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Chickadee behavioural response to varying threat levels of predator and conspecific calls

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21 **Abstract**

22 Chickadees produce many vocalizations, including *chick-a-dee* calls which they use as
23 a mobbing call in the presence of predators. Previous research has shown that
24 chickadees produce more D notes in their mobbing calls in response to high-threat
25 predators compared to low-threat predators, and may perceive predator and
26 corresponding mobbing vocalizations as similar. We presented black-capped
27 chickadees with playbacks of high- and low-threat predator calls, high- and low-threat
28 conspecific mobbing calls, non-threatening heterospecific calls, and reversed
29 conspecific mobbing calls to examine vocal and movement behavioural responses.
30 Chickadees produced more *chick-a-dee* calls in response to playback of calls produced
31 by a high-threat predator compared to calls produced by a low-threat predator, and to
32 reversed high-threat mobbing calls compared to normal (i.e., non-reversed) high-threat
33 mobbing calls. Chickadees also vocalized more in response to all playback conditions
34 consisting of conspecific mobbing calls compared to a silent baseline period. The
35 number of D notes produced was similar to previous findings; chickadees produced
36 approximately one to three D notes per call in response to low-threat mobbing calls, and
37 produced more calls containing four to five D notes in response to high-threat mobbing
38 calls, although this difference in the number of D notes per call was not significant. The
39 difference in chickadees' production of *tseet* calls across playback conditions
40 approached significance as chickadees called more in response to conspecific mobbing
41 calls, but not in response to heterospecific calls. General movement activity decreased
42 in response to playback of conspecific-produced vocalizations, but increased in
43 response to heterospecific-produced vocalizations, suggesting that chickadees may

44 mobilize more in response to predator playback in preparation for a “fight or flight”
45 situation. These results also suggest that chickadees may produce more mobbing calls
46 in response to high-threat predator vocalizations as an attempt to initiate mobbing with
47 conspecifics, while they produce fewer mobbing calls in response to a low-threat
48 predator that a chickadee could outmaneuver.

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50 *Keywords:* animal behaviour; black-capped chickadee; predator alarm; mobbing call;
51 communication; playback; songbird

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66 Alarm and mobbing calls allow social animals to inform conspecifics, and
67 reciprocal heterospecifics about the presence of predators (Sherman, 1977). For
68 example, vervet monkeys (*Cercopithecus aethiops*) live in troops which produce unique
69 alarm calls to three different types of predators. Each alarm call results in a different
70 behavioural reaction by troop members (i.e., diving into a bush, climbing a tree, or
71 searching the ground to initiate mobbing) (Struhsaker, 1967). In the Paridae family,
72 great tits (*Parus major minor*) have been known to produce two discrete alarm calls to
73 different predators; 'jar' calls are produced in response to snakes, while 'chicka' calls
74 are produced to crows and martens (Suzuki, 2015). When a 'jar' call is made, nestlings
75 jump out of the nest to escape from a snake, but when a 'chicka' call is made it is more
76 appropriate to hide in the nest cavities since crows and martens attack nestlings from
77 outside (Suzuki, 2011). Some avian species such as domestic chickens (*Gallus gallus*
78 *domesticus*) produce acoustically different alarm calls after seeing an aerial versus
79 terrestrial predator (Gyger, Marler, & Pickert, 1986), and chickens respond differentially
80 to hearing these two types of alarm calls (i.e., crouching vs. erect posture; Evans,
81 Evans, & Marler, 1993). All predators are not an equal threat, and these previous
82 studies suggest that the perception of risk varies which directly influences anti-predator
83 responses. Birds will attend to heterospecific vocalizations, not simply due to sounding
84 similar to their own alarm calls (Fallow, Pitcher, & Magrath, 2013), but instead because
85 they learn fear (see Sturdy & Proppe, 2015). For example, Magrath, Haff, McLachlan,
86 and Igic (2015) demonstrated that superb fairy-wrens (*Malurus cyaneus*) originally
87 ignored unfamiliar sounds, but would flee following only two days of training that paired
88 the unfamiliar sounds with predator models. While alarm calls are produced in response

89 to a predator, mobbing calls are used to coordinate nearby species to attack the
90 predator to drive it away from the area (Pettifor, 1990). The survival of the receiver is
91 based on their successful response to heterospecific and conspecific vocalizations
92 (Magrath et al., 2015); and how birds respond to both predator and mobbing calls is the
93 question we attempted to address with this study.

94 Black-capped chickadees (*Poecile atricapillus*), part of the Paridae family, are
95 non-migratory North American songbirds (Smith, 1991). Chickadees are social animals
96 that produce numerous vocalizations, including one of the most simple, but frequently
97 used calls - the *tseet* call. This is a one-note call that is used as a contact call to other
98 conspecifics within the flock or mated pairs (e.g., Odum, 1942). Chickadees of both
99 sexes also produce *chick-a-dee* calls year-round (e.g., Odum, 1942). The *chick-a-dee*
100 call is comprised of four note types: A, B, C, and D, which can be separated into a
101 '*chick-a*' portion (composed of A, B, and/or C notes) and a '*dee*' portion (composed of D
102 notes). The *chick-a-dee* call is a signal used to coordinate flock movements and
103 chickadees use D notes to recognize flock-mates (Mammen & Nowicki, 1981). In
104 addition, *chick-a-dee* calls, and specifically D notes, are used to recruit and mobilize
105 chickadees and other avian species to attack and harass a nearby predator (Hailman,
106 Ficken, & Ficken, 1987) and in these instances, *chick-a-dee* calls are referred to as
107 'mobbing calls'.

108 Chickadees are prey to many avian (e.g., owls, hawks) and mammalian (e.g.,
109 cats, weasels) predators. Small owls, which can easily maneuver through dense trees,
110 are a higher threat to a chickadee's survival compared to larger owls (Howland, 1974).
111 The number of D notes produced in black-capped chickadees' *chick-a-dee* mobbing

112 calls are positively correlated with the degree of size, and thus threat level (Templeton
113 et al., 2005). Specifically, more D notes are produced in response to smaller, higher-
114 threat predators, creating a negative correlation between predator body length and D
115 note production. Carolina chickadees (*P. carolinensis*), a close relative to black-capped
116 chickadees, produced more 'chick-a' notes and fewer D notes to larger, lower-threat
117 predators, and few or no 'chick-a' notes and significantly more D notes in response to
118 smaller, higher-threat predators (Soard & Ritchison, 2009). Another parid, tufted titmice
119 (*Baeolophus bicolor*) produced longer mobbing bouts with more D notes per call to
120 mounts of smaller, higher-threat predators, and took longer to return to feeding after
121 playback of these mobbing vocalizations in comparison to control calls (Courter &
122 Ritchison, 2010). Billings, Greene, and Jensen (2015) found that black-capped and
123 mountain (*P. gambeli*) chickadees produced more chick-a-dee calls to playback of
124 small, high-threat predators (northern pygmy-owl, *Glaucidium gnoma*, and sharp-
125 shinned hawk, *Accipiter striatus*) than a large, low-threat predator (northern goshawk,
126 *Accipiter gentilis*), indicating that chickadees discriminate and respond differentially to
127 predator calls based on threat level. Overall, many chickadee species alter vocal
128 responses based on perceived threat, including producing more mobbing calls, typically
129 containing a higher number of D notes, to more dangerous predators.

130 Now that we understand how chickadees alter their vocal behaviour in the
131 presence of a predator and in response to predator calls, how do chickadees perceive
132 acoustically distinct predator calls and chickadee mobbing calls? Avey, Hoeschele,
133 Moscicki, Bloomfield, and Sturdy (2011) measured the amount of immediate early gene
134 (IEG) expression in chickadee auditory forebrain areas following playback of various

135 vocalizations in order to investigate whether neural responses varied with the threat
136 level conveyed by black-capped chickadee mobbing calls, and whether neural response
137 to mobbing calls was the same as the neural response evoked by the actual predators'
138 calls. Avey et al. presented subjects with low- and high-threat auditory stimuli, including
139 predator-elicited mobbing calls and the corresponding predator calls, and then
140 compared levels of IEG expression among the playback groups. Higher levels of IEG
141 were observed in the high-threat condition and, within the same threat level, there was
142 no significant difference between the amount of IEG expression in response to predator-
143 elicited mobbing calls compared to the original predator calls. This suggests that wild-
144 caught chickadees perceived owl calls and mobbing calls that indicated the presence of
145 that species of owl similarly, despite acoustic differences between the vocalizations.

146 Black-capped and Carolina chickadees mob longer and more intensely, and
147 more individuals approach a hidden speaker during playback of small predator alarm
148 mobbing calls (Templeton, Greene, & Davis, 2005; Soard & Ritchison, 2009),
149 suggesting that chickadees mob when they hear high-threat mobbing calls. Templeton
150 and Greene (2007) found that red-breasted nuthatches (*Sitta canadensis*) also
151 approached more closely during heterospecific chickadees' mobbing calls indicating a
152 high-threat predator. Taken together, these studies suggest that hearing mobbing calls
153 influence songbirds' movement behaviour.

