1	INFLUENCE OF MOLECULAR WEIGHT ON ENTHALPY
2	RELAXATION AND FRAGILITY OF AMORPHOUS CARBOHYDRATES
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## 25 ABSTRACT

Enthalpy relaxation and fragility of selected carbohydrates were correlated with their molecular 26 weight. Anhydrous glucose and its oligomers (i.e., maltose and maltotriose) were selected to 27 represent amorphous carbohydrates with increasing molecular weight. The enthalpy relaxation 28 behavior of glucose, maltose and maltotriose was determined at 5°C below their respective glass 29 transition temperatures using differential scanning calorimetry (DSC). The non-exponential 30 behavior of enthalpy relaxation was fitted with the Kohlrausch-Williams-Watts (KWW) 31 equation. The mean relaxation time constants ( $\tau$ ) for glucose, maltose and maltotriose at ( $T_{g}$ -5) 32 were 16.9, 38.4 and 128.6 h, respectively. The greater glass transition temperature and enthalpy 33 relaxation time of maltotriose are attributed to the decreased specific volume, segmental mobility 34 and increased entanglements resulting from its higher molecular weight. Maltotriose may be 35 36 preferred as an encapsulant or food ingredient to reduce structural relaxation in food formulations during storage. The enthalpy relaxation data of the current study might be used to 37 predict the enthalpy relaxation behaviors of amorphous carbohydrates with molecular weights 38 within the range of glucose and maltotriose. The fragility indices (m) of glucose, maltose and 39 maltotriose, determined using the DSC glass transition width approach, were 105, 88.1 and 97.6, 40 respectively. This study indicated that the glass transition temperature and mean enthalpy 41 relaxation time increased with molecular weight for the selected carbohydrates studied herein. In 42 general, molecular weight did not affect the fragility indices of glucose, maltose and maltotriose. 43 Inconsistencies were observed in the prediction of the fragility indices determined by different 44 methods. 45

*Keywords*: Glass transition temperature, modulated differential scanning calorimetry, KWW
model, Physical aging, *X*-ray diffraction

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# 49 **INTRODUCTION**

Amorphous foods and food ingredients are produced by many food processing techniques such 50 as dehydration, concentration, extrusion and freezing<sup>1,2</sup>. Below the glass transition temperature 51  $(T_g)$ , amorphous food constituents exist in a thermodynamically unstable non-equilibrium and 52 disordered state. Isothermal aging during storage of glassy amorphous food components results 53 in structural relaxations that achieve a more stable equilibrium state over extended time 54 periods<sup>1,2,3,4</sup>. Since the equilibrium state is a low energy state, some of the energy is lost/relaxed 55 in the non-equilibrium glassy amorphous state during the isothermal storage of food 56 components<sup>3</sup>. This energy can be recovered in the form of enthalpy during the reheating of the 57 glassy system by using a differential scanning calorimeter, since physical aging is a reversible 58 59 process. The enthalpy recovered during reheating of the aged material system is a measure of the system's molecular mobility at the selected aging temperature<sup>4</sup>. Structural relaxation in the 60 glassy state of amorphous food components during isothermal storage/aging is also known as 61 enthalpy relaxation/physical aging. Many macroscopic properties of glassy materials, such as 62 volume, enthalpy, refractive index, electrical conductivity and viscosity, change during physical 63 aging<sup>3</sup>. The changes in macroscopic properties may adversely affect the physicochemical 64 stability during the isothermal storage of low water amorphous foods and food constituents<sup>5</sup>. 65

Enthalpy relaxation results in unwanted quality changes in amorphous foods stored in the glassy state, wherein quantification of the relaxed enthalpy and the speed of enthalpy relaxation during isothermal aging are significant. Due to the micro-structural heterogeneities of materials, enthalpy relaxation is more complex than expressed by a simple exponential function<sup>6</sup>. Nonexponential expressions, such as the Kohlrausch-Williams-Watts (KWW) equation, are used extensively to describe the kinetics of enthalpy relaxation in glassy materials during aging<sup>7,8,9</sup>.
The KWW equation was originally developed to explain dielectric relaxation and has been used
to describe structural and enthalpy relaxation<sup>10</sup>. The KWW equation is expressed as:

74 
$$\phi_t = \exp\left(\frac{-t}{\tau}\right)^{\beta}$$
 (1)

where  $\varphi(t)$  is the relaxation function, indicating the kinetics of non-equilibrium to equilibrium 75 transformation of the system;  $\varphi(t)$  provides an estimation of unreleased enthalpy or the extent of 76 enthalpy relaxation at the specific annealing temperature<sup>9</sup>. Individual components of amorphous 77 food formulations and mixtures have separate relaxations. So, a mean relaxation time is assumed 78 to represent the molecular mobility of amorphous mixtures. The  $\tau$  (days) obtained from the 79 KWW equation is the mean molecular relaxation time of the entire amorphous system and  $\beta$  is 80 the relaxation distribution parameter<sup>11</sup>. The parameter  $\beta$  characterizes the deviation of the 81 relaxation from exponential behavior. The value of  $\beta$  varies between 0 and 1<sup>10,12</sup>. When  $\beta = 1$ , the 82 KWW equation is equivalent to an exponential expression. The relaxation function  $\varphi(t)$  is 83 expressed as: 84

85 
$$\phi_t = 1 - \frac{\Delta H_{relax}}{\Delta H_{\infty}} = \exp\left(\frac{-t}{\tau}\right)^{\beta}$$
 (2)

86 
$$\Delta H_{\infty} = \Delta C_p \left( T_g - T_a \right)$$
 (3)

87 where  $\Delta H_{\infty}$  and  $\Delta H_{relax}$  are the total enthalpy available for relaxation and the enthalpy relaxation 88 during the aging time (*t*), respectively;  $\Delta C_p$  is a heat capacity change at the glass transition 89 temperature ( $T_g$ ) and aging temperature ( $T_a$ );  $\Delta H_{relax}$  is determined by calculating the area of the 90 enthalpy relaxation peak by drawing a linear baseline. However, it is important to accurately 91 draw the baseline since the error in calculating the area ranges between 1 and 6%<sup>10</sup>. In the KWW 92 equation, both  $\tau$  and  $\beta$  are adjustable parameters determined from fitting the experimental data on 93 enthalpy relaxation and time via the non-linear optimization technique.

