

1 **Microstructure Solidification Maps for Al-10wt%Si Alloys**

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12
13 **Abstract:**

14 Hypo-eutectic Al-Si alloys are widely used in both the automotive and aerospace industries,
15 however, they still have limited usage as structural materials, due to the inherent morphology of
16 the Si phase that forms within the eutectic structure. This non-ideal Si morphology can be
17 modified, via alloy additions and/or rapid solidification (RS), but the underlying mechanism(s)
18 behind this is poorly understood. This work focused on understanding the influence of RS on the
19 eutectic structure, for hypo-eutectic Al-10wt%Si alloys produced by Impulse Atomization and
20 Differential Scanning Calorimetry. This study found that the eutectic Si forms into four distinct
21 morphologies: (1) flaky, (2) fibrous, (3) globular + fibrous and (4) globular, depending on the
22 solidification conditions. As a result, two solidification maps of the Si morphology are proposed,
23 one based on local eutectic solidification conditions and another based on a solidification
24 continuous cooling diagram (SCCT). Both maps help identify the required conditions for certain
25 Si morphologies to form. Hardness measurements were also carried out and it was found that the
26 Si morphology would influence the alloy hardness, with the highest value being achieved when
27 the eutectic Si was globular. This result indicates that the Si morphology is an important factor
28 that can alter the mechanical properties of hypo-eutectic Al-Si alloys.

29 1 INTRODUCTION

30 The hypo-eutectic Al-Si alloy system is known for its strong corrosion resistance, good castability
31 and relatively high strength-to-weight ratio [1]. These characteristics make it an important alloy
32 system and has led to its widespread usage in both the automotive and aerospace industries [2].
33 However, even with these desirable properties, hypo-eutectic Al-Si alloys have limited usage as
34 structural materials, due to the inherent characteristics of the Si phase that forms within its eutectic
35 structure.

36 In the as-cast state, eutectic Si forms a flaky lamellar morphology that, combined with the inherent
37 brittle nature of Si, significantly reduces the ductility and mechanical property performance. It is
38 possible to modify the Si into a fibrous and rod-like shape, which can yield a 50% improvement
39 in the tensile strength, and a three-fold improvement in the ductility [3].

40 To achieve this refinement, the typical methods are the use of alloy additions or the control of the
41 solidification conditions [3]. Alloying additions modify the Si by restricting its nucleation or by
42 restricting its growth [4] [5] [6] [7]. While the solidification conditions achieve refinement by
43 controlling the cooling rate, where an increase in the cooling rate will make the Si more fibrous
44 and rod-like [8] [9]. Even though Si can be modified using either technique how this modification
45 occurs is poorly understood, especially the refinement caused by high cooling rates.

46 It is reported in the work of Khan and Elliott [10] that the transition in Si growth from bulky/faceted
47 plates to smooth/globular fibers is accompanied by a drop in undercooling. This suggests that
48 fibrous growth is a departure from the normal growth of broad faceted flakes toward continuous
49 growth of a non-faceted phase. However, currently no mechanism can explain how Si morphology
50 may be refined, which makes it difficult to reliably predict or design solidification processes to
51 produce Al-Si alloys with the desired Si morphology.

52 Solidification studies related to the high cooling rate production of Al-Si alloys have been
53 conducted previously. Trivedi [11] and Kalay [12] developed a map of the Al-Si system that
54 described the influence of alloy composition and undercooling. Pierantoni et al. [13] defined the
55 Al-rich boundary of the coupled eutectic zone, at Si compositions between 15.5 to 26 wt.% Si.
56 Although both were beneficial to our understanding, the works only examined the components that

57 would form, rather than the morphology of the eutectic structure. Moreover, they dealt with
58 eutectic/hypereutectic alloy compositions, and not hypo-eutectic.

59 This paper investigates the microstructural evolution of rapidly solidified hypo-eutectic Al-
60 10wt%Si alloys, using Impulse Atomization (IA) and Differential Scanning Calorimetry (DSC)
61 techniques. The focus was to develop microstructure maps of the eutectic structure to define what
62 solidification rates would cause shifts in the Si morphology. With these shifts also being related to
63 the mechanical properties of the alloy via Vickers hardness measurements.

64 2 EXPERIMENTAL PROCEDURE

65 2.1 MATERIALS & METHODS

66 In this work 350 g of Al-10wt%Si alloys were produced by induction melting commercial purity
67 Al (99.9%) and high purity Si (99.999%). Various thermal histories were obtained by IA (high
68 cooling rate and large undercooling) and by DSC (low cooling rate and small undercooling).
69 Powders of sizes varying from 125 μm to 1080 μm were generated by IA under helium, then under
70 argon, from a superheated melt (~ 200 above the melting temperature of the alloy), so that samples
71 with a wide range of cooling rates and undercoolings were obtained. A detailed description of the
72 IA is given elsewhere [14]. A summary of the investigated samples generated by IA is given in
73 Table 1.

74 Table 1: Summary of the investigated Al-10wt% Si samples generated by IA.

Atomization atmosphere	Atomization temperature (°C)	Powder size range (μm)
Argon	765	125-150
		150-180
		212-250
		300-355
		355-425
		425-500
		212-250
		300-355

Helium	765	425-500
		600-710
		850-1000
		1000-1180

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76 To obtain samples from much lower cooling rates and smaller undercoolings, a small piece of the
77 alloy was solidified in a Setaram Labsys Evo 1600 differential scanning calorimeter (DSC) using
78 two alumina crucibles (sample and reference) and a Pt-Rh DSC rod [15]. Temperature regulation
79 was done on the sample by means of an S-type thermocouple (Pt/Pt-10% Rh), and the sample was
80 heated in a protective flowing argon atmosphere. Scanning rates of 0.01°C/s, 0.02°C/s, 0.08°C/s,
81 0.2°C/s, 0.3°C/s and 0.8°C/s were applied during both heating and cooling of the sample to and
82 from 800°C to 200°C so that controlled solidification microstructure were obtained.

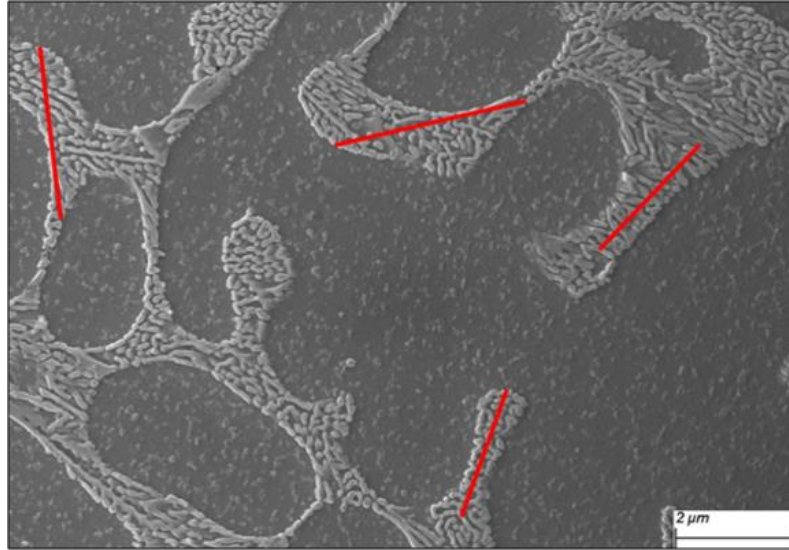
83 2.2 ANALYSIS TOOLS & TECHNIQUES

84 For metallographic analysis, the samples were ground, polished and etched using either Keller's
85 reagent or HCl. Micrographs were collected using a Zeiss Sigma 300 VP-Field Emission Scanning
86 Electron Microscope (FE-SEM). EBSD analysis with the Zeiss Sigma FE-SEM was also done to
87 determine the growth mode of the eutectic Si phase.

88 X-ray diffraction (XRD) analysis was carried out to identify the precipitated phases during
89 solidification. This analysis was achieved using a Rigaku Powder X-ray diffractometer, over an
90 angular range of 5° to 90°, at a step-size of 0.02° and a dwell time of 0.6s per step. The current
91 and voltage of the X-ray tube was 38 mA and 38 kV respectively, while the radiation was Co-K α
92 with a wavelength of 1.78899Å.

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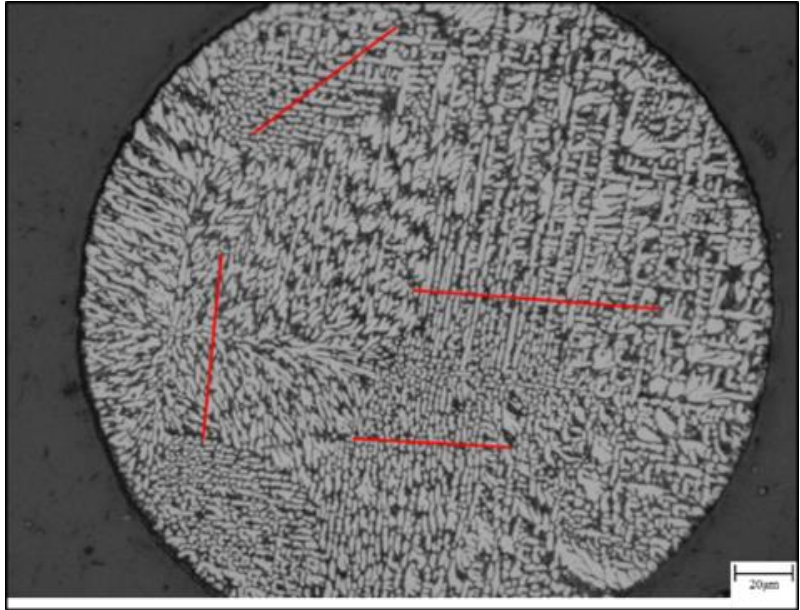
102 Figure 1: Characteristic linear intercept measurements used to determine the eutectic Si spacing.
103 Shown is an IA Al-10Si particle produced using helium, at a particle size of 212-250μm.

104 Measurements of the eutectic fraction were carried out via optical micrographs of individual IA
105 particles (using the software ImageJ). Initially, the optical micrograph of a single particle was
106 converted to a binary format. In this binary form, ImageJ could measure the eutectic area fraction
107 and in turn, determine the eutectic weight fraction of a powder. These eutectic fraction
108 measurements were conducted for powders in each examined size range and involved 12 to 15
109 measurements per size range.

110 The measurement of the eutectic Si spacing was performed using the linear intercept method [16],
111 with characteristic measurements being shown in Figure 1. For the Si spacing measurements of
112 the IA Al-10wt%Si alloy, 4 to 6 different powders were examined for each investigated size range.
113 The spacing measurements were done at different regions (4 to 7) per powder, using at least 10
114 measurements per examined region. For the Si spacing measurements of the DSC Al-10wt%Si
115 alloy, at least 10 measurements were done per examined region.

116 The measured eutectic Si spacing was used to determine the location of eutectic nucleation within
117 an IA powder. With the nucleation region being the area within the microstructure, that had the
118 smallest Si spacing. As it had been shown in previous work that the smallest spacing referred to
119 the largest local eutectic growth rate [17] [18].

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Figure 2: Characteristic linear intercept measurements used to determine the pro-eutectic α -Al spacing. Shown is an IA Al-10Si particle produced using helium, at a particle size of 212-250 μm .

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The measurement of the pro-eutectic α -Al dendrite cell spacing was conducted using the linear intercept method [16], with characteristic measurements being shown in Figure 2. For each size range, 10 to 14 powders had their α -Al cell spacing measured, with 5 to 7 measurements being conducted for each examined powder.

