

“...there is a fountain of youth, it's just that you have to work hard to drink from it.”

Gregg R. Hill

**University of Alberta**

**The effects of twelve weeks of supervised aerobic and resistance training on exercise capacity, muscle strength, quality of life, body composition and cardiovascular disease risk factors in kidney transplant recipients**

by

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A thesis submitted to the Faculty of Graduate Studies and Research  
in partial fulfillment of the requirements for the degree of

Doctor of Philosophy

In

Rehabilitation Science

Department of Rehabilitation Medicine

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Fall 2011

Edmonton, Alberta

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## Dedication

I would like to dedicate this thesis to my family who have always been there to support me.

Mom and Dad, you have always believed in me and have never uttered a discouraging word or presented a less than positive attitude for any challenge I decided to attempt. The ethical and moral standards and work ethic you instilled in your 4 boys is something I aspire to with Cooper and Casey.

John, Paul and Timothy, growing up with the three of you truly shaped me into the person I am today. The competitive natures the four of us possess have followed us into adulthood and I thank you for teaching me, in my younger days and now, that nothing is out of reach.

Cooper and Casey, you are two amazing young men. Watching the two of you grow and seeing how hard you work is an inspiration and I could not have done this without the example you set for me.

Jennifer, I love you. This achievement belongs as much to you as it does to me. Your support, understanding and encouragement, especially in the rough patches I went through was essential and will forever be appreciated. Thank you so much.

## Abstract

Cardiovascular disease (CVD) is a major cause of mortality in kidney transplant recipients (KTR). The increased CVD risk is due, in part, to reduced cardiorespiratory fitness ( $VO_{2peak}$ ) associated with inactivity. The role of exercise training to improve overall physical fitness, quality of life (QOL) and CVD risk factors in KTR has not been well studied.

The aim of this thesis is to investigate the effects of a 12 week supervised exercise training (ET) program versus usual care (UC) on  $VO_{2peak}$ , muscular strength, QOL, body composition and CVD risk profile in KTR. Further, the mechanisms responsible for the increase in  $VO_{2peak}$  will be determined.

Thirty-seven KTR underwent baseline testing consisting of: incremental exercise test with expired gas analysis and impedance cardiography, resting small and large arterial compliance, lower extremity maximal strength, estimation of lean body mass, 24 hour ambulatory blood pressure monitoring, QOL and a 10-year CVD risk score. Subjects were randomized to ET (aerobic exercise 30 – 60 minutes, 3 days/week and strength training 2 days/week) or UC.

Thirty-one subjects (mean age;  $55 \pm 13$  years) were randomized to ET (n=16) or UC (n=15). The changes in  $VO_{2peak}$

(ET  $2.6 \pm 3.1$  vs UC  $-0.5 \pm 2.5$  ml·kg<sup>-1</sup>·min<sup>-1</sup>), peak heart rate (ET  $8 \pm 14$  vs UC  $-2.9 \pm 11.2$  beat·min<sup>-1</sup>), peak cardiac output (ET  $1.7 \pm 2.6$  vs UC  $-0.01 \pm 0.8$  L·min<sup>-1</sup>), leg press (ET  $48.7 \pm 34.1$  vs UC  $-10.5 \pm 37.7$  kg) and leg extension strength (ET  $9.5 \pm 10.3$  vs UC  $0.65 \pm 5.5$  kg) were significantly greater after 12 weeks of ET compared to UC. The change in overall QOL improved significantly after 12 weeks of ET compared to UC. No significant difference was found between groups for 24 hour blood pressure, small and large arterial compliance, lean body mass or 10 year CVD risk score.

The major finding of this thesis is that 12 weeks of supervised ET increased  $VO_{2peak}$  secondary to an increase in peak heart rate and cardiac output. A secondary finding is that ET increased lower extremity maximal muscular strength and improved QOL compared to UC.

## **Acknowledgements**

In an undertaking of this magnitude there are so many people who contributed to the final outcome and without whom the completion would not have come to fruition. These people include, but are surely not limited to, the following:

Dr. Mark Haykowsky: A true friend and mentor who, without question, is largely responsible for my successful completion of this PhD. His attention to detail and ability to accept nothing but a best effort did not go unnoticed and will not be forgotten.

Dr. Sita Gourishankar: An amazing clinician and compassionate care giver who instilled in me that the patient is, first and foremost, a person and worthy of my best effort.

Dr. Robert Welsh: A superb clinician and friend whose drive and determination, both academically and athletically, inspired me throughout this process.

Dr. Alex Clark: For instilling in me the notion that science is only one part of the equation. The individual is the greater part.

Dr. Richard Lewanczuk: His insight into scientific method and common sense approach was invaluable in my journey.

Dr. Richard Lawrance: For his unselfish giving of time to help with the collection of data for this project.

Dr. Wayne Tymchak: For his assistance in testing subjects for my study and the input he offered into the design of my research.

Corey Tomzac: Your assistance over the past few years will not go forgotten and was never unappreciated. You are a great friend.

Dr. Ben Esch and Dr. Jessica Scott: Your assistance in collecting data was invaluable and I am fortunate to have had the opportunity to work with you.

Mrs. Leanne Telford and my colleagues at NAIT. Your support and understanding offered to me over the years was very much appreciated.

My mother in law, Jane Percy, your support throughout this process was invaluable.

My parents, Lucian and Therese Riess. You have always believed in me and my abilities and consistently supported me in whatever direction I decided to go.

Finally, and most importantly, to my wife, Jennifer and our two boys, Cooper and Casey. You are the inspiration for all that I do. You make me a better person and have been the motivation for this milestone. Thank you so much for your patience and understanding with all of the activities I missed, whether I was in attendance or not.

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## List of Symbols

<b>Symbol</b>	<b>Description</b>
a-vO <sub>2diff</sub>	Arterio-venous oxygen difference
BMI	Body Mass Index
CHD	Coronary Heart Disease
CVD	Cardiovascular Disease
DBP	Diastolic Blood Pressure
EDV	End Diastolic Volume
EF	Ejection Fraction
ESRD	End Stage Renal Disease
ESV	End Systolic Volume
ET	Exercise Training
HDL	High Density Lipoprotein
HR	Heart Rate
KTR	Kidney Transplant Recipient
LDL	Low Density Lipoprotein
LV	Left Ventricle

MAP	Mean Arterial Pressure
MET	Metabolic Equivalent
Q	Cardiac Output
QOL	Quality of Life
RM	Repetition Maximum
RTC	Renal Transplant Clinic
SBP	Systolic Blood Pressure
SF-36	Medical Short Form 36
SV	Stroke Volume
SVR	Systemic Vascular Resistance
TC	Total Cholesterol
UC	Usual Care
VO <sub>2peak</sub>	Peak Oxygen Consumption

## Definition of Terms

### **Arterial Compliance**

The relationship between volume and pressure in the arteries.

$\Delta$  Volume /  $\Delta$  Pressure.

### **Arterio-venous Oxygen Difference**

A measurement of oxygen extracted from the tissues. It is the difference between the oxygen content in arterial blood and mixed venous blood [1].

### **Cardiac Output**

The product of ventricular stroke volume and heart rate [2, 3].

### **Ejection Fraction**

The fraction of EDV ejected from the ventricle during systole [3].

### **End Diastolic Volume**

The volume of the ventricle at the end of diastole.

### **End Systolic Volume**

The volume of the ventricle at the end of systole.



### **Mean Arterial Pressure (MAP)**

Approximately the sum of one third of the pulse pressure and the diastolic pressure [2].

MAP =

Diastolic Pressure + 1/3 (Systolic Pressure – Diastolic Pressure)

### **Metabolic Equivalent (MET)**

Used to estimate the metabolic cost of activity. One MET is equal to 3.5 ml/Kg/min of Oxygen consumption [1].

### **Pulse Pressure**

The Difference between the systolic pressure and the diastolic pressure [2].

### **Stroke Volume**

The volume of blood ejected from the ventricle during systole. The difference between EDV and ESV [3].

### **VO<sub>2peak</sub>**

The highest recorded amount of oxygen consumption recorded in a maximal test. Used in clinical setting as maximal values may not be attained due to lack of motivation and / or testing protocol selection [4].

## General Introduction

## **1.1 Background**

Kidney transplantation is a lifesaving surgical intervention for select individuals with end stage renal disease (ESRD). Despite improved survival, the leading cause of death in kidney transplant recipients (KTR) with a functioning graft is cardiovascular disease (CVD). The reasons for the increased CVD risk is due to both traditional and novel risk factors present before and after transplantation. Specifically, KTR are at increased risk for hypertension [5], diabetes mellitus [6, 7], hypercholesteremia [8] and obesity [9].

Non-traditional risk factors may also contribute to this increased risk for CVD found in KTR. In particular, arterial compliance has been reported to be lower in KTR. For example, Riess et al. (Appendix A) reported small artery compliance was 31% lower in KTR than age predicted values. Further, anti-rejection medication has also been reported to have a negative effect on CVD risk [10]. Also, KTR are more likely to be smokers [6, 11], and are less likely to be active than their age-matched counterparts [12]. A consequence of an inactive lifestyle is that it is associated with a decline in exercise capacity (measured objectively as peak oxygen consumption ( $VO_{2peak}$ )). As a reduced  $VO_{2peak}$  is an important predictor of mortality in healthy [13, 14] individuals and ESRD patients [15], interventions that improve  $VO_{2peak}$  may reduce morbidity and mortality in KTR.

## **1.2 Statement of the Problem and Purpose of this Thesis**

Given that KTR are at an increased risk for CVD, interventions that may reduce this cardiovascular risk (such as exercise training) would be of great importance for this population. The aim of this thesis is to investigate the effects of a 12 week exercise program on exercise capacity, muscle strength, quality of life (QOL), body composition and CVD risk in KTR. Further, this thesis will explore the possible mechanisms for increases in exercise capacity associated with exercise training.

## **1.3 Delimitations**

1. The subjects were recruited from the University of Alberta Renal Transplant Clinic.
2. Graded exercise testing was performed on a recumbent cycling ergometer.
3. Body composition was assessed with skinfold measurements.
4. Maximal strength was assessed using one repetition maximum (1RM) testing.
5. The supervised training took place over the time course of 12 weeks.
6. Supervised aerobic exercise training was performed 3 days per week with supervised resistance training performed on 2 of these days.

#### **1.4 Limitations**

- 1 Subjects recruited from the University of Alberta Renal Transplant Clinic were volunteers.
- 2 Subjects recruited for this study were of varying ages and genders.

## Review of Literature

## **2.1 Background**

Kidney transplantation is an important surgical intervention for select individuals with end-stage renal disease (ESRD) [16]. Indeed, transplantation has been reported to improve life expectancy [17] and quality of life [18] for those with ESRD. Despite favorable improvements in survival post-transplant, cardiovascular disease (CVD) remains the major cause of morbidity and mortality in kidney transplant recipients (KTR) [16, 19-22]. Specifically, the incidence of heart failure is 5-fold higher in KTR compared to healthy individuals[23]. The increased CVD risk is due to traditional risk factors (i.e. hypertension [24, 25], diabetes [24], sedentary lifestyle [13, 24] and elevated low density lipoprotein and total cholesterol [26]), novel risk factors (i.e. reduced arterial compliance [27] ), and risk factors specific to KTR (i.e. loss of graft function [28] and anti-rejection/immunosuppression therapy [29] (Figure 1).

## **2.2 Traditional Risk Factors Contributing to Cardiovascular Disease in Kidney Transplant Recipients**

Systemic hypertension and diabetes mellitus are leading CVD risk factors that are prevalent in KTR (Figure 1B and 1C). Hypertension (defined as SBP/DBP >140/90) has been reported to be the most established CVD risk factor in end-stage renal disease[6] and in KTR [5]. Specifically, hypertension, or pharmacologic treatment for hypertension, has been reported in 50% to 90% of KTR [30, 31] compared to 49% in

Canadians 65 years of age and older [32]. In addition, diabetes is the leading cause of renal failure in developed nations [33, 34]. Further, the prevalence of diabetes increases after transplantation as post-transplant diabetes mellitus occurs in 23% of KTR without prior existence of diabetes [35].

Kidney transplant recipients are at further risk for cardiovascular disease as there is an increased prevalence of dyslipidemia in this population. Indeed, elevated low-density lipoprotein cholesterol and total cholesterol is present in 50% to 70% of KTR [36-38]. This increased risk is likely related to unfavourable cholesterol levels prior to transplant as a major risk factor for post-transplant dyslipidemia is pre-transplant dyslipidemia [36]. Unfortunately, the cardiovascular risks associated with dyslipidemia are enhanced after transplant as the use of anti-rejection drugs post-transplant increases the risk of dyslipidemia in KTR [10].

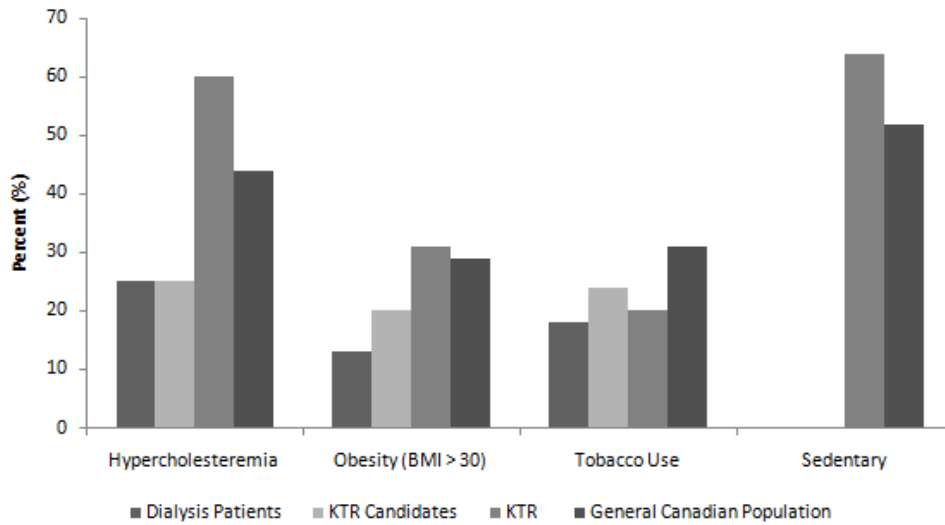
Smoking and a sedentary lifestyle place KTR at further risk for CVD, as they do for the general population. Although the overall smoking rates in KTR has declined in the last 30 years [39] nearly 20% of KTR continue to smoke after surgery [6, 11]. Ambulatory renal failure patients on dialysis have been reported to have activity levels below the 5<sup>th</sup> percentile of those reported for the general, age-matched population [12]. Although physical activity levels increase after transplantation, it remains below that of healthy individuals [40]. For example, Gordon et al. [41]



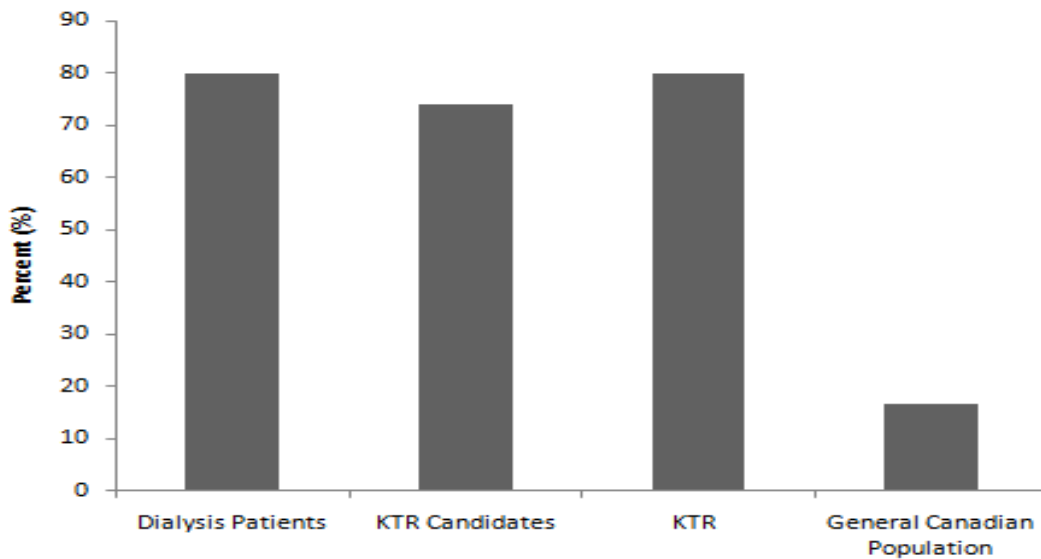
found that 76% of KTR are sedentary while only 11% of KTR reported they were exercised regularly. Consistent with this observation, Painter et al. found that only 36% of transplant recipients performed regular exercise 1-year after transplantation [42].

