
How library staff can help researchers comply with open access mandates

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1.0 Introduction

Nuts & Bolts:

- How tos and tools to determine copyright

Librarianship in the 21st Century:

- Emergence of open access mandates
-

1.1 What is Open Access?

Budapest Open Access Initiative:

“The old tradition is the willingness of scientists and scholars to publish the fruits of their research in scholarly journals without payment, for the sake of inquiry and knowledge. The new technology is the internet. The public good they make possible is **the world-wide electronic distribution of the peer-reviewed journal literature and completely free and unrestricted access to it** by all scientists, scholars, teachers, students, and other curious minds.”

(Chan et al., 2002, 1st para.)

Open Access Mandates

2.0 Open Access Mandates

Canadian Tri-Agency Open Access Policy on Publications

- Policy Statement:
 - “Grant recipients are required to ensure that any peer-reviewed journal publications arising from Agency-supported research are freely accessible within 12 months of publication.”
- Implementation Dates:
 - CIHR: applies to grants awarded January 1, 2008 & onward
 - NSERC / SSHRC: applies to grants awarded May 1, 2015 & onward

(Government of Canada, 2016, n.p.)

Open Access Compliance

3.0 Open Access Compliance

Recipients of Canadian Tri-Agency grants can comply in the following ways:

- “Grant recipients can deposit their final, peer-reviewed manuscript into an institutional or disciplinary repository that will make the manuscript freely accessible within 12 months of publication. It is the responsibility of the grant recipient to determine which publishers allow authors to retain copyright and/or allow authors to archive journal publications in accordance with funding agency policies.”
- “Grant recipients can publish in a journal that offers immediate open access or that offers open access on its website within 12 months.”

(Government of Canada, 2016, n.p.)

3.1 Open Access Journals

OA Journals

- “Gold” OA options:
 - Author Processing Charges (APCs) to be paid before publication
 - Free to access / download / use
 - Open access licences: e.g. Creative Commons (CC)

Hybrid Journals

- “Gold” and “Green” OA options:
 - “Green” OA: allows authors to self-archive their accepted, peer-reviewed manuscripts in open access repositories
-

share | embed 10 order by ... relevance search all search term

– Journals vs Articles

Journals

+ Subject

+ Article processing charges (APCs)

+ DOAJ Seal

+ Journal license

+ Publisher

+ Country of publisher

+ Full Text language

+ Peer review

+ Date added to DOAJ

Journals vs Articles: Journals

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[Journal of Problem Solving](#)
ISSN: 1932-6246 (Online)
<http://docs.lib.purdue.edu/jps/>
Double blind peer review
Subject: Philosophy. Psychology. Religion: Psychology: Consciousness. Cognition
Date added to DOAJ: 3 Aug 2009

APC: 500USD

[Indonesian Journal of Biotechnology](#)
ISSN: 0853-8654 (Print); 2089-2241 (Online)
<http://jbiotech.ugm.ac.id>
Subject: Technology: Chemical technology: Biotechnology
Date added to DOAJ: 24 Feb 2013

[Revista Adm.Made](#)
ISSN: 1518-9929 (Print); 2237-5139 (Online)
<http://revistaadmmade.estacio.br/index.php/admmade/index>
Double blind peer review
Subject: Social Sciences: Commerce: Business
Date added to DOAJ: 23 Oct 2016

[International Journal of Islamic Thought](#)
ISSN: 2232-1314 (Print)

Directory of Open Access Journals (DOAJ) - <https://doaj.org/search>



Journal Hosting and Publishing

The University of Alberta Libraries provides significant support to over 30 Canadian open access journals through our no-fee hosting service. We provide web hosting for journals using the **Open Journal Systems (OJS)** publishing software and provide several value-added services, including:

- OJS software hosting, updates and maintenance
- Article-level DOI creation and CrossRef registration through the **EZID service**
- LOCKSS archival preservation through **COPPUL** and **PKP PLNs**
- Inclusion with the University of Alberta and NEOS library systems, and the EBSCO knowledge base
- Referrals and assistance to journal managers and editors with journal set-up, management, and discovery of their content

For more information, see the related **Memorandum of Understanding** and contact Sonya Betz, Digital Initiatives Projects Librarian, sonya.betz@ualberta.ca to discuss whether our service is the right match for your journal.