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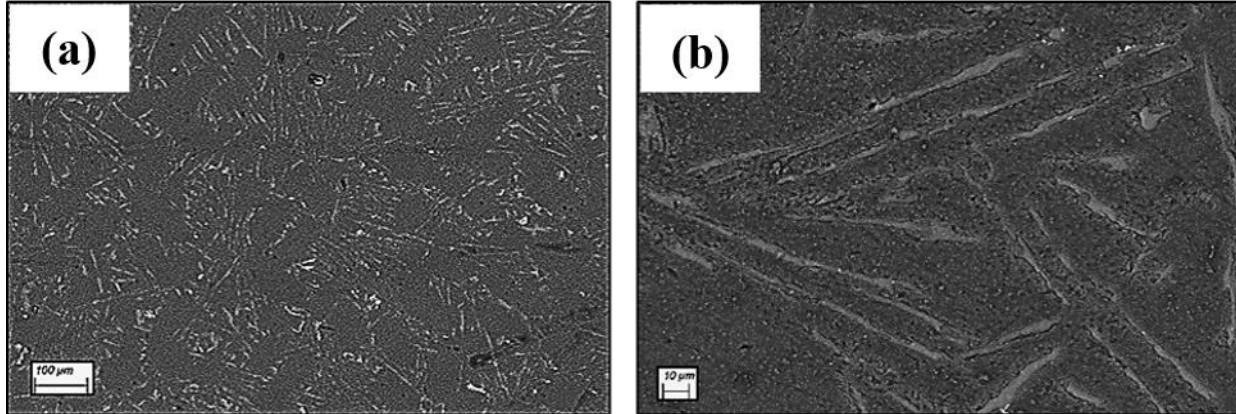
To characterize the mechanical properties of the Al-10wt%Si alloy, Vickers hardness measurements were conducted using a Buhler VH 3100 hardness machine, at a load of 0.2N and a dwell time of 10s. For each size range, 30-40 indentations / hardness measurements were done.

140 3 RESULTS & DISCUSSION

141 3.1 MICROSTRUCTURE & THE INFLUENCE OF PROCESSING HISTORY

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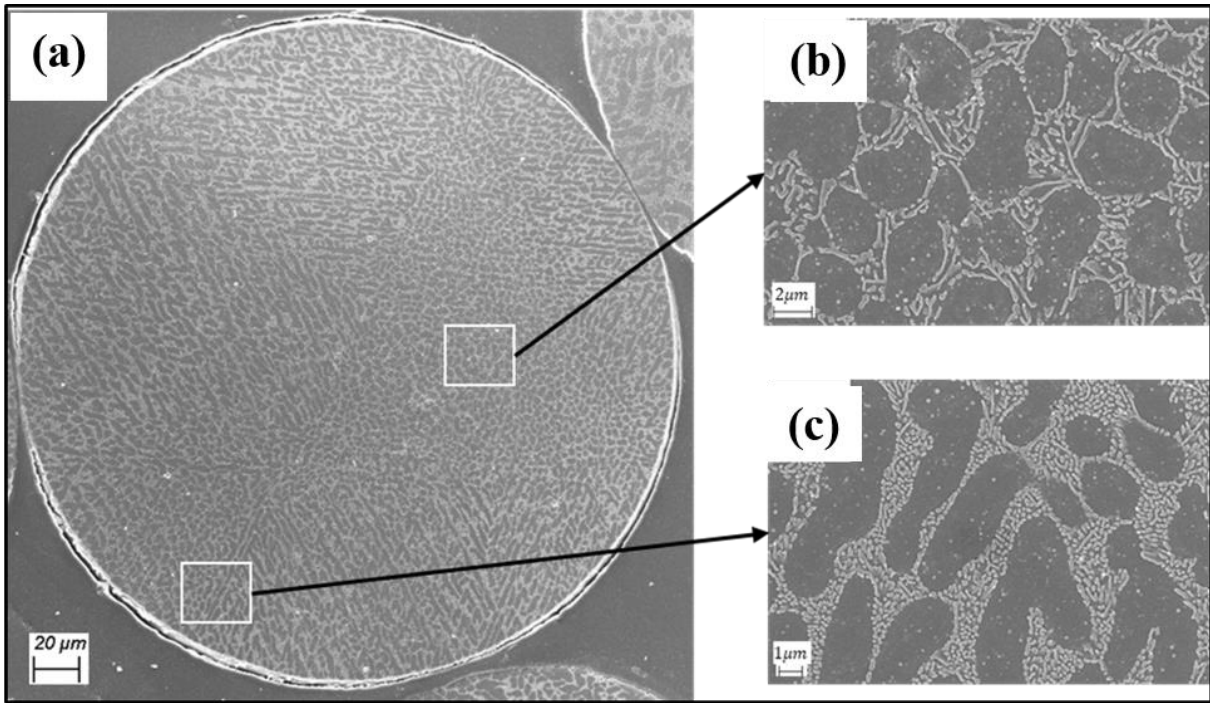
Characteristic microstructures of the Al-10wt%Si samples solidified by DSC are shown in Figure 3. As can be seen, the microstructure of these samples consists of islands of pro-eutectic α -Al phases (dark) surrounded by α -Al + Si eutectic structures (brighter needles are eutectic Si). It is worth noting that the Fe-impurities appearing within the microstructure in the form of Fe-rich (brightest phases), are the result of 0.07% Fe content in the original alloy, as determined by chemical analysis carried out according to ASTM E1097-12 and ASTM E1479-16.



148 Figure 3: Typical microstructure of an Al-10Si alloy produced by DSC. Cooling rate: 5 K/min
149 (~0.1 K/s). (a) Islands of primary α -Al (dark) surrounded by eutectic cells (α -Al + Si), (b) Zoom
150 of a eutectic cell. The lightest phases are Fe-rich compounds.

151 Characteristic microstructures of the rapidly solidified Al-10wt%Si alloy, atomized in both helium
152 and argon, are shown in Figure 4 and Figure 5 respectively. Although the processing conditions of
153 the two samples are different the inherent phases and overall structure were similar.

154 Both samples displayed a microstructure with a pro-eutectic α -Al phase and an α -Al + Si eutectic
155 structure. With the darker regions being the pro-eutectic α -Al phase and the lighter regions being
156 the eutectic Si phase. The dark regions located between the Si phase (shown in the magnified
157 images of Figure 4 and Figure 5) are the eutectic α -Al, which has the same structure and near
158 identical composition as the pro-eutectic α -Al.



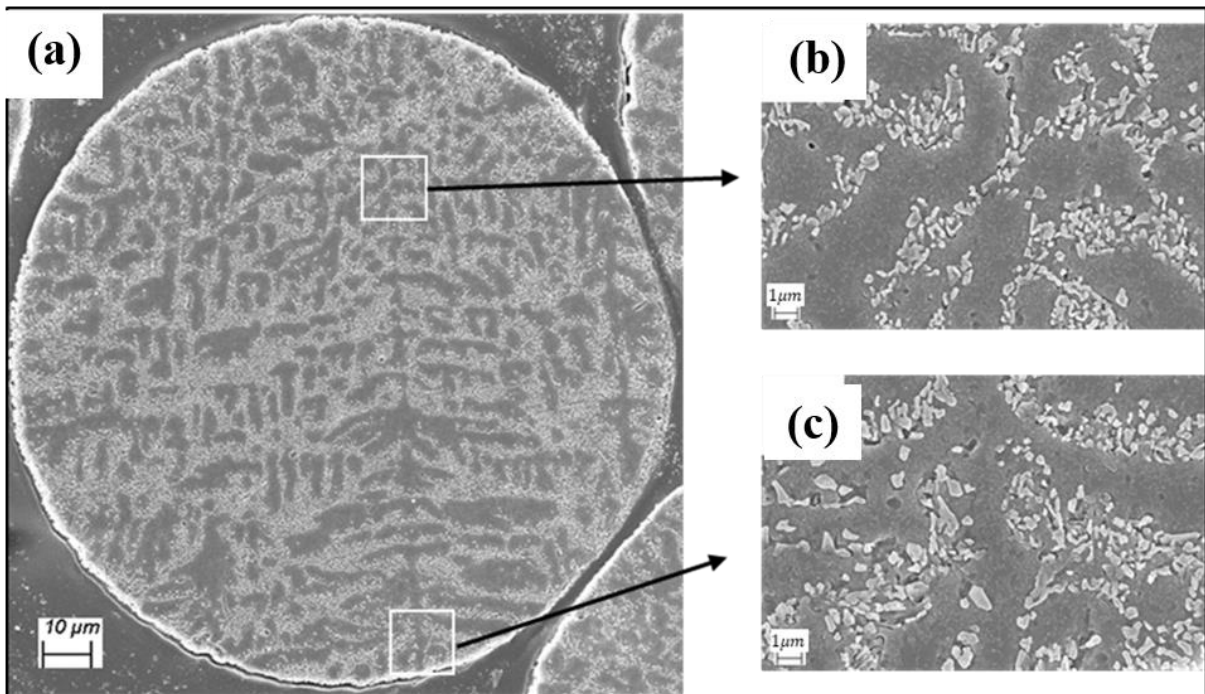
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Figure 4: (a) Typical microstructure of Al-10Si droplets atomized in helium (droplet size in the range 300-355 μm); (b) & (c) are higher magnification images highlighting the α -Al + Si eutectic structure.



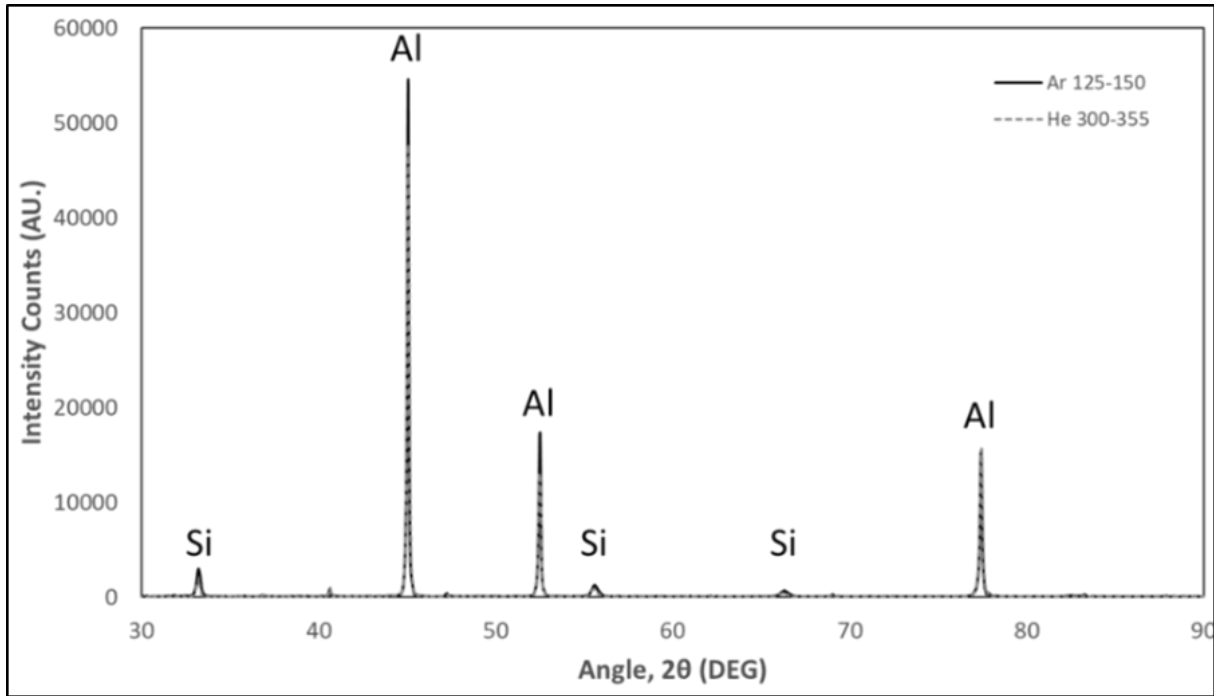
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Figure 5: Typical microstructure of an IA Al-10Si alloy produced using argon, at a particle size of 125-150 μm . Images (a) & (b) show higher magnification images to highlight the Al + Si eutectic structure



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Figure 6: XRD patterns for the Al-10Si alloy produced by IA.