**Figure 1: Prevalence of selected CVD risk factors in KTR and other populations**

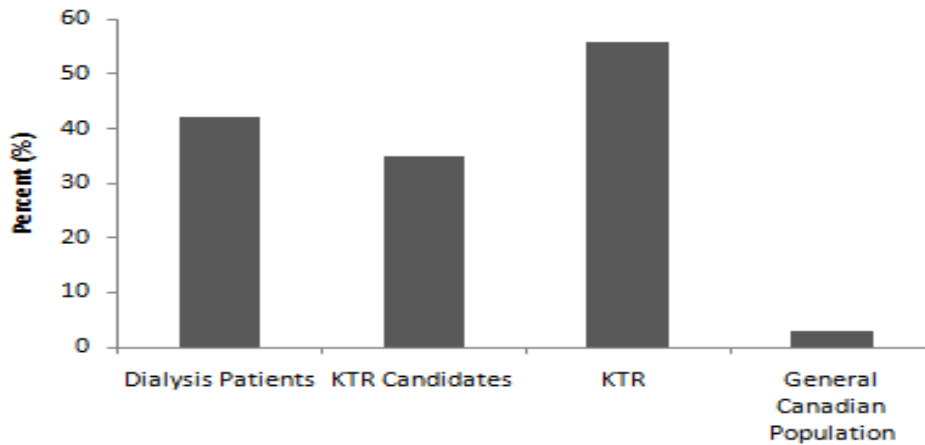
**A. Prevalence of specific CVD risk factors in selected populations**



**B. Prevalence of Systemic Hypertension in selected populations**



### C. Prevalence of diabetes mellitus in selected populations



*Adapted from published data by Young et al.[6], Painter et al. [42] and Statistics Canada [43]. KTR = Kidney Transplant Recipient*

Large scale epidemiological studies have consistently demonstrated that reduced exercise capacity (measured objectively on a cardiopulmonary exercise test as  $VO_{2peak}$ ) is the most important predictor of mortality in healthy individuals and clinical populations [13, 14, 44]. Meyers et al. [13] reported that an increase in  $VO_{2peak}$  of 3.5 ml/kg/min (equal to 1 metabolic equivalent or MET) was associated with a 12% improvement in survival in men with or without CVD. Further, Gulati et al. [14] examined the prognostic importance of  $VO_{2peak}$  in 5,721 asymptomatic women (mean age = 52 years) subsequently followed for 8 years after initial exercise testing. The main finding was that  $VO_{2peak}$  was a major independent predictor of all-cause mortality after adjusting for

traditional cardiovascular risk factors. Specifically, a 1 MET decrease in  $VO_{2peak}$  was associated with a 17% increase in mortality. Finally, Sietsema et al. [15] reported that  $VO_{2peak}$  was a strong independent predictor of mortality in ESRD. Indeed, they determined that ESRD patients with a  $VO_{2peak} < 18 \text{ ml}\cdot\text{kg}\cdot\text{min}^{-1}$  had a lower 3.5 year survival rate compared to those with a peak  $VO_{2peak}$  above this level. Accordingly,  $VO_{2peak}$  is an important outcome measure and therapies to improve exercise capacity are warranted in this population.

### **2.3 Non-Traditional Risk Factors Contributing to Cardiovascular Disease in Kidney Transplant Recipients**

Arterial compliance is reduced in ESRD [27] and not fully reversed after kidney transplantation. A pilot study by Riess et al. (Appendix A) recently demonstrated that small artery compliance was 31% lower in clinically stable KTR versus age predicted values. Of note, no difference was found between groups for large artery compliance [45]. Consistent with these results, Yildiz et al. [46] found that small artery compliance was lower in KTR compared to healthy age-matched individuals. These findings have important clinical implications as reduced arterial elastance is an important risk factor for CVD in KTR [47].

Anti-rejection therapy also leads to an increase in the risk of CVD in KTR. A finding due, in part, to these drugs increasing traditional CVD risk factors [10]. Specifically, blood pressure, weight gain and lipid profile are

affected negatively by corticosteroids and Cyclosporin. Tacrolimus has been demonstrated to increase risk of diabetes and Sirolimus and Everolimus both a negative effect on healthy lipid levels [10].

In summary, KTR are at higher risk for CVD than the general population as a result of traditional, novel and / or transplant-specific risk factors. One important modifiable risk factor in this population is reduced physical activity and a reduced  $VO_{2peak}$ . The mechanisms for reduced  $VO_{2peak}$  may be due to deconditioning associated with a sedentary lifestyle pre / post transplant which may be improved through physical conditioning as a result of exercise training (Table 1).

**Table 1: Determinants of exercise capacity with deconditioning, aging, kidney disease / hemodialysis and kidney transplantation**

	Deconditioning		Aging		Kidney Disease / Hemodialysis		Kidney Transplantation	
	Rest	Peak Exercise	Rest	Peak Exercise	Rest	Peak Exercise	Rest	Peak Exercise
VO2		↓[48-55]	↓[56]	↓[57, 58]		↓[15, 59-65]		[63, 64]↓[62]
HR	↑[49]	↑[49, 54]		↓[57, 58, 66-68]		↓[61-65, 69]		↓[62]
SV	↓[49, 70]	↓ [49, 51, 52,	↓[56]	↔[57] ↓[58, 68]		↑[62, 65] ↔[59]		↑[62]

		55]						
Q	↔ [70] ↓[49 ]	↔[49] ↓[51, 55]	↓[56]	↓ [57, 68],index[67]		↔[62, 65]		↑[62]
EF	↔[4 8]	↔[48]		↓ [66, 67]		↓[65]		
EDV	↔[7 0] ↓[49 , 55]			↑ index[67]		↑[65]		
ESV						↑[65]		
LV Diastolic Compliance	↓[70 ]		↓[56, 71]		↓[72]			

Myocardial Contractility	↔[70]	↔[52]	↔[73, 74]	↓[67]	↓[72]			
a-vO <sub>2</sub> diff	↔[48, 49]	↔[48, 55]		↓[58] [57, 68, 75]		↓[59, 62]		↓[62]
SVR	↑[49, 70] ↔[48]	↔[48] ↑[52]	↑[76]					↑[77]
MAP	↔[70]	↔ [52]		↑ [67]	↑[78]			
SBP	↓[48]		↑[76]	↑[67]	↑[65]		↑[30, 79]	
DPB	↔		↑[76]	↑[67]	↑[65]			



	[48]							
Blood Volume	↓[48] ] [49, 50]							
Plasma Volume	↓[49 , 50, 54, 70, 80]							
Vasculature Function								
Arterial Elastance	↓[81 , 82]		↓[56] [71]		↓[83, 84]		↓ [85, 86]	

large			↓[76, 87]				↔[45]	
small			↓[76]				↓[45]	
Skeletal Muscle Morphology								
Muscle Strength	↓ [88]		↓[89-92]		↓[93, 94]		↓[93]	
Muscle Mass	↓[50 , 88]		↓[95]		↓[94, 96]		↓[97]	
Type 1 fibers	↓ size[ 98]						↓ [64]	
Type 2 fibers	↔ [98]		size↓[99, 100]				↑[64]	

Capillary Density			↓[101-103]		↓[60, 104]			
Mitochondria			↓ [105, 106]					
O <sub>2</sub> Enzymes	↓[51 ]		↓[105]		[107, 108]			
Reduced muscle blood flow					↓[109, 110]			

Note: VO<sub>2</sub> = Oxygen Consumption, HR = Heart Rate, Q = Cardiac Output, SV= Stroke Volume, EF = Ejection Fraction, EDV = End Diastolic Volume, ESV = End Systolic Volume, A-VO<sub>2</sub>dif = Arteriovenous Oxygen Difference, SVR = Systemic Vascular Resistance, MAP = Mean Arterial Pressure, SBP = Systolic Blood Pressure, DBP = Diastolic Blood Pressure, LV = Left Ventricle

## **2.4 Reduced Exercise Capacity: Role of Deconditioning**

The reduced exercise capacity found in KTR may be secondary to deconditioning associated with a sedentary lifestyle [48-53, 55, 111]. The seminal study by Saltin et al. [55] examined the effects of 20 days of strict bedrest deconditioning on cardiovascular and skeletal muscle function in 5 younger individuals (mean age: 20 years). The main finding was that 20 days of bed rest was associated with a significant and marked decrease in  $VO_{2peak}$  (28%). In a long-term follow-up study with the same 5 subjects, the investigators found that the decline in  $VO_{2peak}$  after 20 days of bed rest was more detrimental than 3 decades of aging (Figure 2). These findings highlight a number of physiological mechanisms that may account for the reduction in exercise capacity reported in KTR.

In accordance with the Fick Equation oxygen uptake is equal to the product of cardiac output (Q) x arteriovenous oxygen difference ( $a-vO_{2diff}$ ). Thus, factors reducing  $VO_{2peak}$  with disuse / deconditioning may be due to impaired oxygen delivery to and / or utilization by the active muscles. Saltin et al. [55] demonstrated that the decreased  $VO_{2peak}$  was primarily due to a reduced peak cardiac output as no significant change in peak  $a-vO_{2diff}$  was found after bedrest deconditioning. The reduced peak cardiac output was due to a lower stroke volume as peak heart rate was not altered with extended bed rest. In turn, the blunted peak stroke volume may be due to impaired preload, contractility or afterload. Specifically,

deconditioning is associated with a decrease in size and concomitant decline in LV compliance and stroke volume. Thus the reduced rest / exercise SV may be secondary to impaired preload reserve.

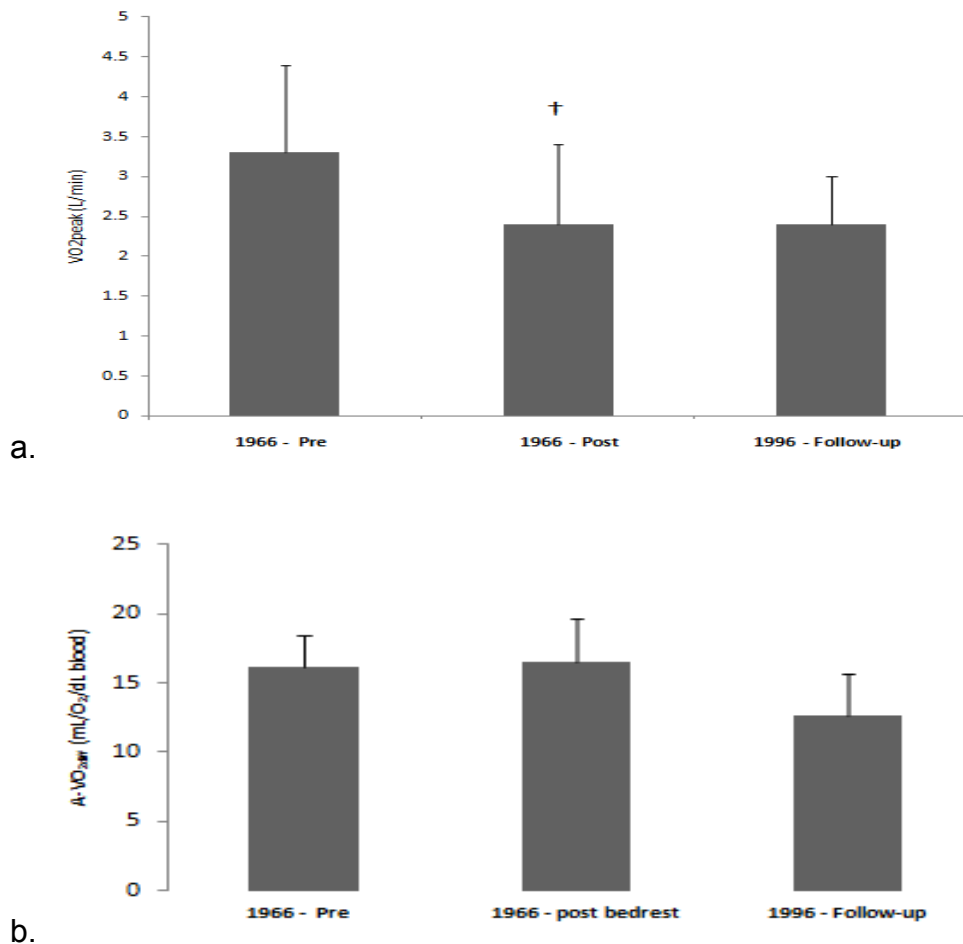
A sedentary lifestyle is also associated with an increase in vascular resistance which, coupled with a decrease in myocardial contractility, may negatively affect SV [52, 55]. Taken together, structural and functional changes in the cardiovascular system occur with a sedentary lifestyle that results in a reduced peak exercise cardiac output. Finally, a sedentary lifestyle is associated with changes in skeletal muscle morphology and function (ie. reduced muscle mass, decreased oxidative (type I) muscle fibers and a decrease in oxidative enzymes) that reduce oxygen utilization by the active muscles during exercise.

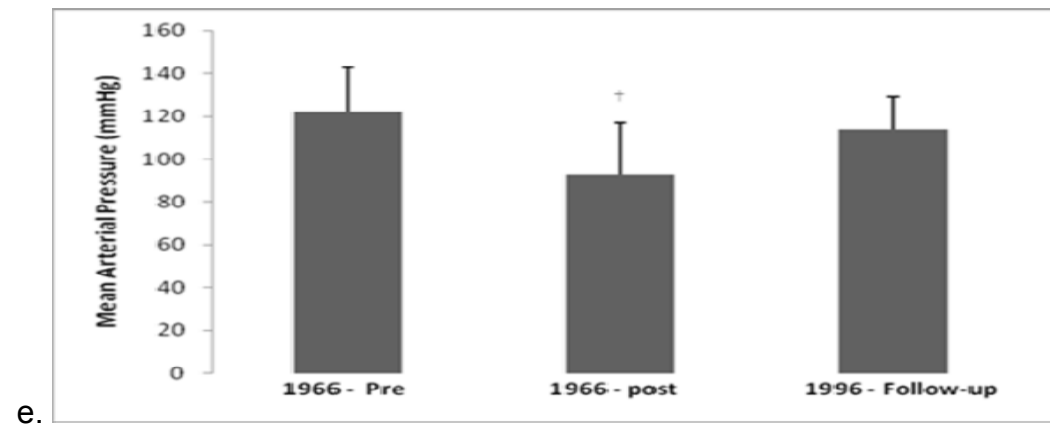
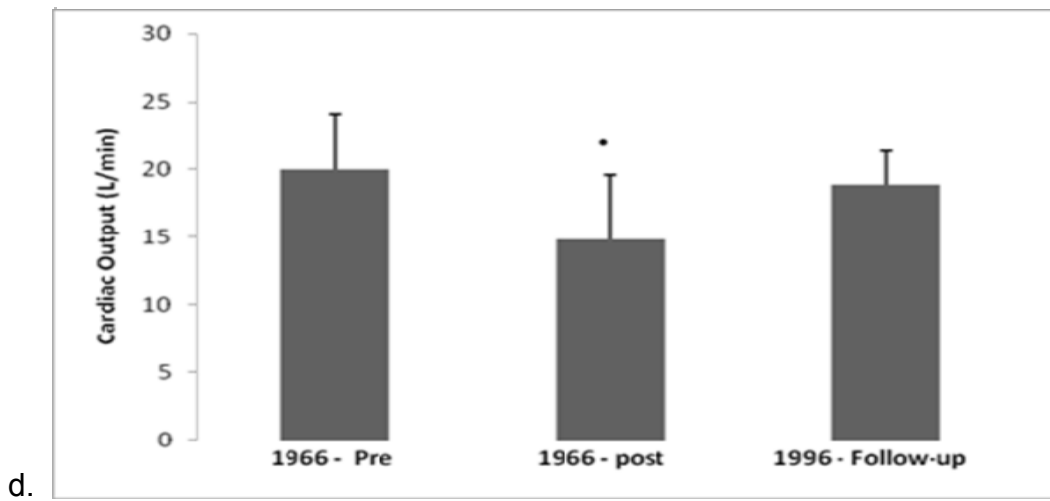
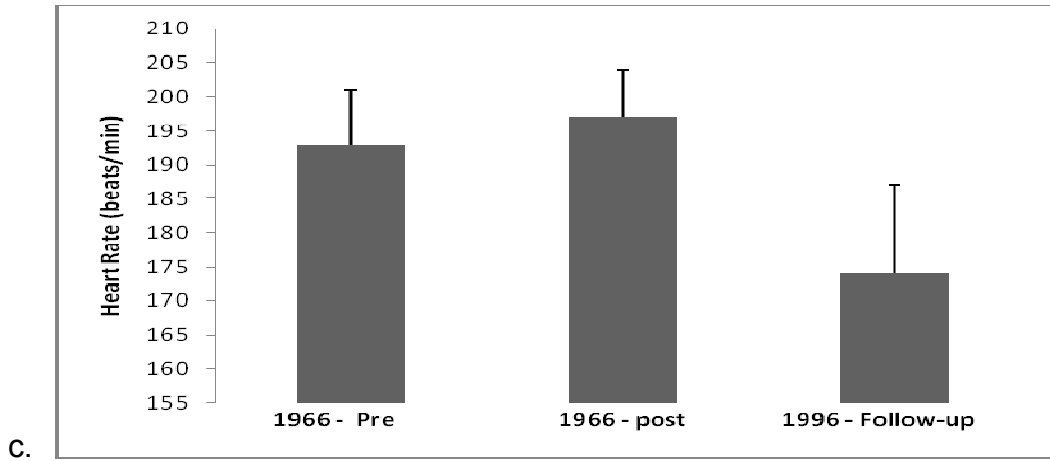
### ***2.5 Reduced Exercise Capacity: Role of Aging***

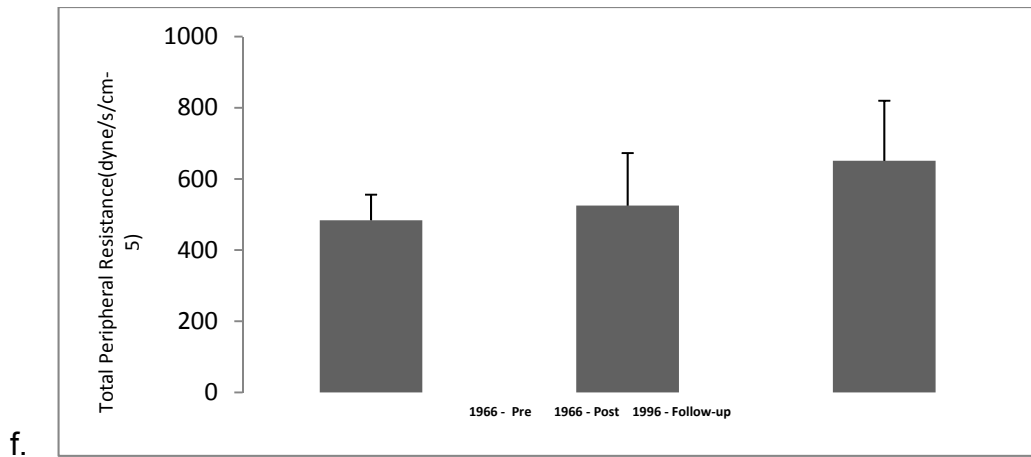
The reduced  $VO_{2peak}$  in KTR may also be the result of aging. Specifically,  $VO_{2peak}$  decreases by 10% per decade after the age of 25 years [112-114]. Similar to sedentary lifestyle, the reduced  $VO_{2peak}$  associated with sedentary aging is related to a reduction in cardiac output [57, 67, 68] secondary to a lower peak heart rate and low stroke volume. Specifically, peak heart declines in early adulthood at a rate of 1  $beat \cdot year^{-1}$  in sedentary, healthy individuals [68, 115]. For example, Ogawa et al. [68] compared cardiac function of sedentary and endurance trained older men and women to that of activity matched younger

individuals. Cardiac output in the older subjects versus younger was lower as a result of a lower peak heart rate and stroke volume. Notably, the decreased stroke volume accounted for nearly 50% of the age related differences in exercise capacity.

