1	Challenges and opportunities related to the use of chitosan as food preservative
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Summary 17

Chitosan has attracted a growing attention as food preservative due to its versatility, non-18 toxicity, biodegradability, and biocompatibility. This review aims to provide a critical 19 appraisal of the limitations and opportunities of the use of chitosan as food preservative. 20 Application of chitosan as food preservatives necessitates insights into mechanisms of 21 chitosan-mediated cell death and injury, factors affecting chitosan activity and effects of 22 chitosan on food safety and quality. Chitosan exert antimicrobial activity through 23 perturbing the negatively charged cell envelope of microorganisms with its polycationic 24 25 structure. Intrinsic characteristics, including molecular weight (MW) and degree of deacetylation (DD), and other ambient conditions, including pH, temperature, neighboring 26 components, affect chitosan activity. Because the antimicrobial activity of chitosan is 27 mainly based on ionic interactions with negatively charged components of the bacterial 28 cell envelope, the food matrix can strongly interfere with the antimicrobial activity of 29 chitosan. Despite of its limited antimicrobial efficacy, chitosan demonstrates both 30 bactericidal and bacteriostatic effect in specific food products. Moreover, chitosan can also 31 enhance the efficacy of commercial intervention technologies, such as heat and pressure 32 33 treatment, and aid the preservation of food quality, including retardation of lipid oxidation, weight loss, and deterioration in sensory attributes. 34

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Keywords: Chitosan, food preservative, mode of action, antimicrobial activity.

36 Introduction

Food safety and quality are fundamental concerns for consumers and the food industry. 37 38 Current intervention and preservation technologies, however, do not prevent outbreaks of foodborne bacterial disease, or food spoilage and food waste (Hussain 2013). Moreover, 39 the negative public perception of commercial preservatives prompts an increasing 40 41 preference of consumers for replacement of chemical preservatives by "natural" alternatives that are derived from biological systems (Amit et al. 2017; Román et al. 2017). 42 To meet the consumers' demand for "natural preservatives" including essential oils 43 44 extracted from plants (Sanchez-Maldonado et al. 2015; Pandey et al. 2017), bacteriocins from lactic acid bacteria (LAB) such as nisin or pediocin PAB1-AcH and bacteriocin-45 producing protective cultures such as Carnobacterium maltaromaticum UAL307 (Micocin 46 (Liu 2014; Barbosa et al. 2017) are used commercially as food preservatives. Further 47 improvement of food safety and quality, however, necessitate the development of other 48 49 antimicrobials from natural resources.

Chitosan is a linear polysaccharide consisting of β -(1 \rightarrow 4)-linked glucosamine and 50 N-acetyl-D-glucosamine that has been proposed for use as food preservative. Chitosan is 51 52 prepared by deacetylation of chitin, which is present in the exoskeleton of crustaceans and insects and in the cell walls of most fungi and some algae (Ma et al. 2017; Muxika et al. 53 2017). When the proportion of glucosamine exceeds the proportion of N-acetyl 54 55 glucosamine, corresponding to a degree of deacetylation (DD) of more than 50%, the polymer is termed chitosan (Khor and Lim 2003; Ramírez et al. 2010). Owing to its positive 56 57 charge and unique functional groups, including the amino/acetamido groups at the C-2 58 position, and hydroxyl groups at the C-3 and C-6 positions, chitosan is a versatile 59 biopolymer with applications in the biomedical field, in wastewater treatment, agriculture, food protection, cosmetics, papermaking, and the textile industry (Ma et al. 2017; Muxika 60 61 et al. 2017). While several reviews indicate the potential applications of chitosan as food preservative, challenge studies in food often report only a limited effect of chitosan on 62 pathogens or spoilage organisms. This review aims to provide a critical appraisal of the 63 64 challenges to food applications of chitosan that are imposed by the molecular structure of chitosan and its interactions with the food matrix, but also outline opportunities of the use 65 of chitosan as food preservative. 66

67 **Preparation of chitosan**

Chitosan is prepared by purification, and deacetylation of chitin. Further enzymatic or 68 69 chemical depolymerisation of chitosan yields water soluble chitosan-oligosaccharides (COS). To purify chitin from the shells of crustaceans, the shells are ground (Abdou et al. 70 2008), processed with HCl to achieve demineralisation, and boiled in dilute NaOH to 71 72 remove proteins (Puvvada et al. 2012; Arbia et al. 2013; Kumari et al. 2015). Deacetylation of chitin is achieved through alkaline treatment at more than 80 °C. The degree of 73 deacetylation (DD) is dependent on the reaction conditions (Teng 2011; Yuan et al. 2011). 74 Treatment with 12.5 mol L⁻¹ NaOH at 95–100 °C deacetylates chitin within 2 h, yielding 75 chitosan with DD of 87-90% and average MW of 160 -1600 kDa (Puvvada et al. 2012). 76 77 Generally, chitosan is acid soluble and has antimicrobial activity only when the ambient 78 pH is lower than its pKa, which ranges from 6.2 to 7.0 (Tsai and Su 1999; Helander et al. 2001; Devlieghere et al. 2004). For food applications, chitosan is either dissolved in acetic 79 80 acid to a concentration of 1 - 2%, or applied as chitosan-based packaging film (Jovanović 81 et al. 2016; Muxika et al. 2017; Zhao et al. 2018). Chitosan has also been converted to

