Online Estimation of Key Parameters for Settling Slurries

by

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## Abstract

Heterogeneous slurry pipelines are found in mining, chemical, and solid transportation (such as coal pipelines) industries worldwide. One of the most important factors in the operation and design of these pipelines is bulk velocity. Solids settle when the bulk velocity is below the deposition velocity. This can cause plugging, equipment damage, and production downtime. Since the actual particle size distribution is typically unknown online, pipelines are usually operated at excessively high velocities to mitigate the risk of settling. This causes erosion and additional energy use.

Specific energy consumption (SEC) is a measure of the energy required to transport one tonne of solids a distance of one kilometer. It is highly dependent on solids properties, such as average solids size and coarse solids concentration. Presently, there is no way to predict all solids properties online accurately.

Solids properties and pipeline parameters are often used as inputs into steady-state slurry models to predict the pressure gradient and other slurry flow properties. The most commonly used is the two-layer model developed by the Saskatchewan Research Council (SRC). In this model, the slurry is approximated as two step functions that horizontally split the pipe into two layers. The pressure gradient is calculated by considering Coulombic and kinematic friction.

In this study, an interior-point optimization algorithm is used to estimate coarse solids median particle size and concentration using the Saskatchewan Research Council two-layer model for turbulent, heterogeneous, and Newtonian slurries. The parameters were estimated using the SRC two-layer model to simulate a sand/water slurry in a 75.65 mm diameter pipe. A narrow particle size distribution was used with coarse solids concentration volume fractions of 0.1 to 0.4. The coarse solids median particle size was varied between 75 to 625  $\mu$ m in 25  $\mu$ m intervals. The values were chosen to most accurately reflect slurries found in the mining and oil sands industries. For the purposes of optimization studies, the decision variables were also the parameters to be estimated. The estimated coarse solids median particle size and concentration were compared with inputs used in the Saskatchewan Research Council two-layer model.

The average error for coarse solids median particle size and concentration predictions were 13.6% and 4.2%, respectively. The error from the predicted values propagated through deposition velocity calculations. The predictions for the deposition velocity had 5.5% average error. The SEC prediction trends matched the known SEC trends, and the minimum SEC could be predicted graphically with close agreement to the known minimum.

Future work includes experimental validation. It is necessary to use process instruments including a flowmeter, a differential pressure transducer, and a densitometer to provide inputs for the estimation method. A velocity profiler can be used to estimate the top and bottom velocities; however, further studies are required to determine the best way to determine the velocity variables from the sensors. Additionally, a system of elliptic PDEs may be able to predict velocity variables instead of using a velocity profiler. Furthermore, the same estimation method can also be applied to a new SRC model that has particle size distributions (rather than a median value only) as an input.

To my parents.

Work hard and be kind.

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## **1** Introduction

#### **1.1 Slurry Pipelines**

Slurries are found worldwide in the mining and mineral processing industries (Derammelaere, 2014; Harris and Talamudpula, 2014). Examples include dredging, precious metal mining, and oil sands mines. In the United States there are approximately 8 000 km of slurry pipelines that have been constructed primarily for iron, copper, and coal slurries (Derammelaere, 2014). In 2004, half a million tonnes of solids flowed per day in the Alberta oil sands (Sanders et al., 2004). Since that time, existing operations have expanded (e.g. Shell's Jackpine Mine) and new mines have begun production (e.g. Imperial Oil's Kearl Lake). In these mines, slurries are transported long distances from mines to processing plants and waste mixtures are pipelined to disposal sites.

Slurries consist of solid particles in a liquid carrier fluid and are, generally, categorized as nonsettling or settling (Paterson and Cooke, 2014; Gillies, 1993). Non-settling slurries are usually composed of particles with diameters less than 74  $\mu$ m (Shook et al., 2002) and typically exhibit non-Newtonian behavior at high solids concentrations. The pressure gradient and flow characteristics can often be predicted with modified single-phase models (Gillies, 1993).

Slurries found in the oil sands industry often consist of particle diameters between 180-300  $\mu$ m (Schaan et al., 2007). They are considered settling slurries. For these types of slurries, the coarse solids will deposit when suspension forces are too low (i.e. when operating velocities are too

low). This can result in pipeline plugging, equipment damage, and production outages (Albion et al., 2011). Additionally, the pressure gradient and flow characteristics are highly dependent on particle diameter, particle shape, solids concentration, and bulk velocity, and cannot be predicted accurately with modified single-phase models (Shook et al., 2002). A pressure gradient prediction model used worldwide in the oil sands and pipeline design industry is the Saskatchewan Research Council (SRC) two-layer model (Sanders et al., 2004). This model treats the system at steady-state, and the slurry properties are used as constant inputs.

#### **1.2 Problem Statement**

The SRC two-layer model requires information about the slurry solid properties. A designed (or expected) slurry is used as a basis in calculations; however, in operations, the solids properties vary from the designed case, which will change the pressure gradient and deposition velocity calculations. The true dynamic system can be represented by continuously updating the calculations. Presently, this is not possible, because instantaneous and accurate measurements of all the required slurry properties are typically not available. Therefore, slurry pipelines are often operated at excessively high velocities, primarily to prevent deposition of solid particles; however, this accelerates pipeline wear and wastes energy.

Velocity can be lowered toward, but not below, the deposition velocity (the velocity at which solids begin to settle). Lowering the velocity will reduce pipeline wear and energy use. Deposition velocity will not always correspond to the most efficient velocity to operate a pipeline (Hashemi, 2014b). Specific Energy Consumption (SEC) is a quantity describing the efficiency of transporting solids in a slurry pipeline, and is defined as the energy required to transport one tonne of solids one kilometer. The lower the SEC is the more efficient pipeline operation becomes (Wilson et al., 2005).

#### **1.3 Thesis Objectives**

The main objective of this research is to estimate the coarse solids median diameter,  $d_{50}$ , and concentration,  $C_r$ , online. The  $d_{50}$  and  $C_r$  values are used as inputs in the SRC two-layer model; however, back-calculating their values are analytically impossible due to the complicated forms of the equations in the model.

Optimization techniques are often used when equations become too complicated to be solved analytically. Optimization was initially documented as early as ancient Rome (Edgar et al., 2001), but it did not become widely used until World War II to solve logistics problems (Forbes and Aksikas, 2010a). Optimization is now heavily relied upon in the oil and gas industry and has many applications, such as improving plant performance, reducing maintenance costs, and maximizing profit (Edgar et al., 2001). For example, optimization techniques saved more than 30 million dollars annually when applied to gasoline blending in a Texaco refinery in the 1980s (Adhya et al., 1999).

In this study, an interior-point optimization algorithm is applied to coarse particle (settling) slurry pipelines. The specific objectives of this project are as follows:

- 1. To develop an estimation method to predict  $d_{50}$  and  $C_r$ .
- 2. To determine how the predicted  $d_{50}$  and  $C_r$  affect deposition velocity and SEC predictions.

#### **1.4 Thesis Outline**

The thesis is organized in the manner described below. Slurry properties, pressure gradient, and SEC are described in Chapter 2. The process model is described in Chapter 3. The optimization technique and simulation results are detailed in Chapter 4. Instrumentation that can be used for future implementation of the estimation method is discussed in Chapter 5. In Chapter 6, conclusions and recommendations are made.

## **2** Slurry Properties and Specific Energy Consumption

In this chapter, slurry properties are defined and relationships among slurry properties, pressure gradient, and SEC are formed. The relationships are chiefly based upon pipeline pressure gradient being a function of many slurry properties, including  $d_{50}$ ,  $C_r$ , and bulk velocity.

Slurry pipeline pressure gradients are strongly related to the size of the solid particles in the mixture. Particles found in industrial slurries are rarely monosized, and therefore particle size distributions (PSD) are used to describe the size range of solid particles. Usually, PSDs are a graph that plots the fraction of particles in the slurry on the vertical axis and the size of particles on the horizontal axis. A cumulative frequency PSD has a vertical axis corresponds to the fraction of particles in the slurry that have diameters smaller than the value on the horizontal axis. Therefore, the particle with the largest diameter will have a cumulative frequency of 1, because all other particles are smaller (Crowe, 2006). The particle size that corresponds to the median size has a cumulative frequency of 0.5. A particle size that corresponds to the median size of only the coarse particles (discuss further in this section) is called the  $d_{50}$ . Furthermore, a PSD also describes how much particle sizes vary in a slurry. A monodispersed PSD is considered to have solids of approximately the same size (Crowe, 2006). Monodispersed PSDs have a geometric standard deviation of 1. A narrow PSD usually has a geometric standard deviation less than 1.5 (Kaushal and Tomita, 2013). PSDs with larger standard geometric deviations are considered broad. Figure 1 provides data from Paterson and Cooke (2014) for three different PSDs for tailings, kimberlite, and sand slurry samples. The tailings and kimberlite are considered to have broad PSDs. The sand sample is considered to have a narrow PSD, and has a mass

median particle size of the entire solids (coarse and fines) of approximately 200  $\mu$ m. It should be noted that the PSDs in Figure 1 are for illustration purposes only, since each of these three mineral slurries can have broad PSDs with a variety of d<sub>50</sub> sizes.



Figure 1: Particle size distributions (Paterson and Cooke, 2014; Rhodes, 2008)

The PSD can be split into two general particle sizes; coarse and fines, illustrated in Figure 1. The fine particles are defined to be particles with a diameter less than 74  $\mu$ m and are "assumed to be distributed uniformly within the pipe" (Shook et al., 2002). The designation between fine and

coarse particles becomes an important factor when calculating the pressure gradient, especially in regards to the concentration of particles. The volumetric solids concentration is defined by:

$$C_{t} = \frac{\text{volume of solids}}{\text{volume of solids + volume of liquid}}$$
(2.1)

The total solid concentration includes fine, C<sub>rf</sub>, and coarse, C<sub>r</sub>, particles:

$$C_{t} = C_{rf} + C_{r} \tag{2.2}$$

The solids particle size, solids concentration, and bulk velocity highly influence the pressure gradient and the slurry behavior (Crowe, 2006; Matousek, 2004; Matousek, 1997; Brown, 1991; Durand and Condolios, 1952). These properties affect whether turbulent eddies will fully suspend or partially suspend solid particles. Particles that are not completely suspended are supported by the pipe wall (Vlasak et al., 2014). The solids concentration at the pipe invert will be higher than at the top of the pipe, creating an asymmetrical vertical velocity profile (Vlasak et al., 2014). This is referred to as partially-stratified flow or heterogeneous flow (Wilson et al., 2005). As the vertical velocity and concentration profiles become more asymmetric, the flow becomes more stratified, which is illustrated in Figure 2.



Figure 2: Vertical concentration profile of sand slurries that are stratified (solid line) and highly stratified (dashed line). Data from Gillies and Shook (1994):  $d_{50} = 190 \ \mu m$  sand;  $V = 3.7 \ m/s$ ;  $C_t = 0.16$  (dashed line) and  $C_t = 0.30$  (solid line).

As previously mentioned, heterogeneous flow is characterized by an asymmetrical vertical velocity profile (Hashemi, 2013). This means that solid particles are moving at different vertical speeds and are not uniformly distributed vertically. Therefore, the solids concentration inside of the pipeline will differ from the solids concentration at the outlet of the pipeline (Wilson et al., 2005). The delivered solids concentration, C<sub>vd</sub>, is the solids concentration discharged at the outlet of the pipe (Shook et al., 2002):

$$C_{vd} = \frac{1}{AV} \int_{A} cv_s dA$$
 (2.3)

where  $v_s$  is the local time averaged vertical particle velocity, and c is the local solids volume fraction (Saskatchewan Research Council, 2014). The in-situ concentration represents the mean solids volumetric concentration at any pipe cross-section (Saskatchewan Research Council,

2014). In the SRC two-layer model, the in-situ concentration is the same as the coarse solids concentration, which will be discussed in Section (3.2).

$$C_{\rm r} = \frac{1}{A} \int_{A} c dA \tag{2.4}$$

The asymmetry of the vertical velocity and concentration profiles are functions of the bulk velocity, which is perhaps the most critical parameter in the design and operation of a pipeline (Matousek, 1997) due to its strong relation with the pressure gradient and solids deposition (Crowe, 2006; Matousek, 2004; Matousek, 1997; Brown, 1991; Durand and Condolios, 1952). The bulk velocity, V, is defined as:

$$V = \frac{4Q}{\pi D^2}$$
(2.5)

where Q is the total volumetric flowrate in the pipe, and D is the inside diameter of the pipe. Particles settle if the bulk velocity is less than  $V_c$ . This increases friction, because the stationary deposit reduces the cross sectional area available for flow. This means that the pressure gradient will increase when the bulk velocity is lower than the deposition velocity (Shook and Roco, 1991). Alternatively, when the velocity is sufficiently increased past  $V_c$ , there is enough turbulent forces to prevent deposition (King, 2002). The pressure gradient will continue to increase as the bulk velocity increases.

The shape of the pressure gradient versus bulk velocity curve will differ in relation to  $V_c$  depending on the slurry properties. The minimum pressure gradient will correspond to  $V_c$  for

settling slurries that have a  $d_{50}$  less than approximately 100 µm (Hashemi et al., 2010). For coarser particles,  $V_c$  is less than the velocity which the minimum pressure gradient occurs. This is because a shear layer exists for highly stratified slurries that forms between the particle-dense and particle-lean areas of the pipe (Matousek, 1997). The shear layer becomes thicker when the velocity is slightly above  $V_c$ . In this layer there is interparticle contact that assists in suspending the particles in combination with turbulence. This reduces the pressure gradient further as the velocity is increased above  $V_c$  (Matousek, 1997).

