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THE UNIVERSITY OF ALBERTA

SEISMIC DIFFRACTION FROM WEDGES

by



GEE HUNG CHAN

A THESIS

SUBMITTED TO THE FACULTY OF GRADUATE STUDIES AND RESEARCH

IN PARTIAL FULFILMENT OF THE REQUIREMENTS FOR THE DEGREE

OF DOCTOR OF PHILOSOPHY

IN

GEOPHYSICS

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## ABSTRACT

The asymptotic ray theory, or the ray method has been used widely in seismic modelling and interpretation of real seismic records. However, it cannot be used for the investigation of diffracted waves, which appear frequently in a laterally inhomogeneous medium.

The first part of this thesis formulates a general theory to obtain the diffracted response near a shadow boundary. The method uses an integral-transform on the wave equation. A solution is obtained in the form of an infinite sum, after solving a recursive equation by the method of iteration. The elements in the sum are analogous to successive reflection/transmission of ray bundles.

Re-organization of the contour of integration in the transform allows one to separate the geometric and diffracted contributions to the total wave field. Finally, the diffracted field is written to be proportional to the geometric amplitude along the shadow boundary. The coefficient of proportionality is simplified to an analytic form using a high frequency approximation.

Numerical results of a tar sand model are presented. The synthetic seismograms are compared with results obtained by Mikhailenko using an analytic-numerical method.

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CHAPTER 1

INTRODUCTION

Derived in line with geometrical optics (Kline, 1951), the asymptotic ray theory (Babich and Alekseev, 1958; Karal and Keller, 1959; Cerveny, 1972; Hron and Kanasevich, 1971) has been used in the study of wave propagation in inhomogeneous media. Its application in both modelling and interpretation for seismic prospecting has been successful.

However, ART (asymptotic ray theory) is based on energy propagation along non-leaky ray tubes. The result is that it breaks down in the presence of a shadow boundary which forms a discontinuity along the wavefront. To satisfy the physical nature of the continuity of a total wavefront, numerous attempts were made to remedy this situation by the introduction of diffracted waves originated at the edge-like discontinuities of the media. Physically, it is similar to energy diffusion (Fock, 1965; Born & Wolf, 1968) in electromagnetics and optics. Such development in the elastic wave study were by Claerbout, 1976; Trorey, 1977; Hilterman, 1982; Fertig and Muller, 1979. In particular, the case of wave propagation in a wedge-shaped medium has been widely investigated (Hudson, 1963; Bowman and Senior, 1969; Alford, Kelly and Boore, 1974; Zemell, 1975; Pao and Ziegler, 1982;



Sanchez-Sesma, 1985). While a lot of these works concentrated on SH-waves only, consideration on P-SV case has been given by Knopoff(1969), Forristal and Ingram (1971), and Zeigler and Pao (1984).

The present work also tries to seek a solution for the problem of wave diffraction in a wedge-shaped medium. As the theory eventually blends in with the ray method, the diffracted contribution, being proportional to the geometric ray along the shadow boundary, will be established to rectify one of the shortcomings of ART.

## CHAPTER 2.

## EDGE WAVE THEORY IN ACOUSTIC CASE

The simpler case of acoustic plane wave diffraction by a wedge-shaped obstacle is first discussed as a preparation for the more general elastic case.

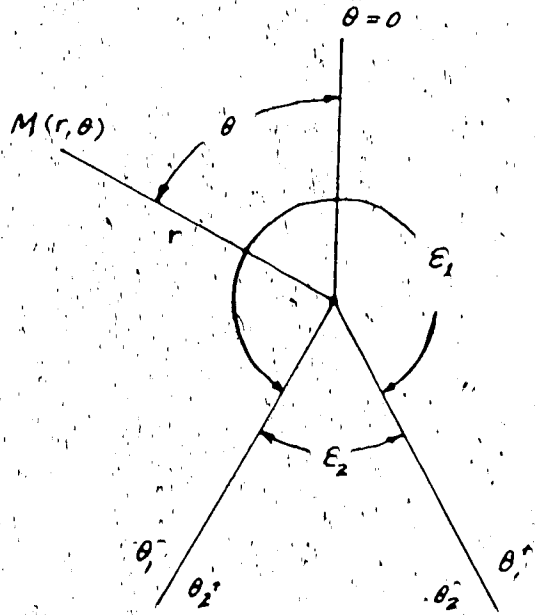
Let the 2-dimensional space ( $r \geq 0$ ,  $-\pi \leq \theta \leq \pi$ ) be divided into two angular regions (Fig. 1a) which are defined by  $\theta_1^+ \leq \theta \leq \theta_1^-$ , and  $\{ \theta_2^+ \leq \theta \leq \pi \} \cup \{ -\pi \leq \theta \leq \theta_2^- \}$ , with  $\theta_1^+$  and  $\theta_2^-$  denoting the same boundary, likewise for  $\theta_1^-$  and  $\theta_2^+$ . The properties of the medium for each region  $m$  ( $m=1,2$ ) are characterized by the velocity  $c_m$  of acoustic wave propagation and its density  $\rho_m$ . The goal of the following investigation is to study the behaviour of the resultant wave field upon the interaction between an incident wave with the wedge.

The incident wave is considered to be plane, homogeneous and with wave number  $\kappa_k$ , propagating in the  $k$ -th region at an incident angle of  $\theta = \theta_k$  (see Fig. 1b). Being assigned to have unit amplitude, it may be represented by

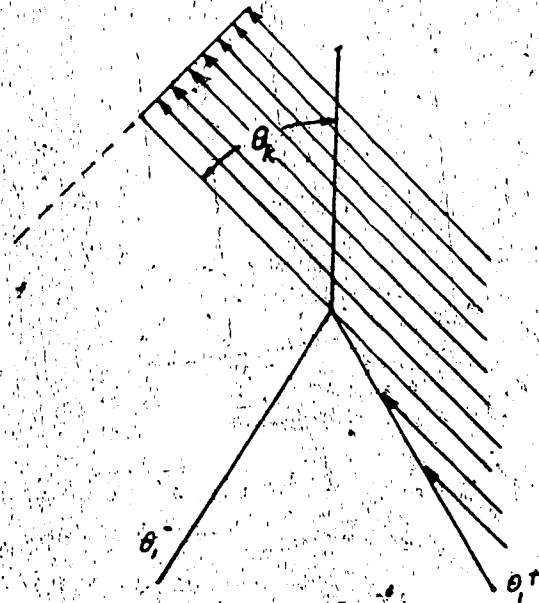
$$(2.1) \quad F_k^o = e^{i\kappa_k r \cos(\theta_k)}$$

Figure 1 (a) Model of media.

(b) Incident wave and definition of  $\theta_k$ .



a



b

with  $i^2 = -1$ .

Because of the presence of the boundaries, ending at the top of the wedge, the following expression is used to define the illuminated and shadow regions for the incident field.

$$(2.2) \quad F_k = \begin{cases} e^{i\kappa_k r \cos(\theta - \theta_k)} & s_k(\theta - \theta_k) < 0 \\ 0 & s_k(\theta - \theta_k) > 0 \end{cases}$$

where  $s_k = \text{sgn}(\theta_k)$ .

Without loss of generality, the incident wave is assumed to be propagating initially in region 1, i.e.  $k = 1$ . Let equation (2.2) describe the acoustic pressure field. The resulting total wave field in the  $m$ -th region is denoted by  $F_m$  with frequency  $\omega$ , satisfying the Helmholtz equation

$$(2.3) \quad (\nabla^2 + \kappa_m^2) F_m = 0,$$

where  $\kappa_m = \omega/c_m$ .

The boundary conditions are set as the continuities of the pressure field and the normal component of the velocity.

This leads to

$$(2.4a) \quad (F_1)_{\theta=\theta_1^{\pm}} = (F_2)_{\theta=\theta_2^{\mp}},$$

and

$$(2.4b) \quad \frac{1}{\rho_1} \left[ \frac{\partial}{\partial n} F_1 \right]_{\theta=\theta_1^{\pm}} = \frac{1}{\rho_2} \left[ \frac{\partial}{\partial n} F_2 \right]_{\theta=\theta_2^{\mp}},$$

where  $\frac{\partial}{\partial n}$  denotes the normal derivative with respect to the boundary.

Now, in the  $m = 1$  region, one can write

$$(2.5) \quad F_1 = F_1^{\circ} + \bar{F}_1,$$

where  $\bar{F}_1$  is the additional pressure field generated by the interaction of the incident wave  $F_1^{\circ}$  with the boundaries.

Note that because of i) the discontinuity of  $F_1^{\circ}$  (Fig. 1b), with respect to the shadow boundary at  $\theta = \theta_1$ , and ii) the assumed (and physically realizable) continuity of the solution to the Helmholtz equation for the total wave field  $F_1$ , then  $\bar{F}_1$ , the secondary field must also have a discontinuity at  $\theta = \theta_1$ .

The solution for the total wave field  $F_m$  ( $m = 1, 2$ ) will be sought with the aid of an integral transform on the  $F_m$ 's which appear in a system of two differential equations (2.3)

subject to four boundary conditions pertaining to equations (2.4a) and (2.4b).

## 2.1 Sommerfeld Integral Transform

We will examine the following pair of integral representations, which according to Sommerfeld (1896) and Malyuzhinets (1958) can be written as

$$(2.6a) \quad F(r) = \frac{1}{2\pi i} \int_{\Gamma_0} S(\alpha) e^{-ikr \cos \alpha} d\alpha,$$

with the path of integration  $\Gamma_0$  (Fig. 2) and

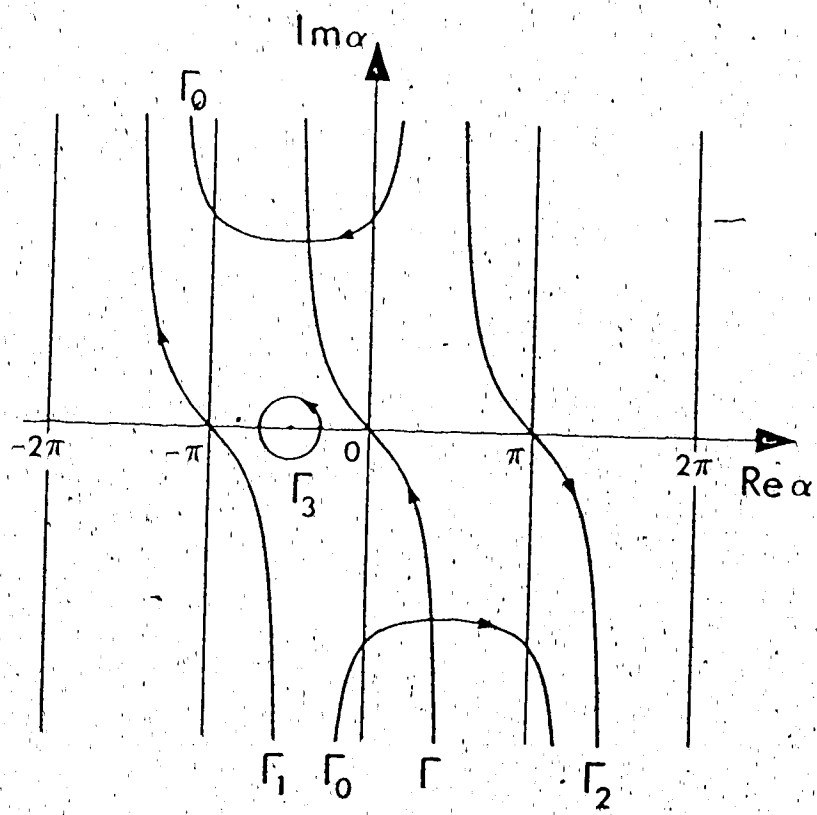
$$(2.6b) \quad S(\alpha) = \frac{ik \sin \alpha}{2} \int_0^{\infty} F(r) e^{ikr \cos \alpha} dr.$$

The function  $F(r)$  here may be considered as a cylindrical wavefront, consisting of plane wave components, propagating in arbitrary emergence angle  $\alpha$ , and being governed by the weighting function  $S(\alpha)$ . Appendix A shows that (2.6a) and (2.6b) are indeed proper transform pair under certain prescribed constraints on  $F$  and  $S$ .

The representation of  $F$  in (2.6a) is useful in that it satisfies the homogeneous Helmholtz equation, in polar

Figure 2 Contours of  $\Gamma$ ,  $\Gamma_0$ ,  $\Gamma_1$ ,  $\Gamma_2$  and  $\Gamma_3$ .





coordinates, with wave number  $\kappa$ . Two important properties arise from (2.6a) and they are

$$(2.7) \quad \int_{\Gamma_0} S(\alpha) e^{-i\kappa r \cos(\alpha-\theta)} d\alpha \\ \equiv \int_{\Gamma_0} S(\alpha + \theta) \exp^{-i\kappa r \cos \alpha} d\alpha,$$

and

$$(2.8) \quad \int_{\Gamma_0} S_b(\alpha + \theta) e^{-i\kappa_b r \cos \alpha} d\alpha \\ \equiv \int_{\Gamma_0} \tau_{ab}(\alpha) S_b[\phi_{ab} + \theta] e^{-i\kappa_b r \cos \alpha} d\alpha,$$

where

$$(2.8a) \quad \phi_{ab} = \cos^{-1} [(c_a/c_b) \cos \alpha]$$

$$(2.8b) \quad \tau_{ab} = \frac{d}{d\alpha} \phi_{ab}(\alpha).$$

Equation (2.8) gives the freedom in choosing a specific wave number, (i.e.  $\kappa_b$  here) for the transformation kernel. The tie is established through the use of  $\phi$  and  $\tau$  in (2.8a) and (2.8b), with the former merely the Snell's law relating the angles of emergence  $\phi$  and  $\alpha$  at the sectorial boundary, while the latter, a manifestation of the divergence weighing factor.

## 2.2 Separation of the Wave Field

With substitution by the transforms, the total wave field  $F_m(r, \theta)$  (in regions  $m=1,2$ ) may be represented by the following expression

$$(2.9) \quad F_m(r, \theta) = \frac{1}{2\pi i} \int_{\Gamma_0} S_m e^{-i\kappa_m r \cos(\alpha - \theta)} d\alpha$$

Recall that in equation (2.5),  $F_1$  is the sum of the incident field  $F_1^0$  and the secondary field  $\bar{F}_1$ , which is a composition of reflection and/or diffraction responses upon the interaction between  $F_1^0$  and the wedge boundaries. We next proceed to split the functions  $S_1$  into the above mentioned components. This can be accomplished by defining

$$(2.10) \quad S_1(\alpha) = D_1(\alpha) + \bar{S}_1(\alpha)$$

with

$$(2.10a) \quad D_1(\alpha) = [\alpha - \theta_1 + s_1\pi]^{-1}.$$

Then

$$(2.11) \quad F_1 = F_1^0 + \bar{F}_1$$

$$= \frac{1}{2\pi i} \int_{\Gamma_0} D_1(\alpha + \theta) e^{-i\kappa_1 r \cos \alpha} d\alpha +$$

$$+ \frac{1}{2\pi i} \int_{\Gamma_0} S_1(\alpha + \theta) e^{-i\kappa_1 r \cos \alpha} d\alpha$$

In the first term on the right hand side of (2.11),  $D_1(\alpha + \theta)$  has a simple pole at  $\alpha = -\theta + \theta_1 - s_1\pi$ . Hence we now deform  $\Gamma_0$  in Fig. 2 such that it takes on the sum of  $\Gamma_1$ ,  $\Gamma_2$ ,  $\Gamma_3$ , and the connecting segments along the real  $\alpha$  axis between  $\Gamma_3$  and  $\Gamma_1$  (or  $\Gamma_2$ ). If

$$(2.12a) \quad -\pi < -\theta + \theta_1 - s_1\pi < \pi$$

then the pole is inside the contour, and the corresponding residue provides the field of the incident field (2.2) in the illuminated region. If

$$-\theta + \theta_1 - s_1\pi < -\pi, \text{ or}$$

$$(2.12b)$$

$$-\theta + \theta_1 - s_1\pi > \pi,$$

implying that

$s_1(\theta - \theta_1) \neq 0$ : then the integral for  $F_1^0$  results in zero, agreeing with the corresponding to the pole  $\alpha$  being

outside the interval  $(-\pi, \pi)$ , thereby not being encountered during the deformation of the original contour of integration. This clearly corresponds to points  $P(r, \theta)$  in the shadow zone of the first sector.

In view of the fact that the incident field may interact with either or both of the boundaries as defined by  $\theta = \theta_1^+ = \theta_2^-$  and  $\theta = \theta_1^- = \theta_2^+$ , two new functions  $F_1^{\pm}(\theta)$  are introduced to reflect the proper choice of reference direction with respect to the individual boundaries. They are

$$(2.13) \quad F_1^{\pm}(r, \theta) = \frac{1}{2\pi i} \int_{\Gamma_0} D_1^{\pm}(\alpha) e^{-ik_1 r \cos(\alpha - \gamma_1^{\pm})} d\alpha$$

where

$$(2.14a) \quad D_1^{\pm}(\alpha) = (\alpha + a_1^{\pm})^{-1}$$

$$(2.14b) \quad a_1^{\pm} = \pm(\theta_1^{\pm} - \theta_1 + s_1\pi)$$

$$(2.14c) \quad \gamma_1^{\pm} = \pm(\theta - \theta_1^{\pm})$$

A short exercise in changing of variables will show that

$$(2.15) \quad F_1^{\circ} = F_1^{\circ+} = F_1^{\circ-}$$

$$= \frac{1}{2} (F_1^{o+} + F_1^{o-})$$

Hence, equation(2.15) may be interpreted as  $F_1^o$  being decomposed into two separate groups of waves, each homing in on the corresponding boundaries. Note that there is a slight resemblance to the treatment of wave propagation in parallel layers in which the waves are separated into up- and down-going categories, with each of them approaching different boundaries of the layer (either top or bottom). In this case when there may be two boundaries 'illuminated' by the incident wave, we can express the total wave field  $F_1$  as either

$$(2.16) \quad F_1 = F_1^{o+} + \bar{F}_1,$$

$$\text{or} \quad F_1 = F_1^{o-} + \bar{F}_1,$$

where  $\bar{F}_1$  is the wave field generated by the interaction between the incident field  $F_1^{o\pm}$ , related to the appropriate illuminated boundary  $\theta_1^\pm$  and the wedge.

### 2.3 Reformulation of the System of Equations

As far as the functions  $D_1^\pm$  are regular as  $|\text{Im } \alpha| \rightarrow \infty$ ,

the integrals in  $F_1^{\circ\pm}$  will satisfy the Helmholtz equations, as the order of operation of integration and differentiation can be interchanged.

$$(2.17) \quad (\nabla^2 + \kappa_1^2) F_1^{\circ\pm} = 0.$$

Therefore, using the simple rule of superposition of solutions, the unknown function  $\bar{F}_1$ , must also satisfy the Helmholtz equation as the total field  $F_1$  in (2.16) is assumed to be a solution to the wave equation.

With the absence of the incident field in  $m = 2$  region, its total field is simply represented by

$$(2.18) \quad F_2 = \bar{F}_2$$

Combining (2.11) and (2.18), one can write

$$(2.19) \quad F_m = \delta_{1m} F_1^{\circ\pm} + \bar{F}_m, \quad m = 1, 2$$

where  $\delta_{1m}$  is the Kronecker delta function ( $\delta_{11} = 1$ ,  $\delta_{12} = 0$ ), and the unknown functions  $\bar{F}_m$  are the solutions of the Helmholtz equations

$$(2.20) \quad (\nabla^2 + \kappa_m^2) \bar{F}_m = 0, \quad m = 1, 2$$

Substituting (2.19) into the boundary conditions (2.4) gives

$$(\bar{F}_1 + F_1^{\circ\bar{r}})_{\theta=\theta_1^{\pm}} = (\bar{F}_2)_{\theta} = \theta_2^{\bar{r}},$$

(2.21)

$$\frac{1}{\rho_1} \left[ \frac{\partial}{\partial n} (\bar{F}_1 + F_1^{\circ\bar{r}}) \right]_{\theta=\theta_1^{\pm}} = \frac{1}{\rho_2} \left[ \frac{\partial}{\partial n} \bar{F}_2 \right]_{\theta=\theta_2^{\bar{r}}}$$

#### 2.4 The Secondary Wave

Since the  $\bar{F}_m$ 's satisfy the Helmholtz equation, they may be written in the form of the Sommerfeld-Malyuzhinetz integral

$$(2.22) \quad \bar{F}_m(r, \theta) = \frac{1}{2\pi i} \int_{\Gamma_0} \bar{S}_m(\alpha) e^{-i\kappa_m r \cos(\alpha-\theta)} d\alpha$$

To find the  $\bar{F}_m$ 's, it follows to substitute expressions (2.22) and the incident field representations (2.13) into the boundary conditions (2.21). The expression of the exponents in the Sommerfeld-Malyuzhinetz integrals are reduced to the same form using the same independent variable  $\alpha$ , representing rays in the direction of the individual elementary waves in the incident wave field. This is done with the aid of the properties of the transform (2.7) and



(2.8). The following algebraic manipulation will establish a system of functional equations with respect to the sought  $\bar{S}_m$ 's, which in turn, will be expressed eventually as solutions obtained with the help of an iterative scheme.

Note that the boundary conditions stipulate the evaluation of terms of the different sides of the same boundary which itself has two different formal designations (e.g. left hand side of eqn. (2.21) at  $\theta = \theta_1^-$  pairs up with  $\theta = \theta_2^+$  on the right hand side). This inconvenience may be avoided if we decompose the secondary fields in the form of

$$(2.23) \quad \bar{F}_m = F_m^+ + F_m^-$$

to account for the separate influence of each boundary where the secondary wave originates. Also, using the similar change of variables as applied on the incident field  $F_1^{\circ\pm}$  in (2.13), one can write

$$(2.24) \quad \bar{F}_m = \frac{1}{2\pi i} \int_{\Gamma_0} \bar{S}_m^{\pm}(\alpha) e^{-i\kappa_m r \cos(\alpha - \gamma_m^{\pm})} d\alpha$$

where  $\gamma_m^{\pm} = \pm(\theta - \theta_m^{\pm})$ . This change in variable leads to

$$(2.25) \quad \bar{F}_m = \pm \frac{1}{2\pi i} \int_{\Gamma_0} \bar{S}_m^{\pm}(\pm \alpha \mp \theta_m^{\pm}) e^{-i\kappa_m r \cos(\alpha - \theta)} d\alpha.$$

Substituting (2.25) into (2.23) and comparing with

equation (2.22) yields

$$(2.26) \quad \bar{S}_m(\alpha) = \bar{S}_m^+(\alpha + \theta_m^+) - \bar{S}_m^-(\alpha + \theta_m^-)$$

In this way, the original problem of determining (2.23) leads to the solving of the  $\bar{S}_m^\pm$ 's,  $m = 1, 2$ . The computation of normal derivative in two of the boundary conditions necessitates a separation of  $r$  into a more manageable form presented next.

In a more general case,  $N$  sectorial regions  $\theta_\nu^+ \leq \theta \leq \theta_\nu^-$ ,  $\nu = 1, 2, 3, \dots, N$ , constitute an inhomogeneous medium with  $N$  boundaries. We will introduce for each individual boundary a Cartesian system of coordinates (Fig. 3), in which the coordinate lines in the  $\nu$ -th region is given by

$$(2.27) \quad x_\nu = r \cos \gamma_\nu^+ \quad y_\nu = r \sin \gamma_\nu^+$$

where the same system express a coordinate line in the  $(\nu-1)$ -th region by

$$x_{\nu-1} = r \cos \gamma_{\nu-1}^- \quad y_{\nu-1} = -r \sin \gamma_{\nu-1}^-.$$

Here the  $x$ -axis is along the boundary between the  $\nu$ -th and the  $(\nu-1)$ -th sectors, and the  $y$ -axis is normal to it,



positively oriented into the  $\nu$ -th region. We can now write equations (2.13).

$$(2.28a) \quad F_1^{\pm} = \frac{1}{2\pi i} \int_{\Gamma_0} D_1^{\pm}(\alpha) e^{i\kappa_1 \eta_1^{\pm}} d\alpha, \text{ and}$$

$$(2.28b) \quad \bar{F}_\nu^{\pm} = \frac{1}{2\pi i} \int_{\Gamma_0} \bar{S}_\nu^{\pm}(\alpha) e^{i\kappa_\nu \eta_\nu^{\pm}} d\alpha,$$

where  $\eta_\nu^{\pm} = x \cos \alpha \pm y \sin \alpha$

$$\eta_\nu^- = x \cos (\alpha - \epsilon_\nu) - y \sin (\alpha - \epsilon_\nu)$$

$$\eta_{\nu-1}^+ = x \cos (\alpha - \epsilon_{\nu-1}) + y \sin (\alpha - \epsilon_{\nu-1})$$

$$\eta_{\nu-1}^- = x \cos \alpha - y \sin \alpha$$

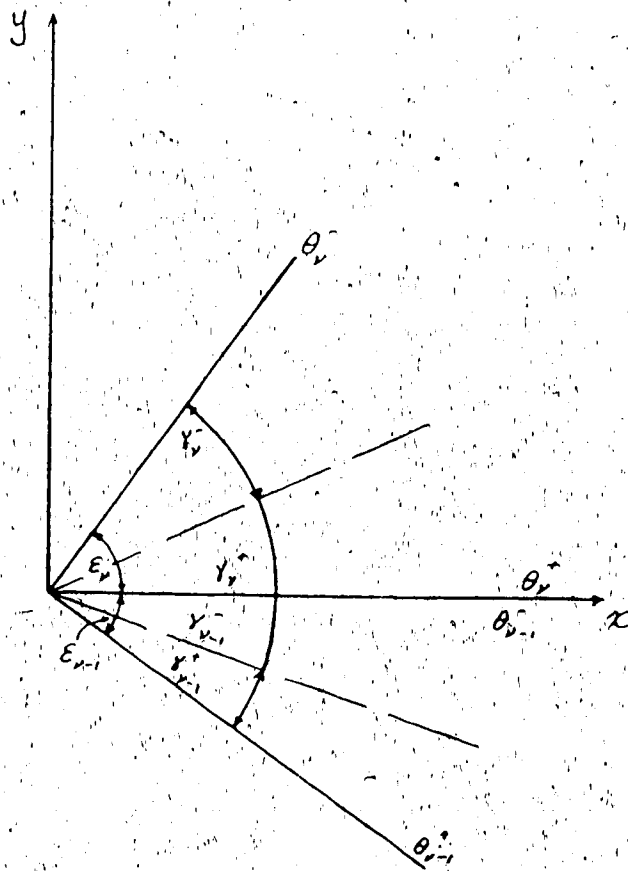
with

$$(2.29) \quad \epsilon_\nu = \begin{cases} \theta_\nu^- - \theta_\nu^+ & \text{for } \theta_\nu^- > \theta_\nu^+ \\ \theta_\nu^- - \theta_\nu^+ + 2\pi & \text{for } \theta_\nu^- < \theta_\nu^+ \end{cases}$$

and the  $\eta$ 's and  $\epsilon$ 's are as shown in Fig. 3.

Then the boundary conditions (2.21) can be written in a more usual form, that is, the waves which move towards and away from the same boundary are gathered according to the medium in which they propagate:

Figure 3 Transformation between  $\gamma$ 's and  $\eta$ 's,  
in equations 2.27 to 2.29.



$$(2.30) \quad [a_{q\nu} (F_{\nu}^{+} + F_{\nu}^{-} + \delta_{\nu k} F_{\nu 0}^{-})]_{\theta} = \theta_{\nu}^{+}$$

$$- [a_{q(\nu-1)} (F_{\nu-1}^{+} + F_{\nu-1}^{-} + \delta_{1k} F_{(\nu-1)0}^{-})]_{\theta=\theta_{(\nu-1)}^{-}} = 0$$

where  $a_{1\nu} = 1$

$$a_{2\nu} = \frac{1}{\rho_{\nu}} \frac{\partial}{\partial y}$$

$$q = 1, 2$$

$$\nu = 1, 2$$

$k$  = index of sector in which the incident wave exists (in our case,  $k = 1$ ).

Substituting (2.28a) & (2.28b) into (2.30) yields for  $q = 1$

$$(2.31a) \quad \int_{\Gamma_0} [\mathfrak{S}_{\nu}^{+}(\alpha) + \mathfrak{S}_{\nu}^{-}(\alpha + \epsilon_{\nu}) + \delta_{\nu k} D_{\nu}^{-}(\alpha + \epsilon_{\nu})] \cdot e^{-i\kappa_{\nu} x \cos \alpha} d\alpha$$

$$+ \int_{\Gamma_0} [\mathfrak{S}_{\nu-1}^{+}(\alpha + \epsilon_{\nu-1}) + \mathfrak{S}_{\nu-1}^{-}(\alpha) + \delta_{(\nu-1)k} D_{\nu-1}^{+}(\alpha + \epsilon_{\nu-1})] \cdot e^{-i\kappa_{\nu-1} x \cos \alpha} d\alpha = 0,$$

and for  $q = 2$

$$\begin{aligned}
 (2.31b) \quad & \int_{\Gamma_0} \frac{\sin \alpha}{\omega_\nu} [ \bar{S}_\nu^+(\alpha) - \bar{S}_\nu^-(\alpha + \epsilon_\nu) - \delta_{\nu k} D_\nu^-(\alpha + \epsilon_\nu) ] \\
 & \cdot e^{-i\kappa_\nu x \cos \alpha} d\alpha + \\
 & + \int_{\Gamma_0} \frac{\sin \alpha}{\omega_{\nu-1}} [ \bar{S}_{\nu-1}^+(\alpha + \epsilon_{\nu-1}) - \bar{S}_{\nu-1}^-(\alpha) + \\
 & + \delta_{(\nu-1)k} D_{\nu-1}^+(\alpha + \epsilon_{\nu-1}) ] \\
 & \cdot e^{-i\kappa_{\nu-1} x \cos \alpha} d\alpha = 0
 \end{aligned}$$

with  $\omega_\nu = \rho_\nu c_\nu$ .

We substitute in the second terms of (2.31 a,b) the variable  $\alpha$  by  $\psi_{(\nu-1)\nu}(\alpha)$ . This relates the direction  $\alpha$  of the elementary plane waves in medium  $\nu$  with the corresponding  $\psi_{(\nu-1)\nu}(\alpha)$  angle in the sector  $\nu-1$  via Snell's law (2.8). Hence we can write

$$(2.32) \quad \int_{\Gamma_0} A_q(\alpha) e^{-i\kappa_\nu x \cos \alpha} d\alpha = 0 \text{ for } q = 1, 2$$

$$\begin{aligned}
 \text{where } A_1(\alpha) = & \bar{S}_\nu^+(\alpha) + \bar{S}_\nu^-(\alpha + \epsilon_\nu) + \delta_{\nu k} D_\nu^-(\alpha + \epsilon_\nu) - \\
 & - \tau_{(\nu-1)\nu}(\alpha) \{ \bar{S}_{\nu-1}^+ [ \psi_{(\nu-1)\nu}(\alpha) + \epsilon_{\nu-1} ] + \\
 & + \bar{S}_{\nu-1}^- [ \psi_{(\nu-1)\nu}(\alpha) ] + \\
 & + \delta_{(\nu-1)k} D_{\nu-1}^+ [ \psi_{(\nu-1)\nu}(\alpha) + \epsilon_{\nu-1} ] \},
 \end{aligned}$$

$$\begin{aligned}
A_2(\alpha) = & \frac{\sin \alpha}{\omega_\nu} [\bar{S}_\nu^+(\alpha) - \bar{S}_\nu^-(\alpha + \epsilon_\nu) - \delta_{\nu k} D_\nu^-(\alpha + \epsilon_\nu)] - \\
& - \tau_{(\nu-1)\nu}(\alpha) \cdot \{ \sin \psi_{(\nu-1)\nu}(\alpha) / \omega_{\nu-1} \} \cdot \\
& \cdot \{ \bar{S}_{\nu-1}^+ [\psi_{(\nu-1)\nu}(\alpha) + \epsilon_{\nu-1}] + \bar{S}_{\nu-1}^- [\psi_{(\nu-1)\nu}(\alpha)] + \\
& + \delta_{(\nu-1)k} D_{\nu-1}^+ [\psi_{(\nu-1)\nu}(\alpha) + \epsilon_{\nu-1}] \}.
\end{aligned}$$

The sufficient but not necessary conditions to satisfy identities (2.32) are

$$(2.33a) \quad A_1(\alpha) \equiv 0, \text{ and}$$

$$(2.33b) \quad A_2(\alpha) \equiv 0,$$

respectively.

Eliminating from (2.33) the functions  $\bar{S}_{\nu-1}^- [\psi_{(\nu-1)\nu}(\alpha)]$  and solving for  $\bar{S}_\nu^+(\alpha)$  yields

$$\begin{aligned}
(2.34) \quad \bar{S}_\nu^+(\alpha) = & K_{\nu\nu} [\bar{S}_\nu^-(\alpha + \epsilon_\nu) + \delta_{\nu k} D_\nu^-(\alpha + \epsilon_\nu)] + \\
& \tau_{(\nu-1)\nu}(\alpha) \cdot K_{(\nu-1)\nu}(\alpha) \cdot \\
& \cdot \{ \bar{S}_{\nu-1}^+ [\psi_{(\nu-1)\nu}(\alpha) + \epsilon_{\nu-1}] +
\end{aligned}$$



$$\delta_{(\nu-1)k} D_{\nu-1}^+ [ \psi_{(\nu-1)\nu}(\alpha) + \epsilon_{\nu-1} ] \},$$

where  $K_{\nu\nu} = \left\{ \frac{w_{\nu-1}}{\sin \psi_{(\nu-1)\nu}(\alpha)} - \frac{w_{\nu}}{\sin \alpha} \right\}$ .

$$\left\{ \frac{w_{\nu-1}}{\sin \psi_{(\nu-1)\nu}(\alpha)} + \frac{w_{\nu}}{\sin \alpha} \right\}^{-1}$$

and  $K_{(\nu-1)\nu} = 2 \frac{w_{\nu}}{\sin \alpha} \left\{ \frac{w_{\nu-1}}{\sin \psi_{(\nu-1)\nu}(\alpha)} + \frac{w_{\nu}}{\sin \alpha} \right\}^{-1}$

Repeating the procedure starting at (2.32), but now changing the variable  $\alpha$  by  $\psi_{\nu(\nu-1)}(\alpha)$ , and eliminating  $\bar{S}_{\nu}^+$  which has already been found in (2.34), we write

$$(2.35) \quad \int_{\Gamma_0} B_q(\alpha) e^{-ik_{\nu-1}x \cos \alpha} d\alpha = 0 \quad \text{for } q = 1, 2$$

Similarly, we obtain

$$(2.36) \quad \bar{S}_{\nu-1}^-(\alpha) = K_{(\nu-1)(\nu-1)}(\alpha) [ \bar{S}_{\nu-1}^+(\alpha + \epsilon_{\nu-1}) + \delta_{(\nu-1)\nu} D_{\nu-1}^+(\alpha + \epsilon_{\nu-1}) ] + \tau_{\nu(\nu-1)}(\alpha) K_{\nu(\nu-1)}(\alpha) \{ \bar{S}_{\nu}^- [ \psi_{\nu(\nu-1)}(\alpha) + \epsilon_{\nu} ] + \delta_{\nu k} D_{\nu}^- [ \psi_{\nu(\nu-1)}(\alpha) + \epsilon_{\nu} ] \},$$

where 
$$K_{(\nu-1)(\nu-1)}(\alpha) = \left[ \frac{w_{\nu\nu}}{\sin\psi_{\nu(\nu-1)}(\alpha)} - \frac{w_{\nu-1}}{\sin\alpha} \right] \cdot \left[ \frac{w_{\nu}}{\sin\psi_{\nu(\nu-1)}(\alpha)} + \frac{w_{\nu-1}}{\sin\alpha} \right]^{-1}$$

and 
$$K_{\nu(\nu-1)}(\alpha) = 2 \frac{w_{\nu-1}}{\sin\alpha} \left[ \frac{w_{\nu}}{\sin\psi_{\nu(\nu-1)}(\alpha)} + \frac{w_{\nu-1}}{\sin\alpha} \right]^{-1}$$

Combining (2.34) and (2.36) yields

$$(2.37) \quad \begin{bmatrix} \bar{S}_{\nu}^{+}(\alpha) \\ \bar{S}_{\nu-1}^{-}(\alpha) \end{bmatrix} = \begin{bmatrix} \chi_{\nu\nu} & \chi_{(\nu-1)\nu} \\ \chi_{\nu(\nu-1)} & \chi_{(\nu-1)(\nu-1)} \end{bmatrix} \begin{bmatrix} \bar{S}_{\nu}^{-}(\alpha) + \delta_{\nu k} D_k^{-}(\alpha) \\ \bar{S}_{\nu-1}^{+}(\alpha) \delta_{(\nu-1)k} D_k^{+}(\alpha) \end{bmatrix}$$

for  $\nu = 1, 2$ , where  $\chi_{uv}$  denotes the operator, transforming an arbitrary function  $f(\alpha)$  according to the following rules

$$(2.38) \quad \chi_{uv} f(\alpha) = \tau_{uv}(\alpha) \cdot K_{uv}(\alpha) \cdot f[\psi_{uv}(\alpha) + \epsilon_{\nu}],$$

with 
$$K_{uu} = (w_{vu} - w_{uu}) \cdot (w_{vu} + w_{uu})^{-1}$$

$$K_{uv} = 2 w_{uv} (w_{uv} + w_{vv})^{-1} \text{ for } u \neq v, \text{ and}$$

$$(2.38a) \quad w_{uv} = \rho_u c_u / \sin\psi_{uv}(\alpha)$$

Returning to a two-sectorial regions medium with  $\mu = 1, 2$ , and introducing matrices  $X$ ,  $D$  and  $T$  as follows:

(2.39)

$$X = \begin{bmatrix} S_1^+(\alpha) \\ S_1^-(\alpha) \\ S_2^+(\alpha) \\ S_2^-(\alpha) \end{bmatrix} \quad D = \begin{bmatrix} D_1^+(\alpha) \\ D_1^-(\alpha) \\ 0 \\ 0 \end{bmatrix} \quad T = \begin{bmatrix} 0 & X_{11} & X_{21} & 0 \\ X_{11} & 0 & 0 & X_{21} \\ X_{12} & 0 & 0 & X_{22} \\ 0 & X_{12} & X_{22} & 0 \end{bmatrix}$$

then the system of matrix relation (2.37) can be written in the form of a single operational equation

$$(2.40) \quad X = TX + TD$$

The elements in  $X$  will be obtained by the method of successive approximation employing an iterative scheme described below.

## 2.5 Method of Successive Iteration

The mathematical formulation of the iterative method permits us to obtain an explicit analytical expression for the approximation of an arbitrary order, which itself, is expressed very conveniently for the physical interpretation of the diffraction field. It will be shown that the final formulae of this method are similar to the ordinary formulae of the method of successive reflection/refraction of

inhomogeneous plane waves in media with plane (non-parallel) boundaries. The distinction is that in the present case, the result of infinite number of reflections/refractions must be required to be integrated along the directions of propagation, as prescribed by the Sommerfeld-Malyuzhinetz integral, in order to account for the choice of geometries -- in our case we expressed the wave field due to the incident wave in polar coordinates  $(r, \theta)$ .

Having clarified some of the connection with mathematical basis for this approach, we will go ahead to present the formal scheme of the method of successive approximation, and the structure of the iterative formula.

Let

$$(2.41) \quad \underline{X}^i = T \underline{X}^{i-1} + T \underline{D}$$

with  $i = 1, 2, 3, \dots$ , and  $\underline{X}^0 = \underline{0}$  where  $\underline{0}$  is the null vector. For  $i$  large enough,  $\underline{X}^i$  approaches the desired  $\underline{X}$ , and (2.40) is satisfied. We start with  $i = 1$  for which

$$(2.42a) \quad \underline{X}^1 = T \underline{D},$$

and for  $i = 2$ , (2.41) gives the second approximation

$$(2.42b) \quad X^2 = T^2 D + TD,$$

and so on. Finally we obtained in the limiting form

$$(2.43) \quad X = \lim_{N \rightarrow \infty} \sum_{i=1}^N T^i D$$

where

$$(2.44) \quad T^i D = T(T^{i-1} D).$$

Or, for individual element in  $X$ , we can write

$$(2.45) \quad S_{\nu}^{\pm} = \lim_{N \rightarrow \infty} \sum_{i=1}^N (T^i D)_{\nu}^{\pm}, \quad \nu = 1, 2$$

where

$$(2.46) \quad (T^i D)_1^{\pm} = \chi_{11} (T^{i-1} D)_1^{\pm} + \chi_{21} (T^{i-1} D)_2^{\pm},$$

$$(T^i D)_2^{\pm} = \chi_{12} (T^{i-1} D)_1^{\pm} + \chi_{22} (T^{i-1} D)_2^{\pm},$$

$$(T^0 D)_1^{\pm} = D_1^{\pm}, \text{ and } (T^0 D)_2^{\pm} = 0.$$

Applying these expressions successively for  $i = 1, 2, 3, \dots$ , we obtain

$$(T^i D)_1^{\pm} = \chi_{11}^i D_1^{\pm},$$

$$(T^2 D)_1^\pm = \chi_{11} \chi_{11} D_1^\pm + \chi_{21} \chi_{12} D_1^\pm,$$

$$(T^3 D)_1^\pm = \chi_{11} \chi_{21} \chi_{12} D_1^\pm + \chi_{11} \chi_{11} \chi_{11} D_1^\pm \\ + \chi_{21} \chi_{12} \chi_{11} D_1^\pm + \chi_{21} \chi_{22} \chi_{12} D_1^\pm$$

and  $(T^1 D)_2^\pm = \chi_{12} D_1^\pm,$

$$(T^2 D)_2^\pm = \chi_{12} \chi_{11} D_1^\pm + \chi_{22} \chi_{12} D_1^\pm,$$

$$(T^3 D)_2^\pm = \chi_{12} \chi_{11} \chi_{11} D_1^\pm + \chi_{12} \chi_{21} \chi_{12} D_1^\pm \\ + \chi_{22} \chi_{12} \chi_{11} D_1^\pm + \chi_{22} \chi_{22} \chi_{12} D_1^\pm$$

and so on.

This process can be continued infinitely. However, the structure of the originating expression for arbitrary  $i$  can be developed by induction.

Each term in (2.45) can be expressed in the form

$$(2.47) \quad (T^i D)_\nu^\pm = \sum_{n(i)=1}^{N(i)} X_{n(i)},$$

where  $X_{n(i)} = \chi_{n(i)} D_{n(i)}(\alpha),$

$$D_{n(i)}(\alpha) = [\alpha + a_{n(i)}]^{-1}$$

$$a_{n(i)} = \begin{cases} a_1^\pm & \text{for } i \text{ being even} \\ a_1^\mp & \text{for } i \text{ being odd} \end{cases}, \nu = 1, \text{ and}$$

$$\begin{cases} a_1^\mp & \text{for } i \text{ being even} \\ a_1^\pm & \text{for } i \text{ being odd} \end{cases}, \nu = 2$$

$$a_1^\pm = \pm(\theta_1^\pm - \theta_1 + s_1\pi)$$

also,  $n(i) = 1, 2, \dots, N(i)$  are the number of separate terms, with  $N(i) = 2^{i-1}$ . The designation  $\chi_{n(i)}$  represents the product of operators as follows

$$(2.48) \quad \chi_{n(i)} = \chi_{f\nu} \chi_{ef} \chi_{de} \chi_{cd} \dots \chi_{ab} \chi_{1a}$$

where the succession of this sequence takes on three rules governing the indices:

1. The indices of any successive pair follows  $\dots \chi_{vw} \chi_{uv} \dots$
2. The first index of the last multiplier coincides with the index of the  $k$ -th region where the incident wave is given. (in the formulation above,  $k$  was taken as 1).
3. The second index of the first multiplier indicates the  $\nu$ -th propagating element  $(T^i D)_\nu^\pm$  of matrix  $T^i D$ .

Introducing

$\Omega = -\theta_1 + s_1\pi$ , and realizing that

$$-\beta = \pm \theta_1^\pm,$$

we can write

$$(2.49) \quad a_i^\pm = -\beta \pm \Omega,$$

or

$$\begin{aligned} a_{n(i)} &= -\beta \pm \Omega && \text{for } i:\text{even}, \nu=1 \\ &= -\beta \mp \Omega && \text{odd } \nu=1 \\ &= -\beta \mp \Omega && \text{even } \nu=2 \\ &= -\beta \pm \Omega && \text{odd } \nu=1. \end{aligned}$$

Lastly, we designate

$$(2.50) \quad \begin{aligned} a_{n(i)}^\pm &= -\beta \pm (-1)^{\nu+i+1} \Omega \\ &= -\beta \pm \Omega_{\nu i} \end{aligned}$$

where  $\Omega_{\nu i} = (-1)^{\nu+i+1} \Omega$

$$= (-1)^{\nu+i} (\theta_1 - s_1\pi)$$

Hence, the operand takes on a compact form of



$$D_{n(i)}^{\dagger}(\alpha) = (\alpha + a_{n(i)}^{\dagger})^{-1}.$$

Each term in (2.47) can be written in a form reflecting the result of the multi-application of operators as shown in (2.48). For this, we introduce

$$(2.51a) \quad U_{UV}^*(\alpha) = \tau_{UV}(\alpha) \cdot K_{UV}(\alpha)$$

$$(2.51b) \quad f_{UV}(\alpha) = \phi_{UV}(\alpha) + \epsilon_{\mu}$$

$$(2.51c) \quad \alpha_{KV}(\alpha) = f_{kU}(f_{UV}(f_{UN} \dots f_{Wm}(f_{m\nu}(\alpha)))) \dots$$

where functions  $\tau_{UV}(\alpha)$ ,  $\phi_{UV}(\alpha)$  are defined in (2.8), and the coefficients of reflection-refraction  $K_{UV}(\alpha)$  in (2.38a). Then the result of the operation (2.38) can be written in the form

$$(2.52) \quad X_{UV}f(\alpha) = U_{UV}(\alpha)f(f_{UV}(\alpha)).$$

Using this expression, we can find successively

$$X_{1a}D_{n(i)} = U_{1a}(\alpha) D_{n(i)}(f_{1a}(\alpha)),$$

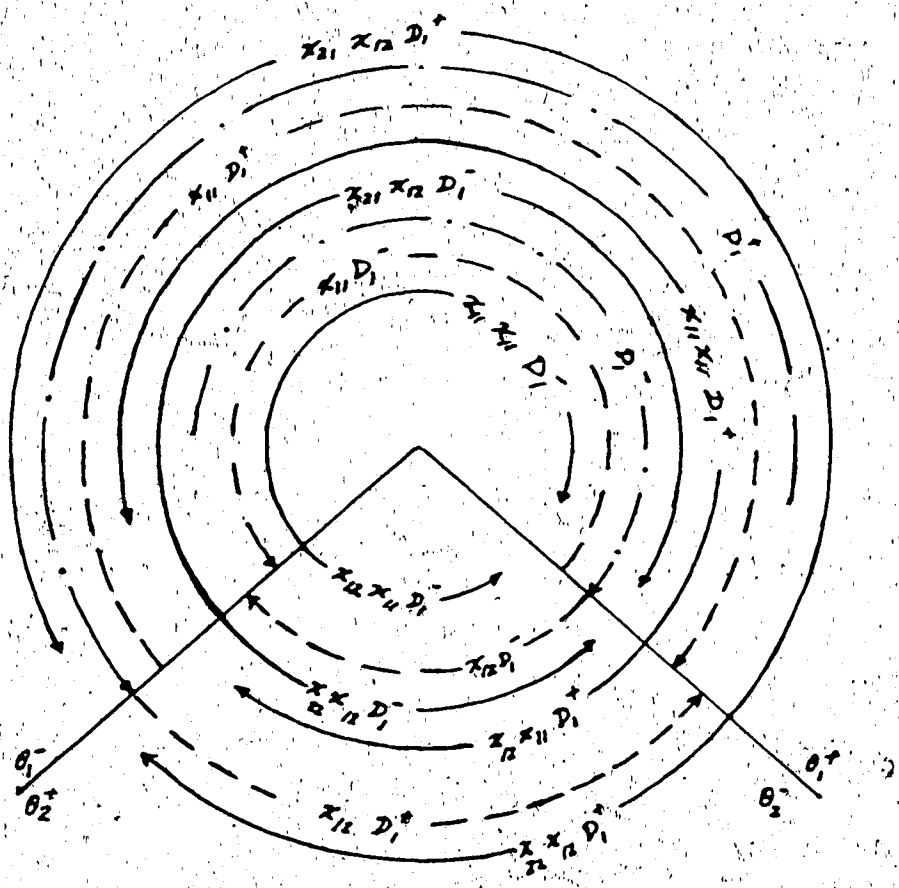
$$X_{ab}X_{1a}D_{n(i)} = U_{ab}(\alpha)U_{1a}(f_{ab}(\alpha)) D_{n(i)}(f_{1a}(\alpha))(f_{ab}(\alpha)),$$

and finally

$$\begin{aligned}
(2.53) \quad x_{n(i)} &= U_{f\nu}(\alpha) U_{ef}(f_{f\nu}(\alpha)) U_{de}(f_{ef}(f_{f\nu}(\alpha))) \cdot \\
& \quad U_{cd}(f_{de}(f_{ef}(f_{f\nu}(\alpha)))) \dots \cdot \\
& \quad U_{1a}(f_{ab} \dots f_{cd}(f_{de}(f_{ef}(f_{f\nu}(\alpha)))) \dots) \cdot \\
& \quad d_{n(i)}(f_{1a}(f_{ab} \dots f_{cd}(f_{de}(f_{ef}(f_{f\nu}(\alpha)))) \dots)) \cdot \\
& = U_{f\nu}(\alpha) U_{ef}(\alpha_{f\nu}) U_{de}(\alpha_{e\nu}) U_{cd}(\alpha_{d\nu}) \dots \cdot \\
& \quad \dots \cdot U_{1a}(\alpha_{a\nu}) D_{n(i)}(\alpha_{1\nu}) \cdot
\end{aligned}$$

The ensemble of terms of  $x_{n(i)}$  in the sum of (2.47) for any  $i$  can be arranged with aid of a graph, similar to a radial scheme, applied for the enumeration of waves originated from stratified media. An example of such a graph, arranging the elements of the sum for  $i = 1$  and  $i = 2$  are shown in Fig. 4. Here, each arc of the circumference in the region with index  $\nu$  is shown to correspond to one of the terms of (2.47) for the same value  $\nu$ . The axis, in counter-clockwise direction, correspond to the elements of the sum  $(T^i D)_\nu^+$ , and those in clockwise direction, elements of the sum  $(T^i D)_\nu^-$ .

Figure 4 Arrangement of terms of  $X_n(i)$  in  
equation 2.53.



## CHAPTER 3

## SHADOW BOUNDARY AND THE DIFFRACTED WAVE

For future calculation and physical analysis, it will be convenient to introduce the idea of generalized wave, in analogy with the corresponding notion for wave propagation treatment in stratified media described by Wiggins and Helmberger (1974).

Within the context of this investigation, a generalized wave will be such as described by a Sommerfeld integral of the type in equations (2.25) or (2.28a,b), satisfying the general form of the Helmholtz equation (2.20). Each of the functions  $D_1^\pm(\alpha)$ , or  $S_\nu^\pm(\alpha)$  will be composed of a unique sequence of  $\chi_{UV}$ , which would require the computation of a unique product of reflection-transmission coefficients.

In particular the term  $F_1^{\circ\pm}$  in formula (2.28a) corresponds to a primary generalized wave, which from now on will be designated as  $F_{n(o)}$ . After a change of variable ( $\alpha \rightarrow \alpha + \gamma_R^\pm$ ), equation (2.25) becomes

$$(3.1) \quad F_{n(o)} = \frac{1}{2\pi i} \int_{\Gamma_0} (\alpha + \theta_1 - \theta_1 + s_1\pi)^{-1} e^{-ik_{n(o)}r \cos\alpha} d\alpha.$$

The composition of  $F_{n(o)}$  may be examined by first

deforming the path of integration  $\Gamma_0$ . It will be represented by the sum of  $\Gamma_i$  ( $i=1,2,3$ ) as shown in Fig. 2. Substituting for the variable  $\alpha$  by  $\alpha \mp \pi$  (-ve for  $\Gamma_1$  and +ve for  $\Gamma_2$ ), and reversing the direction for  $\Gamma_2$ , one may obtain

$$(3.2) \quad F_{n(o)} = F_{n(o)}^{\circ} + F_{n(o)}^d,$$

$$\text{with} \quad F_{n(o)}^d = F_{n(o)}^*(\psi - \lambda^-) - F_{n(o)}^*(\psi - \lambda^+)$$

$$\text{and} \quad F_{n(o)}^* = \frac{1}{2\pi} \int_{\Gamma_0} \frac{1}{(\alpha + \psi)} e^{-ik_1 r \cos \alpha} d\alpha,$$

$$\psi = \theta - \theta_1,$$

$$\lambda^{\pm} = \left. \begin{array}{l} \\ \end{array} \right\} s_1 \pi,$$

and  $\Gamma$  is as shown in Fig. 2. The term  $F_{n(o)}^{\circ}$  is the residue at the pole of  $\alpha = -\psi - s_1 \pi$ . The next section will discuss the mathematical manifestation of  $F_{n(o)}^*(\psi)$  followed by the far field determination.

