

1 **First-principles calculations of equilibrium nitrogen**
2 **isotope fractionations among aqueous ammonium,**
3 **silicate minerals and salts**

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15 **ABSTRACT:**

16 Nitrogen isotopes are a robust tool to study geological nitrogen cycle between
17 Earth's reservoirs. However, the application of nitrogen isotope system to
18 understanding geological processes has been limited by the lack of constraints on
19 equilibrium isotope fractionation factors between mineral and fluid and between
20 mineral pairs. Here, we use first-principles methods to calculate the nitrogen isotope
21 fractionations among aqueous ammonium, ammonium- and/or nitrate-bearing salts, and
22 ammonium-bearing silicate minerals that commonly occur in Earth's lithosphere. Our
23 results show a first-order, large nitrogen isotope fractionations between the nitrate
24 group and the ammonium group, with ^{15}N being more enriched in the nitrate group. In
25 detail, the nitrogen isotope fractionations among nitrate group minerals (NaNO_3 , KNO_3 ,
26 $\text{Ba}(\text{NO}_3)_2$, NH_4NO_3) are very small. The nitrogen isotope fractionations are also small
27 among the ammonium group (e.g., aqueous ammonium, ammonium salts, phyllosilicate,
28 and tectosilicate minerals) except inosilicate minerals (e.g., diopside and jadeite), which
29 are however significantly more enriched in ^{15}N than the other ammonium-bearing
30 minerals. These results suggest that nitrogen isotopes may serve as a robust
31 geothermometer only when the rock contains coexisting ammonium and nitrate
32 minerals, or contain diopside and/or jadeite together with other ammonium-bearing
33 silicate minerals. Given that common silicate minerals in crustal rocks (clays, micas,
34 feldspars) do not significantly discriminate nitrogen isotopes, nitrogen isotopes can thus
35 be used as a sensitive tool to trace material source and infer geochemical processes that
36 may cause isotope disequilibrium, such as metamorphic devolatilization, hydrothermal

37 alteration, crust-mantle interaction. Our results also demonstrate that authigenic clay
38 minerals can inherit the nitrogen isotopic signature of organic matter and aqueous
39 ammonium and thus can serve as an environmental proxy. However, it is crucial to
40 distinguish detrital minerals from authigenic minerals in sediments when reconstruct
41 marine and lacustrine environments in the deep time (Archean and Proterozoic).

42

43 **Keywords:** first-principles calculation, nitrogen isotope fractionation, silicate mineral,
44 ammonium, nitrate, fluid

45

46 **1. Introduction**

47 Nitrogen is one of the few elements occurring in all Earth's reservoirs from the
48 surface (e.g., the atmosphere, hydrosphere/biosphere, and crust) to the interior (e.g., the
49 mantle). The geological nitrogen cycle could have played an important role in the long-
50 term evolution of physiochemical properties of Earth's subsystems, but is still poorly
51 understood (e.g., Marty and Dauphas, 2003; Cartigny and Marty, 2013).

52 In the lithosphere, nitrogen mainly occurs as ammonium- and/or nitrate-bearing
53 salts produced in sedimentary and hydrothermal environments, and as ammonium in
54 crystal lattice of silicate minerals by replacing potassium (Honma and Itihara, 1981),
55 sodium, and calcium (Watenphul et al., 2010). To a less extent, nitrogen may occur in
56 silicate melts and hydrothermal fluids in a variety of species, including nitrate, N₂,
57 ammonium/ammonia, and many others such as CN⁻ or N-O (e.g., Roskosz et al., 2006;
58 Li et al., 2013; Li and Keppler, 2014; Mikhail et al., 2014, 2017; Dalou et al., 2019a).

59 Previous theoretical (e.g., Urey, 1947; Scalan, 1958; Hanschmann, 1981; Petts et al.,
60 2014) and experimental studies (e.g., Haendel et al., 1986; Li et al., 2009, 2012; Li et
61 al, 2016; Deng et al., 2018) have demonstrated large isotope fractionations between
62 species involved in hydrothermal fluid and melt. Consequently, nitrogen isotopes have
63 been considered to be a robust tool to trace geological nitrogen cycle (e.g., Haendel et
64 al., 1986; Bebout and Fogel, 1992; Bebout, 1997; Bebout et al., 1999, 2013; Sano et al.,
65 1998; Mingram and Bräuer, 2001; Busigny et al., 2003a, 2013; Li and Bebout, 2005;
66 Halama et al., 2010; Plessen et al., 2010; Li et al., 2014; Li et al., 2019a). However, the
67 nitrogen isotope fractionation factors between minerals are mostly lacking despite of
68 some recent efforts (e.g., Sadofsky and Bebout, 2000; Bebout et al., 2016), making it
69 difficult to assess isotope equilibrium or disequilibrium between coexisting minerals in
70 a rock, or between minerals and fluid in a hydrothermal system, which is the key
71 information for understanding the evolution history of a geological system.

72 Recently, accurate calculations based on density functional theory (DFT), a
73 computational quantum mechanical modelling method for investigating the electronic
74 structure of many-body system, have been successfully applied to predict equilibrium
75 isotope fractionation factors among minerals for a number of isotope systems for the
76 excellent performance of DFT on prediction of crystal volumes and vibrational
77 frequencies (Rustad and Yin, 2009; Young et al., 2009; Liu et al., 2011; Schauble, 2011;
78 Dauphas et al., 2012; Huang et al., 2013; Wang et al., 2017; Li et al., 2019b, c). Here,
79 we applied DFT-based calculations to determine the equilibrium nitrogen isotope
80 fractionation factors among a variety of nitrogen-bearing minerals commonly occurring

81 in Earth's lithosphere, including ammonium-bearing silicates (e.g., albite,
82 buddingtonite, microcline, muscovite, tobelite, phlogopite, biotite, diopside, jadeite)
83 and ammonium- and/or nitrate-bearing salts (e.g., NH_4Cl , $(\text{NH}_4)_2\text{SO}_4$, NH_4NO_3 , KNO_3 ,
84 NaNO_3 , $\text{Ba}(\text{NO}_3)_2$). Besides, a synthetic aluminosilicate zeolite Linde J
85 ($\text{Si}_2\text{Al}_2\text{O}_8(\text{NH}_4)_2\text{H}_2\text{O}$) was also calculated in reference for natural ammonium-bearing
86 clay minerals, whose structures are largely unknown and thus are not amenable to
87 theoretical calculations. In addition, we also simulated the structures of ammonium in
88 aqueous solution using the Car-Parrinello molecular dynamics (CPMD) (Car and
89 Parrinello, 1985) and predicted the equilibrium N isotope fractionation factors between
90 minerals/salts and aqueous solution. This study aims to provide essential data for
91 nitrogen isotope applications to broad geological settings from low-temperature
92 sedimentation process to high-temperature igneous and metamorphic processes.

