Study of Methylammonium Lead Triiodide Intrinsic Stability and Solid-Solution behaviour in Mixed Halide Perovskite Systems

by

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Abstract

Over the last nine years, a new class of materials named lead halide perovskite has made a paradigm shift in the field of optoelectronic solution-processable low-cost and high efficiency devices, with outstanding accomplishments especially in the ever growing field of renewable solar energy harvesting. With current state-of-the-art 22.7% efficiency for lab-scale perovskite solar cells, the expectations are high with the potentials of this "magic" material system in being a real driving force for next-generation low-cost and high-efficiency photovoltaics technology.

In order to make maximum use of any newly introduced material systems such as halide perovskites for real life applications, it is essential to carry out fundamental studies which enable better in-depth understanding of the basic material electrical, optical, thermal, mechanical, etc. properties. This understanding is crucial for such a new technology to be able to advance further into actual products in the market.

In this work, we tried to shed light on fundamental questions pertaining to important lead halide perovskite material characteristics. We studied the stability of methylamonium lead iodide (MAPbI₃) through identifying all possible decomposition products of MAPbI₃, which each has a unique fingerprint. Through systematic investigation of potential decomposition pathways under different conditions of humidity and/or heat, we identified a comprehensive understanding of the intrinsic stability of MAPbI₃. We hypothesized that the lower stability observed in films is mainly due to the preparation protocols, and that the MAPbI₃ is more resilient than what was previously thought.

Also, we studied two of the main lead halide perovskite materials which are routinely used nowadays in the realization of high-efficiency and relatively-stable perovskite solar cells, namely methylamonium and formamidinium lead mixed halide perovskites. We explored the potential of a new synthetic route (mechanochemical synthesis) to prepare these materials with definitive control over stoichiometry. It has been reported earlier in the literature that these systems suffer from halide phase segregation, so we investigated this through adopting a suite of advanced characterization with atomic-scale probing capabilities which confirmed that these system behave like a solid-solution with atomic scale halide mixing.

The significance of the results presented here is vital for future research in this rapidly growing field as these results answer fundamental questions related to the perovskite materials intrinsic properties as well as elaborating on new up-scalable synthesis techniques.

Preface

In Chapter 1, the solar cell results presented are the sole work of Johannes Schlipf and colleagues at Technical University of Munich (TUM), my contribution was the synthesis and quality optimization of methylamonium lead iodide/bromide single crystals used for the fabrication of perovskite solar cells. This work has been published as: Top-Down Approaches Towards Single Crystal Perovskite Solar Cells, Johannes Schlipf, *Abdelrahman M. Askar*, Florian Pantle, Benjamin D. Wiltshire, Anton Sura, Peter Schneider, Linus Huber, Karthik Shankar & Peter Müller-Buschbaum. *Scientific Reports* 8, Article number: 4906 (2018).

The work presented in Chapters 2 & 3 are the output of a collaboration with Prof. Vladimir Michaelis and his group at the University of Alberta - Department of Chemistry (The Michaelis solid state NMR research group). All solid state NMR spectroscopy results have been exclusively acquired and analysed by Prof. Michaelis group. I was involved in the discussions of the results. Other than the NMR spectroscopy part, I was in charge of all the synthesis and all the experiments. In briefly, I have did all the synthesis of perovskite materials including single crystals, mixed halide perovskites prepared either by solvent synthesis or mechanochemical routes. Also, I run all the XRD measurements and was in charge of all the data analysis and the same applies for optical measurements. Abhoy Karmakar helped with the Vegard's law analysis for some of the results. I have run all the stability experiments presented in Chapter 2 with help from Dr. Guy Bernard in some of the experiments.

Results presented in chapter 2 are parts of an extended study published as: Multinuclear Magnetic Resonance Tracking of Hydro, Thermal, and Hydrothermal Decomposition of CH₃NH₃PbI₃. <u>Abdelrahman M. Askar</u>, Guy M. Bernard, Benjamin Wiltshire, Karthik Shankar, and Vladimir K. Michaelis, J. Phys. Chem. C, **2017**, 121 (2), 1013-1024.

Results presented in Chapter 3 are published with extended discussions as:

 Mechanochemical Synthesis of Methylammonium Lead Mixed-Halide Perovskites: Unraveling the Solid-Solution Behavior Using Solid-State NMR. Abhoy Karmakar, <u>Abdelrahman M Askar</u>, Guy M Bernard, Victor V Terskikh, Michelle Ha, Sahil Patel, Karthik Shankar, Vladimir K Michaelis, *Chem. Mater.*, **2018**, 30 (7), 2309–2321

 Composition-Tunable Formamidinium Lead Mixed Halide Perovskites via Solvent-Free Mechanochemical Synthesis: Decoding the Pb Environments Using Solid-State NMR Spectroscopy. <u>Abdelrahman M. Askar</u>, Abhoy Karmakar, Guy M. Bernard, Michelle Ha, Victor V. Terskikh, Benjamin D. Wiltshire, Sahil Patel, Jonathan Fleet, Karthik Shankar, and Vladimir K. Michaelis, J. Phys. Chem. Lett., **2018**, 9 (10), 2671–2677

I actively participated and was involved in all discussions of the results presented in the above mentioned three papers, except for those related to the fine details of the NMR spectroscopy experiments and results not directly related to the discussions highlighted in this thesis. I was not involved in the acquisition of the NMR spectra, but rather took part in the discussions. I was responsible for the write-up of all experimental and discussion sections which I was responsible for during the collaborative work. I helped also in the preparation of the introductions and background sections of the papers. I took part in the review process of the papers till published. To my Family,

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Chapter 1

Introduction

The quest for low-cost and high-efficiency renewable energy sources never stops. Solar energy harvesting stands out among other renewable technologies holding the promise to provide all our energy needs for today and tomorrow. Well-established silicon photovoltaics technology offers a reasonably priced solar cell solution nowadays with advances in manufacturing and large scale production. Nevertheless, it is highly desirable to find even lower-cost technologies with longer lifetimes and higher efficiencies.

Among the emerging technologies that have been under research and development for sometime now are organic photovoltaics,^{3,4} dye-sensitized,⁵⁻⁷ and quantum-dot solar cells.^{8,9} Despite the intensive research efforts made in these technologies so far, all of these emerging technologies fall behind in efficiency even for small lab-scale solar cells when compared to silicon counterparts. In 2009, a whole new class of materials was proposed to be a potential competitor for next-generation low-cost and truly high-efficiency solar cells. Starting with reported power conversion efficiency (PCE) of 3.9% in 2009, solar cells based on lead halide perovskite (LHP) materials ramped quickly in efficiency within a few years only to achieve record PCE of 22.7% in 2017, which is comparable to best single junction monocrystalline silicon solar cell.¹⁰

So, should we expect to see perovskite solar cells (PSCs) on top of our roofs very soon? Maybe! Despite the remarkable achievements PSCs have made on efficiency scale, a few questions to be answered and some challenges to be solved by the research community before we can see PSCs entering the market. On top of these questions is the stability concerns for PSCs. While vendors of silicon photovoltaics offer between 30-50 years of warranty for silicon solar cell modules, earlier PSCs literally died within few hours to few days of operation, nevertheless, lately the stability of PSCs have enhanced significantly with reports of more than a year with reasonable efficiency maintained.^{11,12} This clearly shows how the field of PSCs is quickly progressing thanks to advanced research efforts worldwide. The next big challenge is large-scale manufacturing of high-efficiency (more than 22% PCE) of PSCs. Only lab-scale (less than 1 cm²) PSCs have demonstrated record efficiencies so far, with significant drop in the efficiency when fabricating perovskite modules of reasonable effective cell area. Last but not least, the toxicity of lead in PSCs and the environmental considerations related to that. Although considerable work has been done to look for lead-free alternatives for PSCs, still record-high PCEs are only achieved with Pb-containing PSCs. Overcoming above-mentioned challenges would no doubt pave the way for PSCs into markets in the near future.

In this chapter, we will give an overview of the electrical & optical properties of LHP materials that are relevant to solar cell applications. Also, the perovskite single crystals (SCs) will be introduced as part of the work done through the course of this thesis. Towards the end of this chapter, the main focus of this thesis is given, which is discussed in details in Chapters 2 & 3.

1.1 Lead-halide perovskites: A revisited material system with unprecedented intrinsic optoelectronic properties

Originally, *Perovskite* is the name for one of the crystal structures and is given to any material that adopts the same crystal structure as for $CaTiO_3$, with a general chemical formula ABX₃. The mineral was discovered in the Ural Mountains of Russia by Gustav Rose in 1839 and is named after Russian mineralogist Lev Perovski (1792–1856). Traditionally, most of the perovskites studied till recently were oxide-based ones, nev-

ertheless, also halide-based perovskites were as well investigated with reports dating back to 1980s and even earlier.¹³⁻¹⁵ It was not until 2009 when methylamonium lead tri-iodide (MAPbI₃) was applied as the light harvesting layer in a dye-sensitized solar cell structure which sparked the renewed interest in this material system since then.¹⁶

Numerous derivatives of the parent compound (MAPbI₃) have been studied so far, through replacing/mixing each one of the elemental constituents of MAPbI₃. For instance, MA⁺ cations have been replaced/mixed with either organic and/or inorganic cations such as formamidinium (FA⁺), guanidinium, K, Cs, and Rb. The same for lead which has been replaced/mixed with different elements; mainly Sn and Sb. For the halide component; all Cl, Br, and I have been consistently investigated. Although MAPbI₃ adopts 3D crystal structure, some derivatives adopt 2D, and 0D crystal structures which shows the versatility and flexibility of this material system.

In the following, we will focus only on $MAPbX_3$ and $FAPbX_3$ (X: Cl, Br, and I) which have been studied throughout the course of this thesis.

1.1.1 Distinct Electrical & Optical Properties of LHPs

One of the most amazing and compelling attributes of LHPs that they combined a suite of optoelectronic properties that make them in theory an ideal choice for low-cost and high-efficiency next-generation solar cells. This comprises of tunable direct bandgap, long charge carrier diffusion lengths, high electron and hole mobilities, extended carrier lifetimes, low exciton binding energy, high photoluminescence quantum yield and an extraordinarily-low trap-state density.¹ These properties combined are essential for active solar cell materials to achieve high-efficiency performance. In addition, LHPs are solution-processable which is another striking attribute of this material system because it has been always the case that solution-processable materials suffer from some degree of disorder limiting their performance; nevertheless, LHPs showed a sort of immunity to these disorders and achieved record performance along the years of

¹An exhaustive review of these properties can be found in this paper: The Electrical and Optical Properties of Organometal Halide Perovskites Relevant to Optoelectronic Performance, Valerio Adinolfi, Wei Peng, Grant Walters, Osman M. Bakr, Edward H. Sargent. *Advanced Materials*, **2018**, 30 (1), 1700764

^{1.1.} Lead-halide perovskites: A revisited material system with unprecedented intrinsic optoelectronic properties

research and development.^{17–19} It is worth mentioning here that both electrical and optical properties of LHP materials can differ significantly based on the quality of the perovskite material being tested (thin films or single crystals) and the method used to extract these figures. Over the years, the quality of perovskite materials (especially thin films used in solar cells) got improved substantially, and so are the optoelectronic properties of these materials. Next we will highlight some of these characteristics.

Starting with carrier diffusion lengths, reported numbers kept increasing from well below 1 µm to more than 10 µm for perovskite thin films with improved film qualities and larger grain sizes. Also, extracted charge carrier mobilities exceeding $10 \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$ have been achieved for perovskite films, which is remarkable for a solution-processable material. In addition, carrier lifetimes in excess of 1 µs have been measured based on photoluminescence decay. Another attribute is the trap density which is a direct indication of the defects in the material. There has been a dispute regarding the actual values, but numbers around $10^{12} - 10^{13} \text{ cm}^{-3}$ have been reported, which is impressive for a solution-processable material. Finally, exciton binding energies as low as 20-30 meV were measured at room temperature for perovskite thin films, which is striking because such low binding energies are similar to those reported for inorganic semiconductors such as silicon.