### 3.1 Discontinuity

Substituting in  $F_{n(o)}^*(\psi)$  the variable  $\alpha$  by  $-\alpha$ , and the parameter  $\psi$  by  $-\psi$ , one obtains

$$(3.3) \quad F_{n(0)}^*(-\psi) = -F_{n(0)}^*(\psi),$$

in so far as  $F_{n(0)}^* \neq 0$ , the oddness in (3.3) requires that  $F_{n(0)}^*$  undergoes a discontinuity at  $\psi = 0$ .

For the calculation of the above discontinuity, we place the contour  $\Gamma$  along the imaginary axis, going around the origin with a semi-circle of small radius  $R$ , such that for  $\psi \rightarrow 0$ , it does not intersect the poles at  $\alpha = \psi - s_1\pi$ . The two paths  $\Gamma^-$  &  $\Gamma^+$  (Fig. 5) are shown to represent  $\Gamma$ . The distinction is determined by the placement of the pole, which in turn, signifies the illuminated and shadow regions, by  $\psi > 0$  and  $\psi < 0$  correspondingly, or vice versa.

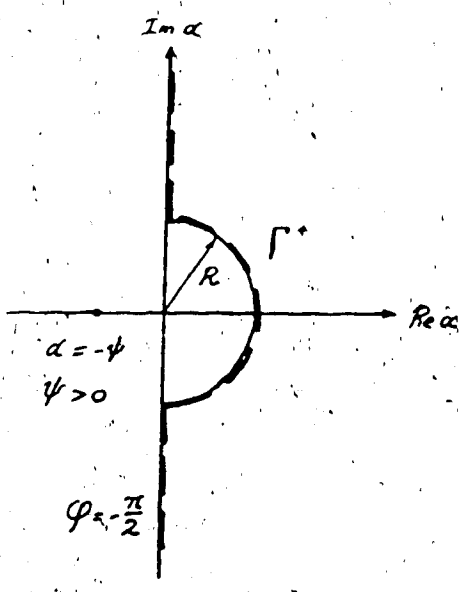
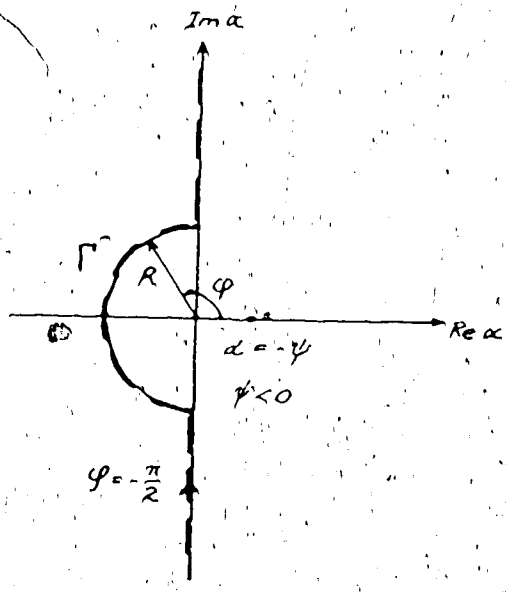
For  $\psi \rightarrow \pm 0$ , the integral in equation (3.1) along  $\Gamma^-$  or  $\Gamma^+$  has the same magnitude, and quantitatively, the discontinuity of  $F_{n(0)}^*$  at the origin ( $\psi = 0$ ) is described by the contribution along the semi-circle. Let

$$(3.4) \quad \alpha = R \cdot e^{i\phi},$$

then

Figure 5 Contours of integration  $\Gamma^+$  and  $\Gamma^-$ .





$$\begin{aligned}
 (3.5) \quad & \lim_{\psi \rightarrow 0} F_{n(0)}^*(\psi) \\
 &= \frac{R}{2\pi} \lim_{\psi \rightarrow \pm 0} \int_{\Gamma_{\pm}} (\operatorname{Re} i\phi + \psi)^{-1} e^{i\kappa_1 r \cos \alpha(\phi) + i\phi} d\phi,
 \end{aligned}$$

For  $R \rightarrow 0$ ,  $\alpha(\phi)$  in the exponent goes to zero. Then

$$(3.6) \quad \lim_{\psi \rightarrow \pm 0} F_{n(0)}^*(\psi) = \frac{1}{2\pi} e^{i\kappa_1 r} \int_{\Gamma_{\pm}} d\phi$$

$$(3.7) \quad = (\operatorname{sgn} \psi) \cdot \frac{1}{2} e^{i\kappa_1 r}$$

Hence, it is shown here mathematically that the change in magnitude of the discontinuity across the shadow boundary. Result of equation (3.7) will be used subsequently in the determination of the diffracted field.

### 3.2 Sum of Discontinuous Functions

The magnitude of the discontinuity, from the terms of  $F_{n(0)}^*(\psi - \lambda^-)$  and  $-F_{n(0)}^*(\psi - \lambda^+)$  at the point  $\psi = \lambda^{\pm}$ , are such that their sum in equation (3.2) will become a continuous function of  $\psi$ , provided that

$$(3.8) \quad F_{n(0)}^*(\psi) = \begin{cases} F_1^0 & \lambda^+ \leq \psi \leq \lambda^- \\ 0 & \psi < \lambda^+, \lambda^- < \psi \end{cases} \quad \text{for}$$

Thus, each term in (3.2) is a discontinuous function of coordinate  $\psi$ , and all sums are continuous functions of  $\psi$ .

One may rewrite (3.2) into the following form:

$$(3.9) \quad \begin{aligned} & \tilde{F}_{n(0)}(\psi - \lambda^-) + \tilde{F}_{n(0)}(\psi - \lambda^+) && \psi < \lambda^+ \\ F_{n(0)} = & -\tilde{F}_{n(0)}(\psi - \lambda^-) + \tilde{F}_{n(0)}(\psi - \lambda^+) + F_1^0(\psi) && \lambda^+ \leq \psi \leq \lambda^- \\ & \tilde{F}_{n(0)}(\psi - \lambda^-) + \tilde{F}_{n(0)}(\psi - \lambda^+) && \lambda^- < \psi \end{aligned}$$

where

$$(3.10) \quad \tilde{F}_{n(0)}(x) = F_{n(0)}^*(|x|),$$

while

$$(3.11) \quad F_{n(0)}^d = \eta^- \tilde{F}_{n(0)}(\psi - \lambda^-) - \eta^+ \tilde{F}_{n(0)}(\psi - \lambda^+)$$

$$(3.12) \quad \eta^\pm = \text{sgn}(\psi - \lambda^\pm)$$

### 3.3 Riemann Surface

A substitution of equations in (3.9) back in the form of  $F_{n(0)}^*(\psi)$  as in equation (3.5) reveals that the variable  $\psi$  may take on the whole interval of  $-\infty \leq \psi \leq \infty$ . Therefore, (3.9) defines the function  $F_{n(0)}(r, \theta)$  on an infinite Riemann surface  $0 \leq r \leq \infty$ ,  $-\infty \leq \psi \leq \infty$ , as shown in Fig. 6. The following description gives a dissection as far as the regions of applicability are concerned.

(i) Region I:  $\theta < \theta_1 + \lambda^+$

$$(3.13) \quad F_{n(0)} = -\tilde{F}_{n(0)}(\theta - \theta_1 - \lambda^-) + F_{n(0)}(\theta - \theta_1 - \lambda^+)$$

(ii) Region II:  $\theta_1 + \lambda^+ \leq \theta \leq \theta_1 + \lambda^-$

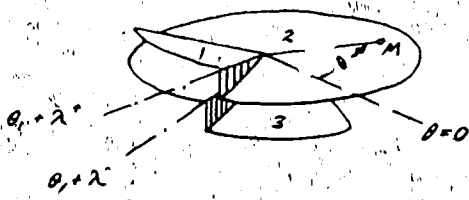
$$(3.14) \quad F_{n(0)} = -\tilde{F}_{n(0)}(\theta - \theta_1 - \lambda^-) - \tilde{F}_{n(0)}(\theta - \theta_1 - \lambda^+) + F_1^0(\theta - \theta_1)$$

(iii) Region III:  $\theta < \theta_1 + \lambda^-$

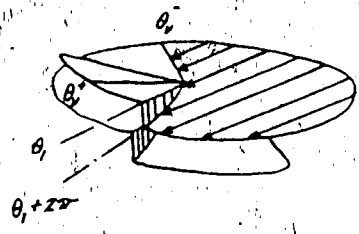
$$(3.15) \quad F_{n(0)} = -\tilde{F}_{n(0)}(\theta - \theta_1 - \lambda^-) - \tilde{F}_{n(0)}(\theta - \theta_1 - \lambda^+)$$

In the above matching,  $F_{n(0)}$  becomes continuous on the whole Riemann surface  $-\infty \leq \theta \leq \infty$ .

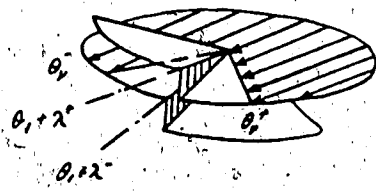
Figure 6 Riemann surfaces.



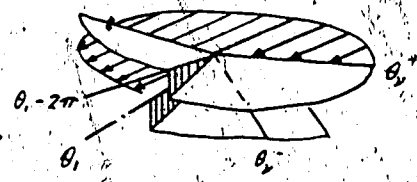
a



b



c



d

In so far as the solution of the diffraction problem is concerned, we are only interested in the Euclidean space of  $-\pi \leq \theta \leq \pi$ . Each wedged sector  $\theta_\nu^+ \leq \theta \leq \theta_\nu^-$  belongs to only part of the Riemann surface. This will be termed the "physical" part, while the regions  $\theta < \theta_\nu^+$  and  $\theta_\nu^- < \theta$  the "mathematical" part, of the Riemann surface. With each particular problem, we obtain the solution for diffraction in the "physical" Euclidean space. However, certain particular solution would lend itself its definition with aid of the "mathematical" part too (e.g. leaky mode).

### 3.4 Branch Regions

This section examines the disposition of the regions as defined in (3.9), upon variations in the direction of the incident wave and the position of the boundary of the "physical" part of the Riemann surface. Fig. 7 shows the mapping of the different regions defined for  $F_n(\theta)$  in the usual Euclidean space. Once again, the  $\theta_\nu^\pm$  are designations for the wedge interfaces. For the incident wave existing in a particular  $\nu$ -th sectorial wedge, the direction of its propagation (case a, b in Fig. 6) may be restricted by the following inequality

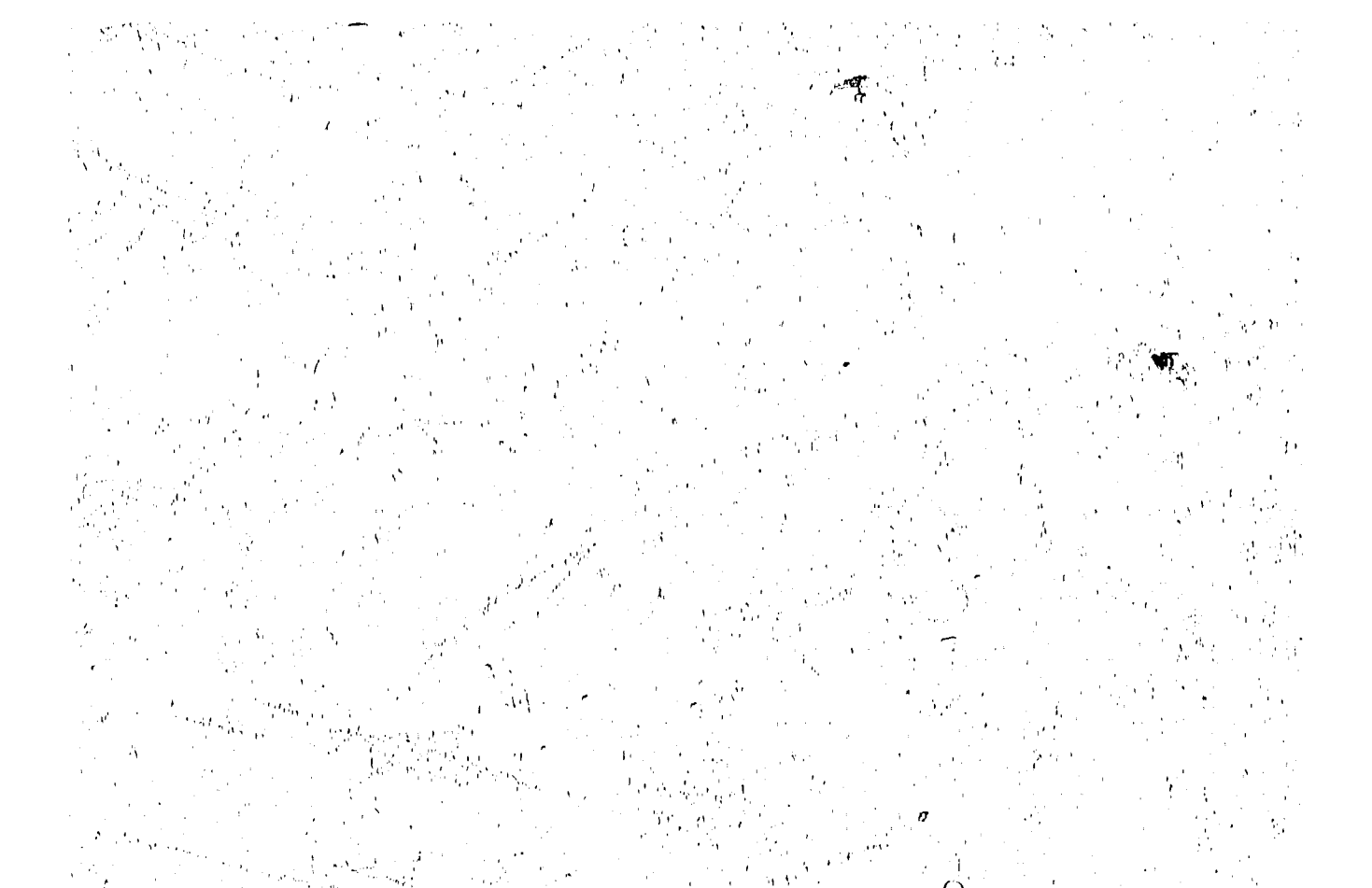
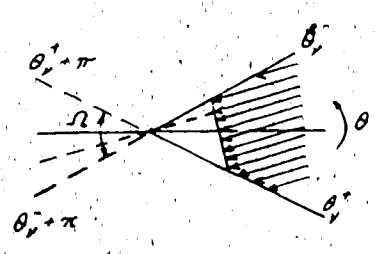
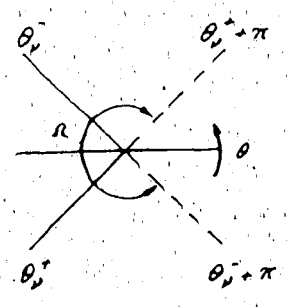


Figure 7 Regions of the defined plane incident wave in Euclidean space.

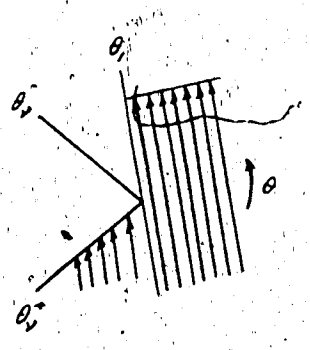




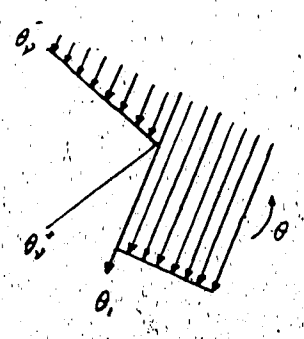
a



b



c



d

$$(3.16) \quad \theta_\nu^+ + \pi < \theta_1 < \theta_\nu^- + \pi.$$

The case of an incident wave propagating parallel to an interface is neglected here.

On the Riemann surface, the region illuminated by the incident wave  $F_1^0$  is defined by the inequality

$$(3.17) \quad \theta_1 + \lambda^+ \leq \theta \leq \theta_1 + \lambda^-$$

where  $\lambda^\pm = -s_1\pi \mp \pi$ .

The rays  $\theta = \theta_1 + \lambda^\pm$  are the boundaries of the geometrical shadows for the incident wave. Hence the "physical" space belongs to only part of the region defined by equation (3.17).

Let  $\theta_\nu^- - \theta_\nu^+ < \pi$ . From (3.17), it follows that the incident wave illuminates the whole wedged sector of  $\theta_\nu^+ \leq \theta \leq \theta_\nu^-$  (Fig. 6 a,b). It is clear that there is no boundary shadow in this physical Euclidean space. What follows is a choice in designating the position of the illuminated region with respect to the direction of the propagation of the incident wave, i.e. a choice of  $s_1$  in the original definition for  $F_{n(0)}$ .

( )

Case I. Illuminated region:  $\theta < \theta_1$ 

$$s_1 = +1,$$

$$\lambda^+ = -2\pi, \quad \lambda^- = 0,$$

$$(3.18) \quad \lambda^+ \leq \theta - \theta_1 \leq \lambda^-$$

Case II. Illuminated region:  $\theta > \theta_1$ 

$$s_1 = -1.$$

$$\lambda^+ = 0, \quad \lambda^- = 2\pi,$$

$$(3.19) \quad \lambda^+ \leq \theta - \theta_1 \leq \lambda^-$$

In both cases, the region for  $\psi$  (i.e.  $\theta - \theta_1$ ) is the same, and agrees with the region as defined in (3.9b). Thus, for  $\theta_v^- - \theta_v^+ < \pi$  i.e. incident wave exists in a wedge with an acute sectorial angle,  $F_{n(o)}$  in  $\theta_v^+ \leq \theta \leq \theta_v^-$  can always be represented by the sum of three discontinuous fields, one of which is the incident wave.

Now, in the case of  $\theta_v^- - \theta_v^+ > \pi$ , we write

$$a) \quad \theta_v^+ + \pi \leq \theta_1 \leq \theta_v^-,$$

$$b) \quad \theta_{\nu}^{-} \leq \theta_1 + 2\pi,$$

$$c) \quad \theta_{\nu}^{+} + 2\pi \leq \theta_1 \leq \theta_{\nu}^{-} + \pi,$$

and consider each situation separately.

The inequality in (a) is depicted in Figs. 6(d) and 7(c). According to geometrical optics, the illumination is in the region  $\theta < \theta_1$ . Hence

$$s_1 = 1,$$

$$\lambda^{+} = -2\pi, \quad \lambda^{-} = 0, \quad \text{and}$$

$$\theta - \theta_1 \leq \lambda^{-} \quad \text{in the region of illumination}$$

$$\theta - \theta_1 > \lambda^{-} \quad \text{in region of shadow}$$

$$\theta = \theta_1 + \lambda^{-} = \theta_1 \quad \text{is shadow boundary of incident wave}$$

$$\theta = \theta_1 - 2\pi \quad \text{is an imaginary shadow boundary for the incident wave.}$$

The last item exists only on the Riemann surface. At the boundary  $\theta = \theta_1$ , the function  $\tilde{F}_{n(0)}(\psi - \lambda^{-})$  experiences a

discontinuity compensating for the discontinuity of the incident wave field. The presence of each discontinuity is reflected in the change of the sign of function  $\tilde{F}_{n(o)}(\psi-\lambda^-)$  in expression (8.9). The function  $\tilde{F}_{n(o)}(\psi-\lambda^+)$  is continuous in all regions  $\theta_\nu^+ \leq \theta$ ,  $\theta \leq \theta_\nu^-$ , and it undergoes a discontinuity in the "mathematical" space at the imaginary shadow boundary  $\theta = \theta_1 - 2\pi$ . The case of situation (c) can be treated similarly.

Situation (b) is analogous to the considered case  $\theta_\nu^- - \theta_\nu^+ < \pi$ . The function  $F_{n(o)}$  is represented by a superposition of three fields, each of which is continuous in the whole region of  $\theta_\nu^+ \leq \theta$ ,  $\theta \leq \theta_\nu^-$ . One of these fields is the incident field. The two remaining ones represent the discontinuities in the "mathematical" space across the fictitious shadow boundaries.

### 3.5 Diffraction of Waves

It is clear that the term  $F_{n(o)}^d$  in equation (3.2) is used to smooth the discontinuous plane waves across the geometrical shadow boundary, and describes the diffraction of waves diverging from the angular point of the wedge. It should be noted that a term representing the contribution of

the integral along the real axis (surface waves) will not be included in the present investigation.

From the development in section 3.2, the expression interested becomes  $F_{n(0)}^*$  of equation (3.2).

$$(3.20) \quad F_{n(0)}^*(\psi) = \frac{1}{2\pi i} \int_{\Gamma} (\alpha + \psi)^{-1} e^{i\kappa_1 r \cos \alpha} d\alpha.$$

For large value of the parameter  $\kappa_1 r$ , i.e. for the far field, the first two non-zero terms are taken as an approximation in the exponent. Therefore, with

$$(3.21) \quad \cos \alpha \approx 1 - \frac{1}{2}\alpha^2$$

one obtains

$$(3.22) \quad F_{n(0)}^*(\psi) = W e^{i\kappa_1 r} \left\{ 1 + O\left[\frac{1}{\kappa_1 r}\right] \right\}$$

where

$$(3.23) \quad W = \frac{1}{2\pi i} \int_{\Gamma} e^{-i\kappa_1 r \alpha^2 / 2} (\alpha + \psi)^{-1} d\alpha, \quad \psi \geq 0$$

A well known special function may be used to represent (3.23) through the following manipulation. The path of integration  $\Gamma^+$  (Fig. 5) is mapped into a complex  $t$ -plane using the transformation  $\alpha = -i\psi\sqrt{t}$ . The resulting path is  $\Gamma_t$

as shown in Fig. 8. Note that

(i) there is a branch cut on the  $t$ -plane along  
 $\text{Re } t \geq 0$ , and

(ii)  $\text{Re } \sqrt{t} > 0$ , if  $\arg(t) = 0$ .

With this transformation, equation (3.23) becomes

$$(3.24) \quad W = -\frac{1}{4\pi} \int_{\Gamma_t} e^{-zt} (\sqrt{t} - it)^{-1} dt,$$

where  $z = -\frac{1}{2}i\kappa_1 r \psi^2$

The contribution from the arc around the origin tends to zero as the radius goes to zero. Hence

$$(3.25) \quad W = -\frac{1}{4\pi} \left\{ \int_0^\infty e^{-zt} (-\sqrt{t} - it)^{-1} dt - \int_0^\infty e^{-zt} (-\sqrt{t} - it)^{-1} dt \right\}$$

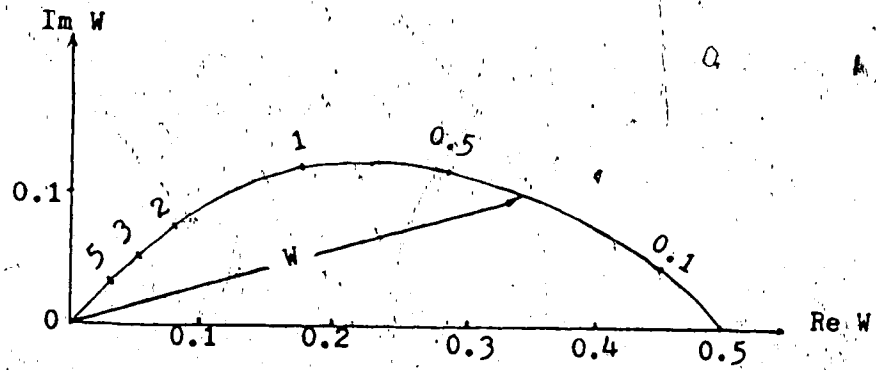
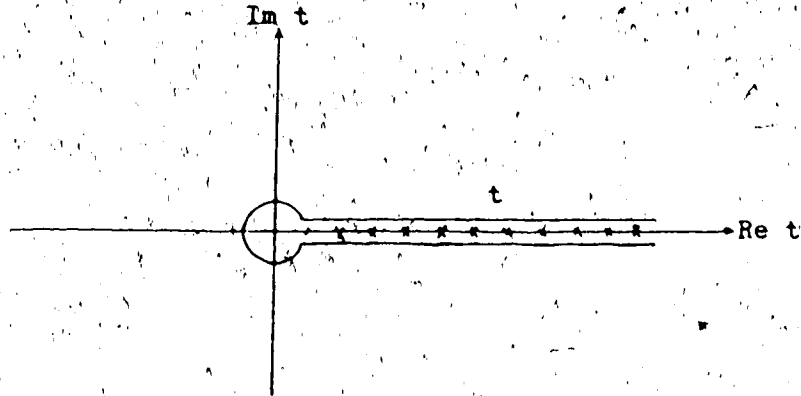
$$(3.26) \quad W = \frac{1}{2\pi} \int_0^\infty e^{-zt} [\sqrt{t}(1+t)]^{-1} dt$$

The right hand side of equation (3.26) represents the degenerate form of a hypergeometric function. One acceptable form of representation is

Figure 8 Contour of integration  $\Gamma_t$  in equation 3.24.

Figure 9 Functon  $W(w)$  with  $\text{Im}(w)=0$ .





$$\begin{aligned}
 (3.27) \quad W &= \frac{1}{2\sqrt{\pi}} \Psi \left( \frac{1}{2} ; \frac{1}{2} ; z \right) \\
 &= \frac{1}{2\sqrt{\pi}} \Psi \left( \frac{1}{2} ; \frac{1}{2} ; \frac{1}{2} i \pi w^2 \right)
 \end{aligned}$$

$$\begin{aligned}
 \text{where } w &= (2iz/\pi)^{1/2} \\
 &= |\psi| (\kappa_1 r/\pi)^{1/2}.
 \end{aligned}$$

For numerical calculation, equation (3.27) may be represented by the more convenient Fresnel integrals, Lebedev (1972) as follows:

$$(3.28) \quad W = e^z/\sqrt{z} \left\{ \frac{1}{\sqrt{2}} - \frac{1}{\sqrt{i}} [C(iz) + iS(iz)] \right\}$$

$$\text{where } S(z) = \sqrt{2/\pi} \int_0^\infty \sin(t^2) dt$$

$$\text{and } C(z) = \sqrt{2/\pi} \int_0^\infty \cos(t^2) dt$$

The variation of  $W$  as a function of  $w [= |\psi| (\kappa_1 r/\pi)^{1/2}]$  is shown in Fig. 9.

Hence, for  $\kappa_1 r \gg 1$ , the function  $F_{n(0)}^*(\psi)$  may be described by

$$F_{n(0)}^*(\psi) = W(w) e^{i\kappa_1 r}.$$

Recall from section 3.1,  $F_{n(0)}^*$  takes on the sign of the value  $\psi$  (i.e.  $\theta - \theta_1$ ). But, in equation (3.10),  $\tilde{F}_{n(0)}(x)$  is introduced as an even function of such parameter. Hence, equation (3.11) becomes

$$(3.29) \quad F_{n(0)}^d = \eta^- \tilde{F}_{n(0)}(\psi - \lambda^-) - \eta^+ \tilde{F}_{n(0)}(\psi - \lambda^+)$$

with  $F_{n(0)} = W(w) e^{i\kappa_1 r}$

and  $w = |\psi| (\kappa_1 r / \pi)^{1/2}$ .

With the diffracted signal being proportional to the the corresponding geometrical signal along the shadow boundary, we can write

$$(3.40) \quad w = |\psi| \{ 2r_1 r_0 / (r_0 + r_1) \}^{1/2}$$

where  $r_0$  is the radius of curvature of wave front from source point to the point of diffraction, and  $r_1$  is the radius of curvature of the diffracted wave front. The constraint for high frequency approximation restricts the use of the above formula at distance at which

$$(3.41) \quad \kappa_1 r_1 \gg \frac{\pi(r_0 + r_1)}{3r_0}$$

## CHAPTER 4

## NUMERICAL RESULTS

## 4.1 Introduction

The following numerical calculation for wave propagation in laterally inhomogeneous media uses Equation 3.22 in section 3.5 in conjunction with asymptotic ray theory. Whereas the latter provides the wave field of the geometric arrivals, it suffers a discontinuity because of the shadow boundary. The edge wave theory gives the necessary smoothing diffraction component, and incorporates the result in Chapter 3 as well as the kinematic properties provided by the ray method. Note that the acoustic formulation can be used in the SH case by replacing the displacement field by the pressure field.

The first model to be discussed is a box-type media, devised for prediction of seismic response for a tar-sand structure under study by a major oil company. It was also used in testing the algorithm of an analytical-numerical method (combination of finite difference and finite Hankel transform) by Alekseev and Mikhailenko (1980), and Mikhailenko (1984). The dimension and elastic wave velocities for different sections of this model are as shown

in Fig. 10. It basically consists of three parallel layers having increasing velocity with depth. A nonconformity in the middle layer (termed the box), delineated by rectangle ABCD and denoted as IIa, has a lower velocity than the upper layer I. The densities of all three layers and the box are chosen to be equal. All distances are by convention measured in the unit which equals one wavelength of waves propagating in layer I, at the principal frequency  $\omega_0$  of the source. We will denote this artificial unit by  $w$ . Time is measured in terms of the period of the source pulse.

The synthetic seismograms for this model as produced by programs based on the Alekseev-Mikhailenko method (or AMM in short) are displayed in Fig. 11. Two immediate features are the change in polarization of the first arrival, and the prominence of some later arrivals. In using the method by which the total wave field is immediately calculated, it does not provide much physical insight as to the influence of each separate feature of the structure to the total response. The major goal of our application is to identify the significance of the individual diffracted arrivals originated at the corners A, B, C and D, and their associated waves.

Figure 10 The hot tar model.

N.B. rectangle ABCD forms the box, or, IIa region

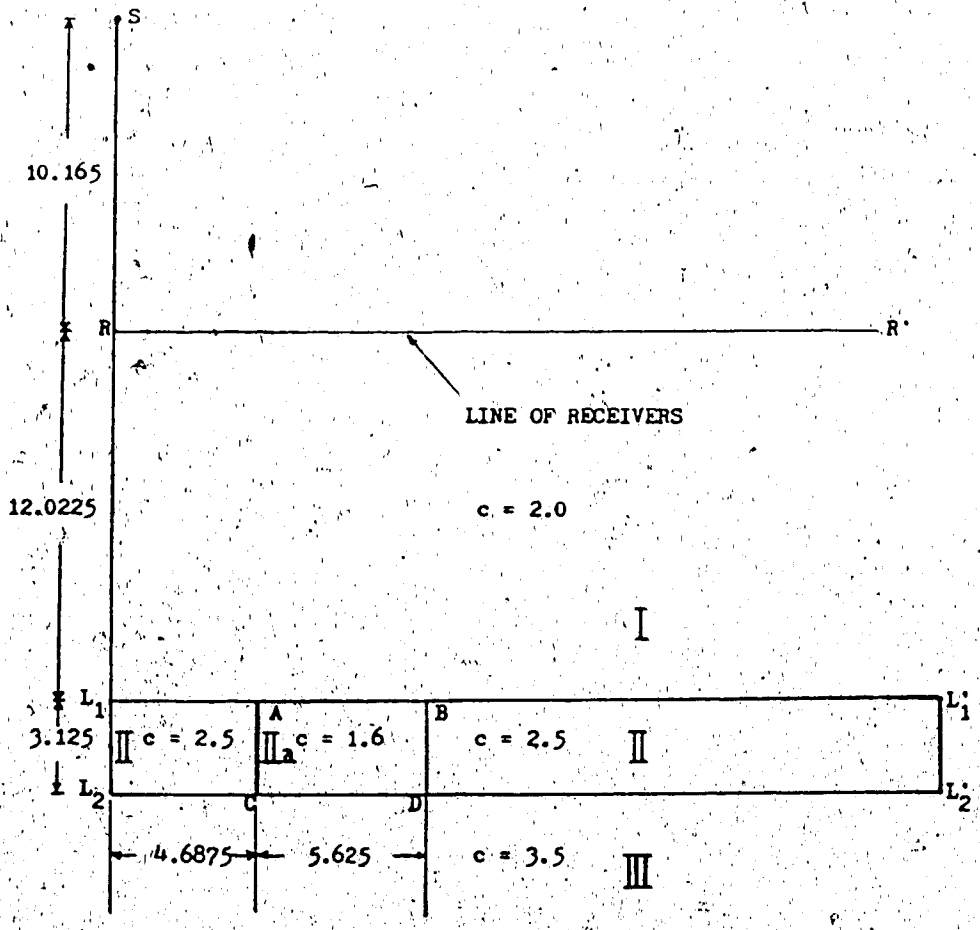
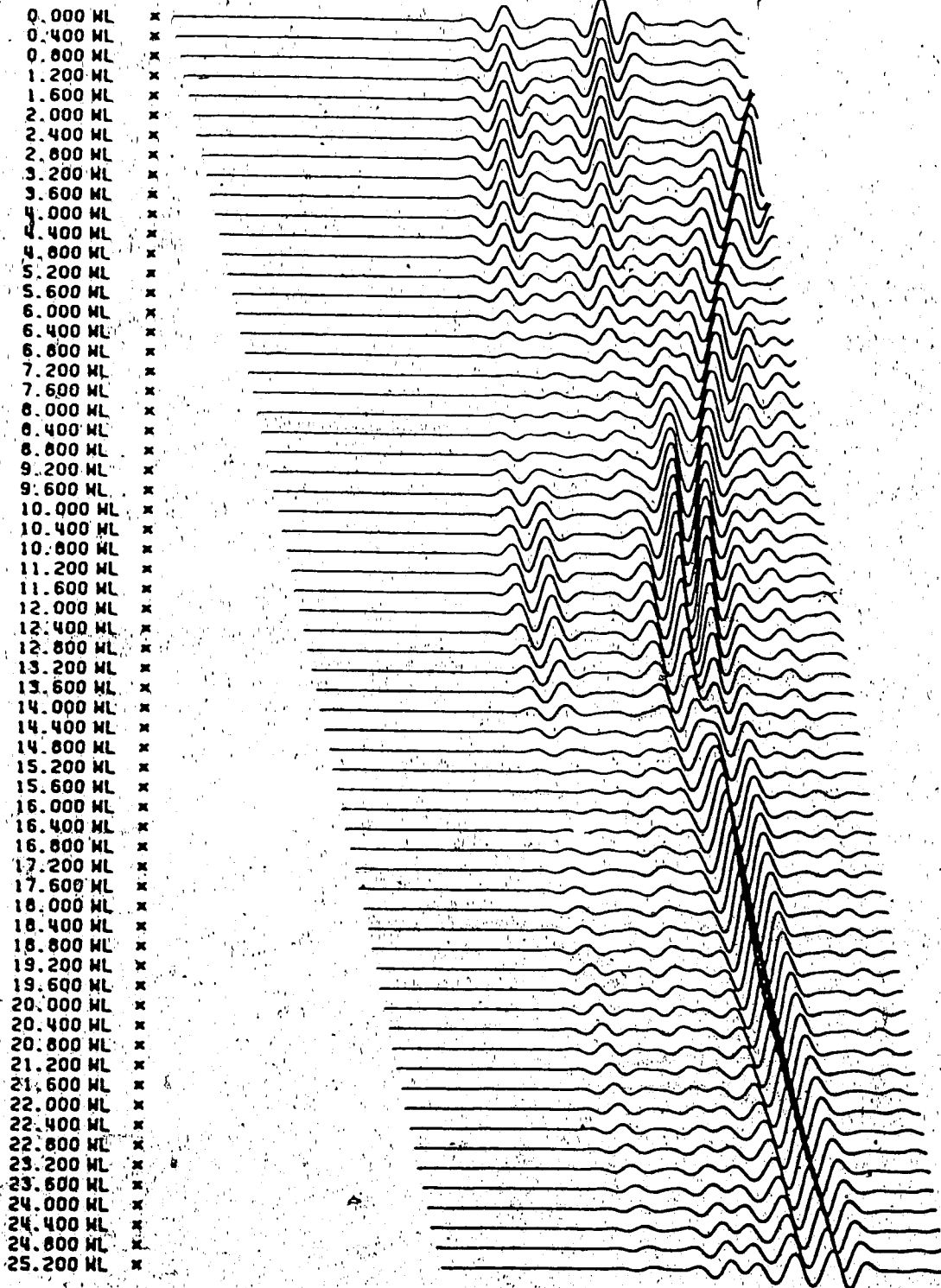


Figure 11 Synthetic seismograms for the hot tar model  
based on the Alekseev-Mikhailenko method (AMM).





5.00 10.00 15.00 20.00  
TIME IN PERIODS

## 4.2 Computation Scheme

We will use a point source, radiating an exponentially decaying sinusoidal pulse employed in Hron and Kanasevich (1971):

$$f_0(t) = \sin(\omega_0 t) \cdot \exp\left(-\left\{\frac{\omega_0 t}{\gamma}\right\}^2\right).$$

Choosing  $\omega_0 = 2\pi$  and  $\gamma = 4$ , we have

$$f_0(t) = \sin(2\pi t) \cdot \exp\left(-\left\{\frac{\pi t}{2}\right\}^2\right).$$

To work in the frequency domain, we will perform a fast Fourier transform on  $f_0(t)$  and obtain numerically or analytically its spectrum

$$S_0(\omega) = \mathcal{F}\{f_0(t)\}.$$

Next, we will compute the following kinematic and dynamic properties of each diffracted ray:

- $\tau$  : arrival time
- $\theta$  : incident angle
- $\theta_i$  : subsequent angles of reflection or transmission along the ray
- $r_0$  : the radius of curvature of the wave front from source at the point of diffraction.

- $r_1$  : increment of radius of curvature of the wave front from the point of diffraction to receiver
- $\psi$  : angle between  $r_1$  and shadow boundary.

With the aid of elastic properties of each section of the medium, we may proceed to compute three major quantities:

- (a) The geometrical spreading  $G$  using  $\theta$ ,  $\theta_i$ ,  $r_0$ ,  $r_1$  and the geometric dimensions of the medium, evaluated according to asymptotic ray theory (Cerveny and Ravindra, 1971)
- (b) The product of reflection-transmission coefficients  $K$  using  $\theta$ ,  $\theta_i$ , and  $c_i$ .
- (c)  $W(\omega)$  as a function of angular frequency  $\omega$ ,  $r_0$ ,  $r_1$ , and  $\psi$ , see section 3.4.

The last item (c) is the all important relationship between the amplitude of the diffracted wave field at any point and that of the geometric ray along the corresponding shadow boundary.

Finally, we will multiply  $S_0(\omega)$  with  $G \cdot K \cdot W(\omega)$ , with aid of the eikonal  $\tau$ . This will give us the response in the

frequency domain. The inverse Fourier transform will provide the response in time domain.

### 4.3 Grouping of Rays

It was mentioned in section 4.1 that one of the goals in the present application of the diffraction formulae in the ray theory is to interpret the different contributions of different regions of the media. Hence, in dealing with the large number of rays which are always required when using the ray theory, we need a consistent classification of them. But, in view of the moderate complexity of the present model (or any other reasonably complicated models), there seems to be no universal rule to achieve such task.

In the following presentation of the numerical results, the general guidelines for grouping the rays are that the higher the group number, the larger the number of segments in each ray; and/or the more complex the nature of the ray (e.g. doubly diffracted) will be. The seismograms for each group of rays will be assigned a unique identifying number for the individual contribution due to interfaces between the layers, or a particular origin of the diffracted signals. This code also recognizes the rays of the same

arrival time branch.

In general, the discussion of each group will progress in three stages. First, the ray diagrams for such event will be presented using a ray tracing scheme for the specific geometry and elastic properties of the media.

Second, a polar plot of the modulus of the radiation characteristics for each diffracted contribution will be shown, assisted by a sketch of the corresponding shadow boundary. Obviously, for geometric rays, this step will be omitted.

Finally, the corresponding synthetic seismograms will be shown in their elementary form which consists of the discussed wavelets. The ordinary body wave contributions will be added on to the diffracted ones within the same group. Eventually, the groups will be assembled sequentially to form the final simulated response - the synthetic seismograms.

4.4 Group I -- consisting of rays with up to 2 ray segments and are related to the rays reflected from the plane interface  $L_1L_1'$ .

Fig. 12 shows the ray paths of the geometric reflection in group I. The synthetic seismograms for this event, labelled 1, are shown in Fig. 13. The discontinuities, or rather a reverse in polarities, as observed at receivers near the distance of  $7.0 w$  and  $16.0 w$  are due to the opposite velocity contrast between 'layer I - layer II' and 'layer I layer IIa', i.e. the box. The general diminishing amplitude is a combined effect of geometrical divergence and the gradual change in the reflection coefficients.

Fig. 14 shows the ray paths of the associated diffracted arrivals, generated by the reflected rays in Fig. 12. Fig. 15 reveals that for corner A, there are two shadow boundaries, sharing the same geometrical line. Each interface produces a different bundle of diffracted rays that provides a diffracted contribution in connection with the corresponding discontinued reflector. That is, in Fig. 15b, the geometric reflection off the segment LA terminates at A (see Fig. 10). Then the last ray is depicted by the dashed line, separating the illuminated zone on its left and the shadow zone on its right. While in Fig. 15d, the geometric reflection off the segment AB (the top of the box) terminates at A. This time, the last ray separates the illuminated zone on its right and the shadow zone on its left. Obviously, both shadow boundaries are represented by the same line. Similar treatment is given to corner B in

Figure 12 Ray diagram for group I reflection  
(from the first interface  $L_1L_2$ )

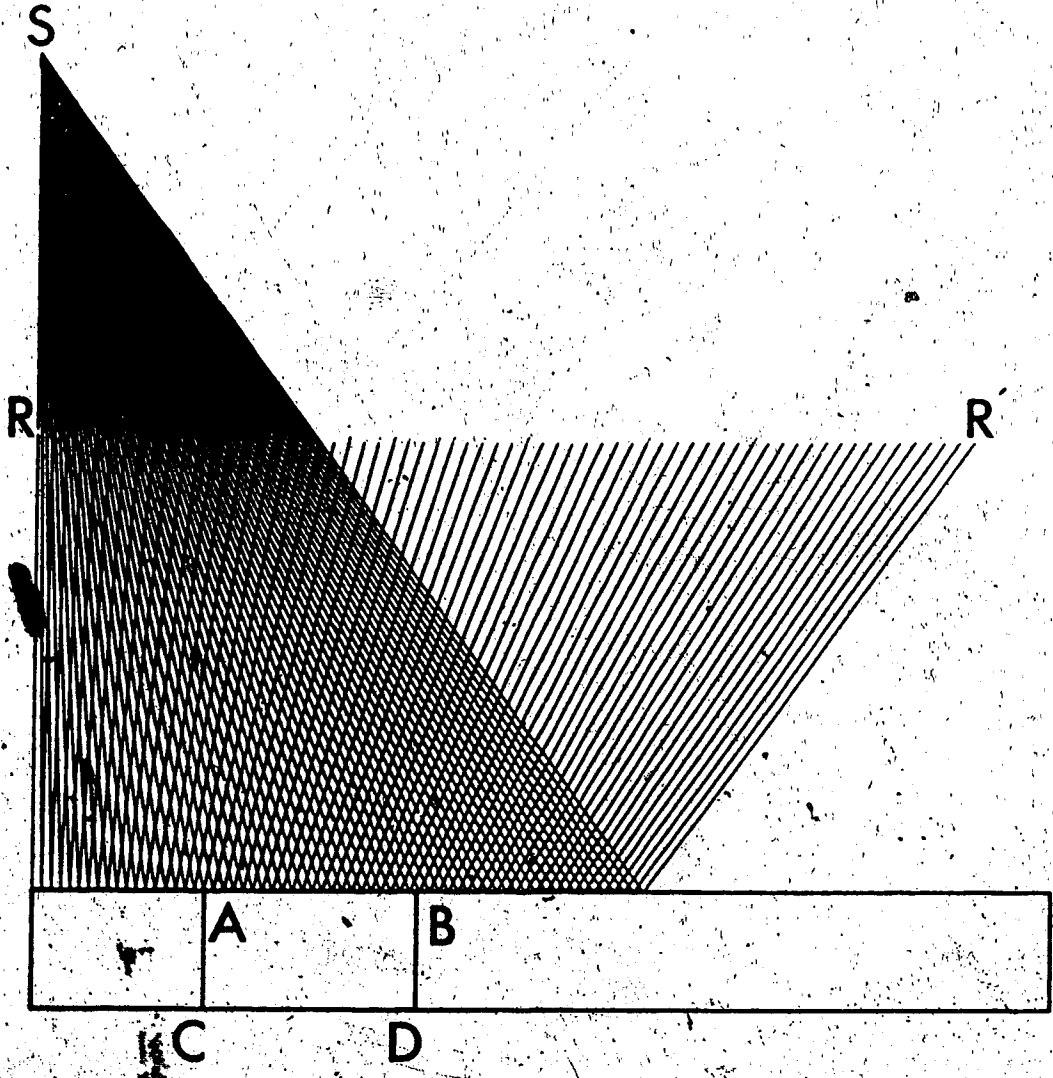




Figure 13 Synthetic seismograms for group 1  
reflecton.

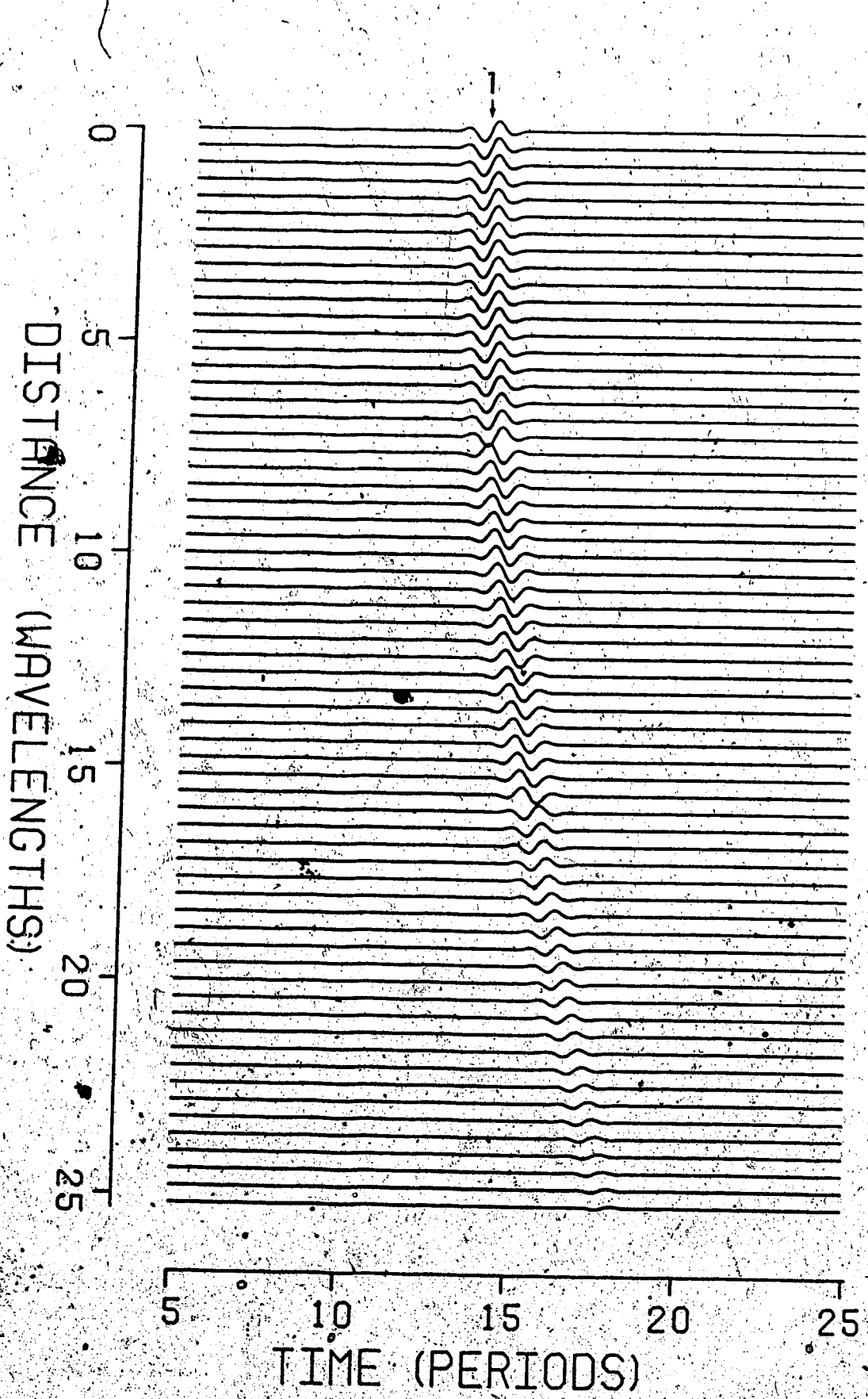


Figure 14 Ray diagram for group I diffractions  
associated with the reflected rays in Fig. 12.  
N.B. there are two arrivals per receiver  
originating either at A or B corner.

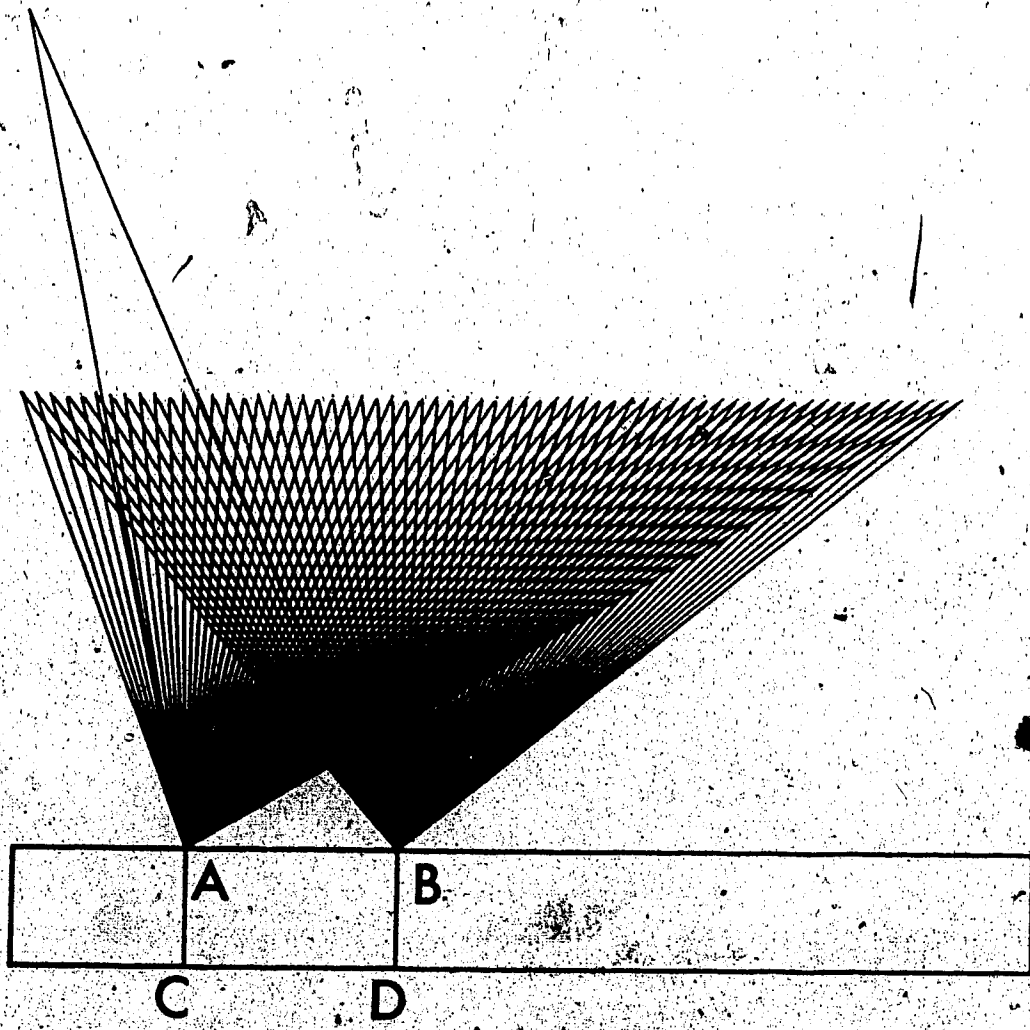


Figure 15 (a) Radiation characteristics for diffracted rays of group I, approaching corner A from the left - see Fig. 15b.

(c) Radiation characteristics for diffracted rays of group I, approaching corner A from the right - see Fig. 15d.

