1	Balance reactions to light touch displacements when standing on foam.
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36 Abstract

We hypothesized that standing on an unstable surface would increase the relevance of light touch 37 to standing balance, such that unexpected displacement of a touch reference would result in more 38 consistent expression of balance corrections, compared to standing on a firm surface. Ten 39 healthy participants stood on a foam block atop a force plate without vision, while lightly 40 touching a reference. The touch plate was unexpectedly displaced forwards 10 times. Responses 41 42 in tibialis anterior (TA) were observed more frequently across the 10 trials compared with standing on a firm surface. However, the responses evoked in trials 2-10 were functionally 43 distinct from those following the first trial. We suggest the first trial responses represent balance 44 45 corrective responses induced by the slip of the finger relative to the reference. In contrast, the subsequent responses in TA are likely related to an arm-tracking reaction that emerges, 46 indicating a rapid repurposing of the tactile feedback. 47

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49 Highlights

• Balance corrections are induced by the first touch displacement when standing on foam.

• An arm-tracking behaviour emerges with subsequent touch displacements.

• Light touch feedback is rapidly repurposed to meet the needs of the task.

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54 Keywords: touch; balance; standing; posture; haptic; human

55 Introduction

Lightly touching a stable reference reduces sway during standing, particularly in the absence of vision [1]. It is argued that shear forces at the fingertip provide feedback about the direction, extent and speed of sway [1,2]. Furthermore, sway becomes entrained to an oscillating contact surface [3,4,5]. Taken together these findings suggest that sensory feedback from the fingertip encodes sway when a contact surface is expected to be a stable reference point.

Recently, we demonstrated that unexpected displacement of a touch reference evoked 61 postural responses (PRs) in muscles of the ankle in approximately 60% of naïve participants [5]. 62 63 However, responses at the ankles were only observed following the first trial. It was suggested that the lack of PRs in some participants and the absence of PRs on repeated trials reflected a 64 context-dependent weighting of the tactile feedback. That is, the contribution of tactile feedback 65 to balance control likely depends upon the perceived relevance of the feedback to the 66 performance of the task. If so, then increasing the relevance of the fingertip tactile feedback to 67 the task of maintaining standing balance should result in more consistent expression of PRs 68 across participants and with repeated exposures. 69

A common approach to increasing the postural demands is to introduce a compliant 70 71 surface, such as a block of foam. Standing on foam increases sway that is further exacerbated in the absence of vision [6,7]. This increased sway is argued to arise from two factors. First, the 72 foam redistributes pressure beneath the feet leading to a decrease in peak pressure and an 73 74 increase in the contact area [8], leading to impaired, or at least unfamiliar, sensory feedback from the feet and ankles [9]. Second, the forces generated to stabilize sway compress the viscoelastic 75 surface creating unexpected mechanical perturbations both when the compressive load is applied 76 77 and released [10]. Light fingertip touch reduces sway when standing on foam, particularly when

vision is also occluded [7]. Dickstein et al. [7] argued that deficiencies in both surface and visual
information are compensated for by increasing the weighting of the fingertip tactile feedback.

The objective of the present study was to increase the relevance of light touch feedback to the maintenance of posture by introducing a compliant surface. We expected that displacement of a light touch contact would more consistently evoke PRs at the ankle, compared with standing on a firm surface [5]. We further hypothesized that PRs at the ankles would be observed in more than just the first trial.

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86 Methods

87 *Participants*

Ten healthy volunteers (age range 20-25; 7 female, 3 male) provided written consent for participation in a protocol approved by the University of Alberta Research Ethics Board. It was essential that participants were unaware that the touch reference would be displaced. Therefore, participants were screened to verify they were unaware of the study's true purpose. Full disclosure was provided after the experimental session and participants were provided the opportunity to withdraw their consent.

94 *Set-up and apparatus*

Participants stood in stocking feet, shoulder width apart, on an ethylene-vinyl acetate
(EVA) pad placed atop a force plate (AMTI OR6-7-1000). The 47.5 cm x 37.5 cm x 5 cm EVA
pad was centered on the 50.8 cm x 46.4 cm surface of the force plate. The position of the feet
was marked to ensure consistent placement for each task.

In some tasks participants were asked to touch a brushed aluminum plate (3.75 cm wide x
7.5 cm long). The touch plate was positioned so that the tip of the right index finger was centered

on the plate, with the finger bent such that the distal phalanx was vertical, the forearm was in a
horizontal posture, and the upper arm was vertical, such that the elbow was approximately 90°.
The other fingers and thumb were curled into the palm to prevent contacting the plate. The left
arm was free to hang naturally.