Pressure gradient versus bulk velocity curves are drawn in Figure 3 for slurries containing different particle sizes. The vertical lines on Figure 3 illustrate the  $V_c$  for each slurry, and the slurries with median particle diameters of 400 and 600  $\mu$ m have approximately the same  $V_c$ . Slurries with median particle sizes of 90 and 150  $\mu$ m have a minimum pressure gradient that corresponds to  $V_c$ , but coarser particles have a minimum pressure gradient at a velocity higher than  $V_c$  (Hashemi, 2014b; Hashemi, 2010; Matousek, 1997).



Figure 3: Pressure gradients and deposition velocities for sand-in-water slurries with particle sizes of 90, 150, 400, and 600  $\mu$ m, with C<sub>vd</sub> =0.30, C<sub>f</sub> =0.03,  $\rho_s$  = 2650 kg/m<sup>3</sup>, and D = 0.05 m

Pumps increase the pressure within the pipeline, and are needed to overcome friction losses and/or elevation increases. Generally speaking, pumps can be used to control the bulk velocity at which the slurry moves through the pipe (De Nevers, 2005). The power [W] that a pump provides to the slurry can be written as:

$$Power = H_m Q \rho_m g \tag{2.6}$$

where  $H_m$  is the head of the mixture, and  $\rho_m$  is the density of the solid-liquid mixture. The head of the mixture can be written as:

$$H_{\rm m} = -\frac{\Delta P}{\Delta z} \frac{1}{\rho_{\rm m} g} L \tag{2.7}$$

In slurry pipelines, the solids are the payload to be transported; whereas, the liquid is the medium to transport the solids (Wilson et al., 2005). The power requirement in Equation (2.6) is in terms of the solid-liquid mixture. Therefore, a different parameter should be used to describe the efficiency of transporting the solids. Specific Energy Consumption (SEC) [J/kg/m] is defined as the energy required to transport one tonne of solids over one kilometer (Wilson et al., 2005):

$$SEC = \frac{Power}{\rho_s C_{vd} QL}$$
(2.8)

Since the most important design parameters are bulk velocity, solids concentration, and particle diameter, system SEC is often shown as a function of these parameters. This can be achieved by substituting Equations (2.7) and (2.6) into Equation (2.8):

$$SEC = \frac{\Delta P}{\Delta z} \frac{1}{C_{vd} \rho_s}$$
(2.9)

Therefore, SEC is a function of the pressure gradient, delivered solids concentration, and solids density.

Figure 4 is a plot of predicted SEC for sand-water slurries having  $d_{50}$  values of 90, 150, 400, and 600  $\mu$ m. The vertical line indicates the predicted V<sub>c</sub>, and the V<sub>c</sub> for 400 and 600  $\mu$ m are approximately the same. The values were obtained by using the SRC two-layer model (described in Chapter 3) with values for C<sub>vd</sub> = 0.30, C<sub>f</sub> = 0.03,  $\rho_s = 2650 \text{ kg/m}^3$ , k = 0.045 mm, and D = 0.05

m. The minimum SEC increases as  $d_{50}$  increases. This is because as  $d_{50}$  increases, the particles supported by the pipe wall increases (Matousek, 1997).



Figure 4: SEC versus velocity for d<sub>50</sub> values of 90, 150, 400, and 600  $\mu$ m with C<sub>vd</sub> = 0.30, C<sub>f</sub> = 0.03,  $\rho_s = 2650 \text{ kg/m}^3$ , k = 0.045 mm, D = 0.05 m, and water as the carrier fluid

Figure 5 is a plot of predicted SEC for sand-water slurries with the delivered coarse solids concentration ( $C_{vd}$ ) set at values of 0.12, 0.30, and 0.40. The vertical line indicates the predicted  $V_c$ . The values were obtained for  $d_{50} = 90 \ \mu m$ ,  $D = 0.05 \ m$ ,  $C_f = 0.01$ , and  $\rho_s = 2650 \ kg/m^3$  using the SRC two-layer model. It has been found that the minimum SEC occurs when the volumetric solids concentration is approximately 30% (Hashemi et al., 2010). This indicates that it is more energy efficient to not over dilute or over concentrate the slurry.

Figure 6 is a plot of predicted SEC values for a sand-water slurry flowing in pipelines 0.05, 0.0635, and 0.0762 m in diameter. The vertical line indicates the predicted  $V_c$ . The values were obtained by using the SRC two-layer model for  $d_{50} = 90 \ \mu m$ ,  $C_{vd} = 0.30$ ,  $C_f = 0.03$ ,  $k = 0.045 \ mm$ ,  $\rho_s = 2650 \ kg/m^3$ , and a carrier liquid of water. The minimum SEC decreases as diameter increases. This is an important design consideration. Usually slurry pipelines are designed such that a certain solids production rate is reached. Therefore, with the velocity and solids properties known, the pipeline can be designed with a diameter that results in the minimum SEC.



Figure 5: SEC versus velocity for total solids volumetric concentrations of 0.12, 0.30, and 0.40 with  $d_{50} = 90 \ \mu m$ ,  $C_f = 0.01$ ,  $\rho_s = 2650 \ kg/m^3$ ,  $k = 0.045 \ mm$ ,  $D = 0.05 \ m$ , and water as the carrier fluid



Figure 6: SEC versus velocity for pipeline diameters of 0.05, 0.0635, and 0.0762 m with  $d_{50} = 90 \ \mu\text{m}, C_{vd} = 0.30, C_f = 0.03, k = 0.045 \ \text{mm}, \text{ and } \rho_s = 2650 \ \text{kg/m}^3$ , with water as the carrier fluid

The pressure gradient in slurry pipelines is highly influenced by the bulk velocity, solids concentration, and particle size. One of the most important slurry pipeline parameters is the bulk velocity, as it affects the pressure gradient and stratification ratio. The efficiency of the pipeline system can be measured using the SEC, which can be calculated from the pressure gradient, delivered solids concentration, and solids density (as previously described). By predicting the median coarse solids diameter and coarse solids concentration, it would be possible to calculate SEC instantaneously to optimize the pipeline operation.

## **3** Modelling

In this chapter, two-layer models are investigated for the purpose of  $d_{50}$  and  $C_r$  estimation. In two-layer models, the slurry pipeline is modelled by a top and bottom section. The estimation method uses the semi-mechanistic steady-state SRC two-layer model to predict  $d_{50}$  and  $C_r$ . Therefore, it is necessary to understand this model thoroughly. A system of partial differential equations that use a two-layer assumption is also derived to capture transient behavior and to calculate layer properties.

#### 3.1. Two-Layer Models

Slurries can be modelled with first principle approaches using continuity and momentum equations for the liquid and solid phases. These equations have been extensively used in the field of CFD simulations (Messa et al., 2014), and can model transient three-dimensional slurry behavior (Kalekudithi et al., 2009). As of yet, the models are not able to detect the minimum pressure gradient or model behavior for all slurry types, and are complex in that additional coupling terms, averaging techniques, or treatment of the two phases as separate continuum are required to solve them (Messa et al., 2014; Kalekudithi et al., 2009; Krampa, 2009). Presently, the CFD solve times are often thirty minutes of CPU time or more (Messa et al., 2014). For these reasons, first principle approaches are not employed for online applications and cannot at this time be used directly for SEC minimization.

A layered approximation can be used to overcome the issues of complexity and reduce solutions times in modelling slurries. The layered approximation originated in 1970, when Wilson (1970a) performed force-balances on a model developed by Newitt et al. (1955) that predicted slurry pressure drop based on fluid friction and friction caused by particles on the pipe wall. Wilson (1976) approximated the flow behavior by splitting the pipe into two horizontal sections. This approach resulted in various versions of layered models (Gillies et al., 1991), but generally the bottom layer contains particles that contribute to friction at the pipe wall (contact load). All fine particles (particles with a diameter less than 74  $\mu$ m) are "assumed to be distributed uniformly within the pipe" (Shook et al., 2002). The fine particles change the fluid properties and are not included in the vertical concentration profile. Furthermore, the vertical concentration and velocity profiles are approximated by step functions. The top layer is represented with a uniform concentration, C<sub>1</sub>, which moves at mean velocity, V<sub>1</sub>. The same approximation is made for the bottom layer, with C<sub>2</sub> and V<sub>2</sub>. The areas of the top and bottom layers are represented by A<sub>1</sub> and A<sub>2</sub>, respectively. A schematic representation of the model is shown in Figure 7.



Figure 7: Two-layer model schematic

The friction associated with the pressure gradient is often split into velocity independent and velocity dependent factors (Shook et al., 2002). Coulombic friction is caused by particles that are not completely suspended by turbulence that are contacting the pipe wall (Matousek, 1996; Shook and Roco, 1991), and are referred to as contact load. Kinematic friction is also considered, which describes velocity-dependent friction, and includes particle dispersive stress and near-wall lift (Sanders, 2012). Dispersive stresses force particles towards the wall, and are related to particle collisions as the solids concentration increases (Hashemi, 2013). Friction is reduced by near-wall lift that forces particles away from the wall, and increases as d<sub>50</sub> and bulk velocity increase (Hashemi et al., 2014b). This is summarized in Figure 8.



Near-Wall Lift

- Particles forces away from the wall
- Decreases kinematic friction
- Increases with velocity
- Increases with particle size
- Not significant if particles are smaller than the viscous sublayer



**Particle Dispersive Stress** 

- Forces particles toward the wall
- Related to particle interations
- Increases kinematic friction
- Increases with solids concentration



**Coulombic Friction** 

- Solids are supported by pipe wall
- Occurs when turbulence cannot suspend all particles
- Calculated from the normal stress of particles in contact with each other, i.e. the immersed weight of the coarse particles not supported by fluid turbulence

Figure 8: Components of friction in slurry flow (Hashemi et al., 2014b; Hashemi 2013; Sanders,

2012; Shook and Roco, 1991)

#### **3.2.** Steady-State Model

#### 3.2.1. Pressure Gradient

The Saskatchewan Research Council (SRC) two-layer model is used to predict the pressure gradient and deposition velocity for heterogeneous slurry flow in horizontal pipes. The SRC two-layer model is one of many layered models originating from a force-balance model from Wilson (1970a). This model will be used in this study because it is commonly utilized for slurry pipeline design and industrial operations worldwide (Sanders et al., 2004), and predicts the pressure gradient well for a wide variety of settling slurries (Spelay et al., 2013). The SRC two-layer model describes slurry properties semi-mechanistically (Kalekudithi et al., 2009; Sanders et al., 2004). Certain parameters that vary with pipe diameter (Shook et al., 2002) are derived empirically. The empirical correlations were determined from SRC experiments performed for  $C_{vd}$  up to 0.46 and  $d_{50}$  from 85 µm to 2400 µm (Gillies et al., 2004; Gillies and Shook, 2000; Gillies et al., 1991). The SRC two-layer model is continuously improved upon as new experiments are performed and new physical models are proposed (Sanders, 2012). The version used in this study is PipeFlow 10.

The SRC two-layer model was first presented by Gillies et al. (1991), and adapts the model from Wilson (1976) for slurries with Coulombic friction. PipeFlow 10 also includes near-wall lift and particle dispersive stresses (Shook et al., 2002). The model requires the inputs of Q,  $d_{50}$ ,  $C_r$ ,  $C_{max}$ , k, D,  $\rho_s$ ,  $\rho_f$ , and  $\mu_f$ . The PipeFlow 10 model takes  $d_{50}$  to be the median particle diameter of the coarse solids fraction (occasionally referred to as  $d_{50c}$ ), and assumes that all of the coarse particles have the same size (Sanders et al., 2004). The SRC two-layer model is summarized graphically in Figure 9, and is described in greater detail in this section.



Figure 9: Summary of the SRC two-layer model (Hashemi, 2013; Paterson and Cooke, 2014; Shook et al., 2002)

The SRC two-layer model considers partially stratified flow as opposed to fully stratified flow. Fully stratified flow has no particles in the upper layer, and the lower layer only has particles in contact with each other (Matousek, 1996). Partially stratified flow occurs when the upper layer contains particles suspended by turbulence, at concentration  $C_1$ , and the lower layer contains particles suspended by turbulence and contact load, at concentration  $C_2$ .

In the SRC two-layer model, the areas, concentrations, and velocities are predicted based upon the stratification ratio. It is defined by the contact load concentration,  $C_c$ , divided by the in-situ coarse solids concentration,  $C_r$ . This stratification ratio is determined by (Gillies et al., 2004; Saskatchewan Research Council, 2014):

$$\frac{C_{c}}{C_{r}} = \exp\left(-0.076\left(\frac{V}{V_{\infty}}\right)^{0.77}\left(\frac{V}{\sqrt{gD(\rho_{s}/\rho_{m}-1)}}\right)^{-0.36}\right)$$
(3.1)

where  $V_{\infty}$  is the terminal settling velocity of a single particle in the fluid, and is calculated using a specific correlation for sand grains (Shook et al., 2002). Since C<sub>1</sub> contains only particles supported by turbulence, the stratification ratio can be used to solve for C<sub>1</sub> (Gillies et al., 2004):

$$C_1 = C_r \left( 1 - \frac{C_C}{C_r} \right) \tag{3.2}$$

Not only is  $C_1$  the coarse solids concentration in Layer 1, but it also represents the particles supported by turbulence in Layer 2. The coarse solids concentration of Layer 2,  $C_2$ , can be calculated using the concentration profile and semi-empirical algorithms, as presented in Gillies and Shook (1994), and taken to be the value at y/D=0.15. The concentration of contact load in the lower layer, C<sub>2c</sub> (Matousek, 1996), is found by subtracting C<sub>1</sub> from C<sub>2</sub>.