Enthalpy relaxation occurs in many amorphous carbohydrates since they are in a glassy state at room temperature (23°C). Enthalpy relaxations may adversely affect the physicochemical stability of foods during storage<sup>13,14</sup>. Enthalpy relaxation is a measure of molecular mobility of amorphous systems during isothermal storage<sup>15,16</sup>. Rates of many diffusion-limited physicochemical degradation reactions in amorphous systems can be dependent on molecular mobility and relaxation time<sup>15,16,17</sup>. A relationship between process rate, diffusivity, relaxation time and temperature can be established<sup>14</sup>:

101  
102 
$$\frac{k_1}{k_2} = \left(\frac{D_1}{D_2}\right)^n = \left(\frac{T_1}{T_2}\right)^{\frac{n}{2}} \left(\frac{\tau_2}{\tau_1}\right)^n$$
(4)

where  $k_1$  and  $k_2$  are the rate constants of a process at two temperatures  $T_1$  and  $T_2$ , respectively, 103 and  $D_1$  and  $D_2$  are the corresponding diffusivities.  $\tau_1$  and  $\tau_2$  are the relaxation times at 104 temperatures  $T_1$  and  $T_2$ , respectively. *n* is the correlation index with values ranging from 0 to 1. 105 Zhou et al.<sup>14</sup> reported partial correlation between enthalpy relaxation and rate of crystallization. 106 Bansal et al.<sup>13</sup> reported inverse correlation between time for 10% crystallization and enthalpy 107 relaxation in amorphous valdecoxib. Gupta et al.<sup>4</sup> reported that enthalpy relaxation and solubility 108 of celecoxib-polyvinylpyrrolidone are also inversely related. It is important to estimate the 109 enthalpy relaxation rate to select adequate storage conditions of foods and pharmaceuticals in 110 111 their glassy state.

112 The '*fragility*' concept introduced by Angell<sup>18,19</sup> also has practical implications in predicting 113 the physical and chemical stability of foods. The '*fragility*' concept differentiates the amorphous 114 systems as either '*strong*' or '*fragile*' based on their temperature dependence on dynamic

properties, structural changes and relaxation behavior near or above  $T_g$ . Fragile systems exhibit 115 sharp and narrow glass transitions, presenting rapid increases in heat capacity and dynamic 116 properties, such as viscosity at or near  $T_g^{20}$ . Strong systems generally have broad glass 117 transitions and exhibit less temperature sensitivity, presenting a gradual change in heat capacity 118 and viscosity at or near  $T_g$ . Fragile systems exhibit significant changes (60-80%) in heat capacity 119  $(\Delta C_p)$  at  $T_g^{18,19}$ . The ratio  $C_{p(liquid)}/C_{p(glass)}$  of fragile systems is greater than 1.1<sup>18</sup>. Fragile 120 systems also exhibit a small enthalpy relaxation distribution parameter ( $\beta$ ), as demonstrated by 121 the KWW model<sup>21</sup>. A fragility index (m) was introduced to better quantify the fragility concept<sup>19</sup>. 122 The fragility index is defined as: 123

$$124 \qquad m = \frac{\Delta E}{2.303 R T_g} \tag{5}$$

where  $\Delta E$  is the activation energy for structural relaxations at  $T_g$ , and R is the gas constant. 125 Fragile systems exhibit large m values between 100 and 200, while strong systems present small 126 m values between 16 and  $100^{21}$ . The physical, chemical and structural stability of amorphous 127 food systems is related to their fragility, as it provides information on the variation in dynamic 128 properties and relaxation times of amorphous systems near or above  $T_g^{22}$ . Above  $T_g$ , strong 129 systems exhibit greater relaxation times, while below  $T_g$  a reversal of dynamic properties and 130 relaxation times occurs<sup>23</sup>. Many physicochemical degradation reactions may be controlled by 131 molecular mobility/relaxations in food systems where relaxation times provide useful 132 information on the rates of these reactions. By relating fragility to the relaxation times, one can 133 predict the temperature dependence of relaxations and thereby the rates of degradation reactions 134 in amorphous systems<sup>20</sup>. For instance, the rate of molecular mobility of fragile systems is 135 changed by one order of magnitude (approximately) for every 10°C difference in temperature; 136 whereas in strong systems, the rate of molecular mobility changes (approximately) every 25°C<sup>22</sup>. 137

Hatley<sup>20</sup> reported that fragile systems may be preferable in their glassy state since dynamic
properties such as viscosity increase rapidly with small decreases in storage temperature, which
may increase the stability of glassy systems.

A glass transition width approach was used to determine the fragility of food systems. Strong systems normally display broad glass transition, while fragile systems exhibit narrow glass transition and dramatic changes in thermodynamic properties at glass transition temperatures<sup>24</sup>. Fragility was determined by calculating the activation enthalpy of structural relaxation identifying the glass transition width<sup>24,25,26</sup>. The activation enthalpy and glass transition width are related by:

147 
$$\left(\frac{\Delta E}{R}\right)\left(\frac{1}{T_{gi}} - \frac{1}{T_{ge}}\right) = \text{Constant}$$
 (6)

where  $\Delta E$  is the activation energy for structural relaxations at the glass transition temperature.  $T_{gi}$ and  $T_{ge}$  are the onset and endpoint glass transition temperatures. Since the glass transition is kinetic in nature, and the assigned value of  $T_g$  is dependent on the heating  $(q_h)$  and cooling rate  $(q_c)$ , equation (6) is applicable for a specific  $q_c/q_h^{25}$ , provided:

152 
$$0.2 \le \left| \frac{q_c}{q_h} \right| \le 5 \tag{7}$$

By studying several material systems, the constant in equation (6) was identified as  $5 \pm 0.5$ . In the current study, the value of the constant was selected as 5. The activation enthalpy and fragility index (*m*) are related in equation  $(5)^{26}$ .