93

94 **2. Methods**

95 ***2.1 The reduced partition function ratio and equilibrium isotope fractionation factor***

96 The reduced partition function ratio (RPFR) of element X in phase A, which is
97 denoted as β_A , describes the equilibrium isotope fractionation factor of element X
98 between phase A and ideal gas of X atoms. Under the harmonic approximation,
99 according to quantum mechanics and statistical physics, following Bigeleisen and
100 Mayer (1947) and Urey (1947), the β_A can be written as:

$$101 \quad {}^{15}\beta_A = \prod_{i=1}^{3N-3} \left(\frac{u_i^* e^{-\frac{1}{2}u_i^*} (1 - e^{-\frac{1}{2}u_i})}{u_i (1 - e^{-\frac{1}{2}u_i^*}) e^{-\frac{1}{2}u_i}} \right), \quad (1)$$

102 where $u_i = h\nu_i/kT$; ν_i is the i-th vibrational frequency; h and k are the Planck and

103 Boltzmann constants, respectively; the asterisk superscript refers to the heavy isotope;
104 N is the number of atoms in the crystal which has $3N-3$ non-zero vibrational modes.
105 Based on equation 1, we can also obtain the RPF in phase B, which is denoted as β_B .
106 The equilibrium isotope fractionation factor between phase A and phase B, which is
107 denoted as α_{A-B} , can be defined as:

$$108 \quad 10^3 \ln \alpha_{A-B} = 10^3 (\ln \beta_A - \ln \beta_B) \quad (2)$$

109

110 ***2.2 The first-principles calculations***

111 Optimization of mineral crystal structures were performed by using the Quantum
112 Espresso (QE) software (<http://www.quantum-espresso.org>) which was built on DFT,
113 plane wave and pseudopotential method (Giannozzi et al., 2009). The Generalised-
114 Gradient Approximation (GGA) (Perdew et al., 1996) with Perdew-Burke-Ernzerhof
115 (PBE) exchange correlation function were applied for the calculations. The detailed
116 pseudopotentials of H, N, O, Na, Mg, Al, Si, S, Cl, K, Ca, Fe and Ba used in our
117 calculations were listed in the supplementary data Table S1. For structure optimization
118 of minerals, the cutoff of the plane wave energy was set to 70 Ry, while the convergence
119 threshold on forces for ionic minimization and energy for self-consistency were 10^{-4}
120 Ry/Bohr and 10^{-8} Ry respectively. The k mesh sampling (Table S2) used in vc-relax was
121 based on the crystal structures in Fig. 1.

122 To examine the nitrogen isotopic behavior of NH_4^+ in aqueous solution, we
123 performed the CPMD modeling on a cubic cell of $\text{NH}_4\text{Cl}(\text{H}_2\text{O})_{32}$ that contains one NH_4^+
124 and 32 H_2O molecules with one Cl^- being added to retain a charge balance. It has been

125 demonstrated that ammonium can be fully hydrated by 32 H₂O molecules, which can
126 thus represent a solution environment (Li et al., 2021). Variable-cell CPMD module in
127 QE was used for the calculations. The Nosé-Hoover thermostats method (Nosé, 1984;
128 Hoover, 1985) was used to maintain the system temperature at 300 K and the standard
129 Verlet algorithm (Parrinello and Rahman, 1980, 1981) was used to adjust cell volume
130 to retain the pressure at 0.00 Gpa. The convergence threshold of total energy and force
131 in the CPMD simulation were near 0.5×10^{-8} Ry and 0.5×10^{-5} Ry/Bohr, respectively. The
132 time step was ~ 0.12 fs and the total simulation time was up to ~ 18.14 ps (150000 steps).
133 The detailed explanations of these parameters are given in the webpage:
134 <http://www.quantum-espresso.org/resources/users-manual/input-data-description>.

135 The vibrational frequencies on gamma point were obtained by the open source
136 code PHONOPY (Togo and Tanaka, 2015) which was based on finite displacement
137 method. The structure optimization and vibrational frequency calculations of biotite
138 were achieved by GGA+U method with a self-consistent Hubbard_U value of 3.50 eV
139 (Cococcioni and Gironcoli, 2005).

140

141 ***2.3 Initial crystal structures***

142 The initial crystal structures for our calculations were either from the American
143 Mineralogist Crystal Structure Database (AMCSD), e.g., for jadeite, diopside,
144 phlogopite, biotite, albite, microcline, buddingtonite, tobelite, KNO₃, and NH₄NO₃
145 (phase V), or from the Inorganic Crystal Structure Database (ICSD), e.g., for Linde J,
146 NaNO₃, NH₄Cl, and (NH₄)₂SO₄.

147 For the calculations of silicate minerals, since NH_4^+ concentration varies
148 significantly from major component (e.g., in buddingtonite, tobelite, Linde J) to trace
149 elements (e.g., in K-feldspar, plagioclase, muscovite, biotite, phlogopite,
150 clinopyroxene), we used a doping method for trace NH_4^+ -bearing minerals. For
151 feldspars and muscovite, we doped K^+ or Na^+ to the NH_4^+ cluster in a supercell of
152 buddingtonite and tobelite, respectively, to obtain different NH_4^+ occupation ratio,
153 which is expressed as $\text{NH}_4^+ / (\text{NH}_4^+ + \text{K}^+ \text{ or } \text{Na}^+)$ occupation in molar ratio. For example,
154 in the $2 \times 1 \times 2$ supercell of buddingtonite, we replaced 15 NH_4^+ by 15 K^+ to generate an
155 initial crystal structure of microcline with the NH_4^+ occupation ratio of 1/16. The crystal
156 structure of NH_4^+ -doped jadeite, phlogopite, and biotite were constructed by replacing
157 K^+ or Na^+ by the NH_4^+ cluster. For NH_4^+ -bearing diopside, Watenphul et al. (2010)
158 found that NH_4^+ can replace Ca^{2+} in M2 site while Mg^{2+} in M1 site is replaced by M^{3+}
159 (e.g., Al^{3+} or Cr^{3+}). Accordingly, we substituted one set of Ca^{2+} and Mg^{2+} by one set of
160 NH_4^+ and Al^{3+} in the $1 \times 1 \times 2$ supercell of diopside to generate the initial crystal structure
161 of NH_4^+ -bearing diopside with an NH_4^+ occupation ratio of 1/8. While several
162 nonequivalent substituted configurations were yielded, we chose the one with the
163 lowest total energy for our calculation.

164 The Si-Al occupation models in buddingtonite and tobelite crystals were the same
165 as our previous calculations for K isotope fractionations in microcline and muscovite
166 (Li et al., 2019b, c).

167

168 **3. Results**

169 ***3.1. Crystal structure and average bond length***

170 The calculated structures of minerals and $\text{NH}_4\text{Cl}(\text{H}_2\text{O})_{32}$ are illustrated in Fig. 1.
171 In the optimized buddingtonite, the b axis is not normal to the a and c axes (α and γ are
172 not 90.0° in SI - Table S3). This can be attributed to the specific Si-Al occupation, which
173 will break the symmetry of the crystal structures of buddingtonite, resulting in shift of
174 the crystal structure toward that of microcline. Similar deformation of crystal structure
175 from tobelite to muscovite was also observed during the calculations. Compared with
176 available experimental volume measurements on buddingtonite, tobelite, Linde J,
177 NH_4Cl , $(\text{NH}_4)\text{SO}_4$, NaNO_3 , KNO_3 , $\text{Ba}(\text{NO}_3)_2$, $\text{NH}_4\text{NO}_3\text{-V}$, and $\text{NH}_4\text{Cl}(\text{H}_2\text{O})_{32}$
178 (Vainshtein, 1956; Amoros et al., 1962; Schlemper and Hamilton, 1966; Cherin et al.,
179 1967; Nimmo and Lucas, 1973; Nowotny and Heger, 1983; Mookherjee et al., 2004;
180 Broach and Kirchner, 2011; Mesto et al., 2012; Stefan-Kharicha et al., 2018), our
181 calculated volumes are 3-4% larger. This is consistent with previous first-principles
182 studies (Li et al., 2019c; Schauble, 2011; Wentzcovitch et al., 2010) showing that the
183 GGA function tends to slightly overestimate the volumes, in contrast to the other
184 commonly used Local Density Approximation (LDA) function which tends to slightly
185 underestimate the volumes. As a result, the GGA function tends to give underestimated
186 RPF values, whereas the LDA tends to give overestimate RPF values. Nevertheless,
187 the systematic offset associated with individual function (either GGA or LDA) can be
188 canceled out during the calculation of equilibrium isotope fractionation factors. As a
189 result, the GGA and LDA methods should give similar $10^3\ln\alpha$ results for mineral pairs,
190 as demonstrated by Huang et al. (2013).

