

# Towards an Online Searchable Bibliographic Database for Ismā‘īlī Studies

by Nawazali Alibhai Jiwa

## Abstract

Research in the field of Ismā‘īlī Studies has increased exponentially during the last decades. However, all of the existing bibliographies in the field are print-based, which lack the efficient and effective searchability offered by online bibliographic databases. This paper explores some ideas towards creating an ideal online searchable database dedicated exclusively to Ismā‘īlī Studies. It covers elements of an ideal database such as search features, subject headings, linking, metadata, abstracting, and indexing. Moreover, it provides the rationale for a specialized database, as opposed to using multi-disciplinary or interdisciplinary databases to conduct research in Ismā‘īlī Studies. The database can serve as a model to implement a similar specialized database for other areas of research within and beyond theological and religious studies.

## Introduction and Context

The amount of research conducted in Ismā‘īlī Studies<sup>1</sup> has increased exponentially during the last few decades. This is attested to by the number of works cited by the existing bibliographies in the field. However, all of these bibliographies are print-based and by their nature lack the searchability and currency offered by online databases. This paper explores and makes a case for why it is crucial to build an online database dedicated exclusively to Ismā‘īlī Studies. After establishing its purpose and scope, it focuses on the content and functional requirements of such a database. The former covers the specific bibliographic sources and methods that need to be utilized in order to extract the data. The latter entails a proposal of features to enhance the searchability of that data. Particular attention is paid to the role of subject indexing and metadata in meeting this objective. Finally, this paper addresses the necessity of exclusivity, as well as identifies what types of resources are required to build and maintain the database.

This paper concentrates on secondary sources in Ismā‘īlī Studies though most of the discussion also has wider application to primary sources. The first major book-length bibliography of secondary sources was Nagib Tajdin’s *A Bibliography of Ismailism*, published in 1985.<sup>2</sup> This was followed by Farhad Daftary’s *Ismā‘īlī Literature: A Bibliography of Sources and Studies*, published in 2004.<sup>3</sup> In 2013, the present bibliographer published a compilation of sources omitted by Tajdin and Daftary within certain limits, hereafter referred to as the *Addenda*.<sup>4</sup> All of these bibliographies are print-based which in and of itself is already problematic. As Carol Hetherington explains:

Print bibliographies are inevitably imprisoned within limits of space, time and format. They are “finished” products that must be replaced with a new, updated finished product whenever additions or corrections need to be made.<sup>5</sup>

<sup>1</sup> For an extensive survey of the field, see Farhad Daftary, *Ismā‘īlī Literature: A Bibliography of Sources and Studies* (London: I.B. Tauris in association with The Institute of Ismaili Studies, 2004). See further, Khalil Andani, “A Survey of Ismaili Studies Part 1: Early Ismailism and Fatimid Ismailism,” *Religion Compass* 10, no. 8 (2016): 191–206; and “A Survey of Ismaili Studies Part 2: Post-Fatimid and Modern Ismailism,” *Religion Compass* 10, no. 11 (2016): 269–82.

<sup>2</sup> Nagib Tajdin, *A Bibliography of Ismailism* (Delmar, NY: Caravan Books, 1985).

<sup>3</sup> Daftary, *Ismā‘īlī Literature*.

<sup>4</sup> Nawazali A. Jiwa, “Addenda to Secondary Sources in Ismā‘īlī Studies: The Case of the Omissions,” *MELA Notes: Journal of Middle Eastern Librarianship* 86 (2013): 20-101.

<sup>5</sup> Carol Hetherington, “Setting the Record Straight: Bibliography and Australian Literature,” *Australian Literary Studies* 21, no. 2 (2003): 199.

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Just such a point is made in a review of Daftary's book by Moojan Momen, who states:

If I were a scholar wanting to locate primary and secondary material by both Isma'ilis and non-Isma'ilis on, let us say, Isma'ili cosmology or the Isma'ili interpretation of the Qur'an (*ta'wil*), I would not find this book particularly useful since, as a result of ... [the] lack of a subject index, I would have to read through the entire book to find what I want.<sup>6</sup>

## Purpose and Scope

The benefits of a database dedicated to Ismā'īlī Studies are immediately apparent. Its purpose is twofold: first, to create an organized central resource of *all* available bibliographic data in Ismā'īlī Studies, and, second, to facilitate ease, accuracy, and speed of search and retrieval of that data. The database's scope will be wide-ranging and will encompass all aspects of the field. The intended audience will include students, scholars, and specialists, along with research librarians.

Types of bibliographical material included will be books, book chapters, book reviews, scholarly journal and periodical articles, articles in encyclopedias and other works of reference, theses, dissertations, and scholarly online sources. Date coverage will include all secondary sources published to the present day. In addition, it will cover materials in all languages, especially South Asian languages which have been neglected in the existing bibliographies.

