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Differential immediate early gene activity revealed by playback of male and female incomplete
chick-a-dee calls

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Abstract

In both humans and animals, biological differences between males and females has long been a topic of research. In songbirds, sexual dimorphisms can be seen in many species' plumage and heard in some species' songs. However, not all songbirds have such overt phenotypic sexual differences, leading to the question: are all vocalizations dimorphic? One of the most used and versatile vocalizations of the black-capped chickadee (*Poecile atricapillus*) is their namesake *chick-a-dee* call, that is produced by both sexes. This call is composed of four note types: A, B, C (together chick-a), and D (dee). Previous research has found that A notes contain information regarding the sex of the caller. However, chickadees do not categorize full *chick-a-dee* calls, or altered *chick-a* calls, based on the sex of the caller. Here we presented both male and female chickadees with altered *chick-a* calls (*dee* portion removed) of both sexes and measured the number of ZENK labeled cells in auditory nuclei. We found that calls produced by males and females had more ZENK labeled cells than the control condition; however, there was no significant difference in ZENK labeled cells between male and female listeners.. Overall, our results suggest that black-capped chickadees do not perceive sexual differences in the production of chick-a calls.

Keywords: black-capped chickadee; ZENK; chick-a-dee call; songbird; chick-a; immunohistochemistry

47 **Introduction**

48 One of the oldest and most persistent research questions is whether there are
49 neurobiological differences, and if there are of what type, between the sexes. Investigations of
50 sexual differences extends to the animal kingdom, and questions how animals perceive signals
51 produced by males versus females. Many songbird species have sexually dimorphic plumage
52 (e.g., northern cardinal (*Cardinalis cardinalis*), superb fairy-wren (*Malurus cyaneus*), and the
53 amethyst starling (*Cinnyricinclus leucogaster*)), a visual signal that can be used as a tool for mate
54 attraction and choice. Even without these visual differences, most songbird species can have
55 some degree of vocal dimorphism. In most songbird species, songs are acoustically complex and
56 used for mate attraction and territory defence (Catchpole & Slater, 2008). Due to the main
57 functions of songs, it was thought that only males produced songs; however, more species are
58 being found to have female singers as well (e.g. tufted titmouse (*Baeolophus bicolor*), yellow
59 warbler (*Setophaga petechia*), and black-capped chickadee (*Poecile atricapillus*)). While we
60 know of these sex differences in production of a signal, is there a difference in the perception of
61 these signals as well?

62 In songbirds, calls tend to be simpler acoustically than songs and are produced for
63 multiple reasons including food location, predator alarm, and individual recognition (Catchpole
64 & Slater, 2008). Like most songbirds, the black-capped chickadee relies on vocal
65 communication. The black-capped chickadee is a small North American songbird known for
66 producing two main types of vocalizations, the *fee-bee* song and the *chick-a-dee* call (Smith,
67 1991). Unlike the songs and calls typical of other songbirds, the *fee-bee* song is an acoustically
68 simple two-note song while the *chick-a-dee* call is more complex, consisting of four note-types.
69 Both of these vocalizations are produced, and perceived, by both sexes. Potential sex differences

70 in auditory perception has been studied in the fee-bee song (i.e. Hahn et al., 2013; Hahn et al.,
71 2015), but sex differences in the chick-a-dee call remain largely unexplored. In order to
72 investigate any sex differences in the namesake call, we must first understand some of the
73 previous research on the structure and function of the call.

74 The black-capped chickadee chick-a-dee call consists of four note types: A, B, C, and D
75 (Smith, 1991). Like most songbird songs, including the black-capped chickadee fee-bee song, the
76 *chick-a-dee* call is learned by listening to adult conspecifics. However, there is some evidence to
77 suggest that the A note can be produced properly without learning (Hughes et al., 1998).
78 Chickadees have also been shown to change the composition of their *chick-a-dee* call depending
79 on the context (Mammen & Nowicki, 1981; Templeton et al., 2005). While the composition of
80 the call can change, the four note types always occur in a fixed order (A→B→C→D), with note
81 types being repeated or omitted (Ficken, Ficken, & Witkin, 1978). For example, when recruiting
82 other birds to mob a predator, chickadees increase the number of D notes to represent the level of
83 threat (Templeton et al., 2005).

