

**Black-capped chickadees (*Poecile atricapillus*) discriminate between naturally-ordered and scramble-ordered *chick-a-dee* calls and individual preference is related to rate of learning**

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**Abstract**

1  
2 Though many forms of animal communication are not reliant on the order in which components  
3 of signals are combined to be effective, there is evidence that order does matter for some  
4 communication systems. In the light of differential responding to calls of varying note-order  
5 observed in black-capped chickadees in the field, we set out to determine whether chickadees  
6 recognize syntactically-ordered and incorrectly-ordered *chick-a-dee* calls as separate and distinct  
7 conceptual categories using both an auditory preference task and go/no-go operant conditioning  
8 paradigm. Results show that chickadees spent more time on the perch that did not produce sound  
9 (i.e., silent perch) than on either of the acoustic perches (i.e., natural and scrambled order *chick-*  
10 *a-dee* call playback) and visited the perch associated with naturally-ordered calls more often than  
11 the perch associated with scrambled-order calls. Birds in both the True natural- and scrambled-  
12 order call groups continued to respond according to the contingencies that they learned in  
13 Discrimination training, indicating that black-capped chickadees are capable of perceiving and  
14 acting upon the categories of natural- versus scrambled-ordered calls.  
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## Introduction

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Most forms of animal communication are thought to be non-syntactic, meaning that unique signals are employed to serve different purposes in various situations (Nowak, Plotkin, & Jansen, 2000). For example, vervet monkeys (*Cercopithecus aethiops*) and Japanese tits (*Parus minor*) produce distinct alarm vocalizations in response to avian versus terrestrial predators (Seyfarth, Cheney, & Marler, 1980; Suzuki & Ueda, 2013). In contrast, syntactic communication, as is seen in human language, involves individual components of a signal having their own meaning and the combination of those components producing different and varied meanings (Nowak, Plotkin, & Jansen, 2000). A major hurdle of understanding the origins of human language is determining how human language could have evolved from non-human animal communication through the process of natural selection (Suzuki, Wheatcroft, & Griesser, 2018). This endeavour is made more difficult by the fact that our closest evolutionary relatives, the great apes, do not possess syntactic communication systems (Fitch, 2010). Researchers have had to look more distantly to find species that share some of the traits involved in human language.

Many nonhuman animal species vocal communication putatively follow rules of syntax in their vocalizations. For example, non-human primates, humpback whales, and songbirds have all been shown to produce vocalizations that follow syntactical rules to different degrees (see Zuberbühler, 2019 for an extensive recent discussion of this topic). Specifically, Diana monkeys (*Cercopithecus diana*; Candiotti, Zuberbühler & Lemasson, 2012) alter the ordering of their vocalizations in a contextually-dependent manner, with different combinations being used during either positive or negative social interactions. Similarly, Campbell's monkey (*Cercopithecus campbelli*) alarm calls are delivered with different syllable order depending on the urgency of the

39 situation (Ouattara, Lemasson, & Zuberbühler, 2009). In addition to nonhuman primates, several  
40 bird species are also sensitive to syntactical rules contained in their vocalizations. The Japanese  
41 great tit (*Parus minor*) have been shown to behave differently when they are exposed to different  
42 note types or different orders of call notes (Suzuki, Wheatcroft, & Griesser, 2016) and they are  
43 not the only members of the Parid family that follow syntactical rules in the perception or  
44 production of their species-specific vocalizations. Hailman and Ficken (1986) noted that the  
45 *chick-a-dee* call of black-capped chickadees (*Poecile atricapillus*), a small species of North  
46 American songbird, possesses a computable syntax not unlike that of human language. *Chick-a-*  
47 *dee* calls consist of four main note types that are amalgamated following a fixed order (A → B  
48 → C → D) though individual note types can be repeated or omitted, yielding a theoretically  
49 unlimited repertoire of producible call types (Hailman, Ficken, & Ficken, 1985). Chickadees can  
50 use their *chick-a-dee* call as a mobbing call, warning and recruiting both con- and heterospecifics  
51 of the presence of predators (Hurd, 1996). The composition of the call changes depending on  
52 how dangerous the predator is perceived to be: *chick-a-dee* calls with more D notes are produced  
53 to higher threat owls and hawks compared to low threat predators (Templeton, Green, & Davis,  
54 2005). In a mobbing situation, the same vocalization type can thus convey different meanings  
55 depending on the number and type of notes produced. In addition to threat-level cues, the *chick-*  
56 *a-dee* call also contains information about species identity (Bloomfield & Sturdy, 2008;  
57 Bloomfield et al., 2005), flock membership (Mammen & Nowicki, 1981; Nowicki, 1989), and  
58 individual identity (Charrier, Bloomfield, & Sturdy, 2004) and birds in the field have been  
59 shown to be sensitive to the order of notes within calls, showing reduced responding to playback  
60 of calls with reversed syntax (Charrier & Sturdy, 2005).

61           In the light of differential responding to calls of varying composition observed in black-  
62 capped chickadees in the field, we set out to determine whether black-capped chickadees  
63 recognize syntactically-ordered and incorrectly-ordered *chick-a-dee* calls as separate and distinct  
64 conceptual categories. Specifically, we investigated the order of notes in the call in two stages. In  
65 the first stage of the current study, we designed a behavioural choice preference task to  
66 investigate if chickadees demonstrated a preference for correctly-ordered *chick-a-dee* calls over  
67 incorrectly-ordered (i.e., scrambled) *chick-a-dee* calls. In the second stage of the experiment, we  
68 designed an operant conditioning discrimination task to determine if black-capped chickadees  
69 perceive natural-ordered *chick-a-dee* calls as a separate perceptual category from scrambled-  
70 ordered *chick-a-dee* calls. We also tested whether there was a relationship between preference in  
71 stage one and performance in stage two.

72           The results of this study will strengthen our knowledge of the perception of structure and  
73 meaning of black-capped chickadee *chick-a-dee* calls and, more broadly, will add to our  
74 understanding of how syntactic communication systems may differ from non-syntactic systems  
75 in important ways. First, in study one, the choice-based preference task is designed to allow us to  
76 test which call order a bird chooses to hear, and not only whether birds respond with increased  
77 vocalizations or approach to particular vocalizations as in previous playback studies. Second, in  
78 experiment two, the operant discrimination study is designed to allow us to test for the particular  
79 perceptual and cognitive mechanisms that birds are tapping into to perceive natural versus  
80 altered-syntax vocalizations. Additionally, the interaction of both order preference and  
81 performance in the discrimination task will allow us to determine if birds are able to learn the  
82 discrimination better when reinforced for preferred versus not-preferred categories. Taken

83 together, the results of these studies will expand our understanding of syntax usage in black-  
84 capped chickadees specifically and in nonhuman animals more generally.

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## Methods

### 87 Subjects

88 Twenty black-capped chickadees (9 males, 11 females) were tested between July 17,  
89 2017 and March 9, 2018. Birds were captured in Edmonton, Alberta, Canada (North  
90 Saskatchewan River Valley, 53.53°N, 113.53°W; Mill Creek Ravine, 53.52°N, 113.47°W)  
91 between February 5, 2015 and February 6, 2017. Birds were determined to be at least one year of  
92 age at time of capture by examining the shape and colour of outer tail retrices (Pyle, 1997) and  
93 sex was determined by DNA analysis of blood samples (Griffiths, Double, Orr, & Dawson,  
94 1998). No birds had experience with the experimental procedures or stimuli.

### 95 Housing

96 Birds were individually housed in 30 × 40 × 40 cm cages (Rolf C. Hagen, Inc. Montreal,  
97 QB) in colony rooms where they had visual and auditory, but not physical, contact with  
98 conspecifics when not in experimental apparatus. Colony rooms were maintained on a light:dark  
99 cycle that matched the natural light cycle of Edmonton, Alberta, Canada. Birds had *ad libitum*  
100 access to food (Mazuri Small Bird Maintenance Diet; Mazuri, St Louis, MO, U.S.A.), water, grit,  
101 and cuttlebone. Birds received additional supplementation in the form of a superworm  
102 (*Zophobas morio*) and vitamins added to water (Prime vitamin supplement; Hagen, Inc.) three  
103 times a week, a mixture of eggs and spinach or parsley twice a week, and three to five sunflower  
104 seeds daily.