1.2 Perovskite Single Crystals: Optimum Material Quality & Platform for Optoelectronic Applications

In order to extract the intrinsic properties of a semiconductor material such as carrier lifetime, diffusion length, etc., it is highly desirable to obtain single crystals of the material, which are free from grain boundaries dominating in thin films and have minimum trap-state density possible. The successful synthesis of LHP single crystals enabled in-depth understanding of the intriguing properties of these materials and exceptional figures of merit were extracted for these properties, which motivated the research community to work extensively in order to achieve perovskite thin films with qualities that approach those for single crystals.²⁰

Over the last four years, many techniques have been proposed to synthesize perovskite single crystals with mm to cm scale, with a current library of tens of those single crystals available for fundamentals studies and innovative applications.^{21–23} (see Figure 1.1)



Figure 1.1: Illustration of different halide based perovskites crystals grown by various techniques. Reproduced with permission from ref 21. Copyright American Chemical Society

Over the course of this work, a method called Inverse Temperature Crystallization $(ITC)^{24,25}$ had been adopted due to its simplicity to synthesize MA/FAPbX₃ single crystals with mm sizes (see Figure 1.2). All MAPbX₃ (X: Cl, Br, and I) as well as FAPbX₃ (X: Br and I) SCs were synthesized using ITC (Experimental details are available in Appendix A & B.) As a proof-of-concept, MAPbBr₃ and MAPbI₃ were tested for fabricating solar cells. The synthesis of the SCs was optimized as part of this

thesis to obtain the highest quality SCs with reasonable sizes to facilitate the realization of solar cell devices. All work following SC synthesis has been done exclusively by our collaborators at Technical University of Munich (TUM) and this work has been published in Scientific Reports.²⁶ An example of solar cell characteristics for MAPbX₃ SC-based device is shown in Figure 1.3.



Figure 1.2: Example of MAPbI₃ SC synthesized through ITC method.



Figure 1.3: JV curves of a device fabricated from a dipped and ground MAPbI₃ crystal with bias sweep in forward and reverse direction at various speeds hardly exhibit any hysteresis. In the optical microscopy image (inset) shows a crystal surface after coarse manual grinding (upper part) as opposed to machined grinding (lower part). Reproduced with permission from Ref 26. Copyright Nature Publishing Group.

Thesis Focus

The focus of this thesis is two-fold; first one is to understand the intrinsic stability properties of MAPbI₃ and its degradation mechanisms irrespective of the material preparation techniques. This is covered in detail in Chapter 2. Second, we wanted to understand the true nature of halide mixing at atomic-level in mixed halide perovskite materials and to investigate the full potential of applying mechanochemical synthesis technique in preparing this exciting category of materials which is relevant to highefficiency and stable various optoelectronic perovskite-based devices. This is covered in Chapter 3 with emphasis on 2 systems; namely, MAPbX₃ and FAPbX₃. Finally, we give a conclusion and an outlook for potential future research directions.

Chapter 2

Tracking of Hydro, Thermal, and Hydrothermal Decomposition of CH₃NH₃PbI₃

In this chapter, the work done on studying the intrinsic stability of $CH_3NH_3PbI_3$ along with tracking the decomposition pathways under different environments is presented.¹

2.1 Abstract

An NMR investigation of methylammonium lead iodide, the leading member of the hybrid organic—inorganic perovskite class of materials, and of its putative decomposition products as a result of exposure to heat and humidity, has been undertaken. We show that the ²⁰⁷Pb NMR spectra of the compound of interest and of the proposed lead containing decomposition products, $CH_3NH_3PbI_3\cdot H_2O$, $(CH_3NH_3)_4PbI_6\cdot 2H_2O$, and PbI_2 , have distinctive chemical shifts spanning over 1400 ppm, making ²⁰⁷Pb NMR an ideal tool for investigating this material. As reported in many investigations of $CH_3NH_3PbI_3$ on films, the bulk material hydrates in the presence of high relative humidity (approx-

¹An extended version of this chapter has been published as: <u>Abdelrahman M. Askar</u>, Guy M. Bernard, Benjamin Wiltshire, Karthik Shankar, and Vladimir K. Michaelis, *J. Phys. Chem. C*, **2017**, 121 (2), 1013-1024

imately 80%), yielding the monohydrated perovskite $CH_3NH_3PbI_3 \cdot H_2O$. This reaction is reversible by heating the sample to 341 K. We show that neither $(CH_3NH_3)_4PbI_6 \cdot 2H_2O$ nor PbI_2 is observed as a decomposition product and that, in contrast to many studies on $CH_3NH_3PbI_3$ films, the bulk material does not decompose or degrade beyond $CH_3NH_3PbI_3 \cdot H_2O$ upon prolonged exposure to humidity at ambient temperature. However, exposing $CH_3NH_3PbI_3$ concurrently to heat and humidity, or directly exposing it to liquid water, leads to the irreversible formation of PbI_2 . In spite of its absence among the decomposition products, the response of $(CH_3NH_3)_4PbI_6 \cdot 2H_2O$ to heat was also investigated. It is stable at temperatures below 336 K but then rapidly dehydrates, first to $CH_3NH_3PbI_3 \cdot H_2O$ and then to $CH_3NH_3PbI_3$. The higher stability of the bulk material as reported here is a promising advance, since stability is a major concern in the development of commercial applications for this material.

2.2 Introduction

The sensitivity of $CH_3NH_3PbI_3$ (MAPbI₃) to moisture was discovered in the early stages of PSC development, where exposure of the PSC to a relative humidity (RH) of 35% led to a more than 50% degradation in the PCE of MAPbI₃ solar cells within a few days. *Leguy et al.* proposed the following equation to describe the reaction of MAPbI₃ with humidity:²⁷

$$4MAPbI_3 + 4H_2O \rightleftharpoons 4[MAPbI_3 \cdot H_2O] \rightleftharpoons (MA)_4PbI_6 \cdot 2H_2O + PbI_2 + 2H_2O \dots Eq.$$

$$1$$

These authors suggested that an excess of water may lead to an irreversible degradation product:

$$(MA)_4 PbI_6 \cdot 2H_2O \xrightarrow{H_2O} 4MAI + PbI_2 + 2H_2O \dots Eq. 2$$

Interestingly, recent studies showed a counter effect, where preparation of the PSC under moderate RH improved PCE.^{28–31}

Elucidating the exact degradation mechanisms and routes upon exposure of perovskite materials (especially MAPbI₃) to humidity has been the focus of many recent studies,

both experimentally and theoretically.^{11,29,32–38} Different analytical techniques have been applied in these investigations, including in situ and time-resolved powder and single-crystal X-ray diffraction (XRD),^{28,39–41} optical absorption,²⁸ and spectroscopic ellipsometry.⁴²

Due to the different humidity conditions being examined (e.g., with/without light, with/without heat, varying humidity levels, etc.) as well as the different ways the perovskite films are prepared in these studies and the varying structures of the devices under investigation, the results are ambiguous, and different degradation pathways of the perovskite materials have been proposed.

For instance, earlier studies suggested that solar cell performance degraded as a consequence of the slow decomposition of $MAPbI_3$ to PbI_2 in addition to MAI or CH_3NH_2 plus HI.⁴³⁻⁴⁶ Recently, an intermediate byproduct of MAPbI₃ decomposition, (MA)₄PbI₆. $2H_2O^{13}$ (i.e., the perovskite dihydrate), was identified by Kamat and co-workers⁴⁷ after exposure of an MAPbI₃ film to high RH(90%) for several days in the dark, but these authors did not observe PbI₂. Using in situ grazing incidence XRD (GIXRD), Kelly et al.³⁷ noticed the formation of new peaks in the GIXRD pattern during the decomposition of $MAPbI_3$ at high RH (80%); these peaks could not be associated with either MAP bI_3 or P bI_2 and thus were attributed to the formation of (MA)₄P $bI_6 \cdot 2H_2O$. The conclusion in these earlier studies of the presence of the perovskite dihydrate was based on comparisons between the XRD pattern of the decomposition product they measured and either the calculated pattern of the dihydrate or the pattern of a synthesized perovskite hydrate, assumed to be the dihydrate. This decomposition product was later confirmed to in fact be the monohydrate perovskite $(CH_3NH_3PbI_3\cdot H_2O)$ by Leguy et al.²⁷ Other studies have confirmed the formation of the monohydrate as an intermediate decomposition product, but it is unclear whether this is the final decomposition product or whether the formation of the monohydrate is followed by formation of the dihydrate, and finally of PbI_2 .^{27,33–35,42}

These studies focused mainly on either *in* or *ex situ* investigations of the degradation of the perovskite film, prepared by various techniques such as solution-processing or co-evaporation, with the MAPbI₃ as the light harvesting layer in different solar cell architectures (i.e., having the perovskite layer deposited on some electron or hole transport layer). The varying techniques explain the different conclusions reached by the investigators but unfortunately hinder the determination of the intrinsic interaction mechanisms between the perovskite material and H_2O molecules in a humid environment.

The logic of investigating the material in its operating architecture is indisputable, but a detailed study of the bulk properties of the compounds of interest informs on the ambiguities inherent in the earlier studies and guides future developments. The Kamat group³⁸ recently published a detailed review of spectroscopic and structural techniques that can be employed to monitor the response of perovskites exposed to moisture.

Here, we report a solid-state ²⁰⁷Pb nuclear magnetic resonance investigation of bulk samples of MAPbI₃ and its proposed decomposition products. Solid-state nuclear magnetic resonance (NMR) spectroscopy, which probes the local chemical structure in a nondestructive manner, is ideal to identify changes within molecular and periodic solids that affect the structure, composition, and optoelectronic properties of these next generation photovoltaic materials. ²⁰⁷Pb nuclei have reasonably receptive I = 1/2nuclear spin, with a moderate resonance frequency (20.92%), a natural abundance of 22.1%, and one of the largest known NMR chemical shift ranges, approximately 17,000 ppm,⁴⁸ making it extremely sensitive to subtle changes within the local Pb chemical and coordination environment.^{48–50}

In the work presented here, we characterize and highlight the distinct differences between MAPbI₃ and the three proposed Pb-based decomposition products occurring as a consequence of exposure of the former to humid or thermal environments, or both, in the dark (Scheme 3.1). Our goal is to elucidate the conditions leading to different decomposition pathways, to identify intermediate and final degradation products, and to investigate conditions under which MAPbI₃ may be recovered from these products. We show that solid-state ²⁰⁷Pb NMR spectroscopy is ideally suited to monitor environmental effects on MAPbI₃ and its decomposition products. The results of these measurements, performed on bulk samples, are compared to those obtained on thinfilm samples. **Scheme 2.1** Degradation Reaction Pathway of MAPbI₃ into Three Different Pseudo-Octahedral Pb-I Crystalline Solids



Note: Experimental details are available in Appendix A.

2.3 Results & Discussions

2.3.1 NMR Spectra of MAPbI₃ and Related Products

To investigate MAPbI₃ and proposed decomposition products resulting from its exposure to varying potential atmospheric conditions, ²⁰⁷Pb NMR spectra of lab-synthesized stationary samples of MAPbI₃, MAPbI₃·H₂O (i.e., the monohydrate), (MA)₄PbI₆·2H₂O (i.e., the dihydrate), and PbI₂ were acquired, as shown in Figure 2.1. These spectra are notable for the distinct chemical shifts of the starting compound and its possible decomposition products. The range of chemical shifts (in excess of 1400 ppm) is a reflection of the sensitivity of the ²⁰⁷Pb nucleus to its local environment and demonstrates the utility of NMR to characterize these types of materials. Dybowski and co-workers⁵¹ reported a similar chemical shift value for PbI₂; values of 1430 and 1423 ppm were recently reported for MAPbI₃ by Roiland et al.⁵² and by Vela and coworkers,⁵³ respectively. The slight difference in these chemical shift values compared to our value is probably a reflection of the extreme sensitivity of ²⁰⁷Pb to temperature.



Figure 2.1: Simulated (red traces) and experimental 207 Pb NMR spectra of nonspinning samples of MAPbI₃, the monohydrate, the dihydrate, and PbI₂, acquired at 294 K at 7.05 T. The blue trace indicates the skyline projection of experimental spectra for the monohydrate acquired with three spectrometer frequency offsets; all other spectra were acquired with a single frequency offset.

2.3.2 Powder X-ray Diffraction.

Figure 2.2 shows experimental XRD patterns obtained in this work. The simulations, based on the reported structures for MAPbI₃,⁵⁴ PbI₂ (PDF 00-007-0235), the mono-hydrate,⁵⁵ or the dihydrate,⁵⁶ confirm that the desired products have been obtained. As for the ²⁰⁷Pb NMR spectra, distinctive powder XRD patterns are obtained for the four compounds.