156 It is well known that glass transition temperature is influenced by the heating/cooling rates 157 used. Glass transition dependence on heating/cooling rates may be expressed as<sup>27,28</sup>:

158 
$$\frac{d\ln|q_h|}{d\frac{1}{T_g}} = -\frac{\Delta E}{R}$$
(8)

where  $q_h$  is the heating rate and  $\Delta E$  is the activation energy for structural relaxations. The fragility index (*m*) may be determined by using the  $\Delta E$  value obtained from equation 8 in equation 5. Since  $T_g$  is dependent on the heating ( $q_h$ ) and cooling rate ( $q_c$ ), equation 8 should be used satisfying the conditions of equation 7.

Enthalpy relaxation in amorphous food systems is strongly dependent on aging temperature, 163 aging time, thermal history, and properties of food components. Similar to the glass transition 164 temperature, enthalpy relaxation may also depend on the molecular weight of the food 165 components. The rate at which relaxations occur may vary depending on the molecular weight of 166 the amorphous carbohydrates. Molecular weight may play an important role in the selection of 167 amorphous carbohydrates as encapsulating agents/ingredients based on their physical and 168 chemical stability at room temperature. Similarly, fragility may also be dependent on the 169 170 molecular weight of amorphous carbohydrates. Information on the dependency of molecular weight on fragility improves our understanding of the temperature dependency of amorphous 171 carbohydrates at  $T_g$ . Amorphous carbohydrates such as glucose, maltose, and maltotriose are 172 often used as food ingredients or encapsulating agents due to their high  $T_g$  and viscosity<sup>29</sup>. 173

Thus, the objective of this study was to investigate the effects of molecular weight on enthalpy relaxation and the fragility of amorphous carbohydrates. Anhydrous glucose, maltose and maltotriose were chosen to characterize amorphous carbohydrates with increasing molecular weight.

#### 179 MATERIALS AND METHODS

Anhydrous glucose, maltose and maltotriose were purchased from Fisher Scientific (Pittsburg, 180 PA). The maltose and maltotriose are oligomers of glucose consisting of two and three glucose 181 molecules, respectively. Glucose, maltose and maltotriose solutions were prepared by dissolving 182 them in distilled water (10 g carbohydrates/100 g water). The carbohydrate solutions were dried 183 in a laboratory freeze dryer (VirTis Freeze Mobile 24 with Unitop 600L, Virtis SP Industries 184 Co., New York). Initially the carbohydrate solutions were frozen to -35°C. The frozen 185 carbohydrate solutions were transferred to a freeze dryer shelf maintained at -25°C. The shelf 186 temperature was increased to 20°C with a vacuum of 20 Pa and condenser temperature at -60°C. 187 After 48 h of freeze drying, the amorphous carbohydrates were ground to a fine powder using 188 mortar and pestle. Glucose, maltose and maltotriose were kept in a desiccator with P<sub>2</sub>O<sub>5</sub> at room 189 190 temperature (298 K) for two days. The moisture content of dry amorphous carbohydrates was analyzed using the vacuum oven method and was  $\sim 0.005 \text{ kg H}_2\text{O/kg sample}^{30}$ . 191

#### 192 I

### Differential Scanning Calorimetry (DSC)

Enthalpy relaxation experiments were conducted using a modulated differential scanning 193 calorimeter (MDSC) (Q2000, TA Instruments, New Castle, DE) using nitrogen as the purge gas 194 at a rate of 50 mL/min. Baseline calibration of the DSC was conducted using sapphire. MDSC 195 was calibrated for temperature and heat flow using indium ( $T_m = 156.6^{\circ}$ C) and for heat capacity, 196 using sapphire as standards. MDSC deconvolutes total heat flow into nonreversing and reversing 197 (heat capacity) signals<sup>31</sup>. In the glass transition temperature identification experiments, small 198 199 amounts of freeze-dried glucose, maltose and maltotriose (10–20 mg) were scanned above their 200 respective melting temperatures to remove their thermal history after equilibration at 25°C for 5 201 minutes (Figure 1). Then the carbohydrates were scanned to  $(T_{gi}-50)$  at 3°C/min to make 202 carbohydrate glasses. After equilibrating the carbohydrates for 1 min, they were reheated to  $(T_{gi}+100)$  at a rate of 3°C/min in the second scan and then cooled back to 25°C (Figure 1). The 203 selected modulation amplitude and modulation period were  $\pm 0.5^{\circ}$ C and 45 seconds, 204 respectively<sup>32</sup>. The onset  $(T_{gi})$ , midpoint  $(T_{gm})$  and endpoint  $(T_{ge})$  glass transition temperatures of 205 the glucose, maltose and maltotriose were determined as shown in the thermograms (Figure 2). 206  $T_{gi}$  was identified as the temperature equivalent to the intersection of the regression line before 207 the step change in the reversible heat flow curve and inflection tangent of MDSC thermogram in 208 the second scan as presented in Figure  $2^{32}$ . Similarly,  $T_{ge}$  was identified as the intersection of the 209 210 tangent after the step change in the reversible heat flow curve and inflection tangent of MDSC thermogram<sup>32</sup>.  $T_{gm}$  was assigned as the mid temperature between  $T_{gi}$  and  $T_{ge}$ , corresponding to 211 50% transition (Figure 2). 212