82 chitosan nanoparticles or microparticles (CN/CM) through ionic crosslinking with polyanionic sodium triphosphate (TPP) (Chávez et al. 2011; Zhao et al. 2011). CN/CM 83 84 were reported to be effective food preservatives (Fang et al. 2015; Pilon et al. 2015; Chouljenko et al. 2017; Paomephan et al. 2018), however, there is no evidence that CN/CM 85 have superior antimicrobial activity when compared to chitosan solutions. Chitosan can 86 87 also be depolymerized by chitosanases and chitinases (Aam et al. 2010). COS have higher solubility and lower antimicrobial activity when compared to high molecular weight 88 89 chitosan (Fernandes et al. 2008; Mellegård et al. 2011).

90 Mode of action and factors affecting the antimicrobial activity of chitosan

91 Chitosan, exhibits bacteriostatic or bactericidal effects against a wide range of 92 microorganism (Devlieghere et al. 2004). The mode of action of chitosan relates to 93 alterations of the cell envelope and a compromised integrity of the cytoplasmic membrane. 94 The mode of action of chitosan against Gram negative and Gram positive bacteria is 95 depicted in Figure 1 and described in more detail below.

Polycationic chitosan disrupts the integrity of the Gram-negative outer membrane (Fig. 96 1A). Outer membrane damage caused by chitosan was demonstrated through use of the 97 98 fluorescent dye N-phenyl-1-naphthylamine (NPN), which is solubilized in membrane of 99 Gram-negative bacteria only when the outer membrane is damaged (Träuble and Overath 1973; Loh et al. 1984). Chitosan at the concentration of 0.01 to 5 g L⁻¹ increased in NPN 100 101 fluorescence in E. coli, indicating permeabilization (of the outer membrane (Liu et al. 2004; Mellegård et al. 2011). Similar chitosan-induced permeabilization of the outer membrane 102 103 was also observed in Salmonella (Helander et al. 2001).

104 Chitosan also permeabilizes cytoplasmic membrane (Fig. 1A and B). Quantification of the transmembrane potential with the lipophilic dye [3H] tetraphenylphosphonium bromide 105 ([3H]TPP⁺) demonstrated that addition of 10 mg L^{-1} chitosan to suspensions of 106 Staphylococcus simulans reduced the membrane potential from 110 mV to 30 mV, 107 indicating dissipation of membrane potential and perturbation of membrane integrity 108 109 (Raafat et al. 2008). In addition, chitosan also initiated a progressive efflux of K+ and UVabsorbing cellular components in S. simulans, S. aureus, E. coli and Bacillus cereus, further 110 supporting an increased permeability of cytoplasmic membrane (Helander et al. 2001; Liu 111 112 et al. 2004; Raafat et al. 2008; Mellegård et al. 2011).

A *pmrA* negative mutant of *Salmonella* Typhimurium with a more positively charged lipopolysaccharide (LPS) was more resistant to chitosan than its parent strain (Helander et al. 2001), and *S. aureus* mutants lacking teichoic acids (TA) or lipoteichoic acid (LTA) were also more resistant to chitosan than wild type *S. aureus* (Raafat et al. 2008). These finding suggest that the electrostatic interactions between positively charged chitosan and negatively charged LPS (Fig. 1A), TA or LTA (Fig. 1B) contribute considerably to the chitosan-mediated cell death and injury.

The degree of acetylation and the molecular weight impact antimicrobial activity of chitosan through altering the charge density of chitosan. Chitosan with higher degree of deacetylation has a higher positive charge density, allowing for a stronger electrostatic interaction with negative charged cell surface and leading to an enhanced antimicrobial activity (Chung et al. 2004; Mellegård et al. 2011; Younes et al. 2014; Chien et al. 2016). The minimum molecular weight of chitosan with DD of 84% for observation of antimicrobial activity was 2.3 kDa and the activity increased with increasing molecular 127 weight. With chitosan of a DD of 52%, antimicrobial activity was observed only at a molecular weight of 11.9 kDa and higher (Mellegård et al. 2011). The higher antimicrobial 128 activity of chitosan with higher DD and molecular weight may be attributed to the higher 129 positive charge density and the more intensive interaction with cell envelope. In food 130 application, COS with MW of <5 kDa has no antibacterial activity while chitosan with 131 MW of >80 kDa at a concentration of 0.5 % (w/v) was bactericidal in milk and 132 bacteriostatic in cheese. Compared with chitosan, the higher reactivity and stronger 133 134 interaction of COS with food components, such as protein and lipid, account for the loss 135 of COS in food systems (Ausar et al. 2002; Fernandes et al. 2008).