**Figure 2: The effects of bedrest and 30 years of aging on peak cardiovascular measurements**







Adapted from published data from Saltin et al. [55] and McGuire et al.[116].

† significant difference vs 1966 Baseline ( $p < 0.01$ )

• significant difference vs 1966 Baseline ( $p < 0.05$ )



The underlying mechanism for the reduced stroke volume with sedentary aging may be due to altered preload, vascular or contractile reserve. For example, Arbab-Zadeh et al. [56] reported that reductions in LV compliance in younger sedentary versus older sedentary individuals was associated with an increase in ventricular wall thickness in the latter group [117]. Further, age mediated structural changes in the vascular system results in an increase in vascular resistance and arterial pressure (ie. increased afterload) [56, 71, 76]. Finally, age-mediated decline in myocardial contractility also decreases exercise stroke volume [67].

Similar to an inactive lifestyle, aging results in decreased skeletal muscle capillary [101-103] and mitochondrial volume density [105, 106] resulting in reduced  $a-vO_{2diff}$  and exercise capacity [57, 58, 68, 75]. As well, reductions in muscle strength [89-91], muscle mass [95] and a decrease in type 2 muscle fiber size [99, 100] further limit exercise capacity in the aging population. Similar to deconditioning, sedentary aging is associated with impaired skeletal muscle morphology and function (ie. decreased capillary density, mitochondria, muscle mass and oxidative enzymes) that reduces peak exercise  $VO_2$ .

Aging and inactivity play a role in reduced peak exercise capacity in the general population. Though the mechanisms may differ, the resulting decrease in peak exercise capacity is similar. Given that KTR are often

sedentary and older, the reduced exercise capacity in this population may be due, in part, to these a sedentary lifestyle and the aging process.

### ***2.6 Reduced Exercise Capacity in End Stage Renal Disease and Kidney Transplant***

The reduction in exercise capacity in KTR may be the result of the deleterious effects of ESRD that persist after surgery. Indeed, ESRD patients have lower fitness levels compared to age-matched sedentary individuals [15, 59-65] as their peak exercise capacity has been reported to be between 62% and 68% of age predicted values [62, 65].

Specifically, this reduction in  $VO_{2peak}$  is due to a decreased peak cardiac output secondary to a lower peak heart rate [61-65, 69]. The reduced cardiac function may be due to uremic cardiomyopathy [118, 119] or autonomic dysfunction [120, 121] attributed to the uremic state.

Currently, the effect of exercise on cardiac function in ESRD has not been well documented. Moore et al. [59], examined the effects of acute exercise on LV function in 10 ESRD patients compared to age and gender matched predicted values. Although a reduction in peak heart rate was observed, no difference was reported in  $SV_{peak}$  between study subjects and previously published data of healthy controls. These findings contrast more recent studies that reported higher SV in ESRD patients during acute exercise compared to healthy controls [62, 65]. Specifically, Painter et al. [62] compared exercise cardiac function between ESRD

patients and healthy controls. While there was no significant difference in peak cardiac output ( $Q_{\text{peak}}$ ), the ESRD patients were reported to have a higher  $SV_{\text{peak}}$  which compensated for the lower peak heart rate. Although not measured by Painter et al. [62] ESV and EDV have been measured in ESRD. Specifically, Deligiannis et al. [65] reported that ESRD have an increased exercising EDV and ESV compared to sedentary, healthy controls. As such, the increase in SV observed in ESRD is likely due to the higher EDV observed in this group of patients.

The reason for the increase in  $SV_{\text{peak}}$  in ESRD is likely due to greater exercise EDV [65]. Further, the increase in EDV is the likely outcome of increased extracellular or blood volume that is a hallmark of ESRD [122, 123]. Indeed, fluid retention and the development of volume overload are an expected consequence resulting from loss of renal function [28]. Also, in the presence of an arteriovenous fistula in individuals undergoing hemodialysis there may be additional contributions to the increase in volume overload [28].

The increase in ESV is secondary to a decrease in contractility [124] and / or an increase in afterload [83, 84, 125], both of which have been reported in individuals with ESRD. Individuals with renal failure and uremic patients have less compliant large arteries (including the aorta) [84] than healthy aged matched controls. This contributes to the increased afterload and concomitant increase in ESV [83, 84, 125]. The

increase stiffness found in large arteries in this population is due to arterial calcifications [84, 125] which increase in presence with advancing age and length of time on pre-transplant dialysis. Abnormalities in myocardial contractility may also impair exercise ESV. Zoccali et al. [124] reported on the effect of ESRD on 254 asymptomatic individuals undergoing dialysis. A key finding of this study was that the presence of LV dysfunction in 26% of this population. Further, reduced fractional shortening and lower EF was not only common in ESRD but those with the lowest score were at the greatest risk for cardiovascular events. More recently, Painter et al. [62] reported similar outcomes as patient with ESRD had lower EF than healthy controls. As such, the reduced contractility present in ESRD may be a contributing factor in the reduction of exercise capacity in this group.

### ***2.7 Reduced Exercise Capacity in Kidney Transplant Recipients***

Kidney transplantation as a means of renal replacement therapy has been demonstrated to have favourable effects on peak exercise capacities for ESRD [62, 63]. In fact, although some KTR may achieve a level of fitness similar to those of endurance trained subjects [126], the peak exercise capacity in this population does not generally approach those of age matched sedentary individuals [63, 64]. The improvements in exercise capacity as a result of kidney transplantation arise from an increase in peak cardiac output that is the result of increases in peak HR [62, 63, 127]. These increases in peak heart rate are due to removal of

the uremic state[63, 104], as improvement in changes to  $SV_{\text{peak}}$  have not been observed in the period after transplantation [62]. Specifically, Painter et al. [62] measured peak exercise heart function in 5 KTR (6 months post transplant) and compared the results to healthy controls. The authors reported that the increase in  $VO_{2\text{peak}}$  observed in this population was attributed to an increased  $HR_{\text{peak}}$ .

Other central and peripheral limitations may also contribute to reductions in exercise capacity in KTR. Although there is an improvement in hemoglobin levels post-transplant [62], there is not a concomitant widening of peak  $a\text{-}vO_{2\text{dif}}$  [62, 63] indicating a peripheral limitation likely resulting from unresolved declines in muscle function occurring during the pre-transplant period or as a result of anti-rejection therapy. Indeed, Richard et al. reported on a group of well trained KTR compared to age and physical activity matched healthy individuals [126] and determined that while  $VO_{2\text{peak}}$  was similar between groups, peripheral limitations affected the mechanical efficiency (based on  $VO_2$  versus treadmill speed) of the KTR suggesting this peripheral limitation.

Anti-rejection medication may also play a role in this muscle dysfunction as corticosteroids, a commonly used anti-rejection medication, has been shown to have a negative effect on the muscle function [128]. Further, early reduction of corticosteroids after transplantation improves muscle structure [129]. Finally, the reduction in exercise capacity in KTR

may be the result of unfavorable fiber type distribution. Indeed, Kempeneers et al. [64] reported that their sub-group of the study subjects with,  $VO_{2peak}$  values averaging  $40.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ , presented with a preponderance of type 2 muscle fibers, opposite of what would be expected in healthy subjects.

In summary, exercise tolerance is reduced in KTR compared to sedentary individuals [62-64]. Reasons for this reduction may stem from the normal aging process, inactivity and sedentary lifestyle resulting from the lifestyle of ESRD and hemodialysis, or as result of uremia and its effect on the heart and muscle pre transplant. Regardless of the reasons for the decline, improvements in exercise capacity should be a primary consideration for this population.

### ***2.8 Benefits of exercise training in Kidney Transplant Recipients***

The role of regular exercise training to improve  $VO_{2peak}$  and its determinants in KTR has not been well studied. The few studies performed to date report positive results (Table 2). In an early investigation, Miller et al. [127], found that 6 weeks of supervised exercise training (initiated shortly after transplantation) in a group of 10 KTR resulted in a significant increase (90%) in peak METS. Similarly, Kempeneers et al. [64] found that 6 months of supervised aerobic training increased  $VO_{2peak}$  (23%) and isokinetic hamstring and quadriceps muscle

power (45% and 22% respectively) in 16 KTR (mean time from transplant = 62 months).

In a randomized exercise trial, Painter et al. [42] reported on the effects of individualized home exercise training versus usual care in 140 (control = 43, exercise = 97) KTR with  $VO_{2peak}$ , muscle strength and body composition follow-up assessments at 6 months and 1 year. Both the control and exercise group in this study realized a similar increase (15%) in  $VO_{2peak}$  after 6 months. However, over the course of 12 months home based exercise trained group attained significant increases in  $VO_{2peak}$  and muscular strength (25% and 30% respectively, compared to baseline) with no significant improvement in lean body mass or fat mass as measured by dual energy X-ray absorptiometry scans. Similar positive findings showing improved  $VO_{2peak}$  (10%), muscular strength (range: 10 – 30%) with no improvement in body composition were reported after 6 months of exercise training in 33 KTR (mean time post transplant = 84 months) by van den Ham et al. [130]. Notably, the improvements in muscle strength and exercise capacity were similar between KTR and healthy control subjects.

**Table 2: Review of Role of Exercise Training on KTR in Exercise Studies and Outcomes**

Study, Year	Study Sample Time Post-Transplant	Sample Size	Men, %	Mean age (yrs)	Duration of intervention (months)	Training Variables (FITT)	Outcomes	Result
Miller et al., 1987 [127]	Baseline (mean = 17 days post transplant)	10	60	Not Reported	1.3	F: 3 / week I: 40% - 60% peak exercise capacity T: 10 to 40	METS <sub>peak</sub> , HR <sub>peak</sub>	†† METS <sub>peak</sub> (90%), † †HR <sub>peak</sub> (12%)



						minutes Ty: Walking		
Kempenee rs et al., 1990 [64]	Baseline Mean = 62 months post transplant	16	56	33	6	F: 3 / week I: 80 – 90% HR <sub>max</sub> T: 60 minutes Ty: aerobic training	VO <sub>2peak</sub> , Leg power	* ↑VO <sub>2peak</sub> (23%), ↑ isokinetic Leg power - † Quadriceps (22%) - † Hamstring (45%)

Painter et al., 2002 [42]	Baseline one month post transplant	97 KTR  CON = 43	62	Con = 44  UC = 40	Follow up at 6 and 12 months	F: 4 / week  I: 60 – 80%  HR <sub>peak</sub>  T: > 30 min / session  Ty: walking, CE	VO <sub>2</sub> peak, muscular strength, body composition	† † VO <sub>2peak</sub> at 6 (15%) and 12 months (25%)  † † muscle strength at 12 months (30%)
Juskowa et al. 2006 [131]	2-3 days post transplant	69 KTR (32 ET)	61	46	1.25	F: EOD  I: NR  T: 30 minutes  Ty: strength	Hcy, Il-18, and relation to muscle strength	† Inverse relation between Hcy, Il- 18 and muscular strength of right upper limb

						training		
Van den Ham et al., 2007 [130]	Mean time from transplant 84 months	33	55	52	3	F:2 / week  I:50 – 70%  W <sub>peak</sub> ,50-70%  1RM  T: NR  Ty: TM and CE	VO <sub>2</sub> peak,  Muscular Strength,  LBM	* ↑VO <sub>2</sub> peak (10%)  † ↑muscle strength (10-3- %),  * ↑ LBM (males only)
Romano et al., 2009	(65 ± 25 months) post-transplant	8	50	52	2.5	F:3 / week  I: 90% HR <sub>peak</sub> and 50%	Body Composition, VO <sub>2</sub> peak	* ↑ VO <sub>2</sub> peak (13%)

						$HR_{peak}$  T: 15 x 1 minute at 90%, 1 minute @ 50%.  Ty: CE, Interval		
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CE = Cycle Ergometry, EOD = Every other day, ET = Exercise trained, F = Frquency, Hcy = Homocystein , HR = Heart Rate, I = Intensity, Il = Interleukin, LBM = Lean body mass, METS = Metabolic Equivalent, NR = Not reported, T = Time, TM = Treadmill, Ty = Type, W = Watts.

† P < 0.01; \* P < 0.05; ‡ P < 0.001

Romano et al. [132] recently examined the effect of interval training on  $VO_{2peak}$ , leg power and fat mass and lean body mass. In this study of 8 KTR (mean time post transplant = 65 months) which lasted 2.5 months, interval training significantly improved  $VO_{2peak}$  (13%). Further, this study found that the exercise training contributed to an increase in quality of life, as measure by the SF-36, for the subjects participating. Notably, this study also reported that exercise training of this type also reduces the level of Interleukin-6 (IL-6), a pro-inflammatory cytokine, in KTR.

Other studies have also investigated the effects of exercise training on CVD risk factors in KTR. In a sub-analysis of a previous study [42] Painter et al. [133] reported on the effects of an individualized, home based exercise program on CVD risk factors. The results of this study showed that home based exercise did not reduce the 10-year risk for CVD in KTR. Juskowa et al. [131] detailed the effects of exercise training shortly after kidney transplantation on a 69 KTR randomly assigned to exercise training or UC. The authors reported improvement in Homocystein and Interleukin-18 levels were inversely related to muscular strength, though exercise training did not have a significant effect on the reduction of these inflammatory markers when compared to usual care.

While the effect of exercise training on KTR has been studied, the reported literature investigating these effects is not without limitations. Few trials were randomized, compliance in the Painter et al.[42, 133] studies

were not well maintained as evident by the finding that at 1 year follow-up 67% of the exercise group was considered active while 36% of the control group met the same criteria. Drop-out rates for the studies were also high as of the 167 subjects that started the study, only 57% completed the subsequent testing sessions. While these studies have shown positive effects on exercise capacity post-kidney transplantation and a positive effect of exercise on KTR [64, 127, 132], they have lacked sufficient sample sizes or control groups. Further, while there have been reported improvements in exercise capacity, the cardiovascular mechanisms for this improvement has not been elucidated [42, 127, 131, 132]. Accordingly, these limitations form the basis of this thesis.

## Hypothesis

The primary hypothesis of this study is that twelve weeks of supervised exercise training will significantly improve  $VO_{2\text{peak}}$  compared to usual care. A secondary hypothesis is that supervised exercise training will improve, large and small arterial compliance, lower body muscular strength, lean body mass, cardiovascular disease risk score and quality of life compared to usual care.

## Methods



### **3.1 Subjects**

Ethics approval for this study was obtained from the University of Alberta's Biomedical Board. Subjects were recruited from the University of Alberta's Renal Transplant Clinic (RTC) between June, 2006 and October, 2008. Participants were prescreened by the nursing staff at the RTC to determine their eligibility for the study before being referred for initial contact.

Inclusion criteria for the study included:

- 1) clinically stable kidney transplant recipients  $\geq 18$  years of age.
- 2)  $\geq 6$  months post transplant.

Participants were excluded if they had:

- 1) uncontrolled hypertension (systolic blood pressure  $> 180$  mmHg and/or diastolic blood pressure  $> 110$  mmHg).
- 2) type 1 diabetes mellitus.
- 3) any other condition that would limit exercise testing or training.

### **3.2 Baseline Assessments**

The following baseline tests were performed over a 1 week period (Figure 3).

## **1) Incremental Exercise Test with Expired Gas Analysis and Impedance Cardiography**

The incremental exercise test was performed on an electronically braked cycle ergometer (Lode Medical Technologies, Groningen, NL) during which time expired gases were collected with a commercially available metabolic measurement system (Parvo-Medics, Salt Lake City, Utah). After collecting two minutes of resting data, the initial workload was set at 15 watts and increased by 15 watts every two minutes until volitional fatigue. Stroke volume (SV) was measured with impedance cardiography (Minnesota Impedance Cardiograph, model 304B; Surcom Inc., Minneapolis MN) in conjunction with a phonocardiogram (Hewlett Packard, model 21050A). Stroke volume was calculated during 20 second sampling periods at the end of each 2 minute exercise stage using Bernstein's equation[134]. Heart rate (HR) and 12 lead ECG waveforms were continuously monitored by a cardiologist for signs of ischemia or dysrhythmia and recorded. Systolic and diastolic blood pressures were obtained manually and recorded every two-minutes. The highest  $VO_2$  measured during a 1 minute period was considered to be the peak score.