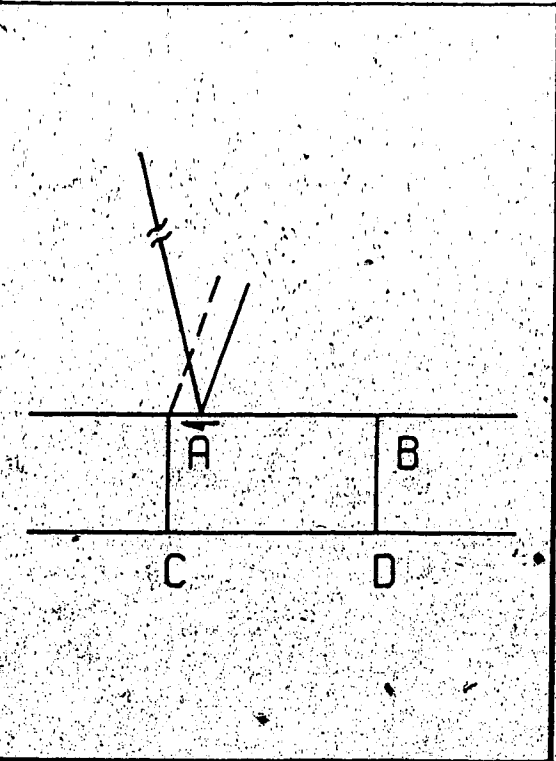
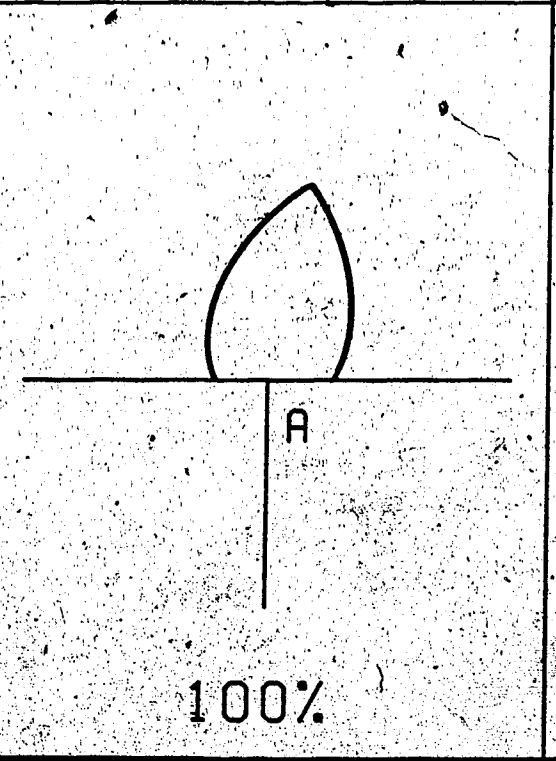
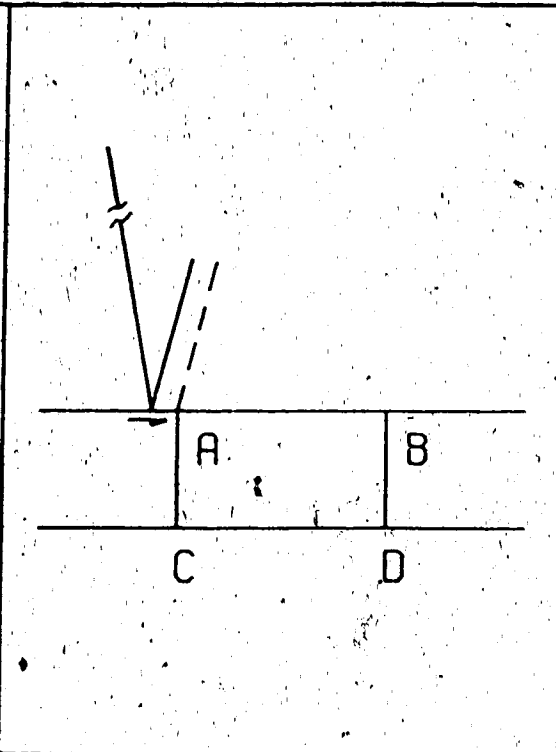
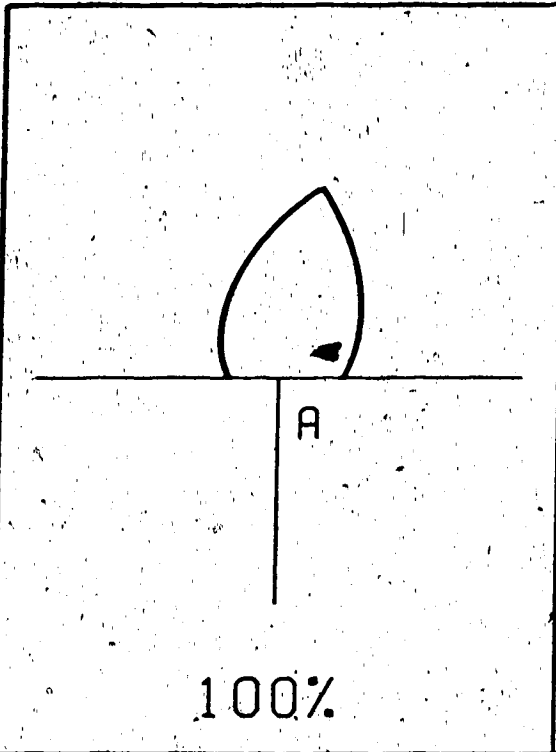


Fig. 16.

Before going into the discussion of the corresponding synthetic seismograms, it should be noted that the percentages as shown in Figs. 15a,b and Figs. 16a,b are for preliminary inspection on the relative strength of each radiation characteristic. The value at the cusp of Fig. 15a is taken as the standard unit. Each radiation characteristic chart has been magnified (or diminished) by multiplying the original value with the percentage shown.

Fig. 17 shows the synthetic seismograms for the diffracted arrivals. Labels 2 and 3 are used to indicate the contributions from corner A and B, respectively. While 3 shows very small amplitude. The changes of polarity indicate the locations where the shadow boundaries intersect the receiver line. Here, it is at distances of  $7.2 w$  (corner A) and  $16.0 w$  (corner B). Note that the signals at receivers between  $7.2 w$  and  $16.0 w$  are of similar phase. In fact, there are 4 wavelets here: a first pair of diffractions depicted in Figs. 15b,d (code 2) and a second pair depicted in Figs. 16a,b,d. The two contributions in each pair are out of phase by  $\pi$ . The reflection coefficients for the rays along the two shadow boundaries originated at, say corner A, have opposite signs. In addition, the diffracted contribution carries a positive/negative sign for being on

Figure 16 (a) Radiation characteristics for diffracted rays of group I, approaching corner B from the left - see Fig. 16b.

(c) Radiation characteristics for diffracted rays of group I, approaching corner B from the right - see Fig. 16d.



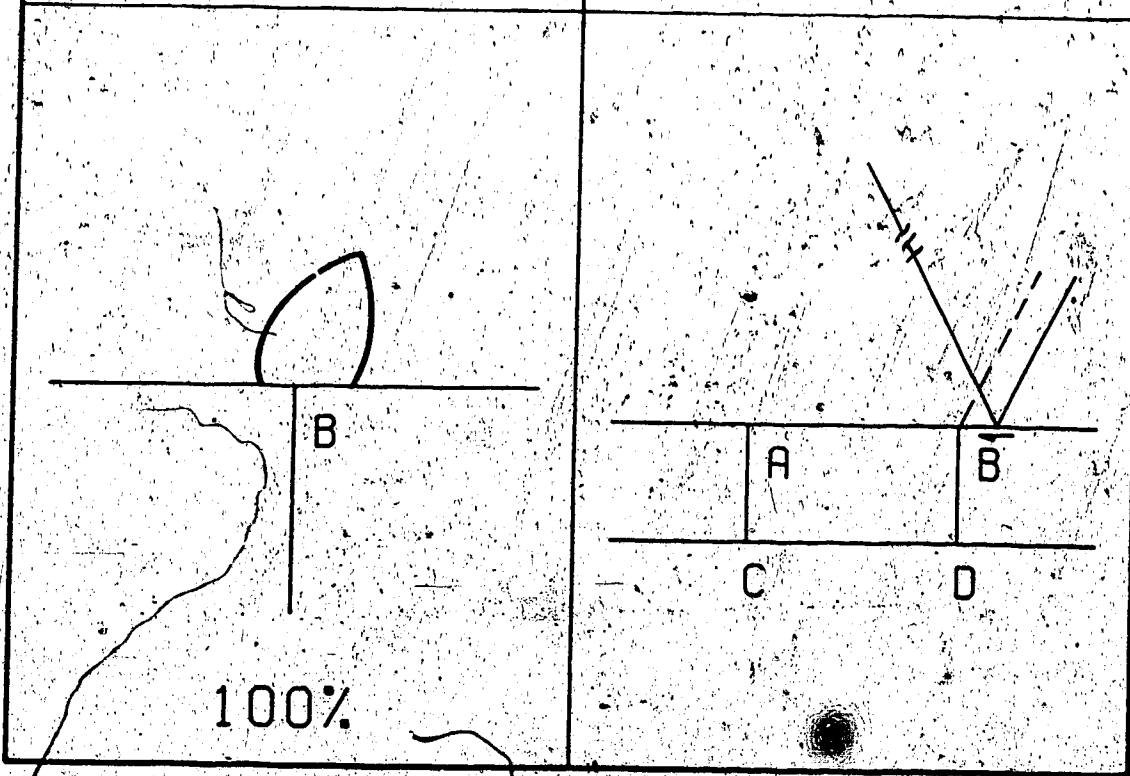
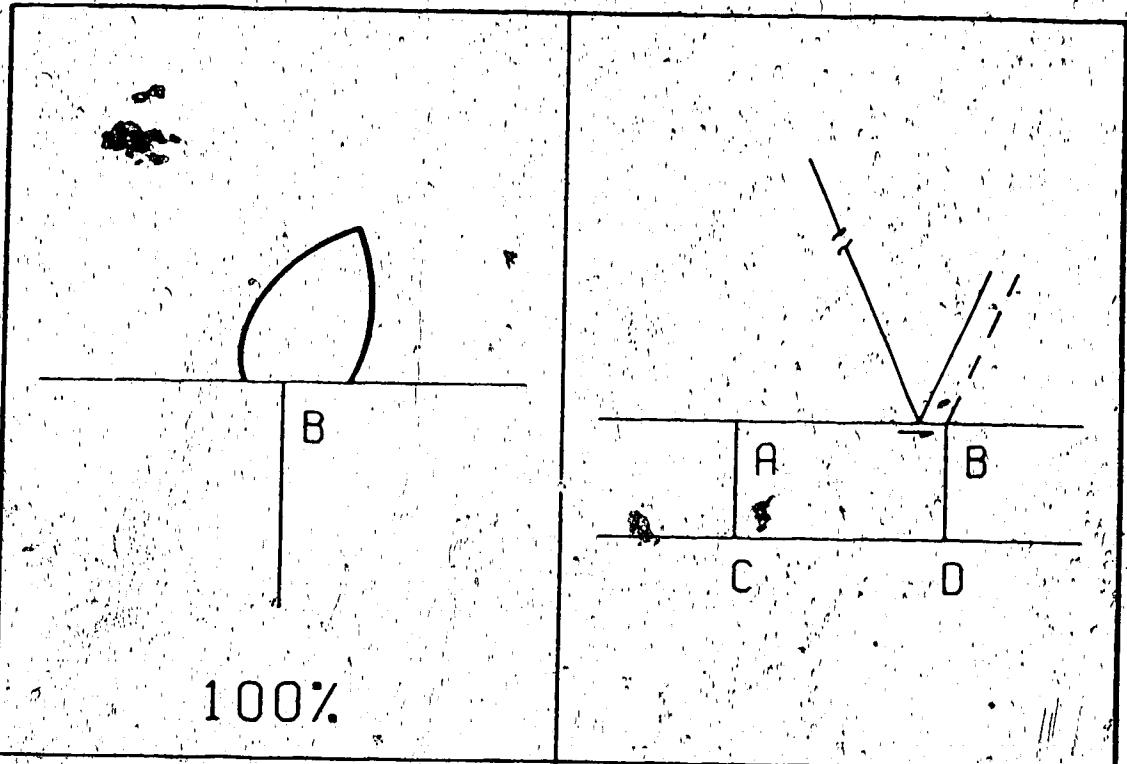
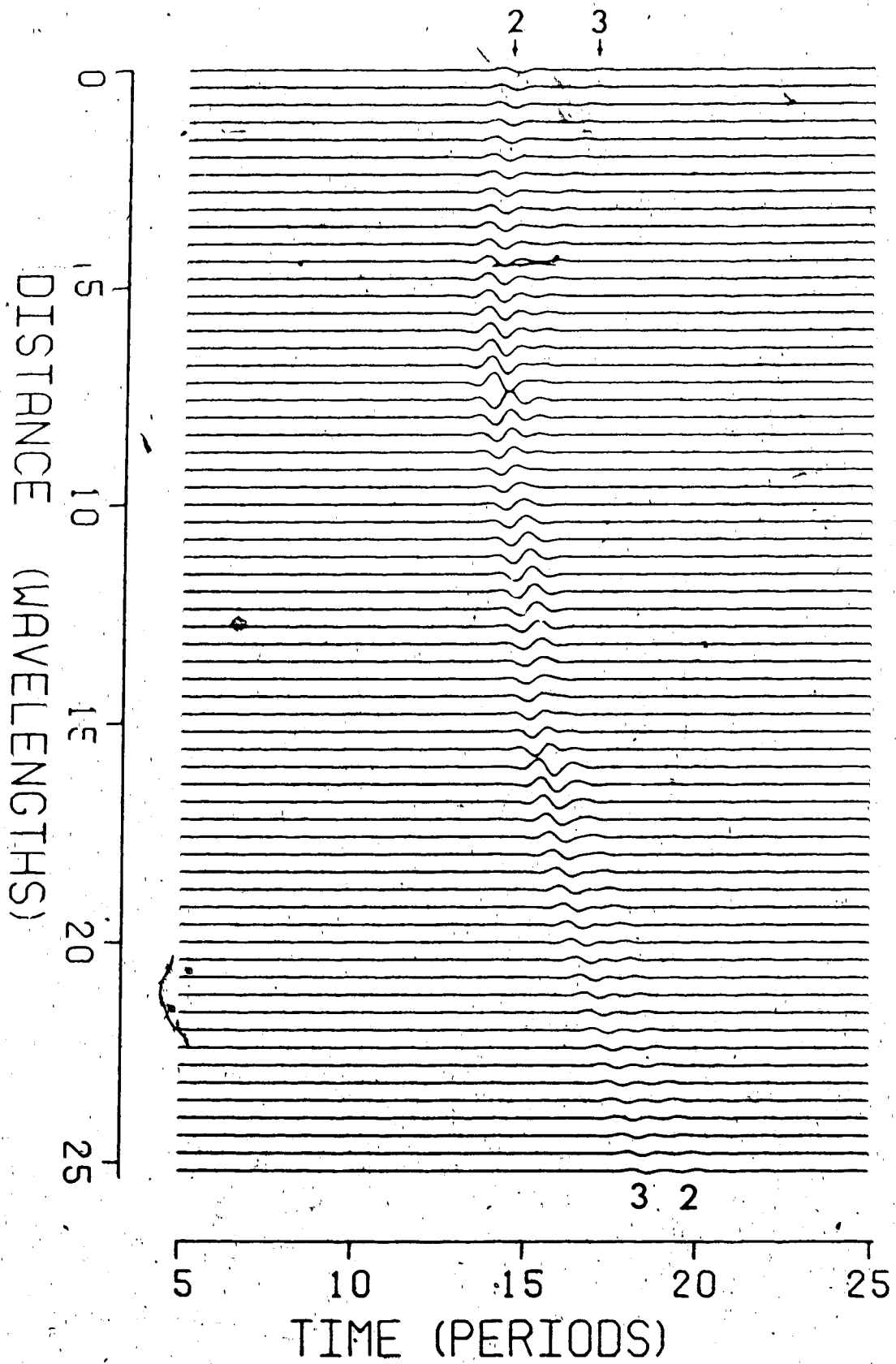


Figure 17 Synthetic seismograms for group I  
diffraction



the shadow/illuminated side of the shadow boundary. This results a corresponding change in the polarity.

The amplitude for each contribution decreases rapidly away from the shadow boundary. The smaller amplitudes of the diffracted arrivals originating at corner B of the box (see signals labelled 3) are due to larger geometrical spreading of the reflected waves incident at B and smaller values of the reflection coefficient due to the larger angles of incidence.

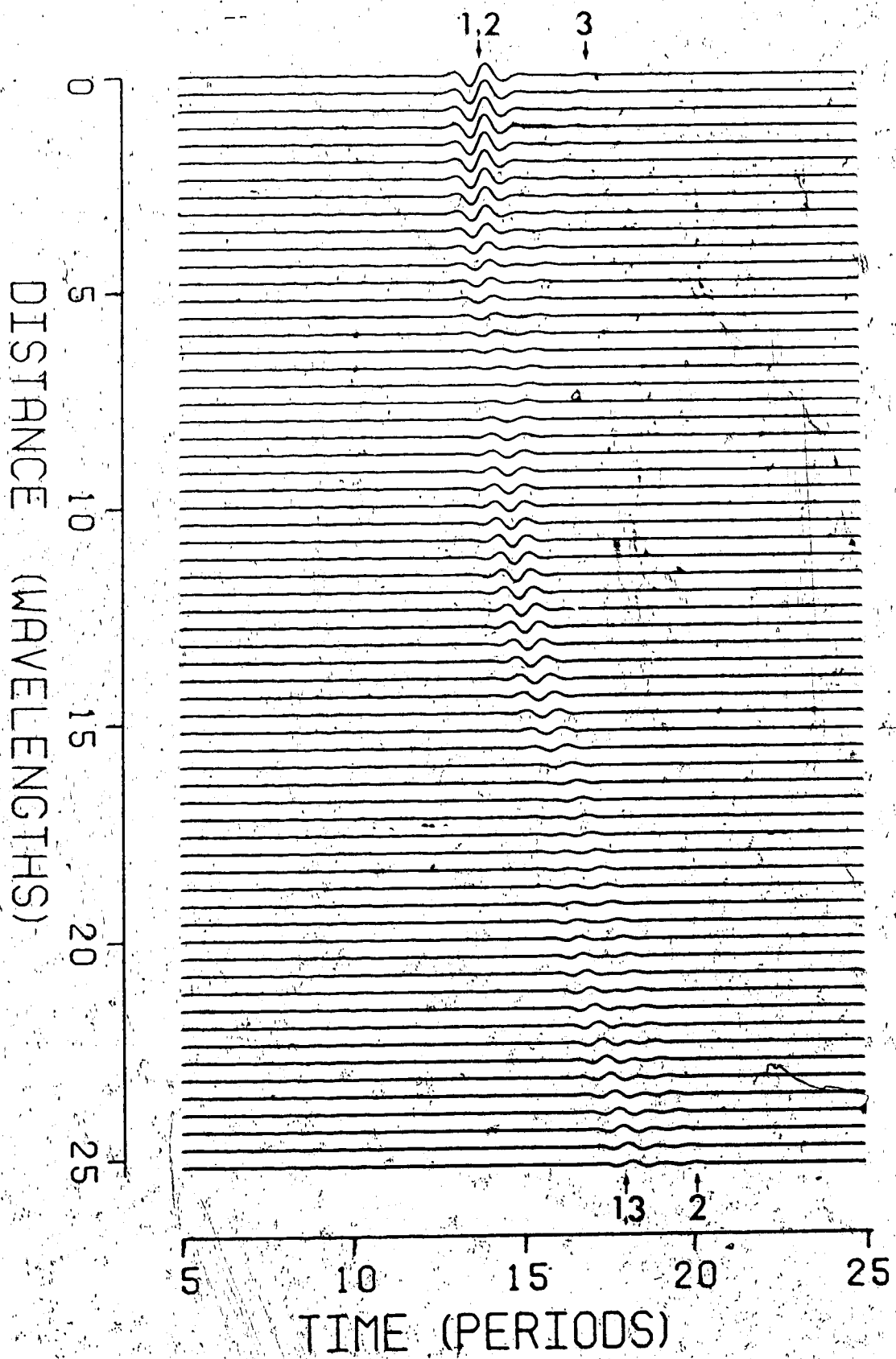
In Fig. 18 the synthetic seismograms for rays coded 1, 2 and 3 are added to give the partial contribution of group I rays. Compared with Fig. 13, which gives only the geometric arrivals, the result in Fig. 18 shows that the diffracted arrival eliminates the amplitude discontinuities, an undesired breakdown in the asymptotic ray theory.

4.5 Group II -- consisting of rays with up to 4 ray segments and related to rays once reflected from the second boundary

$L_2^*L_2^*$

Fig. 19 shows the ray paths of the geometric rays in

Figure 18 Synthetic seismograms for  
contributions 1, 2 and 3 in group 1.



group II. There are 4 segments in a ray. The ray bundles clearly show the locations of the shadow boundaries. In the case of the second bundle which impinges on part of the boundary AB, there are two such boundaries. A closer examination reveals that the diffractions associated with these two boundaries are quite different in both history and pattern. This will be dealt with later.

Fig. 20 shows the synthetic seismograms for the three geometric arrivals mentioned above. Since each bundle of rays belongs to a different time branch on an arrival chart, it is assigned a specific identifying number. The polarities of all signals are the same, except towards the end of rays 6. The reversal is due to the change in phase of the reflection coefficient upon reflection from the CD boundary.

Fig. 21 shows one type of diffraction associated with the geometric ray bundles 4, 5 and 6. Figs. 22b,d may explain more clearly the establishment of the shadow boundaries which are in layer I. The relative amplitudes of the radiation characteristics suggest the effect from corner B would dominate. This is just opposite to the group I diffractions, where diffractions from B were weaker than those from A. Fig. 23 gives the synthetic seismograms for this type of diffracted rays, with codes 7 and 8 denoting contributions from corners A and B, respectively.

Figure 19 Ray diagram for geometric rays in group II

Each ray is transmitted into layer II

(or IIa, the box), b reflected off the second

boundary  $L_2L_2'$ , and finally transmitted back into

layer I.



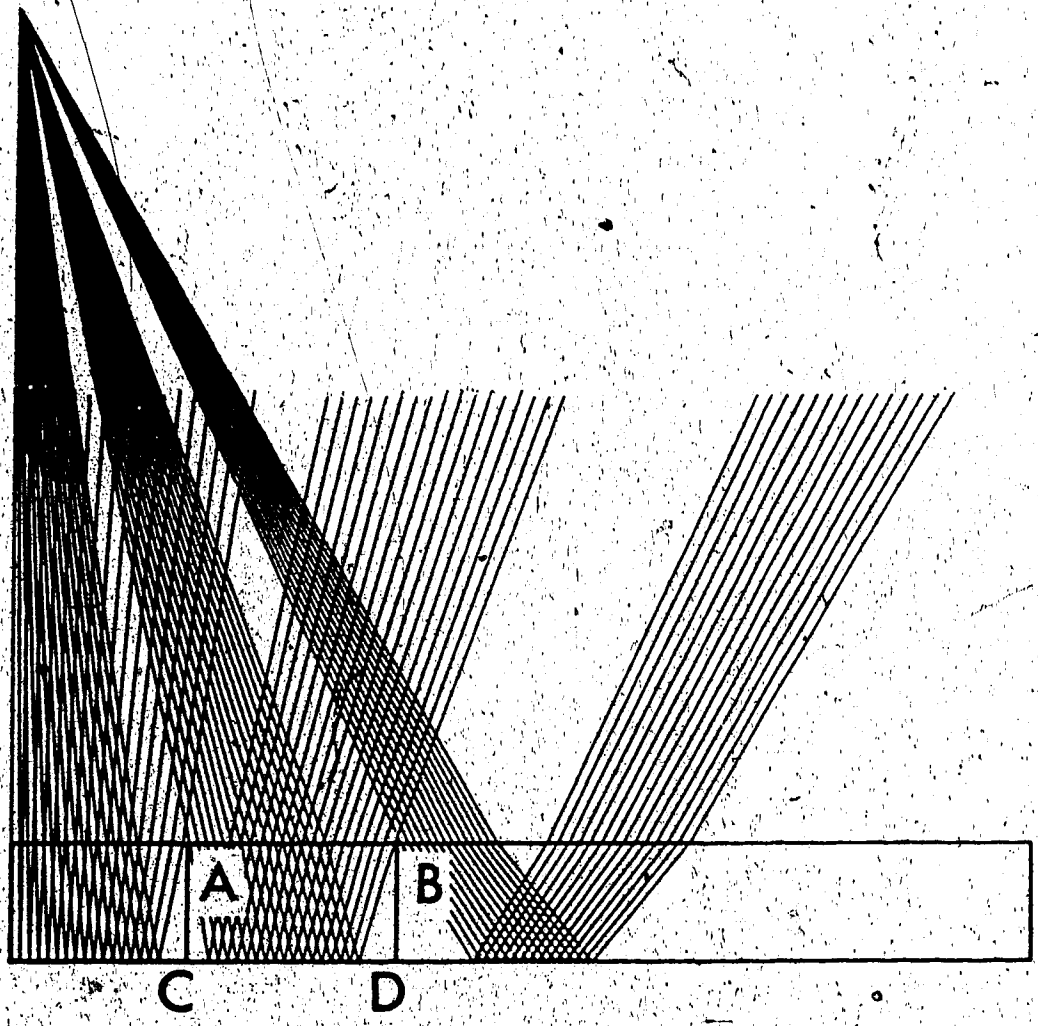
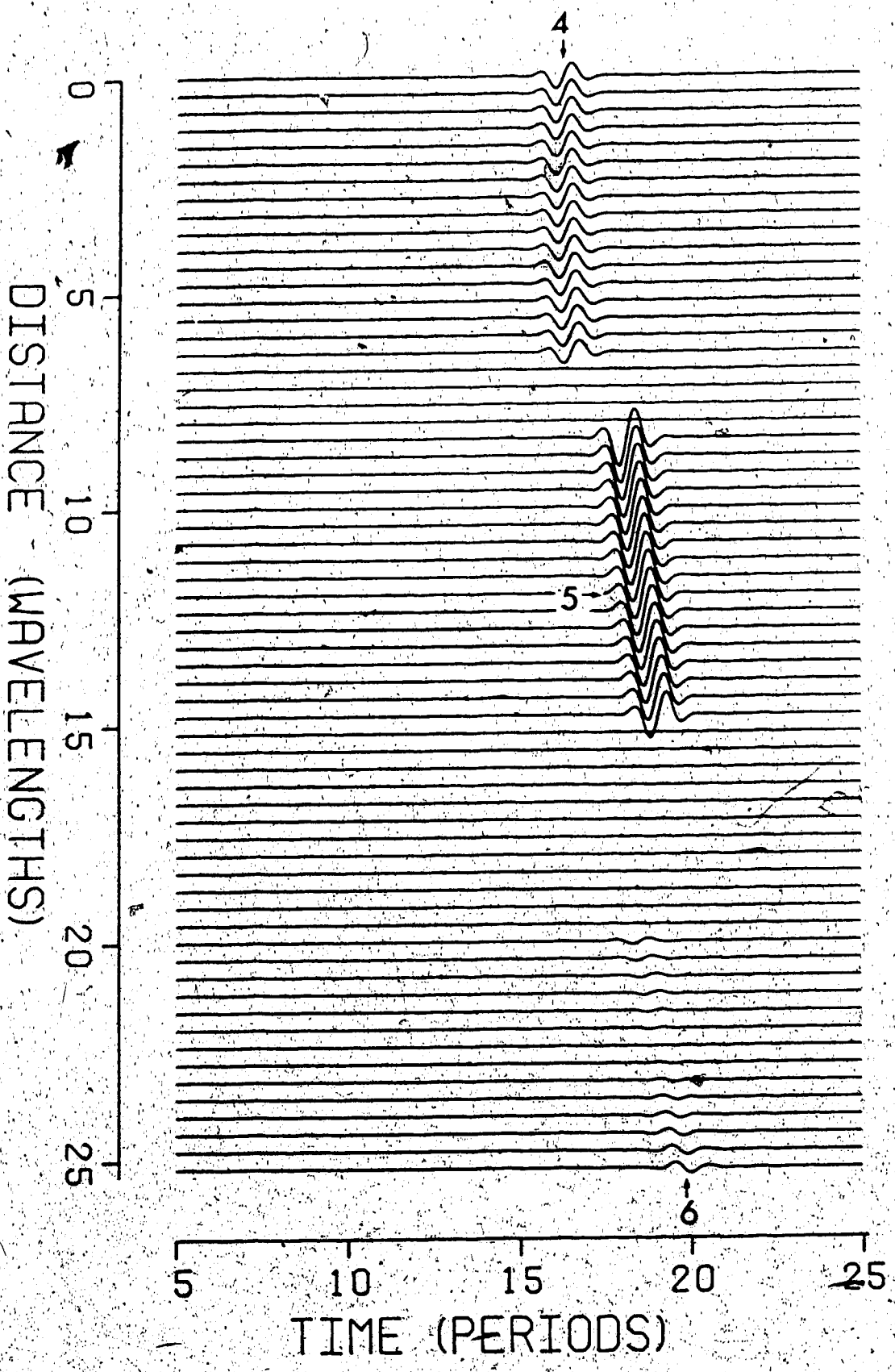


Figure 20 Synthetic seismograms for geometric rays in group II.

The contributions of three separate bundles of rays are easily identified.



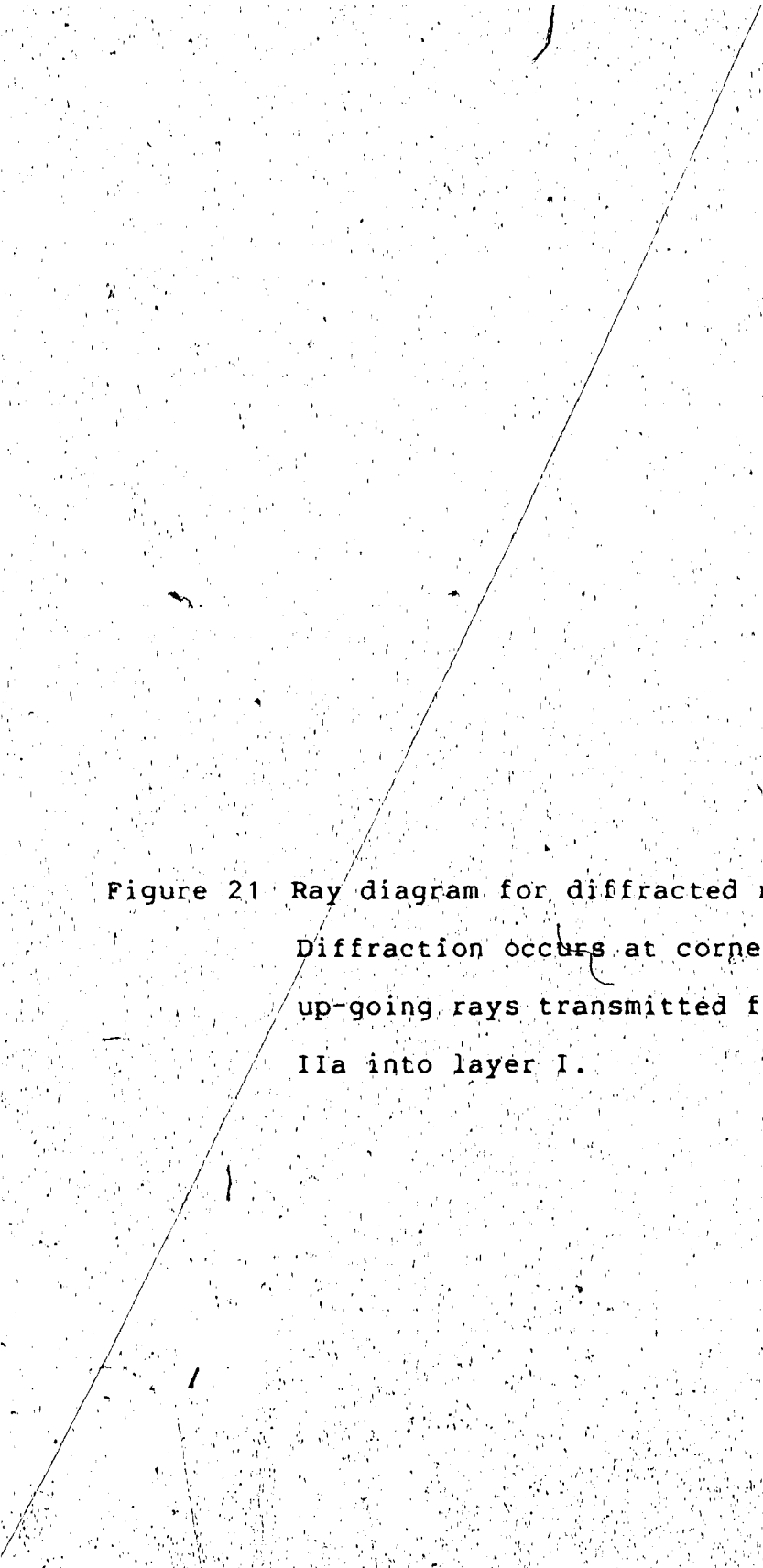


Figure 21 Ray diagram for diffracted rays in group II  
Diffraction occurs at corner A and B for  
up-going rays transmitted from layer II or  
IIa into layer I.

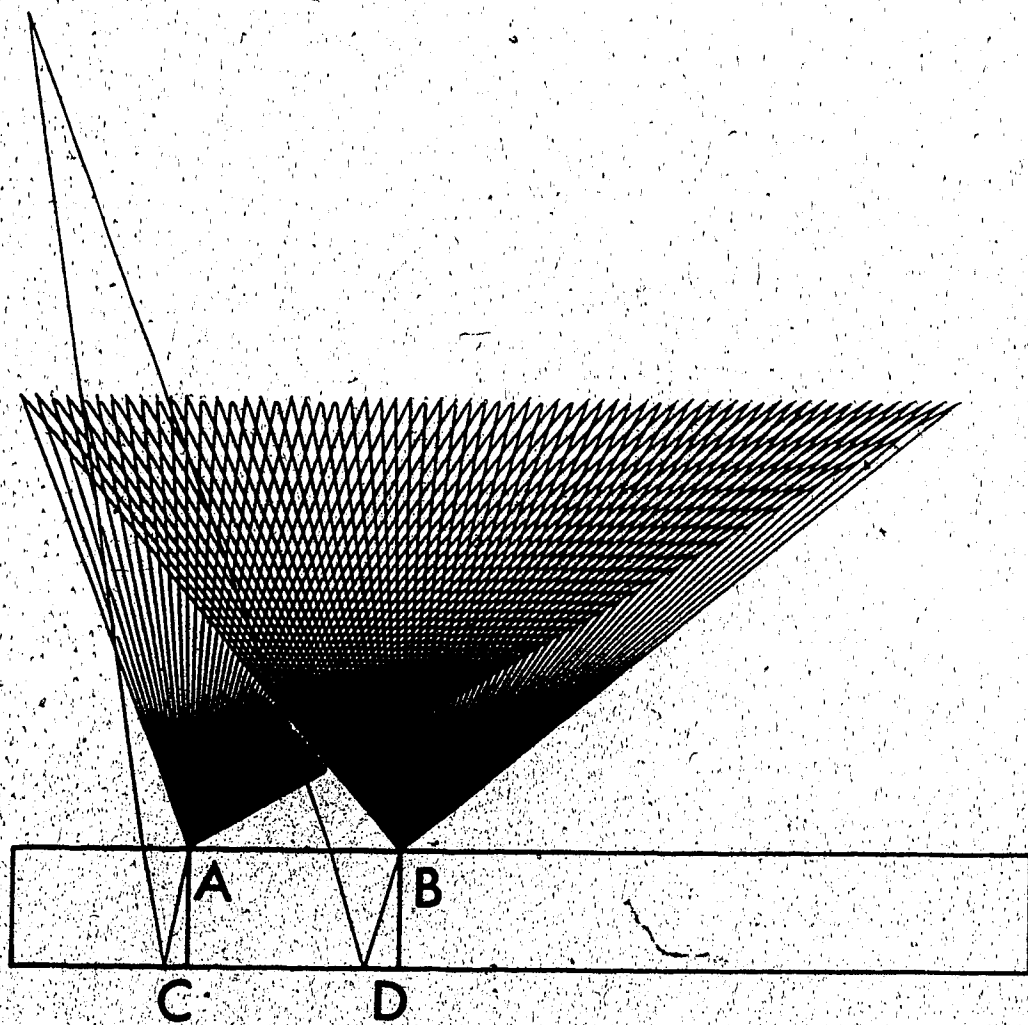


Figure 22 (a) Radiation characteristics for group II diffracted rays, approaching corner A from the left - see Fig. 22b.

(c) Radiation characteristics for group II diffracted rays, approaching corner B from the left - see Fig. 22d.

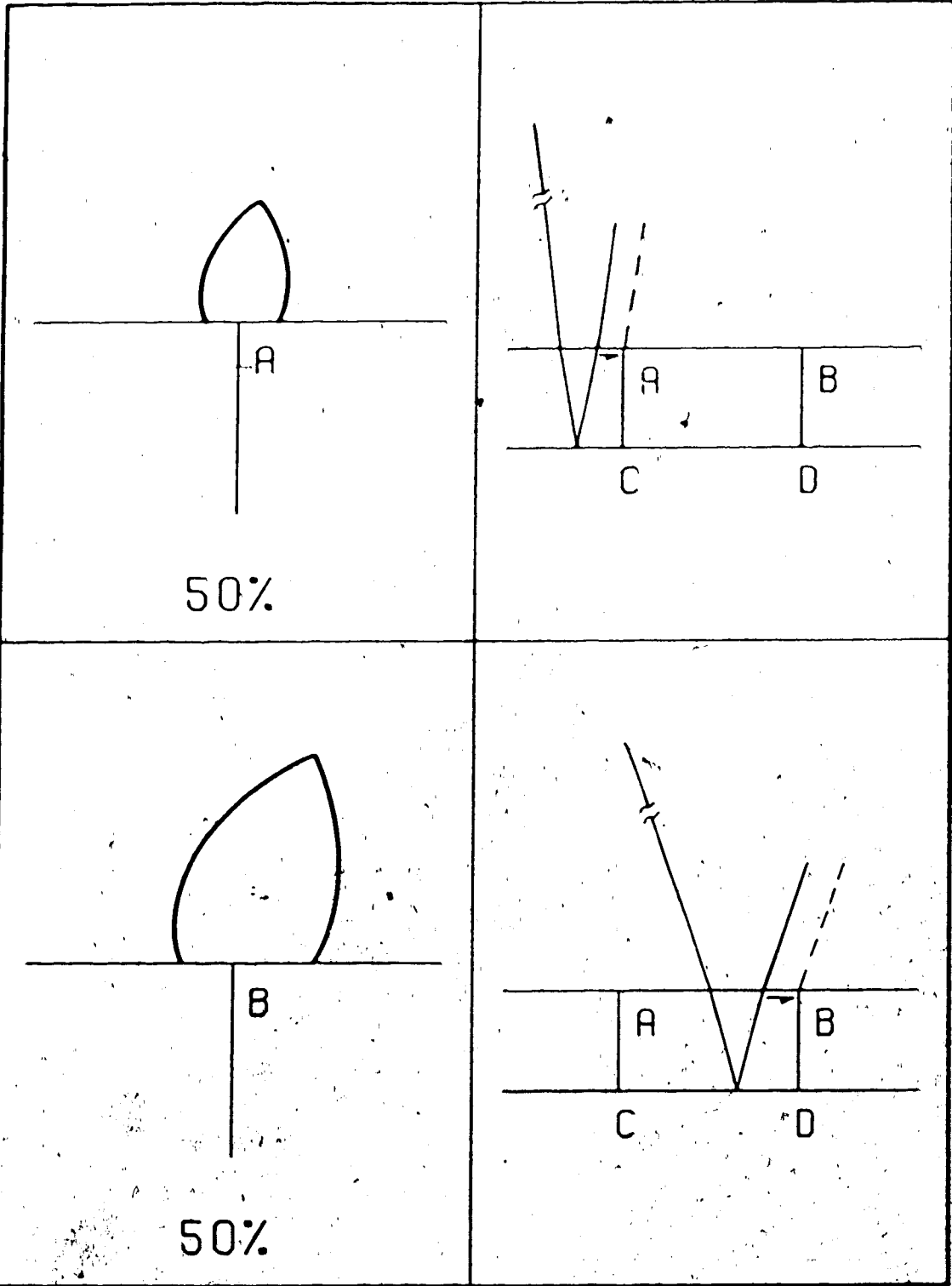


Figure 23 Synthetic seismograms for the diffracted rays in group II:

- branch 7 corresponds to rays in Fig. 21b, (diffracted from A)
- branch 8 corresponds to rays in Fig. 21d, (diffracted from B)



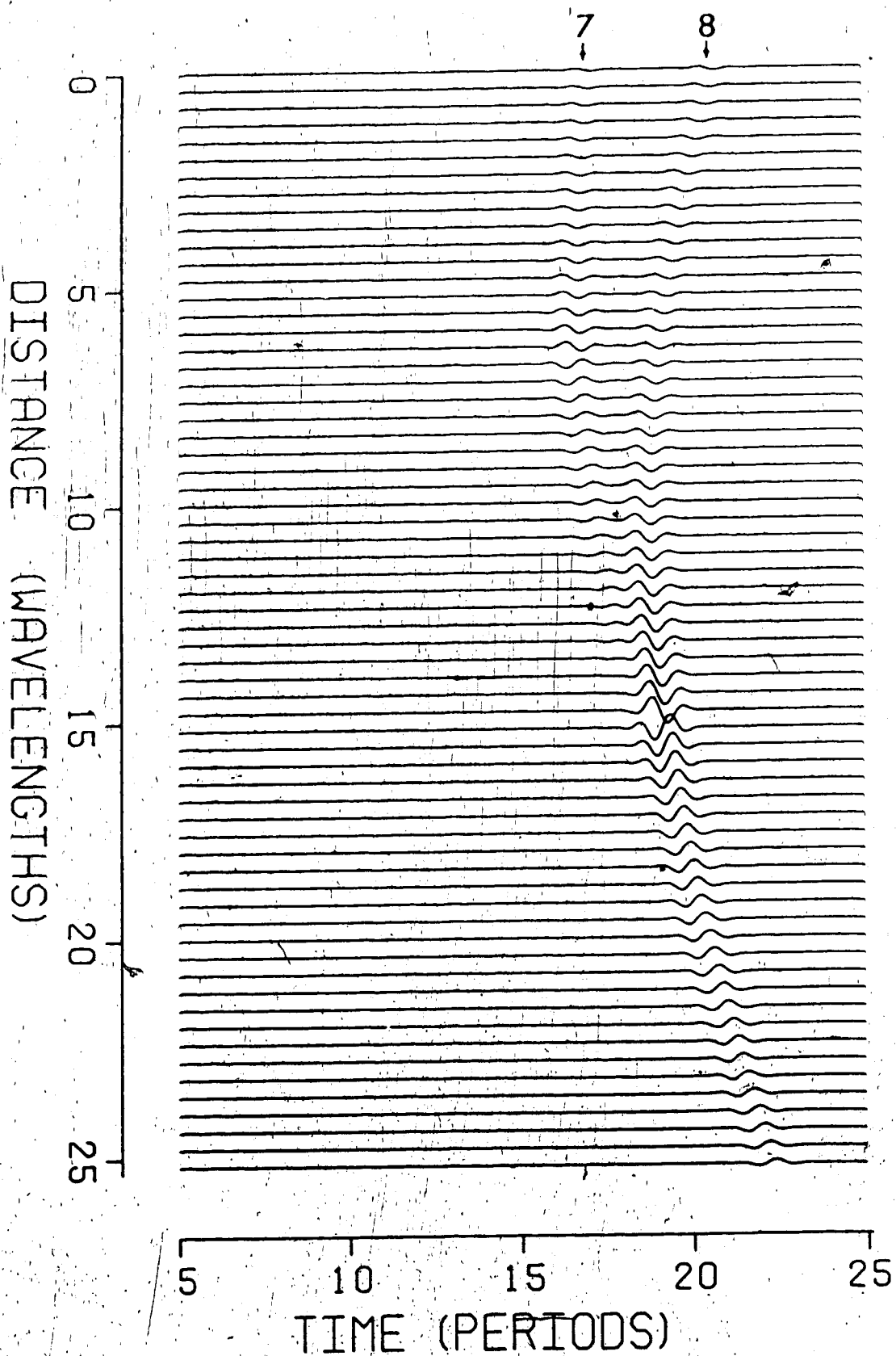


Fig. 24 shows a second type of diffraction associated with geometric rays in group II. Notice that these diffracted ray bundles have discontinuities. Diffraction occurs at corners A and B with the shadow boundaries in regions IIa and II. These diffracted rays then undergo a reflection at the boundary  $L_2L_2$  before being transmitted back into layer I. Figs. 25a,c show the corresponding radiation characteristics in the lower right corner at the corners. Fig. 26 shows the synthetic seismograms of these diffracted signals.

Both the 9 and 10 contributions suffer discontinuity at the receivers directly above corners A and B respectively. This is remedied by introducing a third type of diffracted signal as depicted in Fig. 27. This bundle of rays takes on the following ray path:

- the first diffraction occurs at say corner A, similar to any ray coded 9,
- the second segment is along AC,
- then each ray reflects upwards along CA, and suffers a second diffraction at corner A.

This is possible by applying the theory of analytic continuation, with use of the Sokohtski-Plemelj formula, Markushevich (1962). The corresponding synthetic seismograms

Figure 24 Ray diagram for the first batch of diffracted rays in group II.

Diffraction occurs at Junctions A and B for down-going rays transmitted from layer I into layer II or IIa (the box).

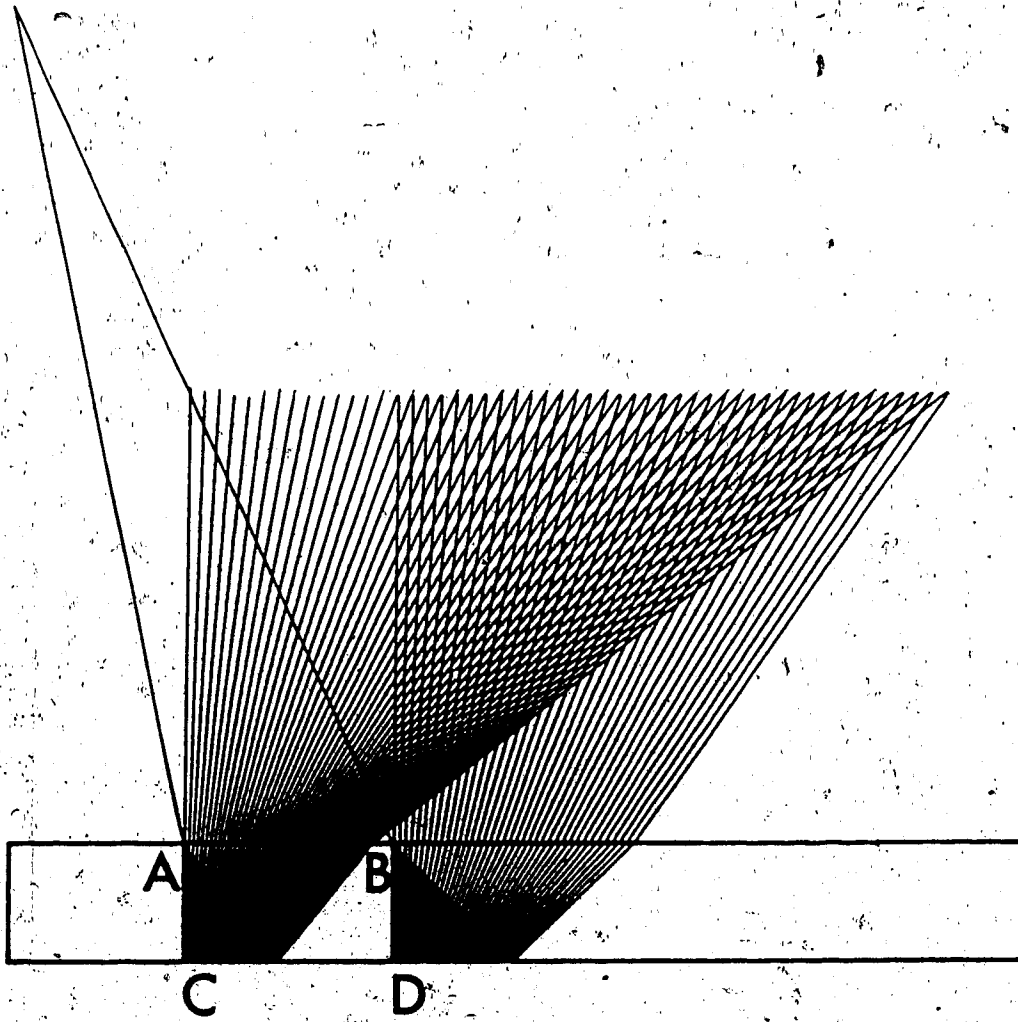


Figure 25 (a) Radiation characteristics for the second batch of diffracted rays of group II.

The ray bundle approaches corner A from the left, see Fig. 25b.

(c) Radiation characteristics for the second batch of diffracted rays of group II.

The ray bundle approaches corner B from the left - see Fig. 25d.

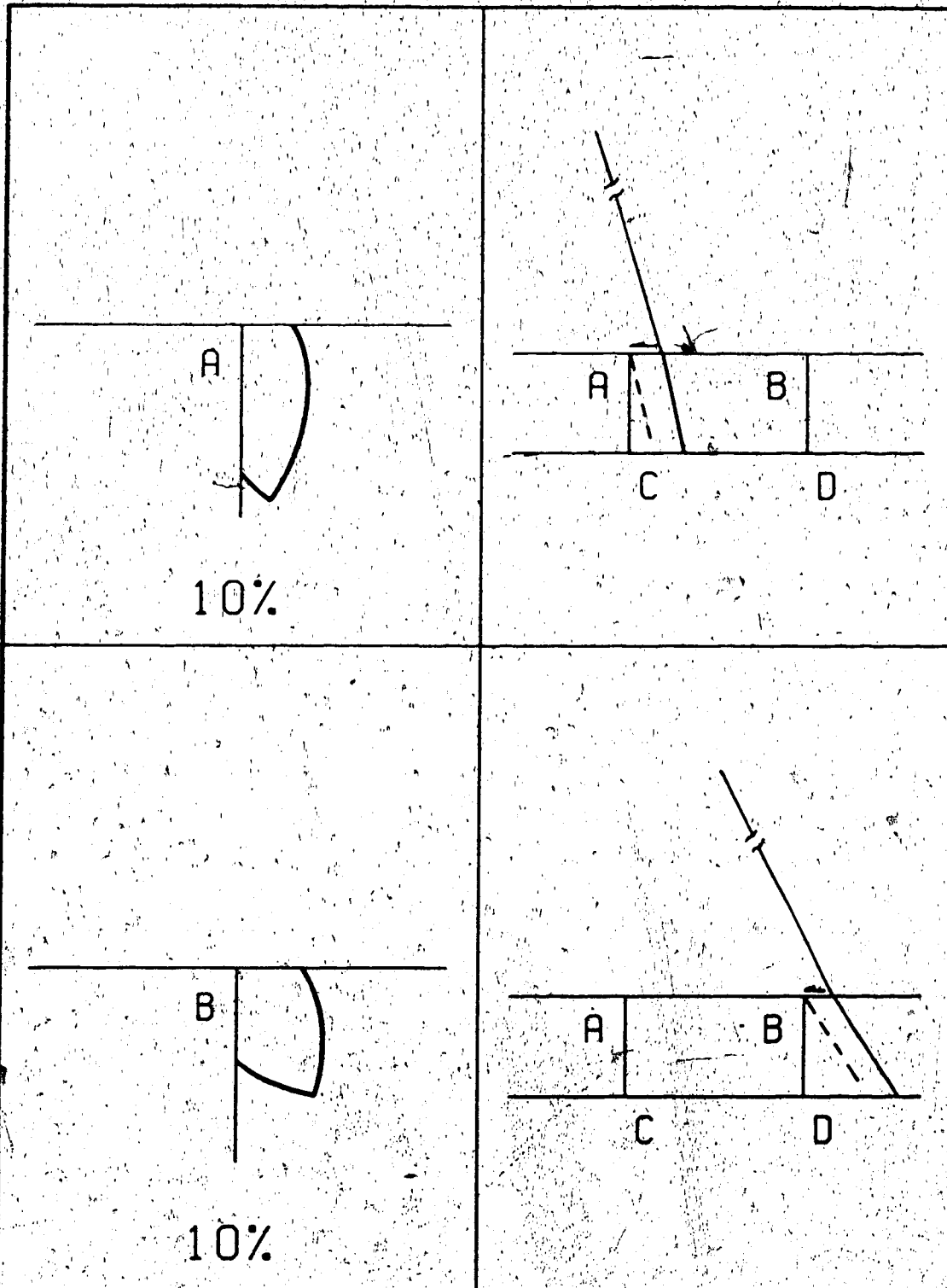


Figure 26 Synthetic seismograms for diffracted rays  
of group II - see Fig. 24 for ray diagram.  
Contribution 9 and 10 are diffracted at  
corners A and B, respectively.