In the SRC two-layer model, the carrier fluid properties must be manually calculated. The carrier fluid density should be taken as:

$$\rho_{\rm f} = C_{\rm f} \rho_{\rm s} + (1 - C_{\rm f}) \rho_{\rm L} \tag{3.3}$$

where  $C_f$  is the solids volumetric concentration of fines in the liquid as though there were no coarse particles (Gillies and Shook, 2000; Gillies, 1993; Gillies et al., 1991). It is defined as:

$$C_{f} = \frac{C_{rf}}{(1 - C_{t}) + C_{rf}}$$
(3.4)

Figure 10 summarizes all of the concentrations discussed in the SRC two-layer model.



Figure 10: Summary of concentrations found in the SRC two-layer model (Matousek, 1997;

Gillies 1993)

The remainder of the model consists of mass and momentum balance equations for both layers. The set of mass and momentum balances is solved via iteration. The pressure gradients in the upper and lower layers must be equal, and are calculated by force balances over the shear stresses that act on the layers' perimeters (Shook et al., 2002):

$$-\frac{dP}{dz} = \frac{\tau_1 S_1 + \tau_{12} S_{12}}{A_1}$$
(3.5)

$$-\frac{dP}{dz} = \frac{\tau_2 S_2 - \tau_{12} S_{12} + F_2}{A_2}$$
(3.6)

where  $S_1$ ,  $S_2$ , and  $S_{12}$  are the partial parameters, and  $F_2$  is the Coulombic friction. The Coulombic friction is calculated via the shear stress from particle-wall contact,  $\tau_C$ , which is related to the normal stress at the pipe wall,  $\sigma$  (Sanders, 2012 and Matousek, 1997):

$$\tau_{\rm C} = \eta_{\rm s} \sigma \tag{3.7}$$

where  $\eta_s$  is the coefficient of friction between the particles and pipe wall. The normal stress varies with vertical position (Wilson et al., 2005; Matousek, 1997; Wilson et al., 1972; Wilson, 1970b):

$$\frac{d\sigma}{dy} = \eta_s g C_{2c} (\rho_s - \rho_f)$$
(3.8)

This normal stress acts in the radial direction in horizontal pipelines. Therefore, integrating over the height of the bed using cylindrical coordinates with  $y=0.5D(1-\cos\alpha)$ , where  $\alpha$  is the angle

normal to the pipe wall, gives the normal stress at the pipe wall (Matousek, 1997). The total force exerted on the pipe wall by Layer 2 is calculated by integrating along the pipeline perimeter ( $S_2$ ) using  $\beta$ :

$$F_2 = D\eta_s \int_0^\beta \sigma d\alpha = 0.5 g D^2 \eta_s (\rho_s - \rho_1) C_{2c}(\sin(\beta) - \beta \cos(\beta))$$
(3.9)

This procedure is illustrated in Figure 11:



Figure 11: Steps to calculate Coulombic friction (Sanders, 2012; Matousek, 1997)

Note that  $\eta_s$  depends on the slurry and wall properties. Shook et al. (1982) determined the value to be 0.5 for sand slurries that is adjusted using the following expression (Saskatchewan Research Council, 2014):

$$\eta_{\rm s} = 0.5 \left( 1 + 0.005 \lambda_{\rm Cr}^{2.5} \right) \tag{3.10}$$
where  $\lambda$  is the linear concentration of solids, and represents the ratio of the particle diameter over the average distance between neighboring particles (Shook et al., 2002):

$$\lambda_{i} = \left[ \left( \frac{C_{\text{max}}}{C_{i}} \right)^{1/3} - 1 \right]^{-1}$$
(3.11)

where  $C_{max}$  is the solids volume fraction in a settled bed, and the subscript i represents Layer 1 or Layer 2. The density of Layer 1,  $\rho_1$ , is calculated by:

$$\rho_1 = C_1 \rho_s + (1 - C_1) \rho_f \tag{3.12}$$

The kinematic, or velocity dependent stresses are symbolized by  $\tau_1$  and  $\tau_2$ . They oppose motion and act at the pipe wall in their respective layers. The following equation incorporates dispersive stresses and lift effects (Gillies, 2013):

$$\tau_{\rm i} = 0.5 V_{\rm i}^2 (f_{\rm si} \rho_{\rm s} + f_{\rm fi} \rho_{\rm f}) \tag{3.13}$$

where  $f_{fi}$  is the fluid friction factor, and can be calculated via the Churchill equation for Fanning friction factor using the fluid density and viscosity. The particle friction factor,  $f_{si}$ , is calculated using the correlation from Gillies (2013):

$$f_{si} = \begin{cases} \lambda_i^{1.25} [-0.00011 \ln(d_i^+) + 0.00042] & d_i^+ \le 21 \\ \lambda_i^{1.25} [-0.000056 \ln(d_i^+) + 0.00026] & 21 < d_i^+ \le 100 \\ 0 & d_i^+ > 100 \end{cases}$$
(3.14)

where  $d^+$  is the dimensionless particle diameter:

$$d_{i}^{+} = d_{50} V_{i} \left(\frac{f_{fi}}{2}\right)^{0.5} \frac{\rho_{f}}{\mu_{f}}$$
(3.15)

As previously stated, the vertical concentration and velocity profiles in two-layer models are approximated by step functions. This creates an interface between Layer 1 and Layer 2. An interface is not present in reality. While the interfacial stress,  $\tau_{12}$ , is included in the pressure gradient equations, it has negligible effect on the pressure gradient; it is included for the calculation of the velocities in the two layers (Shook et al., 2002). The interface is treated as a surface with roughness proportional to the particle diameter at the interface, d<sub>12</sub> (Matousek, 1997):

$$\tau_{12} = 0.5 f_{12} (V_1 - V_2)^2 \rho_1 \tag{3.16}$$

The interfacial friction factor,  $f_{12}$ , is based on Nikuradse's friction equation for rough boundaries (Matousek, 1997) and was modified based on experimental data by Gillies et al. (1991) to yield:

$$f_{12} = \frac{2(1+k1)}{\left[3.36 + 4\log_{10}\left(\frac{d_{12}}{D}\right)\right]^2}$$
(3.17)

$$k1 = \begin{cases} 0 & \frac{d_{12}}{D} < 0.002 \\ 5 + 1.86 \log_{10} \left(\frac{d_{12}}{D}\right) & \text{otherwise} \end{cases}$$
(3.18)

The mass and material balances that the model must also satisfy are (Shook et al., 2002):

$$AV = A_1 V_1 + A_2 V_2 \tag{3.19}$$

$$C_{vd}AV = C_1 A_1 V_1 + C_2 A_2 V_2$$
(3.20)

$$C_r V = C_1 V_1 + C_2 V_2 \tag{3.21}$$

#### 3.2.2. Deposition Velocity Predictions

The SRC two-layer model also includes equations to predict  $V_c$ . The same equations will be used to calculate  $V_c$  in this study, as the equations developed by the SRC are for conditions found in the mining and mineral processing industries (Spelay et al., 2014). The accuracy of these predictions is expected to be within 0.3 m/s (Saskatchewan Research Council, 2014). There are three equations used by the SRC two-layer model. They are for coarse, intermediate, and fine particles, which are defined by the Archimedes number (Gillies et al., 2000):

$$Ar = \frac{4gd_{50}^{3}\rho_{f}(\rho_{s} - \rho_{f})}{3\mu_{f}^{2}}$$
(3.22)

The form of most correlations use the Froude number, F, which was originally proposed by Durand and Condolios (1952). The Froude number definition used in the SRC model is (Saskatchewan Research Council, 2014):

$$V_{c} = F \sqrt{gD \frac{\rho_{s} - \rho_{f}}{\rho_{f}}}$$
(3.23)

If  $Ar \ge 125$  then the particles are considered coarse (i.e., typically when the  $d_{50}$  is between 90 and 4000 µm (Paterson and Cooke, 2014)). The deposition velocity is controlled mainly by inertial forces and the following correlation is used (Paterson & Cooke, 2014; Saskatchewan Research Council, 2014):

$$\begin{array}{ll} F = 1.35 & Ar \geq 86000 \\ F = 2.35 - 0.088 \ln Ar & 2690 \leq Ar < 86000 \\ F = 1.27 + 0.049 \ln Ar & 125 \leq Ar < 2690 \end{array} \tag{3.24}$$

If Ar < 125 and  $d^+ > 5$ , then the particles are considered to be intermediate. The deposition velocity in this region depends on inertial and viscous forces. Interpolation is used between the viscous and inertial force calculations (Saskatchewan Research Council, 2014). If Ar < 125 and  $d^+ \le 5$ , then the particles are considered to be "fine", and the deposition velocity is a function of the viscous sublayer (Saskatchewan Research Council, 2014). Since most slurries in the oil sands industry have a  $d_{50}$  between 180 to 300 µm (Schaan et al., 2007) and fall in the inertial category, intermediate and fine particles will not be discussed in any detail here. Further information can be found in Shook et al. (2002), Paterson and Cooke (2014), the SRC's course notes for the two-layer model (Saskatchewan Research Council, 2014), and Thomas (2014).

In this study, the estimation method used to predict  $d_{50}$  and  $C_r$  uses equations in the SRC twolayer model. The model has been derived to predict the pressure gradient, which includes kinematic and Coulombic friction, given that slurry properties (including  $d_{50}$  and  $C_r$ ) are provided. The slurry properties of  $d_{50}$  and  $C_r$  are also required for deposition velocity predictions. The deposition velocity equation that will be used in this study was also developed by the SRC for slurries in the mining and mineral processing industries (Spelay et al., 2014). Since the SRC two-layer model is a steady-state model, it does not include information about transient conditions and does not consider different slurries mixing in the pipeline. Transient conditions are addressed in the next section, and the consequences of not considering mixing are discussed in Chapter 5.

## 3.3. Transient Model

Slurry pipeline flow has been described with many different solid-liquid models. Models that are often used in the mining and oil sands industries are steady-state models, such as the SRC two-layer model (Sanders et al., 2004). These are steady-state rather than dynamic (transient) models. In reality, slurry properties change as a function of time and space, which means that slurry pipelines can be classified as a distributed parameter system (DPS). Partial differential equations (PDEs) include time and space derivatives and can be used to describe DPS dynamics.

Speranza (2001) performed mass and momentum balances over a two-layer model, and used a three-layer model for simulations with explicit Euler methods. The models developed from Speranza (2001) will not be directly used in this study, because they were derived with the assumption that density is constant in each layer. This assumption results in constant concentration in the second layer, which directly impacts Coulombic friction and the pressure gradient. Sinkov et al. (2014) also modelled slurry pipeline dynamics using a layered approximation for heterogeneous flow and flow with a stationary bed.

Using the two-layer assumption, as presented in Figure 7, mass and momentum balances were performed. The solid mass balances for Layer 1 and Layer 2 are:

$$\frac{\partial C_1 A_1 \rho_s D}{\partial t} + \frac{\partial C_1 A_1 V_1 \rho_s D}{\partial z} = -m_{s12} + m_{s21}$$
(3.25)

$$\frac{\partial C_2 A_2 \rho_s D}{\partial t} + \frac{\partial C_2 A_2 V_2 \rho_s D}{\partial z} = m_{s12} - m_{s21}$$
(3.26)

where  $m_{s12}$  is the mass transfer of solid from Layer 1 into Layer 2 due to gravitational forces, and  $m_{s21}$  is the mass transfer of solid from Layer 2 into Layer 1 due to particles being suspended by turbulence. A momentum balance for the solid-liquid mixture was also performed:

$$\frac{\partial V_1 A_1 \rho_1}{\partial t} + V_1 \frac{\partial V_1 A_1 \rho_1}{\partial z} = A_1 \left[ -\frac{dP}{dz} \right] - \tau_1 S_1 - \tau_{12} S_{12} - M_{12} + M_{21}$$
(3.27)

$$\frac{\partial V_2 A_2 \rho_2}{\partial t} + V_2 \frac{\partial V_2 A_2 \rho_2}{\partial z} = A_2 \left[ -\frac{dP}{dz} \right] - \tau_2 S_2 + \tau_{12} S_{12} - F_2 + M_{12} - M_{21}$$
(3.28)

where  $M_{12}$  is the momentum transfer from Layer 1 to 2, and  $M_{21}$  is the momentum transfer from Layer 2 to 1. Equations (3.25) and (3.26) were added together, and Equations (3.27) and (3.28) were combined along with Equations (3.5) and (3.6) to yield:

$$\frac{\partial(C_1A_1 + C_2A_2)}{\partial t} + \frac{\partial(C_1A_1V_1 + C_2A_2V_2)}{\partial z} = 0$$
(3.29)

$$\frac{\partial(\rho_1 A_1 V_1 + \rho_2 A_2 V_2)}{\partial t} + V_1 \frac{\partial V_1 A_1 \rho_1}{\partial z} + V_2 \frac{\partial V_2 A_2 \rho_2}{\partial z} = 0$$
(3.30)