## **2) Small and large arterial compliance**

Resting small and large arterial compliance were assessed using computerized arterial pulse waveform analysis (Hypertension Diagnostics, Eagan, MN ). This technique involves the use of the applanation tonometry, which requires the placement of a pressure transducer over

the radial artery. The analysis of the 30 second signal averaged arterial pulse wave forms were performed by the tonometry unit and arterial compliance of large and small artery were derived from the analysis of the diastolic waveform decay using the modified Windkessel model of circulation. This technique has been validated previously with arterial waveform measures [76]. Modification of this technique was occasionally required as normally the blood pressure cuff and the surface residing transducer are placed on different arms. For individuals with an arterio-venous fistula the same, non-fistula arm, was used with both devices. This modified procedure has been shown to give similar results as the method normally used in applanation tonometry [135]

### **3) Lower Extremity Maximal Muscular Strength**

Leg-press, leg extension and leg-curl maximal muscular strength were assessed using the one-repetition maximum (RM) test. Specifically, after a brief, low intensity, warm-up on a cycle ergometer the subjects had their standardized joint angle starting points determined. The starting point for the leg press was set with the knee joint at 90 degrees, measured with a goniometer. The starting positions for the leg extension and leg curl assessments were set as close to 90 degrees and 180 degrees, respectively, as the resistance machine would allow. The subjects were then familiarized with the testing maneuvers by performing 2 sub-maximal, multi-repetition lifts. Subsequently, heavier single lifts followed a brief rest period (3-5 minutes) between attempts. The greatest single load lifted by

the subject while adhering to strict form and moving through the entire range of motion was considered their 1 repetition maximum.

#### **4) Anthropometry and Lean Body Mass**

Lean body mass was estimated using skinfold measurements.

Specifically, the skinfold sites for women included triceps, suprailiac, abdominal and thigh in accordance with the Jackson et al. equation [136].

Male body density was determined using the Jackson and Pollock equation [137] which required measurement of the chest, abdomen and thigh skinfolds. Body density was used to estimate body fat percent using the Brozek equation [138] and lean body mass was then determined.

Subject's with a Body Mass Index (BMI) of greater than 30 kg/m<sup>2</sup> were not included in the lean body mass data as skinfolds in this population have been reported to be an unreliable means of assessing body density [139]

#### **5) 24 Hour Ambulatory Blood Pressure Monitoring**

Twenty-four hour blood pressure monitoring was assessed with the use of the Spacelab 90207 ambulatory blood pressure monitor (Spacelabs Healthcare, Issaquah, Washington, United States). To maximize patient comfort the monitor was programmed to record blood pressures every 30 minutes during daytime hours (0600hrs to 2200hrs) and every 1 hour during evening hours (2200hrs to 0600hrs). Average daytime, evening and total blood pressures were reported via the Spacelabs Healthcare proprietary software.

## **6 ) Cardiovascular Disease Risk Score**

Coronary heart disease 10 year risk score was estimated using the Framingham Risk Assessment. Scoring of this assessment has been reported elsewhere [140]. Briefly, points are allotted to increasing degrees of coronary heart disease (CHD) risk factors, including; age (years), total cholesterol ( $\text{mmol}\cdot\text{L}^{-1}$ ), HDL cholesterol ( $\text{mmol}\cdot\text{L}^{-1}$ ), systolic blood pressure (mmHg, taken from resting arterial compliance measurement), presence of diabetes and current smoking history. Values were presented as estimated 10 year risk of developing CHD. Subjects who did not have a recent (< 3 months) fasting total (TC) or HDL cholesterol measurement were required to fast for 12 hours (8 hours for type 2 diabetics) prior to having a small sample of blood drawn for assessment of their fasting TC and HDL cholesterol levels.

## **7) Health Related Quality of Life**

Quality of life was assessed with the Short Form 36 (SF-36) [141]. This multi-purpose 36 item questionnaire was used to determine 8 subscales (physical functioning, role physical, bodily pain, general health, vitality, social functioning, role emotional and mental health) of health. These subscales were also used to determine a physical and mental component summary score.

### **3.3 Statistical considerations**

Statistical analyses were performed using MedCalc for Windows, version 9.5.0.0 (MedCalc Software, Mariakerke, Belgium). Baseline measures between usual care (UC) and exercise trained (ET) were compared using Student T tests. Categorical data was compared for differences with the Chi Squared test. Following 12 weeks of UC or ET the change in outcome measures were assessed for similarity using Levene's Test for Equality of Variance. A one way analysis of variance was used to assess the change in homogeneous groups and Kruskal-Wallis test was used for groups with significant variance. Statistical significance was achieved if a P value of < 0.05 was attained. For the primary outcome ( $VO_{2peak}$ ), in the absence of a follow-up measurement the initial assessment was carried forward as the final measure and used in the analysis. In the absence of secondary follow-up outcomes pre data was not used in the analysis.

### **3.4 Sample size, randomization and blinding**

The sample size calculation was based on the primary outcome ( $VO_{2peak}$ ). Based on the findings of Kempeneers et al. [64] an 8mL/kg/min increase in  $VO_{2peak}$  was expected with no change in UC. Assuming an attrition rate of 10% and  $\alpha$ -level = 0.05, and 80% power, a total of 30 subjects (15 per group) were required for this study.

After completing the baseline evaluations the subjects were randomly assigned to UC or ET using computer generated random

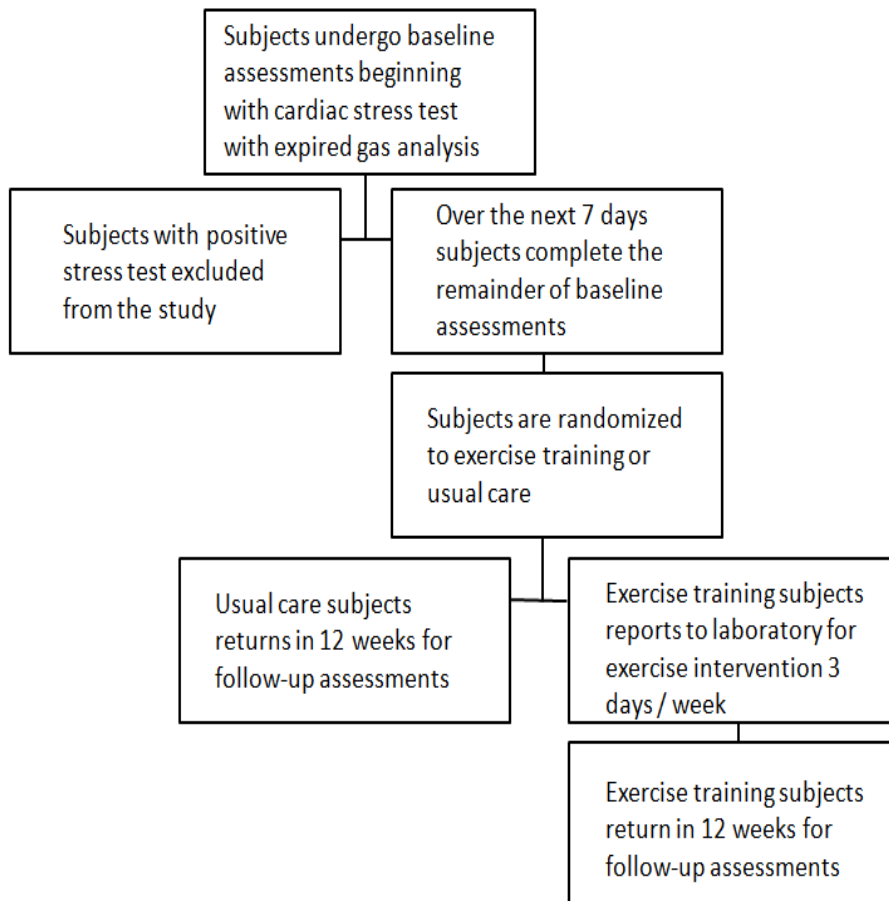
number sequences, the results of which were enclosed in sealed envelopes provided by the Epidemiology Coordinating Research Centre , University of Alberta ([www.epicore.ualberta.ca](http://www.epicore.ualberta.ca)). The researches were blinded as to the contents of these envelopes.

### ***3.5 Exercise Intervention***

The 12-week exercise intervention consisted of aerobic (3 days/week) and supplemental resistance training (2 days/week) exercise sessions.

Aerobic training was performed on a cycle ergometer and motorized treadmill at an exercise intensity between 60% to 80%  $VO_{2peak}$  for 30 to 60 minutes per session. Subject accumulated the minimal amount of exercise through shorter intervals if they were unable to complete a continuous 30 minute exercise bout. Lower extremity resistance training was performed at 50% of one RM for 2 sets of 10-15 repetitions with a warm up set of 25%-30% of 1RM. The intensity was gradually increased over the 12 weeks by 5%-10% determined by when the subjects could complete 2 sets of 15 repetitions while adhering to strict technique.

**Figure 3: Flow of subjects through study from recruitment to completion.**





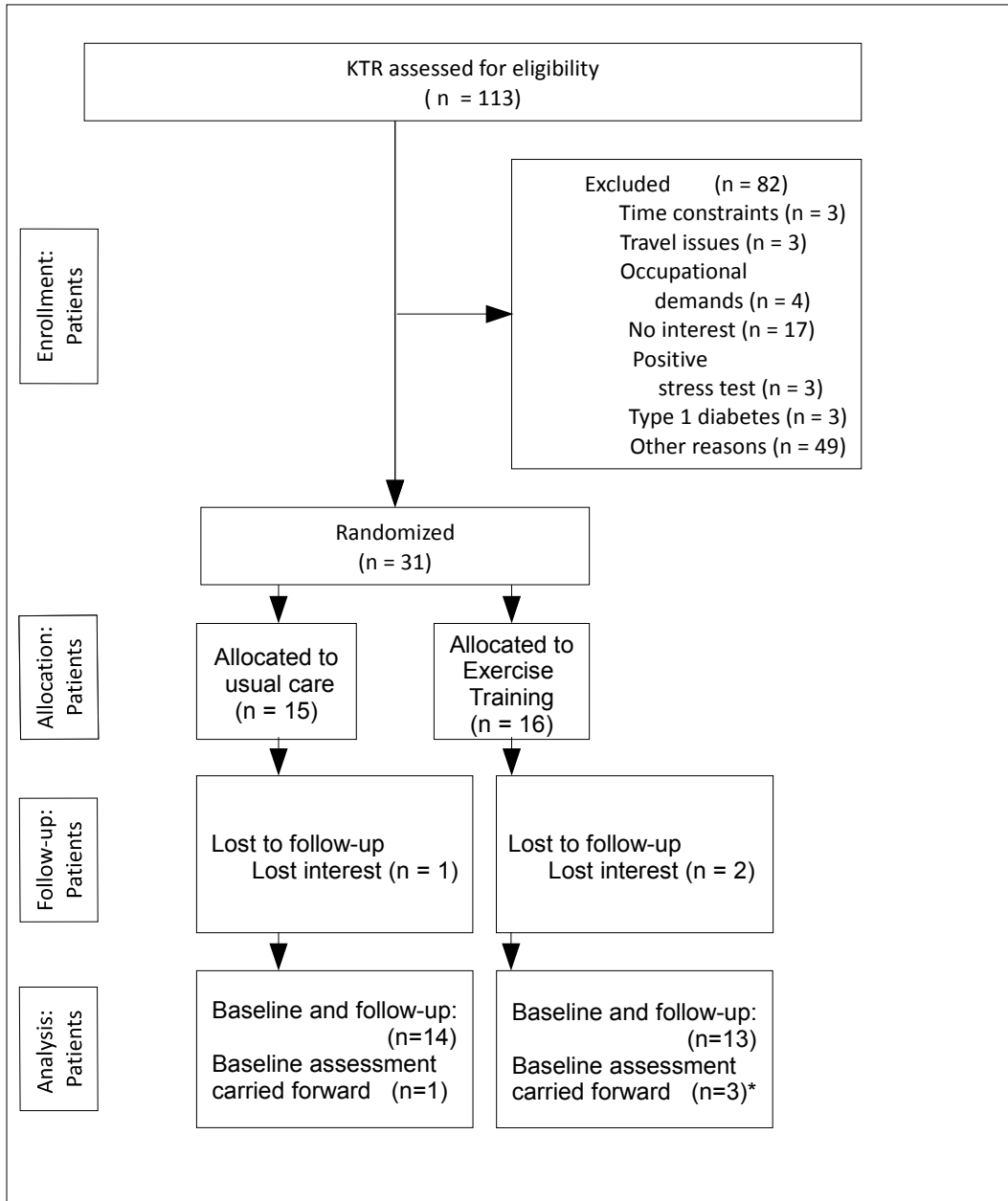
## Results

## **4.1 Baseline**

### **Participant Characteristics**

One hundred and thirteen participants were eligible for the study of which 37 performed baseline testing (Figure 4). Six participants were excluded at baseline due to history of type I diabetes (n=3) or a positive stress test (n=3). Accordingly, 31 participants were randomly assigned to ET (n=16) or UC (n=15). Three subjects did not complete the study (1 UC requested not to perform follow-up testing; 2 ET did not attend any exercise sessions or follow-up test assessments).

**Figure 4: Flow of participants through the study for the primary outcome ( $VO_{2peak}$ )**



(\*Baseline assessment carried forward includes one subject who completed the study but did not complete follow-up primary outcome measure for medical reasons)

Baseline participant characteristics are shown in Table 3. No significant difference was found between groups for age (ET:  $56.9 \pm 12.2$  yrs vs. UC:  $52.4 \pm 14.3$  yrs), height (ET:  $166.6 \pm 6.3$  cm vs. UC:  $164.3 \pm 6.6$  cm), body mass (ET:  $79.5 \pm 16.2$  kg. vs. UC:  $75.7 \pm 17.0$  kg) or time from transplant (ET:  $6.4 \pm 4.1$  yrs vs. UC:  $9.1 \pm 8.8$  yrs). Further, no difference was found between groups for gender, pre-transplant kidney failure etiology or transplant donor type. Except for A2 receptor agonists, no differences were found between groups for cardiovascular or anti-rejection medications (Table 4).

**Table 3: Baseline participant characteristics**

	UC (n=15)	ET (n=16)
Age (years)	52.4 ± 14.3	56.9 ± 12.2
Gender (m/f)	6 / 9	8 / 8
Time post transplant(years)	9.1 ± 8.8	6.4 ± 4.1
<b>Kidney Donor Type</b>		
Living Donor - Related	6	6
Living Donor - Unrelated	0	1
Deceased Donor	9	9
<b>Etiology of Kidney Failure</b>		
Polycystic	4	4
IgA Nephropathy	1	4
Glomerulonephritis	2	3
Pyelonephritis	2	1
Sarcoidosis	0	2
Alports Syndrome	1	0
Diabetes (type II)	1	0
Ureter Reflux	1	0
Unknown	3	2

\* P < 0.05 vs. UC, data are mean ± SD or as number of subjects

Table 4: Baseline Cardiovascular and Anti-Rejection Medications

<b>Medications</b>		
<b>Cardiovascular Medications</b>		
	UC (n=15)	ET (n=16)
Beta Blocker	4	5
ACE Inhibitor	5	5
Angiotensin2 Receptor Blocker	0	7*
ASA	2	3
Statin	9	9
Diuretic	2	4
<b>Anti-rejection medications</b>		
Imurane	1	2
Prednisone	6	8
Sirolimus	1	1
Cyclosporin	9	8
Mycophenolate	11	12
Mofetil		
Tacrolimus	4	8

\* P < 0.05 vs. UC, data are presented as number of subjects (n)

### **Exercise Adherence and Training Intensity**

Exercise trained subjects completed the study attended  $81 \pm 31\%$  of their scheduled exercise training sessions at an average intensity of  $85 \pm 1\%$  of baseline peak heart rate. Lower body resistance training intensities averaged 2 sets of 10 reps at  $61 \pm 7\%$  of baseline one-repetition maximum.

### **Baseline Rest and Peak Exercise Cardiopulmonary Performance**

No significant difference was found between groups for resting HR (ET:  $64.9 \pm 11.8$  beats·min<sup>-1</sup> vs. UC:  $66.4 \pm 8.8$  beats·min<sup>-1</sup>), SBP (ET:  $130.4 \pm 12.2$  mmHg vs. UC:  $137.3 \pm 18.0$  mmHg), DBP (ET:  $76.0 \pm 7.4$  mmHg vs. UC:  $76.6 \pm 8.9$  mmHg), MAP (ET:  $97.4 \pm 8.8$  mmHg vs. UC:  $99.5 \pm 14.2$  mmHg), SVR (ET:  $1713.0 \pm 681.7$  dyn·s·cm<sup>-5</sup> vs. UC:  $1739.1 \pm 407.0$  dyn·s·cm<sup>-5</sup>), SV (ET:  $60.7 \pm 11.0$  ml vs. UC:  $64.4 \pm 14.3$  ml),  $Q_{rest}$  (ET:  $5.3 \pm 1.6$  L·min<sup>-1</sup> vs. UC:  $4.9 \pm 1.2$  L·min<sup>-1</sup>), a-vO<sub>2</sub> diff (ET:  $6.9 \pm 2.5$  ml·dl<sup>-1</sup> vs. UC:  $6.0 \pm 1.5$  ml·dl<sup>-1</sup>), VO<sub>2</sub> (ET:  $0.32 \pm 0.08$  L·min<sup>-1</sup> vs. UC:  $0.29 \pm 0.09$  L·min<sup>-1</sup>) or VO<sub>2</sub> indexed to body mass (ET:  $4.0 \pm 0.9$  ml·kg<sup>-1</sup>·min<sup>-1</sup> vs. UC:  $3.9 \pm 1.0$  mL·kg<sup>-1</sup>·min<sup>-1</sup>).

Peak exercise HR (ET:  $133.5 \pm 34.3$  beats·min<sup>-1</sup> vs. UC:  $137.3 \pm 28.0$  beats·min<sup>-1</sup>), SBP (ET:  $196.2 \pm 25.6$  mmHg vs. UC:  $198.9 \pm 17.8$  mmHg), DBP (ET:  $85.1 \pm 17.9$  mmHg vs. UC:  $82.4 \pm 13.3$  mmHg), MAP (ET:  $122.2 \pm 17.0$  mmHg vs. UC:  $121.2 \pm 10.4$  mmHg), SV (ET:  $84.0 \pm 16.8$  ml·beat<sup>-1</sup> vs. UC:  $84.7 \pm 20.8$  ml·beat<sup>-1</sup>), Q (ET:  $12.3 \pm 4.3$  mmHg vs.

