

# Severi Varieties On Ruled Surfaces over Elliptic Curves

by

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## **Abstract**

We study the Severi varieties of Atiyah ruled surfaces over generic elliptic curves. In particular, we prove that general members of such varieties have at worst ordinary triple points.

## Preface

The research conducted for this thesis is part of collaborative projects under the supervision of Professor Xi Chen. Professor Xi Chen is the main designer of this paper. He introduce this problem and provide the main methology. Additionally, Professor Adrian Zahariuc improved Theorem 1.5 in [6] by removing the assumption that the genus is greater than 5. The proofs presented in this work are the result of joint efforts among Professor Xi Chen, Professor Adrian Zahariuc, and myself.

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# Chapter 1

## Introduction and Background

### 1.1 Introduction

Let  $X$  denote a smooth projective surface, and let  $L$  be a line bundle on  $X$ . For a nonnegative integer  $h$ , we define  $V_{X,L,h}$  as the Severi variety of integral curves  $C \in |L|$  of geometric genus  $h$ . Originally introduced by Severi for the case  $X = \mathbb{P}^2$ , he established that the open dense subset of  $V$  corresponding to integral nodal curves is nonempty, smooth, and exhibits the expected dimension. Although Severi provided a proof of irreducibility, it was later corrected by Harris after six decades [4]. Subsequently, the Severi problem, encompassing aspects such as nonemptiness, local geometry, and irreducibility, was extended to other algebraic surfaces. On Hirzebruch surfaces, Tyomkin demonstrated the irreducibility of Severi varieties [9]. Similarly, Testa established the irreducibility of rational curves on Del Pezzo surfaces [8]. More recently, Zahariuc investigated irreducibility for general abelian surfaces with polarizations of primitive type [10].

Severi problem on K3 surfaces have garnered significant attention due to their connections with modular and enumerative geometry. The nonemptiness of Severi varieties of curves in the primitive class on generic K3 surfaces was proved in [3], primarily by demonstrating that all rational curves in the primitive class of a general K3 surface are nodal. This result relies on the study of degenerations  $X \rightarrow \Delta$  of K3 surfaces of genus  $n$  to Bryan-Leung K3 surfaces

[1] with primitive class  $|C + nF|$ , where the BL-K3 surfaces are elliptic fibrations over  $\mathbb{P}^1$  with a unique section  $C$ . The Picard group  $\text{Pic}(X)$  is generated by the unique section  $C$  and the fiber  $F$ , with the intersection matrix

$$\begin{bmatrix} -2 & 1 \\ 1 & 0 \end{bmatrix}$$

An advantageous feature of working with these surfaces is that the linear system  $|C + nF|$  decomposes into  $H^0(C + nF) = H^0(C) \otimes \text{Sym}^n H^0(F)$ . A curve  $D \in |C + nF|$  is the image of a stable rational map only if it can be expressed as  $D = C \cup m_1 G_1 \cup m_2 G_2 \cup \cdots \cup m_{24} G_{24}$ , where  $G_1, G_2, \dots, G_{24}$  are the 24 nodal fibers in  $|F|$ . It is evident that  $D$  is nodal if all  $m_i \leq 1$ . For cases where some  $m_i \geq 2$ , we proceed by blowing up  $X$  along the fiber  $G_i$  and analyze the curve in a rational ruled surface.

Following the same methodology, we can further investigate a geometric genus  $g$  curve  $\mathcal{D} \in |C + nF|$ . Similar to before, we can assume

$$\begin{aligned} \mathcal{D} = & C + m_1 F_1 + m_2 F_2 + \cdots + m_{g-1} F_{g-1} + m_g F_g \\ & + n_1 G_1 + n_2 G_2 + \cdots + n_{24} G_{24} \end{aligned} \quad (1.1)$$

where  $F_1, F_2, \dots, F_g$  are fibers of  $|F|$ , and  $G_i$  are the 24 nodal fibers in  $|F|$ . For such a curve  $\mathcal{D}$ , we have a family of stable maps of genus  $g$ :  $f: \mathcal{C}/\Delta \rightarrow X/\Delta$ , such that  $\mathcal{C}_t$  is smooth and  $f_* \mathcal{C}_0 = \mathcal{D}$ . Again, we need to blow up  $X$  along  $F_i$  in case some  $m_i \geq 2$ . Finally, we arrive at a birational map:  $\hat{X} \rightarrow X$ , such that

- The total transform  $\hat{\mathcal{D}}$  is reduced along a component  $B$  dominating  $F_i$ .
- $B$  is an integral curve in  $|\mathcal{O}_R(m_i F_i + R_p)|$  for  $R$  a ruled surface over  $F_i$ .
- $B$  and  $\hat{\mathcal{D}} - B$  meet transversely at a point  $p \in F_i$ .