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To confirm this finding XRD analysis was carried out on the investigated IA samples. From these results in Figure 6, pattern indexing established a solid solution α -Al phase and a Si phase.

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Confirming that the major microstructural components were the pro-eutectic α -Al and the α -Al + Si eutectic structure.

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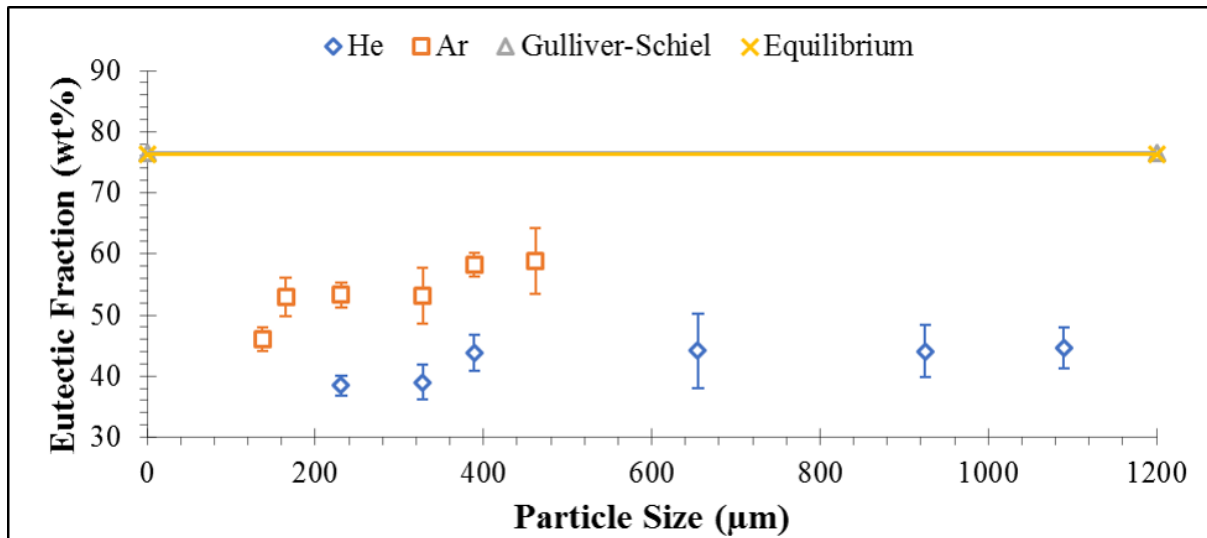
Although the inherent components of the two IA samples were similar, the size, spacing and proportion of each varied. Highlighting the dependence of the microstructure on the processing conditions. To examine this in more detail the eutectic fraction, and how it varies with the IA processing conditions, was plotted in Figure 7. The expected eutectic fraction under both equilibrium and Gulliver-Scheil conditions was also included.

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179 Figure 7: Eutectic fraction of the IA Al-10Si alloy, as a function of the particle size and the
180 atomization gas.

181 The results in Figure 7 demonstrated two things. First, they show that the amount of eutectic that
182 forms will be dependent on the processing conditions, and thus, the solidification conditions.
183 Where a decrease in the particle size, or the use of helium instead of argon gas, decreases the
184 eutectic fraction. Second, the fraction of these components is lower than what was expected under
185 equilibrium or Gulliver-Scheil conditions. Both results highlight that the solidification of the IA
186 Al-10wt%Si alloy followed a non-equilibrium path.

187 This deviation from equilibrium shows the rapid solidification nature of IA alloys. However, just
188 using the processing conditions to represent this rapid solidification would make it difficult to
189 compare these results to other works. Therefore, the processing conditions of the IA Al-10wt%Si
190 alloy were related to the liquid cooling rate in Figure 8.

191 The liquid cooling rate was chosen as it is an important condition that affects each stage of
192 solidification. As well, it is relatively controllable and easy to measure, making this analysis with
193 the liquid cooling rate more applicable to others who would work with Al-10wt%Si alloys under
194 rapid solidification conditions. To estimate the liquid cooling rate a thermal model that describes
195 droplet solidification during IA was used [14] [19]. This model calculates the temperature variation
196 of a superheated IA droplet during solidification, in a stagnant and inert atmosphere. The estimated
197 liquid cooling rates vary from 1×10^3 K/s to 2×10^4 K/s as the size droplet size decreases, for samples
198 atomized in both argon and helium. As expected, for droplets of the same size, helium yields a
199 higher cooling rate thanks to a substantially larger heat capacity and thermal conductivity of the

200 gas compared to argon. Similar trends, between the droplet size and the solidification rate, have
 201 been found in past work [11] [12] [21] [22]. The thermo-physical properties of the two gases are
 202 shown in in Table 2.

203 Table 2: Thermo-physical properties of argon and helium gas [14] [23].

Property	Units	Argon	Helium
Heat Capacity	$Jkg^{-1}K^{-1}$	520	5195
Density	kgm^{-3}	$539.23 \cdot T^{-1.0205}$	$48.14 \cdot T^{-1}$
Conductivity	$10^4WK^{-1}m^{-1}$	$1.86 \cdot T^{0.7915}$	$38.05 \cdot T^{0.7098}$
Dynamic Viscosity	$10^5Pa s$	$0.0238 \cdot T^{0.7913}$	$0.0367 \cdot T^{0.7}$

204
 205 Another solidification condition to consider is the coarsening rate of pro-eutectic α -Al phase,
 206 defined by the rate of solidification from the end of recalescence to the nucleation of the eutectic
 207 structure. This coarsening rate controls the spacing of the pro-eutectic α -Al phase, and in turn, the
 208 distribution and fraction of eutectic within the alloy [24] [25] [26]. Making it an important factor
 209 that will determine the solidification interval of a given sample and subsequently the primary and
 210 secondary nucleation temperatures [27].

211 To estimate the α -Al coarsening rate, the solidification interval of coarsening must be determined.
 212 This interval is the time region between the liquidus temperature and the eutectic nucleation
 213 temperature. Meaning that if these temperatures were known, the coarsening solidification rate \dot{T}
 214 of the pro-eutectic α -Al phase could be calculated using Equation 1:

$$\dot{T} = \frac{T_L - T'_E}{t_L - t'_E} \quad (1)$$

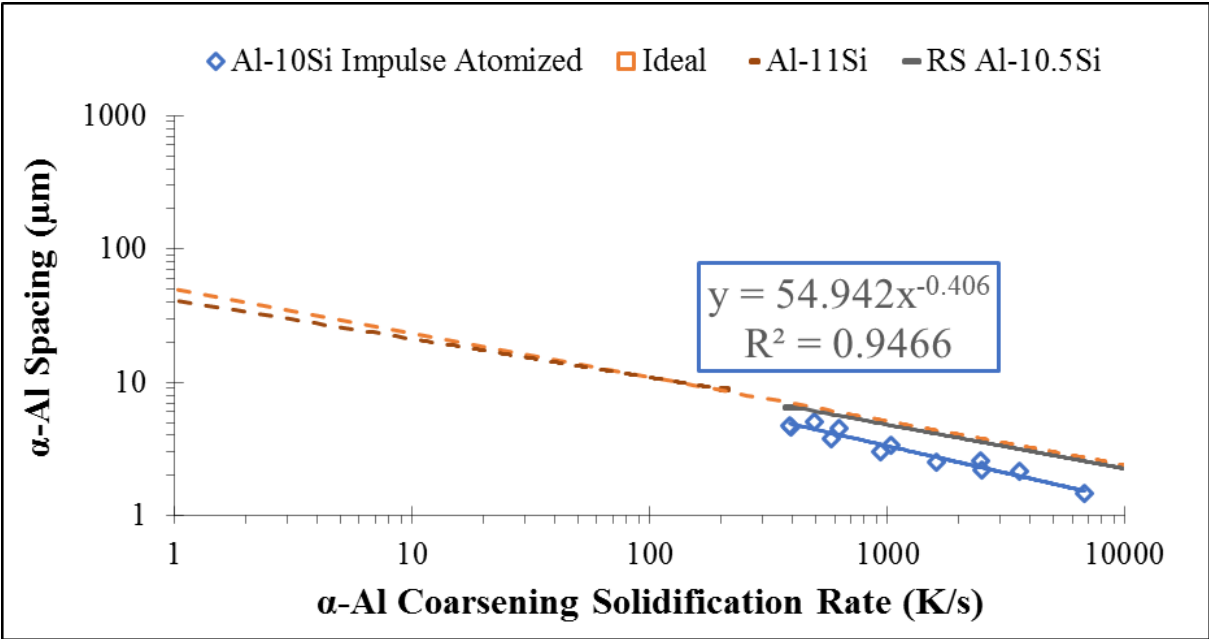
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 216 where T_L is the temperature at the end of recalescence (approximated to the liquidus temperature)
 217 and was estimated using the thermal model of a solifiying droplet, described in [14] [19], T'_E is the
 218 eutectic nucleation temperature, t_L is the time at which the liquidus temperature occurs and t'_E is
 219 the time at which the eutectic nucleation temperature is reached.

220 To estimate the eutectic nucleation temperature of each sample, the experimentally measured
 221 eutectic weight percent was compared to the calculated eutectic fractions (using Gulliver-Scheil
 222 equation) along the extended solidus and liquidus lines of the phase diagram. The eutectic
 223 nucleation undercooling was found when there is a match between the experimental and calculated
 224 eutectic fractions. A more detailed description of this procedure is given elsewhere [27]. The

225 eutectic nucleation undercooling was subsequently used together with the solidification interval
 226 and the α -Al spacing λ , to determine the primary nucleation undercooling. The secondary dendrite
 227 arm spacing is related to the cooling rate according to equation 2 [28] [29]:

$$228 \quad \lambda = B(\dot{T})^{-n} \quad (2)$$

229 where B and n are alloy-dependent constants obtained in this work from the best fitting curves α -
 230 λ Vs \dot{T} . For the investigated alloy, Figure 8 shows B and n values of ~ 55 and ~ 0.41 respectively,
 231 which are in a fairly good agreement with the reported values (dubbed ideal) of 50 and 1/3 for Al
 232 alloys [29].