105 The touch plate was mounted upon a positioning stage (LinTech 130 Series) driven by a 106 two-phase stepper motor (Applied Motion Products 5023-124). The entire apparatus was 107 mounted on a force transducer (AMTI MC3A-100) to measure the vertical load applied by the 108 participant when touching the plate. Participants received an auditory cue if the applied force 109 exceeded 1 N. White noise was delivered through noise-canceling headphones during all trials to 110 mask any sounds created by the motor.

111 Protocol

It was important for participants to perceive the touch plate as a stable reference. 112 Therefore, participants performed three sham tasks prior to the experimental task: standing on 113 foam with a) eyes open and both hands at their sides, b) eyes open while lightly touching the 114 touch plate, and c) both hands at their sides, but with their vision occluded by darkened goggles. 115 Each sham task was performed for 2 min. For the fourth, experimental task participants stood on 116 foam with occluded vision while lightly touching the touch plate. After approximately 30s, the 117 touch plate was unexpectedly displaced 12.5 mm, with a peak velocity of 124 mm/s. Ten such 118 displacements were delivered. Between displacements the touch plate was slowly (2.5 mm/s) 119 120 returned to the starting position while the participant maintained contact. The touch plate remained stationary 2-8 s before the next displacement. All displacements were in the forward 121 direction. The fourth task required approximately 10 min to complete. 122

123 *Data collection and analysis*

124	Electromyographic (EMG) recordings were obtained from right anterior deltoid (AD),
125	posterior deltoid (PD), sternocleidomastoid (SCM), tibialis anterior (TA), and soleus (SOL).
126	Two Ag-AgCl surface electrodes (NeuroPlus A10040) were placed over the bellies of the
127	muscles, aligned to the predicted path of the muscle fibers, with an inter-electrode distance of 2
128	cm. Ground electrodes were placed over the clavicle and tibia. EMG signals were amplified and
129	band-pass filtered (30 Hz – 1 kHz, 60 Hz notch, Grass P511 amplifiers, Astro-Med) prior to
130	digitization. Electrogoniometers (Biometrics, Newport, UK) were placed across the right ankle
131	and elbow joints. All signals were digitized at 4 kHz (National Instruments PCI-MIO-16E-4).
132	Data analysis occurred offline using custom-written LabView v8.2 routines. EMG signals were
133	digitally full-wave rectified and then low-pass filtered at 50 Hz (dual-pass 2 nd order
134	Butterworth). The mechanical signals were low-pass filtered at 20 Hz. The center of pressure
135	(COP) position was calculated from the force plate force and moment.
136	To determine if touch plate displacement evoked EMG responses a two standard
137	deviations band around the mean EMG activity for the 100 ms prior to the perturbation was
138	calculated. A response was considered present if the EMG trace exceeded this band for at least
139	20 continuous milliseconds. Responses with onset latencies >200 ms were not considered for
140	further analysis, in keeping with the procedures of Misiaszek et al. [5]. Response amplitudes
141	were calculated as the mean rectified EMG for the first 75 ms of the response, normalized to
142	maximum voluntary contraction (MVC). Participants swayed considerably while standing on the
143	foam making it unfeasible to estimate perturbation-related sway in the COP data using the two
144	standard deviations band approach. As shown in Fig. 3A, perturbation-related sway events were
145	apparent in some trials (particularly the first trial). To determine if the perturbations induced
146	systematic changes in COP position, the change in COP position 300 ms following the onset of

the touch plate displacement relative to the position at perturbation onset was calculated for each
trial. The change in COP position was calculated for all trials. Changes in joint angles were also
estimated using this approach.

150 *Statistical Procedures*

The frequency of responses while standing on foam were compared to the data reported 151 in [5] for standing on a firm surface. Fisher's Exact Tests were used to compare the response 152 frequencies for each muscle following the first trial between the firm and foam surfaces. Fisher's 153 Exact Tests were also used to compare the response frequencies in trials 2 through 10 between 154 155 surface types. To determine if response latencies and amplitudes varied with repeated trials the first identified response was compared to the last identified response from a participant using 156 two-tailed paired *t*-tests. The first and last responses need not have been the first and tenth trials. 157 Data were excluded from this analysis if fewer than 2 responses were observed in a given muscle 158 for a participant. Two-tailed paired *t*-tests compared average onset latencies between TA and 159 AD. Two-tailed single sample *t*-tests compared the change in COP_{A-P} position, change in ankle 160 angle, and change in elbow angle, against hypothesized values of 0 (no change). Two-tailed 161 Student's t-tests compared background EMG in TA, SOL and AD from the current study against 162 data from standing on a firm surface [5]. Significant differences were identified when p < 0.05. 163