The ambient conditions, including pH, temperature, divalent metal ions also affect 136 137 antimicrobial activity of chitosan. A low pH favors protonation of chitosan and thus increases its antimicrobial activity (Tsai and Su 1999; Helander et al. 2001; Devlieghere et 138 al. 2004). Divalent metal ions, including Zn²⁺, Ba²⁺, Ca²⁺, Mg²⁺, at a concentration of 25 139 mmol L^{-1} in medium weaken the inhibitory activity of chitosan, probably through shielding 140 of negative charges on the cell envelope (Tsai and Su 1999; Chung et al. 2003). The 141 ingredients present in different food products, including NaCl and proteins, may also 142 143 decrease chitosan activity by shielding positive charges of chitosan (Devlieghere et al. 2004). 144

Antimicrobial activity of chitosan is also dependent on the target microorganisms. Since media composition highly influences the *in vitro* activity of chitosan, it is not possible to conclude on differences in resistance between microorganisms unless the target strains were assessed in the same medium. Few studies indicated certain Gram-negative bacteria, including *E. coli*, *Pseudomonas aeruginosa*, *Klebsiella pneumoniae* and *Salmonella* Typhi, 150 were more susceptible to chitosan than certain Gram-positive bacteria, including S. aureus, B. cereus, Enterococcus faecalis and Micrococcus luteus (Younes et al. 2014). Similarly, 151 chitosan also exhibited a higher activity against E. coli when compared to B. cereus 152 (Mellegård et al. 2011). When cells were suspended in buffer containing 0.5% chitosan at 153 pH 5.4, the decrease of cell counts of E. coli induced by chitosan was more than 3 154 155 log(cfu/mL) higher when compared to S. aureus (Liu et al. 2004). The reasons for these species-specific differences in resistance to chitosan are still unclear. The loss of teichoic 156 acids (TA) and modification of LPS altered the susceptibility to chitosan in S. aureus and 157 158 S. Typhimurium, respectively (Helander et al. 2001; Raafat et al. 2008; Mellegård et al. 2011). These studies highlight that the difference in charge distribution on the cell surface 159 160 may account for the species- and strain specific differences in resistance to chitosan.

Challenge studies with pathogens to evaluate the use of chitosan as food preservative 161 A summary of challenge studies with chitosan, chitosan nanoparticles or chitosan-based 162 films in food is provided in Table 1. In most cases, the lethality of chitosan is limited to a 163 2.5 log (cfu g⁻¹) decrease of cell counts irrespective of the food matrix and the form of 164 application (Table 1). A reduction of more than 5 log (cfu g⁻¹) of *Listeria monocytogenes* 165 166 was observed on apples and grapes coated with 2% w/v chitosan solution (Anacarso et al. 2011). This high antilisterial activity may be attributed to the smooth surface of apples and 167 grapes, resulting in a high local concentration of chitosan and an intense interaction of 168 169 bacterial cells with chitosan. Other studies observed bacteriostatic rather than bactericidal effects of chitosan in artificially contaminated food. Coating eggs with 2% chitosan 170 171 solution was not lethal to Salmonella Enteritidis when chitosan solution was applied on 172 egg shells and dried prior to the inoculation of bacterial cells, but offered a protective barrier reducing the penetration of *Salmonella* (Leleu et al. 2011). Similarly, chitosan films
were not bactericidal but delayed the growth of *Listeria monocytogenes* on slices of readyto-eat sausages (Moradi et al. 2011). Incorporation of chitosan powder into bread at 0.6%
w/w inhibited the growth of *B. cereus* and rope formation during storage at 30 °C for 3
days (Lafarga et al. 2013). Taken together, the disparity in lethality of chitosan shown
among different reports may be attributed to the variation in chitosan property, food matrix
and approaches of chitosan application.

Surface application of chitosan is the most frequent form of application (Table 1); only few 180 181 studies directly compared the efficacy of chitosan solutions to nanoparticles or packing films. Chitosan solution exhibited stronger bactericidal activity against L. monocytogenes 182 183 on black radish when compared to a chitosan packaging film (Jovanović et al. 2016). After coating of chitosan solution, samples are often drained or dried (Kanatt et al. 2013; 184 185 Jovanović et al. 2016). With water evaporation, chitosan becomes more concentrated than 186 the original chitosan solution, resulting in a higher local concentration of chitosan on the sample surface and a more intensive interaction with target cells. 187