213 After identifying the glass transition temperatures, annealing/aging experiments were conducted for glucose, maltose and maltotriose in at least, duplicate. The temperature selected 214 for aging of glucose, maltose and maltotriose was 5°C below their  $T_{gi}$  since maximum enthalpy 215 relaxation is found near  $T_g$  (Figure 1). In the annealing experiments, carbohydrates were scanned 216 above their respective melting temperatures ( $\sim T_{gi}$  +100) to remove their thermal history (Figure 217 218 1). The carbohydrates were cooled backed to  $(T_{gi}$ -50) at 3°C/min to make a carbohydrate glasses. Then the carbohydrates were annealed isothermally at  $(T_{gi}-5)$  for selected periods of time (0.5 to 219 48 h). After aging, samples were cooled to  $(T_{gi}$ -50) and reheated to  $(T_{gi}+100)$  at 3°C/min. The 220 221 enthalpy recovered during reheating was determined by drawing a linear baseline to the enthalpy endotherm in the nonreversible heat flow curve of MDSC thermogram (Figure 2). In the 222 nonreversible heat flow thermogram of an unaged sample, an endotherm attributable to the 223 224 "frequency effect" appears. For determining the enthalpy corresponding to the "frequency

225	effect", the unaged carbohydrates were heated to $T_{gi}$ +100 (above their respective melting
226	temperatures) and then cooled back to $T_{gi}$ - 50. Further, in the second scan, the carbohydrates
227	were heated to $T_{gi}$ +100 and then cooled back to 25°C. The enthalpy corresponding to the
228	"frequency effect" was determined by drawing a linear baseline to the enthalpy endotherm in the
229	nonreversible heat flow curve of MDSC thermogram similar to the aged carbohydrates. The
230	actual enthalpy recovered during the aging process was obtained by subtracting this "frequency
231	effect" from the enthalpy in the nonreversible heat flow thermogram of aged sample. The
232	analysis of the thermogram was conducted using TA Instrument's Universal Analysis software.
233	The enthalpy relaxation data of glucose, maltose and maltotriose were fitted with the KWW
234	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution
234 235	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( <i>m</i> ) of glucose,
234 235 236	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( $m$ ) of glucose, maltose and maltotriose were determined by the glass transition width approach by identifying
234 235 236 237	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( $m$ ) of glucose, maltose and maltotriose were determined by the glass transition width approach by identifying the onset ( $T_{gi}$ ), end point glass transition temperatures ( $T_{ge}$ ) and heat capacity change ( $\Delta C_p$ ) at
234 235 236 237 238	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( $m$ ) of glucose, maltose and maltotriose were determined by the glass transition width approach by identifying the onset ( $T_{gi}$ ), end point glass transition temperatures ( $T_{ge}$ ) and heat capacity change ( $\Delta C_p$ ) at glass transition as presented in the Figure 3. The fragility index was also determined using $T_g$
234 235 236 237 238 239	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( $m$ ) of glucose, maltose and maltotriose were determined by the glass transition width approach by identifying the onset ( $T_{gi}$ ), end point glass transition temperatures ( $T_{ge}$ ) and heat capacity change ( $\Delta C_p$ ) at glass transition as presented in the Figure 3. The fragility index was also determined using $T_g$ dependence on heating rate method. The glass transition temperatures of selected amorphous
234 235 236 237 238 239 240	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( $m$ ) of glucose, maltose and maltotriose were determined by the glass transition width approach by identifying the onset ( $T_{gi}$ ), end point glass transition temperatures ( $T_{ge}$ ) and heat capacity change ( $\Delta C_p$ ) at glass transition as presented in the Figure 3. The fragility index was also determined using $T_g$ dependence on heating rate method. The glass transition temperatures of selected amorphous carbohydrates were determined at different heating rates using a MDSC. A graph between $\ln  q_h $
234 235 236 237 238 239 240 241	equation to obtain the values of characteristic relaxation time ( $\tau$ ) and relaxation distribution parameter ( $\beta$ ) using Statistica <sup>®</sup> version 5 computer program. The fragility indices ( $m$ ) of glucose, maltose and maltotriose were determined by the glass transition width approach by identifying the onset ( $T_{gi}$ ), end point glass transition temperatures ( $T_{ge}$ ) and heat capacity change ( $\Delta C_p$ ) at glass transition as presented in the Figure 3. The fragility index was also determined using $T_g$ dependence on heating rate method. The glass transition temperatures of selected amorphous carbohydrates were determined at different heating rates using a MDSC. A graph between $\ln  q_h $ and $1000/T_{gi}$ was plotted and the slope of the regression line was determined. The values of $\Delta E$

Statistical significance of the results was analyzed using SAS 9.1 (SAS Institute, Inc., Cary, NC, USA). A value of P < 0.05 was selected as statistically significant using least square difference (LSD) method.

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#### 248 X-ray diffraction

Wide angle X-ray diffraction measurements were performed at 23°C using a D-500 powder X-ray 249 diffractometer (Siemens, Bruker, Karlsruhe, Germany) to observe the densification of 250 amorphous carbohydrates during storage. Amorphous maltose was stored in aluminum cells at 251 aging temperature  $(T_g-5)$  for selected periods of time in a convection oven. The X-ray diffraction 252 spectra of aged maltose were compared with the X-ray diffraction spectra of completely 253 crystalline maltose. The copper target tube of the diffractometer was set at 35 kV and 30 mA, 254 with a wavelength of 1.5 Å. XRD spectra of selected carbohydrate samples were obtained, 255 analyzing them over a  $2\theta$  range of 2-50° at 0.05° intervals. 256

#### 257 **RESULTS**

## 258 Glass transition temperature vs Aging conditions

259 The Glass transition temperatures of the selected amorphous carbohydrates were dependent on their molecular weight. The molecular weights of glucose, maltose and maltotriose are 180, 342 260 261 and 504 g/mol, respectively. The onset glass transition temperatures  $(T_{gi})$  of unaged glucose, 262 maltose and maltotriose obtained were  $34.5\pm0.20$ ,  $78.5\pm1.75$  and  $128.8\pm4.13$  °C respectively. It is well established that increasing molecular weight results in an increase in glass transition 263 temperature due to a reduction in specific volume<sup>33,34</sup>. No significant difference was observed 264 between the  $T_{gi}$  of unaged and aged carbohydrates (Table 1). Aging/annealing at ( $T_{gi}$ -5) did not 265 266 significantly alter the  $T_{gi}$  of glucose, maltose and maltotriose for selected periods of time (Table 1). Previous literature reported no significant difference in  $T_g$  of systems after aging at selected 267 temperatures for selected period of time<sup>35,36,37</sup>. However, a small increase in the glass transition 268 temperature after aging is observed in selected foods and pharmaceuticals<sup>38,39,40</sup>. This increase in 269  $T_g$  is associated with the smaller free volume and molecular mobility of the metastable 270

