## UNIVERSITY OF ALBERTA

# CELLULAR STUDIES OF NEUROTRANSMISSION WITHIN THE HORIZONTAL LIMB OF THE DIAGONAL BAND OF BROCA

by

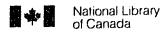
## JACOB CHIRAKKATTU EASAW

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FOR THE DEGREE OF

IN

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The undersigned certify that they have read, and recommended to the Faculty of Graduate Studies and Research for acceptance, a thesis entitled Cellular Studies of Neurotransmission within the Horizontal Limb of the Diagonal Band of Broca submitted by Jacob Chirakkattu Easaw in partial fulfilment of the requirements for the degree of Doctor of Philosophy in Medical Sciences - Medicine (Neurology).

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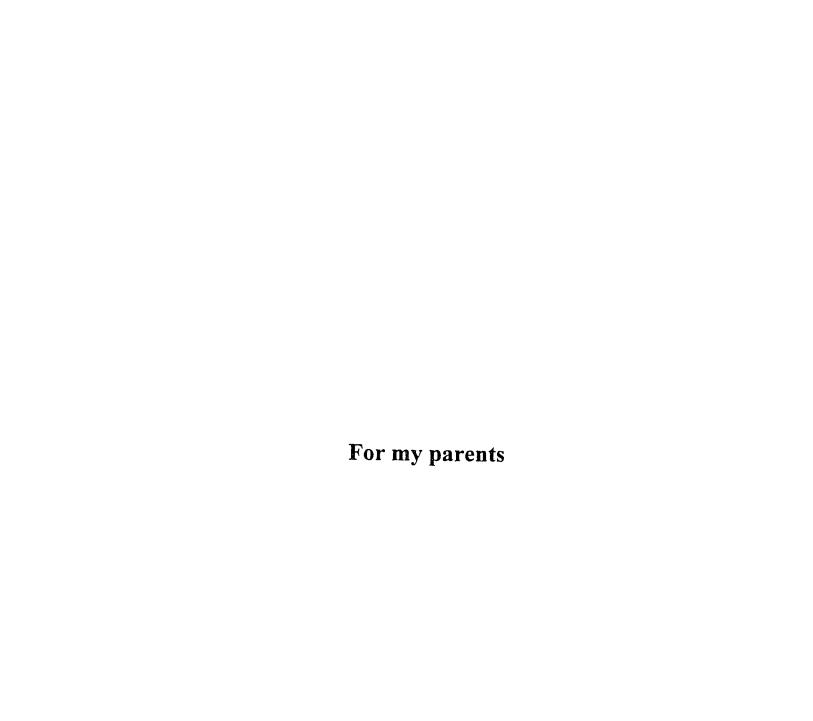
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## Abstract

The horizontal limb of the diagonal band of Broca (hDBB) within the basal forebrain subserves important roles in memory mechanisms, theta rhythm, and central cardiovascular regulation. In addition to its role in physiological processes, it is implicated in pathophysiological conditions such as Alzheimer's disease (AD). The objective of this thesis was to examine the actions of specific neurotransmitters and neuromodulators that are believed to mediate the hDBB's role in these physiological and pathophysiological conditions.

Glutamate is a major central excitatory neurotransmitter that is localized within the hDBB. Using an *in vitro* forebrain slice preparation, I provide evidence for the participation of ionotropic glutamate receptor subtypes in mediating fast excitatory synaptic transmission in this nucleus. Metabotropic glutamate receptors are also present presynaptically and attenuate the release of glutamate. Furthermore, an analysis of cable properties of these neurons indicates that they are electrically compact and thus possess features for the efficient relay of signals to distal sites.

I also characterized a role for the inhibitory amino acid, GABA, within the hDBB using a brain slice preparation. GABA<sub>A</sub>, GABA<sub>B</sub>, but not GABA<sub>C</sub> receptors were identified on hDBB neurons.GABA<sub>A</sub> receptors mediate inhibitory postsynaptic transmission, whereas GABA<sub>B</sub> receptors attenuate glutamate release presynaptically. In some cases, GABA<sub>B</sub> receptors also work synergistically with presynaptic metabotropic glutamate receptors to reduce the release of glutamate.

As the neuropeptide vasopressin (VP) has also been shown to modulate glutamate

are ally dissociated hDBB cells. VP application produced two distinct responses. In one group of cells, VP evoked a decrease in outward currents through a  $V_1$  receptor-mediated mechanism that is coupled to the  $I_C$  channel. In a second group, it increased outward current incough  $I_C$  channels via activation of  $V_2$  receptors.

Zinc (Zn<sup>++</sup>) subserves important physiological roles in the brain and has been implicated in neurodegeneration of the basal forebrain in AD. I studied Zn<sup>++</sup> modulation of intrinsic ionic conductances in hDBB neurons. Zn<sup>++</sup> influences transiently- and slowly-activating potassium conductances as well as calcium currents. Zn<sup>++</sup> also has the capacity to alter the excitability of hDBB neurons.

Neurotransmitter and peptidergic modulation of hDBB neurons may have important consequences for physiological processes and in the context of pathophysiology within this region.

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## List of Abbreviations

length constant	λ
dendrite-to soma-conductance ratio	ρ
4-aminopyridine	4-AP
amygdala	ACE
acetylcholinesterase	AchE
artificial cerebrospinal fluid	ACSF
Alzheimer's disease	AD
afterhyperpolarization	АНР
angiotensin II	AII
$\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionate	AMPA
action potential	AP
2-amino-3-phosphonopropionate	AP3
(-)2-amino-7-phosphonopentanoate	APV
basal forebrain	BF
bed nucleus of the stria terminalis	BST
cis-4-aminocrotonic acid	CACA
choline acetyltransferase	ChAT
specific membrane capacitance	$C_m$
6-cyano-7-nitroquinoxaline-2,3-dione	CNQX
central nervous system	CNS
charybdotoxin	CTX

diagonal band of Broca
horseradish peroxidase
dorsal raphe
excitatory amino acids
excitatory postsynaptic currents
excitatory postsynaptic potentials
fast postspike afterhyperpolarization
fimbria-fornix
follicle stimulating hormone
γ-aminobutyric acid
glutamate decarboxylase
input conductance
amount of current attenuation
diagonal band of Broca, horizontal limb
current-voltage
transiently activating K <sup>+</sup> currents
barium currents
calcium currents
delayed rectifier
calcium activated potassium currents
sodium currents
inhibitory postsynaptic currents
inhbitory postsynaptic potentials

inter-trial intervals	ITT
kainate	KA
electrotonic length	L
locus coeruleus	LC
luteinizing hormone	LH
luteinizing hormone releasing hormone	LHRH
Lucifer Yellow	LY
Manning Compound	MC
median eminence	ME
metabotropic glutamate receptors	mGluR
medial septum-diagonal band of Broca	MS-DBB
noradrenaline	NA
2,3-dihydroxy-6-nitro-7-sulfamoyl-benzo(f)quinoxaline	NBQX
nerve growth factor	NGF
N-methyl-D-aspartate	NMDA
nitric oxide	NO
low-affinity NGF receptors	p75-NGFr
perinuclear zone	PNZ
parvalbumin	PV
Specific membrane resistance	$R_m$
resting membrane potential	rmp
standard error of mean	s.e.m.
subfornical organ	SFO

hypothalamic supraoptic	SON
tetraethylammonium chloride	TEA
trans-1-aminocyclopentane-1,3-dicarboxylic acid	trans-ACPD
tetrodotoxin	TTX
half-activation voltage	$V_a$
diagonal band of Broca, vertical limb	vDBB
charging function	VrV
holding potential	$V_{\mathtt{h}}$ .
voltage at opposite end of the cable	$V_{\mathtt{L}}$
voltage at site of current injection	$V_o$
vasopressin	VP
whole cell recordings	WCR
zinc	Zn

--

## CHAPTER 1 GENERAL INTRODUCTION

## I. Overview

The horizontal limb of the diagonal band of Broca (hDBB) together with the vertical limb comprise the nucleus of the diagonal band of Broca (DBB), a conspicuous, highly integrated part of the limbic system within the basal forebrain. The work performed in this thesis was conducted entirely in the hDBB. The rationale for choosing to focus on the hDBB is founded on our current knowledge that it contains well-defined cell types, its projections have been well characterized, and it is known to participate in specific physiological processes. However, despite this, many fundamental questions regarding the biophysical profile of these cells and the actions of important neurotransmitters and neuromodulatory compounds have not yet been addressed. The goal of this thesis is to examine these issues.

The purpose of this chapter is to summarize the current state of knowledge concerning the hDBB with particular reference to the following aspects: (1) the neurochemical characteristics, (2) the afferent and efferent projections, (3) the physiological functions, and (4) the cellular physiology of hDBB neurons. While the focus of this thesis is the hDBB, it is recognized that the actions of this nucleus are intimately linked with the actions of the DBB as a whole. As a consequence, this chapter will describe our current understanding of the anatomy, physiology, and electrophysiology of the DBB with a specific focus on the hDBB where this information is available.

## II. A Brief History

The DBB was first described by Broca (1878) in a seminal study that formally identified several distinct nuclei withiin the forebrain. Surprisingly, despite its early

characterization, relatively few studies were conducted on the DBB. One of the earliest reports on this region was an anatomical study characterizing a projection from the hDBB to the olfactory cortex (Price and Powell, 1970). Subsequent reports examined the role of the DBB in the release of luteinizing hormone (Kubo et al., 1975) and mechanisms associated with thirst (Aghajanian and Davis, 1975). However, in the late 1970's and early 1980's, two discoveries marked a significant change in the level of interest in research related to DBB. The first was the observation that neurodegenerative diseases of memory such as Alzheimer's disease (AD) involved the degeneration of cholinergic neurons within the basal forebrain (Terry, 1978; Terry, 1983). The second was the discovery of new tracer molecules which greatly facilitated study of the chemical anatomy and connectivity of neurons within DBB (Heimer and RoBards, 1981). The first discovery gave researchers a reason to study the basal forebrain and the second gave researchers more refined anatomical tools to study this region. As a result, there has been a 100-fold increase in the number of publications on the DBB in the fifteen years after these two discoveries. One major consequence of the increased research concerning the DBB research was the realization of the complexity of this nucleus with respect to its cellular organization and role in a wide variety of physiological functions.

## III. Anatomy of the DBB

The DBB is a telencephalic structure lying dorsal to the anterior and medial regions of the corpus callosum. The anterior portion of the DBB is aligned with the onset of the anterior commissure and ends where the right and left anterior commissure combine at the

level of the optic chiasm. The DBB is a large structure that extends over 1.5mm (rostral to caudal) and has a conspicuous inverted "Y" shape. Harkmark et al. (1975) further subdivided the rat DBB into the vertical (vDBB) and the horizontal limbs (hDBB) where the vDBB comprises the dorsal "stalk" and the hDBB forms the two ventrally-located "arms" of the inverted "Y".

## IV. <u>Neurochemical characteristics of DBB neurons</u>

DBB neurons are heterogeneous in their neurotransmitter and neuropeptide content. The two principal cell populations in this region are the cholinergic and the  $\gamma$ -aminobutyric acid (GABA) neurons (Brashear et al., 1986). Both of these chemical phenotypes are represented as large and small diameter cell types. The large cells appear to serve as projection neurons whereas the smaller cells likely mediate the release of neurotransmitter locally.

## A. Acetylcholine

Within the DBB, it has been demonstrated that 34-45% of all neurons are cholinergic using staining methods for either choline acetyltransferase (ChAT) (Rye et al., 1984) or acetylcholinesterase (AChE) (Mesulam et al., 1983; Jakab and Leranth, 1995; Eckenstein and Sofroniew, 1983). The hDBB in particular is identified as having one of the highest concentrations of cholinergic-positive perikarya within the BF (Senut et al., 1989)

Ultrastructurally, two types of ChAT-immunoreactive neurons have been distinguished (Milner, 1991a). The first type are magnocellular (20-30µm) neurons which are round and contain lamellar bodies. Lamellar bodies are thought to regulate intracellular

calcium levels in metabolically active projection neurons (Palacios, 1990; Mesulam and Van Hoesen, 1976). The second type are parvocellular (15-20µm) neurons which are round and lack lamellar bodies. These smaller cholinergic neurons may release acetylcholine locally within the septal area (Metcalf et al., 1988).

The magnocellular cell population functions as projection neurons and serves as the principal source for the release of acetylcholine in CNS regions to which the DBB projects (Mesulam and Van Hoesen, 1976). Cholinergic DBB neurons exhibit dense projections to the olfactory cortex, the hippocampus, and several nuclei in the neocortex (Senut et al., 1989). As will be discussed later, these neurons are implicated in a diverse array of physiological functions including memory (Waite et al., 1994) and theta rhythm (Bland and Colom, 1993). Under pathophysiologic conditions, these cells are selectively destroyed in diseases of memory and cognition such as AD (Arendt et al., 1994).

## B. GABA

GABA-positive neurons constitute the second largest group of DBB cells (Panula et al., 1984; Onteniente et al., 1986; Brashear et al., 1986). Kiss et al. (1990b) estimated that 34% of all BF neurons were GABAergic as judged by immunohistochemistry for glutamate decarboxylase (GAD), an enzyme that synthesizes GABA (Kermer et al., 1995). GABAergic neurons are uniquely characterized by trigonal shaped nuclei (Dinopoulos et al., 1988); however, as with the cholinergic neurons, GABAergic cells are not uniform in size. On the basis of morphological data, GABAergic BF neurons are either large (>15μm) or small (<15μm) (Panula et al., 1984). It is postulated that the smaller neurons function as local interneurons whereas the larger cells act as projection neurons exerting inhibitory effects

outside of the BF (Gritti et al., 1993). Anterograde and retrograde tracer studies indicate that GABA-containing efferents from the DBB innervate the olfactory bulb (Kunze et al., 1991), the neocortex (Freund and Gulyas, 1991), and the hippocampus (Freund, 1989). These results suggest that the projection pattern of DBB GABAergic neurons mirrors that of the cholinergic hDBB cells.

One of the problems that has arisen regarding the immunocytochemistry of GABA is the need to use glutaraldehyde to optimally visualize GABA positive cells (Kiss et al., 1990). Glutaraldehyde creates a dark background on tissues making it difficult to visualize immunocytochemically GABA-stained cells. Kiss et al. (1990a, b) suggested that the Ca<sup>++</sup>-binding protein, parvalbumin (PV), was highly localized within GABA-positive neurons in the MS-DBB and that staining for PV could be used indirectly to visualize GABA neurons. However, this assertion is controversial and is disputed by Alonso et al. (1990) who claim that there is no correlation between PV and GABA neuronal distribution. Cholinergic DBB neurons, however, contain neither GABA (Kosaka et al., 1988) nor parvalbumin (Kiss et al., 1990a, b)

## C. Other chemical phenotypes

MS-DBB neurons contain a variety of other neuromodulatory compounds. These include galanin, luteinizing hormone releasing hormone (LHRH), nerve growth factor (NGF), nitric oxide (NO), and vasopressin. While they have been anatomically identified in these cell, their functional role is not well understood. One peptide which has recently become the focus of intense interest is galanin. It is present within the DBB in high concentrations (Evans et al., 1993) and is known to coexist within cholinergic magnocellular

cells in the rat MS-DBB (Melander et al., 1986). The co-localization of these two neurochemicals has led to the postulate that galanin may modulate cholinergic function. This hypothesis has been tested and confirmed by Fisone et al. (1987) who demonstrated that galanin inhibited the release of acetylcholine via a presynaptic mechanism.

## V. Afferent and Efferent Connections and Their Relation to Function

This section is divided into two segments. The first segment briefly describes the connectivity of the DBB and will focus on the principal projections of the DBB; it is not meant to be exhaustive. As this thesis examines the actions of specific neurotransmitters and neuromodulators on hDBB neurons, the chemical identity of afferent inputs will be discussed in greater detail. The second segment examines the major physiological roles subserved by the DBB in the context of the anatomical connectivity discussed in the previous section.

## A. Anatomical Connectivity of the DBB

## 1. Efferent Connections of the DBB

Anatomical and electrophysiological studies have identified dense efferent projections originating from the DBB to the hippocampus, olfactory bulb, neocortex, hypothalamic supraoptic (SON) and paraventricular (PVN) nuclei, amygdala (ACE), dorsal raphe (DR), locus coeruleus (LC) (Meibach and Siegel, 1977; Luiten et al., 1985; Luiten et al., 1987; Woolf et al., 1986; Gaykema et al., 1990; Tomimoto et al., 1987; Caffe et al., 1989; Donevan and Ferguson, 1988; Tribollet et al., 1985; Garris, 1979; Jhamandas et al., 1989; Kalen and Wiklund, 1989; Behzadi et al., 1990)

Efferent projections from the DBB to the cortical hippocampus, olfactory bulb, and

neocortex have been studied in great detail (Gaykema et al., 1990). Both cholinergic and GABAergic DBB neurons project to these cortical areas (Mesulam and Van Hoesen, 1976; Rye et al., 1984; Kunze et al., 1991; Crutcher et al., 1983). Studies using microinjection of cholinergic agonists and antagonists suggest that acetylcholine exerts excitatory effects on target neurons (Bland and Colom, 1993), whereas GABA mediates an inhibitory input to the target cells (Freund and Antal, 1988; Miettinen and Freund, 1992). The fact that the DBB is the origin of both excitatory and inhibitory projections is suggestive of an important modulatory role mediated by the DBB in these sites. The functional relevance of this innervation will be discussed in sections V.B.3, 4.

The hypothalamic SON and PVN synthesize both oxytocin and vasopressin, neuropeptides whose actions as neurohormones in the periphery are well documented (Kovacs and Versteeg, 1993; Oka and Yoshida, 1985). In addition to their peripheral actions, these neuropeptides also play critical roles in the regulation of neuronal behavior (Pittman et al., 1988; Landgraf and Ramirez, 1991). The DBB projections to these nuclei influence the release of vasopressin and may serve crucial roles in both central cardiovascular regulation and drinking behavior (Discussed in sections V.B.1, 2)

Meibach and Siegal (1977) described a "massive projection" from the DBB to ACE. The ACE is an important component of the limbic system and is associated with a myriad of physiological functions including memory mechanisms, reproduction, and control of blood pressure (Alheid et al., 1995; Davis et al., 1994; Numan, 1994; McGaugh et al., 1993; Sanders and Shekhar, 1991). It is postulated that the DBB projection to ACE maybe involved in learning behavior and blood pressure regulation (Discussed in section B.V).

Efferent projections from the DBB to the DR and LC in the brainstem have also been identified (Kalivas et al., 1985; Lynn et al., 1991; Lawson and Bland, 1993). These target regions contain high concentrations of biogenic amines. Specifically, the DR contains serotonin and the LC contains noradrenaline (Willis and Smith, 1985). While the function of these efferent projections is unclear, it may be related to autonomic cardiovascular regulation of which all three regions are involved (Discussed in section V.B.1).

## 2. Afferent Connections of the DBB

The DBB receives input from diverse regions in the CNS. However, the regions which provide the most dense innervation to the DBB are also recipients of DBB efferents. This reciprocal connectivity may have important implications in the context of specific physiologic processes. Anatomical data indicate that afferent input to the DBB comes from cortical regions such as the hippocampus and piriform cortex, medial hypothalamic nuclei, the ACE and the brainstem LC and DR.

Autoradiographic studies (Swanson and Cowan, 1977) as well as those utilizing anterograde tracer techniques (Toth et al., 1993; Gaykema et al., 1991) have shown that the hippocampal formation projects to the medial septum-DBB (MS-DBB) complex. These projections are both excitatory and inhibitory. The transmitter in the former projection is postulated to be glutamate (Lamour et al., 1985; Walaas and Fonnum, 1993) whereas the latter is GABA (Leranth and Frotscher, 1989). Toth et al. (1993) demonstrated that the vast majority of fibers from the hippocampus innervating the MS-DBB are GABAergic. These afferent fibers innervate both parvalbumin-containing and cholinergic MS-DBB neurons which in turn provide reciprocal projections to the hippocampus (Jakab and Leranth, 1995).

These findings provide evidence for the existence of a direct septo-hippocampo-septal loop. Fibers innervating the DBB originating from allocortical and neocortical regions have also been identified. Alonso and Kohler (1984) have identified fibers originating from the entorhinal cortex and terminating in the DBB. Furthermore, Zaborszky et al. (1991) have described a restricted neocortical input to the DBB originating primarily in the orbital areas, insular cortex, and the piriform cortex. The chemical identity of these inputs is not yet clear, however, both glutamate and GABA are considered to be prime candidates to mediate these excitatory and inhibitory inputs, respectively. Importantly, the specific receptor subtypes which mediate glutamate and GABA synaptic transmission within the DBB have not been characterized.

The DBB receives input from virtually all parts of the hypothalamus (Simerly and Swanson, 1988; Berk and Finkelstein, 1981), particularly regions within the medial hypothalamic areas such as the PVN (Cullinan and Zaborszky, 1991). The DBB also receives dense afferent inputs from the bed nucleus of the stria terminalis (BST) and the ACE (Pare and Smith, 1994; Wilkinson and Pittman, 1995). The unifying characteristic of the inputs to the DBB from these diverse regions is that the peptide vasopressin (VP) is contained in these projections (Caffe et al., 1989; Ulfig et al., 1993; Lakhdar-Ghazal et al., 1995). A study by Insel et al. (1994) revealed that the highest concentration of radiolabelled VP binding was in the DBB. Functionally, VP modulation of DBB neurons may underlie autonomic cardiovascular regulation and memory/learning mechanisms. As yet, however, the mechanism of action of VP on DBB cells remains unclear (See section V.B.1, 2, 4)

Biogenic amine-containing afferents of moderate to high density from the brainstem

LC (Kalivas et al., 1985) and DR (Semba et al., 1988) innervate the DBB. The chemical identity of the inputs from the LC and DR are likely noradrenaline, and serotonin, respectively. Functionally, these inputs may mediate actions associated with central cardiovascular functions (Section V.B.1).

## B. Physiological Functions Mediated by the DBB

## 1. Central cardiovascular regulation

As discussed previously, the neurohormone VP is recognized as a potent pressor agent and antidiuretic in the periphery (Jard, 1983). The VP used to mediate these actions is synthesized primarily in two nuclei in the hypothalamus: the SON and the PVN (Jard, 1983). Both nuclei project to the neurohypophysis where they release this neurohormone into the blood. Clearly, the extrinsic synaptic modulation of neurons within the SON and PVN represents a potent mechanism whereby arterial blood pressure can be controlled.

Considerable evidence has accumulated to suggest that the MS-DBB modulates the activity of VP neurons within the SON and thus subserves an important role in central cardiovascular control of blood pressure (Jhamandas, 1991). Extracellular *in vivo* recordings from the SON reveal that stimulation of the MS-DBB reversibly inhibits 83% of the VP neurons in this nucleus (Jhamandas and Renaud, 1986a,b, 1987a). Furthermore, systemic intravenous administration of the α-adrenergic agonist metaraminol evokes baroreceptor activation that is coincident to a decrease in the firing of VP neurons in the SON. Metaraminol-induced baroreceptor activation also increases the firing of 50% of all DBB neurons that project to the SON. Therefore, it is postulated that baroreceptor activation which inhibits VP neuron activity may result from the activation of DBB neurons. (Renaud

et al., 1988).

Anatomical studies using retrogradely transported latex microspheres and the anterograde tracer horseradish peroxidase (HRP) indicate that the hDBB projects indirectly to the SON via a region adjacent to the SON called the perinuclear zone (PNZ) (Jhamandas et al., 1989). The PNZ, which contains GABA-positive perikarya (Herbison, 1994), in turn projects to the SON (Jhamandas et al., 1989). Local application of the GABA<sub>A</sub> antagonist bicuculline into the SON reversibly abolishes the inhibition of VP neurons evoked by DBB stimulation (Jhamandas and Renaud, 1987a). In addition, application of bicuculline into the SON also blocks the metaraminol-induced decrease in VP activity (Jhamandas and Renaud, 1987a). It has therefore been postulated that the DBB is the origin of an excitatory projection to GABAergic neurons within the PNZ which then serve to inhibit SON neurons (Jhamandas and Renaud, 1987b). Also, a direct GABAergic projection from the MS-DBB to the SON may also inhibit activity in VP neurons (Jhamandas, 1987c).

The question that has arisen from these studies concerns the identity of the neurotransmitter that activates DBB neurons in response to changes in blood pressure. The principal candidates that have emerged are the catecholamines. Catecholaminergic inputs from the LC (NA)(Kalivas et al., 1985) and the DR (serotonin) (Kalivas et al., 1985; Lynn et al., 1991) to the DBB have been described and ultrastructural studies demonstrate that catecholamine containing fibers synapse onto neurons within the hDBB (Milner, 1991b).

Particular attention has been focused on the action of NA within the DBB. Injection of noradrenaline (NA) into the DBB potently inhibits the activity of VP cells within the SON (Curningham et al., 1993). Furthermore, Cunningham et al. (1992) observed that injection

of the NA uptake inhibitor desipramine into the DBB prevented metaraminol-induced increases in blood pressure from changing the firing of VP neurons (92% did *not* respond) whereas in control animals, peripheral injections of metaraminol decreased the firing of 100% of VP neurons. From these results, they postulated that the DBB may receive catecholaminergic inputs from the pons and brainstem which in turn regulates the DBB's ability to modulate VP activity.

#### 2. Fluid Balance

The DBB together with the nucleus medianus, the median preoptic area and the organ vasculosum lamina terminalis (OVLT) comprise what is referred to as the AV3V region (Jhamandas, 1987c). The AV3V region forms a part of the central complex for the control of water intake (Wishart and Mogenson, 1970). Lesions of the AV3V region alter fluid balance and drinking behavior, and influence VP release (Mangiapane et al., 1983; Honda et al., 1987). The DBB itself plays a large role in drinking behavior. Electrical stimulation of the DBB reduces drinking in rodents (Iovino et al., 1983; Iovino and Steardo, 1985; Wishart and Mogenson, 1970). Furthermore, i.v. administration of the peptide angiotensin II (AII) (Donevan and Ferguson, 1988) or metaraminol (Jhamandas and Renaud, 1986b) increases the firing rate of DBB neurons projecting to the hypothalamic PVN resulting in a reduction of VP release and a decrease in drinking.

Lesions of the MS or DBB evoke polydipsia, polyuria, urine hypoosmolality (Iovino et al., 1983; Sullivan et al., 1991). In a manner analogous to lesions of the DBB, increased blood osmolarity (Hubbard et al., 1985; De Castro and Taggart, 1979) or hemorrhage (Pittman and Bagdan, 1992) also reduce the activity of DBB neurons resulting in an increase

in VP release and drinking. These studies suggest a close link between the DBB regulation of drinking and VP release.

Although many brain regions act cooperatively to regulate VP production and release, the DBB is an important structure in this context. In the absence of regulatory input from the DBB (e.g. DBB lesion, elevated blood osmolarity, haemorrhage), VP neurons in the hypothalamus are disinhibited, which facilitates the release VP into the blood. Since VP increases blood pressure and promotes water retention, stimulation of drinking also serves a complimentary role to restore intravascular volume. Alternatively, stimulation of the DBB either by elevating blood pressure, increasing plasma angiotensin (AII), or i.v. injections of metaraminol decreases the activity of VP neurons therefore reducing the release of VP into the blood.

Interestingly, Donevan and Ferguson (1988) report that animals with DBB lesion show increased drinking but a <u>reduced</u> level of VP over an extended period of time. In these same animals, the typical increase in VP release in response to systemic administration of AII is also blunted. This observation suggests that a compensatory mechanism is turned on in the absence of the DBB to regulate VP levels in the blood. The fact that the animals remain polydipsic suggests that the compensatory mechanism modulating systemic/plasma VP levels does not modulate drinking behavior over the long term.

It is possible that VP in central pathways may participate in a feedback loop whereby this peptide modulates the activity of DBB neurons which in turn influences the release of VP from hypothalamic nuclei. Ulfig et al. (1993) and Caffe et al. (1989) reported that dense VP-positive fibers were present in the DBB. There are several possible sources of VP to the

DBB. Electrophysiological stimulation of the hypothalamic PVN increases VP release in the DBB (Neumann et al., 1988). Furthermore, immunocytochemical studies indicate that VP-positive fibers in the DBB originate from the subfornical organ (SFO) (Summy-Long et al., 1985) which is a circumventricular organ and the BST (Caffe et al., 1989; Wilkinson and Pittman, 1995). Patch clamp recordings from SFO neurons indicate that external application of VP modulates the firing of these cells. Jurzak (1995) extrapolated from these results that VP SFO neurons likely respond to changes in VP concentration in the blood. It is also known that the SFO sends a principally excitatory projection to DBB cells (Lind et al., 1982; Miselis, 1981) antidromically identified as projecting to the SON (Ferguson et al., 1985). Neurons in the BST, antidromically identified as projecting to the DBB, respond to changes in blood pressure (Jhamandas and Renaud, 1987a). Collectively, these results suggest that specific nuclei, which contain VP-positive perikarya, and that respond to changes in blood pressure (SFO, PVN, BST) may provide vasopressinergic input to the DBB. VP would modulate the activity of DBB neurons which in turn would influence the release of VP from the hypothalamus. One problem with such a postulate, however, is the lack of data concerning the actions of VP on DBB neurons.

#### 3. Theta Rhythm

Theta rhythm is a synchronized, low frequency (4-12Hz) oscillation that is recorded in limbic structures (Leung et al., 1982). It represents the largest amplitude rhythmical activity generated by the mammalian brain (2mV amplitude) (Bland and Colom, 1993). Although its functional role is not entirely clear, Bland (1986) postulates that systems involved in theta rhythm provide voluntary motor systems with continually updated feedback

on their own performance relative to changing sensory conditions, particularly with respect to the intensity with which motor programs are initiated and maintained.

Petsche et al. (1962) were one of the first groups to suggest that the MS-DBB paces hippocampal theta rhythm as they observed that ablation of the MS-DBB eliminated theta rhythm. Anatomical studies reveal a distinctive topographical projection from the MS-DBB to the hippocampus. The dentate hillus appears to receive input only from the MS and the CA1 region receives input principally from the hDBB with a lesser input from the vDBB (Easaw et al., 1992; Yoshida and Oka, 1990; Kiss et al., 1990b). Since theta rhythm is most often recorded in the CA1 region, the hDBB and vDBB have been the focus of considerable attention regarding this rhythm (Sainsbury and Bland, 1981; Oka and Yoshida, 1985). Double immunocytochemical staining revealed that approximately 66% of the DBB neurons projecting to the dorsal hippocampus were cholinergic and the rest stained for PV, the calcium-binding protein that may be colocalized in GABA neurons (Kiss et al., 1990a,b). Cholinergic DBB neurons excite hippocampal pyramidal neurons (Bland and Colom, 1993) whereas GABAergic projection neurons within the DBB inhibit hippocampal interneurons (Freund and Antal, 1988; Miettinen and Freund, 1992). Bland and Colom (1993) hypothesize that as theta frequencies fall below 5 Hz, hippocampal interneurons are disinhibited due to reduced inhibitory input from the MS-DBB. As a consequence, the interneurons exert a more pronounced inhibition of theta-on cells thereby reducing their activity. As theta frequencies rise above 5Hz, the contribution of cholinergic-mediated excitatory postsynaptic potentials (EPSPs) to pyramidal cells begins to dominate. This reinforces the importance of a balance between septal cholinergic and GABAergic inputs in

modulating theta activity.

