# Running head: ACOUSTIC DISCRIMINATION OF PREDATORS

# Acoustic discrimination of predators by black-capped chickadees (*Poecile atricapillus*)

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Smaller owls and hawks are high-threat predators to small songbirds, like chickadees, in comparison to larger avian predators due to smaller raptors' agility (Templeton et al. 2005). The current literature focuses only on high- and low-threat predators. We propose that there may be a continuum in threat perception. In the current study, we conducted an operant go/no-go experiment investigating black-capped chickadees' acoustic discrimination of predator threat. After obtaining eight hawk and eight owl species' calls, we assigned each species as: (1) large, low-threat, (2) mid-sized, unknown-threat and (3) small, high-threat predators, according to wingspan and body size. Black-capped chickadees were either trained to respond ('go') to high-threat predator calls or respond to low-threat predator calls. When either low-threat predator calls were not reinforced or high-threat predator calls were not reinforced the birds were to withhold responding ('no-go') to those stimuli. We then tested transfer of training with additional small and large predator calls, as well as with the calls of several mid-sized predators. We confirmed that chickadees can discriminate between high- and low-threat predator calls. We further investigated how chickadees categorize mid-sized species' calls by assessing transfer of training to previously non-differentially reinforced (i.e., pretraining) calls. Specifically, transfer test results suggest that mid-sized broad-winged hawks were perceived to be of high threat whereas mid-sized short-eared owls were perceived to be of low threat. However, mid-sized Cooper's hawks and northern hawk owls were not significantly differentially responded to, suggesting that 

19 they are of medium threat which supports the notion that perception of threat is along a

20 continuum rather than distinct categories of high or low threat.

*Keywords:* threat perception, predator perception, black-capped chickadee, operant conditioning

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#### Introduction

48	Songbirds face predation by multiple species, including nest predators (e.g., squirrels,
49	snakes), domestic and feral cats (Felis catus), and birds of prey (e.g., Bayne and Hobson 2002;
50	Suzuki 2012; Blancher 2013; Smith 1991). Black-capped chickadees (Poecile atricapillus),
51	Carolina chickadees (P. carolinensis), and tufted titmice (Baeolophus bicolor) are all relatively
52	small songbirds in the same Family (Paridae; Smith, 1991), and all three species demonstrate
53	strong antipredator responses to relatively small predators (Templeton et al. 2005; Soard and
54	Ritchison 2009; Courter and Ritchison 2010). This suggests that these three species of parids
55	perceive smaller avian predators to be of higher threat than larger ones. This relationship is
56	thought to be due to greater maneuverability of small avian predators (compared to large
57	predators) and consequently, a better ability to capture a parid in a forested environment
58	(Templeton et al. 2005).

59 In the presence of a predator, black-capped chickadees produce and use their chick-a-dee 60 call as a mobbing signal to recruit and coordinate conspecifics and heterospecifics to attack and 61 harass the predator (Smith 1991). Templeton et al. (2005) demonstrated a strong negative correlation between wingspan and body length of predators relative to the number of D notes 62 63 produced in a chick-a-dee mobbing call when birds observed a predator. Plotting the number of 64 D notes in *chick-a-dee* mobbing calls by predator wingspan suggests that northern pygmy-owls 65 (Glaucidium gnoma) and northern saw-whet owls (Aegolius acadicus), both small-sized 66 predators, are viewed a higher threat compared to large-sized predators, such as great horned owls (Bubo virginianus) and great gray owls (Strix nebulosa; Templeton et al. 2005). Templeton 67 68 et al. (2005) also demonstrated longer and more intense mobbing behaviour by chickadees in 69 response to the playback of mobbing calls produced in the presence of a pygmy-owl compared to

the playback of mobbing calls produced in the presence of a great horned owl or non-predatorcontrol (pine siskin, *Carduelis pinus*).

72 In terms of visual predator perception, prev species also seem to recognize visual features 73 of predators, which is an ability that helps evaluate the threat of a potential predator. For 74 example, when the head and body orientation of predator models faced toward a feeder, tufted 75 titmice demonstrated greater avoidance compared to when predator models faced away (Book 76 and Freeberg 2015). Kyle and Freeberg (2016) also found Carolina chickadees and tufted titmice 77 respond to head orientation of predators in that both species avoided feeding and produced more 78 chick-a-dee calls when the predator head was oriented toward the feeder. These studies demonstrate the importance of predator recognition and how prey species react to differences in 79 80 predator orientation based on visual cues.

Based on how different species alter their alarm calls in response to visual cues from 81 82 different predators, many researchers have investigated if species perceive threat from 83 vocalizations produced by conspecifics and heterospecifics in response to predators. For example, red-breasted nuthatches (Sitta canadensis) live in mixed flocks with chickadees during 84 winter, and are typically attacked by the same species of predator. Nuthatches are known to 85 86 eavesdrop on variations in heterospecific chickadee mobbing calls and approach a speaker (i.e., 87 engage in mobbing behaviour) more during playback of black-capped chickadee *chick-a-dee* 88 calls in response to high-threat (small-sized) predators compared to calls given in response to 89 low-threat (large-sized) and non-threat house sparrow calls (*Passer domesticus*; Templeton & Greene, 2006). This ability to eavesdrop provides an advantage as the information gained can be 90 91 used to determine what is present in the shared surroundings. Avey and colleagues (2011) 92 compared levels of neural expression in chickadees following exposure to predator and

93 conspecific calls of high-threat (northern saw-whet owl calls or mobbing calls in response to 94 northern saw-whet owl model) and low-threat (great horned owl calls or mobbing calls in 95 response to great horned owl model). They found that chickadees had higher levels of immediate early gene (IEG) expression in response to high-threat predator calls and the corresponding 96 97 mobbing calls compared to low-threat predator calls and corresponding mobbing calls or the 98 controls (heterospecific red-breasted nuthatch or reversed conspecific *chick-a-dee* calls). The 99 results of Avey et al. (2005) suggest that chickadees perceive heterospecific and conspecific 100 vocalizations produced by, and with reference to, the same predator to be of similar threat levels, 101 despite distinct acoustic differences.

102 Taken together, these previous findings indicate that parids perceive and attend to the 103 threat posed by nearby visual predators, adjusting their alarm vocalizations accordingly, and vary 104 their response to the vocalizations produced by both conspecifics and heterospecifics. The aims 105 of the current study were to evaluate the perception of predator species in the middle of the body 106 size spectrum that have not been evaluated, using an operant go/no-go discrimination task using 107 predator-produced acoustic stimuli. Black-capped chickadee subjects were trained to respond to 108 high- or low-threat hawk and owl stimuli. We then 'asked' whether subjects treated stimuli as 109 high and low threat categories, via transfer of training to novel stimuli, to determine how they 110 perceived specific predator calls in an attempt to determine if threat perception occurs along a 111 continuum or as more discrete high and low threat categories. This task is novel in its approach 112 to studying songbird perception of predators in that it allows for the ability to investigate the 113 threat level posed by predators according to chickadee perception. If threat perception is along a 114 continuum as anticipated, certain testing species (e.g., particular mid-sized predators) will not be 115 strongly responded to by either the high- or low-threat rewarded groups.