271 equilibrium state in comparison to the non-equilibrium state after  $aging^{40}$ . A slight decrease in  $T_g$ of salicin during aging was reported by Mao et al.<sup>23</sup>. Wungtanagorn and Schmidt<sup>1</sup> mentioned that 272 the increase or decrease of  $T_g$  with aging time is dependent on the method used in the assignment 273 of  $T_g$ . No statistically significant difference in  $T_{gi}$  was observed for glucose aged for selected 274 aging periods (0-48 h). Significant difference in  $T_{gi}$  was observed between maltose aged for 12 275 276 and 48 h (Table 1). The  $T_{gi}$  of maltotriose aged for 48 h was significantly different from the maltotriose aged for 0.5-12 h (Table 1). During the determination of  $T_{gi}$ , the carbohydrates were 277 heated above their melting points using MDSC, which could result in thermal decomposition, 278 influencing the  $T_{gi}$  values<sup>41,42</sup>. Glass transition temperature width ( $T_{ge}$ - $T_{gi}$ ) of glucose did not 279 change significantly during isothermal aging at  $(T_{gi}-5)$  for 0.5 to 48 h (Table 1). The  $(T_{ge}-T_{gi})$  of 280 unaged glucose was significantly different from aged glucose (0.5-48 h). The  $(T_{ge}-T_{gi})$  of 2 h 281 aged maltose was significantly different from rest (Table 1). The  $(T_{ge}-T_{gi})$  of maltotriose aged for 282 48 h was significantly different from rest of the aged maltotriose (Table 1). 283

In the MDSC thermograms of glucose, maltose and maltotriose, the difference in  $C_p$  values 284 between glassy and rubbery states is measured as the heat capacity change  $(\Delta C_p)$ . The heat 285 capacity change  $(\Delta C_p)$  at  $T_g$  of maltotriose was smaller than the other selected carbohydrates, 286 attributed to its higher chain rigidity and molecular weight<sup>43</sup> (Figure 4). A slight increase in the 287 heat capacity change  $(\Delta C_p)$  at  $T_g$  with aging time was observed for maltose and maltotriose, 288 while a fluctuating trend in  $\Delta C_p$  was observed for glucose (Figure 4). Chung and Lim<sup>38</sup> observed 289 an increase in  $\Delta C_p$  with aging time for rice starch. Wungtanagorn and Schmidt<sup>1</sup> also observed 290 increase in  $\Delta C_p$  at glass transition with aging of glucose, fructose and their mixture. However, a 291 comparatively constant  $\Delta C_p$  and decrease in  $\Delta C_p$  with aging are also reported<sup>44,45</sup>. Since the 292 293 equilibrium state has a smaller heat capacity and free energy compared to glassy and rubbery states, an increase in  $\Delta C_p$  is expected after aging<sup>38</sup>. In the current study, the difference in the trends in  $\Delta C_p$  with aging time could possibly be due to thermal decomposition resulting from heating the carbohydrates above their melting points in the MDSC<sup>41,42</sup>.

## 297 Molecular weight dependence of enthalpy relaxation time

The selected aging temperatures ( $T_g$ -5) for glucose, maltose and maltotriose were 29.5, 73.5 and 298 123.8°C, respectively. In the nonreversible heat flow thermogram of an unaged sample, an 299 endotherm called "frequency effect" appears<sup>46</sup>. The actual enthalpy recovered during the aging 300 process is obtained by subtracting this "frequency effect" from the enthalpy in the nonreversible 301 heat flow thermogram of aged sample<sup>46</sup>. The total enthalpy decreased, while the relaxed enthalpy 302 303 increased with increasing aging time for glucose, maltose and maltotriose (Figure 5). The rate of enthalpy relaxation of selected carbohydrates decreased gradually during aging due to the 304 decrease in total enthalpy during aging (Figure 5). The relaxed enthalpy was greater for glucose 305 306 than maltose and maltotriose during aging (Figure 5), attributed to its smaller molecular weight and higher specific volume and resulting smaller chain rigidity<sup>43</sup>. 307

The rate of enthalpy relaxation and the quantity of relaxed enthalpy for a given aging time are 308 the highest when the aging temperature is close to  $T_g^{40}$ . As aging proceeds, the amorphous 309 system reaches the metastable equilibrium state by losing the enthalpy and decreasing the free 310 volume<sup>47</sup>. The KWW equation was used to fit the enthalpy relaxation kinetics of the selected 311 amorphous carbohydrates; KWW adjustable parameters are presented in Figure 6 and Table 2. 312 The  $\tau$  values determined for glucose, maltose and maltotriose were 16.9, 38.4 and 128.6 h, 313 314 respectively. An exponential relationship between  $\tau$  and molecular weight of the selected amorphous carbohydrates was obtained (Figure 7). The larger  $\tau$  value for maltotriose may be 315 316 attributed to its smaller specific volume, resulting in more restriction of its relaxing elements

during aging. The molecular mobility of glassy maltotriose may be smaller than the molecular mobility of glucose and maltose at a specific aging temperature. The  $\tau$  value obtained for glucose is higher than previously reported literature values (e.g. 3.35 h)<sup>1</sup>, but smaller in the case of raspberry powder (e.g. 147.1 h)<sup>36</sup>, in which glucose is one of the major constituents. This difference may be attributed to the presence of other constituents and complex interactions between them.