188 Application of chitosan as food preservatives to control spoilage organisms

Studies that monitored the development of the non-pathogenic microbiota of food, including aerobic mesophilic bacteria, psychrotrophic bacteria, lactic acid bacteria, *Brochothrix*, *Pseudomonas* spp, Enterobacteriaceae, or yeast and molds are summarized in Table 2. In these cases, un-inoculated food samples were treated with chitosan solution, chitosan nanoparticles, or with chitosan-based films, followed by refrigerated storage and microbiological analysis during storage. Bacteriostatic effect of chitosan ranged from 1 to 6 log (cfu g⁻¹), depending on dosage and intrinsic characteristics of chitosan food matrix 196 and storage condition (Table 2). In addition to the enumeration of microbial populations, the observation of microbial spoilage of vegetables and fruits allows assessment of the 197 198 effectiveness of chitosan. Coating treatment with 1% (w/v) chitosan solution reduced the decay of sweet pepper by 20% after storage at 8 °C (Xing et al. 2011). Pre-harvest spray 199 with 0.1% (w/v) chitosan solution or post-harvest coating with 1% (w/v) chitosan solution 200 201 significantly reduced the decay index of chitosan-treated grape fruits after storage for 16 d at 20 °C or 42 d at 0 °C (Meng et al. 2008). To investigate the mechanisms of chitosan-202 203 mediated reduction of spoilage of fruits and vegetables, artificially wounded fruits were first coated with chitosan solution then inoculated with indicator fungal strains (Chien et 204 al. 2007), or artificially wounded samples, inoculated, and then coated with chitosan (Shao 205 206 et al. 2015). Independent of the sequence of inoculation with fungi and chitosan application, chitosan treated samples reduced the decay incidence when compared to 207 208 controls (Chien et al. 2007; Shao et al. 2015). Chitosan also inhibited spore germination, 209 germ tube elongation and mycelial growth of many phytopathogens (Ben-Shalom et al. 2003; Liu et al. 2007). The antifungal activity of chitosan in combination with the 210 mechanical barrier provided by a chitosan coating probably contribute to the decreased 211 212 decay incidence through inhibiting growth of indigenous microorganisms and protecting samples from exogenous infection. 213

214 Use of chitosan to enhance the efficacy of other antimicrobial hurdles.

Chitosan potentiates the efficacy of commercial intervention technologies, such as heat and high hydrostatic pressure. Chitosan is generally applied as dilute solution in acetic acid. Those studies that used a solvent control demonstrated, however, that the carry-over of acetic acid or acetate, 1 - 20 mg kg-1, does not impact the antimicrobial activity of chitosan (Table 1 and 2). Addition of chitosan to a concentration of 0.01% w/w enhanced the thermal inactivation of *E. coli* O157:H7 (EHEC) in ground beef by 1.5 log (cfu g⁻¹) (Surendran Nair et al. 2016). Chitosan at a concentration of 0.1% (w/v) acted synergistically with pressure treatment of apple juice to inactivate *E. coli* (Kumar et al. 2009). The combined application of chitosan and pressure demonstrated synergistic effects in elimination of *S. aureus* and *E. coli* in buffer, and in controlling bacterial growth in apple juice and minced pork during refrigerated storage (Malinowska-Pańczyk et al. 2009).

226 Application of chitosan to improve quality of food products.

227 Chitosan also exerts other beneficial effects on food quality that are independent of its 228 antimicrobial activity and include retardation of lipid oxidation, retention of color and 229 nutrients, maintaining freshness and sensory attributes. The effects on food quality are 230 dependent on the food matrix and are summarized in Table 3.

Meat and seafoods. Application of chitosan significantly reduced the rate of lipid 231 oxidation, which is usually indicated by thiobarbituric acid reactive substances and 232 peroxide value on meat and seafood (Table 3). The ability of chitosan to control lipid 233 oxidation relate to scavenging of reactive radicals (Kim and Thomas 2007; Wan et al. 234 235 2013), forming stable complex with volatile aldehydes derived from decomposition of lipid (Shahidi et al. 1999), and to providing a barrier to oxygen diffusion (Sathivel et al. 2007). 236 The color of specific foods strongly affects purchasing decisions of consumers (Gao et al. 237 238 2013). Chitosan treatments in different forms retarded the color alteration in sausage, pork meat patties, and pacific white shrimp (Table 3). Metmyoglobin (MetMb) is the major 239 240 factor causing the browning of fresh meat (Bekhit et al. 2007). The color retention caused

by chitosan was achieved through decreasing MetMb concentration, and may also relate tothe anti-oxidative activity of chitosan (Qin et al. 2013).

Melanosis is a type of spoilage specific for crustaceans. During post-mortem storage of 243 crustaceans, microbial compounds, including peptidoglycan binging protein (PGBP) 244 produced by Gram positive bacteria, lipopolysacharide and β -(1 \rightarrow 3)-glucan binding 245 246 protein (LGBP) produced by Gram negative bacteria, and β -(1 \rightarrow 3)-glucan binding protein (BGBP) produced by fungi, accumulate and activate polyphenoloxidase (PPO). PPO 247 248 oxidizes monophenols, particularly tyrosine, into quinones, followed by non-enzymatic 249 polymerization of quinones to form dark pigments called melanin. The accumulation of melanin incurs the formation of black spots on carapace, namely, melanosis, thus 250 251 substantially decreasing the commercial value of crustacean products (Garcia-Molina et al. 252 2005; Amparyup et al. 2013; Gonçalves et al. 2016). Coating shrimps with 1-1.5% chitosan 253 solution significantly retarded melanosis in shrimps (Huang et al. 2012; Yuan et al. 2016), 254 and the protective effect against melanosis likely relates to its anti-oxidative activity and antimicrobial activity (Huang et al. 2012). 255