Equations (3.29) and (3.30) have eight variables (A<sub>1</sub>, A<sub>2</sub>, C<sub>1</sub>, C<sub>2</sub>, V<sub>1</sub>, V<sub>2</sub>,  $\rho_1$ , and  $\rho_2$ ). Therefore, six variables must be specified to solve the equations, providing that the equations are linearly independent. The number of variables can be reduced by utilizing Equations (3.20) and (3.21) along with the assumption that the delivered solids concentration is equal to the in-situ solids concentration. There will be error associated with this assumption for highly stratified slurries (delivered and in-situ solids concentration will be equal for non-settling slurries, but diverge as the flow becomes more stratified (Wilson et al., 2005)). Algebraic equations can be used to eliminate A<sub>2</sub>, C<sub>2</sub>, V<sub>1</sub>, V<sub>2</sub>,  $\rho_1$ , and  $\rho_2$  from the model, leaving A<sub>1</sub>, C<sub>1</sub>, C and V.

$$\mathbf{A} = \mathbf{A}_1 + \mathbf{A}_2 \tag{3.31}$$

$$V_2 A_2 = V A - A_1 V_1 \tag{3.32}$$

$$CAV = C_1 A_1 V_1 + C_2 A_2 V_2$$
(3.33)

$$CA = C_1 A_1 + C_2 A_2 \tag{3.34}$$

$$\rho_1 = C_1 \rho_s + (1 - C_1) \rho_f \tag{3.35}$$

$$\rho_2 = C_2 \rho_s + (1 - C_2) \rho_f \tag{3.36}$$

This simplifies the model to:

$$\alpha(A_{1}, C_{1}, V, C) \frac{\partial C_{1}}{\partial t} + \beta(A_{1}, C_{1}, V, C) \frac{\partial A_{1}}{\partial t} + \gamma(A_{1}, C_{1}, V, C) \frac{\partial C_{1}}{\partial z} + \delta(A_{1}, C_{1}, V, C) \frac{\partial A_{1}}{\partial z}$$

$$= \epsilon(A_{1}, C_{1}, V, C) \frac{\partial C}{\partial t} + \epsilon(A_{1}, C_{1}, V, C) \frac{\partial V}{\partial t} + \zeta(A_{1}, C_{1}, V, C) \frac{\partial C}{\partial z}$$
(3.37)

where  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ,  $\varepsilon$ , and  $\zeta$  are 2 x 2 coefficient matrixes that are dependent upon process variables. The inputs are C and V, and the states are C<sub>1</sub> and A<sub>1</sub>.

A step change in pressure moves down the pipe length when the bulk velocity is adjusted. This pressure change propagates at approximately 1000 m/s for water in a steel pipe (Paterson and Cooke, 2014; Wilson et al., 2005). Depending on the slurry, the speed is slightly higher or lower than the speed for water (Huggett, 1991). Due to the magnitude at which pressure changes move down the pipe, any bulk velocity change can be assumed to instantaneously affect the entire pipe length.

Furthermore, when the bulk velocity is adjusted, a new equilibrium between the layer variables must be reached. The advection-diffusion equation, otherwise known as the Schmidt-Rouse Equation, states that the gravitational and turbulent suspension forces are in equilibrium (Gillies and Shook, 1994):

$$\varepsilon_{\rm s} \frac{{\rm d}C}{{\rm d}y} = V_{\infty} C \tag{3.38}$$

Since the two-layer model is only an approximation, it can be inferred from Equation (3.38) that the solids transfer between Layer 1 is in equilibrium with Layer 2. This means that slurry variables will not change as they move down the length of the pipe at steady-state (i.e., C<sub>1</sub> is not changing with respect to time). It should be noted that Equation (3.38) is valid for low concentrations (Gillies and Shook, 1994); however, improvements can be made by using the hindered settling velocity as opposed to the terminal settling velocity (Gillies and Shook, 1994). For the purpose of this study, the layers are considered to be in equilibrium after the time it takes for particles to settle. This can be calculated using  $V_{\infty}$  and the pipeline diameter. Since the pipeline diameter is relatively small compared to the horizontal pipeline distance, the time it takes for the layers to be in equilibrium is negligible relative to the residence time in the pipe.

Equation (3.37) is quasi-linear, as the coefficients of the derivative terms vary with time, position, and the process variables (DuChateau and Zachmann 1989). From Equation (3.38) and the approximation that any change in velocity will instantaneously affect the entire pipeline, the coefficients of the derivative terms are assumed to not spatially vary at steady-state. Therefore, the coefficients in Equation (3.36) were approximated with their average values. This makes Equation (3.36) linear.

There are many methods to design controllers for systems described by PDEs (Ray, 1980). Early lumping approaches exploit the idea that PDEs can be approximated by ODEs along a discretized space (Ray, 1980). The number of discretized points and the approach to discretization (such as finite difference methods), may introduce inaccuracies into the model or require high-dimensional models (Moghadam, 2013). More efficient approaches depend on the type of PDE system. It is therefore necessary to analyze the model such that the PDE system can be classified. This study considers slurries primarily in the mining and oil sands industry. These slurries usually range from 180-300  $\mu$ m median particle diameters and have solids concentrations greater than 0.35 (Schaan et al., 2007). Therefore, d<sub>50</sub> was taken to be 225  $\mu$ m and

 $C_t$  was taken to be 0.35 with a  $C_{rf}$  of 0.1. A pipeline inner diameter of 75.65 mm (to match pilot plant experimental tests to be performed in a future study) and a bulk velocity of 1.92 m/s (0.3 m/s above the deposition velocity) were chosen. These properties were provided to the SRC two-layer model to determine the steady-state values, which are reported in Table 1.

Table 1: Steady-state values from the SRC two-layer model with  $C_r = 0.25$ ,  $d_{50} = 225 \ \mu m$ ,

D = 75.65 mm, and V = 1.92 m/s

Property	Value	Unit				
C1	0.22	volume fraction				
C <sub>2</sub>	0.38	volume fraction				
$A_1$	3.1 x 10 <sup>-3</sup>	m <sup>2</sup>				
A <sub>2</sub>	1.4 x 10 <sup>-3</sup>	m <sup>2</sup>				
$V_1$	2.29	m/s				
V <sub>2</sub>	1.11	m/s				
ρι	1364	kg/m <sup>3</sup>				
ρ <sub>2</sub>	1631	kg/m <sup>3</sup>				

Substituting the values from Table 1 into Equation (3.37) results in:

$$-1.22\frac{\partial C_1}{\partial t} + 0.31\frac{\partial A_1}{\partial t} + 15930\frac{\partial C_1}{\partial z} - 5503\frac{\partial A_1}{\partial z} = -0.01\frac{\partial C}{\partial t} + 0.01\frac{\partial C}{\partial z}$$
(3.39)

$$9.66\frac{\partial C_1}{\partial t} - 3.34\frac{\partial A_1}{\partial t} - 62668\frac{\partial C_1}{\partial z} + 24669\frac{\partial A_1}{\partial z} = 11.70\frac{\partial C}{\partial t} - 6.35\frac{\partial V}{\partial t} - 107.65\frac{\partial C}{\partial z}$$
(3.40)

The initial conditions for  $A_1$  and  $C_1$  can be steady-state values presented in Table 1, assuming that the pipeline is initially filled with "average" slurry. Additionally, if the boundary conditions are specified such that spatial position of zero corresponds to the outlet of a pump, then  $C_1$  and  $A_1$  can be calculated by assuming uniformly mixed slurry, which is further discussed in Chapter 5 and Figure 30. This means that  $A_1$  can be equal to the total pipeline cross-section area, and  $C_1$ can equal the total coarse solids volumetric fraction being inputted into the pipeline.

PDEs are often classified based on the general second-order equation:

$$a\frac{\partial^2 x}{\partial t^2} + b\frac{\partial^2 x}{\partial tz} + c\frac{\partial^2 x}{\partial z^2} + d\frac{\partial x}{\partial t} + e\frac{\partial x}{\partial z} + fx = g(x)$$
(3.41)

It should be noted that Equation (3.41) is not in the same form as the system represented by Equations (3.39) and (3.40). This is because PDE systems are more difficult to classify and solve than a single second-order PDE (Hoffman, 2001). Therefore, the single second-order PDE (i.e., Equation 3.41) will be explained before a system of first-order PDEs.

Second-order PDEs can be classified as hyperbolic, elliptic, or parabolic. Classification is determined from the sign of the discriminant (Hoffman, 2001 and DuChateau and Zachmann 1989):

$$b^2 - 4ac$$
 (3.42)

If Equation (3.42) is negative, zero, or positive, the PDE is considered elliptic, parabolic, or hyperbolic, respectively. In a hyperbolic system, the PDEs have real distinct characteristics along which information can propagate (Hoffman, 2001). If the characteristic curves have the same sign, the information will propagate in one direction. If the signs are opposite, information flows in different directions (e.g., a counter-current heat exchanger) (Munusamy et al., 2014). Hyperbolic systems represent systems with advection as the main transport mechanism (Moghadam, 2013).

The method of characteristics is an analytical method that is commonly used to convert first order hyperbolic PDEs into ODEs (Rhee et al., 1986). This method uses "characteristic lines" that relate the time and space variables. Further information can be found in Jeffrey (2002), Shang (2002), and DuChateau and Zachmann (1989). For a system of equations, the intersection of the characteristic lines is called a "node," which represents the exact solution to the state variables at that specific time and spatial position (Munusamy et al., 2014). Since a node will rarely exist with three or more lines, this method is usually restricted to systems with only two characteristics (Munusamy et al., 2014). Figure 12 represents a system of two characteristic lines going in different directions. The lines are straight only when the PDE coefficients are constant. If the coefficients vary, the lines may no longer be parallel or become curved. All curves start at the initial point, z<sub>0</sub>, and the number of nodes can be increased by decreasing the time spacing.



Figure 12: Characteristic grid for the Method of Characteristics

For a parabolic PDE, information propagates at infinite speed (Hoffman, 2001). They are associated with systems that have diffusion as a significant transport mechanism. The separation of variables technique can be used to turn the PDE into an infinite series solution (Jeffrey, 2003). An example of a general solution is:

$$x(z,t) = \sum_{n=1}^{\infty} C_n \sin\left(\frac{n\pi}{L}z\right) \exp(-\lambda_n^2 t)$$
(3.43)

where  $C_n$  represents coefficients found by the Fourier-sine series in Cartesian coordinates or the Fourier-Bessel series in cylindrical coordinates; L is the max z position; and  $\lambda_n$  are eigenvalues. The number of coefficients that one chooses will determine how many modes are included.

Further reading on this method can be found in Jeffrey (2003). If advection dominates over diffusion, this method may not be accurate unless a large number of modes are included (Shang et al., 2007).

In an elliptical system, information does not propagate in any preferred path (Hoffman, 2001). Therefore, the states at every point depend on the states at all other points (Hoffman, 2001). They can be solved analytically with the separation of variables technique, much like the parabolic case, and examples can be found in Bergman et al. (2011) and Budak et al. (1964).

The mass and momentum equations derived from the two-layer assumption resulted in a system of first-order PDEs. A single first order PDE is always hyperbolic (Hoffman, 2001). A system of first order PDEs with more than one dependent variable is not always hyperbolic (Hoffman, 2001; DuChateau and Zachmann 1989). Consider that all higher-order PDEs can be reduced to a system of first order equations (Fritz, 1982). This is achieved by introducing the dependent variables:

$$\mathbf{x} = \mathbf{x}_0 \tag{3.44}$$

$$\frac{\mathrm{dx}}{\mathrm{dt}} = \mathrm{x}_1 \tag{3.45}$$

$$\frac{\mathrm{dx}}{\mathrm{dz}} = \mathrm{x}_2 \tag{3.46}$$

Subsisting Equations (3.44)-(3.46) into Equation (3.41) results in a system of equations (Fritz, 1982), where  $x_0$ ,  $x_1$ , and  $x_2$  are dependent variables. The system is considered to be coupled because there will be more than one dependent variable in each of the equations (Hoffman, 2001). Therefore, there is potential for the system to be parabolic or elliptic, since the variables in the separate equations still rely on each other and are merely in a reduced form of Equation (3.41).