154 Previous experiments examined vocal production in the presence of a live or
155 taxidermy mounted predator, but no studies to our knowledge have investigated vocal
156 production in response to audio recordings of both predator calls and predator-elicited
157 mobbing calls in the same study. Further, no previous research has examined how

158 chickadees respond behaviourally (i.e., movement, such as perch hops, feeding,
159 approaching the predator, etc.) to predator calls versus mobbing calls. The current
160 study examined how chickadees respond to information regarding predator threat:
161 specifically, we investigated chickadees' vocal and movement behavioural responses to
162 predator calls and conspecific mobbing calls that vary based on threat level. Our
163 playback experiment included six conditions: 1) low-threat predator calls (i.e., great
164 horned owl calls, *Bubo virginianus*, GHOW), 2) low-threat predator-elicited conspecific
165 mobbing calls (i.e., black-capped chickadee mobbing calls made in response to the
166 presentation of a great horned owl mount, MOB GHOW), 3) high-threat predator calls
167 (i.e., northern saw whet owl calls, *Aegolius acadicus*, NSWOW), 4) high-threat predator-
168 elicited conspecific mobbing calls (i.e., black-capped chickadee mobbing calls made in
169 response to a northern saw-whet owl mount, MOB NSWOW), 5) control non-chickadee
170 vocalizations (i.e., red-breasted nuthatch vocalizations, RBNU), and 6) control reversed
171 conspecific mobbing calls (i.e., reversed black-capped chickadee mobbing calls made
172 to a northern saw-whet owl mount REV MOB NSWOW).

173 Based on previous research (e.g., Courter & Ritchison, 2010; Templeton et al., 2005) we
174 predicted that chickadees would: 1) show a greater increase (compared to baseline) of
175 *chick-a-dee* call production following playback of *chick-a-dee* mobbing calls compared
176 to predator vocalizations; our first prediction was based on the notion that chickadees
177 will produce more *chick-a-dee* calls in response to conspecific calls than predator calls
178 as an attempt to join in on mobbing; 2) produce more *chick-a-dee* calls compared to
179 other vocalizations in high-threat conditions (i.e., following playback of a high-threat
180 predator or high-threat mobbing calls); our second prediction is based on the notion that

181 since *chick-a-dee* calls are associated with mobbing behaviour, these calls would be the
182 main vocalization produced in the context of high threat; 3) emit fewer non-mobbing call
183 vocalizations (e.g., *tseet* calls) during any experimental playback; we predicted that
184 chickadees will not produce non-mobbing call vocalizations during playback as other
185 vocalizations (e.g., *fee-bee* songs, *tseet* calls) are not used for mobbing; 4) produce
186 more D notes in response to high-threat vocalizations compared to low-threat
187 vocalizations, for both predator calls and the corresponding mobbing calls (i.e., stimuli
188 of the same threat); our fourth prediction was driven by Templeton et al.'s (2005)
189 findings that chickadees produce more D notes to smaller, high-threat predators in
190 comparison to large, low-threat ones; since the visual predator resulted in this acoustic
191 response, it seems logical that predator calls, and the mobbing calls of the same threat
192 level, would result in similar vocalizations; 5) suppress movement more in the presence
193 of high-threat predator calls compared to low-threat predator calls; our fifth prediction
194 was based on the notion that movement (e.g., flying, eating, pecking, etc.) could make
195 chickadees more visible or audible to potential predators; therefore, we predicted that
196 after hearing calls of a high-threat predator, chickadees should decrease all movement
197 behaviour to stay inconspicuous, compared to calls of a low-threat predator, as a
198 chickadee could more easily outmaneuver a larger, low-threat predator; this is in line
199 with the results of Courter and Ritchison (2010), which found that tufted titmice took
200 longer to return to feeding after playback of high-threat mobbing vocalizations in
201 comparison to control calls; and 6) suppress movement more in response to predator
202 calls than to mobbing calls; our last (sixth) prediction was based on the notion that birds

203 would suppress movement in the presence of a predator (i.e., hiding) in comparison to
204 conspecific mobbing calls, as mobbing calls should elicit mobbing behaviour.

205

206

Materials and Methods

207 Subjects

208 We used six adult black-capped chickadees (three males, three females).

209 Subjects were captured from two regions in Edmonton, Alberta, Canada (North

210 Saskatchewan River Valley, 53.53N, 113.53W; Mill Creek Ravine, 53.52N, 113.47W)

211 between January 2010 and February 2012. At time of capture, chickadees were

212 identified as adults by examining the colour and shape of the rectrices (Meigs, Smith, &

213 Van Buskirk, 1983; Pyle, 1997). Sex was determined by DNA analysis (Griffiths,

214 Double, Orr, & Dawson, 1998). Before the experiment, chickadees were housed in

215 individual cages (30 × 40 × 40 cm, Rolf C. Hagen, Inc., Montreal, Quebec, Canada)

216 allowing both visual and auditory contact with conspecifics. Home cages either had

217 nesting boxes or barriers that birds could seek cover inside or behind. Birds were held

218 under the natural light cycle for Edmonton, Alberta. Birds had *ad libitum* access to food

219 (Mazuri Small Bird Maintenance Diet; Mazuri, St. Louis, MO, USA), water (vitamin

220 supplemented three times a week; Prime vitamin supplement; Hagen, Inc.), grit (Rolf C.

221 Hagen Inc., Montreal, Quebec, Canada), and cuttlebone. Birds were also provided three

222 to five sunflower seeds daily, one superworm (*Zophobas morio*) three times a week,

223 and a mixture of eggs and greens (spinach or parsley) twice a week. During the

224 experiment, birds were monitored daily, provided *ad libitum* access to food (i.e., Mazuri),

225 water (vitamin supplemented three times a week), grit, and cuttlebone, and given two
226 superworms per day.

227

228 **Apparatus**

229 During the experiment, subjects were individually housed in a cage in a sound-
230 attenuating chamber (inner dimensions 58 × 168 × 83 cm; Industrial Acoustics
231 Corporation, Bronx, New York, USA). The cage contained two water bottles, two food
232 cups, three equally-spaced plastic perches, and a small cardboard rodent house. The
233 sound-attenuating chamber door was opened once daily to top up food and water and
234 provide a supplemental worm to each bird. To prevent excessive noise disturbances, all
235 birds (including those not being recorded) had food and water topped up following the
236 entirety of the playback trials. All subjects were monitored twice daily (1000 and 1700)
237 via video camera accessed externally.

238

239 **Playback Stimuli**

240 Avey et al. (2011) obtained mobbing calls by presenting black-capped
241 chickadees with mounts of a northern saw-whet owl (high-threat predator) and a great
242 horned owl (low-threat predator). These mobbing calls, along with northern saw-whet,
243 great-horned owl, and red-breasted nuthatch calls, and computer-manipulated reversed
244 northern saw-whet induced mobbing calls, used by Avey et al. (2011), were used in the
245 current study (see Avey et al., 2011 for full details on obtaining the playback stimuli).
246 Two different sets were generated for each stimulus category (e.g., two sets of northern
247 saw-whet owl calls) to ensure that any differences in responding across conditions was

248 due to the threat level of the stimulus, and not the length of the stimulus or individuals'
249 vocalizations used to generate the stimulus. Playback stimuli contained vocalizations
250 played for 15 s followed by 45 s of silence, repeated 15 times, for a total of 15 minutes.
251 The number of calls presented within each 15-s window varied across conditions, but
252 were as natural as possible for the species selected (see Table 1; Figure 1).

253

254 **Playback Procedure**

255 Prior to and during playback, each subject was housed in their home cage
256 located within one of six randomly-assigned sound-attenuating chambers. Each bird
257 was given 24 hr to acclimatize to the chamber before hearing one of the playback
258 conditions. Subjects were exposed to a randomly-assigned playback condition every
259 other day (i.e., three subjects per day, alternating days), with approximately 48 hours
260 between each bird's playback sessions. Start times were constant for each bird (i.e.,
261 12:45, 13:15, or 13:45). The order that the subjects were run was randomly assigned on
262 day one of playback and remained the same throughout the experiment. We randomly
263 assigned the order that each subject would hear playback stimuli using a 6x6 Latin
264 square; all six subjects heard all six playback conditions. Each subject was recorded for
265 a total of 30 minutes a day (15 minutes of silence, 15 of playback). Playback sessions
266 were carried out sequentially, to one individual at a time.