84 Many studies, both behavioural and activity-dependent gene response studies, have
85 focused on the importance of the *dee* portion of the *chick-a-dee* call (made up of just the D note;
86 e.g., Dawson et al., 2006; Bloomfield, Farrel, & Sturdy, 2008; Avey et al., 2014). Expanding on
87 the work of Templeton and colleagues (2005) measuring vocalizations in response to visual
88 predators, Avey and colleagues (2011) conducted an activity-dependent gene response study
89 measuring the protein product ZENK expression in response to both predator calls and mobbing
90 calls made in response to predators of differing threat levels. They found that mobbing calls and
91 predator calls of the same threat level resulted in similar ZENK levels, and greater ZENK
92 labeling with high threat over low threat predators (Avey et al., 2011). This is just one example

93 of how behavioural and activity-dependent gene response studies have complemented each
94 other.

95 Here, we will be focusing on the *chick-a* portion (made up of A, B, and C notes) of the
96 *chick-a-dee* call. As the presence and number of D notes contain vital information, we believe
97 focusing on just the first three notes will allow us to understand separate information contained
98 within the *chick-a* portion. A recent study once more expanded on the results of Templeton and
99 colleagues (2005), Billings, Green, and Jensen (2015) found that in response to hearing high-
100 threat predators, chickadees produced not just more *chick-a-dee* calls, but also more *chick-a* calls
101 during and after playback. While we do not fully understand the function of a *chick-a* call (as
102 opposed to the *chick-a-dee* call in its entirety), these findings suggest that *chick-a* calls may play
103 a role in predator alarm. Additionally, Campbell and colleagues (2016) conducted a bioacoustic
104 analysis of all *chick-a-dee* call note types and found that A notes contained information
105 regarding the caller's sex more so than B, C, or D notes, thus providing further suggestions on
106 how chickadees may use the *chick-a* portion of their call. An important factor that may be
107 driving this discrepancy between A notes and the other is the fact that this is the only note type
108 thought to be innate (Hughes et al., 1998).

109 However, subsequent studies investigating the role of sex identification in the *chick-a-dee*
110 call using an operant go/no-go task, (Campbell et al., 2020) found chickadees did not categorize
111 calls by the sex of the caller. When birds were first trained to respond to either male or female
112 *chick-a-dee* calls, they found no difference in responding between groups or sexes. When new
113 birds were trained using only the *chick-a* portion (i.e. with the *dee* portion removed) of the same
114 calls, the group trained to respond to female *chick-a calls* did transfer their training to untrained
115 female stimuli; however, there still was no effect of sex, nor was there a difference between

116 groups in responding to untrained male stimuli (Campbell et al., 2020). These findings suggest
117 that while the A note contains information that differs depending on the sex of the caller, birds
118 are not using this information, at least in the context of solving an operant discrimination task.

119 Due to the findings of Campbell and colleagues (2020), we questioned whether there
120 would be any differences in ZENK expression as a response to the *chick-a* portion of the black-
121 capped chickadee *chick-a-dee* call in males and female black-capped chickadees. We chose to
122 focus on the chick-a portion of the call as the A notes are thought to contain the sex information,
123 eliminating any overshadowing as a result of the D notes. We conducted a playback experiment
124 using both male and female produced *chick-a* calls and measured the number of ZENK positive
125 cells in three auditory areas (caudomedial mesopallium; CMM, caudomedial nidopallium dorsal;
126 NCMd, and caudomedial nidopallium ventral; NCMv) to visualize neural reactivity in order to
127 identify any possible sex differences in auditory perception. We predicted that there would be a
128 difference in how male and female chickadees responded to same or different sex calls, as
129 supported by the bioacoustic findings of sex differences in the A notes (Campbell et al., 2016).

130 **Methods**

131 **Subjects**

132 Fourteen black-capped chickadees (7 males and 7 females) caught from two sites in
133 Edmonton, Alberta, Canada (North Saskatchewan River Valley, 53.53N, 113.53W; Mill Creek
134 Ravine, 53.52N, 113.47W; Stony Plain) were used in this study. All birds were captured between
135 7 February, 2015 and 23 January, 2018, and were at least one year of age when captured. Birds
136 were housed indoors in individual Jupiter Parakeet cages (30 x 40 x 40 cm; Rolf C. Hagen Inc,
137 Montreal, QB, Canada) that enabled visual and auditory, but not physical, contact with other
138 male and female black-capped chickadees. Colony rooms were kept on the natural light cycle of

139 Edmonton, and maintained at 20 °C. Subjects were given *ad libitum* access to food (Mazuri
140 Small Bird Maintenance Diet; Mazuri, St. Louis, MO, U.S.A), water, grit, cuttlebone, and
141 various environmental enrichment materials (perches, separators, houses). A mixture of egg and
142 spinach or parsley, worms, and water supplements (Prime Vitamin Supplement; Hagen, Inc.)
143 were given on alternating days and three to five sunflower seeds daily.