## Data Extraction

The data contained in the existing print bibliographies will form one part of the content of such a database. The other part of the content will require an update of the literature from 2004 to the present (with omissions from previous years). The *Addenda* identifies three broad methods to gather this additional bibliographic data.<sup>7</sup> These are:

1. A systematic and thorough *search* of Online Public Access Catalogs (OPACs), academic and specialized databases, digital libraries and repositories, and the Internet;<sup>8</sup>
2. *Chaining*, which entails the identification of seminal or "seed" studies and tracing the sources cited therein. These sources, in turn, cite further studies which are also sought and so on until the chain terminates. Chaining also entails the scrutiny of studies that cite the seed document (also known as "forward chaining");
3. Requesting select members of the "*invisible college*" to share their bibliographic data. LIS literature employs the term to describe "[a] loosely defined unofficial network of scholars, all working on similar research questions, who become familiar with each other's research through conference attendance, shared research interests, publications, listservs, web pages, and other informal avenues."<sup>9</sup>

In recent years, the library landscape has witnessed a rise in Discovery Services,

systems that harvest and pre-index a wide variety of library content from separate sources ..., build one giant index of all that content, and provide near instance, relevancy ranked results through one search box.<sup>10</sup>

This "Google Model" is offered by OCLC WorldCat Discovery Services, EBSCO Discovery Service (EDS), and ProQuest Summon, amongst others. Though these vendors were included in the *Addenda's* table of resources, Discovery Services as separate entities need to be added to this list. Also to be added are academic network sites such as [ResearchGate](#) and [Mendeley \(Academia.edu\)](#) being included in the original list).

<sup>6</sup> Moojan Momen, Review of *Ismaili Literature: A Bibliography of Sources and Studies*, by Farhad Daftary, in *Islam and Christian-Muslim Relations* 16, no. 4 (2005): 434.

<sup>7</sup> N. A. Jiwa, 23-26.

<sup>8</sup> This method is accompanied by a table showing some of the different types of resources consulted.

<sup>9</sup> Leslie F. Stebbins, *Student Guide to Research in the Digital Age: How to Locate and Evaluate Information Sources* (Westport, CT: Libraries Unlimited, 2006), 182.

<sup>10</sup> Suzanne S. Bell, *Librarian's Guide to Online Searching: Cultivating Database Skills for Research and Instruction*, 4th ed. (Santa Barbara, CA: Libraries Unlimited, 2015), 7.

## Searchability

The following section identifies the features that the ideal database will have in order to enhance its searchability. These features are considered standard in library databases, and the description of each is meant to be illustrative of how they would operate on this group of sources.

### Field Searching

Field Searching allows a user to restrict a search to a particular field, the most common ones being author, title, and date. Assume a user is trying to find an item with a keyword search on the term “Muhammad” in the title. Without limiting it to the title field, the search will retrieve a significant amount of irrelevant results because many of the records list the term “Muhammad” as part of an author’s name.

### Phrase Searching

Phrase Searching refers to looking for two or more words in a specific order. This is executed by enclosing the phrase in quotation marks, as in “Old Man of the Mountain” or its various renderings such as “*Vetulus de Montanis*,” “*Senex de Monte*,” and “*Shaykh al-Jabal*.”<sup>11</sup> Without the quotation marks, the search will retrieve records that contain the terms but not necessarily as an exact phrase.

### Truncation

Truncation, also known as stemming, enables the user to search for a word and all of the possible variants of that word. It is especially useful for searching a word across different languages. For example, inserting a truncation symbol (commonly an asterisk \*) at the end of the word Fāṭimid\* will retrieve some of the following variants:

- Fatimide
- Fatimiden
- Fatimides
- Fatimidi
- Fatimids

### Wildcards

Wildcards enable the user to search for a word that may have multiple spellings but still retains the same meaning. It is placed within a word and is commonly represented by a question mark (?). For example, wom?n will pull up both of these titles: “A Dawoodi Bohra Woman’s Experience of Arranged Marriage”<sup>12</sup> and “Leaving My Mother’s House: Khoja Ismaili Women and University.”<sup>13</sup>

### Limiters

The implementation of limiters allows for more specific search results by adding certain criteria. The most common criteria include:

Limit by publication type: Book, book review, scholarly journal article, encyclopedia entry, dissertation, etc.

Limit by publication language: All languages; English only; selected language(s) only, for example, Arabic and Persian.

<sup>11</sup> The title “*Shaykh al-Jabal*” is a later Arabic translation from the Western forms. See Jerzy Hauziński, “On the Titles of the Ismā‘īlī-Nizārī Leaders in the Alamūt Period,” *Folia Orientalia* 49 (2012): 238.

<sup>12</sup> Tasneem Arastu, “A Dawoodi Bohra Woman’s Experience of Arranged Marriage,” Master’s thesis, Michigan School of Professional Psychology, 2009.

<sup>13</sup> Saira Jamal Sabzaali, “Leaving My Mother’s House: Khoja Ismaili Women and University,” PhD diss., Institute of Transpersonal Psychology, 2007.

Limit by publication date: Before or after a certain date; date range, for example, 2004-2018, and further by ascending or descending order.

Limit by publication author: Display of authors after a search ranked by the number of citations attributed to a particular author on a particular topic.

Limit by publication subject: Suggestion of subject/topic areas after a search usually displayed on the sidebar.

Limit by peer review: Peer review is intended to improve the quality control of research but the process is not without criticism. However, there is a strong consensus in academia that the benefits outweigh the disadvantages.<sup>14</sup> For the purposes of the database, each item that has undergone the process will be readily identified. This is another instance of an electronic bibliography enhancing the usefulness of the existing (print-based) bibliographies in this subject area that do not indicate peer-reviewed items.

## Boolean Operators

The database will employ Boolean operators to connect search terms together to either broaden or narrow a search.

## Keyword Search (Free-Text Search)

A keyword search looks for the specified word or words anywhere in the record. This can be an advantage or a drawback, depending on the purpose of the user. For example, a keyword search on the term “Assassins”<sup>15</sup> will retrieve a copious amount of results whereas a search on the term *Guptīs* (secret or hidden ones)<sup>16</sup> will retrieve only a handful of results as there is not much written on the topic. The former search will have a high recall, retrieving more results; in doing so, the user is unlikely to miss any relevant items. The latter search will have high precision, which refers to retrieving fewer, but relatively dead-on and unerring, results.