UC:  $12.1 \pm 4.2$  mmHg), a-vO<sub>2</sub> diff (ET:  $13.1 \pm 3.7$  ml·dl<sup>-1</sup> vs. UC:  $13.4 \pm 4.1$  ml·dl<sup>-1</sup>), SVR (ET:  $905.3 \pm 370.3$  dyn·s<sup>-1</sup>cm<sup>-5</sup> vs. UC:  $939.6 \pm 432.9$  dyn·s<sup>-1</sup>·cm<sup>-5</sup>) and VO<sub>2</sub> (ET:  $1.6 \pm 0.7$  L·min<sup>-1</sup> vs. UC:  $1.5 \pm 0.6$  L·min<sup>-1</sup> and ET:  $19.9 \pm 22.5$  mL·kg<sup>-1</sup>·min<sup>-1</sup> vs. UC:  $21.3 \pm 9.7$  mL·kg<sup>-1</sup>·min<sup>-1</sup>) were not significantly different between the groups at baseline.

### **Resting Arterial Compliance and 24 Hour Blood Pressure**

Resting small (ET:  $5.2 \pm 3.0$  ml/mmHg x 100 vs. UC:  $4.4 \pm 1.6$  ml/mmHg x 100) and large (ET:  $13.9 \pm 3.9$  ml/mmHg x 10 vs. UC:  $12.9 \pm 3.9$  ml/mmHg x 10) artery compliance and 24 hour SBP (ET:  $121.8 \pm 8.5$  mmHg vs. UC:  $128.6 \pm 12.7$  mmHg) and DBP (ET:  $75.0 \pm 6.1$  mmHg vs. UC:  $71.5 \pm 8.1$  mmHg) were not different between groups at baseline.

### **Lower Extremity Maximal Muscular Strength**

No significant difference was found between groups for the leg press (ET:  $204.3 \pm 88.8$  kg vs. UC:  $167.5 \pm 70.1$  kg), leg extension (ET:  $42.2 \pm 19.2$  kg vs. UC:  $39.8 \pm 15.6$  kg) or leg curl (ET:  $28.8 \pm 16.4$  kg vs. UC:  $30.1 \pm 17.0$  kg) measures of lower body maximal strength.

### **Lean Body Mass**

No significant difference was found for lean body mass between groups (ET:  $55.4 \pm 11.0$  kg vs. UC:  $51.2 \pm 9.7$  kg).



## **Health Related Quality of Life**

Except for the Social Function (ET:  $71.1 \pm 26.1$  vs. UC:  $87.5 \pm 17.0$ ) subscale, no significant difference was found between groups for health related quality of life measures (Table 5).

**Table 5: Baseline comparison of Quality of Life**

Domain	Usual care	Exercise Trained
Physical Function	69.0 ± 28.3	71.6 ± 24.6
Role Physical	70.0 ± 44.5	75.0 ± 38.7
Body Pain	67.0 ± 24.8	76.4 ± 58.6
General Health	64.4 ± 22.9	61.8 ± 20.4
Vitality	69.7 ± 17.8	56.9 ± 22.2
Social Function	87.5 ± 17.0	71.1 ± 26.1*
Role Emotional	86.7 ± 35.2	83.3 ± 29.8
Mental Health	81.3 ± 10.1	79.8 ± 12.4
Physical Composite Score	68.0 ± 21.3	67.5 ± 20.0
Mental Composite Score	77.9 ± 16.0	70.6 ± 16.0
Total SF-36 Composite Score	74.4 ± 18.9	71.4 ± 16.4

\* P < 0.05 vs. UC, data presented as mean ± SD

### **Cardiovascular Disease Risk Score**

No differences were found between the groups for Framingham risk score (ET: 6.0 ± 7.4% vs. UC: 6.6 ± 7.3%).

## **4.2 Post 12 week intervention**

### **Effects of ET versus UC on Resting Cardiopulmonary Performance**

No significant difference was found between groups for change in resting HR (ET:  $0.5 \pm 6.3$  beats/min vs. UC:  $-0.1 \pm 7.2$  beats/min,  $P = 0.815$ ), SBP (ET:  $-2.1 \pm 13.3$  mmHg vs. UC:  $-4.3 \pm 21.2$  mmHg,  $P = 0.745$ ), DBP (ET:  $1.2 \pm 9.0$  mmHg vs. UC:  $-3.0 \pm 8.9$  mmHg,  $P = 0.225$ ), MAP (ET:  $-1.4 \pm 11.3$  mmHg vs. UC:  $-2.1 \pm 15.6$  mmHg,  $P = 0.905$ ), SVR (ET:  $-7.9 \pm 848.5$  dyn·s·cm<sup>-5</sup> vs. UC:  $168.3 \pm 506.2$  dyn·s·cm<sup>-5</sup>,  $P = 0.585$ ), Q (ET:  $-0.3 \pm 1.4$  L/min vs. UC:  $-0.3 \pm 1.3$  L/min,  $P = 0.953$ ) after 12 weeks of UC or ET.

### **Effects of ET versus UC on Peak Exercise and Cardiopulmonary Performance**

No significant difference was found between groups for change in peak exercise SBP, DBP, MAP or SVR. The changes in HR<sub>peak</sub>, Q<sub>peak</sub>, VCO<sub>2peak</sub>, absolute VO<sub>2peak</sub> (L·min<sup>-1</sup>) (Figure 5), and VO<sub>2peak</sub> indexed to body mass was significantly greater after 12 weeks of ET compared to UC (Table 6).

### **Effect of ET versus UC on arterial compliance and 24 blood pressure**

Changes in 24-hour ambulatory SBP (ET:  $9.1 \pm 12.8$  mmHg vs. UC:  $1.7 \pm 17.2$  mmHg,  $P = 0.351$ ) and DBP (ET:  $5.7 \pm 6.9$  mmHg vs. UC:  $1.5 \pm 12.8$  mmHg,  $P = 0.424$ ) did not differ between ET and UC after 12 weeks. Further, the change in small (ET:  $-0.3 \pm 2.7$  ml/mmHg x 100 vs. UC:  $0.9 \pm$

2.2 ml/mmHg x 100, P = 0.238) and large (ET:  $0.2 \pm 3.5$  ml/mmHg x 10 vs. UC:  $0.3 \pm 5.0$  ml/mmHg x 10, P = 0.941) artery compliance did not differ between groups.

**Table 6: Effects of supervised exercise training on cardiopulmonary function during peak exercise**

	UC				ET				p
Variable	Pre	Post	Change	n	Pre	Post	Change	n	
VO <sub>2</sub> (l·min <sup>-1</sup> )	1.5 ± 0.6	1.5 ± 0.6	-0.02 ± 0.2 (95% CI: -0.12 - 0.09)†	15	1.6 ± 0.8	1.8 ± 0.8	0.2 ± 0.2 (95% CI: 0.06 – 0.32)	16	0.02  *
VO <sub>2</sub> (ml·kg <sup>-1</sup> ·min <sup>-1</sup> )	21.2 ± 10.0	20.7 ± 10.2	-0.4 ± 2.5 (95% CI: -1.80 – 0.91)	15	19.9 ± 9.3	22.5 ± 10.2	2.6 ± 3.1 (95% CI: 1.01 – 4.28)	16	0.00  2*
VCO <sub>2</sub> (l·min <sup>-1</sup> )	1.8 ± 0.8	1.8 ± 0.8	0.01 ± 0.3	14	1.7 ± 0.9	2.0 ± 0.9	0.3 ± 0.3	13	0.00  5*
VE (L·min <sup>-1</sup> )	55.9 ±	56.9 ±	1.0 ± 16.9	14	61.2 ±	69.9 ±	8.7 ± 13.7	13	0.21

	29.8	26.1			40.7	36.3			7
Power (W)	98.6 ±	107.1 ±	8.6 ± 16.3	14	94.6 ±	113.9 ±	19.2 ± 14.4	13	0.08
	56.7	54.2			48.0	52.1			5
RER	1.1 ±	1.1 ± 0.1	0.01 ± 0.08	14	1.1 ± 0.2	1.2 ± 0.1	0.1 ± 0.14	13	0.26
	0.08								4
HR (beats·min <sup>-1</sup> )	137.3 ±	134.4 ±	-2.9 ± 11.2	14	133.5 ±	141.6 ±	8.08 ± 14.4	13	0.03
	28.0	29.6			34.3	33.1			6*
Percent Predicted	81.2 ±	79.3 ±	-1.9 ± 6.7	14	81.8 ±	86.9 ±	5.1 ± 9.1	13	0.03
Peak HR (%)	1.5	1.5			1.8	1.9			0*
SBP (mmHg)	198.9 ±	204.6 ±	5.8 ± 18.9	14	196.2 ±	199.2 ±	2.9 ± 23.1	13	0.12
	17.8	20.5			25.6	15.7			5
DBP (mmHg)	82.4 ±	82.8 ±	0.4 ± 14.0	14	85.1 ±	86.8 ±	1.8 ± 14.1	13	0.79
	10.5	13.3			17.9	11.3			6
MAP (mmHg)	121.2 ±	123.4 ±	2.2 ± 13.3	14	122.1 ±	124.3 ±	2.2 ± 13.8	13	0.99

	10.0	11.9			17.0	11.1			8
SVR (dyn·s·cm <sup>-5</sup> )	939.6 ± 432.9	967.4 ± 497.9	27.8 ± 146.2	14	905.3 ± 370.3	774.6 ± 231.5	-130.7 ± 264.5	13	0.10 1
SV (ml)	84.7 ± 20.8	88.1 ± 23.8	3.4 ± 6.3	11	84.0 ± 16.8	90.2 ± 19.9	6.2 ± 17.5	10	0.53 0
Q (L·min <sup>-1</sup> )	12.1 ± 4.2	12.1 ± 4.6	-0.01 ± 0.8	11	12.3 ± 4.3	13.9 ± 4.2	1.7 ± 2.6	10	0.03 0*
a-vO <sub>2</sub> diff (ml·dl <sup>-1</sup> )	13.4 ± 3.4	13.4 ± 4.1	-0.08 ± 1.8	11	13.1 ± 2.6	13.4 ± 3.6	0.3 ± 3.9	10	0.77 5

\* P < 0.05 vs. UC, data are mean ± SD. † 95% Confidence Interval (CI), reported for primary outcome only.

### **Effect of ET versus UC on lower body maximal muscular strength**

The improvement in leg press and leg extension maximal strength was significantly greater in the ET vs. UC. However, no difference in leg curl maximal strength was observed between the groups (Figure 6).

### **Effect of ET versus UC on lean body mass**

No significant change in lean body mass was found between groups (ET:  $0.9 \pm 3.64$  kg vs. UC:  $-0.6 \pm 2.69$  kg,  $P = 0.329$ ).

### **Effect of ET versus UC on cardiovascular disease risk score, low density lipoprotein and total cholesterol**

No significant difference was found for Framingham CVD Risk Score between the groups (ET:  $0.1 \pm 2.0\%$  vs. UC:  $-0.1 \pm 1.2\%$ ,  $P = 0.769$ ). Further, no significant differences were found between groups for changes in HDL cholesterol (ET:  $-0.013 \pm 0.16$  vs. UC:  $0.80 \pm 0.23$ ,  $P = 0.357$ ) or total cholesterol (ET:  $0.28 \pm 0.40$  vs. UC:  $0.0071 \pm 0.40$ ,  $P = 0.203$ ).

### **Effect of exercise training on Health Related Quality of Life**

The ET group demonstrated a significant change in social functioning (ET:  $15.2 \pm 26.5$  vs. UC:  $-9.82 \pm 17.11$ ,  $P = 0.006$ ), mental composite score (ET:  $-6.9 \pm 42.1$  vs. UC:  $-9.4 \pm 23.9$ ,  $P = 0.004$ ) and overall QOL score (ET:  $8.6 \pm 13.4$  vs. UC:  $-3.4 \pm 12.1$ ,  $P = 0.02$ ). No other changes in health related QOL were found between groups at the end of 12 weeks (Table 7).