By analyzing the total  $\delta$ -invariant of  $f(\mathcal{C}_t)$  along  $F_i$ , it only has unramified singularities in an analytic open neighborhood of  $F_i$  for  $t \neq 0$ . Thus, the type of singularities of  $\mathcal{D}$  is determined by those in  $B$ , which is an integral curve on a ruled surface over an elliptic curve.

On another front, in [6] they explore the Severi variety of  $(1, n)$ -polarized abelian surfaces. They proved that for a genus  $g \geq 5$  curve on a general  $(1, n)$ -polarized abelian surface, it can be deformed equigenerically to a nodal curve. This result was obtained by degenerating to a  $(1, n)$ -polarized semi-abelian surface  $(S_0, L_0)$ , constructed by identifying two sections of a ruled surface  $R$  over an elliptic curve  $E$ . Thus, we have a normalization  $\pi : R \rightarrow S_0$ , such that  $\pi^*L_0 = D + nF$ . Here  $D$  is the unique section of  $\tau : R \rightarrow E$ , and  $F$  is the fiber. Employing the same methodology as before, we need to analyze the singularities of curves on the ruled surface over an elliptic curve.

In this paper, we focus on curves on a certain type of ruled surfaces over elliptic curves, studied by M. Atiyah. Mainly we prove the following:

**Theorem 1.1.1.** *Let  $E$  be a smooth elliptic curve, let  $\mathcal{E}$  be a rank 2 vector bundle on  $E$  given by a nonzero vector in  $\text{Ext}(\mathcal{O}_E, \mathcal{O}_E)$  and let  $R = \mathbb{P}\mathcal{E}$ . For a line bundle  $L$  on  $R$ , let  $V_{R,L,g} \subset |L|$  be the locus of integral curves  $C \in |L|$  of geometric genus  $g$ . Then when  $E$  is general,  $L$  is ample and  $g \geq 1$ , for a general member  $[C] \in V_{R,L,g}$ ,*

- *if  $L.D \geq 2$ ,  $C$  is nodal, and*
- *if  $L.D = 1$ ,  $C$  has only nodes and/or ordinary triple points as singularities,*

*where  $D$  is the unique section of  $R$  over  $E$  with self intersection  $D^2 = 0$ .*

With the theorem above, we can improve the Theorem 1.5 in [6] by removing the assumption that genus is greater than 5.

## 1.2 Strategy and Organization

For the ruled surface  $\mathbb{P}\mathcal{E}$  over an elliptic curve  $E$ , the Picard group is generated by  $D$  and  $\pi^*\text{Pic}(E)$ , where  $\pi : R \rightarrow E$  is the projection. For an integral curve  $C \subset R$  of geometric genus  $g$  with normalization  $f : \hat{C} \rightarrow R$ , we know that [5, Section B, pp. 108-111]

- if

$$\deg(c_1(N_f)) - g + 1 = -K_R C + g - 1 > 0$$

a general deformation of  $f$  is immersive;

- if  $f$  is immersive and  $N_f(-p_1 - p_2) = N_f \otimes \mathcal{O}_C(-p_1 - p_2)$  is base point free for all  $p_1 \neq p_2 \in C$ , then  $\varphi(\Gamma)$  is nodal for a general deformation  $\varphi : \Gamma \rightarrow R$  of  $f$ . This is guaranteed if  $\deg(c_1(N_f)) \geq 2g + 2$ , i.e.,

$$-K_R C \geq 4 \tag{1.1}$$

Thus, as long as we have (1.1),  $\varphi(\Gamma)$  is nodal for a general deformation  $\varphi : \Gamma \rightarrow R$  of  $f$ . As a consequence, Theorem 1.1.1 holds for every  $L = mD + \pi^*M$  if  $m > 0$  and  $\deg M \geq 2$ . Therefore, the only remaining case for Theorem 1.1.1 is  $m > 0$  and  $\deg M = 1$ . Furthermore, we will show that the case  $g \geq 2$  can be reduced to  $g = 1$  by a degeneration argument. That is, it suffices to prove the theorem for  $L = mD + R_p$  and  $g = 1$ , where  $R_p = \pi^*p$  is the fiber of  $R$  over a point  $p \in E$ . Indeed, we have a more precise statement for this case:

**Theorem 1.2.1.** *Let  $E$  be a smooth elliptic curve, let  $\mathcal{E}$  be a rank 2 vector bundle on  $E$  given by a nonzero vector in  $\text{Ext}(\mathcal{O}_E, \mathcal{O}_E)$ , and let  $R = \mathbb{P}\mathcal{E}$ . When  $E$  is general, for  $L = mD + R_p$  and every  $[C] \in V_{R,L,1}$ ,*

- if  $4 \nmid m$ ,  $C$  is nodal, and
- if  $4 \mid m$ ,  $C$  has only nodes and/or ordinary triple points as singularities,

where  $D$  is the unique section of  $R$  over  $E$  with self-intersection  $D^2 = 0$  and  $R_p$  is the fiber of  $R$  over  $p \in E$ . Additionally, the triple points do appear as the singularities of some  $[C] \in V_{R,L,1}$  if  $4 \mid m$ .

In Chapter 2, we provide some basic facts about  $R = \mathbb{P}\mathcal{E}$ . It turns out that every automorphism  $\phi \in \text{Aut}(R)_0$  corresponds to a nonzero torsion point  $\tau$  of  $E$  and a meromorphic function  $b_\tau(z)$  on  $E$  with two poles. The behavior of the singularity of curves  $C \in |mD + R_p|$  is highly related to those meromorphic functions. Thus, we decompose Theorem 1.2.1 into two statements:

- If  $\tau$  is of order  $n \geq 2$ ,  $b_\tau(z)$  has no double points.
- If either  $\tau_1$  or  $\tau_2$  is of order greater than 2, we have

$$\{b_{\tau_1}(z) = 0\} \cap \{b_{\tau_2}(z) = 0\} = \emptyset$$

We will prove the above two statements (with one exception for the second statement) by letting  $E$  move in a family of elliptic curves  $X/B$  with a unique section  $P$ . There are various choices. Here, we set  $X$  to be a BL-K3 surface and  $B = \mathbb{P}^1$ .

In Chapter 3, we study the torsion points of a generic elliptic curve in  $X/B$ . Let  $\Sigma_n$  be the set of  $n$ -torsion points when restricted on each fiber of  $X/B$ . This is a multi-section of  $X/B$ . It is actually irreducible by studying the monodromy action around the 24 nodal fibers of  $X/B$ . Note that there is also a two-to-one finite map between zeros of  $b_\tau(z)$  and  $\Sigma_n$  when  $E$  varies. Interesting things happen when  $X_b$  degenerates to a nodal fiber, and we will prove the first statement above.

In Chapter 4, we prove the second statement about  $b_\tau(z)$ . The idea is to extend the monodromy action of  $\Sigma_n$  to triples  $(\tau, q_1, q_2)$ , where  $q_1, q_2$  are two zeros of  $b_\tau(z)$ . Combining with results before, we prove Theorem 1.2.1. In order to prove Theorem 1.1.1, we need to deal with the case  $g \geq 2$ . This part is given in Chapter 5.

# Chapter 2

## Ruled Surface $\mathbb{P}\mathcal{E}$

### 2.1 Preliminary about Rule Surfaces

A ruled surface over a curve  $E$  is defined to be a projective bundle  $R = \mathbb{P}\mathcal{E}$  over  $E$  for a rank 2 vector bundle  $\mathcal{E}$ . Meanwhile, there exists a surjective morphism  $\pi : R \rightarrow E$ , such that the fibers are  $\mathbb{P}^1$  and it admits a section  $D$ . The Picard group  $\text{Pic}(R)$  is generated by the section  $D$  and  $\pi^*\text{Pic}(E)$ . We choose  $D$  such that  $e = D^2 = -\deg \wedge^2 \mathcal{E}$ . So the intersection matrix is given by

$$\begin{bmatrix} e & 1 \\ 1 & 0 \end{bmatrix}$$

In particular, when  $E$  be an elliptic curve and  $\mathcal{E} \neq 0 \in \text{Ext}(\mathcal{O}_E, \mathcal{O}_E)$ , we have the following:

**Proposition 2.1.1.** *Let  $E$  be a smooth elliptic curve, let  $\mathcal{E}$  be a rank 2 vector bundle on  $E$  given by a nonzero vector in  $\text{Ext}(\mathcal{O}_E, \mathcal{O}_E)$ , let  $R = \mathbb{P}\mathcal{E}$  and let  $D \subset R$  be the section of  $R/E$  with  $D^2 = 0$ . Then*

1. *For every point  $p \in E$ ,  $|D+R_p|$  is a pencil such that every curve  $C \neq D \cup R_p \in |D+R_p|$  is a smooth elliptic curve and any pair  $C_1 \neq C_2 \in |D+R_p|$  of curves meet only at  $p$  with multiplicity 2, where  $R_p$  is the fiber of  $R$  over  $p \in E$ .*
2. *For every point  $p \in E$ ,  $R \setminus (D \cup R_p) \cong (E \setminus \{p\}) \times \mathbb{A}^1$ .*

3. For every pair of points  $p \neq q \in E$ ,  $R \setminus D$  is isomorphic to the gluing of  $(E \setminus \{p\}) \times \mathbb{A}^1$  and  $(E \setminus \{q\}) \times \mathbb{A}^1$  via an automorphism

$$(E \setminus \{p, q\}) \times \mathbb{A}^1 \xrightarrow{\eta} (E \setminus \{p, q\}) \times \mathbb{A}^1$$

given by

$$\eta(z, s) = (z, s + h(z))$$

where  $h(z)$  is a meromorphic function on  $E$  with simple poles at  $p$  and  $q$ .

4. There is an exact sequence of group schemes

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbf{G}_a & \longrightarrow & \text{Aut}(R)_0 & \longrightarrow & \text{Aut}(D)_0 \longrightarrow 0 \\ & & & & & & \parallel \\ & & & & & & \text{Aut}(E)_0 \end{array} \quad (2.1)$$

where  $\mathbf{G}_a$  is the additive group of  $\mathbb{C}$ ,  $\text{Aut}(R)_0$  and  $\text{Aut}(E)_0$  are the connected components of  $\text{Aut}(R)$  and  $\text{Aut}(E)$  containing the identity, respectively. Every  $\phi \in \text{Aut}(R)_0$  is given by

$$\begin{aligned} \phi(z, s) &= (z + \tau, s + b_1(z)) && \text{on } (E \setminus \{p, p - \tau\}) \times \mathbb{A}^1 \\ \phi(z, s) &= (z + \tau, s + b_2(z)) && \text{on } (E \setminus \{q, q - \tau\}) \times \mathbb{A}^1 \end{aligned} \quad (2.2)$$

where  $\tau \in \text{Pic}^0(E) = J(E)$ ,  $p$  and  $q$  are two distinct points on  $E$  satisfying  $p - q \neq \pm\tau$ ,  $b_1(z)$  is a meromorphic function on  $E$  with simple poles at  $p$  and  $p - \tau$ ,  $b_2(z)$  is a meromorphic function on  $F$  with simple poles at  $q$  and  $q - \tau$ , and  $b_1(z)$  and  $b_2(z)$  satisfy

$$b_1(z) + h(z) = b_2(z) + h(z + \tau) \quad (2.3)$$

on  $E \setminus \{p, p - \tau, q, q - \tau\}$  with  $h(z)$  given in (3).