## Subject Heading Search

Even a cursory look at the bibliographic data in the field will reveal that many of the titles contain the terms “Fāṭimids” or “Ismāʿīlīs” with their language variants. A keyword search on one of these terms will retrieve all of the items that contain the term without taking into account the rest of the subject matter. A search can be made more focused by the addition of subject headings to each record.

Subject headings can be general — for example, “castles” and “coinage” or subject-specific — such as “Imamate” (hereditary office or institution of the Imam) and *taʿwīl*.<sup>17</sup> A subject search on the term *taʿwīl* will retrieve all of the items that have been assigned that heading. A record may contain more than one subject heading to describe fully the content of an item. The table below lists more examples of subject-specific headings in this subject area.

<sup>14</sup> See Emily A. Largent and Richard T. Snodgrass, “Blind Peer Review by Academic Journals,” in *Blinding as a Solution to Bias: Strengthening Biomedical Science, Forensic Science, and Law*, ed. Christopher T. Robertson and Aaron S. Kesselheim (Boston: Elsevier Academic Press, 2016), 75-95.

<sup>15</sup> For an overview of the “Assassins,” see Farhad Daftary, “Assassins,” in *Encyclopaedia Islamica*, 2011, accessed February 20, 2018. <http://referenceworks.brillonline.com/browse/encyclopaedia-islamica>. The term is pejorative but continues to persist in scholarly and popular discourse including library classification systems. See N. A. Jiwa, 27n22.

<sup>16</sup> On the *Guptīs*, see Shafiqe N. Virani, “*Taqiyya* and Identity in a South Asian Community,” *Journal of Asian Studies* 70, no. 1 (2011): 99-139.

<sup>17</sup> Examples of works that would be classified under this heading are Diane Steigerwald, “Ismāʿīlī *Taʿwīl*,” in *The Wiley Blackwell Companion to the Qurʾān*, 2nd ed., ed. Andrew Rippin and Jawid Mojaddedi (Hoboken, NJ: John Wiley and Sons, 2017), 463-78, which supplies a general survey of *taʿwīl* in Ismāʿīlī history; and David Hollenberg, *Beyond the Qurʾān: Early Ismāʿīlī *Taʿwīl* and the Secrets of the Prophets* (Columbia: University of South Carolina Press, 2016), which focuses on *taʿwīl* in early Ismāʿīlī and, in part, Fāṭimid thought.

**Table**

Personages	Ḥasan-i Šabbāḥ; <sup>18</sup> Ibn al-Hayṭam <sup>19</sup>
Places	Maṣūriyya; <sup>20</sup> Tajikistan <sup>21</sup>
Groups	Bohras; <sup>22</sup> Qarmaṭīs <sup>23</sup>
Concepts	<i>nūr</i> ; <sup>24</sup> <i>walāya</i> <sup>25</sup>
Institutions	Aga Khan Development Network (AKDN) <sup>26</sup> <i>Jamā'at-khānas</i> <sup>27</sup>
Titles of Works	<i>Dustūr al-Munajjimīn</i> <sup>28</sup> <i>Kalām-i Mawlā</i> <sup>29</sup>

The user will also benefit by the addition of an alphabetical subject heading guide that lists all of the subject headings used in the database.

<sup>18</sup> On the founder of the Nizārī Ismā'īlī state and *da'wa* (summons) in Persia, see Farhad Daftary, “Ḥasan-i Šabbāḥ,” in *Encyclopaedia of Islam*, 3rd ed., 2016, accessed February 20, 2018. <http://referenceworks.brillonline.com/browse/encyclopaedia-of-islam-3>; and Carole Hillenbrand, “A Neglected Source on the Life of Hasan-i Sabbah, the Founder of the Nizari ‘Assassin’ Sect,” *Iran: Journal of the British Institute of Persian Studies* 55, no. 1 (2017): 3-10.

<sup>19</sup> An Ismā'īlī *dā'ī* (summoner) not to be “confused with his much more famous namesake: Ibn al-Haytam the mathematician.” See Regula Forster, “Dialogue and Autobiography: Ibn al-Hayṭam’s *Kitāb al-Munāzarāt*,” in *Il dialogo nella cultura araba: Strutture, funzioni, significati* (VIII-XIII secolo), ed. Mirella Cassarino and Antonella Ghersetti (Soveria Mannelli: Rubbettino, 2015), 91.

<sup>20</sup> On the city of Maṣūriyya, see Jonathan M. Bloom, *Arts of the City Victorious: Islamic Art and Architecture in Fatimid North Africa and Egypt* (New Haven: Yale University Press, 2007), 37-42. The city was named after its founder, the third Fāṭimid Imam Caliph al-Manṣūr bi’līlāh.

<sup>21</sup> For an overview of the Tajik Ismā'īlīs, see Otambek Mastibekov, “Ismā'īlīs (Tajiks),” in *Oxford Islamic Studies Online*, 2016, accessed February 20, 2018. <http://www.oxfordislamicstudies.com>

<sup>22</sup> For an overview of the Bohras, see Tahera Qutbuddin, “Bohras,” in *Encyclopaedia of Islam*, 3rd ed., 2013, accessed February 20, 2018. <http://referenceworks.brillonline.com/browse/encyclopaedia-of-islam-3>

<sup>23</sup> For an overview of the Qarmaṭīs, see Ismail K. Poonawala, “Qarāmiṭah,” in *Encyclopedia of Religion*, 2nd ed., ed. Lindsay Jones (Detroit: Macmillan Reference USA, 2005), 11: 7542-544.