191 The calculated average lengths (Table 1) of the N-H bonds in minerals and salts
 192 show relatively small variations, from the smallest length of 1.0203 Å in jadeite to the
 193 largest length of 1.0420 Å in (NH₄)₂SO₄. The calculated average lengths of the N-O
 194 bonds in the nitrate salts (NaNO₃, KNO₃, Ba(NO₃)₂, NH₄NO₃) cluster in a very limited
 195 range from 1.2733 Å (NaNO₃) to 1.2761 Å (KNO₃) (see Table 1).

196 To describe the structure of NH₄⁺ in aqueous solution, we simulated the density
 197 variation of H as a function of its distance from N, which can be expressed by the radial
 198 distribution function (RDF) (Liu et al., 2018):

$$199 \quad g_{N-H}(r) = \frac{n}{\rho n_N n_H} \left(\sum_{i=1}^{n_N} \sum_{j=1}^{n_H} (\vec{r} - \vec{R}_i^N + \vec{R}_j^H) \right) \quad (3)$$

200 in which n denotes the number of atoms; $\rho = n/V$; V is the volume of the system; \vec{R} is
 201 the coordinates of atoms. The $g_{N-H}(r)$ value gives the probability of finding a H atom
 202 at a distance of r away from a N atom. We yielded a strong peak around 1.046 Å (Fig.
 203 2), indicating the N-H bond length of the NH₄⁺ cluster. Integration of the peak in Fig.
 204 2A gives the number of the nearest neighbor atoms, or the coordination number (CN).

205 We extracted 20 snapshots from the simulation of NH₄Cl(H₂O)₃₂ trajectories after
 206 equilibrium, with volumes close to 1055.91 Å³ (to ensure the pressure is near 0.0 Gpa),
 207 to perform ionic relaxation, full vibrational frequency calculation and 10³lnβ prediction
 208 (Table S4 & S6). The N-H bond lengths of these 20 snapshots range from 1.0441 Å to
 209 1.0491 Å with an average of 1.0457 Å (Table S4), which is used to represent the N-H
 210 bond length of aqueous ammonium. Accordingly, the bond length of aqueous
 211 ammonium is larger than the ammonium in all minerals and salts.

212

213 **3.2 Vibrational frequencies**

214 To validate our calculation results, we compared the yielded vibrational
215 frequencies of the NH_4^+ and NO_3^- clusters in a variety of minerals with experimental
216 results. A free NH_4^+ cluster is in tetrahedral symmetry (T_d) and has four normal modes
217 of vibrations (Herzberg. 1955), i.e., A_1 (ν_1), E (ν_2) and $2 \times T_2$ (ν_3 and ν_4). Their vibration
218 frequencies may be different in different minerals. Compared with available
219 experimental data measured by Raman or IR analyses (see summary in Table S5), our
220 calculated vibrational frequencies of $(\text{NH}_4)_2\text{SO}_4$, buddingtonite, tobelite, NH_4Cl and
221 phlogopite- (NH_4) show a high consistency (Table S5; Fig. 3), which demonstrates that
222 GGA is a good exchange-correlation function for predicting vibrational frequencies of
223 nitrogen bonds.

224

225 **3.3. The predicted $^{15}\beta$ values and calculation uncertainty**

226 The calculated $10^3 \ln \beta$ values of the studied minerals (some with variable NH_4^+
227 concentration) at selected temperatures are listed in Table S6. The $10^3 \ln \beta$ data are fitted
228 by a general polynomial equation:

$$229 \quad 10^3 \ln \beta = C_0 + \frac{C_1}{10} \times x - \frac{C_2}{10^2} \times x^2 + \frac{C_3}{10^3} \times x^3 - \frac{C_4}{10^4} \times x^4 + \frac{C_5}{10^5} \times x^5 - \frac{C_6}{10^6} \times x^6 \quad (4)$$

230 in which $x = 10^6/T^2$; $C_0 - C_6$ are constant. The yielded $C_0 - C_6$ values are listed in Table
231 1. The temperature-dependent $10^3 \ln \beta$ values are illustrated in Fig. 4.

232 The uncertainty (at 95% confidence level) of $10^3 \ln \beta$ is 0.9% of their corresponding
233 values. This is estimated based on the uncertainty analysis by Méheut et al. (2009),
234 which showed that the uncertainty of the predicted β is correlated with the scale factor

235 (slope) of the predicted vibrational frequencies (1.009 in our calculations).

236 Overall, our results show that the nitrate group minerals are more enriched in ^{15}N
237 than are the ammonium group minerals (Fig. 4A). Interestingly, the variability of $10^3\ln\beta$
238 in the nitrate group is very small, with a difference of 2.1‰ at 300 K (Fig. 4B) and 0.9‰
239 at 575 K between the most ^{15}N enriched mineral (NaNO_3) and the most ^{15}N depleted
240 mineral (NH_4NO_3). In the ammonium group minerals, the variation of $10^3\ln\beta$ is much
241 larger – ^{15}N enrichment is highest in jadeite, and progressively decreases along the
242 order of diopside, albite, microcline, buddingtonite, phlogopite, biotite, NH_4NO_3 ,
243 muscovite, tobelite, clay, $(\text{NH}_4)_2\text{SO}_4$, and NH_4Cl . In particular, clinopyroxene minerals
244 (jadeite and diopside) show much higher $10^3\ln\beta$ values than the other minerals in the
245 ammonium group, e.g., jadeite has $10^3\ln\beta$ higher than mica minerals for $\sim 5\%$ at 575
246 K and $\sim 3\%$ at 775 K (Fig. 4C; Table S6).

247 The $10^3\ln\beta$ values calculated from the 20 snapshots of $\text{NH}_4\text{Cl}(\text{H}_2\text{O})_{32}$ range from
248 91.5‰ to 92.6‰ at 300 K. Again, we use the average $10^3\ln\beta$ value of these 20 snapshots
249 to represent the $10^3\ln\beta$ value of aqueous ammonium, which is 92.0‰ at 300 K. This
250 value is smaller than those of the minerals and salts examined in this study (92.3-
251 109.4‰; Table S6).

252

253 **4. Discussion**

254 ***4.1. The control of bond strength on ^{15}N enrichment***

255 Our calculation results show clear control of bond strength on the ^{15}N enrichment
256 in minerals. For example, nitrate minerals all have larger $10^3\ln\beta$ values than ammonium

257 minerals. This is consistent with more ^{15}N enrichment in higher valence state (Schauble,
258 2004). The control of bond strength on ^{15}N enrichment among the ammonium group
259 minerals is reflected by the negative correlation between $10^3\ln\beta$ values and average
260 bond length (Fig. 5), which is consistent with our previous study on K isotope
261 fractionations in K-bearing minerals (Li et al., 2019c).