Consequently, a first order PDE system can be classified as hyperbolic, elliptic, or parabolic depending on the discriminant of the characteristic paths of the PDE system. A general coupled quasi-linear, first-order, nonhomogeneous PDE system with constant coefficients can be defined by (Hoffman, 2001):

$$\hat{a}\frac{\partial x_1}{\partial t} + \hat{b}\frac{\partial x_1}{\partial z} + \hat{c}\frac{\partial x_2}{\partial t} + \hat{d}\frac{\partial x_2}{\partial z} = \hat{e}$$
(3.47)

$$\widehat{A}\frac{\partial x_1}{\partial t} + \widehat{B}\frac{\partial x_1}{\partial z} + \widehat{C}\frac{\partial x_2}{\partial t} + \widehat{D}\frac{\partial x_2}{\partial z} = \widehat{E}$$
(3.48)

The total derivatives of  $x_1$  and  $x_2$  are:

$$dx_1 = x_{1_t}dt + x_{1_z}dz (3.49)$$

$$dx_2 = x_{2t}dt + x_{2z}dz (3.50)$$

Equations (3.47)-(3.50) can be represented in matrix form:

$$\begin{bmatrix} \hat{a} & \hat{b} & \hat{c} & \hat{d} \\ \hat{A} & \hat{B} & \hat{C} & \hat{D} \\ dt & dz & 0 & 0 \\ 0 & 0 & dt & dz \end{bmatrix} \begin{bmatrix} x_{1t} \\ x_{1z} \\ x_{2t} \\ x_{2z} \end{bmatrix} = \begin{bmatrix} \hat{e} \\ \hat{E} \\ dx_{1} \\ dx_{2} \end{bmatrix}$$
(3.51)

The first derivatives can be uniquely solved by Cramer's rule unless the matrix of coefficients in Equation (3.51) is singular (Hoffman, 2001; Fritz, 1982). Setting the determinant of the coefficient matrix to zero yields the characteristic equation of the system:

$$\overline{A}(dz)^2 - \overline{B}(dz)(dt) + \overline{C}(dt)^2 = 0$$
(3.52)

where:

$$\overline{\mathbf{A}} = \left(\widehat{\mathbf{a}}\widehat{\mathbf{C}} - \widehat{\mathbf{A}}\widehat{\mathbf{c}}\right) \tag{3.53}$$

$$\overline{B} = (\widehat{a}\widehat{D} - \widehat{A}\widehat{d} + \widehat{b}\widehat{C} - \widehat{B}\widehat{c})$$
(3.54)

$$\overline{C} = (\widehat{b}\widehat{D} - \widehat{B}\widehat{d}) \tag{3.55}$$

Equation (3.52) is a quadratic equation. Therefore, it can be solved via the quadratic formula:

$$\frac{\mathrm{d}z}{\mathrm{d}t} = \frac{\overline{B} \pm \sqrt{\overline{B}^2 - 4\overline{A}\overline{C}}}{2\overline{A}}$$
(3.56)

Equation (3.56) produces a family of curves. Each curve is a characteristic path of the PDE system, which defines how information propagates. The system of PDEs represented in Equations (3.47) and (3.48) has two characteristic paths. The quantity depends on the number of equations in the PDE system, and a more general approach can be found in DuChateau and Zachmann (1989). The discriminant of Equation (3.56) is:

$$\overline{B}^2 - 4\overline{A}\overline{C} \tag{3.57}$$

If the discriminant is positive, then the system is hyperbolic and the characteristic curves are real and distinct. If the discriminant is zero, the system is parabolic and Equation (3.56) has real and repeated values. If the discriminant is negative, the system is elliptic and Equation (3.56) has complex values (Hoffman, 2001).

The discriminant for the system represented by Equations (3.39) and (3.40) is  $-9.2 \times 10^7$ , which implies that the system is elliptic. This is reasonable, as the steady-state dynamics dominate over the transient dynamics in the Equations (3.39) and (3.40), and elliptical systems are associated with steady-state equilibrium problems (Jeffrey, 2003). When higher-order hyperbolic or parabolic systems become independent of time at steady-state, they can become elliptic (Jeffrey, 2003). Additionally, steady-state flow of an incompressible liquid is known to be elliptic (Budak et al., 1964).

Recall for an elliptic PDE that the states at every point depend on the states at all other points (Hoffman, 2001). This is illustrated in Figure 13 for a slurry pipeline system. If the pipeline initially is loaded with slurry that has certain solids properties, and then a different slurry with

other solids properties is loaded, there will be two different vertical velocity profiles existing along the horizontal axis. It should be noted that the bulk velocity will be the same along the horizontal axis, but different solids sizes and concentrations will cause the solids to move at different axial and vertical velocities. This is illustrated in Figure 13 with two different vertical velocity profiles, where the second slurry is less stratified than the first slurry. This will cause the lower portion of the second slurry to move faster (dashed arrow) than the lower portion of the first slurry (solid arrow). The second slurry will reach the first slurry and mix. Initially, there will be a relatively short horizontal mixing region. As time increases, the mixing region will extend. As time goes to infinity, both slurries will have completely mixed and only one vertical velocity profile will exist in the pipeline. Therefore, the longer that the slurry is in the pipeline, the velocities and states (e.g.,  $A_1$ ,  $C_1$ ) of all slurries in the pipeline will affect each other more.

In the two layer model, the vertical velocity profile is approximated by  $V_1$  and  $V_2$ . Therefore, the pipeline illustrated in Figure 13 will be discretized vertically into a top and bottom section. While this PDE system alone cannot result in  $d_{50}$  and  $C_r$  predictions, there is value in solving it. It can predict  $V_1$  and  $V_2$  as opposed to using instrumentation (described in Chapter 5) and the resulting  $A_1$ ,  $A_2$ ,  $C_1$ , and  $C_2$  can be used to make  $d_{50}$  and  $C_r$  predictions more accurate. Additionally, it can be used as a validation technique.



Increasing length

Figure 13: Two different slurries in the same pipeline with the same bulk velocity. At time (a), the pipeline has a highly stratified slurry that completely fills the length of the pipeline. At time (b), a less stratified slurry flows into the pipe. The velocity in the lower portion is greater (dotted arrow) than the original slurry (solid arrow). There is a mixing region. At time (c), the mixing length has extended.

# 4 Estimation of $d_{50}$ and $C_r$

In this study, optimization is used to provide a best estimate of  $d_{50}$  and  $C_r$ , given pressure, velocity, and density information. The goal is to minimize the difference between the measured pressure gradient,  $P_{sensor}$ , and the estimated pressure gradient. The estimated pressure gradient is calculated using equations from the SRC two-layer model. The objective function includes the equation for the pressure gradient in Layer 1 (i.e. Equation (3.5)), which is a function of  $d_{50}$  and  $C_r$ . Constraints are included such that the pressure gradient in Layer 1 and Layer 2 are equal and that  $d_{50}$  and  $C_r$  do not take on unlikely values. The problem can be defined as:

$$\min_{d_{50}, C_{\rm r}} Z = \left(\frac{\tau_1 S_1 + \tau_{12} S_{12}}{A_1} - P_{\rm sensor}\right)^2 \tag{4.1}$$

Subject to:

$$\frac{\tau_2 S_2 - \tau_{12} S_{12} + F_2}{A_2} - \frac{\tau_1 S_1 + \tau_{12} S_{12}}{A_1} = 0$$
(4.2)

$$75\,\mu\text{m} \le d_{50} \le 650\,\mu\text{m} \tag{4.3}$$

$$0.3C_{t} \le C_{r} \le C_{t} \tag{4.4}$$

Inequality (4.3) ensures that optimization provides a prediction of  $d_{50}$  to be within a reasonable range. The lower bound was chosen as fine particles are considered to be less than 74 µm (Shook et al., 2002), and C<sub>r</sub> is defined as coarse particles (Shook et al., 2002). The upper bound was chosen to be higher than the largest particles commonly found in the oil sands industry, 300 µm (Schaan et al., 2007), in order to test a larger range of slurries. Inequality (4.4) ensures that C<sub>r</sub> is not higher than the total measured concentration, but also ensures that the predicted particle size distribution (PSD) does not have more than 70% fines. This is required, because the SRC twolayer model assumes a narrow PSD. Since the objective function and constraints are not explicitly functions of  $d_{50}$  and C<sub>r</sub>, the system of equations used for optimization must also contain Equations (3.1)-(3.4) and (3.9)-(3.21).

The inputs to the SRC two-layer model are Q,  $d_{50}$ ,  $C_r$ ,  $C_{max}$ , k, D,  $\rho_s$ ,  $\rho_f$ , and  $\mu_f$ . Since the only variables that are being predicted are  $d_{50}$  and  $C_r$ , the remaining inputs must be specified. Furthermore, the pressure gradient in the pipeline,  $P_{sensor}$ , and the velocities of the two layers,  $V_1$  and  $V_2$ , must also be specified. The SRC two-layer model uses semi-empirical ODEs to calculate the concentration profile, and uses the concentration at a normalized height position of 0.15 as the value for  $C_2$  (Gillies and Shook, 1994). If  $V_1$  and  $V_2$  are specified, then  $C_2$  can be solved with algebraic mass and momentum balances. For the purposes of this study,  $P_{sensor}$ ,  $V_1$ ,  $V_2$ , Q, and  $p_m$  will be determined using the SRC two-layer model. Normally, they would be supplied by instrumentation, as detailed in Chapter 5. The parameters D,  $C_{max}$  and  $\rho_s$  are provided by operations personnel, as they are not expected to change during pipeline operation. The carrier fluid properties,  $\mu_f$  and  $p_f$ , are determined as a function of  $C_t$ . Therefore, additional equations must be included to calculate  $\mu_f$  and  $p_f$ . The fines concentration is calculated via Equation (3.4), which can then be used to find  $\mu_f$  with a commonly used correlation for the design of slurry

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pipelines (Smith, 2013). Equation (4.5) was correlated using mature fine tailings for  $C_t$  samples of 0.1 to 0.3 (Shook et al., 2002):

$$\mu_{\rm f} = \mu_{\rm L} \exp(12.5C_{\rm f}) \tag{4.5}$$

There are many correlations for carrier fluid viscosity available (Smith, 2013). The correlation used should most accurately reflect the slurry in the pipeline. The total solids concentration can be determined from  $\rho_m$ :

$$C_{t} = \frac{\rho_{\rm m} - \rho_{\rm L}}{\rho_{\rm s} - \rho_{\rm L}} \tag{4.6}$$

The remaining variables that cannot be provided by instruments or operations are  $d_{50}$  and  $C_r$ . The variables that must be provided to the estimation method and the decision variables are summarized in Table 2:

Table 2: Variables required for the optimization model

Provided Variables	Decision Variables
$\Delta P/\Delta z (P_{sensor})$	Cr
V <sub>1</sub>	d <sub>50</sub>
V <sub>2</sub>	
Q	
ρ <sub>m</sub>	
ρs	
D	
C <sub>max</sub>	
$\mu_{\rm L}$	
ρι	

The SRC two-layer model has three main assumptions: the flow is turbulent, the pipeline is horizontal, and  $d_{50}$  is the size of all of the coarse particles in the slurry (Saskatchewan Research Council, 2014). It is acceptable to assume that the flow is turbulent, as laminar flow will cause deposition. It will also be assumed that the estimation method is for horizontal pipelines only. Additionally, it is inaccurate to assume  $d_{50}$  represents all of the coarse particles, because  $d_{50}$  is a measure of the median particle size in a particle size distribution (PSD). Consequently, this model works best for narrow PSDs. Prediction errors may result for broad PSDs. In this case,  $d_{50}$  should be adjusted accordingly, as the largest particles have the most influence on V<sub>c</sub> predictions (Saskatchewan Research Council, 2014).

### 4.1. **Problem Solution**

In numerical optimization, an objective function is iteratively improved by systematically changing the decision variables. The goal is to minimize or maximize the objective function, which is a function of the decision variables. The objective function can be subject to constraints. Equality constrains usually represent a system's behavior and inequality constraints usually represent physical limitations on the system.

For scalar, deterministic, and continuous problems, optimization methods are generally split into linear programming or nonlinear programming (Nocedal and Wright, 2006). Linear programming is used when the objective function, constraints, and the equations in the problem are entirely linear. Nonlinear programming is used when the objective function, constraints, or any equation in the problem is nonlinear (Griva et al., 2012). Nonlinear programming will be used since the SRC two-layer model is nonlinear. Additionally, since there are two decision variables and three constraints, the problem is classified as a constrained nonlinear multivariate optimization problem.

There are many different types of nonlinear programs. The objective function in this study is continuous, and a branch of nonlinear program types that are well-suited for continuous functions are gradient methods. Gradient methods are faster and more accurate than methods that

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do not use derivatives (Edgar et al., 2001) and are the base of most of the widely used nonlinear optimizers used presently (Edgar et al., 2001).

The gradient is defined as a vector of first derivatives of the objective function with respect to each individual decision variable. For this problem, the gradient is:

$$\nabla Z = \begin{bmatrix} \frac{dZ}{dd_{50}} & \frac{dZ}{dC_r} \end{bmatrix}$$
(4.8)

The objective function will decrease the fastest in the direction of the negative gradient. If the gradient is equal to 0, then a stationary point, or a relative maxima or minima, has been found (Edgar et al., 2001). This is one necessary condition to prove that an optimum is found. Another condition is that the Hessian is positive definite (for a minimization problem) or negative definite (for a maximization problem) at an optima (Edgar et al., 2001). The Hessian is a matrix of second order derivatives of the objective function with respect to the decision variables. It represents the rate of change of the first derivatives, and is used as an indicator of whether the slope of the first derivative is decreasing (negative second derivative) or increasing (positive second derivative). In this problem, the Hessian is defined as:

$$H = \nabla^{2} Z = \begin{bmatrix} \frac{\partial^{2} Z}{\partial d_{50}^{2}} & \frac{\partial^{2} Z}{\partial d_{50} \partial C_{r}} \\ \frac{\partial^{2} Z}{\partial d_{50} \partial C_{r}} & \frac{\partial^{2} Z}{\partial C_{r}^{2}} \end{bmatrix}$$
(4.9)

The eigenvalues,  $\lambda$ , of the Hessian can be calculated by finding the roots of the characteristic equation (Forbes and Aksikas, 2010b):

$$|\lambda I - H| = 0 \tag{4.10}$$

where I is an nxn identity matrix, given that n is the size of the Hessian. The eigenvalues are a measure of scaling corresponding to a certain direction, and can be used to describe the Hessian.

These necessary and sufficient conditions can be developed via a second order Taylor expansion (Edgar et al., 2001). Let f(x) be a nonlinear function, x is a variable, and x\* is the value of x at the minimum value of f(x). The Taylor expansion about x\* is:

$$f(x) = f(x^*) + \nabla f(x)|_{x=x^*}(x-x^*) + \frac{1}{2}\nabla^2 f(x)|_{x=x^*}(x-x^*)^2 + \cdots$$
(4.11)

Since  $f(x^*)$  is a minimum, the gradient at this point will be equal to zero. Additionally, f(x) will be greater than  $f(x^*)$ . Therefore, there needs to be an additional positive value on the right-handside for the equation to be valid. Since a quadratic term is always positive, the Hessian must also be positive. Likewise, if it was a maximization problem, the Hessian must be negative for the equation to be valid. Figure 14 shows a function with a maximum and minimum, and its corresponding first and second derivatives.