<sup>24</sup> On the concept of *nūr* (light) in Ismā'īlism, see Mir Baiz Khan, “*Chirāgh-i Rōshan*: Prophetic Light in the Ismā'īlī Tradition,” *Islamic Studies* 52, no. 3/4 (2013), 336-46; and Arzina R. Lalani, “Concept of Light in Ismaili Philosophy,” *Ishraq* 5 (2014): 404-26.

<sup>25</sup> On the concept of *walāya* (devotion to the Imam), see Hermann Landolt, “Walāyah,” in *Encyclopedia of Religion*, 2nd ed., ed. Lindsay Jones (Detroit: Macmillan Reference USA, 2005), 14: 9658-660. See further, Elizabeth R. Alexandrin, *Walāya in the Fāṭimid Ismā'īlī Tradition* (Albany: State University of New York Press, 2017), 8-16.

<sup>26</sup> On the AKDN, see *Aga Khan Development Network*, 2018, accessed February 20, 2018. <http://www.akdn.org/>. See further, Soumen Mukherjee, “Die internationalen Netzwerke des Aga Khan Development Network: Möglichkeiten und Grenzen eines muslimischen Kosmopolitismus,” in *Bessere Welten: Kosmopolitismus in den Geschichtswissenschaften*, ed. Bernhard Gissibl and Isabella Löhr (Frankfurt: Campus Verlag, 2017), 343-72.

<sup>27</sup> *Jamā'at-khānas* (houses of congregation) are not only Nizārī Ismā'īlī places of prayer but also function as “community centres for lectures, religious instruction, social activities, counseling, and administration.” Tazim R. Kassam and Ali S. Asani, “*jamaatkhana*,” in *Encyclopedia of Muslim-American History*, ed. Edward E. Curtis (New York: Facts on File, 2010), 1: 307. See further, Sharmina Mawani, “Sanctuary for the Soul: The Centrality of the *Jamatkhana* in Religious Identity Formation,” in *Perspectives of Female Researchers: Interdisciplinary Approaches to the Study of Gujarati Identities*, ed. Sharmina Mawani and Anjoom A. Mukadam (Berlin: Logos Verlag, 2016), 75-97.

<sup>28</sup> On the *Dustūr al-Munajjimīn* (*The Canon of the Astrologers*), see Eva Orthmann and Petra G. Schmidl, eds., *Science in the City of Fortune: The Dustūr al-Munajjimīn and its World* (Berlin EB-Verlag, 2017), 13-33.

<sup>29</sup> On the *Kalām-i Mawlā* (*The Discourse of Mawlā 'Alī*), see Amin Valliani, “*Kalām-i Mawlā*,” *Journal of the Pakistan Historical Society* 56, no. 3 (2008): 91-103.

Other features that need to be considered are “Sorting/Display/Output Capabilities.”<sup>30</sup> Examples include ranking results by relevance (Sorting); the number of results to be displayed per page (Display); and database support for bibliographic management software programs such as *RefWorks* or *EndNote* (Output).

Secondary sources in Ismā‘īlī Studies are written in a multitude of languages, including Albanian, Arabic, Dutch, English, French, German, Gujarati, Hebrew, Hungarian, Italian, Japanese, Persian, Polish, Russian, Serbo-Croat-Bosnian, Sindhi, Spanish, Tajik, Turkish, Urdu, and others. While language diversity is an asset, it can also act as a barrier between the user and the data. A user will easily miss finding items of relevance published in unfamiliar languages. It is, therefore, of prime importance to offer English translations of (at the very least) titles of items in foreign languages.

## Metadata

Metadata is most commonly defined as “data about data,” and consists of elements that facilitate resource description and discovery. The following basic citation illustrates how metadata works. It has the author, date, title, publisher place, and publisher(s).

Wiley, Peter. *Eagle’s Nest: Ismaili Castles in Iran and Syria*. London: I.B. Tauris in association with The Institute of Ismaili Studies, 2005.

Here is the same resource with additional metadata. The record now includes an ISBN, OCLC Number, and a description. It also includes subject headings, a summary, and table of contents, “rich descriptive information ... [which] drives content discovery.”<sup>32</sup> For instance, suppose that a researcher is interested in finding studies on coins from the Alamūt period.<sup>33</sup> Chances are the researcher would not think that Wiley’s book is of relevance based on the title. However, reproducing the table of contents<sup>34</sup> indicates to the researcher that the book includes an appendix on Alamūt coinage. A keyword search on the terms “Alamūt” and “coins” will retrieve this record, and this is an instance of metadata driving content discovery.

