

# Melatonin for cognitive impairment (Protocol)

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## ABSTRACT

This is the protocol for a review and there is no abstract. The objectives are as follows:

The primary objective is a systematic review of evidence relating to the clinical efficacy and safety of melatonin in the treatment of manifestations of dementia or cognitive impairment (CI).

## BACKGROUND

Melatonin, a naturally-occurring hormone secreted by the pineal gland in the centre of the brain, was discovered by Lerner and colleagues at Yale University School of Medicine in 1958 (Wurtman 1989). It is biosynthesized from tryptophan via serotonin. It has a number of effects relating to a variety of bodily functions. These include circadian rhythmicity (physiological sleep onset and sleep-wake cycles) and cyclic hormone release (Webb 1995); regulation of the immune system (Maestroni 1993); and more recently discovered anti-oxidant properties (Reiter 1995). In addition to the brain, there are also melatonin receptors on cells of blood vessels, ovaries and digestive system, though little is currently known about their functions.

Since melatonin is a naturally occurring substance, it is not considered a drug in most countries. However, the safety of melatonin products has not been definitely determined. Melatonin products are regulated differently in several countries. In the United States, melatonin falls under the Food and Drug Administration's Dietary Supplement Health and Education Act in the category of "other dietary supplements" and is "generally recognized as safe". In Canada, melatonin is included in the Natural Health Products Directorate of Health Canada. Melatonin is available for sale in Canada, having met the specific licensing, manufacturing, labelling, and safety standards. In the European Union, melatonin is considered a medicine or hormone and is available only by prescription. In Australia, melatonin is an unregistered product under the Therapeutic Goods administration. However, with a prescrip-

tion, it can be imported for use under the Personal Import Scheme (Buscemi 2004).

Dementia is an acquired, persistent global impairment of intellectual function. There are various diagnostic criteria based on demonstration of acquired defects in more than one domain of cognitive function, for example: language, memory, visuo-spatial skills, emotion or personality, abstraction, calculation, judgment or executive function. It is a common affliction, affecting some 8% of adults aged over 65 years, rising to 50% of people aged over 85 years.

There are a number of factors suggesting a relationship between decline of melatonin function and the deficits of dementia (Owen (unpubl)). These include:

- Decline of serum melatonin levels (Mishima 1994) (to an even greater extent than in normal aging) and the breakdown of normal circadian rhythmicity (Ghali 1995; Hopkins 1992) in patients with dementia. The relationship between melatonin and circadian rhythmicity is well-established. The suprachiasmatic nuclei (SCN) of the brain are generally accepted as the "seat" of the circadian clock in humans (Moore 1992; Swaab 1985). Entrainment of the SCN (i.e. "setting" of the biological clock) is, in large part, due to rhythmic release of melatonin from the pineal gland (Dubocovich 1991).
- Disruption in sleep patterns in patients with dementia (Prinz 1982), the relationship between melatonin and sleep (Webb 1995), and the relationship between sleep and cognitive function i.e. disrupted or insufficient sleep can contribute to signif-

icant difficulties with tasks requiring mental concentration and memory function (Downey 1987). This effect is thought to be even more pronounced in people with pre- or co-existing causes of cognitive impairment (Hopkins 1995).

- Correlation between typical areas of cerebral atrophy in certain dementias (e.g. temporal lobes in DAT), and those areas containing melatonin receptors (Dubocovich 1991; Fauteck 1995).
- Antioxidant and antiamyloidogenic properties of melatonin (Pierrefiche 1995; Reiter 1994); and the known involvement of oxidative and amyloid-mediated brain damage in the pathogenesis of Alzheimer's Disease (AD) (Varadarajan 2000).

Breakdown in normal function of melatonin-related brain functions also may play a significant role in caregivers' ability to care for an individual with dementia. Specifically, problematic sleep-related behaviours often precipitate the decision of families to institutionalize an elderly relative with dementia (Coffey 1994).