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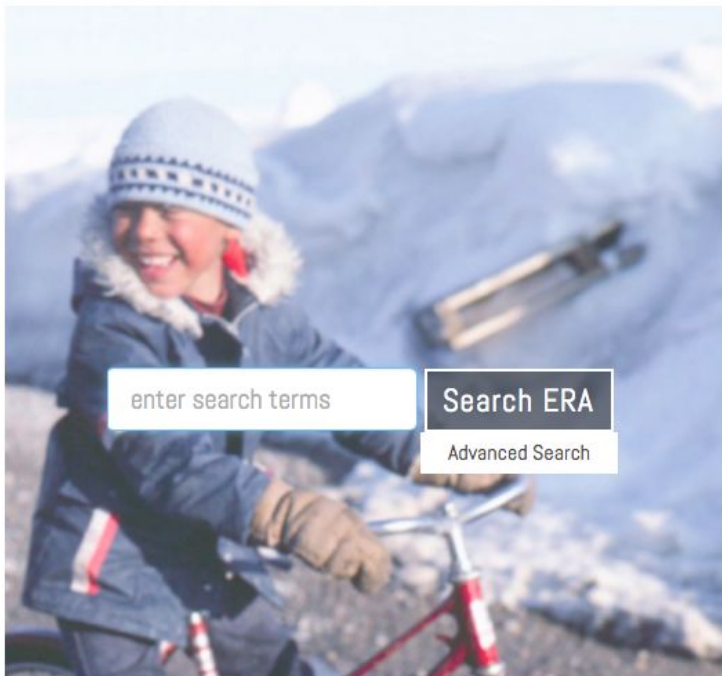
[Data Services](#)

Projects and Partnerships

University of Alberta Libraries Journal Hosting - <https://ejournals.library.ualberta.ca>

3.2 Self-Archiving

- Refers to the deposit of author manuscripts / published versions of peer-reviewed journal articles into subject / institutional repositories
 - Self-deposit:
 - Authors deposit their own work into the repository
 - Mediated deposit:
 - Library staff research copyright policies of the journals in which authors publish to determine what can be deposited
 - Journal articles / author manuscripts, if permitted, are deposited into the repository on behalf of the author
-



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ERA

education & research archive

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University of Alberta institutional repository: ERA - <https://era.library.ualberta.ca>

RO@M

Research Online at MacEwan

A digital collection of research,
scholarship, and creative works by
MacEwan University faculty,
students, and staff.

Items in RO@M: 690



Dr. Shannon Digweed

Psychology/Biological Sciences, Faculty of Arts and Science

See All



Discover.



Share.



Preserve.

MacEwan University institutional repository: RO@M - <http://roam.macewan.ca>

Copyright Policies

4.0 Tools to Determine Copyright

- SHERPA/RoMEO:
<http://www.sherpa.ac.uk/romeo/index.php>
 - Publisher / journal websites:
 - About
 - Author Guidelines / Instructions to Authors
 - Copyright / Open access policies
 - Editorial policies
 - Self-archiving / Sharing your work
 - Creative Commons Licences:
<https://creativecommons.org/licenses/>
-

Search - Publisher copyright policies & self-archiving

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Search again?

 Journal titles or ISSNs Publisher names Exact title starts with contains ISSN[Advanced Search](#)

RoMEO Colour	Archiving policy
Green	Can archive pre-print <i>and</i> post-print or publisher's version/PDF
Blue	Can archive post-print (ie final draft post-refereeing) or publisher's version/PDF
Yellow	Can archive pre-print (ie pre-refereeing)
White	Archiving not formally supported

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Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.

The RoMEO Journals database is supplemented with information kindly provided by:

- the British Library's [Zetoc](#) service hosted by MIMAS,
- the [Directory of Open Access Journals](#) (DOAJ) managed by Infrastructure Services for Open Access,
- the [Entrez](#) journal list hosted by the NCBI.

Search - Publisher copyright policies & self-archiving

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One journal found when searched for: **plos one**

Journal:	PLoS ONE [1] (ISSN: 1932-6203)
RoMEO:	This is a RoMEO green journal
Listed in:	DOAJ as an open access journal
Author's Pre-print:	✓ author can archive pre-print (ie pre-refereeing)
Author's Post-print:	✓ author can archive post-print (ie final draft post-refereeing)
Publisher's Version/PDF:	✓ author can archive publisher's version/PDF
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Mandated OA:	(Awaiting information)
Notes:	<ul style="list-style-type: none">• All titles are open access journals
Copyright:	Pre-print Server policy - Open Access
Updated:	08-Sep-2016 - Suggest an update for this record
Link to this page:	http://www.sherpa.ac.uk/romeo/issn/1932-6203/
Published by:	Public Library of Science - Green Policies in RoMEO

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What are my open access options?

	Gold open access	Green open access (Sharing your subscription article)
Options	<ul style="list-style-type: none"> • Publish in an open access journal • or in a journal which supports open access (hybrid) 	<ul style="list-style-type: none"> • Link to your article. • Select a journal that features an open archive • Select a journal that participates in CHORUS • Self-archive a version of your article

Publisher website: Elsevier - <https://www.elsevier.com/about/open-science/open-access>

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 - “This license . . . only allow[s] others to download your works and share them with others as long as they credit you, but they can’t change them in any way or use them commercially”

(Creative Commons, n.d., n.p.)

5.0 Conclusion

- Research trends are moving towards open access mandates at the federal, institution, & department levels
 - Academic library staff need to be in a position to address the questions / concerns of researchers regarding open access mandates & compliance
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Questions?

Thank You!