In the current study,  $\beta$  values obtained for glucose, maltose and maltotriose were 0.23, 0.33 323 and 0.24, respectively. A  $\beta$  value much smaller than 1 shows the non-exponentiality and broad 324 distribution of the enthalpy relaxations<sup>6</sup>. The relaxation times present an abrupt change at the 325 glass transition, when the  $\beta$  value is close to 1<sup>6</sup>. The  $\beta$  value obtained for glucose is smaller than 326 that of raspberry powder. The  $\beta$  values of carbohydrates may be also related to the degree of co-327 operativity between relaxing elements<sup>48</sup>. Smaller values of  $\beta$  represent hydrogen bonding and 328 coupling between relaxing elements of the studied carbohydrates<sup>48</sup>. However,  $\tau$  and  $\beta$  values are 329 kinetic in nature and may not be related to structure<sup>49</sup>.  $\Delta H_{\infty}$  may be related to structure and 330 molecular weight of materials during aging<sup>43,49</sup>. The value of  $\Delta H_{\infty}$  decreased linearly with 331 molecular weight for the selected carbohydrates (Table 2 and Figure 8). The greater  $\Delta H_{\infty}$  of 332 333 glucose is attributed to its higher  $\Delta C_p$  at  $T_g$ .

The relaxation time corresponding to 50% of the maximum enthalpy ( $\tau_{\varphi(t)=50\%}$ ) and 99% of the maximum enthalpy ( $\tau_{\varphi(t)=99\%}$ ) during the aging process is determined at ( $T_{gi}$ -5) from  $\tau$  and  $\beta$ values<sup>9</sup>. The  $\tau_{\varphi(t)=50\%}$  values were 50.9, 80.7 and 371.4 h, while  $\tau_{\varphi(t)=99\%}$  values were 338.4, 535.9 and 2467.6 h for glucose, maltose, and maltotriose respectively. The increasing  $\tau_{\varphi(t)=50\%}$  and  $\tau_{\varphi(t)=99\%}$  values of the selected higher molecular weight amorphous carbohydrates indicate they may be used as encapsulants and ingredients to reduce the structural relaxations during storage. 340 The  $\tau_{\omega(t)=50\%}$  and  $\tau_{\omega(t)=99\%}$  values obtained for glucose are smaller than  $\tau_{\omega(t)=50\%}$  and  $\tau_{\omega(t)=99\%}$  values of raspberry powder attributed to the complex interactions of components in the raspberries 341 compared to interactions among pure components<sup>9,36</sup>. The  $\tau_{\varphi(t)=50\%}$  value from the KWW 342 343 equation depends more on  $\beta$  than  $\tau$ . A small increase/decrease in  $\beta$  affects the  $\tau_{\varphi(t)=50\%}$ significantly, since  $\beta$  is an exponential coefficient in the KWW model. The  $\tau_{\varphi(t)=50\%}$  and  $\tau_{\varphi(t)=99\%}$ 344 values obtained for glucose, maltose and maltotriose may provide important indications that 345 affect their selection as food ingredients and encapsulants, since they play crucial roles in long 346 term storage stability at room temperature. 347

Intensity of X-ray diffraction (XRD) peaks of maltose aged for 0.5, 6, and 14 days at  $(T_g-5)$ 348 were significantly greater than that of unaged maltose (Figure 9B). The increased intensity of the 349 aged maltose may be attributed to the closer molecular rearrangement during aging<sup>50,51</sup>. The 350 XRD peaks of aged maltose became narrower than for unaged maltose. During aging, 351 densification resulting from closer molecular rearrangement may occur. Ambike et al.<sup>50</sup> observed 352 no characteristic peak, but significant elevation of diffractograms after 6 months storage of 353 354 model drug simvastatin in glassy state. The densification may result in shifting of the peak angle to higher levels and narrowing of the peak in XRD spectra<sup>51</sup>. Further, the XRD spectra of aged 355 maltose were compared with the XRD spectra of completely crystalline maltose (Figure 9A and 356 9B). It was interesting to observe that the peak angles of completely crystalline maltose 357  $(19.85 \le 2\theta \le 20.1)$  and aged maltose  $(17.95 \le 2\theta \le 22.35)$  were in the similar range (Figure 9A and 358 359 9B).

## **360** Fragility of amorphous carbohydrates

In the current study, selected methods were used to determine the fragility of the glucose,
maltose and maltotriose (Table 3). Fragility of an amorphous system is generally determined by

analyzing variations in specific properties at  $T_{gi}$ . Using equation (6), the activation enthalpy of 363 structural relaxations ( $\Delta E$ ) of glucose, maltose and maltotriose at the glass transition temperature 364 were 692.8, 593.2 and 750.9 kJ/mol, respectively. Fragility indices (m) of glucose, maltose and 365 maltotriose determined using the glass transition width approach were 105, 88.1 and 97.6, 366 respectively (Table 3). The *m* values of the selected amorphous carbohydrates indicate the fragile 367 368 behavior of glucose, while maltose and maltotriose are comparatively stronger in nature. No linear relationship between m values and molecular weights of the amorphous carbohydrates was 369 observed. Maltose and maltotriose exhibited similar, but higher glass transition widths  $(T_{ge}-T_{gi})$ 370 than glucose. So the  $\Delta E$  of maltose was smaller than the  $\Delta E$  of maltotriose calculated using 371 equation (6). Hence, the *m* of maltose was smaller than the *m* of maltotriose and glucose. 372

Fragility indices (m) of glucose, maltose and maltotriose were also determined using a method 373 based  $T_g$  dependence on heating rate  $(q_h)$  used in the DSC. As presented in Figure 10, a linear 374 relationship was observed between  $\ln q_h$  and  $1000/T_g$  of a morphous carbohydrates. The values of 375  $\Delta E$  calculated for glucose, maltose and maltotriose using equation 8 were 202.9, 158.3, and 376 220.1 kJ/mol respectively (Figure 10). From these  $\Delta E$  values and using equation 5, fragility 377 indices of glucose, maltose and maltotriose were 34.5, 23.5 and 28.6 respectively (Table 3). The 378 m values calculated using  $T_g$  dependence on heating rate were smaller than the m values 379 380 determined using glass transition width approach, but the trend remains the same (Table 3). The 381 m values calculated using  $T_g$  dependence on heating rate indicate, glucose, maltose and 382 maltotriose are fragile in nature (Table 3).