### 105 Apparatus

106           **Choice preference task.** Birds were individually tested in a  $67 \times 116 \times 116$  cm testing  
107 space within a  $117 \times 120 \times 200$  cm sound-attenuating chamber (Industrial Acoustics Company,  
108 Bronx, NY). The testing space contained three 1.75 cm diameter, 10 cm long perches monitored  
109 by an infrared beam. The back and side walls each had a single perch and each perch was  
110 mounted in front of a Fostex FE108E S full-range speaker (Fostex Corp., Tokyo, Japan;  
111 frequency response range 80-18000 Hz) 100 cm above the floor of the testing space (see Figure  
112 1 for diagram). Landing on one of the two acoustic perches would break the infrared beam,  
113 initiating stimulus playback and recording the visit. Landing on the silent perch would still break  
114 an infrared beam and record the visit, however no auditory playback occurred. Stimulus  
115 playback and response monitoring was controlled by a single-board computer (Palya & Walter,  
116 2001) and a personal computer. Stimuli stored on a CD were played through a Cambridge Azur  
117 640A Integrated Amplifier (Cambridge Audio, London, U.K.) to the speakers within the testing  
118 space. Birds had *ad libitum* access to food and water during testing sessions.

119           **Operant conditioning task.** Birds were individually housed in modified home cages ( $30$   
120  $\times 40 \times 40$  cm) located within ventilated sound-attenuating chambers during the instrumental  
121 learning task. Chambers were illuminated by 9W full-spectrum fluorescent bulbs on a light:dark  
122 cycle that matched the natural light cycle of Edmonton, Alberta, Canada. Cages contained three  
123 perches, a grit cup, a water bottle (water vitamin supplemented three days a week), and  
124 cuttlebone. Birds received one superworm twice daily. Mazuri food was accessible only after a  
125 correct response, as a reward. An  $11 \times 16$  cm opening on one side of the cage allowed the birds  
126 to access a motorized feeder. Infrared beams in the perch closest to the feeder and in the feeder  
127 itself tracked the position of the bird during testing. A single-board computer tracked responses  
128 and set up trials in connection with a personal computer which stored and played the acoustic

129 stimuli. Stimuli were amplified by an NAD310 Integrated Amplifier (NAD Electronics, London,  
130 U.K.) or a Cambridge A300 or 640A Integrated Amplifier (Cambridge Audio, London, U.K.)  
131 before playing through a Fostex FE108  $\Sigma$  full range speaker (Fostex Corp., Tokyo, Japan;  
132 frequency response range: 80-18 000 Hz) located beside the feeder. See Sturdy and Weisman  
133 (2006) for a detailed description of the experimental setup.

### 134 **Acoustic Stimuli**

135 Four hundred and seventy-eight *chick-a-dee* calls were collected from four black-capped  
136 chickadees (two males: 290 calls, two females: 188 calls). Calls were recorded with an AKG C  
137 1000S (AKG Acoustics, Vienna, Austria) microphone feeding into a Marantz PMD670 (Marantz  
138 America, Mahwah, NJ) digital sound recorder using a 16-bit, 44 100 Hz sampling rate in a 1.7 m  
139  $\times$  0.84 m  $\times$  0.58 m sound-attenuating chamber (Industrial Acoustics Company, Bronx, NY).  
140 Birds remained in their home cages during recording and were permitted to acclimatize  
141 overnight in the chamber before recordings were obtained. Subjects had no experience with  
142 individuals that provided call stimuli. Note composition was determined by a single individual  
143 using visual inspection of spectrograms in SIGNAL (version 5.05.02, Engineering Design, 2013)  
144 and using Ficken, Ficken, and Witkin (1978) as a reference. Identified notes were extracted and  
145 saved individually using SIGNAL to create a pool of available notes.

146 One hundred seventy stimuli (85 natural order, 85 scrambled order) four to ten notes in  
147 length ( $X \pm SD = 6.99 \pm 2.04$  notes) were constructed by randomly selecting notes from the pool  
148 without replacement (i.e., a given note was only used once) such that each created stimulus  
149 contained at least one A, B, C, and D note. This length was chosen based on the composition of  
150 the recorded calls. After notes were selected for a stimulus, two paired stimuli were created: 1) a  
151 natural-ordered stimulus in which notes were ordered  $A \rightarrow B \rightarrow C \rightarrow D$ , and 2) a scrambled-

152 ordered stimulus where notes did not follow the natural order (e.g.,  $D \rightarrow B \rightarrow A \rightarrow C$ ). Calls  
153 were assembled with 10 ms of silence between adjacent notes and were bandpass filtered using  
154 GoldWave 6.19 (GoldWave, Inc., St John's, NL, Canada) outside of the frequency range of  
155 *chick-a-dee* calls (2000-5000 Hz) to remove any noise. Using SIGNAL, 5 ms of silence was  
156 added to the beginning and end of each call. Each file was also tapered to remove transients and  
157 then amplitude was equalized using GoldWave.

## 158 **Procedure**

159 **Choice preference task.** The 170 call stimuli were pseudo-randomly divided into two  
160 stimulus sets (Set A: 84 stimuli, Set B: 86 stimuli) such that each set had the same number of  
161 calls of each note length (e.g., half of four-note-long calls assigned to Set A, half to Set B). Pairs  
162 of natural and scrambled calls that were constructed from the same set of notes were kept  
163 together such that if the natural call was assigned to Set A, so was the equivalent scrambled call.  
164 Within a test session, birds only heard stimuli from one set. The order in which stimulus sets  
165 were presented was randomized between birds such that half of subjects heard Set A first, and  
166 half heard Set B first. Once birds met criteria (see below) for the first stimulus set, they were  
167 then tested on the second stimulus set.

168 Within a test session, natural-ordered calls were assigned to one perch, scrambled-  
169 ordered calls to another, and no acoustic stimulus for the final perch. There were six unique ways  
170 that the three stimulus types could be assigned to the left, back, and right perches. We randomly  
171 assigned perch configurations for the first stimulus set presented, then randomly chose one of the  
172 two possible remaining configurations for the second set that ensured no stimulus category was  
173 associated with the same perch for both sets.

174 Test sessions lasted for two hours and occurred between 09:00 and 16:00 hours. Birds  
175 only received a single test session each day. For testing, birds were transported from the colony  
176 room in their home cage, removed from the cage, and released into the testing area. After the test  
177 session ended, the bird was caught, returned to their home cage, and transported back to the  
178 colony room.

179 If the perch was an acoustic perch (i.e., natural or scrambled order call), breaking the  
180 beam triggered playing a single call stimulus in its entirety. A second stimulus would not play  
181 unless the bird left and returned to the perch (i.e., calls did not play simultaneously). Stimuli  
182 were randomly selected without replacement from the pool of stimuli in the appropriate stimulus  
183 category for the set. Birds were tested on the same stimulus set until they had heard each acoustic  
184 stimulus at least five times or had completed a maximum of five test sessions (e.g., 10 h total).  
185 After meeting these criteria, birds moved on to testing with the second stimulus set after at least  
186 one day or rest. After completing the choice preference task for both stimulus sets, birds were  
187 tested on the operant conditioning task.

### 188 **Operant conditioning task.**

189 **Pretraining.** First, birds underwent basic training (i.e., shaping) to ensure that they were  
190 able to obtain food from the experimental apparatus, then they began Pretraining. During basic  
191 training, one male bird was removed from the experiment and returned to the colony room after  
192 failing to feed successfully from the apparatus. Pretraining was included to ensure that birds  
193 responded non-differentially to all stimuli that would be differentially rewarded during later  
194 stages and to ensure that they responded at a sufficiently high rate. To accomplish this, birds  
195 were presented with and non-differentially rewarded for responding to all of the stimuli that  
196 would be used throughout the experiment. Birds would initiate a trial by landing on the request

197 perch, breaking the infrared beam and triggering the playback of a stimulus. During playback, a  
198 stimulus was randomly selected from the 170 stimuli in a bin without replacement until all  
199 stimuli had been heard. In order to ensure the entirety of a stimulus was heard, birds were  
200 required to remain on the perch for the duration of each stimulus (1470-2377 ms) after a trial was  
201 initiated. Trials were considered interrupted if the bird left the perch before playback was  
202 completed. This triggered a 30-s timeout in which the houselight was turned off and new trials  
203 could not be initiated. If the bird flew into the feeder within 1 s of the end of playback, they were  
204 rewarded with 1 s access to food followed by a 30-s intertrial interval in which the houselight  
205 remained on and new trials could not be initiated. If the bird remained on the perch for more than  
206 1 s after the end of playback, a 60-s intertrial interval was initiated in which the houselight  
207 remained on and new trials could not be initiated. This interval ended if the bird subsequently  
208 left the perch. Birds remained on Pretraining until they responded to 60% or more of trials across  
209 six 170-trial bins, displayed a less than 3% difference in responding across four 170-trial bins to  
210 what would be rewarded and unrewarded stimuli in Discrimination training (see below), and  
211 displayed a less than 3% difference in responding across four 170-trial bins to categories of  
212 Transfer testing stimuli (i.e., transfer natural stimuli and transfer scrambled stimuli). During this  
213 stage, a female subject died due to human error in loading a program.