## 4. Memory and Learning

The DBB is also known for its role in memory and learning mechanisms. Evidence accumulated thus far suggests that the DBB projection to both the hippocampus and the cortex subserves important roles in memory and learning processes. DBB neurons project to the hippocampus via the fimbria-fornix (ff). Lesions of the ff or the MS consistently interfere with the rats ability to learn and recall certain maze tasks (Murtha and Pappas, 1994; Yee and Rawlins, 1994). Furthermore, Crutcher et al. (1983) observed that lesions of the DBB or hippocampus induce parallel patterns of memory impairments and a positive relationship exists between the lesion size in the DBB and the degree of memory impairment.

Kievit and Kuypers (1975) first identified a projection from the DBB to the neocortex. Subsequent studies by Gaykema et al. (1990) and Senut et al. (1989) revealed that the hDBB, in particular, projects densely to the entire neocortex. Both GABAergic and cholinergic projection neurons in the DBB participate in this projection (Mesulam and Van Hoesen, 1976; Rye et al., 1984; Gritti et al., 1993). Approximately 70% of postsynaptic neocortical targets of GABAergic basal forebrain neurons are also GABAergic (Freund and Gulyas, 1991). Inhibition of cortical projection cells evoked by acetylcholine can be blocked by both bicuculline and muscarinic antagonists, indicating that inhibition by acetylcholine is caused by activation of local GABAergic interneurons (McCormick and Prince, 1985, 1986; Muller and Singer, 1989). Based on these observations, it is postulated that GABAergic basal forebrain neurons innervate cortical interneurons resulting in a disinhibition of neocortical pyramidal cells. On the other hand, acetylcholine released from

terminals of DBB neurons excites the cortical interneurons which leads to an inhibition of principal cell activity.

The hDBB also projects to the olfactory bulb, an allocortical region of the brain that comprises a part of the paleocortex (Martin, 1989). The afferents to the olfactory bulb course through the olfactory peduncle (Gaykema et al., 1990). Interestingly, DBB innervation of the olfactory bulb is conserved in all mammalian species (Nickell and Shipley, 1988) suggesting that this connection may subserve a critical, non-redundant function in all mammals. Physiologically, the hDBB projection to the olfactory bulb may subserve important roles in associative memory processes, a type of memory where an association is made between patterned stimuli and a reward. Roman et al. (1993) lesioned the hDBB in rats and trained them in a successive olfactory cue discrimination test using different intertrial intervals (ITT). The rats learned the task but showed significant impairment in the performance of odor-reward associations when ITTs are greater than 15 seconds. Based on this observation, it was concluded that the hDBB is an essential relay between the hippocampal system and the olfactory complex. The hDBB allows associative memory storage when a limited duration short term memory system located elsewhere is overloaded.

The cholinergic basal forebrain system which includes the DBB has been implicated in mechanisms of learning and memory (Kesner, 1986). The majority of studies examining this have employed or studied the effects of DBB lesion on behavior. In rodents, lesion of the septal area results in severe impairments in spatial navigation (Kesner et al., 1992) and attentional processing (Dunnett and Isacson, 1989; Robbins et al., 1989). One of the most commonly used measures of spatial navigation is the Morris water maze which is essentially

a small tub filled with an opaque solution with a small platform placed just under the surface of the solution in a fixed position. An animal is placed in the solution and is timed to determine how long it takes to find the platform. In control animals, the time to find the platform decreases with repetition suggesting that they have learned the location of the platform. However, animals with lesions in the DBB show significant impairments in their ability to find the platform suggesting that the absence of DBB neurons impairs their ability to spatially navigate (Wenk et al., 1987). A study by Fischer et al. (1991a) confirmed this postulate. Their simple experiment was to examine the ability of rats of different ages to navigate the Morris water maze. They observed a progressive decrease in spatial navigation with age. Anatomical study of the aged rats indicated a significant reduction in the number of ChAT-positive cells in the DBB. Thus, this result extends the observations of lesion studies and specifically suggests that cholinergic cells in the DBB mediate memory and learning. Fischer's observations confirmed for the first time that decreases in the ChATcontaining cells occur in parallel with memory deficits over time. In the rat, the DBB serves an important role in spatial memory. However, in humans, the type of memory affected by the loss of the DBB may be different. Morris et al. (1992) observed that a human with a discrete lesion of the right DBB had persistent global anterograde and retrograde amnesia.

The chemical neuroanatomy of the basal forebrain and its termination sites have attracted much interest because of the involvement of cholinergic cells in memory and learning as well as in neurological diseases such as AD. AD is characterized by a massive loss of acetylcholine containing neurons in the basal forebrain and therefore a reduction in the cholinergic innervation of cortical and limbic areas. According to a study of AChE and

DBB in postmortem AD brains, the most severely afflicted regions were the MS which lost 50% and the DBB which lost 65% of their cholinergic cells, respectively (Henke and Lang, 1983). Furthermore, brains afflicted with AD show neurofibrillar tangles and senile plaques distributed throughout the neocortex and hippocampus which, as discussed previously, are targets of cholinergic DBB neurons (Carlsen et al., 1985; McKinney et al., 1983; Bartus et al., 1982; Coyle et al., 1983; Arendt et al., 1985).

Several studies have attempted to determine what causes the degeneration of cholinergic neurons within the BF. While no single theory has been proven to be correct, three hypotheses have come to the forefront: excitotoxic death of cholinergic neurons, the actions of specific heavy metals within the brain, and the loss of specific regulatory peptides in the DBB.

The first hypothesis is based on the consistent observation that high concentrations of glutamate can kill cells (Thomas, 1995; Rothman and Olney, 1995). One of the mechanisms of this glutamate mediated excitotoxicity is thought to be due to the excessive influx of Ca<sup>++</sup> via glutamate channels or the activation of voltage-gated Ca<sup>++</sup> channels. Excessive Ca <sup>++</sup> influx may activate several processes that promote cell death (Mody and MacDonald, 1995). Excitotoxicity is believed to mediate the cell death observed in numerous neuropathological conditions such as Parkinsons (Schulz and Beal, 1994; Dykens, 1994), Huntington's (Shaw, 1992; Beal, 1994), and stroke (Lustig et al., 1992; Beal, 1992). Numerous studies have suggested a similar link exists between excitotoxicity and AD (Scott et al., 1995; Smith-Swintosky and Mattson, 1994; Barger et al., 1993; Shaw, 1992). Page and Everitt (1995) observed that cholinergic basal forebrain cells possess high concentrations

of AMPA receptors. From these results, they infer that excessive activation of these receptors may depolarize neurons sufficiently to remove Mg<sup>++</sup> blockade of NMDA channels and allow influx of Ca<sup>++</sup> into the cells. Alternatively, excessive activation of AMPA channels devoid of the GluR2 subunit would also allow Ca<sup>++</sup> levels to rise to toxic levels intracellularly. As a result, the use of selective glutamatergic antagonists may be an effective therapeutic intervention for patients with AD (Lawlor and Davis, 1992). A possible reason for the onset of excitotoxicity may be the loss of intrinsic inhibitory mechanisms that keep excitation in check. The brains of patients with AD show significant reductions in GABA and GABA receptor levels (Mohanakrishnan et al., 1995; Sasaki et al., 1986; Young, 1987). A dimunition of GABA-mediated inhibition would consequently amplify the actions of glutamate on these cells resulting in excitotoxic death.

A second hypothesis relates to the actions of certain metals within the brains of AD patients (Olanow and Arendash, 1994). One metal which has been the focus of much attention is zinc (Zn<sup>++</sup>). Zn<sup>++</sup> is one of the most abundant divalent cations in the brain (Ebadi et al., 1995). This metal subserves integral roles in several enzymatic processes (Wallwork, 1987). It is also a potent neuromodulator as demonstrated by its ability to regulate NMDA (Smart et al., 1994; Peters et al., 1987; Mayer and Vyklicky, 1989) and GABA (Buhl et al., 1996; Smart, 1992a,b; Narahashi et al., 1994) receptors as well as voltage-gated Na<sup>+</sup> (Gilly and Armstrong, 1982), K<sup>+</sup> (Talukder and Harrison, 1995; Harrison and Gibbons, 1994), and Ca<sup>++</sup> (Busselberg et al., 1991, 1992) channels. However, when released in excessive amounts, Zn<sup>++</sup> is highly neurotoxic (Koh and Choi, 1988; Duncan et al., 1992; Choi et al., 1988). This metal is principally localized in glutamate cells (Moos, 1993; Beaulieu et al.,

1992) and, in some cases, potentiates the excitotoxic effects of glutamatergic agonists on neurons (Weiss et al., 1993). Furthermore, Zn<sup>++</sup> levels are significantly reduced in brains from human patients with AD (Constantinidis, 1991). The loss of Zn<sup>++</sup> from these brains is postulated to arise consequent to excessive stimulation resulting in the depletion of Zn<sup>++</sup> containing terminals (Pohle and Rauca, 1994). Zn<sup>++</sup> may exert excitotoxic actions on hDBB neurons and therefore may play a significant role in the etiology of AD.

Finally, the role of two peptides has been called into question regarding the etiology of AD. The first is VP. Injection of VP into cerebral ventricles has been demonstrated to enhance mechanisms associated with learning and memory (DeWeid, 1971). Aged rats which typically show memory deficits (Fischer et al., 1987) also possess significantly decreased concentrations of VP-positive fibers within the DBB (Fliers et al., 1985). This correlation has led to the suggestion that reductions in VP inputs to the DBB may be associated with neurodegenerative impairments of memory such as AD (Fliers et al., 1985). In fact, desaminoarginine VP has been used as a therapeutic in patients who show memory loss specifically attributed to lesions of the DBB (Phillips et al., 1987).

Another peptide that has been implicated in neurodegenerative diseases of metnory is NGF and its actions at p75-NGFr. The MS-DBB has one of the highest concentrations of p75-NGFr in the brain (Woolf et al., 1989). Culture studies of adult septal neurons indicate that NGF can rescue neurons that would otherwise die after axotomy of the septohippocampal projection (Hefti, 1986; Kromer, 1987; Lapchak and Hefti, 1991; Montero and Hefti, 1988) and partially ameliorates age-related cholinergic neuronal atrophy (Fischer et al., 1987, 1991a, b). Minger and Davies (1992a,b) examined the hypothesis that the loss

of NGF releasing neurons in the cortex and hippocampus may result in the death of cholinergic DBB cells. They ablated cortical neurons using methyl-azoxymethanol acetate but found no degeneration of basal forebrain cholinergic neurons. This observation indicated that cortically derived trophic factor is unnecessary for the survival of these cells. Furthermore, NGF-mRNA and NGF-like immunoreactivity have been identified in DBB neurons indicating that NGF may be an intrinsic trophic factor for these neurons (Gibbs et al., 1994; Jakab and Leranth, 1995; Conner et al., 1992). Therefore, the release of NGF from target sites may not be as important as once believed.

# VI. Cellular Properties

Only within the last decade has there been a concerted effort to examine the intracellular properties of DBB neurons (Griffith and Mathews, 1986). Griffith's (1988) seminal study in guinea pig electrophysiologically characterized three different cell types in this region. The identifying feature of the first cell type (SAHP) was a slow afterhyperpolarization (AHP) (duration-600ms) with an amplitude of 10-20mV. The null potential of the AHP was approximately -90mV and shifted by 25mV in 9mM KCl, a value closely predicted for a potassium (K+) conductance. The AHP was reversibly blocked by cadmium (Cd++) suggesting the AHP was mediated by a calcium Ca++-activated K+ conductance. The SAHP cell type comprised 40% of all cells recorded. The second cell type identified was called FAHP because the AHP duration of these cells is faster (duration 5-50ms) and the amplitude is smaller (5-10mV) compared to SAHP cells. The FAHP cell type composed 53% of the cells recorded. The final cell type identified in this study was

observed infrequently (7%) and fired in a burst pattern. Cells were filled with Lucifer Yellow and immunocytochemically stained for AChE. Only the SAHP neurons stained for AChE suggesting that these are cholinergic cells and that FAHP neurons represent a non-cholinergic, likely GABAergic cell population (Griffith and Mathews, 1986; Griffith, 1988). These observations corroborate the results of Markram and Segal (Markram and Segal, 1990) who identified neurons resembling Griffith's SAHP and FAHP cell types in a slice preparation of rat DBB.

Few studies have examined intrinsic voltage-activated and ligand-activated currents in these neurons. Griffith and Sim (1990) characterized a transient outward current (Acurrent) in these cells that could be blocked by 100-300μM 4-aminopyridine. Also, Ca<sup>11</sup> currents have been studied in a preparation of acutely dissociated MS-DBB cells (Griffith et al., 1994). Large acutely dissociated cells (20-30μm) were postulated to represent to the magnocellular cholinergic cells. These larger neurons possessed both high voltage-activated and low voltage-activated Ca<sup>11</sup> currents. In contrast, the smaller neurons (10-15μm) which are thought to represent the non-cholinergic population only expressed high voltage-activated currents.

Frye et al. (1994) have examined the interactions of ethanol and GABA<sub>A</sub>-activated current in acutely dissociated adult MS-DBB neurons as it is believed that these cells may be particularly sensitive to alcohol. CNS depressants such as barbiturates and benzodiazepines exert their effects by allosterically stimulating GABA<sub>A</sub> receptors (Sigel et al., 1990). Ethanol elicits many CNS depressant actions similar to those of barbiturates and benzodiazepines and can also enhance GABA<sub>A</sub> receptor activity (Deitrich et al., 1989). It

is observed that the ethanol sensitivity of GABA<sub>A</sub> receptors on DBB cells varies considerably depending on the strain of rat used. In Sprague Dawley rats, GABA<sub>A</sub> currents were mostly insensitive to external applications of 3-300mM ethanol.

# VII. Rationale and Objectives

The goals of this thesis were two-fold. The first was to gain insight into the actions of specific neurotransmitter and neuromodulatory agents on hDBB neurons. Since the hDBB participates in several physiological processes, it is important to understand how certain neurochemicals modulate the behavior of neurons in this region and therefore regulate their participation in these processes. In order to achieve this goal, I utilized the whole cell patch clamp technique to record from both rat forebrain slices which contained the hDBB and acutely dissociated hDBB neurons. Second, a detailed biophysical analysis of hDBB neurons was conducted to understand the mechanism of information transfer of these cells.

hDBB neurons are recipients of a wide array of chemical inputs. Functionally, these inputs are likely associated with memory/learning mechanisms, theta rhythm, and central autonomic responses. As discussed previously, these cells receive glutamatergic inputs principally from allocortical and neocortical regions. However, the nature and extent of glutamate's participation in neurotransmission in this region remains unclear. I examined the hypothesis that glutamate modulates excitatory synaptic transmission at both pre- and postsynaptic levels. The data presented in Chapter 3 describes the role of specific glutamate receptor subtypes in excitatory synaptic transmission. Furthermore, I characterized certain biophysical properties in order to understand how hDBB neurons may integrate synaptic

information and transmit inputs to target brain regions. This study was conducted in an *in vitro* rat slice preparation.

GABAergic innervation of the hDBB likely originates from allo- and neocortical sites. These inputs may underlie the hDBB's participation in memory and in theta rhythm. Also, the conspicuous loss of GABA and GABA receptors in AD may be associated with the onset of excitotoxicity in this region. In Chapter 4, the role of GABA-mediated inhibition of hDBB cells was examined in the forebrain slice preparation. The actions of GABA<sub>A</sub>, GABA<sub>B</sub>, and GABA <sub>C</sub> receptors on pre- and postsynaptic membranes as well as their contribution to inhibitory synaptic transmission are described.

The neuropeptide, VP, is found in fibers which densely innervate the hDBB (Ulfig et al., 1993; Caffe et al., 1989). The sources of VP appears to principally be brain regions that are involved in blood pressure regulation. Thus, this peptide may underlic the hDBB's participation in central cardiovascular activity. Also, VP is observed to enhance memory in rodents and may mediate mechanisms associated with memory and learning. A preliminary report by van Eerdenburg and Pittman (1991) has suggested that VP may modulate the actions of glutamate on MS-DBB neurons. However, the effect of specific actions of VP on hDBB neurons has not yet been addressed. The actions of this peptide were initially examined on cells in the rat brain slice. Based on our findings of a dual action of VP on hDBB neurons, we hypothesized that V<sub>1</sub> or V<sub>2</sub> receptors could mediate these actions. A comprehensive pharmacological analysis was then conducted in an acutely dissociated hDBB cell preparation to study the specific ionic conductances and receptors underlying VP's actions in the hDBB. Chapter 5 presents our observations of VP modulation of hDBB cells.

The whole cell patch clamp method was utilized in this study.

As discussed previously, the hDBB is one of the regions most severely affected in diseases of cognition such as AD. One of the principle hypotheses to explain the etiology of this disease centers upon the loss of Zn<sup>++</sup> in the brain. Since Zn<sup>++</sup> is known to modulate the neuronal behavior by specific actions upon various ion channels, I endeavored to examine the actions of this divalent cation on voltage-gated ion channels. The work on Zn<sup>++</sup> was conducted in both the slice and the acutely dissociated cell preparations. These findings and their implications are discussed in Chapter 6.

The final chapter of this thesis summarizes the results of this study and examine them in the context of the specific physiological roles mediated by the hDBB. In addition, future studies which will need to be conducted as a result of the conclusions from this thesis will be discussed.

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# **CHAPTER 2**

# **METHODS AND MATERIALS**

# Methods and Materials for Recording from Rat Brain Slices

# Dissection

The dissection utilized for this study is similar to the method described previously (Collingridge and Singer, 1990; Zidichouski et al., in press). In brief, male Sprague-Dawley rats (100-200g) were decapitated, their brains quickly excised and placed in ice cold artificial cerebrospinal fluid (ACSF)(126mM NaCl, 2.5mM KCl, 1.2mM MgCl<sub>2</sub>· 6H<sub>2</sub>O, 1.2mM NaH<sub>2</sub>PO<sub>4</sub>, 2.4mM CaCl<sub>2</sub>· 6H<sub>2</sub>O, 25mM NaHCO<sub>3</sub>, 11mM Glucose). For studies examining NMDA receptor activation, MgCl<sub>2</sub> was omitted from the ACSF solution. The brain was trimmed, glued to a plastic block with cyanoacrylate glue, and 400μm coronal slices containing the DBB were cut using a Vibratome (Series 1000). The slices were placed into small oxygenated (95% O<sub>2</sub>, 5% CO<sub>2</sub>) vials containing ACSF at 30-32°C. Sections were cropped to remove extraneous tissue around the DBB and the tissue was mounted into the recording chamber where it was perfused with ACSF (3mL/min).

# Electrophysiological Recording

Electrophysiological recordings were made from cells using either the whole cell patch clamp or the perforated patch clamp techniques. Cells were recorded using either current clamp or voltage clamp configurations. The internal pipette solution was composed of the following (mM): K-gluconate 140, EGTA 10, HEPES 40, MgCl<sub>2</sub> 3.5, Na-ATP 2.2, Na-GTP 0.3. The pH was adjusted to 7.2 with 1M KOH. Patch clamp electrodes (World Precision Instruments - Thin Wall with Filament, 1.5mm) were pulled with a Sutter Instrument (Model P-87) puller to yield electrodes with resistances of 4-8MΩ. Recordings were made from the basal-medial portion of the hDBB where seals of 1GΩ or greater were

obtained using a water-driven Narishige micromanipulator (WR-89 3-D Aqua Purificate). Using an Axoclamp 2A (Axon Instruments) amplifier, cells were initially acquired in current clamp mode and then switched to continuous voltage clamp mode where cells were typically held at approximately -80mV, the typical resting membrane potential (rmp) observed when cells were acquired. The measurement of membrane potential was corrected for liquid junction potential. Recordings were made at a bandwidth of 30kHz and data was filtered using the filtering programs in pClamp version 5.7 (Axon Instruments). Bipolar electrodes (stimulus of 200µs duration @ 2Hz. range 10-70V) placed in the ventromedial aspect of the hDBB were used to evoke synaptic currents or potentials. Pharmacological characterization of these synaptic inputs was carried out by application of specific glutamate receptor agonists and antagonists (see "Drugs Used" below).

Perforated patch clamp recordings were also performed on hDBB cells using the antibiotic Amphotericin B (450µg/mL) which was added to the internal patch solution.

# Data Acquisition and Analysis

Cells with action potential heights less than 50mV or rmp less than 60mV were not analyzed. Additional analysis was performed using Quattro Pro For Windows (ver 1.0, 6.0), Origin (ver. 2.5), and Axum (version 3). All data were analyzed on a 486 IBM personal computer.

# Drugs Used

All drugs used in this study were applied to cells via bath perfusion using a four-way valve delivery system.

# Excitatory Amino Acid Study (Chapter 3)

Agonists: The glutamate agonists utilized were AMPA (α-amino-3-hydroxy-5-methyl-4-isoxazolepropionate; 5μM; RBI), kainate (5μM; Cambridge Research Biochemicals), NMDA (N-methyl-D-aspartate; 15μM; RBI), and the metabotropic receptor agonist, trans-ACPD (trans-1-aminocyclopentane-1,3-dicarboxylic acid; 10μM; RBI).

Antagonists: NBQX (2,3-dihydroxy-6-nitro-7-sulfamoyl-benzo(f)quinoxaline; 1μM; NovoNordisk), L-APV ((-)2-amino-7-phosphonovalerate; 60μM; CRB), and L-AP3 (2-amino-3-phosphonopropionate; 200μM; RBI), were used to antagonize the AMPA, NMDA, and metabotropic receptors respectively. NBQX and CNQX (6-cyano-7-nitroquinoxaline-2,3-dione; 1μM; CRB) were agents used to block kainate and AMPA receptor.

Agonist studies were also repeated in the presence of 1μM tetrodotoxin (TTX; Sigma). In order to eliminate possible contamination of excitatory postsynaptic currents (EPSCs) by GABA-evoked inhibitory postsynaptic currents (IPSCs), experiments examining synaptic responses were also carried out in ACSF containing a cocktail of bicuculline (10μM, Sigma), a GABA<sub>A</sub> antagonist, and CGP35348 (10μM, Ciba-Geigy), a GABA<sub>B</sub> antagonist.

# Morphology

Recordings from hDBB neurons were made using electrodes filled with an internal solution with 0.5% Lucifer Yellow (LY). After filling the neurons, the slice was fixed overnight in 4% paraformaldehyde. Tissues were dehydrated by sequential application of ethanol solutions (10%, 30%, 50%, 70%, 100%) and then cleared with methylsalicylate. Subsequently they were mounted on microscope slides, coverslipped with cytoseal (Stephens

Scientific). Neurons were then observed with a confocal microscope (Leitz Aristoplan attached to Leica CLSM system, ArKr light source) equipped with a filter combination for the detection of FITC fluorescence. The image was optically sectioned at 2.0µm intervals and extended focus was applied in order to obtain details of the neuronal arborization at different focal planes. Photomicrographs were taken directly from the screen of the monitor.

# Analysis of Membrane Properties

Action potentials (APs) were generated by applying brief current pulse (300ms; 0.05-0.3nA) through recording electrodes with the use of the Master 8 pulse generator (A.M.P.I.). AP characteristics examined included spike height (measured from threshold), width (measured at threshold), and duration of the AHP (measured from baseline). Voltage ramps from -120 to -20mV (9.2mV/sec) were applied to the cell and input conductance (G<sub>i</sub>) was measured between -60 to -80mV. The effects of exogenously applied glutamate receptor agonists and antagonists on G<sub>i</sub> were also assessed using voltage ramps.

# Analysis of Cable Properties

Passive membrane cable properties were assessed from small hyperpolarizing current pulses (-0.09nA; 400ms; voltage range: -60 to -80mV) according to the methods of Rall (1969) and Taube (1993). Hyperpolarizing responses were transformed to the charging function (V<sub>r</sub>V) and expressed as a sum of exponentials:

$$V_1 - V = C_0 e^{-t/\tau_0} + C_1 e^{-t/\tau_1} + ...$$

where: V = membrane potential at time t,  $V_f =$  final steady state voltage,  $\tau_0 =$  first order time constant,  $\tau_1 =$  second order time constant,  $C_o =$  first order coefficient (amplitude), and  $C_1 =$  second order coefficient (amplitude). Estimates for  $\tau_0$ ,  $\tau_1$ ,  $C_o$ , and  $C_1$  calculated using the

curve fitting program (pClamp vesion. 5.7, Axon Instruments). Rall's (1969) idealized neuron model, consisting of a cell body as a lumped resistor and capacitor in parallel and the dendrites as a single cylinder of finite length, can be used to calculate the electrotonic length (L) and the dendrite-to soma-conductance ratio (ρ). This model makes several assumptions including: 1) the membrane is passive and that there are no active conductances; 2) dendrites are represented as one uniform cylinder; 3) extracellular space has infinite conductivity; 4) dendritic branching pattern that conforms to Rall's 3/2 power rule. Application of the equivalent cylinder analysis to our data enables a comparison to be made between electrical constants in hDBB neurons and other central neurons where the Rall model has also been applied (Rall, 1969; Griffith, 1988; Taube, 1993). Brown et al. (1981) and Taube (1993) calculated the dendrite-to-soma conductance ratio as follows:

$$\rho = \left[\frac{\tau_0}{V}, \left(\frac{C_0}{\tau_0}, \frac{C_1}{\tau_1}\right)\right] - 1$$

where  $\rho$  is the dendrite- to-soma conductance ratio. The electrotonic length (L) was estimated according to the method of Rall (Schoepp et al., 1990):

$$L \approx \pi \cdot \frac{\left(\frac{\rho}{\sqrt{\rho+1}}\right)}{\left(\frac{\tau_0}{\tau_1}-1\right)}$$

Since  $\tau_0$  represents the product of the specific membrane resistance ( $R_m$ ) and the specific membrane capacitance ( $C_m$ ) of the neuron, values of  $R_m$  can be estimated assuming  $C_m$  to be fixed at  $1\mu F/cm^2$  (Christie and North, 1988). Also, estimates can be made regarding the percentage of current attenuation as it passes from the dendrite to the soma. This value is

described as:

$$(1-\frac{1}{H})\times 100\%$$

where

$$H = \frac{V_0}{V_i} = \cosh(L)$$

where (H=amount of current attenuation,  $V_o$ = voltage at site of current injection at one end of the cable;  $V_L$ =voltage at the other end of the cable (Sternweis and Pang, 1990).

# Inhibitory Modulation of hDBB Neurons (Chapter 4)

Agonists: The GABA agonists utilized in this study were the GABA<sub>A</sub> agonist muscimol (10μM, Sigma), the GABA<sub>B</sub> agonist, baclofen (10μM, Sigma), and the GABA<sub>C</sub> agonist CACA (cis-4-aminocrotonic acid, 5-100μM, RBI). To activate presynaptic metabotropic receptors, we utilized trans-ACPD (see previous section).

Antagonists: The antagonists used in this study were the GABA<sub>A</sub> agonist, bicuculline ( $10\mu M$ , Sigma) and the GABA<sub>B</sub> antagonist, CGP-35348 ( $10\mu M$ , Ciba-Geigy). Also, the non-NMDA antagonist, NBQX (see previous section) was used to block glutamate-mediated EPSCs.

Studies examining postsynaptic actions of GABA agonists were repeated in the presence of  $1\mu M$  TTX. Also, studies examining some IPSPs synaptic responses were repeated in CNQX/APV solutions.

<u>Voltage Protocols:</u> Voltage ramps were applied to hDBB cells. The voltage ranges of these ramps varied between different cells. The specific values are indicated within the text of Chapter 4. Input conductance was measured in a manner similar to that described above. Furthermore, synaptic currents and potentials were evoked using the method described above.

# Methods and Materials for Recording from Acutely Dissociated hDBB Cells Dissection

Male Sprague-Dawley rat pups (15-23 days old) were decapitated, their brains rapidly excised, and placed into oxygenated ice-cold ACSF (in mM): NaCl 140, KCl 2, CaCl<sub>2</sub> 1.5, MgCl<sub>2</sub> 5, HEPES 10, D-Glucose 33 (pH 7.4). The brains were trimmed, glued to a plastic chuck with cyanoacrylate glue. 350μm slices containing the DBB were cut using a Vibratome (Series 1000). The slices were then placed into oxygenated ACSF where the hDBB was dissected out. Acutely dissociated neurons were prepared by enzymatic treatment of the slices with trypsin (0.65mg/ml) for 23-35 minutes (exposure to enzyme was increased by one minute per day increase in the age of animal) at 32°C, followed by mechanical trituration for dispersion of individual cells. Cell were then plated on Poly-L-lysine coated cover slips (0.005% w/v) and viewed using an inverted microscope (Zeiss-Axiovert 135). Continuous perfusion was maintained at 3-5mL/min during recording which allowed complete exchange of bath solution in less than one minute.

# Electrodes and Method of Recording

The whole-cell patch clamp recording (WCR) technique was used to record from

acutely dissociated hDBB neurons using an Axopatch-1D amplifier (Axon Instruments). Patch electrodes (World Precision Instruments - Thin Wall with Filament, 1.5mm diameter) were flame polished to yield resistances of 3-6MΩ. The internal patch pipette solution used for recording contained (in mM): K-methylsulfate 140, MgCl<sub>2</sub> 5, CaCl<sub>2</sub>1, HEPES 10, EGTA 10, Na<sub>2</sub>-ATP 2.2, Na-GTP 0.3 (pH 7.2). After whole-cell configuration was acquired, a "runup" of potassium currents often occurred within 1-2 minutes (c.f. Kang et al., 1995). Consequently, we waited at least 5 minutes for steady state currents to stabilize so as to avoid discrepancies which could result from the "run-up" of currents.

To examine the effects of VP and  $Zn^{++}$  on the contribution of  $Ca^{++}$  to voltage-dependent ionic currents, we utilized an external solution which contained 0mM  $Ca^{++}$  and  $50\mu$ M  $Cd^{++}$  was utilized. In this solution  $CaCl_2$  was replaced with equimolar amounts of MgCl<sub>2</sub>.

Sodium currents (I<sub>Na</sub>) were recorded in isolation for studying the effects of Zn<sup>++</sup> on these currents. For recording I<sub>Na</sub>, the internal patch pipette solution contained (in mM): cesium-methanesulfonate 130, MgCl<sub>2</sub> 2, HEPES 10, BAPTA 10, Mg-ATP 5, Na<sub>2</sub>-GTP 0.3 (pH 7.2 with Cs-OH) and the external perfusing solution was composed of (in mM): NaCl 125, TEA-Br 20, MgCl<sub>2</sub> 2, MnCl<sub>2</sub> 5, HEPES 10, glucose 20 (pH 7.4 with Tris-OH).