**Figure 5: Change in exercise capacity and cardiac performance after 12 weeks of exercise in ET versus UC**

Figure 5 A. Changes in  $VO_{2peak}$  after 12 weeks of UC or ET

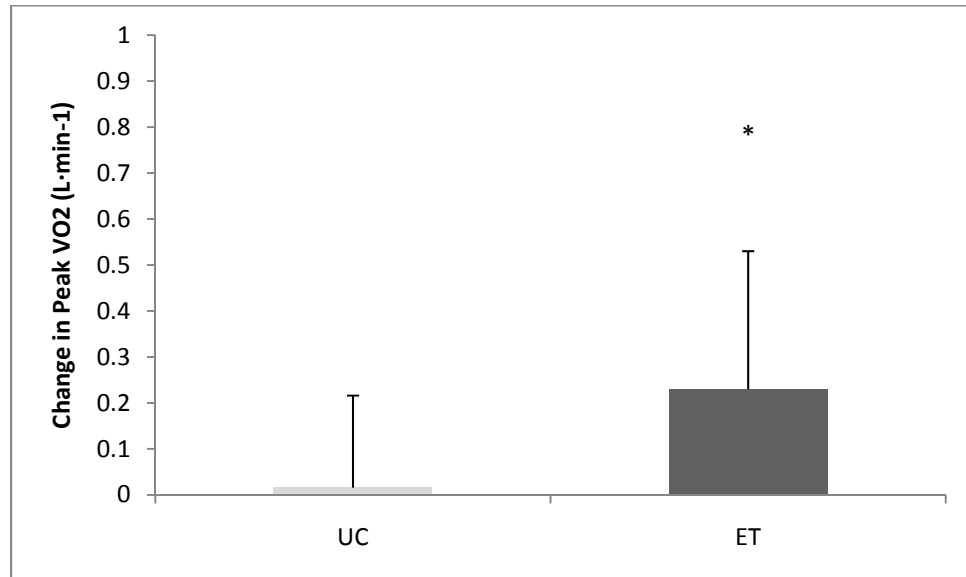


Figure 5 B. Changes in peak SV after 12 weeks of UC or ET

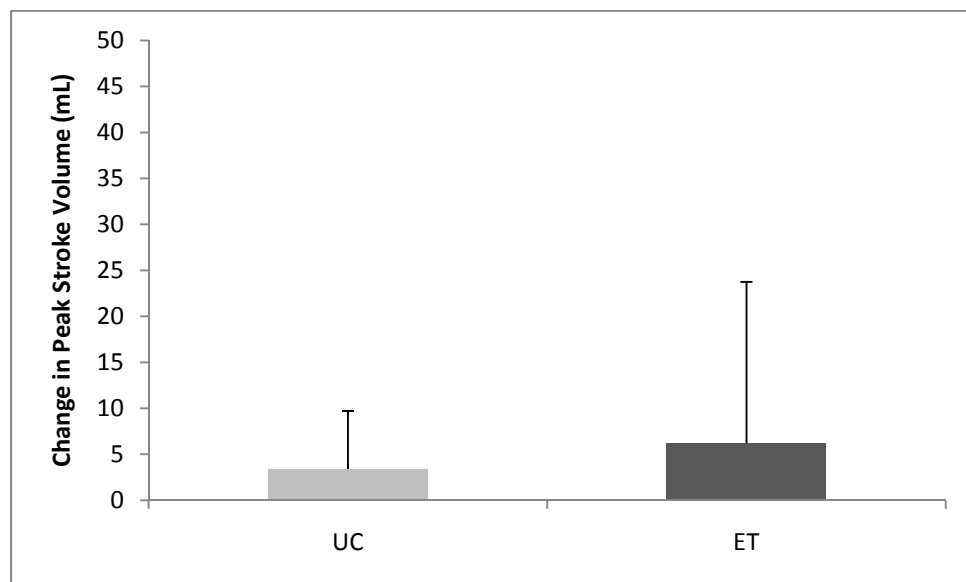


Figure 5 C. Changes in a-VO<sub>2</sub>diff after 12 weeks of UC or ET

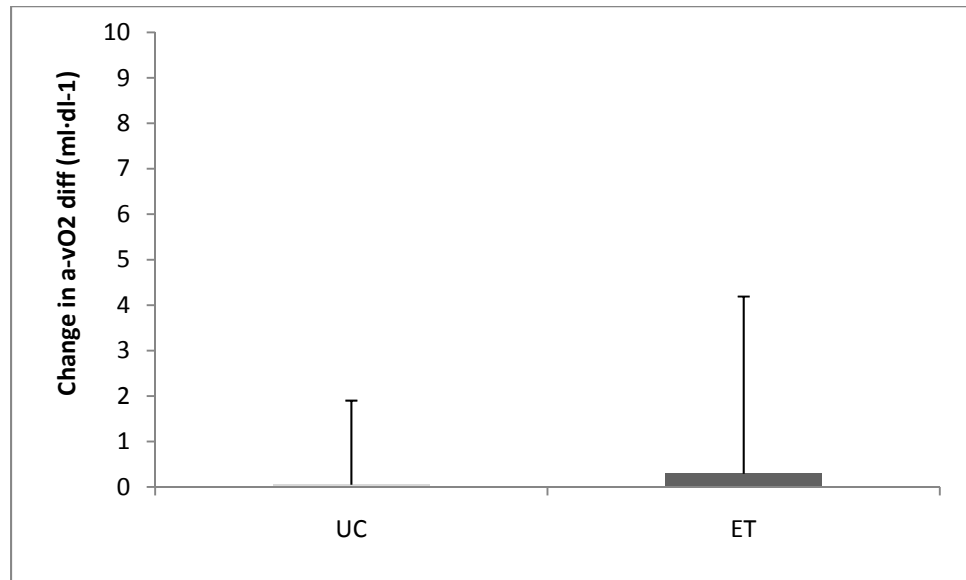
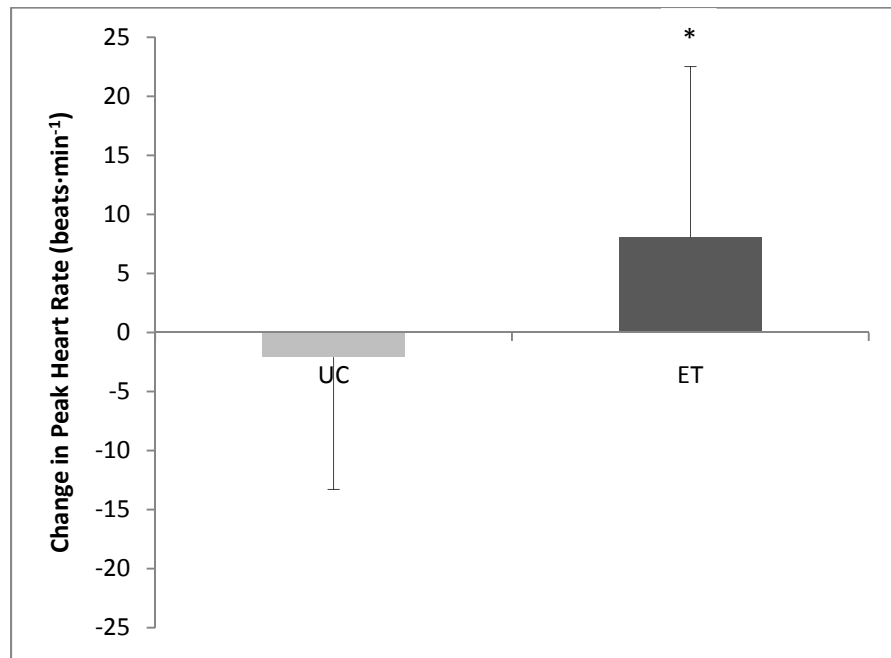
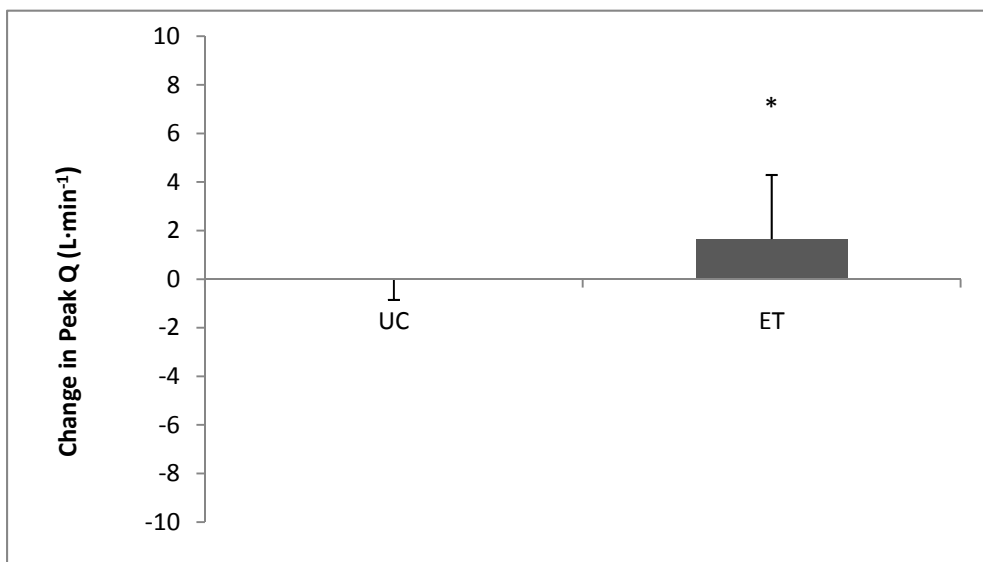


Figure 5 D. Changes in peak heart rate after 12 weeks of UC or ET



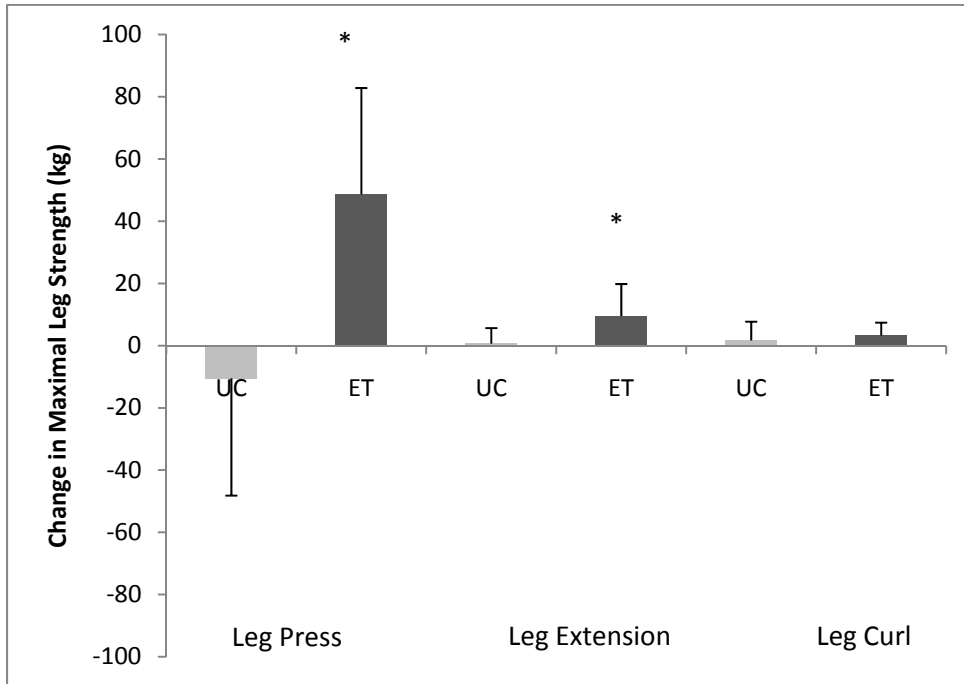
\* P < 0.05 vs. UC

Figure 5 E. Changes in  $Q_{\text{peak}}$  after 12 weeks of UC or ET



\*  $P < 0.05$  vs. UC

Figure 6: Change in lower body maximal muscular strength after 12 weeks of UC or ET



\* P < 0.05 vs. UC

**Table 7: Effects of ET on change in quality of life**

Domain	UC	ET
Physical Function	-3.9 ± 22.7	7.71±1.06
Role Physical	-10.7 ± 40.0	12.5 ± 43.6
Body Pain	4.5 ± 14.6	-0.4 ± 22.4
General Health	-5.9 ± 13.2	3.5 ± 11.8
Vitality	7.5 ± 37.5	10.4 ± 9.1
Social Function	-9.8 ± 17.1	15.2 ± 26.5*
Role Emotional	-2.4 ± 40.2	9.5 ± 27.5
Mental Health	-8.0 ± 19.9	2.0 ± 10.6
Physical Composite Score	-7.6 ± 28.0	-7.9 ± 46.9
Mental Composite Score	-9.4 ± 23.9	-6.9 ± 42.1*
Total SF-36 Composite Score	-3.4 ± 12.1	8.64 ± 13.4*

\* P < 0.05 vs. UC, mean ± SD

## Discussion

## **5.1 Major Findings**

The major finding of this study is that 12 weeks of supervised ET increased  $VO_{2peak}$  secondary to an increase in  $Q_{peak}$  and  $HR_{peak}$ . A secondary finding is that ET improved lower extremity maximal muscular strength and improved in quality of life in KTR compared to UC.

## **5.2 Improvement in Cardiopulmonary Performance**

Currently, only a few studies have examined the effects of exercise training on  $VO_{2peak}$  in KTR [42, 64, 127, 133]. In an early study, Miller et al. [127] investigated the effects of 6 weeks of aerobic exercise training on estimated peak METs in 10 recent (mean time post-transplant = 17 days) KTR. The main finding was that short term training improved estimated  $VO_{2peak}$  (90%) and peak heart rate (15%). Painter et al. [42] compared 12 months of home based aerobic exercise training, initiated one month post-surgery, versus usual care (no exercise training) on  $VO_{2peak}$ , muscular strength, body composition and QOL in 167 KTR. Outcome measures were examined in both groups at 6 and 12 months post randomization. Both groups improved  $VO_{2peak}$  (14%) and muscle strength (ET = 22%; UC = 19%) after 6 months. There was an improvement in  $VO_{2peak}$  (7.3%) and muscle strength (9%) from 6 months to 12 months in ET with no change in either  $VO_{2peak}$  or muscular strength in UC. Finally, the UC and ET groups had similar improvement in body fat and lean mass over the 12 month period and the SF-36 QOL domain of

Physical Functioning QOL domain demonstrated an increase approaching significance in the ET group. A limitation of this study was that only 68% of the participants randomized to the exercise group adhered to the program. Moreover, 36% of the usual care group reported performing regular exercise, a finding that may explain similar improvement in outcomes during the first 6 months. In a later report, using the same subjects, the investigators found that 12 months of home base exercise training did not improve the 10 year CVD risk over usual care [133]. Further, the measures used to determine 10 year CVD risk (ie. resting SBP and DBP, total cholesterol, HDL-C, presence of diabetes, and self reported tobacco use) were not different between groups after 1 year. Of note, the authors reported that the ET group had an improvement in HDL-C over the UC group that approached significance (  $P = 0.07$  ) despite both ET and UC groups demonstrating an increase in TC versus baseline ( $P < 0.0001$ ).

Kempeneers et al. [64] examined the role of 6 months of aerobic training in KTR ( $n = 24$ , mean age = 33 years ) and healthy controls ( $n=6$ ; mean age = 24 years). The mean time post kidney transplant was 5 years. At baseline,  $VO_{2peak}$  was 39% lower in KTR versus controls. After training,  $VO_{2peak}$  improved by 30% ( $P < 0.05$ ); however, despite this improvement, it remained 22% lower than healthy controls. The mechanism for the favorable change in  $VO_{2peak}$  was attributed to peripheral adaptations as quadriceps and hamstring strength improved significantly with training. A sub-analysis on 6 of the “fittest” subjects



revealed reduced type I fiber area suggesting no change in muscle oxygen utilization.

Romano et. al [132] reported on the effects of 10 weeks of interval exercise training (defined as 15 repetitions of 1 minute at 90% of peak heart rate followed by 1 minute of 50% of work interval heart rate) on  $VO_{2peak}$ , lean body mass, resting MAP, and QOL in 8 KTR with an average time from transplant of 5.4 years. Short-term training increased  $VO_{2peak}$  (13%) and QOL as well as decreased resting MAP (12%) with no change in lean body mass. The present finding that 12 weeks of combined aerobic and strength training improved  $VO_{2peak}$ , lower extremity maximal muscular strength and QOL is consistent with prior studies. Moreover, the present results extend previous research by demonstrating that the improvement in  $VO_{2peak}$  is primarily due to central factors (i.e. peak exercise Q).

### ***5.3 Effect of Exercise Training on Cardiac Performance***

The role of exercise training to improve cardiovascular function in KTR has not been well studied. The increased  $Q_{peak}$  found in the current study is due to an increase in  $HR_{peak}$ . These findings are in contrast to those of Kempeneers et al. [64] who reported no improvement in  $HR_{peak}$ , however it is similar to that of Miller et al. [127]. Further, the percent predicted peak heart rates of our trained subjects increased significantly over the control group. Our ET subjects reached, on average, a peak

heart rate of 87% of predicted (from a baseline of 82%) while the UC had a slight decrease (Pre: 81% vs. Post: 79%) in percent of predicted peak heart rate after 12 weeks. This post training values observed in our study are similar to peak heart rate in active KTR reported by others.

Specifically, Richard et al. [126] and Painter et al. [142] reported peak heart rates of 92% and 91% of predicted, respectively, in a group of “fit” KTR (mean  $VO_{2peak} = 50\text{ml}^{-1}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) [126] and in KTR participating in the United States National Transplant Games[142].

#### ***5.4 Effects of ET on systolic and diastolic blood pressure and arterial compliance***

Exercise has been shown to have a significant lowering effect on resting blood pressure in hypertensive and normotensive individuals [143, 144] . This reduction in resting blood pressure as a result of exercise has been attributed to a reduction in SVR likely resulting from positive adaptations of the sympathetic and renin-angiotensin systems [144]. The ET subjects demonstrated a slight reduction in resting SVR compared to UC. Despite this finding the 24 hour systolic and diastolic blood pressures and resting systolic and diastolic blood pressures were not altered in ET. This finding is similar prior exercise intervention studies in KTR [64, 127, 133].

Arterial compliance has been shown to be higher (ie. more favourable) in individuals with higher levels of fitness [145]as it responds

favourably to exercise training [146, 147]. Further, Pilot data (appendix A) from our laboratory revealed that KTR have reduced small artery compliance compared to healthy age predicted values and a concomitant reduction in aerobic fitness as measured by 6-minute walk [148].

Arterial stiffness has not been well studied in KTR. However, arterial stiffening has been reported to be an independent predictor of all-cause mortality in end-stage chronic kidney disease [149]. Further, kidney failure has been associated with an increase in arterial stiffening resulting from vascular calcification. This arterial stiffening is directly related to the severity of the kidney failure[150]. Possible reasons for this increase in arterial stiffness in renal failure may be due to the increased prevalence of vascular calcification, aging, male gender and presence of diabetes [150]. Specifically, Sigrist et al. [150]reported that arterial stiffness, as measured by pulse wave velocity, was highest (ie. least compliant) in those CKD subjects with the highest calcification score. This reduction in arterial compliance is improved with transplantation but the use of some immunosuppression therapies may limit this improvement [27]. Specifically, Zoungas et al. reported that the use of tacrolimus allowed for greater improvement in arterial function in KTR when compared to cyclosporine [27].

Endurance exercise training is known to have an association with improvements in large and small artery compliance. However, in the

present study no change in either small or large artery compliance occurred with ET. The mechanism for this finding may be due to the type of training performed. For example, by prescribing a 3 month home based walking program (average 5 days per week) to middle age and older sedentary males (average age = 53 years), Tanaka et al.[151] reported a significant improvement in central arterial compliance. However Fjeldstad et al. [152] found that 12 weeks of resistance training did not alter small or large artery compliance in pre-menopausal women. Further, others have demonstrated that resistance training in middle aged men resulted in a negative effect on central arterial compliance [153, 154]. Thus, the deleterious effects of resistance training on arterial compliance may be counteracted with the inclusion of aerobic training in the KTR population.

### ***5.5 Exercise training and muscular strength and lean body mass***

Previous studies have consistently shown that that maximal muscular strength is lower in KTR compared to healthy controls [64, 130] . Baseline muscular strength may be lower in KTR as the result of post transplant inactivity [155]. Although improvements in muscular strength occur after supervised training in recent KTR [42], the peak lower body strength attained is 26% lower than reported for healthy controls [130].

The ET subjects in this study had a significant increase in lower body peak maximal strength after the 12 week intervention. An increase in muscular strength has been shown to relate to an concomitant increase

in peak exercise capacity [64] and thus may have contributed to the increase in  $VO_{2peak}$ . Although our study did not determine the reasons for increases in peak strength, it is likely that the improvements observed in our ET subjects were the result of improved neuromuscular coordination and, perhaps, an increase in muscle mass.

It has long been accepted that initial improvements in muscular strength are the result of neuromuscular adaptations in healthy subjects [156-158]. As the subjects in this study performed lower body strength training 3 days per week for 12 weeks, it is likely that improvement in muscular strength were the result of neuromuscular adaptations to strength training. It has been suggested that the likely reasons for the increase in force production by the muscle is the result of increased firing rates, increased motor neuron firing rates, increased motor neuron excitability and decreased parasympathetic inhibition [157].

Alternative mechanisms for this increase in peak lower body muscular strength may have been partially due to an increase in, albeit not significant, lean body mass. There is a paucity of data on the effects of exercise training on lean body mass in KTR. Romano et al. [132] reported no significant effect on lean body mass in KTR after 30 cardiovascular training sessions. However, Horber et al. [159] observed a 6.7% increase in thigh muscle area in KTR who trained 21 sessions over 7 weeks. Interestingly, these subjects, who attained similar results to age

matched sedentary controls, were also treated with low-dose prednisone. The ET subjects achieved greater improvement in lean body mass, albeit not significant, as well as improved leg strength. Further, Haykowsky et al. [160] reported heart transplant recipients improved muscular strength and increased leg and total lean body mass through a similar program (ie. 12 weeks) of aerobic exercise and resistance training.

### **5.6 Exercise and Quality of Life**

At baseline, the UC subjects had a significantly higher SF-36 sub-domain score for social function. This trend reversed after 12 weeks of either ET or UC as the UC demonstrated a decrease in social function and a significant increase was observed in UC. Romano et al. [132] reported similar findings after a 10 weeks of interval training. These authors hypothesized that the subject's increase in overall quality of life lead to an increase in self confidence and an concomitant increase in a desire for socialization. Painter et al. [42] observed a significant increase in the *physical functioning* sub-domain of the SF-36 after 12 months of exercise training with no significant change in *social functioning*. This discrepancy between Painter et al. [42] and our subjects may be due to the home based, individual, exercise prescription used by their group versus the supervised, often group based training sessions offered in our study.

## **5.7 Cardiovascular risk score**

The effects of exercise on traditional cardiovascular disease risk factors has long been known to be favourable in both women and men in the general population [161-163]. Further, Painter et al. [133] reported that 12 months of individualized, home based exercise training within one month of transplantation failed to significantly improve 10 year CVD risk based on the Framingham CVD risk score. These authors also reported that there was no improvement in SBP, TC or HDL cholesterol as a result of a year of exercise training. Consistent with these findings, rest SBP, TC or HDL cholesterol were not altered with training in the subset of patients in which this was measured. This study extends the finding of Painter et al. [133] by reporting that KTR who are, on average 6.2 years post transplant do not improve CVD risk score with supervised ET. It is likely that the intensity of our exercise intervention was too low for an effect on CVD risk score to be observed. Specifically, intense interval training has been reported to have a greater positive effect on endothelial function [164, 165], mean arterial pressure [165] and mitochondrial function [164] in several populations including heart failure [164] and obese youth [165].