The texture profiles is a widely used freshness indicator for seafood products (Cheng et al. 256 257 2014). Myofibrillar and connective tissue proteins are the major elements maintaining the textural properties of shrimps and fish. Microbial and endogenous proteases lead to 258 259 softening of the texture during storage (Hultmann and Rustad 2004; Yuan et al. 2016). In 260 some cases, surface application of chitosan solution retarded the softening during storage of fish, presumably through inhibition of microbial spoilage or interactions with 261 262 myofibrillar proteins to form the compact structure (Huang et al. 2012; Yang et al. 2015; 263 Yuan et al. 2016).

Eggs. Coating treatment with chitosan solutions also preserved the freshness and enhanced the commercial value of eggs (Table 3). The protective barrier formed by chitosan coating on eggshell surface may offer all these benefits through decreasing transfer of carbon dioxide and water vapor through the eggshell pores, eventually enhancing storability of eggs (Robinson 1987; Williams 1992; Wardy et al. 2014; Suresh et al. 2015).

269 Vegetables and fruits. During the storage of vegetables and fruits, metabolism and respiration of plant tissue leads to weight loss, oxidation of vitamin C, and a continual 270 271 decline in fruit firmness (Lazan and Ali 1993; Zhu et al. 2008; Ali et al. 2011; Xing et al. 272 2011; Hong et al. 2012; Han 2014). Coating with chitosan solution significantly reduced the rate of vitamin C loss in Guava and sweet pepper (Xing et al. 2011; Hong et al. 2012). 273 Vitamin C loss is favoured by the presence of O₂ (Ayranci and Tunc 2004) and coating of 274 fruits with chitosan solution significantly reduced O₂ diffusion into plant tissue (Ali et al. 275 276 2011). Chitosan coatings delayed the ripening process and tissue softening of guava (Hong et al. 2012), litchi fruit (Dong et al. 2004), papaya (Ali et al. 2011) and grapes (Meng et al. 277 2008). 278

In addition to performing direct protective effect, coating treatment with chitosan solution also enhanced the activities of peroxidase (POD) and superoxide dismutase (SOD), plant defensive-enzymes that aid self-detoxification under stress (Jahnke et al. 1991; Meng et al. 2008; Xu et al. 2009), in sweet pepper and guava fruits, concomitantly resulting in a decreased membrane injury (Xing et al. 2011; Hong et al. 2012). These findings suggest that chitosan can also promote protection of vegetables and fruits through acting as a defensive-enzyme enhancer (Xing et al. 2011; Hong et al. 2012).

286 Concluding remarks

287 Chitosan has antimicrobial activity only if it is in the polycationic form at pH values below the pKa. Antimicrobial activity of chitosan depends on the electrostatic interactions 288 between polycationic chitosan molecules and negatively charged cell envelopes. Food 289 components, including NaCl, proteins and starch, adversely affect chitosan activity if 290 positive charge of chitosan is neutralized. Therefore, inactivation of pathogens by chitosan 291 on food is typically limited to a decrease of $1 - 2 \log (cfu g^{-1})$, which provides a significant 292 challenge to the application of chitosan as general food preservative. In specific 293 applications, however, provide opportunities for the use of chitosan as effective 294 295 preservative. First, surface application of chitosan on smooth fruits and vegetables concentrates chitosan and allows effective microbiocidal activity. Second, chitosan can 296 297 potentiate the efficacy of other intervention technologies, including heat and pressure treatments, to become part of an effective hurdle concept. Third, chitosan improves food 298 299 quality independent of its antimicrobial activity in some cases, e.g. by retardation of lipid 300 oxidation, plant metabolism, or melanosis, which may favour chitosan applications even if the antimicrobial effect is limited. Chitosan is thus a promising food preservative in 301 specific applications. 302

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572 Figure legends

Fig 1. Mode of action of chitosan against Gram negative bacteria (Panel A) and Gram positive 573 bacteria (Panel B): When the ambient pH is lower than pKa of chitosan, chitosan is polycationic 574 575 chitosan molecules, which enables electrostatic interactions with negatively charged structures of 576 the cell envelope, including the lipopolysaccharide (LPS) in the outer membrane of Gram negative 577 bacteria (A), lipoteichoic acid and wall teichoic acids of Gram positive bacteria (B), and the 578 cytoplasmic membrane. These electrostatic interactions can disrupt the integrity of cell envelope, 579 subsequently cause dissipation of membrane potential, leakage of cells, leading to cell death 580 (Helander et al. 2001; Liu et al. 2004; Raafat et al. 2008; Mellegård et al. 2011).