*Proof.* By the exact sequence

$$0 \longrightarrow \mathcal{O}_E \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}_E \longrightarrow 0$$

we obtain

$$h^0(\mathcal{E}^\vee \otimes \mathcal{O}_E(p)) = h^0(\mathcal{O}_E(p)) + h^0(\mathcal{O}_E(p)) = 2$$

and hence  $|D + R_p|$  is a pencil. Since

$$\mathcal{O}_R(D + R_p)\Big|_D = \mathcal{O}_E(p)$$

every  $C \in |D + R_p|$  passes through  $p$ . If  $C$  is reducible,  $C$  must contain a section of  $R/E$  and hence it must contain  $D$ . Consequently, the only reducible member of  $|D + R_p|$  is  $D \cup R_p$ . Every other member of  $|D + R_p|$  is a section of  $R/E$ . For  $C_1 \neq C_2 \in |D + R_p|$ , one of  $C_1$  and  $C_2$  must be integral. Let us assume that  $C_1$  is a section of  $R/E$ . Then

$$\mathcal{O}_{C_1}(C_2) = \mathcal{O}_{C_1}(D + R_p) = \mathcal{O}_{C_1}(2p).$$

We know that both  $C_1$  and  $C_2$  pass through  $p$  and they have intersection number 2. So  $C_1.C_2 = p + p'$ . Then  $p + p' \sim_{\text{rat}} 2p$  on  $C_1$  and hence  $p' = p$ . That is,  $C_1$  and  $C_2$  meet at  $p$  with multiplicity 2 and they do not have any other intersections. This proves (1).

Let  $\alpha_p : R \dashrightarrow \mathbb{P}^1$  be the rational map given by the pencil  $|D + R_p|$ . To show the map

$$R \setminus (D \cup R_p) \xrightarrow[\cong]{\pi \times \alpha_p} (E \setminus \{p\}) \times \mathbb{A}^1$$

is an isomorphism, we only need to show  $\pi \times \alpha_p$  is bijective. The surjectivity is obvious. Let us assume  $x_1 \neq x_2 \in R \setminus (D \cup R_p)$ , such that

$$\pi \times \alpha_p(x_1) = \pi \times \alpha_p(x_2)$$

Then  $x_1, x_2 \in R_q$  for some  $q \neq p$ . Meanwhile,  $C = \alpha_p^{-1}\{s = a\}$  is a divisor in  $|D + R_p|$  for any  $a \in \mathbb{C}$ . We have

$$C.R_q \geq 2$$

as  $x_1, x_2$  are two intersection points. This is a contradiction. Thus,  $\pi \times \alpha_p$  is a bijection. This proves (2).

We have

$$R \setminus D = (R \setminus (D \cup R_p)) \cup (R \setminus (D \cup R_q))$$

with  $(R \setminus (D \cup R_p))$  and  $(R \setminus (D \cup R_q))$  isomorphic to  $(E \setminus \{p\}) \times \mathbb{A}^1$  and  $(E \setminus \{q\}) \times \mathbb{A}^1$  via  $\pi \times \alpha_p$  and  $\pi \times \alpha_q$ , respectively. So  $R \setminus D$  is the gluing of  $(E \setminus \{p\}) \times \mathbb{A}^1$  and  $(E \setminus \{q\}) \times \mathbb{A}^1$  via an automorphism  $\eta \in \text{Aut}(U \times \mathbb{A}^1/U)$

$$U \times \mathbb{A}^1 \xrightarrow{\eta} U \times \mathbb{A}^1$$

for  $U = E \setminus \{p, q\}$ . Such an automorphism is given by

$$\eta(z, s) = (z, h(z)s + f(z))$$

where  $h(z)$  and  $f(z)$  are meromorphic functions on  $E$  such that they are holomorphic on  $U$  and  $h(z) \neq 0$  on  $U$ . So  $h(z)$  has zeros and poles only at  $p$  and  $q$  and  $f(z)$  has poles only at  $p$  and  $q$ .

A member of the pencil  $|D + R_p|$  other than  $D \cup R_p$  is given by

$$(\pi \times \alpha_p)^{-1}(E/\{p\} \times \{a\})$$

for  $a \in \mathbb{C}$ . Similarly, a member of the pencil  $|D + R_q|$  other than  $D \cup R_q$  is given by

$$(\pi \times \alpha_q)^{-1}(E/\{q\} \times \{b\})$$

for  $b \in \mathbb{C}$ . These two curves meet at two points lying in  $R \setminus (D \cup R_p \cup R_q)$ . Therefore,

$$\{s = a\} \cap \eta^{-1}\{s = b\}$$

has two intersections (counted with multiplicity) in  $U \times \mathbb{A}^1$  for all  $a, b \in \mathbb{C}$ . That is, the function

$$ah(z) + f(z) - b$$

has exactly two zeros over  $U$  for all  $a, b$ . It follows that  $h(z)$  is a nonzero constant and  $f(z)$  has simple poles at  $p$  and  $q$ . We may choose  $h(z) \equiv 1$ . This proves (3).

