

Controlled Drug Release from the Aggregation-Disaggregation Behavior of pH-Responsive Microgels

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Abstract: In this submission, two independent sets of microgels were synthesized that exhibit pH responsivity over different solution pH ranges. The microgels were synthesized by copolymerizing two different comonomers with poly (N-isopropylacrylamide) (pNIPAm). The microgels copolymerized with acrylic acid exhibit a negative charge above pH 4.25, while the microgels copolymerized with N-[3-(Dimethylamino)propyl]methacrylamide exhibit a positive charge below pH 8.4; these microgels are neutral outside of these pH ranges. We show that aggregates form when the two independent sets of microgels are exposed to one another in a solution that renders them both charged. Furthermore, in solutions of pH outside of this range, the microgels disaggregate, due to one of the microgels becoming neutralized. This behavior was exploited to load (aggregation) and release (disaggregation) a small molecule model drug methylene blue. This aggregate-based system is one example of how pNIPAm-based microgels can be used for controlled/triggered drug delivery, which can have implications for therapeutics.

Introduction

Polymer microgels are colloiddally stable crosslinked hydrogel particles, which have a swollen network structure in a suitable "good" solvent.¹⁻⁴ Microgels have attracted much attention in theoretical studies of soft matter⁵⁻⁷ and for various applications^{8,9} over the past several decades. In particular, they have rapidly gained considerable importance in materials science owing to their potential applications in drug delivery,^{10,11} sensing,¹²⁻¹⁴ photonic crystal fabrication,¹⁵⁻¹⁷ and separation and purification technologies.¹⁸ Most of these applications are a direct result of their ability to be rendered responsive to external stimuli, i.e., they can be engineered to undergo reversible solvation state changes in response to environmental stimuli such as pH,^{19,20} temperature,^{3,21} ionic strength of the surrounding medium,²² light,^{23,24} electric field²⁵ and magnetic field.²⁶ The solvation state of the microgels is often a result of the imbalance/balance between repulsive and attractive forces acting in the particles. Small molecules are easily introduced into microgels via copolymerization of functional comonomers into the microgels, or post-polymerization modification, that can lead to these forces and the resultant responsivity.^{21,27}

Poly (*N*-isopropylacrylamide) (pNIPAm) is by far the most extensively studied responsive polymer to date.^{28,29} It is well known to be thermoresponsive, exhibiting a lower critical solution temperature (LCST) at ~32 °C in water. That is, at temperatures below the LCST, the polymer has favorable interactions with water molecules and exists as a solvated, extended random coil. The polymer-polymer interactions become dominant above this temperature, causing the polymer to desolvate and collapse into a dense globular conformation. Furthermore, the transition is fully reversible and can be repeated many times. As the LCST is close to physiological temperature, pNIPAm based materials, such as hydrogels and microgels have been widely exploited in biomedical and biological applications.³⁰⁻³²

Like pNIPAm linear chains, pNIPAm-based hydrogel particles (microgels) are able to switch their solvation state from fully water swollen (large diameter) to dehydrated (small diameter) by increasing the water temperature to above the LCST. PNIPAm-based micro and nanogels are most easily synthesized via free radical precipitation polymerization.^{33,34} This approach is versatile in terms of the variety of chemical modifications that can be made to the microgels by simply adding functional monomers to the reaction solution prior to the initiation. Using this approach, pNIPAm-based microgels with a variety of chemical functionalities have been synthesized.^{35,36} The most commonly used comonomer is acrylic acid (AAc),¹⁵ which renders the pNIPAm-*co*-AAc microgel pH responsive, and can also be used to further modify the microgels with other small molecules.³⁷ The pH responsivity is a result of the pK_a of the AAc group. That is, the pK_a for AAc is ~ 4.25 ,³⁸ so when the pH of the environment is < 4.25 , the AAc groups are protonated and neutral (although a slight microgel charge can exist depending on the initiator used), and when the $pH > 4.25$, the microgels are deprotonated and negatively charged. Similarly, positively charged microgels can be obtained by copolymerizing with amine-containing comonomers like, *N*-[3-(Dimethylamino)propyl]methacrylamide (DMAPMA), whose pK_a is ~ 8.4 .³⁹ Therefore, at $pH < 8.4$, these microgels are positively charged and exhibit attractive electrostatic interactions with negatively charged species,^{40,41} while they have minimal interactions with negatively charged species at high pH (> 8.4). This behavior is completely reversed for AAc-modified microgels, which exhibit attractive interactions with positively charged species at $pH > 4.25$. Thus, these microgels are protonated at higher pH and neutral at lower pH.