Chitosan preparation and application		Dn Lethality (logN ₀ /N)	
		Meat products	
Surface application	0.5% w/v; 350 kDa;	0.5 (S. Typhimurium)	Chicken skin[1]
	2% w/v	2 (S. aureus) 2.5 for B. cereus; 1 for E. coli; 0.5 for P. fluorescens	Chicken or mutton seekh kabab[2]
	2% w/v; 340 kDa	2 (E. coli O157:H7); 1 (Salmonella)	Fresh turkey meat[3]
Packaging film	0.389 mg chitosan/cm ² ; 150 kDa	0.8 (Listeria innocua)	Ready-to-eat turkey meat[4]
	150 mg chitosan/g starch; 190–310 kDa	1 (spoilage bacteria cocktail of <i>Brochothrix</i> thermosphacta, Carnobacterium maltaromaticum, Leuconostoc gelidum and Lactobacillus sakei) Seafood	Ham[5]
Microoparticles (CM)	Surface application of 0.5% w/v CMs solution from chitosan with 50-190 kDa	1.9-3.9 (V. vulnificus); 1.9-2.6 (V. parahaemolyticus)	Live oysters[6]
		Vegetables and fruits	
Nanoparticles (CN)	Washing samples with 800 mg/L CNs solution, which was produced from chitosan with 30 or 2100 kDa	1 (<i>E. coli</i>) 1 (<i>S.</i> Typhimurium)	Fresh vegetables[7]
Solution	2% w/v; 150 kDa	 1.5 on zucchini, corn and radishes; 2 on mixed salad, carrots and zucchini; > 5 on apples and grapes (<i>L. monocytogenes</i>) 	Zucchini, corn and radishes; mixed salad, carrots and zucchini; apples and grapes[8]
	2% w/v; 150 kDa;	1 (Salmonella)	Cantaloupe[9]

Table 1. Bactericidal effect of different forms of chitosan on artificially contaminated foods

	1% w/v; 1600 kDa	0.5 (L. monocytogenes)	Broccoli florets[10]
Solution coating or packaging film	Solution: 1% w/v; Film: 0.5% w/w; 190-310 kDa.	2.5 (<i>L. monocytogenes</i>) with solution; 1.0 (<i>L. monocytogenes</i>) with packaging film	Black radish[11]

Lethality: Reduction of log (CFU/g) or log (CFU/mL); MW: Molecular weight; the degree of deacetylation was > 75% for all studies

included in this table.

[1] Menconi et al. 2013 [2] Kanatt et al. 2013 [3] Vardaka et al. 2016 [4] Guo et al. 2014 [5] Zhao et al. 2018 [6] Fang et al. 2015 [7] Paomephan et al. 2018 [8] Anacarso et al. 2011 [9] Chen et al. 2012 [10] Severino et al. 2014 [11] Jovanović et al. 2016

Chitosan p	reparation and application	Effect of chitosan	Products (reference)
		Meat products	
Surface application	0.5% w/v; 350 kDa	Psychrotrophic spoilage bacteria in samples treated with chitosan remained below detectable levels during storage at $4 ^{\circ}\text{C}$.	Chicken skin[1]
	1.0% w/v	Cell counts of mesophilic and psychrotrophic bacteria, lactic acid bacteria, and yeast and mold were lower than controls after storage at 4 °C for 60 d by $3 - 6 \log (cfu/g)$.	Sausage[2]
	1.5% w/v; 340 kDa	Total plate counts and cell counts of spoilage organisms including <i>Pseudomonas</i> spp., Lactic Acid Bacteria, <i>Brochothrix thermosphacta</i> , coliforms and yeasts-moulds, were lower than controls by 1-2 log (cfu/g) after storage at 4 °C for 12 days, extending the microbial shelf-life by more than 9 days.	Chicken breast meat[3] Turkey meat[4] Ready to cook chicken product [5]
	1 % w/v; 800 kDa	Cell counts of pseudomonads, lactic acid bacteria, and coliforms were lower than controls after 6 d of storage at 4 °C by 3.9-4.9 log (cfu/g).	Chicken breast fillets[6
	2% w/v; 897 kDa	Total viable count and cell counts of psychrotrophic bacteria were lower than controls by 1 log after storage at 4 °C for 25 days.	Cooked pork sausages[7
Integration of chitosan to product	Chitosan (1674 kDa) at 2 mg g ⁻¹ in minced pork	Total bacterial count and psychrotrophic counts were lower than controls by 1 log (cfu/g) after storage of minced pork at 5 °C for 8 days	Minced Pork[8]
formula	Chitosan (490 kDa) at 1% w/w in pork sausage.	Total viable counts, and cell counts of Lactic acid bacteria, <i>Pseudomonas</i> spp., <i>Brochothrix thermosphacta</i> , <i>Enterobacteriaceae</i> , yeasts and moulds were lower than controls by 0.5-1 log (cfu/g) after storage at 4 °C for 28 days.	Fresh pork sausages[9]
Packaging film	Prepared from 2% w/v chitosan (100 kDa)	Total viable cell counts, cell counts of lactic acid bacteria, and yeasts and molds were lower than controls by 1.5-5 log (cfu/g) after storage at 4 °C for 20 days.	Cooked pork sausages[1