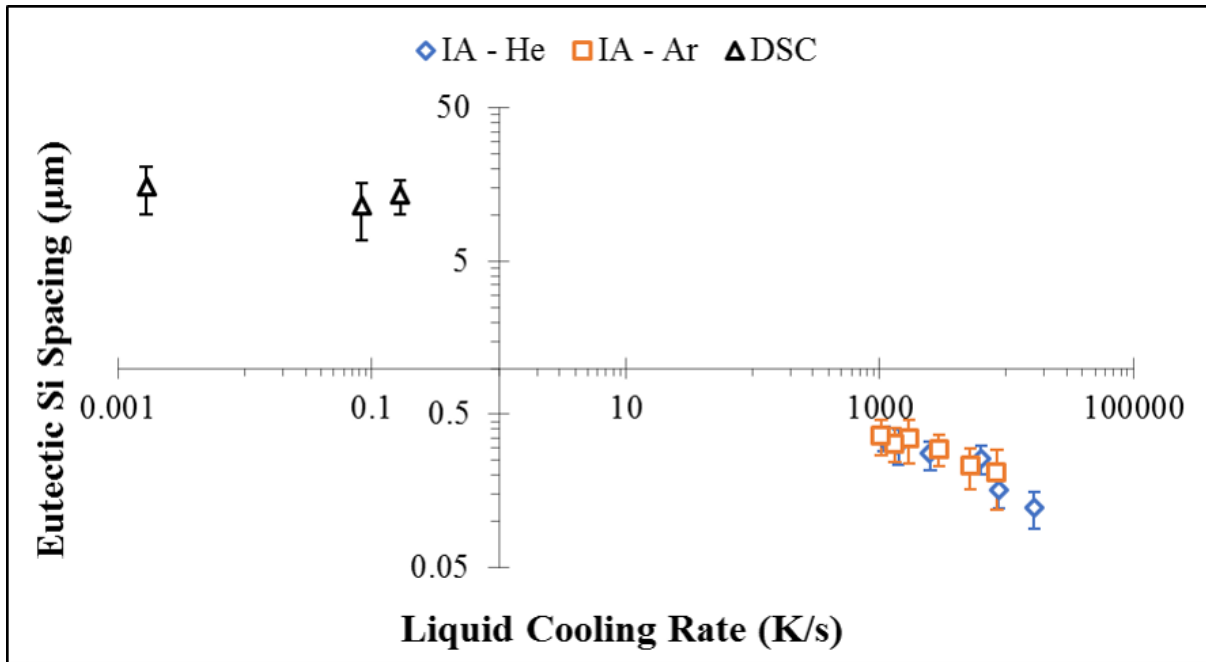


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 234 Figure 8: Pro-eutectic α -Al dendrite cell spacing as a function of the coarsening solidification
 235 rate. The B & n values for the IA Al-10Si alloy are shown in blue, while the ideal values for Al
 236 alloys are shown in orange. This plot also includes the B & n values for Al-Si alloys from the
 237 past work of Anyalebechi [30] and Armstrong [31].

238 Results from past work on Al-Si alloys by Anyalebechi [30] and Armstrong [31] are also included
 239 in Figure 8.

240 **3.2 VARIATION IN EUTECTIC STRUCTURAL SCALE AND SI MORPHOLOGY**

241 Eutectic silicon spacing was measured and its variation with the liquid cooling rate was analyzed
 242 for all the investigated samples.



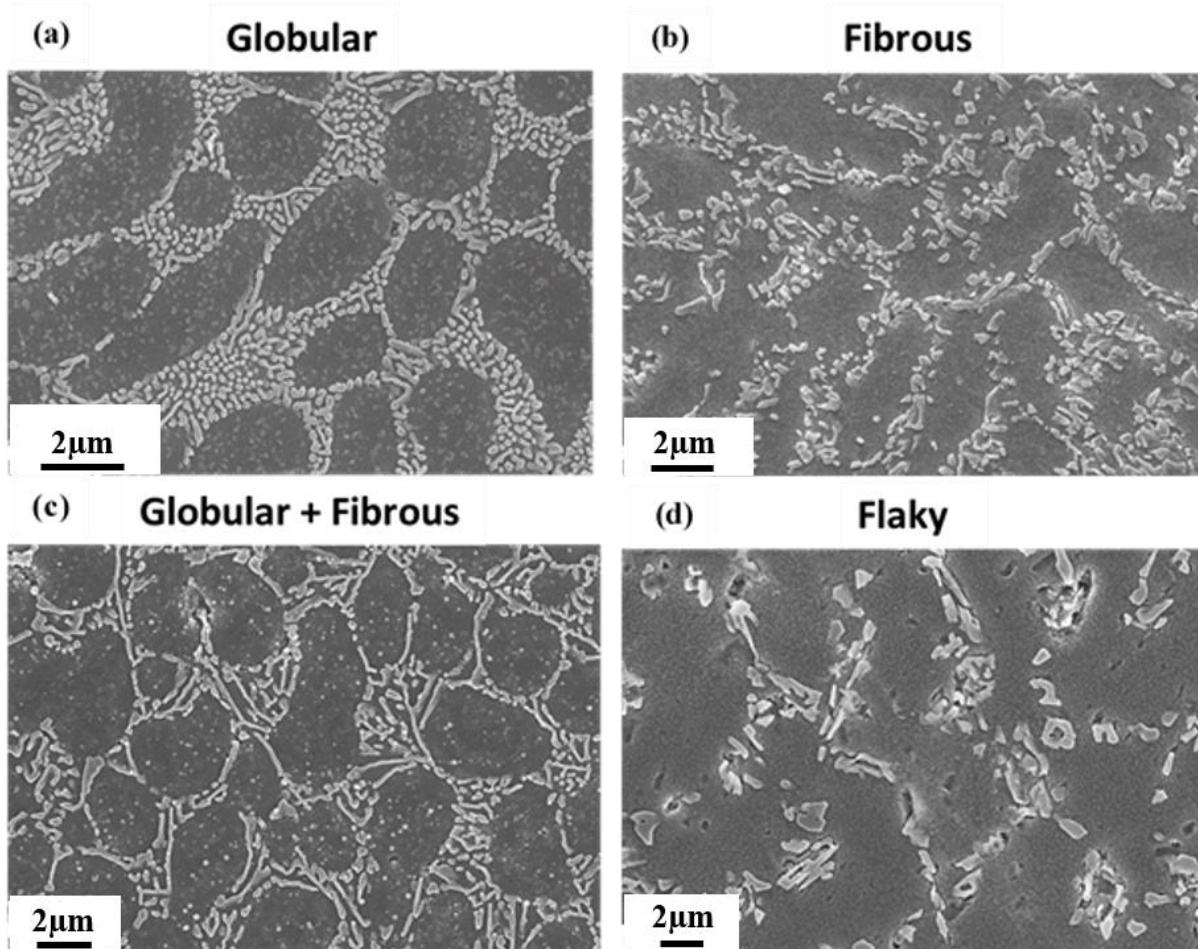
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244 Figure 9: Eutectic Si spacing as a function of the liquid cooling rate for the Al-10wt%Si alloy.

245 Figure 9 shows that the eutectic Si spacing decreases as the liquid cooling rate increases,
 246 confirming a refinement by rapid cooling. However, in order to get a complete understanding of
 247 the evolution of the eutectic Si over the range of investigated cooling rates, its morphology is an
 248 important factor to consider.

249 Examining the solidification microstructures of the DSC samples in Figure 3, it can be seen that
 250 the eutectic Si has the plate-like morphology that is commonly observed in a cast microstructure.
 251 Conversely, in the eutectic structure of the IA samples, shown in Figures 4 and 5, the Si
 252 morphology varies, not only between the two particles, but within the same particle as well.

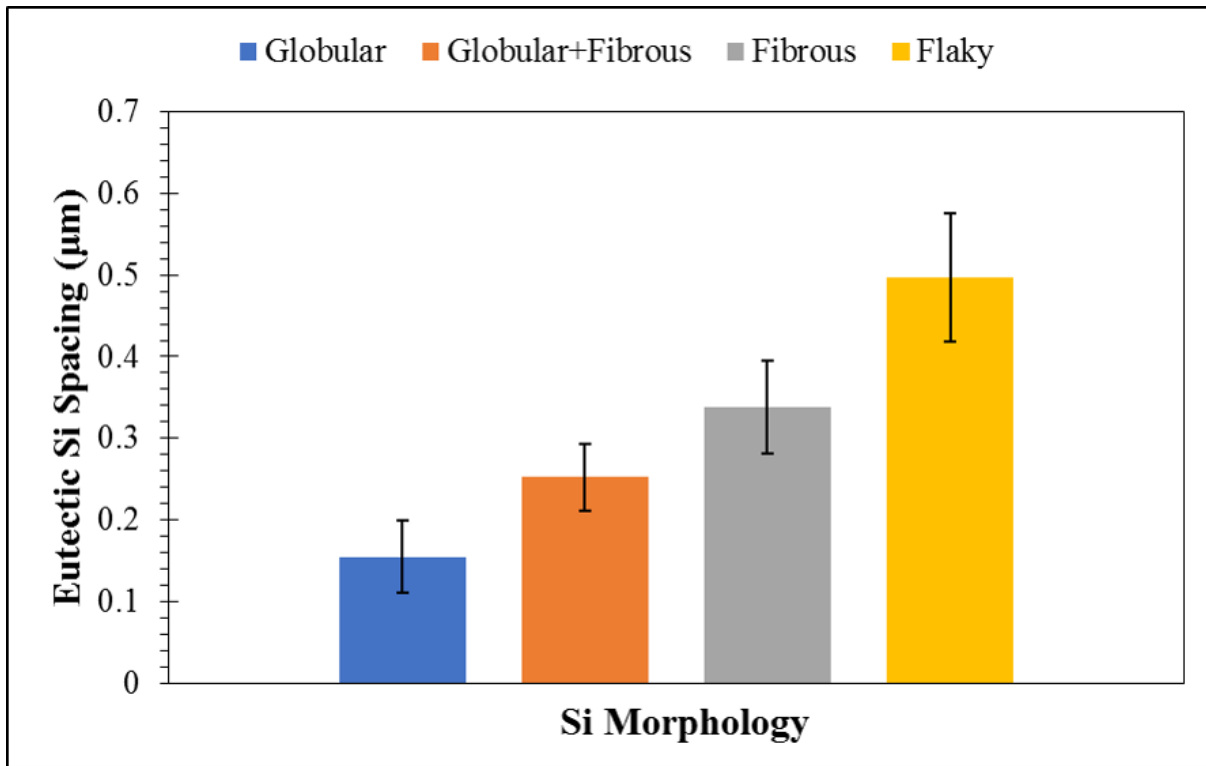
253 To better define this morphological shift, a qualitative characterization of the eutectic Si
 254 morphology was conducted using FE-SEM images. From this analysis, it was found that the Si
 255 morphology could be classified into four groups, shown in Figure 10.



256 Figure 10: FE-SEM images outlining the four observed morphologies of the eutectic Si phase.
 257 (a) "Globular" Si morphology, He 212-250 μm sample. (b) Fibrous" Si morphology, Ar 125-
 258 150 μm sample. (c) "Globular + Fibrous" Si morphology, He 300-355 μm sample. (d) "Flaky" Si
 259 morphology, Ar 300-355 μm sample.

260 The first grouping relates to a "Globular" Si morphology that is rounded, refined and compact.
 261 The second grouping relates to a "Fibrous" Si morphology that has a combination of rounded +
 262 sharp features, with an elongated shape. The third grouping relates to a "Globular + Fibrous" Si
 263 morphology, that is a combination of rounded + non-elongated Si and sharp + elongated Si. The
 264 fourth grouping relates to a "Flaky" Si morphology that is blocky, elongated and not rounded.

265 From visual analysis, the globular Si was ascribed as the most refined morphology. With the
 266 fibrous Si and the flaky Si being considered progressively less refined, as they started to more
 267 closely resemble the typical, plate-like Si found in castings. To relate this analysis to quantitative
 268 results, the average eutectic Si spacing in each morphological group was plotted in Figure 11.