262

263 ***4.2. Concentration effect on N isotope fractionation***

264 Ammonium in common silicate minerals in natural rocks (e.g., micas, feldspars
265 and clinopyroxene) is at trace level (from a few to thousands of ppm; e.g., Sadofsky
266 and Bebout, 2000; Busigny et al., 2003b, 2004; Li et al., 2014). In a previous study on
267 K isotope fractionations, we have observed that, when K^+ concentration in alkali
268 feldspars decreases, the large radius of K^+ must be compressed, which results in
269 significant change in the average K-O bond length and consequent change in isotope
270 fractionations (a variation of $10^3\ln\beta$ for $\sim 0.2\%$ even at 700 °C; Li et al., 2019b). Since
271 ammonium mostly occupies the K^+ position in mineral lattice (Honma and Itihara,
272 1981), we also examined whether decreased NH_4^+ concentrations would affect the
273 magnitude of nitrogen isotope fractionation. Surprisingly, our calculations for the
274 buddingtonite-microcline series with NH_4^+ occupation ratio varying from 4/4 to 1/16
275 (equivalent to 2780 ppm NH_4^+ in K-feldspar) only gave a $10^3\ln\beta$ difference of $\sim 0.18\%$
276 at 300 °C and $\sim 0.05\%$ at 700 °C (Table S6). Similar results are observed from all the
277 calculation practices on some other minerals (i.e., the tobelite-muscovite series,
278 phlogopite, biotite, and albite; Table S6). These values are insignificant compared with

279 the analytical error of 0.2‰ (e.g., Bebout et al., 2007) for nitrogen isotope compositions
280 of silicate. Overall, in silicate minerals, the concentration effect on nitrogen isotope
281 fractionations is much weaker than that on K isotopes (Li et al., 2019b) and Ca isotopes
282 (Wang et al., 2017). This may be mainly attributed to the flexibility of the NH_4^+ cluster,
283 which can deform its shape to fit in different crystal structure. For example, in the
284 buddingtonite-microcline series, when the NH_4^+ occupation ratio increases from 1/16,
285 1/4, to 4/4, the NH_4^+ tetrahedron is more and more deformed via changing its four N-H
286 bond lengths and six N-H bond angles, which can be quantified by the increase in the
287 variances (defined by Equation 4 below) of the four N-H bond lengths and the six N-H
288 bond angles of the NH_4^+ tetrahedron:

$$289 \quad s_{ang \text{ or } len} = \frac{1}{n-1} \sum_{i=1}^n (x_i - \bar{x})^2 \quad (5)$$

290 where *ang* and *len* denote bond angle and bond length, respectively; x_i is the *i*-th
291 bond length or angle; \bar{x} denotes the average bond length or angle; *n* is the number of
292 bonds ($n = 4$) or angles ($n = 6$) in NH_4^+ . Interestingly, despite of the large changes in
293 the N-H bond lengths and N-H bond angles (Table S7), the average N-H bond length is
294 able to remain nearly constant (Table 1). Consequently, the change in ammonium
295 concentration in minerals does not affect nitrogen isotope fractionation significantly.

296 Because the concentration effect on nitrogen isotope fractionation is very small in
297 silicate minerals, and the lowest NH_4^+ occupation ratio (i.e., 1/16 in the buddingtonite-
298 microcline series; equivalent to 2780 ppm NH_4^+ in microcline) overlaps the upper end
299 of the NH_4^+ concentration range of natural minerals (up to > 3000 ppm; e.g., Busigny
300 et al., 2003b, 2004), our calculation results can be comfortably applied to natural

301 systems. To apply to field case studies, we recommend using the data calculated from
302 NH_4^+ or NO_3^- occupation ratio of 1:1 for the minerals that have high N contents (e.g.,
303 ammonium salts, nitrate salts, buddingtonite and tobelite). For the silicate minerals
304 containing trace amounts of nitrogen, we recommend using the results from NH_4^+
305 occupation ratios of 1/16 (for microcline, and likely other K-feldspar as well) or 1/8
306 (for muscovite, biotite, phlogopite, albite, diopside, and jadeite). For clay minerals, a
307 supercell contains a large number of atoms (Table S2), which makes the calculation
308 very difficult. Consequently, we only calculated an occupation ratio of 1/1 for Linde J.
309 The results should not deviate much from the real fractionation of clay minerals, given
310 that the concentration effect on nitrogen isotope fractionation is very weak.

311

312 *4.3. Nitrogen isotope fractionations between silicate minerals*

313 The nitrogen isotope fractionations between individual minerals relative to
314 aqueous NH_4^+ were derived from their $10^3 \ln \beta$ values (Table S6) according to Equation
315 2. Their general description equations are listed in Table 2 and plotted in Fig. 6. The
316 results show resolvable nitrogen isotope fractionations between inosilicate (e.g., the
317 clinopyroxene group), tectosilicate (e.g., the feldspar group), and phyllosilicate
318 minerals (e.g., the mica/clay group). This reflects a strong control of silicate structure
319 on nitrogen isotope fractionation despite the strong adjustable capability of ammonium
320 in silicate structures that can alleviate nitrogen isotope discrimination. Another factor
321 that can affect nitrogen isotope fractionation is the relative radius difference between
322 NH_4^+ and its replaced cation (e.g., K^+ , Na^+ or Ca^{2+}). This has been observed in our

323 previous study for K isotopes (Li et al., 2019b). The ionic radius of NH_4^+ ($\sim 1.670 \text{ \AA}$) is
324 similar to that of Rb^+ (1.660 \AA) but longer than that of K^+ (1.520 \AA) and much longer
325 than Na^+ (1.160 \AA) and Ca^{2+} (1.140 \AA) (Shannon, 1976; Honma and Itihara, 1981; Sidey,
326 2016). As a result, the radius difference between NH_4^+ and Na^+ (0.51 \AA) is larger than
327 that between NH_4^+ and K^+ (0.15 \AA), which induces greater ^{15}N enrichment in albite than
328 the buddingtonite-microcline series (Table S6, Fig 4, 6).

329

330 ***4.4. Nitrogen isotope fractionations between minerals and aqueous ammonium***

331 The $10^3 \ln \alpha$ values between minerals and aqueous ammonium (represented by
332 $\text{NH}_4\text{Cl}(\text{H}_2\text{O})_{32}$) are listed in Table 2 and plotted in Fig. 6. Our calculation shows that,
333 compared with silicate minerals and salts, aqueous ammonium is more depleted in ^{15}N
334 (Fig. 6). This is consistent with the fact that ammonium is more strongly bound in solid
335 phase than in aqueous phase. The magnitude of nitrogen isotope fractionation between
336 minerals and aqueous ammonium varies significantly, depending on the nitrogen
337 speciation (ammonium or nitrate) and mineral structures (e.g., phyllosilicate,
338 tectosilicate, inosilicate). In general, nitrate minerals show large ^{15}N enrichment relative
339 to aqueous ammonium, which can be attributed to their high valence state (see
340 discussion above). Among ammonium-bearing minerals, inosilicate minerals show the
341 greatest magnitude of nitrogen isotope fractionation relative to aqueous ammonium,
342 followed by tectosilicate minerals, phyllosilicate minerals and salts (Figs. 3-4). These
343 new data, together with the recent constraints on nitrogen isotope fractionations
344 between a variety of nitrogen species in hydrothermal fluid (Li et al., 2021), provide

345 new opportunities for petrological and environmental studies.