For glucose, maltose and maltotriose, the  $C_{p(liquid)}/C_{p(glass)}$  values (The ratio of the heat capacity of material system in the rubbery state to the heat capacity of the material system in the glassy state) were determined as 2.21, 1.65 and 1.24 (Table 3) respectively, indicate the fragile behavior of selected amorphous carbohydrates<sup>45</sup>. The fragility of the selected carbohydrates increased as molecular weight increased with regard to the  $C_{p(liquid)}/C_{p(glass)}$  ratio (Table 3). Glucose, maltose and maltotriose exhibited a small  $\Delta C_p$  at  $T_g$  and are categorized as fragile. A greater  $\Delta C_p$  at  $T_g$  for maltotriose demonstrates its stronger nature in comparison to glucose and fructose. Raspberry powders present strong behavior where the main components, glucose and fructose, may encourage complex interactions among components<sup>36</sup>.

Bohmer et al.<sup>52</sup> proposed a simple empirical relationship relating  $\beta$  and fragility index (*m*) as:  $m = 250 - 320 \beta$ 

$$\frac{m - 250 - 520p}{8}$$

The  $\beta$  values for glucose, maltose and maltotriose using equation (8) and fragility indices from glass transition width approach are 0.45, 0.51 and 0.47, respectively. This empirical relationship between *m* and  $\beta$  depends on the fragility index determination method and provides a rough approximation of  $\beta^{53}$ . The smaller  $\beta$  value indicates a more fragile behavior of glucose in comparison to maltose and maltotriose.

One possible explanation for this discrepancy in the fragility of glucose, maltose and maltotriose could be the thermal decomposition of carbohydrates to smaller components resulting from heating them above their melting points, which may have influenced the fragility determination<sup>41,42</sup>. Previous literature presents inconsistencies in the fragility predictions by different methods for various systems. There is no definite explanation is available for the difference in fragility predictions by different methods<sup>1,2</sup>. More research is required for clarification of this discrepancy.

# 406 **DISCUSSION**

407 The relaxation enthalpies are much smaller and hence the  $\tau$  values of maltotriose are much larger 408 than the relaxation enthalpies and  $\tau$  values of glucose and maltose. Hence, maltotriose may be 409 preferably used in food formulations to reduce structural relaxation during storage. The enthalpy 410 relaxation behavior of amorphous carbohydrates with molecular weights between glucose and 411 maltotriose might be predicted using the enthalpy relaxation data of glucose, maltose and 412 maltotriose. By studying the enthalpy relaxation behavior of different molecular weight 413 carbohydrates, a database of enthalpy relaxation in relation to molecular weight may be 414 developed.

The molecular weight dependence of enthalpy relaxations and the fragility information of 415 selected amorphous carbohydrates obtained from this study are useful tools for selecting these 416 carbohydrates as ingredients or encapsulants for various food formulations. The enthalpy 417 relaxation data of the current study might be used to predict the enthalpy relaxation behavior of 418 selected amorphous carbohydrates with molecular weight in between glucose and maltotriose. 419 420 Also the estimated relaxation times may be used to predict the physicochemical stability of amorphous carbohydrates, which also can reduce the cost and time of complex experiments<sup>51</sup>. 421 However, a relationship between relaxation times and rates of physicochemical degradation 422 423 reactions should be established for such a comparison<sup>51</sup>. Thus, enthalpy relaxation time and fragility information obtained may be used to predict various physicochemical changes in 424 selected carbohydrates during their long-term glassy state storage. 425

426 SAFETY

427 No specific safety or toxicological concerns are associated with the current research.

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#### **FIGURE CAPTIONS**

591	Figure 1	Enthalpy relaxatio	on experimental	protocol fo	or amorphous	carbohydrates.
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Aged experiment: Glucose, maltose and maltotriose were scanned to 592  $(T_g+100^{\circ}\text{C})$  to remove their thermal history after equilibration at 25°C for 593 5 minutes. Then the carbohydrates were scanned to  $(T_{gi}$ -50) at 3°C/min to 594 595 make a carbohydrate glass. The carbohydrates were scanned to  $(T_{gi}-5)$  and annealed isothermally at  $(T_{gi}$ -5) for selected periods of time (0.5 to 48 h). 596 After aging, samples were cooled to  $(T_{gi}$  -50). After equilibrating the 597 598 carbohydrates for 1 min, they were reheated to  $(T_{gi}+50)$  at a rate of 3°C/min. 599

600 Unaged experiment: Glucose, maltose and maltotriose were scanned to 601  $(T_g+100^{\circ}\text{C})$  to remove their thermal history after equilibration at 25°C for 602 5 minutes. Then the carbohydrates were scanned to  $(T_{gi}-50)$  at 3°C/min to 603 make a carbohydrate glass. Then the samples were were reheated to 604  $(T_{gi}+50)$  at a rate of 3°C/min.

- 605 Figure 2Identification of onset, midpoint, and endpoint glass transition606temperatures  $(T_{gi}, T_{gm}, \text{ and } T_{ge} \text{ respectively})$  and relaxed enthalpy607 $(\Delta H_{relax})$  from MDSC thermogram.
- 608Figure 3Identification of onset, and endpoint glass transition temperatures ( $T_{gi}$ , and609 $T_{ge}$  respectively) and heat capacity change at glass transition temperature610 $(\Delta C_p)$  for the determination of fragility of amorphous carbohydrates by611glass transition width approach
- 612Figure 4Variation in specific heat at glass transition temperature  $(\Delta C_p)$  for selected613aging times during aging of glucose, maltose and maltotriose at  $(T_g$ -5).