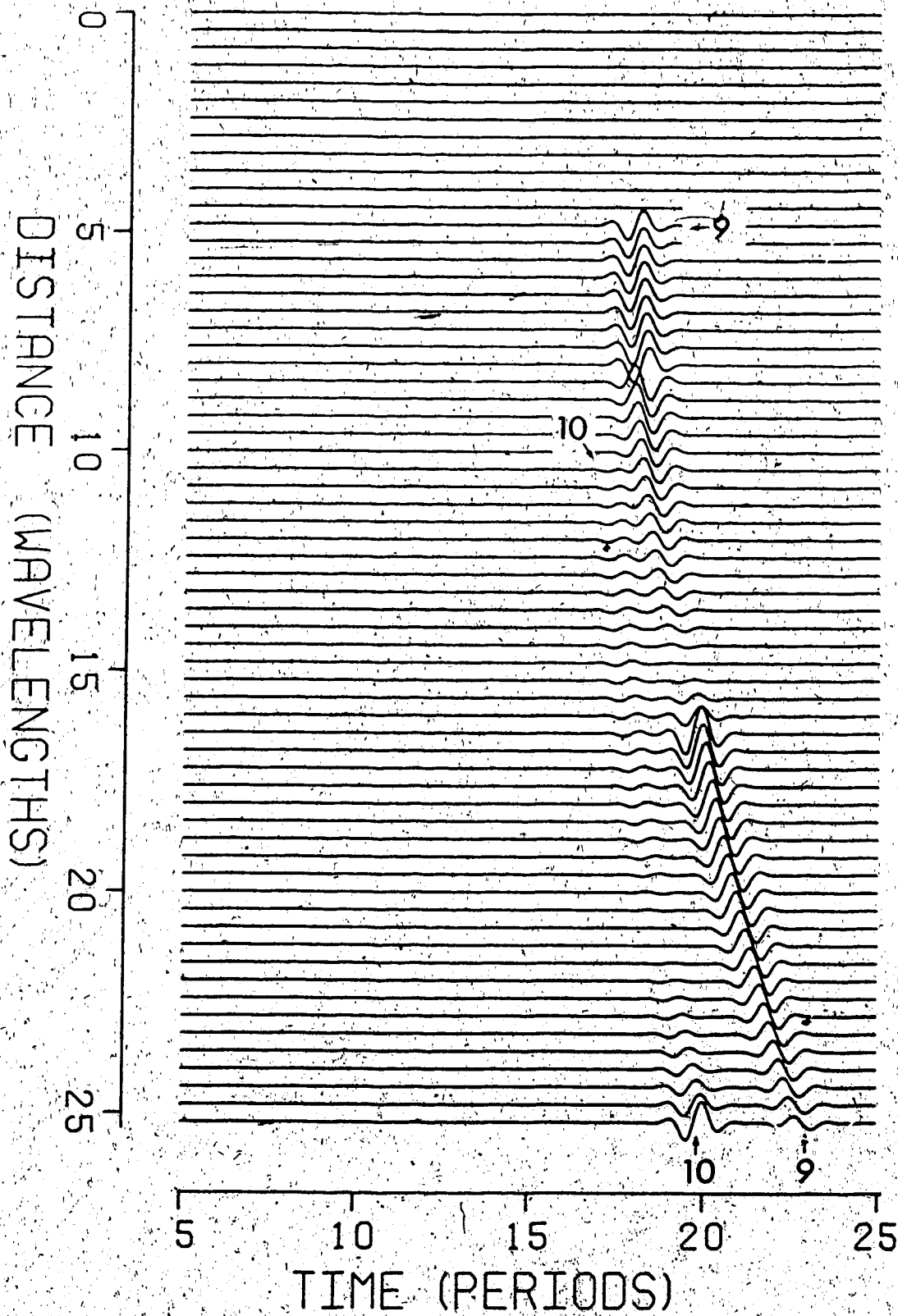
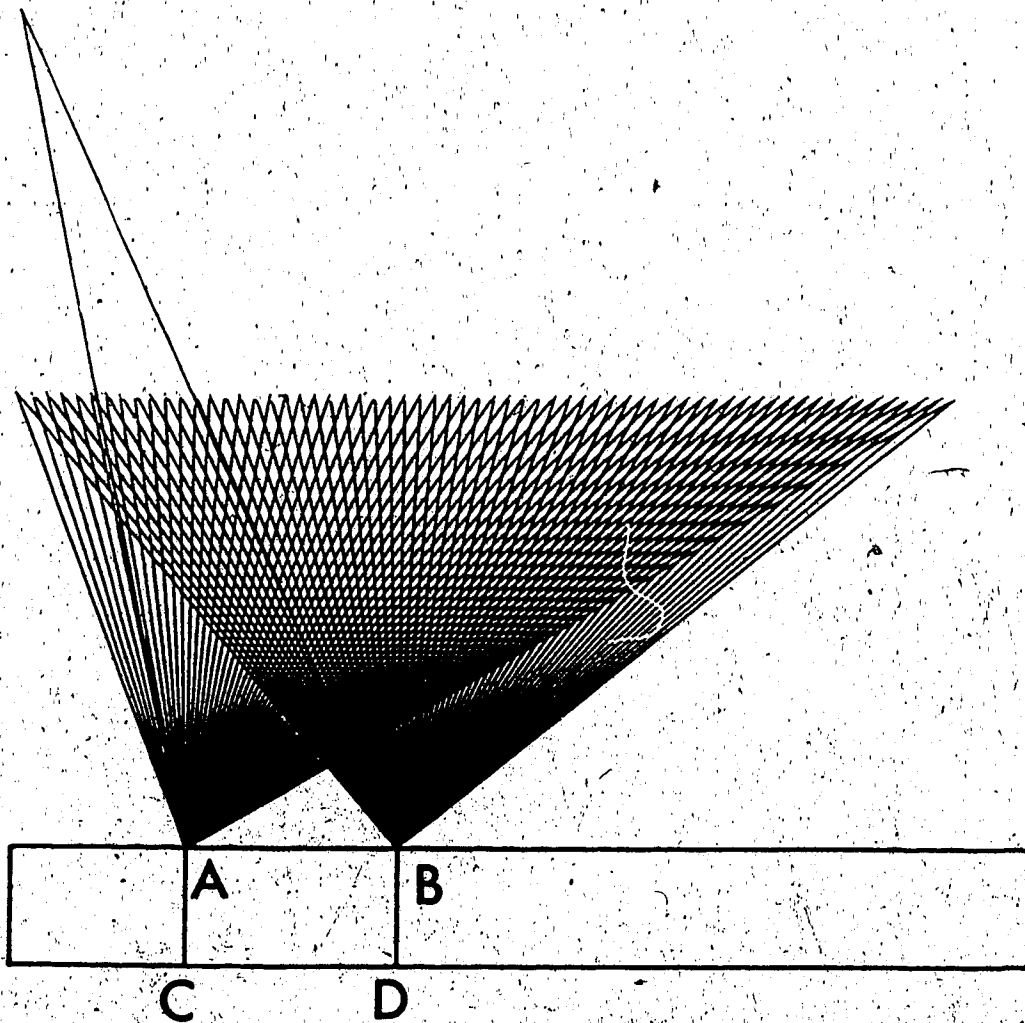




Figure 27 Ray diagram for diffracted contribution  
supplemental to those in Fig. 24.

Diffraction occurs at junctions A (or B).  
The ray path continues along the line AC  
(or BD), before being reflected along CA  
(or DB). Then it suffers a second diffraction  
at corner A (or B), and upon transmission,  
back into layer I.



are shown in Fig. 28, code 11 (related to corner A) and 12 (related to corner B). Contribution 12 is comparatively small, and its position will be neglected in the future.

Now, all the diffracted contributions code 7 to 12 are added to give Fig. 29. Note that the code and arrow (e.g. 9) are used as the identification of the particular type of signals, and do not necessarily indicate the onset of the wavelet in general.

The total contribution from all the rays in group II is shown in Fig. 30. The diffraction at corner A into the box is seen to dominate this group. Upon re-examination of the geometrical contributions 4, 5 and 6, the comparison demonstrates the obvious shortcoming of the basic ray theory.

4.6 Group III -- consisting of rays with 5 ray segments, reflected from the second interface  $L_2L_2'$  and one of the two vertical sides of the box, i.e. AC or BD

Fig. 31 shows the 5-segmented ray paths of the geometric arrivals in group III. There are two shadow

Figure 28 Synthetic seismograms for a twice diffracted  
contribution as described in Fig. 27:

- contribution 11 from corner A
- contribution 12 from corner B.

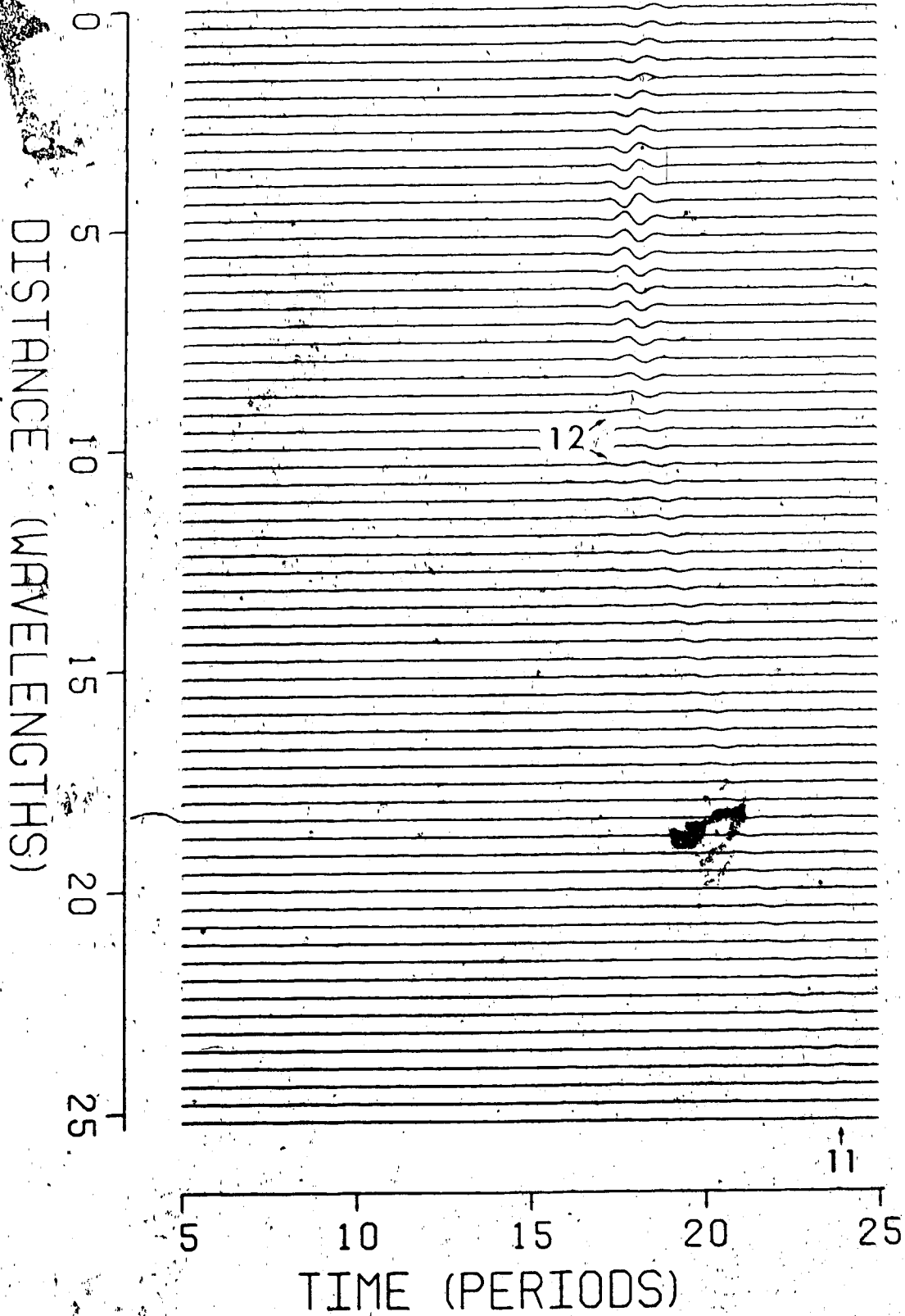


Figure 29 Sum of contributions 7-12.

(all diffracted rays of group II)

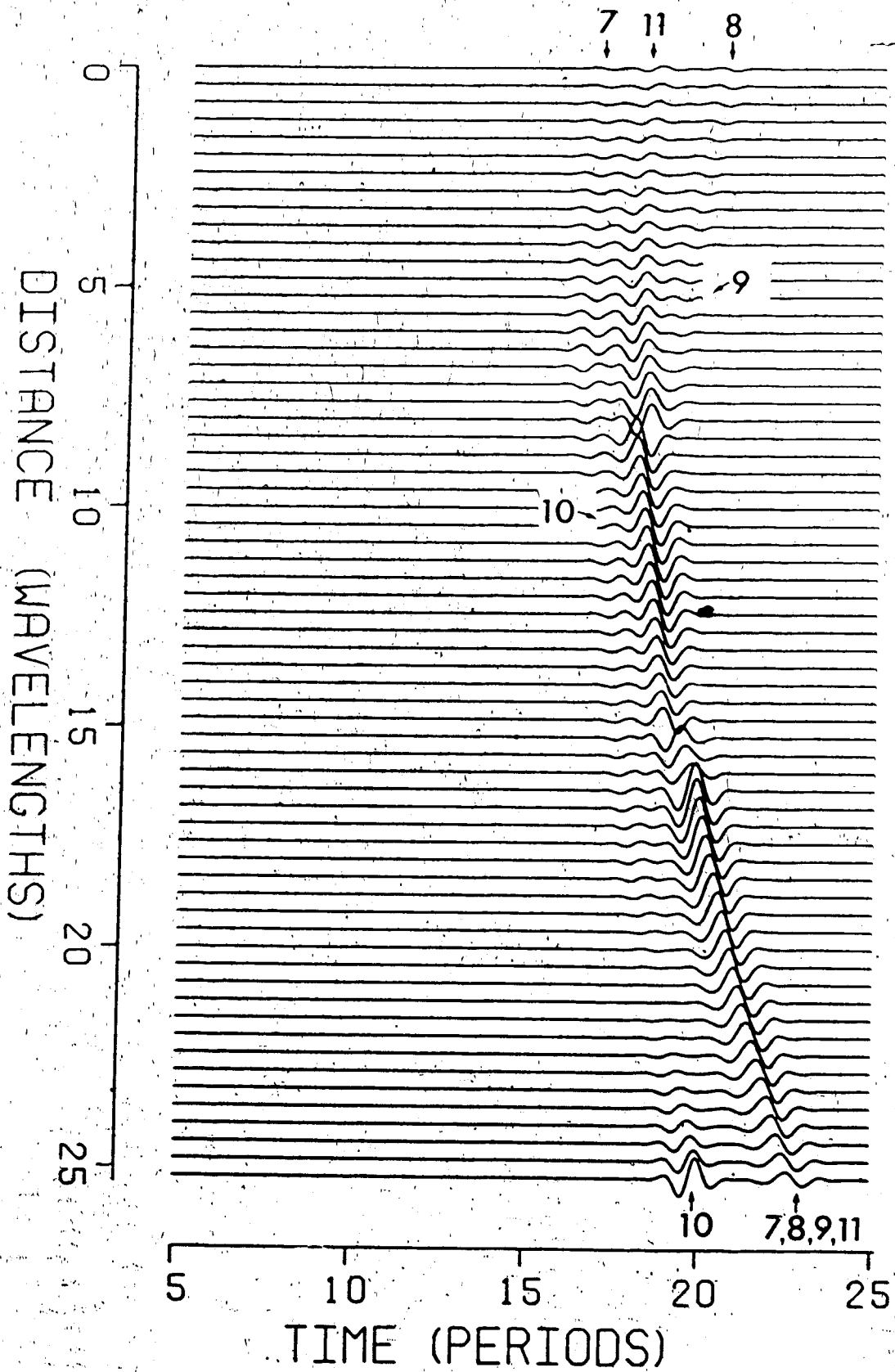
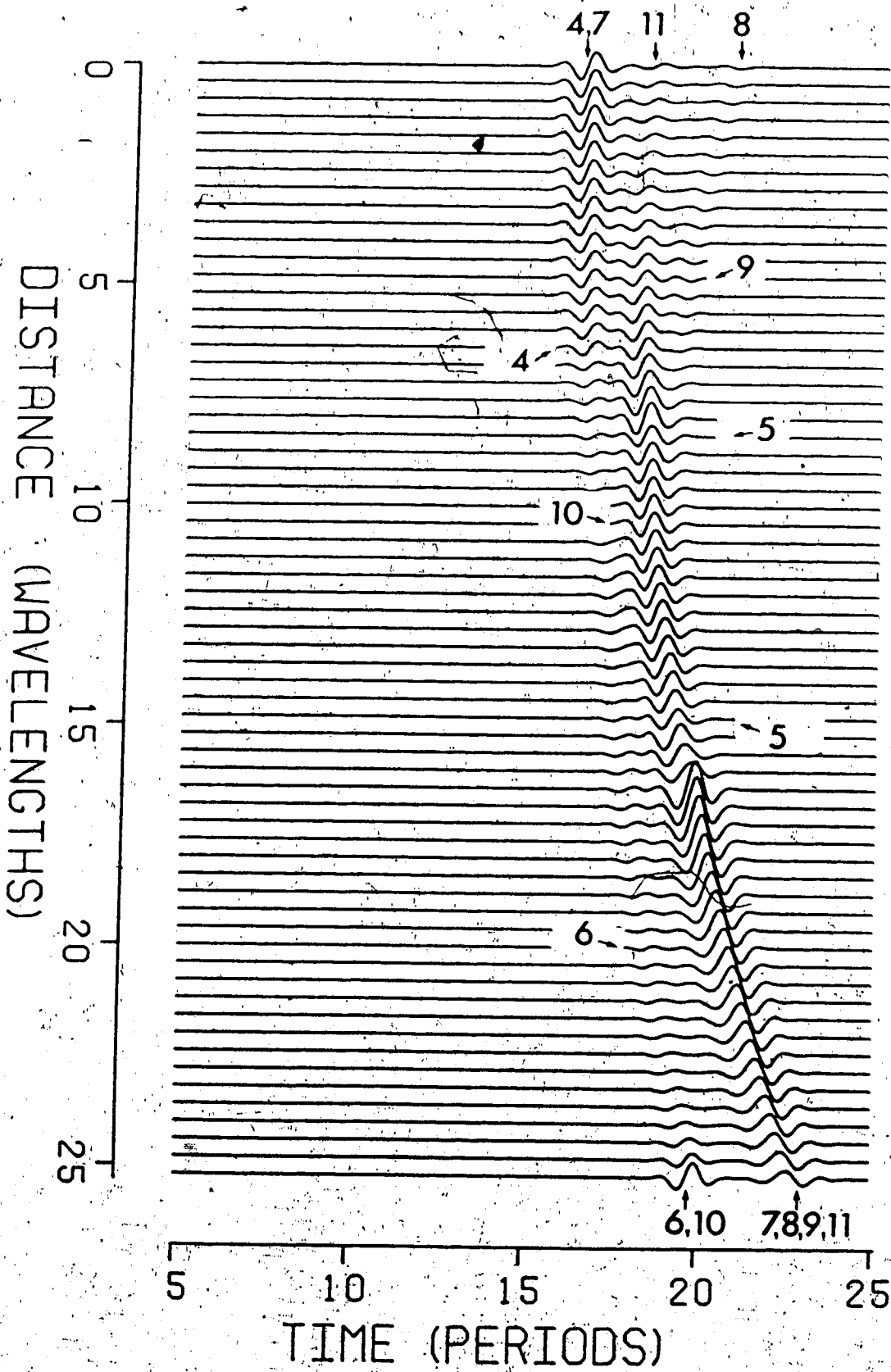


Figure 30 Sum of contributions pertaining to  
all rays (geometrical and diffracted)  
in group II.





boundaries associated with each bundle, similar to one case discussed in section 4.5 (rays from group II). The corresponding synthetic seismograms are shown in Fig. 32. Fig. 33 shows a closely related 5-segmented ray paths geometric arrivals, differing from the previous two only in the reversed reflection sequence. These ray bundles are classified group III. The corresponding synthetic seismograms are shown in Fig. 34. Upon the addition of Figs. 32 and 34, we obtained the total contributions due to geometric rays in group III. The appearance of continuity of 13 and 15 (and that of 14 and 16) suggests some merits of this grouping.

Figs. 36b,d show the shadow boundaries and the approach of the last geometric rays towards them. The dominating radiation characteristic modulus due to corner B in Fig. 36c explains the importance of contribution 18 as shown in Fig. 37. Figs. 38b,d show the shadow boundaries for the second part of the geometrical rays in group III. Again the corresponding radiation characteristics shows a larger contribution from corner B, labelled 20 in Fig. 39. Note that similar to contributions 9 and 10 in group II, each of 19 and 20 needs a supplemental contribution of twice diffracted rays to avoid a discontinuity of its own. These are labelled 21 and 22 in Fig. 40.

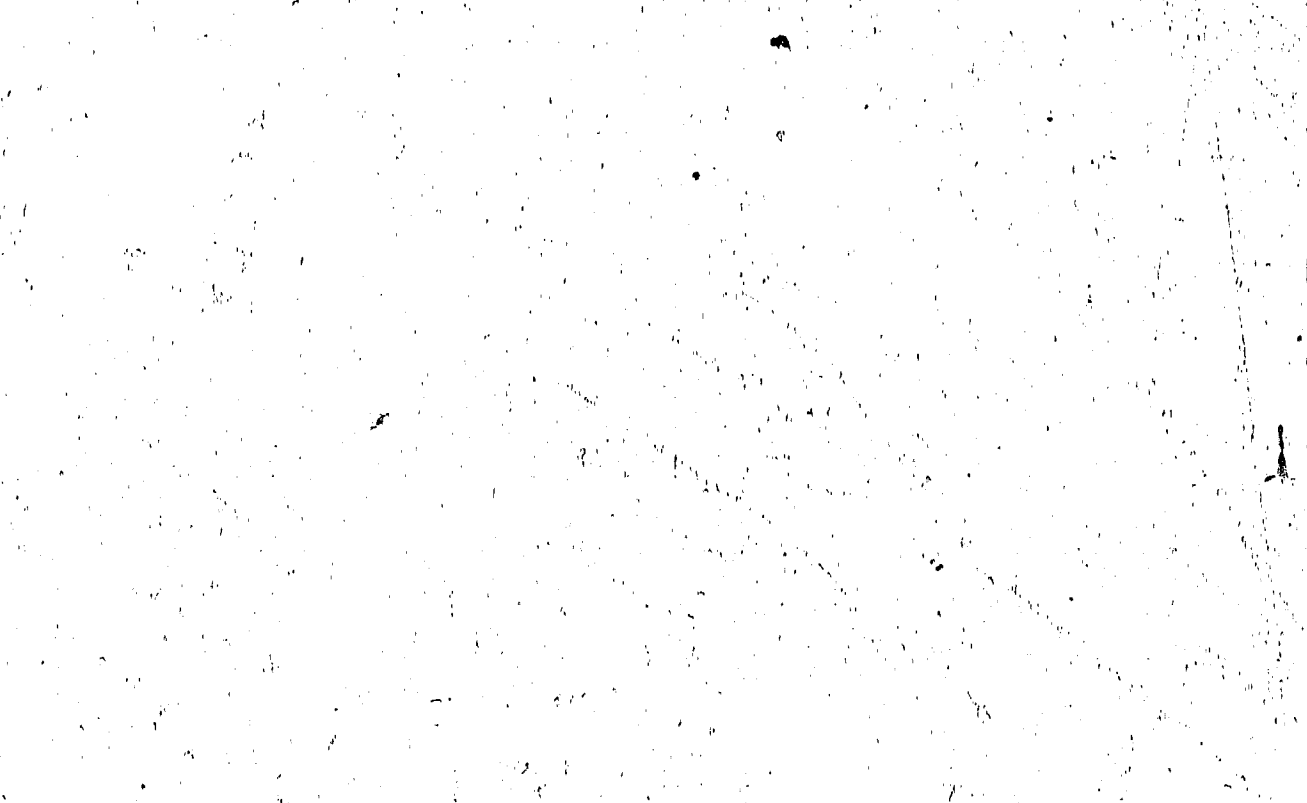


Figure 31 Ray diagram for the first part of geometrical rays in group III

A ray will travel from the source, transmits into layer II (or IIa the box), reflects from the interface  $L_2L_2'$ , travels upwards, reflects to the left at AC (or BD), before being finally transmitted back into layer I.

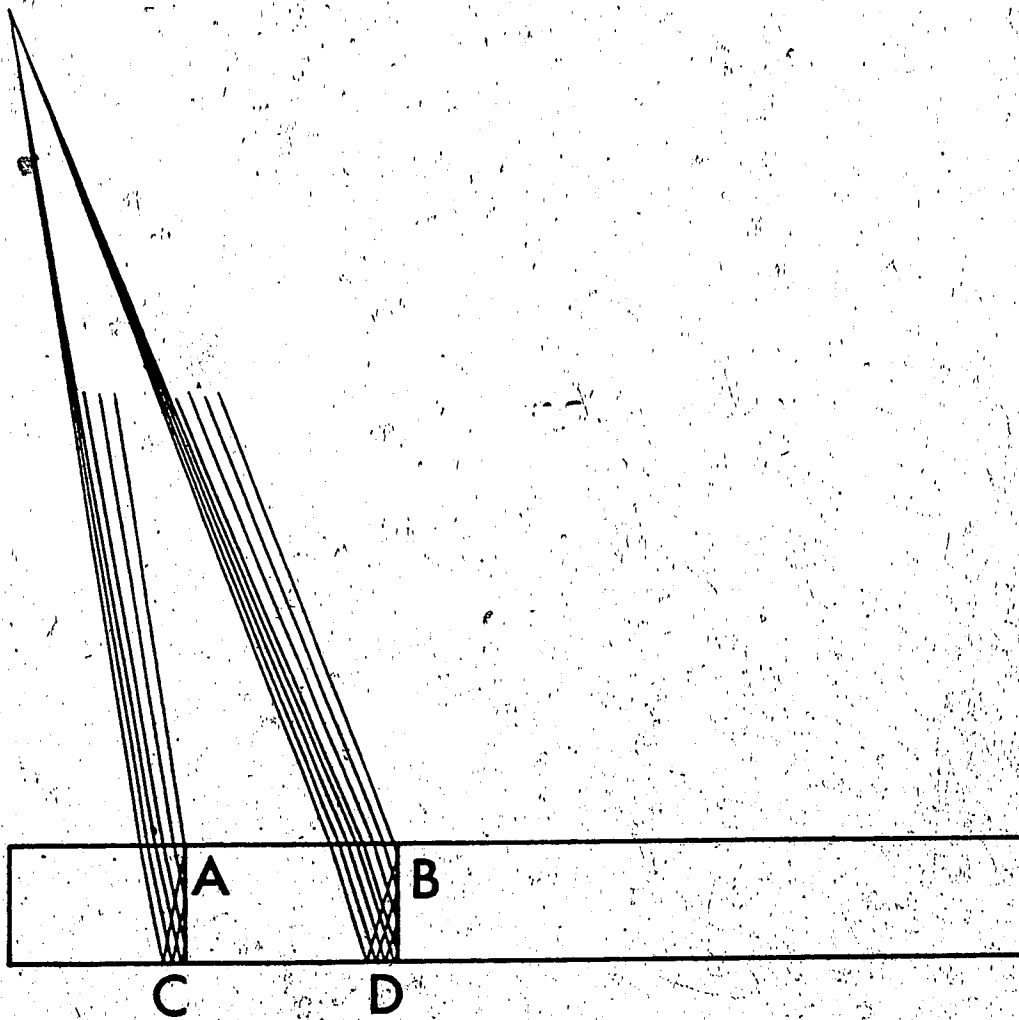


Figure 32 Synthetic seismograms for the first  
part of geometric rays in group III.  
see Fig. 31.

The contributions 13 and 14 correspond to the  
second primaries reflected from the vertical  
sides of the box AC, and BD, respectively.

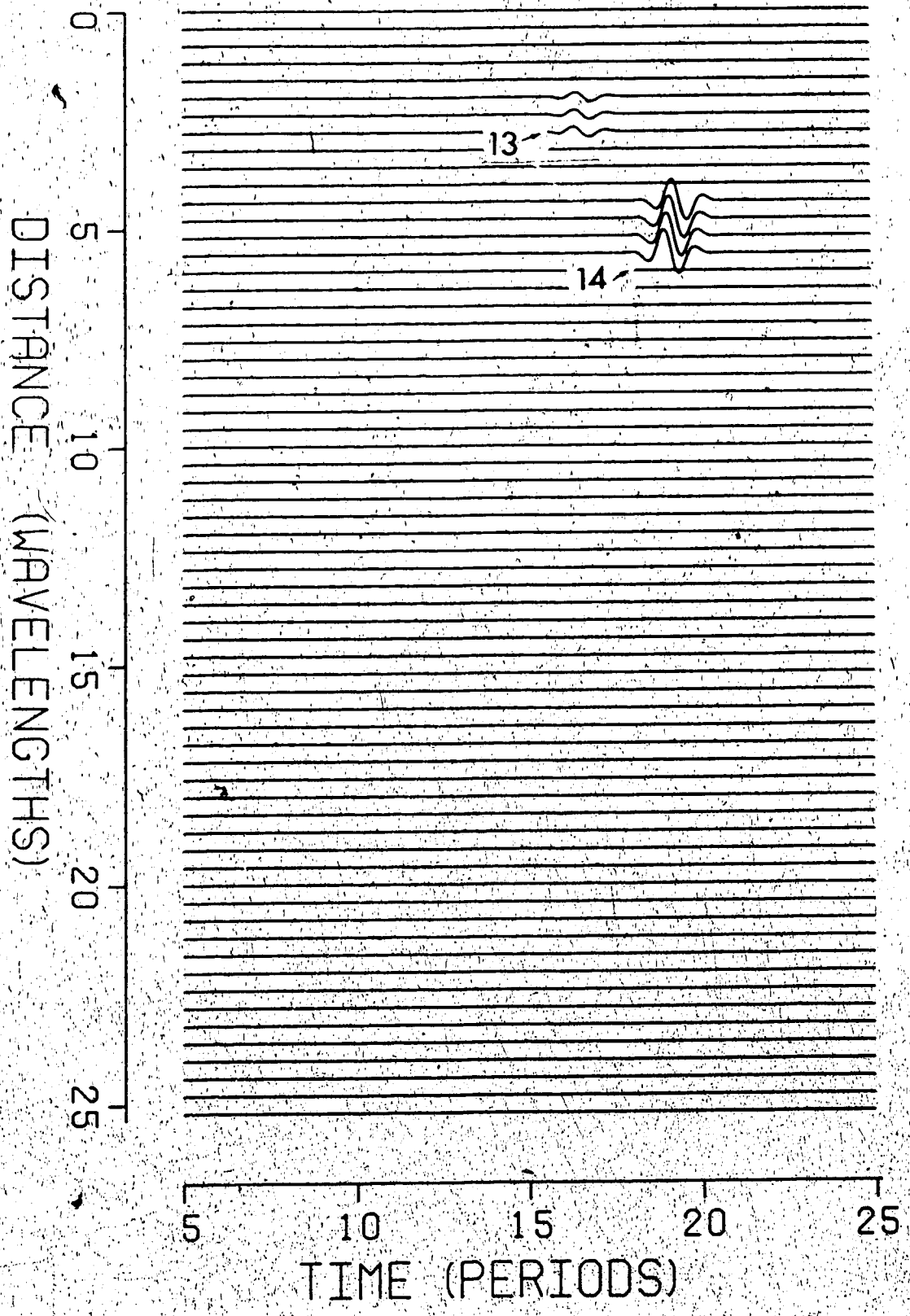


Figure 33. Ray diagram for the second part of geometrical rays in group III.

The rays will travel from the source, transmit into layer II (or IIa, the box), reflect from the interface AC (or BD), travel downwards and reflect at boundary  $L_2L_2'$ , before travelling upwards and transmitting back into layer I.

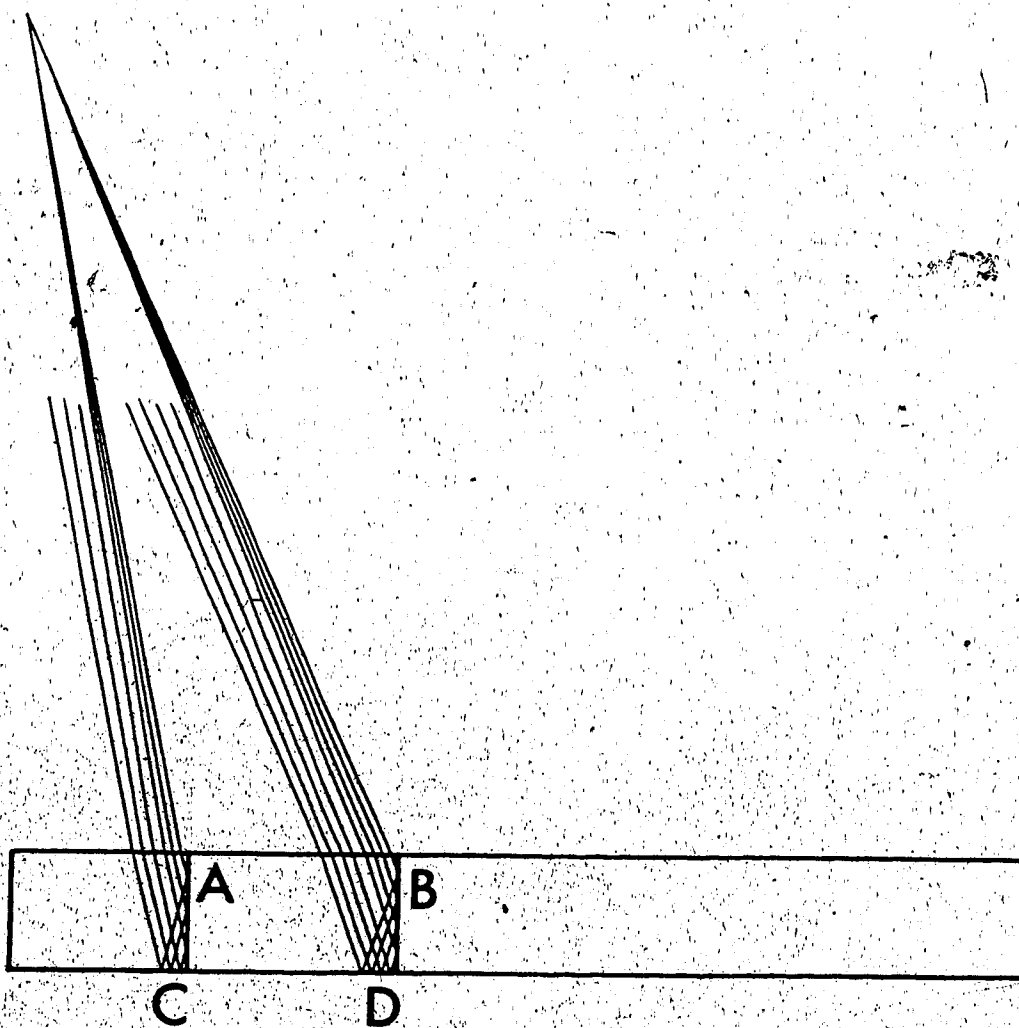




Figure 34 Synthetic seismograms for the second part of geometric rays in group III see Fig. 33.

Contributions 15 and 16 are due to the first and second bundles of rays in Fig. 33 reflected from the vertical side of the box AC and BD, respectively.

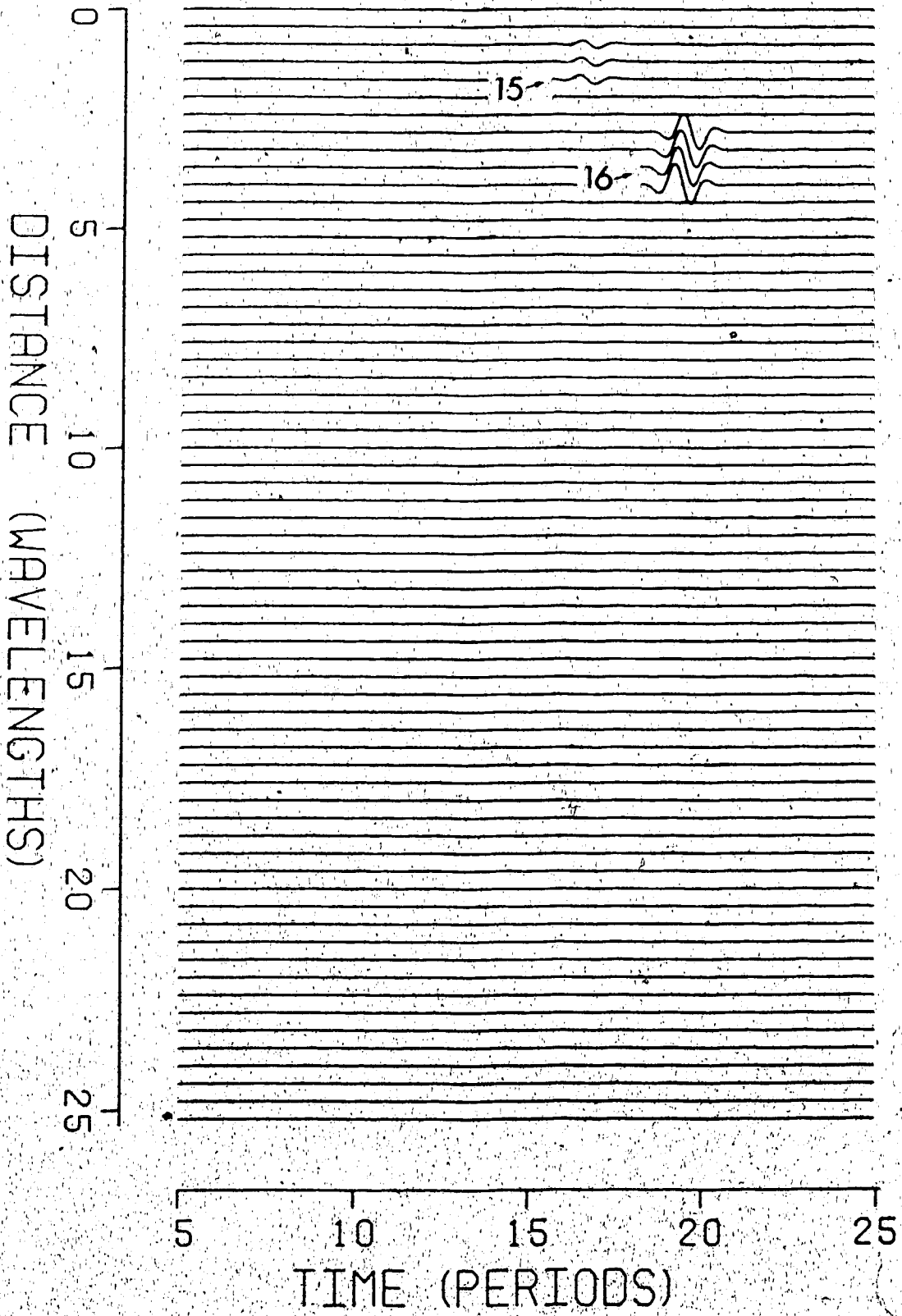


Figure 35 Sum of contributions 13-16.

Synthetic seismograms due to all four bundles of geometrical rays in group III.

N.B. Contributions 13 in Fig. 32 and 15 in Fig. 34 represent the same travel branch of rays reflected from the vertical side AC of the box, even though it is due to 2 different bundles of rays with reverse reflection sequences.

The same is true for contributions 14 and 16 due to the rays reflected from BD.

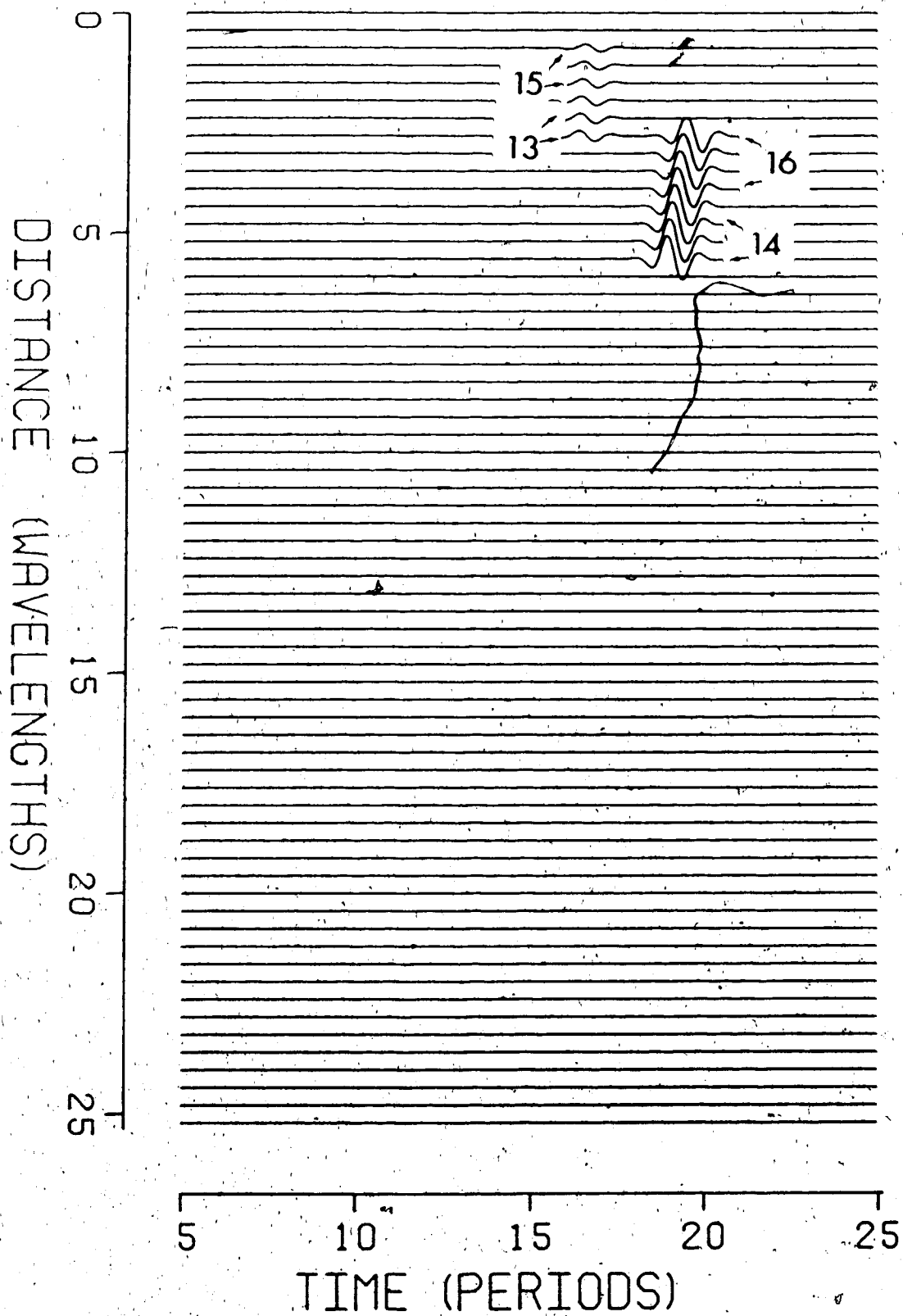


Figure 36 (a) Radiation characteristics for the diffracted rays due to the first bundle of the first part of geometric rays in group III (b) shows the corresponding shadow boundary due to corner A. (c) Radiation characteristics for the diffracted rays due to the second bundle of the first part of geometric rays in group III (d) shows the corresponding shadow boundary due to corner B.

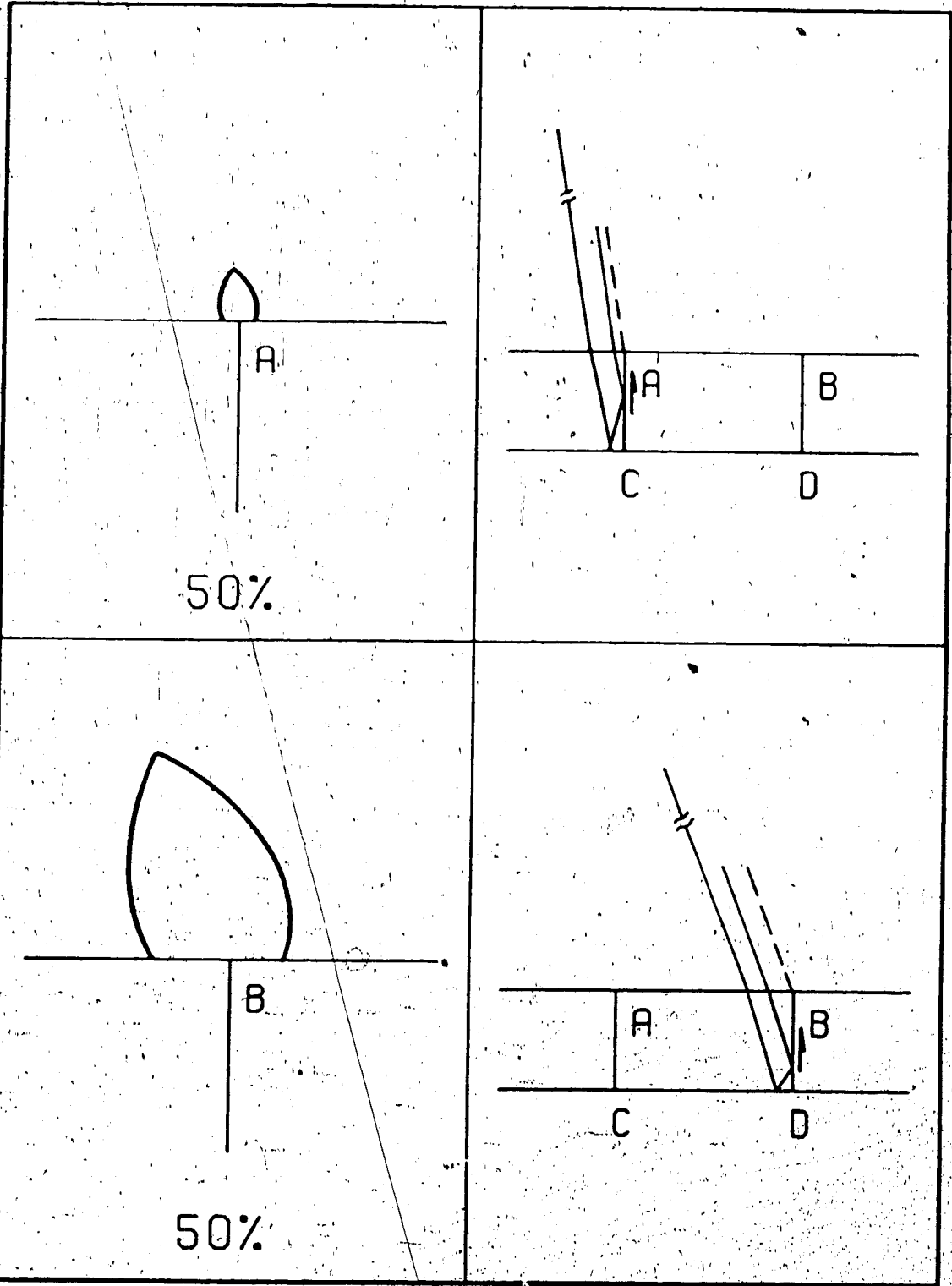


Figure 37 Synthetic seismograms corresponding to diffracted arrivals in Fig. 36: contribution 17 is due to corner A, while contribution 18 is due to corner B.

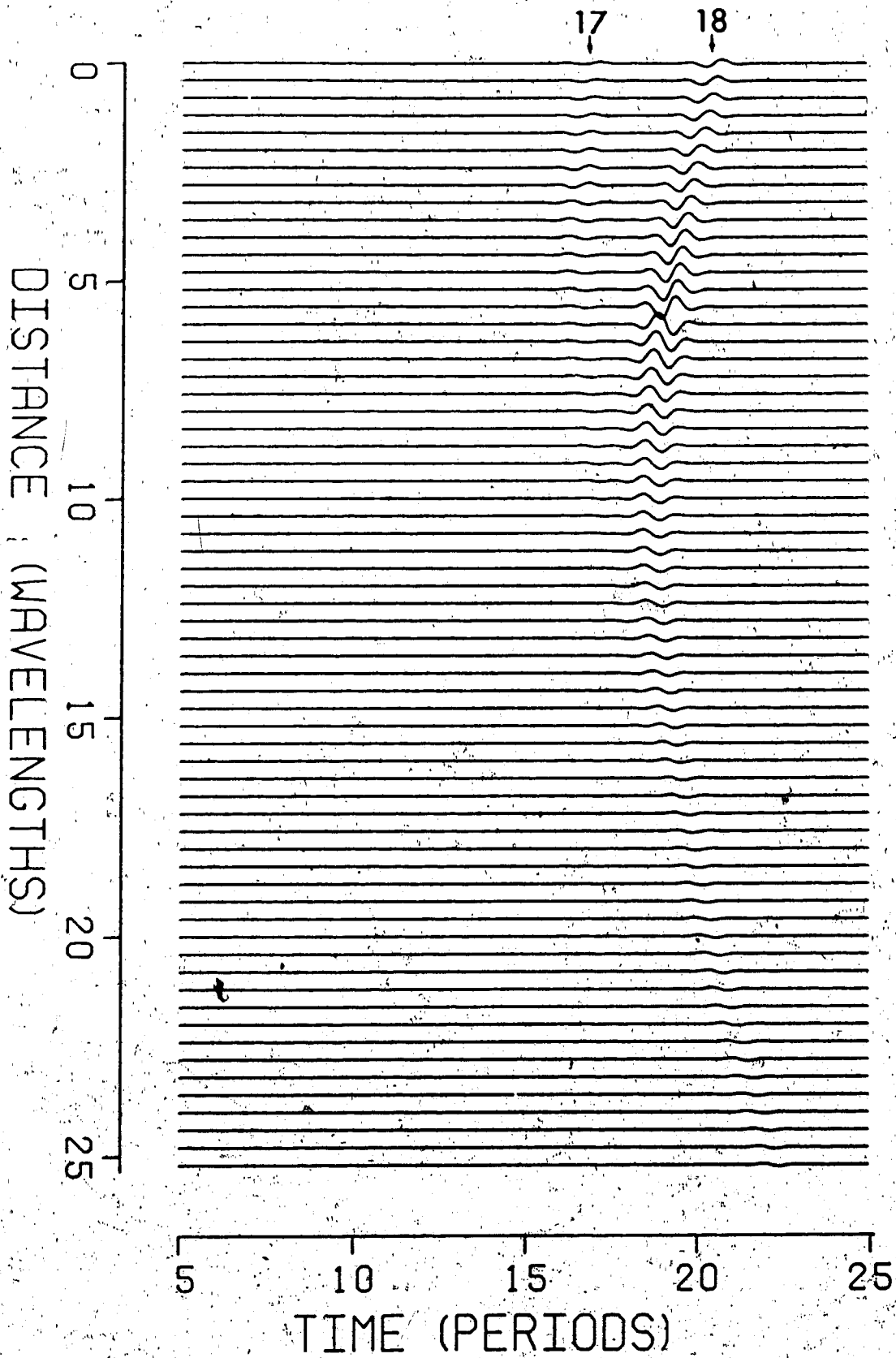




Figure 38 (a) Radiation characteristics for the diffracted rays due to the first bundle of the second part of geometric rays in group III (b) shows the corresponding shadow boundary due to corner A. (c) Radiation characteristics for the diffracted rays due to the second bundle of the second part of geometric rays in group III (d) shows the corresponding shadow boundary due to corner B.