267 The experiment was conducted August 15-21, 2014, before the fall equinox in
268 mid-September, when both *chick-a-dee* calling and *fee-bee* song production are low
269 (Avey et al., 2008). In each chamber, stimuli were played through an amplifier
270 (Cambridge Audio, Azur 640A Integrated Amplifier; London, UK) to a speaker (Fostex

271 FE108 Σ or Fostex FE108E Σ full-range speaker; Fostex Corp., Japan; frequency
272 response range 80-18,000 Hz) using an mp3 player (Creative ZEN; Singapore).
273 Amplitude was measured at the level of the perches from the centre position of the cage
274 and playback amplitude was set to approximately 75 db with a Brüel & Kjær Type 2239
275 sound level meter (Brüel & Kjær Sound & Vibration Measurement A/S, Nærum,
276 Denmark; A weighting, slow response). Audio recordings of the subjects were obtained
277 using six AKG C 1000S condenser microphones (frequency response: 50-20,000 Hz;
278 AKG Acoustics, Vienna, Austria), and six solid-state recorders (Marantz PMD670, D&M
279 Professional, Itasca, IL, USA). Video recordings of the playbacks were obtained using a
280 video camera (Sony Handycam DCR-SX45, Sony Electronics Asia Pacific Pte Ltd.,
281 Tokyo, Japan, or Canon VIXIA HF R500, Canon Canada Inc., Mississauga, Ontario,
282 Canada) and video capture software (EZ Grabber, Geniatech, Beijing, China) installed
283 on a personal computer.

284

285 **Re-recordings**

286 During building renovations, background construction noise occurred when
287 conducting the playback of one subject and the baseline period of another subject.
288 Playback trials for these subjects were re-run 48 hours later to obtain the subjects'
289 behavioural responses without interruption. For the subject whose playback condition
290 (i.e., MOB GHOW) was re-run, there was no significant difference in vocal behaviour
291 compared to the first session before interruption ($t_{14} = .475$, $p = .642$, $d = .046$). The
292 other subject's baseline period was interrupted, so only heard the playback when the
293 condition was re-run.

294 **Response Measures**

295 Audio and video files were scored separately using SIGNAL sound analysis
296 software (Engineering Design, Version 5.10.24, RTS, Berkeley, California, USA) to
297 identify chickadee vocalizations, and VLC Media Player (VideoLAN, 2.1.3 Rincewind,
298 Paris, France) to quantify movement behaviour. The first author analyzed all audio files
299 for vocal responses, while two undergraduate volunteers (blind to the playback
300 conditions and predictions) examined the video files for movement responses. The first
301 author then verified the response quantification conducted by the volunteers to ensure
302 scoring was consistent and resolved any disparities; this response quantification was
303 used for analysis. We quantified behaviours in the 15 minutes of baseline (prior to
304 hearing the first playback stimulus) and in the 15 minutes of playback. We quantified
305 five classes of vocal behaviours: *chick-a-dee* calls (categorized by the number of D
306 notes; D note composition included *chickas* and *chick-a-dee* calls with 1 D, 2 D, 3 D, 4
307 D, 5 D, 6 D notes), *gargle* calls, *fee-bee* songs (including *fee* only songs), and *tseet*
308 calls. We quantified eight classes of movement behaviours: general activity (i.e., perch
309 hops), food visits, water visits, ruffles, pecking bouts, beak wipes, approaches. See
310 Table 2 for a description of the behaviours we quantified. Behavioural data from the six
311 experimental conditions of each individual were separated into two phases: baseline
312 and playback. For each individual, we subtracted baseline behaviours from the
313 behaviours during playback to obtain a difference from baseline measure for each
314 behaviour in every condition.

315

316 **Statistical Analyses**

317 We conducted repeated measures ANOVAs for each vocal and movement
318 behaviour across the six playback conditions (n = 6 chickadees). Paired-samples t-tests
319 were run to investigate significant differences in *chick-a-dee* call production across
320 playback conditions. Huynh-Feldt correction was used on all repeated measures tests to
321 correct for any possible violations in sphericity. Alpha levels were set at 0.05. We based
322 our sample size on previous behavioural studies conducted in our lab (Hoeschele,
323 Moscicki, Otter, van Oort, Fort, Farrell, Homan, Robson, & Sturdy, 2010). No animals
324 were excluded from analyses. Recordings that were impacted by noise from building
325 renovations were not included as described above.

326

327 **Ethical Note**

328 Birds remained in the sound chamber throughout testing, minimizing the
329 transport and handling of each bird. Following the experiment, birds were returned to
330 the colony room for use in future experiments. All procedures were conducted in
331 accordance with the Canadian Council on Animal Care Guidelines and Policies with
332 approval from the Animal Care and Use Committee for Biosciences for the University of
333 Alberta (AUP 108), which is consistent with the Animal Care Committee (ABS)
334 Guidelines for the Use of Animals in Research. Birds were captured and research was
335 conducted under an Environment Canada Canadian Wildlife Service Scientific permit
336 (#13-AB-SC004), Alberta Fish and Wildlife Capture and Research permits (#56076 and
337 #56077), and a City of Edmonton Partners in Parks permit.

Results

338

Overall Vocal Output

339

340 **Prediction 1.** Figure 2 illustrates the difference from baseline in vocal responses

341 of *chick-a-dee* calls (broken down by D note composition) made to each stimulus set.

342 This graph shows that chickadees produced fewer *chick-a-dee* calls during playback of

343 GHOW compared to baseline. Chickadees also decreased production of *chick-a* calls

344 during playback of NSWO compared to baseline, but there was a slight increase in

345 production of *chick-a-dee* calls containing one to six or more D notes. In addition, in

346 comparison to heterospecific-produced playback conditions (i.e., owl and nuthatch

347 calls), chickadees produced more *chick-a-dee* calls in response to all conspecific-

348 produced playback conditions (Fig. 2). In general, chickadees vocalized more in

349 response to conspecific stimuli. Chickadees produced fewer *chick-a-dee* calls,

350 compared to baseline, containing four or more D notes in response to the MOB GHOW

351 condition, but a one-way repeated measures ANOVA indicated that there were no

352 significant differences in D note production between baseline and playback ($F_{2,9} = 1.99$,

353 $p = .194$, $\eta_p^2 = 0.28$). However, there was a significant difference in the *chick-a-dee* call

354 production between NSWO ($M = 9.50$, $SD = 11.20$) and GHOW ($M = -15.67$, $SD =$

355 24.04) conditions, $t_5 = -2.61$, $p = .048$, $d = 1.34$, with chickadees producing more calls in

356 response to the high-threat owl calls (NSWO) than the low-threat owl calls (GHOW).

357 There was also a significant difference in the *chick-a-dee* call production between MOB

358 NSWO ($M = 23.00$, $SD = 50.93$) and REV MOB NSWO ($M = 55.83$, $SD = 52.044$)

359 conditions, $t_5 = -3.51$, $p = .017$, $d = 6.38$, with chickadees producing fewer calls in

360 response to the high-threat mobbing calls (MOB NSW0) than the control condition
361 (REV MOB NSW0). No other comparisons were significant (all $ps \geq .058$).

362 **Prediction 2.** Chickadees produced slightly more *chick-a-dee* calls, over other
363 vocalizations, in the NSW0 condition in comparison to the GHOW playback condition.
364 However, a 4 × 6 repeated measures ANOVA indicated no significant differences in the
365 production of *chick-a-dee* calls in comparison to other vocalizations ($F_{1,5} = 3.53$, $p = .12$,
366 $\eta_p^2 = 0.41$).

367 **Prediction 3.** Figure 3 shows that chickadees produced more *tseet* calls in
368 response to chickadee-produced vocalizations, regardless of threat level. The difference
369 in *tseet* production across playback conditions approached significance (one-way
370 repeated measures ANOVA; $F_{2,11} = 3.46$, $p = .06$, $\eta_p^2 = 0.41$). *Gargles* (one-way
371 repeated measures ANOVA; $F_{2,12} = 1.20$, $p = .34$, $\eta_p^2 = 0.19$) and *fee-bee* songs (one-
372 way repeated measures ANOVA; $F_{5,25} = 1.45$, $p = .24$, $\eta_p^2 = 0.23$) did not differ across
373 conditions.

374 **Prediction 4.** Last, the difference in D note composition across playback
375 conditions (e.g., high-threat vs. low-threat) was not significant (7 × 6 repeated measures
376 ANOVA; $F_{2,12} = 1.27$, $p = .32$, $\eta_p^2 = 0.20$). Despite this, there appear to be differences in
377 the D note composition of *chick-a-dee* calls produced as chickadees produced more
378 calls with four D notes per call to high-threat (i.e., NSW0 and MOB NSW0) than to low-
379 threat conditions (i.e., GHOW and MOB GHOW) (Fig. 2).

380

381

382

383 Overall Movement Behaviour

384 **Predictions 5 & 6.** General movement behaviour was significantly different
 385 across playback conditions (one-way repeated measures ANOVA; $F_{5,25} = 3.45$, $p = .02$,
 386 $\eta_p^2 = 0.41$). Chickadees exhibited less general activity relative to baseline in response to
 387 chickadee-produced calls (i.e., MOB GHOW, MOB NSW0, and REV MOB NSW0)
 388 regardless of threat level (low versus high). In contrast, chickadees exhibited more
 389 general activity relative to baseline in response to non-chickadee produced calls (i.e.,
 390 GHOW, NSW0, and RBNU; see Figure 5). As a simple demonstration of this, we post
 391 hoc combined the averages of birds' movement difference scores for each conspecific
 392 playback ($M = -195.39$, $SD = 86.22$); MOB GHOW, MOB NSW0, REV MOB NSW0) and
 393 heterospecific playback ($M = 85.06$, $SD = 21.92$; GHOW, NSW0, RBNU) and
 394 conducted a paired-samples t-test which showed a significant difference in the
 395 behaviour to these pooled classes of stimuli ($t_2 = 4.65$, $p = .043$, $d = 4.46$).