346

347 **5. Applications to petrology, geothermometry and source tracing**

348 ***5.1. Equilibrium, disequilibrium and geothermometry for igneous and metamorphic***

349 ***rocks***

350 Sadofsky and Bebout (2000) examined the nitrogen isotope compositions of
351 muscovite and coexisting biotite in amphibolite-facies metapelites in the New England
352 Appalachians. They found that the less altered muscovite and biotite have mean
353 $\Delta^{15}\text{N}_{\text{biotite-muscovite}}$ of $\sim 0.1\text{‰}$ (Sadofsky and Bebout, 2000). This observation is consistent
354 with our calculated results that the nitrogen isotope fractionations between biotite and
355 muscovite is $< 0.2\text{‰}$ at temperatures higher than 500°C (Table S6; Fig. 6B).

356 Our calculated results also show that the nitrogen isotope fractionations between
357 feldspars and micas are very small (e.g., $< 1.1\text{‰}$ at 300°C and $< 0.5\text{‰}$ at 700°C between
358 albite and muscovite; Fig. 6B; Table S6). Because micas and feldspars are the most
359 common ammonium-bearing minerals in intermediate and felsic rocks, the nitrogen
360 isotope fractionations between coexisting minerals in these rocks are too small to allow
361 nitrogen isotopes to serve as a robust geothermometer. However, this feature facilitates
362 nitrogen isotopes to serve as a sensitive tool to probe processes that may cause nitrogen
363 isotope disequilibrium between these minerals, such as fluid alteration, abiotic
364 nitrogen reduction, nitrogen devolatilization, crustal-mantle interaction. For example,
365 Li et al. (2014) observed extreme ^{15}N depletions in biotite (up to 20‰ lower than the
366 values of white micas) in the ultrahigh-pressure (UHP) metamorphic rocks in the Sulu

367 orogen in eastern China. This indicates an obvious nitrogen isotope disequilibrium
368 between the biotite and white micas, which helped to identify an abiotic nitrogen
369 reduction process during the hydrothermal alteration of the protolith of these UHP
370 metamorphic rocks (Li et al., 2014).

371 In mafic and ultramafic rocks, clinopyroxene minerals commonly occur,
372 sometimes coexisting with micas (e.g., phlogopite or biotite) and/or feldspars. In this
373 case, the large isotope fractionation factors between clinopyroxene mineral and feldspar
374 or mica (Fig. 6B) can help to examine the isotope equilibration or disequilibration
375 between these minerals and, if at equilibration, to determine the formation temperature
376 of these minerals.

377

378 *5.2. Nitrogen isotopic inheritance in clay and its application as an environmental* 379 *proxy*

380 Phyllosilicate minerals such as clay are widely developed on Earth's surface, from
381 soil development on land to sediment diagenesis and hydrothermal alteration of oceanic
382 crust on seafloor. Therefore, low-temperature mineralization of phyllosilicate minerals
383 plays a key role in the geological nitrogen cycle by fixing ammonium from the
384 hydrosphere/biosphere into the lithosphere.

385 The nitrogen isotopic transfer along this geological nitrogen recycling pathway is
386 a crucial parameter to model the isotopic evolution of involved Earth's reservoirs. Our
387 results show that, although ^{15}N is more enriched in clay than aqueous ammonium, the
388 magnitude is small (e.g., 1.6‰ at 300 K; Table S6). This is consistent with a previous

389 laboratory study (Karamanos and Rennie, 1978) showing that ^{15}N is more enriched in
390 clay (with a slightly higher value of $\sim 3\text{‰}$) during ammonium solution-clay exchange
391 experiments. Interestingly, ammonium produced by organic degradation in water
392 column and early diagenesis is associated with a nitrogen isotope fractionation of -1.5‰
393 (Freudenthal et al., 2001). As a combined effect, authigenic clay in sediments should
394 have $\delta^{15}\text{N}$ value nearly identical to that of organic matter. Therefore, our new data well
395 explain the widely observed phenomenon that authigenic clay can inherit the $\delta^{15}\text{N}$ of
396 organic matter (e.g., Williams et al., 1995) despite nitrogen isotopes fractionation
397 occurs in several steps along this nitrogen transfer pathway. Similarly, during the low-
398 temperature alteration of oceanic crust, clay minerals can also be produced as a
399 common secondary mineral. If ammonium is available in seawater, it can be assimilated
400 into clay minerals without significant isotopic shift from the seawater signature. This
401 has been proved by nitrogen isotopic examinations of oceanic crust samples drilled
402 from worldwide oceans (e.g., Busigny et al., 2005; Li et al., 2007, Bebout et al., 2018).
403 Accordingly, our new data demonstrate that authigenic clay in sediments and oceanic
404 crust can reliably record the nitrogen isotopic signature of ammonium in these settings,
405 and thus serve as a proxy to reconstruct the nitrogen isotopic signature of paleo-
406 seawater, diagenetic fluid, or hydrothermal fluid. However, when use seafloor sediment
407 and/or oceanic crust to study the nitrogen signature of paleo-oceans, caution must be
408 taken to ensure the nitrogen-bearing phases were authigenic, which may not be
409 necessarily true. For example, Pinti et al. (2009) examined the nitrogen in cherts from
410 the Archean Pilbara Craton and found two possible nitrogen-bearing phases coexisting

411 in some samples, i.e., illite and feldspar. However, the $\delta^{15}\text{N}$ values of these two phases
412 display a $\delta^{15}\text{N}$ difference of more than 10‰ (Pinti et al., 2009). Our new data indicates
413 obvious nitrogen isotope disequilibrium between these two phases in the Archean cherts.
414 This suggests that at least one of them was not authigenic but either detrital or
415 introduced by later tectonic activities.

416

417 **5. Conclusions**

418 Via first principle calculations, we obtained the equilibrium isotope fractionation
419 factors for a variety of minerals, including ammonium- and nitrate-bearing salts and
420 ammonium-bearing silicate minerals, as well as aqueous ammonium. We found a large
421 isotope fractionation between nitrate group minerals and ammonium group minerals
422 with ^{15}N is more enriched in nitrate. In ammonium group minerals, inosilicate minerals
423 (e.g., clinopyroxene) are most enriched in ^{15}N , followed by tectosilicate (e.g., feldspars),
424 phyllosilicate (e.g., micas and clays), and ammonium salts. The nitrogen isotope
425 fractionation between mica minerals, clays and ammonium salts are very small (<1‰)
426 at high temperatures. We suggest that only an equilibrium temperature derived from
427 rocks that contain clinopyroxene minerals together with feldspar or mica minerals are
428 meaningful. The small magnitudes of nitrogen isotope fractionation between feldspars
429 and mica minerals, which commonly coexist in crustal rocks, are too small to be used
430 for geothermometer. However, these small isotope fractionations enable nitrogen
431 isotopes to be a sensitive tool to trace material course and detect geological processes
432 superimposed on the common equilibrium processes in high-temperature igneous and

433 metamorphic events. Our data also demonstrate that authigenic clay is a robust proxy
434 to reconstruct the nitrogen isotopic signatures of the aqueous environment where it was
435 formed.

436

437 **Acknowledgements**

438 This research is funded by the National Key R&D Program of China
439 (2018YFA0702703) and the Natural Science Foundation of China (41925017,
440 41721002) to ZW and the NSERC-Discovery Grant to LL. The computations were
441 conducted partly in the Supercomputing Center of the University of Science and
442 Technology of China. We thank three anonymous reviewers for their constructive
443 comments and Dr. Moynier for handling this paper.

444

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1 **Figure captions**

2

3 **Fig. 1.** The optimized crystal structures of minerals and aqueous ammonium. Different
4 color represent different atoms.