It has been reported that KTR are at an increased risk for CVD compared to the general population [31]. However, this increased risk may be the result of less “traditional” risk factors not accounted for in the

Framingham risk score. Specifically, Diaz et al. [166] reported that along with traditional CVD risks accounted for in the Framingham risk score, hepatitis C virus antibodies, proteinuria, and serum creatinine levels are significantly related to CVD events in KTR. Like Painter et al. [133] this study did not measure these serum markers.

### **5.8 Health Service Implications**

Exercise training has been shown to be a cost effective alternative for both invasive coronary angioplasty [167] and as a treatment for heart failure that reduces hospitalizations and mortality risk [168]. This study did not address the cost effectiveness of ET in KTR; however, it is possible that the improvement in overall physical fitness ( $VO_{2peak}$  and maximal muscle strength) with training may have resulted in improved functional independence leading to a reduction in utilization of healthcare services. Future research examining the cost effectiveness of exercise training in KTR versus usual care is required.

### **5.9 Limitations**

This study has limitations. Specifically, participants were recruited from the University of Alberta's Renal Transplant Clinic. As such, those who agreed to take part may have already been eager to exercise and may have been more motivated than the average KTR. Further, the study was statistically powered to determine changes in our primary outcome measure of  $VO_{2peak}$ ; however, a larger sample size may have been



required to ensure statistical power was present for many of the other outcome measures. Body composition for this study was assessed with the use of measurement of skinfold thickness. While dual energy X-ray absorptiometry is the gold standard [169], the skinfolds were all performed by the same researcher reduce inter-rater reliability. Exercise logs were not required to be kept by either the control group or the training group and, as such, leisure time physical activities could not be compared between the two groups. The researchers were not blinded to the randomized assignment for the follow-up measures including the follow-up aerobic exercise test. However, maximal RER did not differ between UC and ET during follow-up tests indicating maximal efforts for both groups.

The exclusion criteria of our study included time from transplant, presence of type 1 diabetes and presence of uncontrolled hypertension. As such, this study recruited a wide range of ages (range: 25 – 76 years) and included both genders making the generalizability of you study somewhat limited. Further investigations into more specific KTR populations would be recommended.

### ***5.10 Conclusion and clinical implications***

In summary, the present study demonstrated that 12 weeks of supervised exercise training results in significant improvements in  $VO_{2peak}$ , lower body maximal leg strength and quality of life in KTR compared to UC. The improvements in  $VO_{2peak}$  were primarily due to increase Q and

to a lesser extent to  $a\text{-vO}_{2\text{diff}}$ . Further, the increased Q was due to favorable change in peak exercise HR. Moreover, the increased muscle strength is likely due to increased lean body mass which improved slightly (mean increase: 1%) in ET subjects with a slight reduction in UC lean mass (-0.5%). Finally, ET also improved overall QOL in ET versus UC.

The improved  $\text{VO}_{2\text{peak}}$  may have favorable survival benefits as Myers et al. [13] reported that for each one MET increase in peak exercise there is a 12% increase in survival. Given that the ET improved by close to this 1 MET threshold the subjects who underwent ET may likely have improved their survival by 12%. Future studies need to determine mechanism for favorable change in central and peripheral adaptations as well as optimal exercise intensity to improve CVD risk profile.

## General Discussion

The data presented for this thesis contribute important information to the body of knowledge relating to the effects of supervised exercise on CVD risk factors in KTR. Specifically, findings from the pilot study (Appendix A) determined that small artery compliance was reduced in KTR compared to healthy, age matched controls. This is an important finding as small artery compliance is a novel risk factor for cardiovascular events in KTR [170] Further, it was observed that older KTR have lower small and large artery compliance compared to younger KTR, a trend that occurs as part of healthy aging as well [76] and a possible reason for the increased risk for CVD that accompanies aging [171] .

The major study for this thesis demonstrated that was KTR respond favourably to exercise compared to those KTR undergoing usual care. Specifically, KTR demonstrated an improvement in  $VO_{2peak}$  (13%) and muscular strength (26% leg press, 33% leg extension) as the result of 12 weeks of supervised exercise training. Improvements in  $VO_{2peak}$  and muscular strength in KTR as result of exercise training have been reported by others [42, 127, 130, 132], However, this is the first study to evaluate the likely mechanisms for the improvement in cardiac performance related to the increase in  $VO_{2peak}$ . Specifically, it was determined that increases in  $VO_{2peak}$  are primarily related to improvements in Q secondary to an increase in  $HR_{peak}$ . Accordingly, the mechanisms for the increase in  $HR_{peak}$  require further study.

The major finding of this thesis is that KTR who participate in supervised exercise training, 3 days a week for 12 weeks, improve their peak exercise capacity absolutely and relative to body mass. This is an important finding in that improvement in exercise capacity is related to a reduction in mortality. Sietsema et al. [15] reported that patients with ESRD who had a  $VO_{2peak} < 18$  ml/kg/min had a lower 3.5 year survival rate compared to those patients above this score. In addition, Myers et al. [13] found the highest all cause mortality rate was observed in men referred for exercise testing with a peak exercise capacity of similar to that of Sietsema et al. [15] (ie. 17.5 ml/kg/min). Further, Myers et al. [13] reported that an improvement in peak exercise capacity of 3.5 ml/kg/min was associated with a 12% improvement in survival. We reported that our subjects undergoing 12 weeks of supervised exercise training, on average, reported an improvement in peak exercise capacity close to this value ( 2.6 ml/kg/min). Thus, future studies are required to determine if increases in  $VO_{2peak}$  have a favourable effect on survival.

The findings of this thesis extend prior studies in healthy and diseased populations to KTR by demonstrating that short-term ET (combined aerobic and strength training) can increase  $VO_{2peak}$ , peak cardiac and skeletal muscle performance and QOL in KTR. Accordingly, KTR should take part in regular physical activity, both aerobic and strength training, not just as part of post-transplant rehabilitation but as a part of a lifelong commitment to improved health.

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**Appendix A**  
**Ethics Approval**

ETHICS APPROVAL FORM

Date: January 2005

Name(s) of Principal Investigator(s): Dr. Sita Gourishankar

Department: Medicine

Title: Evaluating the effects of a supervised exercise training program versus usual lifestyle on exercise capacity, cardiovascular function and outcomes, skeletal muscle mass and quality of life in kidney transplant recipients: A randomized control trial

The Health Research Ethics Board (Biomedical Panel) has reviewed the protocol involved in this project which has been found to be acceptable within the limitations of human experimentation.

Specific Comments:

The Research Ethics Board assessed all matters required by section 50(1)(a) of the Health Information Act. Subject consent for access to identifiable health information is required for the research described in the ethics application, and appropriate procedures for such consent have been approved by the REB Panel. The REB has also reviewed and approved the patient information material and consent form.



D. W. Morrish, M.D.  
Chairman, Health Research Ethics Board  
Biomedical Panel



Jan 28 - 05  
Date of Approval Release

This approval is valid for one year

Issue #5770



## **Appendix B**

### **Supporting Publication**

#### **Impaired arterial compliance and aerobic endurance in kidney transplant recipients**

A version of this chapter has been published in

Transplantation, 82: 920-923, 2006

Note: This chapter was completed as a pilot project for the major study of this thesis. Credit was received for this chapter as partial completion of REHAB 899 in the Fall 2004 semester. It has been included as an appendix to this thesis as its findings are important to the direction in which the major study's efforts were directed.

## **ABSTRACT**

**Background:** Cardiovascular disease (CVD) is the leading cause of morbidity and mortality in kidney transplant recipients (KTR). Two risk factors for CVD that have not been examined in this population are arterial compliance and aerobic capacity.

The primary objective was to determine small and large artery compliance and aerobic endurance in KTR. A secondary objective was to explore the relationship between aging and arterial compliance and aerobic endurance in KTR.

**Methods:** Sixty-two clinically stable KTR were recruited from the University of Alberta Renal Transplant Clinic. Small and large artery compliance was assessed using computerized arterial pulse waveform analysis. Aerobic endurance was determined using the six-minute walk test. Age-matched normative data from healthy individuals was used for comparison.

**Results:** Small arterial compliance was lower in KTR ( $5.5 \pm 3$  ml/mmHg x 100) compared to age-matched healthy individuals' predicted values ( $7.9 \pm 0.9$  ml/mmHg x 100,  $p < 0.0001$ ). No difference was found for large artery compliance between KTR ( $16.0 \pm 6.6$  ml/mmHg x 10) and age-matched healthy predicted values ( $15.2 \pm 1.3$  ml/mmHg x 10,  $p = 0.5$ ). Small and large artery compliance were 35% ( $p = 0.026$ ) and 36% ( $p = 0.005$ ) higher in



younger (<51 years) versus older (>51 years) KTR, respectively. The six-minute walk distance was 28% lower in KTR ( $495 \pm 92$  m) compared to healthy age-predicted values ( $692 \pm 56$  m  $p < 0.0001$ ).

**Conclusions:** Compromised arterial compliance and poor aerobic endurance may partially explain the high incidence of CVD in KTR. Interventions demonstrated to improve these parameters may afford substantial clinical benefit in this population.

## INTRODUCTION

Kidney transplantation is the treatment of choice for individuals with end stage kidney disease (1). Despite the improvements in graft and patient survival after transplant, approximately 40% of kidney transplant recipients die with a functioning graft (2). Although death with a functioning graft may be an indication of successful transplantation and the goal of kidney transplant programs, death from cardiovascular disease is the leading cause of mortality and morbidity in KTR (1). This increased cardiovascular risk has primarily been attributed to “traditional” risk factors (hypertension, dyslipidemia, diabetes, smoking, renal failure and obesity) present before and/or after transplantation (3). However, increased attention is being paid to other risk factors associated with a sedentary lifestyle such as low aerobic capacity and peripheral vascular endothelial dysfunction. Indeed, Sietsema et al. (4) found that decreased exercise capacity was the strongest predictor of mortality in patients with end-stage renal disease. Further, Blacher et al. (5) found that increased arterial stiffness was an independent predictor of cardiovascular and all cause mortality in end-stage renal disease. Despite this evidence in end stage renal failure patients, no study to date has examined the global cardiovascular function (i.e. aerobic endurance and arterial compliance) of patients who have undergone kidney transplantation. As a crucial first step in this area of investigation, we conducted a pilot study to examine the arterial compliance and aerobic endurance of KTR.

## **MATERIALS AND METHODS**

### **Subjects**

This study was conducted between July and September, 2004. Ethics approval for this investigation was obtained from the University of Alberta Hospital Health Research Ethics Board and informed consent was obtained prior to commencement of any study-related procedures. The participants for this study included 62 randomly selected, clinically stable, outpatient KTR who attended the Renal Transplant Clinic. The Renal Transplant Clinic provides comprehensive follow-up care for approximately 900 KTR from urban and rural northern Alberta. Subjects were not considered for this study if orthopedic limitations prevented them from performing the six minute walk test or if they were unable to understand english and a suitable translator was not readily available.

### **Assessment of large and small arterial compliance**

Resting systolic and diastolic blood pressure and small and large artery compliance were assessed non-invasively using computerized arterial pulse waveform analysis (Hypertension Diagnostics, Eagan, MN). This technique involves the use of applanation tonometry which requires the placement of a transducer over the radial artery. The analysis of the 30-second signal averaged arterial pulse wave forms was performed by the tonometry unit and arterial compliance of the large and small arteries

were derived from the analysis of the diastolic waveform decay using the modified Windkessel model of circulation. This technique has been validated previously with invasive arterial waveform measurements(6). The mean of two measurements was used for analysis. Age-predicted values were determined based on a regression equation for 164 healthy individuals between 21 and 56 years of age studied previously in our laboratory.

### **Aerobic endurance**

Aerobic endurance was determined using the six-minute walk test which has been used to assess aerobic endurance in several different clinical populations(7-9). The six minute walk test was performed on a flat 20m corridor during which time the participants were asked to “cover as much distance as possible” in the six-minute period. Healthy age-predicted six-minute walk distance values were determined from the regression equation published by Gibbons et al.(10).

### **Statistical Analysis**

Comparison between KTR and age-predicted healthy values for heart rate, blood pressure, arterial compliance and distance walked in six-minutes was performed with one way analysis of variance (ANOVA) using MedCalc (Mariakerke, Belgium) software. In order to examine the effect of aging on arterial pressure and compliance, KTR were categorized into

two groups based on median age (ie,  $\leq 51$  years versus  $> 51$  years) and compared using one-way ANOVA. Finally, correlation analysis was performed to assess the relationship between time since transplant and small and large artery compliance. The alpha level was set “a priori” at  $p < 0.05$ . Data are presented as mean  $\pm$  SD.

## **RESULTS**

### **Participant Characteristics**

During the three-month study period we recruited 62 eligible KTR (41 males). Participant characteristics are described in Table 1. The mean age was  $51 \pm 14$  years, ranging between the ages of 21 and 73 years. The mean time since transplantation was  $7.3 \pm 6.5$  years (range: 0.004 to 27.9 years). Notably, 25% of participants were diabetic requiring treatment with oral hypoglycemics or insulin (Table 1).

### **Cardiovascular function**

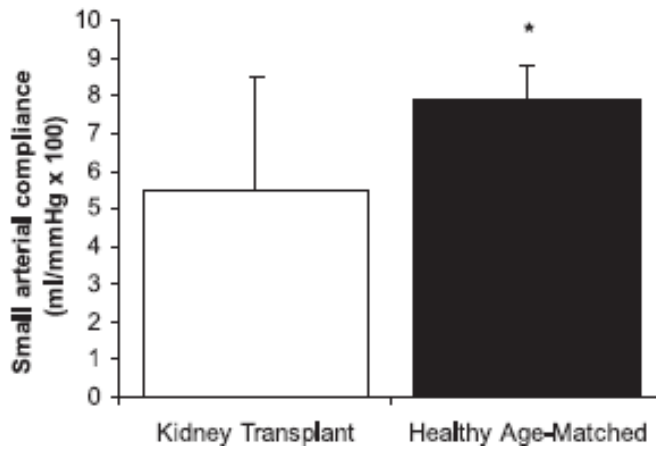
Resting heart rate was significantly higher in KTR ( $72 \pm 3$  beats/min) compared to healthy age-matched predicted values ( $67 \pm 3$  beats/min,  $p=0.0016$ ). No significant difference was found for systolic (SBP) or diastolic (DBP) blood pressure between KTR (SBP:  $133 \pm 14$  mmHg and DBP:  $78 \pm 8$  mmHg) and healthy age-matched predicted values (SBP:  $129 \pm 7$  mmHg and DBP:  $77 \pm 6$  mmHg,  $p=0.150$  and  $0.626$  respectively). Small artery compliance was 30% lower in KTR ( $5.5 \pm 3$

ml/mmHg x 100) compared to healthy age-predicted values ( $7.9 \pm 0.9$  ml/mmHg x 100,  $p < 0.0001$ , Figure 1) with no difference for large artery compliance between groups (KTR:  $16.0 \pm 7.0$  ml/mmHg x 10 vs. age-predicted healthy values:  $15 \pm 1$  ml/mmHg x 10,  $p = 0.4$ ). No significant correlation was found between the time since transplant and small or large artery compliance.

Table 1. Participant Characteristics

Age (years)	$51 \pm 14$
Time to transplant (years)	$7.3 \pm 6.5$
Height (cm)	$170 \pm 10$
Weight (Kg)	$79 \pm 18$
Resting systolic blood pressure (mmHg)	$136 \pm 15$
Resting diastolic blood Pressure (mmHg)	$77 \pm 8$
Resting heart rate (beats/min)	$70 \pm 12$
Diabetic	n = 14
Non-diabetic	n = 47
Unknown diabetic diagnosis	n = 1

Figure 1.



Small artery compliance in kidney transplant recipients and age-matched predicted values for healthy individuals.

(\* ,  $p < 0.0001$  vs. Kidney Transplant)

### **Effect of aging on cardiovascular function in KTR**

Systolic blood pressure was significantly higher in older KTR ( $141 \pm 16$  mmHg) compared to younger KTR ( $133 \pm 13$  mmHg,  $p = .05$ ). No difference was found between groups for DBP (older KTR:  $78 \pm 7$  mmHg vs. younger KTR:  $78 \pm 8$  mmHg,  $p = 0.85$ ) or heart rate (older KTR:  $69 \pm 11$  beats/min vs. younger KTR:  $71 \pm 13$  beats/min,  $p = .47$ ). Older KTR had significantly lower small (old:  $4.4 \pm 2.1$  mmHg/ml x 100 vs. young:  $5.9 \pm 3.0$  mmHg/ml x 100,  $p = 0.026$ ) and large artery compliance (old:  $12.5 \pm 5.8$  ml/mmHg x 10 vs. young:  $17.0 \pm 6.4$  ml/mmHg x 10,  $p = 0.005$ ) compared to younger KTR.

## **Aerobic endurance**

The distance walked in 6 minutes was 28% lower in KTR ( $495 \pm 92\text{m}$ ) compared to age-predicted values ( $692 \pm 56\text{m}$ ,  $p < 0.0001$ ). Also, the distance walked in six-minutes was significantly lower in older KTR ( $466 \pm 76\text{m}$ ) versus younger KTR ( $523 \pm 98\text{m}$ ,  $p = 0.013$ ).

## **DISCUSSION**

This study reports for the first time that KTR have a significantly lower small artery compliance and aerobic endurance compared to age-matched healthy individuals. The second key finding is that older KTR have significantly lower small and large artery compliance and aerobic endurance compared to younger KTR.