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165 Results

Unexpected forward displacement of the light touch reference evoked short latency
responses in TA and/or AD when participants stood on foam. Figure 1 shows EMG traces from
TA for a single participant that generated a clear response following the first exposure to the
displacement (trial 1) with an onset latency of 117.5 ms. Comparable responses were observed

with subsequent trials, including the 10th trial (with onset latencies ranging between 98.25 –
119.75 ms).

172 *EMG response frequency*

Figure 2 summarizes the response occurrence across participants. TA responses were 173 evoked in all participants at least twice across the 10 trials. At least 1 response was observed in 174 AD of all but 1 participant. First trial responses were observed in TA in 6 of 10 participants, 175 which was identical to the proportion of first trial responses previously observed (12 of 20 176 participants) while standing on a firm surface. In addition, 2 of 10 participants responded with 177 178 AD on the first trial, compared with 10 of 20 when standing on a firm surface resulting in a Fisher's Exact p=0.235. In subsequent trials (trials 2-10), responses in TA were observed more 179 frequently (Fisher's Exact p < 0.001) across all participants when standing on foam (62 of 90 180 181 trials), than when standing on a firm surface (0 of 180 trials). AD activation was observed less frequently (Fisher's Exact p < 0.001) in subsequent trials while standing on foam (45 of 90 trials), 182 compared with standing on a firm surface (133 of 180 trials). Responses in SCM were rare, with 183 only 1 response observed across all 100 trials. 184

185 *EMG response latency and amplitude*

The average latency of TA responses was 102.3 ± 14.25 ms (mean \pm s.d.) across all trials and participants. There was no apparent change in TA response latency with repeated trials as the average latency of the first evoked response (104.9 ± 23.95 ms) was not different ($t_{(9)}=0.36$, p=0.73) from the last evoked response (106.8 ± 22.17 ms) across participants. Responses evoked in AD had an average latency of 81.4 ± 23.68 ms across all trials and participants. A paired comparison of the average latency of TA against AD indicated that the AD responses had a shorter latency ($t_{(8)}=2.93$, p=0.019). Repeated trials did not affect latencies of the AD responses as the average latency of the first evoked response (86.7 ± 26.17 ms) was not different ($t_{(8)}$ =0.69, p=0.51) from the last evoked response (80.1 ± 23.30 ms) across participants.

195 Response amplitudes did not systematically vary with repeated trials. The average 196 amplitude of the first response in TA was 1.4 ± 1.55 %MVC, which was not different ($t_{(9)}$ =0.70, 197 p=0.50) from the last response of 1.9 ± 2.23 %MVC. The average amplitude of the first response 198 in AD of 5.7 ± 4.55 %MVC was not different ($t_{(8)}$ = 1.61, p=0.15) from the last response of 4.6 ± 199 4.47 %MVC.

Background EMG activity did not systematically vary with repeated trials. The average 200 201 background activity in TA prior to the first trial (3.5 ± 0.53 %MVC) was not different ($t_{(9)}=0.71$, p=0.50) to the last trial (3.7 ± 1.51 %MVC). The average background activity in SOL prior to the 202 first trial (17.4 ± 6.81 %MVC) was also not different ($t_{(9)}$ =0.71, p=0.50) to the last trial (15.4 ± 203 204 3.04 %MVC). The average background activity in AD prior to the first trial $(4.7 \pm 2.84 \% MVC)$ was not different ($t_{(9)}=0.55$, p=0.60) to the last trial (5.13 ± 4.10 %MVC). Standing on foam did 205 not overtly influence the background activity in TA or AD. The average background activity in 206 TA was 3.3 ± 0.81 %MVC (firm) and 3.6 ± 0.75 %MVC (foam), which were not different 207 (Student's $t_{(29)}=0.86$, p=0.40). The average background activity in AD was 4.4 ± 1.54 %MVC 208 (firm) and 4.3 ± 2.20 %MVC (foam), which were not different ($t_{(29)}=0.11$, p=0.91). In contrast, 209 background activity in SOL increased ($t_{(29)}=2.37$, p=0.025) when standing on foam (17.0 ± 4.15 210 %MVC), compared with standing on a firm surface $(13.3 \pm 3.98 \text{ %MVC})$. 211 212 COP_{A-P} , elbow and ankle angles Figure 3A depicts traces from one participant. The traces are vertically aligned to the