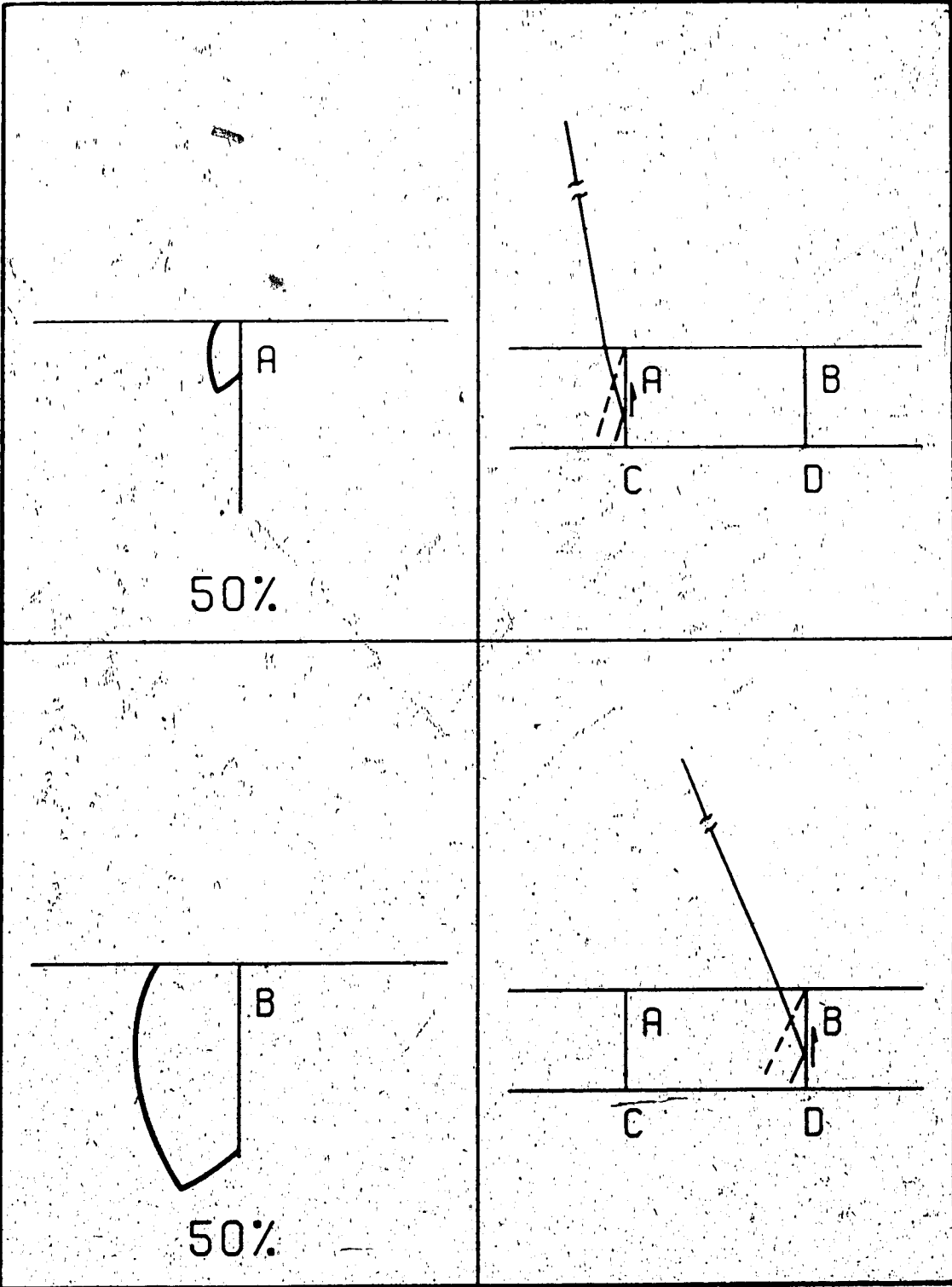
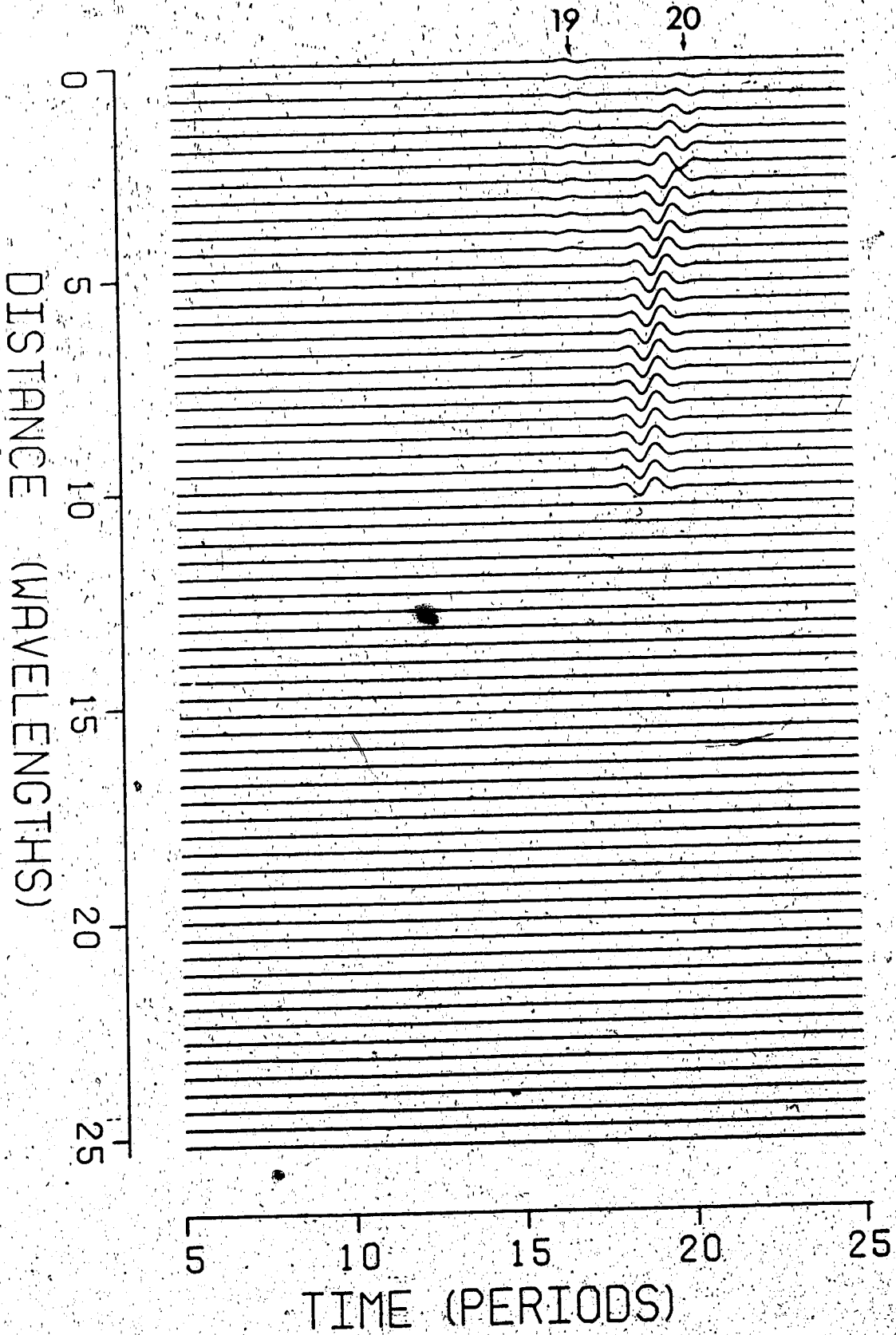


Figure 39 Synthetic seismograms corresponding  
to diffracted arrivals in Fig. 38:  
contribution 19 is due to corner A, while  
contribution 20 is due to corner B.

---



The total diffracted contributions 17 - 22 are shown in Fig. 41. Adding this to the synthetic seismograms of the geometric arrivals gives the total wave field of group III, where corner B (Fig. 35) is given the credit of major response.

4.7 Group IV -- consisting of rays with 6 segments, once reflected from the bottom of the box and crossed both of its vertical sides.

Fig. 43 shows the geometric rays of group IV. It is relatively small bundle of 6-segmented rays traversing through 4 different zones. It turns out that only two receivers pick up this type of event, coded 23 in Fig. 44. Nevertheless, the strength of this arrival necessitates its inclusion into our ray synthetic seismograms.

The diffracted signals in this group are more involved. Figs. 45 and 46 show the first two types, with the corresponding radiation characteristics and shadow boundaries shown in Fig. 47. The respective synthetic seismograms coded 24 and 25 are shown in Figs. 48 and 49. Notice that event 25 has two abrupt terminations indicating the presence of

Figure 40 Synthetic seismograms supplemental  
to diffracted arrivals in Fig. 38,  
corresponding to twice diffracted rays  
A/B (contribution 21/22)

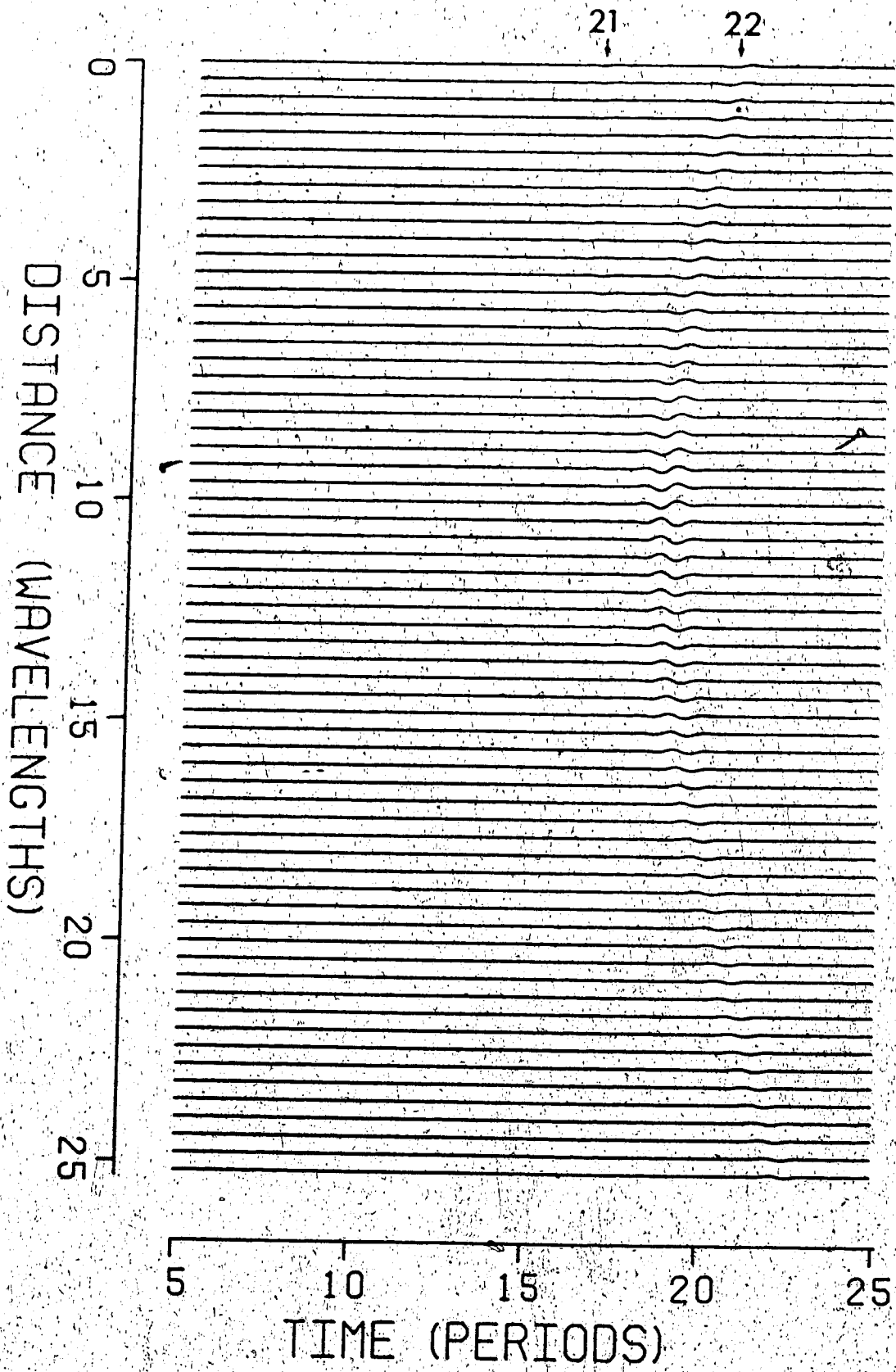


Figure 41. Sum of diffraction contributions in  
group III (17-22).



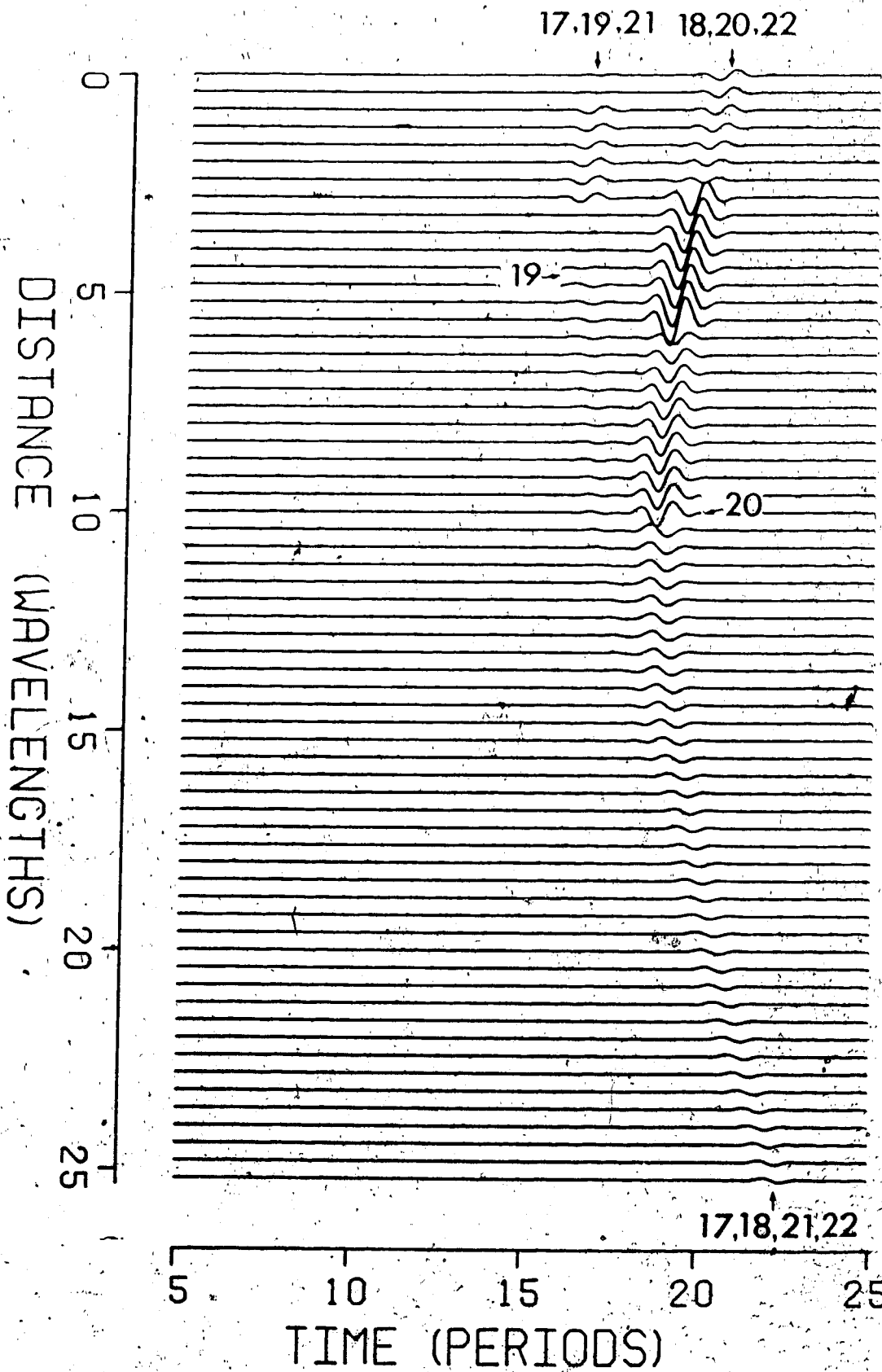


Figure 42 Sum of contributions in group III.

(See Figs. 35 and 41 for comparison).

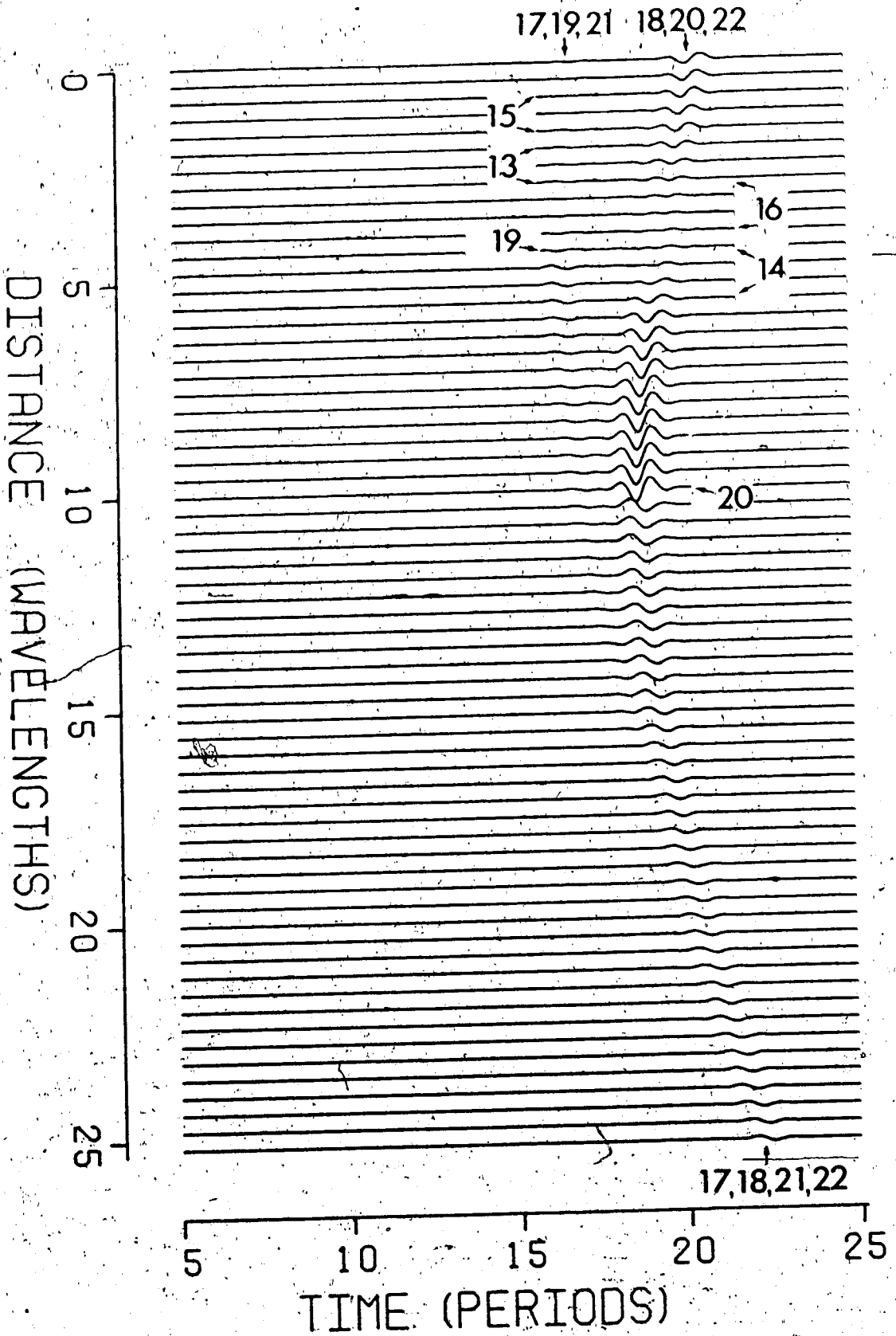
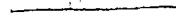


Figure 43 Ray diagram for geometric rays in  
group IV.



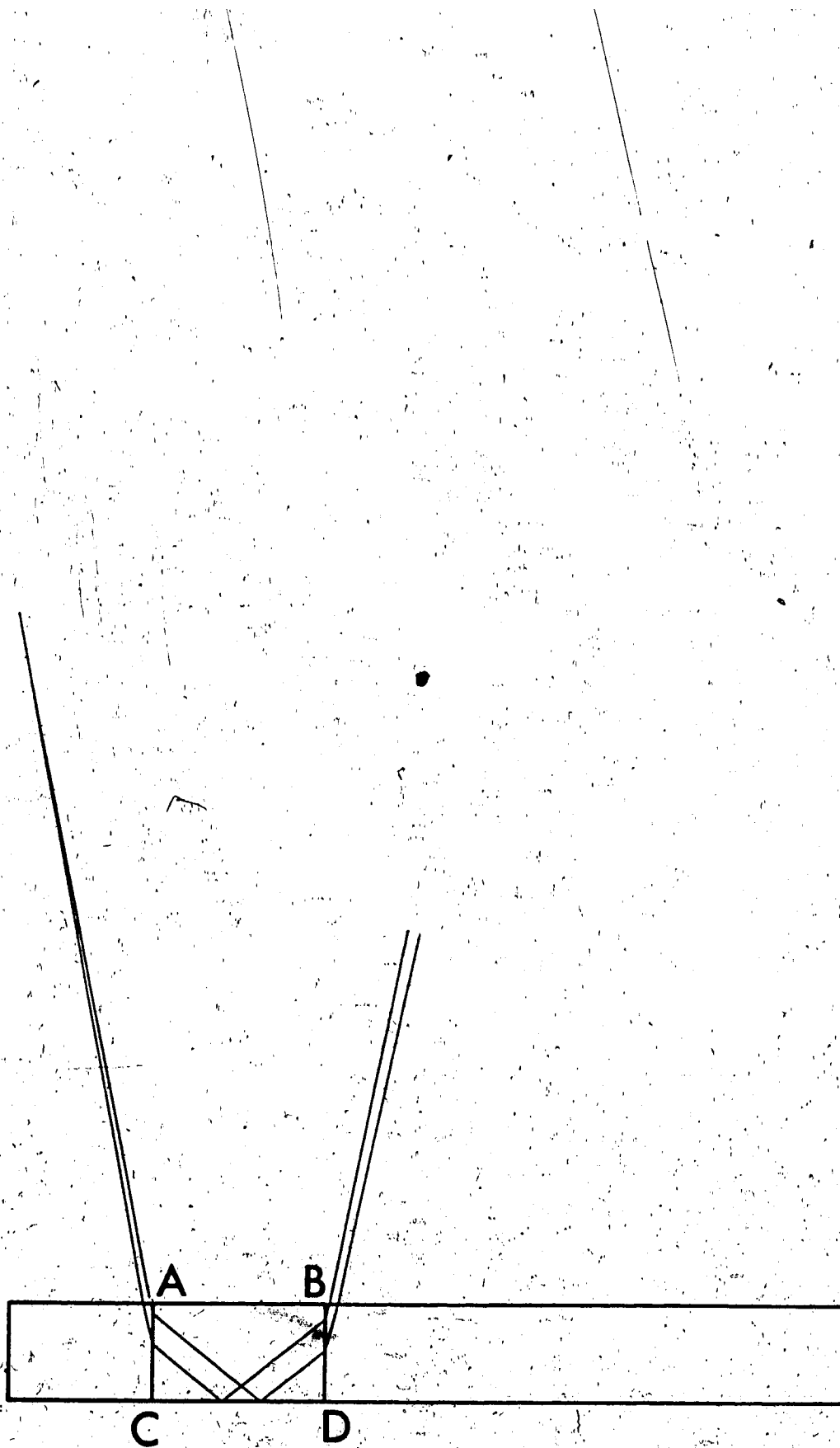


Figure 44 Synthetic seismograms for geometric rays (see Fig. 43) in group IV.

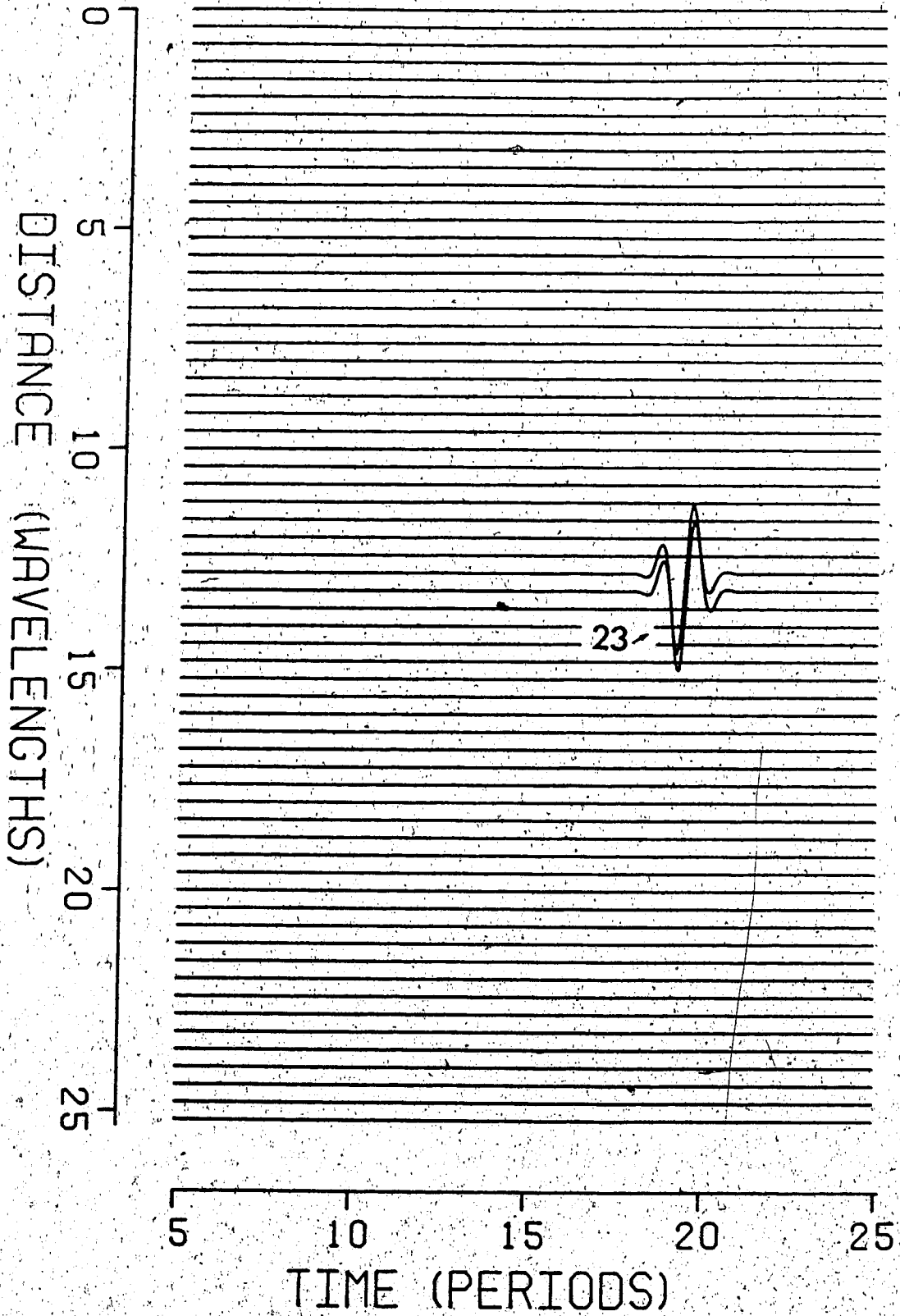
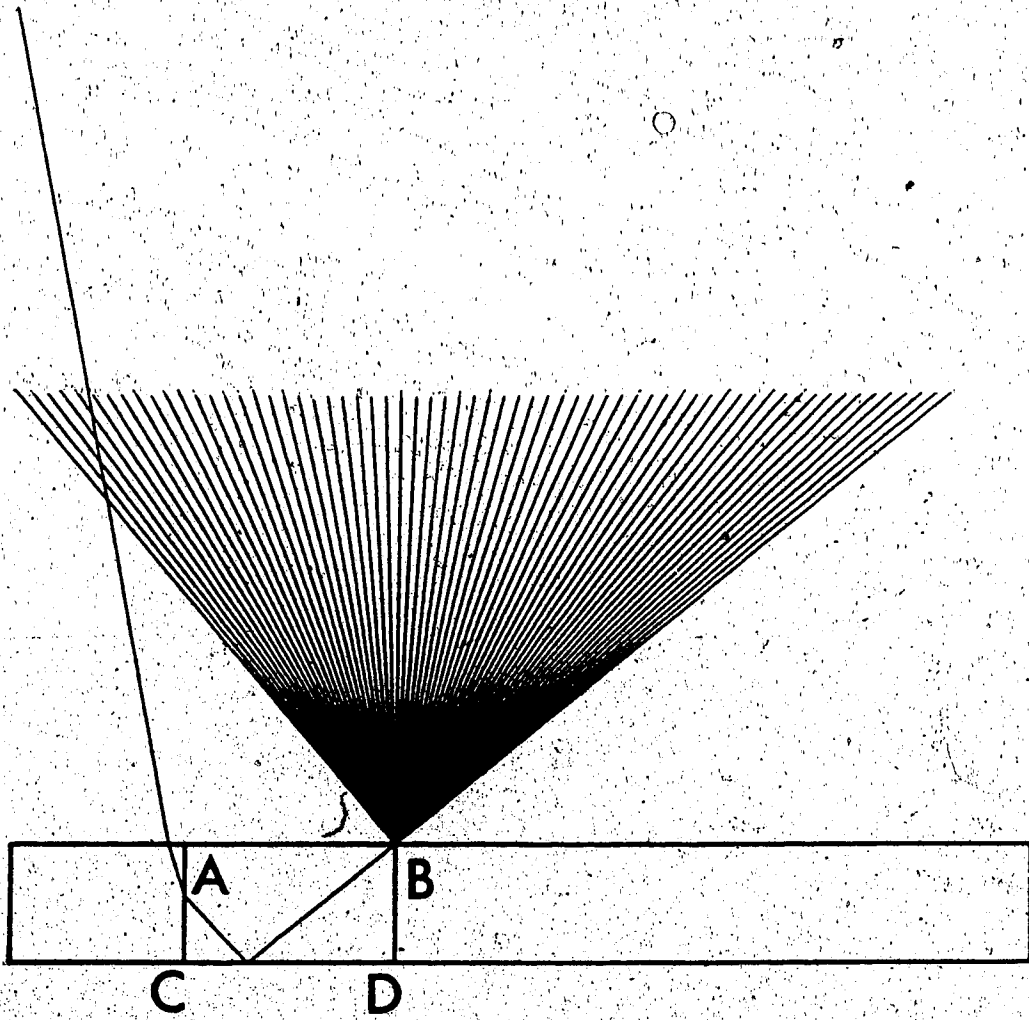


Figure 45 Ray diagram for the first part of  
diffracted rays in group IV.  
Diffraction occurs at corner B.





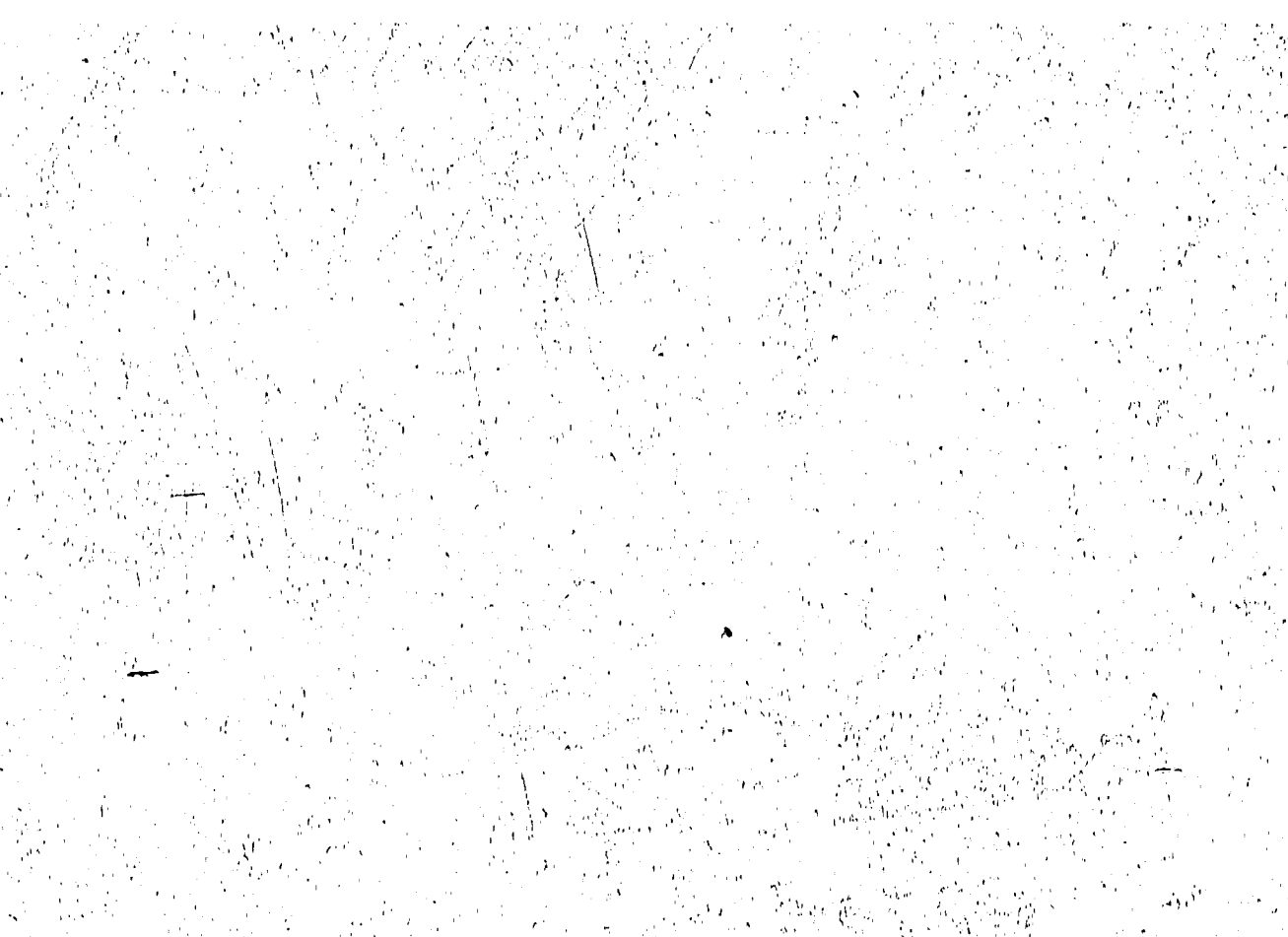
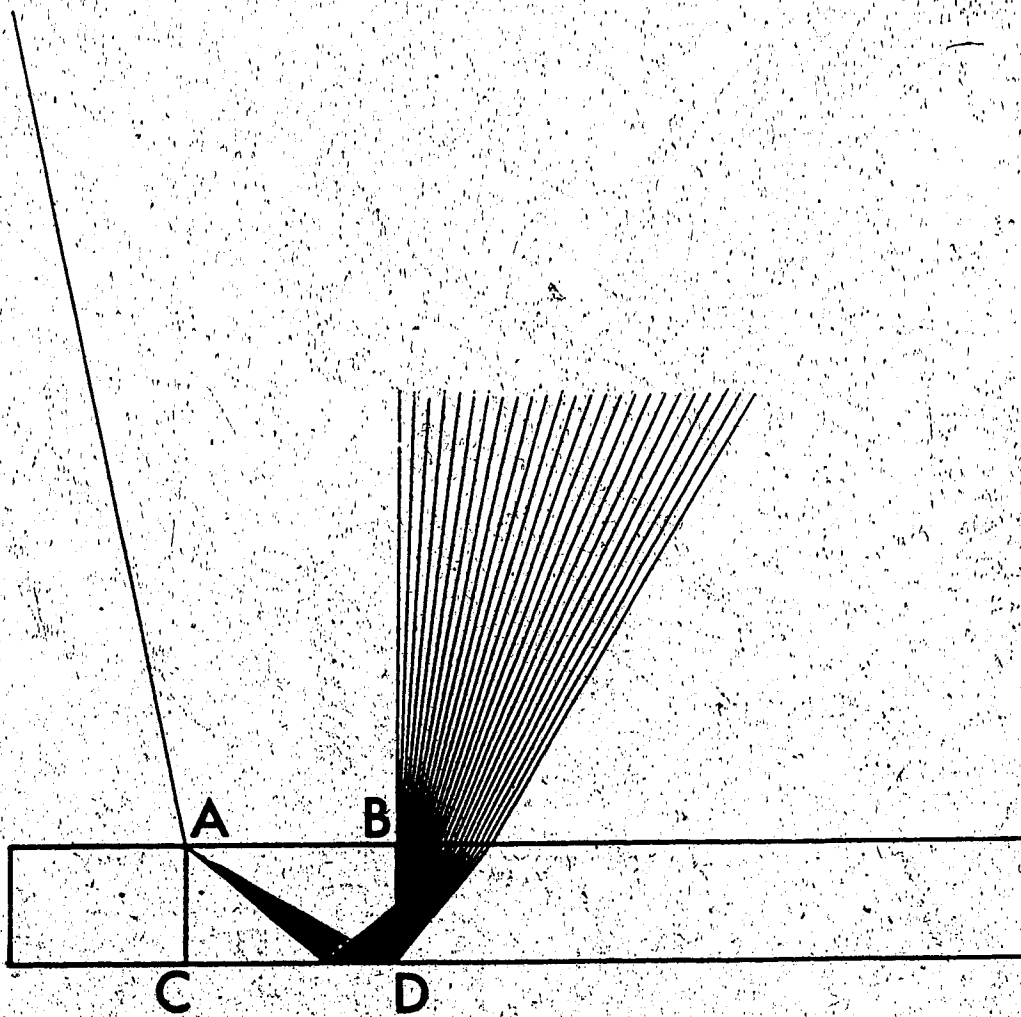


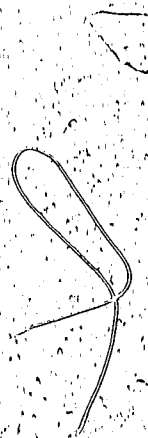
Figure 46 Ray diagram for the second part of  
diffracted rays in group IV.  
Diffraction occurs at corner A.

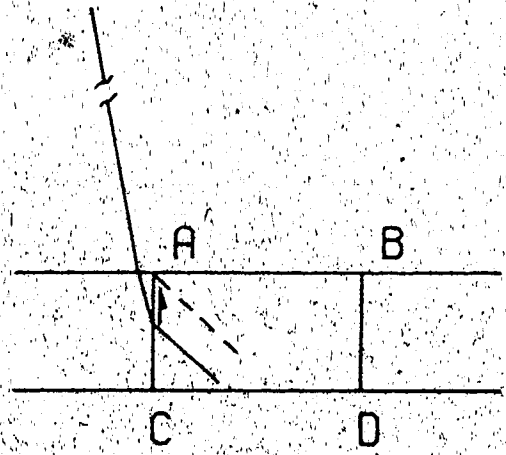
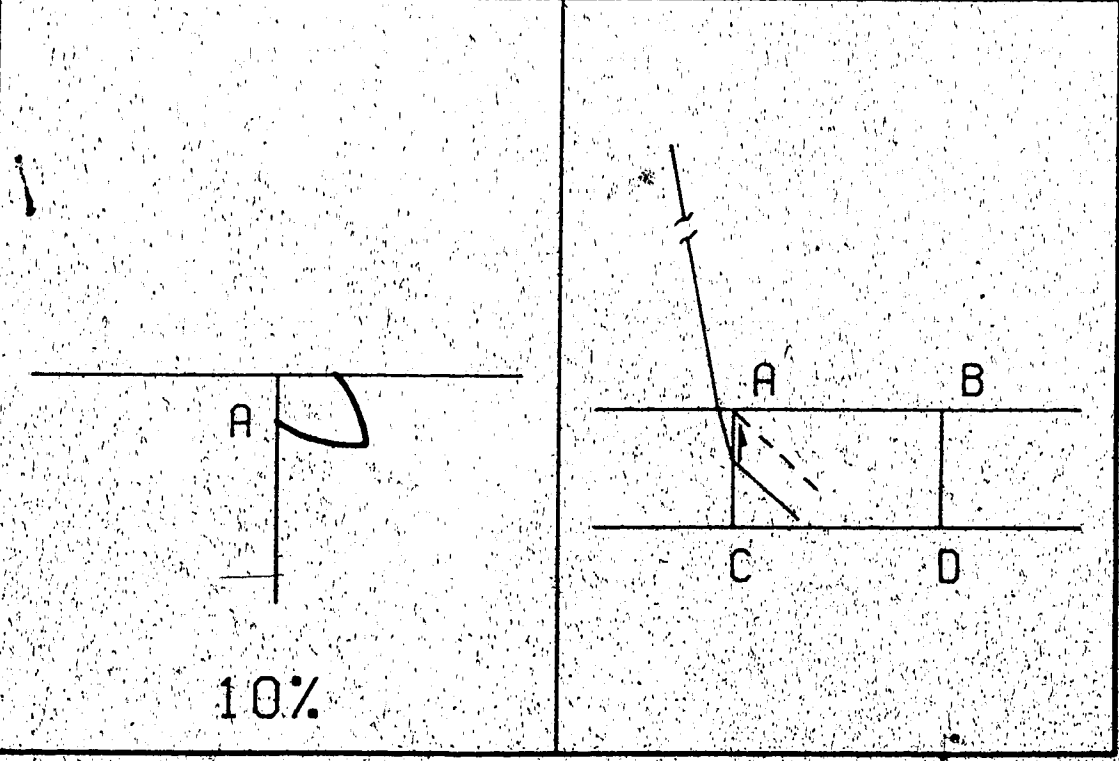
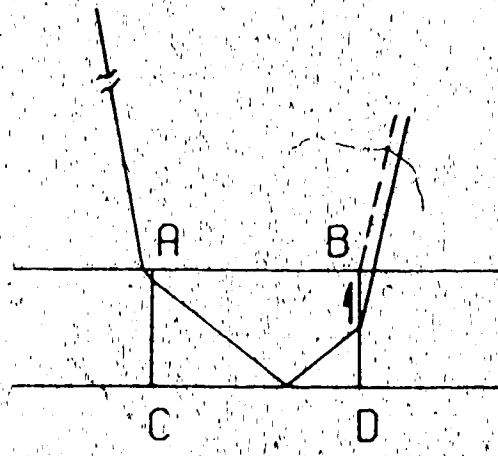
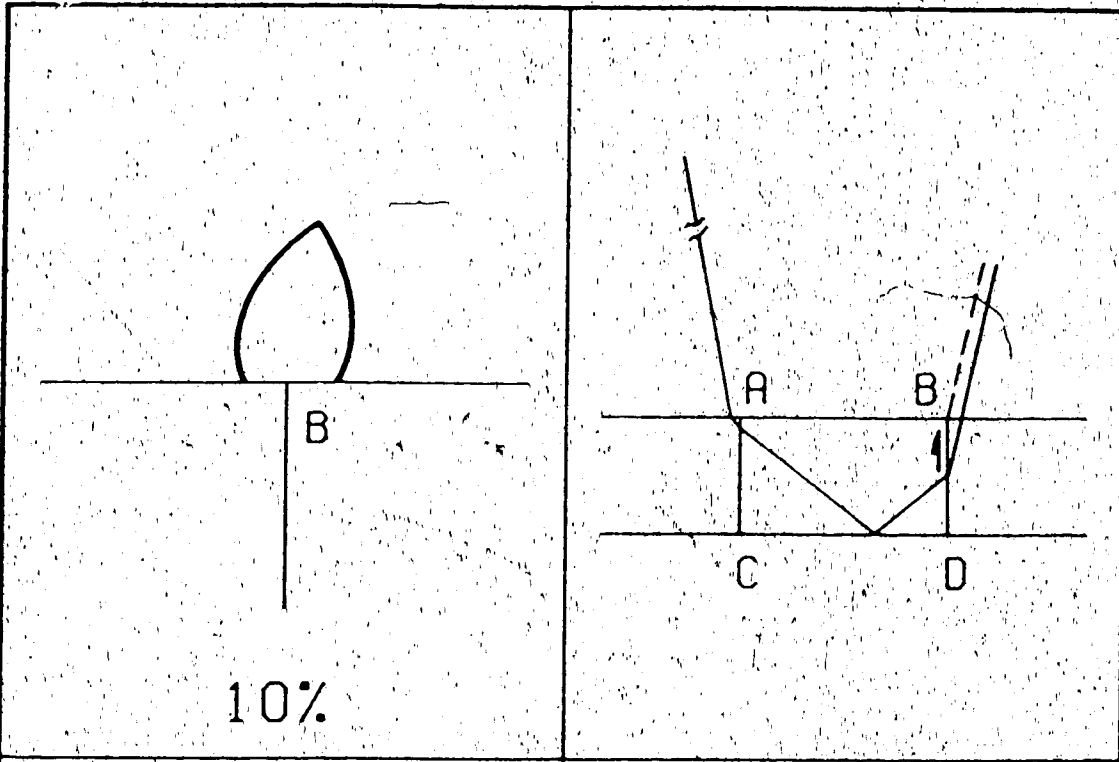


shadow boundaries. In view of the relatively small amplitude received at the distance of approximately  $10.0 w$  there will be not attempt to supplement it. However, the abrupt termination near  $20.0 w$  has to be looked after. The pertinent supplemental signal labelled 26 traces a ray path as follows. After the first diffraction at point A, similar to event 25, it darts towards corner D, where it undergoes a second diffraction before re-entering layer I. The corresponding response, shown in Fig. 50, is by no means negligible. Note that it suffers a discontinuity at the receiver at the distance of around  $10.0 w$ , situating above the corner B. Hence, the future appearance of 26 will be supplemented by an additional contribution, this time a thrice diffracted event, corresponding to rays undergoing subsequent diffraction at corners A, D and B.

As before, all the diffracted signals are added together, resulting the synthetic seismograms in Fig. 51. Complemented by the geometric arrival in the vicinity of label 23, the total contribution of this group appears in Fig. 52. Corners A, B and D are equally responsible in generating signal not predicted by the asymptotic ray theory alone.

Figure 47 (a) Radiation characteristics for  
the first part of diffracted rays in group IV,  
shown in Fig. 45,  
(b) shows the corresponding shadow boundary  
at corner B.  
(c) Radiation characteristics for  
the second part of diffracted rays in group IV,  
shown in Fig. 46,  
(d) shows the corresponding shadow boundary  
at corner A.








Figure 48 Synthetic seismograms for the first  
part of diffracted rays in group IV, see  
Fig. 45.

Point of origination of diffraction - corner B.

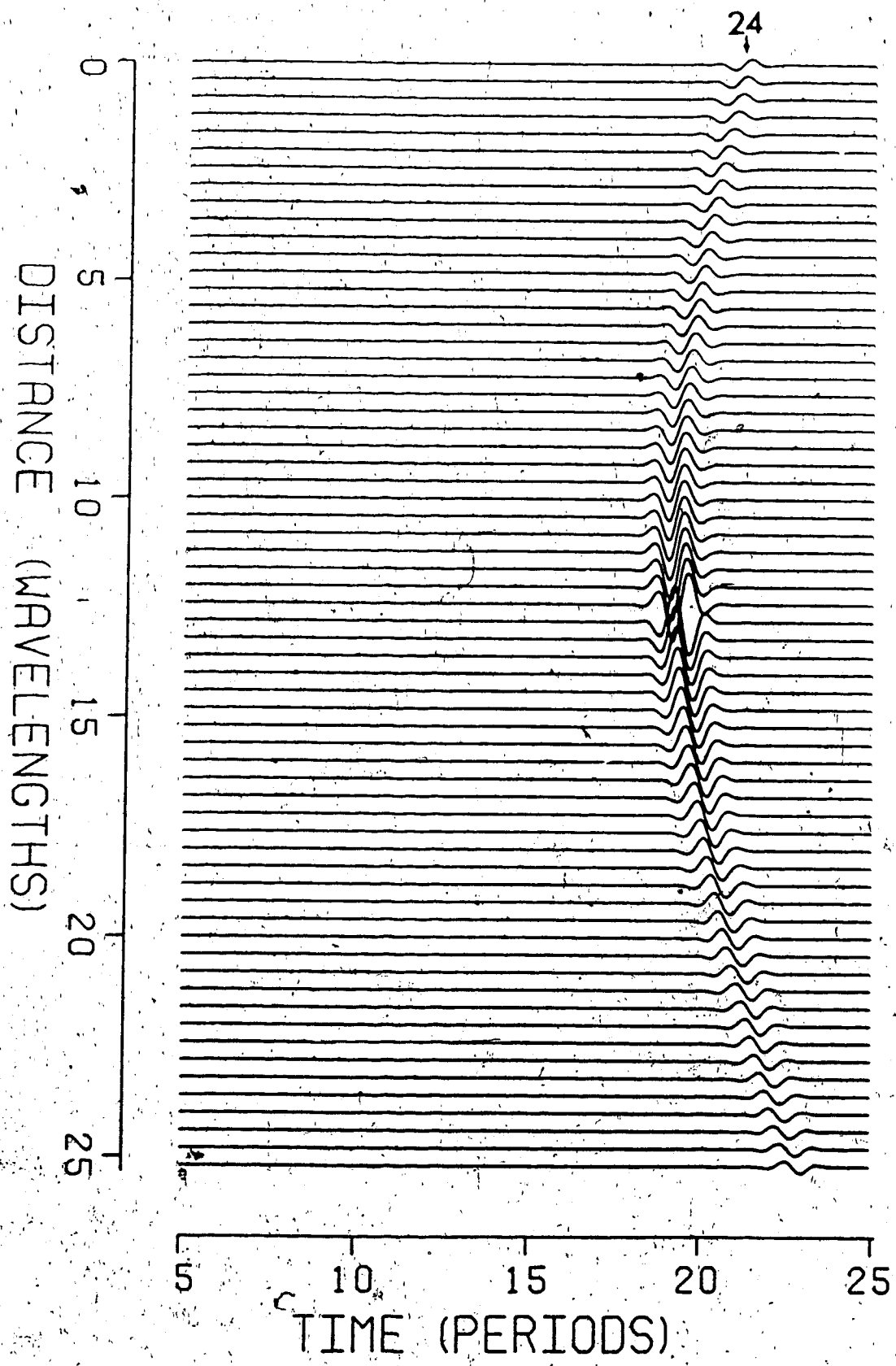




Figure 49 Synthetic seismograms for the second  
part of diffracted rays in group IV, see  
Fig. 46.  
point of origination of diffraction - corner A.

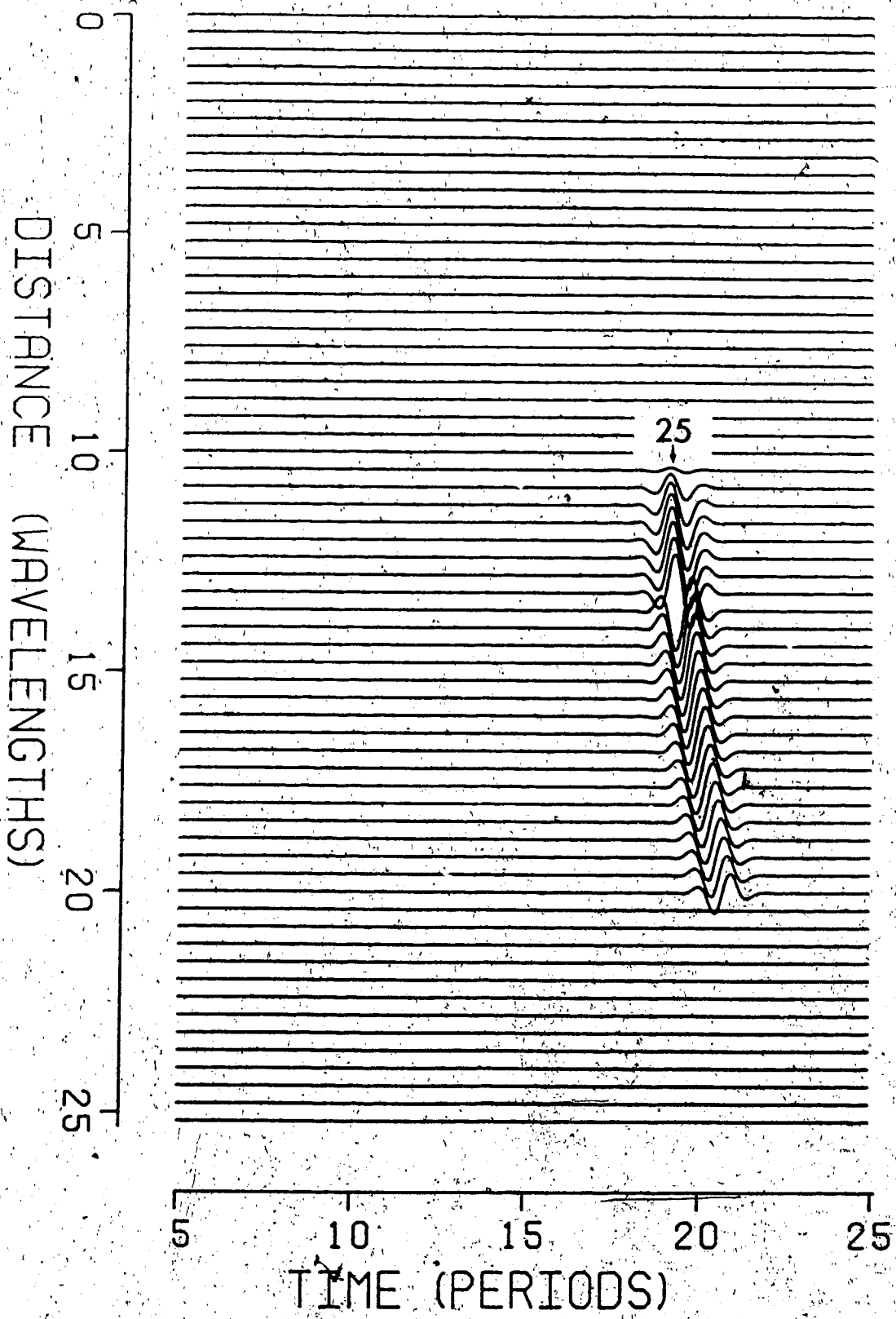


Figure 50 Synthetic seismograms supplemental to  
event 25, and pertinent to twice diffracted rays  
with diffraction points at corners A and D.