Table 2. Effect of chitosan on the microbial quality of food

	Prepared from 2% w/v chitosan	Total viable cell counts were lower than controls by 1 log (cfu/g) after storage at 4 °C for 12 days. Seafood	Pork meat patties[11]
Surface application	1% w/v; 320 kDa	Inhibition of H ₂ S-producing organisms during storage at 4 °C.	Shrimp[12]
	1% w/v; 25 kDa	Total aerobic plate counts were lower than controls by 2 log (cfu/g) after 10 days of iced storage.	Pacific white shrimp[13]
	2% w/v; 450 kDa	Total viable counts and psychrotrophic counts were lower than controls by 1-3 log (cfu/g) after storage at 4 °C for 16 days.	Rainbow trout[14]
	3% w/v;	Total viable cells and cell counts of psychrotrophic bacteria were lower than controls by 1 log (cfu/g) after storage at 4 °C for 12 days.	Ready-to-eat peeled Shrimps[15]
	3% w/v; 149 kDa	Total plate counts were lower than controls by 4 log (cfu/g) after vacuum or modified atmosphere packaging storage at 2 °C for 14 days.	Lingcod (<i>Ophiodon elongates</i>) fillets[16]
	1.0% w/v; 1800, 960 or 660 kDa	Total viable counts were lower than controls by 2 log (cfu/g) after storage for 12 days at 4 ± 1 °C.	Herring and Atlantic cod[17]
Incorporation	Chitosan (10 kDa) insurimi at 2% w/w.	Aerobic plate counts were lower than controls by 1 log (cfu/g) after storage at 4 °C for 12 days.	Surimi gel made from African catfish (Clarias gariepinus)[18]
Coating with solution or nanoparticles	Solution: 1% w/v; 300 kDa; DD 65 %; Nanoparticles: 1% w/v; DD 20%	Cell counts of Aerobic bacteria were lower than controls by more than 1 log (cfu/g) after storage at 4 °C for 24 days. Conventional solution was more bacteriostatic than nanoparticles solution. Vegetables, fruits and juice	Shrimp Muscle[19]
Surface application	1.5%w/v;	Total viable counts and cell counts of yeast and mold were lower than controls by 0.5-1 log (cfu/g) after storage at 4 °C for 7 days.	Pears[20]
	1% w/v; 190 to 310 kDa;	Cell counts of mesophilic aerobic bacteria, yeast and molds were lower than controls by 1 log (cfu/g) after storage at 10 °C for 7 days.	Fresh Blueberries[21]
	1.0% w/v	Lower decay incidence by 20% after at 8 °C for 35 days.	Sweet pepper (Capsicun annuum L.)[22]

	Pre-harvest spray with 0.1% w/v or coating with 1% w/v solution	Lower decay index after storage for 16 days at 20 °C or 42 days at 0 °C.	Grape fruit[23]
Incorporation	Solution (0.4% w/v; 1674 kDa) in apple juice at 2 g/L.	Total bacterial counts, cell counts of psychrotrophic bacteria, yeast and mould were lower than controls by 0.5-3.0 log (cfu g^{-1}) after storage at 5 °C for 15 days.	Apple juice[24]
Coating with solution or nanoparticles	0.2% w/v; 71 kDa	Cell counts of mesophilic and psychrotrophic bacteria were lower than controls by 3 log (cfu g ⁻¹) after storage at 5 °C for 10 days. Solution and nanoparticles exhibited comparable bacteriostatic effect. Bakery products	Fresh-cut apples[25]
Incomposition	Chitin(124, 10 h Do, DD, 100)		
Incorporation	Chitin $(124\pm10 \text{ kDa}; \text{DD } 19\%)$ in bread at 1%.	Delay of mold growth in bread during storage of 3 days at 30 °C.	Bread[26]
Packaging film:	Prepared form 1.5% w/v chitosan	Delay of time to visible mould growth by 3 days and cell counts of mould were lower than controls by 2 log (cfu/g) after storage for 8 days at room temperature (about 25 °C). Eggs	Butter cake [27]
Surface application	1 % w/v	Total aerobic cell counts chitosan-coated eggs were under detection limit while those of non-coated eggs increased to 20 cfu/ml after 5-weeks of storage at 22±1 or 32±1 °C.	Eggs[28]

The degree of deacetylation of chitosan was higher than 75% unless otherwise noted.