Figure 2.2: Experimental and simulated powder X-ray diffraction patterns for crystalline MAPbI₃ (a), the monohydrate (b), the dihydrate (c), and PbI₂

2.3.3 Response of $MAPbI_3$ to Humidity at Ambient Temperature.

To investigate the effect of humidity on MAPbI₃, approximately 1.0 g of the sample was placed in a humidity cell operating at room temperature, at approximately 80% RH. In the course of 1 week, the sample turned from the black color of MAPbI₃ to a yellow crystalline powder (see Figure A.2). Figure 2.3 illustrates ²⁰⁷Pb NMR spectra of stationary samples extracted from the humidity cell at the indicated times, ranging from 3 h to 3 weeks; a spectrum of MAPbI₃ (i.e., t = 0) is included for comparison. These spectra demonstrate that MAPbI₃ is quickly affected by humidity; approximately 55% of the total signal has shifted to an asymmetric peak after exposure to 80% RH for 3 h. After 24 h, this peak had grown to 80% of the total intensity, after

3 days only a trace of the peak attributed to $MAPbI_3$ remained, and finally, after 1 week, only the asymmetric peak remained. As seen from the spectrum of the sample collected after 21 days, there were no further changes once the product yielding the asymmetric peak was obtained.

Comparison of the 207 Pb NMR spectra shown in the upper traces of Figure 2.3 with those shown in Figure 2.1 indicates that the product of exposure of MAPbI₃ to high humidity is the monohydrate, with no indication that any further decomposition occurs.



Figure 2.3: ²⁰⁷Pb NMR spectra of stationary samples acquired at the indicated times after the start of humidification at 80% at 293 \pm 1 K. Spectra were acquired at 7.05 T.

A powder XRD pattern obtained for the t = 21 day sample, simulated using the singlecrystal XRD data for the monohydrate (see Figure 2.4),⁵⁵ confirms that the product is the monohydrate; as for the ²⁰⁷Pb NMR spectra, there is no indication from this



XRD pattern that either the dihydrate or PbI_2 are decomposition products.

Figure 2.4: XRD pattern of $MAPbI_3$ placed in the humidity cell at 80% RH for 3 weeks and simulated pattern of the monohydrate. The simulated pattern is based on the reported single crystal data.

The response of the monohydrate to elevated temperatures was investigated via NMR spectroscopy. Figure 2.5 illustrates ²⁰⁷Pb NMR spectra of a stationary sample of the monohydrate acquired in the 293-341 K temperature range. Because of possible dehydration during the 2 h time period needed to acquire the individual spectra shown in this figure, subspectra were acquired in 30 min time blocks under identical conditions and the resulting free induction decays were summed prior to processing. There was no variation in the subspectra acquired for a given temperature up to and including 313 K, indicating that dehydration did not occur at or below this temperature.

However, as seen in Figure A.4, at 324 K, the intensity of the peak attributed to the monohydrate diminished significantly in the course of the 2 h required to acquire the total spectrum, suggesting dehydration of the monohydrate. The peak at 1380 ppm arising from MAPbI₃ was not immediately detected, although, as seen in Figure 2.5,

it was observed for a spectrum acquired at 341 K.



Figure 2.5: ²⁰⁷Pb NMR spectra of a stationary sample of MAPbI₃·H₂O acquired at 11.75 T at the indicated temperatures. Each spectrum shown here is the sum of four subspectra acquired under the same conditions. The spectrum acquired at 324 K is noisier because of dehydration of the monohydrate (see text).

Spectra shown in Figure 2.5 clearly indicate that $MAPbI_3$ can indeed be recovered from the monohydrate with moderate heating. To further verify that $MAPbI_3$ had been recovered, ²⁰⁷Pb NMR spectra of the sample were acquired at 7.05 T (see Figure A.5). These spectra are virtually identical to those for $MAPbI_3$, also shown in this figure.

When the monohydrate was first synthesized according to literature methods, it dehydrated under ambient conditions in a period of minutes, turning from yellow to black if exposed to air, unless stored in a sealed container. However, in the course of our investigations, we observed that the monohydrate obtained by placing a finely ground MAPbI₃ sample in the humidity cell at 80% RH for a long period of time (3 weeks) did not dehydrate as rapidly.

To investigate, the sample was exposed to the atmosphere by leaving it in an open beaker for over 4 h. There was no indication of dehumidification (i.e., the sample remained yellow rather than turning black). However, when placed in an oven at 373 K, the sample turned black in less than 30 min, indicating that $MAPbI_3$ had been recovered. The XRD pattern for this three-week sample obtained prior to exposure to the atmosphere or to high temperatures, shown in Figure 2.4, indicates high crystallinity, which may explain why it is more stable and less vulnerable to dehydration.

Several of the preceding observations on the response of bulk MAPbI₃ to high relative humidity have also been reported for the sample on films. Berger and co-workers,³⁴ Leguy et al.,²⁷ and Zhao et al.⁵⁷ reported the formation of the monohydrate following exposure of MAPbI₃ films to 80% relative humidity, while Yang et al. described the product of humidification as the dihydrate "or a very closely related hydrate".³⁷ These groups all reported that MAPbI₃ could be recovered from the monohydrate. However, contrary to our observations, Berger and co-workers found that long-term (i.e., ≥ 6 days) exposure of the film to RH = 80% leads to the formation of the dihydrate and also detected the presence of PbI₂,³⁴ as did Zhao et al.⁵⁷ who also reported the formation of MAI (vide infra). Likewise, Leguy et al.²⁷ reported the dihydrate as a decomposition product of high humidity, but only traces of PbI₂ may be in an amorphous or nanocrystalline phase and thus difficult to detect via XRD.

The sensitivity of MAPbI₃ to high RH leads one to question whether the sample is also sensitive to lower RH levels. To investigate, a sample of MAPbI₃ was placed in the humidity cell in a 40% RH environment at 293 K for 11 days. The compound remained black, and its ²⁰⁷Pb NMR spectra (Figure A.6) were very similar to those for MAPbI₃, indicating that bulk MAPbI₃ is stable at this level of humidity. This is similar to the observations of Wang and Chen, who found that at 40% RH a MAPbI₃ solar cell (with no capsulation) remained mostly unchanged for 100 days, with no evidence of the decomposition of the MAPbI₃ to a hydrate or to PbI₂. These authors reported that the stability of MAPbI₃ depends greatly on the purity and morphology of the film. Berger and co-workers³⁴ also found that MAPbI₃ is unaffected for RH \leq 50%. In contrast, Shirayama and co-workers⁴² recently investigated thin layers of MAPbI₃ on film, prepared by a laser evaporation technique, and found that, at 40% RH, the sample degraded in 1 day through the formation of both PbI₂ and the dihydrate.
2.3.4 Response of $MAPbI_3$ to Direct Exposure to $H_2O(l)$ at Ambient Temperature

In their investigation of $MAPbI_3$, Leguy et al.²⁷ reported that exposure to $H_2O(1)$ led to the irreversible formation of PbI₂; intermediate products (i.e., the monohydrate or the dihydrate) were not observed. These authors undertook their measurements on thin films. To determine whether the same holds true for the fine powders investigated in this work, $50\,\mu\text{L}$ of $H_2O(l)$ was added to approximately 200 mg of MAPbI₃. Comparison of the $^{207}\mathrm{Pb}$ NMR spectra before and after addition of the $\mathrm{H_{2}O}$ (parts a and b of Figure 2.6, respectively) demonstrates that the monohydrate is obtained under these conditions, contrary to the observations of Leguy et al.²⁷ Considering the low stability of the monohydrate, the sample was packed into an NMR rotor immediately following the addition of $H_2O(l)$. Following the acquisition of the spectrum shown in Figure 2.6b, the sample was removed from the rotor and placed in a Petri dish to which a further 200 μ L of H₂O(l) was added. To ensure that the sample was dry, it was allowed to stand overnight under ambient conditions before it was packed; the spectrum obtained from this sample, which shows that PbI_2 is indeed obtained after exposure to a sufficient amount of $H_2O(1)$, is shown in Figure 2.6c. To verify that the peak at -27 ppm is not due to a previously undetected hydration product, the sample was then placed in a Petri dish and dried for 3 h at 343 K (above the MAPI₃ tetragonal-cubic phase transition). The spectrum, shown in Figure 2.6d, is unchanged, consistent with the formation of PbI_2 . The ratio of $MAPbI_3:PbI_2$ is approximately 2:1, although this value is no doubt dependent on initial conditions. Note that the ease with which the monohydrate was initially formed and its rapid dehydration suggest that it is almost certainly an intermediate product between MAPbI₃ and PbI₂ such that, depending on how soon after exposure to $H_2O(1)$ the spectrum is acquired, a spectrum with contributions from only the monohydrate and PbI_2 , or one with contributions from all three compounds, might be obtained. However, we saw no evidence for the dihydrate in this series of measurements.



Figure 2.6: ²⁰⁷Pb NMR spectra of (a) MAPbI₃, (b) the sample from part a following the addition of $50 \,\mu$ L of H₂O, (c) the sample from part b following the addition of a further 200 μ L of H₂O, and (d) the sample from part c after it was heated at 343 K for 3 h. The solid lines indicate the chemical shifts of identified compounds, while the dotted line is that for a proposed decomposition product that was not observed. Spectra were acquired at 7.05 T and 294 K.

2.3.5 Response of $MAPbI_3$ to Humidity at Elevated Temperatures.

Understanding the response of MAP bI₃ to elevated temperatures is an important consideration if it is to be used in solar cells, since the absorbed light energy elevates the temperature of the apparatus to above 350 K.⁴³ In fact, damp-heat testing is an important component of commercial solar panel design.³⁷ Although MAP bI₃ transitions from the tetragonal to the cubic phase at 326.6 K,^{58,59} there is no significant chemical shift effect due to this transition. Thus, significant changes to the ²⁰⁷Pb NMR chemical shifts at elevated temperatures indicate a response to environmental conditions, rather than merely being a consequence of the phase transition. To investigate the response of MAPbI₃ to elevated temperatures and humidity, a powder sample was placed in a Petri dish on a hot plate inside the humidity cell with the temperature of the hot plate maintained at 358 ± 3 K and the humidity of the cell maintained at $45 \pm 5\%$. The presence of the hot plate within the cell increased the surrounding air temperature to 308 ± 2 K. Samples were extracted after 0, 3, and 24 h, as well as 8 days. The sample was sealed within a zirconia NMR rotor immediately after extraction from the humidity cell, although it was not always possible to immediately acquire the NMR spectra; there was no indication that the sample degraded over time once packed.

Figure 2.7 illustrates the ²⁰⁷Pb NMR spectra of these samples. After 3 h, decomposition products, if present, are not detected. However, after 24 h, a ²⁰⁷Pb NMR peak at the position expected for PbI₂ is detected; this peak contributes approximately 30% of the total intensity. The process of decomposition under these conditions proceeds at a slow pace; after 8 days, PbI₂ contributes approximately 55% of the total NMR signal. The process is expected to continue until only PbI₂ remains, although this would take several weeks under the conditions described here. If any monohydrate or dihydrate was produced during this process, their ²⁰⁷Pb NMR signal was of insufficient intensity to be detected. In their investigation of encapsulated MAPbI₃ solar cells, Han et al.⁴³ also found that PbI₂ was a decomposition product of the sample placed under similar conditions of heat and humidity, though, in this case, the Ag layer forming part of the solar cell may have been a factor.

This series of measurements demonstrates that, under moderately elevated temperatures and a humid environment, $MAPbI_3$ slowly decomposes to PbI_2 . The process is slow, but since it is irreversible, it is a serious concern for solar cell engineers.

2.3.6 Response of $MAPbI_3$ to Elevated Temperatures.

To ascertain that both heat and humidity are required to drive the decomposition of MAPbI₃ to PbI₂, we also studied the effect of exposing MAPbI₃ to an elevated temperature in a dry environment. A fine MAPbI₃ powder sample was placed in a



Figure 2.7: ²⁰⁷Pb NMR spectra of stationary samples of MAPbI₃ (1385 ppm) and its product PbI₂ (-27 ppm) following exposure to heat (358 K) and 45% RH. Spectra were acquired at 293 K at 7.05 T.

furnace at 378 K for 4 days and then packed into an NMR rotor immediately after removal from the furnace. Comparison of the 207 Pb NMR spectra of the product with those for MAPbI₃ (see Figure A.7) indicates that the product remains MAPbI₃, with no indication of PbI₂. In their study of encapsulated MAPbI₃ solar cells discussed above, Han et al.⁴³ did find some degradation of the solar cell performance when exposed to elevated temperatures and low (10%) humidity, although the degradation was not as extreme as when both heat and humidity were present.