#### Methods

## 117 Subjects

118 Twenty-six black-capped chickadees (11 males and 15 females, identified by DNA 119 analysis; Griffiths et al. 1998) were tested between September 2016 and January 2017. Birds at 120 least one year of age (determined by examining the colour and shape of their outer tail retrices; 121 Pyle 1997) were captured in Edmonton (North Saskatchewan River Valley, 53.53°N, 113.53°W, 122 Mill Creek Ravine, 53.52°N, 113.47°W), or Stony Plain (53.46°N, 114.01°W), Alberta, Canada 123 between January 2013 and February 2016. One male and one female subject died in operant 124 training from natural causes. One female subject did not meet criterion while learning to use the 125 operant equipment, and another female subject did not meet criterion during Pretraining; both 126 were replaced. Three subjects (one male and two females) later failed Discrimination training 127 due to reduced feeding and were returned to the colony room to preserve individuals' health. 128 Thus, 19 black-capped chickadees (nine males and ten females) completed the experiment and 129 their performance data were included in the statistical analyses. 130 Prior to the experiment, birds were individually housed in Jupiter Parakeet cages  $(30 \times 40)$  $\times$  40 cm; Rolf C. Hagen, Inc., Montreal, QB, Canada) in colony rooms containing several other 131 132 black-capped chickadees. Birds had visual and auditory, but not physical, contact with one 133 another. Birds had *ad libitum* access to food (Mazuri Small Bird Maintenance Diet; Mazuri, St

134 Louis, MO, USA), water (vitamin supplemented on alternating days; Prime vitamin supplement;

Hagen, Inc.), grit, and cuttlebone. Birds were given three to five sunflower seeds daily, one

136 superworm (*Zophobas morio*) three times a week, and a mixture of greens (spinach or parsley)

137 and eggs twice a week. Birds were maintained on a light:dark cycle that mimicked the natural

138 light cycle for Edmonton, Alberta, Canada.

Throughout the experiment, birds were housed individually in operant chambers (see apparatus below), maintained on the natural light cycle for Edmonton, Alberta, and had *ad libitum* access to water (vitamin supplemented on alternate days), grit, and cuttlebone. Birds were given two superworms daily (one in the morning and one in the afternoon). Food (i.e., Mazuri) was only available as a reward for correct responding during the operant discrimination task. None of the 19 birds had previous operant experience or exposure to the stimuli used in this experiment.

146 Apparatus

147 During the experiment, birds were housed individually in modified colony room cages 148  $(30 \times 40 \times 40 \text{ cm})$  placed inside a ventilated, sound-attenuating chamber. The chambers were 149 illuminated by a 9-W, full spectrum fluorescent bulb. Each cage contained three perches, a water 150 bottle, and a grit cup. An opening on the side of the cage  $(11 \times 16 \text{ cm})$  provided each bird access 151 to a motor-driven feeder (see Njegovan et al. 1994). Infrared cells in the feeder and the request 152 perch (perch closest to the feeder) monitored the position of the bird. A personal computer 153 connected to a single-board computer (Palya and Walter 2001) scheduled trials and recorded 154 responses to stimuli. Stimuli were played from the personal computer hard drive, through either a 155 Cambridge A300 Integrated Amplifier, Cambridge Azur 640A Integrated Amplifier (Cambridge 156 Audio, London, England), or an NAD310 Integrated Amplifier (NAD Electronics, London, 157 England) and through a Fostex FE108  $\Sigma$  or Fostex FE108E  $\Sigma$  full-range speaker (Fostex Corp., 158 Japan; frequency response range 80-18,000 Hz) located beside the feeder. See Weisman and 159 Ratcliffe (2004) for a schematic of the apparatus, and see Sturdy and Weisman (2006) for a 160 detailed description of the apparatus.

161 Acoustic Stimuli

162	Acoustic stimuli were obtained from the Bayne Laboratory (Department of Biological
163	Sciences, University of Alberta, AB, Canada), Borror Laboratory of Bioacoustics (The Ohio
164	State University, OH, USA), and the Macaulay Library (Cornell Lab of Ornithology, NY, USA;
165	originally recorded between the years of 1954-2015 throughout Canada and USA). Stimuli
166	included 120 vocalizations produced by 16 predator species (eight owls and eight hawks): boreal
167	owl (BOOW), northern pygmy-owl (NOPO), northern saw whet owl (NSWO), barred owl
168	(BADO), great gray owl (GGOW), great horned owl (GHOW), long-eared owl (LEOW), and
169	short-eared owl (SEOW), American kestrel (AMKE), merlin (MERL), sharp-shinned hawk
170	(SSHA), peregrine falcon (PEFA), red-tailed hawk (RTHA), broad-winged hawk (BWHA),
171	Cooper's hawk (COHA), and northern hawk owl (NHOW; Figure 1). Stimuli were recorded at a
172	sampling rate of 44.1Khz, 16-bit, and in WAV format. All species were determined to be
173	observed in Edmonton according to The Atlas of Breeding Birds of Alberta (Semenchuk, 1992).
174	We determined average wingspan of the predators based on Sibley (2000) and Templeton et al.
175	(2005). We then plotted stimuli according to their wing spans to determine perceived threat level
176	(see Templeton 2005; see Table 1; see Figure 2).
177	All vocalizations used as acoustic stimuli were of high quality (i.e., no audible
178	interference and low background noise when viewed on a spectrogram with amplitude cutoffs of
179	-35 to 0 dB relative to vocalization peak amplitude) and were bandpass filtered (outside the
180	frequency range of each vocalization type) using GoldWave version 5.58 (GoldWave, Inc., St.
181	John's, NL, Canada) to reduce any background noise. For each stimulus, 5 ms of silence was
182	added to the leading and trailing portion of the vocalization and tapered to remove transients, and
183	amplitude was equalized across the call using SIGNAL 5.10.24 software (RMS sound = 1.0;
184	Engineering Design, Berkeley, CA, USA).

185 During the experiment, stimuli were presented at approximately 75 dB as measured by a Brüel & Kjær Type 2239 (Brüel & Kjær Sound & Vibration Measurement A/S, Nærum, 186 187 Denmark) decibel meter (A-weighting, slow response) at the approximate height and position of 188 a bird's head when on the request perch. 189 Small, "high threat" predators. A total of 50 auditory stimuli produced by six high-190 threat predator species were included: American kestrel (10 stimuli), boreal owl (10), merlin 191 (10), northern pygmy-owl (5), northern saw-whet owl (10), and sharp-shinned hawk (5). A total 192 of 40 calls produced by four species (i.e., American kestrel, boreal owl, merlin, and northern 193 saw-whet owl) were used in training (Discrimination), whereas the remaining 10 calls produced 194 by two high-threat species (i.e., northern pygmy-owl and sharp-shinned hawk) were excluded to 195 test during generalization (Transfer).