Modulation of Ca<sup>++</sup> currents by VP and Zn<sup>++</sup> was investigated by recording currents through calcium channels using Ba<sup>++</sup> as charge carrier. The use of Ba<sup>++</sup> has advantages over Ca<sup>++</sup> as it avoids Ca<sup>++</sup>-induced inactivation of Ca<sup>++</sup> channels (Chad and Eckert, 1986) and is considered a better charge carrier (Griffith et al., 1994). The external solution for recording I<sub>Ba</sub> contained (in mM): TEA-Br 150, BaCl<sub>2</sub> 2, glucose 30, HEPES 10 (pH 7.4 with TEA-OH)

and the internal solution was the same as that described above for I<sub>Na</sub>.

The cells were held at -80mV which was close to their rmp. Cell size was measured electronically using the whole cell capacitance compensation circuit on the Axopatch-1D amplifier. The signals were filtered between 20kHz and 100kHz on the amplifier and capacitance compensation (>80%) was continuously monitored. Maximum voltage clamp error in recording a current of 6nA using a patch electrode with an electrode resistance of 5MΩ was 6mV. All recordings were made at room temperature (20-22° C). Data were acquired using pClamp6 (version 6.02, Axon Instruments) and analyzed using Origin (version 3.5, Microcal) and Quattro Pro (version 6.0, Novell) software packages. Data are presented as mean±standard error of mean (s.e.m.) and Student's two-tailed t-test was utilized for determining significance of effect.

## Vasopressin Study (Chapter 5)

# Voltage Protocols

Depolarizing voltage steps of 100ms duration were applied (increment 10mV per step) to evoke whole cell currents. In the experiments on K<sup>+</sup> currents, the maximum depolarizing step command was to +30mV and in the case of Ba<sup>++</sup> currents, it was +70 mV. Steady state currents evoked by voltage steps were normalized to the cell size and plotted as current density-voltage relationships in order to assess the effects of VP and its antagonists.

## Pharmacological Agents Used

All solutions were applied via bath perfusion. Vasopressin (300nM, Bachem),

Manning Compound (MC;V<sub>1</sub> receptor antagonist;1μM,Bachem,), d(CH2)5)<sup>1</sup>,D-Ile<sup>2</sup>,Ile<sup>4</sup>,Arg<sup>8</sup>,Ala<sup>9</sup>) (V<sub>2</sub> receptor antagonist; 1μM, Bachem), charybdotoxin (CTX; 25nM; Sigma), and apamin (50nM; Sigma) were utilized in this study. The concentrations of all chemicals used in this study are based upon previous studies that have examined the actions of these agents on other cell types: VP (Tanaka et al., 1994)); MC (Brinton and McEwen, 1989); V<sub>2</sub> antagonist (Manning and Sawyer, 1989); CTX (Goh et al., 1989); apamin (Womble and Moises, 1993).

# Zinc (Chapter 6)

# Voltage Protocols

All protocols used in this study are described in detail in the results section of Chapter 6.

# Pharmacological Agents Used

The cation Zn<sup>++</sup> (ZnCl<sub>2</sub>; 50μM; Fischer), TTX (tetrodotoxin,1μM; Sigma), TEA (tetraethylammonium chloride; 5mM; Sigma); and 4-AP (4-aminopyridine; 0.05-1mM, Sigma) were all utilized in this study. All pharmacological agents were applied through bath perfusion.

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# **CHAPTER 3**

# ELECTROPHYSIOLOGY OF NEURONS IN THE HORIZONTAL LIMB OF THE DIAGONAL BAND OF BROCA: INTRINSIC PROPERTIES AND EXCITATORY SYNAPTIC INPUTS

A version of this Chapter has recently been accepted for publication in the American Journal of Physiology: Cell Physiology Section

# **ABSTRACT**

We examined the morphological and electrophysiological properties of neurons within the horizontal limb of the diagonal band of Broca (hDBB) and investigated the role of excitatory amino acid-mediated synaptic transmission in this region. Whole cell patch clamp recordings were obtained from hDBB neurons in rat forebrain slices. The hDBB cells examined in this study display a morphological and electrophysiological profile that is consistent with the type B, non-cholinergic cell type. Cable analysis reveals that hDBB neurons are electrotonically compact and may therefore function as efficient relays for transmission of inputs to other forebrain target sites. Application of agonists for AMPA, kainate, NMDA, and metabotropic receptors all evoke inward currents in hDBB neurons. Pharmacological analysis of synaptic events indicate that evoked excitatory postsynaptic currents (EPSCs) are either mediated by non-NMDA receptors alone or a combination of non-NMDA and NMDA receptors. In some neurons, the metabotropic receptor agonist, trans-ACPD, reduced EPSC amplitude without altering postsynaptic input conductance, thus, suggesting a presynaptic locus of action. The electrical and pharmacological properties described for hDBB neurons may be physiologically relevant for the effective transmission of excitatory synaptic inputs to sites that receive projections from the hDBB.

# INTRODUCTION

We have identified a cell type in the hDBB that morphologically resembles rat Type B neurons (Barrenechia et al., 1995) and shares the electrophysiological profile of the non-cholinergic neurons characterized by a fast post like afterhyperpolarization (FAHP) (Griffith, 1988). In this study, we examined two specific issues concerning these putative Type B/FAHP neurons. First, we investigated both the active and passive electrical properties to better understand the characteristics of information transfer in these neurons. Second, since previous *in vivo* studies suggest that hDBB neurons receive a predominantly excitatory input (Jhamandas and Renaud, 1986a,b), we examined actions of putative transmitters that mediate excitation in these neurons. Anatomical evidence reveals the presence of aspartate and glutamate in terminals contacting hDBB cells suggesting that excitatory amino acids (EAAs) may serve as neurotransmitter candidates within this region (Carnes et al., 1990). Therefore, we investigated the role of specific EAA receptor subtypes in excitatory synaptic transmission within the hDBB.

## RESULTS

## Morphology

We identified 5 neurons by LY intracellular staining (Fig. 3-1). Cells stained with LY exhibited a polygonal cell body (diameter 12-20µm) with numerous processes. These processes appeared to contact the cerebrospinal fluid in the medioventral surface of the brain, or penetrated the adjacent neuropile. The processes could be followed at a distance of 100-120µm. These stained cells share the same morphology as Type B

neurons identified by Barrenechea et al. (1995). Our anatomical data also suggest that hDBB neurons are not damaged by the slice procedure.

# Passive and Active Properties of hDBB Neurons

We examined several passive and active electrophysiological characteristics of hDBB neurons. The passive properties examined were the rmp and G<sub>i</sub>. The active properties examined were the height, width, and the duration of the afterhyperpolarization of single APs evoked with a brief depolarizing pulse (Table 3-1). As indicated in Fig. 3-2, we observed similar passive properties in these neurons using either WCR or perforated patch clamp recordings suggesting that the passive properties are not altered using WCR.

To examine the intrinsic cable properties of these neurons, hyperpolarizing pulses (-0.09nA) were applied according to the methods of Rall (1969) and Taube (1993). The pulses were applied at the rmp which typically lay between -60 and -80mV. As indicated in Fig. 3-2, step data and voltage ramp data are linear between -60 and -80mV suggesting that there are no voltage-dependent currents in this range. Cable properties for hDBB cells calculated using the data and equations (see Methods) are shown in Table 3-2. An additional abservation was that the charging curve of 16 neurons could be fit by a single exponential as shown in Fig. 3-2C<sub>1</sub> and C<sub>2</sub> using either whole cell patch or perforated patch clamp recordings. This indicates that the dendrites and soma essentially function as a single capacitor in these neurons thus, suggesting that the dendrites are isopotential with the soma.

# Pharmacology of Glutamate Neurotransmission

# a) AMPA\Kainate

In 22 of 23 cells, exogenous application of 5μM AMPA evoked an inward current that reversed at approximately 0mV (Fig. 3-3A). This inward current is attenuated by NBQX (1μM), an antagonist for the AMPA receptor, by 67% (range: 28.7-100%; 5/5 cells). The inset of Fig. 3-3A further illustrates the net inward AMPA current and its attenuation by NBQX. Application of 1μM NBQX, the same concentration used to block agonist-induced inward current, also attenuates evoked fast EPSCs (mean=61.4%; range: 32-100%; 29/35 cells) (Fig. 3-3B).

Exogenous superfusion of 5μM kainate induces an inward current in 22 out of 26 cells (Fig. 3-4A). Similar to AMPA, kainate-induced current reverses at approximately 0mV. CNQX (1μM) is able to attenuate kainate-induced inward current in all cases (mean=66.7% attenuation; range: 52.3-100%; 5/5 cells). The net current generated by kainate and the effect of CNQX on this current are illustrated in the inset of Fig. 3-4A. Furthermore, application of 1μM CNQX also antagonizes EPSCs (mean=54.1%; range: 46.5-100%; n=3 cells)(Fig. 3-4B).

# b) NMDA

Application of 15μM NMDA in the absence of [Mg<sup>++</sup>]<sub>o</sub> generates a large inward current (15/15 cells) that can be blocked by application of the NMDA receptor antagonist, APV (60μM)(7/7 cells)(Fig. 3-5A). The contribution of NMDA receptor activation to synaptic currents was examined by application of APV on evoked EPSCs in control and 0 Mg<sup>++</sup> ACSF solutions. As shown in Fig. 3-5B, APV typically only

blocks the latter component of the EPSC (mean-39.3%; range: 18.3-44.4%) (9/11 cells) and the application of NBQX is able to further attenuate the synaptic current under normal conditions. When hDBB cells are superfused with a 0 Mg<sup>++</sup> ACSF solution, EPSC duration was typically observed to increase by 80% (n=6)(Fig. 3-5C) compared to those observed in normal ACSF (Fig. 3-5B). This increased duration is due to an enhanced contribution from NMDA channels as indicated in Fig. 3-5C where 60μM APV attenuates the larger late component of the EPSC. Application of 1μM NBQX with APV completely blocks the EPSC.

# c) Metabotropic

In 9 of 22 cells, application of 10μM trans-ACPD increased postsynaptic G<sub>i</sub> (E<sub>rev</sub>= -35mV) which would be consistent with an underlying mixed ionic conductance in these neurons. Furthermore, this postsynaptic response can be attenuated by the metabotropic antagonist, AP3 (42%; range: 15-89%) (Fig. 3-6A). Interestingly, in 13 of 22 cells, trans-ACPD did not evoke a change in postsynaptic G<sub>i</sub> (Fig. 3-6B). However in these cells, trans-ACPD was able to attenuate the amplitude of EPSCs (42.2%; range: 32-100%; 13/22 cells) (Fig. 3-7A and B). These observations suggest an additional presynaptic locus of action for trans-ACPD (Fig. 3-7A and B).

We considered the possibility that the putative presynaptic modulation of synaptic transmission by trans-ACPD may in fact be due to a possible postsynaptic blockade of AMPA or NMDA receptors. In order to address this issue, agonists for AMPA or NMDA receptors were superfused onto the cell followed by application of the agonist with trans-ACPD. If trans-ACPD was acting at postsynaptic AMPA or NMDA

receptors, the direct response of these glutamate receptor agonists would be expected to be reduced in the presence of trans-ACPD. However, as shown in Fig. 3-8 and Fig. 3-9, the inward current generated in the presence and absence of the metabotropic receptor agonist is the same for AMPA and NMDA in the presence of trans-ACPD.

# TTX

All agonist studies performed in ACSF were repeated in the presence of TTX. We observed no change in the effects of agonists on hDBB cells in the presence of TTX (NMDA n=7; AMPA n=5; kainate n=4) which suggests that exogenously applied glutamate receptor agonists exert their effects directly at the postsynaptic level.

# **DISCUSSION**

The present study provides two important observations related to the physiology and pharmacology of hDBB neurons. First, our electrophysiological analysis of identified hDBB neurons indicates these cells to be electronically compact. This may have implications for the functional role of hDBB neurons in the transfer of synaptic inputs to target sites. Second, glutamate receptor subtypes mediate excitatory synaptic transmission in the hDBB at pre- and postsynaptic levels.

# Morphology

The morphological characteristics of Lucifer yellow filled neurons in the present study resemble Type B neurons reported by Berrenechea et al. (1995). Specifically, the similarities we observed related to some shape, size, and dendritic branching. The position of the processes of these neurons suggests that they may influence the activity

of cells in an area with a diameter of at least 200µm. *In vivo* intracellular recordings from DBB indicate that Type B neurons are characterized by a fast postspike AHP (Berrenechea et al., 1995). A similar type of cell with a fast postspike AHP (FAHP cell type) has also been observed *in vitro* DBB preparations (Griffith, 1988; Mathews and Lee, 1991). Rat type B/FAHP neurons do not stain positively for AchE or ChAT (Griffith and Mathews, 1991) suggesting that these cells are likely non-cholinergic. Importantly, the preserved morphological characteristics of the LuciferYellow-filled cells we recorded from suggests that they are not damaged by the slice procedure.

# Intrinsic Membrane Properties

The intrinsic membrane properties examined in this study were the rmp, G<sub>i</sub>, and the height, width, and afterhyperpolarization of the action potential. In Griffith's (1988) analysis of guinea pig medial septum-diagonal band (MS-DBB) neurons, he observed three cell types in this region: 1) cells with a slow postspike afterhyperpolarization (~600ms) 2) cells with a fast postspike afterhyperpolarization (5-50ms) (FAHP) and 3) a bursting cell type. Assuming a similar compartmentalization for the rat, our results suggest that we are recording principally from FAHP-like cells. We observe AHPs for these hDBB cells to have a mean value of 48±8.82 ms (Table 3-1), which falls in the range reported by Griffith (1988) for the FAHP cell type. However, not all of the intrinsic properties measured in hDBB cells correlate with guinea pig FAHP cells including rmp, AP width, and G<sub>i</sub>. These differences are likely attributable to the fact that studies reported by Griffith (1988) were done in guinea pig and utilized sharp

microelectrode recording as opposed to our studies performed in the rat and utilizing the whole cell patch configuration. In particular, the higher  $G_i$  observed in our study can be explained by our use of WCR. Since WCR requires the formation of a high resistance seal, there is less leakage of current where the electrode has access to the cell interior compared to sharp microelectrodes. Thus, the voltage deflection elicited by a current step will be larger as a result of a larger  $G_i$ . Our use of perforated patch clamp revealed similar observations as with WCR suggesting that the use of WCR did not alter the electrophysiological characteristics of the cell (Fig. 3-2).

# Cable properties of hDBB neurons

In comparison with other central neurons, where similar analyses have been carried out, our data on passive cable properties (H,  $R_{m_i}$ ,  $\rho$ , and L) of hDBB neurons suggest that these cells are electrically compact (Griffith, 1988; Tanaka et al., 1991). The calculated value of H was 1.34 which is consistent with a current attenuation of only 25% from the dendrite to the soma. This relatively low value of current attenuation also implies that hDBB neurons are electrically compact (Griffith, 1988).

Since  $\tau_0$  represents the product of specific membrane resistance (R  $_m$ ) and specific membrane capacitance (C $_m$ ), the average  $\tau_0$  value in Table 3-2 and 1 $\mu$ F/cm<sup>2</sup> for C  $_m$  were inserted into the equation:

$$\mathsf{T}_0 = R_m \cdot C_m$$

producing an  $R_m$  value of 24 930 $\Omega$ cm<sup>2</sup>. This value is more than 1/3 larger than the  $R_m$  value calculated using Griffith's (1988)  $\tau_0$  value of 16.15ms for FAHP cells measured

th sharp microelectrodes. This difference may be due to the fact that Griffith's measurements were made using sharp electrodes unlike ours which were made using WCR. The formation of a high resistance seal using low resistance electrodes permits a low access resistance into the cell, therefore, a given current injection will likely elicit a larger voltage deflection and thus, yield a larger  $\tau_0$  value. Physiologically, a large R m value would be important because it would be indicative of a large length constant ( $\lambda$ ) as given by the equation:

$$\lambda = \sqrt{\frac{R_m}{R_i}} \cdot \frac{d}{4}$$

where  $R_i$  is the specific internal resistance and d is the diameter of the dendritic cable. Thus, a given current pulse would be able to propagate a greater distance along the cable through electrotonic spread (Pongracz et al, 1991).

Analysis of  $\rho$  and L values calculated in this study also suggest that hDBB cells are electrotonically compact. The value of  $\rho$  is the ratio of dendritic conductance to somatic conductance. The calculated  $\rho$  value of 1.84 suggests that there may be conductances in the dendritic regions (Lacaille and Williams, 1990). L is the average electrotonic length of equivalent cylinder dendrites with sealed ends and is representative of the ratio of physical length of the dendrite to its length constant. A ratio of 1.08 suggests that hDBB dendrites terminate approximately 1 length constant from the soma.

Furthermore, 16 hDBB neurons also showed charging curves that could be fitted by a single exponential suggesting that the dendrites are isopotential with the soma further demonstrating the electrical compactness of hDBB cells (Coleman and Miller, 1989). These electrophysiological and morphological properties of hDBB neurons should permit distal synaptic potentials to be faithfully transmitted to target neurons. hDBB cells appear to act as highly efficient relay neurons for the transmittal of their inputs to their projection sites with high fidelity.

# Analysis of glutamate agonist effects and glutamate-mediated synaptic transmission

Immunocytochemical data reveal that cells in the hDBB are recipients of dense glutamatergic input (Carnes et al., 1990). We have observed the presence of AMPA, kainate, NMDA, and metabotropic receptor subtypes in these cells. As illustrated in Fig. 3-3 and 3-4, single receptor subtypes such as AMPA and kainate receptors mediate fast excitatory synaptic transmission entirely on their own. However, it appears that glutamate-mediated synaptic transmission may also involve different receptor subtypes working in concert as indicated by our observation that the AMPA and NMDA receptors together mediated synaptic transmission (Fig. 3-5c). Thus, the AMPA-mediated early phase of the EPSC may serve to depolarize the cell and, thereby, activate NMDA channels by relieving the voltage-dependent Mg<sup>++</sup> block of these channels.

Metabotropic receptor activation was observed at both pre- and postsynaptic levels. Postsynaptically, however, we observed fewer responses. As metabotropic receptors mediate their effects via G-proteins (Manzoni et al., 1990), it might be argued that this small number of postsynaptic receptors is due to our use of the whole cell patch clamp technique where washout of the second messenger components from the cell could occur. However, we feel that this is unlikely as the use of amphotericin B in perforated patch technique did not reveal an increase in the number of metabotropic-mediated

postsynaptic responses (unpublished observations). In addition, we have also observed that application of baclofen, a GABA<sub>B</sub> receptor agonist whose actions are also mediated via a G-protein mechanism, evokes postsynaptic hyperpolarizing responses (Easaw and Jhamandas, 1994). The presence of the metabotropic receptor presynaptically such as we have observed in the hDBB is consistent with that reported in regions including the hippocampus (Baskys and Malenka, 1991) and the cingulate cortex (Sheardown, 1992). This autoreceptor attenuates excitatory synaptic transmission which suggests that glutamate release can be modulated.

## **Functional Considerations**

Transmitters that mediate excitation in the hDBB have been the focus of considerable interest on account of the fact that activation of peripheral arterial baroreceptors results in an increase in the excitability of hDBB neurons (Jhamandas and Renaud, 1986a). An excitation of hDBB neurons in turn inhibits VP-secreting neurons of the hypothalamic supraoptic nucleus (Jhamandas and Renaud, 1986b). Two neurotransmitter candidates, noradrenaline (NA) and glutamate have been postulated to activate hDBB neurons. While infusions of NA *in vivo* into the MS-DBB complex result in a depression of VP neuronal activity (Cunningham et al., 1993), applications of NA *in vitro* do not appear to excite hDBB neurons (unpublished observations). Our observations indicates that these cells possess AMPA, kainate, NMDA, and metabotropic receptors and that all of these receptors participate in excitatory neurotransmission in the hDBB. We postulate that glutamate may be the putative neurotransmitter that excites baroreceptor-sensitive hDBB neurons.

In summary, the results presented in this study provide a characterization of electrotonic properties of hDBB cells that may explain their role in information transfer within this forebrain nucleus. EAA-mediated neurotransmission may subserve important roles in central autonomic responses and other physiological functions.

Table 3-I: Summary of passive and active properties of hDBB neurons.

RMP (mV)	G <sub>i</sub> (nS)	AP height (mV)	AP width (ms)	AHP duration (ms)
(n=111)	(n=88)	(n=96)	(n=98)	(n=95)
-79±0.84mV	3.21±0.06	80.87±2.0	1.89±0.07ms	48.52±3.55

# Abbreviations:

RMP- resting membrane potential; G<sub>i</sub> - input conductance; AP - action potential.

Table 3-II: Electrotonic properties of hDBB neurons.

Neurons	το	τι	Co	C,	ρ	L	R <sub>m</sub>	H
(n)	(ms)	(ms)					(Ω·cm²)	
n=11	24.93±7.34	3.78±0.97	7.46±1.30	4.6±1.83	1.8	1.09	24930	1.34

### Abbreviations:

 $\tau_0$  - first time constant;  $\tau_1$  - second time constant;  $C_0$  - first order coefficient; and  $C_1$  - second order coefficient;  $\rho$  - soma-to-conductance ratio;  $R_m$  - specific membrane resistance; H - current attenuation.

Figure 3-1. Schematic drawing of the rat forebrain illustrating horizontal limb of the hDBB, where whole cell patch clamp recordings were obtained. Abbreviations: AC - anterior commissure, CC - corpus callosum, ON- optic nerve, vDBB - vertical limb of diagonal band of Broca. Inset: Typical hDBB neuron filled with Lucifer Yellow using the whole cell patch clamp protocol. The morphology of these neurons appears to be similar to the Type B neuron described by Barrenechea et al. (1995) and the non-cholinergic FAHP cell type identified by Griffith (1988).

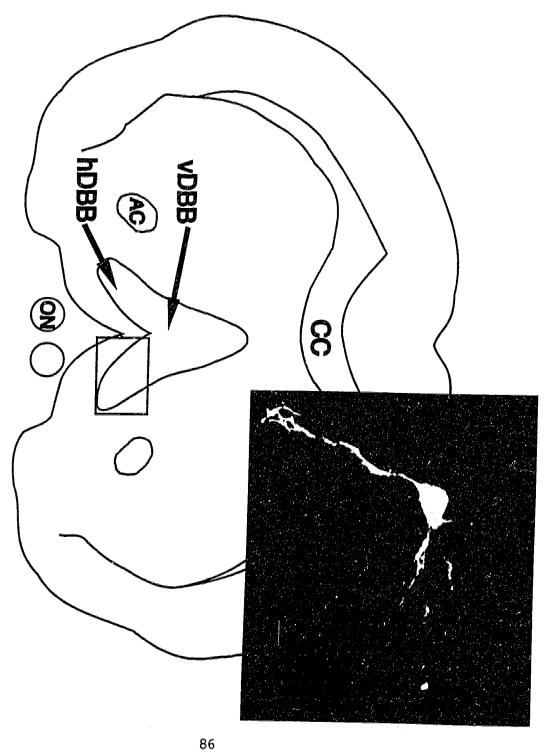


Figure 3-2. Recordings taken from different hDBB neurons using either the whole cell patch clamp method (top row) or the perforated patch technique (bottom row). A<sub>1</sub> and A<sub>2</sub>: representative APs generated using either the whole cell or perforated patch techniques. APs were generated by 300ms current pulse applications (0.05-0.3nA). B<sub>1</sub> and B<sub>2</sub>: current-voltage (I-V) relationships in hDBB neurons were examined using ramps from -120 to -20mV. G<sub>i</sub> was measured between -60 to -80mV from I-V plot. C<sub>1</sub> and C<sub>2</sub>: Voltage pulses to hyperpolarizing current steps (-0.09nA; 400ms), rmp=-75mV and -78mV for C<sub>1</sub> and C<sub>2</sub> respectively. Each of these pulses could be fit by a single exponential using pClamp fitting program.

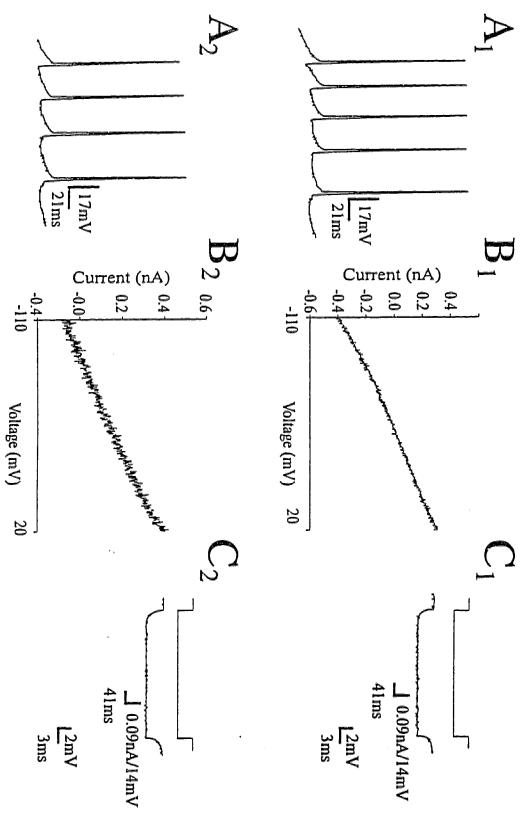
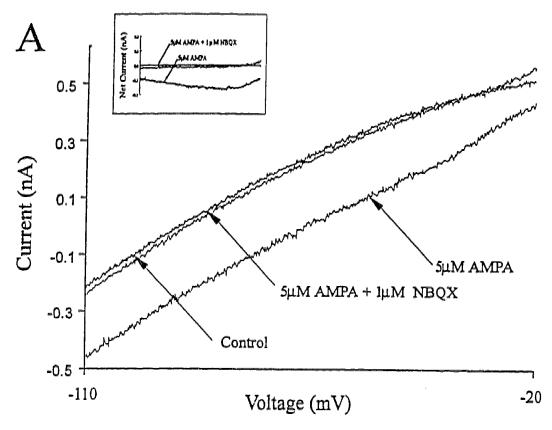


Figure 3-3. AMPA receptors mediate excitatory neurotransmission in hDBB. A: Currents generated by voltage ramps under control conditions, in the presence of  $5\mu M$  AMPA, and in the presence of  $5\mu M$  AMPA +  $1\mu M$  NBQX. By extrapolation, the AMPA induced current reverses at approximately 0mV. Inset shows net current in the presence of AMPA and AMPA+NBQX. B: EPSC is attenuated by  $1\mu M$  NBQX with partial recovery ( $V_h$ =-80mV).



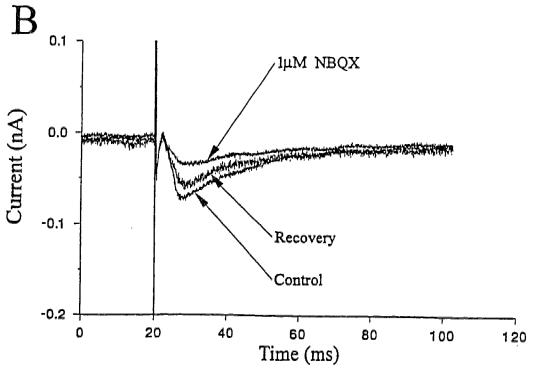
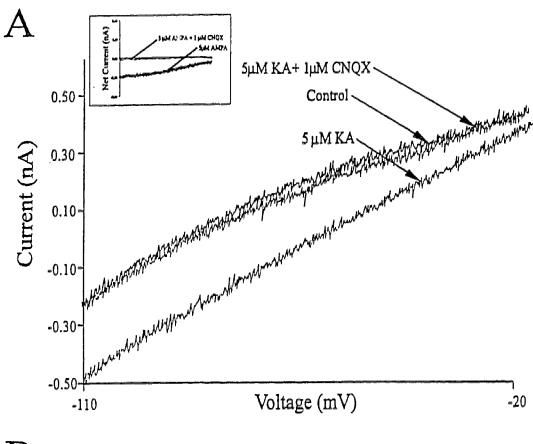


Figure 3-4. Kainate receptors mediate excitatory neurotransmission in hDBB. A: Currents generated by voltage ramps under control conditions, in the presence of  $5\mu M$  kainate (KA), and in the presence of  $5\mu M$  KA +  $1\mu M$  CNQX. By extrapolation, the KA induced current reverses at approximately 0mV. Inset shows net current in the presence of KA and KA+CNQX. B: EPSC is attenuated by  $1\mu M$  CNQX with recovery ( $V_h$ =-75mV).



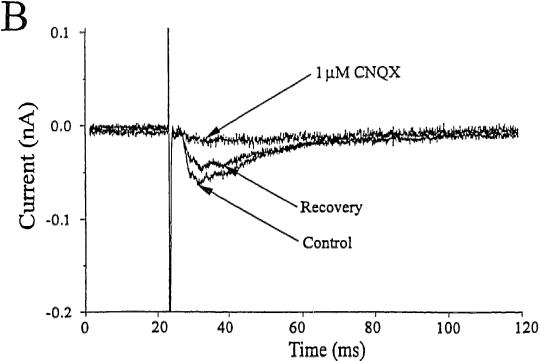


Figure 3-5. NMDA receptor involvement in excitatory neurotransmission in hDBB. These data are from three separate cells. A: Currents generated by voltage ramps in 0 Mg<sup>++</sup> ACSF. 15μM NMDA induces an inward current that reverses at approximately 0mV and is attenuated by application of 60μM APV. Inset shows net current in the presence of NMDA and NMDA+APV. B: Late component of the EPSC is attenuated by 60μM APV. Application of 1μM NBQX further attenuates the EPSC indicating that this synaptic current is mediated by the activation of multiple glutamate receptor subtypes. Cell is perfused in normal ACSF (1.2mM Mg<sup>++</sup>) (V<sub>b</sub>=-78mV). C: In 0 Mg<sup>++</sup> ACSF, the EPSC is over 80% longer in duration compared to B. 60μM APV attenuates the larger late component of the EPSC. Application of 1μM NBQX with 60μM APV completely attenuates EPSC. The small upward deflection at the onset of the NBQX

+APV trace is a part of the stimulus transient ( $V_h$ =-75mV).