2.3.7 Response of the Dihydrate to Elevated Temperatures

The dihydrate has been proposed as the second step in the decomposition of MAP bI_3 to PbI_2 (eq 1),²⁷ and as for the monohydrate, this step is thought to be reversible. Hence, ²⁰⁷Pb NMR spectra of a stationary dihydrate sample, prepared as outlined above, were acquired at elevated temperatures to determine if MAP bI_3 can be recovered from the dihydrate and whether the monohydrate is an intermediate step in this recovery. Spectra (not shown) acquired at 301 and 313 K were virtually identical to that for the dihydrate shown in Figure 2.1. Obtaining these ²⁰⁷Pb NMR spectra was hampered by

the limited sample volume (approximately 85 mg, or 1/3 of the 4 mm NMR rotor), by the fact that, following the onset of dehydration, it proceeds quickly, and by the difficulties in obtaining variable temperature NMR data as discussed above; thus, the spectra we obtained had a low signal/noise ratio. Nevertheless, as seen in Figure 2.8, we are able to follow the dehydration process via ²⁰⁷Pb NMR spectroscopy. A spectrum acquired at 324 K (Figure 2.8a) is virtually identical to that obtained for the dihydrate at room temperature (Figure 2.1). However, by the time the next spectrum was acquired, approximately 15 min after the temperature had stabilized at 336 K, a broad peak, covering the frequency range seen for the monohydrate and the dihydrate, was observed (Figure 2.8b; a comparison with those for the monohydrate and dihydrate is shown in the inset), suggesting that part of the sample was dehydrating such that the monohydrate was obtained. During acquisition of the four subsequent spectra (Figure 2.8c-f), each of which took 15 min, first the signal at the position of the dihydrate was lost, and by the last spectrum, virtually all the signal attributed to the monohydrate was also lost. The loss of the signal attributed to the monohydrate is unsurprising, since it was also lost when the monohydrate was heated at 324 K (see Figure 2.8). As discussed above, detecting $MAPbI_3$ at 11.75 T proved challenging. Nevertheless, a spectrum obtained the following day at 7.05 T (Figure 2.8g) confirmed that MAPbI₃ had been recovered. The dihydrate was not observed in any of our experiments as a degradation product of $MAPbI_3$, which is not surprising, since, to the best of our knowledge, the only way to prepare the pure dihydrate requires an excess of the MAI, which is how the sample used here was synthesized.¹ Starting with pure $MAPbI_3$ with a 1:1 molar ratio of MAI:PbI₂, it is unlikely that the dihydrate would form.



Figure 2.8: 207 Pb NMR spectra illustrating the response of the dihydrate¹ to elevated temperatures: (a) a spectrum acquired at 324 K; (b-f) a series of spectra acquired at 336 K in 15 min increments. These spectra are of stationary samples, acquired at 11.75 T; the noise to high frequency is attributed to interference from a local FM radio station. In part g, a spectrum of the stationary sample acquired on the following day at 7.05 T at 294 K is shown. In the inset, the spectrum shown in part b is compared to the spectra for the monohydrate (red) and the dihydrate (blue).

<u>Products</u>	$MAPbI_3$	Monohydrate	Dihydrate	PbI_2
Ι	Х	Х		
II	Х			
III	Х	Х		
\mathbf{IV}		Х		
\mathbf{V}	Х			Х
\mathbf{VI}	Х			Х
VII	Х	Х	Х	
VIII	Х			Х
IX	Х			

Table 2.1: Summary of MAPbI₃ Degradation Products under Humidity and Thermal Treatments^a

^aProducts: (I) MAPbI₃ placed in a humidity chamber at 80% RH over a period of up to 21 days at 293 K. (II) MAPbI₃ cycling between the tetragonal and cubic phases of MAPbI₃ (*in situ* NMR). (III) Monohydrate from I (t = 7 days) heated to 341 K and then back to 293 K, i.e., the monohydrate dehydrated back to MAPbI₃ (*in situ* NMR). (IV) 50 µL of H₂O(l) added to MAPbI₃. (V) 200 µL added to the product of **IV**; sample allowed to dry under ambient conditions overnight. (VI) Sample from V, placed in a furnace at 343 K for 4 h. (VII) The synthesized dihydrate, heated through the tetragonal and cubic phase transition for MAPbI₃, forming the monohydrate and then MAPbI₃ (*in situ* NMR). (VIII) MAPbI₃ placed on a hot plate at 358 K and 45% humidity. (IX) MAPbI₃ placed in an oven at 378 K for 4 days and then packed in an NMR rotor and spectra acquired at room temperature.

2.4 Conclusion

We have presented a solid-state NMR study of MAPbI₃ and of its proposed decomposition products: the monohydrate and dihydrate derivatives of the compounds as well as PbI₂. We have demonstrated that ²⁰⁷Pb NMR spectroscopy is a sensitive technique to distinguish which of these products, if any, have been obtained. A significant conclusion of our investigations is that exposure of bulk samples of MAPbI₃ to high humidity under ambient temperature conditions leads to the formation of the monohydrate but not to other decomposition products. This is in contrast to many studies of MAPbI₃ on films; since the MAPbI₃ is easily recovered from the monohydrate, this is an important observation. However, exposure to both heat and humidity, or to excess $H_2O(l)$, does lead to an irreversible decomposition, with PbI₂ as the main solid product. The varying observations discussed in this section and summarized in Table 2.1 and Scheme 1 demonstrate the high sensitivity of MAPbI₃ to subtle differences in conditions or in the preparation of the sample. On the basis of our observations, equations 3, 4, and 5 are proposed to describe the sensitivity of MAPbI₃ to humidity, heat, or water:

$$CH_{3}NH_{3}PbI_{3} (s) + H_{2}O (g) \rightleftharpoons CH_{3}NH_{3}PbI_{3} \cdot H_{2}O (s) \dots Eq. 3$$

$$CH_{3}NH_{3}PbI_{3} (s) \xrightarrow{H_{2}O (g), \Delta} CH_{3}NH_{3} (g) + HI (g) + PbI_{2} (s) + H_{2}O \dots Eq. 4$$

$$CH_{3}NH_{3}PbI_{3} (s), \text{ in excess} + H_{2}O (l) \rightleftharpoons CH_{3}NH_{3}PbI_{3} \cdot H_{2}O (s) \xrightarrow{H_{2}O (l)} CH_{3}NH_{3}I (aq)$$

$$+ PbI_{2} (s) + H_{2}O (l) \dots Eq. 5$$

In the work discussed here, heat was used to recover $MAPbI_3$ from the monohydrate. However, the monohydrate dehydrates spontaneously under ambient conditions, albeit slowly if we are dealing with samples that were annealed (294 K) within the humidifying chamber over prolonged periods of time. XRD data (Figure 2.4) suggest that this increases the degree of crystallinity; the diffraction peaks narrow considerably for the finely ground samples discussed above.

Sample stability is a critical consideration for solar cell engineers. Our study suggests that the bulk material is much more stable than thin films. We hope that the information provided here may serve as a guide in ongoing efforts to understand the intrinsic stability of MAPbI₃ and its use in the design and fabrication of these promising commercial hybrid organic-inorganic perovskite solar cells.

Chapter 3

Mechanochemical Synthesis of Mixed Halide Perovskites

In this chapter, the work done on studying the solid-solution behaviour in mixed-halide lead perovskites (MHPs) is presented,¹ with focus on the novel mechanochemical synthesis approach. The material system under consideration in this chapter has the general formula APbX₃, where A is either methylammonium (MA) cation or formamidinium (FA) cation, X is either a single halide (i.e. Cl, Br, or I) or mixed halides (e.g. $Cl_{0.5}Br_{0.5}$).

3.1 Abstract

Mixed-halide lead perovskite (MHP) materials are rapidly advancing as next-generation high-efficiency perovskite solar cells due to enhanced stability and bandgap tunability. In this work, we demonstrate the ability to readily and stoichiometrically tune the

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<u>Abdelrahman M. Askar</u>, Abhoy Karmakar, Guy M. Bernard, Michelle Ha, Victor V. Terskikh, Benjamin D. Wiltshire, Sahil Patel, Jonathan Fleet, Karthik Shankar, and Vladimir K. Michaelis, J. Phys. Chem. Lett., **2018**, 9 (10), 2671–2677

halide composition in MA- & FA-based MHPs using a mechanochemical synthesis approach. Using this solvent-free protocol we are able to prepare domain-free MHP solid solutions with randomly distributed halide ions about the Pb centre. In MA-MHPs, up to seven distinct $[PbCl_xBr_{6-x}]^{4-}$ environments are identified, based on the ²⁰⁷Pb NMR chemical shifts, which are also sensitive to the changes in the lattice constants (obtained from powder X-ray diffraction used for long-range crystallinity characterization) resulting from the substitution of Br by Cl, along with bandgap obtained from reflectance measurements through Tauc Plots; both obeying Vegard's law. For FA-MHPs, similar results were obtained; nevertheless, we achieved compositions not previously accessible through the solvent synthesis (SS) technique.

We demonstrate a straightforward and rapid synthetic approach to forming highly tunable stoichiometric MHP solid solutions while avoiding the traditional solution synthesis method by redirecting the thermodynamically driven compositions. The solventfree mechanochemical synthesis approach is also compared to traditional solvent synthesis, revealing identical solid-solution behaviour; however, the mechanochemical approach offers superior control over the stoichiometry of the final mixed-halide composition, which is essential for device engineering. Our results pave the way for advanced methods in atomic-level structural understanding while offering a one-pot synthetic approach to prepare MHPs.

3.2 Introduction

A particularly promising area of research in the renaissance of metal halide perovskite has been on mixed-halide perovskites (MHPs) of the type ABX₃, where $A = CH_3NH_3^+$ (MA) or $CH(NH_2)_2^+$ (FA), $B = Pb^{2+}$, and $X = Cl^-$, Br^- , and $I^{-.60}$ One advantage that MHPs offer is the bandgap tunability made possible through the halide composition, which is important for applications such as tandem solar cells and light emitting diodes (LEDs).⁶¹ Additionally, MHPs offer enhanced stability against moisture, and thus their use to achieve more stable and higher-performing PSCs is becoming routine.^{62,63}

MHPs possess an intriguing range of properties stemming from the degrees of freedom

in their synthesis due to the relatively easy reversible-halide exchange both in solution and between gas and solid phases.^{64,65} Nevertheless, challenges with MHPs have also been reported. In particular, the consequences of halide ion mobility on the device performance^{66–68} and of phase segregation due to photoinduced halide ion migration^{69–72} must be considered. This has been the case in particular for MA-MHPs, where most of the studies on photo-induced halide ion migration have been carried out on this material system.

On the other hand, FA-based perovskites have shown superior optoelectronic properties such as extended carrier diffusion lengths and lifetimes when compared to their MA-containing analogues, both in polycrystalline and single-crystal forms.⁷³⁻⁷⁶ Moreover, $FAPb(Br_xI_{1-x})_3$, where 0 < x < 1, has been the focus of research efforts exploring the tunable bandgap and charge carrier dynamics for applications in tandem solar cells and LEDs.^{63,75,77,78} In some of these studies it was reported that when $0.3\,<\,\mathrm{x}\,<\,0.5,$ no crystalline phase can be achieved using traditional solvent synthesis (SS) techniques, but rather an amorphous phase is obtained, which has been attributed to a phase transition from a trigonal (x < 0.3) to a cubic (x > 0.5) structure. Nevertheless, high-resolution neutron powder diffraction data suggests that α -FAP bI₃ (x = 0) adopts the cubic (Pm-3m) perovskite unit cell at room temperature⁷⁹ rather than the trigonal structure reported for high-temperature solution-deposited α -FAP bI₃ films.^{80,81} This is in agreement with observations based on single-crystal or powder X-ray diffraction (pXRD) analyses of α -FAPbI₃ prepared by the inverse temperature crystallization (ITC) technique.²⁴ Aside from $FAPb(Br_xI_{1-x})_3$ MHPs, $FAPbCl_3$ and $FAPb(Cl_xBr_{1-x})_3$ have rarely been studied; the few studies have focused on nanopar $ticles.^{82-86}$

Traditionally, perovskite materials are synthesized using solvent synthesis (SS) routes, but recently a mechanochemical synthesis (MCS) technique has been proposed as a solvent-free and scalable method to prepare halide perovskite materials. This methodology shows enhanced material properties, achieving similar or better solar cell device performance when compared to SS-prepared devices, along with great flexibility in tuning the dimensionality (3D, 2D, or 0D) of the prepared perovskite materials.⁸⁷⁻⁹² For instance, preparing $CH_3NH_3PbI_3$ PSCs by MCS leads to a reduction in the interfacial trap density in fabricated PSCs in comparison to the same compound prepared through standard solvent synthesis.⁹³ Recently, MCS was used to prepare complex mixed cation (Cs, Rb, FA, in addition to MA) lead halide perovskite materials used in the highest performing and most stable PSCs reported to date, revealing similar structural properties to the corresponding perovskite materials prepared by traditional two-step casting techniques.⁹⁴

MCS has been successfully utilized for a long time in other material systems, including metal-organic frameworks (MOFs),^{95–97} polymorphs in drug design,^{98–100} and composite/metal oxide nanomaterials.^{101,102}

The high mobility of halide ions in halide perovskites prompted us to investigate the potential of MCS in the synthesis of $APb(X_xX'_{1-x})_3$ (A = MA or FA , X and X' = Cl⁻ and Br⁻ or Br⁻ and I⁻) MHPs for the first time from their parent APbX₃ compounds and to examine at the atomic scale the true halide mixing in the products. The techniques used for the application of MCS (Schemes 4.1 & 4.2) were manual hand grinding (HG) using a mortar and pestle and a ball milling (BM) method.