144 **Playback Stimuli**

145 Black-capped chickadees *chick-a-dee* calls were recorded from six males and six females
146 in individual soundproof chambers (1.7m x 0.84m x 0.58m; Industrial Acoustics Corporation,
147 Bronx, New York, USA). One *chick-a-dee* call was randomly selected from each individual, and
148 the *dee* portion was selected and removed using SIGNAL 5.10.24 software (Engineering Design,
149 Berkeley, CA, USA). One *chick-a* call from each of two different individuals of the same sex
150 were combined and separated by three seconds of silence to create one stimulus, for a total of
151 three male and three female stimuli.

152 All stimuli were bandpass filtered (400 Hz-13,000 Hz) outside the frequency range of
153 each vocalization type using GoldWave version 5.58 (GoldWave, Inc., St. John's, NL, Canada)
154 to reduce any background noise. For each manufactured stimulus, 5 ms of silence was added to
155 the leading and trailing portion of the vocalization. The first 5 ms of the stimuli were tapered to
156 remove transients, then the amplitude was equalized using SIGNAL 5.10.24 software
157 (Engineering Design, Berkeley, CA, USA). Stimuli were presented at approximately 75 dB as
158 measured by a Brüel & Kjær Type 2239 (Brüel & Kjær Sound & Vibration Measurement
159 A/S, Nærum, Denmark; A-weighting, slow response) decibel meter.

160 **Playback procedure and equipment**

161 Throughout playback, birds were kept in modified cages (Jupiter Parakeet), with free
162 access to food and water. Birds were housed in individual soundproof chambers (1.7m x 0.84m x
163 0.58m; Industrial Acoustics Corporation, Bronx, New York, USA) for approximately 24 hours
164 before playback. All birds were first exposed to 30 min of pre-playback silence, followed by 30
165 min of playback. Birds were exposed to another hour of silence with the lights extinguished and
166 then perfused immediately to ensure maximum quantity and quality of ZENK preservation. A
167 lethal dose of 0.04 ml of 100 mg/ml ketamine and 20 mg/ml xylazine (1:1) was administered
168 intramuscularly to each subject. Birds were perfused via the left ventricle using heparinized 0.1
169 M phosphate buffered saline (PBS) followed by 4% paraformaldehyde (PFA). The brain of each
170 individual black-capped chickadee was then extracted and placed in a solution of PFA for 24
171 hours, followed by a 30% sucrose PBS solution for 48 hours. The brains were then fast frozen
172 and stored at -80°C until sectioned.

173 **Histology**

174 After being sectioned sagittally from the midline, 40µm sections of each hemisphere
175 were collected and stored in 0.1 M PBS. The protocol used follows the findings of Scully et al.
176 (2019a) that showed the efficacy of the primary antibody used following the discontinuation of
177 the previously standard ZENK antibody. In order to visualize ZENK, sections were first washed
178 twice in 0.1 M PBS for a minimum of five minutes, transferred to a 0.5% H₂O₂ solution, and
179 incubated for 15 minutes. Incubation was followed by three 5 min washes in 0.1 M PBS. A
180 second incubation in 10% normal goat serum for 20 hours at room temperature followed.
181 Sections were then transferred into the primary antibody (erg-1, Abcam Monoclonal Egr-1
182 ab133695; Abcam Inc, Toronto, ON, Canada) for 24 hours at a concentration of 1:5,000 in
183 Triton X-100 (PSB/T), then washed three times in PBS/T before being incubated in 1:200

184 biotinylated goat-anti-rabbit antibody (Vector Labs, Burlington, ON, Canada) in PBS/T for one
185 hour. After 3 more washes in PBS/T, sections were incubated in avidin-biotin horseradish
186 peroxidase (ABC Vectastain Elite Kit; Vector Labs, Burlington, ON, Canada) for one hour,
187 followed by three washes in 0.1M PBS. Sections were then processed with 3,3'-
188 diaminobenzidine tetrachloride (Sigma FastDAB, D4418, Sigma-Aldrich, Santa Fe Springs, CA,
189 USA) to visualize expression of ZENK, followed by three washes with 0.1M PBS to remove any
190 excess visualizing agents.