196 Large, "low threat" predators. A total of 50 auditory stimuli produced by six high197 threat predator species were included: barred owl (10 stimuli), great gray owl (5), great horned
198 owl (10), long-eared owl (10), peregrine falcon (10), and red-tailed hawk (5). A total of 40 calls
199 produced by four species (barred owl, great horned owl, long-eared owl, and peregrine falcon)
200 were used in training (Discrimination), whereas the remaining 10 calls produced by two low201 threat species (i.e., great gray owl and red-tailed hawk) were excluded to test during
202 generalization (Transfer).

Mid-sized predators. A total of 20 auditory stimuli produced by four mid-threat predator
 species were included: broad-winged hawk (5 stimuli), Cooper's hawk (5), northern hawk owl
 (5), and short-eared owl (5). All 20 calls were used for testing during generalization (Transfer).
 Acoustic Analyses. We measured multiple acoustic features using SIGNAL software,
 including the start frequency (SF), peak frequency (PF), end frequency (EF), duration, and

208 number of notes per acoustic stimulus. We then ran multiple one-way ANOVAs across predator 209 size (Small, Mid-sized, Large) using the averages of these features per stimulus type and found 210 that PF and EF were the only significant features (p = 0.030, and p = 0.030, respectively). 211 Paired-samples *t*-tests revealed that the PF (p < 0.001) and EF (p = 0.002) features were only 212 differences between Mid-sized and Large predators. However, upon running *post-hoc* one-way 213 ANOVAs with predator stimuli classified according to birds' responding, there were no significant differences (ps > 0.078). This indicates that there are few or no individual acoustic 214 215 features that were driving chickadees' performance when discriminating acoustic predator 216 stimuli. See Table 2.

217 **Procedure** 

218 **Pretraining.** Pretraining began once the bird learned to use the request perch and feeder 219 to obtain food. During Pretraining, birds received food for responding to all stimuli (future 220 rewarded stimuli, unrewarded stimuli, and transfer stimuli). A trial began when the bird landed 221 on the request perch and remained for between 900-1100 ms. A randomly-selected stimulus 222 played without replacement until all 120 stimuli had been heard. If the bird left the request perch 223 before a stimulus finished playing, the trial was considered interrupted, resulting in a 30-s time 224 out with the houselight turned off. If the bird entered the feeder within 1 s after the entire 225 stimulus played, it was given 1 s access to food, followed by a 30-s intertrial interval, during 226 which the houselight remained on. If a bird remained on the request perch during the stimulus 227 presentation and the 1 s following the completion of the stimulus it received a 60-s intertrial interval with the houselight on, but this intertrial interval was terminated if the bird left the 228 229 request perch. This was to encourage a high level of responding on all trials. Birds continued on 230 Pretraining until they completed six 360-trial blocks of  $\geq 60\%$  responding on average to all

231 stimuli, at least four 360-trial blocks  $\leq$  3% difference in responding to future rewarded and unrewarded stimuli, at least four 360-trial blocks in which the bird had  $\leq$  3% feeding on future 232 233 transfer stimuli, and at least four 360-trial blocks in which the bird had  $\leq$  3% feeding on short 234 and long stimuli to ensure that birds did not have a preference for the length of the stimuli. 235 Following a day of free feed, birds completed a second round in which they completed one 360-236 trial block of  $\geq$  60% responding on average to all stimuli, completed one 360-trial block of  $\leq$  3% 237 difference in responding to future rewarded and unrewarded stimuli, completed one 360-trial block of  $\leq 3\%$  feeding on future transfer stimuli, and completed one 360-trial block of  $\leq 3\%$ 238 239 feeding on short and long stimuli to ensure that birds did not have a preference for the length of 240 the stimuli, respectively, to confirm that each bird continued to not have preferences following 241 the break.

**Discrimination Training.** The procedure was the same as during Pretraining, except, only 80 training stimuli were presented (with the remaining 40 withheld for use during Transfer testing), and responding to half of these stimuli were then punished with a 30-s intertrial interval with the houselight off. As during Pretraining, responses to rewarded stimuli resulted in 1 s access to food. Discrimination training continued until birds completed six 320-trial blocks with a discrimination ratio  $(DR) \ge 0.80$  with the last two blocks being consecutive. For DR calculations see Response Measures, below.

Birds were randomly assigned to either a True category discrimination group (n = 12) or Pseudo category discrimination group (n = 7). Black-capped chickadees in the True category discrimination group were divided into two subgroups: one subgroup discriminated 40 rewarded high-threat calls from 40 unrewarded low-threat calls (High Threat Group: three male and three

female subjects), while the other subgroup discriminated 40 rewarded low-threat calls from 40unrewarded high-threat calls (Low Threat Group: three male and three female subjects).

255 The Pseudo category discrimination group was also divided into two subgroups. Each 256 subgroup discriminated 20 randomly-selected rewarded high-threat and 20 randomly-selected 257 rewarded low-threat calls from 20 unrewarded high-threat and 20 unrewarded low-threat calls 258 (Subgroup 1: two male and two female subjects; Subgroup 2: one male and two female subjects). The purpose of the Pseudo group was to include a control in which subjects were not trained to 259 categorize according to arousal level, investigating if True group acquisition is due to category 260 261 learning or simply rote memorization. For example, if the True group discriminated using the 262 categories of 'high' and 'low' threat, these birds would complete training in significantly fewer 263 trials compared to the Pseudo group that would have to rely on rote memorization. However, if 264 birds did not perceive and respond to the categories as expected, we would anticipate that both True and Pseudo groups would require a similar number of trials to complete acquisition based 265 266 on rote memorization.

267 **Discrimination 85.** This phase was identical to Discrimination training, except that the rewarded stimuli were rewarded with a reduced probability of getting a reward (i.e., P = 0.85). 268 269 On unrewarded rewarded trials, entering the feeder after the stimulus finished playing resulted in 270 a 30-s intertrial interval, during which the houselight remained on, but there was no access to food. Discrimination 85 training was employed to introduce birds to trials in which there was no 271 272 access to food, but the houselight remained illuminated, in order to prepare birds for Transfer testing in which stimuli were neither rewarded nor punished. Discrimination 85 training 273 274 continued until birds completed two 320-trial blocks with a DR  $\ge 0.80$ .