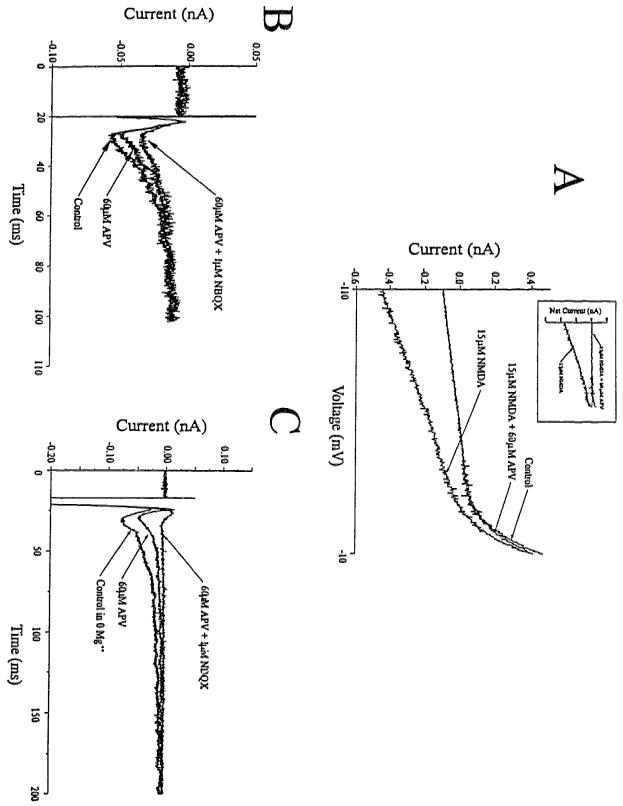


Figure 3-6. Metabotropic receptor involvement in excitatory neurotransmission in hDBB. A: Currents generated by voltage ramps under control conditions, in the presence of  $10\mu M$  trans-ACPD, and in the presence of  $10\mu M$  trans-ACPD +  $200\mu M$  AP3. The trans-ACPD induced current reverses at approximately -35mV. Inset shows net current in the presence of trans-ACPD and trans-ACPD + AP3. B:  $10\mu M$  trans-ACPD did not evoke postsynaptic changes in input  $G_i$  in 13 of 22 neurons. Inset shows net current in the presence of trans-ACPD.

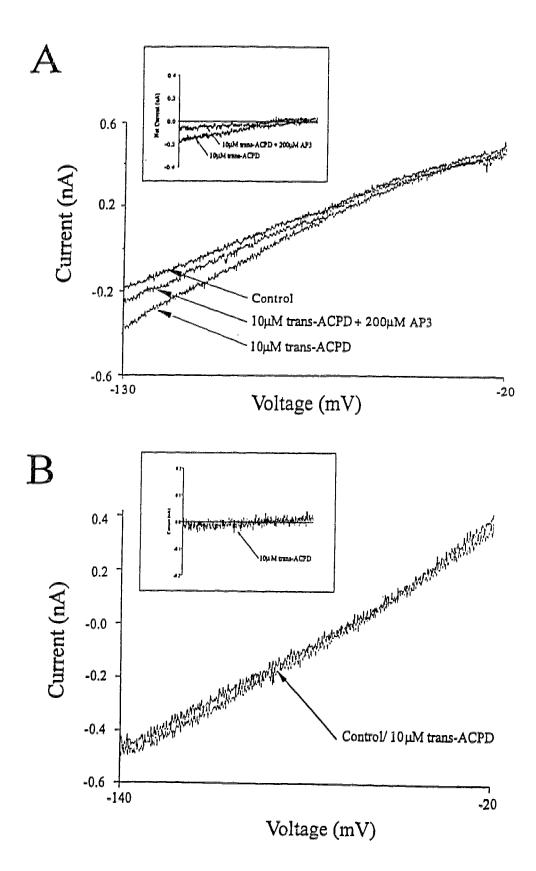


Figure 3-7. Presynaptic modulation of excitatory neurotransmission by metabotropic receptors. A: In the same cell, there is no net change in current in the presence of  $10\mu\text{M}$  trans-ACPD, thus, no change in postsynaptic  $G_i$ . Inset shows currents generated by voltage ramps under control conditions and in the presence of  $10\mu\text{M}$  trans-ACPD. B: EPSC is attenuated by  $10\mu\text{M}$  trans-ACPD with a complete recovery.

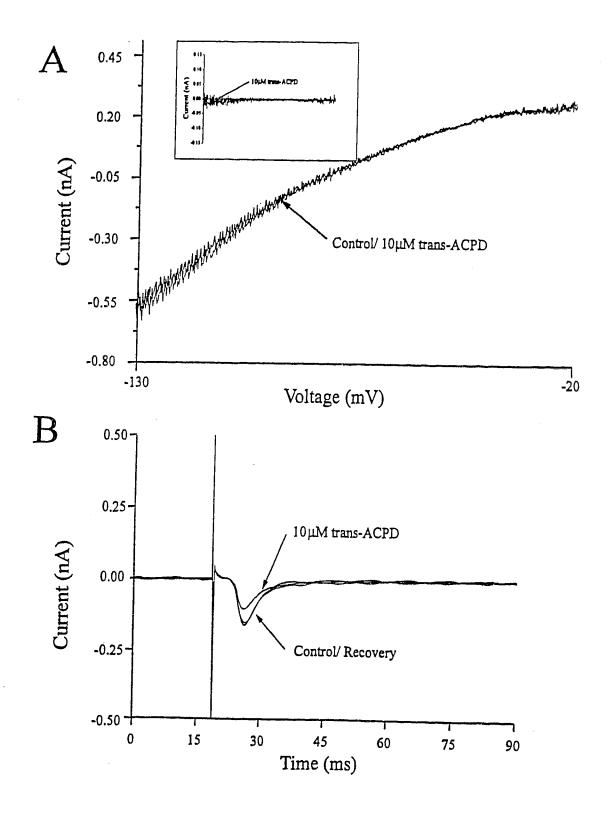


Figure 3-8. trans-ACPD does not modulate postsynaptic AMPA responses. A: Current generated by voltage ramps. The first ramp is a control, the second ramp is in the presence of  $10\mu M$  AMPA, the third ramp is in the presence of  $10\mu M$  AMPA+ $10\mu M$  trans-ACPD, and the final ramp is a recovery. B: No change in  $G_i$  is observed when the cell is superfused with AMPA alone and AMPA + trans-ACPD thus suggesting that trans-ACPD is not exerting an effect at postsynaptic AMPA receptors.

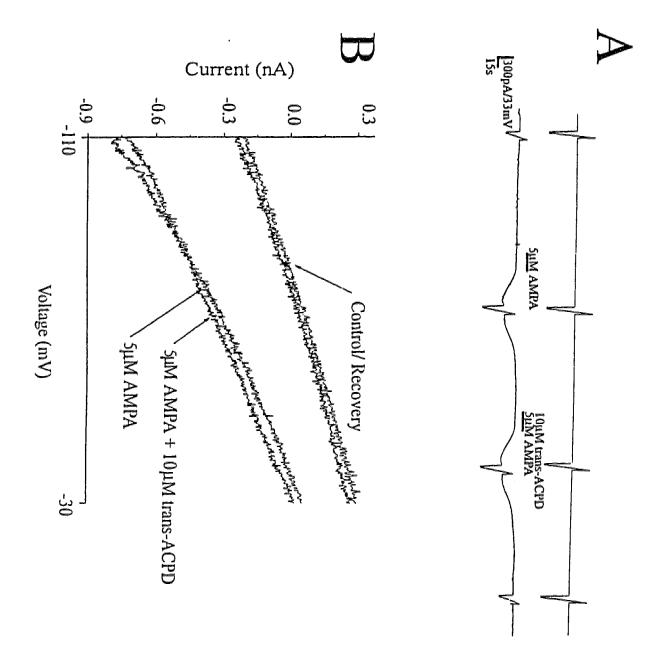
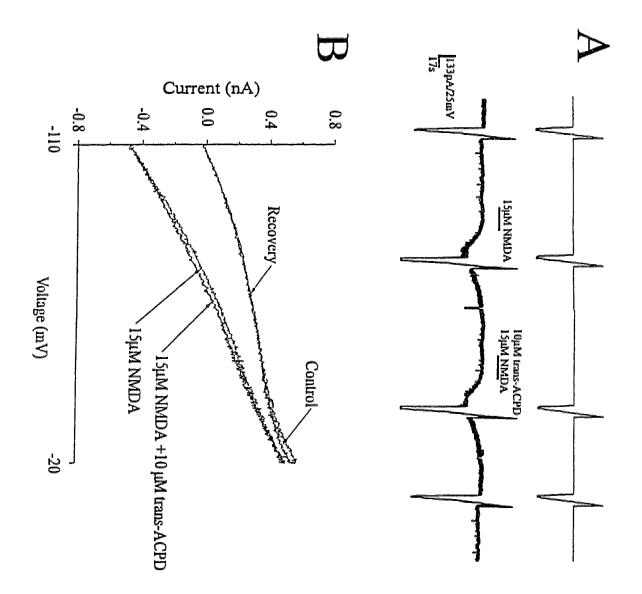


Figure 3-9. trans-ACPD does not modulate postsynaptic NMDA responses. A: Current generated by voltage ramps. The first ramp is a control, the second ramp is in the presence of  $15\mu M$  NMDA, the third ramp is in the presence of  $15\mu M$  NMDA+ $10\mu M$  trans-ACPD, and the final ramp is a recovery. All experiments performed in 0 Mg $^{11}$ . B: No change in  $G_i$  is observed when the cell is superfused with NMDA alone and NMDA+ trans-ACPD thus suggesting that trans-ACPD is not exerting an effect at postsynaptic NMDA receptors.



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# **CHAPTER 4**

# INHIBITORY INPUTS ONTO NEURONS IN THE HORIZONTAL LIMB OF THE DIAGONAL BAND OF BROCA

### **ABSTRACT**

In the previous chapter, we characterized a pronounced glutamatergic excitatory input onto hDBB neurons. In many neuronal systems, it is known that excitatory input is often balanced by a concomitant inhibitory input. In this study, we endeavored to identify and characterize an inhibitory input onto these neurons. Since GABA is the most common inhibitory neurotransmitter within the CNS, we investigated the role of this neurotransmitter in inhibitory neurotransmission within the hDBB. Our results indicate that GABA<sub>A</sub> and GABA<sub>B</sub> receptors but not GABA<sub>C</sub> receptors are present on these cells. Exogenous application of 10µM muscimol, the GABA<sub>A</sub> agonist, elicits a decrease in postsynaptic input resistance with a reversal potential, which is consistent with an underlying chloride conductance. Furthermore, inhibitory postsynaptic potentials (IPSPs) can be blocked by 10μM bicuculline, the GABA<sub>A</sub> antagonist. Application of 10µM baclofen, the GABA<sub>B</sub> agonist elicited a postsynaptic response, however, its more common effect was to presynaptically inhibit glutamate-mediated excitatory postsynaptic currents (EPSCs). We also observed that activation of both presynaptic GABA<sub>B</sub> and metabotropic receptors act synergistically to reduce EPSC amplitude. Overall, our results suggest the presence of a multi-layered inhibitory system in this region with the presence of GABAA and to a lesser extent, GABAB receptors postsynaptically. GABA<sub>B</sub> and metabotropic receptors are also present on presynaptic terminals where they serve to inhibit glutamate release.

### INTRODUCTION

In the course of our study of excitatory amino acid transmission within the hDBB, inhibitory postsynaptic currents and potentials (IPSC/IPSP) were also observed. We attempted to characterize the chemical identity of the neurotransmitter mediating this inhibitory input. GABA, which is the principal inhibitory neurotransmitter within the CNS (Krnjevic, 1974), is localized in fibers within the hDBB (Pare and Smith, 1994; Toth et al., 1993). Furthermore, *in situ* studies indicate that mRNA for specific GABA receptor subunits are also present in this region. Therefore, we hypothesized that GABA may mediate inhibitory synaptic events within the hDBB.

In the preceding chapter, we identified a prominent excitatory input to hDBB neurons using an *in vitro* rat DBB slice preparation. Systems where such excitatory influences impinge on neurons often possess an equivalent inhibitory mechanism as a means of balancing the excitatory input (Moore, 1993). In certain pathological conditions, the absence of inhibition can result in neuropathological disorders, as in AD. AD is characterized by the presence of neurofibrillary tangles, amyloid plaques, and by pronounced loss of cholinergic cells in the BF. The hDBB is amongst the regions most severely affected in this disease. It has been postulated that cholinergic neurons in the BF may be selectively prone to excitotoxic insult which may arise consequent to a loss of inhibitory inputs (Sasaki et al, 1986; Krogsgaard-Larsen, 1992). This is corroborated by NMR studies which indicate 60-70% decreases in GABA receptors (Young, 1987) and concomitant decreases in GABA levels (Mohanakrishnan et al., 1995; Klunk et al., 1992) within the brains of patients with AD. In light of these observations, inhibitory

inputs may meditate essential roles in the normal physiological function of the hDBB and the loss of these inputs may result in the onset of certain pathological conditions.

GABA exerts its effects via three pharmacologically distinct receptor subtypes: ionotropic GABA<sub>A</sub> and GABA<sub>C</sub> receptors present at the postsynaptic level and G-protein coupled GABA<sub>B</sub> receptors which exert effects both pre- and postsynaptically. We identified the role of each of these receptor subtypes in inhibitory neurotransmission within the hDBB using whole cell patch clamp recordings from cells in a forebrain slice preparation.

### **RESULTS**

GABA-mediated postsynaptic and presynaptic inhibition of hDBB neurons were investigated in this study. Analysis of the passive and active electrophysiological properties of 41 hDBB neurons examined in this study reveal an average rmp of -71±9mV, an G<sub>i</sub> of 3.21±0.06nS, AP height of 80.01±2.4mV, width of 1.87±0.08ms, and AHP duration of 46.30±3.05ms. These parameters are consistent with those reported for cells studied in Chapter 3.

### I. Postsynaptic Actions of GABA

### A. GABA Receptors

Fig. 4-1A shows the current evoked by a voltage ramp from -130 to -10mV (9.2mV/sec) from a representative hDBB neuron under control conditions and the presence of the GABA<sub>A</sub> agonist, muscimol (10μM) (inset in Fig. 4-1A). Muscimol elicited an increase in G<sub>i</sub> which reversed near -73mV which coincides with the Nernstian

reversal potential for chloride in these cells ( $E_{\text{Cl}}$ =-75mV). This response was observed in 12 cells with an average reversal potential of -74±2mV. Subsequent applications of muscimol were equally effective and desensitization was not observed in any of the cells perfused with muscimol.

IPSCs and IPSPs were elicited by passing current through bipolar stimulating electrodes placed adjacent to the recording site. Fig. 4-1B shows an IPSP recorded from an hDBB cell which reversed at -75mV. The average IPSP reversal potential of 6 cells was -74±4mV suggesting that these responses are likely mediated by a chloride conductance. As indicated in Fig. 4-1C, IPSPs could be attenuated by application of 10μM bicuculline, a GABA<sub>A</sub> receptor antagonist (average blockade: 91%; 10/10 cells). In some cases, application of bicuculline unmasked slow EPSPs (3/10 cells)(Fig. 4-1C). These results indicate the presence of a Cl<sup>-</sup>-mediated, bicuculline-sensitive IPSP in hDBB neurons. The GABA<sub>A</sub> receptor-mediated response may blunt postsynaptic excitatory responses.

### B. GABA<sub>B</sub> Receptors

GABA<sub>B</sub> receptors are G-protein coupled and are known to attenuate Ca<sup>++</sup> currents in some neuronal systems (Huston et al., 1995) and increase K<sup>+</sup> currents in others (Jiang et al., 1995). Fig. 4-2A shows the current generated by a voltage ramp from -130 to -20mV in a representative hDBB neuron. In 5/32 cells, the GABA<sub>B</sub> agonist, baclofen (10 $\mu$ M) increased postsynaptic G<sub>i</sub> compared to the control. This increased G<sub>i</sub> reversed at approximately -93mV which is close to the reversal potential of K<sup>+</sup> in hDBB cells (E<sub>K</sub>=-99mV). Furthermore, none of the IPSPs examined in this study could be

modulated by 10 µM CGP-35348, a GABA<sub>B</sub> receptor antagonist (0/10 cells).

### C. GABAc

Similar to the GABA<sub>A</sub> channel, GABA<sub>C</sub> is an ionotropic channel which conducts Cl<sup>-</sup>. Pharmacologically, GABA<sub>C</sub> receptors are activated by cis-4-aminocrotonic acid (CACA) and are relatively insensitive to blockade by bicuculline (Feigenspan and Bormann, 1994). As indicated in Fig. 4-2B, application of 100µM CACA on hDBB cells had no effect, suggesting that GABA<sub>C</sub> channels are not be present on postsynaptic membranes of hDBB cells (0/19 cells).

### II. Presynaptic Inhibition

### GABA<sub>R</sub> Receptors

While activation of postsynaptic GABA<sub>B</sub> receptors elicited only modest postsynaptic responses, activation of these receptors was more commonly observed to presynaptically inhibit synaptic transmission. However, the presynaptic actions of GABA<sub>B</sub> receptors appear to be directed exclusively towards excitatory neurotransmission (17/27) with no effect on GABA<sub>A</sub>-mediated IPSPs (0/10 cells). Fig. 4-3A and 4-3B show that perfusion of 10µM baclofen had no effect on the postsynaptic G<sub>i</sub>, yet, reversibly attenuated the amplitude of EPSCs. This response was observed in 17 neurons with an average decrease of 67% in the peak synaptic current (range 21-100%). The observation that EPSC amplitude can be reduced with no concomitant change in postsynaptic G<sub>i</sub> suggests that baclofen may exert its action via a presynaptic mechanism. We subsequently attempted to characterize the chemical identity of the neurotransmitter underlying the baclofen-sensitive EPSC. Exogenous perfusion of baclofen reduced

EPSC amplitude (Fig. 4-3D) but had no effect on G<sub>i</sub> (Fig. 4-3C). After the cell had recovered, 1μM NBQX, the AMPA/kainate receptor antagonist, was able to completely block the EPSC (Fig. 4-3D; 6/6 cells; mean: 74%; range: 34-100%). This observation demonstrates that baclofen presynaptically modulates glutamate-mediated EPSCs in hDBB neurons.

In some hDBB neurons, GABA<sub>A</sub> and GABA<sub>B</sub> receptors are clearly differentially distributed. As illustrated in Fig. 4-4A and 4-4B, exogenous perfusion of  $10\mu$ M baclofen reversibly decreased EPSC amplitude with no effect on postsynaptic  $G_i$ . After recovery of this response, application of  $10\mu$ M muscimol reversibly increased postsynaptic  $G_i$  indicating that GABA<sub>A</sub> receptors are localized on postsynaptic membranes (Fig. 4-4C; n=5). These results support the dual participation of GABA<sub>A</sub> and GABA<sub>B</sub> receptors in direct (postsynaptic) and indirect (presynaptic) inhibition of hDBB neurons respectively.

Glutamate release can also be attenuated presynaptically by activation of metabotropic glutamate receptors (mGluR). Previously, we had observed that 10µM trans-ACPD (TA), an mGluR agonist, reduced EPSC amplitude without altering postsynaptic G<sub>i</sub> in the hDBB (Fig. 3-7). Since EPSC amplitude can also be decreased by activation of presynaptic GABA<sub>B</sub> receptors, we examined whether both mGluR and GABA <sub>B</sub> receptors could modulate the same EPSC. Fig. 4-5A and 4-5B show data from a representative neuron where exogenous application of 10µM baclofen reduced EPSC amplitude by 58% with no change in postsynaptic G<sub>i</sub>. In the presence of baclofen, TA was subsequently applied. The mGluR agonist elicited no postsynaptic effect (Fig. 4-5A), but it further reduced the amplitude of the EPSC by 25% (Fig. 4-5B). After the cell

had recovered, NBQX was applied and completely eliminated the EPSC thus confirming glutamate as the transmitter underlying this synaptic response. This additive effect was observed in 5 hDBB cells where the average blockade exerted by baclofen was 55% (range: 44-63%) and the average TA-mediated blockade in the presence of baclofen was 23% (range: 12-34%). The data from these occlusion studies reveal that EPSCs can be modulated presynaptically by both GABA and glutamate receptor subtypes.

In some hDBB neurons, we did not observe the EPSC modulation by both baclofen and TA. As shown in Fig. 4-6A<sub>1</sub> and 4-6A<sub>2</sub>, application of 3μM NBQX attenuated EPSC amplitude confirming that the synaptic response under study is mediated by glutamate. In this same cell, applications of baclofen presynaptically reduced EPSC amplitude (Fig. 4-6B<sub>1</sub> and 4-6B<sub>2</sub>) whereas TA had no effect on the synaptic current (Fig. 4-6C<sub>1</sub> and 4-6C<sub>2</sub>; n=3). From these data, we conclude that the dual effects of mGluR and GABA<sub>B</sub> receptor activation are not present on all excitatory synaptic inputs to the hDBB.

### **DISCUSSION**

Our results suggest the presence of well developed GABA-mediated inhibitory mechanisms within the hDBB. The activation of GABA<sub>A</sub> receptors provides postsynaptic inhibitory control of hDBB neurons. In contrast, while GABA<sub>B</sub> receptors evoke postsynaptic inhibitory responses in some cells, their primary role appears to be the presynaptic inhibition of glutamate release. Furthermore, our results provide evidence for a synergistic action of GABA<sub>B</sub> and mGluR receptors to presynaptically

attenuate excitatory synaptic currents in some neurons.

### Interactions of GABA with glutamate

GABA and glutamate represent the most common inhibitory and excitatory neurotransmitters within the CNS, respectively. Our results indicate that the hDBB possesses a multi-layered inhibitory system designed to regulate the excitatory actions of glutamate. In addition to its already well-defined role as a potent and rapid inhibitor of cellular activity, our results demonstrate that postsynaptic GABA<sub>A</sub> receptors are capable of masking postsynaptic activation of glutamate receptors (Fig. 4-1C). This observation confirms the work by McCormick et al. (1993) who observed a similar phenomenon in neocortical neurons. Since the reversal potential of Cl<sup>-</sup> lies within the average rmp range of the cells examined in this study, it is likely that activation of GABA<sub>A</sub> channels masks postsynaptic excitatory responses by shunting the inward current elicited by glutamate.

Although activation of GABA<sub>B</sub> receptors results in postsynaptic K\*-mediated inhibitory effects, we did not observe such a response frequently. However, GABA<sub>B</sub> receptors alone, or in combination with mGluR receptors represent a potent presynaptic inhibitory mechanism whereby glutamate release from presynaptic terminals is regulated. A recent study has identified presynaptic GABA<sub>B</sub> and mGluR modulation of GABA release in hippocampus (Jouvenceau et al., 1995), but to our knowledge, this is the first observation of a synergistic GABA<sub>B</sub> and mGluR regulation of glutamate release.

### GABA: Receptors

GABA<sub>C</sub> receptors represent a pharmacologically distinct family of receptors

(Johnston, 1994). The principal agonist for this receptor, CACA, elicits a Cl'-mediated conductance in other cells which is insensitive to bicuculline. These receptors, however, appear to have a limited distribution within the CNS. Thus far, they have only been described in the retina and suprachiasmatic nucleus (O'Hara et al., 1995). We tested the possibility that GABA<sub>C</sub> receptors are present in the hDBB and found no evidence for this.

### Sources of GABAergic inputs to the DBB

GABA-positive inputs have been shown to originate from the CA1 hippocampal region (Toth et al., 1993) and the intercalated masses of the ACE (Pare and Smith, 1994). In turn, hDBB neurons project to these same nuclei to suggest reciprocal linkages between these forebrain structures (Meibach and Siegel, 1977; Gaykema et al., 1990). Functionally, these connections may influence the hDBB's role in both mechanisms associated with memory and learning as well as central cardiovascular control.

### Identity and physiological function of hDBB cells receiving GABAergic input

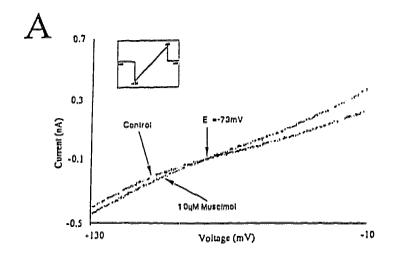
hDBB neurons comprise a heterogeneous population of cell types as identified by both immunocytochemical and electrophysiological studies. Detailed electrophysiological studies by Griffith (1988) and Markram and Segal (1990) characterized specific cell types within the DB The first cell type examined was identified as being AchE-positive and was characterized by a long duration AHP (~600ms). The second population of hDBB cells does not stain for AchE and likely represents the GABAergic cell type within the hDBB (Griffith, 1988). Electrophysiologically, this non-cholinergic cell population is primarily characterized by

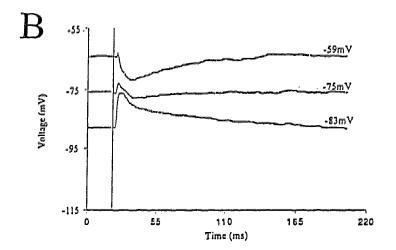
a shorter AHP duration (5-50ms). Given that the cells examined in this study possess an AHP duration of approximately 46ms, it is possible that these cells represent the non-cholinergic cell population. However, anatomical studies are required to confirm the chemical identity of these cells.

## Pathophysiological implications

The hDBB has also been implicated in pathophysiological disorders of memory such as AD. While most forms of AD are idiopathic, excitotoxic death has been postulated as a possible explanation for the neuronal degeneration of neurons in the basal forebrain (Scott et al., 1995; Smith-Swintosky and Mattson, 1994; Barger et al., 1993; Shaw, 1992). One possible explanation for the development of excitotoxicity is the loss of intrinsic inhibitory mechanisms which may normally serve to control over-excitation of neurons by glutamate (Mohanakrishnan et al., 1995; Sasaki et al., 1986; Young, 1987). In the present study, we have identified four possible sources of inhibition in these neurons, postsynaptic GABA<sub>A</sub> and GABA<sub>B</sub>-receptor mediated inhibition, a presynaptic GABA<sub>B</sub>-mediated attenuation of glutamate release, and a presynaptic metabotropic receptor-induced reduction of glutamate release. This inhibitory system may represent an intrinsic defence against excitotoxic actions of glutamate. It is postulated that the loss of any one of these inhibitory systems could result in aberrant neurotransmission leading to excitotoxicity.

Figure 4-1. GABA<sub>A</sub> receptor activation mediates inhibitory neurotransmission in the hDBB. A: Currents generated by voltage ramps from -120 to -10mV (inset) under control conditions and in the presence of 10μM muscimol, a GABA<sub>A</sub> receptor agonist. The muscimol induced response reversed at -73mV. ( $V_h$ =-69mV) B: Current clamp recordings of IPSP which reverses at -75mV, close to  $E_{Cl.}$  When the cell is depolarized to -59mV, the amplitude of the IPSP is large. Hyperpolarization of the cell to -83mV caused the IPSP to reverse. (rmp=-70mV). C. Current clamp recordings showing that IPSP is reversibly blocked by 10μM bicuculline, the GABA<sub>A</sub> receptor antagonist. Blockade of the IPSP "unmasks" a slow EPSP.(rmp=-65mV).





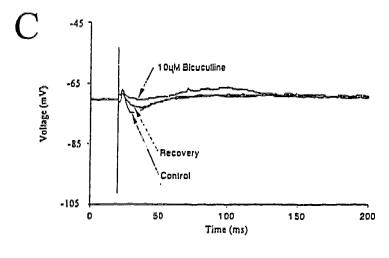


Figure 4-2. Postsynaptic responses mediated by activation of GABA<sub>B</sub> but not GABA<sub>C</sub> receptors. A. Currents generated by voltage ramps from -130 to -20mV in the presence and absence of  $10\mu\text{M}$  baclofen, the GABA<sub>B</sub> receptor agonist. This response reversed at -90mV, close to E<sub>K</sub> (V<sub>h</sub>=-72mV). B. Currents generated by voltage ramps from -120 to -20mV in the presence and absence of  $100\mu\text{M}$  CACA, the GABA<sub>C</sub> receptor agonist. The lack of response suggests that GABA<sub>C</sub> are not present on these cells (V<sub>h</sub>=-73mV).

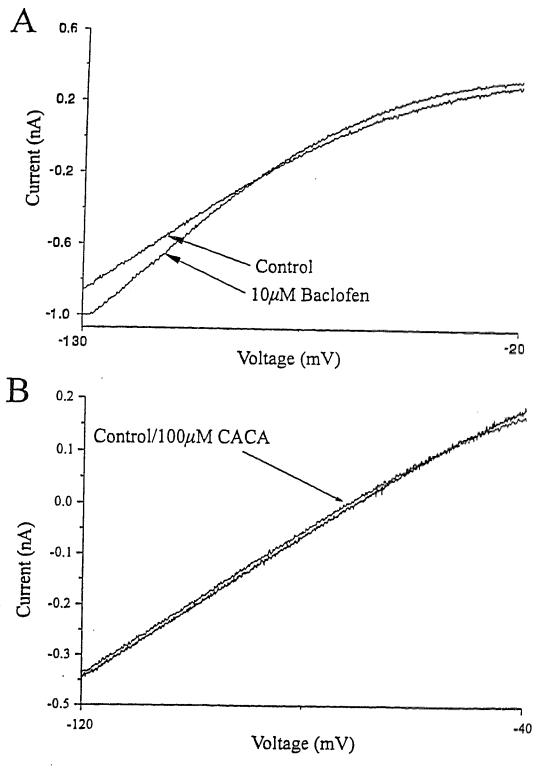


Figure 4-3. Presynaptic blockade of glutamate-mediated EPSCs. A,B. Voltage ramps from -120 to -20mV in the presence and absence of  $10\mu\text{M}$  baclofen failed to elicit a change in postsynaptic  $G_i$ . In the same cell, baclofen reversibly reduced the amplitude of the EPSC. These results suggest a presynaptic locus of action for baclofen ( $V_h$ =-71mV). C, D. In a different cell, voltage ramps from -120 to -20mV failed to elicit a change in postsynaptic  $G_i$  however, in the same cell, baclofen reversibly attenuated the EPSC amplitude. After this response had recovered, application of  $1\mu\text{M}$  NBQX reversibly eliminated the EPSC indicating that the EPSC is glutamatergic ( $V_h$ =-75mV).

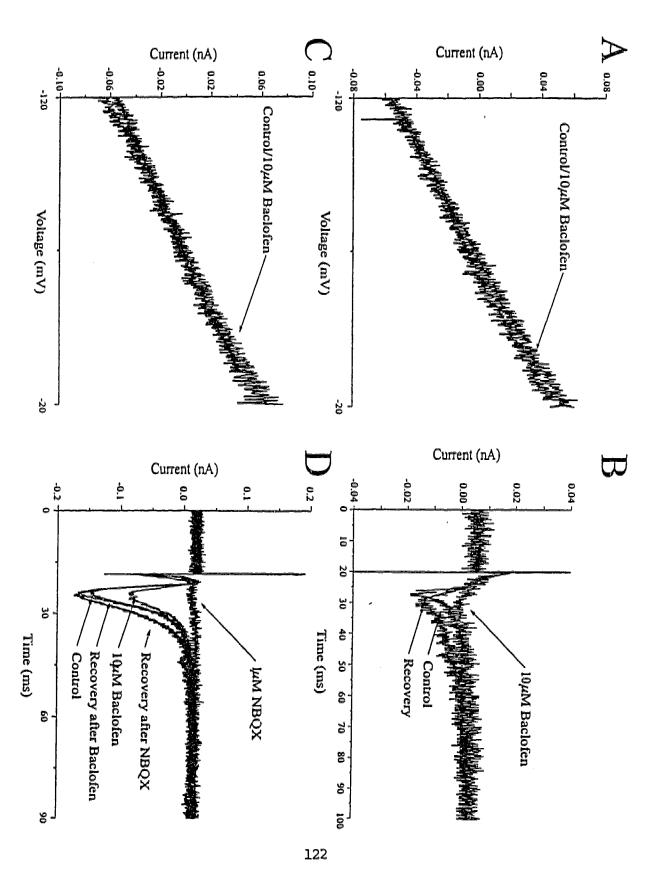
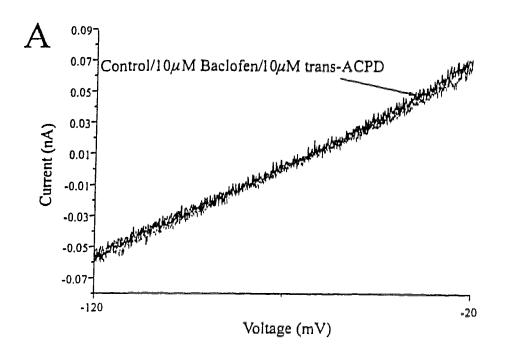


Figure 4-4. Differential distribution of GABA<sub>A</sub> and GABA<sub>B</sub> channels. A,B.  $10\mu$ M baclofen reversibly reduced EPSC amplitude with no change on postsynaptic  $G_i$  indicating a presynaptic site of action for GABA<sub>B</sub> receptors ( $V_h$ =-69mV). C. In this same cell, application of  $10\mu$ M muscimol elicited a postsynaptic change in  $G_i$  which reversed at -73mV, which is near  $E_{CI}$ . This response indicates that GABA<sub>A</sub> receptors are present postsynaptically.