214 ***Discrimination training.*** After meeting criteria in pretraining, birds began Discrimination  
215 training. The method of stimulus presentation remained the same, however, only 80 of the total  
216 170 stimuli were presented and half (40) of these calls were now unrewarded (i.e., responding to  
217 these stimuli now resulted in a 30-s intertrial interval in which the houselight was off and a new  
218 trial could not be initiated). Responding to the remaining 40 calls was rewarded as in Pretraining  
219 with 1 s access to food.

220 Birds were randomly assigned to either a True category discrimination group ( $N = 12$ ) or a  
221 Pseudo category discrimination group ( $N = 5$ ). The True category group consisted of two  
222 subgroups that were rewarded for either responding to natural-ordered calls ( $N = 6$ ) or  
223 scrambled-ordered calls ( $N = 6$ ). The Pseudo category discrimination group also consisted of two  
224 subgroups (Pseudo 1:  $n = 2$ ; 1 male, 1 female; Pseudo 2:  $n = 3$ ; 1 male, 2 females) that were  
225 rewarded for responding to 40 randomly-selected calls (20 natural-ordered, 20 scrambled-  
226 ordered) and unrewarded for responding to the remaining 40 calls (20 natural-ordered, 20  
227 scrambled-ordered).

228 Birds remained on Discrimination training until they completed six 80-trial blocks with a  
229 discrimination ratio (DR) of at least 0.80 with the last two of these blocks being consecutive. DR  
230 was calculated by dividing the mean percentage of response to all rewarded stimuli by the mean  
231 percentage of response to rewarded stimuli plus the mean percentage of response to unrewarded  
232 stimuli, then multiplying by 100. With this calculation, a DR of 0.50 indicates equal responding  
233 to rewarded and unrewarded stimuli, while a DR of 1.00 indicates responding to only the  
234 rewarded stimuli (i.e., perfect discrimination). During this stage a male in the Pseudo 2 group  
235 died of natural causes.

236 ***Discrimination 85 training.*** Nearly identical to Discrimination training, Discrimination 85  
237 training differed only in that the rewarded stimuli were reinforced only 85% of the time. This  
238 meant that when a stimulus from the rewarded category was played, on 15% of trials entering the  
239 feeder resulted in a 30-s intertrial interval in which the houselight remained on without access to  
240 food. This stage served to expose birds to trials in which responses to stimuli were neither  
241 rewarded nor punished, as would be encountered in Transfer trials. As with the Discrimination

242 stage, birds continued on Discrimination training until they completed six 80-trial blocks with a  
243 DR of at least 0.80, where the last two of these blocks needed to be consecutive.

244 ***Transfer testing.*** In order to determine if birds respond to novel calls following the rules  
245 learned in Discrimination training, Transfer testing was conducted. After meeting criterion in  
246 Discrimination 85 training, birds began Transfer testing. The stimuli and reward-contingencies  
247 introduced in Discrimination 85 training remained the same. Birds were also introduced to an  
248 additional 90 calls (45 natural order, 45 scrambled order) that had previously been non-  
249 differentially reinforced (i.e., had been played during pretraining), but were not heard during  
250 Discrimination training. Responding to these novel transfer stimuli was neither rewarded nor  
251 punished, in that a response resulted in a 30-s intertrial interval in which the houselight remained  
252 on without access to food being provided. Within a 650-trial bin, the 80 discrimination stimuli  
253 were played seven times each while the new transfer stimuli were only played once. Upon  
254 completion of three bins, the experiment was completed and birds were returned to the colony  
255 room.

## 256 **Response measures**

257 **Choice preference task.** During this task, the absolute number of visits to each perch and  
258 the amount of time spent on each perch was recorded. However, individual birds took varying  
259 numbers of test sessions to meet criteria. To account for this, we calculated the average number  
260 of visits to each perch and the average amount of time spent on each perch by dividing the total  
261 measure by the number of 2-h sessions it took to meet criteria. We tested whether these values  
262 differed between set A and set B using paired-samples *t*-tests for each measure (e.g., average  
263 number of visits and average time) before combining the two data sets.

264 To quantify preference between the two types of acoustic stimuli, we calculated two  
 265 preference scores, one using number of visits and the other using time on the perch, for each bird  
 266 as follows:

$$267 \quad \textit{preference score}_{\textit{visits}} = \frac{(\textit{visits to natural perch})}{(\textit{visits to natural perch}) + (\textit{visits to scrambled perch})}$$

$$268 \quad \textit{preference score}_{\textit{time}} = \frac{(\textit{time on natural perch})}{(\textit{time on natural perch}) + (\textit{time on scrambled perch})}$$

269 Here, a preference score between 0.5 and 1 indicates a preference for natural ordered calls, a  
 270 score of 0.5 indicates no preference, and a score between 0.5 and 0 indicates a preference for  
 271 scrambled order calls.

272 **Operant conditioning task.** To analyze patterns of responding, we calculated the  
 273 proportion of responding for each stimulus type by dividing the number of trials where the bird  
 274 went into the feeder by the total number of trials in which the bird either went into the feeder or  
 275 left the request perch after hearing the full call stimulus (i.e., all non-interrupted trials). A  
 276 proportion of responding of 1 indicates responding to all stimuli in a category.

## 277 **Statistical Analyses**

278 For the choice preference task, we conducted a repeated measures ANOVA to evaluate  
 279 differences in the average amount of time spent on each of the three perches. We conducted a  
 280 similar repeated measures ANOVA on the average number of visits to each of the three perches.

281 To determine if the two True category groups differed from one another in speed of  
 282 acquisition, we conducted an independent-samples *t*-test on the number of 80-trial blocks  
 283 individuals took to reach criterion during Discrimination training. In the same fashion, we  
 284 compared speed of acquisition between the two Pseudo category groups. In order to compare rate  
 285 of learning between the True and Pseudo category groups, we conducted an independent-samples

286 *t*-test on the number of 80-trial blocks individuals took to reach criterion during Discrimination  
287 training.

288 We then conducted a repeated measures ANOVA on the proportion of responding to each  
289 stimulus type (i.e., discrimination natural calls, discrimination scrambled calls, transfer natural  
290 calls, and transfer scrambled calls) during the three 650-trial blocks of Transfer testing.

291 Finally, we conducted two stepwise multiple regression analyses to determine if bins to  
292 criteria could be used to predict the strength of preference, as measured by preference score, for  
293 both time spent on and number of visits to the silent, natural, and scrambled perches.

294 All statistics were conducted in IBM SPSS Statistics v.22 (IBM Corp., Armonk, NY,  
295 U.S.A.)

#### 296 **Ethical Note**

297 During the choice preference task, birds remained in the testing apparatus for only two  
298 hours a day and had free access to food and water. During the instrumental learning task, birds  
299 remained in the testing apparatus to minimize stress and discomfort caused by transport and  
300 handling. Following the experiments, birds were returned to the colony room for use in future  
301 experiments. Birds were closely monitored throughout the experiments. One bird died during  
302 pretraining due to a program error and one bird died during Discrimination training due to  
303 natural causes. All other birds remained healthy during the experiment. These procedures were  
304 conducted in accordance with the Canadian Council on Animal Care (CCAC) Guidelines and  
305 Policies with approval from the Animal Care and Use Committee for Biosciences for the  
306 University of Alberta (AUP 108), which is consistent with the Animal Care Committee  
307 Guidelines for the Use of Animals in Research. Birds were captured and research was conducted  
308 under an Environment Canada Canadian Wildlife Service Scientific permit (#13-AB-SC004),

309 Alberta Fish and Wildlife Capture and Research permits (#56076 and #56077), and City of  
 310 Edmonton Parks Permit.