5

6 **Fig. 2.** (A) The distribution probability (green) and coordination number (red) of H
7 atom in distance to N atom in aqueous ammonium. (B) Statistics of the N-H bond
8 lengths from 20 snapshots of $\text{NH}_4\text{Cl}\cdot(\text{H}_2\text{O})_{32}$.

9

10 **Fig. 3.** Comparison of vibrational frequencies of the NH_4^+ cluster in minerals between
11 our calculated results and experimental measurements. Linear fitting yielded a
12 slope of 1.003, indicating high consistence between our calculation results and
13 experimental data (see Table S4 for data).

14

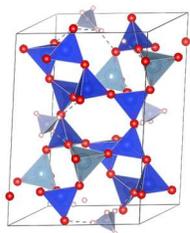
15 **Fig. 4.** The predicted $10^3\ln\beta$ of nitrate and ammonium minerals from 200-3000 K (A)
16 with enlarged view for nitrate minerals at around 300 K (B) and for ammonium
17 minerals at around 575 K. Mineral abbreviations: Jd = jadeite; Di = diopside; Ab
18 = albite; Mc = microcline; Budd = buddingtonite; Phl = phlogopite; Bt = biotite;
19 Tbl = tobelite.

20

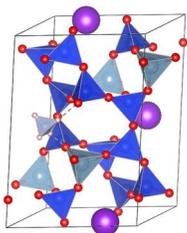
21 **Fig. 5.** Correlation between $10^3\ln\beta$ (at 300K) and the N-H bond length illustrate the
22 control of bond length on nitrogen isotope fractionation in minerals. The data of
23 some minerals with various nitrogen concentrations (reflected by the ammonium
24 occupation number (see Table 1) cluster closely, indicating little concentration
25 effect on the nitrogen isotope fractionations. Mineral abbreviations are the same
26 as Figure 4.

27

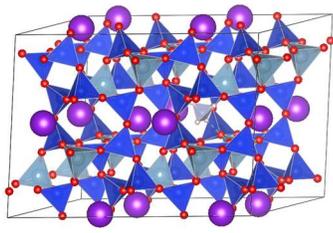
28 **Fig. 6.** Equilibrium nitrogen fractionations ($10^3\ln\alpha$) between individual minerals and
29 aqueous ammonium. (A) shows the low-temperature range of nitrate and
30 ammonium salts; (B) shows the medium-high temperature range of silicate
31 minerals. See Table 2 for the general equation describing these temperature-
32 dependent nitrogen fractionations. Mineral abbreviations are the same as Fig. 4.



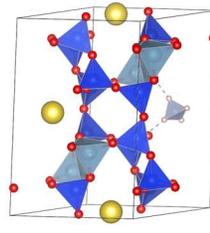
Buddingtonite



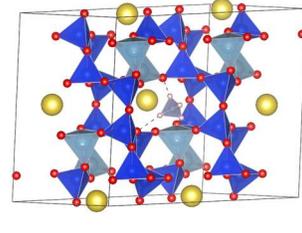
Microcline-(3KNH₄)



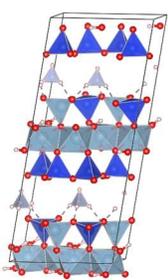
Microcline-(15KNH₄)



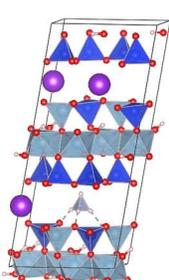
Albite-(3KNH₄)



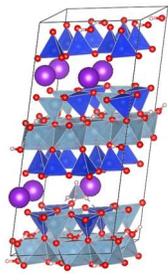
Albite-(7KNH₄)



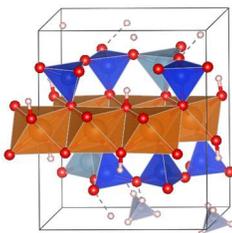
Tobelite



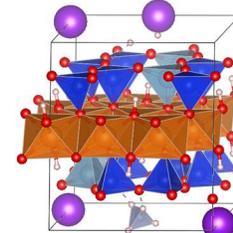
Muscovite-(3KNH₄)



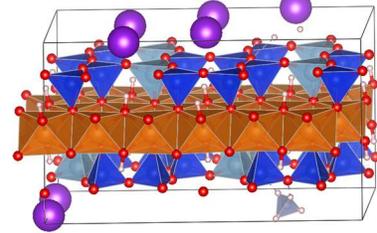
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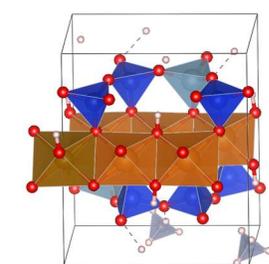
Phlogopite-(NH₄)



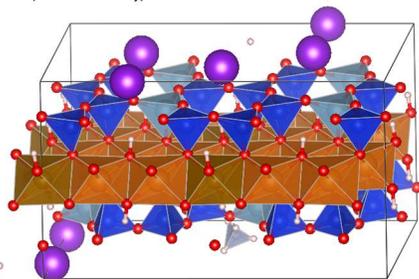
Phlogopite-(3KNH₄)



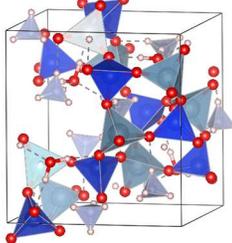
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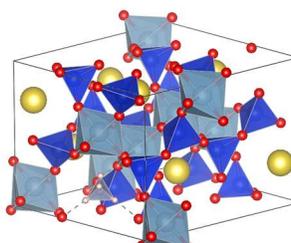
Biotite-(NH₄)



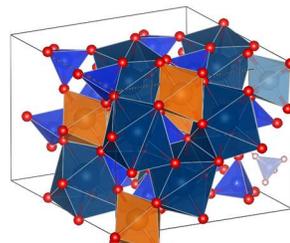
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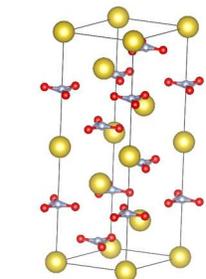
Linde J



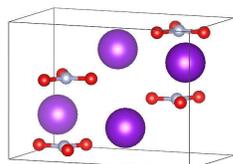
Jadeite-(7NaNH₄)



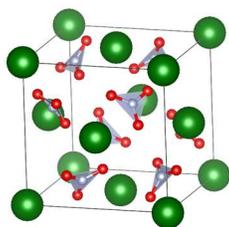
Diopside-(7CaNH₄)



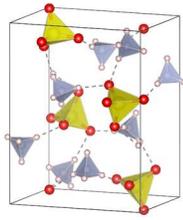
NaNO₃



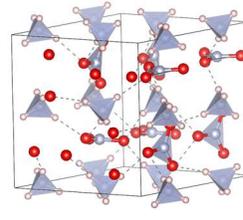
KNO₃



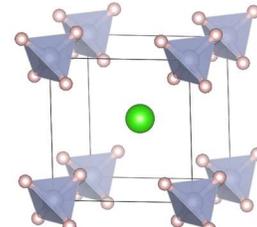
BaNO₃



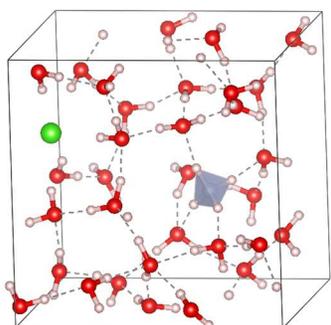
(NH₄)₂SO₄



NH₄NO₃-V

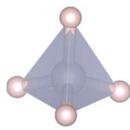


NH₄Cl



NH₄Cl·(H₂O)₃₂

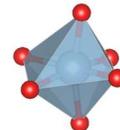
Polyhedrons:



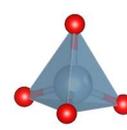
NH₄



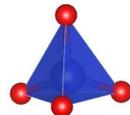
MgO₆



AlO₆



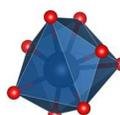
AlO₄



SiO₄



SO₄



CaO₈



FeO₆

Atoms:



H



Na



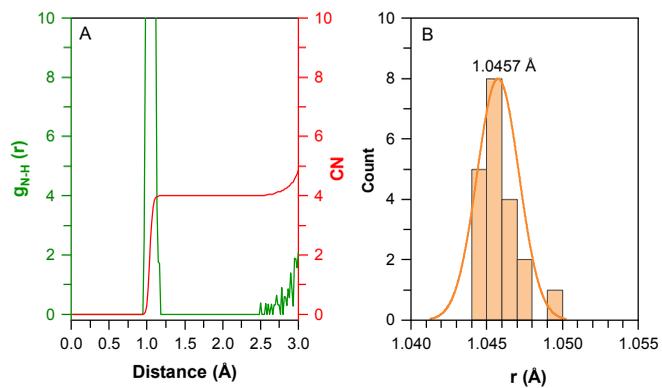
Cl

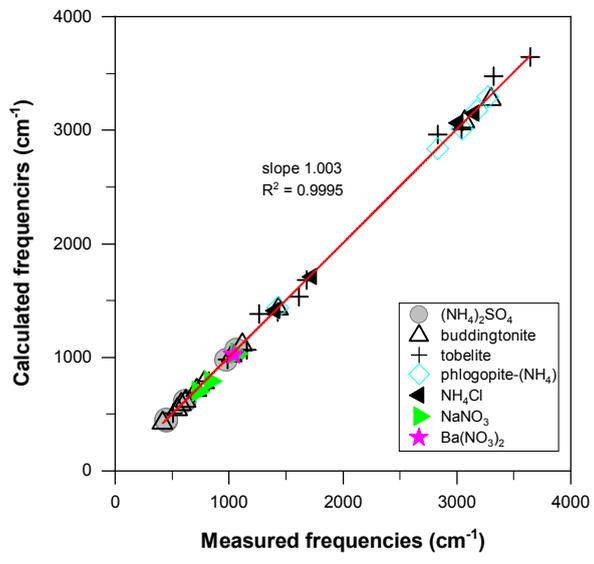


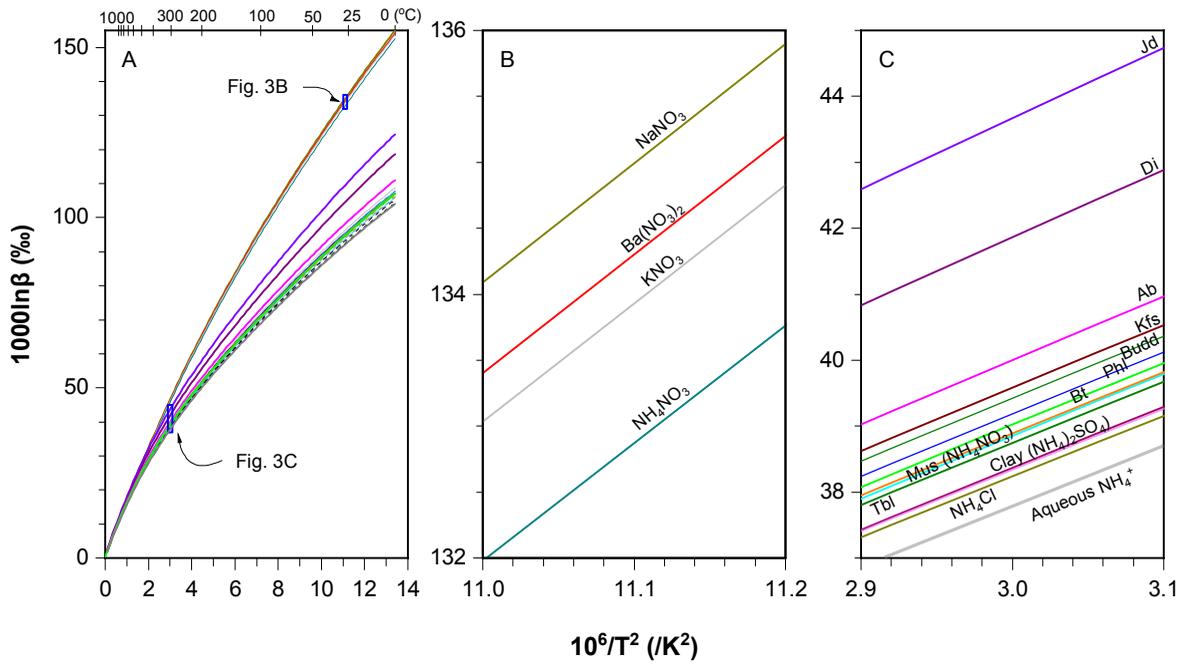
K

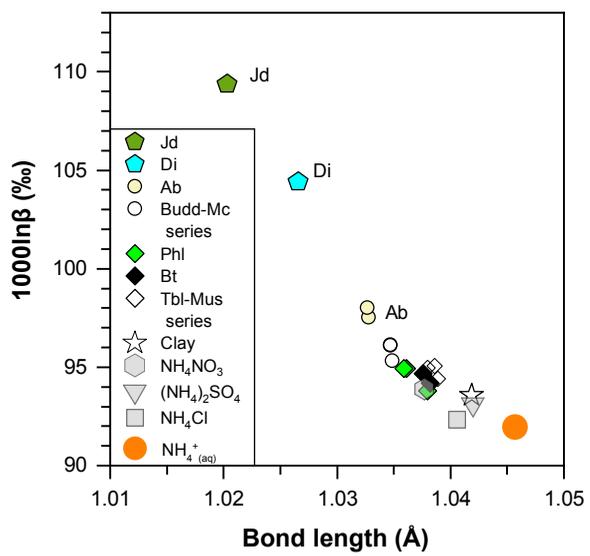


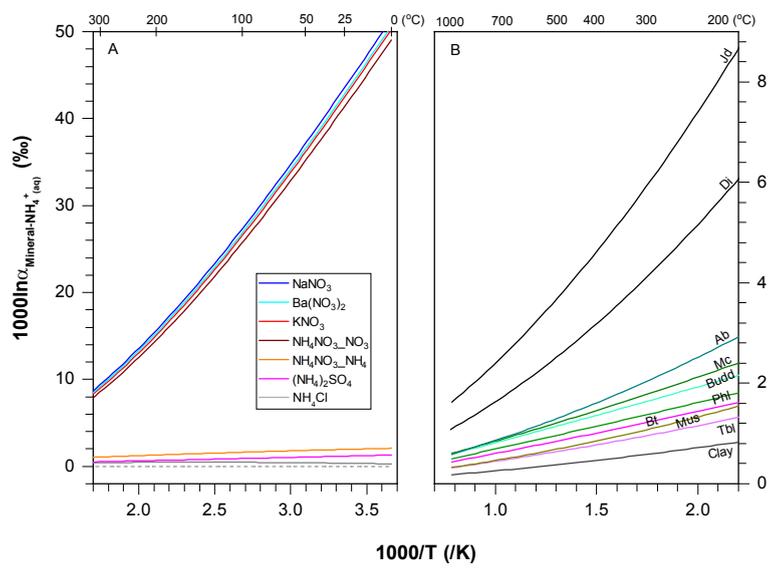
Ba











1 **Table 1.** The chemical formula, average bond lengths and polynomial fitting parameters of RPFR, $10^3 \ln \beta = C_0 + C_1/10 \times x - C_2/10^2 \times x^2 + C_3/10^3 \times x^3$
2 $- C_4/10^4 \times dx^4 + C_5/10^5 \times x^5 - C_6/10^6 \times x^6$, where x is $10^6/T^2$. Fitting temperature range is 200-3000 K.