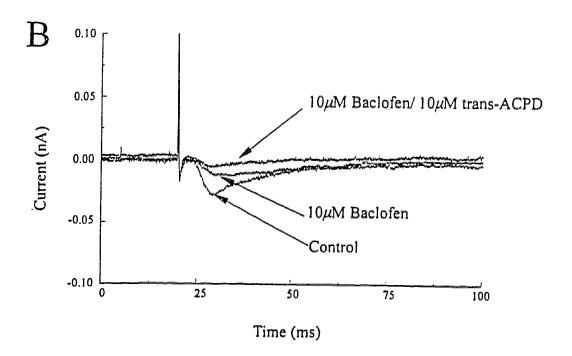


Figure 4-5. Dual actions of presynaptic GABA<sub>B</sub> and mGluR receptors on EPSC. A,B. Perfusion of  $10\mu M$  baclofen did not change postsynaptic  $G_i$ , however, it did reduce EPSC amplitude. In the presence of baclofen,  $10\mu M$  TA was applied. TA failed to alter postsynaptic  $G_i$  but further reduced the amplitude of the EPSC ( $V_h$ =-72mV).

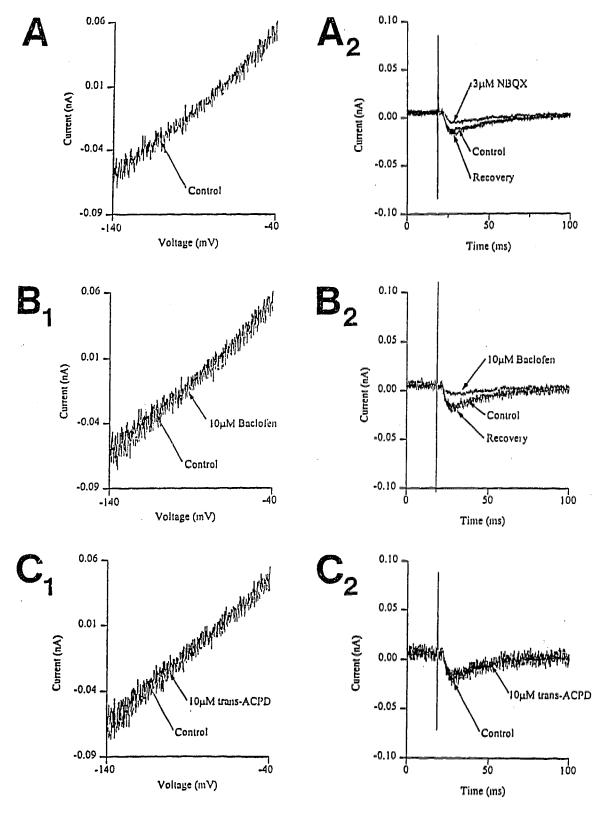
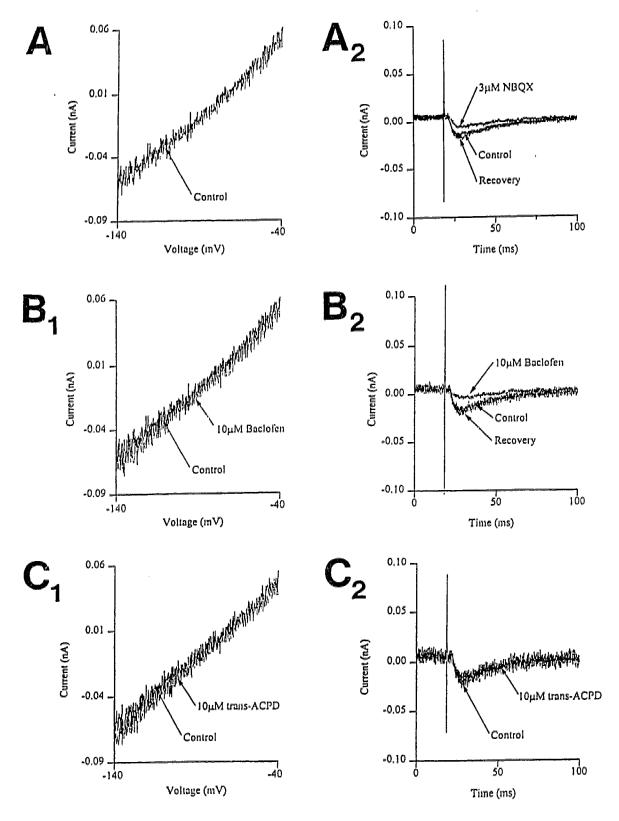


Figure 4-6. Lack of GABA<sub>B</sub> and mGluR receptor synergy in modulating excitatory synaptic responses.  $A_1$ ,  $A_2$ . Perfusion of 1 $\mu$ M NBQX reversibly attenuated EPSC amplitude. Voltage ramp performed on the cell was from -140 to -40 $\mu$ M.  $B_1$ ,  $B_2$ . In the same cell, 10 $\mu$ M baclofen elicited no postsynaptic change in  $G_i$  reduced EPSC amplitude.  $C_1$ ,  $C_2$ . In the same cell, 10 $\mu$ M TA had no effect on postsynaptic  $G_i$  nor did it reduce EPSC amplitude ( $V_h$ =-75 $\mu$ M).



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# **CHAPTER 5**

# VASOPRESSIN RECEPTOR SUBTYPES DIFFERENTIALLY MODULATE CALCIUM-ACTIVATED POTASSIUM CURRENTS IN THE HORIZONTAL LIMB OF THE DIAGONAL BAND OF BROCA

#### **ABSTRACT**

The actions of vasopressin (VP) on acutely dissociated neurones within the rat horizontal limb of the diagonal band of Broca (hDBB) were examined using the whole-cell patch clamp method.

VP elicited two distinct responses in 45 of 62 neurons. In one group of cells, 300nM VP decreased voltage-activated outward currents (26/45 cells) whereas in a second group, VP increased outward currents (19/45 cells). The VP-mediated decrease in outward current was blocked by 1 $\mu$ M Manning compound, a V<sub>1</sub> receptor antagonist, suggesting that this response was mediated via V<sub>1</sub> receptors. In contrast, the VP-induced increase in outward was blocked by 1 $\mu$ M d(CH<sub>2</sub>)<sub>5</sub>)<sup>1</sup>,D-Ile<sup>2</sup>,Ile<sup>4</sup>,Arg<sup>8</sup>,Ala<sup>9</sup>, a V<sub>2</sub> receptor antagonist, indicating that V<sub>2</sub> receptor activation underlies this second response.

VP was unable to elicit any change in voltage-activated outward currents when cells were perfused with 0 Ca<sup>++</sup>/50μM Cd<sup>++</sup> solution suggesting that VP modulates a calcium-dependent conductance. In the presence of 25nM charybodotoxin, an I<sub>C</sub> channel antagonist, VP was unable to alter outward currents therefore indicating that VP modulates I<sub>C</sub>. Currents through calcium channels which are responsible for activation of I<sub>C</sub> were unaffected by VP suggesting a direct effect of VP on I<sub>C</sub> channels.

These observations demonstrate the differential modulation of  $I_C$  channels by VP via  $V_1$  and  $V_2$  receptors in the hDBB.

# INTRODUCTION

Vasopressin (VP) was the first peptidergic neurotransmitter system detected in the mammalian brain (Bargmann and Scharrer, 1951). Early work on this peptide focused almost exclusively on its peripheral actions as an antidiuretic and as a pressor agent (Jard, 1983). As a consequence, the physiological roles subserved by VP within the CNS were not as well understood. DeWied's (1971) studies of VP-induced enhancement of conditioned learning were the first observations of a role for this peptide within the CNS. Subsequently, important physiological roles for the vasopressinergic system in the context of central cardiovascular regulation (Noszczyk et al., 1993), fluid balance (Iovino and Steardo, 1985) and antipyresis (Pittman et al., 1988) were characterized.

VP mediates its effects via  $V_1$  and  $V_2$  receptors which are coupled to phospholipase C and adenylate cyclase, respectively (Brinton and Brownson, 1993).  $V_1$  receptors are located principally on postsynaptic membranes and exert excitatory effects on CNS neurones. In rat facial and hypoglossal motoneurones, activation of postsynaptic  $V_1$  receptors elicits a TTX-insensitive, voltage-gated  $Na^+$  current leading to depolarization of these cells (Palouzier-Paulignan et al., 1994; Raggenbass et al., 1991). Stimulation of  $V_1$  receptors also excites lateral horn neurones in the rat, but this excitation is the result of a reduction in a postsynaptic potassium current (Ma and Dun, 1985).

 $V_2$  receptors within the CNS evoke more heterogeneous responses.  $V_2$  receptor activation in the rat septum increased excitatory neurotransmitter and vasopressin release through a presynaptic mechanism (Urban and DeWeid, 1986). In contrast, activation of presynaptic  $V_2$  receptors reduced VP release from rat hypothalamus (Cheng and North,

1989).  $V_2$  receptor actions have also been observed postsynaptically. Pretreatment of rodent ventral septal neurones with a  $V_2$  agonist attenuated the efficacy of subsequent applications of glutamate via a postsynaptic mechanism (van Eerdenburg and Pittman, 1991).

Both V<sub>1</sub> and V<sub>2</sub> receptors are expressed throughout the septal region in the rat brain (Urban and DeWeid, 1986; Shewey and Dorsa, 1988; van Eerdenburg and Pittman, 1991). High concentrations of VP-positive fibers have been identified in the DBB, a basal forebrain region that is located within the septal area (Ulfig et al, 1993; Caffe et al., 1989). Anatomically, the DBB is subdivided into the vertical limb and the horizonal limb (hDBB). The hDBB, which contains a high complement of cholinergic and GABAergic neurones, has been of particular interest because of its integral roles in autonomic cardiovascular regulation (Jhamandas and Renaud, 1986a,b, 1987), fluid balance (Iovino and Steardo, 1985), and mechanisms associated with memory and learning (Chrobak et al., 1989; Givens and Olton, 1990). Despite the presence of VP-positive fibers and receptors within the hDBB and the clear overlap in physiological functions ascribed to both the hDBB and VP, studies investigating neuromodulation by VP in this nucleus are lacking.

In the present sudy, the cellular mechanisms underlying VP actions were examined on acutely dissociated rat hDBB neurones. The receptor pharmacology and the ionic mechanisms underlying VP responses were investigated with whole cell patch clamp recordings. Our results suggest that VP differentially modulates a calcium-activated K' current via  $V_1$  and  $V_2$  receptors.

## RESULTS

# Actions of VP in a Forebrain Slice Preparation

Application of 300nM VP produced two responses with regard to the excitability of hDBB cells recorded in forebrain slices. During a depolarizing pulse, VP application evoked an increase in AP discharge rate in one population (2/4 cells: Fig. 5-1A), and a reduction in the firing frequency of a second group of hDBB neurons (2/4 cells; Fig. 5-1B).

We subsequently examined the effects of VP on ionic conductances elicited using both voltage step and voltage ramp protocols. Applications of VP also elicited two distinct responses. In one group of cells, the neuropeptide caused a decrease in outward current (n=5) whereas in a second group, VP increased outward current (n=4). The I-V plot in Fig. 5-2A shows a VP-mediated decrease in outward current in an hDBB cell. Fig. 5-2B shows the increase in outward current generated by VP in an hDBB neuron. This plot was generated by a voltage ramp from -110 to +30mV.

To examine whether the  $V_1$  or  $V_2$  receptor subtypes mediated these two responses, single voltage steps were applied every 30 seconds to cells recorded using the perforated patch method and the steady state currents were plotted versus time (see inset of Fig. 5-3A). As shown in Fig. 5-3A, VP was applied in the presence and absence of the  $V_1$  receptor antagonist, MC. In the absence of MC, VP decreased outward currents (44±9% reduction; n=5). However, this effect was blocked when VP was applied in the presence of MC. This observation suggests that the VP-induced reduction in outward current is mediated by the  $V_1$  receptor.

Fig. 5-3B shows data from a representative hDBB cell where application of VP

elicited a prolonged increase in outward current (45±8%; n=4). This response did not completely recover even though the recording was conducted for over one hour. Two problems became apparent with this approach to study VP responses in the brain slice. First, VP responses consistently took upwards of one hour to recover. This prolonged recovery time hampered our efforts to pharmacologically characterize the VP receptor subtypes mediating each of the above responses. Prolonged duration of response to peptide applications have been observed in other preparations (R. McQuiston, personal communication) and it has been suggested that this may be the result of the larger peptides becoming enmeshed in the tissue thereby requiring more time to washout. Secondly, in spite of the use of perforated patch method of recordings, there was a progressive "rundown" of baseline current (Fig. 5-3A). Although it may be possible to qualitatively identify the nature of VP responses in presence of antagonists, we were also concerned at our inability to study ionic conductances underlying VP's actions using this approach. The use of an acutely dissociated cell preparation could eliminate this problem as the cells are dispersed on a coverslip in a petri dish, an approach that facilitates washout of applied peptides. With this rationale, we utilized an acutely dissociated preparation of rat hDBB neurons to conduct further pharmacological studies to examine the actions of VP on these cells.

# Actions of VP in an Acutely Dissociated hDBB Cell Preparation

# Effects of VP on outward currents

Exogenous application of 300nM VP on 62 acutely dissociated hDBB neurones produced two distinct responses in 45 neurones. In one group of neurones, VP caused a

decrease in voltage-activated outward currents (26/45 cells). This is demonstrated in Fig. 5-4A which is an I-V plot from a representative hDBB cell. Under control conditions, no current is observed until the cell is depolarized beyond -40mV. In this same cell, application of VP reversibly decreased the outward current. The inset in Figure 5-4A shows the currents evoked by depolarizing this cell from a holding potential of -80mV to +30mV under control conditions and in the presence of VP. Figure 5-4B illustrates the VP-induced decrease in outward current-density in the voltage range from -40 to +30mV. At +30mV, the current density under control conditions (0.33  $\pm$  0.02nA/pF) was significantly different from that in the presence of VP (0.25  $\pm$  0.02nA/pF; P<0.01; Figure 5-4B). The average capacitance of these cells was 17  $\pm$ 1.2pF. These data show that VP decreases voltage-activated outward current in this group of hDBB neurones.

In a second population of cells, application of VP generated an increase in voltage-activated outward currents (19/45 cells). Figure 5-5A shows a representative VP-induced increase in the outward current of an hDBB neurone. This increase in current started typically at -40mV and continued to increase as cells were depolarized. The inset of Figure 5-5A shows the currents evoked by this cell in response to a depolarizing step from -80 to +30mV in the presence and absence of VP. Figure 5-5B is a current density plot in which the voltage-activated outward currents were increased by VP. The current density at +30mV under control conditions (0.33  $\pm$  0.02nA/pF) increased in the presence of VP (0.40  $\pm$  0.02nA/pF; P<0.01). The average capacitance of these cells was  $18.7 \pm 1.3$ pF. The data from this population of cells illustrate a VP-mediated increase in the voltage-activated outward currents.

VP did not induce desensitization in either cell population and responses were reproducible with repeated VP applications. We also noted that the time required for recovery in the acutely dissociated cell preparation was much shorter compared to the brain slice. Using the acutely dissociated cells, the decrease in outward current induced by VP usually recovered in less than 10 minutes after drug application was terminated, whereas the VP-induced outward current typically required ≥25 minutes to recover to control levels.

# Receptor pharmacology of VP responses

Since VP receptors comprise a heterogeneous group of  $V_1$  and  $V_2$  receptor subtypes, we hypothesized that the two distinct VP mediated responses might result from selective activation of specific receptor subtypes. Therefore, we examined the identity of receptors underlying specific VP responses using selective  $V_1$  and  $V_2$  receptor antagonists.

Figure 5-6A shows data from an hDBB neurone where VP reversibly decreased voltage-activated outward current. Subsequent applications of VP in the presence of the  $V_1$  receptor antagonist, MC (1 $\mu$ M), were unable to reduce outward current (Figure 5-6B). This is further illustrated in the insets of Figures 5-6A and 5-6B which show currents evoked by voltage steps from -80 to +30mV. Under control conditions, VP decreased the current elicited by the step whereas in the presence of MC, the VP effect is blocked. The plot in Figure 5-6C is the average current density-voltage relationship obtained from 8 hDBB neurones under control conditions, in the presence of MC, and VP application in the presence of MC. The current density at +30mV under control conditions (0.31  $\pm$  0.03nA/pF) was not significantly different from that observed when VP and MC were applied together (0.31  $\pm$  0.03nA/pF; P>0.99; n=8). Thus, MC blocked the VP-induced decrease in outward currents

suggesting that this particular response is mediated via V<sub>1</sub> receptors.

Figure 5-7A shows a typical I-V plot obtained from an hDBB neurone where VP caused an increase in the outward current. Subsequent increases were blocked by the selective  $V_2$  antagonist,  $d(CH_2)_5^1$ , D-Ile², Ile⁴, Arg³, Ala⁵ (1µM) (Figure 5-7B). The insets of Figure 5-7A and 4B are the recordings of currents evoked by voltage steps to +30mV from a holding potential of -80mV. They further confirm that the increase in current elicited by VP can be blocked by the  $V_2$  antagonist. The average current density-voltage relationships obtained in 8 cells under control conditions and  $V_2$  antagonist applications in the presence and absence of VP are shown in Figure 5-7C. The current density measured at +30mV under control conditions (0.34 ± 0.03nA/pF) was not significantly different (P>0.99) from that observed when  $d(CH_2)_5^1$ , D-Ile², Ile⁴, Arg³, Ala⁵ blocked the VP-induced increase in voltage-activated currents (0.33 ± 0.03nA/pF). These data demonstrate that the VP-induced increase in outward currents is mediated via  $V_2$  receptors.

Although the V<sub>1</sub> antagonist blocked the VP-induced decrease in outward currents and the V<sub>2</sub> antagonist blocked the VP-induced increase in outward currents, there remains the possibility of a non-selective antagonism of the VP receptor and cross reactivity of antagonists between the two receptor subtypes. We examined this possibility by applying MC to block VP mediated increases in outward current and the V<sub>2</sub> receptor antagonist to block VP-mediated decreases in outward current. The changes in current induced by VP were unaffected by these cross applications of antagonists (data not shown), indicating that VP mediates its effects on hDBB neurones via specific receptor subtypes.

# Ionic mechanisms of VP-mediated responses

# a) Blockade of Ca++ occludes VP responses

Mammalian CNS neurones exhibit a variety of K' currents. The K' currents that modulate AP shape and firing rates include the voltage-activated transient outward current,  $I_A$ ; the classical Hodgkin-Huxley type delayed rectifier,  $I_K$ ; and the calcium-activated potassium currents,  $I_{K(Ca)}$ . Since VP affects currents evoked from holding potentials positive to -40mV, it is unlikely that VP affects  $I_A$  as this current is inactivated at this potential. Given that VP modulates outward currents that are activated at voltages beyond -40mV, we postulated that it may exert its effects on other K<sup>+</sup> currents.

To examine whether VP responses were mediated via  $I_K$  or  $I_{K(Cu)}$ , a 0 Ca<sup>++</sup>/50 $\mu$ M Cd<sup>++</sup> ACSF solution was perfused to block the Ca<sup>++</sup> influx responsible for activation of  $I_{K(Cu)}$ . Figure 5-8A and B represent data from a typical cell. As shown in Figure 5-8A and inset, application of VP generated a decrease in outward current. After the VP response had recovered, the cell was perfused with the 0 Ca<sup>++</sup>/50 $\mu$ M Cd<sup>++</sup> ACSF solution and VP was then re-applied under these conditions. The calcium-free solution reduced outward current compared to the control and subsequent application of VP in the presence of calcium-free solution did not induce a further decrease in outward current (Figure 5-8B and inset). The current density plot in Figure 5-8C confirms that Ca<sup>++</sup>-free solution reduced outward currents compared to that under control conditions at +30mV (control: 0.33 ± 0.02nA/pF; 0 Ca<sup>++</sup>/50 $\mu$ M Cd<sup>++</sup>: 0.26 ± 0.02nA/pF; P<0.01; n=10). Furthermore, VP-induced reduction of outward currents was not reproducible in the Ca<sup>++</sup>-free solutions (VP+0 Ca<sup>++</sup>: 0.25 ± 0.02 nA/pF; n=10). Our data also indicate that perfusion of the Ca<sup>++</sup>-free solution reduced

outward current to similar levels as those by VP in control ACSF (0.26  $\pm$  0.02nA/pF; P>0.99; see Figure 5-8-4C). These observations suggest that the V<sub>1</sub>-receptor mediated decrease in outward current occurs via a Ca<sup>++</sup>-activated current.

Figure 5-9A and inset show data acquired from a neurone where application of VP generated an increase in outward current. In this same cell, perfusion of 0 Ca<sup>++</sup>/50 $\mu$ M Cd<sup>++</sup> ACSF reduced the outward current and the subsequent addition of VP in 0 Ca<sup>++</sup> ACSF did not elicit an increase in outward current (Figure 5-9B and inset). This response was observed in 9 neurones where current density at +30mV was significantly reduced to 0.27  $\pm$  0.02 nA/pF in 0 Ca<sup>++</sup> ACSF compared to 0.34  $\pm$  0.02nA/pF in control solutions (P<0.01; Figure 5-9C). VP in the presence of 0 Ca<sup>++</sup> external solution did not induce any change in current density (0.28  $\pm$  0.02nA/pF; P>0.99) compared to 0 Ca<sup>++</sup> alone at +30mV (Figure 5-9C). Thus, as observed with the V<sub>1</sub>-receptor mediate response, the V<sub>2</sub>-receptor mediated increase in outward current also occurs via a Ca<sup>++</sup>-activated current.

# b) VP modulation of $I_C$ currents

There are two major families of  $I_{K(Ca)}$ , the large, voltage-dependent current referred to as  $I_C$  and the smaller, voltage-insensitive current referred to  $I_{AHP}$ .  $I_C$  can be selectively blocked by the scorpion toxin, CTX, (Goh et al., 1992) whereas  $I_{AHP}$  is selectively blocked by apamin, an active ingredient in bee venom (Womble and Moises, 1993). In dissociated hDBB neurones, application of 50nM apamin had little effect on currents evoked by the voltage steps whereas application of 25nM CTX reduced outward current. This indicates that apamin-sensitive  $I_{AHP}$  does not contribute significantly to the voltage-activated steady-state outward currents generated by applying depolarizing voltage commands.

Consequently, we examined the ability of CTX to occlude both the VP-induced increase and decrease in outward currents. As shown in Figure 5-10A and inset, VP evokes a readily reversible decrease in outward current. After recovery, CTX decreases outward current compared to control (Figure 5-10B). Application of VP in the presence of CTX does not decrease current as compared to CTX alone (Figure 5-10B and inset.). Examination of Figure 5-10C reveals that at  $\pm 30$ mV, the current density is  $0.34 \pm 0.01$ nA/pF in control cells. This is reduced to  $0.28 \pm 0.02$ nA/pF in CTX and  $0.27 \pm 0.02$ nA/pF in the VP+CTX solution (P<0.01; n=8). CTX reduces the outward current by the same amount as VP under control conditions (P>0.99; see Figure 5-4C) and 0 Ca<sup>++</sup> (P>0.99; see Figure 5-8C). These data further confirm that VP likely blocks  $I_C$  in hDBB cells.

Figure 5-11A and inset show data from a neurone where application of VP increased outward current. In this same cell, application of CTX reduced outward current and subsequent application of VP with CTX did not elicit any further increase in current (Figure 5-11B). The current-density at +30mV was  $0.35 \pm 0.01$ nA/pF and  $0.30 \pm 0.02$ nA/pF in control and VP/CTX solutions, respectively (P<0.01; n=9; Figure 5-11C). This observation indicates that the VP-induced outward current is mediated by an increase in I<sub>C</sub>.

In summary, these results demonstrate that VP modulates  $I_C$  currents in hDBB neurones via the differential activation of distinct VP receptor subtypes.

#### c) Effect of VP on calcium currents

The influx of Ca<sup>++</sup> required for the activation of  $I_C$  channels is derived from the activation of voltage-gated Ca<sup>++</sup> channels (Augustine and Charlton, 1986). The effects of VP on  $I_C$  current could result either from a direct effect on the  $I_C$  channel itself or on the Ca<sup>++</sup>

channels. To address this question, we examined the effects of VP on current through calcium channels in hDBB neurones. Ba<sup>++</sup> was used as the charge carrier. Figure 5-12 illustrates the I-V relationship of I<sub>Ba</sub> evoked by applying 20ms steps to different depolarizing voltage commands from a holding potential of -80mV under control conditions and in the presence of VP. VP did not significantly alter I<sub>Ba</sub> (n=14; P>0.99). In these same cells, we have observed that applications of neurotensin and Zn<sup>++</sup>caused approximately 30% and 85% decreases in I<sub>Ba</sub>, respectively, (unpublished observations) which indicates that I<sub>Ba</sub> is capable of being modulated in these neurones. These data suggest VP is likely to modulate the I<sub>C</sub> channel directly rather than exert its effects at the level of calcium channels.

# **DISCUSSION**

Our data suggest that VP modulates conductance through  $I_C$  channels in hDBB neurones. Specifically, activation of the  $V_1$  receptor decreases  $I_C$  current in one set of neurones, whereas  $V_2$  receptor activation increases  $I_C$  current in a second population of cells within this basal forebrain region. The inability of VP to modulate  $I_{Ba}$  suggests that  $V_1$  and  $V_2$  receptors directly modulate the  $I_C$  channel. In the context of currently known actions of VP effects on central mammalian neurones, our results appear to be the first demonstration of a differential modulation of an ionic current,  $I_C$ , by different VP receptor subtypes.

#### Whole cell recording and VP responses

Under our recording conditions in the acutely dissociated preparation,  $I_C$  accounted for approximately 20% of the total outward current at +30mV. However, the internal patch pipette solution contained 10mM EGTA. This can result in significant attenuation of  $Ca^{++}$ -

activated conductances due to intracellular calcium buffering. This may have led to underestimation of the contribution of  $I_C$  to the total voltage-activated outward current. Nonetheless, we were able to evoke  $I_C$  under these conditions as evident from the decrease in total outward current when external calcium was removed. The voltage range within which VP affects currents (Fig. 5-4, 5-5), and the occlusion of the VP-response by blocking calcium influx (Figs. 5-6, 5-7) and by CTX (Figs. 5-10, 5-11) are consistent with the notion that VP modulates  $I_C$ . If significant intracellular calcium buffering by EGTA had occurred, this would result in an underestimation of the contribution of  $I_C$  and thus, of the effect of VP on  $I_C$ . Therefore, under normal physiological conditions,  $I_C$  may make a much larger contribution to the voltage-activated outward current and VP may have a larger effect.

We also attempted to address this issue by lowering the concentration of EGTA in the internal solution to as low as 0.5mM. However, we observed that low EGTA concentrations rendered hDBB neurones non-viable for recording. It is likely that low concentrations of EGTA are unable to properly buffer calcium influx, consequently allowing intracellular calcium concentration to rise to toxic levels.

Another issue that must be addressed was the observation that the relative effects of VP in the slice were typically greater that those observed in the acutely dissociated preparation. Although the reason for this is not clear, it may be due to the fact that some of the VP receptors were damaged in the acute dissociation procedure and therefore rendered non-functional. This would further suggest that the responses recorded in the acutely dissociated preparation may underestimate of the actual VP effect in these cells.

#### Localization of VP receptor subtypes

The data from this study suggest that exogenous applications of VP on individual hDBB neurones activates either the V<sub>1</sub> or V<sub>2</sub> receptor but not both. If both receptor subtypes were present on the same cell, then in the presence of one of the VP receptor antagonists, VP might be expected to evoke an effect via the unblocked receptor. However, as illustrated in Figs. 5-6 and 5-7, VP effects could be completely blocked by application of a single antagonist. A possible explanation for this observation may be that the VP antagonists are nonselective. We examined this possibility and did not observe nonselective actions of either VP receptor antagonist. Furthermore, others have examined the selectivity of these antagonists ad also determined that they are highly specific for their respective receptor subtypes at the concentrations used in this study (Brinton and McEwen, 1989; Manning and Sawyer, 1989).

There are two main cell types within the hDBB: magnocellular cholinergic neurones and parvocellular GABAergic cells. The possibility exists that each chemically distinct cell type may possess one of the VP receptor subtypes. However, this seems less likely as we observed no difference in the membrane capacitance between cells demonstrating either of the VP responses which suggest that the cells which contain the VP receptors comprise a homogeneous population of hDBB neurones. The possibility also exists that the enzymatic and mechanical processes used to separate the neurones may have resulted in the loss of neuronal arborization and artifactually rendered cells of a uniform size.

## Mechanism of action of VP receptors

The  $V_1$  and  $V_2$  receptors make up two distinct receptor families where  $V_1$ 

receptors activate the phospholipase C pathway and the  $V_2$  receptors typically act via adenylyl cyclase (Brinton and McEwen, 1989).  $V_1$  receptors have been further delineated into two distinct subtypes:  $V_{1a}$  receptors which are localized in the CNS, liver, and vasculature (Kirk and Mitchell, 1981; Bone et al., 1984; Shewey and Dorsa, 1988; and Morel et al., 1992) and  $V_{1b}$  receptors which are present primarily in the anterior pituitary (Baertschi and Friedli, 1985). The  $V_2$  receptor family also has two members:  $V_{2a}$  receptors found in the kidney (Jard, 1983) and the putative  $V_{2b}$  receptors distributed within the brain (Brinton and Brownson, 1993). If this subdivision of  $V_1$  and  $V_2$  receptor holds, then hDBB neurones likely express  $V_{1a}$  and  $V_{2b}$  receptor subtypes. However, this specific classification of  $V_1$  actions cannot be confirmed until specific antagonists are developed to distinguish between the various  $V_1$  and  $V_2$  receptor subtypes. At present, MC and  $d(CH_2)_5{}^1$ ,D-Ile<sup>2</sup>,Ile<sup>4</sup>,Arg<sup>8</sup>,Ala<sup>9</sup> are only able to delineate between  $V_1$  and  $V_2$  receptors.