Stimuli-responsive microgels have been used and developed for drug delivery systems in the past.^{10,42-44} A variety of different stimuli have been engineered into these systems to allow the

release of small molecules in a triggered and controlled fashion. The primary triggers for release from microgel-based drug delivery systems are temperature and pH. Microgels have been used as drug carriers by exploiting different forces, such as electrostatic interactions,⁴⁵ hydrogen bonding,⁴⁶ or bioconjugate interactions.⁴² The drug molecules can diffuse out of the microgels by exposure to an external environment that interrupts these interactions. In most of the cases, the drug loading process is complex⁴⁷ and the loading efficiency of the microgels (or microgel-based systems) is typically limited owing to the size of the microgels. In light of this, it is imperative to find a more effective way of minimizing these disadvantages, making microgel-based technologies viable for drug delivery.

In this study, we developed a facile method to entrap a small molecule model drug methylene blue (MB) into microgels by aggregating microgels of opposite charges in the presence of MB. PNIPAm-co-AAc and pNIPAm-co-DMAPMA microgels were used as negatively and positively charged microgel moieties, respectively. The electrostatic interaction between these two microgels (at given pH) can cause the formation of large aggregates and concomitant loading of the drug. Using this approach, we envisage that the drug loading efficiency will be dramatically increased. The pH-triggered aggregation of the microgels, and the resultant release of MB from the microgels due to disaggregation at certain pH were investigated, and were shown to be a viable option for a drug delivery system.

As mentioned above, the microgels used in this study were synthesized via free radical precipitation polymerization, as previously described.¹⁵ The microgels were composed of functional groups that render them negatively and positively charged at certain pH, while they are neutral otherwise. This is due to the different pK_a values for AAc (~ 4.25) and DMAPMA (~ 8.4); therefore when the pH is below the pK_a of AAc group, pNIPAm-co-AAc microgels are

neutral and pNIPAm-co-DMAPMA microgel are positively charged, while when the pH of the solution is greater than the pK_a for DMAPMA, the pNIPAm-co-AAc microgels are negatively charged, while the pNIPAm-co-DMAPMA are neutral. In the range of pH 4.25 - 8.4 both sets of microgels are charged to different extents, which leads to various degrees of pNIPAm-co-AAc/pNIPAm-co-DMAPMA microgel aggregation when they are mixed. During the aggregation, small molecule model drugs in the surrounding solution can be trapped inside the aggregates, as depicted in Scheme 1. This phenomenon is in accordance with the scrambled egg model.⁴¹ In this study, we used the dye molecule methylene blue (MB) as a model drug; its structure is shown in Scheme 1. MB is a positively charged molecule, independent of solution pH.

To evaluate the aggregation behavior, the microgels were mixed together at various pH. A photograph image of the aggregated microgels themselves without MB at different solution pH is shown in Figure 1. We noted that, the microgels at pH 2 and 12 do not visually aggregate, and the microgel solution remains turbid indicative of the microgels remaining dispersed in the solution. This is quite different when the microgels are mixed together at pH 5 and 7, where the microgels visually aggregate into large structures. To further investigate the aggregation behavior, their size and morphology were evaluated using scanning electron microscopy (SEM), and the results can be seen in Figure 2. At pH 2 and 12, the SEM images clearly show that the microgels are not aggregated and appear individually on a substrate. However, at pH 5 and 7, large aggregates were formed and the size is around 2 mm x 4 mm, which is big enough to be seen visually. Most of the microgels were involved in the formation of the aggregates, which left the solution less turbid (because there were minimal microgels left unaggregated in the solution). The relative differences in the sizes of aggregates are shown in Electronic Supporting

Information (ESI). The SEM images show that the aggregates are tightly bound to one another, which increases their ability to uptake model small molecule drugs. This is due to the interstices between the aggregated microgels effectively trapping the small molecule, as we have shown previously for water remediation applications.^{18,48-50}