311

## 312 **Results**

### 313 **Response to Perches**

314 Figure 2 shows the average amount of time spent on each of the three perches and Figure  
 315 3 shows the average number of visits to each of the three perches. We conducted a repeated  
 316 measures ANOVA where the average amount of time on each of the three perches (e.g., natural  
 317 order, scrambled order, silence) was the within-subjects factor and sex was the between-subjects  
 318 factor. Mauchly's test indicated that the assumption of sphericity had not been violated,  $\chi^2(2) =$   
 319 3.094,  $p = .213$ . There was a significant main effect of perch ( $F_{2,30} = 10.095$ ,  $p < 0.001$ ,  $\eta^2p =$   
 320 .402). A Bonferroni *post hoc* test revealed that birds spent significantly more time on the silent  
 321 perch than on either acoustic perch (natural:  $p < .001$ ,  $d = 0.698$ ; scrambled:  $p < .001$ ,  $d =$   
 322 1.649). There was no significant main effect of sex ( $p = .624$ ,  $\eta^2p = .016$ ). A similar repeated  
 323 measures ANOVA on average number of visits to each of the three perches (Mauchly's:  $\chi^2(2) =$   
 324 9.276,  $p = .010$ ; Greenhouse-Geiser correction applied) also revealed a significant main effect of  
 325 perch ( $F_{1,35,20,21} = 4.158$ ,  $p = .044$ ,  $\eta^2p = .217$ ), as well as a significant effect of sex ( $F_{1, 15} = 4.888$ ,  
 326  $p = .043$ ,  $\eta^2p = .246$ ). Here, birds were revealed to visit the natural order perch significantly  
 327 more often than the scrambled order perch ( $p = .019$ ,  $d = 0.518$ ). In both cases, there was no  
 328 significant interaction (time:  $p = .528$ ,  $\eta^2p = .042$ ; visits:  $p = .385$ ,  $\eta^2p = .062$ ).

### 329 **Trials to Criterion**

330 The average  $\pm$  SD number of trials required for each group to complete Discrimination  
 331 training are as follows: Natural S+ group =  $32.17 \pm 14.05$ ; Scrambled S+ group =  $50.17 \pm 35.43$ ;

332 Pseudo group 1 =  $23.67 \pm 23.03$ ; and Pseudo group 2 =  $77.33 \pm 23.97$ . Independent-samples *t*-  
 333 tests on the number of 80-trial blocks individuals took to reach criterion during Discrimination  
 334 training revealed no significant difference between either the two True category groups (i.e.,  
 335 Natural S+ and Scrambled S+ groups; Levene's test was significant ( $F = 7.112, p = .024$ ), so  
 336 equal variances not assumed:  $t_{6,534} = -1.157, p = .288, d = 0.668$ ) or the two Pseudo category  
 337 groups (equal variance assumed ( $F = 1.774, p = .275$ ):  $t_3 = -2.145, p = .121, d = 2.096$ ). There  
 338 was also no difference in speed of acquisition between the True and Pseudo category groups  
 339 (equal variance assumed ( $F < .001, p = .984$ ):  $t_3 = -1.307, p = .211, d = 0.684$ ).

#### 340 **Transfer testing**

341 **True category groups.** We conducted a repeated measures ANOVA on the proportion of  
 342 responding during the three 650-trial bins of Transfer testing to evaluate if individuals in the  
 343 True category groups continued to respond to the reward-contingencies learned in  
 344 Discrimination training when presented with novel stimuli in Transfer testing. Stimulus type  
 345 (e.g., discrimination natural order calls, discrimination scrambled order calls, transfer natural  
 346 order calls, transfer scrambled order calls) was the within-subjects factor and both category  
 347 group (e.g., natural S+ group, scrambled S+ group) and sex as between-subjects factors.  
 348 Mauchly's test indicated that the assumption of sphericity had not been violated,  $\chi^2(5) = 7.117, p$   
 349  $= .216$ . There was a significant stimulus type  $\times$  group interaction ( $F_{3,24} = 129.70, p < .001, \eta^2p =$   
 350  $.942$ ). All other main effects and interactions were not significant (stimulus type:  $F_{3,24} = 0.053,$   
 351  $p = .984, \eta^2p = .007$ ; stimulus type  $\times$  sex:  $F_{3,24} = 0.411, p = .739, \eta^2p = .050$ ; stimulus type  $\times$   
 352 group  $\times$  sex:  $F_{3,24} = 1.687, p = .196, \eta^2p = .174$ ).

353 We conducted *post hoc* pairwise comparisons using Bonferroni corrections on the  
 354 proportion of responding to each stimulus type for each True group separately. Birds in the

355 natural order S+ group responded significantly more to rewarded natural order calls than to  
 356 unrewarded scrambled order calls (adjusted  $p < .001$ , 95% Confidence Interval (CI) = -0.743 – -  
 357 0.546) and to transfer scrambled order calls (adjusted  $p = .001$ , CI = -0.857 – -0.352). They also  
 358 responded significantly more to transfer natural order calls than to both unrewarded scrambled  
 359 order calls (adjusted  $p = .001$ , CI = 0.330 – 0.818) and transfer scrambled order calls (adjusted  $p$   
 360 = .001, CI = -0.756 – -0.313). Similarly, birds in the scrambled order S+ group responded  
 361 significantly more to rewarded scrambled order calls than to both unrewarded natural order calls  
 362 (adjusted  $p = .004$ , CI = 0.268 – 0.990) and transfer natural order calls (adjusted  $p = .002$ , CI = -  
 363 0.871 – -0.321). They also responded significantly more to transfer scrambled order calls than to  
 364 both unrewarded natural order calls (adjusted  $p = .007$ , CI = 0.206 – 0.909) and transfer natural  
 365 order calls (adjusted  $p = .001$ , CI = 0.296 – 0.752). All other pairwise comparisons were not  
 366 significant (adjusted  $p > .472$ ).

367         Four independent-samples  $t$ -tests were conducted to compare the proportion of  
 368 responding to each stimulus type (e.g., discrimination natural order calls, discrimination  
 369 scrambled order calls, transfer natural order calls, transfer scrambled order calls) between the  
 370 two True groups (e.g., natural order S+ group, scrambled order S+ group). The adjusted  $p$ -value  
 371 for significance became  $p = .05/4 = .013$  with Bonferroni corrections for four  
 372 comparisons. Levene's test was not significant for any comparison ( $p > .135$ ), so equal variances  
 373 were assumed. There was a significant difference in responding between groups such that birds  
 374 rewarded for responding to natural-ordered stimuli (e.g., natural order S+ group) responded more  
 375 to natural-ordered stimuli (both from discrimination and from transfer) than did birds that were  
 376 rewarded for responding to scrambled order stimuli (discrimination:  $t_{10} = -5.003$ ,  $p = .001$ ,  $d =$   
 377 2.888, CI = -0.779 – -0.299; transfer:  $t_{10} = -4.489$ ,  $p = .001$ ,  $d = 2.592$ , CI = -0.652 – -0.219) and

378 birds rewarded for responding to scrambled order stimuli (e.g., scrambled order S+ group)  
 379 responded more to scrambled order stimuli (both from discrimination and from transfer) than did  
 380 birds that were rewarded for responding to natural-ordered stimuli (discrimination:  $t_{10} = 24.005$ ,  $p$   
 381  $< .001$ ,  $d = 13.861$ ,  $CI = 0.666 - 0.802$ ; transfer:  $t_{10} = 10.835$ ,  $p < .001$ ,  $d = 6.255$ ,  $CI = 0.495 -$   
 382  $0.751$ ; see Figure 4).