Figure 3A depicts traces from one participant. The traces are vertically aligned to the position at the time of perturbation onset (0 ms). As can be seen, the consequences of the first trial (thick grey traces) are distinctly different from the subsequent trials (thin black traces). Following the first trial there is a distinct forward sway of the COP_{A-P} , beginning at about 200 ms. Following subsequent trials the position of the COP_{A-P} appears to either be unaffected, or sway backwards. The elbow joint exhibits a distinct flexion at 200 ms in the first trial, but is extended in later trials. The ankle joint shows a modest dorsiflexion at 200 ms in the first trial, but little reaction in subsequent trials.

To characterize the adaptation in mechanical events with repeated trials the change in 221 COP_{A-P} position, elbow angle and ankle angle was determined 300 ms following the perturbation 222 onset, relative to the positions at perturbation onset. The average changes in COP_{A-P} position and 223 224 joint angles across participants are depicted in Figure 3B. It can be seen that the first trial resulted in distinctly different outcomes than the subsequent trials. Specifically, the COP_{A-P} 225 position indicates that all participants swayed forwards on the first trial. Thereafter a consistent 226 pattern of sway was not observed resulting in a change in COP_{A-P} position near zero, with 227 relatively large variability. The mean change in COP_{A-P} for the first trial was 2.9 ± 1.12 mm, 228 which is significantly different from a hypothesized value of 0 mm ($t_{(9)}$ =8.16, p<0.001). In 229 contrast, the mean change in COP_{A-P} for the tenth trial was -1.3 ± 2.67 mm, which is not different 230 from 0 mm ($t_{(9)}$ =1.56, p=0.15). The elbow flexed following the first trial across all participants 231 with an average change in angle of $-1.7 \pm 1.97^{\circ}$, significantly less than a hypothesized value of 0° 232 $(t_{(9)}=2.67, p=0.026)$. However, for all subsequent trials the elbow extended or demonstrated very 233 little difference 300 ms following the displacement. For the tenth trial, this resulted in an average 234 change in angle of $2.2 \pm 1.61^{\circ}$, which is significantly greater than 0° ($t_{(9)}$ =4.41, p=0.0017). All 235 participants demonstrated a modest dorsiflexion following the first trial, resulting in an average 236 change in ankle angle of $-0.3 \pm 0.39^{\circ}$ 300 ms following the displacement, which was different 237 238 from 0° ($t_{(9)}=2.35$, p=0.043). In contrast, the change in ankle angle on subsequent trials varied

239	across participants and trials. As a result, the average tended be a positive difference, or
240	plantarflexion, but not much different from 0°. The tenth trial average change in angle across
241	participants was $0.1 \pm 0.43^{\circ}$, not different from 0° ($t_{(9)}=0.68$, $p=0.52$).
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243 Discussion

As observed previously, only about 60% of participants react to the first exposure of a 244 touch displacement with activation of TA [5]. Increasing the instability by standing on foam did 245 not change this rate. The evoked responses in TA on the first touch plate displacement likely 246 247 represent a PR [5]. The short-latency responses in TA precede a forward sway in the COP_{A-P} and dorsiflexion at the ankle, coupled with flexion at the elbow. This behaviour is consistent with a 248 reaction that would correct for a backwards fall. That is, a backwards fall would result in a 249 250 relative forward displacement of the touch. Activation of TA would counteract a backwards fall, dorsiflexing the ankle and drawing the body forward. The coincidental flexion at the elbow is 251 likely a coordinated response integrated within the PR to ensure contact with the touch reference 252 is maintained [11]. 253

Subsequent exposures (trials 2-10) of the touch displacement evoked a change in 254 255 behaviour that we suggest represents an arm-tracking strategy [5]. An arm-tracking strategy is suggested by the increased prevalence of evoked responses in AD and progressive arm extension 256 behaviour. In addition, the consistent forward sway observed after the first trial was no longer 257 258 apparent. Interestingly, all participants exhibited responses in TA in trials 2-10 when standing on foam, in stark contrast to the lack of responses for participants standing on a firm surface [5]. 259 The increased occurrence of responses in TA was not due to greater activation of TA when 260 261 standing on foam as background TA activity was comparable to that seen when standing on a