When aggregates are formed in the presence of the dye molecule MB, we expect the most efficient trapping at pH 5, 7 and 9, while we expect the least amount of aggregation at pH 2 and 12. To generate the aggregates in the presence of MB, 1.0 mL of 0.5 mg/mL MB solution was added to individual glass vials, and diluted to a total volume of 10 mL with the appropriate pH solution, bringing the final concentration of MB to 0.05 mg/mL. For these experiments, solution pH of 2, 4, 5, 7, 9, 10, 12 were used, and the solutions were vivid blue in each case, as can be seen in Figure 3a. Then 50 μ L of each microgel, taken directly from a centrifuged microgel pellet (see experimental section), was added one at a time to these pH solutions; pNIPAm-co-AAc microgels were always added to the solution first followed immediately by pNIPAm-co-DMAPMA microgels. The aggregates formed immediately upon addition of the two microgels to the appropriate pH solution. As can be seen in Figure 3b, the dye was trapped inside the microgels aggregated in solutions of certain pH as is evidenced by the color of the aggregates and the associated decoloration of the solution. As can be seen from the results, almost no visual aggregates were formed in solutions of pH 2 and 12 (where one of the microgels is neutral, while the other is charged), while large aggregates were formed at pH 5, 7 and 9. Some aggregates were formed at pH 4 and 10 (near the two different pK_a values). This can be explained by the fact that the dissociation constant of the individual comonomers shift in a polymeric system⁵¹, however, these aggregates were much less efficient at trapping MB. In order to investigate whether the MB dye molecules contribute to the formation of the aggregates, two control

experiments were conducted; the results are shown in the ESI. The addition of only one set of microgels to MB solutions of the various pH had no effect on the aggregation state of the microgels, meaning that the aggregation is achieved only when the different microgels are together in their charged state.

Before studying the drug release properties of the aggregates, the ability of the aggregates to trap MB at the different pH was further investigated. To do this, the absorbance of MB solutions were measured by UV-Vis spectroscopy, before and after aggregates were formed, and removed from the MB solutions. Specifically, 200 μ L of the MB solution (0.05 mg/mL) was diluted with 2.0 mL of a given pH solution, and the initial absorbance measured. In this case we measured the absorbance value at MB's λ_{max} of 664 nm (the full spectrum can be seen in ESI), and the results are shown in Figure 4. As can be seen, the solution pH minimally affects MBs optical properties. Similar to what was observed above, the amount of MB left in solution after aggregation of the microgels depends dramatically on pH; at pH values where the most efficient aggregation takes, the most MB is removed from solution. However, the absorbance of the remaining solutions when the "aggregates" were formed at pH 2 and 12 was high due to the excess MB present. It must be noted that pNIPAm-co-AAc microgels are negatively charged at high pH, which can allow them to electrostatically bind with MB. However, we have shown that, the aggregation has a much greater effect on the uptake than electrostatics alone, see Figure 5. In this case, there are no aggregates formed but the negative pNIPAm-co-AAc microgels will electrostatically interact with positive MB molecules. Zeta potential measurements of the individual pNIPAm-co-AAc and pNIPAm-co-DMAPMA microgels further confirm this aggregation behavior. At the extreme pH values (i.e., pH 2 and 12), only one set of the microgels is charged (for example, pNIPAm-co-AAc is negatively at pH 12 while pNIPAm-co-DMAPMA is positively charged at pH 2; as

shown in the shaded region of Figure S4 in ESI). However, at pH ranges between these shaded portions, the two sets of microgels have strong opposite charges, which promotes the formation of aggregates.

Next, the ability of the aggregates to release MB at different pH was investigated. This was done by isolating the aggregates formed at pH 5 and 7, and exposing the aggregates to solutions that have pH values that render one of the sets of microgels neutral. To do this, a glass vessel containing 5.0 mL aqueous solution (either pH 2 or 10) was placed on a hot plate to control the solution temperature at 25 °C, while the solution was stirred at a rate of 80 RPM. Then a small vial containing the MB loaded aggregates was immersed into the glass container such that the liquid filled the small vial and contacted the aggregates. At this point, a timer was started. The whole assembly was covered with a glass slide to prevent the water from evaporating. The aggregates were placed in the small vial to keep them from becoming damaged from the stirring. At a given time intervals, 2.0 mL of the solution was removed from the release vessel, and a UV-Vis spectrum acquired. In pH 2, the absorbance was taken every 5 min for the first 1 h, then every 10 min in the second hour and every 20 min in the third hour, until the release profile plateaued. In pH 10, the release was slow (due to the AAc charge), so absorbance was taken at less frequent intervals. Following each UV-Vis measurement, all the liquid was carefully returned to the release vessel. The experimental setup is summarized in Scheme 2, while the results are shown in Figure 6. Figure 6a shows the release profiles for aggregates formed at pH 5. As can be seen, the MB is released very quickly from the aggregates at pH 2, with the release completing in ~ 5 h. However, at pH 10, the release was so slow that the absorbance was still lower than 0.2 even after 50 h, which is much slower than releasing in pH 2 (absorbance reached this value in 15 min). Therefore, the MB can be released at a rate that is controlled by pH. Figure