Figure 14: A function with a maximum and minimum, the first derivative of the function, and the second derivative of the function.

Determining if the gradient is zero and the Hessian is positive or negative is not enough to prove if a global maximum or minimum has been found. If a function is convex, the solution calculated by the optimization model is guaranteed to be a global solution (Edgar et al., 2001). A convex function can be defined as a subspace that contains any line segment joining any two points within the subspace (Dullerud and Paganini, 2000). Optimization of a nonconvex function may result in local solutions. Figure 15 represents a convex and nonconvex set.



Figure 15: Convex (a) and nonconvex (b) sets (Dullerud and Paganini, 2000)

A function can be determined to be convex if the eigenvalues of the Hessian are positive (Edgar et al., 2001). Since the Hessian is analytically unsolvable for this problem, the subspace of the function will be determined graphically, as seen in Figure 16. This is possible because there are only two variables, otherwise approximate Hessian calculations could be used to determine convexity.



Figure 16: Objective function as  $C_r$  and  $d_{50}$  vary for  $P_{sensor}$  equal to 1632 Pa/m

In Figure 16,  $C_r$  and  $d_{50}$  were scaled, which will be described later in this section. The value for  $P_{sensor}$  was 1632 Pa/m, and the corresponding  $V_1$  and  $V_2$  were held constant as  $d_{50}$  and  $C_r$  were varied. This represents how the optimization algorithm finds a solution. It does not represent how slurries behave in reality. Figure 16 shows that this function is not convex. This means that it is possible to have local solutions. Therefore, the starting point is very important, as described below. Also, it can be seen that even though the problem is scaled, there is still a region of very low curvature for  $d_{50}$ . This may result in insensitivities to  $d_{50}$  and prediction errors.

The method described above has partially explained the steepest-decent optimization algorithm, and introduces the basic concept behind gradient-based nonlinear programming. This method is very sensitive to scaling (Edgar et al., 2001); therefore, it will not be used.

Many types of nonlinear programs use the Hessian to modify the direction of change for variables. This is more efficient (i.e., requires fewer iterations) than models that only use gradients (Edgar et al., 2001). For this problem, calculating the Hessian is as complicated as back-calculating the SRC two-layer model analytically. Therefore, nonlinear programming models that approximate the Hessian will be used. Approximating the derivatives may decrease accuracy and increase computational difficulty (Edgar et al., 2001).

The interior-point optimization method was chosen as it works well for problems with scaling issues, nonlinear constraints, and for nonconvex problems (Nocedal and Wright, 2006; Bertsekas, 1999). Additionally, it can be computationally efficient (Bertsekas, 1999).

Interior-point methods move through the feasible region toward the optimum and can be classified as a feasible path method. Active set methods move around the boundary of the feasible region (such as in linear simplex methods). These two methods are illustrated in Figure 17. Additionally, there are also infeasible path methods that allow constraint violations (such as with some penalty methods) (Nocedal and Wright, 2006).



Figure 17: Optimization problem contours, with straight lines as constraints. (a) Active set method. (b) Feasible path method (Hedengren, 2104 and Bertsekas, 1999)

In interior-point methods, the constrained optimization problem is converted into an unconstrained problem by merging the constraints and the objective function into a new objective function (Bertsekas, 1999). To ensure that the decision variables are within the feasible region, the constraint is incorporated into a natural logarithm term in the objective function, which creates a barrier function. For example, a general constrained optimization problem can be written as (Nocedal and Wright, 2006):

$$\min_{\mathbf{x}} \mathbf{f}(\mathbf{x}) \tag{4.12}$$

subject to 
$$g(\mathbf{x}) \le 0$$
 (4.13)

where  $\mathbf{x}$  is a vector of variables. Applying the interior-point method turns the problem into:

$$\min_{\mathbf{x}} \{ f(\mathbf{x}) - \ln[-g(\mathbf{x})] \}$$
(4.14)

As g(x) approaches zero, the natural log will approach negative infinity. This adds a very large term to the objective function. Since the objective function is being minimized, the decision variables will be chosen to be far away from the barrier (the limit imposed by constraints), which may not accurately reflect the true solution. Therefore, a barrier parameter, r, is used as a multiplier for the barrier function.

$$\min_{\mathbf{x}} \{ f(\mathbf{x}) - \mathbf{r} \ln[-g(\mathbf{x})] \}$$
(4.15)

The barrier parameter is a positive scalar, and as it approaches zero, Equation (4.15) approaches the original objective function as the barrier function's weight is decreased. As a result, the decision variables are allowed to move closer to the barrier (Nocedal and Wright, 2006). Figure 18 represents the interior-point method with decreasing values of r.



Figure 18: Interior point method. (a) Original Objective function. (b) Interior-point objective function with a high barrier parameter value. The natural log function dominates and the decision variables are far away from the real optimal. (c) Interior-point objective function with a smaller barrier parameter value than in (b). Decision variables are closer to the real optimal. (d) Interior-point objective function with the barrier parameter value infinitely small such that the contours match the original objective function in (a) (Hedegren, 2014 and Bertsekas, 1999).

The barrier parameter is chosen by solving Equation (4.15) with a positive starting value. Equation (4.15) is repeatedly solved after the barrier parameter is decreased (monotonically) toward zero. The best way to decrease the barrier parameter is an area of active research (Byrd et al., 2000), and some algorithms are presented in Nocedal and Wright (2006). Often, the barrier parameter is changed faster near the solution. It should be noted that if r is decreased too slowly, then many iterations may be required, but if it is decreased too quickly, then the objective function may converge to an incorrect answer (Nocedal and Wright, 2006).

The MATLAB function *fmincon* was used to apply the interior-point nonlinear program to the SRC two-layer model. It approximates the Hessian with a dense quasi-Newtonian approximation

(The MathWorks, Inc., 2014a). The initial barrier parameter is 0.1. The default termination criteria, convergence tolerances, and step lengths were used. The algorithm terminated after the difference between the objective function value at the previous and current best point was less than  $1 \times 10^{-6}$  and the constraint was satisfied within  $1 \times 10^{-6}$ . The initial starting points are given in Table 3:

T 1	1	<b>`</b>	$\alpha$	, ,•		•		•		•		· •			1 1
Lak	าเค		- N	tartii	۱œ	noin	t At	- n	ntir	nı	791	101	n 1	$\mathbf{n}$	del
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					- 4 7			-						-	

d <sub>50</sub>	Cr
225 µm	C <sub>t</sub> *0.9

Often, several starting points are used to avoid local solutions; however, changing the starting point had no difference on the prediction results as long as the slurry was not highly stratified. From Figure 16, it can be seen that as long as the  $C_r$  starting point was not too large, the solution should converge to the  $d_{50}$  low curvature region. The upper bound in Constraint (4.4) also prevented local solutions as  $C_r$  was not allowed to be higher than  $C_t$ .

The units for  $d_{50}$ ,  $C_r$ , and pressure gradient in the SRC two-layer model are meters, volume fraction, and Pa/m, respectively. Their values vary over 6 orders of magnitude. This means changes to  $C_r$  will result in larger changes to the objective function compared to changes in  $d_{50}$ . Scaling can prevent this issue (Nocedal and Wright, 2006). When problems are scaled, the eigenvalues of the Hessian, Equation (4.10), are approximately of the same magnitude (Bertsekas, 1999). This makes the contours of the objective function concentric circles (Forbes and Aksikas, 2010b). This can be important for certain optimization methods, because the gradient (Equation (4.8)) will always point toward an optimum (Edgar et al., 2001). If the problem is unscaled, the contours of the objective function are elliptical, because one variable "stretches" the function. When this happens, the gradients are unlikely to pass through the optimum (Edgar et al., 2001). Additionally, ridges in the function might be created (Edgar et al., 2001). Ridges indicate that one variable will change quickly, but the other will become "trapped" where changing it has little effect on the objective function. The units of the decision variables and objective function were changed to have values with a magnitude from 0 to 1 such that the problem was well-scaled (Nocedal and Wright, 2006). The units of  $C_r$  remained the same, and the units for  $d_{50}$  and pressure gradient were changed to millimeter and Pa/mm, respectively. Once a result was attained, the units were converted back.

After all of the simulations were performed, it was found that there was a consistent bias in the estimations. Therefore, a constant of 100  $\mu$ m was subtracted from all d<sub>50</sub> estimations, and a constant of 0.04 was added to all C<sub>r</sub> estimations. Furthermore, it was found that predictions for d<sub>50</sub> were at the upper bound for known values of d<sub>50</sub> less than 125  $\mu$ m. For these slurries, the optimization algorithm was run again without scaling. Additionally, the optimization algorithm consistently returned local solutions as the concentration and particle size increased. If the objective function was greater than 1x10<sup>-7</sup>, the optimization function was run again without Constraint (4.2).

### 4.2. Simulation Results

The optimization model was run for  $C_r$  values of 0.1, 0.125, 0.2, 0.225, 0.3, 0.325, 0.35, and 0.4, with d<sub>50</sub> values between 75 to 625 µm with 25 µm intervals. The diameter of the pipe was chosen to be 75.65 mm to match experimental tests to be carried out in the future. The known variables in Table 2 were calculated by using  $C_r$  and d<sub>50</sub> values in the SRC two-layer model. The flowrate, Q, was chosen to be 0.0135 m<sup>3</sup>/s, which is in heterogeneous flow. Inputs into the SRC two-layer model had a  $C_f$  value of 10% of  $C_t$ . The parameter values of  $C_{max}$  and  $\rho_s$  were taken to be 0.635 and 2650 kg/m<sup>3</sup>, respectively, and the liquid carrier was water.

A percent error was calculated based on:

$$\% \text{ error} = \frac{\text{abs(predicted} - \text{actual})}{\text{actual}} x100$$
(4.15)

Figure 19 to 21 show the predicted values of  $C_r$ ,  $d_{50}$ , and pressure gradient, respectively, plotted with the SRC two-layer model values as a function of run number for known  $C_r$  values of 0.1, 0.125, 0.2, and 0.225. Run number was chosen as the x axis as  $C_r$  and  $d_{50}$  were predicted simultaneously. The predictions for  $C_r$  had an average error of 6.0%. The predictions for  $d_{50}$  had an average error of 8.9%. Runs 48 and 71 had  $d_{50}$  and  $C_r$  predictions with more error than other run predictions. This may be due to local minimums as 100 µm is close in size to fine particles. For known  $d_{50}$  values of approximately 550 µm and higher, the predicted  $d_{50}$  values were 550 µm and the  $C_r$  predictions were lower than other  $C_r$  predictions. This is most likely due to Coulombic friction introducing many peaks and ridges into the objective function. Consequently,
the optimization algorithm can become stuck in local solutions. All other runs have negligible pressure gradient prediction errors except for these runs. This supports the possibility that the optimization algorithm found a local solution.



Figure 19: Predicted d<sub>50</sub> for known C<sub>r</sub> values of 0.1, 0.125, 0.2, and 0.225 with known values of d<sub>50</sub> from 75 to 625 µm



Figure 20: Predicted  $C_r$  for known  $C_r$  values of 0.1, 0.125, 0.2, and 0.225 with known values of  $d_{50}$  from 75 to 625  $\mu$ m



Figure 21: Predicted pressure gradient for known Cr values of 0.1, 0.125, 0.2, and 0.225 with known values of d<sub>50</sub> from 75 to 625 µm

Figure 22 to 24 show the predicted values of C<sub>r</sub>, d<sub>50</sub>, and pressure gradient, respectively, plotted with the SRC two-layer model values as a function of run number for known C<sub>r</sub> values of 0.3, 0.325, 0.35, and 0.4. Run number was chosen as the x axis as  $C_r$  and  $d_{50}$  were predicted simultaneously. Predictions for  $C_r$  had an average error of 2.3%. Predictions for  $d_{50}$  had an average error of 18.4%. Runs 94, 117, and 140 had  $d_{50}$  predictions and C<sub>r</sub> predictions with more error than the rest of the predictions. It is suspected that the optimization algorithm matched the pressure gradient with a smaller concentration of larger particles (i.e. the optimization algorithm found a local optimum). For known  $d_{50}$  values of approximately 550 µm and higher, the  $d_{50}$ predictions were 550 µm. This corresponds to the upper bound without modifications after optimization. For these runs, the optimization algorithm was run again without Constraint (4.2). This causes  $d_{50}$  predictions to have a sharp spike around 550  $\mu$ m. It is suspected that removing the constraint improves the predictions where the optimization algorithm may become stuck in a local optimum. This modification did not improve predictions for runs with Cr values of 0.3. The predicted pressure gradient also has error for runs where the constraint was removed. This indicates that removing the Coulombic friction constraint introduces error into the pressure gradient prediction.

For all runs, the average error for  $d_{50}$  and  $C_r$  were 13.6% and 4.2%, respectively. Therefore, it is possible to predict slurry properties using the SRC two-layer model and an interior-point optimization algorithm. The estimation method did not result in perfect predictions, and errors were caused by Coulombic friction induced local optimums, and slurries with small  $d_{50}$  having equal pressure gradients with a smaller concentrations of larger particles. It may be possible to reduce errors with additional optimization techniques, such as multiple starting points.