275 **Transfer Testing.** During Transfer testing, the stimuli and reinforcement contingencies from Discrimination 85 were maintained and 40 additional stimuli were also presented. Stimuli 276 277 from Discrimination 85 training were presented four times each, randomly-selected without 278 replacement and 13 or 14 new (i.e., transfer) stimuli were each presented once during a 333- or 279 334-trial block. Responses to transfer stimuli resulted in a 30-s intertrial interval with the 280 houselight on, but no access to food; we did not differentially reinforce or punish transfer 281 stimuli, and only presented each transfer stimulus once each per trial block, so subjects did not learn specific contingencies associated with responding to these transfer stimuli. 282

283 These additional testing calls were produced by small (northern pygmy-owl, sharp-284 shinned hawk) and large predators (great gray owl, red-tailed hawk), as well as several mid-sized 285 predators (broad-winged hawk, Cooper's hawk, northern hawk owl, short-eared owl). Eight 286 high- and eight low-arousal calls from each species heard during Discrimination training, but not discrimination training, were introduced. Due to the number of testing stimuli, we created three 287 288 rounds of Transfer testing that each included one or two stimuli per testing species. All birds 289 completed a minimum of three blocks of Transfer testing and these were included for analysis. 290 Between each round of Transfer, birds completed two 320-trial blocks of Discrimination 85 with 291 a DR  $\geq$  0.80. Following final Transfer, birds were returned to their colony rooms.

Only True group Transfer testing data was analyzed. In order to analyze responding to each of the 16 stimulus species (six high threat, six low threat, four mid-sized predators), we calculated the proportion of responding for each stimulus type by averaging the percent correct response from the birds within each condition (described below).

296 Response Measures. For each stimulus exemplar, a proportion response was calculated
297 by the following formula: R+/(N-I), where R+ is the number of trials in which the bird went to

the feeder, N is the total number of trials, and I is the number of interrupted trials in which the
bird left the perch before the entire stimulus played. For Discrimination and Discrimination 85
training, we calculated a discrimination ratio (DR), by dividing the mean proportion response to
all rewarded stimuli by the mean proportion response to rewarded stimuli plus the mean
proportion response to unrewarded stimuli. A DR of 0.50 indicates equal responding to rewarded
and unrewarded stimuli, whereas a DR of 1.00 indicates perfect discrimination.

For Transfer testing, in order to analyze responding to each of the 16 predator stimuli (six high threat, six low threat, four mid-sized predators), we calculated the proportion of responding for each of the stimuli for all subjects.

307 **Statistical Analyses.** We conducted independent-samples *t*-tests on the number of trials 308 to criterion for the True and Pseudo category groups during Discrimination training. A repeated 309 measures Analysis of Variance (ANOVA) was conducted on the proportion of responding to 310 determine if the birds differentially responded to Discrimination training stimuli during Transfer 311 testing (Condition  $\times$  Stimulus Species). To determine if differential responding was according to 312 Threat Level, we conducted an independent *t*-test on the responding during Transfer testing of 313 two True groups (High Threat vs. Low Threat). We also conducted a repeated measures ANOVA 314 (Fixed factors: Condition, Stimulus Species) on the proportion of responding to determine if 315 birds differentially responded to testing stimuli during Transfer. This analysis was followed by 316 independent-samples *t*-tests (High Threat v. Low Threat group; with Dunn–Šidák corrections) on 317 responding to the Stimulus Species to determine which of the predator species' calls birds 318 demonstrated transfer of training (i.e., to which stimuli birds responded). We then used paired-319 samples *t*-tests (with Dunn–Šidák corrections) for each Condition (High Threat, Low Threat) 320 separately, on the proportion of responding to the Stimulus Species in order to determine which

predator species were responded to significantly more (or less) compared to other species. Last, we conducted two linear regressions (Regression 1: High Threat group; Regression 2: Low Threat group) to determine if the average wingspan of the stimulus-producing species (in cm) predicted the proportion of responding during Transfer testing; these regressions needed to be conducted separately as the proportion of responding should be opposite due to the contingencies of transfer of training (i.e., High Threat group responding to "high-threat" species' vocalizations and the Low Threat group responding to "low-threat" species' vocalizations, respectively).

Ethical Note. Throughout the experiment, birds remained in the testing apparatus to 328 329 minimize the transport and handling of each bird and reduce stress. Following the experiment, 330 birds were returned to the colony room for use in future experiments. With the exception of one 331 male and one female subject that died from natural causes, birds remained healthy during the 332 experiment. All procedures were conducted in accordance with the Canadian Council on Animal Care (CCAC) Guidelines and Policies with approval from the Animal Care and Use Committee 333 334 for Biosciences for the University of Alberta (AUP 108), which is consistent with the Animal 335 Care Committee Guidelines for the Use of Animals in Research. Birds were captured and 336 research was conducted under an Environment Canada Canadian Wildlife Service Scientific 337 permit (#13-AB-SC004), Alberta Fish and Wildlife Capture and Research permits (#56076 and 338 #56077), and City of Edmonton Parks Permit.

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**Trials to Criterion** 

#### Results

341 To determine whether birds in the two True category groups differed in their speed of 342 acquisition, we conducted an independent-samples *t*-test on the number of 320-trial blocks to 343 reach criterion for the two True category conditions (High Threat Group:  $X\pm$ SEM = 344 21.333 $\pm$ 6.427, *N* = 6; Low Threat Group: *X* $\pm$ SEM = 12.333 $\pm$ 1.022, *N* = 6). There was no

significant difference, t<sub>10</sub> = 1.383, p = 0.197, d = .874, 95% Confidence Interval [CIs] = -5.5001,
23.5001.

To compare the acquisition performance of the True and Pseudo category groups and to determine if the True group learned to categorize in fewer trials than the Pseudo group, we conducted an independent-samples *t*-test on the number of 320-trial blocks to reach criterion for the True category and Pseudo category groups. Due to a violation of Levene's test, we used the *p*-value that did not assume homogeneity of variance; there was a significant difference between the groups ( $t_{6.660} = -2.569$ , p = 0.039, d = -1.991, 95% CIs = -73.938, -2.681) in that True birds learned to discriminate significantly faster than Pseudo birds.

## 354 Analysis of Discrimination Stimuli during Transfer

To examine if birds learned to discriminate among calls from all species, we conducted a repeated measures ANOVA for the True group with Condition (High Threat, Low Threat) and Stimulus Species (American kestrel, boreal owl, merlin, northern saw-whet owl; barred owl, great horned owl, long-eared owl, peregrine falcon) as fixed factors and proportion of responding as the dependent variable. Using a Huynh-Feldt correction, there was a significant two-way interaction of Condition × Stimulus Species ( $F_{3.202, 16.012} = 4712.493$ , p < 0.001,  $\eta^2 = .999$ ), indicating that there was differential responding to stimulus species according to condition. The

362 main effects of Condition and Stimulus Species were non-significant ( $ps \ge 0.262$ ).