6b shows the same experiments, but for the aggregates formed at pH 7. As can be seen, the same phenomenon was observed for these aggregates; very fast release for the aggregates exposed to pH 2 solution, while very slow release at pH 10. When in lower pH (~ 2), the electrostatic interaction between microgels disappeared and disaggregation occurred, making the diffusion of the MB molecules out of the microgels much easier. At the same time, pNIPAm-co-DMAPMA microgels are positively charged at this pH, which will form a repulsive force with the positively charged MB molecules, hence dramatically increasing the diffusion of MB out of the microgel aggregates. Therefore, the disaggregation and repulsive forces, in combination with the AAc neutralization, are the collective forces that contribute to the faster release at pH 2. However, at pH 10, while the aggregates still broke up due to the neutralization of the pNIPAm-co-DMAPMA microgels, the pNIPAm-co-AAc microgels are fully negatively charged, which cause them to form attractive electrostatic interactions with MB molecules (Figure 5). This makes the release of MB from the aggregates much slower. The photographs of the solution after releasing in different pH are shown in Figure S5 in the ESI. We can clearly see that even after releasing, the solution color turned blue in pH 2, while it is almost colorless in pH 10.

Following this, we investigated the ability of the aggregates to release MB in a pH-triggered fashion. To investigate this, the aggregates were first immersed in a pH 10 solution and the release profile was measured over ~ 4 h. The solution pH was then reduced from pH 10 to 2, by the addition of HCl, and the release was continuously monitored. The results are shown in Figure 7. When the pH was changed from 10 to 2 at 270 min, the absorbance increased immediately, eventually stabilizing at 0.8. This result shows that changing the pH can trigger the release of the model drug MB.

Conclusion

In conclusion, we synthesized pH responsive microgels, which exhibit opposite charges over a given solution pH range. We showed that the microgels aggregated when they are mixed in this pH range, which could be used to trap/load a small molecule model drug methylene blue. We showed that the loading efficiency was greater when the microgels aggregated, compared to simply relying on electrostatic interactions for loading. Finally, we showed that the methylene blue could be released in a pH-dependent fashion over many hours/days at certain pH conditions. This is a clear demonstration of how microgel-based technology could be used for health related applications, which could be used to release biologically relevant molecules at low pH environments typically found at tumor sites.

Experiment

Materials: Unless otherwise specified, all reagents were purchased from Sigma-Aldrich. *N*-Isopropylacrylamide (NIPAm) was purified by re-crystallization from hexanes prior to use. *N*, *N*'-Methylene(bisacrylamide) (BIS), acrylic acid (AAc), *N*-[3-(dimethylamino)propyl]methacrylamide (DMAPMA) and ammonium persulfate (APS) were used without further purification. Methylene blue (MB) was used as the model drug. UV-Vis spectrometer (Hewlett Packard Diode Array Spectrometer) was used to monitor the release of the model drug. pH meter (JENCO 6173 pH) was used to prepare the pH solutions using sodium hydroxide (NaOH) and hydrochloric acid (HCl) to adjust the pH. Millipore water (18.2 MΩ·cm) from a Milli-Q Plus system (Fisher, Z00QSV01) was used in this experiment. Scanning electron microscope (SEM) (JSM-6010LA JEOL, Peabody, MA.) was used to image the aggregates.