Figure 22: Predicted d<sub>50</sub> for known C<sub>r</sub> values of 0.3, 0.325, 0.35, and 0.4 with known values of d<sub>50</sub> from 75 to 625 µm



Figure 23: Predicted  $C_r$  for known  $C_r$  values of 0.3, 0.325, 0.35, and 0.4 with known values of  $d_{50}$  from 75 to 625  $\mu$ m



Figure 24: Predicted pressure gradient for known Cr values of 0.3, 0.325, 0.35, and 0.4 with known values of d<sub>50</sub> from 75 to

625 µm

### 4.3. Discussion

Figure 25 represents the calculated deposition velocity using known and predicted  $d_{50}$  and  $C_r$  values for known  $C_r$  values of 0.1, 0.125, 0.2, and 0.225. Predicted  $V_c$  values were calculated by using the  $d_{50}$  and  $C_r$  values from the estimation method, and known  $V_c$  values were calculated by using the  $d_{50}$  and  $C_r$  values that were used as inputs in the SRC two-layer model. The error was 4.8%. Runs 48 and 71 had error associated with  $V_c$  due to the error in predicted  $d_{50}$  and  $C_r$ . Runs 63-69 and 86-91 corresponded to the runs with  $d_{50}$  predictions of 550 µm and  $C_r$  predictions less than the known  $C_r$  values. These errors caused  $V_c$  predictions to be lower than the  $V_c$  known values.

Figure 26 represents the calculated deposition velocity using known and predicted  $d_{50}$  and  $C_r$  values for known  $C_r$  values of 0.3, 0.325, 0.35, and 0.4. Predicted  $V_c$  values were calculated by using the  $d_{50}$  and  $C_r$  values from the estimation method, and known  $V_c$  values were calculated by using the  $d_{50}$  and  $C_r$  values that were used as inputs in the SRC two-layer model. The error was 6.3%. Runs 93, 116, and 139 had error associated with  $V_c$ , because the predicted  $C_r$  was higher than the known  $C_r$ . Runs 94, 117, and 140 had error associated with  $V_c$  due to the error in predicted  $d_{50}$  and  $C_r$ . Runs 152 and 173 had higher  $V_c$  predictions than other predictions due to the modifications applied for high concentration and large particle sizes.

The error from the predicted  $d_{50}$  and  $C_r$  values propagated through  $V_c$  calculations and gave  $V_c$  predictions a 5.5% average error. Even with error, the predicted  $V_c$  can still be used to calculate a suggested velocity, described in Chapter 5.



Figure 25: Predicted deposition velocity for known Cr values of 0.1, 0.125, 0.2, and 0.225 with known values of d<sub>50</sub> from 75 to

625 µm



Figure 26: Predicted deposition velocity for known Cr values of 0.3, 0.325, 0.35, and 0.4 with known values of d<sub>50</sub> from 75 to 625 µm

Figure 27 and Figure 28 represent the calculated SEC using known and predicted d<sub>50</sub> and C<sub>r</sub> values versus bulk velocity. The known and predicted minimum SEC locations are also illustrated. The known minimum SEC was calculated graphically from the known SEC curve, and the predicted minimum SEC was calculated from multiplying the predicted V<sub>c</sub> by a safety factor of 1.15 (Hashemi, 2014b). Figure 27 illustrates slurry with known values of  $C_r = 0.35$  and  $d_{50} = 225 \ \mu m$  (the equivalent predicted values are from run 145) to represent average slurry conditions in the oil sands industry (Schaan et al., 2007). Figure 28 shows slurry with known values of  $C_r = 0.125$  and  $d_{50} = 500 \ \mu m$  (the equivalent predicted values are from run 41) to represent a change in conditions from the average slurry. For average conditions, the predicted SEC has an average 6.6% error. For the 500 µm slurry, the predicted SEC had an average error of 15.8%. Both trends were predicted accurately. The predicted minimum SEC gave a conservative estimate. The velocity corresponding to the minimum predicted SEC was 0.16 m/s higher than the velocity corresponding to the known minimum SEC for the average slurry, and 0.7 m/s for the 500  $\mu$ m slurry. This is reasonable, as velocity contingency factors are used in industry (Thomas, 2014), and a safety factor of 1.15 was applied (Hashemi, 2014b). If the minimum SEC was calculated graphically from the predicted SEC graph, the predicted minimum SEC would be in close agreement to the actual minimum SEC.

Error in  $d_{50}$  and  $C_r$  predictions propagate through calculations and will also introduce error into  $V_c$  and SEC predictions. The SEC prediction trends matched the known SEC trends, and the minimum SEC could be predicted graphically with good agreement to the known minimum. The minimum SEC could also be predicted with predicted  $V_c$  values; however the prediction was conservative as a safety factor was used. This is acceptable as pipelines are operated with a velocity contingency factor (Thomas, 2014).



Figure 27: Predicted and known SEC versus bulk velocity for  $C_r$ =0.35 and  $d_{50}$ =225  $\mu$ m



Figure 28: Predicted and known SEC versus bulk velocity for  $C_r$ =0.125 and  $d_{50}$ =500  $\mu$ m

# **5** Implementation

In this section the instruments necessary for  $d_{50}$  and  $C_r$  prediction on an actual pipeline are detailed, which includes the placement of instruments and the frequency of data collection. Instruments will be required to transmit pressure gradient, velocities, and density measurements to a computing system. The instruments and their uses can be seen in Table 4.

Table 4: Inputs required for  $d_{50}$  and  $C_r$  prediction and their respective instruments (Fredagsvik, 2014; Gillies, 1991)

Input into Estimation Method	Provided by Instrumentation	Dynamics
Pressure Gradient	Pressure transducers	Fast
Top and Bottom Velocities	Velocity Profiler	Fast
Overall Flow Rate	Flowmeter	Fast
Mixture density	Mixture densitometer	Slow

A data acquisition system will also be required to convert the signals from the instruments into a form that is useful for a computer. Data should be collected at an appropriate frequency and filtered to reduce noise. Shannon's sampling theorem states that a signal must be sampled at least twice per period, otherwise important information may not be recovered (Seborg et al., 2011). A guideline is to sample at five times Shannon's minimum rate. Since the optimization model only

takes seconds to run, it is suggested to run the optimization model every minute. This allows the data from the instruments to be filtered every 60 seconds. Note that this sample time may or may not satisfy Shannon's sampling theorem and should be checked upon implementation. Additionally, filtering will cause information about the fast dynamics to be lost. This is acceptable, because changes to the pressure gradient (and thus the bulk velocity) propagate at approximately 1000 m/s (Wilson et al., 2005) and will be assumed to be instantaneous. Furthermore, transient conditions are not required to be captured as the estimation method treats the inputs at steady state. The following block diagram in Figure 29 illustrates the system.



Figure 29: Instrumentation, inputs, and outputs for d<sub>50</sub> and C<sub>r</sub> prediction

There are three flow regions in pipelines; uniformly distributed flow, developing flow, and fully developed flow. They are summarized in Figure 30. In uniformly distributed flow, the slurry is well-mixed, the solids volumetric concentration is considered to be uniform, and the location is after a pump (Gillies, 1991). Between uniformly distributed flow and fully developed flow is developing flow. Developing flow occurs approximately 50 pipe diameters from any flow

disturbances (Gillies, 1991). Recently, it has been debated that developing flow may occur more than 85 pipe diameters from pump outlets (Fuhr et al., 2014).

All instruments in Table 4 should be placed as close to each other as possible, because the estimation method assumes that the inputs are collected from the same pipe axial location and the SRC two-layer model is developed for steady-state flow. This assumption is reasonable as the slurry should not change in fully-developed flow (as long as bulk velocity does not change); however instruments should still be in close proximity and at a location where fully developed flow is established in the event of transient flow conditions. The flow meter is the exception, as volumetric flow rate is constant throughout all sections of the pipeline.



Figure 30: Steady-state flow regions during pipeline operation (Fuhr et al., 2014; Gillies, 1991)

It is possible to have solids properties differ down the length of the pipeline in fully developed flow if different slurry is flowing into the pipeline. Therefore, the maximum "suggested velocity" calculated should be applied for the duration that the slurry is in the pipeline. This ensures that slurry with the highest  $V_c$  will leave the pipeline before a lower velocity can be used. The time that a parcel of slurry is transported through a pipeline can be calculated from the residence time, T. The residence time is defined as the average time that one particle will spend in the pipeline.

$$T = \frac{Volume}{Q}$$
(5.1)

where the volume of the pipeline can be calculated by:

$$Volume = \frac{\pi LD^2}{4}$$
(5.2)

Running the estimation method will discretize the pipeline horizontally, as illustrated in Figure 31. This is inaccurate, as slurries will mix, especially the longer the slurry is in the pipeline. This means that the "suggested velocity" calculated with the maximum  $V_c$  and held for the duration of the residence time will be conservative. Additionally, this is also why the instruments should be placed as early on in the developed flow region as possible - to ensure that each slurry section is captured before mixing takes place.



Figure 31: Discretization from using the estimation method

The estimation method requires that  $V_1$  and  $V_2$  be provided as an input. Therefore, an instrument is required to measure top and bottom velocities that can be turned into  $V_1$  and  $V_2$ . There are many types of instruments that can be used to measure velocity, such as laser doppler velocimetry (LDV), particle image velocimetry (PIV), electrical probes, Prandtl tubes, acoustic doppler velocity profilers (ADVP), electrical impedance tomography (EIT), and ultrasonic, acoustic, and sonar velocity sensors (Fredagsvik, 2014; Matousek et al., 2014; Hashemi et al., 2014b; Messa et al., 2014; Hashemi, 2013; Albion et al., 2011; Brücker 2000); however not all instruments are well-suited for this application. Particle image velocimetry cannot measure slurries with solids volume fractions greater than 0.05 (Brücker, 2000). Similarly, LDV cannot measure slurries with higher than 0.15-0.20 solids volumetric concentration (Messa et al., 2014). Electrical probes are process-wetting and measure the solids velocity; however, they are sensitive to flow distortion when placed too close to the pipe wall (Messa et al., 2014). Since the cross-sectional area of the second layer can be quite small relative to the cross-sectional area of the upper layer, the probe would have to be placed close to the pipe invert. Prandtl tubes measure the liquid velocity, and become less accurate at high solids concentrations (Matousek et al., 2014). Acoustic Doppler Velocity Profilers require a suitable "flow depth" (Matousek et al., 2014), which means that the instrument may not be able to be placed close to the pipe invert. Furthermore, EITs are intrusive, whereas ultrasonic, acoustic, and sonar velocity sensors are not process-wetting. Ultrasonic, acoustic, and sonar velocity sensors and EITs are placed around the pipe's circumference, can work for high and low solids concentrations, and do not have flow depth restrictions (Fredagsvik, 2014; Hashemi, 2013; Maron et al., 2008). Ultrasonic, acoustic, and sonar velocity sensors are widely used in the pipeline transportation industry and are already used for solids deposition detection. Therefore, they are the best choice for the d<sub>50</sub> and C<sub>r</sub> estimation method and will be discussed further.

There are many manufacturers of ultrasonic, acoustic, and sonar velocity measurement systems, and further information regarding the technical descriptions and brands can be found in Fredagsvik (2014). CiDRA developed a passive sonar velocity profiler that can measure online

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the vertical velocity profile in heterogeneous slurries, and therefore could be used to predict  $V_1$ and  $V_2$  values in this application.

The CiDRA SONARtrac VF-100 reports local velocity signals at circumferential positions corresponding to the top of the pipe,  $45^{\circ}$ ,  $90^{\circ}$ ,  $135^{\circ}$ , and at the pipe invert (Maron et al., 2008). This corresponds to chord-averaged vertical positions of 1, 0.8, 0.5, 0.2 and 0. The CiDRA meter interpolates between each sensor to produce a velocity profile (Maron et al., 2008). Values from the CiDRA meter can be used to calculate V<sub>1</sub> and V<sub>2</sub>. In the SRC two-layer model, V<sub>1</sub> and V<sub>2</sub> are imaginary values that are calculated within the model to predict the pressure gradient. They correspond to an average velocity of the solid and liquid in two imaginary layers, as described earlier.

To determine how the CiDRA meter outputs can correlate to  $V_1$  and  $V_2$ , experimental velocity profiles were compared with  $V_1$  and  $V_2$  values calculated from the SRC two-layer model. The experimental velocity profiles were reported by Roco and Shook (1983) and Gillies et al. (2004). Their experimental data can be found in Table 5 and was used in the SRC two-layer model to calculate  $V_1$  and  $V_2$ . In both studies, it was reported that the particle size distributions were narrow, and it was assumed that the carrier fluid was water (i.e.  $C_f = 0$ ).

Case	Experiment	D [mm]	ρ <sub>s</sub> [kg/m3]	d <sub>50</sub> [µm]	Cr	V [m/s]
1	Roco and Shook, 1983	51.5	2650	165	0.09	3.78
2	Roco and Shook, 1983	50.7	2650	520	0.12	3.20
3	Roco and Shook, 1983	50.7	2650	520	0.11	4.00
4	Gillies et al., 2004	103.0	2650	90	0.19	1.33
5	Gillies et al., 2004	103.0	2650	90	0.19	2.00
6	Gillies et al., 2004	103.0	2650	90	0.19	3.00

Table 5: Experiments used to find  $V_1$  and  $V_2$  sensor positions

Figure 32 plots the velocity profiles from Roco and Shook (1983) and Gillies et al. (2004) and their corresponding  $V_1$  value calculated from the SRC two-layer model. Table 6 lists the  $V_1$ ,  $A_1$ ,  $V_2$ , and  $A_2$  values calculated from the SRC two-layer model for the six cases. All  $V_1$ calculations fit within the experimental data. The only  $V_2$  value that fit within the experimental data was for case 6, most likely because the bulk velocity was high enough to have an approximately symmetric vertical velocity profile. The estimation method should check the calculated vertical velocity profile to determine if the profile is symmetric or not when determining  $V_1$  and  $V_2$  values. All other  $V_2$  values did not fit within the data set. This may be due to the size of  $A_2$ . Further studies are necessary to determine if the CiDRA sensor at the pipe invert could correlate to  $V_2$ . The average  $V_1$  value corresponded to a normalized height location of 0.85 (excluding case 6), and it may be possible to use the velocity profile at this height for  $V_1$ . Additional experiments are required to see if further manipulation of CiDRA values are required to correspond to  $V_1$  and  $V_2$  and if the relations are consistent for all slurry and pipe sizes.