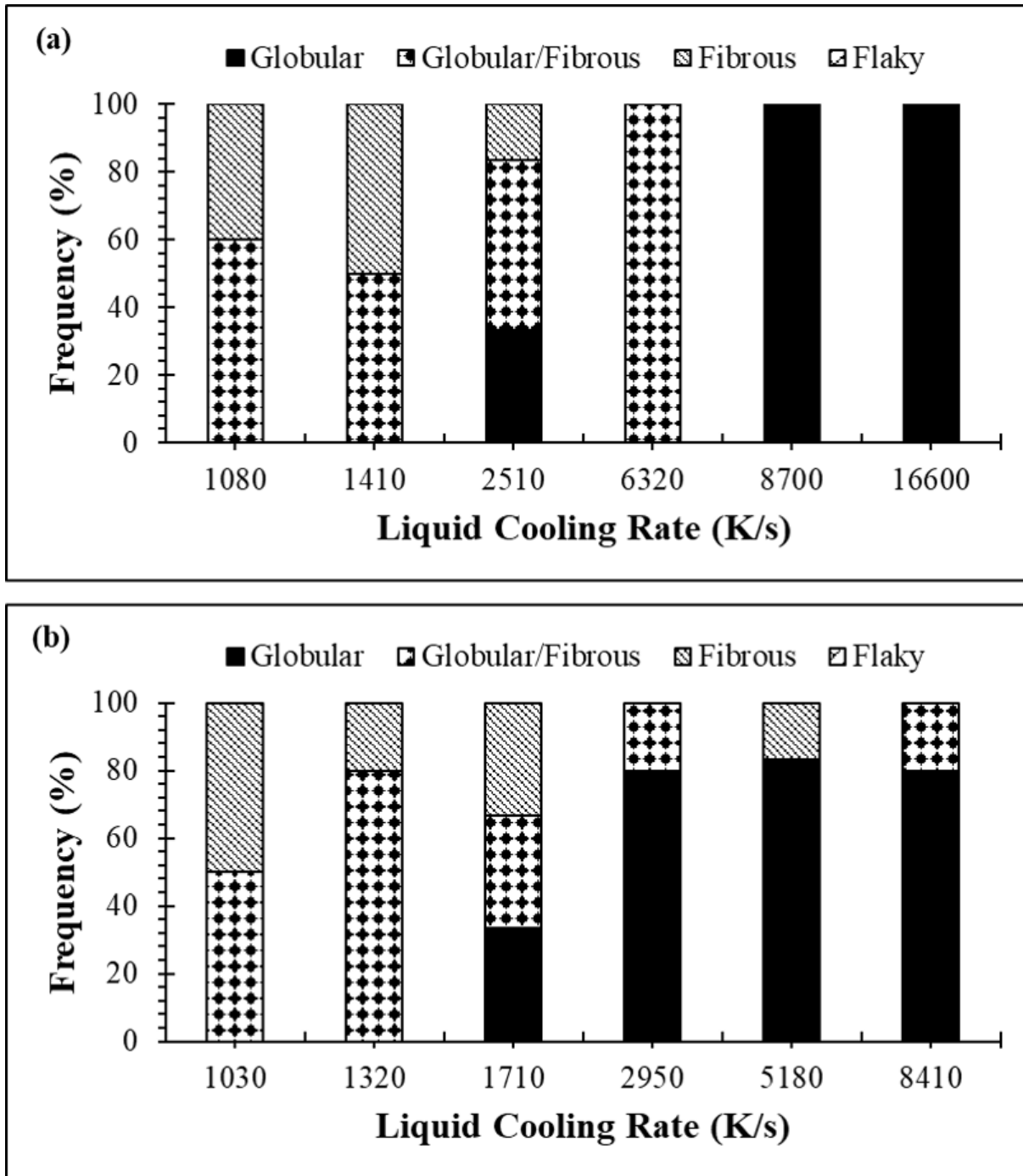


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270 Figure 11: Average eutectic Si interphase spacing of each Si morphology grouping.

271 Figure 11 clearly shows that as the Si spacing decreases, the morphology will shift from flaky →
 272 fibrous → globular + fibrous → globular. This seems to indicate that the observed changes in Si
 273 morphology relate to changes in the local growth conditions of the eutectic structure.

274 The outlined characterization was also used to determine the dominant Si morphology at the
 275 eutectic nucleation point (for the IA Al-10wt%Si alloy). The criterion for this determination was
 276 not based on what morphology had the most counts and was instead based on the distribution. If
 277 the counts were split between various morphologies, then the dominant Si morphology was
 278 considered a mix between the two. But if every count was of one morphology then that would be
 279 considered the dominant Si morphology. The results of this analysis are shown in Figure 12 as a
 280 function of the liquid cooling rate, for samples atomized in both helium and in argon.



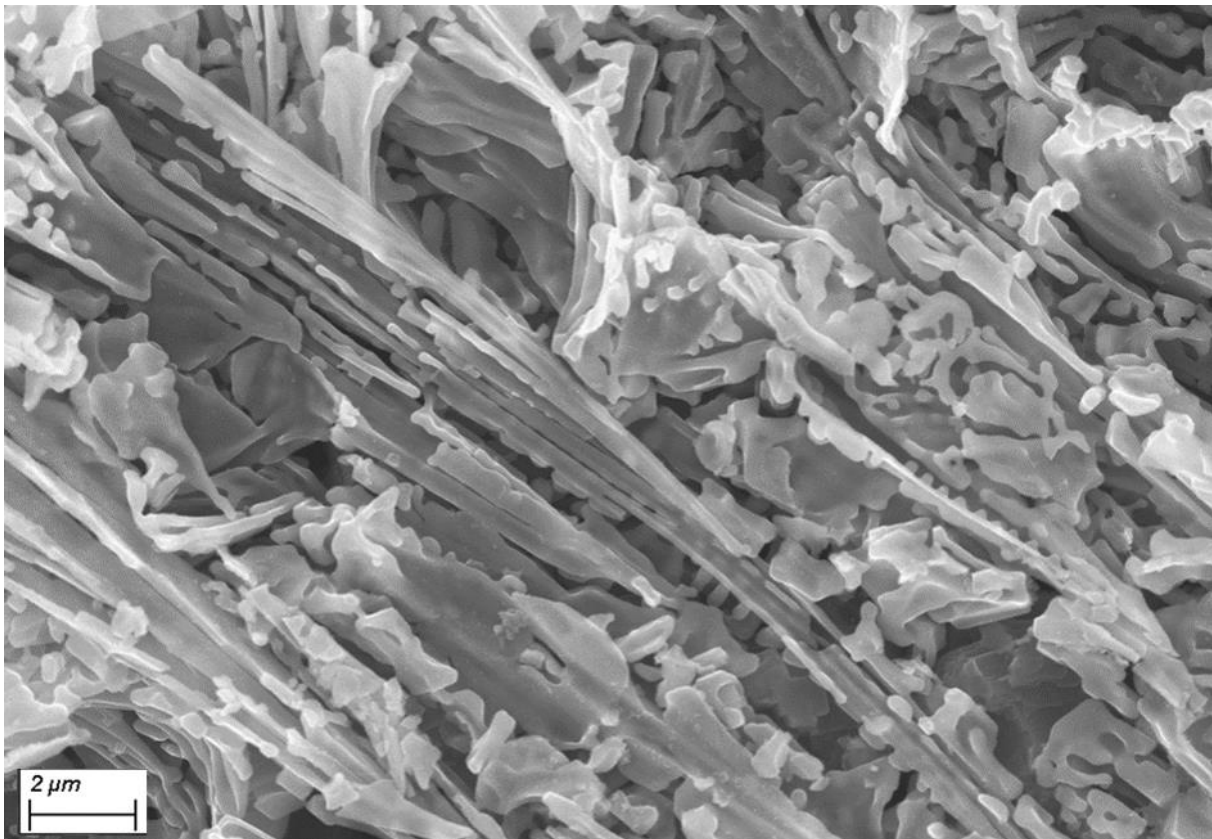
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282 Figure 12: Distribution of the observed Si morphology, around the nucleation point, at specific
 283 liquid cooling rates for the IA Al-10Si alloy. As atomized in (a) helium, (b) argon.

284 The results of this analysis found that a dominant globular morphology prevailed only for samples
 285 that were atomized in helium, at the two fastest liquid cooling rates. The rest of the samples showed
 286 a mixed globular + fibrous Si morphology.

287 In more general terms Figure 12 showed that decreases in the liquid cooling rate would shift the
288 Si morphology from globular to globular + fibrous. This result was expected as morphological
289 refinement is expected at higher cooling rates. As well, it was found that if helium was used instead
290 of argon, improved refinement of the Si morphology would occur.

291 EBSD analysis performed on deeply etched samples (Figure 13), confirmed that in all cases, Si
292 was crystalline, and that the shift in Si morphology was not related to a change in Si growth from
293 crystalline to amorphous.



294
295 Figure 13: Crystalline Si phase in a deeply etched IA Al-10Si droplet in the size range 300μm -
296 355μm under Ar.

297 3.3 LOCAL EUTECTIC SOLIDIFICATION MAP

298 The qualitative characterization from Section 3.2 suggested that the local eutectic solidification
299 conditions influenced the Si growth morphology. To evaluate this further, methods were developed
300 to estimate the local eutectic solidification conditions so that they could be compared to the Si
301 morphology.

302 The first set of local solidification conditions to be examined were the growth velocity and the
 303 undercooling. Using a modified Jackson-Hunt model [17] this local eutectic undercooling and
 304 growth velocity could be described, using the equations (3) to (5).

$$305 \quad \Delta T_{eut} = (1 + \varphi^2) \frac{K_2}{\lambda} \quad (3)$$

$$306 \quad v^* = \frac{K_2 \varphi^2}{K_1 \lambda^2} \quad (4)$$

$$307 \quad K_1 = \left(\frac{m C_o}{D} \right) \left(\frac{P}{f_\alpha f_\beta} \right) \quad \& \quad K_2 = 2m \left(\frac{\Gamma_\alpha \sin \theta_\alpha}{f_\alpha m_\alpha} + \frac{\Gamma_\beta \sin \theta_\beta}{f_\beta m_\beta} \right) \quad (5)$$

308 where P is a function of the volume fractions ($P = 0.335(f_\alpha f_\beta)^{1.65}$), K_1 & K_2 are material
 309 parameter constants and φ is a dimensionless parameter that is the ratio between the average and
 310 the extremum eutectic spacings.

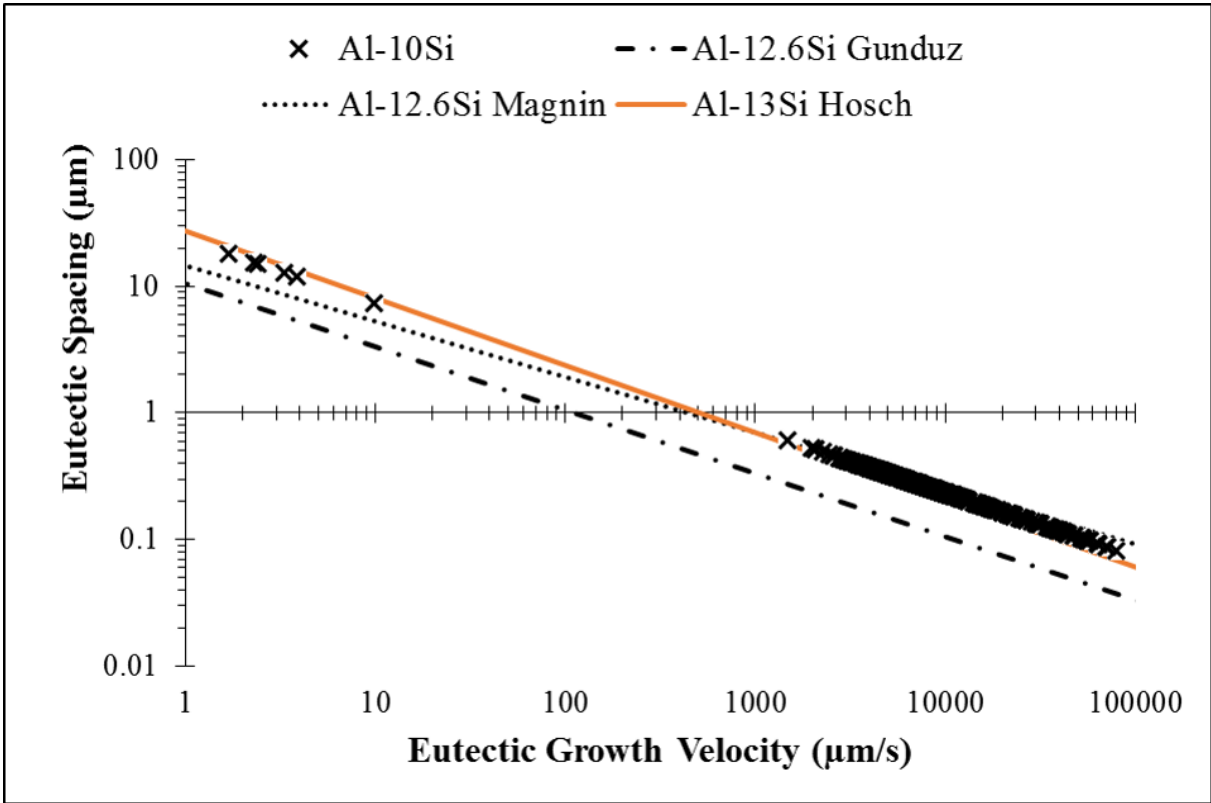
311 The values of each material property used to calculate K_1 and K_2 can be found in Table 3, while
 312 the value of φ was found to be 2.3 for Al-Si alloys [32].