396 Figure 6 illustrates the difference from baseline of non-perch hop movement
 397 behaviour across the six playback conditions. Almost all non-perch hop movements
 398 decreased during playback across all six conditions, however these were not
 399 significantly different from baseline (one-way repeated measures ANOVAs; food visits:
 400 $F_{5,24} = 1.25$, $p = .32$, $\eta_p^2 = 0.20$; water visits: $F_{2,9} = 2.20$, $p = .17$, $\eta_p^2 = 0.31$; pecking
 401 bouts: $F_{2,11} = 0.80$, $p = .49$, $\eta_p^2 = 0.14$; and beak wipes: $F_{3,14} = 1.04$, $p = .40$, $\eta_p^2 = 0.17$).

402 Ruffles and approaches are plotted together in Figure 7. A one-way repeated
 403 measures ANOVA indicated no significant difference in the production of ruffles across
 404 playback conditions ($F_{3,13} = 1.79$, $p = .20$, $\eta_p^2 = 0.26$). A repeated measures ANOVA

405 indicated that approaches did not differ significantly across playback ($F_{3,17} = 1.21$, $p =$
406 $.34$, $\eta_p^2 = 0.20$).

407

Discussion

408 Black-capped chickadees were presented with playback of high- and low-threat
409 predator calls and conspecific mobbing calls. By examining vocal and movement
410 responses, the results here indicated that *chick-a-dee* mobbing call production and
411 general movement activity (i.e., perch hops) varied depending on threat-level and
412 producer (i.e., heterospecific vs. conspecific). Chickadees produced significantly more
413 *chick-a-dee* calls in response to high-threat owl calls than low-threat owl calls.
414 Chickadees also produced significantly more *chick-a-dee* calls to the control condition
415 (i.e., REV MOB NSWO) than high-threat predator-elicited mobbing calls (i.e., NSWO).
416 Chickadees exhibited more general activity to conspecific than heterospecific
417 playbacks. Once a predator is detected, anti-predatory behaviours can assist birds in
418 defending themselves; for example, *chick-a-dee* calling helps recruit conspecifics to
419 mob the nearby predator, whereas moving from location to location, could prepare a
420 bird to fight off the predator or fly away. These two behaviours (i.e., *chick-a-dee* calling
421 and general activity) varied the most among playback conditions, suggesting that these
422 behaviours are most related to anti-predatory responses.

423

424 Vocal Behaviour

425 The *chick-a-dee* call is an acoustically complex vocalization that can convey
426 predator-related information to nearby conspecifics and heterospecifics (e.g., Templeton
427 et al., 2005). Despite being a well-studied vocalization common among Parid species,

428 some aspects of how the call communicates specific information (e.g., acoustic
429 variation, including note composition and rate of calling; contextual aspects, such as the
430 presence of a predator or a mate) are unclear (Wilson & Mennill, 2011). Wilson and
431 Mennill (2011) manipulated the signaling rate (i.e., duty cycle) and structural variation of
432 *chick-a-dee* calls and found that, regardless of acoustic structure, signaling sequences
433 with a high duty cycle attracted more conspecific and heterospecific receivers that
434 approached the speaker more quickly, closely, and remained near for longer. Here we
435 found that the rate of *chick-a-dee* call production by our chickadees was higher to
436 NSWO than to GHOW playback, which would likely result in attracting more receivers
437 during contexts of high threat; this finding is supported by both Templeton et al. (2005),
438 that found chickadees produced more mobbing calls to smaller, high-threat live
439 predators than to larger predators or controls, and Billings et al. (2015), that found
440 chickadees mobbed more during the playback of high-threat than low-threat raptors. We
441 also found that the frequency of *chick-a-dee* calls was higher to REV MOB NSWO than
442 to MOB NSWO playback; the reversed calls could be considered a type of foreign
443 vocalization indicating unknown danger that chickadees should respond to with a high
444 frequency of mobbing calls.

445 We predicted that chickadees would emit more *chick-a-dee* calls following
446 playback of *chick-a-dee* mobbing calls compared to predator vocalizations. Although we
447 did not find differences in vocal responses to conspecific- versus heterospecific-
448 produced vocalizations within threat level (e.g., playbacks of high-threat), significant
449 differences were found in the *chick-a-dee* call production between GHOW and NSWO
450 conditions, with chickadees producing more calls to high-threat owl calls (NSWO) than

451 low-threat ones (GHOW). The higher production of *chick-a-dee* calls in the NSW0
452 condition in comparison to the GHOW condition may be a result of chickadees calling
453 for 'help' in response to a quick, high-threat owl, whereas they opt not to recruit
454 conspecifics when faced with a slower, low-threat owl that they can easily outmaneuver
455 (Fig. 2). Chickadees also produced significantly more *chick-a-dee* calls in response to
456 the chickadee-produced control condition (i.e., REV MOB NSW0) compared to the
457 high-threat predator-elicited chickadee mobbing calls (i.e., MOB NSW0). It is unclear
458 why chickadees called more to reversed chickadee calls than the identical 'normal'
459 calls. Again, the reversed *chick-a-dee* call may be considered a foreign conspecific
460 vocalization and threatening to a chickadee as if a conspecific is in some sort of
461 unknown danger. No other playback conditions in our study were found to result in
462 significantly different *chick-a-dee* call production. Our finding that within threat level (i.e.,
463 low-threat GHOW and MOB GHOW, high-threat NSW0 and MOB NSW0) there were
464 no significant differences in chickadees' vocal responses is in line with Avey et al.
465 (2011), which found that within threat level, there was similar neural expression
466 regardless of whether the playback was chickadee- or predator-produced. Thus, IEG
467 expression in caudomedial mesopallium (CMM) and caudomedial nidopallium (NCM),
468 and vocal behaviour, both increase in response to both high-threat playback conditions.
469 It seems that these results demonstrate a strong connection between auditory input,
470 vocal output, and neural expression in auditory brain regions.

471 Second, we predicted that chickadees would produce more *chick-a-dee* calls
472 compared to other vocalizations following high-threat playback (i.e., NSW0 and MOB
473 NSW0). This prediction was not supported as chickadees did not produce more *chick-*

474 *a-dee* calls compared to other vocalizations in high-threat conditions. Chickadees
475 produced other vocalizations as often as they produced *chick-a-dee* calls during a high-
476 threat context, including *tseet* calls that are typically used as contact calls.

477 Third, we predicted that during experimental playback chickadees would emit
478 fewer non-mobbing call vocalizations (e.g., *tseet* calls). Chickadees actually produced
479 more *tseet* calls in response to chickadee-produced vocalizations than predator
480 vocalizations, regardless of threat. *Tseet* calls are a contact call for chickadees:
481 chickadees produce this vocalization when they hear other chickadees (Odum, 1942).
482 When investigating vocal differences across playback conditions, no significant results
483 were found for *gargles* or songs. Juveniles typically produce *gargle* calls to establish
484 themselves in the flock and gain access to food (Smith, 1991). It is unlikely that this
485 vocalization would be useful in the presence of a predator. Chickadees use their *fee-*
486 *bee* song to attract mates and maintain territory; Figure 4 indicates that song (both *fee*
487 and *fee-bee* vocalizations) production decreased, relative to baseline, in response to
488 high- and low-threat owl calls. Again, it would be appropriate to sing in the presence of a
489 conspecific and abstain when a predator is nearby.

490 Fourth, we predicted that chickadees would produce calls with more D notes in
491 response to high-threat compared to low-threat vocalizations, for both predator calls and
492 the corresponding mobbing calls (i.e., stimuli of the same threat level). Templeton et al.
493 (2005) found that chickadees produced more D notes when detecting a high-threat saw-
494 whet owl (approximately four D notes per call) than to a low-threat great horned owl
495 (approximately two to three D notes per call). Avey et al. (2011) found more IEG
496 expression in auditory brain regions in response to high threat predator- and chickadee-

497 produced calls than low threat predator- and chickadee-produced calls. Despite the
498 acoustic differences of the stimuli, IEG levels were similar across stimuli of the same
499 threat level, and we thus predicted that we would observe a similar pattern in a
500 behavioural task. In the current study, *chick-a-dee* mobbing calls produced in response
501 to MOB GHOW typically contained one to three D notes per call; chickadees also
502 produced more calls in response to MOB NSW0 that typically contained four to five D
503 notes (Fig. 2). Again, within threat level (e.g., low-threat GHOW and MOB GHOW, and
504 high-threat NSW0 and MOB NSW0), vocal production did not differ significantly, in line
505 with previous findings of inducing similar neural expression.