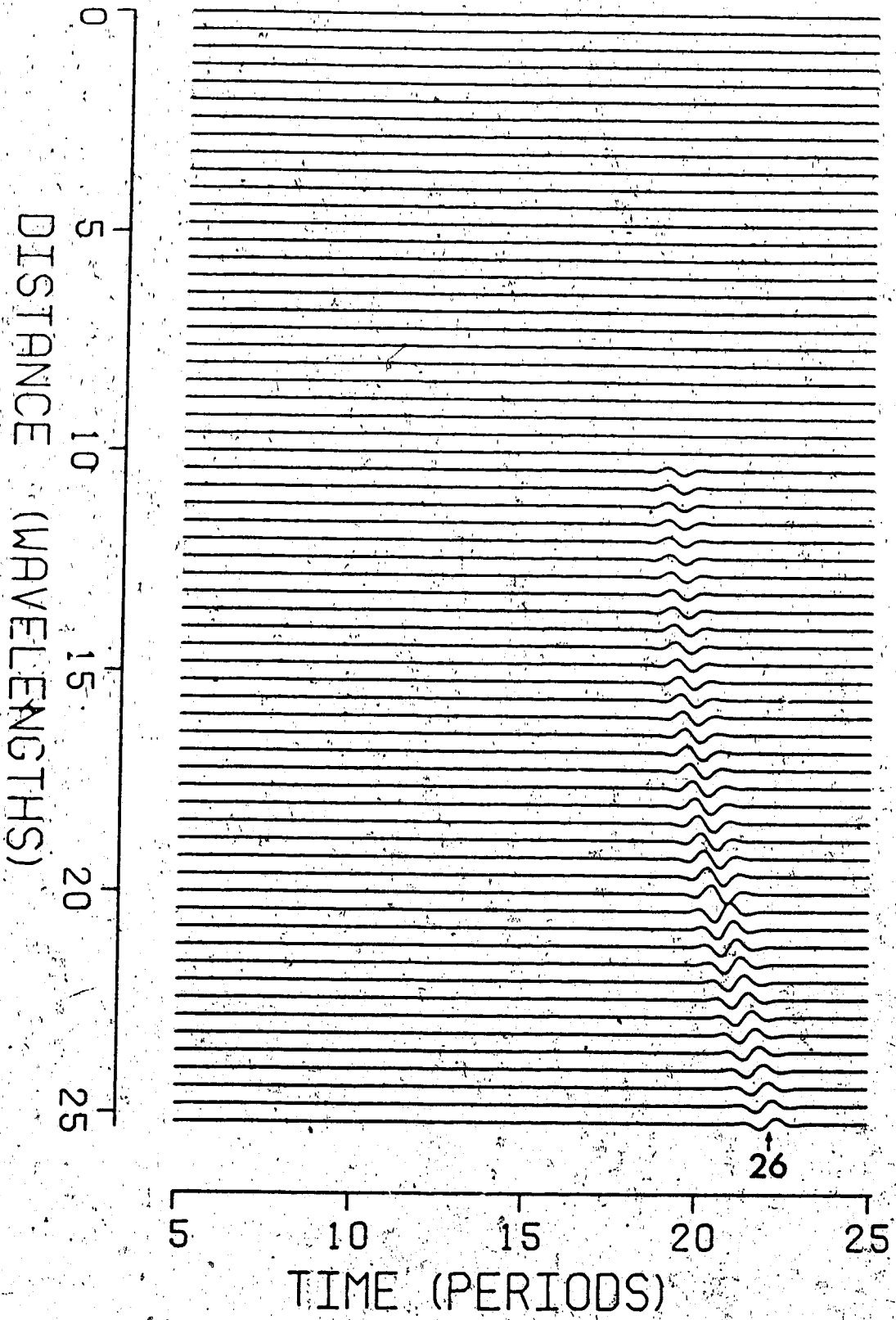
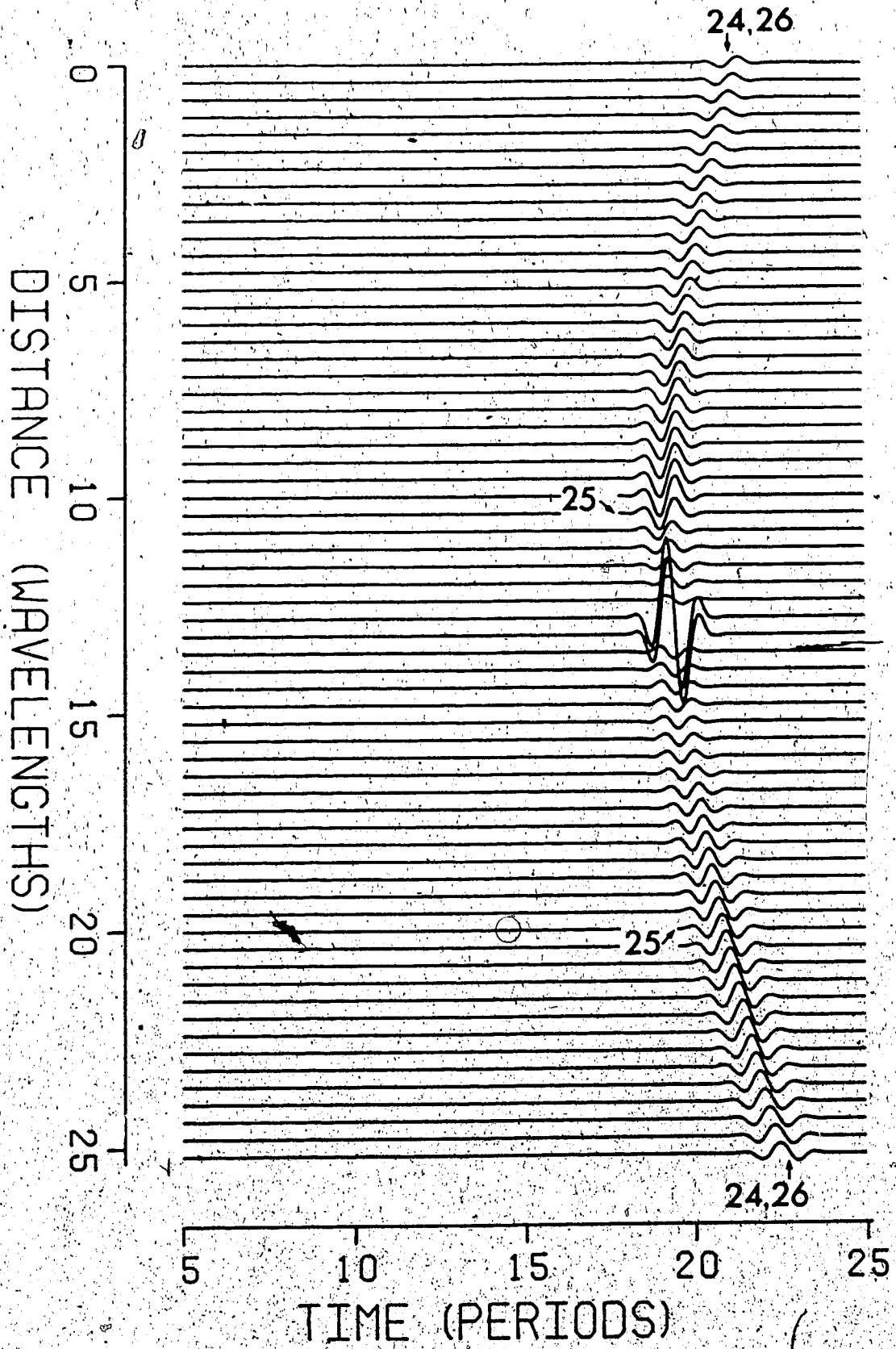


Figure 51 Sum of all diffracted contributions in  
group IV.

N.B. contribution 26 here has already been  
supplemented in this figure.



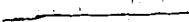
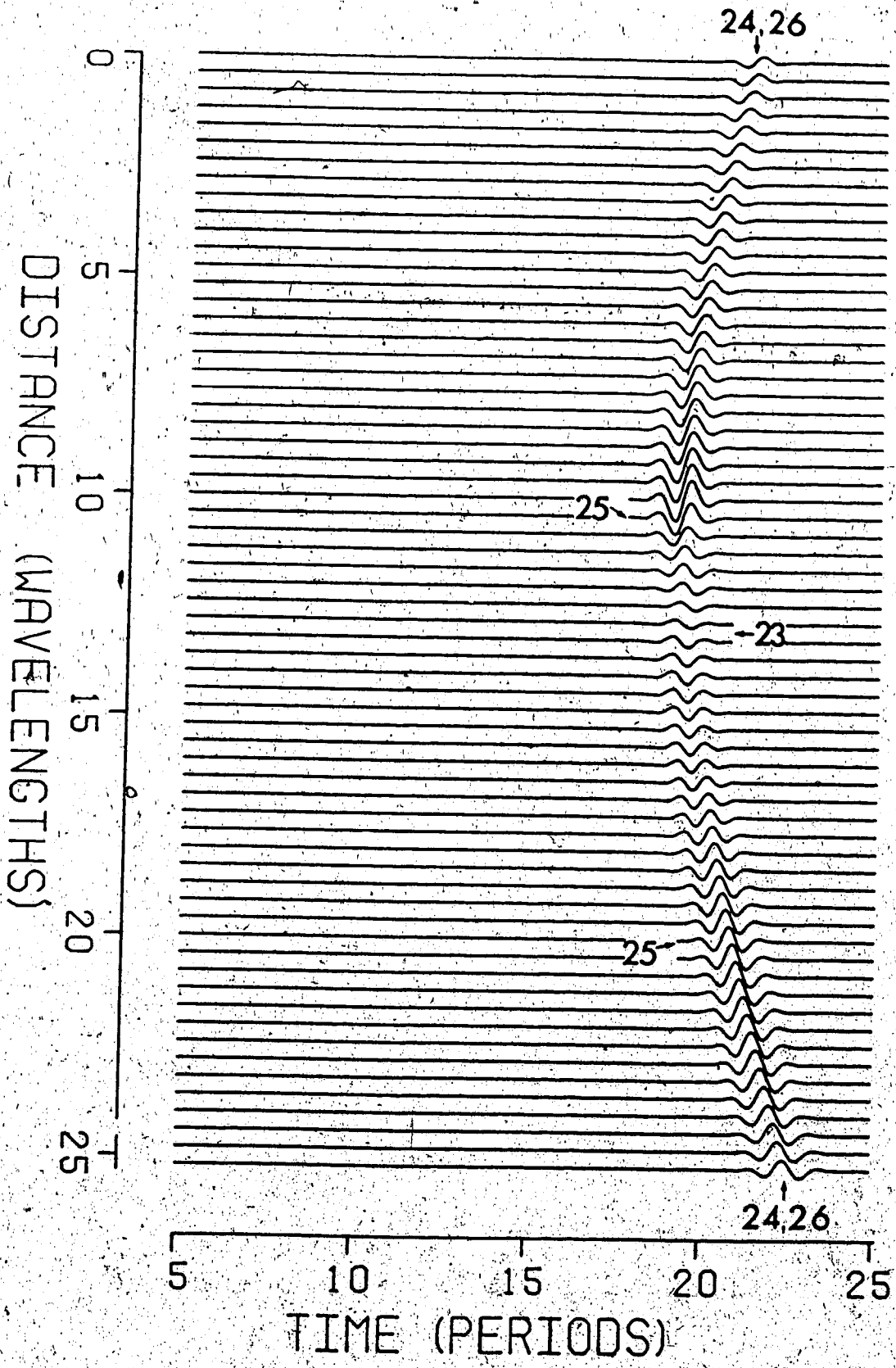


Figure 52 Sum of all contributions in group IV.





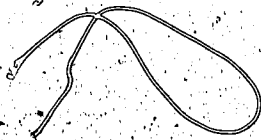
#### 4.8 Group V -- consisting of only diffracted rays originating at corner C.

One peculiarity in group V is that no geometric rays may arrive at any one of the receivers. In Fig. 53b, the extension of the shadow boundary intersects the boundary BD. Hence, there will be no reflections from  $L_2L_2'$  that can reach boundary AB and escape back into layer I. Notwithstanding the related signals diffracted at C and transmitted through BD and the top interface  $L_1L_1'$  can be registered at the receivers. They are labelled as contribution 27 and shown in Fig. 54. The situation in Fig. 53d is different. Although the 4th segment of each geometric ray is transmitted back into layer I, the last segment is bent so close to the boundary AB that no receiver within our range will pick up such signal. Nevertheless, its related signals diffracted at corner C, labelled 29, are shown in Fig. 57. Both types of contribution display abrupt termination due to the existing shadow boundaries similar to those displayed by rays 9, 10 and others. Supplemental fields labelled 28 and 30 are computed for both and shown in Figs. 55 and 58. The smoothed signals of 27+28, and 29+30 are shown in Fig. 56 and 60, respectively. And finally, 27 through 30 are summed to give the group V total field (see Fig. 60). This is the first time when corner C plays an important role in the diffracted field.

Figure 53 (a) Radiation characteristics for the first part of once diffracted rays in group V (b) shows the corresponding shadow boundary at corner C.

(c) Radiation characteristics for the second part of once diffracted rays in group V (d) shows the corresponding shadow boundary at corner C.

N.B. In both cases, a diffracted ray from corner C will travel in the box before being transmitted back into layer I.



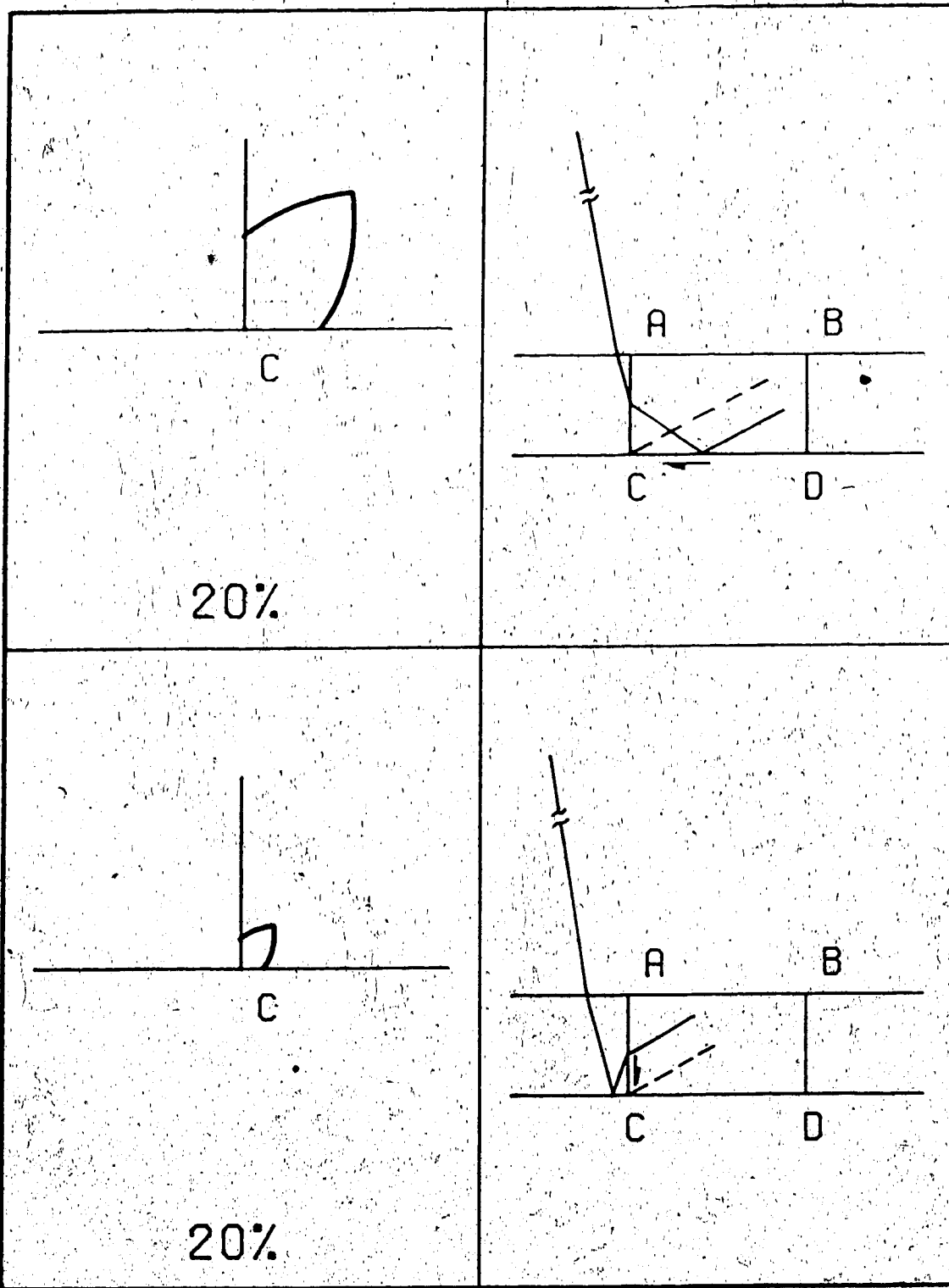


Figure 54 Synthetic seismograms for rays diffracted  
at C and described in Fig. 53b.

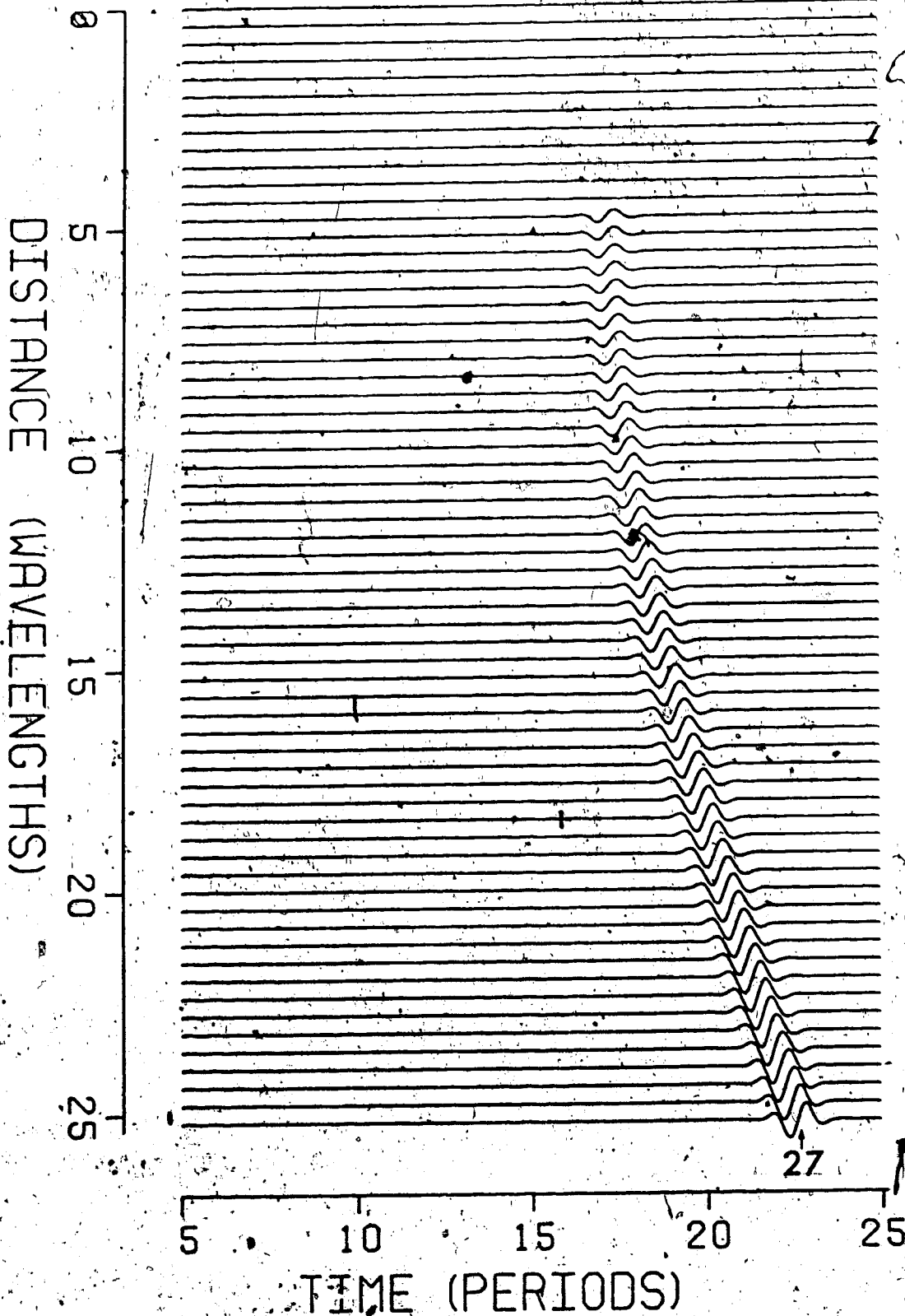


Figure 55 Supplemental signal to contribution 27.

It corresponds to rays twice diffracted corners  
C and B.

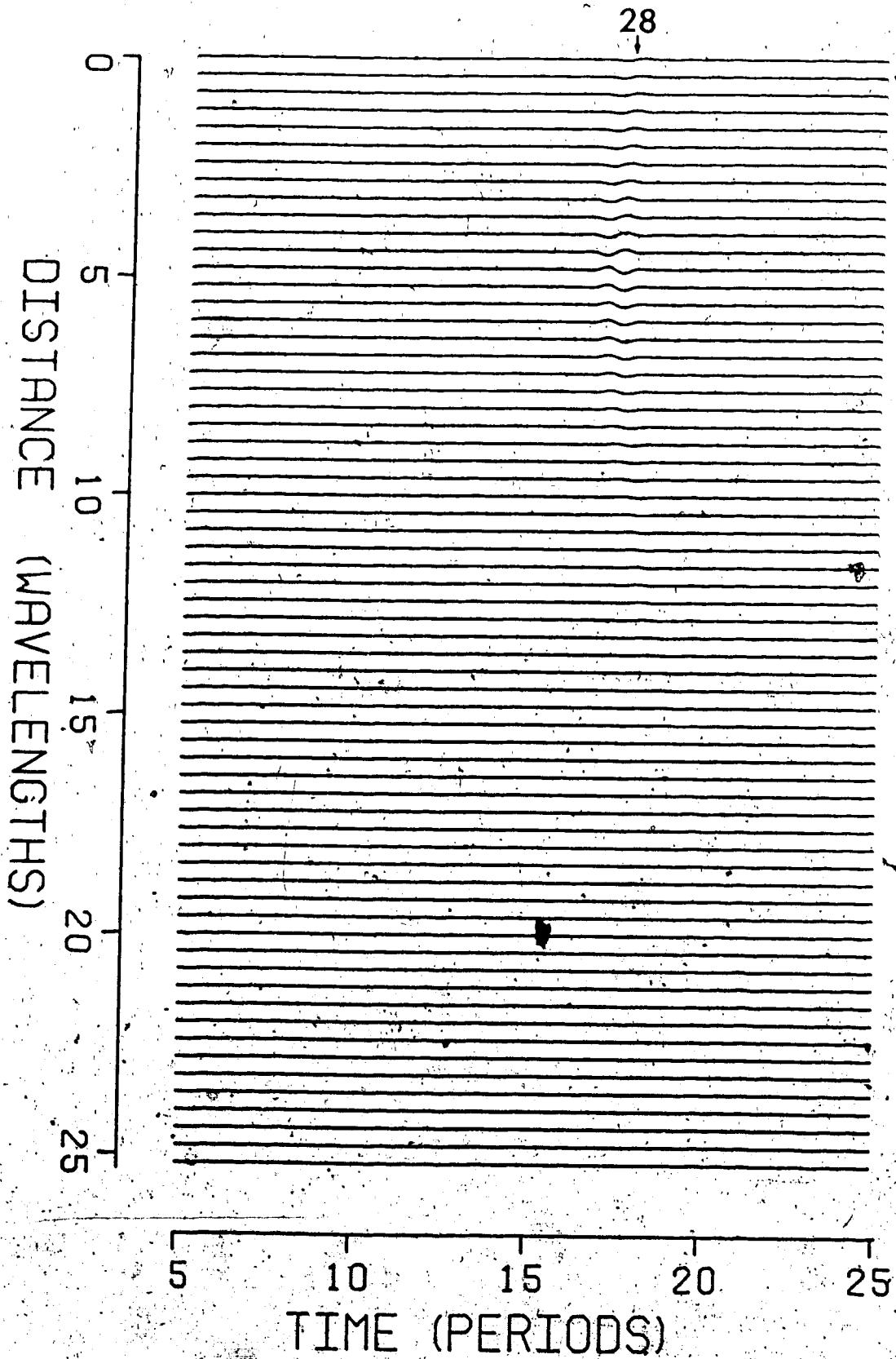


Figure 56. Sum of diffracted contributions 27 and 28.



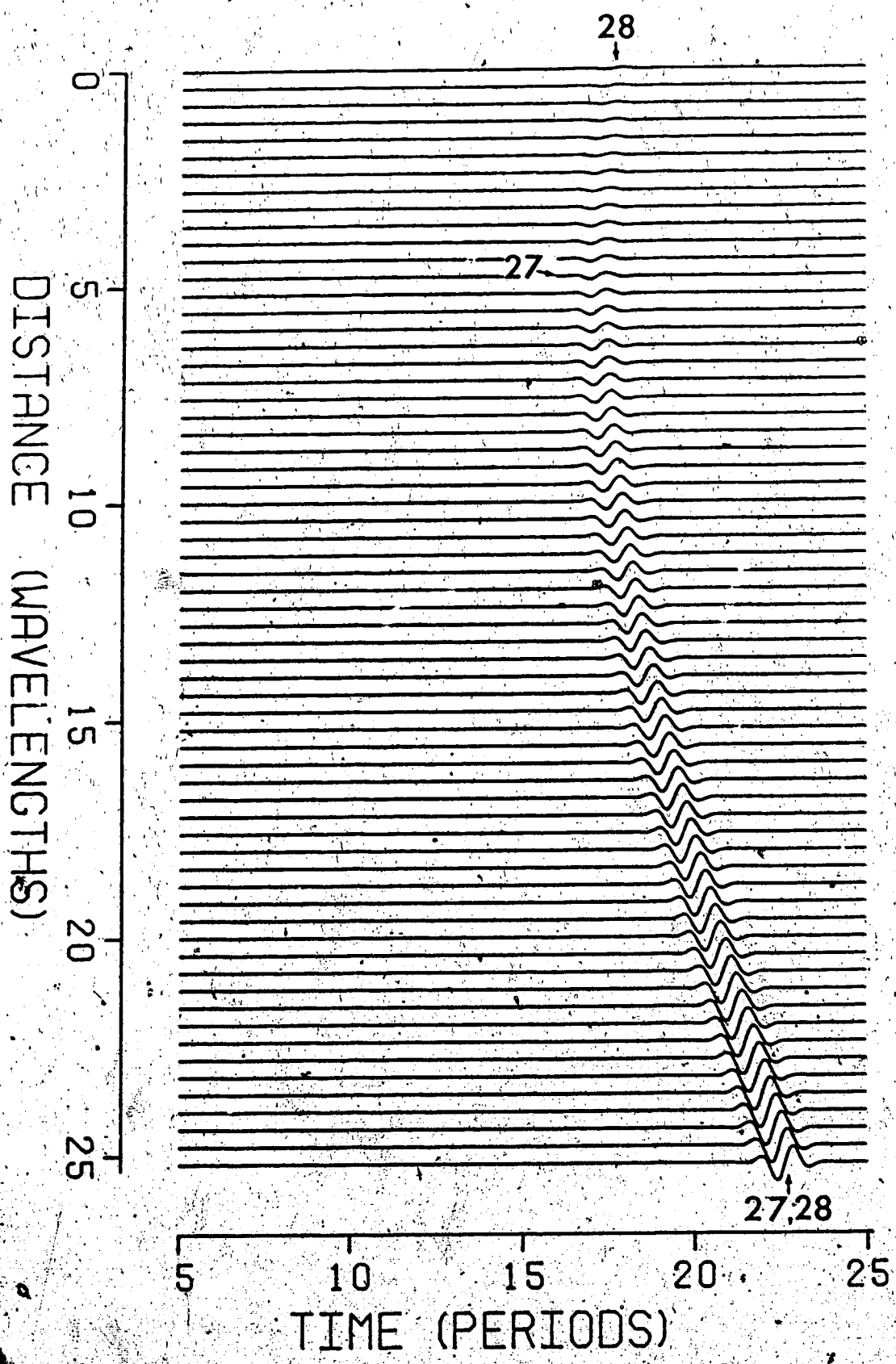


Figure 57: Synthetic seismograms for rays diffracted  
at corner C and described in Fig. 53d.

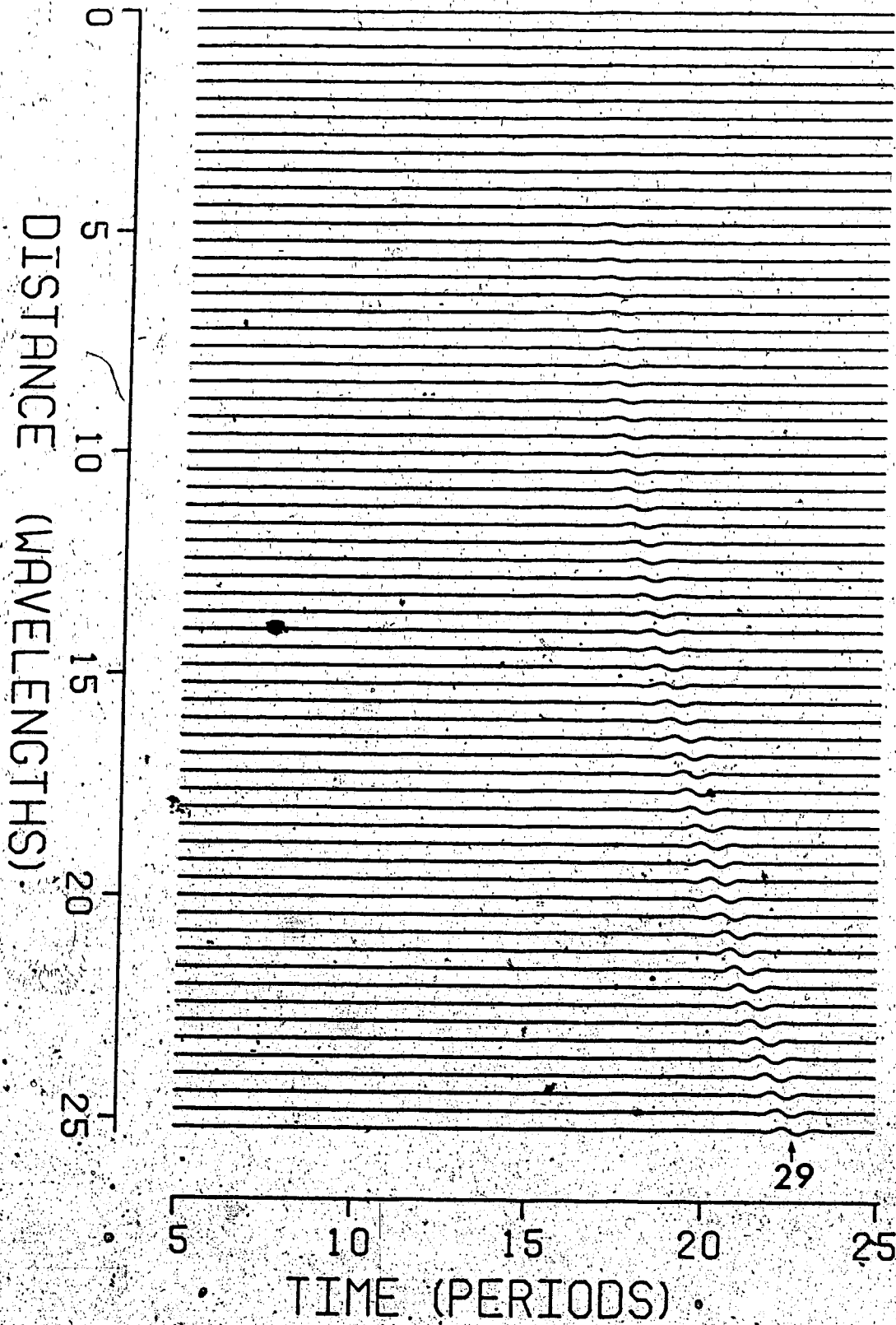


Figure 58. Supplemental signal to contribution 29.

It corresponds to rays twice diffracted at corners C and B, see Figs. 53d and 57.

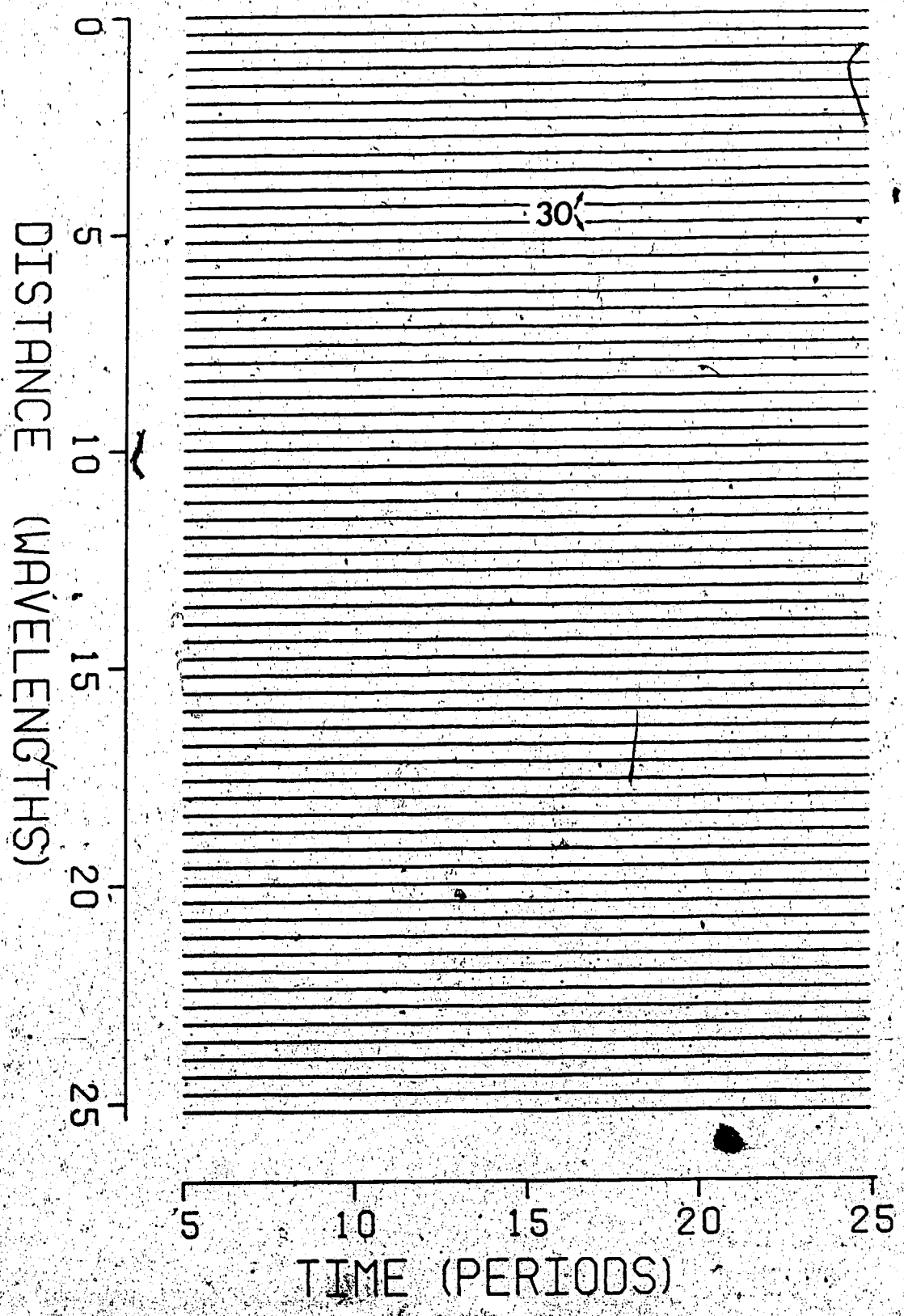


Figure 59. Sum of diffracted contributions 29 and 30.

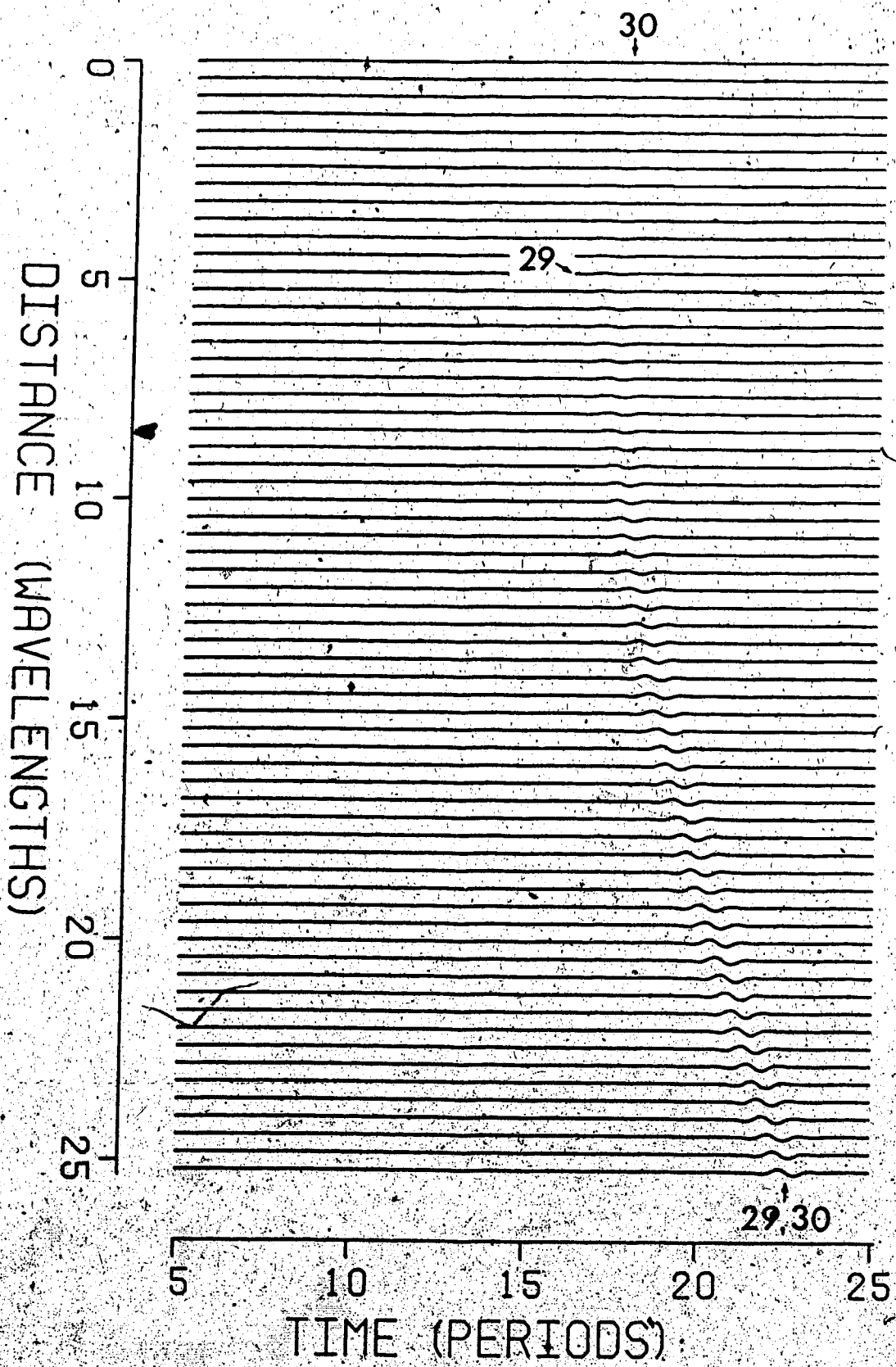
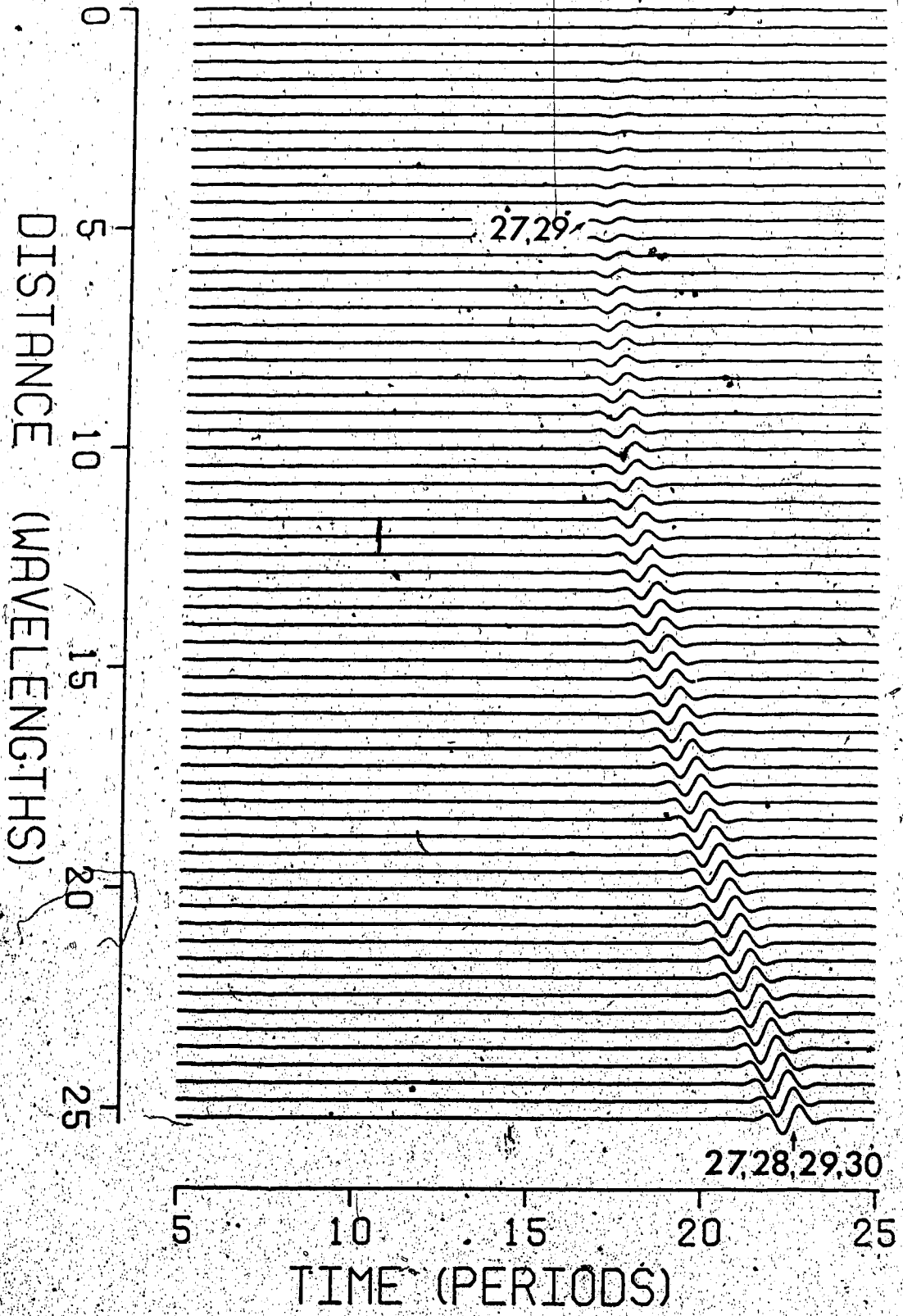


Figure 60 Sum of all contributions in group V.





4.9 Group VI -- consisting of rays reflected from the bottom of the box and diffracted at B on their way to layer I

Fig. 61 shows the ray paths of a bundle of diffracted signal. Note that all receivers within the prescribed range are on the shadow side. See Fig. 62b for the position of the shadow boundary. The radiation characteristic in Fig. 63a indicates a small amplitude throughout, except for stations near point R. The response, coded 31, is shown in Fig. 63. This is the only and total contribution for group VI.

4.10 Group VII -- consisting of rays diffracted at corner A, reflected from the bottom of the box and directly entering layer I through the top of the box

The description of the ray paths of group VII has to be traced back to Figs. 46 and 47d of group IV. Instead of entering the section of layer II to the right of the box, the rays diffracted at corner A are reflected from the  $L_2L_2$

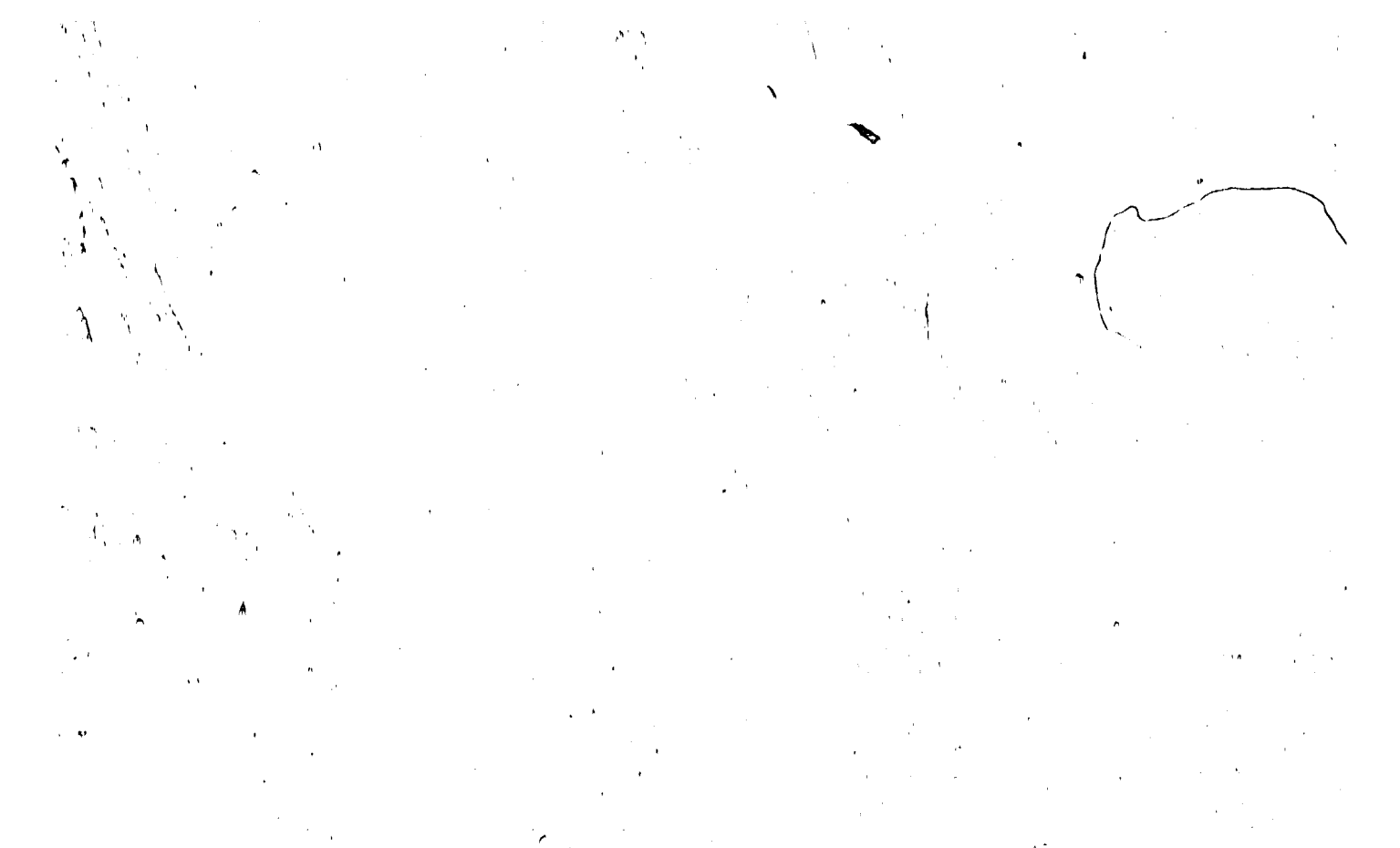
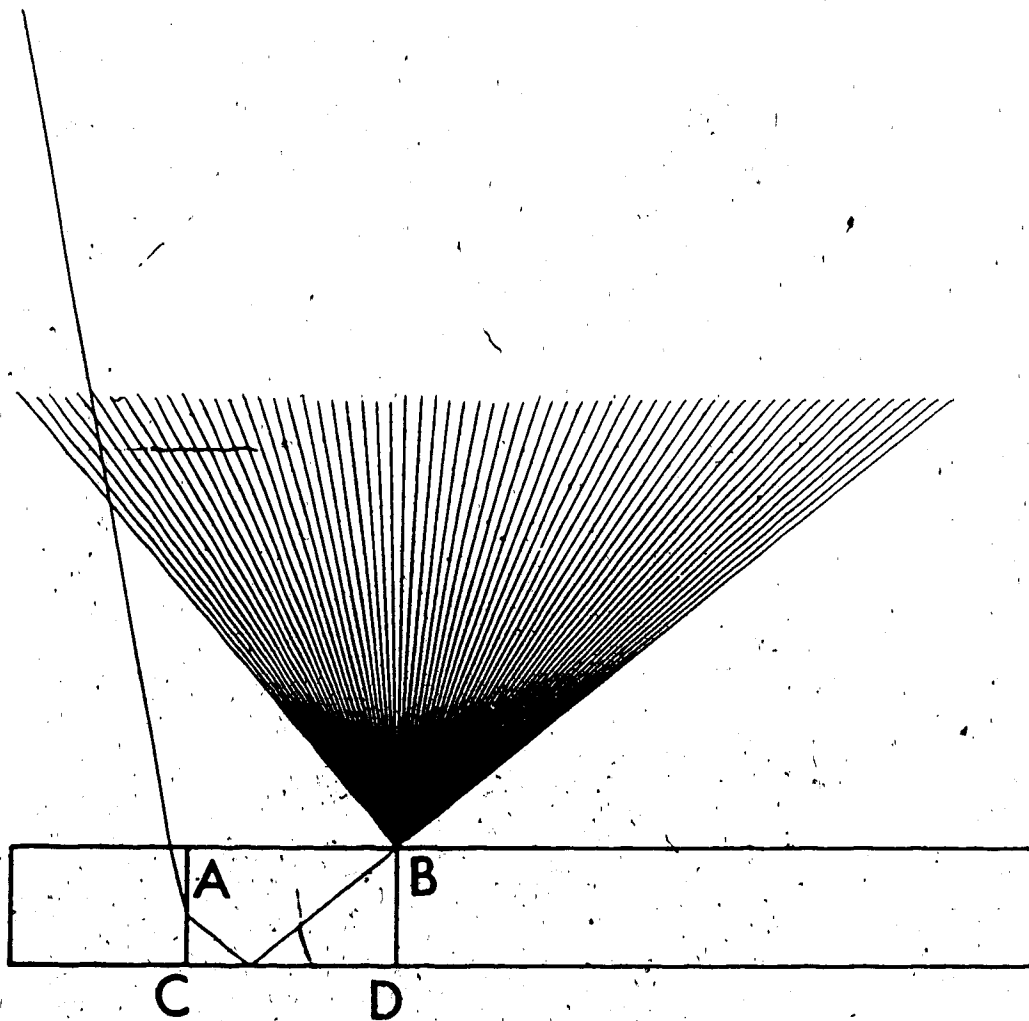


Figure 61 Ray diagram for diffracted rays in  
group VI.

Note that all receivers are on the shadow side of  
the shadow boundary (see Fig. 62b).