313 Table 3: Data used for the modified Jackson-Hunt calculations [12] [17] [32] [33].

Parameter Symbol	Parameter Value	Unit	Parameter Name
D	4.3E-09	$m^2 s^{-1}$	Diffusion Coefficient
C_o	87.7	wt%	Length of weighted eutectic tie-line
m_α	7.5	$K. wt\%$	α -phase liquidus slope
m_β	17.5	$K. wt\%$	β -phase liquidus slope
Γ_α	1.96E-07	$K. m$	Gibbs-Thompson coefficient α
Γ_β	1.70E-07	$K. m$	Gibbs-Thompson coefficient β
θ_α	30	$^\circ$	Angle of α -phase
θ_β	65	$^\circ$	Angle of β -phase
T_{eut}	850.2	K	Eutectic temperature
C_{eut}	0.126	-	Eutectic composition
Φ	2.3	-	Extremum condition parameter
f_α	0.873	-	α -phase fraction
f_β	0.127	-	β -phase fraction

314

315 To validate this modified Jackson-Hunt approach the results for the Al-10wt%Si alloy were
316 compared with past results for other Al-Si alloys. This comparison involved a plotting of the
317 eutectic Si spacing as a function of the local eutectic growth velocity, shown in Figure 14.



318
319 Figure 14: Eutectic Si spacing versus eutectic growth velocity plot for the Al-10Si alloy, along
320 with the results from other Al-Si alloys examined by Hosch [3] Gunduz [17] and Magnin [33].

321 Figure 14 shows that the results of this analysis on Al-10wt%Si matches up well with past results
322 of Al-Si alloys. This validates the use of the modified Jackson-Hunt approach to estimate the local
323 eutectic growth velocity and undercooling.

324 A second set of the local eutectic solidification parameters, namely, the cooling rate and the
325 thermal gradient were estimated. This estimation relied on a thermal analysis that was developed
326 by Garcia et al. [34] and expanded on by Spinelli et al. [35], which described the 1D unidirectional
327 solidification of metal castings. This thermal analysis involved a thermal energy balance at the
328 solidification front, where the latent heat given off by the portion of the front that solidified had to
329 be balanced by the conductive heat transfer at the front for solidification to progress. Using this

330 thermal balance, an expression was developed that described the required conditions for
 331 solidification to occur within a 1D sphere:

$$332 \quad k_t \left(\frac{dT}{dr} \right)_{r=r_f} = L\rho_L \left(\frac{dr_f}{dt} \right) \quad (6)$$

333 Where k is the thermal conductivity of the liquid, L is the latent heat of fusion, ρ_L is the density of
 334 the liquid, dr is the incremental solid layer as solidification advances and r_f is the radius of the
 335 freezing solidification front [35]. For the development of this model, Garcia et al. [34] neglected
 336 the influence of convection, the changes in volume due to differing densities and superheating in
 337 the liquid.

338 Examining Equation 6, the $\left(\frac{dT}{dr} \right)_{r=r_f}$ term can also be expressed as the local thermal gradient
 339 (G_{eut}), while the $\left(\frac{dr_f}{dt} \right)$ term, can be expressed as the local growth velocity (v^*). Using these
 340 definitions, the thermal balance may be re-written as:

$$341 \quad k_L G_{eut} = Ldv^* \quad (7)$$

342 Based on Equation 7, a relationship between the eutectic growth velocity and the eutectic cooling
 343 rate ($CR_{eut} = G_{eut}v^*$) can be made:

$$344 \quad CR_{eut} = C_1 v^{*2} \quad (8)$$

$$345 \quad C_1 = \frac{L\rho_L}{k_L} \quad (9)$$

346 Where CR_{eut} is the local eutectic cooling rate and C_1 , a material dependent constant.

347 Using Equation 8, the local eutectic cooling rate is estimated with the local growth velocity and
 348 the material constant parameter C_1 , calculated using the material properties from Table 4.

349 Table 4: Al-Si material properties used for eutectic cooling rate estimation [36].

Parameter Symbol	Parameter Value	Unit	Parameter Name
L	397300	$J. Kg$	latent heat of fusion
ρ_L	2650	$Kg.m^{-1}$	density of the liquid
k_L	70	$W.m^{-1}K^{-1}$	thermal conductivity of the liquid

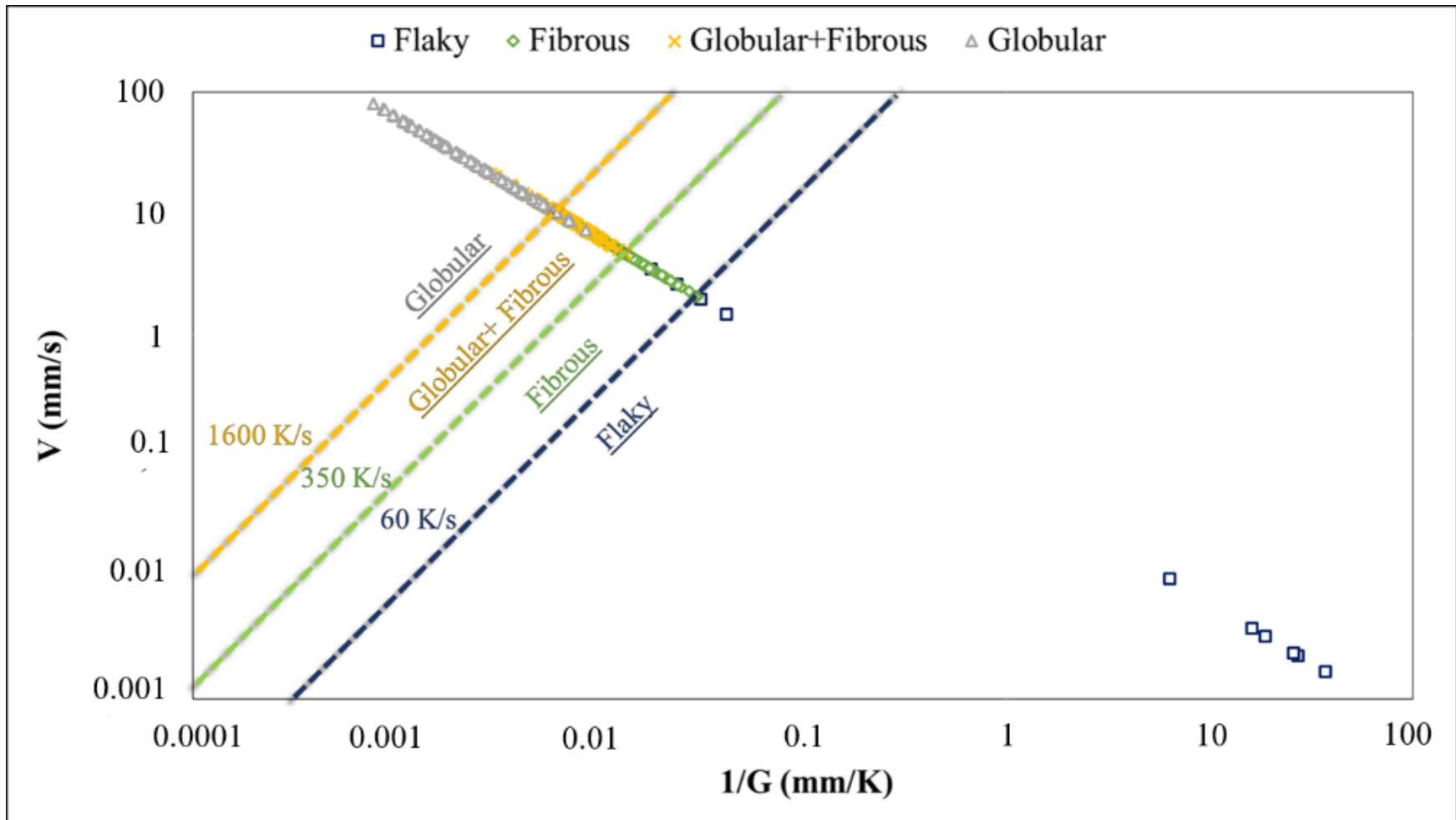
350 To determine if C_1 accurately related the eutectic cooling rate to the growth velocity, Reyes et al.
351 [37] experimentally determined the C_1 by fitting a CR_{eut} versus v^{*2} plot [35]. From this fit it was
352 found that the experimentally determined C_1 was $1.6 \times 10^7 Ksm^{-2}$, which is in good agreement
353 with the theoretically calculated C_1 for Al-Si alloys ($1.5 \times 10^7 Ksm^{-2}$) [38]. This indicates that the
354 material constant parameter, C_1 can accurately describe the relationship between the eutectic
355 cooling rate and the eutectic growth velocity.

356 The estimation of the eutectic cooling rate also permitted an estimation of the local thermal
357 gradient. This can be done by rearranging Equation 8 to make it in terms of G_{eut} :

$$358 \quad G_{eut} = \frac{v^*}{CR_{eut}} \quad (10)$$

359 With these estimations, it is possible to map the transition in Si morphology as a function of the
360 local eutectic solidification conditions. Thus, the Si morphology was plotted as a function of the
361 local growth velocity and the inverse local thermal gradient. Allowing for perpendicular lines to
362 represent the local eutectic cooling rate, which was used to define the transitions in the Si
363 morphology. This local eutectic growth map of the Al-10wt%Si alloy can be seen in Figure 15.
364 This mapping includes both IA and DSC results.

365 Figure 15 shows that Si morphology is determined by the local eutectic solidification conditions,
366 as the refinement of the Si morphology is found to improve with increasing v^* and CR_{eut} . High
367 v^* and CR_{eut} , results in a globular Si morphology, and as v^* and CR_{eut} decreases the Si
368 morphology transitions from globular to fibrous to flaky. The critical cooling rates for these
369 transitions, from flaky \rightarrow fibrous, fibrous \rightarrow globular + fibrous and the globular + fibrous \rightarrow
370 globular, occurred at ~ 60 K/s, ~ 350 K/s, and ~ 1600 K/s respectively.