Minerals	$\frac{NH_4^+}{NH_4^+ + (K^+, Na^+, Ca^{2+})}$	Chemical formula	Bond length/Å	C ₀	C ₁	C ₂	C ₃	C ₄	C ₅	C ₆
<u>N-O bond</u>										
NaNO ₃		NaNO ₃	1.2733	0.01	177.46	88.893	55.818	25.523	6.7230	0.7440
Ba(NO ₃) ₂		Ba(NO ₃) ₂	1.2742	0.01	176.77	88.972	56.078	25.696	6.7762	0.7504
KNO ₃		KNO ₃	1.2761	0.01	175.71	87.311	54.499	24.837	6.5301	0.7219
NH ₄ NO ₃ (V) - NO ₃ ⁻		NO ₃ ⁻ in NH ₄ NO ₃	1.2744	0.01	174.00	86.459	54.521	25.134	6.6705	0.7426
<u>N-H bond</u>										
Jadeite	1/8	(NH ₄)Na ₇ Al ₈ Si ₁₆ O ₄₈	1.0203	0.47	197.73	249.14	282.60	180.25	57.719	7.2215
Diopside	1/8	(NH ₄)Ca ₇ Mg ₇ AlSi ₁₆ O ₄₈	1.0266	0.43	189.60	238.16	268.16	170.30	54.390	6.7936
Albite	1/4	(NH ₄)Na ₃ Al ₄ Si ₁₂ O ₃₂	1.0328	0.41	182.71	235.52	264.05	167.16	53.284	6.6472
	1/8	(NH ₄)Na ₇ Al ₈ Si ₂₄ O ₆₄	1.0327	0.41	182.77	234.53	262.86	166.39	53.034	6.6155
Buddingtonite-microcline series	4/4	(NH ₄)AlSi ₃ O ₈	1.0349	0.41	181.39	237.14	265.72	168.15	53.591	6.6848
	1/4	(NH ₄)K ₃ Al ₄ Si ₁₂ O ₃₂	1.0347	0.41	181.82	236.36	264.79	167.55	53.399	6.6606
	1/16	(NH ₄)K ₁₅ Al ₁₆ Si ₄₈ O ₁₂₈	1.0347	0.41	181.66	235.87	264.23	167.19	53.279	6.6455
Phlogopite	2/2	(NH ₄)Mg ₃ (Si ₃ Al)O ₁₀ (OH)	1.0380	0.40	178.45	232.31	259.37	163.81	52.145	6.4994
	1/4	(NH ₄)K ₃ Mg ₁₂ (Si ₃ Al) ₄ O ₄₀ (OH) ₄	1.0361	0.41	180.06	234.27	262.11	165.74	52.798	6.5840
	1/8	(NH ₄)K ₇ Mg ₂₄ (Si ₃ Al) ₈ O ₈₀ (OH) ₈	1.0359	0.41	180.00	234.25	262.17	165.81	52.827	6.5881
Biotite	2/2	(NH ₄) ₂ FeMg ₅ (Si ₃ Al) ₂ O ₂₀ (OH) ₂	1.0382	0.40	179.37	233.92	261.38	165.14	52.582	6.5549
	1/8	(NH ₄)K ₇ Fe ₄ Mg ₂₀ (Si ₃ Al) ₈ O ₈₀ (OH) ₈	1.0376	0.40	179.00	231.80	258.84	163.50	52.054	6.4885
Tobelite-muscovite series	4/4	(NH ₄)Al ₃ Si ₃ O ₁₀ (OH) ₂	1.0389	0.39	177.20	227.45	253.51	159.98	50.901	6.3424
	1/4	(NH ₄)K ₃ Al ₁₂ Si ₁₂ O ₄₀ (OH) ₈	1.038	0.39	177.81	227.97	254.35	160.6	51.119	6.3709
	1/8	(NH ₄)K ₇ Al ₂₄ Si ₂₄ O ₈₀ (OH) ₁₆	1.0386	0.38	177.35	226.36	252.32	159.24	50.667	6.3133
Linde J		(NH ₄) ₂ (Si ₂ Al ₂ O ₈)(H ₂ O)	1.0419	0.36	175.15	222.95	247.08	155.41	49.347	6.1404
NH ₄ NO ₃ (V) - NH ₄ ⁺		NH ₄ ⁺ in NH ₄ NO ₃	1.0377	0.39	178.87	232.97	259.92	164.06	52.207	6.5055
(NH ₄) ₂ SO ₄		(NH ₄) ₂ SO ₄	1.042	0.36	175.43	224.56	248.78	156.40	49.645	6.1760
NH ₄ Cl		NH ₄ Cl	1.0406	0.37	175.61	227.24	252.31	158.86	50.476	6.2838
Aqueous NH ₄ ⁺		NH ₄ Cl(H ₂ O) ₃₂	1.0457	0.34	172.48	218.82	241.27	151.28	47.943	5.9581

4 **Table 2.** Equilibrium nitrogen isotope fractionations between minerals and aqueous
5 ammonium, expressed in the general formulas $1000\ln\alpha_{\text{mineral}-\text{NH}_4^+_{\text{aqueous}}} = C_3 \times$
6 $\left(\frac{1000}{T}\right)^3 + C_2 \times \left(\frac{1000}{T}\right)^2 + C_1 \times \frac{1000}{T} + C_0$, in which T is temperature in Kelvin. The
7 fitting temperature range is 200-3000 K.

8

Mineral	C_3	C_2	C_1	C_0
NaNO ₃	-0.7639	9.0105	-9.3307	2.29
Ba(NO ₃) ₂	-0.7556	8.9138	-9.3038	2.28
KNO ₃	-0.7531	8.9110	-9.4443	2.32
NH ₄ NO ₃ (V) - NO ₃ ⁻	-0.7339	8.7685	-9.5183	2.35
Jadeite	-0.0363	1.2830	1.4111	-0.26
Diopside	-0.0196	0.9350	0.8649	-0.15
Albite	-0.0013	0.3209	0.7019	-0.16
Buddingtonite	-0.0030	0.0294	1.0037	-0.22
Microcline	-0.0025	0.1257	0.9150	-0.19
Phlogopite	-0.0007	0.0351	0.8258	-0.17
Biotite	-0.0013	0.0519	0.6915	-0.14
Tobelite	0.0024	0.0894	0.4235	-0.07
Muscovite	0.0048	0.1605	0.3492	-0.05
Clay	-0.0008	0.0896	0.1978	-0.03
NH ₄ NO ₃ - NH ₄ ⁺	-0.0031	-0.0370	0.7941	-0.18
(NH ₄) ₂ SO ₄	-0.0005	0.0147	0.3303	-0.08
NH ₄ Cl	-0.0045	-0.0826	0.4681	-0.11

9