262 firm surface. It is possible that the activity in TA during the emergence of the arm-tracking strategy is related to an "associated postural adjustments" (APA) accompanying the focal arm 263 movements [12,13]. However, muscle activity associated with APAs typically precedes that of 264 the focal movement, which was not observed here, and activation of TA is not typically 265 associated with APAs with arm extension behaviours. The functional relevance of the TA 266 activation in trials 2-10 is not easily delineated. Nevertheless, it is apparent that TA is activated 267 in subsequent trials when standing on an unstable surface and is incorporated as part of a 268 distinctly different behaviour than what is observed with the first trial. 269

270 PRs typically demonstrate first trial effects wherein the initial exposure to a disturbance evokes responses that are larger in amplitude than subsequent trials, but with generally unaltered 271 timing [14]. The first trial responses we report do not appear to be consistent with typical first 272 trial effects as the forward sway response is abandoned and arm-extension replaces an initial 273 arm-flexion response. Therefore, this is not a simple habituation process. It is possible that the 274 displacement of 12.5 mm was large enough to allow the participants to become aware of the first 275 disturbance so as to lead them to disregard future perturbations. Following the experiment all 276 participants were asked to describe their experience, including indicating if they detected any 277 278 disturbances. Indeed, by the last trial all participants identified that the touch plate moved. However, most participants (8/10) indicated fewer than 5 displacements. Moreover, consistent 279 with our previous study [5], some participants initially believed the support surface had moved. 280 281 These anecdotal accounts make it unlikely that the change in behaviour after the first trial is the result of conscious awareness of the nature of the initial disturbance. Alternatively, it might be 282 that the first trial responses observed here are startle responses. It was recently argued that PRs 283 include a startle component [15]. However, startle typically evokes responses in SCM (including 284

from balance disturbances [15,16]), which was not observed here. In addition, the startle
component to first trial responses appears to amplify an underlying PR [15]. The first trial
response we observed was a distinctly different behaviour from the subsequent trials, making it
unlikely that the difference can be explained by a startle component alone.

The most striking finding of this study is the immediacy with which participants changed 289 their motor response after the first trial. The change in behaviour was robust even though the 290 stimulus did not change (slip of the touch plate) and no instructions were offered to the 291 participants. Nevertheless, all participants subsequently utilized the tactile cue to "trigger" an 292 293 arm-tracking task. For those participants that initially engaged a PR, this required identifying that their response was functionally inappropriate and then quickly determining an alternative 294 solution to the problem posed by the slip-related tactile feedback. This rapid "repurposing" of the 295 296 tactile cues is worthy of further study as the selection of appropriate motor responses and the ability to quickly adapt based upon experience are important to meet the needs of maintaining 297 stability in challenging environments. The problem is similar to that faced in other motor tasks, 298 such as reaching, when multiple possible movements might be considered, before one is decided 299 upon and implemented [17]. It has been suggested that when such challenges are encountered 300 multiple motor solutions are encoded in parallel before selecting the one that is implemented 301 [18]. A similar process could explain the rapid nature by which participants switched from a PR 302 strategy to an arm-tracking strategy in the present study. 303

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351 Figure Legends

Figure 1 Data traces from one participant (participant #4) showing the EMG activity in TA for all 10 forward touch plate displacements. Each full-wave rectified and low-pass filtered (50 Hz) EMG trace begins 100 ms prior to the onset of the touch plate displacement (indicated by the vertical dashed line). The small vertical lines indicate the onset of an evoked response in each trace when present. The EMG scale bar represents 5% MVC.

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Figure 2 Grid indicating the presence of detectable EMG responses in TA and AD following
forward touch plate displacements across all participants and trials. The darkened cells indicate
trials for which a response was present.

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Figure 3 (A) Data traces from one participant showing the COP_{A-P}, Elbow and Ankle angles for 362 all 10 forward touch plate displacements. The first trial is depicted as the thick grey trace, while 363 trials 2-10 are depicted as the thin black traces. Each trace begins 200 ms prior to the onset of the 364 touch plate displacement (indicated by the vertical dashed line) and has been aligned vertically to 365 the position at displacement onset. (B) Group averages of the change in COP_{A-P}, Elbow and 366 Ankle angles 300 ms after touch plate displacement onset. Error bars indicate standard deviation. 367 The dashed horizontal line indicates no change. Positive values in the COP_{A-P} data represent 368 forward differences, while positive values in the joint angles represent extension and 369 370 plantarflexion.



TA