506

507 **Movement Behaviour**

508 We predicted that chickadees would suppress movement more in the presence
509 of high-threat than low-threat stimuli, as chickadees could easily outmaneuver the large
510 low-threat predator, and that movement would be suppressed more in response to
511 predator calls (i.e., hiding) than to chickadee-produced mobbing calls, as mobbing calls
512 should elicit mobbing behaviour (Predictions 5 & 6, respectively). We recorded perch
513 hops as a general measure of movement response, similar to previous playback studies
514 (e.g., Hoeschele et al., 2010). It is clear that chickadees exhibited less general activity
515 relative to baseline in response to chickadee-produced calls (i.e., MOB GHOW, MOB
516 NSW0, and REV MOB NSW0) regardless of threat. In contrast, chickadees exhibited
517 more general activity relative to baseline in response to non-chickadee produced calls
518 (i.e., GHOW, NSW0, and RBNU). These findings were in direct contrast to our
519 prediction that chickadees would suppress movement more in response to predator

520 calls than to mobbing calls (Fig. 6; Prediction 6). There was a trend toward low-threat
521 playback resulting in larger deviations from baseline for general activity (i.e., increased
522 perch hopping to GHOW and decreased to MOB GHOW) in comparison to high-threat
523 playback, but this result was not significant (Fig. 6; Prediction 5). There was a negative
524 relationship between *tseet* call production and general activity; this result may indicate
525 that chickadees typically vocalize when stationary, and vocal production or movement
526 frequency is affected by the context of their environment (i.e., who is producing
527 vocalizations). It is possible that chickadees increase in general activity in response to
528 predator playback is in preparation for a “fight or flight” situation. Increased general
529 activity could be due to the initiation of mobbing behaviour, or alternatively results from
530 birds changing positions in an effort to visually locate a potential predator or stay
531 vigilant. Subsequent studies could equip cages with nest boxes to determine if the
532 reduction of general activity is actually chickadees’ way of hiding when signaled about
533 the presence of a predator by conspecifics.

534 Non-perch hop movements did not differ significantly across playback conditions.
535 Despite this, food and water visits, and pecking bouts generally did decrease from
536 baseline during most playback conditions (Fig. 7). Chickadees would decrease food and
537 water visits in the presence of threat, regardless whether indicated by the predator or
538 conspecifics. Previously, Nowicki (1983) found that chickadees foraged significantly less
539 when they heard foreign flocks’ calls; a foreign flock would conceivably pose a threat to
540 resources (e.g., territory or foraging) in the way that a predator would to survival,
541 although not at the same level of consequence to individual fitness. Without proper
542 syntax, the reversed mobbing call could be responded to as a “foreign” call or perhaps

543 from a foreign flock. Even pecking bouts (conducted to break open seeds) could make
544 birds vulnerable to predation. Chickadees may have moved less in the presence of a
545 red-breasted nuthatch as they consume similar food to chickadees and could be
546 perceived as competition.

547 Chickadees produce ruffles towards conspecifics as an aggressive behaviour
548 and to establish dominance and gain access to food. However, chickadees did not
549 appear to produce ruffles in response to high-threat predator- or chickadee mobbing
550 calls. This could be a result of chickadees not ruffling in high-threat conditions to avoid
551 being noticed by predators; ruffles and *gargles* are typically produced consecutively and
552 could result in higher risk of being noticed by a predator (Smith, 1991).

553 Templeton and colleagues (2005) found that more chickadees approached a
554 hidden speaker during the playback of high-threat mobbing calls than low-threat or
555 control mobbing calls. In our experiment, approaches were defined as landing on the
556 cage wall closest to the speaker; we predicted that chickadees would show similar
557 approach behaviour by perching on the front wall more frequently in response to high-
558 threat playback conditions. Although non-significant, approaches appear to have been
559 produced more in response to the high-threat mobbing condition (i.e., MOB NSW0) in
560 comparison to baseline. Therefore, approaches are most likely connected with mobbing
561 behaviour, which is initiated by conspecific mobbing calls in the presence of high
562 predator threat.

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Conclusions

In an attempt to understand the behaviour, cognition, and communication of social animals, Stan Kuczaj recognized the value of studying animals both in the wild and captivity. One area of Stan's research focused on understanding the communication of highly social animal species, specifically the Atlantic bottlenose dolphins (*Tursiops truncatus*). We found that chickadees, a highly social species, produced significantly more *chick-a-dee* mobbing calls in response to high-threat owl calls versus low-threat owl calls. Chickadees also produced significantly more *chick-a-dee* calls in response to reversed high-threat mobbing calls versus the original high-threat mobbing calls. *Tseet* production across playback conditions approached a significant difference between conspecific and heterospecific calls, with chickadees producing more contact calls in response to conspecific calls. Chickadees exhibited more general activity in response to heterospecific-produced calls than conspecific-produced calls. Overall, chickadees appeared to produce more *tseet* calls in response to the playback of conspecific calls but move less. However, no significant differences in *tseet* calling or general activity behaviour were found for high- versus low-threat conditions for either hetero- or conspecific playback. Stan and colleagues also found that dolphins' movement behaviour was altered in the presence of a high-speed personal watercraft - dolphins significantly reduced dive duration, the clustering of individuals, and breathing synchrony (Miller, Solangi, & Kuczaj, 2008). Although not predators, *per se*, boats pose a real danger to dolphins as interaction with them can cause serious injury or death. These results indicate that imminent danger can drastically affect animals' behaviour. Once a predator is detected, anti-predatory

589 behaviours can assist birds in defending themselves; for example, *chick-a-dee* calling
590 helps recruit conspecifics and heterospecifics (e.g., nuthatches) to mob the nearby
591 predator, whereas increased mobility could prepare the bird for a “fight or flight”
592 scenario. These results are noteworthy since vocal behaviour did not differ significantly
593 within threat level, but movement behaviour did, contrary to previous findings of
594 predator and corresponding mobbing playback inducing similar IEG expression (Avey et
595 al., 2011); although auditory input, vocal output, and IEG expression in auditory areas
596 appear to be connected, the movement behaviour of birds varies dependent on who is
597 signaling the information.

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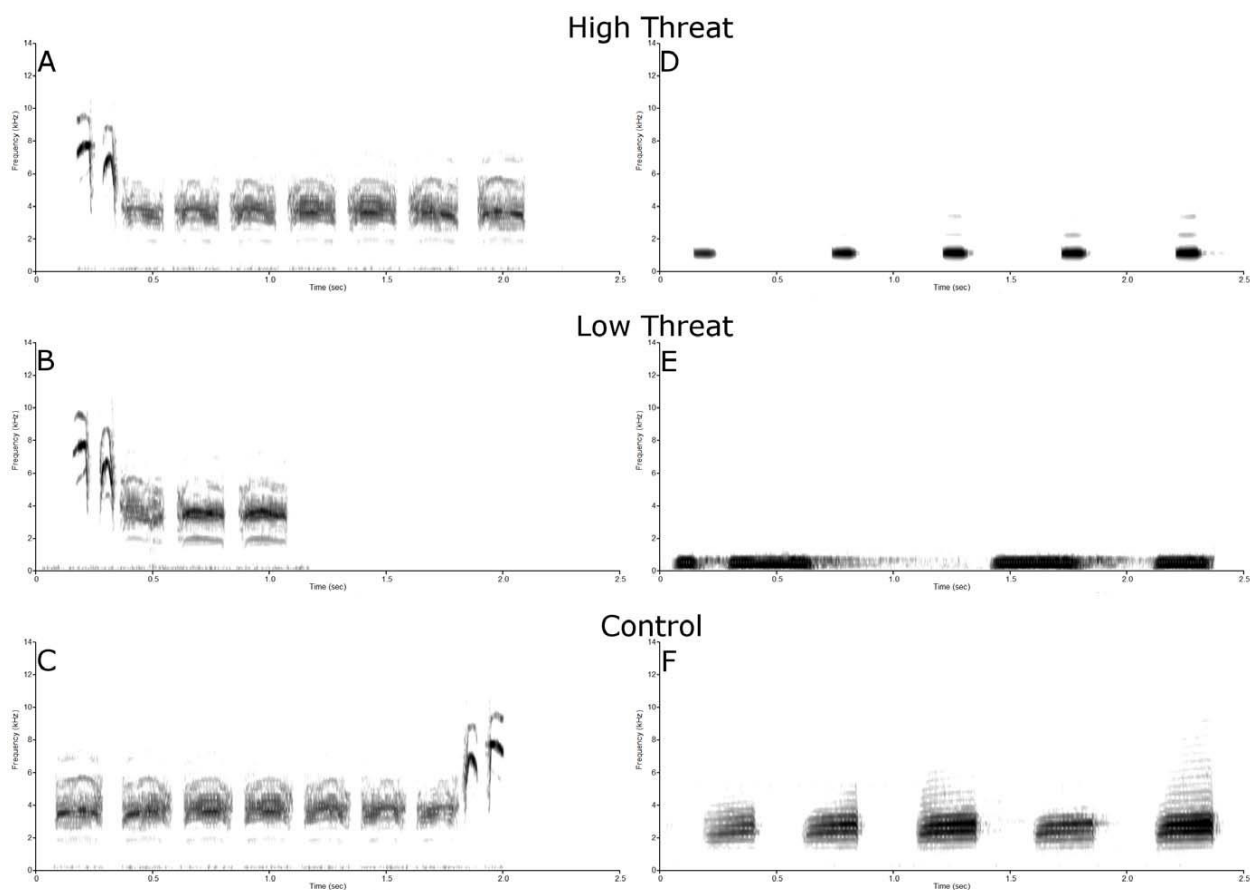
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695 *Figure 1.* Figure from Avey et al. (2011) depicting sound spectrograms (y-axis =