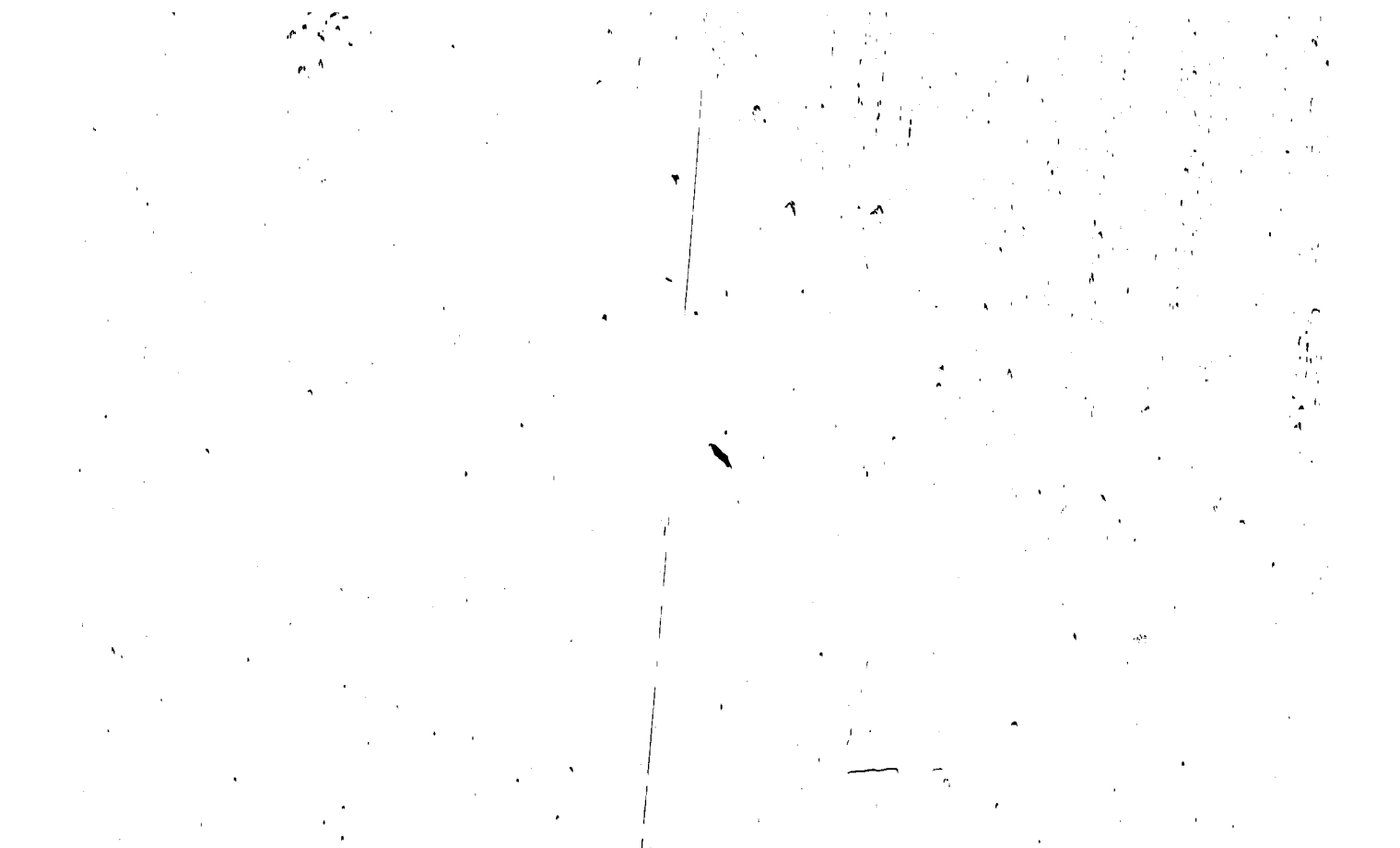
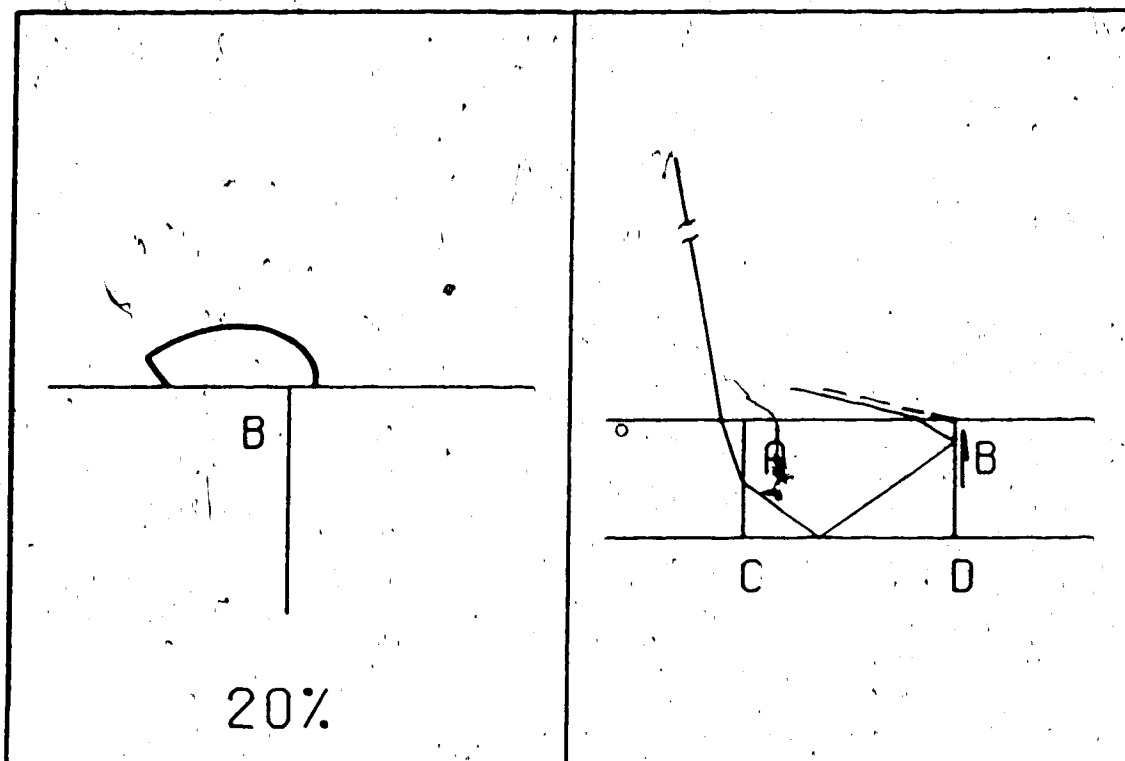


Figure 62 (a) Radiation characteristics for the diffracted rays in group VI.

(b) shows the corresponding shadow boundary at corner B.



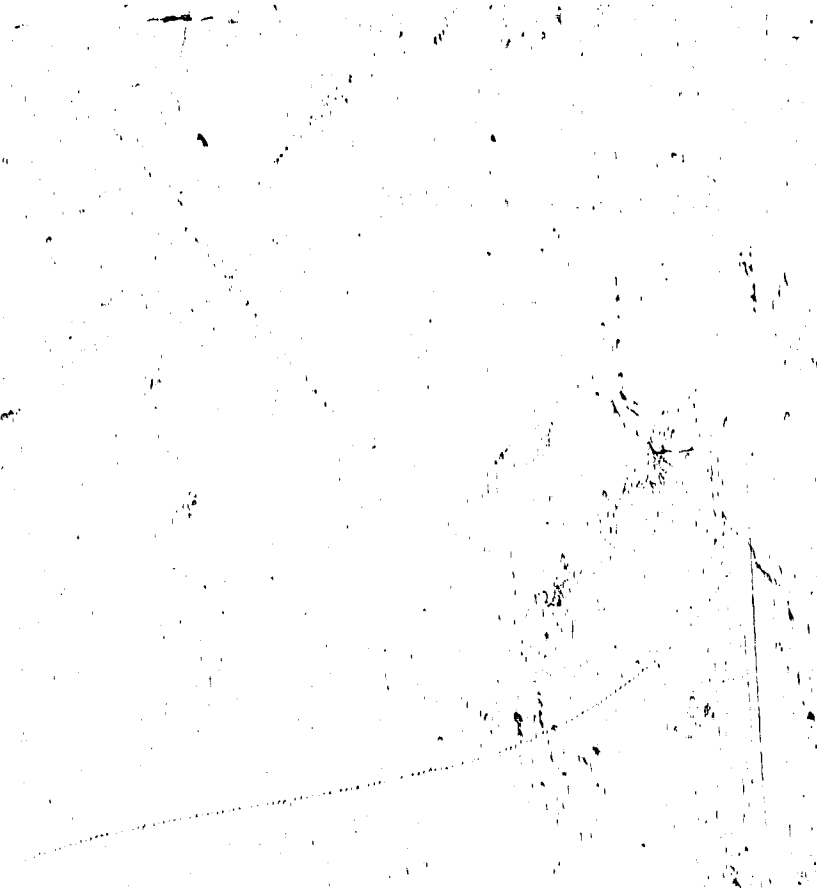
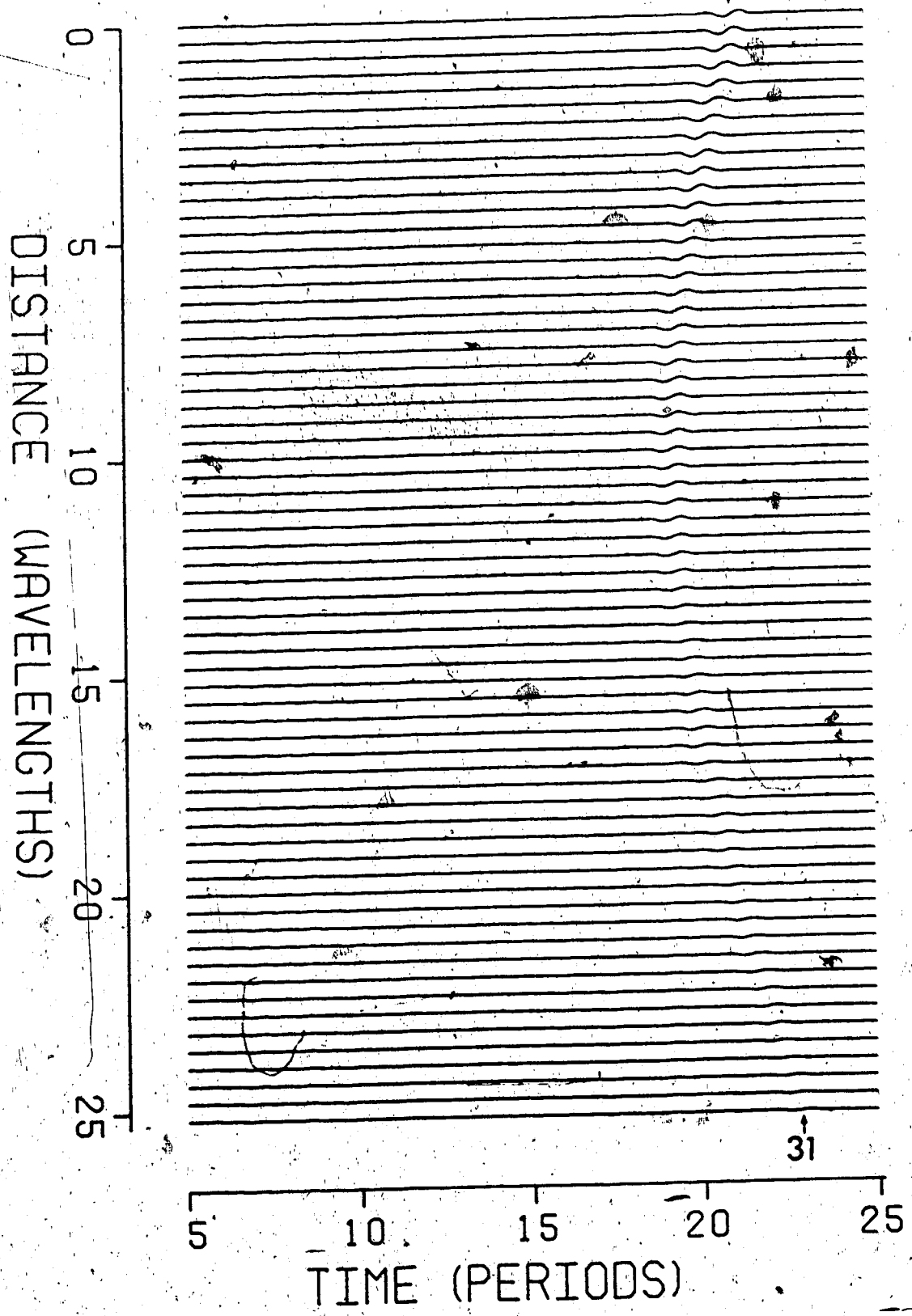


Figure 63 Synthetic seismograms for diffracted arrivals in group VI.

The diffraction point is at corner B.





boundary before re-entering layer I. It is also in some way similar to events 9 and 10 in group II. The synthetic seismograms of this event, coded 32, are shown in Fig. 64. The phase change in the reflection coefficient upon the reflection at boundary  $L_2L_2$  provides the phase reversal near the receivers at distance of approximately  $15.0 w$ . This event shares the same time branch as event 9. In fact, they have the same apparent ray paths. The only difference being that event 32 is a 5-segmented ray, while that of 9 is 4-segmented. In Fig. 64, the strong amplitude at receivers near R' is two to three time larger than those from event 9, and its significance is immediately noted in the final composition of the grand total result.

#### 4.11 Group VIII

Fig. 65 shows the ray paths of group VIII diffracted signals. Similar to group VII diffraction, one has to trace back to Figs. 53b,d to find the origination of the ray bundles. The group V events are the diffracted rays at corner C which return to layer I via sectioned box. Group VIII will deal with those diffracted rays which transmit across the boundary BD into layer II before re-entering layer I. Again, no geometric rays may be traced to any

Figure 64 Synthetic seismograms for diffracted arrivals in group VII, see Figs. 45 and 46d for the initial ray path.

Diffraction occurs first at corner A.

The diffracted ray is reflected from the boundary  $L_2L_2'$ . It travels upwards in the box before being transmitted back into layer I.

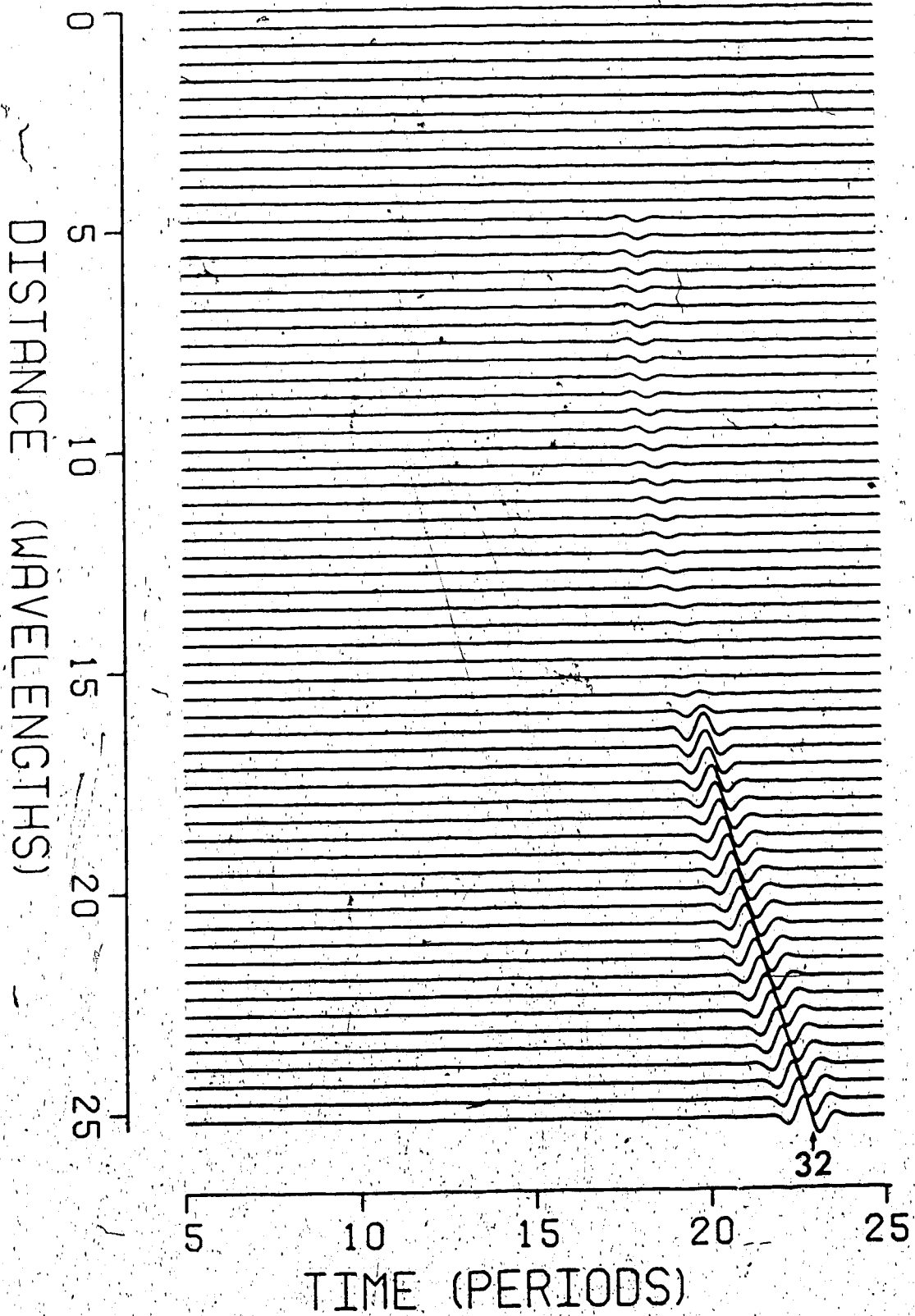
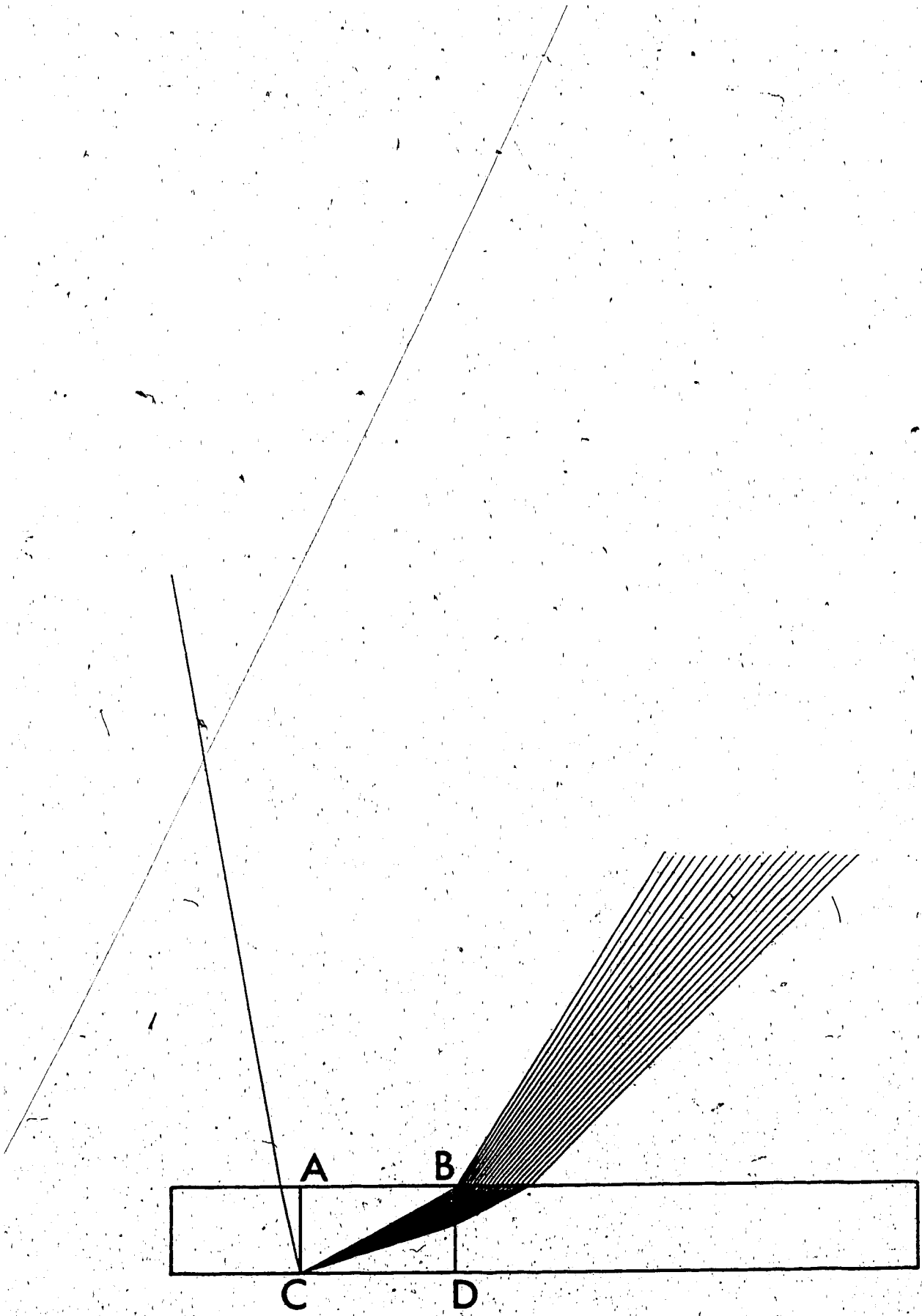


Figure 65 Ray diagram for diffracted rays in group VIII.

See Fig. 53 for the initial ray path.

Note that there are two parts of this diffraction.

After the diffraction at corner C, a diffracted ray in group VIII will hit the boundary BD, transmit into layer II and then layer I.



receivers, a situation similar to the argument in section 4.8.

The corresponding synthetic seismograms coded 33 and 35 are shown in Figs. 66 and 69. Both types of contributions suffer discontinuities as described for event 27, 29 and among others. Supplemental fields coded 34 and 36 are computed and shown in Figs. 67 and 70. The smoothed signals of 33+34 and 35+36 are shown in Figs. 68 and 71. And finally, event 33 through 35 are summed (see Fig. 72), to give the group VIII total response. The different move out patterns between groups V and VIII will produce a series of destructive, constructive and destructive interferences along the receiver line RR'. The net result will be discussed upon the completion of the final picture.

#### 4.12 Total response

The above eight groups of selected rays by no means represent the total response. But, they accounted for all the signals strong enough to be identified in the previously mentioned seismic profiles provided by the Alekseev-Mikhailenko method (AMM), shown in Fig. 11.

Sections 4.4 to 4.11 discuss in detail the composition

Figure 66 Synthetic seismograms for the first part  
of diffracted rays in group VIII - see  
also Fig. 53b.

Note that the first reflection occurs in the box.

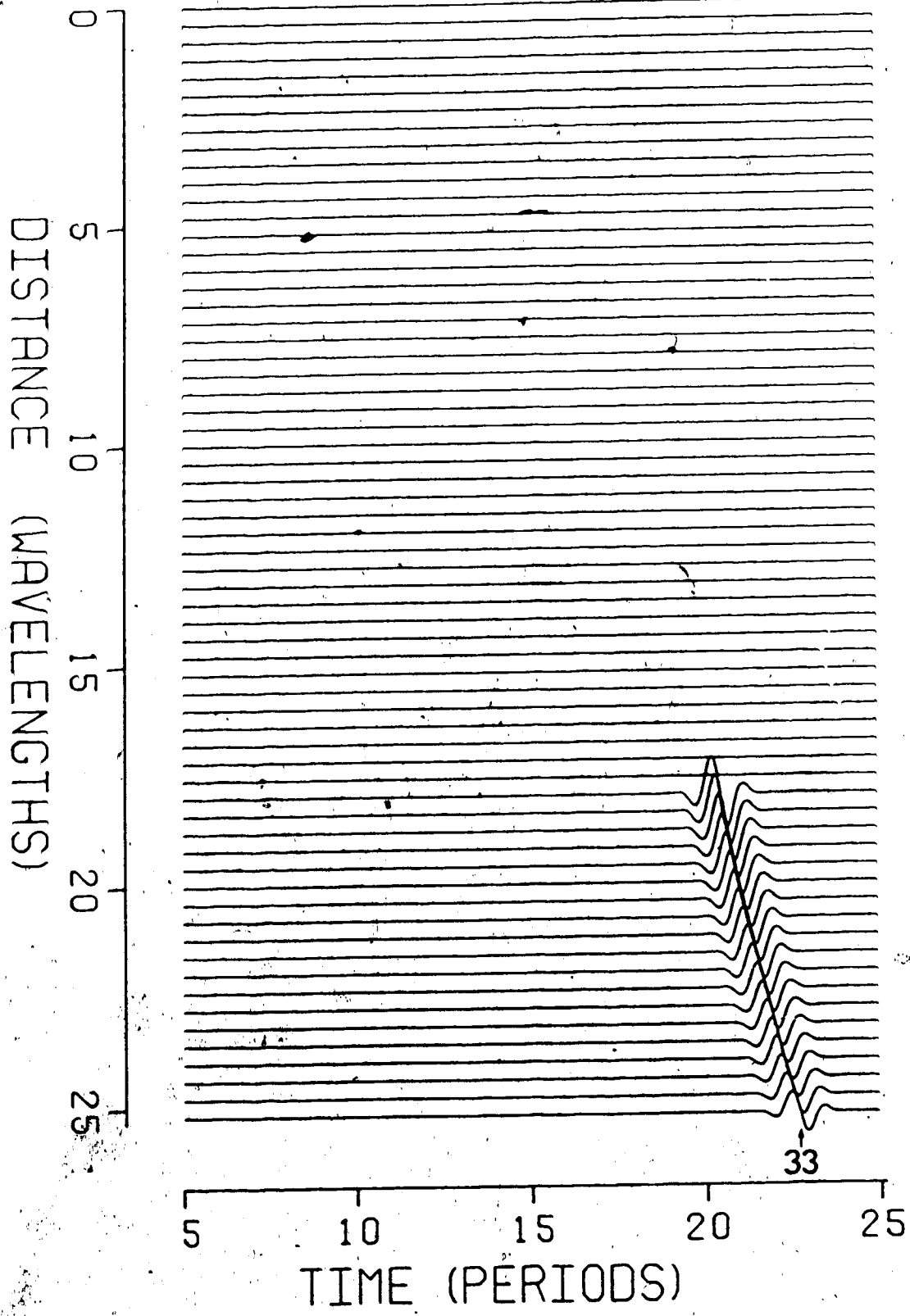




Figure 67 Supplemental to contribution 33.

It corresponds to twice diffracted rays at  
corner C and B.

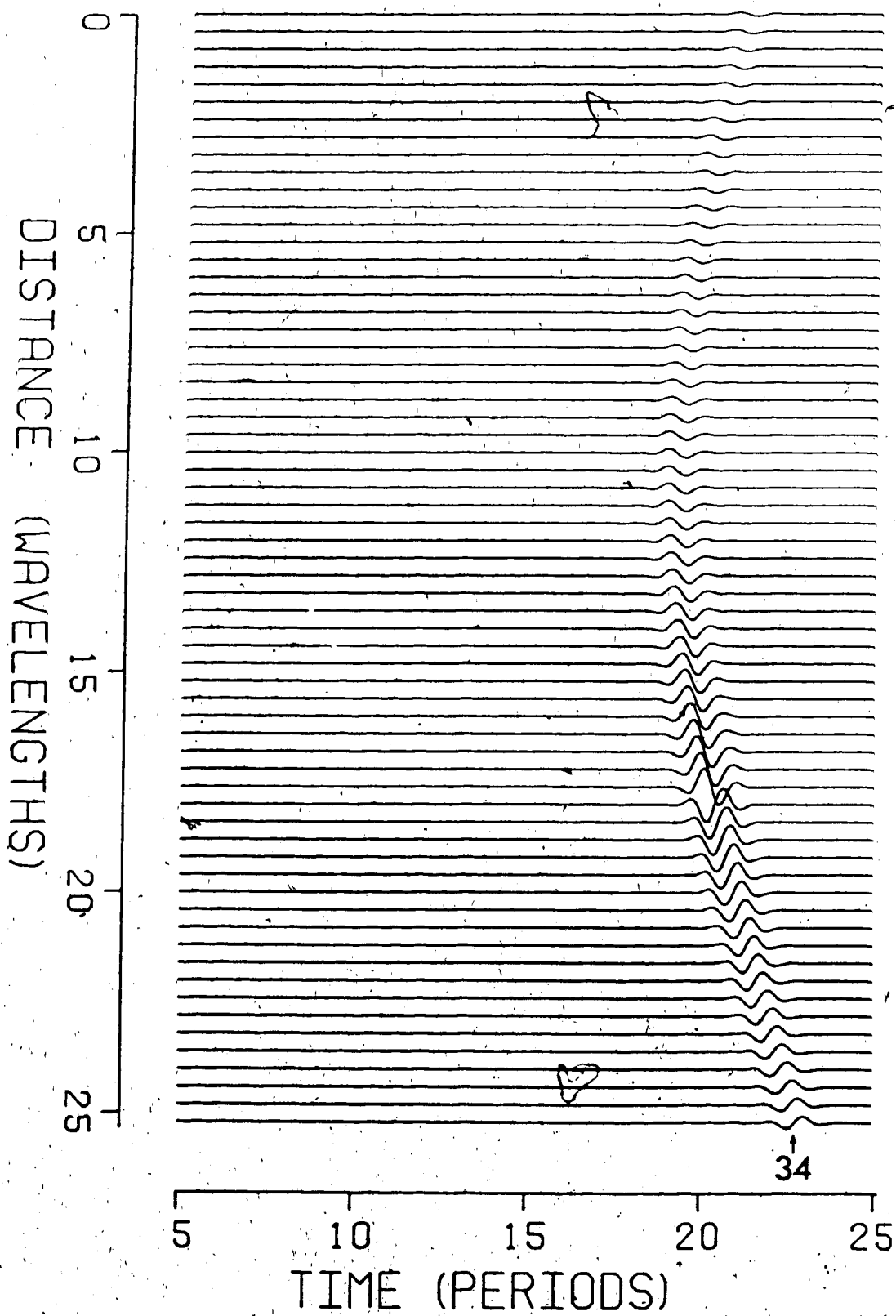


Figure 68: Sum of contributions 33 and 34.

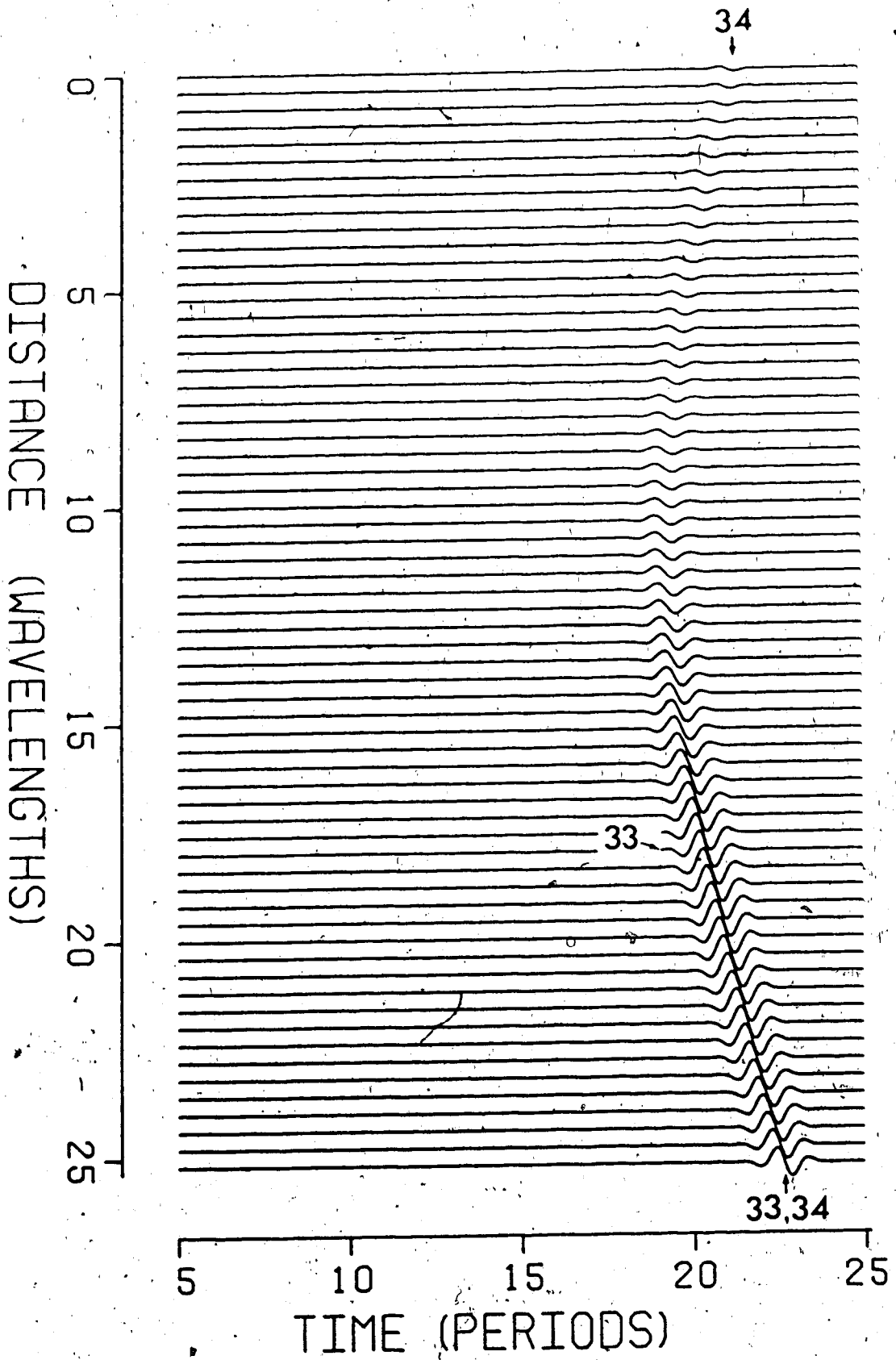
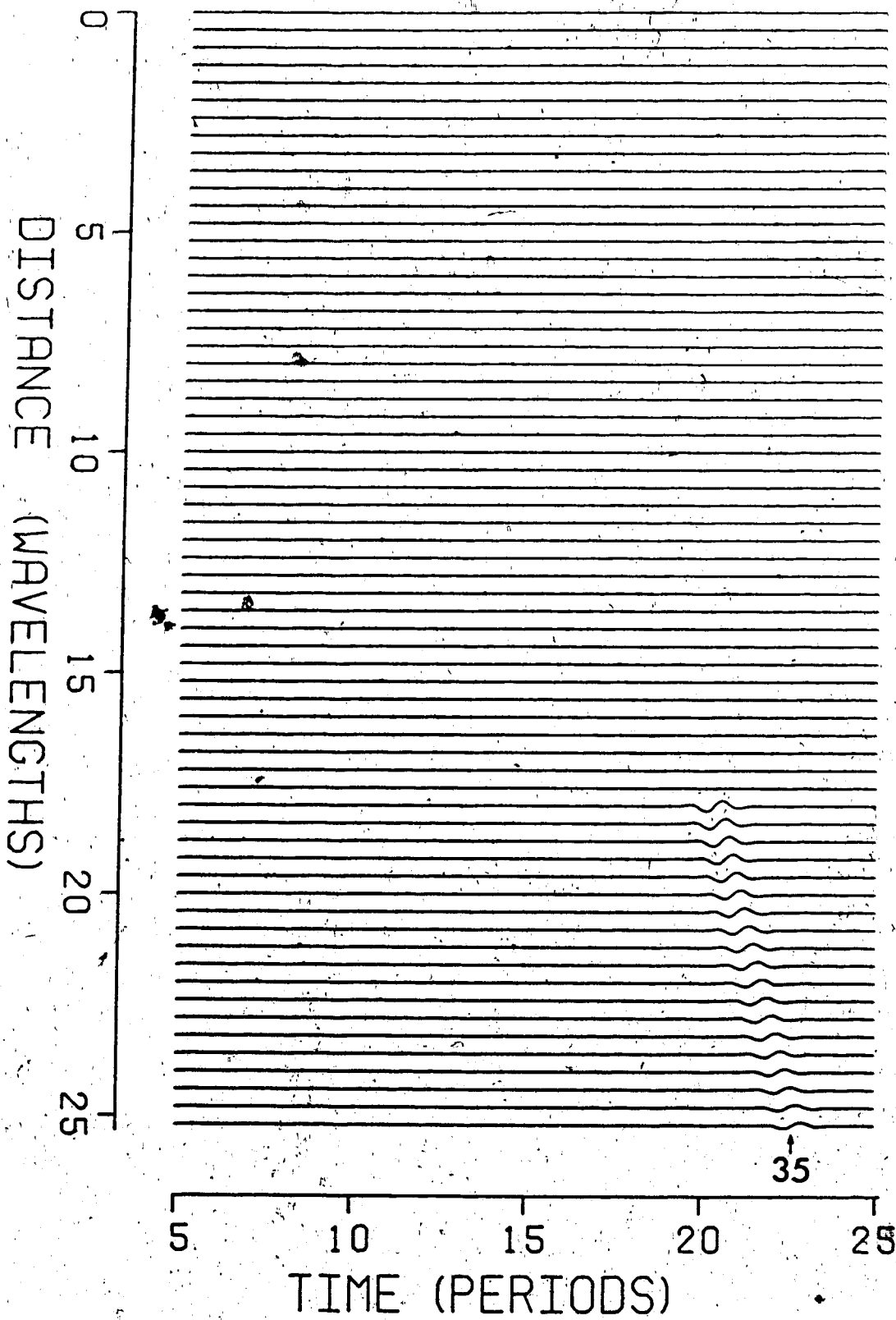


Figure 69 Synthetic seismograms for the second part of diffracted rays in group VIII see also Fig. 53d.

Note that the first reflection occurs in layer II, to the left of the side AC.



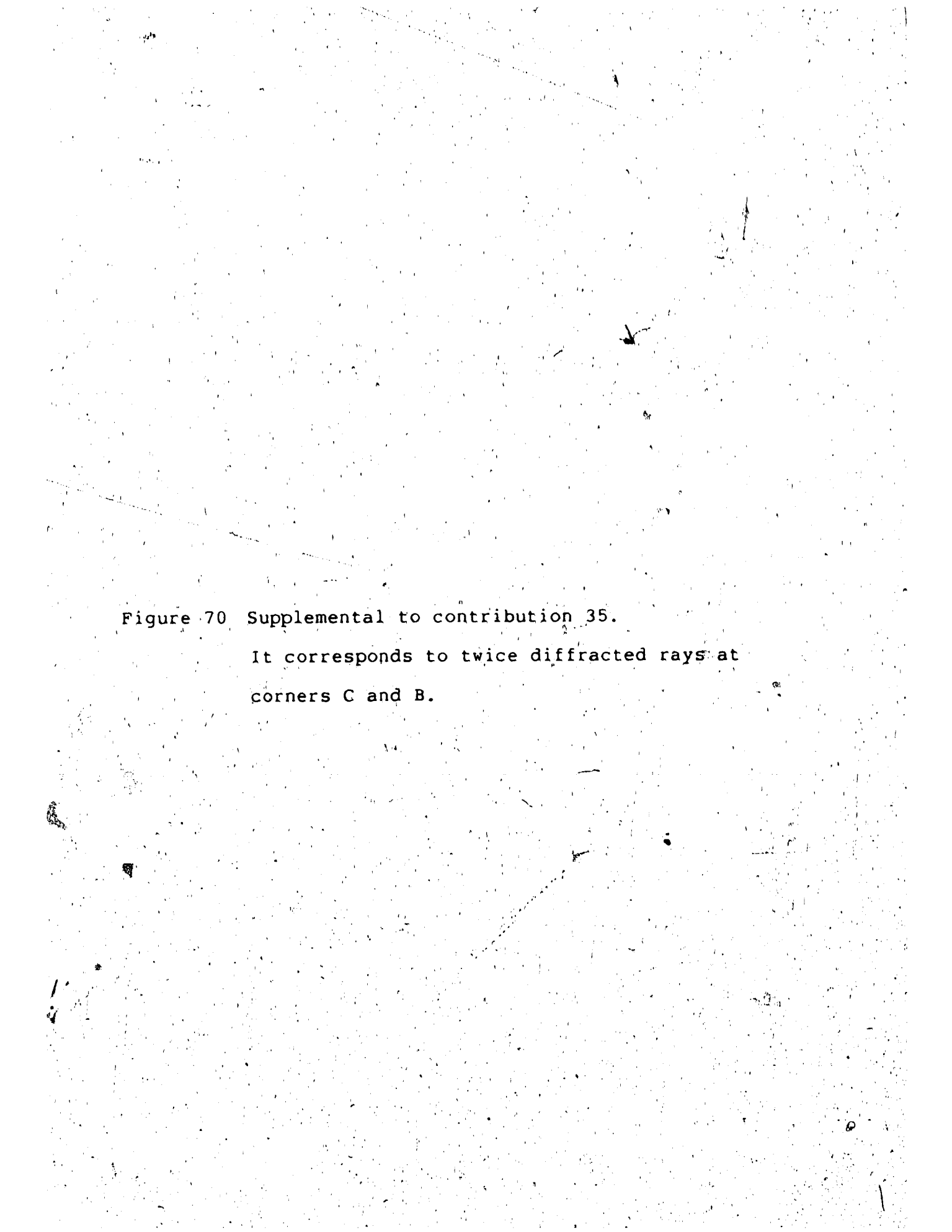


Figure 70 Supplemental to contribution 35.

It corresponds to twice diffracted rays at  
corners C and B.

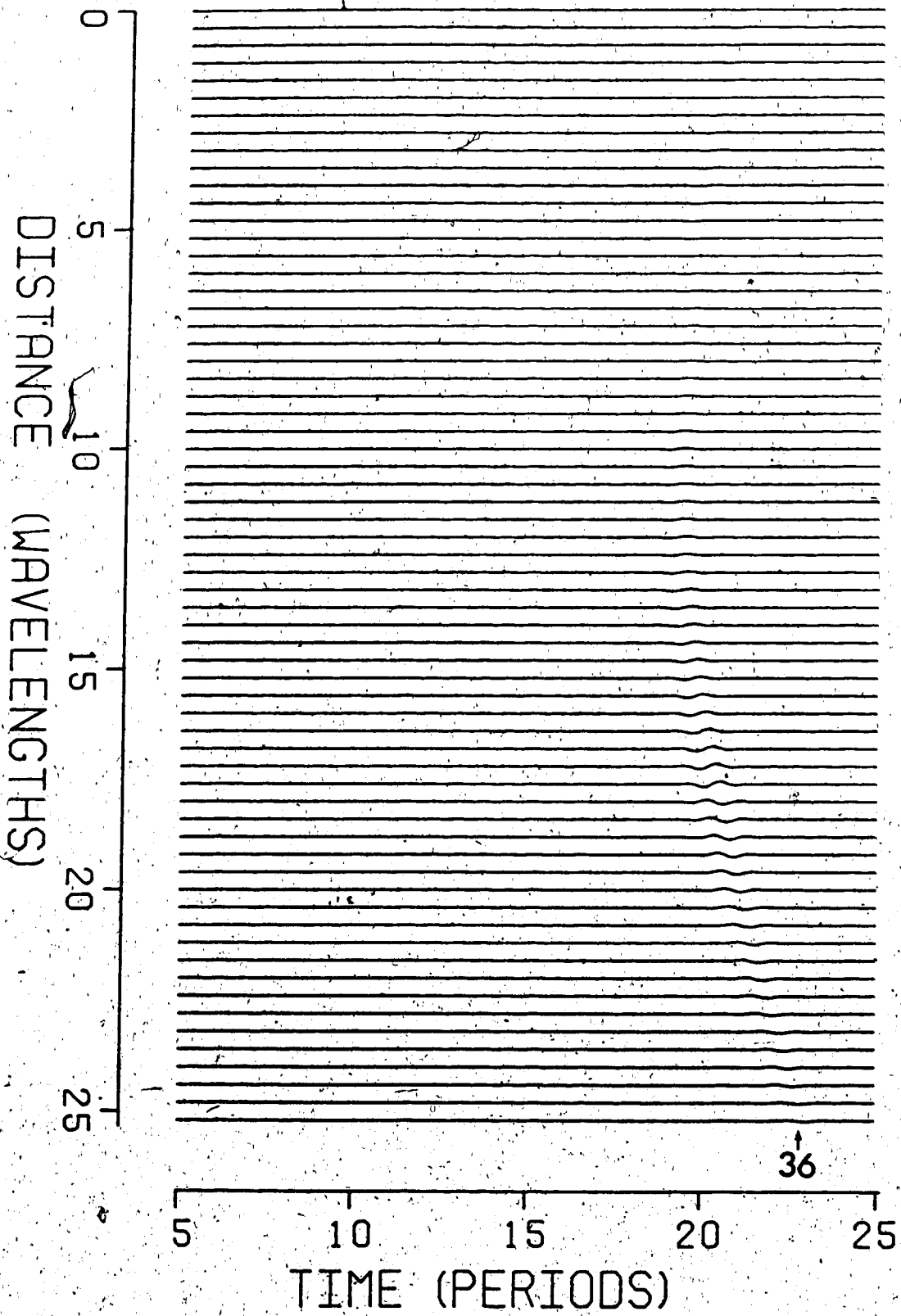




Figure 71 Sum of contributions 35 and 36.

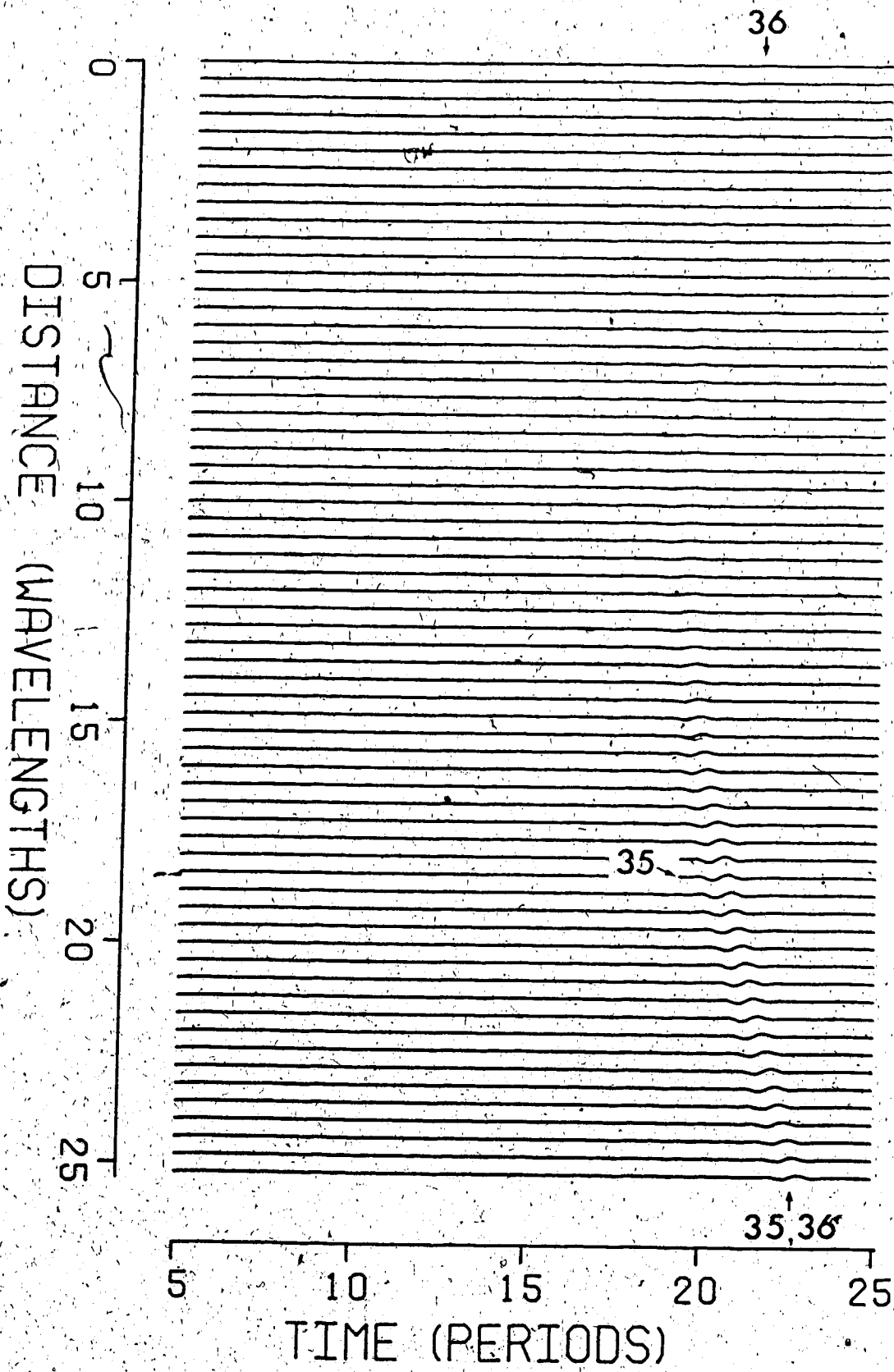
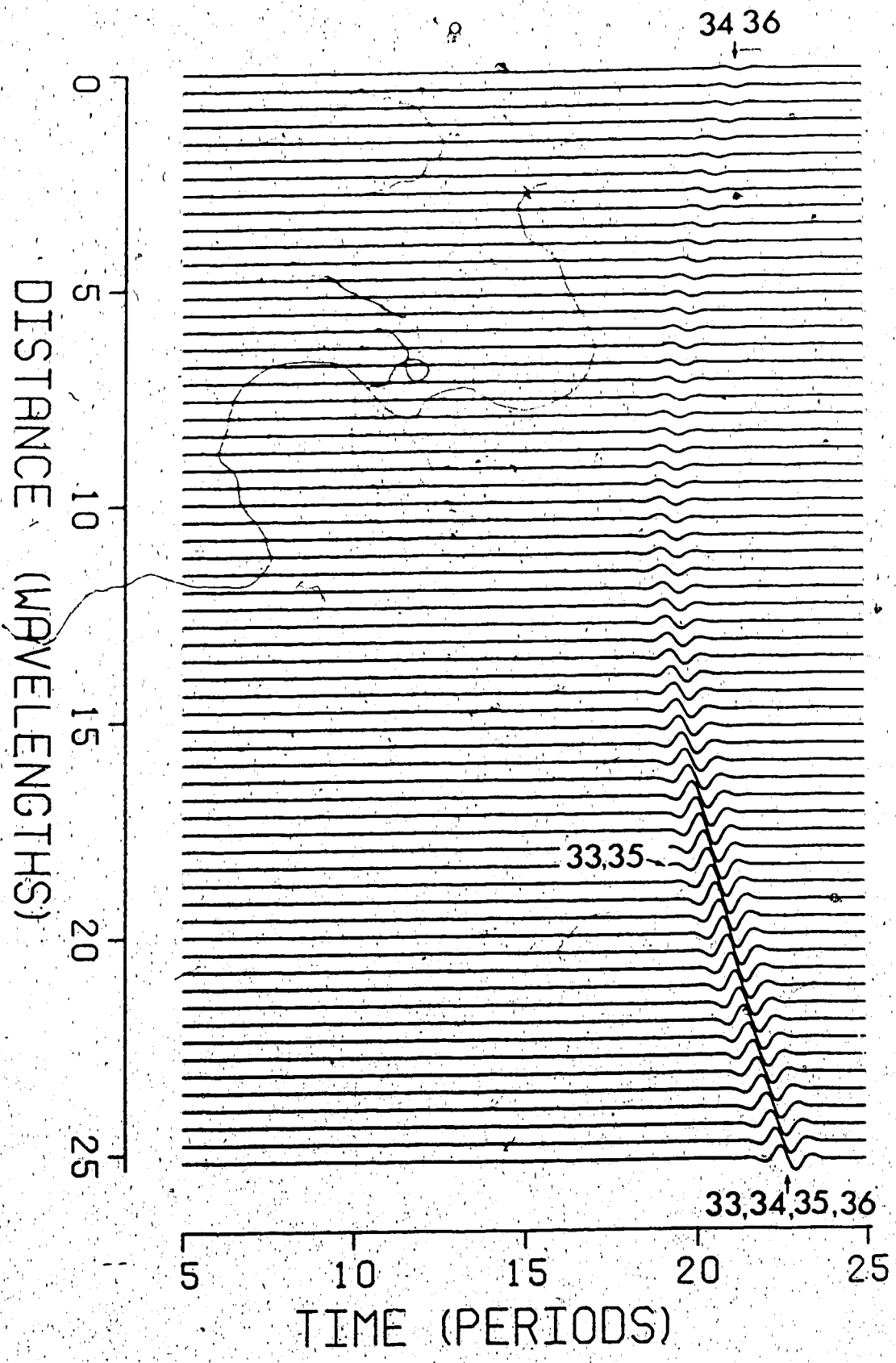


Figure 72 Sum of all contributions in group VIII.



of each group through geometric and diffracted ray diagrams, the positions of the shadow boundaries and the radiation characteristics of the elementary ray bundles. The contributions are systematically summed up to give the total field response of a particular group.

We will now proceed to build the final (approximate) response by adding groups I through VIII sequentially. The intermediate and final results are shown in Figs. 73-80. These will be commented along with the help of the result by the synthetic seismograms produced by the AMM in Fig. 11. The process of this gradual build-up of our ray representation of the seismic field is accompanied by a short summary of each stage given below.

Fig. 18 of group I is shown here again as Fig. 73. The shorter arrival time than any other subsequent groups means that it will not be affected by later arrivals, except at the tail end of event 3 near the point R'. The features of polarity reversals and destructive interferences at distances of  $7.0 w$  and  $16.0 w$  have already mentioned previously.

Fig. 74 has group II added. The only interaction with group I occurs at tail end of the receiver line. The supplemental event 11, not contaminated by other arrivals

Figure 73 Group I contribution.

— This is a repeat of Fig. 18.

Figure 74 Groups I and II contributions.

Figure 75 Groups I through III contributions.