Scholarly journal articles have their own particular metadata elements. Here is an example of such a record.<sup>35</sup>

### Eagle’s nest : Ismaili castles in Iran and Syria


Author:	<a href="#">Peter Willey</a> ; <a href="#">Institute of Ismaili Studies</a> .
Publisher:	London ; New York : I.B. Tauris ; London : in association with the Institute of Ismaili Studies, 2005.
Series:	<a href="#">Ismaili heritage series</a> , 10.
Edition/Format:	 Print book : English <a href="#">View all editions and formats</a>
Database:	WorldCat
Summary:	“For anybody with an interest in medieval and Middle East history, or simply with a love of castles, impressive and often inspiring sites of Alamut, Samiran and Qa’in (in Iran), and of Masyaf, Kahf and well as offering a gripping historical account of the courage, vision and endurance of this Shi’i Muslim rediscovering a forgotten, distant world, which will appeal to the armchair traveller and historian in e
Subjects	<a href="#">Castles -- Iran</a> <a href="#">Castles -- Syria</a> <a href="#">Castillos -- Iran</a>
ISBN:	1850434646 9781850434641
OCLC Number:	56458607
Description:	xxvii, 321 pages : illustrations, maps ; 24 cm.
Contents:	1. Introduction : early history of the Ismailis -- 2. Hasan Sabbah and the Ismailis of Iran -- 3. Rashid al-Din Sinan and the Ismailis of Syria -- 4. Organisation and ethos of the Ismaili state -- 5. The Mongol conquest -- 6. The strategy of Ismaili castles -- 7. Castles of the Alamut Valley -- App. IV. Ismaili coins from the Alamut period / Hussein Hamdan and Aram Vardanyan

Figure 1<sup>31</sup>

<sup>30</sup> Bell, 262-63.

<sup>31</sup> Screenshot © 2017 OCLC Online Computer Library Center, Inc. Used with permission of OCLC. WorldCat is a registered trademark/service mark of OCLC.

<sup>32</sup> Renée Register, “The Importance of Metadata for E-content,” *eContent Quarterly* 1, no. 1 (2013): 30.

<sup>33</sup> For an overview of the Alamūt period as well as the castle of Alamūt itself, see Sadeq Sajjadi, Enayat Allah Majidi, Farhad Daftary, and Roxane Zand, “Alamūt,” in *Encyclopaedia Islamica*, 2011, accessed February 20, 2018. <http://referenceworks.brillonline.com/browse/encyclopaedia-islamica>. See further, Hamideh Choubak, “Alamut Castle (Eagle’s Nest): Hassan Sabbah Stronghold,” *International Journal of Humanities* 16, no. 2 (2009): 1-29.

<sup>34</sup> The table of contents has been modified from the original to save space.

<sup>35</sup> Screenshot © 2017 EBSCO Information Services (EIS). Used with permission of EIS.

## Potentially an 'Art Object': Tajik Ismailis' Bāteni and Zāheri Engagement with Their Imam's Image.

Authors:	Remtilla, Aliaa <sup>1</sup>
Source:	Journal of Persianate Studies. Jul2011, Vol. 4 Issue 2, p189-207. 19p.
Document Type:	Article
Subjects:	ESSAY (Literary form) ISMAILITES AGA Khan IV, 1936- IMAMS (Shiites) MUSLIMS -- Social aspects
Geographic Terms:	TAJIKISTAN
Author-Supplied Keywords:	Aga Khan bāten images Ismaili Islam Tajik Badakhshan zāher
Abstract:	Abstract This essay explores the way Ismaili Muslims living in the Tajik district of Ishkashim engage with images of their religious leader through photographs of their Imam with the capacity to act. They do not treat these images as idols but abduct qualities of the Imam his agency. Other images, such as newspaper photographs and films, provide information about the Imam as a politically engaged individual agenda for the Imam's image by abstracting it from contextualized information, and once more imbue it with agency. The article frames bāten (interior, hidden, spiritual, eternal, esoteric), questioning the effect of the Imam's post-Soviet Zāheri presence on Ishkashimis' e <small>Copyright of Journal of Persianate Studies is the property of Brill Academic Publishers and its content may not be copied or emailed may print, download, or email articles for individual use. This abstract may be abridged. No warranty is given about the accuracy of applies to all Abstracts.)</small>
Author Affiliations:	<sup>1</sup> University of Manchester
ISSN:	1874-7094
DOI:	10.1163/187471611X600387
Accession Number:	67669091

Figure 2<sup>35</sup>

Title	The formation of the Satpanth Ismaili tradition in South Asia
Author	Momin, Wafi Ahmed
Number of pages	288
Publication year	2016
Degree	Ph.D.
School code	0330
Source	DAI-A 77/10(E), Dissertation Abstracts International
Place of publication	Ann Arbor
Country of publication	United States
ISBN	9781339873459
Advisor	Doniger, Wendy
Committee member	Alam, Muzafer; Esmail, Aziz
University/institution	The University of Chicago

Figure 3<sup>37</sup>

supplied abstract and keywords (not shown here). The record also lists the dissertation advisor and doctoral committee members including the author in question (Aziz Esmail). A look at the title indicates that Momin's dissertation is on the same topic (*Satpanth*) and is in all probability of high relevance to the researcher. This serendipitous discovery would not have occurred if the researcher had restricted the initial search to the author field. This instance is contrary

Basic metadata includes the author and article title along with the journal title, volume and issue numbers, pages, and date. The record also has an ISSN, DOI, and an EBSCO Accession Number. Rich descriptive metadata consists of subject headings, an author-supplied abstract, and keywords also supplied by the author. The keywords include the terms *zāhir* (exoteric) and *bātin* (esoteric), which are not listed as subject headings. The insertion of these subject-specific keywords in the record increases the findability of the item.

The importance of a research abstract, written either by the author or abstractor, cannot be understated. If the abstract cannot be reproduced, the record can contain a link to the abstract if it is available online. The record must also contain a link to the full text (if available), and whether it is accessible on fee-and/or free-based sites. The end goal of linkage is to increase efficiency by not having the user search again, externally, for the abstract or full text.