The signal transduction mechanism that underlies the effects of VP on I<sub>C</sub> was not investigated. It is possible that the actions of the V<sub>1</sub> and V<sub>2</sub> receptors identified in this study are mediated via activation of specific G-protein coupled second messenger pathways. We consider this to be unlikely since the use of the whole cell patch clamp technique would have resulted in the washout of several important cytosolic components thereby attenuating responses in long term recordings (Penner et al., 1987). In most cases in this study, electrophysiological recordings lasted longer than 30 minutes which suggests it is more likely that VP's effects may be a result of a membrane-delimited mechanism such as direct activation of G-protein(s) coupled to the I<sub>C</sub> channel itself.

# Intrinsic properties of hDBB neurones

As our study is the one of the first to examine hDBB cells in the acutely dissociated cell preparation, some aspects of intrinsic properties of these neurones deserve comment. Intracellular recordings from DBB neurones in the slice have shown the presence of a slow afterhyperpolarization that follows action potentials in cholinergic neurones (Markram and Segal, 1990), but not in non-cholinergic, putative GABAergic cells (Griffith, 1988). The concentration of apamin used to block I<sub>AHP</sub> in our experiments was well above the effective concentration required to block I<sub>AHP</sub> in other CNS neurones (IC<sub>50</sub> 1.3nM; Bourque and Brown, 1987). Based on the lack of effect of apamin, I<sub>AHP</sub> channels are not present in sufficiently large concentration to contribure to currents generated by depolarizing steps. This suggests that these cells likely belong to the non-cholinergic, putative GABAergic cell population. Alternatively, the possibility that I<sub>AHP</sub> channels may not survive the enzymatic treatment and the trituration procedure cannot be discounted.

# Functional role of Ic in hDBB neurones

The  $I_C$  channels identified in hDBB neurones appear to be similar to those characterized in other CNS neurones, namely, their activation is positive to -40mV and they are highly sensitive to Ca<sup>++</sup> influx (Belluzzi, 1985). Functionally, the  $I_C$  channel is observed to modulate AP repolarization (Sah and McLachlan, 1992) and contribute to the initial component of the AHP (Zhang and McBain, 1995). In particular, regulation of the  $I_C$  contribution to the AHP may modulate the AP firing frequency of these cells. Blockade of  $I_C$  can lead to a dramatic increase in the firing rate (Goh et al., 1989) by decreasing the amplitude and duration of the AHP, whereas an increase in  $I_C$  would have opposite effects

on the AHP and therefore reduce the AP discharge rate. Thus, the differential modulation of  $I_C$  by VP may confer upon this peptide the ability to fine tune the excitability of hDBB neurones. Specifically, VP may preferentially increase the discharge rate of those cells through  $V_1$  receptor activation by decreasing  $I_C$ . On the other hand, activation of the  $V_2$  receptor would likely restrict their firing rate consequent to an  $I_C$ -mediated increase in AHP duration. In this manner, VP may be able to differentially regulate the behavior of hDBB neurones and therefore control how this region interacts with target neurones.

Physiologically, we speculate that the differential modulation of I<sub>C</sub> receptors subserves an integral role in the hDBB's regulation of central cardiovascular functions. Dense VP projections to the DBB have been identified to originate from the bed stria of the nucleus terminalis and the amygdala (Pare and Smith, 1994; Wilkinson and Pittman, 1995). Each of these regions responds to extrinsic signals related to changes in blood pressure (Alheid, de Olmos, and Beltramino, 1995; Negoro et al., 1973) and likely relays these inputs to the DBB, through identified pathways. In turn, this innervation may mediate DBB regulation of VP release from the supraoptic nucleus (Jhamandas and Renaud, 1986a,b, 1987).

Figure 5-1. Vasopressin modulates excitability of hDBB cells. A,B. In a cell resting at -75mV, perfusion of 300nM VP increased the firing frequency of hDBB neurons (*control*: AP height: 56±4mV; AP width: 2.4±0.3ms; AHP duration: 57.6±8.0ms; AHP amplitude: 5.86±1.2mV; <u>VP</u>: AP height: 54mV±5mV; AP width: 4.0±0.8ms; AHP duration: 29.6±10.1ms; AHP amplitude: 2.93±1.4mV; n=2). The depolarizing step was 0.18nA in amplitude and 1600ms in duration. C,D. In another hDBB cell (rmp=-71mV), perfusion of 300nM reduced the AP firing frequency (*control*: AP height: 67±8mV; AP width: 4.2±1.8ms; AHP duration: 156.6±12.4ms; AHP amplitude: 10.7±2.5mV; <u>VP</u>: AP height: 68±7.2mV; AP width: 3.0±1.2ms; AHP duration: 178.8±25.4ms; AHP amplitude: 8.79±4.7mV; n=2). The depolarizing step was 1000 ms in duration and 0.16nA in amplitude.

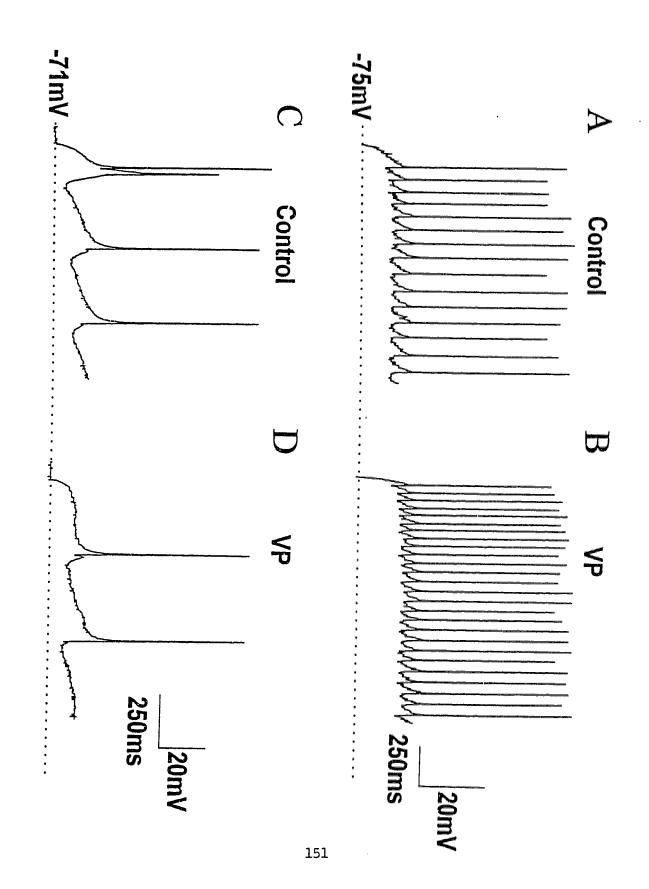


Figure 5-2. Vasopressin modulation of outward currents in rat forebrain slices. A. Cells were held at -80mV and depolarizing step commands were applied to +30mV (increment 10mV/step; 100ms) to evoke currents. Steady state currents were measured and I-V relationships were plotted. The plot represents an I-V relationship from a neuron where application of 300nM VP reversibly decreased outward current. B. Currents evoked from voltage ramps from -110 to +30mV (9mV/s) generated under control conditions and in the presence of 300nM VP. VP reversibly increased outward currents in this cell. The VP-mediated responses indicated in both A and B were acquired in the presence of 1μM TTX.

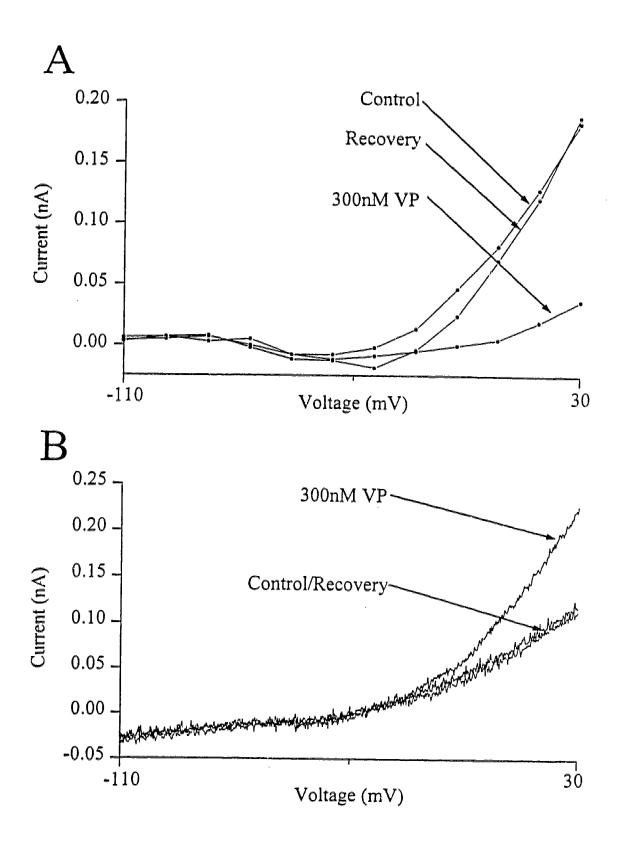
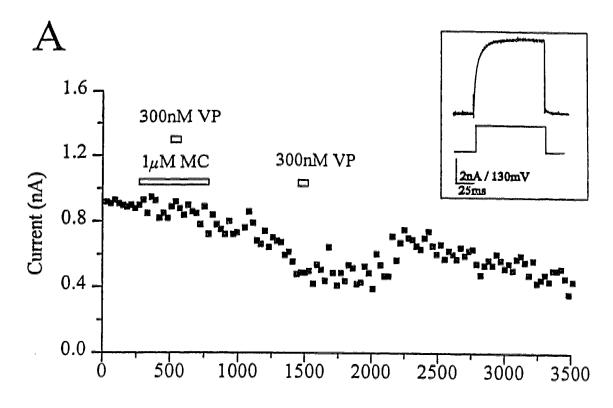
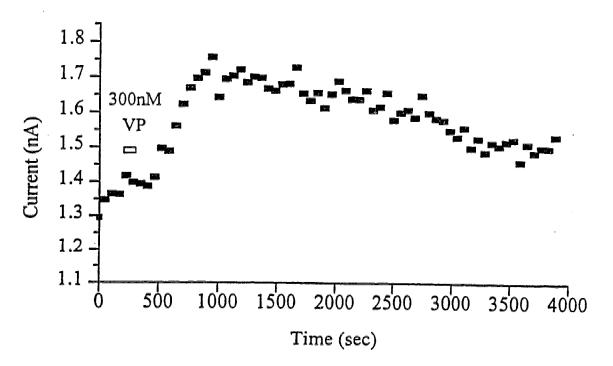


Figure 5-3. Effects of perfusion of VP on two populations of cells. Single voltage steps were applied every 30 seconds and the steady state currents were plotted against time. A. In the presence of 1μM MC, a V₁ receptor antagonist, VP was unable to elicit a decrease in outward currents. However, in the absence of this antagonist, VP reversibly reduced outward currents. B. VP-induced outward currents in a representative hDBB cell. The response had not completely recovered after 1 hour of recording.







**Figure 5-4.** Vasopressin mediated decrease in outward currents in acutely dissociated neurons. Cells were held at -80mV and depolarizing step commands were applied to +30mV (increment 10m<sup>2</sup> p; 100ms) to evoke currents. Steady state currents were measured and I-V relationships were plotted. **A.** Graph showing I-V relationships from an hDBB neuron where application of 300nM VP reversibly decreased outward current. The inset shows currents evoked by applying a voltage step from to +30mV from a holding potential of -80mV under control conditions and in the presence of VP. **B.** Current density-voltage plot of the averaged data obtained from 26 hDBB cells. VP reduced we outward current in the voltage range from -40mV to +30mV. Error bars show s.e.m.

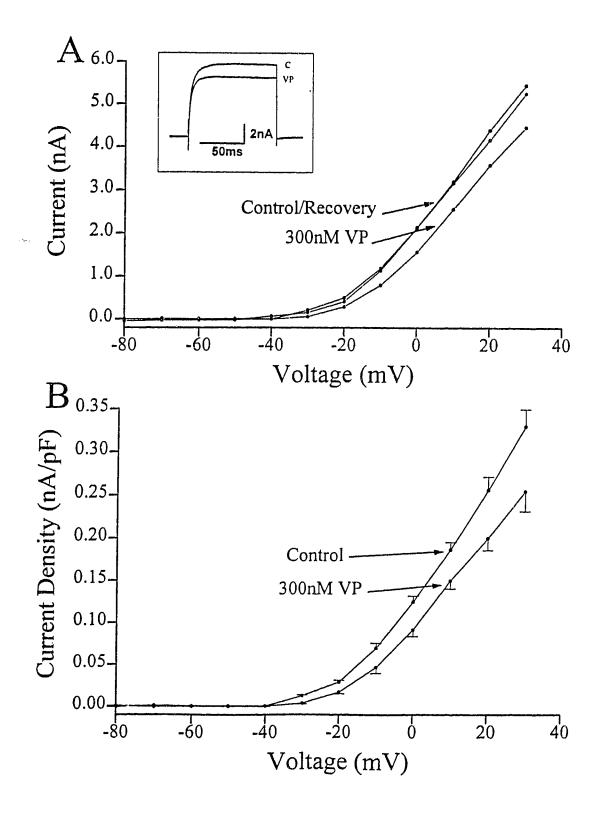


Figure 5-5. Vasopressin mediated increase in outward currents. Cells were held at -80mV and depolarizing step commands were applied to +30mV (increment 10mV/step; 100ms) to evoke currents. Steady state currents were measured and I-V relationships were plotted. A. Graph showing I-V relationships from an hDBB neuron where application of 300nM VP reversibly increased outward current. The inset shows currents evoked by applying a voltage step from to +30mV from a holding potential of -80mV under control conditions and in the presence of VP. B. Current density-voltage plot of the averaged data obtained from 19 hDBB cells. VP increased outward current in the voltage range from -40mV to +30mV. Error bars show s.e.m.

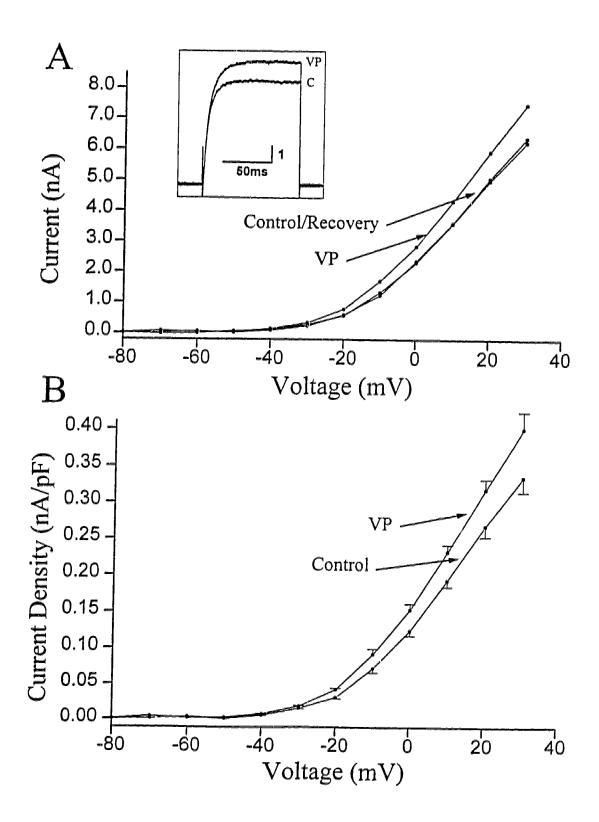


Figure 5-6. V<sub>1</sub> receptor antagonist, MC, blocked VP-mediated decrease in outward currents. A. A typical I-V relationship illustrating VP-induced decrease in outward currents in an hDBB neuron (similar to that shown in Fig. 5-4A). B. I-V relationship of currents evoked under control conditions and in the presence of MC and VP in the same neuron. This graph illustrates a complete blockade of VP-mediated reduction in outward current by the V<sub>1</sub> antagonist. C. Current density-voltage relationships obtained from 8 cells under control conditions, in the presence of MC, and MC in the presence of VP.

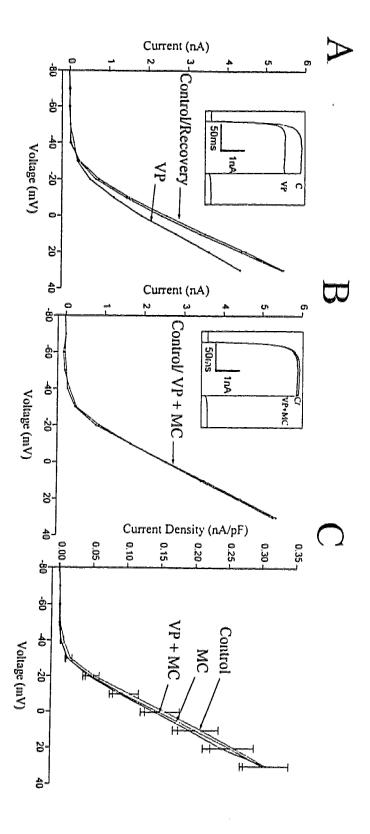


Figure 5-7. V<sub>2</sub> receptor antagonist (d(CH<sub>2</sub>)<sub>5</sub> ',D-Ile <sup>2</sup>,Ile <sup>4</sup>,Arg <sup>8</sup>,Ala <sup>9</sup>) blocked VP-mediated increase in outward currents. A. A typical I-V relationship showing a VP-induced increase in outward currents in an hDBB neuron (similar to that shown in Fig. 5-5A). B. I-V relationship of currents evoked under control conditions and in the presence of the V<sub>2</sub> receptor antagonist and VP in the same neuron. This graph illustrates a complete blockade of VP-mediated increase in outward current by the V<sub>2</sub> receptor antagonist. C. Current density-voltage relationships obtained from 8 cells under control conditions, in the presence of the V<sub>2</sub> receptor antagonist, and the V<sub>2</sub> receptor antagonist in the presence of VP.

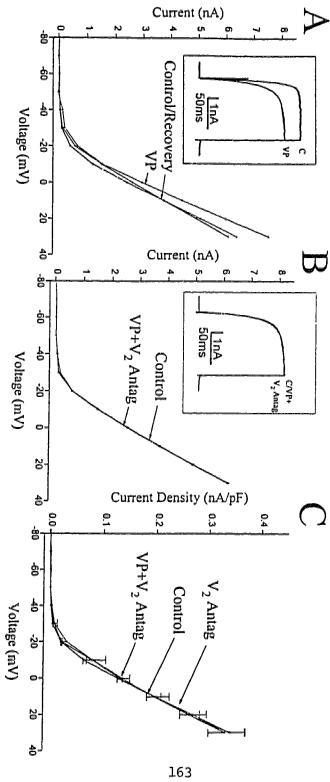
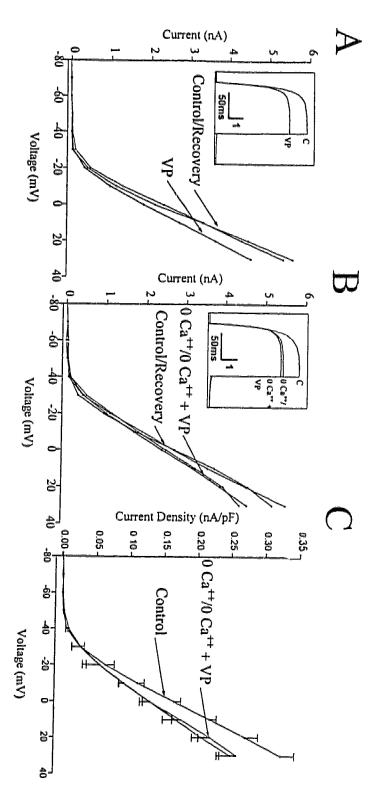


Figure 5-8. Blockade of Ca++-influx blocked VP-induced decrease in outward current.

**A.** A typical I-V relationship depicting a VP-induced decrease in outward currents in an hDBB neuron (similar to that shown in Fig. 5-4A). **B.** I-V plot of the currents evoked under control conditions, and in 0 Ca<sup>++</sup> external solution in the presence and absence of VP. This graph illustrates that in the absence of Ca<sup>++</sup> influx, VP response was abolished. Inset shows the currents evoked by applying voltage steps from -80mv (holding potential) to +30mV under control conditions (C), in 0 Ca<sup>++</sup>, and 0 Ca<sup>++</sup> with VP. **C.** Current density-voltage plots obtained from 10 cells under conditions, and in 0 Ca<sup>++</sup> external solution in the presence and absence of VP.



## Figure 5-9. Blockade of Ca++-influx blocked VP-induced increase in outward current.

A. A typical I-V relationship depicting a VP-induced increase in outward currents in an hDBB neuron (similar to that shown in Fig. 5-5A). B. I-V plot of the currents evoked under control conditions, and in 0 Ca<sup>++</sup> external solution in the presence and absence of VP. This graph illustrates that in the absence of Ca<sup>++</sup> influx, VP response was blocked. Inset shows the currents evoked by applying voltage steps from -80mv (holding potential) to +30mV under control conditions (C), in 0 Ca<sup>++</sup>, and 0 Ca<sup>++</sup> with VP. C. Current density-voltage plots obtained from 9 cells under conditions, and in 0 Ca<sup>++</sup> external solution in the presence and absence of VP.

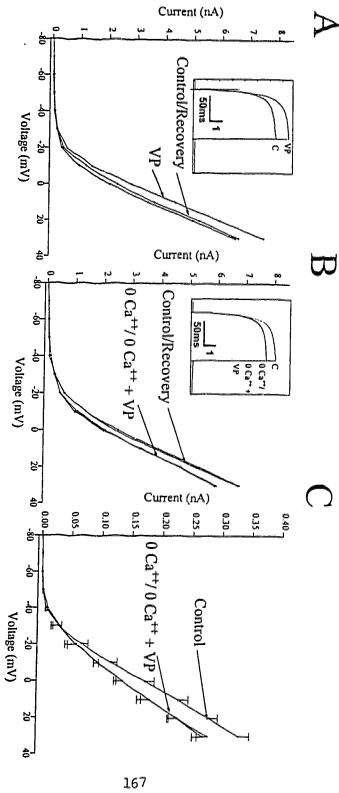


Figure 5-10. CTX (25nM) blocked VP-mediated decrease in outward currents. A. I-V plots depicting a decrease in outward currents by VP in a representative hDBB cell. B. I-V relationships of the currents evoked under control conditions, and CTX in the presence and absence of VP. CTX eliminated the decrease in outward currents elicited by VP C. Current density-voltage relationships obtained from 8 cells illustrating that VP failed to decrease outward currents in the presence of CTX.

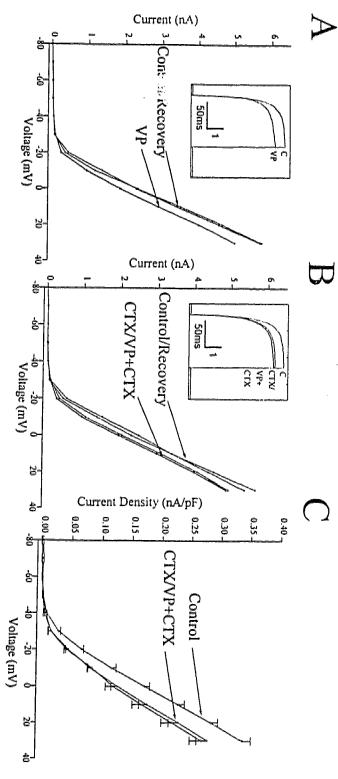


Figure 5-11. CTX (25nM) blocked VP-mediated increase in outward currents. A. I-V plots depicting an increase in outward currents by VP in a representative hDBB cell. B. I-V relationships of the currents evoked under control conditions, and CTX in the presence and absence of VP. CTX eliminated the increase in outward currents elicited by VP C. Current density-voltage relationships obtained from 9 cells illustrating that VP failed to increase outward currents in the presence of CTX.

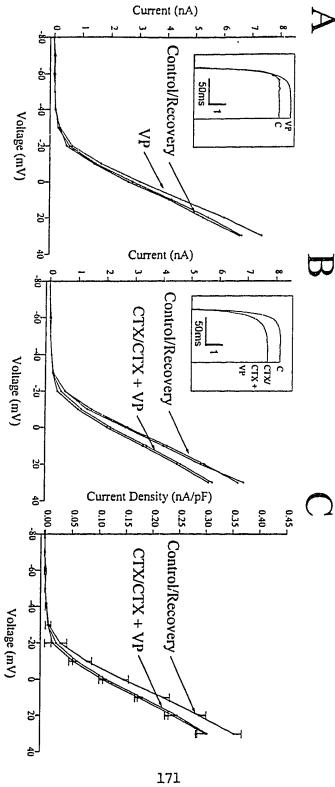
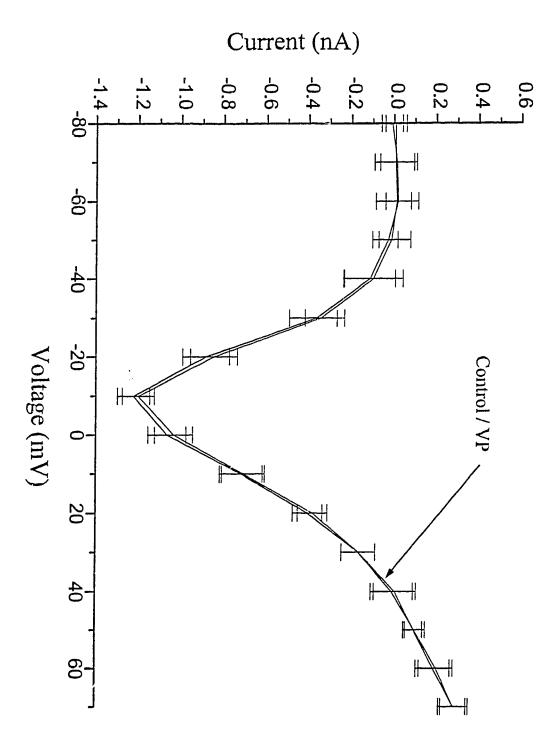


Figure 5-12. VP does not affect barium currents ( $I_{Ba}$ )in hDBB neurons.  $I_{Ba}$  was evoked in 14 cells by applying depolarizing voltage steps from a holding potential of -80mV to +70mV (increment 10mV/step; 20ms). Steady state currents were plotted against command voltage. I-V relationships obtained under control conditions and in the presence of VP demonstrate that VP does not affect  $I_{Ba}$ .



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# **CHAPTER 6**

# ZINC MODULATION OF IONIC CURRENTS IN THE HORIZONTAL LIMB OF THE DIAGONAL BAND OF BROCA (hDBB)

### **ABSTRACT**

Zinc (Zn<sup>++</sup>) is an endogenous cation within the brain. While it subserves important physiological roles, it may also mediate various pathophysiological processes underlying conditions such as Alzheimer's disease (AD). The horizontal limb of the diagonal band of Broca (hDBB) is a basal forebrain region that is severely affected in Alzheimer's disease (AD). In this study, we examined the ability of Zn<sup>++</sup> to modulate ionic currents in acutely dissociated hDBB neurons using the whole cell patch clamp technique.

 $50\mu M$  Zn<sup>++</sup> increased the amplitude of the transiently-activated potassium current,  $I_A$  by over 300pA (n=27). This response was reversible and could be reproduced in 0 Ca<sup>++</sup>/ $I_\mu M$  TTX (n=15). Analysis of the activation and inactivation characteristics of  $I_A$  revealed that Zn<sup>++</sup> shifts the inactivation curve to the right (n=11) and has no effect on the activation of this current (n=15). In contrast to its effects of  $I_A$ , Zn<sup>++</sup> reduced the amplitude of the delayed rectifier potassium current ( $I_K$ ). In 16 neurons, Zn<sup>++</sup> reduced  $I_K$  by over 600pA. This reduction was reproducible when cells were perfused with an ACSF solution lacking Ca<sup>++</sup> and containing  $I_\mu M$  TTX. These observations indicate that Zn<sup>++</sup> is a potent modulator of potassium currents in hDBB neurons.

 $Zn^{**}$  also reduced the amplitude of sodium currents ( $I_{Na}$ ) in these cells. This reduction was modest (~10%) but observed in every cell examined (n=10). This response could be blocked by  $1\mu M$  TTX.

Zn<sup>++</sup> potently modulated currents passing through calcium channels. Using Ba<sup>++</sup> as the charge carrier, Zn<sup>++</sup> reversibly reduced I<sub>Ba</sub> by 85% (n=14). This reduction has important implications in hDBB neurons as blockade of calcium currents would also result in a

significant attenuation of calcium-dependent potassium currents.

These results demonstrate that  $Zn^{++}$  is a potent modulator of ionic currents within the hDBB.

### **INTRODUCTION**

With the exception of Ca<sup>++</sup> and Mg<sup>++</sup>, the transition metal, zinc (Zn <sup>++</sup>) is the most abundant divalent cations in the brain (Ebadi et al., 1995). This divalent cation mediates important roles in various intra- and intercellular physiological processes. Its integral roles as a cofactor and structural component in several enzymatic proteins are well documented (Wallwork, 1987; Kiss and Osipenko, 1994). The histological identification of Zn<sup>++</sup> within central neurons and nerve terminals prompted investigators to examine possible neuromodulatory actions of this cation (Ibata and Otsuka, 1969; Friedman and Price, 1984; Holm et al., 1988). Subsequent studies demonstrated that Zn++ fulfills many of the requirements of a neuromodulatory compound as it is localized in terminals (Sorensen et al., 1995), released from terminals in a Ca<sup>++</sup>-dependent manner (Assaf and Chung, 1984), and exerts actions at postsynaptic sites (Harrison and Gibbons, 1994). There is considerable evidence to indicate that Zn<sup>++</sup> modulates the activity of several voltage-gated ion channels. These include the delayed rectifier  $(I_{\kappa})$  (Gilly and Armstrong, 1982) and the transiently activating K<sup>+</sup> currents (I<sub>A</sub>)(Talukder and Harrison, 1995; Huang et al., 1993; Constanti and Smart, 1987). In addition, Zn<sup>++</sup> also influences sodium currents (I<sub>Na</sub>)(Gilly and Armstrong, 1982), and calcium currents (I<sub>Ca</sub>) (Kiss and Osipenko, 1994; Busselberg et al., 1992; Busselberg et al., 1991). Thus, Zn<sup>++</sup> appears to function as a potent regulator of neuronal excitability within the CNS.