[1] Menconi et al. 2013 [2] Bostan and Mahan 2011 [3] Petrou et al. 2012 [4] Vasilatos and Savvaidis 2013 [5] Giatrakou et al. 2010 [6] Latou et al. 2014 [7] Lekjing 2016 [8] Malinowska-Pańczyk et al. 2009 [9] Soultos et al. 2008 [10] Siripatrawan and Noipha. 2012 [11] Qin et al. 2013 [12] Arancibia et al. 2015 [13] Yuan et al. 2016 [14] Ojagh et al. 2010 [15] Carrión-Granda et al. 2016 [16] Duan et al. 2010 [17] Jeon et al. 2002 [18] Amiza and Kang 2013 [19] Chouljenko et al. 2017 [20] Cé et al. 2012 [21] Sun et al. 2014 [22] Xing et al. 2011 [23] Meng et al. 2008 [24] Malinowska-Pańczyk et al. 2009 [25] Pilon et al. 2015 [26] Lafarga et al. 2013 [27] Sangsuwan et al. 2015 [28] Suresh et al. 2015

Table 3. Effect of chitosan on food quality

Chitosan preparation and application		Effect of chitosan	Products (reference)
		Meat products	
Surface application	1.0% w/v	Brighter and more attractive color.	Sausage[1]
	1.5% w/v; 340 kDa	Improvement in sensory attributes.	Chicken breast meat[2] Turkey meat [3] Chicken product[4]
	1 % w/v; 800 kDa	Retardation of decline in odor and taste scores.	Chicken breast fillets[5
	2% w/v; MW: 897 kDa	Retardation of lipid oxidation, change in color and sensory attributes.	Cooked pork sausages[6
Packaging film:	Prepared from 2% w/v chitosan (100 kDa) solution.	Retardation of lipid oxidation, changes in color, texture, and sensory characteristics.	Cooked pork sausages[7
	Prepared from 2% w/v chitosan solution	Retardation of lipid oxidation and increase in MetMb content, as well as improvement in sensory attributes.	Pork meat patties[8]
Incorporation	Chitosan (490 kDa) in sausages at 1% w/w	Retardation of lipid oxidation	Fresh pork sausages[9]
		Seafood	
Surface application	1% w/v; 25 kDa	Retardation of increase in melanosis and improvement in the texture parameters and sensory attributes.	Pacific white shrimp[10
	2% w/v; 450 kDa	Retardation of increase in peroxide value and total volatile base nitrogen.	Rainbow trout[11]
	2% w/v;	Retardation of lipid oxidation and improvement in sensory attributes.	Fresh Channa Argus[12
	3% w/v; 149 kDa	Retardation of lipid oxidation under vacuum or modified atmosphere packaging.	Lingcod (Ophiodon elongates) fillets[13]

	1.5% w/w	Retardation of increase in melanosis and loss in freshness and sensory quality.	Whiteleg shrimp (<i>Litopenaeus vannamei</i>) [14]
	1.0% w/v of chitosan with 1800, 960, or 660 kDa	Retardation of lipid oxidation.	Herring and Atlantic cod[15]
Incorporation	Chitosan (10 kDa) in surimi at 2% w/w.	Retardation of lipid oxidation, extension of shelf life by 4 days.	Surimi gel made from African catfish (Clarias gariepinus)[16]
		Vegetables and fruits	
Surface application	ation 1.0% w/v	Reduction of cell injury in plant tissue, retention of vitamin C content, and enhancement of self-defence system.	Sweet pepper (<i>Capsicum annuum</i> L.)[17]
	1% w/v	Retardation of loss in weight	Grape fruits[18]
	0.5, 1.0 or 2.0% w/v; 50–190 kDa	Retardation of loss in firmness, weight, chlorophyll and vitamin C, as well as reduction of cell injury in plant tissue and enhancement of self-defence system.	Guava (<i>Psidium guajava</i> L.)[19]
	1.0%, 1.5% or 2.0% (w/v)	Retardation of loss in weight, firmness and changes in the peel colour.	Papaya[20]
		Sauce	
Incorporation	Chitosan (310 or 123 kDa) in mayonnaise at 100 mg kg ⁻¹ .	Improvement in odor and taste attributes, and retardation of lipid oxidation.	Mayonnaise[21]
		Eggs	
Surface application	1 % w/v	Retardation of loss in weight, increase in air space, and decline in Haugh Unit value, yolk index, shell strength and quality grade.	Eggs[22]
	3% w/v	Retardation of loss in weight, decline in Haugh unit and yolk index.	Eggs[23]
	1% w/v; 1110 kDa.	Retardation of loss in weight and decline in Haugh unit.	Eggs[24]

The degree of deacetylation of chitosan was higher than 75% unless otherwise noted.

[1] Bostan and Mahan 2011 [2] Petrou et al. 2012 [3] Vasilatos and Savvaidis 2013 [4] Giatrakou et al. 2010 [5] Latou et al. 2014 [6] Lekjing 2016 [7] Siripatrawan and Noipha 2012 [8] Qin et al. 2013 [9] Soultos et al. 2008 [10] Yuan et al. 2016 [11] Ojagh et al. 2010 [12] Yang et al. 2015 [13] Duan et al. 2010 [14] Huang et al. 2012 [15] Jeon et al. 2002 [16] Amiza and Kang 2013 [17] Xing et al. 2011 [18] Meng et al, 2008 [19] Hong et al. 2012 [20] Ali et al. 2011 [21] García et al. 2014 [22] Suresh et al. 2015 [23] Caner and Cansiz 2007 [24] Wardy et al. 2014