191 **Imaging**

192 Eight sections were mounted per slide and coverslipped. Three neuroanatomical regions
193 (CMM,NCMd, and NCMv) were subsequently imaged using a Leica microscope (DM5500B;
194 Wetzlar, Germany) to analyze ZENK expression. Eight images of each region were taken per
195 hemisphere, for a total of 48 images per subject (Figure 1). Images were taken using a 40x
196 objective lens, a Retiga Exi camera (Qimaging, Surrey, BC, Canada), and Openlab 5.1 on a
197 Macintosh OS X (Version 10.4.11). Overlap in the ventral and dorsal regions of the NCM was
198 carefully avoided. ImageJ version. 1.46v (Image Processing and Analysis in Java; publish) was
199 then used to quantify immunopositive ZENK cells (Figure 2).

200 **Statistical Analysis**

201 We conducted a repeated measures analysis of variance (ANOVA) using SPSS (IBM
202 SPSS Statistics for Windows, Version 26.0. Armonk, NY: IBM Corp.) with brain region (CMM,
203 NCMd, and NCMv), hemisphere (left vs. right) and section number (1-8) as within subject
204 factors and playback condition (Male Chick-a, Female Chick-a, and Pink noise) and sex as
205 between subject factors. We then conducted Tukey HSD-corrected pairwise comparisons on the
206 playback condition with an alpha level set at 0.05.

207 **Results**

208 As expected from previous studies (e.g. Avey et al., 2011; Scully et al., 2019b), there was
209 a significant main effect of brain region ($F_{(2, 16)} = 7.363, p = 0.005$) and hemisphere ($F_{(1, 8)} =$
210 $11.157, p = 0.100$).

211 There was a significant main effect of playback condition ($F_{(2, 8)} = 8.259, p = 0.011$). The
212 Tukey-HSD corrected pairwise comparison found that the Pink noise condition was significantly
213 different from the Male *Chick-a* ($p = 0.009$) playback group; however Pink noise was found to
214 be not significantly different from the Female *Chick-a* ($p = 0.066$) playback group, we believe
215 this difference could still be biologically meaningful (Figure 3a). We also found that the Male
216 *chick-a* and Female *chick-a* groups were not significantly different from each other ($p = 0.193$).
217 We did not find any significant effects of Sex ($F_{(1, 8)} = 0.000, p = 0.991$) or any significant
218 interactions (Figure 3b).

219 **Discussion**

220 Our results showed both male and female chickadees respond similarly, in terms of their
221 ZENK protein response, to both male and female *chick-a* calls. This suggests that there is no bias
222 towards calls of either sex, at least in the *chick-a* portion of the *chick-a-dee* call. While we only
223 found a significant difference in protein expression between the Male *chick-a* group and the Pink
224 noise control group, the Female *chick-a* group was close to significance when compared with the
225 Pink noise group and should still be considered meaningful. As the Pink noise group was
226 comprised of only one bird of each sex, for a total n of 2, it is possible that the limited sample
227 size restricted the results.

228 Initial research examining the function of the black-capped chickadee *chick-a-dee* call
229 examined the bioacoustics (i.e., characterized the acoustic properties) of each note type. After

230 first understanding the semantics of the call, Hailman, Ficken, and Ficken (1987) expanded on
231 the importance of the D notes, finding that D notes are acoustically different from the other note
232 types, thus suggesting that they may encode for separate information relative to the rest of the
233 call (Hailman et al., 1987). Charrier, Bloomfield, and Sturdy (2004) conducted an extensive
234 bioacoustic analysis of each note type measuring 10 features of non-D notes and found that 9 out
235 of 10 of these acoustic features differed significantly between all note types. Descending
236 duration was the only acoustic feature that was not different among note types and no sex
237 differences were detected for any note type (Charrier et al., 2004). However, a recent and more
238 extensive study revealed that in fact A notes do contain some sex specific information (Campbell
239 et al., 2016). Interestingly, sex specific information appears only in A notes, as this note type is
240 also thought to be the only unlearned note in the *chick-a-dee* call (Hughes et al., 1998). Since our
241 stimuli used randomly selected vocalizations, it is possible that not all of our stimuli contained
242 equal numbers of each note type, making some stimuli easier to obtain sex information than
243 others.