696 frequency (0-14 kHz); x-axis = time (0-2.5 s) of examples of the six playback conditions:

697 (A) black-capped chickadee mobbing calls made in response to a northern saw-whet

698 owl mount; (B) black-capped chickadee mobbing calls made in response to the

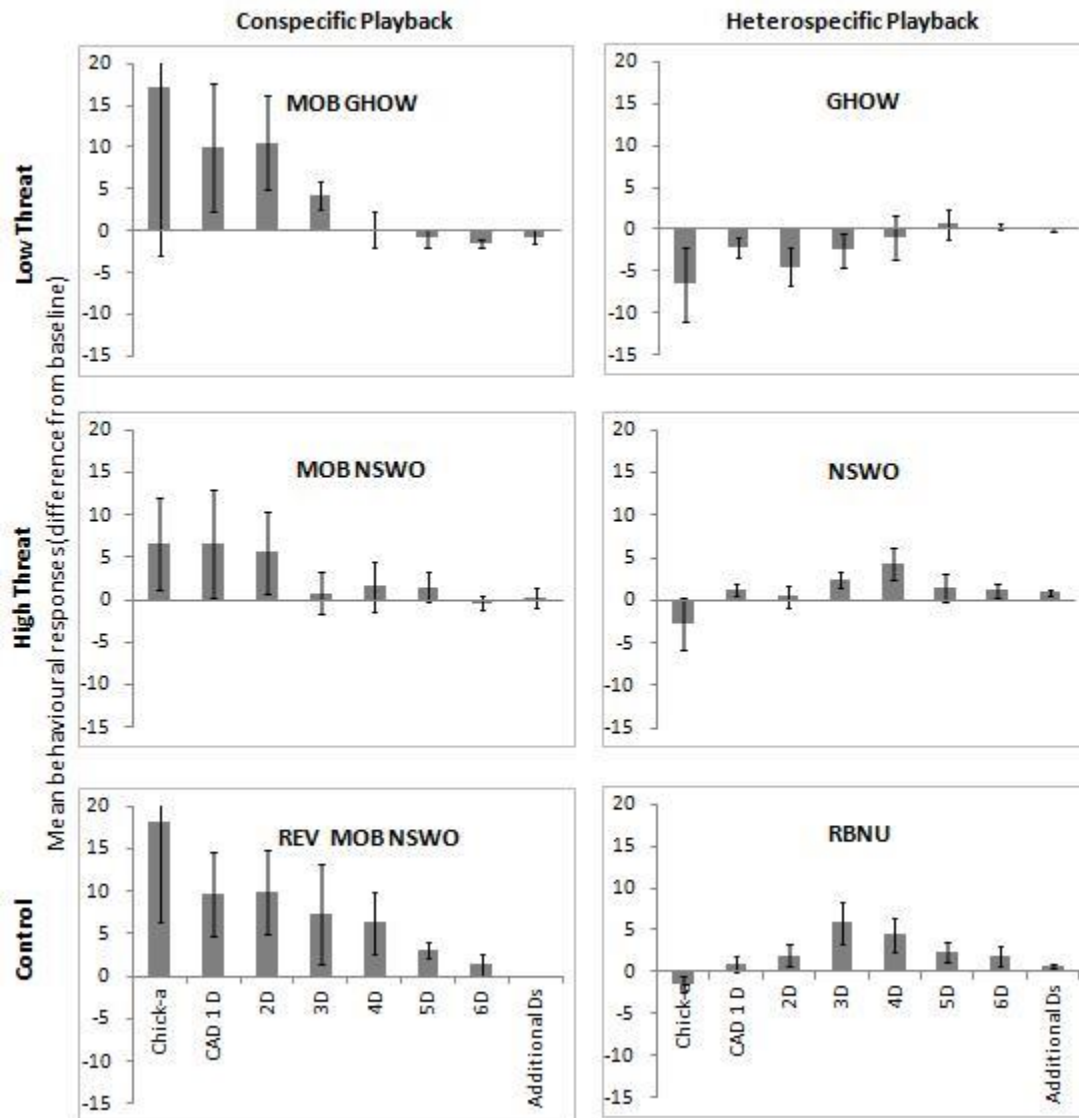
699 presentation of a great horned owl mount; (C) reversed black-capped chickadee

700 mobbing calls made to a northern saw-whet owl mount; (D) northern saw-whet owl calls;

701 (E) great horned owl calls; and (F) red-breasted nuthatch calls.

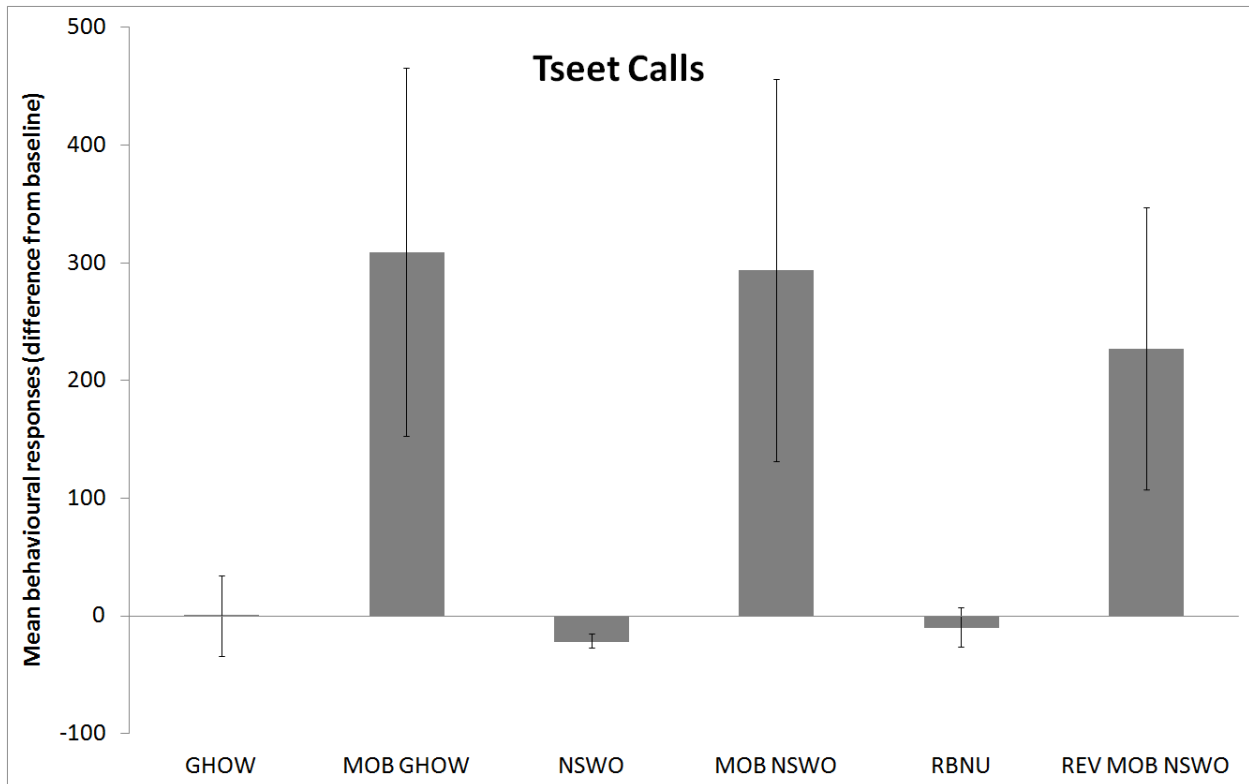
702 doi:10.1371/journal.pone.0023844.g001