Clearly, every automorphism of  $R$  preserves the section  $D$ . Let  $\phi : R \rightarrow R$

be an automorphism of  $R$  in the kernel of  $\text{Aut}(R) \rightarrow \text{Aut}(D)$  and let  $\phi_1$  and  $\phi_2$  be the restriction of  $\phi$  to  $(E \setminus \{p\}) \times \mathbb{A}^1$  and  $(E \setminus \{q\}) \times \mathbb{A}^1$ , respectively. Suppose that  $\phi_1$  and  $\phi_2$  are given by

$$\begin{aligned}\phi_1(z, s) &= (z, a_1(z)s + b_1(z)) \\ \phi_2(z, s) &= (z, a_2(z)s + b_2(z))\end{aligned}$$

where  $a_1(z)$  and  $b_1(z)$  are meromorphic functions on  $E$  with poles at  $p$ ,  $a_2(z)$  and  $b_2(z)$  are meromorphic functions on  $E$  with poles at  $q$ ,  $a_1(z) \neq 0$  on  $E \setminus \{p\}$  and  $a_2(z) \neq 0$  on  $E \setminus \{q\}$ . In addition, since  $\phi_1 \circ \eta = \eta \circ \phi_2$ , we have

$$a_1(z)(s + h(z)) + b_1(z) = a_2(z)s + b_2(z) + h(z)$$

on  $(E \setminus \{p, q\}) \times \mathbb{A}^1$ . Obviously,  $a_1(z) = a_2(z) = a$  are constants and hence

$$b_1(z) - b_2(z) = (1 - a)h(z).$$

Since  $h(z)$  has simple poles at  $p$  and  $q$ ,  $b_1(z)$  has a single pole at  $p$  and  $b_2(z)$  has a single pole at  $q$ ,  $b_1(z)$  and  $b_2(z)$  must have simple poles at  $p$  and  $q$ , respectively, and hence they must be constant. It follows that  $a = 1$  and  $b_1(z) \equiv b_2(z) \equiv b$ . This proves that

$$\mathbf{G}_a = \ker(\text{Aut}(R) \rightarrow \text{Aut}(D)).$$

To complete the proof of (2.1), it remains to prove that the map

$$\text{Aut}(R)_0 \longrightarrow \text{Aut}(D)_0$$

is surjective.

Every automorphism  $\lambda \in \text{Aut}(E)_0$  is given by a translation  $\lambda(p) = p + \tau$  for some  $\tau \in \text{Pic}^0(E) = J(E)$ .

For a given  $\tau \in J(E)$ , if there exist a pair of meromorphic functions  $b_1(z)$  and  $b_2(z)$  satisfying (2.3), then  $\phi \in \text{Aut}(R)_0$  given by (2.2) maps to  $\lambda \in \text{Aut}(E)_0$  with  $\lambda(p) = p + \tau$ . So it suffices to prove the existence of  $b_1(z)$  and  $b_2(z)$  satisfying (2.3).

If  $\tau = 0$ , we can simply take  $b_1(z) \equiv b_2(z) \equiv b$  to be a constant.

Suppose that  $\tau \neq 0$ . We lift (2.3) from  $E \cong \mathbb{C}/\Lambda$  to  $\mathbb{C}$ . Then  $b_1(z), b_2(z)$  and  $h(z)$  are doubly periodic meromorphic functions on  $\mathbb{C}$ . We choose  $b_1(z)$  such that

$$\operatorname{Res}_p b_1(z) = -\operatorname{Res}_p h(z).$$

Since

$$\operatorname{Res}_p b_1(z) + \operatorname{Res}_{p-\tau} b_1(z) = 0$$

we have

$$\operatorname{Res}_{p-\tau} b_1(z) = \operatorname{Res}_p h(z) = \operatorname{Res}_{p-\tau} h(z + \tau).$$

