agricultural landscape (Tables 4.4 and 4.5). These results were consistent with field measurements (Bouwman and Boumans, 2002; Mosier et al., 1997). The conflicting conclusions about the effects of agricultural practices on N<sub>2</sub>O emissions might result from large spatial variation of emissions. These conflicts must be reconciled before definitive statements can be made about how agricultural practices affect N<sub>2</sub>O emission.

Above advances addressed in this study will contribute to the needs of IPCC Tier 2. As the large temporal and spatial variation under various agricultural practices become fully accounted for, the total  $N_2O$  emission aggregated from modeled outputs of meter scale grid cells at hourly time intervals may replace those calculated from a fixed annual emission factor (0.0125 kg N kg<sup>-1</sup> N input, Olsen et al., 2003) or linearly interpolated from a few localized measurements.

However, the conflicting conclusions from modeled results and some field measurements weakened the reliability of modeled results. Furthermore, the degree to which modeled results were corroborated by field measurements was limited by measurement methodology. There was some difficulty in the validation of model outputs for N<sub>2</sub>O emissions with low frequency field chamber measurements in this study. This difficulty highlighted the need for more and better datasets, particularly with more frequent field measurements of N<sub>2</sub>O, likely using automated chambers. This study also identified a requirement for more measurements during large emission events, main emission periods and at particular landscape locations. In contrast, the highly frequent eddy covariance measurements provided well-constrained model validation which increased the reliability of modeled results in the study of CO<sub>2</sub> exchange in a semi-arid

natural grassland at Lethbridge. Such measurements of  $N_2O$  emissions could make an important contribution to testing modeled  $N_2O$  estimates in future studies.

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