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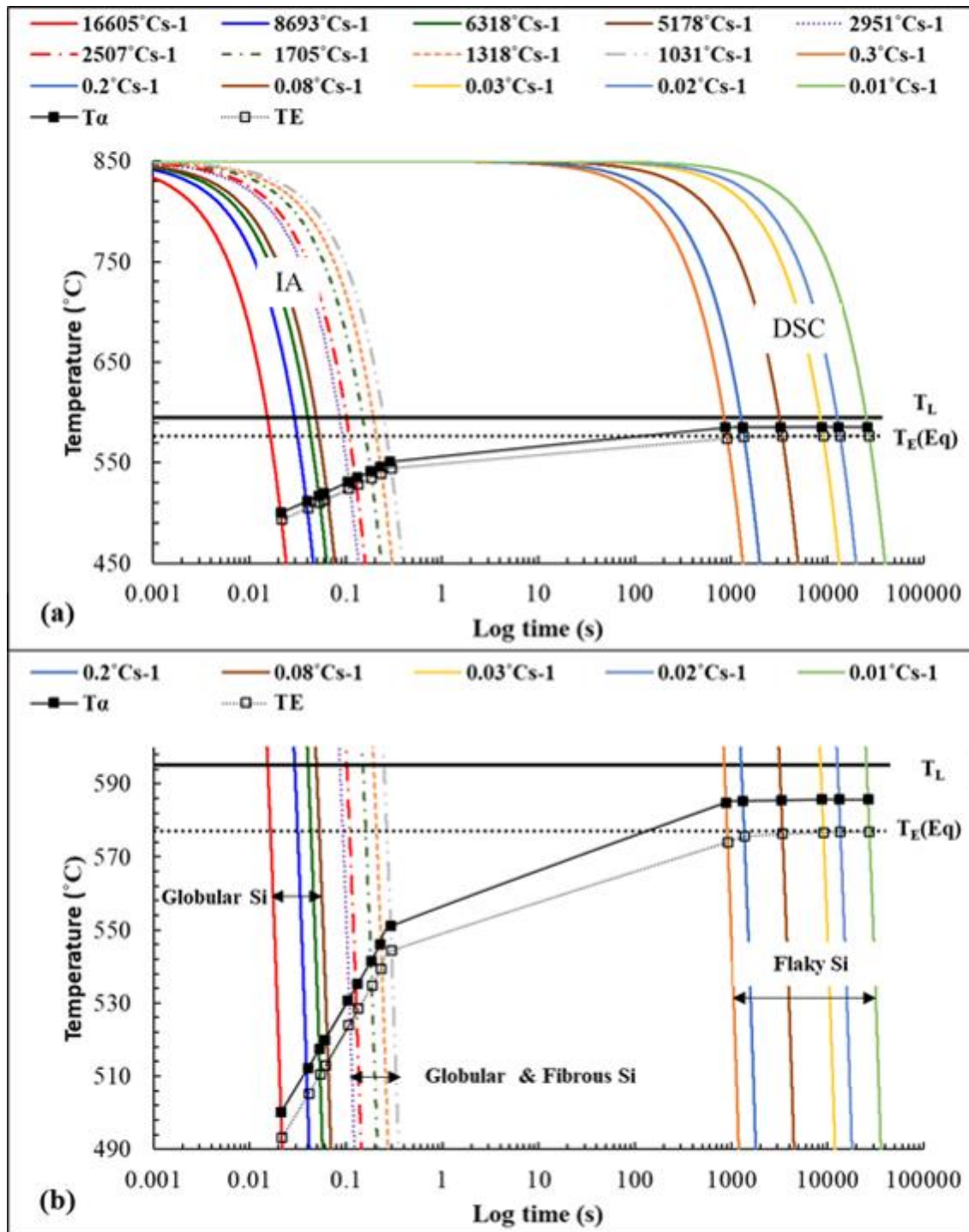
Figure 15: Local eutectic Si growth map for Al-10Si alloys.

375 **3.4 SOLIDIFICATION CONTINUOUS COOLING TRANSFORMATION DIAGRAM (SCCT)**

376 Figure 16 shows the SCCT diagram, mapping out the solidification pathway of the Al-10wt%Si
377 alloy over the investigated thermal histories. The primary and eutectic nucleation temperatures and the
378 Si morphology are shown as a function of liquid cooling rate. It can be seen that, as the undercooling
379 increases with the cooling rate, the Si morphology varies in the following sequence.

380 Figure 16 provides a microstructure map that outlines the solidification path for the Al-10wt%Si
381 alloy. This SCCT acts as a powerful tool that can identify the required solidification conditions for
382 certain Si morphologies to form. While similar CCT & SCCT diagrams have been made for other
383 alloy systems, such as steel, there are no such diagrams for Al alloys. As such, this SCCT provides
384 insight into a previously un-quantified aspect, the solidification path of hypo-eutectic Al-Si alloys.

385 The use of the SCCT presented in Figure 16 is not restricted to solidifying liquid droplets. The
386 use of this diagram should apply for any liquid of the Al-10wt%Si composition solidifying in any
387 given rapid solidification process. As these rapid conditions may occur in several processes such
388 as strip casting, die casting or additive manufacturing. Limitations to the use of this diagram will
389 occur when there is significant segregation of Si during solidification. However, in these instances,
390 similar SCCT diagrams may be derived using droplet cooling rates for alloys with different Si
391 compositions, to trace the path of solidification of a given alloy in a particular process. This of
392 course remains to be illustrated and is the subject of current research

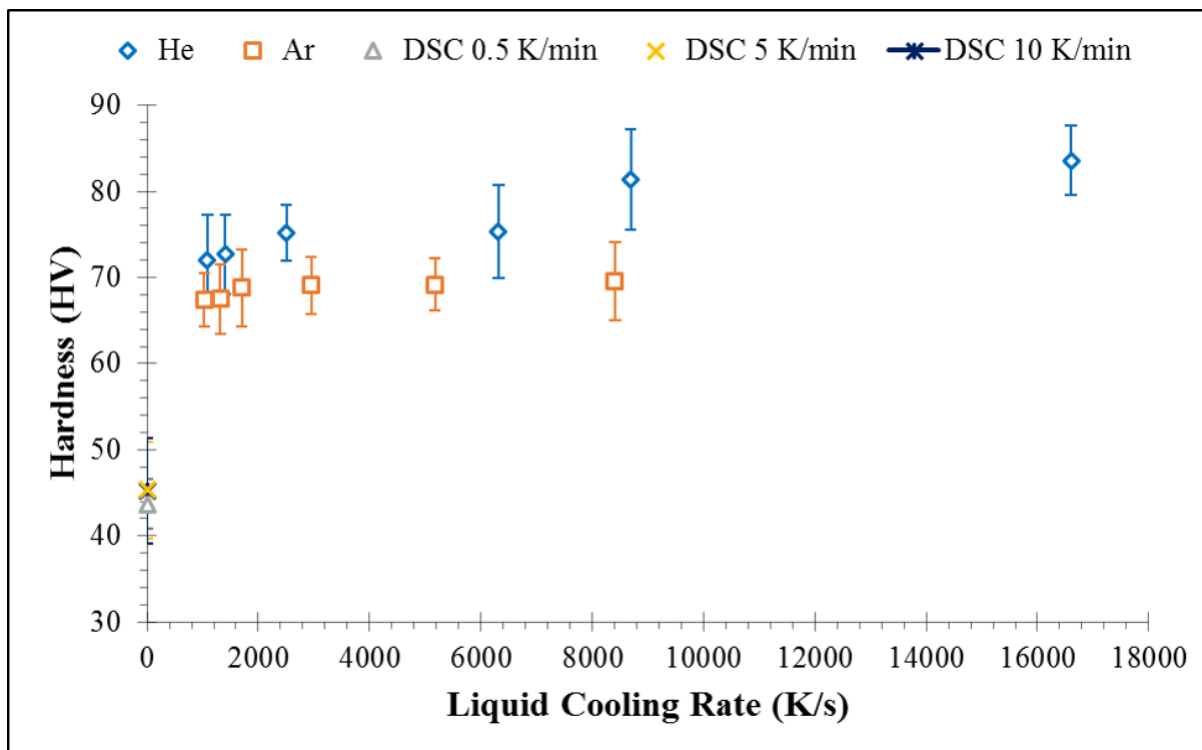


393

394 Figure 16: (a) Solidification Continuous Cooling Transformation curves of Al-10Si (b) A zoom
 395 on the variation of primary and eutectic nucleation temperature with cooling rate and the
 396 corresponding Si morphologies.

397 **3.5 INFLUENCE OF SI MORPHOLOGY ON MECHANICAL PROPERTIES**

398 The importance of understanding the solidification path and the microstructure of an alloy is to see
399 how they affect the mechanical properties. To examine this, Vickers hardness measurements of
400 the Al-10wt%Si alloy were plotted as a function of the liquid cooling rate in Figure 17.



401 *Figure 17: Vickers hardness of the Al-10Si alloy as a function of the liquid cooling rate.*

402 The first thing Figure 17 shows is that the rapidly cooled IA samples are noticeably harder than
403 the DSC samples. With there being a minimum improvement in the hardness of ~49% and a
404 maximum improvement of 91%, when comparing the average hardness values.

405 Beyond this, there seems to be an influence of the atomization gas, as the samples atomized in
406 helium were slightly harder than those atomized in argon. However, the influence of the liquid
407 cooling rate was less clear, as changes in the liquid cooling rate did not necessarily lead to
408 changes in the hardness. This indicated that the liquid cooling rate, alone, cannot account for
409 variation in the Al-10wt%Si alloy hardness.

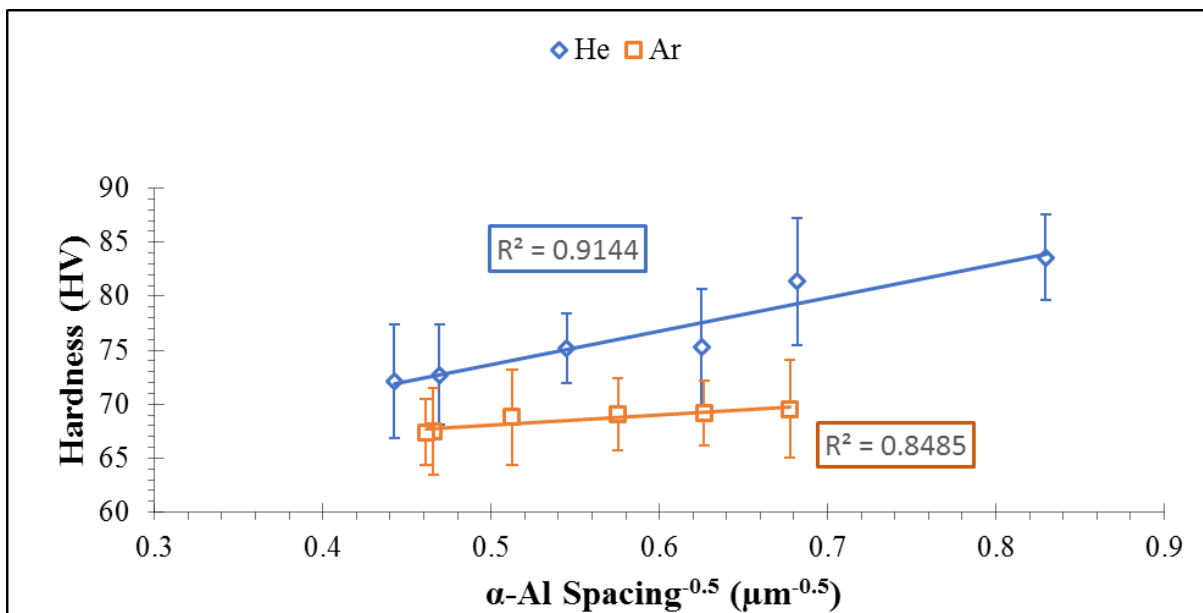
410 To explore this further, other aspects of the IA Al-10wt%Si alloy were related to the hardness.
411 Starting with the interphase spacing of the pro-eutectic α -Al phase and the Si spacing of the
412 eutectic structure. To determine if spacing refinement, of either component, influenced the Vickers

413 hardness a method to compare the two factors was developed using a “Hall-Petch” type
 414 relationship. Typically, a Hall-Petch relation is used to describe the strengthening of a material as
 415 the grain size decreases [39]:

$$416 \quad \sigma_y = \sigma_i + \frac{k_y}{\sqrt{D_g}} \quad (11)$$

417 Where σ_y is the yield strength, σ_i is a materials constant for the starting stress for dislocation
 418 movement, k_y is the strengthening coefficient and D_g is the average grain diameter.