Generally, few adverse effects have been reported in human trials in recent years (Andrade 2001; Seabra 2000; Shamir 2000). However, because of the many organ systems containing melatonin receptors, effects could be far-reaching. Furthermore, a number of older studies and animal data suggest a variety of possible side effects including:

- Worsening of depression, sleep disturbance, weight loss and an oral temperature decrease in depressed individuals (Carman 1976); also supported by a finding in depressed patients, but not in controls, of a longer duration of the nocturnal period of active melatonin secretion in winter than in summer (Wehr 2001). Furthermore, because evening melatonin should produce a circadian phase advance, it may worsen early morning awakening.
- Decreased sex drive and infertility. In many mammals, melatonin affects prolactin and gonadotropins (Griffiths 1987; Smith 1987). This also appears to be the case in humans, as high levels of melatonin have been found in women with hypothalamic amenorrhea (Berga 1988; Laughlin 1991) and in men with hypogonadism (Karasek 1990; Puig-Domingo 1992). So too, exogenous melatonin delays sexual maturation in experimental animals (Lang 1985; Rivest 1985), and high doses of melatonin have been used in humans as a female contraceptive (inhibiting ovulation) in combination with progesterone (Voordouw 1992).
- In mammals melatonin may suppress insulin (Rasmussen 1999) although a lack of effect on insulin has also been found (Bizot-Espiard 1998). There is recent evidence that exogenous melatonin reduces glucose tolerance and insulin sensitivity in postmenopausal women (Cagnacci 2001).
- Melatonin has been found to increase retinal susceptibility to light-induced damage (Leino 1984; Wiechmann 1992) but also to protect the retina from oxidative damage (Siu 1999).

- Melatonin has been reported to have both vasoconstricting (Mahle 1997; Viswanathan 1997) and vasorelaxing properties (Cagnacci 2001a; Weekley 1995): it can lower blood pressure (Chuang 1993; Tom 2001) and, in animals, constrict cerebral and coronary arteries and reduce cerebral blood flow (Capsoni 1995). The arterial effect might account for several reports that melatonin causes headache, although it has also been reported to relieve headache (especially migraine) (Claustrat 1997; Gagnier 2001). Vasoconstriction could also, theoretically, compromise cerebral circulation in older people with atherosclerosis. However, another study suggests melatonin may diminish the risk of hypoperfusion-induced cerebral ischaemia by shifting the lower limit of cerebral blood flow autoregulation to a lower pressure level, improving the cerebrovascular dilatatory reserve, and thus widening the security margin (Regrigny 1998).

- At least one study reported increased seizures when melatonin was given to neurologically compromised children (Sheldon 1998), but elsewhere an anti-convulsant and neuro-protective effect has been reported (Munoz-Hoyos 1998).

- Exogenous melatonin (or its withdrawal) may trigger or worsen manic episodes in susceptible individuals (Leibenluft 1997), although it has also been found to improve sleep and decrease severity of manic symptoms in manic patients with treatment-resistant insomnia (Bersani 2000; Robertson 1997).

- The preponderance of evidence suggests that melatonin has anti-cancer properties in vitro (Hill 1988; Hu 1998), in animal studies (Kumar 2000) and in humans (Lissoni 1994; Neri 1994). However, other studies have found a lack of such effect (Panzer 1998) and there is even at least one paper supporting a pro-neoplastic effect in a compound structurally similar to melatonin (Malakhova 1986).

- Melatonin appears to enhance immune function (Maestroni 1993; Reiter 2000); this may have positive clinical effects in illnesses such as cancer, but may worsen such autoimmune conditions as arthritis (Maestroni 2001).

It should be noted that in situations where manufacture and sale of melatonin is not regulated as for a drug, preparations may contain additives that have their own pharmacological actions and potential side effects (e.g. some health food store melatonin preparations are said to contain the same impurity which causes eosinophilia-myalgia syndrome when found in tryptophan preparations).

## OBJECTIVES

The primary objective is a systematic review of evidence relating to the clinical efficacy and safety of melatonin in the treatment of manifestations of dementia or cognitive impairment (CI).