The addition of metadata can also help fuel serendipitous searching, the accidental discovery of a source of relevance while searching for something else. Envisage a researcher who is aware of the following dissertation (i.e., a known item) and wants to determine if the author has written more on the topic of *Satpanth* (the true path):<sup>36</sup>

*Esmail, Aziz. "Satpanth Ismailism and Modern Changes within it, with Special Reference to East Africa." PhD diss., University of Edinburgh, 1972.*

A search on the author's name as a keyword will retrieve not only this dissertation but also the dissertation by Wafi Momin (seen at left).

The basic metadata consists of the author's name, dissertation date and title, degree type, and institution. As with the previous example, this record contains subject headings, an author-

<sup>36</sup> For a succinct summary of the *Satpanth da'wa*, see Ali S. Asani, "Isma'ilism: Isma'ilism in *Ginān* Literature," in *Encyclopaedia Iranica*, 2007. Accessed February 20, 2018. <http://www.iranicaonline.org>

<sup>37</sup> Screenshot © 2017 ProQuest Limited Liability Company (LLC). Used with permission of ProQuest LLC.

to Alemu and Stevens's insistence that "library databases ... are very unlikely to offer the possibility of accidentally pertinent search results."<sup>38</sup>

Online scholarly sources have their own distinctive metadata in addition to the elements discussed thus far. The following sample record for an online encyclopedia entry illustrates the metadata that is common to both print and online items, as well as some of the additional metadata required. The elements presented here conform to the Dublin Core Metadata Element Set [Version 1.1].<sup>39</sup>

Common metadata includes the author, entry and encyclopedia title, publisher, publisher place, publication date, and language. Additional metadata includes the date updated, rights management, and URL identifier. It is important to realize that these and other online metadata elements are dynamic in nature. They can change over time, some more rapidly than others. Records for online documents need to be monitored regularly to ensure the completeness and accuracy of the metadata.

The encyclopedia entry is on the Ikhwān al-Ṣafā' whose name is most commonly translated as the "Brethren of Purity." However, this translation is not provided in the title of the article. A search on the phrase "Brethren of Purity" will not retrieve this particular record without additional metadata. The online illustration contains a description element which functions similar to an abstract, where the translation is given. Hence, a keyword search will retrieve this entry as will a subject search due to the fact that the phrase "Brethren of Purity" is assigned as one of the subjects. As with metadata for print sources, some records for online sources may contain the most basic metadata while others may be more detailed.

## Exclusivity

The categories of resources of the first search method identified in the *Addenda* resemble the description of resources harvested by Discovery Services including OPACs, databases, and digital libraries. The question then arises: why create an Ismā'īlī Studies database when a Discovery Service performs the same task?

As mentioned earlier, the *Addenda* supplies a representative list of general and subject-specific resources consulted during its compilation. Part of the answer to that question is that Discovery Services, though quite thorough, do not index (or rather pre-index) all of these resources. Many of the resources searched inevitably retrieved duplicates, but also unique and undiscovered bibliographic data. Google Scholar, which has been used as a substitute for Discovery Services,<sup>41</sup> must also be used in conjunction with other resources in order to maximize comprehensiveness and completeness.

Author/Creator:	<a href="#">Baffioni, Carmela</a>	
Title:	<a href="#">Ikhwān al-Safā'</a>	
Source:	<a href="#">Stanford Encyclopedia of Philosophy</a>	
Contributor:	<a href="#">Zalta, Edward N. (Editor)</a>	
Publisher:	Metaphysics Research Lab	
Publisher Place:	Stanford, CA	
Language:	English	
Type:	Text	
Format:	text/html	
Subjects:	<a href="#">Ikhwan al-Safa, Brethren of Purity</a> <a href="#">Philosophy, Encyclopedias</a>	
Date Created:	2008-04-22	
Date Updated:	2016-07-08	
Identifier:	<a href="https://plato.stanford.edu/archives/fall2016/entries/ikhwan-al-safa/">https://plato.stanford.edu/archives/fall2016/entries/ikhwan-al-safa/</a>	
Description:	Encyclopedia entry on the <a href="#">Ikhwan al-Safa</a> / Brethren of Purity from the online version of the <i>Stanford Encyclopedia of Philosophy</i> .	
Coverage:	9th-10th Century	
Rights Management:	<a href="https://plato.stanford.edu/info.html">https://plato.stanford.edu/info.html</a>	
Relation:	<a href="http://www.iep.utm.edu/ikhwan-al-safa/">http://www.iep.utm.edu/ikhwan-al-safa/</a>	

Figure 4<sup>40</sup>

<sup>38</sup> Getaneh Alemu and Brett Stevens, *An Emergent Theory of Digital Library Metadata: Enrich then Filter* (Waltham, MA: Chandos Publishing, 2015), 81.

<sup>39</sup> Dublin Core Metadata Element Set. 2012, accessed February 20, 2018. <http://dublincore.org/documents/dces/>

<sup>40</sup> Logo © 2017 *The Stanford Encyclopedia of Philosophy* (SEP). Used with permission of SEP.

<sup>41</sup> Piet De Keyser, "Discovery Services, the Next Tool Libraries Must Have, or... Should Discard?" in *E-Discovery Tools and Applications in Modern Libraries*, ed. Egbert De Smet and Sangeeta N. Dhamdhere (Hershey, PA: Information Science Reference, 2016), 56–65.



There are also differences in the data harvested across Discovery Services resulting in the exclusion of items. It will be the user who will suffer by missing materials in a field that places a high premium on every study, owing to the overall paucity of research in Ismāʿīlī Studies.