Synthesis of Microgels: *pNIPAm-co-AAc* The microgels were synthesized following a previously published procedure.^{15,19} A 3-necked flask was fitted with a reflux condenser, nitrogen inlet, and temperature probe), and charged with a solution of NIPAm (11.9 mmol) and BIS (0.703 mmol) in 99 mL deionized water, previously filtered through a 0.2 μm filter. The solution was purged with N_2 and allowed to heat to 70 $^\circ\text{C}$ for 1.5 hr. AAc (1.43 mmol) was added to the heated reaction mixture in one aliquot, and immediately initiating the reaction with a solution of APS (0.2 mmol) in 1 mL of deionized water. The reaction was then allowed to proceed at 70 $^\circ\text{C}$ for 4 hours under a blanket of nitrogen. The resulting suspension was allowed to cool overnight, and filtered through a Whatman #1 filter paper to remove any large aggregates. The microgel solution was then distributed into centrifuge tubes and purified via centrifugation at ~ 10000 rpm for ~ 30 min to form a pellet, followed by removal of the supernatant and resuspending in deionized water, 6x.

pNIPAm-co-DMAPMA: These microgels were synthesized similarly to the above protocol. A 3-necked flask was fitted with a reflux condenser, nitrogen inlet, and temperature probe), and charged with a solution of NIPAm (11.9 mmol) and BIS (0.703 mmol) in 99 mL deionized water, previously filtered through a 0.2 μm filter. The solution was purged with N_2 and allowed to heat to 70 $^\circ\text{C}$ for 1.5 hr. DMAPMA (1.43 mmol) was added to the heated reaction mixture in one aliquot, and immediately initiating the reaction with a solution of APS (0.2 mmol) in 1 mL of deionized water. The reaction was then allowed to proceed at 70 $^\circ\text{C}$ for 2 hours under a blanket of nitrogen. The resulting suspension was allowed to cool overnight, and filtered through a pad of glass wool to remove any large aggregates. The microgel solution was then distributed into centrifuge tubes and purified via centrifugation at ~ 10000 rpm for ~ 30 min to form a pellet, followed by removal of the supernatant and resuspending in deionized water, 6x.

Aggregation of Microgels: A 1:1 (v/v) ratio (50:50 μL) concentrated microgels of pNIPAm-co-AAc and pNIPAm-co-DMAPMA were mixed in a glass vial containing 1.0 mL pH solutions (pH 2, 4, 5, 7, 9, 10 and 12). The pH solutions were prepared using HCl and NaOH with the ionic strength (I.S) adjusted to 2.0 mM using NaCl.

UV-Vis Spectroscopy: The absorbance of the supernatant solutions from the aggregation studies was measured. In each case, 200 μL of the supernatant from all pH solutions were diluted with 2000 μL of a solution with the same pH.

Drug Release: Efficient aggregation was observed for microgels mixed at pH 5 and 7, so the drug was loaded in solutions of this pH and released at pH 2 and 10 (where no or little aggregates were observed). The aggregated microgels were kept in a vial and placed in a beaker containing either 5 mL pH 2 or 10 solutions and covered. The temperature was set to 25 °C and with a stirring rate of 80 rpm. The release of the drug was monitored every 5 min for 1 h, and every 10 min for another 1 h and finally every 20 min until the release profile plateaued. We showed the controlled release of the drug by loading the drug at pH 5, and released at pH 10 for 4 h, after which 0.1 M HCl was added to drop the pH of the solution from 10 to 2, monitoring the release for another 4 h.

Characterization of Aggregates: Scanning electron microscopy (SEM) was done on aggregates formed in solutions of different pH. The samples were taken from pH 2, 5, 7 and 12, and dried for 2 days. Before doing the SEM, samples were coated with ~ 10 nm layer of Au by sputter.

Zeta Potential Measurement: Zeta potential of the individual microgels were measured at different pH solutions using a Malvern Zetasizer Nano ZS instrument (Malvern, UK) with 633 nm laser and at 25 °C. Briefly, about 2 μL of each concentrated microgel pellets were dispersed

in a 1000 μL pH solution (I.S 2.0 mM). 500 μL of these solutions were used for the zeta potential measurement.

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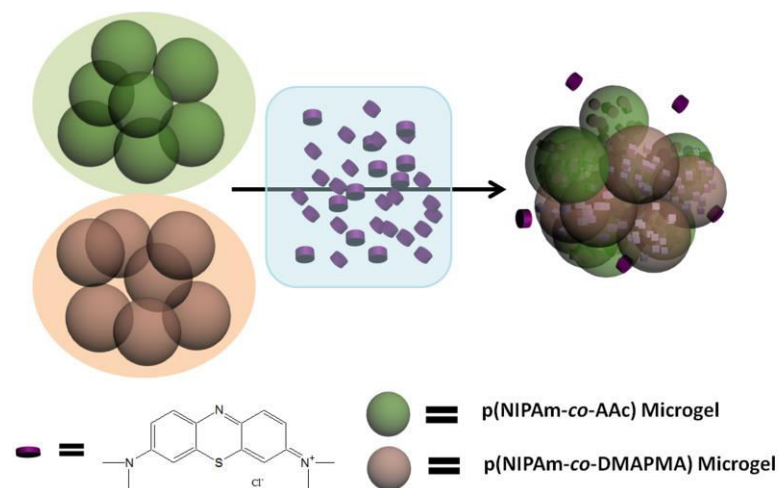
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†: The authors contributed equally to this work.