383 **Pseudo category groups.** In the same manner as was done for the True category groups,  
 384 we also conducted a repeated measures ANOVA on the proportion of responding during the  
 385 three 650-trial bins of Transfer testing to evaluate how individuals in the Pseudo category groups  
 386 responded to the novel stimuli in Transfer testing. Mauchly's test could not be conducted due to  
 387 insufficient residual degrees of freedom. Again, there was a significant stimulus type  $\times$  group  
 388 interaction ( $F_{3,3} = 95.486$ ,  $p = .002$ ,  $\eta^2p = .990$ ). There was also a significant main effect of both  
 389 group and sex (group:  $F_{1,1} = 697.173$ ,  $p = .024$ ,  $\eta^2p = .999$ ; sex:  $F_{1,1} = 244.052$ ,  $p = .041$ ,  $\eta^2p =$   
 390  $.996$ ). All other main effects and interactions were not significant (stimulus type:  $F_{3,3} = 1.588$ ,  $p$   
 391  $= .357$ ,  $\eta^2p = .614$ ; stimulus type  $\times$  sex:  $F_{3,3} = 1.637$ ,  $p = .348$ ,  $\eta^2p = .621$ ; stimulus type  $\times$   
 392 group  $\times$  sex:  $F_{3,3} = 2.094$ ,  $p = .280$ ,  $\eta^2p = .677$ ).

393 To examine the main effects, we conducted *post hoc* Bonferroni comparisons. Birds in  
 394 the Pseudo 1 group responded more overall than did birds in the Pseudo 2 group ( $p = .024$ ).  
 395 Similarly, males responded more overall than females ( $p = .041$ ). We conducted *post hoc*  
 396 pairwise comparisons using Bonferroni corrections on the proportion of responding to each  
 397 stimulus type for each Pseudo category group separately. Levene's test was not significant for  
 398 any comparison ( $p > .103$ ), so equal variances were assumed. Birds in the Pseudo 1 group  
 399 responded significantly more to rewarded discrimination calls than to transfer scrambled order  
 400 calls (adjusted  $p = .036$ ,  $CI = 0.037 - 0.233$ ) and more to transfer natural order calls than

401 unrewarded discrimination calls (adjusted  $p = .026$ , CI = -0.908 – -0.289). All other pairwise  
402 comparisons were not significant (adjusted  $p > .053$ ). See Figure 5 for summary.

403 Four independent-samples  $t$ -tests were conducted to compare the proportion of  
404 responding to each stimulus type between the two Pseudo category groups. The adjusted  $p$ -value  
405 for significance became  $p = .05/4 = .013$  with Bonferroni corrections for four  
406 comparisons. There was a significant difference in responding between groups such that birds in  
407 the Pseudo 1 group responded more to Pseudo 1 S+ stimuli (i.e., Pseudo 1 rewarded  
408 discrimination stimuli) than did birds in the Pseudo 2 group ( $t_3 = 10.254$ ,  $p = .002$ ,  $d = 10.800$ , CI  
409 = 0.461 – 0.877). Similarly, birds in the Pseudo 2 group responded more Pseudo 2 S+ stimuli  
410 (i.e., Pseudo 2 rewarded discrimination stimuli) than did birds in the Pseudo 1 group ( $t_3 = -$   
411 18.409,  $p < .001$ ,  $d = 15.423$ , CI = -0.812 – -0.573). There were no significant differences in  
412 responding between groups to transfer natural order stimuli ( $t_3 = 3.137$ ,  $p = .052$ ,  $d = 3.224$ ) or to  
413 transfer scrambled order stimuli ( $t_3 = 3.392$ ,  $p = .043$ ,  $d = 3.575$ ).

414 **Acoustic preference and performance.** We conducted stepwise multiple regression  
415 analyses to determine if a measure of learning speed, here bins to criteria, could be used to  
416 predict the strength of preference, as measured by preference score, for both time spent on and  
417 number of visits to each of the three perches. In both instances, the relationship was linear and  
418 the data did not violate assumptions of homoscedasticity or independence of errors. For the  
419 preference score calculated using the amount of time spent on the three perches, the regression  
420 equation was not significant ( $R^2 = 0.075$ ,  $R^2_{\text{adj}} = -0.131$ ,  $F_{2,9} = 0.364$ ,  $p = .704$ ). However, for the  
421 preference score calculated using the number of visits to each of the three perches, the regression  
422 was significant ( $R^2 = 0.486$ ,  $R^2_{\text{adj}} = 0.372$ ,  $F_{2,9} = 4.258$ ,  $p = .050$ ). The number of bins required to  
423 reach criterion significantly predicted the number of visits to the perch ( $\beta = 0.711$ ,  $p = .017$ ) such

424 that birds that learned the discrimination in more trials demonstrated a stronger preference  
425 toward what would be the rewarded stimulus type (see Figure 6).

## 426 **Discussion**

427 In a series of two experiments, both a playback experiment where birds could request the  
428 playback stimuli, and an operant conditioning experiment, we examined the preference for and  
429 perceptual mechanisms underlying, naturally-ordered and scrambled chick-a-dee calls in black-  
430 capped chickadees. In this manner, we could evaluate the relationship between individual  
431 preference and discrimination performance as it pertains to natural- and unnaturally-ordered  
432 conspecific calls. We first conducted a choice preference task to evaluate individual black-  
433 capped chickadees' responses to our two types of calls without the influence of differential  
434 appetitive (i.e., food) reward. We then conducted an instrumental discrimination task in which  
435 responding to calls was differentially-rewarded (i.e., food-rewarded). This allowed us to examine  
436 how individual variation in stimulus preference could influence discrimination performance in  
437 natural and unnatural signals.

### 438 **Individual Preference**

439 We found that black-capped chickadees spent more time on the perch that did not  
440 produce sound (i.e., silent perch) than they spent on either of the perches that would produce  
441 sound (i.e., natural and scrambled order *chick-a-dee* call playback). This finding is consistent  
442 with observations by Hahn and colleagues (2017) who were investigating preferences between  
443 dominant and subordinate *fee-bee* songs. Hahn et al. (2017) observed that birds spent more time  
444 on the silent perch compared to either of the acoustic perches. However, in addition to preferring  
445 the silent perch to the acoustic perches, there was also a preference among the acoustic perches,  
446 with chickadees in the current study visiting the perch associated with naturally-ordered calls

447 more often than the perch associated with scrambled-order calls. Our results further indicate that  
448 when birds selected the silent perch, they remained on the silent perch, whereas when birds  
449 selected the natural-order perch, the birds would visit the perch, then leave and return again ,  
450 actively choosing the perch, and thus opting for more song playback of the preferred acoustic  
451 perch.

## 452 **Perceptual Categorization**

453 In this experiment, birds in the True category groups were differentially-reinforced for  
454 responding to one category of stimuli but not the other (e.g., rewarded for responding to natural-  
455 ordered stimuli but not scrambled-order stimuli). Whereas birds in the Pseudo category groups  
456 were differentially-reinforced for responding to randomly-selected groups of vocalizations that  
457 did not form categories (i.e., rewarded for responding to randomly-selected natural- and  
458 randomly-selected scrambled-order calls). This distinction allows us to evaluate whether black-  
459 capped chickadees preferentially make use of open-ended categorization when learning this  
460 discrimination. Black-capped chickadees have been shown to use open-ended categorization to  
461 discriminate between male- and female-produced *fee-bee* songs (Hahn et al., 2015), and con- and  
462 heterospecific *chick-a-dee* calls (Bloomfield, Farrell, & Sturdy, 2008; Bloomfield, Sturdy,  
463 Phillmore, & Weisman, 2003). By the nature of the training contingencies, only True category  
464 groups would be capable of learning the two categories (i.e., natural and scrambled) from  
465 Discrimination training. Evidence in support of True category groups using categorization can  
466 come from two sources. First, if we assume that learning a category (possible mechanism for the  
467 True groups) is easier than memorizing each individual call (as required with Pseudo category  
468 groups), we would expect True category groups to learn the discrimination at a faster rate than  
469 Pseudo category groups. By comparing the number of bins required to meet Discrimination