In addition, the size of the data contained in Discovery Services and Google Scholar is colossal and continues to expand at an unprecedented rate. Research indicates “that, unfortunately, the larger a database is the harder it is to locate information in it, no matter how good the search engine or how expert the searcher.”<sup>42</sup> For example, a keyword search on the term *taʿwīl* in Discovery Services/Google Scholar is bound to retrieve irrelevant results on the Andersen-Tawil Syndrome (ATS). By searching in a subject-specific database, the user is guaranteed to retrieve results on the right kind of *taʿwīl*.

Another question that arises is why not use a subject-specific resource already in place such as *Index Islamicus*? Besides its limitation of coverage in European languages only, the scope of that database is ambitious, attempting to cover all of the subfields and specialities in Islamic Studies. Its content is relatively large, containing over 500,000 records at the time of this writing. Even while allowing for the widest margin of error, the number of secondary sources cited in the existing bibliographies for Ismāʿīlī Studies does not exceed 5,000 entries. Searching for sources in a database of 5,000 records is more efficient and effective than searching a database 100 times its size.

For example, a keyword search on the term “Imamate” in *Index Islamicus* will retrieve quite a number of results as the concept of Imamate is not confined to Ismāʿīlism.<sup>43</sup> An Ismāʿīlī Studies database will eliminate most irrelevant results; in this instance, that being the concept of the Imamate in other schools of thought. It will also reduce the number of false drops, which are sources that are retrieved by search terms but where the terms are not used as intended. For example, a keyword search in *Index Islamicus* on the terms “Fāṭimid” and “Egypt” will retrieve all of the individual records of the *Egypt and Syria in the Fatimid, Ayyubid and Mamluk Eras* colloquia series even if they are on the Ayyūbids or Mamlūks.

The University of Chicago Library in partnership with the Middle East Documentation Center (MEDOC) supports the *Mamluk Bibliography Online*.<sup>44</sup> This is a resource dedicated exclusively to Mamlūk Studies, indicating that the coverage of other databases in this field is found to be inadequate. Shainool Jiwa maintains that the Fāṭimids remain relatively understudied in comparison to the successor dynasties in Egypt, such as the Ayyūbids and the Mamlūks.<sup>45</sup> This makes having a single database that aggregates all of the available data on the Fāṭimids (albeit as a subfield) all the more essential.

Furthermore, having a subject-specific database offers the flexibility to customize subject headings. The term *Satpanth* alluded to earlier designates a major phase in Ismāʿīlism which has been, and continues to be, marginalized in Ismāʿīlī Studies.<sup>46</sup> This is also reflected in the indexing of items on the *Satpanth*, where the term itself as a subject heading is absent from controlled vocabulary lists such as the Library of Congress Subject Headings (LCSH), OCLC descriptors, and *Index Islamicus* (as of yet). While these lists are undeniably useful and can be drawn upon to assign general and, to a certain extent, subject-specific headings, creating customized subject headings will allow for greater specificity.

However, it is not enough to assign more precise subject headings to a bibliographic record without taking into consideration indexing consistency. This is demonstrated through the use of the Ismāʿīlī *gināns*<sup>47</sup> which have their origins in the *Satpanth* period.

<sup>42</sup> Donna L. O’Malley, “A Survey of Scholarly Literature Databases for Clinical Laboratory Science,” *Clinical Laboratory Science* 21, no. 1 (2008): 54.

<sup>43</sup> For the concept of the Imamate in Ismāʿīlism in particular, see Azim A. Nanji, “Ismaʿilism: The Imamate in Ismaʿilism,” in *Encyclopaedia Iranica*, 2007, accessed February 20, 2018. <http://www.iranicaonline.org>

<sup>44</sup> *Mamluk Bibliography Online*. 2013, accessed February 20, 2018. <http://mamluk.lib.uchicago.edu/>

<sup>45</sup> Shainool Jiwa, e-mail message to author, April 17, 2017.

<sup>46</sup> Tazim R. Kassam, “The Living Tradition of Ismaili *Ginans*: Negotiating Cultures in Poetry and Performance,” in *Lines in Water: Religious Boundaries in South Asia*, ed. Eliza F. Kent and Tazim R. Kassam (Syracuse, NY: Syracuse University Press, 2013), 136.

<sup>47</sup> On the *gināns*, see Asani, “Ismaʿilism.” It is worth noting that there are a number of online resources dedicated exclusively to *ginānic* studies, which is a subfield of Ismāʿīlī Studies, but none that covers the entire field. Representative resources include Pyarali Jiwa, *Library of Ginans and Granths*, The Association for the Study of Ginans, 2011, accessed February 20, 2018. <http://ginans.org/download.php>; and Karim Tharani, *Ginan Central*, University of Saskatchewan Library, 2018, accessed February 20, 2018. <http://ginans.usask.ca/>

The Ginans are hymns, religious lyrics, which have for long been a central part of the religious life of the Indian Nizari Ismaili community (known as Khojas), and of which they continue to form the living religious tradition. ... The term “Ginan” is believed to derive from the Sanskrit *jnān*, an abstract noun, which may be variously rendered as “knowledge,” “wisdom” or “cognition” (reminiscent, to some extent, of the Greek *gnosis*). In the Indian Ismaili tradition it has come to refer to the individual hymns, and is thus treated as a countable name. ... The Ginans are a vast corpus consisting of several hundred (indeed by some estimates over a thousand) hymns.<sup>48</sup>

Different authors have offered different renderings of the term *ginān*. These include canticles, compositions, hymns, literature, liturgies, lyrics, music, odes, poems, poetry, rhymes, songs, texts, verses, and so on. These terms are usually preceded by qualifiers such as devotional, esoterical, gnostical, holy, mystical, religious, sacred, spiritual, and the like.