## CRITERIA FOR CONSIDERING STUDIES FOR THIS REVIEW

### Types of studies

The review will include all relevant unconfounded, randomized controlled trials, published or unpublished, in which treatment allocation was concealed and assessment of outcomes was blind. The period of treatment must exceed one day. Studies will be included irrespective of the language in which they were reported.

The first treatment period of cross-over studies will be included where appropriate, but since most conditions under evaluation are progressive, and in order to avoid carry-over effects, data from subsequent phases will be excluded.

### Types of participants

Included studies will involve patients with dementia of any severity or cognitive impairment. The diagnosis of dementia may be based on accepted criteria such as ICD, DSM (APA 1995) and NINCDS-ADRDA (National Institute of Neurological and Communicative Disorders and Stroke - Alzheimer's Disease and Related Disorders Association (McKhann 1984)). In the case of studies conducted before the widespread availability or use of the accepted criteria, it may be based on a comparable assessment using rating scales. The diagnosis of cognitive impairment is usually based on assessment using rating scales.

### Types of intervention

Included trials will have assessed the effect of orally administered melatonin in any dosage compared with placebo, or the effect of melatonin compared with no treatment, for a minimum of 1 day, and with a minimum of 24 hour follow-up.

### Types of outcome measures

Relevant outcomes are cognitive, behavioural and/or affective, function in activities of daily living, quality of life, caregiver stress, morbidity, mortality and length of time to institutionalization. Included will be any trial with acceptable (i.e. objective, reproducible) measures of the above. Sleep will not be included as it is being examined in another Cochrane review (Johnson 2001).

Side-effects and safety issues relevant to the use of melatonin will be assessed.

## SEARCH STRATEGY FOR IDENTIFICATION OF STUDIES

See: search strategy

- The Cochrane Dementia and Cognitive Improvement Group Register of Clinical Trials (which contains up-to-date references from MEDLINE, EMBASE, PsycINFO, CINAHL, CCTR/CENTRAL and may other trial databases) will be searched for trials involving melatonin. The search

terms used will be MELATONIN, and N-ACETYL-5-METHOXYTRYPTAMINE.

- Reference lists of retrieved articles (especially literature reviews) will be examined for additional trials.
- Proceedings of relevant conferences will be searched.

## METHODS OF THE REVIEW

### SELECTION OF TRIALS

Titles and abstracts of citations obtained from the search will be examined by both reviewers and obviously irrelevant articles discarded. In the presence of any suggestion that an article describes a relevant randomized controlled trial, it will be retrieved for further assessment.

Two authors will independently assess retrieved articles for inclusion in the review according to the criteria above. Disagreements will be resolved by discussion, or if necessary referred to a third author.

### ASSESSMENT OF METHODOLOGY AND QUALITY

The trial conduct and methodological quality will be assessed by both reviewers. Randomization and blind assessment of outcome are threshold criteria for inclusion in the review. In addition, whether participants were blind to their treatment allocation and whether drop-out is judged to be serious enough to be a potential source of bias will be assessed for use in sensitivity analyses.

Concealment of allocation to treatment will be rated by the following three categories:

Category A (adequate) where the report describes allocation of treatment by: (i) some form of centralized randomized scheme, e.g. having to provide details of an enrolled participant to an office by phone to receive the treatment group allocation; (ii) some form of randomization scheme controlled by a pharmacy; (iii) numbered or coded containers, e.g. in a pharmaceutical trial in which capsules from identical-looking numbered bottles are administered sequentially to enrolled participants; (iv) an on-site or coded computer system, given that the allocations were in a locked, unreadable file that could be accessed only after inputting the characteristics of an enrolled participant; or (v) if assignment envelopes were used, the report should at least specify that they were sequentially numbered, sealed, opaque envelopes; (vi) other combinations of described elements of the process that provide assurance of adequate concealment.

Category B (intermediate) where the report describes allocation of treatment by: (i) use of a "list" or "table" to allocate assignments; (ii) use of "envelopes" or "sealed envelopes"; (iii) stating the study is "randomized" without further detail.

Category C (inadequate) where the report describes allocation of treatment by: (i) alternation; (ii) reference to case record numbers,

dates of birth, day of the week, or any other such approach; (iii) any allocation procedure that is transparent before assignment, such as an open list of random numbers or assignments.