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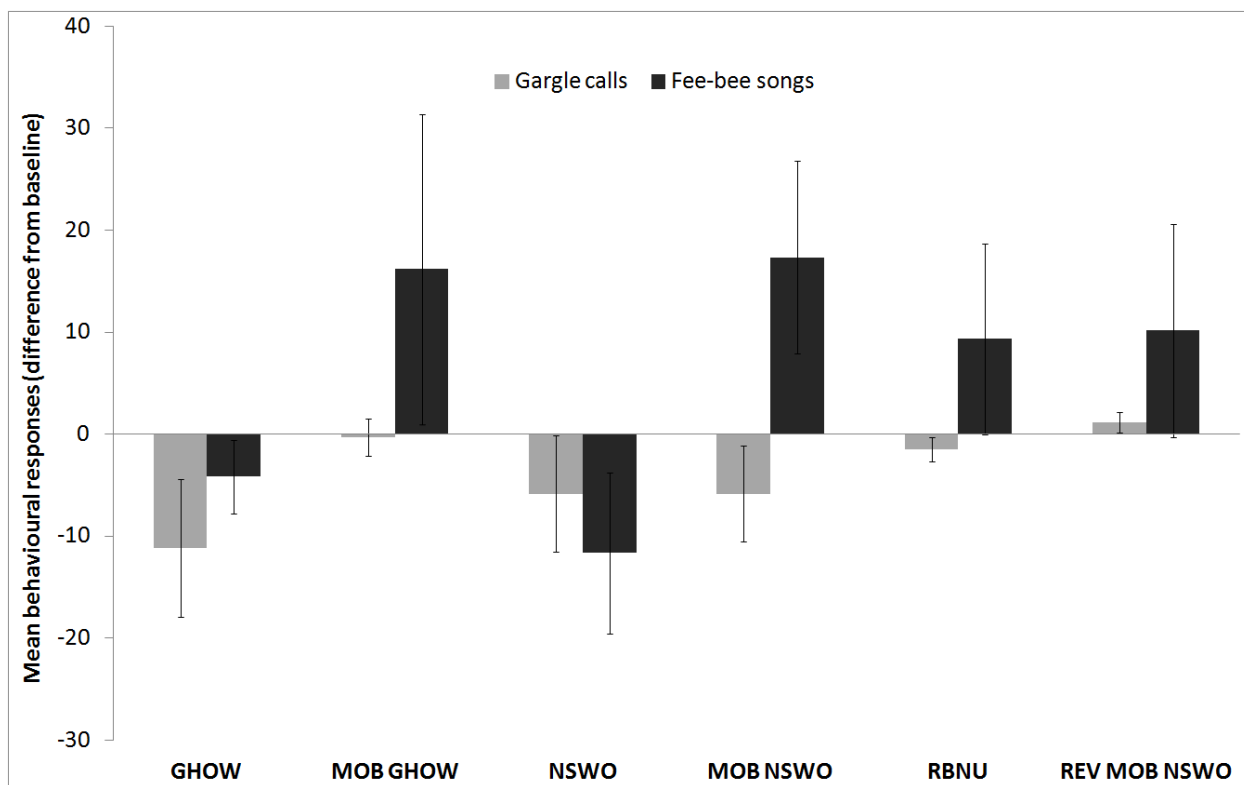
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705 *Figure 2.* Mean \pm SE difference from baseline in vocal responses (*chick-as*, *chick-a-dee*
 706 (CAD) calls with 1 D, 2 D, 3 D, 4 D, 5 D, 6 D notes, and additional D notes (i.e., 7+ D
 707 notes) of black-capped chickadees ($n = 6$) after hearing six playback conditions.
 708 (GHOW = great horned owl calls; MOB GHOW = black-capped chickadee mobbing
 709 calls made in response to the presentation of a great horned owl mount; NSWOW =
 710 northern saw-whet owl calls; MOB NSWOW = black-capped chickadee mobbing calls
 711 made in response to a northern saw-whet owl mount; RBNU = red-breasted nuthatch
 712 calls; and REV MOB NSWOW = reversed black-capped chickadee mobbing calls made to
 713 a northern saw-whet owl mount.)



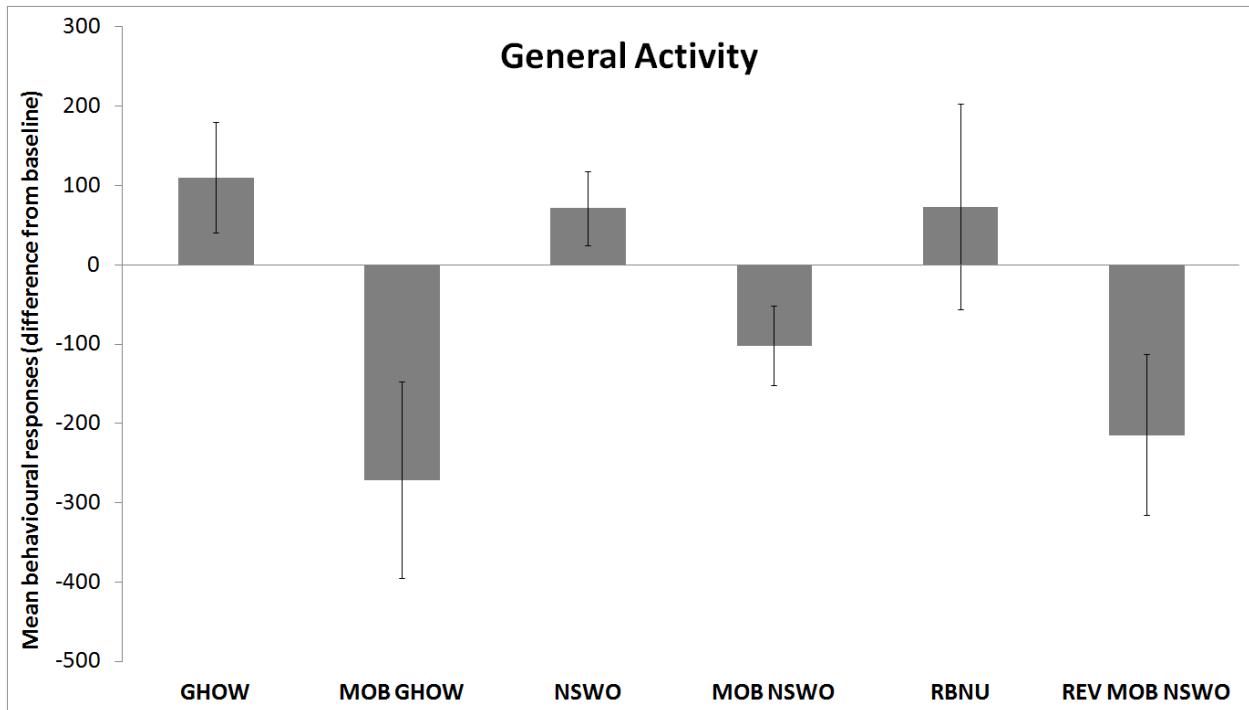
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715 *Figure 3.* Mean \pm SE difference from baseline in *tseet* calls produced by black-capped
 716 chickadees ($n = 6$) following playback of great horned owl calls (GHOW), black-capped
 717 chickadee mobbing calls made in response to a great horned owl mount (MOB GHOW),
 718 northern saw-whet owl calls (NSWO), black-capped chickadee mobbing calls made in
 719 response to a northern saw-whet owl mount (MOB NSWOW), red-breasted nuthatch calls
 720 (RBNU), and reversed black-capped chickadee mobbing calls made to a northern saw-
 721 whet owl mount (REV MOB NSWOW).



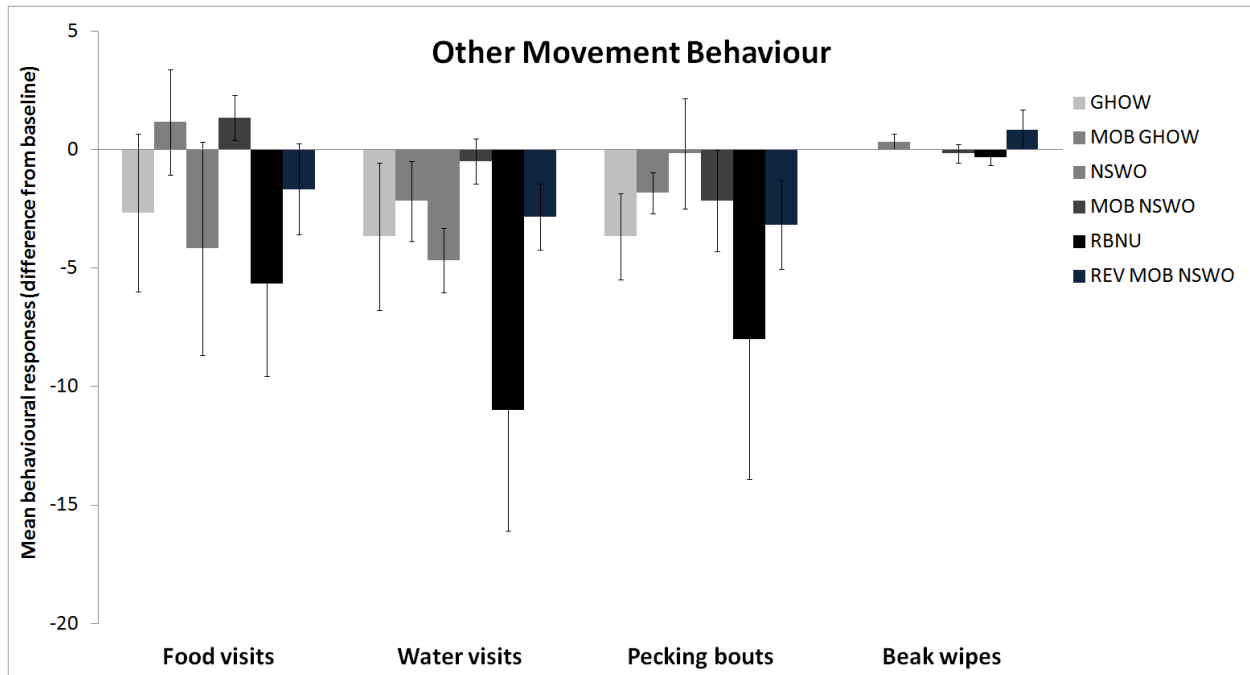
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723 *Figure 4.* Mean \pm SE difference from baseline in vocal responses (*gargle* calls and *fee-*
 724 *bee* songs) of black-capped chickadees ($n = 6$) after hearing six playback conditions.
 725 (GHOW = great horned owl calls; MOB GHOW = black-capped chickadee mobbing
 726 calls made in response to the presentation of a great horned owl mount; NSWOW =
 727 northern saw-whet owl calls; MOB NSWOW = black-capped chickadee mobbing calls
 728 made in response to a northern saw-whet owl mount; RBNU = red-breasted nuthatch
 729 calls; and REV MOB NSWOW = reversed black-capped chickadee mobbing calls made to
 730 a northern saw-whet owl mount.)