470 training criteria between True and Pseudo category groups, we found no such difference in rates  
471 of acquisition. This could imply that both True and Pseudo category groups were relying on rote  
472 memorization to learn the discrimination. Second, only birds that learned a category could  
473 transfer that knowledge, and hence their pattern of responding, to new stimuli. If birds had  
474 simply memorized the Discrimination training stimuli, they would respond non-differentially to  
475 the testing stimuli. Our results indicate that birds in both the True natural- and scrambled-order  
476 call groups continued to respond according to the contingencies that they learned in  
477 Discrimination training during Transfer testing. Additionally, birds in the Pseudo category  
478 groups showed non-differential responding to the Transfer testing stimuli, as would be  
479 expected since they did not learn True categories in Discrimination training. These results taken  
480 together indicate that black-capped chickadees are capable both of learning and using the  
481 categories of natural- versus scrambled-ordered calls, while also being able to use rote  
482 memorization of calls to solve our operant discrimination task. The ability to use both open-  
483 ended categorization and rote memorization has significant implications beyond our study here  
484 that examined the preference and perception of naturally-ordered and scrambled-ordered calls.  
485 For instance, these seemingly complimentary cognitive mechanisms could be at play during  
486 critical tasks such as seed caching and recovery as well as during social interactions among birds.  
487 Seed caching could be aided by open-ended categorization to initially guide a bird to the general  
488 cache location with similar physical characteristics, while memorization could then hone the  
489 search for the particular cache location. In a similar way, open-ended categorization could help a  
490 bird determine if a call was produced by a flockmate or non-flockmate, and hence guide  
491 behavioural decisions at this level (e.g., defend territory against a nonflockmate or not), while  
492 rote memorization could then aid a bird in determining which particular bird from their flock

493 emitted the call and thus guide a more fine-grained behavioural response (e.g., informing a bird  
494 how to behave to a particular bird depending on the dominance status of the sender and receiver).

#### 495 **Acoustic Preference and Performance**

496 In this study, we presented black-capped chickadees with two types of *chick-a-dee* calls  
497 that had been constructed by artificially assembling individual notes into either natural- or  
498 scrambled-order calls. In nature, *chick-a-dee* calls are almost exclusively produced with notes in  
499 a fixed order of A through D notes ( $A \rightarrow B \rightarrow C \rightarrow D$ ; Hailman, Ficken, & Ficken, 1985). Calls that  
500 deviate from this set syntax are rare (personal observation), suggesting that there may be  
501 information conveyed by the order itself, rather than subtleties in the structure of individual notes  
502 (Hailman & Ficken, 1986). This quality can be seen in that some notes produced earlier in a call  
503 are thought to contain redundant information on notes that come later in the call (Freeberg,  
504 Lucas, & Clucas, 2003). For example, the structure of an A note produced early in the call will  
505 change depending on how many other A notes will follow it. In our experiment, we observed a  
506 preference for natural-ordered calls over scrambled-ordered calls in that birds visited the perch  
507 associated with natural-ordered calls more compared to the perches associated with scrambled-  
508 order calls. This preference may be driven by an avoidance of the “unnatural” scrambled-order  
509 calls. Since both the natural-order and scrambled-order calls used in this study were both  
510 constructed from manually assembled notes that may have been used in different positions as  
511 compared to the position they were produced in (e.g., an A note may have been the first A note  
512 produced in the original call, but it could be used as the second or third A note in a manufactured  
513 call), this may create discrepancies within the information passed along in the manufactured  
514 calls. The notes in any given manufactured call contained notes from multiple calls of differing  
515 compositions and from multiple birds, so future research should investigate if our manufactured

516 natural-ordered calls are perceived by black-capped chickadees as perceptually-similar to  
517 naturally-produced *chick-a-dee* calls. If both individual notes and the overall note structure both  
518 contain information, birds may perceive these manufactured calls as lacking information in  
519 comparison to naturally-produced calls, even though the note order is grammatically-correct.  
520 Alternatively, birds may simply prefer naturally-ordered calls due to the very high frequency  
521 with which these calls are encountered in the wild (nearly 100% of the time) compared to  
522 infrequently-encountered scrambled-ordered calls.

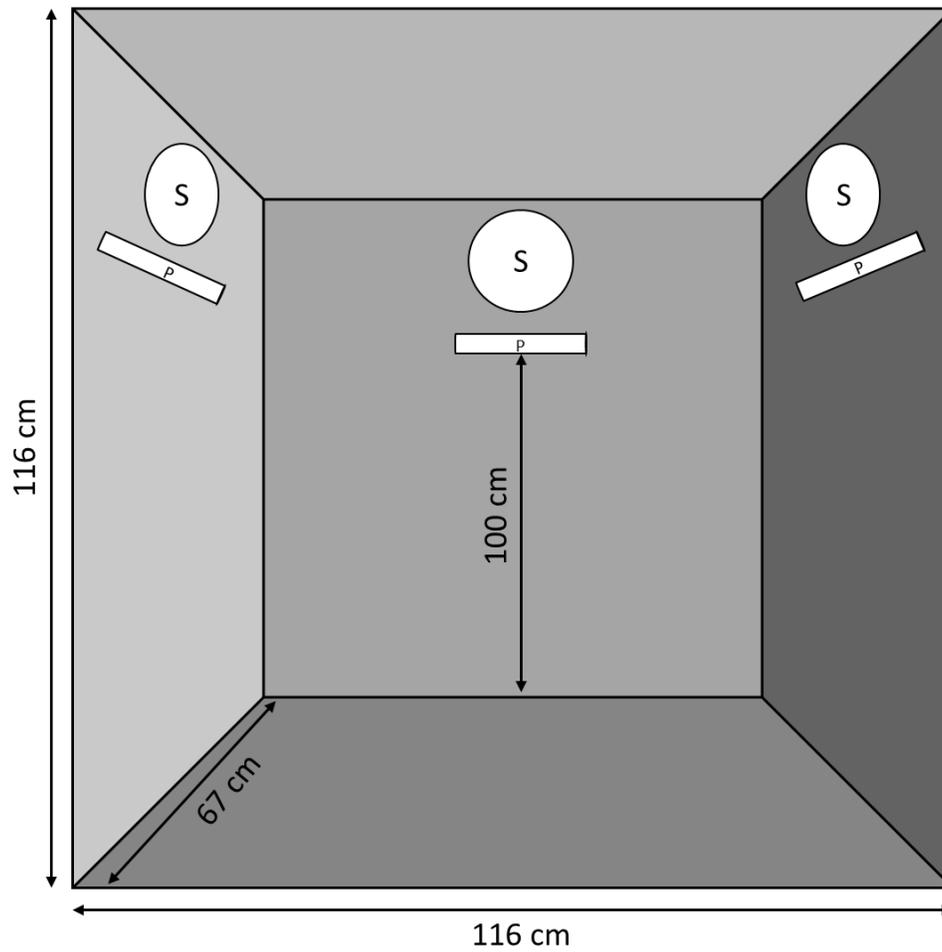
523 Individual differences in order (natural vs. scrambled) and strength of preference may be  
524 related to how individuals perceive and respond to different vocalizations. For example, Riters  
525 and colleagues (2013) showed that some European starlings (*Sturnus vulgaris*) find hearing  
526 conspecific vocalizations to be rewarding whereas other individuals do not. A similar difference  
527 in perception or motivation may be at work here, and may explain differences in motivation and,  
528 subsequently, learning. In this experiment, we found that birds with a strong preference for a  
529 stimulus type took longer to learn the discrimination task if the preferred stimulus type was the  
530 rewarded category. For example, birds in the Natural Order S+ group took longer to learn to  
531 respond to natural order stimuli if they had a strong preference for natural stimuli compared to if  
532 their preference was for scrambled order stimuli.