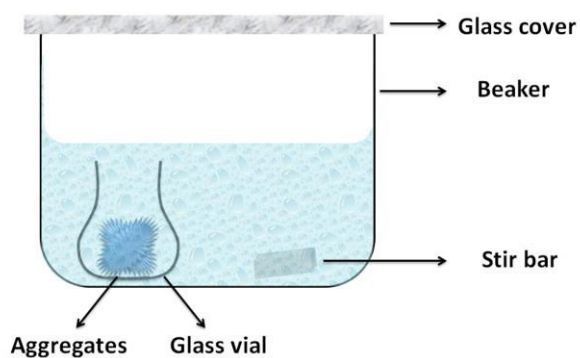
ASSOCIATED CONTENT

SEM images showing the relative aggregate sizes of microgels at different pH. Control experiment with the individual microgels at different pH. UV-Vis Absorption spectrum for MB at pH 5 and 10. Zeta potential measurement of individual microgels at different pH. Photograph showing the release of MB from the aggregates at pH 2 and 10. This information is available free of charge via the Internet at <http://pubs.acs.org>.

Scheme 1. Aggregate formation and model drug (methylene blue) trapping when microgels are mixed at pH that render them both charged.



Scheme 2. Schematic representation of the drug release experimental set up.



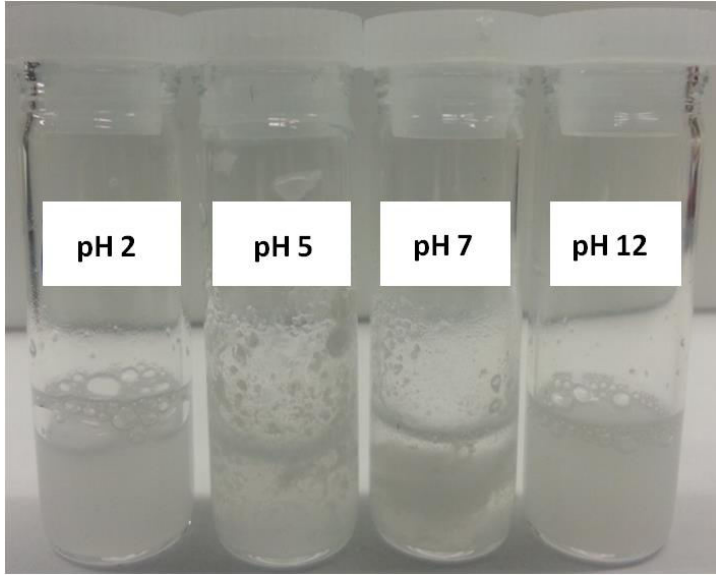


Figure 1. Photograph of microgel-based aggregates in solutions of the indicated pH.