Solid-state nuclear magnetic resonance (NMR) spectroscopy is a highly sensitive tool for identifying atomic-level chemical structure and dynamics. With the ²⁰⁷Pb nucleus exhibiting a large chemical shift range of nearly 20,000 ppm, ²⁰⁷Pb NMR spectroscopy is ideal for the study of the Pb chemical environment.^{53,103-108} Hence, the technique is applied in our investigation of MCS MA- & FA-MHPs. To complement NMR spectroscopy and to gain useful insights on the long-range order (crystallinity) in the MHPs, powder X-ray diffraction is used. In addition, reflectance measurements were utilized to gain information pertaining to optical bandgaps in MHPs.

In this work, we explore the MCS route to synthesize MA- & FA-based MHPs and probe their long- and short-range chemical structure using pXRD and ²⁰⁷Pb NMR spectroscopy, respectively. In addition, their optical properties are explored using reflectance measurements. We find that MCS is an effective way to prepare MHPs solid solutions with random halide arrangements about the Pb centre, avoiding phasesegregated domains along with superior control over halide stoichiometry, including compositions not previously accessible using traditional SS methods. We also compare samples of the same/similar composition (by synthetic loading or final product composition) prepared by SS and MCS.

MAPbI₃ prepared using the MCS method has been shown to yield a product with superior solar cell performance and characteristics (e.g. larger crystalline grains, less current-voltage hysteresis, and reduced interfacial trap density) compared to the corresponding product prepared via SS;^{87,93} thus, we demonstrate that the MCS route described herein for the more commonly used MHPs is a very promising technique as an efficient strategy for commercializing these systems for a wide variety of photovoltaic and optoelectronic applications.

Note: Experimental details are available in Appendix B.

3.3 Results & Discussions

Schemes 3.1 & 3.2 show schematics of the MCS process used to prepare the MAand FA-based MHPs, respectively. For MA-MHPs, the focus was mainly on Cl/Br mixtures, i.e., MAPb(Cl_xBr_{1-x})_3, which was prepared by HG as well as BM (See Appendix B for details) and the results from both method were compared to each others. On the other hand, for FA-MHPs FAPb(Cl_xBr_{1-x})_3 was prepared by HG, while MAPb(Br_xI_{1-x})_3 was prepared by BM (*vide infra*) starting with their respective parent perovskites, FAPbX₃. For each series, three MHPs (x = 0.25, 0.5, 0.75) were synthesized.

Scheme 3.1 MCS Process for the Preparation of MA-MHPs. In the HG method, parent compounds are mixed in the required molar ratio using a mortar and pestle and the HG process continues for 1-2 h to obtain a uniform single-phase product. In MCS-BM, a similar approach is used, but instead of the HG process, an automated BM system is used for 1 h



Scheme 3.2 MCS Preparation of FA-MHPs along with Crystal Structure Diagram Highlighting the $[PbX_xX'_{6-x}]^{4-}$ Octahedral Configurations and the Normalized Reflectance Spectra for the Parent FAPbX₃ and the MHPs (HG-FAPb(Cl_{0.5}Br_{0.5})₃ and BM-FAPb(Br_{0.5}I_{0.5})₃)



3.3.1 MCS of MA-MHPs

Figure B.2 shows ²⁰⁷Pb NMR spectra of MAPb(Cl_{0.5}Br_{0.5})₃ prepared using the MCS-BM (Figure B.2a), MCS-HG (Figure B.2b), and SS (Figure B.2c) approaches, acquired at magnetic field strengths ranging from 7.05 to 21.1 T. The ²⁰⁷Pb NMR spectra exhibit multiple sites, regardless of synthetic approach, illustrating the complex short-range Pb structure present in these otherwise simple primitive cubic crystalline solids (Pm-3m space group).¹⁰⁹ The resolution achieved at higher magnetic field strengths suggests distinct local Pb chemical environments with a potential to assign the various arrangements of halide ions within the MHPs qualitatively and quantitatively. This initial finding suggests that each $[PbX_xX'_{6-x}]^{4-}$ unit bears distinct NMR chemical shifts for the various Pb-halide octahedral arrangements demonstrating a binomial-like distribution characteristic of solid solution random halide mixing behaviour.

To date, most of the hybrid perovskite studies have relied extensively on diffraction-

based approaches.^{110, 111} Solid-state NMR spectroscopy, however, is rapidly emerging as an extremely informative analytical structural tool in characterizing both local structure and dynamics within photovoltaic perovskite materials.^{53,94,104,112,113}

The observed ²⁰⁷Pb NMR results (i.e., broad peaks and chemical shift distributions) for the BM, HG, and SS samples discussed above warranted further investigation to assess and interpret the local atomic structure.

$MAPb(Cl_xBr_{1-x})_3$ Hand Ground Mechanochemical Synthesis.

To unravel the complex nature of anion mixing about the Pb centre of the MHPs prepared with the SS and MCS methods, a series of standards of various MAPbCl₃/MAPbBr₃ molar ratios (100/0, 95/5, 83/17, 75/25, 50/50, 25/75, 5/95, and 0/100) were synthesized using the inverse temperature crystallization (parent compounds)^{25,114} and MCS-HG (MHPs) methods and analysed by ²⁰⁷Pb NMR spectroscopy to assess the changes in the first coordination sphere about the Pb nuclei.

At dopant level halide substitutions (i.e., <5%), the halide ion transitions into the lattice replacing a Br for Cl (or Cl for Br) and immediately affects the lattice parameters (vide infra) of the solid-solution and the corresponding $\delta_{cs}(^{207}\text{Pb})$ chemical shifts of [PbBr₆]⁴⁻ or [PbCl₆]⁴⁻, reflecting the sensitivity of ²⁰⁷Pb NMR spectroscopy to changes within the material. Thus, it is not surprising that the chemical shift of a slightly Brdoped material is greater than that for the pure parent material $(MAPbCl_3)$ since the ²⁰⁷Pb resonance of the MAPbBr₃ parent complex appears at a higher chemical shift. Accounting for the 5% dopant concentration and for the coordination number of Pb (i.e., 6), 26.5% of the Pb centres are directly coordinated to a Br (or Cl) dopant within their octahedra. Fitting the peak areas of the ²⁰⁷Pb NMR spectra for $MAPb(Cl_{0.05}Br_{0.95})_3$ and for $MAPb(Cl_{0.95}Br_{0.05})_3$, respectively, yielded ratios of $0.72:0.28 \ (\pm 0.05)$ and $0.28:0.72 \ (\pm 0.02)$ for the $[PbBr_6]^{4-}$ and $[PbCl_1Br_5]^{4-}$ units of the former and for the $[PbCl_5Br_1]^{4-}$ and $[PbCl_6]^{4-}$ units of the latter. Therefore, the lower frequency resonance in the 207 Pb NMR spectrum for MAPb(Cl_{0.05}Br_{0.95})₃ is assigned to a $[PbClBr_5]^{4-}$ local six-coordinate environment; likewise, the higherfrequency resonance observed in MAPb($Cl_{0.95}Br_{0.05}$)₃ is assigned to $[PbCl_5Br_1]^{4-}$.

As Cl replaces Br, the ²⁰⁷Pb spectra break into additional resonances due to the formation of new Pb-X bonds whereby peak maxima shift to lower chemical shifts toward that for the parent compound, MAPbCl₃ (Figure 3.1a). These spectra demonstrate the sensitivity of ²⁰⁷Pb NMR spectroscopy, as exemplified by the extremely large chemical shift range (~17,000 ppm) for this nucleus,¹⁰⁵ to the halide environment about the Pb centre in these samples.^{103,115}

The XRD patterns (Figure 3.1c) for all MCS-HG samples confirm that these consist of a single phase that adopts the cubic Pm-3m perovskite structure, with diffraction peak positions shifting to higher 2θ values with increasing Cl content. Substituting the chlorine anion (r = 167 pm) with a larger bromine anion (r = 182 pm) increases the lattice parameter, linearly obeying Vegard's law describing solid solution behavior, as shown in Figure 3.1d.¹¹⁶ The MCS-HG synthesis method shows a single cutoff energy in the DR spectra (Figure B.1), which corresponds systematically to an increase in the bandgap energy from pure MAPbBr₃ to pure MAPbCl₃ (Figure 3.1d).

The results summarized in Figure 3.1 are those for a material with very fluid properties whose chemical structure can readily be adapted to randomly accommodate any mixture of halide anions using an MCS method. A systematic shift in the bandgap as halide substitution occurs supports the conclusion that these materials exhibit solidsolution behaviour, readily adjusting their local ($[PbX_xX'_{6-x}]^{4-}$) and long-range (lattice constant) structure as Cl is replaced by Br.

Careful analysis of multiple-field NMR spectra (Figure B.2), as well as XRD, energy dispersive X-ray (EDX), and DR data, indicate that both the MCS-HG and SS methods create a pure, single-phase crystalline solid. The relative populations of $[PbX_xX'_{6-x}]^{4-}$ chemical environments obtained using these methods, while somewhat different, behave similarly as the halide distribution changes from Br- to Cl-rich species.



Figure 3.1: Nonspinning ²⁰⁷Pb NMR spectra (11.7 T) of MCS-HG MHPs and their parent compounds (a). The assignment of the NMR peaks is shown at the top (the shaded areas are guides to the eye to identify specific NMR sites in the various spectra, and the dashed lines indicate simulated spectra). Powder X-ray diffraction data (c). Dotted lines are guides to the eye. A plot of cell parameter ($R^2 = 0.994$) and experimentally determined bandgaps extracted from Tauc plots ($R^2 = 0.994$) vs Br mole fraction for the MHP series (d).

Closer examination of the Cl/Br concentrations in the SS sample as determined by EDX reveals that the targeted MAPb(Cl_{0.5}Br_{0.5})₃ composition is in fact MAPb(Cl_{0.58}Br_{0.42})₃. The non-stoichiometric product obtained with the SS approach is nevertheless consistent with a binomial-like distribution (not shown here) once accounting for the new composition, with the expected chlorine-rich lead octahedra (i.e., $[PbCl_6]^{4-}$, $[PbCl_5Br_1]^{4-}$, $[PbCl_4Br_2]^{4-}$, and $[PbCl_3Br_3]^{4-}$) chemical environments (Figure B.2 b,c) over other halide mixing combinations. Likewise, the sample prepared by the SS method follows Vegard's law, with the lattice constants correlating to the final stoichiometry (see Figure B.3 for the XRD pattern of this MHP prepared using the SS method). As for the sample prepared by MCS, there is no indication of phase-separated domains or amorphous structure in the experimental data. In a two-component mixture of MAPbCl₃ and MAPbBr₃ subjected to MCS, the thermodynamics are different from those in the three-component mixture resulting from SS (i.e., MAPbCl₃, MAPbBr₃, and the solvent). The former yields a stoichiometric final product while the latter yields a Cl-rich final product due to the altered driving forces for SS (i.e., nucleation and growth) compared to the high-energy MCS method.^{38,117,118}

$MAPb(Cl_xBr_{1-x})_3$ Ball Milling Mechanochemical Synthesis.