Trials will be included if they conform to categories A or B; those falling into category C will be excluded.

#### DATA EXTRACTION

Data will be extracted from published reports or requested from the first author when necessary. Summary statistics will be required for each trial and each outcome. For continuous data, the mean change from baseline, the standard error of the mean change, and the number of patients for each treatment group at each assessment will be extracted. Where changes from baseline are not reported, the mean, standard deviation and the number of patients for each treatment group at each time point will be extracted if available. For binary data, the numbers in each treatment group and the numbers experiencing the outcome of interest will be sought.

The baseline assessment is defined as the latest available assessment prior to randomization, but no longer than two months prior.

For each outcome measure, data will be sought on every patient randomized. To allow an intention-to-treat analysis, the data will be sought irrespective of compliance, whether or not the patient was subsequently deemed ineligible, or otherwise excluded from treatment or follow-up. If intention-to-treat data are not available in the publications, "on-treatment" or the data of those who complete the trial will be sought and indicated as such.

In studies where a cross-over design was used, only data from the first treatment phase after randomization will be eligible for inclusion.

#### DATA ANALYSIS

The outcomes measured in clinical trials of dementia and cognitive impairment often arise from ordinal rating scales. Where the rating scales used in the trials have a reasonably large number of categories (more than 10) the data will be treated as continuous outcomes arising from a normal distribution.

Summary statistics (sample size, mean and standard deviation) will be required for each rating scale at each assessment time for each treatment group in each trial for change from baseline. For crossover trials only the data from the first treatment period will be used.

When change from baseline results are not reported, the required summary statistics will be calculated from the baseline and assessment time treatment group means and standard deviations. In this case a zero correlation between the measurements at baseline and assessment time will be assumed. This method overestimates the standard deviation of the change from baseline, but this conservative approach is considered to be preferable in a meta-analysis.

The meta-analysis requires the combination of data from the trials. The measure of the treatment difference for any outcome will be

the weighted mean difference when the pooled trials use the same rating scale or test to assess an outcome, and the standardised mean difference, which is the absolute mean difference divided by the standard deviation, when they used different rating scales or tests. The duration of the trials may vary considerably. If the range is considered too great to combine all trials into one meta-analysis, the trials will be divided into smaller time periods and a separate meta-analysis conducted for each period. Some trials may contribute data to more than one time period if multiple assessments have been done.

For binary outcomes, such as clinical improvement or no clinical improvement, the odds ratio will be used to measure treatment effect. A weighted estimate of the typical treatment effect across trials will be calculated. An overall estimate of the treatment difference will be presented. In all cases the overall estimate from a fixed effects model will be presented and a test for heterogeneity will be performed. If, however, there is evidence of heterogeneity of the treatment effect between trials then only homogeneous results will be pooled, or a random-effects model will be used. In this case the confidence intervals would be broader than those of a fixed-effects model.

Depending on sufficient data, the following subgroup analyses will be undertaken:

- Disease type:
  - Alzheimer's disease
  - vascular dementia
  - mixed Alzheimer's disease and vascular dementia
  - unclassified or other dementia
  - cognitive impairment
- Duration of treatment:
  - < 12 weeks
  - ≥ 12 weeks
- Severity of dementia at baseline:
  - mild (MMSE > 17 or similar)
  - moderate (MMSE 10 to 17 or similar)
  - severe (MMSE < 10 or similar)

Sensitivity analyses will be performed with regard to:

- Blinding:
  - double blind
  - single blind
- Drop-out:
  - unlikely to cause bias
  - potentially leading to bias
- Imputation of missing dichotomous data:
  - assuming missing outcomes were less favourable
  - analysis as presented

## NOTES

This protocol now has a new set of reviewers who have made minor changes to the published protocol (23/02/05)

## POTENTIAL CONFLICT OF INTEREST

None known

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## SOURCES OF SUPPORT

### External sources of support

- No sources of support supplied

### Internal sources of support

- No sources of support supplied

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## COVER SHEET

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