614	Figure 5	Amount of relaxation enthalpy after aging of glucose, maltose and
615		maltotriose at ( $T_g$ -5) for selected aging times. Experimental (Exp) data
616		was fitted with the KWW equation to obtain predicted (Pred) curves.
617	Figure 6	Variation of $(1-\Delta H_{relax}/\Delta H_{\infty})$ for selected aging times during aging of
618		glucose, maltose and maltotriose at $(T_{gi}$ -5); experimental (Exp); predicted
619		(Pred).
620	Figure 7	Characteristic relaxation time $(\tau)$ dependence on molecular weight of
621		glucose, maltose and maltotriose during isothermal aging at $(T_{gi}$ -5).
622	Figure 8	Total enthalpy available for relaxation, $\Delta H_{\infty}$ (J/g) dependence on
623		molecular weight effect of glucose, maltose and maltotriose during
624		isothermal aging at $(T_{gi}$ -5).
625	Figure 9	X-ray diffraction patterns for (A) completely crystalline maltose, (B)
626		maltose stored at $(T_{gi}$ -5) for selected aging times.
627	Figure 10	Heating rate dependence on glass transition temperatures of glucose,
628		maltose and maltotriose in order to find fragility index using equation 8.
629		Onset glass transition temperature is assumed as $T_g$
630		

Time	Gl	ucose	M	altose	Maltotriose	
<i>t</i> , (h)	$T_{gi}$ (°C)	$(T_{ge}$ - $T_{gi})$ (°C)	$T_{gi}(^{\mathrm{o}}\mathrm{C})$	$(T_{ge}$ - $T_{gi})$ (°C)	$T_{gi}(^{\circ}\mathrm{C})$	$(T_{ge}$ - $T_{gi})$ (°C)
0	34.5 <sup>e</sup> (0.20)*	6.3 <sup>h,i</sup> (0.29)	78.5 <sup>cd</sup> (1.75)	10.2 <sup>g,h</sup> (1.18)	128.8 <sup>a</sup> (4.13)	11.4 <sup>f,g,h</sup> (3.09)
0.5	36.5 <sup>e</sup> (0.50)	5.0 <sup>i</sup> (0.14)	76.8 <sup>cd</sup> (4.90)	$9.2^{g,h,i}(0.59)$	131.7 <sup>a</sup> (0.14)	7.7 <sup>h,i</sup> (0.71)
1	36.2 <sup>e</sup> (0.35)	4.6 <sup>i</sup> (0.23)	76.3 <sup>cd</sup> (3.70)	10.2 <sup>g,h</sup> (2.52)	131.6 <sup>a</sup> (4.51)	7.8 <sup>h,i</sup> (1.13)
2	36.3 <sup>e</sup> (0.07)	5.0 <sup>i</sup> (0.06)	79.1 <sup>cd</sup> (1.90)	8.1 <sup>h,i</sup> (0.13)	133.4 <sup>a</sup> (1.47)	6.3 <sup>h,i</sup> (0.35)
6	36.4 <sup>e</sup> (0.07)	4.9 <sup>i</sup> (0.05)	76.0 <sup>cd</sup> (2.60)	11.0 <sup>f,g,h</sup> (0.25)	132.4 <sup>a</sup> (4.20)	7.9 <sup>h,i</sup> (0)
12	36.6 <sup>e</sup> (0)	5.1 <sup>i</sup> (0)	81.6° (0)	9.8 <sup>g,h,i</sup> (0.42)	132.7 <sup>a</sup> (3.79)	8.1 <sup>h,i</sup> (0)
48	36.1 <sup>e</sup> (0)	5.2 <sup>i</sup> (0)	72.7 <sup>d</sup> (0)	13.8 <sup>f,g</sup> (0)	113.7 <sup>b</sup> (0)	15.4 <sup>f</sup> (0)

Table 1. Onset  $(T_{gi})$  glass transition temperatures of glucose, maltose and maltotriose aged at  $(T_{gi}-5)$  for selected times

Same superscripts within a column indicate there is no significant difference in values

\*Values in parentheses represent the standard deviation of at least two replicates

			KWW equati	on		
Amorphous						
system	Total enthalpy	Characteristic	Relaxation	The relaxation	The relaxation	
	available for	relaxation	distribution	time	time	
	relaxation,	time,	parameter,	corresponding to	corresponding to	
	$\Delta H_\infty$	τ	β	50% of the	99% of the	
	(J/g)	(h)		maximum	maximum	
				enthalpy, $\tau_{\varphi(t)=50\%}$	enthalpy, $\tau_{\varphi(t)=1\%}$	
				(h)	(h)	
Glucose	3.94	16.9	0.23	50.9	338.4	
Maltose	3.23	38.4	0.33	80.7	535.9	
Maltotriose	2.54	128.6	0.24	371.4	2467.6	

Table 2. Experimental values of  $\Delta H_{\infty}$ ,  $\tau$ ,  $\beta$ ,  $\tau_{\varphi(t)=50\%}$ , and  $\tau_{\varphi(t)=1\%}$  calculated for glucose, maltose and maltotriose

Amorphous system	Fragility parameter ( <i>m</i> ) using activation enthalpy at glass transition temperature	Fragility prediction based on <i>m</i> value	Fragility parameter ( <i>m</i> ) using glass transition dependence on heating rate	Fragility prediction based on <i>m</i> value	$*C_{p(liquid)}/C_{p(glass)}$	Fragility prediction based on $C_{p(liquid)}/C_{p(glass)}$ value
Glucose	105	Fragile <sup>a</sup>	34.5	Strong <sup>a</sup>	2.21	Fragile <sup>b</sup>
Maltose	88.1	Strong <sup>a</sup>	23.5	Strong <sup>a</sup>	1.65	Fragile <sup>b</sup>
Maltotriose	97.6	Strong <sup>a</sup>	28.6	Strong <sup>a</sup>	1.24	Fragile <sup>b</sup>

Table 3. Fragility prediction for selected amorphous carbohydrates by selected methods

<sup>a</sup>Strong systems: 16<*m*<100; Fragile systems: 100<*m*<200

<sup>b</sup>Strong systems:  $C_{p(liquid)}/C_{p(glass)} < 1.1$ ; Fragile systems:  $C_{p(liquid)}/C_{p(glass)} > 1.1$ \*Ratio of heat capacity of material system in the rubbery state to heat capacity of the material system in the glassy state



Figure 1



Figure 2



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10