Figure 3.2 shows powder XRD and nonspinning NMR spectra, as well as Tauc plots for the MAPb($Cl_{0.5}Br_{0.5}$)₃ sample prepared using solvent synthesis, hand grinding, and ball milling, illustrating the impact of these synthetic approaches on structural and physical properties. Powder XRD data for the samples indicate that the same crystal structure is obtained in all three cases, but the diffraction line widths indicate that the MCS-BM method yields products that produce broader lines (Figure 3.2a). The SS and HG samples indicate random distributions of halide environments about the octahedral Pb position $[PbCl_xBr_{6-x}]^{4-}$, with the resonance centered at -100 ppm being attributed to the $[PbCl_3Br_3]^{4-}$ chemical environment, vide supra. At low magnetic fields, the ²⁰⁷Pb NMR spectrum of the BM sample is featureless (Figures B.2, 3.2b, and B.10), appearing Gaussian-like in shape, with evidence of multiple Pb octahedral environments only emerging from higher-field ²⁰⁷Pb NMR data (21.1 T, Figure B.2a). As for the SS and HG samples, the solid-solution behavior is preserved for the BM samples. However, the high-energy milling process introduces short-range structural disorder in the otherwise well-ordered cubic environment. This creates a distribution of Pb-X distances and angles and thus leads to local disorder at the Pb sites, as is evident from the observed line widths that indicate a distribution of ²⁰⁷Pb chemical shifts, while XRD data indicates that long-range periodic crystallinity is maintained (Figure 3.2a). The increase in line width of the powder XRD pattern and the amorphization of the well-defined microscopic crystalline solids as observed in field emission scanning electron microscopy, FESEM (Figures B.11 and B.12), as well as the impact on the MA cation as indicated by ¹H and ¹³C NMR spectroscopy (not shown here) further support the conclusion of local structural disorder determined from the ²⁰⁷Pb NMR spectra.

To examine how the local disorder evolved over time, we obtained ²⁰⁷Pb NMR spectra of samples of MAPb($Cl_{0.75}Br_{0.25}$)₃ prepared with 5 min and with 1 h of BM (Figures B.4 & B.5); these spectra confirm that the halide ions quickly begin to mix, already forming a highly ordered random solid solution after 5 min of BM. After 1 h of further high-energy milling, a degradation of the local octahedral structure occurs, and the [PbCl_xBr_{6-x}]⁴⁻ octahedra exhibit short-range local disorder (NMR data) within an otherwise preserved hierarchical periodic crystalline solid framework (XRD data).

The impact of these synthetic approaches and corresponding structural changes on their optical responses were analyzed using DR^{119} data (Figure B.6); these results indicate similar optical bandgaps for all three $MAPb(Cl_{0.5}Br_{0.5})_3$ samples, but preparing the MHP via the MCS route reduces the bandgap energy by 0.039 eV when compared to the SS technique (2.605 eV for HG vs 2.644 eV for SS). This change is attributed to the fact that the stoichiometry is preserved when using the MCS approach, as determined by the EDX analysis (Table B.1), which indicates that a 1.04:1.0 Cl/Br ratio is obtained for the HG samples (1.09:1 Cl/Br for MCS-BM), while a 1.39:1.0 Cl/Br ratio (i.e., a chlorine-rich solid solution) is obtained for the SS sample, despite the 1:1 synthetic molar ratio of the starting reagents for the preparation of all samples. The MCS approach clearly provides superior ease and control of the composition of the solid solution compared to the SS approach, where the final solid product is driven thermodynamically, requiring one to adjust reactant concentrations in order to achieve a 1:1 synthetic molar ratio. Hence, these results demonstrate that this new solventfree approach allows one to obtain a homogeneous solid solution using low- (HG) or high-energy (BM) mechanical force chemical synthesis.



Figure 3.2: Comparison of data for the MAPb($Cl_{0.50}Br_{0.50}$)₃ MHP prepared using three distinct synthetic approaches: solvent synthesis and mechanochemical synthesis via hand grinding or ball milling. Powder XRD diffraction patterns (a), nonspinning ²⁰⁷Pb NMR spectra, $B_o = 21.1$ T (b), and Tauc plots (c). The straight black lines indicate the bandgap energies at the intersection with the abscissa.

$MAPb(Br_xI_{1-x})_3$ Mechanochemical Synthesis.

To further investigate the application of the MCS synthetic approach, a 1:1 molar mixture of MAPbBr₃ and MAPbI₃ was prepared. To determine if the transition from phase-separated parent compounds to a randomly mixed solid solution can be visualized, a sample that was manually hand ground over a period of 2 h was characterized by NMR spectroscopy and by XRD, after 1 and 2 h of hand grinding (Figure 3.3). The halide ions begin to exchange, immediately forming a solid solution, and after 1 h of hand grinding, the sample is almost randomly mixed, although XRD and NMR data indicate some of the parent compounds also remain. HG for an additional hour completes the random ordering of the local $[PbBr_xI_{6-x}]^{4-}$ octahedra improving the quality of the solid solution as seen by the narrow diffraction peaks in the XRD data and by a Gaussian-like resonance in the NMR spectrum. The results indicate that the lower energy MCS-HG approach is effective in creating a single-phase MHP, with a binomial-like distribution similar to that obtained from a sample prepared by hightemperature solid-state synthesis.⁵³ Contrary to the case for the Cl/Br MHP system, we were unable to resolve multiple resonances for the Br/I system in the corresponding ²⁰⁷Pb NMR spectra due to broadening, although spectra of the parent compounds indicate that substituting I with Br has the same effect as substituting Br with Cl: a shift to lower frequency.

Figures B.7 and B.8 show the powder XRD and DR data for the parent compounds and the final 50/50 Br/I MHP solid solution prepared by the MCS-HG technique. These data illustrate the benefit of side-stepping the thermodynamically driven solution process (i.e., avoiding the Br-rich phase)⁵³ with a stoichiometric MCS approach that yields an equal Br/I halide composition, based on XRD and $\delta_{cs}(^{207}\text{Pb})$ data analysis.

3.3.2 MCS of FA-MHPs

Figure 3.4a,b shows pXRD and ²⁰⁷Pb NMR results for the three parent perovskites and two MHPs, namely, $FAPb(Cl_{0.5}Br_{0.5})_3$ and $FAPb(Br_{0.5}I_{0.5})_3$ (see Figures B.13-B.18 for the complete data set for each series, along with the reflectance measurements). The



Figure 3.3: Nonspinning ²⁰⁷Pb NMR (a, 7.05 T) and powder X-ray diffraction spectra (b) for MAPbBr₃ (red), MAPbI₃ (blue), and MAPb(Br_{0.50}I_{0.50})₃ (green 1 h, black 2 h). The MHP samples were prepared by the MCS-HG method for 1 and 2 h. The black arrows in the NMR spectra (a) indicate incomplete random halide mixing after 1 h (green trace), with small remnants of the parent compounds according to the NMR and XRD data (b, green arrow, 1 h). The black arrow in panel b indicates complete mixing of a single phase solid solution after 2 h.

²⁰⁷Pb chemical shifts ($\delta_{cs}(^{207}\text{Pb})$) of the parent compounds shift to higher frequency as Cl is replaced by larger and less electronegative halides Br or I, spanning nearly 2000 ppm ([PbCl₆]⁴⁻ to [PbI₆]⁴⁻) as shown in Figure 3.4b. This observed behavior for $\delta_{cs}(^{207}\text{Pb})$ is similar to what has been previously reported for MAPbX₃ and other metal halides.^{52,53,107,120}

Pure cubic phases are confirmed through pXRD for all MHPs prepared via MCS, and a Gaussian-like distribution of ²⁰⁷Pb NMR resonances is observed, consistent with distinct local $[PbX_xX'_{6-x}]^{4-}$ chemical environments. ²⁰⁷Pb NMR spectra at different fields for the HG-FAPb($Cl_{0.5}Br_{0.5}$)₃ mixture are shown in Figure B.19, revealing five resolved resonances that are assigned to $[PbCl_6]^{4-}$, $[PbCl_5Br]^{4-}/[PbCl_4Br_2]^{4-}$, $[PbCl_3Br_3]^{4-}$, $[PbCl_2Br_4]^{4-}/[PbClBr_5]^{4-}$, $[PbBr_6]^{4-}$; the assignment and fitting of experimental data acquired at 11.75 and 21.1 T are consistent with a binomial-like distribution for random Cl/Br mixing, as shown in Figure B.20b. Other mixtures in the series considered here also display binomial-like distributions based on their corresponding stoichiometric compositions (see Figure B.20).

Pb-207 NMR spectra for the BM-FAPb($Br_{0.5}I_{0.5}$)₃ sample acquired at 11.75 or 21.1 T were not resolved due to broadening; nevertheless, higher magnetic field strengths may improve the resolution and line shape as only slight evidence of multiple resonances begin to emerge at 21.1 T (Figure B.21).¹²¹ The resonances for the I- and Br-rich MHPs lie between those for their respective parent perovskites and the 50/50 mixture (Figures B.18 and B.22), suggesting a statistical distribution of $[PbBr_xI_{6-x}]^{4-}$ populations. Thus, behavior similar to that observed for the HG Br/Cl MHP series is suggested, although in this case it was not possible to resolve individual sites.

Tracking the lattice constants and optical band gaps (extracted from pXRD and Tauc plots, respectively)¹²² within these series of MCS-MHPs (Figure 3.5d,e) demonstrates a linear evolution with halide substitution from pure FAPbCl₃ to α - FAPbI₃, agreeing with Vegard's law.^{116,123} The NMR spectroscopy and pXRD results (Figure 3.5) together indicate that the MCS route leads to homogeneous atomic-level solid solutions of FA-MHPs.

HG-MCS of FAPbCl₃ and FAPbBr₃ samples was sufficient to synthesize single pure

phases of FAPb(Cl_xBr_{1-x})₃ solid solutions, but for the FAPb(Br_xI_{1-x})₃ series, further treatment was required. A high temperature (200 °C, 1 h) annealing step was used to obtain the Br-rich (i.e., $FAPb(Br_{0.75}I_{0.25})_3$) composition (see Figures B.23 & B.24 for pXRD and ²⁰⁷Pb NMR data taken at various steps of the HG process). This approach was unsuccessful for $FAPb(Br_{0.50}I_{0.50})_3$ and $FAPb(Br_{0.25}I_{0.75})_3$ and led to rapid decomposition of the materials or impure MHP products, or both (see Figure B.25). This is thought to be partially due to the decomposition of $FAPbI_3$ under thermal annealing⁸¹ before a single MHP phase is formed, because pXRD indicates that PbI_2 was formed during the annealing process to form 50/50 Br/I or I-rich FA-MHPs. To avoid possible degradation or decomposition and to achieve single pure phases for $FAPb(Br_{0.50}I_{0.50})_3$ and $FAPb(Br_{0.25}I_{0.75})_3$ MHP solid solutions, a high-energy MCS method adopting BM was implemented (see Figures 3.2a and B.14). The BM method provides sufficient mechanical energy to form these phases within 30-60 min, at sample temperatures of approximately 50 °C, similar to that applied in other systems;¹²⁴ a detailed discussion of possible mechanisms of crystal formation through BM-MCS can be found elsewhere.^{91,125-127} The success of BM near room temperature is mostly due to the high halide ion mobility in lead halide perovskite systems.^{71,72,128} It has been shown previously that a solid-state synthesis method starting from parent compounds can be used to prepare a stoichiometric MAPb $(Br_{0.50}I_{0.50})_3$, but only after a heating protocol is applied, with partial sample decomposition observed at temperatures >250°C.⁵³ The BM method described here may provide a versatile and highly adaptable approach for other hybrid perovskites, overcoming the need for any high temperature treatments.88

Figure 3.6 illustrates a comparison of pXRD, 207 Pb NMR, and reflectance data for FAPb(Cl_{0.25}Br_{0.75})₃ prepared using the MCS approach with those prepared using the SS method (see the Appendix B for experimental details). Both techniques yield nearly identical experimental results, with SS showing a slightly blue-shifted reflection edge. This observed shift is due to more Cl content (confirmed by EDX) versus the stoichiometric HG composition. Even though the synthetic loading for both samples is Br, 75% and Cl, 25%, the HG compound has an atomic % of Br/Cl of 3.06/1, while the SS compound is 2.4/1, demonstrating that fine control over composition is possible through MCS. The ²⁰⁷Pb NMR spectra for both samples are similar, but the MCS



Figure 3.4: Powder XRD (a) and nonspinning ²⁰⁷Pb NMR spectra (b, 11.75 T) structural characterization of parent (FAPbX₃) and mixed (HGFAPb($Cl_{0.5}Br_{0.5})_3$ and BM-FAPb($Br_{0.5}I0_{.0.5}$)₃) perovskites (simulated spectra in gray).



Figure 3.5: Unit cell and band gap (extracted from Tauc plots) properties follow a linear regression obeying Vegard's law (cell constants determined from XRD) with halide substitution for the BM-FAPb(Br_xI_{1-x})₃ series (d) and HG-FAPb(Cl_xBr_{1-x})₃ series (e).

approach provides spectra with higher resolution between different Pb coordination environments. In addition, the resonance centered at $\delta_{cs} \sim 100$ ppm (Figure 3.6c) is higher in intensity for the SS (vs MCS) sample corresponding to the higher Cl concentration, as confirmed by EDX.