363 Analysis of Transfer Stimuli

To determine if the pattern of learning was the same across calls from testing species in Transfer testing, we conducted a repeated measures ANOVA for the True group with Condition (High Threat, Low Threat) × Stimulus Species (northern pygmy-owl, sharp-shinned hawk; great 367 gray owl, red-tailed hawk; broad-winged hawk, Cooper's hawk, northern hawk owl, short-eared owl) as fixed factors and the proportion of responding during Transfer testing as the dependent 368 369 variable. Using a Huynh-Feldt correction, there was a significant interaction of Condition  $\times$ Stimulus Species ( $F_{7,35} = 35.133$ , p < 0.001,  $\eta^2 = .875$ ), indicating that there was a significant 370 difference in responding to Stimulus Species based on Condition. The main effect of Stimulus 371 Species was significant ( $F_{7,35} = 2.626$ , p = 0.027,  $\eta^2 = .334$ , 95% CIs northern pygmy-owl = 372 0.253, 0.482; sharp-shinned hawk = 0.296, 0.663; great gray owl = 0.171, 0.756; red-tailed hawk 373 374 = 0.489, 0.705; broad-winged hawk = 0.201, 0.768; Cooper's hawk = 0.274, 0.545; northern 375 hawk owl = 0.387, 0.680; SEOW = 0.375, 0.773), indicating that there was a significant 376 difference in responding based on the Stimulus Species. However, the main effect of Condition 377 was non-significant (p = 0.090).

378 To further investigate responding across Stimulus Species between the true category groups, we conducted independent samples *t*-tests of High Threat vs. Low Threat conditions 379 responding to each Stimulus Species with Dunn–Šidák corrections (p < 0.0064). There were 380 381 significant differences in responding to sharp-shinned hawk ( $t_5 = 6.273$ , p = .001, d = -4.877, 382 95% CI = 0.305, 0.682), great gray owl ( $t_5 = -9.994$ , p < .001, d = -7.131, 95% CI = -0.978, -0.610), broad-winged hawk ( $t_5 = 16.039$ , p < .001, d = 10.144, 95% CI = 0.722, 0.955), and 383 384 short-eared owl ( $t_5 = -4.656$ , p = .001, d = -2.945, 95% CI = -0.734, -0.259); Note: Positive tvalues indicate more responding by chickadees in the High Threat group; negative *t*-values 385 386 indicate more responding by chickadees in the Low Threat group. Responding to northern pygmy-owl, red-tailed hawk, Cooper's hawk, and northern hawk owl were non-significant ( $ps \ge 1$ ) 387 388 0.093). See Figure 3 for these statistical results.

389	We then conducted paired-samples <i>t</i> -tests (with Dunn–Šidák corrections; $p \le 0.0018$ ) on
390	the proportion of responding to each Transfer testing Stimulus Species, separately for the High
391	Threat and Low Threat conditions, to further examine the significant Condition $\times$ Stimulus
392	Species interaction; see Table 3 for these results.

Last, we conducted two linear regressions to determine if average wingspan of the 393 394 stimulus-producing species (in cm; see Table 1) predicted the proportion of responding during 395 Transfer testing. Two linear regressions were conducted in order to analyze proportion of 396 responding according to wingspan for the High Threat group and the Low Threat group 397 independently. There was a non-significant negative correlation between wingspan and proportion of responding for the High Threat group, with only 6.9% of the variance explained 398  $(F_{1,46} = 3.423, p = 0.071, 95\% \text{ CIs} = -.005, \beta = -.263)$ . There was a significant positive 399 400 correlation between wingspan and proportion of responding for the Low Threat group, with 401 29.5% of the variance explained ( $F_{1,46} = 19.264, p < 0.001, 95\%$  CIs = .003, .007,  $\beta = .543$ ). Therefore, the regression equation for the Low Threat group subjects' responding is equal to .114 402 403 + .005 (wingspan) proportion of responding when wingspan is measured in cm (see Figure 4). Taken together, the concept of threat appears to be more complex than wingspan alone (i.e., size) 404 405 as prey may be taking into consideration many other factors, including each predator's diet.

406

## Discussion

Here we showed that chickadees perceive owl and raptor vocalizations as coherent
groups (i.e., perceptual categories) based on the degree of threat. True group birds learned to
discriminate acoustic stimuli produced by predators in fewer trials compared to Pseudo group
birds, suggesting that birds in the True group perceived stimuli on the basis of threat level,
grouping (classifying) species together based on their perceived level of threat, thereby leading

412 to significantly faster task acquisition compared to the Pseudo group. This difference in 413 acquisition during Discrimination training, along with significant transfer of training to sharpshinned hawk (high threat) and great gray owl (low threat) vocalizations, supports the notion that 414 415 predators that we classified as high or low threat (according to wingspan) for Discrimination 416 training were of distinct threat levels and that birds are capable of discriminating between the 417 calls produced by these species. By training chickadees to classify species at the polar ends of 418 the continuum (i.e., high- and low-threat species), and testing with calls produced by additional 419 predators, including mid-sized predators, we were able to determine the extent to which chickadees perceive the threat posed by predator species along a continuum rather than 420 421 categorizing all predator species as high vs. low threat.

422 Transfer: Small vs. Large Predators

423 During Transfer testing we presented chickadees that were previously rewarded for responding to high or low threat stimuli with calls produced by multiple other predator species, 424 425 including two additional small species (northern pygmy-owl and sharp-shinned hawk) and two 426 additional large species (great gray owl and red-tailed hawk). Our results suggest that chickadees 427 perceive sharp-shinned hawks as a high-threat predator as the High Threat group responded 428 significantly more to sharp-shinned hawk calls during Transfer compared to Low Threat group. 429 Similar to this result, presentation of sharp-shinned hawk mounts resulted in the production of 430 more D notes per call by tufted titmice compared to the vocalizations produced in response to a 431 live red rat snake (*Elphe guttata*; Sieving et al. 2010). In addition, Courter and Ritchison (2010) 432 found that sharp-shinned hawk mounts resulted in more D notes per call within the first two 433 minutes of presentation, and longer mobbing bouts, compared to responding to red-tailed hawk 434 and great horned owl mounts, suggesting that sharp-shinned hawks are a high-threat predator to

435 parids. According to Templeton et al. (2005), black-capped chickadees produce chick-a-dee 436 mobbing calls containing approximately four D notes per call to both northern saw-whet owls 437 and northern pygmy-owls, suggesting that these species are perceived as high threat. In 438 Discrimination training birds were rewarded for responding to northern saw-whet owl calls as a 439 high-threat predator, but did not demonstrate transfer of training to northern pygmy-owl calls in 440 Transfer testing, despite the fact that these species of predator have equivalently small wingspans 441 (39 cm and 31 cm, respectively) and produce calls of similar frequency (see Figure 1). As the 442 northern pygmy-owl is the smallest predator that we included, we would have expected 443 responding to suggest it be of the highest threat. Because subjects were trained to respond (High 444 Threat group) or withhold responding (Low Threat group) to northern saw-whet owl calls, it is 445 possible that in the absence of this training (i.e., during a Transfer testing procedure) chickadees 446 would not respond to northern saw-whet owl calls as a high-threat predator; this notion could be 447 tested in a future experiment with similar methodology to the current study. It is also possible 448 that black-capped chickadees perceive the vocalizations of northern pygmy-owls to not pose a 449 high threat since northern pygmy-owls are fairly rare in Edmonton (observed, but not abundant), 450 and although one of the only diurnal owl species, primarily produce vocalizations at night when 451 black-capped chickadees are sleeping (Sibley 2000). In contrast, due to the diurnal activity and 452 diets of sharp-shinned hawks, this species is likely to be of greater risk than northern pygmyowls (Sibley 2000). 453