419 The defining characteristic of the Hall-Petch is the inverse linear relationship between the strength
 420 (σ_y) and the square root of the grain size (D_g). In this work, instead of comparing the strength of
 421 the alloy to the grain size, the hardness of the alloy was compared to the interphase spacing. For
 422 there to be a Hall-Petch relationship there needs to be a clear inverse linear trend between the
 423 hardness and the interphase spacing. If this is not present, then it could be said that the spacing did
 424 not directly contribute to the strengthening of the alloy. Using this modified “Hall-Petch” approach
 425 the spacing of the pro-eutectic α -Al phase was compared to the Al-10wt%Si alloy hardness in
 426 Figure 18.

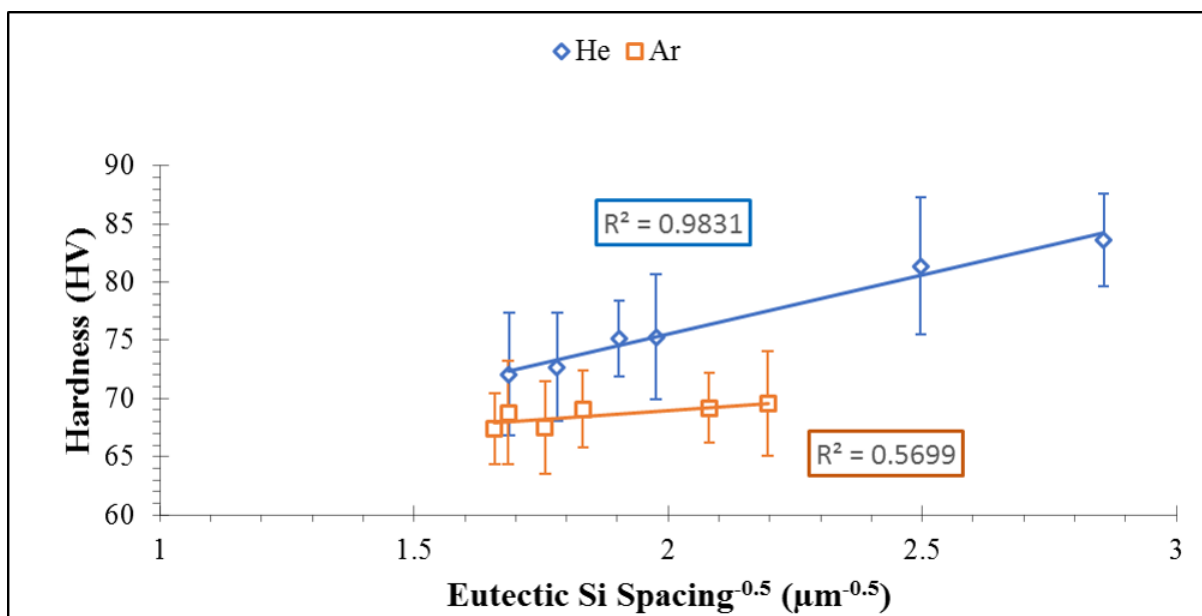


427 Figure 18: "Hall-Petch" hardness versus α -Al dendrite cell spacing plot for IA Al-10Si alloy.

428 Examining Figure 18, a Hall-Petch relationship between the hardness and the α -Al spacing is only
 429 observed when looking at the argon and helium samples separately. This means that there is not a

430 “Hall-Petch” relationship and that a reduction in pro-eutectic α -Al spacing, alone, cannot explain
431 the variations in the measured hardness.

432 This same “Hall-Petch” approach was then used to examine the influence of the eutectic Si spacing
433 on the alloy hardness. To conduct this comparison the eutectic Si spacing was plotted versus the
434 hardness in a “Hall-Petch” type of plot in Figure 19.



435 Figure 19 shows that a “Hall-Petch” relationship was observed only when looking at the argon and

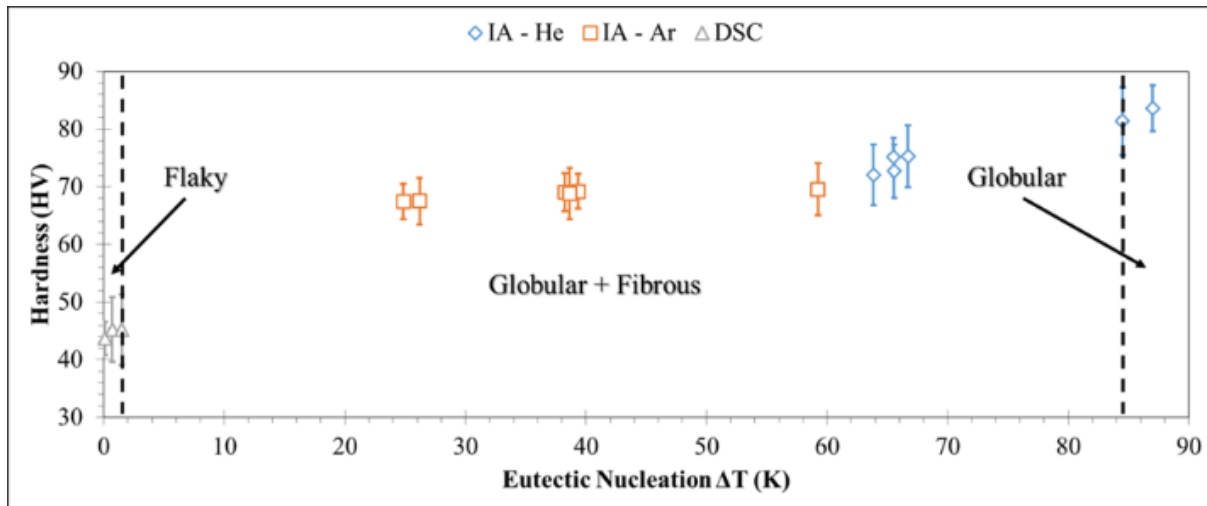
Figure 19: "Hall-Petch" hardness versus eutectic Si spacing plot for IA Al-10Si alloy.

436 helium samples separately. This indicates, just as with the α -Al spacing, that there is not a “Hall-
437 Petch” relationship between the eutectic Si spacing and the hardness. Therefore, a reduction in
438 spacing of the eutectic Si also cannot explain the variations in the Al-10wt%Si alloy hardness.

439 With these microstructural aspects proving inconclusive, this left the shifts in the Si morphology
440 as the probable cause for the hardness variations. With that said, it was difficult to directly relate
441 the Si morphology to the measurements of the Vickers hardness, as the indenter of the machine
442 was too large to measure specific regions or Si morphologies. So, in order to relate the Si
443 morphology to the alloy hardness an initial correlation, between the hardness and some other
444 factor, had to be made first.

445 Previously in Figure 15 it was found that shifts in the Si morphology were related to changes in
446 the local eutectic cooling conditions. A global aspect of solidification that is a driving force for

447 this local growth is the undercooling experienced prior to eutectic nucleation. So, it was thought
 448 that the eutectic nucleation undercooling could be used to relate the Si morphology to the alloy
 449 hardness. The eutectic nucleation undercooling, as well as the dominant Si morphology at each
 450 eutectic undercooling, was plotted as a function of the hardness in Figure 20.



451 *Figure 20: Influence of the eutectic nucleation undercooling and Si morphology on the Al-10Si*
 452 *alloy hardness.*

453 The results in Figure 20 show that the variations in alloy hardness are a function of the Si
 454 morphology. With the highest hardness being achieved when the eutectic Si was predominantly
 455 globular. Having a globular Si morphology improved the alloy hardness by ~8.1 to 24%, when
 456 compared to a globular+fibrous morphology, and improved the alloy hardness by ~80 to 91%,
 457 when compared to a flaky morphology.

458 Figure 20 also highlights when shifts in the Si morphology will occur, as they are also a function
 459 of the eutectic undercooling. This correlates to results from Figure 15 which found a globular
 460 morphology would form when the growth conditions were most rapid (i.e. high undercooling).

461

462 **4 CONCLUSIONS**

463 Al-10wt%Si alloys of various thermal histories were generated by impulse atomization (IA) and
 464 Differential Scanning Calorimetry (DSC). Analysis of the micrographs confirmed the expected
 465 solidification microstructure, consisting of a pro-eutectic α -Al phase and an α -Al + Si eutectic

466 structure. However, the morphology of these phases (particularly the Si eutectic) was found to
467 noticeably shift as the solidification conditions changed.

468 The eutectic Si phase was found to form into four distinct morphologies, labelled flaky, fibrous,
469 globular + fibrous and globular. The globular morphology was found to be the finest while the
470 flaky morphology was the coarsest.

471 This transition in the Si morphology was found to be a function of the local eutectic solidification
472 conditions. As such, a local eutectic solidification map was constructed to relate the Si morphology
473 to the local growth conditions. The Si morphology was found to transition from flaky → fibrous
474 → globular + fibrous → globular at local eutectic cooling rates of ~60 K/s, ~350 K/s, and ~1600
475 K/s, respectively.

476 A solidification continuous cooling transformation (SCCT) diagram of the investigated alloy was
477 constructed to map out the solidification path and Si morphology over a wide range of cooling
478 rates and undercoolings, providing insight into the microstructure formation in hypo-eutectic Al-
479 Si alloys.

480 It can be concluded from this work that, control of the Si morphology is very important as it affects
481 the mechanical properties of the alloy as tremendous improvements in hardness (up to 91% in this
482 work) is achieved when the Si morphology transitioned from flaky to globular. Even shifting the
483 Si morphology from globular+fibrous to globular is found to improve the alloy hardness by up to
484 ~24%. These results are a testimony that the Si morphology should be seriously considered when
485 trying to understand the mechanical properties of hypo-eutectic Al-Si alloys.

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List of Figure captions

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500 Shown is an IA Al-10Si particle produced using helium, at a particle size of 212-250 μ m.

501 Figure 2: Characteristic linear intercept measurements used to determine the pro-eutectic α -Al
502 spacing. Shown is an IA Al-10Si particle produced using helium, at a particle size of 212-250 μ m.

503 Figure 3: Typical microstructure of an Al-10Si alloy produced by DSC. Cooling rate: 5 K/min
504 (\sim 0.1 K/s). (a) Islands of primary α -Al (dark) surrounded by eutectic cells (α -Al + Si), (b) Zoom of
505 a eutectic cell. The lightest phases are Fe-rich compounds.

506 Figure 4: (a) Typical microstructure of Al-10Si droplets atomized in helium (droplet size in the
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508 structure.

509 Figure 5: *Typical microstructure of Al-10Si droplets atomized in argon*, (droplet size in the range
510 125-150 μ m); (b) & (c) are higher magnification images highlighting the α -Al + Si eutectic
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519 Figure 9: Eutectic Si spacing as a function of the liquid cooling rate for the Al-10wt%Si alloy.

520 Figure 10: FE-SEM images outlining the four observed morphologies of the eutectic Si phase. (a)
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524 Figure 11: Average eutectic Si interphase spacing of each Si morphology grouping.

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527 Figure 13: Crystalline Si phase in a deeply etched IA Al-10Si droplet in the size range 300 μ m -
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529 Figure 14: Eutectic Si spacing versus eutectic growth velocity plot for the Al-10Si alloy, along
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535 Figure 17: Vickers hardness of the Al-10Si alloy as a function of the liquid cooling rate.

536 Figure 18: "Hall-Petch" hardness versus α -Al dendrite cell spacing plot for IA Al-10Si alloy.

537 Figure 19: "Hall-Petch" hardness versus eutectic Si spacing plot for IA Al-10Si alloy.

538 Figure 20: Influence of the eutectic nucleation undercooling and Si morphology on the Al-10Si
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