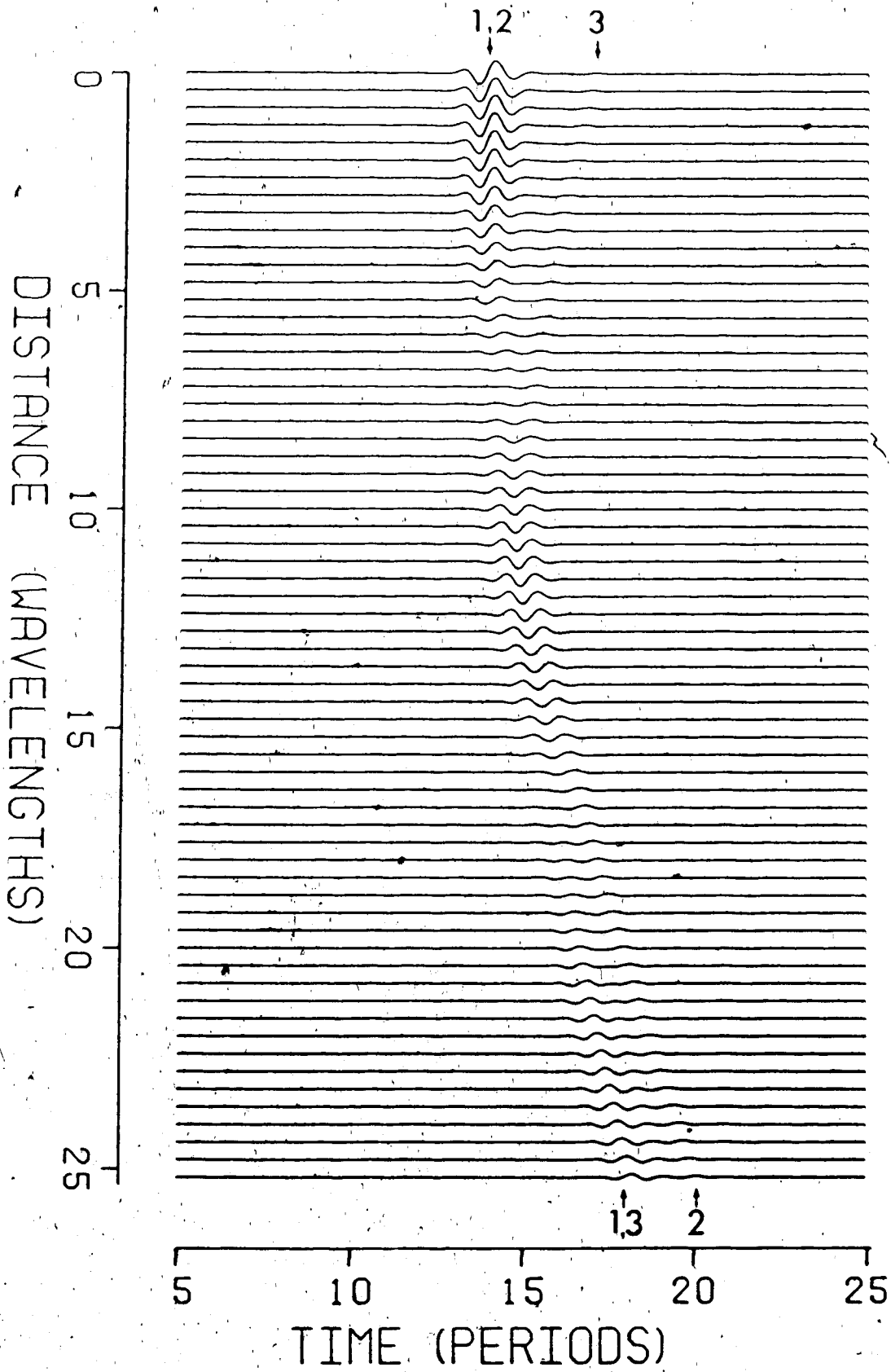
Figure 76 Groups I through IV contributions.

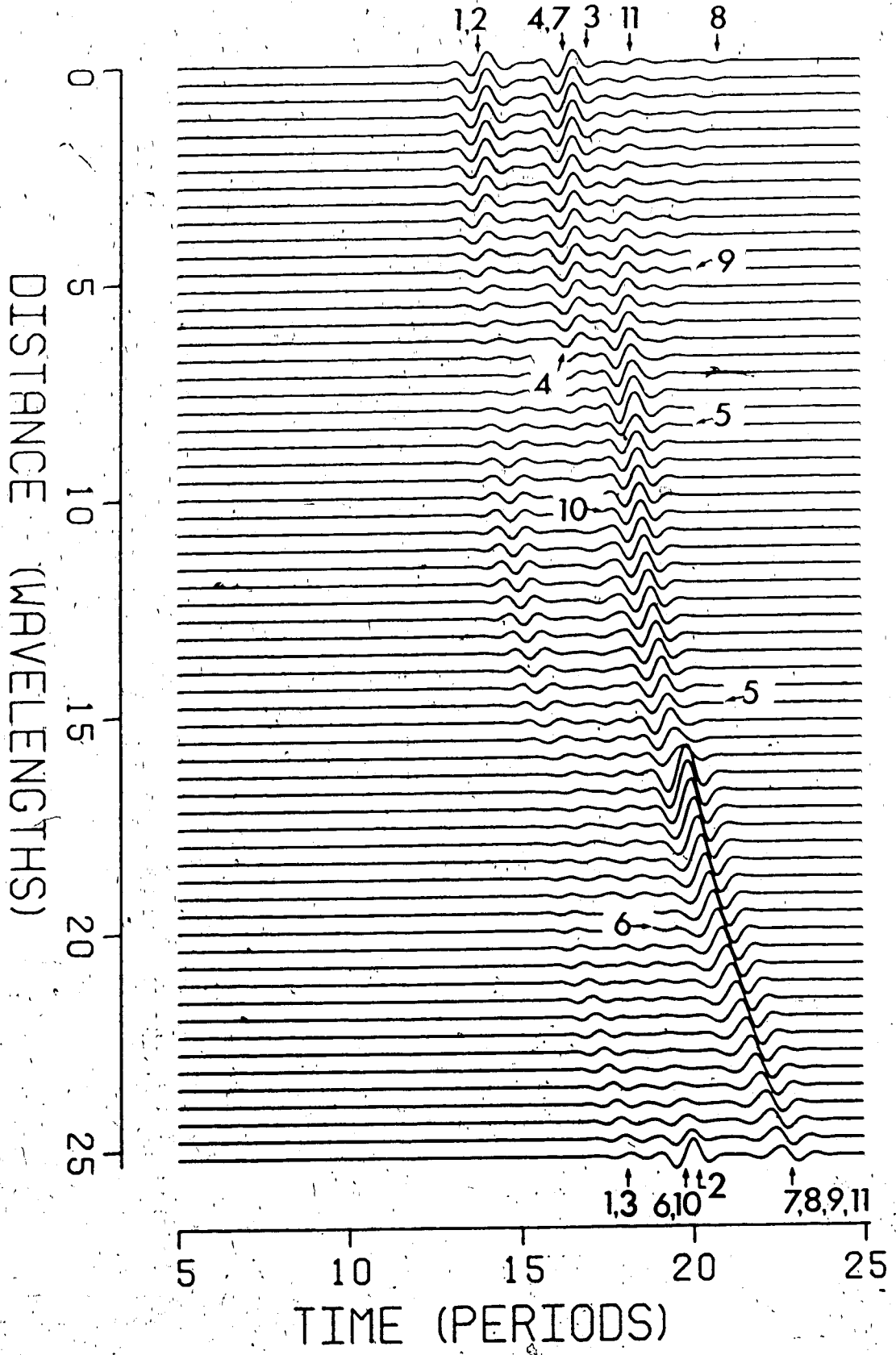
Figure 77 Groups I through V contributions.

Figure 78 Groups I through VI contributions.

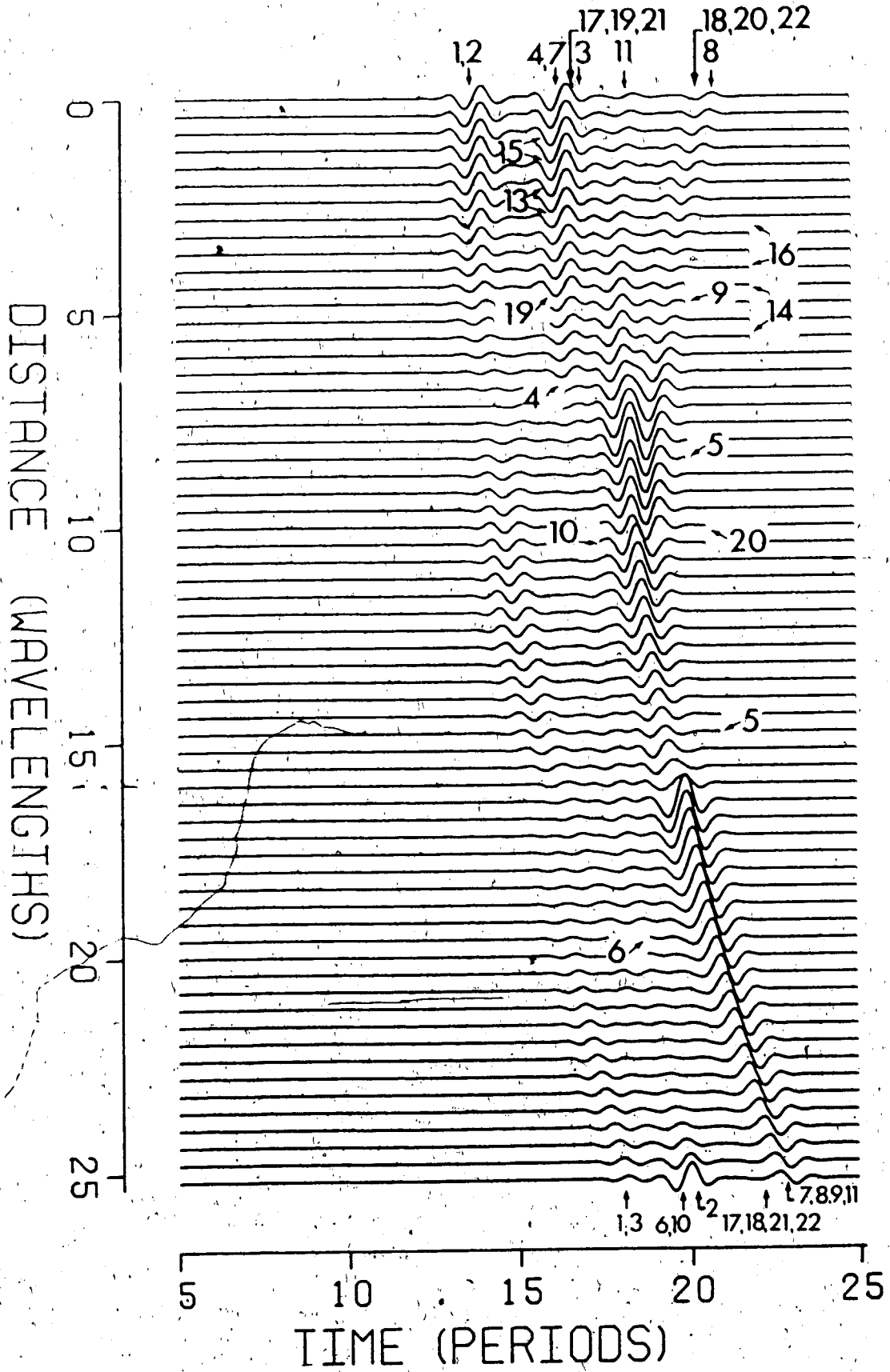
Figure 79 Groups I through VII contributions.

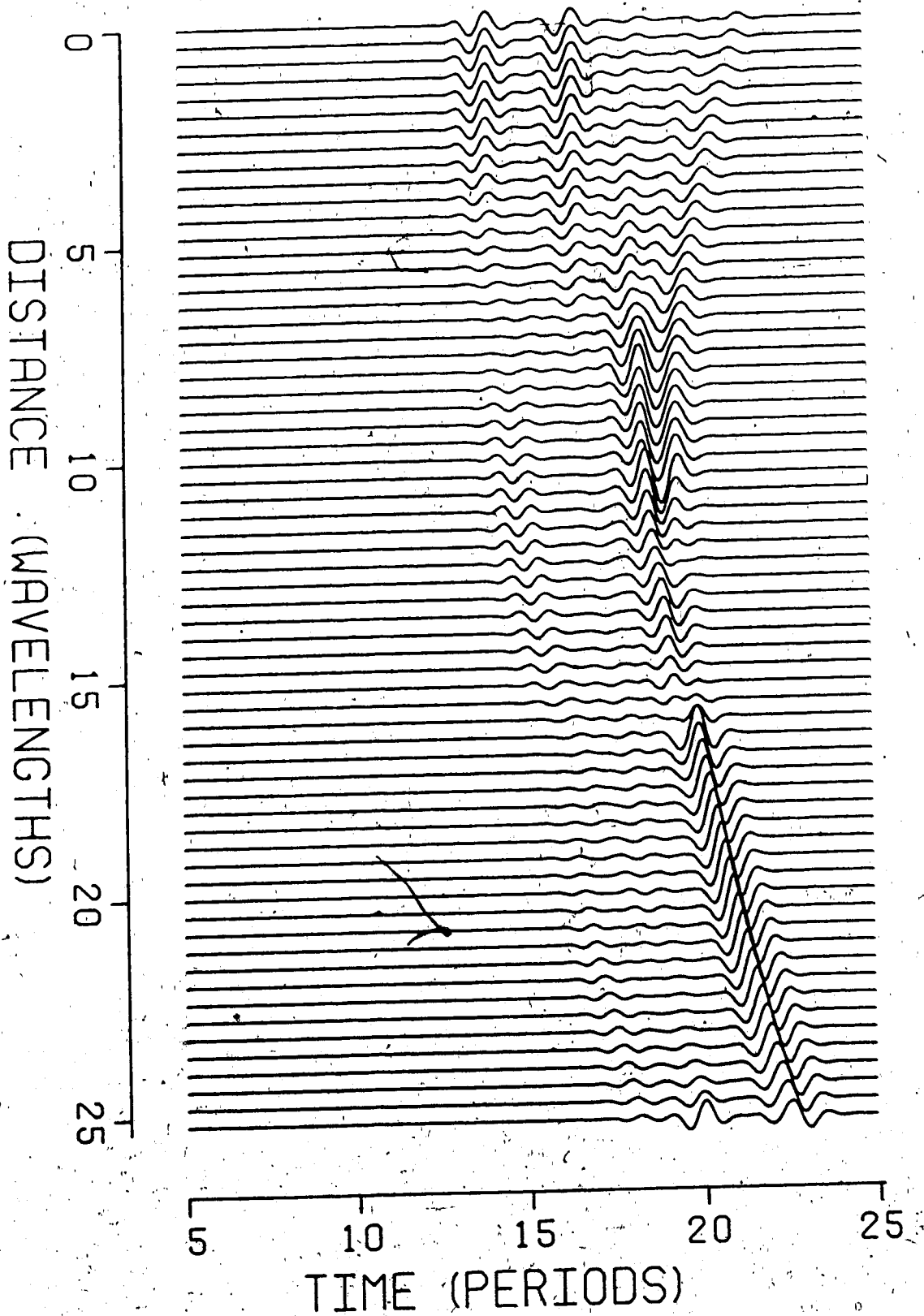
Figure 80 Groups I through VIII contributions.

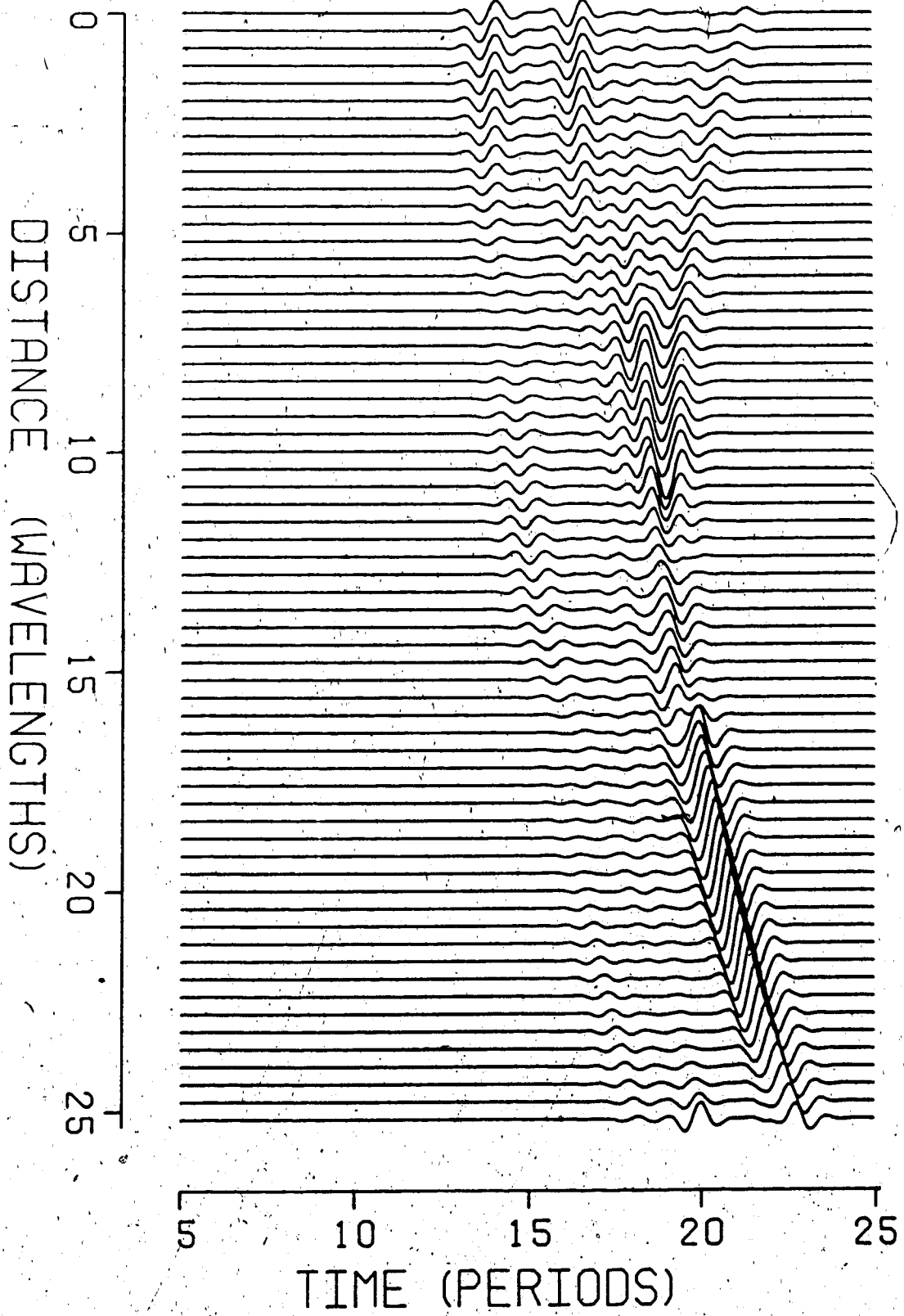


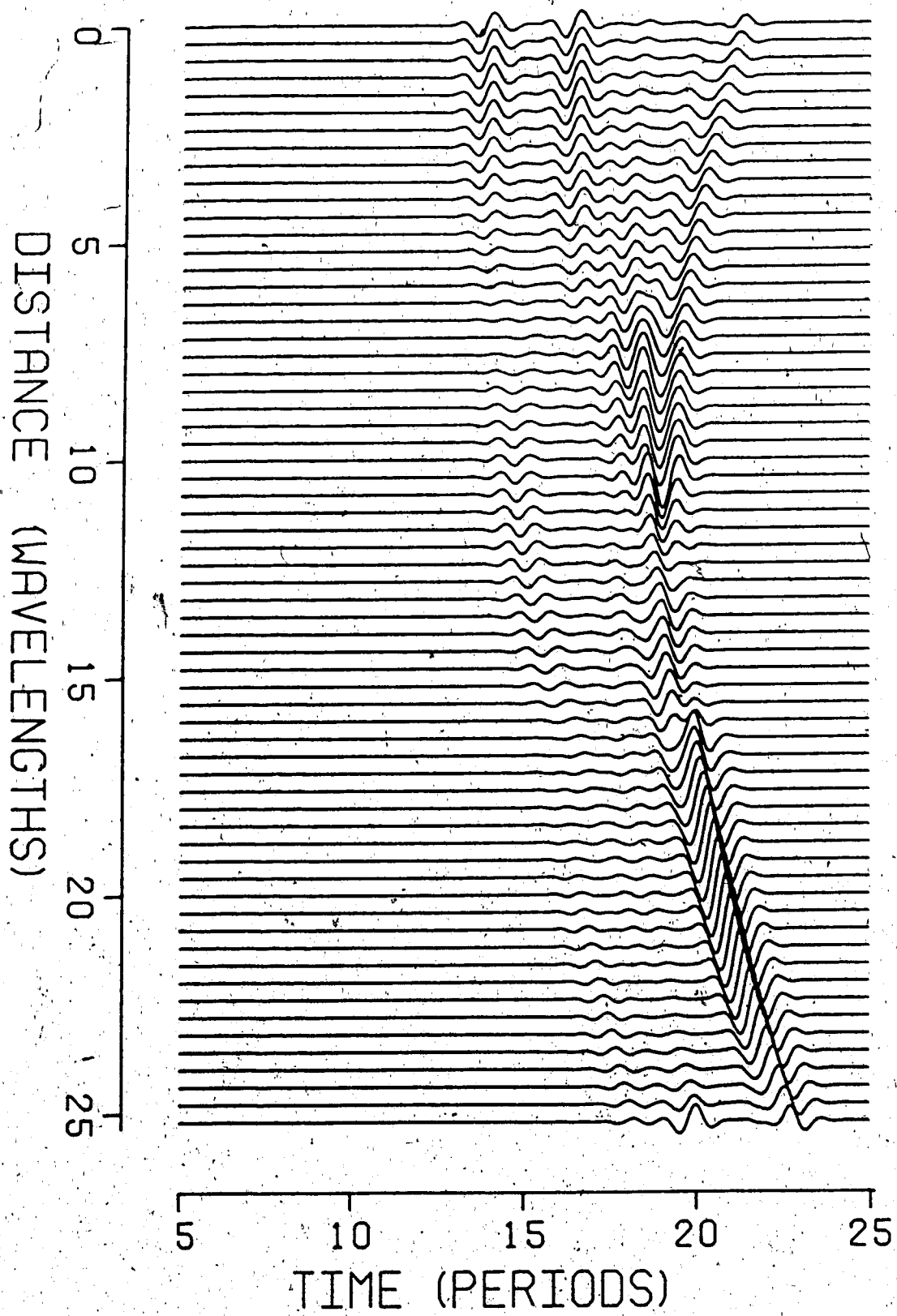


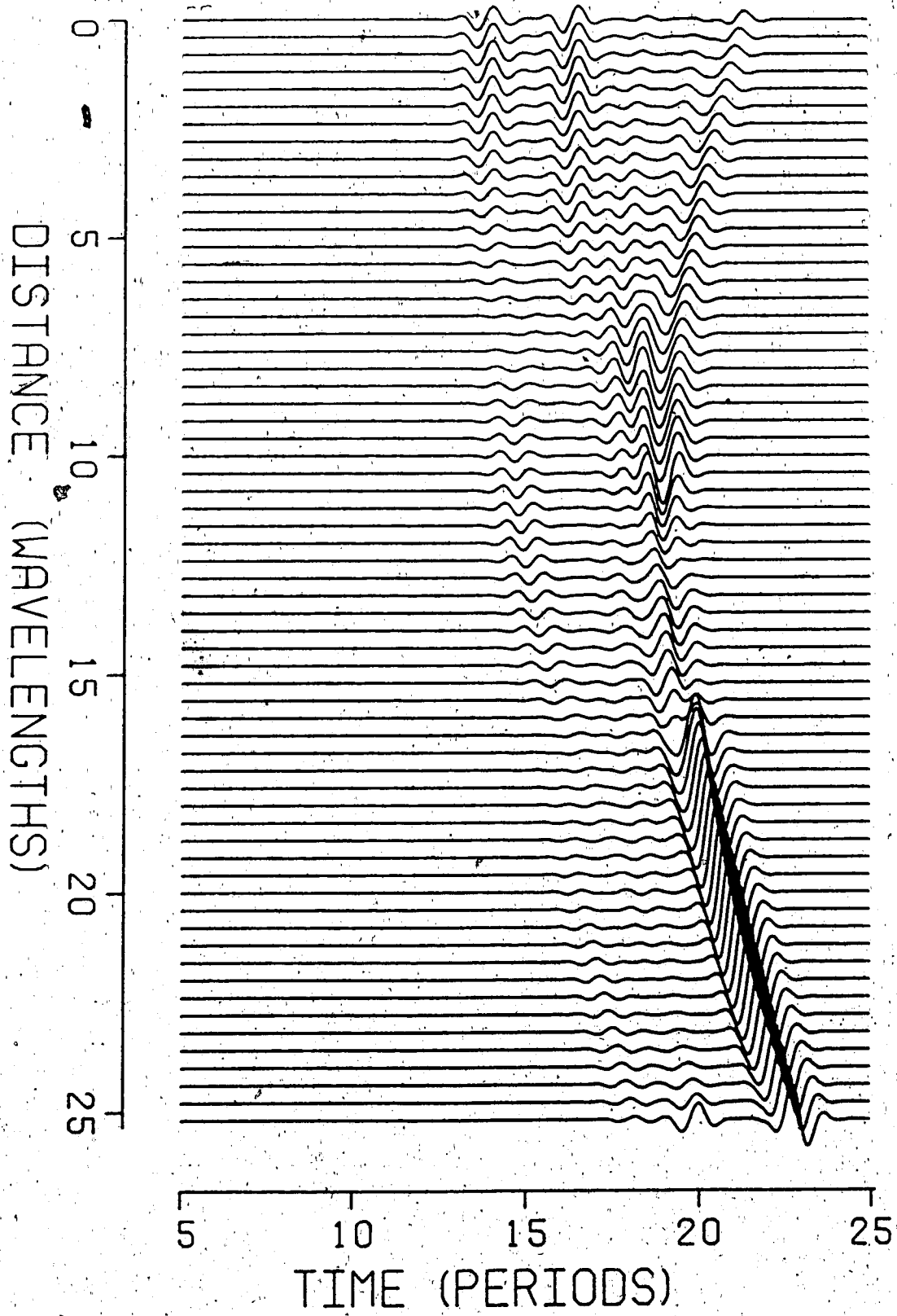


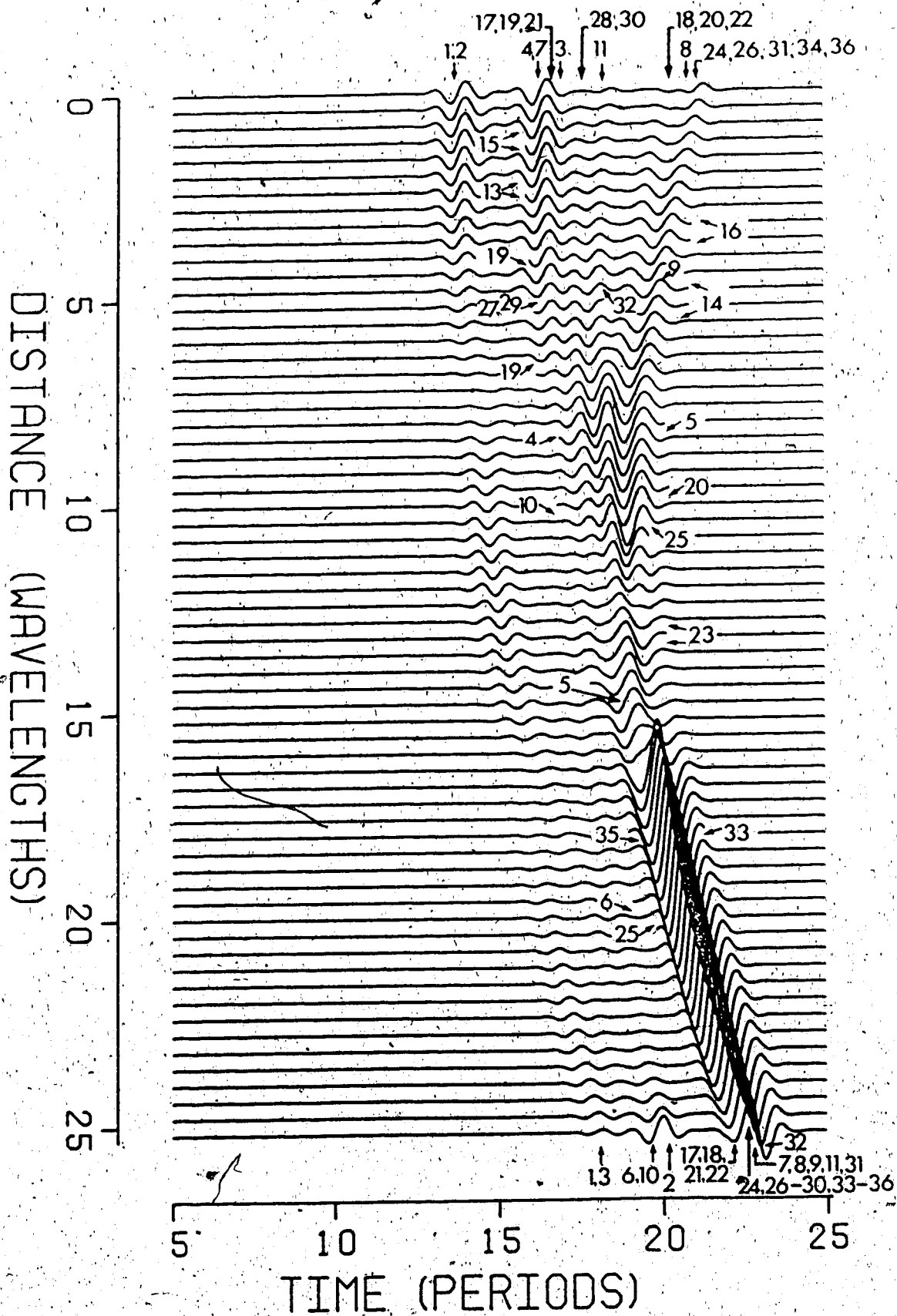












for receivers near 0.0 w turns out to be a significance ripple as observed also in Fig. 11. Subsequent diagrams will show that most other parts of group II signals will be interfered.

Fig. 75 shows the result of the addition of group III contributions to those in Fig. 74. Although there are still five more groups need be added, this diagram does serve as a reasonable approximation of Fig. 11. There is no particular reason, other than following the group numbers, in the order of addition for the subsequent groups. Quite often, many events have similar arrival times and thereby interfering together. So, it serves no purpose in investigating the intermediate result of addition from Fig. 76 to Fig. 79. We will instead concentrate on the final picture Fig. 80 and Fig. 11, and draw references from the individual group when needed.

To discuss Fig. 80, a different kind of grouping of events is warranted. Whereas the previous classification looks at the physical nature of the ray bundles, given by their ray diagrams, we are now more interested in dissecting each synthetic seismogram with respect to the arrival time. Thus, events 4 and 7 of group II mildly interact with events 17, 19 and 21 of group III. The effect is not at all significant. Events 24 and 26 of group IV interact with

group VI and events 34 and 36 of group VIII. Diffractions from corners A, B and C are involved, though all having a similar phase.

But, the biggest event occurs at time between 20 to 24 periods at recorders stationed between 15.0 w and 25.0 w. There are more than a dozen of events crowded within a small time window. The lesser components are events 7, 8 and 11 of group II, 24 of group IV, 29 of group V, 31 of group VI, and 34, 35 and 36 of group VIII. This still leaves us with events 9 of group II, 26 of group IV, 27 of group V, 32 of group VII and 33 of group VIII, with diffractions occurring at corners A and C. In particular, both events 27 and 33, (resulted in diffraction at corner C) carry a large amplitude, albiet are out of phase upon arrival at the receivers near the tail end. Similar interaction happened among the diffracted arrivals from corner A. The different move out patterns and phase variation works out to give stations around the distance of 20.0 w to have the highest amplitude.

In retrospect, it would be interesting to show the geometrical arrivals (Fig. 81) by itself, and examine the changes brought about by the smoothing ability of the diffracted contribution.



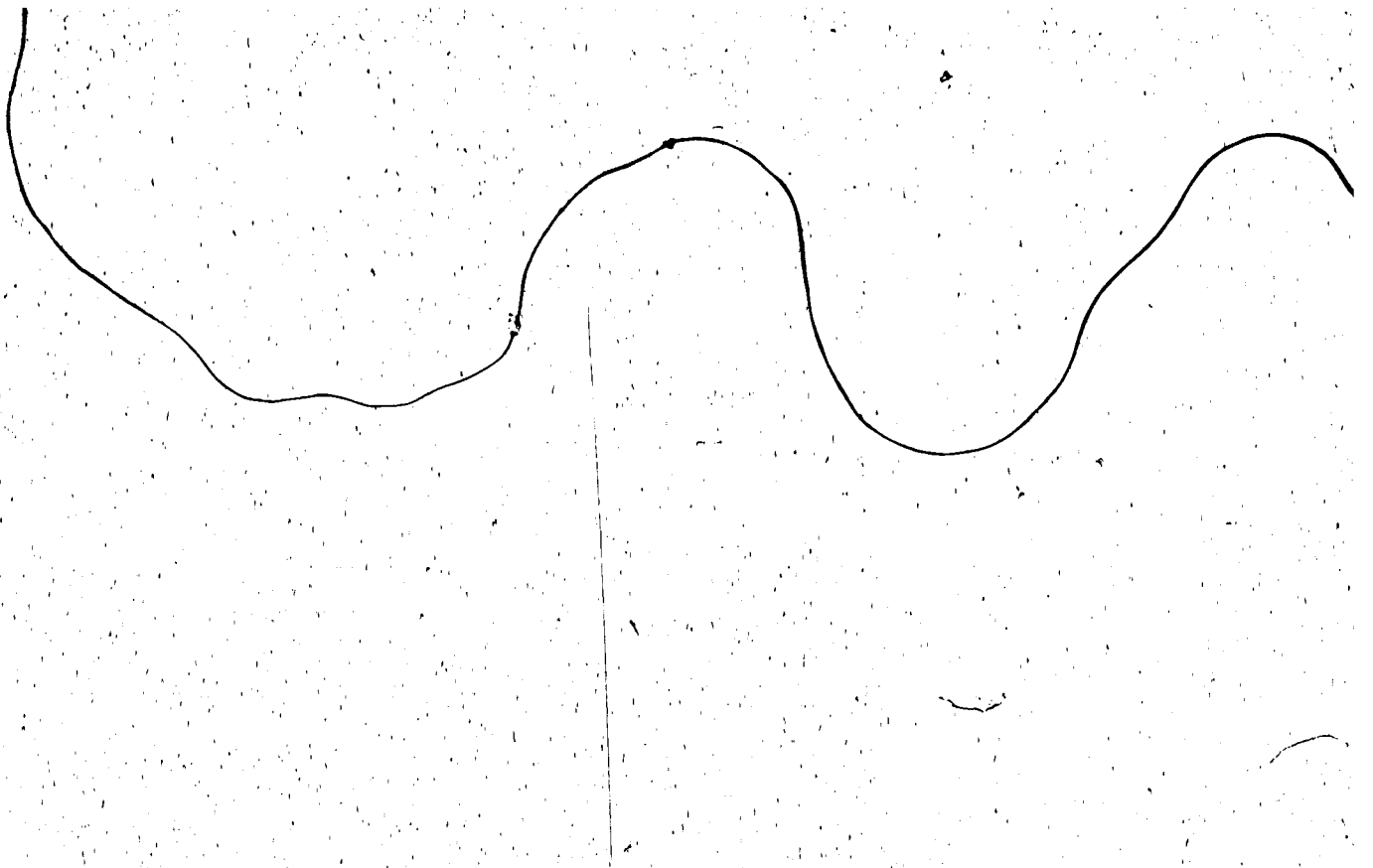
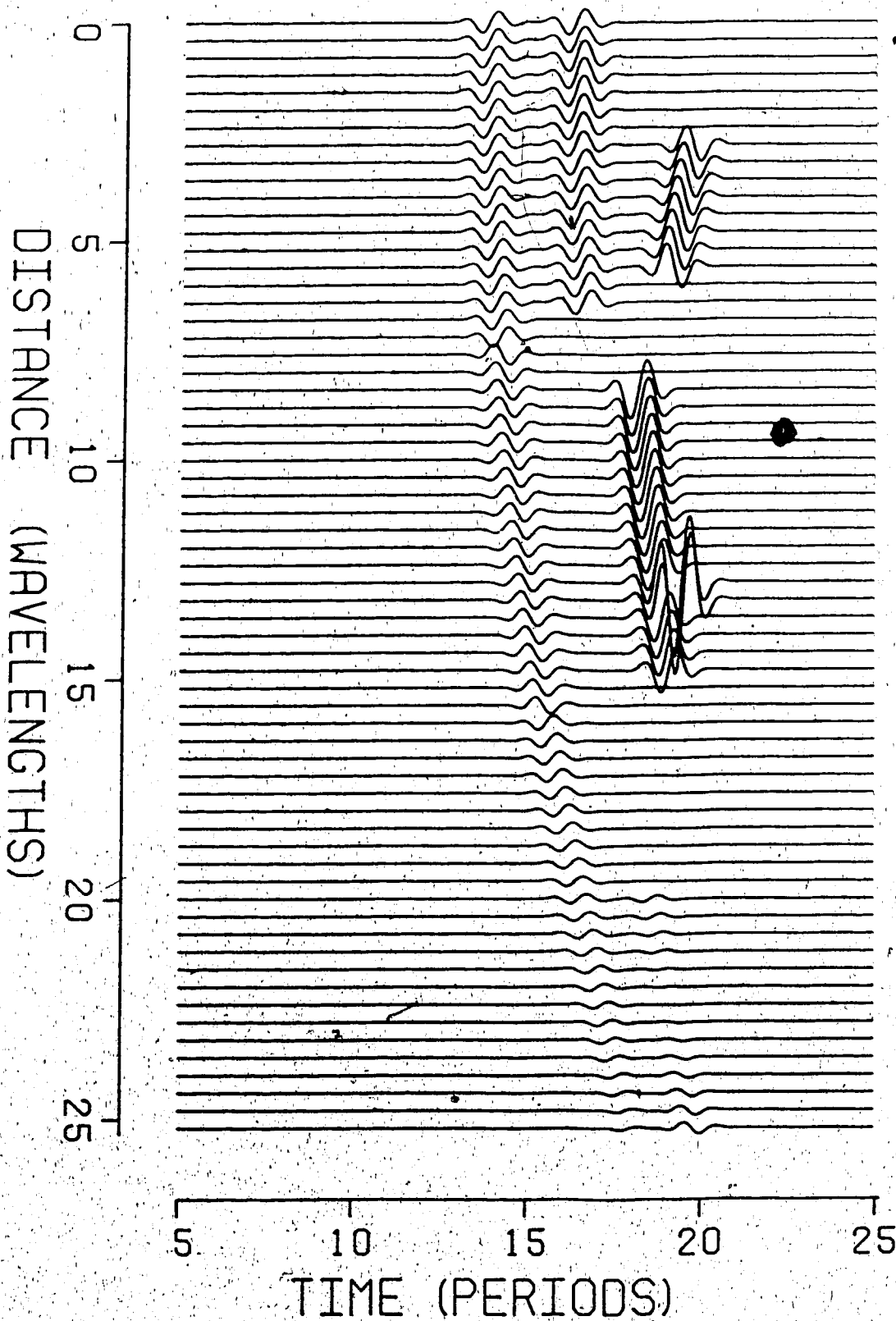


Figure 81. Synthetic seismograms for the  
geometrical arrivals only.

GEOMETRICAL ARRIVALS ONLY



The above gives a sample of what may be used to aid interpreting a synthetic seismograms through model simulation. The Alekseev-Mikhailenko method gives the result of a full solution, but is time consuming computation-wise. A second shortcoming is the difficulty in the separation of and identifying the origin of each individual event.

The present method, at the least, will serve well in the capacity of an inexpensive yet sufficiently accurate preliminary investigation. The simplicity in calculation also eliminates many a mistakes in setting up of the computation scheme, such as in the finite difference method used by the AMM. Fig. 82 shows a sketch of a simple valley model used by Mikhailenko (1984) for study of wave propagation in laterally inhomogeneous media. The SH response turned out to be out of phase by  $\pi$  when compared to result by the present method, see Fig. 83. The computational error has since been corrected.

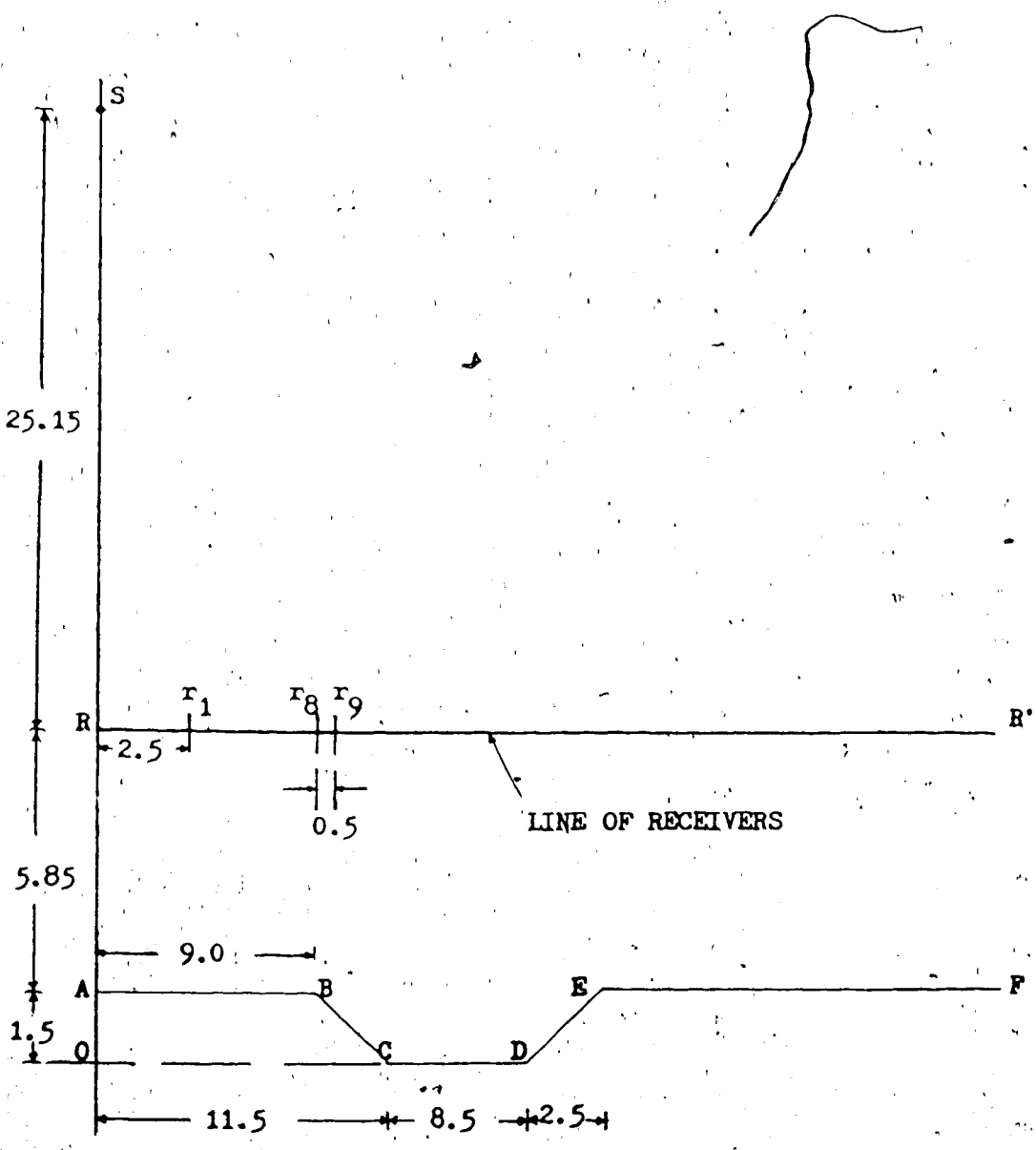
As a final note, this method can be extended into the case for P-SV wave in laterally inhomogeneous media. Another feature is the freedom in placement of receivers. Hence, a promising application in VSP in depth migration methods.

Figure 82 The valley model

S -- source point.

Receiver number 1 is 2.5 unit from point R,  
while adjacent receivers are 0.5 units apart.

The line ABCDEF outlines the boundary of  
the 'valley'.



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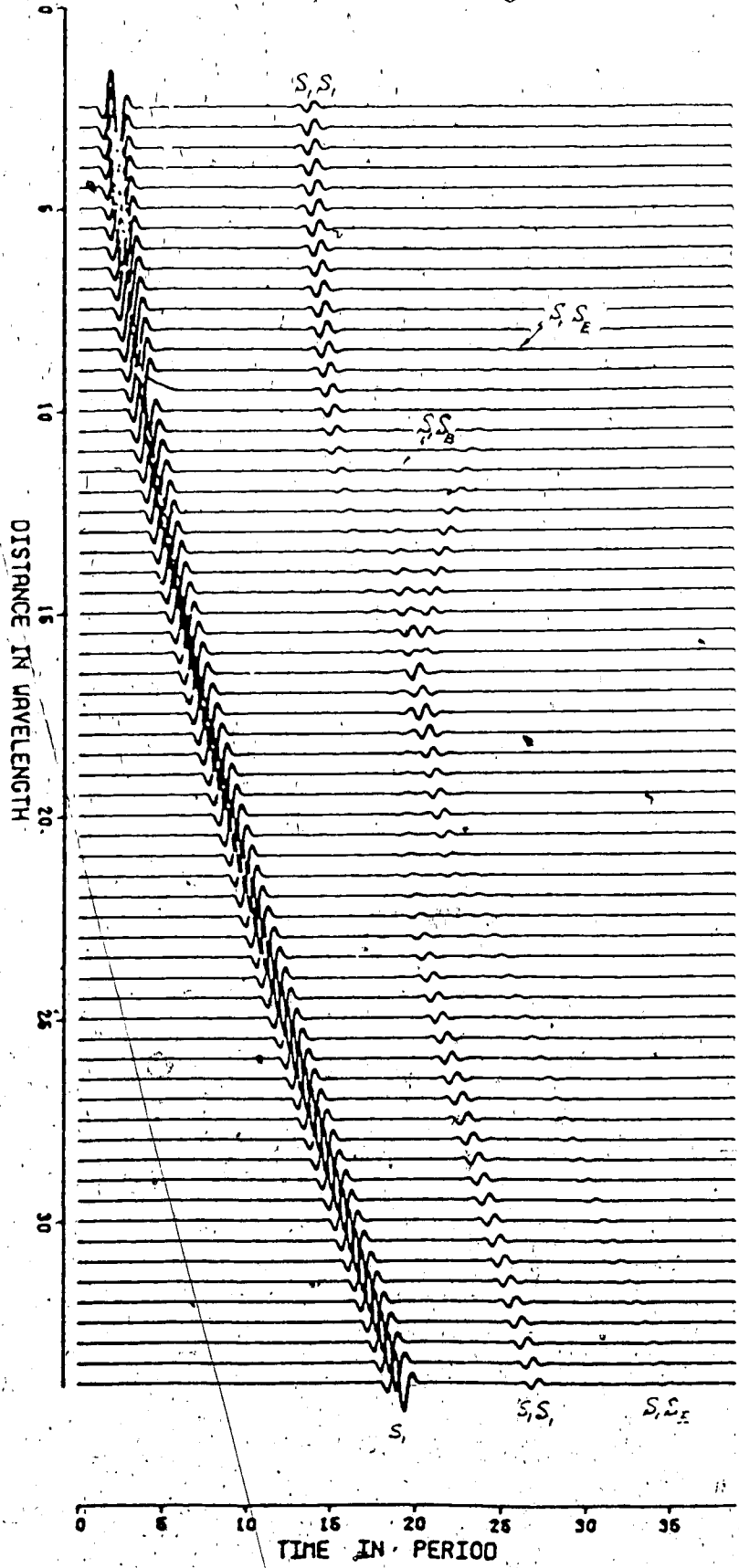
Figure 83 Synthetic seismograms for the  
valley model. (SH mode)

$S_1$  - direct arrival

$S_1, S_1$  - first primary reflection

$S_1, S_B$  - diffraction from corner B

$S_1, S_E$  - diffraction from corner E



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## Appendix A

For a function  $F(r)$ , such that

$$(i) |F(r)| < M |r|^{a-1} e^{b|r|}$$

with  $M$ ,  $a$  &  $b$  all greater than 1, and

(ii)  $F(r)$  is analytic and regular in the whole region of

$$0 < |r| < \infty,$$

then one may write

$$(A.1) \quad F(r) = \frac{1}{2\pi i} \int_{\Gamma_0} e^{iKr \cos \alpha} f(\alpha) d\alpha$$

where  $\Gamma_0$  is shown in Fig. 2.

If  $f(\alpha)$  is regular  $\Gamma_0$  and satisfies

$$|f(\alpha)| < M_1 e^{(1-a)|\text{Im}\alpha|},$$

then the inverse representation of  $f(\alpha)$  in terms of  $F(r)$  may be found as follows.

Let  $f(\alpha)$  be an odd function, then the integration of

$f(\alpha)$  along the two branches of  $\Gamma_0$  may be reduced to along only one of the branches, say  $\gamma$ , in the following fashion

$$(A.2) \quad F(r) = \frac{1}{\pi i} \int_{\gamma} e^{-ikr \cos \alpha} f(\alpha) d\alpha$$

Now, let

$$(A.3) \quad g(\omega) = -\frac{2if(\alpha)}{\sin \alpha},$$

where  $\omega = -i \cos \alpha$ , then

$$(A.4) \quad \begin{aligned} f(\alpha) d\alpha &= \frac{1}{2} i \sin \alpha g(\omega) \frac{d\omega}{i \sin \alpha} \\ &= \frac{1}{2} g(\omega) d\omega, \end{aligned}$$

and equation (A.2) becomes

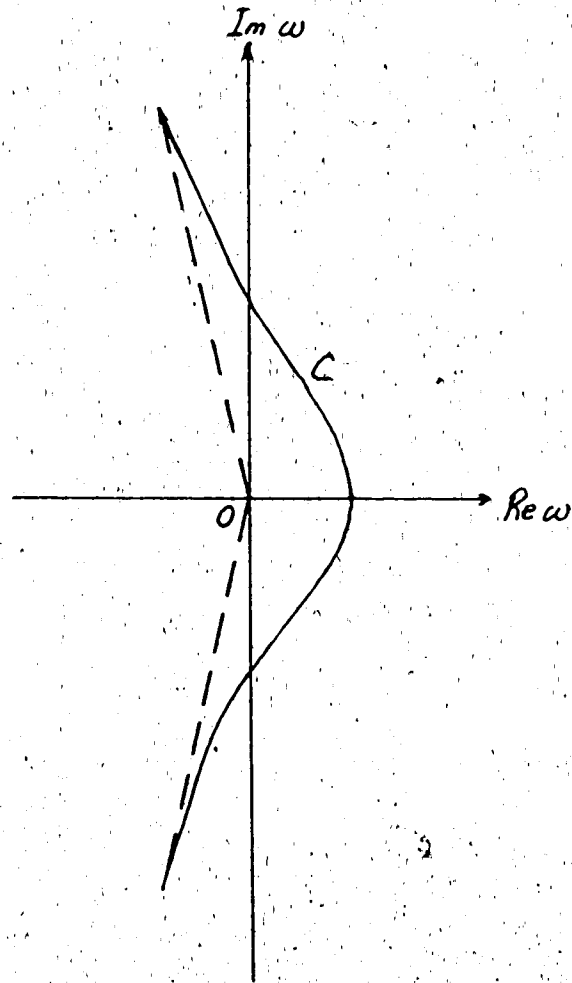
$$(A.5) \quad F(r) = \frac{1}{2\pi i} \int_C e^{kr\omega} g(\omega) d\omega$$

where  $C$  is the contour of integration in the  $\omega$ -plane as shown in Fig. A1. The interior of  $\gamma$  is mapped to the right hand side of  $C$ .

Now, consider the integral

$$(A.6) \quad S = \int_0^{\infty} F(r) e^{-kr\omega} dr.$$

Figure A1 Contour of integration C.



Substitution for  $F(r)$  from (A.5) into (A.6) yields

$$(A.7) \quad S = \int_0^{\infty} \left\{ \frac{1}{2\pi i} \int_C e^{\kappa r \omega_1} g(\omega_1) d\omega_1 \right\} e^{-\kappa r \omega} dr.$$

If  $g(\omega)$  is regular, to the right of contour  $C$ , it enables us to change the order of integration and (A.7) becomes

$$\begin{aligned} (A.8) \quad S &= \frac{1}{2\pi i} \int_C g(\omega_1) d\omega_1 \left\{ \int_0^{\infty} e^{\kappa r (\omega_1 - \omega)} dr \right\} \\ &= \frac{1}{2\pi i} \int_C g(\omega_1) d\omega_1 \left[ \frac{1}{\kappa(\omega_1 - \omega)} e^{\kappa r (\omega_1 - \omega)} \right]_0^{\infty} \\ &= -\frac{1}{2\pi i \kappa} \int_C [g(\omega_1)/(\omega_1 - \omega)] d\omega_1 \\ &= -\frac{1}{2\pi i \kappa} 2\pi i \text{ (residue at pole } \omega_1 = \omega) \\ &= -\frac{1}{\kappa} [-g(\omega)] \end{aligned}$$

$$(A.9) \quad S = g(\omega)/\kappa.$$

The second minus sign in (A.8) arises from the fact that  $g(\omega)$  is regular to the right of contour  $C$  and a close path has to be taken in the clockwise direction.

Now, substitute for  $g(\omega)$  from (A.3) into (A.9), then

$$(A.10) \quad S = \int_0^{\infty} F(r) e^{-\kappa r \omega} dr$$

$$= \frac{q\omega}{\kappa}$$

$$= - \frac{2if(\alpha)}{\kappa \sin \alpha}$$

Or

$$(A.11) \quad f(\alpha) = \frac{i\kappa \sin \alpha}{2} \int_0^{\infty} F(r) e^{i\kappa r \cos \alpha} dr.$$

Writing the original definition of  $F(r)$  from (A.1) again

$$F(r) = \frac{1}{2\pi i} \int_{\Gamma_0} e^{i\kappa r \cos \alpha} f(\alpha) d\alpha,$$

we have our desired transform pair.



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