244 Operant go/no-go experiments have also been used to examine how chickadees perceive
245 the call note types and whole calls. An important first step by Sturdy and colleagues (2000)
246 showed that black-capped chickadees do categorize the four *chick-a-dee* call note types as
247 separate open-ended categories. Since then, many studies have used full *chick-a-dee* calls to
248 show how the call contains information regarding species (Bloomfield et al., 2003) and threat
249 level (Templeton et al., 2005). Arguably just as important, studies have also shown how
250 chickadees do not seem to use the *chick-a-dee* call, the calls does not vary between seasons
251 (Scully et al., 2019) nor is it used to distinguish sex (Campbell et al., 2020). Our findings support
252 the behavioural results of Campbell et al. (2020,) that suggests while birds are able to learn to

253 categorize both full *chick-a-dee* calls and just the *chick-a* portion of calls by sex, they do not
254 generalize this learning to untrained calls, suggesting that discrimination of caller sex is not a
255 natural function of the *chick-a-dee* call.

256 At a neural level, *chick-a-dee* calls are processed in the same auditory areas as all other
257 vocalizations, the NCM (dorsal and ventral) and CMM. An important study on the effects of sex
258 found that both male and female chickadees had more ZENK labeled cells in the CMM and
259 NCMd in response to hearing male *chick-a-dee* calls compared to female calls (Avey et al.,
260 2008). This suggests that birds are using an acoustic feature within the call to identify the sex of
261 the caller. While previous studies have examined how these brain areas respond to full *chick-a-*
262 *dee* calls (e.g., Avey et al., 2011; Scully, 2018), few have examined individual aspects of the call.
263 Avey and colleagues (2014) used just the D notes to investigate the effect of conspecific versus
264 heterospecific simple calls on neural expression. By using only the D note, the call was more
265 acoustically similar to the calls of heterospecifics and demonstrated that there was no difference
266 in the amount of ZENK expression induced by conspecific or heterospecific calls (Avey et al.,
267 2014). In combination with a previous behavioural study that found chickadees use the *chick-a-*
268 *dee* call for species discrimination (Bloomfield et al., 2003), Avey and colleagues (2014) ZENK
269 study then suggests that some component in the *chick-a* portion of the call is responsible for
270 driving this categorization.

271 Since previous studies have focused on either the full *chick-a-dee* call or D notes, here we
272 instead narrowed in on the beginning of the call. The stimuli used in the current study, as well as
273 in Campbell et al.'s (2020) study were created by manually removing the *dee* portion of a full
274 *chick-a-dee* call, therefore, we are not able to generalize our findings to naturally produced
275 *chick-a* calls. As chickadees have been shown to produce just *chick-a* calls, our altered stimuli