So  $b_2(z) = b_1(z) + h(z) - h(z + \tau)$  is analytic at  $p$  and  $p - \tau$ . This proves the existence of  $b_1(z)$  and  $b_2(z)$  satisfying (2.3) and hence (4).  $\square$

## 2.2 Singularities of Curves on Ruled Surfaces

Let  $C \in |mD + R_p|$  be a (possibly singular) elliptic curve on  $R$  and let  $\nu : \mathcal{C} \rightarrow R$  be the normalization of  $C$ . We let

$$S = \mathcal{C} \times_E R = \mathbb{P}(\pi \circ \nu)^* \mathcal{E}$$

via the maps  $\pi \circ \nu : \mathcal{C} \rightarrow E$  and  $\pi : R \rightarrow E$ . Clearly,  $(\pi \circ \nu)^* \mathcal{E}$  is a rank 2 vector bundle on  $\mathcal{C}$  given by a nonzero vector in  $\operatorname{Ext}(\mathcal{O}_{\mathcal{C}}, \mathcal{O}_{\mathcal{C}})$ .

The map  $g : S \rightarrow R$  is induced by  $\pi \circ \nu : \mathcal{C} \rightarrow E$  and is hence étale. Let us consider the preimage

$$g^{-1}(C) = \mathcal{C} \times_E C$$

of  $C$ . It contains the curve  $G = \{(s, \nu(s)) : s \in \mathcal{C}\} \cong \mathcal{C}$ . It is not hard to see that  $G \in |\mathcal{O}_S(\mathcal{D} + S_q)|$ , where  $\mathcal{D} = g^*D$  is the unique section of  $S/\mathcal{C}$  with self intersection 0,  $q \in (\pi \circ \nu)^{-1}(p)$  and  $S_q$  is the fiber of  $S/\mathcal{C}$  over  $q$ .

Since  $g : S \rightarrow R$  is Galois,

$$g^*C = \sum_{\sigma \in \operatorname{Aut}(S/R)} \sigma(G).$$

The map  $g : g^*C \rightarrow C$  is étale. So  $C$  is nodal if and only if  $g^*C$  is, i.e., it has normal crossings.

Since  $h = \pi \circ \nu : \mathcal{C} \rightarrow E$  is an isogeny, the dual isogeny  $h^\vee : E \rightarrow \mathcal{C}$  has the property that  $h^\vee \circ h : \mathcal{C} \rightarrow \mathcal{C}$  is a multiplication map given by  $x \rightarrow p + n(x - p)$  for some integer  $n$ . So the Galois group  $\text{Aut}(\mathcal{C}/E)$  is a subgroup of  $\text{Aut}(h^\vee \circ h)$ . Hence  $\text{Aut}(\mathcal{C}/E)$  is given by a finite subgroup of  $J(\mathcal{C}) = \text{Pic}^0(\mathcal{C})$ . That is, every  $\sigma \in \text{Aut}(\mathcal{C}/E)$  is given by a translation  $\sigma(x) = x + \tau$  for some torsion  $\tau \in J(\mathcal{C})$ .

To prove Theorem 1.2.1, it suffices to prove the following:

**Proposition 2.2.1.** *Let  $E$  be a smooth elliptic curve, let  $\mathcal{E}$  be a rank 2 vector bundle on  $E$  given by a nonzero vector in  $\text{Ext}(\mathcal{O}_E, \mathcal{O}_E)$ , let  $R = \mathbb{P}\mathcal{E}$ , let  $D \subset R$  be the section of  $R/E$  with  $D^2 = 0$  and let  $A \subset \text{Aut}(R)_0$  be a finite subgroup of  $\text{Aut}(R)_0$  acting freely on  $R$ . Then when  $E$  is general, for every point  $p \in E$  and every smooth curve  $G \in |D + R_p|$ ,*

$$\sum_{\sigma \in A} \sigma(G)$$

*has normal crossings if  $A$  does not contain the subgroup*

$$J(E)_2 = \{\tau \in J(E) : 2\tau = 0\} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

*and has only nodes and ordinary triple points as singularities otherwise.*

When  $C \in |mD + R_p|$ , the Galois group  $\text{Aut}(\mathcal{C}/E)$  has order  $m$ . If  $4 \nmid m$ ,  $\text{Aut}(\mathcal{C}/E)$  does not contain a subgroup of order 4 and hence  $C$  is nodal by the above proposition.