454 Similarly, we found that the High Threat group responded as though broad-winged hawks 455 (mid-sized) are higher threat than northern pygmy-owls (small), and responded similarly to high-456 threat rewarded stimuli compared to sharp-shinned hawks, but not compared to northern pygmy-457 owl calls; this suggests that small northern pygmy-owls were not perceived to be of high-threat

but instead lower on the proposed threat continuum (toward medium or low threat). In contrast,
the Low Threat group responded as though northern pygmy-owls are higher threat than great
gray owls (large), and did not respond similarly to low-threat rewarded stimuli compared to
either northern pygmy-owls or sharp-shinned hawks; this suggests that small northern pygmyowls were also not perceived to be of low-threat but instead higher on the threat continuum.
Thus, taken together, these results suggest that although northern pygmy-owls have a relatively
short wingspan, they are perceived as a medium-threat predator along a threat continuum.

465 During Transfer testing, we presented chickadees with calls produced by great gray owls 466 and red-tailed hawks, both species with relatively long wingspans (see Table 1), and therefore 467 considered to be low-threat species (e.g., Templeton et al. 2005). We found that the Low Threat 468 group responded significantly more to the great gray owl calls during Transfer than the High 469 Threat group, confirming the perception of great gray owls as low threat; however, responding to 470 red-tailed hawk calls was not significantly different between the two groups, suggesting that red-471 tailed hawks are not considered to be a high-threat or low-threat predator. Templeton et al. 472 (2005) demonstrated that black-capped chickadees produce *chick-a-dee* mobbing calls 473 containing approximately two D notes per call in response to great gray owls, but approximately 474 2.5 D notes per call in response to red-tailed hawks; thus, we suggest that great gray owls could 475 be perceived by black-capped chickadees to be of lower threat than red-tailed hawks, along the 476 continuum, although there was not a significant difference between these two species. Moreover, 477 red-tailed hawks' wingspan of 120 cm is shorter than the great gray owls' wingspan of approximately 132-150 cm; this 10-30 cm difference in wingspan may be enough to increase 478 479 maneuverability in red-tailed hawks in comparison to great gray owls that have a large body size 480 and corresponding diet (i.e., they consume larger prey as rodent specialists). Red-tailed hawks

481 have been classified as low-threat as chickadees are rarely preved upon by this species (Houston et al. 1998). Soard and Ritchison (2009) found that responding to red-tailed hawks by Carolina 482 chickadees resulted in chick-a-dee calls with more 'chick' and fewer 'dee' notes per call in 483 484 comparison to smaller, high-threat predators. In the current study, during Transfer testing, both 485 the High Threat and Low Threat groups responded to red-tailed hawk vocalizations at an 486 intermediate level compared to the other testing species, and both groups responded to red-tailed 487 hawk vocalizations in a manner similar to (i.e., no significant difference from) the High Threat rewarded and Low Threat rewarded stimuli, respectively. This suggests that red-tailed hawks are 488 489 perceived as medium threat and provides support that there is a continuum in the perception of 490 predator threat, rather than a high-threat versus low-threat dichotomy. It is important to note that 491 the acoustic analyses demonstrate that the acoustic features that we measured (i.e., start 492 frequency, peak frequency, end frequency, duration, and number of notes per stimulus) were not 493 used by chickadees to classify stimuli.

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## **Transfer: Mid-sized Predators**

During Transfer testing we included stimuli produced by mid-sized predators (broadwinged hawk, Cooper's hawk, northern hawk owl, short-eared owl) to investigate how
chickadees perceived these predators compared to high- and low-threat predator species, and if
the overall threat perception of songbirds is categorical in nature or occurs along a continuum.
First, the High Threat group responded significantly more to broad-winged hawk calls compared
to the Low Threat group, and as though broad-winged hawks are of higher threat than Cooper's
hawks (mid-sized) and northern pygmy-owls (small).

502 Second, the Low Threat group responded significantly more to short-eared owl calls503 compared to the High Threat group, suggesting that chickadees perceived short-eared owls as a

low-threat predator. In addition, the Low Threat group responded similarly to low-threat
rewarded stimuli compared to short-eared owl calls, and as though short-eared owls are of lower
threat compared to sharp-shinned hawks (small), northern pygmy-owls (small), and broadwinged hawks (mid-sized).

508 Last, High Threat and Low Threat groups did not respond significantly differently to 509 Cooper's hawk or northern hawk owl calls, indicating that chickadees do not categorize these 510 species of predator as either high or low threat. The High Threat group responded as though 511 Cooper's hawks were of lower threat than sharp-shinned hawks (small) and broad-winged hawks 512 (mid-sized), and the Low Threat group responded as though northern hawk owls were of lower threat than broad-winged hawks (mid-sized). Neither the High or Low Threat group responded 513 514 similarly to high- or low-threat rewarded stimuli, respectively, compared to the Cooper's hawk 515 calls further suggesting that this species is of medium-threat. Only the Low Threat group 516 responded similarly to low-threat rewarded stimuli compared to the northern hawk owl calls, 517 which taken together with the non-significant responding between High and Low Threat groups, 518 suggests that this species may be perceived as medium-low threat. Overall, these results suggest 519 a graded continuum of predator threat, as chickadees responded to these mid-sized predators 520 (Cooper's hawk and northern hawk owl) at an intermediate level, rather than in a manner 521 suggesting chickadees categorized these species as either high or low threat.

522 Similarly, Templeton and colleagues (2005) demonstrated that black-capped chickadees 523 produced *chick-a-dee* mobbing calls containing approximately 3.25 D notes per call in response 524 to Cooper's hawks, a species that falls between high-threat northern saw-whet owls (~4 Ds) and 525 low-threat great horned owls (~2.5Ds). Templeton et al.'s study did not examine chickadees' 526 response to broad-winged hawks or northern hawk owls, but concluded that short-eared owls are

527 likely perceived as low threat considering that black-capped chickadees produced approximately 528 2.25 D notes per call in response to short-eared owls. In contrast, Courter and Ritchison (2010) 529 found that tufted titmice likely perceive Cooper's hawks as a high threat predator given that 530 titmice responded to Cooper's hawk mounts with more D notes per call compared to controls, 531 which was comparable to titmice responding to sharp-shinned hawk mounts (a species that is 532 comparable in body size). However, tufted titmice are approximately twice the size of chickadees (Pyle 1997), and Cooper's hawks typically predate larger species of songbirds (e.g., 533 534 house sparrow, *Passer domesticus*; Roth and Lima 2006). Thus, Cooper's hawks may be a high-535 threat predator to tufted titmice, while the relatively smaller black-capped chickadees may 536 perceive Cooper's hawks to be medium-threat.