Attempts to prepare a stoichiometric $FAPb(Br_{0.50}I_{0.50})_3$ using the SS route? as described for $MAPb(Br_{0.50}I_{0.50})_3$ were unsuccessful; pXRD and ²⁰⁷Pb NMR data suggest that a Br-rich final product was obtained (Figure 3.7). Because of the distinct



Figure 3.6: Structural characterization of $FAPb(Cl_{0.25}Br_{0.75})_3$ prepared by HG or SS approaches using pXRD (a), reflectance (b), and nonspinning ²⁰⁷Pb NMR spectroscopy (c). NMR spectra were acquired at 11.75 T; the corresponding data for FAPbCl₃ and FAPbBr₃ are included for comparison.

thermodynamic and kinetic characteristic in forming MHPs through solvent synthesis versus MCS, the FAPb($Br_{0.50}I_{0.50}$)₃ mixture was achieved only using the latter (Figure 3.4), while the SS habitually led to a Br-rich phase.[?] In other words, preparing FAPb($Br_{0.50}I_{0.50}$)₃ by SS led to a product similar to the MCS of FAPb($Br_{0.75}I_{0.25}$)₃, as shown in Figure 3.7. Hence, the BM-MCS approach described above was developed to prepare these MHPs.



Figure 3.7: Comparison of the characteristics for HG-FAPb($Br_{0.75}I_{0.25}$)₃ (HG+1 h anneal), BM-FAPb($Br_{0.75}I_{0.25}$)₃, and SS attempt of FAPb($Br_{0.50}I_{0.50}$)₃ (by synthetic loading, see text). (a) pXRD pattern, (b) nonspinning ²⁰⁷Pb NMR spectra (11.75 T).

3.4 Conclusions

For MA-based MHPs, we demonstrated the utility of the mechanochemical synthetic approach for the preparation of randomly ordered halides in single crystalline MA-MHP solid solutions with enhanced stoichiometric control compared to that achieved using traditional solvent synthesis protocols for the Br/Cl MA-MHPs. Increasing the grinding time and introducing a high-energy milling process maintains the long-range crystalline structure, but it can create a highly disordered local $[PbX_xX'_{6-x}]^{4-}$ octahedral environment due to rearrangements of bond lengths and angles about the Pb center. Carefully tuning the compositions using the MCS-HG method, monitored by 207 Pb NMR spectroscopy, we identified seven distinct Pb octahedral chemical environments in the Br/Cl MA-MHPs, and demonstrated that the synthetic approach can be extended to other Pb-containing mixed-halide perovskites. The 207 Pb NMR spectra as well as the XRD results for the Cl/Br MHPs obtained using either solution synthesis or MCS of bulk samples indicate single-phase solid solutions with random halide distributions absent of amorphous or phase segregated domains. A stoichiometric MAPb(Br_{0.50}I_{0.50})₃ MHP, which has not been achieved previously using traditional room temperature solvent synthesis, was prepared at room temperature using the MCS-HG technique.

Similarly, we have presented a MCS approach for preparing FA-MHPs with fine control over halide compositions, including some not accessible by the traditional SS method. All MCS-MHPs show a solid solution behavior, with both crystal lattice constants and optical band gaps conforming to Vegard's law. Upon probing local Pb environments of the $[PbX_xX'_{6-x}]^{4-}$ octahedra in MHPs through ²⁰⁷Pb NMR spectroscopy, distinct Pb chemical structures are identified, and their estimated populations adopt a binomiallike distribution, as expected for solid solutions. When SS results are compared to MCS for samples with similar final compositions, comparable structural and physical properties are determined; however, efficient control of stoichiometric synthesis for the MHPs studied here is better with the MCS method.

As recently reported for single halide perovskites prepared using a MCS approach, these materials lead to an improvement in device performance when compared to SS counterparts.^{87,88,93} Hence, we hypothesize that the excellent compositional regulation in preparing FA-containing MHPs via MCS methods could provide superior control in optoelectronic properties leading to improved control in fabrication approaches.

This work paves the way to further understanding the underlying complex physical property behavior by providing atomic-level insights into these MHP solid solutions, targeting the development of highly efficient and stable devices. As perovskite materials continue to evolve for photovoltaic applications, careful characterization is essential. This study illustrates the importance of combining long-range diffraction based methods as well as probing the atomic-scale using NMR spectroscopy as key components to unravel these intrinsically complex materials.

Chapter 4

Conclusion

In summary, there is no doubt that lead halide perovskite materials have proven real potential to be the next wave of low-cost and high-efficiency photovoltaics technology. Although most of the research efforts have been directed towards solar cell applications for LHPs, more work over recent years have been directed to almost all kinds of electronic and optoelectronic applications. Along with seeking breaking records of device performance especially of solar cells PCE, researchers continue to do fundamental studies to understand the reasons behind these intriguing intrinsic properties and how such material system is capable of delivering such outstanding performance records for various devices.

In this work, the original research conducted over the course of this thesis have resulted in solid results published already in respectful journals of materials chemistry and physical chemistry, contributing to the ever expanding span of knowledge for LHP materials.

Starting with LHP single crystals, solar cells have been realized on SCs for the first time through our collaborators at TUM. Despite the relatively poor performance for SC-based solar cells realized by the TUM group, it has been shown how in principle SCs used in many fundamental studies to understand the underlying physical properties in LHPs can also be used to fabricate devices and what are the predominant challenges and how they can be overcome in future research. Next, we have shed light on one of the most concerning issues regarding LHPs which is the intrinsic stability of the workhorse compound of the LHP family, i.e., MAPbI₃. We started with single crystals of MAPbI₃ and exposed MAPbI₃ to various environmental conditions to simulate different circumstances of solar cell operations as well as other conditions to understand the exact degradation mechanisms of MAPbI₃, irrespective of the material (mainly films) preparation protocols, which are believed to be the main reasons behind various suggested degradation mechanisms reported in the literature. We have concluded that MAPbI₃ is intrinsically more stable than what was previously thought. We have identified all three possible degradation products, namely, MAPbI₃ monohydrate, dihydrate, and PbI₂. Comparing the products of the different experiments against the unique fingerprints of the four Pb-containing compounds, we identified with a great certainty the possible degradation pathways of MAPbI₃, with some routes being reversible (i.e., it is possible to recover MAPbI₃) and others which are not.

Next, we studied in detail two of the dominant systems in perovskite research, namely, MAPbX₃ and FAPbX₃. We introduced a simple, yet effective and scalable technique to prepare mixed halide perovskites through mechanochmeical synthesis with superior control over stoichiometry. Not only that, but we showed how mechanochemical synthesis enabled the access to some mixed halide stoichiometries not previously accessible through traditional solvent synthesis technique. Using a suite of characterization techniques including X-ray diffraction and solid state NMR spectroscopy (through our collaborators at the Department of Chemistry - University of Alberta) for studying long range as well as short/medium-range ordering in the materials of interest, respectively, we showed how mixed halide perovskite behave as a solid solution following Vegard's law with no evidence of phase segregation or persistent doping effects. Through ssNMR spectroscopy, advanced insights were inferred about these material systems, proving true atomic-scale halide mixing.

Future Research Directions

Despite the significance of the conclusions drawn from this work, we believe that there are still many directions to be explored in the exciting research field of halide perovskite materials.

In brief, we believe there is a considerable room for improvements in the area of SCbased PSCs. Developing techniques for growing single crystals with large diameters in the range of inches and with a few 100s of nm thickness is essential for enabling this technology. In addition, improving on the quality of metal contacts with SCs is vital for achieving the optimum performance out of these SCs.

We have shown how mechanochemically synthesized mixed halide perovskites are very comparable from the structure point of view to those prepared through traditional solvent synthesis routes. It remains to investigate how the quality of PSCs prepared using materials synthesized by MCS technique compare with respect to device performance and stability to those engineered following the solvent synthesis techniques.

Looking back, it is somehow mind-blowing how things rapidly progressed in the field of halide perovskites, especially when compared to other material systems which have been out there under research for decades, but never achieved what this exciting material system has accomplished in just a few years in terms of device performance, especially solar cell efficiency. It is certain that a brighter future is awaiting for the "Perovskite Era" as technology advances over the time with better in-depth understanding developing every day.

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Appendix A

Experimental Section & Additional Data for Chapter 2

A.1 Chemicals and Reagents

Starting materials were obtained from commercial sources; lead(II) iodide (99%) from Acros Organics (Morris Plains, NJ, USA); methylammonium iodide from Dyesol Limited (Australia); γ -butyrolactone (GBL) (>99%) from Sigma-Aldrich (St. Louis, MO, USA); lead(II) nitrate (>99%) from Fisher Scientific (Pittsburgh, PA, USA); and used as received without any further purification.

A.2 Syntheses

A.2.1 Methylammonium Lead Iodide, CH₃NH₃PbI₃ (MAPbI₃)

Single crystals of MAPbI₃ were prepared by the inverse-temperature solubility method developed by Saidaminov.²⁵ A 1.0 M solution of methylammonium iodide (MAI) and PbI₂ in GBL was dissolved at 333 K, filtered, and then heated to 389 K where crystallization of MAPbI₃ occurs. After 2 h, the crystals, which were 4-5 mm across, were removed from the solution, rinsed quickly with GBL, and dried with nitrogen

gas. The crystals were kept in a desiccator to inhibit hydration.

A.2.2 Methylammonium Lead Iodide Monohydrate, $CH_3NH_3PbI_3 \cdot H_2O$ (Monohydrate)

The perovskite-monohydrate powder was synthesized as reported by Christians et al.⁴⁷ A 0.2 M aqueous solution of $Pb(NO_3)_2$ was added dropwise at room temperature to a 1.2 M aqueous solution of MAI. The solution was left for 18 h until a pale yellow precipitate formed. The precipitate was filtered, vacuum dried, and sealed, protecting it from dehydrating to MAPbI₃.

A.2.3 Methylammonium Lead Iodide Dihydrate, $(CH_3NH_3)_4PbI_6\cdot 2H_2O$ (Dihydrate)

Methylammonium lead iodide dihydrate was synthesized in films using a spin-coating method developed by Halder et al.¹ A 1:4 (M/M) solution of PbI₂:MAI was dissolved in DMF and spin-coated at 2000 rpm. The films were then heated at 263 K for 5 min and then cooled in a humid atmosphere (RH = 85%). After 20 min, the dihydrate films were a slightly transparent gray color.

A.3 Powder X-ray Diffraction

Powder X-ray diffraction (pXRD) was used to verify that the desired products had been synthesized. Powder patterns were obtained on a Bruker AXS D8 diffractometer using a Cu K α radiation source. A 2D detector was used for scanning of the 2θ angles. For MAPbI₃, the monohydrate, and PbI₂, a fine powder was prepared and XRD was carried out with Omega = 5°. Due to its sensitivity to air and humidity, the monohydrate was sealed in a 1 mm diameter capillary prior to acquisition of XRD data. The XRD measurements for the dihydrate were carried out on thin films spincoated on a glass substrate. All humidity- and heat- treated samples were handled as described for the monohydrate.

A.4 Relative Humidity Control

The humidity experiments were conducted in a humidity-controlled, home-built flow cell (see Figure A.1); the RH was measured continuously through a sensor placed inside the cell, which was placed in the dark. Reported RH values are accurate to within $\pm 5\%$. In humidity-only experiments, the temperature inside the cell was maintained at room temperature (293 \pm 1 K). The humidity control technique is that reported previously;¹²⁹ to ensure that no liquid water entered the flow cell, an additional empty flask was added between the humidity source and the cell to serve as a water trap (Figure A.1). In mixed humidity-heat experiments, a hot plate was placed inside the cell at a fixed temperature. In all experiments, the perovskite was ground using an agate mortar and pestle to a fine powder (50 µm grains) and spread into an even thin layer on a borosilicate glass (Pyrex) Petri dish within the cell. The cell was only opened to collect the samples after specific time points; the humidity within the cell returned quickly to the desired value (≤ 20 min after opening it).

A.5 Supporting Information - Figures



Figure A.1: Flow cell apparatus used to control the relative humidity for the various treatments with $MAPbI_3$.



Figure A.2: Image of MAPbI₃ powder sample after seven days in the humidity cell (RH = 80%, T = 294 K)



Figure A.3: Image of as-prepared monohydrate sample prior to vacuum drying step



Figure A.4: ²⁰⁷Pb NMR spectra of MAPbI₃·H₂O, acquired at 11.75 T at 324 K. The times on the right indicate the time after the temperature was initially achieved.