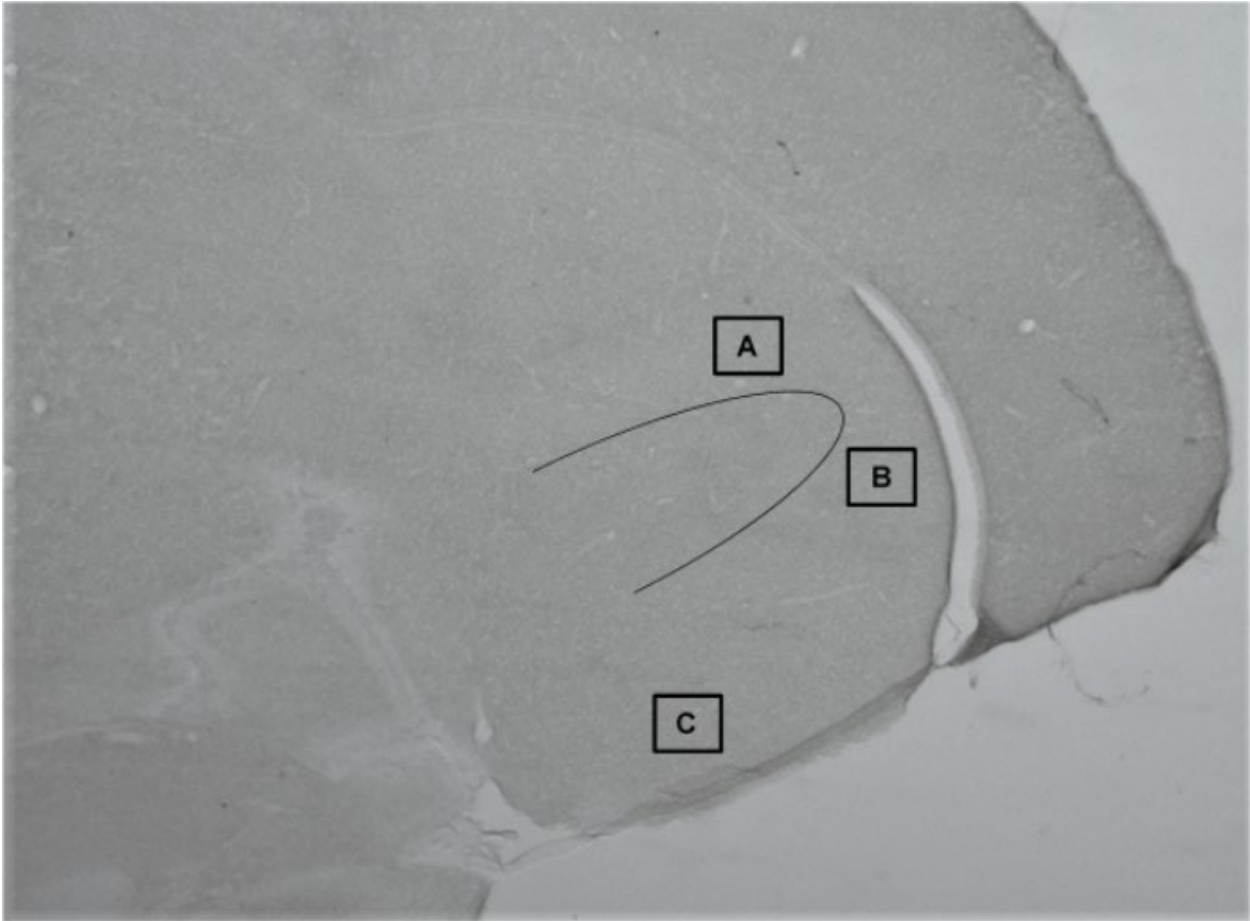
276 may not contain the same information that these calls are used for in the wild. Thus, the next step
277 to understanding the function of *chick-a* calls must then be to use naturally produced calls.

278 **Conclusions**

279 Overall, our results showed no activity-dependent gene response differences in the
280 auditory perception of male and female *chick-a* calls in the auditory forebrain. Although A notes
281 contain some information regarding the sex of the caller (Campbell et al., 2016), this information
282 is not attended to in the context of a *chick-a* call. Mirroring the findings of a behavioural study,
283 we found that male and female chickadees react similarly to calls of both the same and different
284 sex as listeners. It is possible that while information regarding an individual's identity is located
285 within the *chick-a-dee* call, the function of the call does not require knowing an individual's sex.
286 Future studies examining sex differences should focus on identifying functional difference
287 resulting from or causing sexual dimorphisms.

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291 **Figure 1: Telencephalon with areas imaged marked.** Each picture was taken in the same spot

292 in regards to Field L (boundary represented by the arc). A) represents the sample area for CMM.

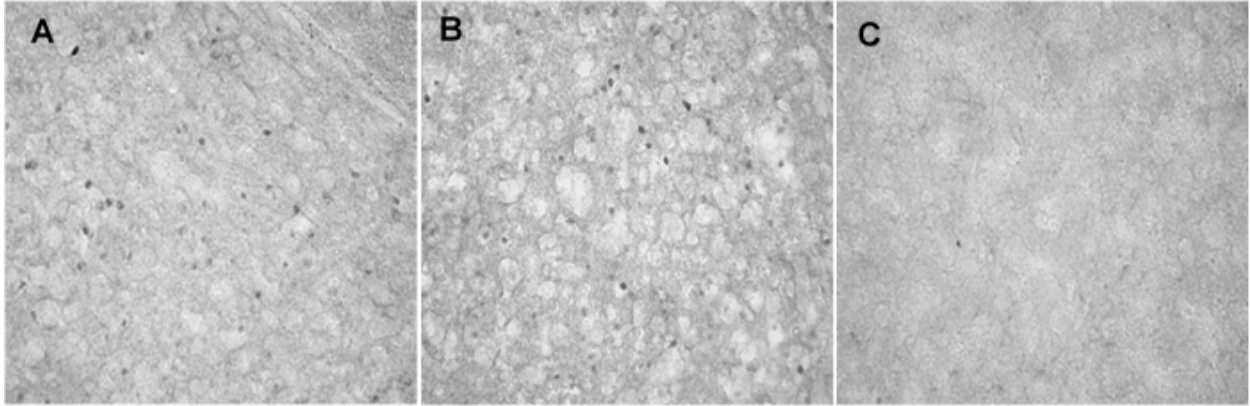
293 B) represents the sample area for NCM, and C) represents the sample area for NCMv.