### 537 Conclusions

Overall, this experiment provides insights into songbird perception of predator threat. By 538 539 training chickadees to respond to either high- or low-threat predator vocalizations, and obtaining 540 subsequent responses to additional calls produced by small, large, and mid-sized avian predators 541 in Transfer testing, we were able to investigate perception of threat to determine whether threat 542 occurs along a continuum. The linear regressions that we conducted, using wingspan, were 543 unable to account for the complexity of songbirds' predator perception, which suggests that there 544 are multiple factors that determine where predators are perceived along a potential continuum of 545 threat (e.g., predator diet). Black-capped chickadees demonstrated transfer of training and appear 546 to consider sharp-shinned hawks and broad-winged hawks as high-threat predators, and great gray owls and short-eared owls as low-threat predators. Surprisingly, the calls of northern 547 548 pygmy-owls (small predator) and red-tailed hawks (large predator) were not responded to 549 differentially by the two groups; these responses do not indicate that chickadees were making

550 incorrect responses, but rather that our classification of predators (i.e., according to wingspan) 551 was not in line with chickadees' perception of threat. We predict that the nocturnal calling 552 patterns of the northern pygmy-owl in comparison to diurnal chickadee activity, as well as the 553 diurnal activity and slightly smaller wingspan of the red-tailed hawk compared to great gray 554 owls, explains this responding. In a future study, subjects could be trained with northern pygmy-555 owl calls then tested with northern saw-whet owl calls; this would assist in clarifying how these two similar-sized owls, that produce a similar call, are perceived. Calls produced by mid-sized 556 557 Cooper's hawks and northern hawk owls were also not responded to differentially, suggesting 558 that black-capped chickadees do not perceive these species as the extremes of high or low 559 threats. In summary, the lack of categorization by black-capped chickadees for a small predator 560 (northern pygmy-owl), large predator (red-tailed hawk), and two mid-sized predators (Cooper's 561 hawk and northern hawk owl) as high or low threat in the current study provides evidence that 562 the perception of predator threat, according to wingspan, in songbirds may not be categorical, but 563 rather along a graded continuum in which some species are considered to be of neither high nor 564 low threat. 565 566

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580	Data Availability
581	The datasets generated and analyzed during the current study are available from the
582	corresponding author on reasonable request.
583	
584	Author Contributions
585	Experiments conceived and designed: JVC, AHH, and CBS. Collected stimuli: DAY.
586	Stimuli selection: JVC and KAC. Collected data: JVC, KAC, and ENS. Analyzed data: JVC,
587	KAC, and AHH. Drafted paper: JVC and AHH. Revised paper: JVC, AHH, KAC, ENS, DAY,
588	EMB, and CBS.
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609	All animal studies were conducted in accordance with the Canadian Council on Animal
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611	Biosciences for the University of Alberta, and the University of Calgary Life and Environmental
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Table 1. Information regarding species calls that were used as stimuli, including how the stimuli 626 were sorted according to high, mid, or low threat level and if they were initially presented during 627 training (Discrimination) or testing (Transfer). The table is colour-coded: red for small-628 sized/high-threat predator species, green for large-sized/low-threat predator species, and yellow 629 630 for mid-sized/unknown threat predator species during Transfer of Training. American Ornithology Union (AOU) Codes provided. 631

Species	AOU Code	Threat Level	Wingspan	Resource	Diet	Stimulu s Type
American kestrel	AMKE	High	53 cm/58 cm	Sibley (2000)/Templeton et al. (2005)	Primarily insects, small rodents and birds	Trainin g
Boreal owl	BOOW	High	61 cm	Sibley (2000)	Primarily insects, small mammals and birds	Trainin g
Merlin	MERL	High	58 cm/61 cm	Sibley (2000)/Templeton et al. (2005)	Primarily small birds Occasionally small	Trainin g

					mammals and reptiles	
Northern pygmy-owl	NOPO	High	31 cm	Templeton et al. (2005)	Primarily rodents and small birds	Testing
Northern saw whet owl	NSWO	High	39 cm	Templeton et al. (2005)	Primarily small mammals Occasionally small birds	Trainin g
Sharp- shinned hawk	SSHA	High	53 cm	Sibley (2000)	Primarily songbirds Occasionally insects	Testing
Broad-winged hawk	BWHA	Mid	84 cm	Sibley (2000)	Primarily small mammals, reptiles, and birds	Testing
Cooper's hawk	СОНА	Mid	71 cm/81 cm	Sibley (2000)/Templeton et. al (2005)	Primarily mid-sized birds Occasionally small mammals	Testing

Northern hawk owl	NHOW	Mid	84 cm	Sibley (2000)	Primarily small mammals Occasionally small birds	Testing
Short-eared owl	SEOW	Mid	89 cm	Templeton et al. (2005)	Primarily small mammals Occasionally small birds	Testing
Barred owl	BADO	Low	110 cm	Sibley (2000)	Primarily small mammals Occasionally birds	Trainin g
Great gray owl	GGOW	Low	150 cm/132 cm	Sibley (2000)/Templeton et al. (2005)	Primarily small mammals Occasionally birds	Testing
Great horned owl	GHOW	Low	140 cm/121 cm	Sibley (2000)/Templeton et al. (2005)	Primarily small mammals Occasionally birds	Trainin g

Long-eared owl	LEOW	Low	100 cm	Sibley (2000)	Primarily small mammals Occasionally small birds	Trainin g
Peregrine falcon	PEFA	Low	110 cm/120 cm	Sibley (2000)/Templeton et al. (2005)	Primarily birds	Trainin g
Red-tailed hawk	RTHA	Low	120 cm/120 cm	Sibley (2000)/Templeton et al. (2005)	Primarily small mammals Occasionally birds	Testing

- **Table 2.** Information regarding the acoustic stimuli produced by owls and hawks. The average ± SEM of acoustic features of the stimuli, including the Start Frequency (SF), Peak Frequency (PF), End Frequency (EF), Duration, and Number of Notes per vocalization.