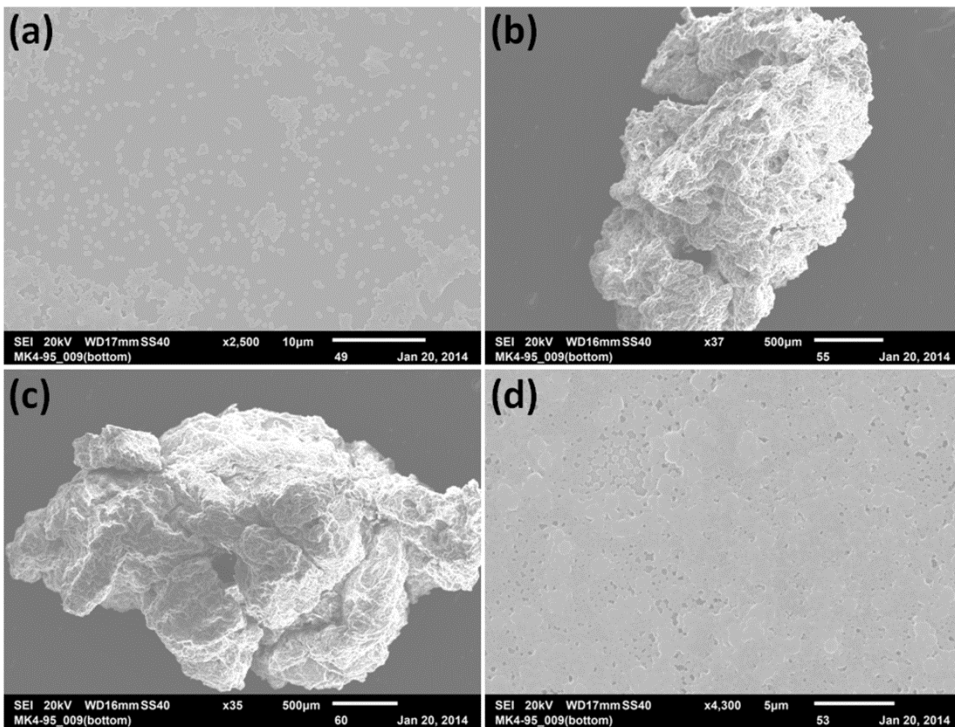


Figure 2. Scanning electron microscope (SEM) images of samples recovered after the two sets of microgels were mixed in solutions of pH (a) 2; (b) 5; (c) 7; (d) 12.

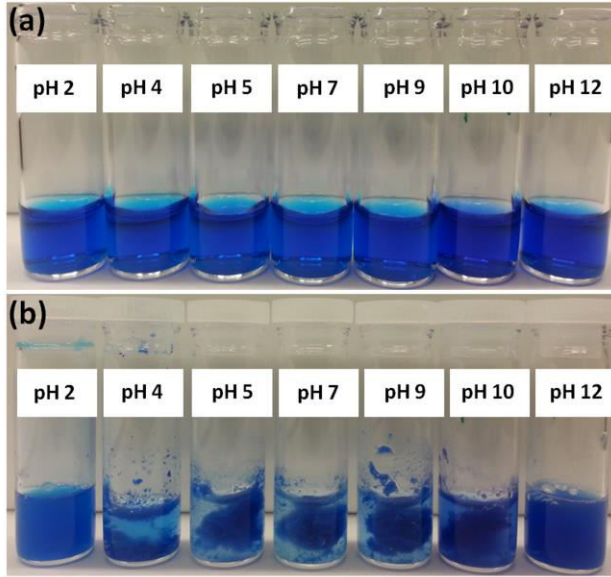


Figure 3. Photographs of methylene blue (MB) solutions at the indicated pH (a) before and (b) after addition and aggregation of the individual sets of microgels.

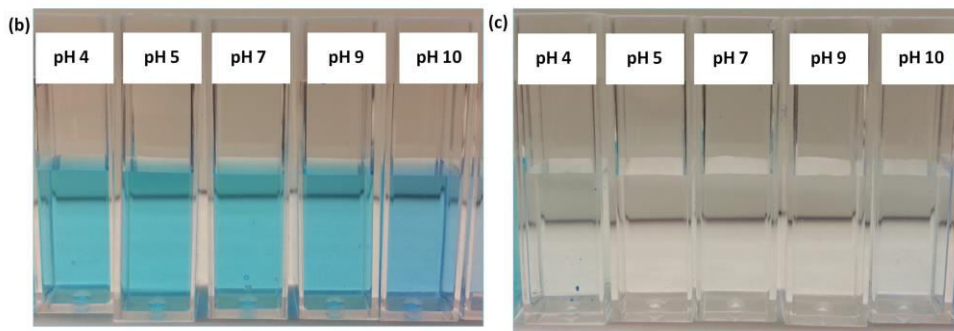
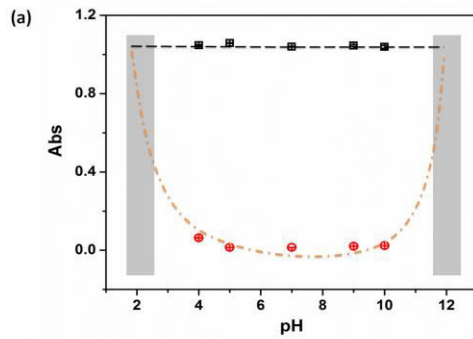


Figure 4. a) UV-Vis absorbance values from MB solutions at the indicated pH (□) before and (□)□ after microgel aggregation (and removal from solution). Photographs of the remaining solutions at the indicated pH b) before and c) after microgel aggregation.