In addition to its physiological roles, Zn<sup>++</sup> is also implicated in neurological disorders such as AD. In high concentrations, Zn<sup>++</sup> is consistently neurotoxic to neurons (Koh and Choi, 1988; Duncan et al., 1992; Choi et al., 1988). This metal is principally localized in

glutamate-containing cells (Moos, 1993; Beaulieu et al., 1992) and, in some cases, potentiates the excitotoxic effects of glutamatergic agonists on neurons (Weiss et al., 1993). Furthermore, as shown by postmortem examination of brains from patients afflicted with epilepsy (Frederickson et al., 1988), Zn<sup>++</sup> levels are also decreased in AD (Constantinidis, 1991). The loss of Zn<sup>++</sup> observed under neuropathological examination may arise due to excessive stimulation of the Zn<sup>++</sup>-containing terminals which would result in a depletion of this ion within the neuropil (Pohle and Rauca, 1994). Thus, although Zn<sup>++</sup> appears to serve important physiological processes under normal conditions, in certain neuropathological conditions this cation can be neurotoxic.

Zn<sup>++</sup>-positive fibers permeate the basal forebrain which is the region most severely afflicted in AD (Perez-Clausell et al., 1989; Mandava et al., 1993). The horizontal limb of the diagonal band of Broca (hDBB), a basal forebrain region, is an important site in memory and learning processes (Roman et al., 1993; Kesner et al., 1992) and is particularly susceptible to neuronal death in AD (Paolini and Mckenzie, 1994). In this study, we have endeavored to characterize Zn<sup>++</sup>-mediated neuromodulation of voltage-gated ionic currents in hDBB cells to gain insight into how this metal may be of physiological significance in this region.

### **RESULTS**

hDBB neurons contain a variety of ionic conductances. We have examined actions of  $Zn^{++}$  on  $I_A$ ,  $I_{K_a}$ ,  $I_{Na}$ , and  $I_{Ba}$  on 81 acutely dissociated hDBB neurons. The cells from which recordings were made had an average membrane capacitance of 17.9 $\pm$ 1.3pF.

### Zn<sup>++</sup> effects on A-current

We examined the effects of  $Zn^{++}$  on the transiently activated outward K' current,  $I_A$ , in hDBB cells. Since activation of I<sub>A</sub> is a voltage and time-dependent process, it is possible to isolate  $I_A$  from the other ionic currents by utilizing its unique biophysical characteristics. I<sub>A</sub> was studied using a voltage protocol modified from protocols of Connor and Stevens (1971), Belluzzi et al. (1985) and Standen (1988) (inset of Fig. 6-1A). Cells were hyperpolarized from a holding potential of -80 to -120mV for 150ms to remove inactivation of I<sub>A</sub>. Subsequent depolarization from -40mV (increment 10mV to a maximum of +30mV/step; duration 100ms) was applied to evoke voltage-activated currents. Currents evoked by this protocol include  $I_A$ ,  $I_{K_a}$ ,  $I_{Na}$ , and  $I_{Ca}$  (Fig. 6-1). The second protocol utilized is shown in the inset of Fig. 6-1B. Cells were depolarized from a holding level of -80mV to -40mV for 150ms, and then stepped to a command voltage of -40mV for 100ms (increment 10mV/step to a maximum of 30mV). Since I<sub>A</sub> inactivates beyond -50mV, the current generated by the second protocol lacked  $I_A$  but still contains  $I_K$ ,  $I_{Na}$ , and  $I_{Ca}$  all of which activate at potentials depolarized to -40mV (Fig. 6-1B)(Belluzzi et al., 1985; Adams et al., 1982; Jassar et al., 1993). The currents generated by the second protocol (Fig. 6-1B) were subtracted from those elicited by the first protocol (Fig. 6-1A) to obtain a relatively pure  $I_A$  (Fig. 6-1C<sub>1</sub>). When this protocol was applied in the presence of  $50\mu M$  of  $Zn^{++}$ , an increase in the amplitude of I<sub>A</sub> was observed (Fig. 6-1C<sub>2</sub>). The effect of Zn'' was fully reversible as the currents returned to control levels within 10 minutes after the perfusion of solution was changed to normal ACSF (Fig. 6-1C<sub>3</sub>).

It is possible that the increase in I<sub>A</sub> may actually be the result of Zn<sup>++</sup> modulation of

non-potassium currents, namely  $I_{Na}$  and  $I_{Ca}$ . To address this issue, it was necessary to examine Zn<sup>++</sup> modulation of I<sub>A</sub> in the absence of Ca<sup>++</sup> and Na<sup>+</sup> influx (Huang et al., 1993). Na' and Ca'' influx into hDBB neurons can be completely blocked by exogenous perfusion of 1µM TTX and Ca\*\*-free extracellular medium, respectively. The effect of blocking these ionic fluxes on Zn<sup>-+</sup>-mediated enhancement of I<sub>A</sub> is illustrated in Fig. 6-2 which shows the peak I A evoked in each step using the protocol shown in Fig. 6-1 plotted versus command voltage. Averaged data from 27 hDBB neurons is shown in Fig. 6-2A. The peak IA at +30mV was 2.20±0.08nA under control conditions. In the presence of Zn<sup>++</sup>, outward current at +30mV increased to 2.57±0.11nA (P<0.01). Fig. 6-2B is the averaged data from 15 cells where  $I_{\Lambda}$  was studied in 0 Ca<sup>++</sup>/ $I_{\mu}M$  TTX solutions in in the presence and absence of Zn<sup>++</sup>. Under these recording conditions, the Zn<sup>++</sup>-induced increase in I<sub>A</sub> was observed (0 Ca <sup>++</sup> +  $1\mu M TTX$ : peak=2.20±0.07nA; 0 Ca<sup>++</sup> +  $1\mu M TTX + Zn^{++}$ : peak=2.53±0.09nA; P<0.01). The magnitude of the potentiation by Zn++ was similar in control conditions and in the presence of TTX/0 Ca++. These data support the contention that Zn ++ increased IA independently of any modulation of  $I_{Na}$  and  $I_{Ca}$ .

The effects of Zn<sup>++</sup> on the activation and inactivation kinetics of I<sub>A</sub> were also examined. The voltage protocol used to investigate whether Zn<sup>++</sup> modulated the activation of I<sub>A</sub> is shown in the inset of Fig. 6-3A. Cells were initially held at -80mV, hyperpolarized to -100mV for 1s, then depolarized for 2s (increment 15mV per step), and returned to -80mV. The maximum depolarizing step was +65mV. The currents evoked by each step command were normalized to the peak currents in 14 cells and plotted against the command voltage. The activation curve (Fig. 6-3A) was fitted with Boltzmann's equation. The half-

activation voltage ( $V_a$ ) was -2.3±2.6mV and the current increased e-fold per 18.0±1.9mV under control conditions. The presence of  $Zn^{++}$  in the external solution did not significantly affect  $V_a$  (-4.8±2.5mV; P>0.5) or the voltage gradient required for e-fold change in current (e-fold per 16.7±1.8mV; P>0.5). Thus,  $Zn^{++}$  did not affect activation of  $I_A$  in hDBB neurons.

The inactivation characteristics of I<sub>A</sub> in these cells were identified using the following voltage protocol: from a holding potential of -80mV, a conditioning step to -110mV (increment 15mV/step) was applied for 900ms, followed by a depolarizing step to +55mV for 100ms. The normalized current from 11 cells was plotted versus conditioning voltage commands and fitted using the Boltzmann equation. Under control conditions, the half-inactivation (Fig. 6-3B) voltage was -76.4±2.2mV and current changed e-fold per 12.9±1.1mV. There was a significant shift to the right in the presence of Zn<sup>++</sup> as the half-inactivation voltage was -53.4±2.0mV (P<0.001) and current changed e-fold per 7.2±2.0mV (P<0.002).

## Modulation of I<sub>K</sub> by Zn<sup>++</sup>

The voltage protocol used in Fig. 6-1B elicits outward currents mediated principally by delayed rectifier ( $I_K$ ) and calcium-activated  $K^*$  currents ( $I_{K(Ca)}$ ). The outward currents generated by this protocol were reduced in the presence of  $Zn^{++}$  (Fig. 6-4A<sub>2</sub>) compared to those elicited under control conditions (Fig. 6-4A<sub>1</sub>). Fig. 6-4b illustrates the difference currents from an hDBB neuron after currents evoked in the presence of  $Zn^{++}$  were subtracted from control currents. The difference currents represent the portion of  $I_K$  which was modulated by  $Zn^{++}$ . This response was observed in a total of 16 hDBB cells where  $Zn^{++}$  clearly reduced outward currents (Fig. 6-4B). To eliminate the possibility of contamination

of the outward currents by inward currents ( $I_{Na}$ ,  $I_{Ca}$ )  $I_{K}$  was also evoked in a solution containing 0 Ca<sup>++</sup> and 1  $\mu$ M TTX to occlude Ca<sup>++</sup> and Na<sup>+</sup> influx. Since the other ionic fluxes are blocked, this current likely represents a more accurate representation of the Zn<sup>++</sup>-induced decrease in  $I_{K}$  (Fig. 6-4C). Zn<sup>++</sup> reduced  $I_{K}$  by approximately 600pA in this neuron. The Zn<sup>++</sup>-mediated reduction of  $I_{K}$  was largely eliminated when 5mM TEA was added to the external solution. TEA at these concentrations is a highly potent blocker of  $I_{K}$  in these cells further confirming that Zn<sup>++</sup> modulates  $I_{K}$  (Jassar and Jhamandas, 1995)(Fig. 6-4D).

## Zn\*\* modulation of INa

Longer pulses used to evoke I<sub>A</sub> and I<sub>K</sub> provide a poor resolution (digital sampling rate: 6kHz) of the initial inward component of whole cell current that is principally mediated by Na<sup>+</sup>. In order to examine whether these currents with early onset are subject to modulation by Zn<sup>++</sup>, we used a higher digital sampling rate (111kHz). The currents evoked by brief depolarizing pulses (10mV increment/step) from -80 to +60mV under control conditions in a hDBB neuron are shown in Fig. 6-5A. When the same neuron was perfused with solution containing 50μM Zn<sup>++</sup>, there was an obvious decrease in inward current (Fig. 6-5B). When the control currents were subtracted from currents elicited in the presence of Zn<sup>++</sup>, the result was a bimodal I-V relationship (Fig. 6-5C). The first current was maximally activated within 0.5-1ms and the second appears to reach a maximum within 2.5-3ms (Fig. 6-5C; n=22). Application of TTX completely eliminated the first peak identifying it as a TTX-sensitive Na<sup>+</sup> current (Fig. 6-5D; n=8). The reason that Zn<sup>++</sup>-induced decrease in Na<sup>+</sup> currents is in the positive direction is because control inward current (which has a negative value) subtracted from the Zn<sup>++</sup>-induced reduction in inward current elicits a positive

difference current. For example, using the data from Fig. 6-6A and 6-6B, the  $I_{Na}$  at 0mV (time:2.74ms) is -2.20, but in  $Zn^{++}$ , this is reduced to -1.69nA therefore: (-1.69)-(-2.20)=+0.51nA. When this protocol was performed in the presence of 50 $\mu$ M 4-AP, the second peak was largely eliminated (Fig. 6-5E; n=5). A previous report suggests that 4-AP blocks  $I_A$  in guinea pig DBB cells (Griffith and Sim, 1990). However, in our preparation, 50 $\mu$ M 4-AP blocks both  $I_A$  and  $I_K$ . Since  $I_K$  is slower to activate, typically activated after 3ms (Belluzzi et al., 1985; Jassar et al., 1994), we postulate that 4-AP is only blocking  $I_A$  in this protocol. These results confirm our earlier observation that  $I_A$  is modulated by  $Zn^{++}$  and also suggest that  $I_{Na}$  is affected by  $Zn^{++}$ .

Neurons were perfused with an external ACSF and recorded using an internal solution designed to isolate I<sub>Na</sub>. This current was evoked by voltage steps from -80 to +60mV (increment 10mV; 3ms/step) in the presence and absence of Zn<sup>++</sup>. The data in Fig. 6-6A and 6-6B are from an individual hDBB cell where perfusion of a cell with Zn<sup>++</sup> reversibly decreased peak I<sub>Na</sub> compared to the control. Fig. 6-6C shows the average steady state currents obtained from 10 neurons plotted versus the command voltage steps. This I-V relationship indicates that the maximal decrease elicited by Zn<sup>++</sup> occurs at 10mV (-4.63±0.24nA under control conditions and -4.28±0.24nA in the presence of Zn<sup>++</sup>). This decrease is not significant (P>0.5).

# Effects of Zn++ on IBa

Ba<sup>++</sup> was used as the charge carrier in experiments examining the effects of Zn<sup>++</sup> on currents through calcium channels. Depolarizing voltage steps from -80 to +70mV (increment 10mV; 20ms/step) were applied to voltage clamped hDBB cells under control

conditions and in the presence of  $Zn^{++}$ . Fig. 6-7A shows  $I_{Ba}$ -evoked in an hDBB neuron under control conditions. In this cell,  $I_{Ba}$  is reversibly attenuated by  $Zn^{++}$  (Fig. 6-7B and 6-7C). In Fig. 6-7B, the steady state currents from 12 cells were averaged and plotted against the command step voltages. Under control conditions, the peak  $I_{Ba}$  at -10mV was -  $1.03\pm.07$ nA. In the presence of  $Zn^{++}$ ,  $I_{Ba}$  was attenuated by approximately 85% to -0.15±.05 nA at -10mV (Fig. 6-7B; n=14; P<0.001). This potent inhibition typically required  $\leq 2$  minutes to reach its maximum effect and recovered within 10 minutes. Activation and inactivation kinetics of calcium currents were not examined as there were very little calcium currents left following the application of  $Zn^{++}$ .

# Effects of Zn<sup>++</sup> on the discharge rate of APs in the forebrain slice

We examined the ability of Zn<sup>++</sup> to modulate excitation of hDBB cells in forebrain slices using the whole cell patch clamp technique. APs were elicited by applying 800-1600ms depolarizing steps (0.03-0.15nA) to current clamped neurons. We observed that these neurons consistently increased their firing rate upon exposure to exogenously applied 50µM Zn<sup>++</sup> (9/12 cells; Fig. 6-8A). In the remaining cells, Zn<sup>++</sup> caused a reduction in the firing rate (3/12 cells; Fig. 6-8B).

#### **DISCUSSION**

We have characterized the actions of  $Zn^{++}$  on voltage-activated conductances in hDBB neurons. Our results provide evidence that  $Zn^{++}$  modulates  $I_A$ ,  $I_K$ ,  $I_{Na}$ , and  $I_{Ca}$  in this nucleus. This is the first comprehensive analysis of the actions of  $Zn^{++}$  on currents through

 $K^+$ ,  $Na^+$ , and  $Ca^{++}$  channels in a single neuronal system. These findings are now discussed in context of conductances that govern excitability of hDBB neurons and the potential physiological role of  $Zn^{++}$  modulation in this region of the brain.

## Concentration of Zn++

Previous reports have described that concentrations of Zn" in the high millimolar range modulate neuronal ionic currents (Busselberg et al., 1991; Gilly and Armstrong, 1982). However, the highest concentration of Zn<sup>++</sup> identified within the nerve terminals is 100-300µM (Frederickson et al., 1983; Assaf and Chung, 1984) and therefore it may be argued that these previous observations may be of dubious physiological relevance. Furthermore, at millimolar concentrations, Zn++ is thought to modulate ion channel activity via a nonspecific screening of membrane surface charges (Frankenhauser and Hodgkin, 1957). One of the significant observations in this study is that Zn++ exerts its effects on these neurons at a relatively low concentration (50µM) which falls well within the concentration range of Zn++ identified in CNS neurons (Frederickson et al., 1983). The concentration of Zn' utilized in the present study was also based, in part, on studies that have examined the actions of Zn\*\* on ligand (Smart et al., 1994) and voltage-gated channels (Huang et al. 1993) in other neuronal systems. At this low concentration, it is unlikely that Zn<sup>++</sup> exerts a large charge screening effect (Busselberg et al. 1992; Kiss and Osipenko, 1994). Therefore, the observed effects of Zn<sup>++</sup> on ionic conductances in the present study are likely physiologically relevant.

## Zn<sup>++</sup> modulation of A-current

 $Zn^{++}$  increased the amplitude of  $I_A$  in hDBB cells. When  $Ca^{++}$  and  $Na^{+}$  influxes were blocked during depolarizing steps,  $Zn^{++}$  increased the amplitude of  $I_A$  by over 300pA. This

value likely represents the actual effect of Zn<sup>++</sup> enhancement of I<sub>A</sub> in hDBB neurons as contamination from other the ion currents was eliminated (as with 0 Ca<sup>++</sup>/TTX). Our results also show that Zn<sup>++</sup> shifts the inactivation curve to the right with no effect on the activation kinetics. Since the inactivation plot is a measure of the probability that channels are available for opening, this result suggests that more I<sub>A</sub> channels are available for opening at depolarized membrane potentials in the presence of Zn<sup>++</sup>. These findings are similar to these reported by Huang et al. (1993) in suprachiasmatic neurons where 50μM Zn<sup>++</sup> also increased I<sub>A</sub> amplitude and shifted the inactivation curve to the right with no concomitant effect on activation. On the contrary, others have described Zn<sup>++</sup>-mediated reductions of I<sub>A</sub> in cultured sympathetic neurons (Constanti and Smart, 1987) and invertebrate neurons (Erdelyi, 1994).

Physiologically, I<sub>A</sub> is modulates AP by increasing both the rate of AP repolarization and outward K<sup>+</sup> conductance as the cell depolarized to threshold (Rudy, 1988; Viana et al., 1993; Wu and Barish, 1992; Zhang and McBain, 1995). Increasing I<sub>A</sub> would likely decrease the firing rate and putatively decrease AP duration (Ma and Koester, 1995; Zhang and McBain, 1995). Thus, the net effect of an increased I<sub>A</sub> in hDBB cells would likely be a reduction in the overall excitability of these neurons.

## Decrease in delayed rectifier by Zn\*\*

 $Zn^{**}$  decreases  $I_K$  currents in hDBB neurons. The block appears to be voltage dependent as the decrease in current becomes more pronounced with increasing voltage.  $Zn^{**}$ -reduction of  $I_K$  has also been observed in other systems including squid giant axon (Gilly and Armstrong, 1982), squid stellate ganglion (Spires and Begenish, 1992), frog motor nerve endings (Miralles et al. 1994), and chick growth plate chondrocytes (Walsh et al.,

1992). However, this is the first report of  $Zn^{++}$  modulation of  $I_K$  in central mammalian neurons. Functionally,  $I_K$  channels appear to augment AP repolarization (Goh et al., 1989). Thus, in light of previous observations, the physiological significance of a reduction in  $I_K$  mediated by  $Zn^{++}$  would be seen as an increase in the AP width and thus may contribute to an overall slowing of AP firing rates. Therefore, similar to increasing  $I_A$ , the decrease in  $I_K$  would ultimately result in a reduction of the excitability of hDBB cells.

#### Sodium Current modulation by Zn++

Na $^{+}$  currents in hDBB neurons are TTX-sensitive and activate completely within 0.5-1ms at most voltages (Fig. 6-5 and 6-6). Inactivation is primarily voltage dependent as the currents inactivate largely in 2-3ms with maintained depolarization. Zn $^{++}$  reduced  $I_{Na}$  in every cell examined using the protocols in Fig. 6-5 (n=22) and in Fig. 6-6 (n=10). The Zn $^{++}$  mediated decrease in  $I_{Na}$  was not statistically significant. The maximum blockade by Zn $^{++}$  was observed at 10mV where  $I_{Na}$  was reduced by approximately 350pA. This contrasts with the observations made in in adult entorhinal cortical neurons where micromolar concentrations of Zn $^{++}$  completely blocked slow TT $\mathbb{X}$ -insensitive Na $^{+}$  currents (White et al., 1993). The small effect of Zn $^{++}$  on  $I_{Na}$  in hDBB neurons may be physiologically relevant as this small decrease in  $I_{Na}$  may raise the threshold required to fire APs. This would be consistent with the Zn $^{++}$ -induced increase in  $I_A$  and decrease in  $I_K$  which would likely serve to decrease overall cellular excitability.

#### Calcium Current modulation by Zinc

Perfusion of 50μM Zn<sup>++</sup> reversibly reduced I<sub>Ba</sub> in hDBB cells. Analysis of I<sub>Ba</sub> elicited by voltage steps (Fig. 6-7A, 6-7B, 6-7C) suggest that T-type (LVA) Ca<sup>++</sup> channels were not

present in the cells examined in this study. Acutely dissociated large, putatively cholinergic DBB cells from guinea pig (>20μM) express HVA (L and N-type Ca<sup>++</sup> channels) and LVA channels whereas the smaller, putatively GABAergic neurons (10-15μM) only possess HVA channels (Frye et al. 1994). Thus, given the lack of LVA-type current in these cells, the hDBB neurons examined in this study may belong to the GABAergic cell type.

Others have also characterized Zn<sup>++</sup>- mediated attenuation of I<sub>Ca</sub>. Busselberg et al. (1992) demonstrated that Zn<sup>++</sup> reduce HVA I<sub>Ca</sub> currents in cultured chick DRG neurons. However, unlike the results from our study, they were unable to attain a complete recovery of the calcium currents after washout of Zn<sup>++</sup>. HVA channels, however, are not the only Ca<sup>++</sup> channels modulated by Zn<sup>++</sup>. Potent reductions of T-type currents by micromolar concentrations of Zn<sup>++</sup> have been reported in acutely isolated rat hippocampal neurons (Takahashi and Akaike, 1991), acutely isolated embryonic chick DRG neurons (Harrison and Gibbons, 1994), and cultured chick DRG cells (Busselberg et al., 1992).

# Modulation of Ic and IAHP

There are two main families of calcium activated potassium channels: the voltage-dependent, large conductance  $I_C$  channels and the voltage-independent, small conductance  $I_{AHP}$  channels. Functionally,  $I_C$  participates in AP repolarization and in the early component of the AHP whereas  $I_{AHP}$  contributes to the slower component of AP AHP. We have previously demonstrated that apamin has no effect on voltage-activated  $K^+$  conductances in hDBB neurons whereas charybdotoxin, the selective blocker for  $I_C$  channels consistently reduces outward currents (Chapter 5). Since  $Zn^{++}$  causes a profound blockade of  $Ca^{++}$  channels in hDBB cells, it follows that  $I_C$  would also be blocked. Blockade of this ionic

current would account for the increased excitability observed when this cation is applied (Fig. 6-8A, B). However, in some cells, we observed a marked decrease in cellular activity (Fig. 6-8C, D). In Fig. 6-8D, it is clear that there is an increase in accomodation over the duration of the depolarizing step. It is likely that the  $Zn^{++}$ -mediated increase in  $I_A$  and possibly the reduced  $I_K$  and  $I_{Na}$  mediate this decrease in AP discharge rate. However, further studies must be conducted to specifically elucidate the contribution of the various ionic currents to hDBB AP architecture.

# Pathophysiological consequences of Zn\*\* actions on hDBB cells

Under physiological conditions, Zn<sup>++</sup> regulates voltage-gated ionic channels and ligand-gated GABA and NMDA channels (Smart et al., 1994). Pathophysiologically, our results may have significant implications regarding the actions of Zn<sup>++</sup> in AD. When released in excessive quantities, Zn<sup>++</sup> is excitotoxic (Koh and Choi, 1988; Duncan et al., 1992; Choi et al., 1988). This correlates with our observation that despite modulating certain voltage-gated ionic currents in a manner that would reduce excitability, the principal effect of Zn<sup>++</sup> on these cells is excitatory (Fig. 6-8). Furthermore, Zn<sup>++</sup> is almost exclusively colocalized in glutamatergic cells (Moos, 1993; Beaulieu et al., 1992) and is capable of potentiating glutamate-mediated excitotoxicity (Weiss et al., 1993). Constantinidis (1991) indicates that Zn<sup>++</sup> levels are significantly reduced in the brains of patients with AD. This decrease has been associated with other neurodegenerative diseases such as epilepsy (Frederickson et al., 1988; Pohle and Rauca, 1994) and Pick's disease (Ebadi, 1984). It is postulated that AD may arise due to the depletion of Zn<sup>++</sup> from nerve terminals consequent to excessive stimulation and release from these terminals (Pohle and Rauca, 1994). The

results of this study support this hypothesis and are consistent with the notion that this cation may participate in neurodegenerative disorders affecting this region.

Figure 6-1. Effect of Zn+on IA. A. The voltage protocol used for evoking currents is shown in the inset. The holding potential was -80mV. A 150ms hyperpolarizing command to -120mV was applied to remove inactivation of currents. This was followed by depolarizing 100ms step command (increment 10mV/step starting from -40mV to a maximum of +30mV) to evoke currents. The voltage-dependent currents elicited by using this protocol in a hDBB neuron are shown here. These currents include  $I_A$ ,  $I_K$ ,  $I_{Na}$ , and  $I_{Ca}$ . **B.** The voltage protocol used for evoking currents is shown in the inset. The holding potential was -80mV. A 150ms depolarizing command to -40mV was applied. This was followed by depolarizing 100ms step command (increment 10mV/step starting from -40mV to a maximum of +30mV) to evoke currents. The currents evoked by using this protocol are in the same neuron as in Fig. 6-1A. The currents activated by this protocol are devoid of the transiently-activated  $I_A$  which is inactivated at voltages positive to-50mV. However,  $I_{Na}$ ,  $I_{Ca}$ , and  $I_K$  are all still present as they activate at potentials positive to -50mV.  $C_1$ . The difference currents generated by subtraction of currents generated in Fig. 6-1B from Fig. 6-1A are plotted. The currents in this plot represent relatively pure IA, isolated under control condition.  $C_2$ . The difference currents generated by subtraction in the presence of  $50\mu M$ Zn<sup>++</sup>. The difference currents were larger in the presence of Zn<sup>++</sup> as compared to those under control conditions. C<sub>3</sub>. The difference currents generated by subtraction after Zn<sup>\*\*</sup> has been washed out. This plot shows that  $I_A$  recovered to control levels.

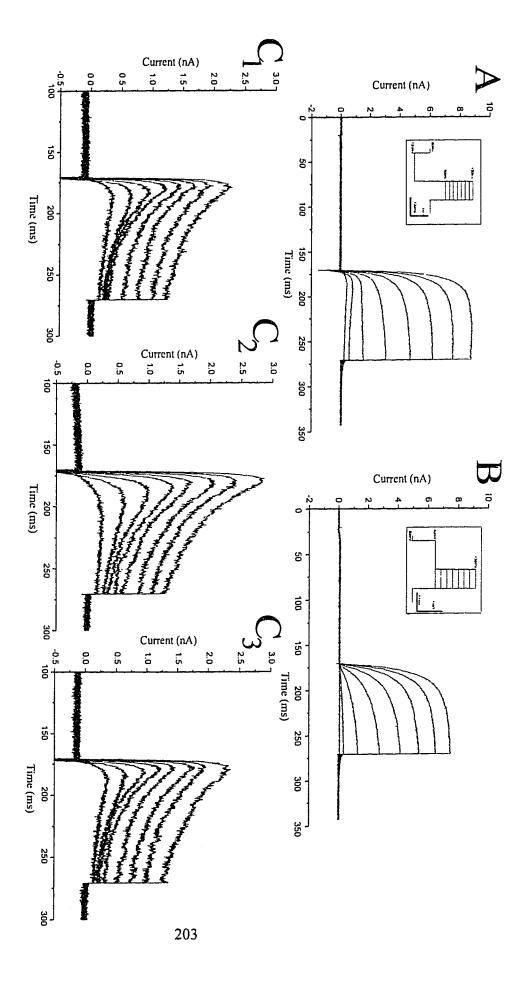
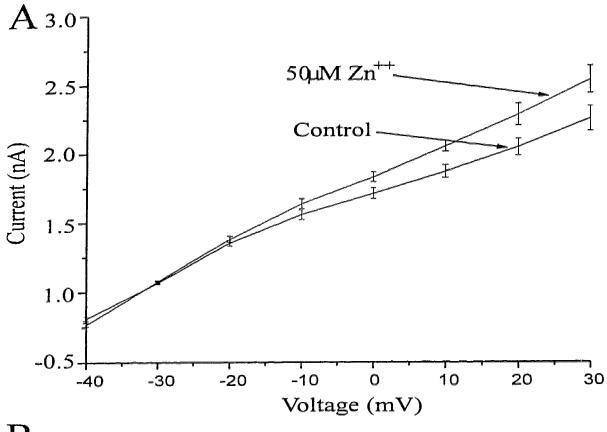


Figure 6-2. Effect of  $Zn^{++}$  on  $I_A$  under control conditions and under conditions when  $Na^+$  and  $Ca^{++}$  influx was blocked. A. Peak currents (generated as in Fig. 6-1C) were plotted against command voltage steps. Application of  $50\mu M$   $Zn^{++}$  in control ACSF increased  $I_A$  by approximately 300pA. B. I-V relationship of peak difference currents (generated as in Fig. 6-1D) in 0  $Ca^{++}$  and  $1\mu M$  TTX external solution in the presence and absence of  $50\mu M$   $Zn^{++}$   $I_A$  was still augmented by  $Zn^{++}$  by about the same amount as under control conditions.



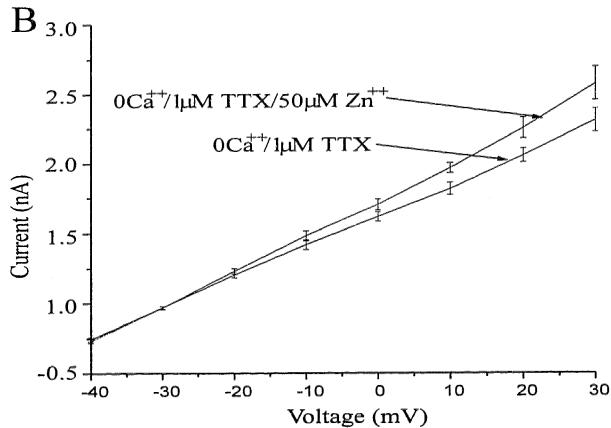
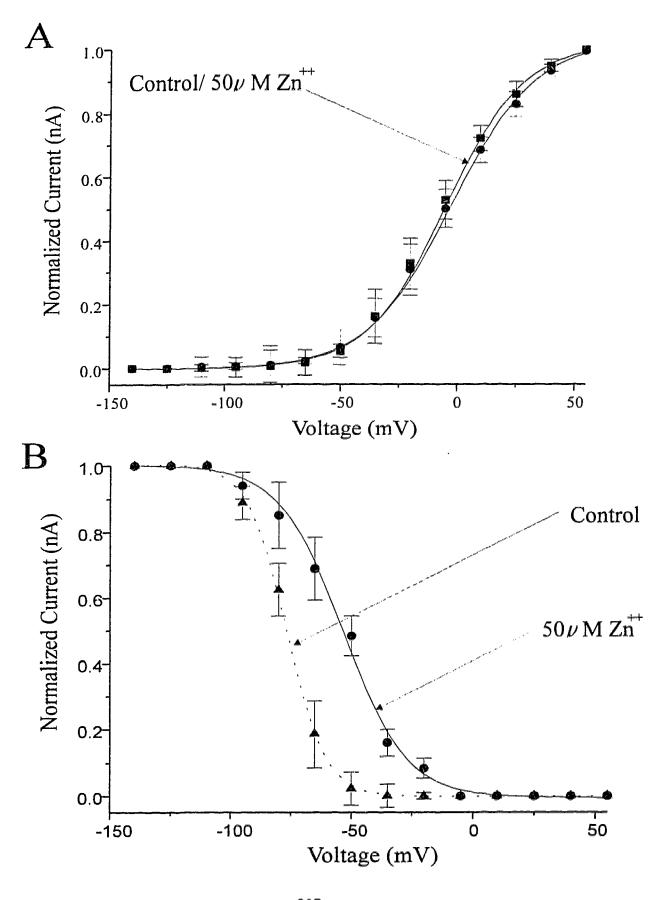


Figure 6-3. Effect of Zn<sup>++</sup> on the activation and inactivation of I<sub>A</sub>. A. Normalized currents were plotted against command voltage to study the voltage-dependence of activation of  $I_{\Lambda}$  under control conditions and in the presence of  $50\mu M$  Zn<sup>++</sup> (see text for detailed explanation of voltage protocol). Data points were fitted with the Boltmann equation. The half-activation voltage (Va) was -2.3±2.6mV and the current increased e-fold per 18.0±1.9mV under control conditions. The presence of Zn<sup>++</sup> in the external solution did not significantly affect  $V_a$  (-4.8±2.5mV; P>0.5) and the change in voltage for e-fold change in current was 16.7±1.8mV (P>0.5). Error bars represent s.e.m.. B. Normalized currents were plotted against the command voltage to study the voltage-dependence of inactivation of  $I_A$ in the presence and absence of 50 µM Zn++ (see text for detailed explanation of voltage protocol). Zn++ shifted inactivation plot to the right. Under control conditions, the halfinactivation voltage was -76.4±2.2mV and current changed e-fold per 12.9±1.1mV. There was a significant shift to the right in the presence of Zn<sup>++</sup>. The half-inactivation voltage was -53.4±2.0mV (P<0.001) and current changed e-fold per 7.2±2.0mV (P<0.02) . Error bars represent s.e.m.