Species	Start Frequency (SF)	Peak Frequency (PF)	End Frequency (EF)	Duration	Number of Notes	
American kestrel	3876 ±118	$5048 \pm 124$	3820 ±87	1142 ±71	6.5 ±0.8	
Boreal owl	636 ±141	815 ±36	659 ±16	1712 ±25	12.9 ±1.1	
Merlin	3608 ±95	4275 ±157	3704 ±185	$1520 \pm 175$	10.6 ±1.6	
Northern pygmy- owl	980 ±107	1094 ±44	952 ±47	1268 ±50	2.0 ±0.0	

Northern saw whet owl	997 ±78	1139 ±21	1003 ±20	2009 ±18	4.7 ±0.2	
Sharp-shinned hawk	3577 ±211	4101 ±409	3303 ±397	1241 ±335	8.0 ±1.4	
Broad-winged hawk	4703 ±90	4820 ±72	4316 ±88	1004 ±62	2.0 ±0.0	
Cooper's hawk	$2985 \pm 149$	$3662 \pm 360$	2939 ±332	1404 ±332	8.4 ±0.9	
Northern hawk owl	2367 ±152	4608 ±310	3051 ±332	1027 ±116	1.0 ±0.0	
Short-eared owl	2459 ±27	4101 ±409	3303 ±397	1241 ±335	$8.0 \pm 1.4$	
Barred owl	301 ±98	596 ±34	275 ±12	1678 ±26	4.0 ±0.3	
Great gray owl	118 ±80	$299 \pm 17$	192 ±8	2094 ±12	3.0 ±0.0	
Great horned owl	241 ±115	408 ±7	$256 \pm 10$	2040 ±12	3.8 ±0.1	
Long-eared owl	246 ±17	405 ±14	263 ±18	473 ±21	1.0 ±0.0	
Peregrine falcon	2578 ±115	2899 ±159	2649 ±162	1561 ±171	6.8 ±0.6	
Red-tailed hawk	2663 ±213	3461 ±66	2422 ±68	1238 ±122	1.0 ±0.0	

**Table 3.** The results (*p*-values) of the paired-samples *t*-tests, with Dunn–Šidák corrections ( $p \le 0.0018$ ), conducted on the proportion of responding to each Transfer testing species, separately for the High Threat group and Low Threat group. The table is colour-coded: red for small predator species, yellow for mid-sized predator species, and green for large predator species during Transfer testing.

High Threat Group	Species	Northern pygmy-owl	Sharp-shinned hawk	Broad-winged hawk	Cooper's hawk	Northern hawk owl	Short-eared owl	Great gray owl	Red-tailed hawk
Species	AOU Code	NOPO	SSHA	BWHA	СОНА	NHOW	SEOW	GGOW	RTHA
Northern pygmy-owl	NOPO	-	-	-	-	-	-	-	-
Sharp-shinned hawk	SSHA	0.0154	-	-	-	-	-	-	-
Broad-winged hawk	BWHA	0.0014 BWHA>NOPO	0.0435	-	-	-	-	-	-
Cooper's hawk	СОНА	0.8937	0.0012	0.0013	-	-	-	-	-

			SSHA>COHA	BWHA>COHA					
Northern hawk owl	NHOW	0.3408	0.0356	0.0015 BWHA>NHOW	0.4774	-	-	-	-
Short-eared owl	SEOW	0.9945	0.0374	0.0022	0.8835	0.5776	-	-	-
Great gray owl	GGOW	0.0186	0.0004 SSHA>GGOW	<0.0001 BWHA>GGOW	0.0150	0.0098	0.0129	-	-
Red-tailed hawk	RTHA	0.1078	0.1567	0.0163	0.0701	0.3758	0.1181	0.0044	-
Low Threat Group	Species	Northern pygmy-owl	Sharp-shinned hawk	Broad-winged hawk	Cooper's hawk	Northern hawk owl	Short-eared owl	Great gray owl	Red-tailed hawk
Species	AOU Code	NOPO	SSHA	BWHA	СОНА	NHOW	SEOW	GGOW	RTHA
Northern pygmy-owl	NOPO	-	-	-	-	-	-	-	-
Sharp-shinned hawk	SSHA	0.0483	-	-	-	-	-	-	-
Broad-winged hawk	BWHA	0.0073	0.0044	-	-	-	-	-	-
Cooper's hawk	СОНА	0.2684	0.0142	0.0023	-	-	-	-	-
Northern hawk owl	NHOW	0.0395	0.0022	0.0010 NWHA>BWHA	0.2373	-	-	-	-
Short-eared owl	SEOW	0.0009 SEOW>NOPO	0.0009 SEOW>SSHA	<0.0001 SEOW>BWHA	0.0121	0.1927	-	-	-
Great gray owl	GGOW	0.0027	0.0005 GGOW>SSHA	<0.0001 GGOW>BWHA	0.0416	0.1383	0.8953	-	-
Red-tailed hawk	RTHA	0.0119	0.0033	0.0021	0.1978	0.7152	0.0878	0.1423	-

682 Note: **Bold** font indicates statistical significance ( $p \le 0.0018$ ).



**Figure 1.** Sample sound spectrograms of the vocalizations produced by the 16 species of

723 predators used as experimental stimuli, divided into small-sized, mid-sized, and large-sized

724 predators with time (msec) on the *x*-axis and frequency (kHz) on the *y*-axis.



**Figure 2.** Owl and hawk species (*x*-axis) plotted according to average wingspan (*y*-axis),

categorized by size: small, mid, and large (Sibley, 2000; Templeton et al., 2005; see Table 1).
Small species were used as training and testing high-threat stimuli; large species were used as

729 training and testing low-threat stimuli; and mid-sized species were used as testing stimuli to

730 determine songbirds' perception of threat. AMKE: American kestrel; BOOW: boreal owl;

731 MERL: merlin; NOPO: northern pygmy-owl; NSWO: northern saw-whet owl; SSHA: sharp-

- **732** shinned hawk; BWHA: broad-winged hawk; COHA: Cooper's hawk; NHOW: northern hawk
- **733** owl; SEOW: short-eared owl; BADO: barred owl; GGOW: great gray owl; GHOW: great horned
- owl; LEOW: long-eared owl; PEFA: peregrine falcon; RTHA: red-tailed hawk.
- 735 Note: Species with shadowed points indicate calls used as testing stimuli in Transfer.



**Figure 3.** Average ± SEM proportion of responding to each stimulus species by black-capped

chickadees in the High Threat (left) and Low Threat (right) groups during Transfer testing.
AMKE: American kestrel; BOOW: boreal owl; MERL: merlin; NOPO: northern pygmy-owl;

754 NSWO: northern saw-whet owl; SSHA: sharp-shinned hawk; BWHA: broad-winged hawk;

755 COHA: Cooper's hawk; NHOW: northern hawk owl; SEOW: short-eared owl; BADO: barred

756 owl; GGOW: great gray owl; GHOW: great horned owl; LEOW: long-eared owl; PEFA:

757 peregrine falcon; RTHA: red-tailed hawk.

758 Note: Species with shadowed points indicate calls used as Transfer testing stimuli.





Figure 4. Average ± SEM proportion of responding to each stimulus species (ranging smallest to largest in cm) by black-capped chickadees in the High Threat (left:  $R^2 = .069$ ) and Low Threat (right:  $R^2 = 0.295$ ) groups during Transfer testing. The regression equation for the Low Threat group subjects' responding is equal to .144 + .005 (wingspan) proportion of responding when wingspan is measured in cm. NOPO: northern pygmy-owl/31cm; SSHA: sharp-shinned hawk/53cm; COHA: Cooper's hawk/76cm; NHOW: northern hawk owl/84cm; BWHA: broad-winged hawk/84cm; SEOW: short-eared owl/89cm; GGOW: great gray owl/120cm; RTHA: red-tailed hawk/141cm. 

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