### 533 **Conclusions**

534 In the present study, we used two behavioural tasks to evaluate the relationship between  
535 preference for and ability to discriminate between natural- and scrambled-order *chick-a-dee*  
536 calls. Our results indicate that, not only do chickadees display individual preference for one type  
537 of call over the other, and interestingly a preference for silence over any playback, the magnitude  
538 and direction of their preference influences the rate at which they learn to discriminate between

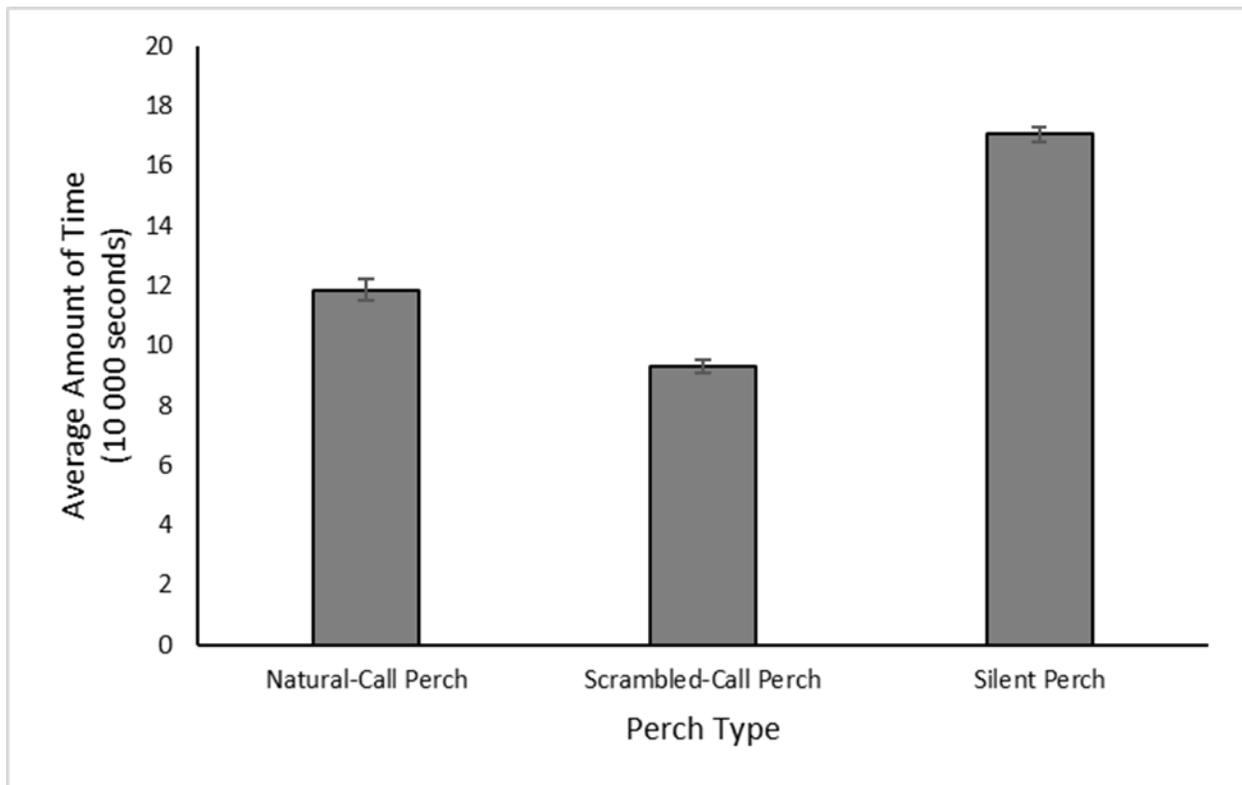
539 the two types of calls, natural- and scrambled-order chick-a-dee calls. Chickadees not only  
540 learned to discriminate between natural- and scrambled-order *chick-a-dee* calls, but they did so  
541 in two different, complimentary ways: open-ended categorization and rote memorization. The  
542 former mechanism was then demonstrated when the birds applied the learned contingencies to  
543 testing stimuli, suggesting that natural- and scrambled-order calls are distinct perceptual open-  
544 ended categories. The fact that the birds also discriminated randomly selected groups of calls  
545 suggests that they performed this task using rote memorization. These two, complimentary  
546 cognitive mechanisms can have significant impacts beyond the acoustic discriminations  
547 discussed here, and might be widely-used for other activities critical to survival. To extend these  
548 findings, future research should evaluate the validity of using manufactured natural-ordered call  
549 stimuli as a substitute for naturally-produced calls, and also determine which other natural tasks  
550 employ open-ended categorization and rote memorization and the particular manner in which  
551 their use differs depending upon the type and stage of the task they are employed.

552



553

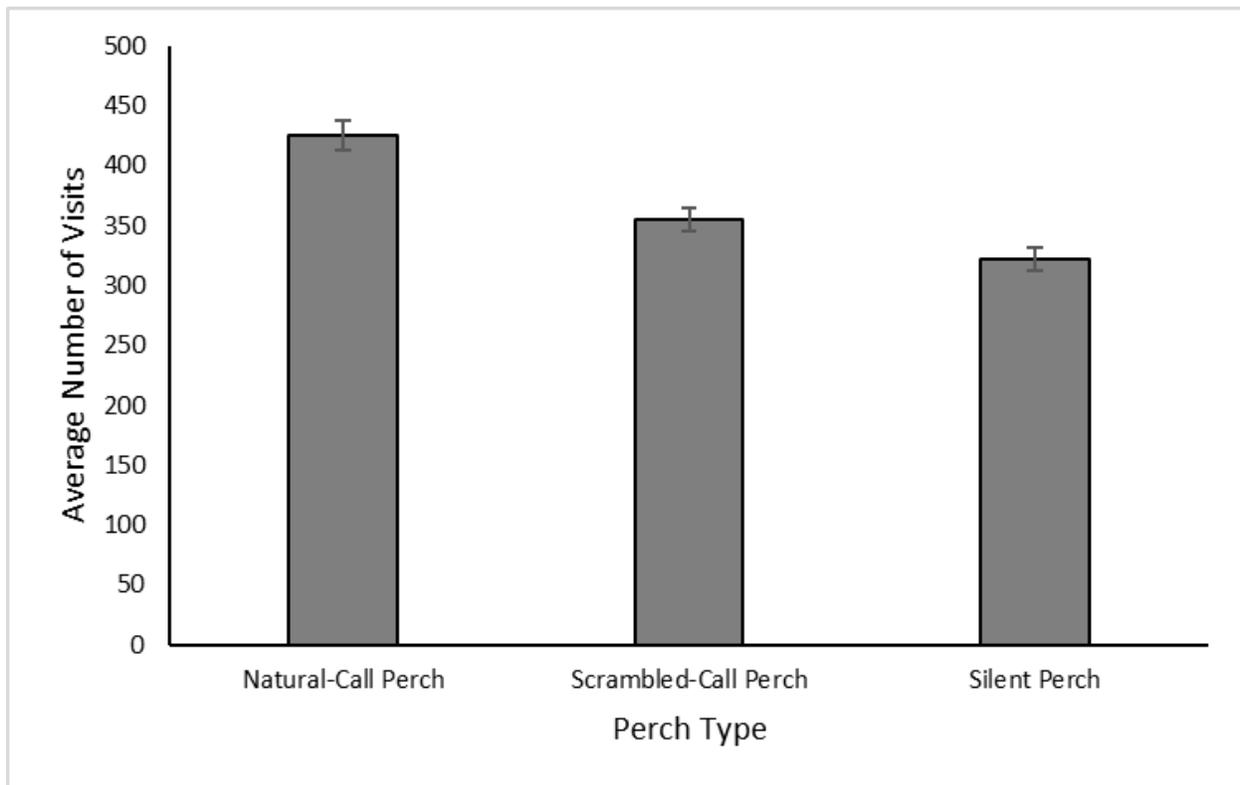
554 **Figure 1.** Diagram depicting the layout of the choice preference task testing chamber when  
555 viewed from the front. “S” indicates a speaker. “P” indicates a perch with infrared sensors.



556

557 **Figure 2.** Average  $\pm$  SE amount of time spent on natural-call, scrambled-call, and silent perches

558 during the choice preference task.