Figure 32: Velocity profiles from Roco and Shook (1983) and Gillies et al. (2004), including the corresponding V<sub>1</sub> and V<sub>2</sub> values calculated from the SRC two-layer model

Table 6: SRC two-layer model values of V<sub>1</sub>, A<sub>1</sub>/A, V<sub>2</sub>, and A<sub>2</sub>/A calculated using values from Roco and Shook (1983) and Gillies et al. (2004)

Case	V <sub>1</sub> [m/s]	$\frac{A_1}{A}$	V <sub>2</sub> [m/s]	$\frac{A_2}{A}$
1	3.9	0.91	2.6	0.09
2	3.4	0.79	2.4	0.21
3	4.2	0.81	3.1	0.19
4	1.4	0.95	0.5	0.05
5	2.0	0.97	1.0	0.03
6	3.0	1.00	3.0	0.00

It should also be noted that  $V_1$  and  $V_2$  in the SRC two-layer model represent the average velocity of the mixture in  $A_1$  and  $A_2$ , respectively (Matousek, 1997). In reality, the liquid and solids velocities are different (Messa et al., 2014), and the CiDRA meter assumes that they are moving at the same velocity<sup>1</sup>. Therefore, there may be error associated with using the normalized height positions determined from the data from Roco and Shook (1983) and Gillies et al. (2004) as their experiments use an electrical resistivity probe and report a solids velocity profile.

<sup>&</sup>lt;sup>1</sup> Maron et al. (2008) states that the CiDRA meter measures the flow velocity. In email correspondence with CiDRA technical support on December 4<sup>th</sup>, 2014, it was communicated that the solids and liquids are assumed to move at the same speed.

#### 5.1. Deposition Detection

It is not recommended to operate at the deposition velocity, because deposition can happen quickly with small changes in solids properties or flow conditions. Therefore, the V<sub>c</sub> attained from the predicted  $d_{50}$  and C<sub>r</sub> should be further manipulated into a "suggested operational velocity." This velocity should be multiplied by a factor of 1.15 for coarse slurries (Hashemi, 2014b) or have an additional 0.3 m/s added for contingency (Thomas, 2014). In reality, it depends partly on the degree of uncertainty in the design/operating parameters (e.g.  $d_{50}$ ,  $\mu_f$ , and C<sub>vd</sub>).

Even when the bulk velocity is greater than the deposition velocity, there is still risk of deposition, due to malfunctioning instrumentation, an unforeseen computational problem, or an incorrect calculation in the residence time. There should be an additional check to ensure that deposition does not occur. There are many ways that solids deposition can be detected. The most applicable to this study is the CiDRA meter.

The CiDRA meter measures local velocity at each sensor and develops a vertical velocity profile with interpolation, as illustrated in Figure 33. The x axis is the normalized velocity, which was calculated by normalizing the velocities to the centerline velocity. This was done to easily compare the changes in vertical velocity profile shape as the flow becomes more stratified (Maron et al., 2008). The figure was generated with 186 µm slurry. In homogeneous flow, the center of the pipe corresponds to the largest velocity, and the vertical velocity profile is approximately symmetric. If the pipeline is operating in homogeneous flow, the CiDRA sensors at the bottom and top of the pipe will report approximately the same velocity (corresponding to

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the solid line in Figure 33). In heterogeneous flow, the vertical velocity profile becomes asymmetric and the flow is more stratified than in homogeneous flow (dotted-dashed line). Before deposition occurs, but while still in heterogeneous flow, highly stratified velocity profiles are measured (dotted line). The top sensors report velocities higher than the center velocities. The sensor at the invert cannot detect movement when solids settle, and reports velocities that are similar or higher than the sensor at 135° (the next lowest sensor) (Maron et al., 2008). This is called an inverted velocity profile (Maron et al., 2008), as seen on the dashed line in Figure 33. The flow at the top sensor is now even faster than the center velocity. Therefore, it is possible to detect deposition when this instrument reports an inverted velocity profile.



Figure 33: Shapes of CiDRA meter velocity profiles to detect deposition (Maron et al., 2008)

## **6** Summary and Conclusions

An estimation method was developed to predict the coarse solids median particle size and concentration using the slurry pipeline parameters of flowrate, pressure gradient, local velocities, and total solids concentration. The predicted coarse solids median particle size and concentration were used as inputs to predict the deposition velocity and specific energy consumption (SEC).

The estimation method consisted of using equations from the Saskatchewan Research Council (SRC) two-layer model in an interior-point optimization algorithm. An interior-point algorithm was used, because the problem was poorly-scaled and too complicated for analytical Hessian calculations. Every time the estimation method was performed, the pressure gradient, velocities of Layer 1 and Layer 2, flow rate, and mixture density must be updated. These parameters are held constant during optimization, and updated at the frequency that the estimation method is required to run. The decision variables are the coarse solids median particle size and concentration. The objective function was the square of the calculated Layer 1 pressure gradient subtracted from the provided pressure gradient. An equality constraint was also used to ensure that the pressure gradient of Layer 1 and Layer 2 were equal. Constraints were also placed on the coarse solids median particle size and concentration to ensure that the particles were not outside the range of typical slurry found in the mining and oil sands industry, that a particle size distribution (PSD) was not predicted with too many fines, and that the predicted coarse solids concentration.

The estimation method was simulated with ideal values calculated from the SRC two-layer model. The prediction results were compared with the values that were placed into the SRC two-layer model. The estimation method predicted the trends well. The coarse solids median particle size and concentration were predicted with an average 13.6% and 4.2% accuracy, respectively. Errors are caused by regions of low curvature along the coarse solids median particle size axis, and Coulombic friction having many local optimums.

It is possible to use this estimation method on a real pipeline with instrumentation. A pressure transducer is required for the pressure gradient, a velocity profiler for Layer 1 and Layer 2 velocities, a flowmeter for the bulk flow rate, and a densitometer for the mixture density. Information about the pipeline and slurry that is not expected to change much, such as the pipeline diameter, solids maximum packing, and liquid carrier properties can be updated manually.

Once a coarse solids medium particle size and concentration is known, the deposition velocity and minimum SEC can be calculated. This can be used to determine a suggested operational velocity that the pipeline can operate at. The suggested operational velocity corresponds to a velocity slightly higher than the minimum SEC, which will reduce energy usage without causing deposition. Error propagated through the deposition velocity and SEC calculations. There was an average error of 5.5% for V<sub>c</sub> predictions. The predicted V<sub>c</sub> multiplied by a safety factor of 1.15 gave a suggested operational velocity for the pipeline. The predicted SEC matched known SEC trends well and the predicted and known minimum SEC corresponded graphically. The estimation method is limited to assumptions placed on the SRC two-layer model. These assumptions include turbulent pipe flow, a horizontal pipeline, and the coarse solids median particle size representing the size of all of the coarse particles in the slurry (Saskatchewan Research Council, 2014). Consequently, this method works best for narrow PSDs. Additionally, the estimation method can only predict slurry properties under steady-state conditions. All instrumentation must be placed in fully-developed flow. The estimation method does not consider turbulent mixing as the slurry moves down the pipeline.

#### 6.1 Future Work

For future work, the interior-point optimization algorithm should be iterated with different starting points. Additionally, future research is required to determine methods to propagate uncertainty in order to provide uncertainty bounds for the predictions.

The simulation can be validated by doing experiments on a pipeline. A CiDRA meter can be used to predict Layer 1 and Layer 2 velocities; however experiments should take place to determine the best measurement location and method for determining how the CiDRA meter measurements relate to Layer 1 and Layer 2 velocities. Alternatively, a system of first order elliptical PDEs can be used to predict the velocity profile instead of instrumentation. The additional properties calculated from the PDE system could be used as constants in the estimation method rather than being calculated during optimization.

A different constrained nonlinear multivariate optimization problem could also be constructed with  $d_{50}$ ,  $C_r$ ,  $V_1$ , and  $V_2$  as the decision variables. The error could be compared to when  $V_1$  and  $V_2$  is provided.

An online PSD prediction is a natural extension of this estimation method. An SRC multispecies model set for release in 2015 includes a PSD input, which can be used as decision variables in this estimation method. The equations in the SRC two-layer model that rely on  $d_{50}$  could also be discretized to represent different sections of a PSD. A multivariable optimization model can be written such that each discretized section becomes a decision variable. An appropriate averaging technique could then be used on the set of results to yield a PSD.

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## Nomenclature

- A Cross-sectional pipe area [m<sup>2</sup>]
- A<sub>1</sub> Cross-sectional area of Layer 1 (turbulence forces only) [m<sup>2</sup>]
- A<sub>2</sub> Cross-sectional area of Layer 2 (turbulence and Coulombic forces) [m<sup>2</sup>]
- Ar Archimedes number
- c Local volumetric solids concentration
- C Volumetric concentration of solids, input
- C<sub>1</sub> Volumetric concentration of Layer 1 or of solids corresponding to suspension load
- $C_2$  Volumetric concentration of Layer 2,  $C_1+C_{2c}$
- C<sub>2c</sub> Volumetric concentration of solids in contact load of Layer 2
- C<sub>c</sub> Volumetric concentration of solids in contact load
- C<sub>D</sub> Drag Coefficient
- C<sub>f</sub> Volumetric concentration of fine particles in the liquid as though there is no coarse solids
- C<sub>max</sub> Volumetric concentration of solids in a settled bed
- Cr Volumetric concentration of coarse particles, particle size greater than 74 µm
- $C_{rf}$  Volumetric concentration of fine particles, particle size less than 74  $\mu$ m
- Ct Total volumetric solids concentration
- C<sub>vd</sub> Delivered solids concentration

- D Pipeline inner diameter [m]
- d<sub>12</sub> Particle diameter at Layer 1 and Layer 2 interface [m]
- d<sub>50</sub> Median particle diameter of coarse particles [m]
- d<sup>+</sup> Dimensionless particle diameter
- F Froude number
- F<sub>2</sub> Coulombic friction [Pa]
- f<sub>12</sub> Interfacial friction factor
- $f_f$  Fluid friction factor
- f<sub>s</sub> Particle friction factor
- g Gravity, 9.81  $[m^2/s]$
- k Hydraulic roughness [m]
- k1 Parameter for interfacial friction factor
- M<sub>12</sub> Momentum transfer from Layer 1 to Layer 2 [kg m/s]
- M<sub>21</sub> Momentum transfer from Layer 2 to Layer 1 [kg m/s]
- m<sub>s12</sub> Mass transfer of solids from Layer 1 to Layer 2 [kg/s]
- $m_{s21}$  Mass transfer of solids from Layer 2 to Layer 1 [kg/s]

P Pressure [Pa]

- P<sub>sensor</sub> Measured pressure gradient [Pa/m]
  - Q Flow rate [m<sup>3</sup>/s]

- r Interior-point barrier parameter
- S<sub>1</sub> Partial parameter of top of Layer 1 [m]
- S<sub>2</sub> Partial parameter of bottom of Layer 2 [m]
- S<sub>12</sub> Length of Layer 1 and Layer 2 interface [m]
- t Time, independent variable [s]
- V Bulk velocity [m/s]
- V<sub>1</sub> Average velocity of Layer 1 [m/s]
- V<sub>2</sub> Average velocity of Layer 2 [m/s]
- V<sub>c</sub> Deposition velocity [m/s]
- v<sub>s</sub> Local time averaged vertical particle velocity [m/s]
- $V_{\infty}$  Terminal settling velocity [m/s]
- x Control states
- y Vertical distance [m]
- Z Objective function
- z Horizontal distance [m]

## Greek Symbols

- α Angle normal to pipe wall [radians]
- $\beta$  Angle defining interface of Layer 1 and 2 [radians]
- $\epsilon_s$  Particle diffusivity [m<sup>2</sup>/s]
- $\eta_s$  Coefficient of friction between particles and the pipe wall [dimensionless]
- $\lambda$  Linear solids concentration [dimensionless]
- $\mu_{f}$  Viscosity of the fluid (liquid and fines) [Pa.s]
- $\mu_L$  Viscosity of the liquid (no solids) [Pa.s]
- $\mu_m$  Viscosity of the mixture [Pa.s]
- $\rho_1$  Density of Layer 1 [kg/m<sup>3</sup>]
- $\rho_f$  Density of fluid (liquid and fines) [kg/m<sup>3</sup>]
- $\rho_L$  Density of liquid (no solids) [kg/m<sup>3</sup>]
- $\rho_m$  Density of the mixture [kg/m<sup>3</sup>]
- $\rho_s$  Density of the solids [kg/m<sup>3</sup>]
- $\sigma$  Normal stress at the pipe wall [Pa]
- T Residence time [s]
- $\tau_1$  Kinematic stress of Layer 1 [Pa]
- τ<sub>2</sub> Kinematic stress of Layer 2 [Pa]
- $\tau_{12}$  Interfacial stress [Pa]

 $\tau_c \qquad \text{Shear stress from particle-wall contact [Pa]}$