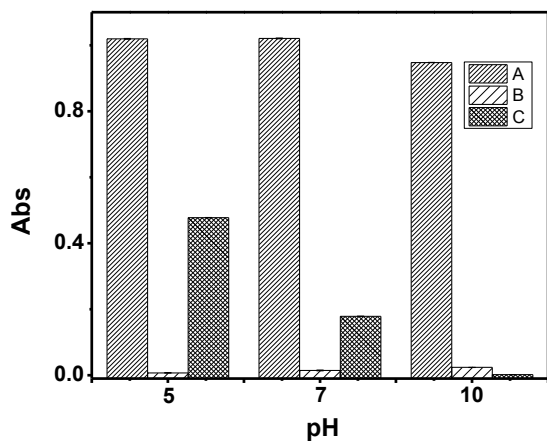


Figure 5. Absorbance values for MB solutions at the indicated pH. A) MB solutions before addition of microgels, (B) after microgel aggregation, and (C) after addition of just the pNIPAm-co-AAc microgels alone and centrifugation to remove the microgels from solution. The electrostatic interaction between MB and the pNIPAm-co-AAc microgels becomes stronger as the solution pH increases, leading to more uptake of MB, and less left over in solution. Although, at the pH here, the amount of MB left over in solution after aggregation is the same indicating that the aggregates are able to trap MB, in addition to the electrostatic interactions.

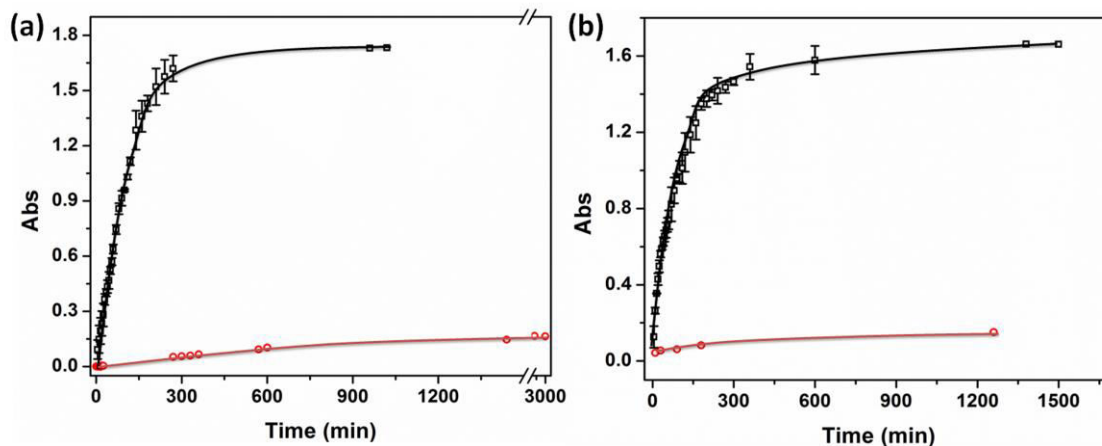


Figure 6. Drug release profiles in (\square) pH=2 and (\circ) pH=10 solutions for aggregates formed at (a) pH=5 and (b) pH=7. Each point is the average for 3 individual experiments, while the error bars are the standard deviations.

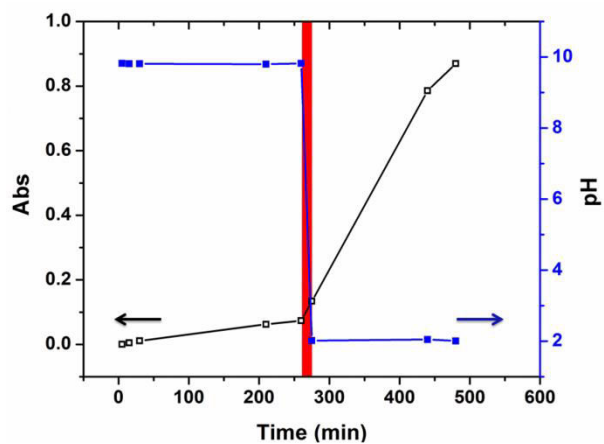


Figure 7. Triggered small molecule release from the microgel-based aggregates upon changing the solution pH from 10 to 2 at the time indicated by the shaded region.

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