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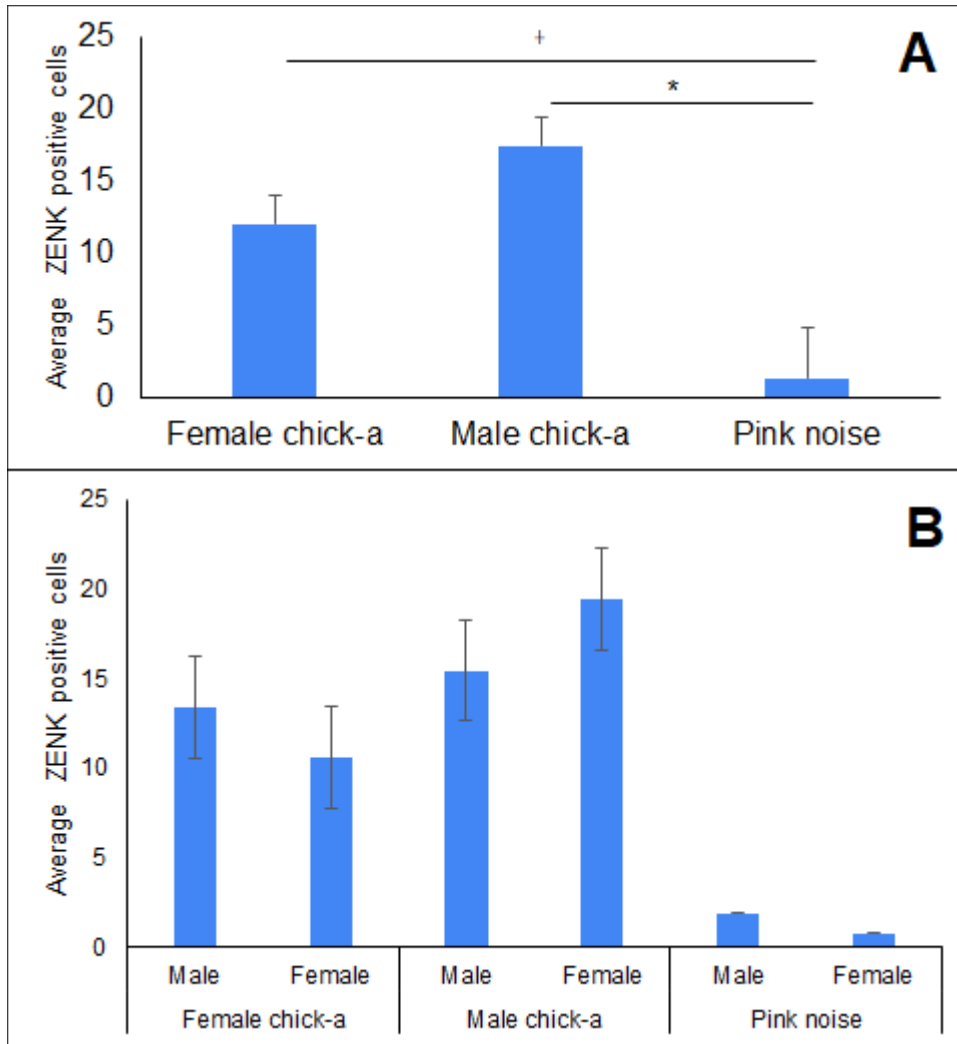
299 **Figure 2:** ZENK labeling in the CMM across groups. A) a female that heard male *chick-a*, B) a

300 female that heard female *chick-a*, and C) a female that heard pink noise.

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305 **Figure 3: Neural expression per group.** A) Average ZENK positive cells labeled per playback
 306 group. * indicates a significant difference ($p < 0.050$) between the Male *chick-a* and Pink noise
 307 groups. † indicates a meaningful difference ($p = 0.066$) between the Female *chick-a* and Pink
 308 noise groups. B) Breakdown of playback group by sex of the listener.

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