After having established that an item is about the *gināns*, an indexer needs to first select a subject term (let us say hymns) and assign it to the bibliographic record. This is in addition to assigning the term *ginān* to the record and is of particular benefit to a user who is not familiar with the terminology. The indexer then needs to use the same preferred terms (i.e., hymns and *gināns*) in indexing any subsequent items on the topic. This is referred to as intra-indexer consistency. Inter-indexing consistency refers to the fact that a different indexer will use the same preferred terms of hymns and *gināns* in indexing further items on the topic. Both intra- and inter-indexing consistencies<sup>49</sup> are paramount in facilitating effective searching by subject headings.

The term *ginān* is a subject heading in both LCSH and OCLC descriptors. Unfortunately, it is applied haphazardly and inconsistently at the time of this writing. Consequently, a subject heading search on the term *ginān* will not retrieve all of the relevant items on the topic. The problem is compounded by the fact that different items on the *gināns* are denoted by different subject headings such as the renderings listed in the paragraph before the preceding one.

An Ismā‘īlī Studies database will ensure that subject headings including *gināns* will be assigned uniformly and consistently. This will also pertain to customized subject-specific headings, some examples of which are identified in the table above. Not surprisingly, research shows that subject-specific database searches are more comprehensive and relevant than general database searches, presumably due to subject-specific indexing and content.<sup>50</sup>

## Timeline

The creation of the database can be divided into three main phases: (1) Secure Funding; (2) Database Design; and (3) Content Management.

### Phase 1

Ultimately, the creation and maintenance of the database needs sufficient and continued financial support from individuals and institutions, at both private and public levels. Partial or intermittent funding may result in having to forego some of the features and settle for a basic relational database. After all, it is more prudent and beneficial to have a database with limited capabilities than none at all.

### Phase 2

Once funding is secured, a database designer will need to build the database according to the specifications outlined above. There are further decisions to be made in consultation with the designer. One area in particular is the user’s experience of interaction with the database. The questions to be asked range from: “What will the font and colours of the interface be?” to “Will it consist of a single ‘Google Model’ search box or will the search page display additional information?” Many usability decisions will be determined based on the amount of funding available. Usability is not

<sup>48</sup> Aziz Esmail, *A Scent of Sandalwood: Indo-Isma'ili Religious Lyrics (Ginans) Volume 1* (Richmond, Surrey, UK: Curzon, 2002), 2-3.

<sup>49</sup> M.S. Ramalingam, *Library Cataloguing and Classification Systems* (Delhi: Kalpaz Publications, 2000), 295-96.

<sup>50</sup> Helen Aveyard, Pam Sharp, and Mary Woolliams, *A Beginner’s Guide to Critical Thinking and Writing in Health and Social Care*, 2nd ed. (Maidenhead, UK: Open University Press, 2015), 47.

detailed here in view of the fact that it “is open to both a subjective and objective evaluation.”<sup>51</sup>

### Phase 3

Tasks that must be performed by professionally trained staff while allowing for some degree of overlap are identified below.

#### Bibliographic Verification

Verify all the bibliographic information contained in each entry of the existing bibliographies, correct all the errors, and eliminate duplicates, i.e., items cited in both Tajdin and Daftary. Bibliographic verification should ideally be performed by personally examining each individual document.<sup>52</sup> This also applies to entries created for new content entered into the database.

#### Subject Indexing and Metadata

Create and assign subject headings for each entry. Add rich descriptive metadata as items cited in the existing bibliographies contain only the most basic elements. Both subject headings and metadata can be input retroactively once the database is operational.

#### Updating and Editing

Search for new content from 2004 to the present (including omissions from previous years). Create and assign subject headings and metadata for each entry. Perform ongoing editing of records ensuring the content is current, consistent, and complete.

#### Data Rekeying

Rekey all of the data from the existing bibliographies and new content into the database.

### Conclusion

This paper has made a case for the imperative need to build an online database dedicated exclusively to Ismā‘īlī Studies, to further research in the field. Its scope is intentionally broad to include even the most outlier studies.<sup>53</sup> Data extracted from the existing bibliographies and data originating from updates will still need to be vetted to verify that individual items fall within the purview of the database. The search features outlined herein have a common objective, to retrieve precise and particularly required search results. The role of subject indexing and metadata is fundamental in enhancing the searchability of each record. Also fundamental is the necessity of exclusivity in order to optimize the efficiency and effectiveness of the database. Given the fact that an online searchable database dedicated exclusively to Ismā‘īlī Studies is a natural and essential progression in the field, it is the present bibliographer’s hope that the *Addenda* will be the last published bibliography of secondary sources in print form.

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<sup>51</sup> Bell, 260.

<sup>52</sup> James H. Sweetland, “Errors in Bibliographic Citations: A Continuing Problem,” *The Library Quarterly: Information, Community, Policy* 59, no. 4 (1989): 291.

<sup>53</sup> Examples include M.E. Soltan, “Environmental Chemical Study on the Marsh of Fatimid Cemetery at Aswan City, Egypt,” *Journal of Environmental Sciences* 14, no. 4 (2002): 464-73; and Barbara Czaja-Szewczak, “Conservation of a Fatimid Shawl from the Naqlun Cemetery,” *Polish Archaeology in the Mediterranean* 19 (2010): 248-50.

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