**Figure 6-4.** Effect of Zn<sup>++</sup> on  $I_K$  in hDBB cells.  $A_1$ . Voltage protocol utilized to evoke currents was the same as in Fig. 6-1B under control conditions. The holding potential was -80mV. A 150ms depolarizing command to -40mV was applied. This was followed by depolarizing 100ms step command (increment 10mV/step starting from -40mV) to evoke currents. The currents elicited by using this protocol in a hDBB neuron are shown here. In this protocol, the outward currents are likely mediated by  $I_K$  and  $I_{K(Ca)}$ .  $A_2$ . In the same neuron, outward currents evoked in the presence of 50μM Zn<sup>++</sup> were decreased. **B.** Difference currents (obtained by subtraction of currents evoked in the presence of Zn<sup>++</sup> from those evoked under control conditions) are shown in this panel. **C.** Difference currents obtained in 0 Ca<sup>++</sup>, 1μM TTX external solution in the same neuron in the presence and absence of Zn<sup>++</sup>. **D.** Zn<sup>++</sup>-modulated outward currents in an external solution containing 0 Ca<sup>++</sup> external solution in the presence of IμM TTX were completely blocked by 5mM TEA.

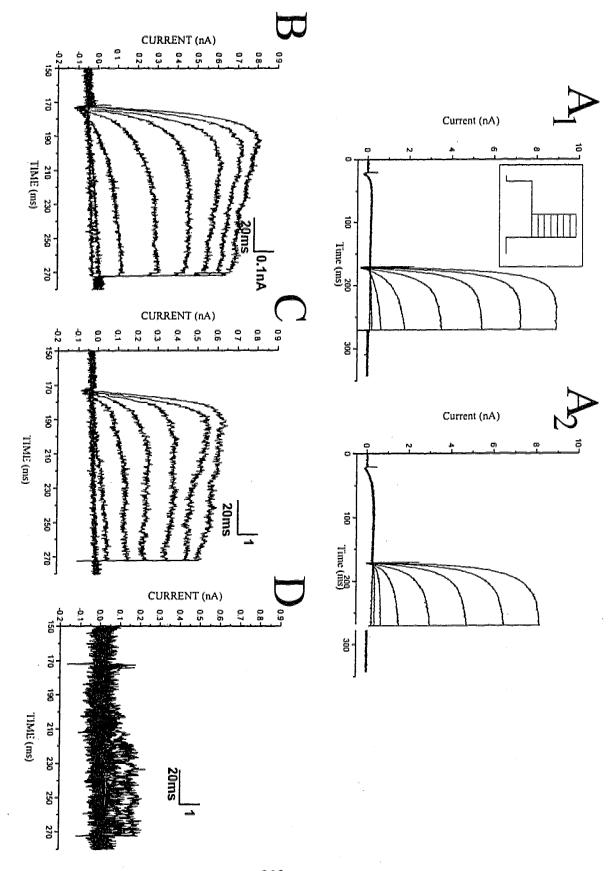


Figure 6-5. Effect of Zn<sup>++</sup> on whole cell currents evoked by brief voltage steps. A. The currents elicited by 3ms depolarizing voltage commands from a holding potential of -80mV to a maximum of +55mV (increment 15mV/step) under control conditions. B. The currents elicited in the same neuron in the presence of 50μM Zn<sup>++</sup>. C. Difference currents obtained by subtracting currents evoked in the presence of Zn<sup>++</sup> from those evoked under control conditions. D. Difference currents obtained in the presence of 1μM TTX. E. Difference currents obtained in the presence of 50μM 4-AP.

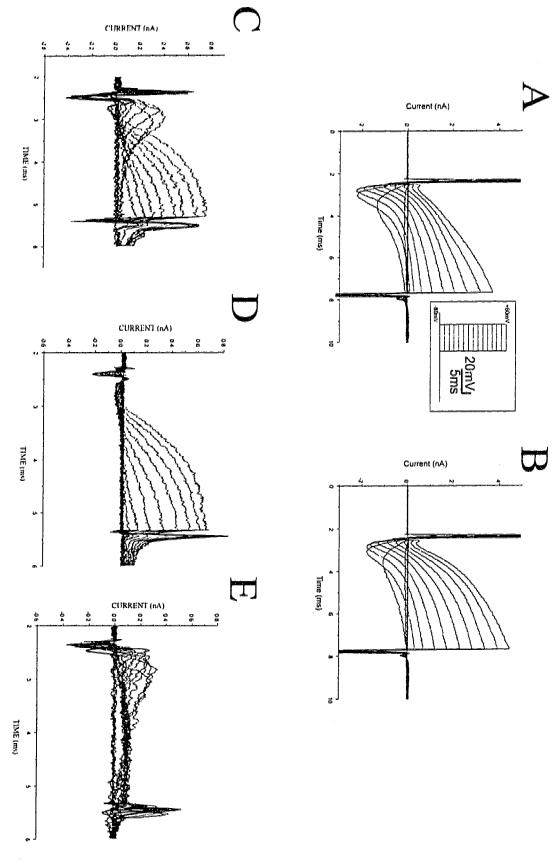


Figure 6-6. Effect of  $Zn^{++}$  on  $I_{Na}$ . A.  $I_{Na}$  evoked from an hDBB neuron under control conditions by applying brief depolarizing step commands (increment 15mV/step; 9ms) from a holding potential of -80mV to a maximum of +55mV. B.  $I_{Na}$  evoked in the presence of 50uM  $Zn^{++}$ . A slight decrease in peak  $I_{Na}$  current was observed. C. 1-V relationships of  $I_{Na}$  in the presence and absence of  $Zn^{++}$ .

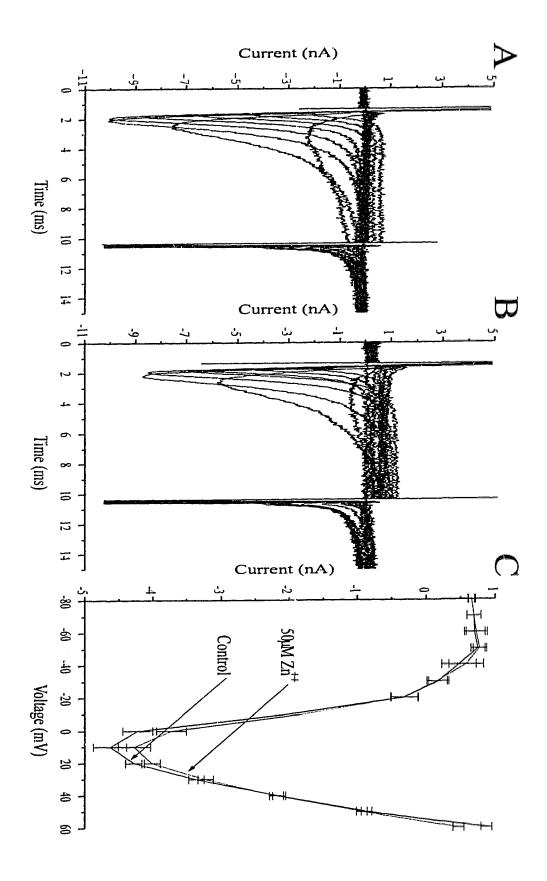


Figure 6-7. Effect of  $Zn^{++}$  on  $I_{Ba}$ .  $A_1$ .  $I_{Ba}$  evoked from an hDBB neuron under control condition by applying 20ms depolarizing voltage command steps from a holding potential of -80mV (increment 10mV/step) to a maximium of +70mV. Tail curents were recorded at -40mV. B.  $I_{Ba}$  evoked in the presence of 50uM  $Zn^{++}$  was significantly reduced (by approximately 85%) as compared to that under control conditions. C. Currents recovered to control levels on washout of  $Zn^{++}$ . D. I-V relationships of  $I_{Ba}$  under control conditions and in the presence of  $Zn^{++}$ .  $I_{Ba}$  was significantly attenuated by  $Zn^{++}$ .

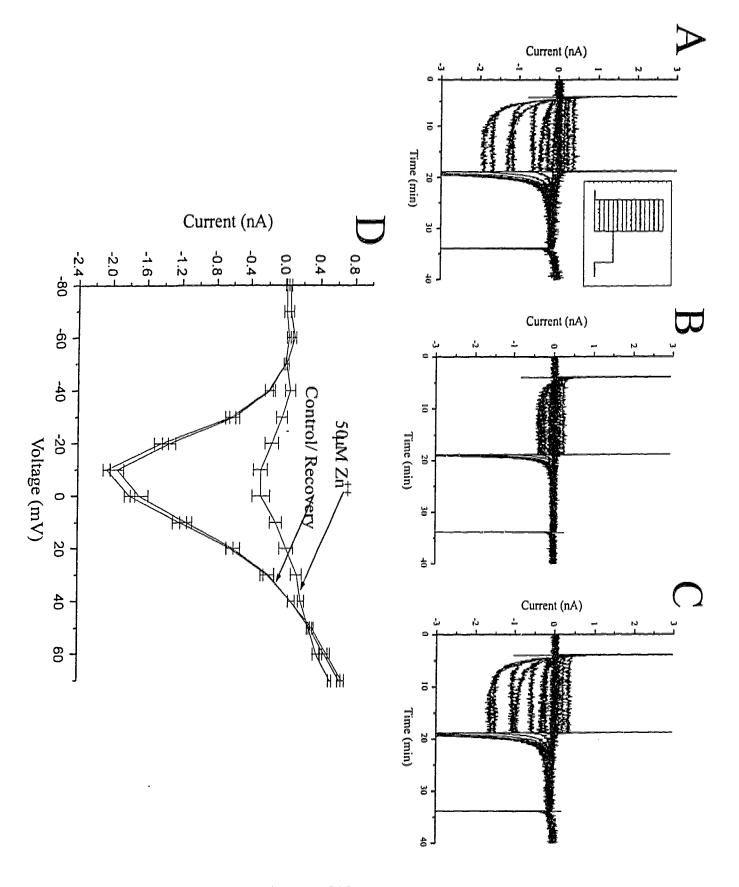
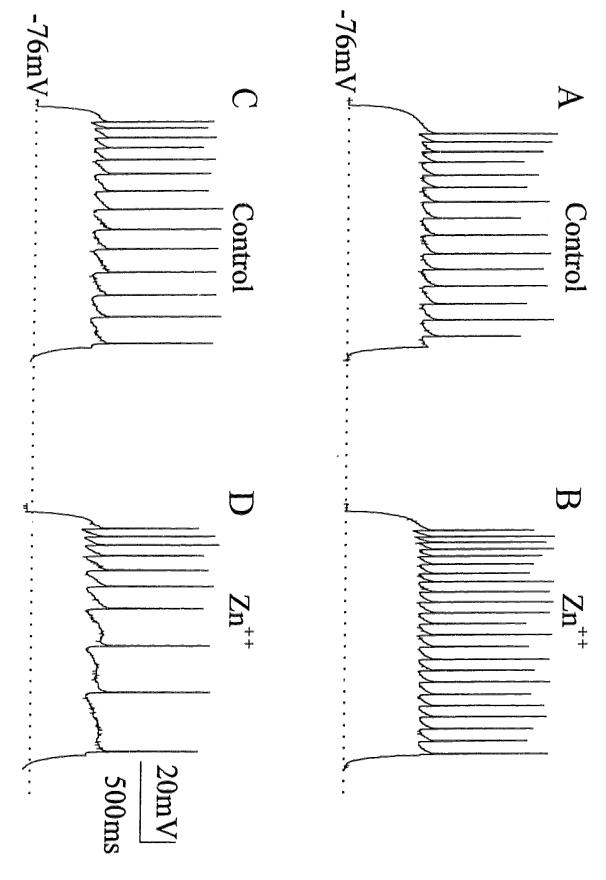


Figure 6-8. Zn<sup>++</sup> modulation of hDBB excitability. A, B. In an hDBB cell held at -76mV, perfusion of 50μM Zn<sup>++</sup> increased the firing frequency (B) compared to control (A). The depolarization step was 0.13nA in amplitude and 1600ms in duration (rmp=-76mV). Averaged data: *Control*: AP height: 64±9mV; AP width: 2.4±0.8ms; AHP duration: 53.6±10.1ms; AHP amplitude: 4.9±1.1mV (n=9). Zn<sup>++</sup>: AP height: 65±8mV; AP width: 2.4±1.5ms; AHP duration: 148.8±26.0ms; AHP amplitude: 7.32±1.3mV (n=9). C, D. In another hDBB cell, perfusion of 50μM Zn<sup>++</sup> reduced the AP discharge rate (D) compared to control (C). The depolarization step was 0.15nA in amplitude and 1500ms in duration (rmp=-76mV). Averaged data: Control: AP height: 65±4.1mV; AP width: 2.4±1.3ms; AHP duration: 88.8±5.1ms; AHP amplitude: 6.4±1.4mV (n=9). Zn<sup>++</sup>: AP height: 69.8±5.3mV; AP width: 3.2±1.5ms; AHP duration: 204.4±22.4ms; AHP amplitude: 5.32±1.1mV (n=9).



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# **CHAPTER 7**

**GENERAL DISCUSSION** 

The work described in this thesis has examined the biophysical properties and the actions of various neurotransmitters within the hDBB. This region is also a major site for pathology in the context of neurodegenerative disease. My results show that the neurotransmitter/neuromodulatory compounds examined in this thesis utilize highly selective and specific mechanisms to regulate the behavior of hDBB neurons. This chapter will summarize my findings and discuss how these findings may be relevant in the context of the physiological and pathophysiological processes involving the hDBB.

The investigation into the role of glutamate in excitatory neurotransmission within the hDBB was described in Chapter 3. Our results indicate that glutamate is a prominent excitatory neurotransmitter in this region. Exogenous application of specific glutamate agonists revealed that AMPA, kainate, mGluR, and NMDA receptors are all present on postsynaptic hDBB neurons. Furthermore, the use of various selective glutamate antagonists revealed that each of these receptor subtypes participates in excitatory neurotransmission. In addition to mediating actions postsynaptically, mGluR receptors also reduced glutamate release via a presynaptic mechanism (summarized in Fig. 7-1). Taken together, these results indicate that glutamate is an important modulator of hDBB neurons.

These findings may lay the foundation to explain the hDBB's participation within various physiological and pathophysiological processes. Anatomical data demonstrate that dense glutamate-positive fibers are present within the hDBB (Carnes et al., 1990) and originate principally from the hippocampal CA1 region (Jakab and Leranth, 1995). Glutamate-mediated connectivity between the hippocampal CA1 area and the hDBB is believed to underly important physiological processes such as theta rhythm (Sainsbury and

Bland, 1981; Bland and Colom, 1993; Mitchell and Ranck, 1980) and memory mechanisms (Oka and Yoshida, 1985; Kesner et al., 1992). Pathophysiologically, it has been hypothesized that the massive death of hDBB cholinergic neurons in AD may arise due to glutamate-mediated excitotoxicity. Our demonstration of a prominent glutamate input onto these cells lends credence to this hypothesis.

I also examined the biophysical profiles of hDBB neurons recorded in this study. Analysis of specific cable properties including H,  $R_{m_i}$  p, and L demonstrates that hDBB neurons are electrotonically compact. This observation suggests that these cells are capable of efficient transfer for distal afferent inputs.

The investigation into the actions of the monocarboxylic amino acid GABA was reported in Chapter 4. GABA was demonstrated to mediate its effects via two pharmacologically distinct receptors: GABA<sub>A</sub> and GABA<sub>B</sub> receptors. The GABA<sub>A</sub> receptors were found on postsynaptic membranes and act through a chloride conductance. GABA<sub>B</sub> receptors, members of the G-protein coupled receptor family, were mostly present presynaptically and modulated the ability of glutamate to exert an effect on postsynaptic membranes (summarized in Figure 7-1).

The presence of GABA receptors on these cells may mediate several physiological processes and may also serve as a neuroprotective agent. GABAergic hDBB cells receive particularly dense GABAergic inputs from the hippocampus (Hofer et al., 1990). These cells, in turn, project back to the hippocampus (Freund and Antal, 1988). This reciprocal connectivity may underlie the actions of these two regions in processes associated with theta rhythm and memory (Bland and Colom, 1993; Bland, 1986; Toth et al., 1993; Alonso and

Kohler, 1984; Zaborszky et al., 1991).

Furthermore, the multi-layered inhibitory system mediated by GABA<sub>A</sub>, GABA<sub>B</sub>, and mGluR receptors may act to balance excitatory inputs and prevent excessive glutamatergic input of these cells. This is confirmed by the observation that GABAergic receptors blunt postsynaptic glutamate-mediated EPSPs (Fig. 4-1C). Any reduction of inhibitory inputs to the hDBB would potentially allow glutamate innervation of these cells to become unbalanced, thereby predisposing neurons to excitotoxicity (Pohle and Rauca, 1994). Therefore, the clinical relevance of my findings is that GABAergic inputs may constitute an intrinsic neuroprotective system for hDBB neurons.

The neuromodulatory actions of VP were investigated using both the brain slice and the acutely dissociated preparation of hDBB neurons (Chapter 5). My results show that VP differentially and modulated  $I_C$  by activation of specific VP receptor subtypes (Fig. 7-2). Specifically, activation of the  $V_1$  receptors selectively decreased  $I_C$  whereas activation of  $V_2$  receptors increased  $I_C$ . Our observed lack of VP effects on calcium currents provide strong evidence for direct modulation of the  $I_C$  channel by this peptide.

 $I_C$  plays a critical role in AP repolarization and determines the duration and amplitude of the fast AHP (Womble and Moises, 1993). Increasing  $I_C$  will increase the duration of the AHP and decrease cellular firing frequency (Zhang and McBain, 1995) thereby reducing neuronal excitability. In contrast, decreasing  $I_C$  has the opposite effect by reducing the duration of the fast AHP and increasing the overall firing rate of the cell (Zhang and McBain, 1995). The net effect of a decrease in  $I_C$  is therefore an increase in cellular excitability. As a consequence, it is clear that the differential activation of  $V_1$  and  $V_2$  receptors represents a

potent mechanism whereby VP can modulate the excitability of hDBB neurons and thereby regulate the activity of target neurons.

Physiologically, VP may be an integral component in the DBB's participation in memory mechanisms and central cardiovascular processes. Intracellular levels of VP are considerably reduced in aged animals which typically show memory deficits (Fischer et al., 1987). In contrast, injection of VP into the septal area improves the ability of rats to perform specific memory-related tasks (Fliers et al., 1985).

In addition to a role in memory, VP modulation of hDBB neurons may also mediate their role in autonomic cardiovascular regulation. Dense VP afferents to the DBB have been anatomically identified as originating from the ACE and BST. Both of these regions respond to changes in blood pressure (Ciriello and Janssen, 1993; Sanders and Shekhar, 1991). Thus, in the context of my results, the presence of both V<sub>1</sub> and V<sub>2</sub> receptors may allow VP to differentially regulate the activity of baroreceptor-sensitive DBB neurons which, in turn, inhibit the activity of VP-synthesizing neurons within the hypothalamic SON (Jhamandas and Renaud, 1986; 1986).

The actions of Zn<sup>++</sup> on DBB neurons were described in Chapter 6. Analysis of Zn<sup>++</sup> on voltage-dependent ionic currents in the hDBB revealed that this metal significantly increases I<sub>A</sub> and decreases I<sub>K</sub> and I<sub>Ca</sub>. The blockade of I<sub>Ca</sub> would lead to a concomitant loss of I<sub>C</sub> and I<sub>AHP</sub> which would result in an overall increase in excitability (Sacchi et al., 1995; Zhang and McBain, 1995) (Fig. 7-3). This concurs with our results in the brain slice where exogenous application of Zn<sup>++</sup> increased the firing frequency of APs in the hDBB.

Zn\*\* is known to subserve important roles in enzymatic processes and also functions

as a neuromodulatory compound in the CNS (Smart et al., 1994; Wallwork, 1987; Westbrook and Mayer, 1987). Pathologically, however, excessive release of Zn'' is neurotoxic (Koh and Choi, 1988; Duncan et al., 1992; Choi et al., 1988). Zn'' is reported to coexist primarily with glutamatergic neurons (Moos, 1993; Beaulieu et al., 1992) and at high concentrations, this cation potentiates the excitotoxic effects of glutamate in cortical neurons (Weiss et al., 1993). In addition to its interactions with glutamate, Zn'' also potently inhibits GABA<sub>A</sub> receptors (Narahashi et al., 1994; Smart, 1992; Mayer and Vyklicky, 1989). Ebadi et al. (1995). Buhl et al. (1996) speculate that this may be a further mechanism whereby Zn'+ contributes to excitotoxicity as they demonstrated that Zn'+ inhibition of GABA permits glutamate-mediated excitotoxicity in a temporal lobe model of epilepsy. Collectively, these results suggest that Zn++ may interact with both GABA and glutamate channels to mediate excitotoxic insult to neurons, particularly those within the basal forebrain.

## **CONCLUSIONS AND FUTURE EXPERIMENTS**

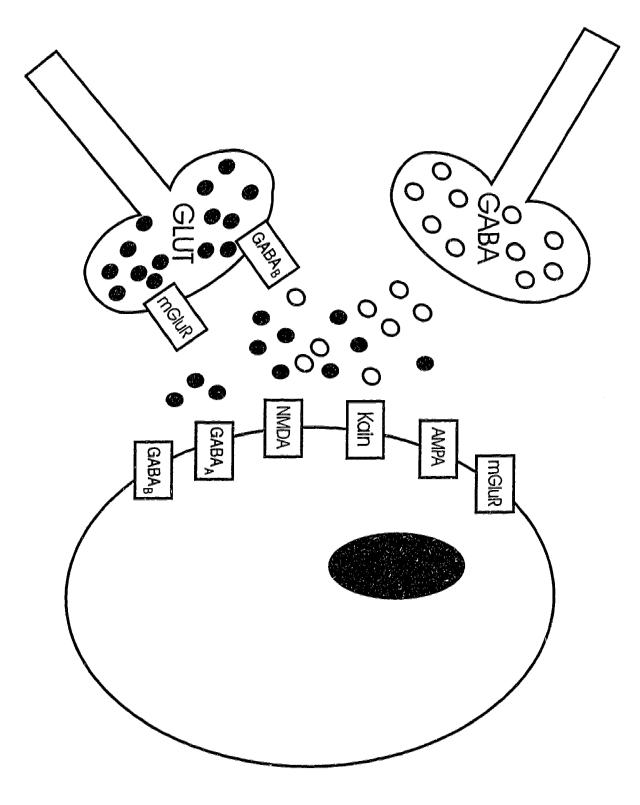
The results of this thesis show that classical neurotransmitters such as glutamate and GABA, neuropeptides such as VP, and non-classical transmitters such as Zn'' all potently modulate hDBB cell behavior. Glutamate appears to provide the majority of excitatory input as judged by the contribution of this amino acid to excitatory neurotransmission. Conversely, GABA inhibits hDBB cells postsynaptically and reduces postsynaptic glutamate inputs via a presynaptic mechanism. VP differentially modulates  $I_C$  channels via the  $V_1$  and  $V_2$  receptors. The  $I_C$  channel likely contributes to the repolarization and the fast component

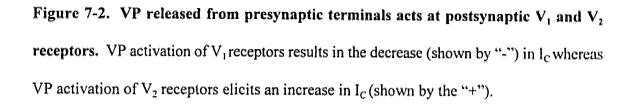
of the AHP therefore modulation of this ionic current may play a critical role in the regulation of hDBB cell excitability. Finally, Zn<sup>++</sup> modulates several ionic currents in these cells, however, the most potent effect of this cation appears to be directed at I<sub>Ca</sub>. The Zn<sup>++</sup>-mediated decrease in I<sub>Ca</sub> and consequent decrease in Ca<sup>++</sup>-dependent K<sup>+</sup> currents such as I<sub>C</sub> and I<sub>AHP</sub> may explain why perfusion of this metal significantly increases the firing frequency of these cells.

The results presented in this thesis have lead to other questions that will be addressed in future studies. A study of the various ionic currents that compose AP's in the hDBB may permit a better understanding of how VP and Zn\*\*-induced modulation of ionic currents can modulate cellular excitability. Recent studies indicate that application of Zn\*\* can enhance excitotoxic actions of glutamate releasing agents in the rat nucleus basalis, a cholinergic nucleus in the basal forebrain (Lee et al, in press). Furthermore, they observe that picolinic acid, an endogenous compound in the brain, potently chelates Zn\*\* and protects against its excitotoxic actions. The study of the actions of Zn\*\* in the basal forebrain and its potentially excitotoxic actions on neurons in this region will clearly be an important focus of study in the years to come.

Another important issue that remains to be addressed are the efferent targets of hDBB cells which receive VP input. Given that hDBB cells potently modulate the release of VP from the SON, an important question to be addressed is whether the hDBB neurons which receive VP input are the same cells which innervate the SON. Microinjection of retrograde tracers into the PNZ and the SON and subsequent study of VP actions on acutely dissociated and retrogradely labelled hDBB neurons could serve as a useful approach.

**Figure 7-1. Distribution of GABAergic and glutamatergic receptor subtypes within the hDBB.** This figure illustrates two presynaptic terminals containing GABA and glutamate vesicles. GABA interacts with postsynaptic GABA<sub>A</sub> and GABA<sub>B</sub> receptors and presynaptic GABA<sub>B</sub> receptors. Glutamate acts at postsynaptic NMDA, kainate, AMPA, and mGlurR receptors on postsynaptic membranes and mGluR receptors presynaptically.





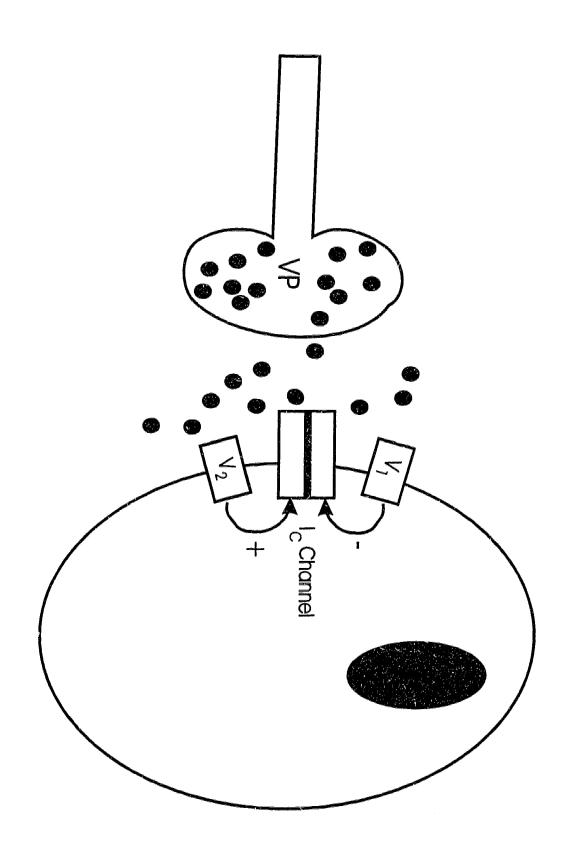
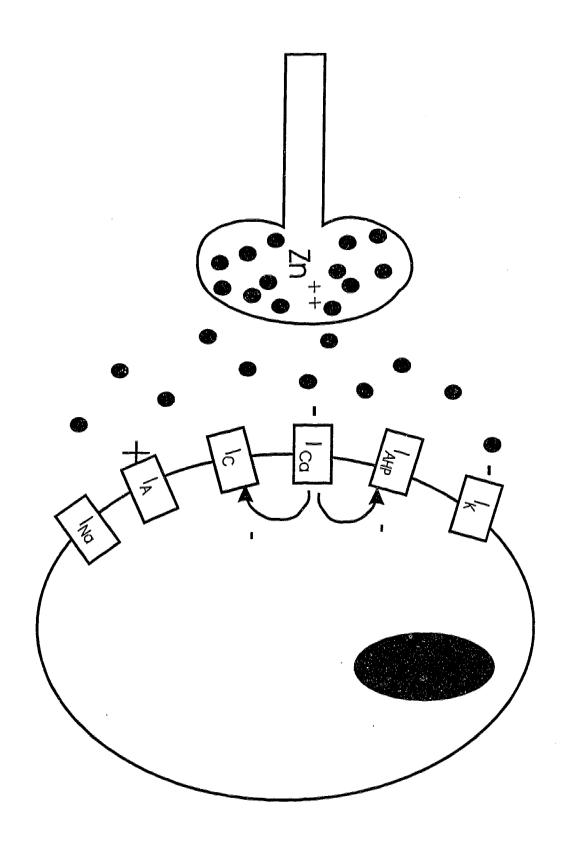


Figure 7-3.  $Zn^{++}$  released from presynaptic terminals modulates voltage-gated ion channels postsynaptically. Specifically, this cation increases  $I_A$  (shown by "+"), decreases  $I_K$  and  $I_{Ca}$  (shown by "-") and has no significant effect on  $I_{Na}$ . Both  $I_C$  and  $I_{AHP}$  channels are blocked consequent to the reduction in  $Ca^{++}$  influx (shown by "-" inside cell).



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