### **Kidney transplantation and aerobic endurance**

Our finding that KTR had severely reduced aerobic endurance may be secondary to deleterious effects of pre-transplant end stage renal disease as well as post-transplant deconditioning coupled with normal aging. Specifically, increased age and a sedentary lifestyle are known to be associated with alterations in cardiovascular function that result in a decline in oxygen delivery to the working muscle (11;12). Consistent with this hypothesis, we found that older KTR had the greatest impairment in arterial compliance and aerobic endurance. Thus, the abnormal exercise capacity found in our KTR may be due to their impaired peripheral



vascular function. Of greater concern, a consequence of the decreased fitness is that it may be an important risk factor for future CVD. For example, Myers et al.(13) found peak exercise capacity was the strongest predictor of cardiovascular and all-cause mortality even when controlling for established cardiovascular risk factors in healthy males with or without underlying cardiovascular disease. Sietsema et al. (4) demonstrated that reduced exercise capacity was a powerful predictor of mortality in individuals with end-stage kidney disease. Accordingly, the increased cardiovascular mortality that occurs after kidney transplantation may be worsened by the reduced fitness associated with adhering to a sedentary lifestyle. Thus, exercise rehabilitation is an important area to direct future research toward and nephrologists and rehabilitation specialists should confidently encourage KTR to perform regular physical activity to reduce the negative cardiovascular effects of a sedentary lifestyle.

### **Kidney transplantation and arterial compliance**

Previous investigators (14-16) have shown that small artery compliance is reduced in individuals with hypertension, diabetes mellitus and/or smokers. Given that these cardiovascular risk factors are prevalent in KTR our finding of a significant decline in small artery compliance in these individuals is not surprising. The consequence of the impaired vascular function is that it may be an important prognostic indicator for future cardiovascular events. Specifically, Grey et al.(17) reported that a 2-

unit decline in small artery compliance was associated with a significant increase in a future cardiovascular event in individuals between 25 and 89 years of age. Notably, our KTR small artery compliance was 2.4 units lower than expected for age-matched healthy individuals studied in our laboratory. The mechanism responsible for the post-transplant abnormality in small artery compliance was not examined in this study, however, it may be secondary to abnormalities in endothelial function associated with hypertension, diabetes, obesity, pre-transplant renal failure, post-transplant immunosuppression therapy or as a consequence of a sedentary lifestyle. Consistent with this hypothesis, our diabetic KTR small and large artery compliance was 20 to 30% lower than non-diabetic KTR.

### **Arterial compliance in older KTR**

Advancing age has been shown to result in a significant and marked decline in small and large artery compliance (6). Similarly, we found that small and large artery compliance was 25% lower in older compared to younger KTR. The age-mediated decline in arterial stiffness is secondary to the increase in collagen content and concomitant decline in elastin (18). A serious consequence of the increased arterial stiffness and ventricular afterload is concentric cardiac hypertrophy which, if not alleviated, may over time lead to chronic heart failure. Exercise training has been shown to improve arterial compliance in different aging

populations including middle aged and older sedentary men (19), postmenopausal women (20) and patients with congestive heart failure (21) so future research in this area as it relates to KTR is an important step.

### **Limitations**

A limitation of this study is the absence of a record of current medications taken by the subjects at the time of the study. For example older subjects may have been taking beta blockers which could reduce their heart rate and exercise capacity.

### **Summary**

We have demonstrated that KTR have severely reduced aerobic endurance and small and large artery compliance. Interventions, such as exercise training, that can improve exercise capacity and arterial compliance may play a central role in reversing the cardiovascular morbidity and mortality in KTR.

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## **Appendix C**

### **Kidney Transplantation in Exercise (KITE) Data Forms**



## **LETTER OF INFORMATION**

### **Evaluating the effects of a supervised exercise training program versus usual lifestyle on exercise capacity, cardiovascular function and outcomes, skeletal muscle mass and quality of life in kidney transplant recipients: a randomized control trial**

**Principal Investigator:** Dr. Sita Gourishankar

**Sub-Investigators:** Dr. Mark Haykowsky  
Dr. Robert Welsh  
Dr. Richard Lewanczuk  
Dr. Wayne Tymchak  
Kenneth Riess, Ph.D. Candidate

#### **Background:**

You are being asked to consider participating in this study because you have had a kidney transplant. Kidney transplantation is an important and effective treatment for chronic kidney failure. Once someone has received a new kidney, our goals are to keep the kidney functioning well and to keep the recipient healthy and enjoying an improved quality of life.

Today, the leading cause of death of kidney transplant recipients is cardiovascular disease. We know that a major risk factor for cardiovascular disease in people without a transplant is lack of exercise. Many studies have been done on the general population to show that exercise reduces cholesterol (the amount of fat in the blood), blood pressure, weight and the chance of developing diabetes, as well reducing deaths from cardiovascular events (such as heart attacks and strokes). Currently, the effect that exercise training has on heart and muscle function, exercise capacity and quality of life in kidney transplant recipients has not been well studied.

#### **Purpose:**

The purpose of this research study is to see if 3-months of supervised exercise training of kidney transplant recipients can increase

their exercise ability, improve heart and skeletal muscle structure and function, reduce the risk factors for heart disease, and improve their quality of life.

### **Description of the study**

Forty kidney transplant recipients at the University of Alberta Kidney Transplant Program will be invited to participate in this study. Participants will be in the study for about 3.5 months. The study consists of a set of baseline assessments done over a 1- week period, then the 3-month study time followed by a repeat of the baseline assessments.

If you agree to participate, you will undergo the following baseline assessments during 2 visits over the 1-week period:

- **Visit 1:** Exercise Laboratory, Division of Cardiology, University of Alberta Hospital  
Duration: 1 hour and 30 minutes
- Quality of Life Questionnaire. You will be asked to fill out a brief questionnaire that will ask a number of questions about your quality of life. This will take about 10 minutes to complete.
- Framingham Risk Profile questionnaire: You will be asked a series of questions regarding your heart health history and risk factors for heart disease.
- Blood Vessel Test: A special blood pressure machine will be placed on your arm and wrist to measure the “stiffness” of your blood vessels.
- Supervised exercise bicycle test. Before you start the test, 10 electrodes (electrical contacts) will be placed on your chest to measure your heart rate, and a blood pressure cuff will be placed on your arm to measure your blood pressure throughout the study. You will start the test with easy pedaling that will become a little more difficult every 2 minutes. A special mouthpiece and nose clip will be used to measure your oxygen uptake. The exercise test usually lasts 10 to 15 minutes and a specially trained health care worker will supervise the test.
- Heart Function Test. During the bicycle exercise test, electrodes will be placed on your chest and on the side of your neck. These electrodes will be attached to computers that will monitor the electrical activity of your heart and the amount of blood your heart pumps with each beat.

- **Visit 2:** Renal Transplant Clinic, University of Alberta Hospital and Cardiac/Therapeutic Exercise Research Laboratory, Corbett Hall, University of Alberta.  
Duration: 2.5 hours
- Cardiovascular risk profile. You will be asked to come in after an overnight fast (no food or drinks for 12 hours) and the following tests will be performed:
  1. Breath test: Your sensitivity to insulin will be used to measure your metabolism. First, you will be asked to blow into a special test tube through a straw. You will then be given 100 ml (3 ounces) of a harmless, sweet, orange-flavoured drink. Ninety minutes later you will be asked to blow through a straw into another small test tube.
  2. Blood (cholesterol) Test: You will be asked to come the University of Alberta Hospital during which time a needle will be inserted into a vein in your arm and a small amount (1 to 3 tablespoons) of blood will be withdrawn to measure your lipid (cholesterol) profile.
  3. 24-Hour Blood Pressure test: You will be sent home with a 24 hour blood pressure monitor. This consists of a blood pressure cuff on your arm that is attached to a recording device that you carry over your shoulder in a case. The blood pressure monitor takes blood pressure measurements every 20 – 30 minutes during regular activities throughout the day and night.
- Lean Body Mass. Lean body mass will be estimated with skinfold measurements. This will involve lightly “pinching” the skin at several pre-determined, easily accessible, areas on the body. With the use of a measurement device called a caliper, the thickness of this skinfold will be obtained.
- Lower Extremity Strength. You will perform a series of tests that will assess the strength of your leg muscles. Initially, you will lift a very light weight. Then the weight will become progressively heavier until you can lift a specific weight one time.

Once you have completed all the baseline assessments, you will be randomly assigned (like the flip of a coin) to one of 2 groups:

**Group 1:** Supervised Exercise Training group

**Group 2:** Usual Lifestyle (no exercise training) group.

**Group 1:**

If you are assigned to the “Supervised Exercise Training” group, you will participate in a three-month aerobic and resistance exercise program. You will come to the Cardiac/Therapeutic Exercise Research Laboratory (Corbett Hall, University of Alberta Campus) 3 days per week for 3-months to exercise under supervision. The aerobic training will be performed on a stationary bicycle and a treadmill for 30 to 60 minutes per session. You will be asked to perform a 5 to 10 minute warm up before you start and a 5 to 10 minute cool down after completing the aerobic exercises. The resistance training will be performed during 2 of your 3 weekly visits. It will consist of 1 or 2 sets of 10 to 15 repetitions to strengthen your legs. The intensity will be increased according to your performance.

**Group 2:**

If you are assigned to Group 2, you will be asked to continue with your normal daily activities during the time of the study. You will see your transplant care team members on a regular basis and be given the usual care and recommendations that we give to all our transplant patients.

For all participants, some information will be collected from your medical charts to help us understand the differences between the volunteers assigned to each group. We will collect such information as your age, date of transplant, cause of renal failure, medications, dialysis history, some information about your donor, and blood work and urine tests that are done as part of your standard of care. We may collect other information not listed here as well.

**Possible Risks:**

The exercises that you will perform are generally regarded as very safe. All testing and exercise sessions will be performed under appropriate supervision. Data from individuals with or without heart disease suggests that the likelihood of having a heart attack or dying during a bicycle test is 1 in 10,000 tests. You may experience temporary muscle soreness after the initial exercise test and during the first weeks of exercise training. The blood test may cause some local pain and bruising. Finally, you may feel some mild nausea after the breath test.

**Possible Benefits:**

The possible benefit to you of participating in this study is you might improve your overall health if you are assigned to the exercise group. No benefit is guaranteed, so you may or may not benefit directly from participating in this study. However, you will be helping us to understand how exercise can change exercise capacity and heart and muscle structure and function in individuals who have received a kidney transplant.

**Confidentiality:**

Personal records relating to this study will be kept confidential. Only the investigators and their study staff will have access to your records. Information concerning your participation in this study may be reviewed by the Health Research Ethics Board (HREB). Any report published as a result of this study will not identify you by name.

By signing the consent form you give permission to the study staff to access any personally identifiable health information which is under the custody of other health care professionals as deemed necessary for the conduct of the research.

**Voluntary Participation:**

You do not have to be in this study. If you choose not to participate, it will not affect your current medical care or future relations with your transplant physician or the transplant team.

If you do choose to participate, you are free to change your mind and withdraw from this study at any time, and your continuing medical care will not be affected in any way. If you withdraw your consent, the researchers may only use and disclose the protected health information already collected for this research study. No further health information about you will then be collected by, or disclosed to, the researchers for this study.

If the study is not undertaken or if it is discontinued at any time, the quality of your medical care will not be affected. If knowledge gained from this study or any other study becomes available which could influence your decision to continue, you will be promptly informed.

**Reimbursement of Expenses:**

You will not incur any costs as a result of participation in this study. You will not be paid for participation in this study.

**Compensation for Injury:**

If you become ill or injured as a direct result of participating in this study, medical treatment will be available to you at no additional cost. By signing this consent form, you are not releasing the investigator(s), institution(s) and/or sponsor(s) from their legal and professional responsibilities.

**Contacts:**

Please contact any of the individuals listed below if you have any questions and concerns.

Dr. Sita Gourishankar	407-3627 (after hours: 407-8822)
Dr. Mark Haykowsky	492-5970

If you have any questions or concerns about any aspect this study or your rights as a study participant, you may contact the Patient Concerns Office of Capital Health, at 407-1040. This office has no affiliation with the study investigators.

## SUBJECT CONSENT

**Evaluating the effects of a supervised exercise training program versus usual lifestyle on exercise capacity, cardiovascular function and outcomes, skeletal muscle mass and quality of life in kidney transplant recipients: a randomized control trial**

<b>Principal Investigator:</b>	Dr. Sita Gourishankar	407-3672
<b>Sub-Investigators:</b>	Dr. Mark Haykowsky	492-5970
	Dr. Robert. Welsh	407-6452
	Dr. Richard. Lewanczuk	407-6277
	Dr. Wayne Tymchak	407-1574
	Kenneth Riess, Ph.D. candidate	492 7336

YES      NO

Do you understand that you have been asked to be in a research study?

Have you read and received a copy of the attached Letter of Information?

Do you understand the benefits and risks involved in taking part in this research?

Have you had an opportunity to ask questions and discuss this study?

Do you understand that you are free to withdraw from the study at any time

without having to give a reason and without affecting your future medical care?

Has the issue of confidentiality been explained to you?

Do you understand who will have access to your medical records including personally identifiable health information?

Do you want the investigator(s) to inform your family doctor that you are participating in this research study?

If so, give his/her name: \_\_\_\_\_

Who explained this study to you? \_\_\_\_\_

I agree to take part in this study. Yes  No

Subject's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Witness: \_\_\_\_\_ Date: \_\_\_\_\_

Relationship: \_\_\_\_\_



I believe that the person signing this form understands what is involved in the study and voluntarily agrees to participate.

Investigator/Designee: \_\_\_\_\_

Date: \_\_\_\_\_

## Subject Contact Information

Patient Name: \_\_\_\_\_  
\_\_\_\_\_

Date:

Patient Code: \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone Home ( ) \_\_\_\_\_

Work ( ) \_\_\_\_\_

Cell ( ) \_\_\_\_\_

Preferred Contact Time AM \_\_\_\_\_ PM \_\_\_\_\_

### Secondary Contact Person

Name \_\_\_\_\_

Relationship \_\_\_\_\_

Telephone ( ) \_\_\_\_\_

### Subject Information Sheet

Patient Code: \_\_\_\_\_

Date: \_\_\_\_\_

Pre  Post

Group Training / Control

Gender Male / Female

Date of Birth \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Day Month Year

Date of Kidney Transplant  
\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Time From Transplant : \_\_\_\_\_ days  
Day Month Year

Transplant Type: CDV LURD LRD

Etiology \_\_\_\_\_

#### Medications

Medication Name	Total Daily Dose

## Maximal Exercise Testing Data Collection Form

Patient Code: \_\_\_\_\_

Date: \_\_\_\_\_

Pre  Post

Height: \_\_\_\_\_ cm

Weight: \_\_\_\_\_ kg

### MAXIMAL TESTING PROTOCOL:

Time (min)	Work Rate (W)	BP	HR	RPE	VO2 (ml/kg/min)
2:00	0				
4:00	15				
6:00	30				
8:00	45				
10:00	60				
12:00	75				
14:00	90				
16:00	105				
18:00	120				
20:00	135				
22:00	150				
24:00	165				
26:00	180				

Arterial Compliance and Resting Blood Pressure

**Patient Code:** \_\_\_\_\_

**Date:**

Pre  Post

Height: \_\_\_\_\_

Weight: \_\_\_\_\_

	Measure 1	Measure 2	Measure 3	Measure 4	Average
Heart Rate					
SBP					
DBP					
MAP					
PP					
SVR					
TVI					
LAE					
SAE					
CO					
CI					

Body Composition and Insulin Sensitivity

**Patient Code:** \_\_\_\_\_  
\_\_\_\_\_

**Date:** \_\_\_\_\_

Pre      Post

**Height:** \_\_\_\_\_ cm

**Weight:** \_\_\_\_\_ kg

<b>Males</b>	<b>Measure 1</b>	<b>Measure 2</b>	<b>Measure 3</b>	<b>Average</b>
Chest				
Abdominal				
Thigh				

<b>Females</b>	<b>Measure 1</b>	<b>Measure 2</b>	<b>Measure 3</b>	<b>Average</b>
Tricep				
Suprailiac				
Abdominal				
Thigh				

**Insulin Sensitivity Result**

Test Date \_\_\_\_\_

Absolute <sup>13</sup>C Increase \_\_\_\_\_

1 RM Strength Testing Form

**Patient Code:** \_\_\_\_\_ **Date:** \_\_\_\_\_

Pre  Post

**Height:** \_\_\_\_\_ cm

**Weight:** \_\_\_\_\_ kg

Leg Press Weight  
Reps


Leg Press 1 Rep Max \_\_\_\_\_

Leg Curl Weight  
Reps


Leg Curl 1 Rep Max \_\_\_\_\_

Leg Extension Weight  
Reps


Leg Extension 1 Rep Max \_\_\_\_\_

Randomization Form

**Patient Code:** \_\_\_\_\_ **Date:** \_\_\_\_\_

- Consent** **Date:** \_\_\_\_\_
- VO2 Peak** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- Impedance**  
**Cardiography** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- Arterial Compliance** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- SF36** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- Strength** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- Body Composition** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- Insulin Sensitivity** **Date:** \_\_\_\_\_ **Date:** \_\_\_\_\_
- Randomized** **Date:** \_\_\_\_\_

**Patient I.D.** \_\_\_\_\_



## Daily Supervised Exercise Log

Study ID \_\_\_\_\_

Initials \_\_\_\_\_

Date \_\_\_\_ / \_\_\_\_ / \_\_\_\_

D            M            Y

	Time	HR	Kp / Speed	RPM / %	RPE
Rest	0:00				
	5:00				
Warm-up Treadmill	5:00				
	10:00				
	15:00				
	20:00				
	25:00				
Bike	5:00				
	10:00				
	15:00				
	20:00				
	25:00				
Cool Down	5:00				

Exercise	Ideal Weight	Actual Weight	# Sets	# Reps
Leg Press				
Leg Extensions				
Leg Curls				