Figure A.5: ²⁰⁷Pb NMR spectra for $MAPbI_3$ (upper traces, red) and of the sample after it was subjected to 7 days at 80% RH, then heated to 341 K (lower traces, blue).



Figure A.6: 207 Pb NMR spectra for MAPbI₃ (upper traces, red) and of the sample after it was subjected to 11 days at 40 % RH (lower traces, blue). Spectra were acquired at 7.05 T at 294 K



Figure A.7: Comparison of 207 Pb NMR spectra for MAPbI₃ (upper traces, red) and of the sample after it was left in a furnace for 4 days at 378 K (lower traces, blue).

Appendix B

Experimental Section & Additional Data for Chapter 3

B.1 Synthesis of MHPs

B.1.1 Synthesis of MAPb $(X_x X'_{1-x})_3$ MHPs

To synthesize MHPs using the MCS-HG approach, MAPbX₃ and MAPbX'₃ were loaded with molar ratios set to achieve the desired mixed-halide composition. For example, to prepare MAPb($Cl_{0.5}Br_{0.5}$)₃, 159.66 mg of MAPbBr₃ was loaded in a mortar with 115.21 mg of MAPbCl₃, and hand grinding was applied for 1-2 h. For MCS-BM techniques, the same ratios of precursors as for the MCS-HG approach were loaded into either a planetary (RETSCH Planetary Ball Mill PM 100) or shaker ball mill (SPEX SamplePrep 8000M Mixer/Mill). We note that, by adjusting the time, ball size, and speed of impacts, one can utilize a planetary mill to obtain similar results to HG within a fraction of the time (i.e., 5-60 min) with good reproducibility. All synthetic procedures were carried out under ambient lab conditions in air. All results presented here for the MCS-BM method were obtained from parent compounds processed by the shaker ball mill for 1 h.

B.1.2 Synthesis of MHPs - FAPb $(X_x X'_{1-x})_3$ Using MCS Route.

To synthesize MHPs using MCS-HG, appropriate amounts of FAPbX₃ and FAPbX' $_3$ were loaded with molar ratios calculated to achieve the desired mixed halide composition. For example, to prepare FAPb(Br_{0.75}Cl_{0.25})₃, 245.99 mg of FAPbBr₃ was mixed with 59.77 mg of FAPbCl₃. The mixing process by hand grinding continued until a fine powder with uniform colour was achieved. The product was examined by XRD to make sure a single pure phase of MHP was obtained. Similarly, for the MCS-BM route, a RETSCH PM100 planetary ball-milling instrument was used with 250 ml ZrO₂ container and different sized ZrO₂ beads. The rotation speed was 400 rpm, with 1 minute/2 sec on/off ratio and the rotation reversed after each cycle. All the synthesis experiments were undertaken under ambient lab conditions. We note that during the MCS-BM process, the temperature within the container was approximately 50 °C after the BM synthesis procedure due to friction.

B.1.3 Synthesis of MHPs - FAPb $(X_x X'_{1-x})_3$ Using Solvent Synthesis Route

To synthesis FAPb(Cl_xBr_{1-x})₃ MHPs using solvent synthesis route, the drop-casting method was used. To prepare FAPb($Br_{0.75}Cl_{0.25}$)₃, stoichiometric amounts of FABr (62.49 mg), FACl (120.78 mg), and PbBr₂ (734.02 mg) were dissolved at room temperature in 2 ml of DMF to yield a 1 M solution, then drop casted on a pre-heated clean glass substrates at 60 °C and left for drying in an ambient air for 0.5 to 1 h. The formed film was collected and examined by XRD and a single crystallographic pure phase was obtained. For the solvent synthesis of FAPb($Br_{0.5}I_{0.5}$)₃, a precipitation technique was followed, which is a similar procedure to that used elsewhere⁵³ to prepare MAPb($Br_{0.5}I_{0.5}$)₃. Briefly, a mixture of PbI₂ (0.072 mmol), FAI (0.216 mmol), PbBr₂ (0.072 mmol), and FABr (0.216 mmol) were dissolved in a mixture of acetonitrile (20 mL) and DMF (200 µL), followed by precipitation via the addition of excess toluene. The product was then collected and dried under vacuum.

B.2 Measurements

B.2.1 Diffuse Reflectance (DR) Spectroscopy of MHP Perovskites.

A Varian Cary 400 UV-visible spectrophotometer, equipped for the analysis of smallquantity fine powdered samples, was used to obtain DR data. Each sample was packed into a black boat (loaded with \sim 100 mg/sample), with each measurement acquired between wavelengths of 300 and 900 nm.

B.2.2 Powder X-ray Diffraction (XRD) Measurements.

Fine powder samples were mounted on a glass slide and analysed using a Bruker D8 Advance Diffractometer equipped with a Cu K α source and a VANTEC-500 2D detector. All data were acquired at room temperature with a 2θ range of 10°-60° and 0.005° resolution.

B.3 Supporting Information - Figures (MCS of MA-MHPs)



Figure B.1: Diffuse reflectance spectra for MCS-HG MAPb $(Cl_xBr_{1-x})_3$, with x = 0.75, 0.50, and 0.25, along with those for their parent compounds, MAPbBr₃ and MAPbCl₃.



Figure B.2: ²⁰⁷Pb NMR spectra of non-spinning MCS-BM (a, blue), MCS-HG (b, red), and SS (c, black) samples of MAPb($Cl_{0.5}Br_{0.5}$)₃, acquired at 7.05 T, 11.7 T, and 21.1 T. The gray dotted lines are the best fits for the spectra obtained for the samples prepared with the mechanochemical synthesis methods.



Figure B.3: Powder XRD pattern for $MAPb(Cl_{0.5}Br_{0.5})_3$ prepared using the SS method.



Figure B.4: ²⁰⁷Pb NMR spectra of non-spinning $MAPb(Cl_{0.75}Br_{0.25})_3$, prepared by using the MCS-BM method for 5 min and 1 hr.



Figure B.5: XRD pattern obtained after using the MCS-BM method for 5 min for $MAPb(Cl_{0.75}Br_{0.25})_3$.



Figure B.6: Diffuse reflectance spectra for $MAPb(Cl_{0.5}Br_{0.5})_3$, prepared by BM, HG, and SS, along with those for the parent compounds, $MAPbBr_3$ and $MAPbCl_3$



Figure B.7: Comparison between XRD patterns of $MAPb(Br_{0.5}I_{0.5})_3$ prepared by MCS-HG (2 hr) and those for the parent compounds, $MAPbI_3$ (tetragonal) and $MAPbBr_3$ (cubic).



Figure B.8: Diffuse reflectance spectra of the $MAPb(Br_{0.5}I_{0.5})_3$ sample prepared by MCS-HG, along with those for the parent compounds, $MAPbBr_3$ and $MAPbI_3$.



Figure B.9: Relationship between MHP physical properties (Band gap (E_g)) and electronegativity (χ) with atomic structure from X-ray diffraction (unit cell parameter, a (Å)) and NMR spectroscopy (chemical shift, $\delta(^{207}\text{Pb})/\text{ppm}$).



Figure B.10: ²⁰⁷Pb NMR spectra for nonspinning MAPb(Cl_xBr_{1-x})₃, where x = 0, 0.25, 0.50, 0.75 and 1. MCS-BM method was used to prepare MHPs (purple, blue and green). Samples were ball milled for a period of 1 hr. All spectra were acquired at 7.05 T.



Figure B.11: FESEM image for MCS-BM MHP sample $MAPb(Cl_{0.5}Br_{0.5})$ with high (200 nm) magnification.



Figure B.12: FESEM image for MCS-BM MHP sample $MAPb(Cl_{0.5}Br_{0.5})$ with low (2 μ m) magnification.

B.4 Supporting Information - Figures (MCS of FA-MHPs)



Figure B.13: Powder XRD patterns for the MCS-HG $FAPb(Cl_xBr_{1-x})_3$ series of samples.



Figure B.14: Powder XRD patterns for the MCS-BM $FAPb(Br_xI_{1-x})_3$ series of samples.

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Sample	Cl (atomic %)	$Br \ (atomic \ \%)$	\underline{Ratio}	Cl+Br~(X)~(atomic~%)	Pb (atomic %)	$X{:}Pb$
$\mathrm{MAPb}(\mathrm{Cl}_{0.25}\mathrm{Br}_{0.75})_3$ - HG	5.8	19.2	1:3.3	25	8.8	2.8:1
$\mathrm{MAPb}(\mathrm{Cl}_{0.50}\mathrm{Br}_{0.50})_3$ - HG	10.8	10.4	1.03:1	21.2	7.7	2.8:1
$\mathrm{MAPb}(\mathrm{Cl}_{0.75}\mathrm{Br}_{0.25})_3$ - HG	5.9	1.9	3.01:1	7.8	2.7	2.8:1
$\mathrm{MAPb}(\mathrm{Cl}_{0.50}\mathrm{Br}_{0.50})_3$ - BM	7.3	8.0	1:1.09	15.3	5.5	2.8:1
$\mathbf{MAPb}(\mathbf{Cl}_{0.50}\mathbf{Br}_{0.50})_3$ - SS	6.94	5.0	1.38:1	11.9	5.12	2.3:1

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Figure B.15: Reflectance spectra for $FAPb(Cl_xBr_{1-x})_3$ prepared by HG, where x:0.75, 0.50, and 0.25, along with those for the parent compounds, $FAPbCl_3$ and $FAPbBr_3$.



Figure B.16: Reflectance spectra for $FAPb(Br_xI_{1-x})_3$ prepared by BM, where x:0.75, 0.50, and 0.25, along with those for the parent compounds, FAPbBr₃ and α -FAPbI₃.



Figure B.17: ²⁰⁷Pb NMR spectra for nonspinning $FAPb(Cl_xBr_{1-x})_3$ prepared by HG, where x = 0.75, 0.50, and 0.25, along with those for the parent compounds, $FAPbCl_3$ and $FAPbBr_3$, acquired at 11.75 T.



Figure B.18: ²⁰⁷Pb NMR spectra for nonspinning FAPb(Br_xI_{1-x})₃ prepared by BM, where x = 0.75, 0.50, and 0.25, along with those for the parent compounds, FAPbBr₃ and α -FAPbI₃ acquired at 11.75 T.





Figure B.20: ²⁰⁷Pb NMR spectra and the binomial distribution for nonspinning HG-FAPb(Cl_xBr_{1-x})₃ based on the curve fitting of the ²⁰⁷Pb NMR acquired at 11.75 and 21.1 T. Error bars shown here are based on a comparison of the simulated and experimental spectra. (a) ²⁰⁷Pb NMR spectra at 11.75 T for HG-FAPb(Cl_xBr_{1-x})₃ with fitted curves using insight from the DFT data (not included here).² (b) Comparison between theoretical binomial distributions based on 7 different sites (n = 6) and the population distribution for HG-FAPb($Cl_{0.5}Br_{0.5}$)₃ extracted from area under curve for 207Pb NMR spectra acquired at 11.75 and 21.1 T. (c and d) As for (b), but for HG-FAPb($Cl_{0.25}Br_{0.75}$)₃ and HG-FAPb($Cl_{0.75}Br_{0.25}$)₃, respectively with data obtained at 11.75 T.



Figure B.21: Comparison between 207 Pb NMR spectra for nonspinning MCS-BM of FAPb(Br_{0.5}I_{0.5})₃ acquired at 11.75 and 21.1 T.



Figure B.22: ²⁰⁷Pb NMR spectra for the non-spinning MCS-BM $FAPb(Br_xI_{1-x})_3$ series of samples acquired at 21.1 T.



Figure B.23: Powder XRD comparison of $FAPb(Br_{0.75}I_{0.25})_3$ prepared by HG at different time stamps and after an additional 1 hr of annealing at 200 °C, along with those for the parent compounds, $FAPbBr_3$ and α -FAPbI₃.



Figure B.24: Comparison between the ²⁰⁷Pb NMR spectra of non-spinning FAPb(Br_{0.75}I_{0.25})₃ prepared by HG before and after 1 h of annealing, along with those for the parent compounds, FAPbBr₃ and α -FAPbI₃.



Figure B.25: Powder XRD spectra for various attempts to prepare $FAPb(Br_{0.5}I_{0.5})_3$ by HG and solid-state synthesis at elevated temperatures. Asterisks indicate peaks for either decomposition products, such as PbI_2 or for phases other than $FAPb(Br_{0.5}I_{0.5})_3$.