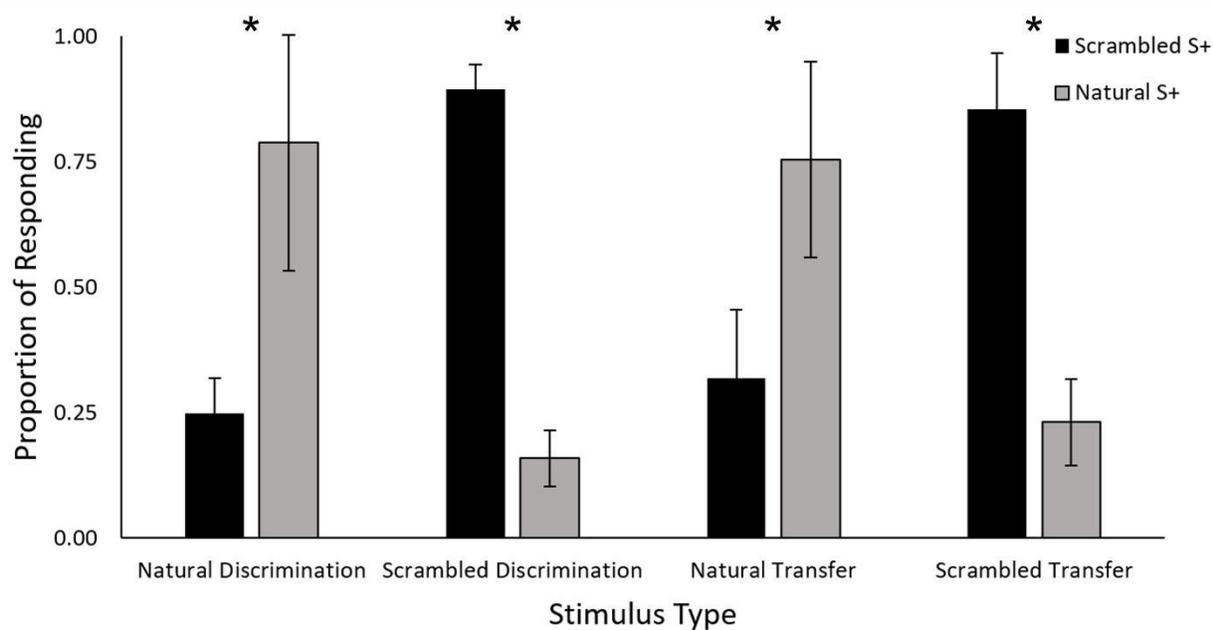


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560 **Figure 3.** Average  $\pm$  SE number of visits to natural-call, scrambled-call, and silent perches

561 during the choice preference task.

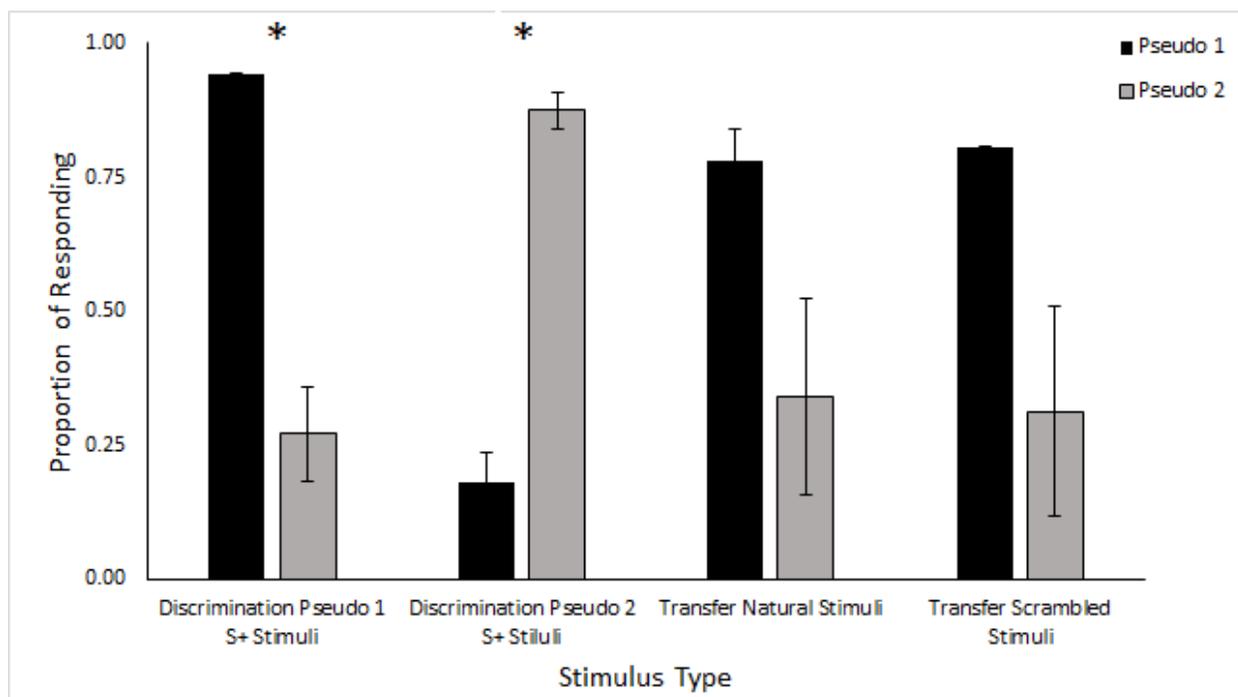
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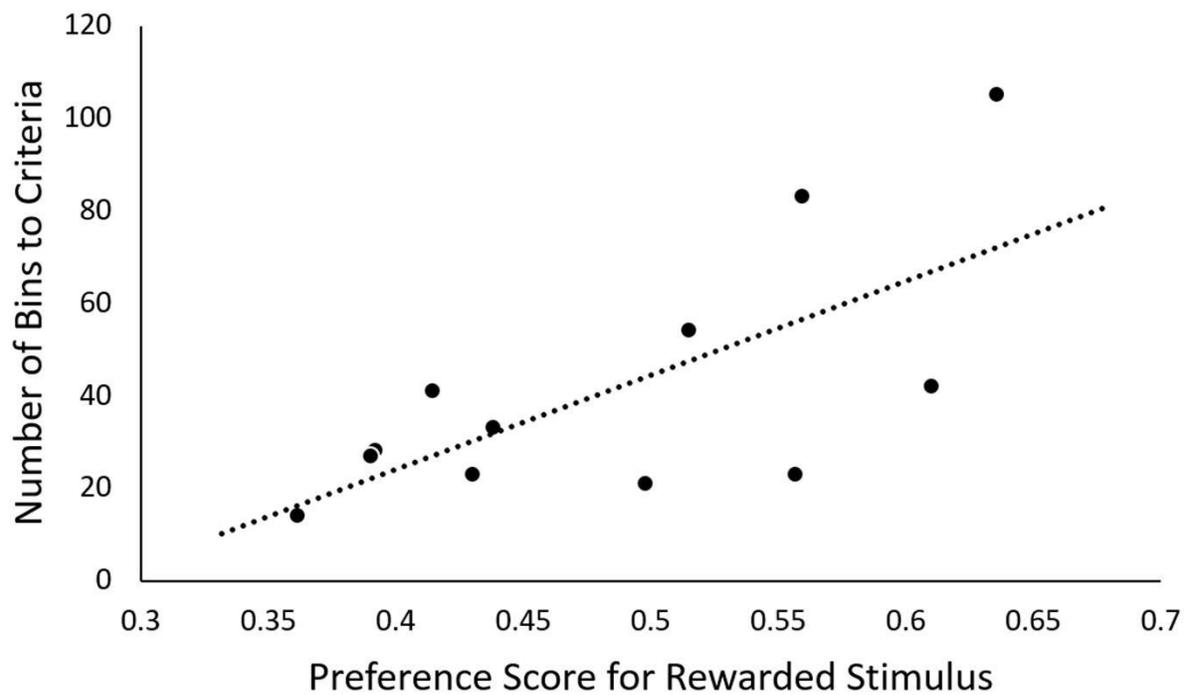
564 **Figure 4.** Average  $\pm$  SE proportion of responding by birds in the True category groups (e.g.,  
 565 Natural S+, Scrambled S+) to four types of stimuli: natural order stimuli from Discrimination  
 566 training, scrambled order stimuli from Discrimination training, novel natural-ordered stimuli in  
 567 Transfer testing, and scrambled order stimuli in Transfer testing. \* indicates a significant  
 568 difference ( $p \leq .05$ ) between group means.

569



570

571 **Figure 5.** Average  $\pm$  SE proportion of responding by birds in the Pseudo category groups (e.g.,  
572 Pseudo 1, Pseudo 2) to four types of stimuli: Pseudo 1 reinforced stimuli from Discrimination  
573 training, Pseudo 2 reinforced stimuli from Discrimination training, novel natural-ordered stimuli  
574 in Transfer testing, and scrambled order stimuli in Transfer testing. \* indicates a significant  
575 difference ( $p \leq 0.05$ ) between group means.



576

577 **Figure 6.** The relationship between the number of bins required to meet criteria in  
578 Discrimination training and the preference score calculated for the rewarded stimulus during  
579 Discrimination training using the number of visits to each perch.

580



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582  
583  
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596

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