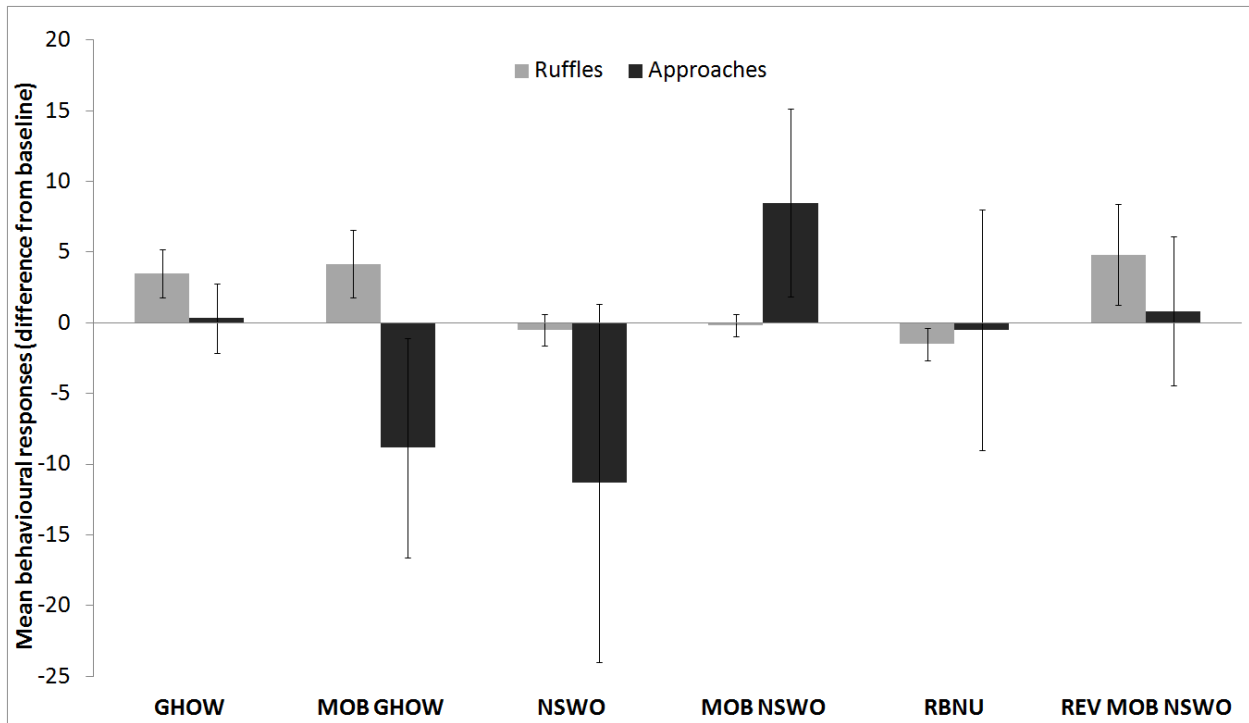
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732 *Figure 5.* Mean \pm SE difference from baseline in perch hops (a general measure of
 733 movement response) produced by black-capped chickadees ($n = 6$) following playback
 734 of great horned owl calls (GHOW), black-capped chickadee mobbing calls made in
 735 response to a great horned owl mount (MOB GHOW), northern saw-whet owl calls
 736 (NSWO), black-capped chickadee mobbing calls made in response to a northern saw-
 737 whet owl mount (MOB NSWOW), red-breasted nuthatch calls (RBNU), and reversed
 738 black-capped chickadee mobbing calls made to a northern saw-whet owl mount (REV
 739 MOB NSWOW).



740

741 *Figure 6.* Mean \pm SE difference from baseline in movement responses (food visits,
 742 water visits, pecking bouts, and beak wipes) produced by black-capped chickadees (n =
 743 6) following playback of great horned owl calls (GHOW), black-capped chickadee
 744 mobbing calls made in response to the presentation of a great horned owl mount (MOB
 745 GHOW), northern saw-whet owl calls (NSWO), black-capped chickadee mobbing calls
 746 made in response to a northern saw-whet owl mount (MOB NSWO), red-breasted
 747 nuthatch calls (RBNU), and reversed black-capped chickadee mobbing calls made to a
 748 northern saw-whet owl mount (REV MOB NSWO).



749

750 *Figure 7.* Mean \pm SE difference from baseline in movement responses (ruffles and
 751 approaches) produced by black-capped chickadees ($n = 6$) following playback of great
 752 horned owl calls (GHOW), black-capped chickadee mobbing calls made in response to
 753 the presentation of a great horned owl mount (MOB GHOW), northern saw-whet owl
 754 calls (NSWO), black-capped chickadee mobbing calls made in response to a northern
 755 saw-whet owl mount (MOB NSWOW), red-breasted nuthatch calls (RBNU), and reversed
 756 black-capped chickadee mobbing calls made to a northern saw-whet owl mount (REV
 757 MOB NSWOW).

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765 Table 1
766 *Playback Stimuli*

768 Stimulus set	769 Vocalization type (abbreviated)	770 Number of calls per 15s of playback
771 Set A	771 GHOW	3 hooting bouts
772	772 MOB GHOW	2 <i>chick-a-dee</i> calls (2 D notes), 3 <i>chick-a</i> calls
773	773 NSW0	31 whistled toots
774	774 MOB NSW0	6 <i>chick-a-dee</i> calls (1-4 D notes), 2 <i>chick-a</i> calls
775	775 RBNU	12 <i>yank</i> notes
776	776 REV MOB NSW0	reversed MOB NSW0 A
777	-----	
778	-----	
779 Set B	779 GHOW	3 hooting bouts
780	780 MOB GHOW	4 <i>chick-a-dee</i> calls (3-4 D notes)
781	781 NSW0	25 whistled toots
782	782 MOB NSW0	5 <i>chick-a-dee</i> calls (3-7 D notes)
783	783 RBNU	13 <i>yank</i> notes
784	784 REV MOB NSW0	reversed MOB NSW0 B

786 *Note:* Playback stimuli from Avey et al. (2011) were used. Vocalizations were recorded
787 and collected to comprise two sets of stimuli. Each set contains three chickadee-
788 produced stimuli and three heterospecific-produced stimuli. (GHOW = great horned owl
789 calls; MOB GHOW = black-capped chickadee mobbing calls made in response to the
790 presentation of a great horned owl mount; NSW0 = northern saw-whet owl calls; MOB
791 NSW0 = black-capped chickadee mobbing calls made in response to a northern saw-
792 whet owl mount; RBNU = red-breasted nuthatch calls; and REV MOB NSW0 =
793 reversed black-capped chickadee mobbing calls made to a northern saw-whet owl
794 mount.)

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802 Table 2
803 *Recorded Behaviours*

805 Behaviour Type	806 Behaviour	807 Behavioural Description
808 Vocal	808 <i>Chick-a-dee</i> call	808 Audible (nonstimulus) <i>chick-a-dee</i> call detected
	809 <i>Gargle</i> call	809 Audible <i>gargle</i> call detected
	810 <i>Fee-bee</i> song	810 Audible <i>fee</i> or <i>fee-bee</i> song detected
	811 <i>Tseet</i> call	811 Audible <i>tseet</i> call detected
812 Movement	813 General activity	813 Lands on new perch/moves to a new location
	814 Food visit	814 Pecks at food in cup
	815 Water visit	815 Pecks at water in bottle
	816 Ruffle	816 Shakes feathers
	817 Pecking bout	817 Performs four or more pecks in succession
	818 Beak wipe	818 Swipes wing across beak
	819 Approach	819 Lands on the wall closest to the speaker
		820 (Note: This movement is recorded twice as it is
		821 also defined as general activity.)

822
823 *Note:* Vocal and movement behaviours of male and female black-capped chickadees
824 that were scored from audio and video files, respectively, and used in the analysis of
825 chickadee behavioural responses to varying threat levels of predator threat. Adapted
826 from Hoeschele et al. (2010).