Here we let

$$J(E)_n = \{\tau \in J(E) : n\tau = 0\} \cong \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \quad \text{and}$$

$$J(E)_{\text{tors}} = \bigcup_{n=1}^{\infty} J(E)_n$$

be the torsion subgroups of  $J(E)$ . For every  $\tau \in J(E)_{\text{tors}}$ , we define the order  $\text{ord}(\tau)$  of  $\tau$  to be the smallest positive integer  $n$  such that  $n\tau = 0$  and let

$\text{ord}(\tau) = \infty$  if  $\tau \notin J(E)_{\text{tors}}$ .

Let  $\phi \in \text{Aut}(R)_0$  be an automorphism of order  $n$ . By (2.2),  $\phi$  is given by a meromorphic function  $b_1(z)$  on  $E$  with simple poles at  $p$  and  $p - \tau$  satisfying

$$b_1(z) + b_1(z + \tau) + \dots + b_1(z + (n - 1)\tau) = 0 \quad (2.1)$$

where  $\tau \in J(E)_{\text{tors}}$  has order  $\text{ord}(\tau) = n$ .

To prove that  $G$  and  $\phi(G)$  intersect transversely, it suffices to prove that  $b_1(z)$  does not have a zero of multiplicity 2, i.e.,

$$b_1(p - \eta) \neq 0 \quad \text{for } \tau = 2\eta \quad (2.2)$$

when  $E$  is a general elliptic curve.

Let  $\phi_1 \neq \phi_2 \in \text{Aut}(R)_0$  be two automorphisms of finite order. Similarly,  $\phi_1$  and  $\phi_2$  are given by two meromorphic functions  $b_1(z)$  and  $b_2(z)$  on  $E$  with simple poles at  $\{p, p - \tau_1\}$  and  $\{p, p - \tau_2\}$ , respectively, satisfying

$$b_i(z) + b_i(z + \tau_i) + \dots + b_i(z + (n_i - 1)\tau_i) = 0 \quad (2.3)$$

for  $i = 1, 2$ , where  $\tau_i \in J(E)_{\text{tors}}$  has order  $n_i$  and  $\tau_1 \neq \tau_2$ . To show that  $G, \phi_1(G)$  and  $\phi_2(G)$  do not meet at one point, it suffices to show that

$$\{b_1(z) = 0\} \cap \{b_2(z) = 0\} = \emptyset \quad (2.4)$$

where  $E$  is a general elliptic curve. So it remains to prove (2.2) and (2.4).

Let us start with the observation that the meromorphic functions  $b_i(z)$  satisfying (2.3) are unique up to a scalar, depending only on  $p$  and  $\tau_i$ .

**Proposition 2.2.2.** *Let  $E$  be an elliptic curve and let  $p$  be a point of  $E$ . For every  $\tau \in J(E)_{\text{tors}}$  of order  $n$  and every meromorphic function  $b(z)$  on  $E$  with simple poles at  $p$  and  $p - \tau$  and no other poles,*

$$\sum_{k=0}^{n-1} b(z + k\tau)$$

*is constant.*

In addition, there is a unique meromorphic function  $b(z) = b_{\tau,p}(z)$  on  $E$ , up to a scalar, with simple poles at  $p$  and  $p - \tau$  and no other poles such that

$$\sum_{k=0}^{n-1} b(z + k\tau) = 0. \quad (2.5)$$

Furthermore, for all positive integers  $m$  with  $n \mid m$  and every meromorphic function  $b(z)$  on  $E$  with simple poles at  $p$  and  $p - \tau$  and no other poles,

$$\sum_{\lambda \in J(E)_m} b(z + \lambda) = \frac{m^2}{n} \sum_{k=0}^{n-1} b(z + k\tau). \quad (2.6)$$

Consequently, (2.5) holds if and only if

$$\sum_{\lambda \in J(E)_m} b(z + \lambda) = 0 \quad (2.7)$$

for some positive integer  $m$  with  $n \mid m$ .

*Proof.* Let  $\omega \in H^0(\Omega_E)$  be a nonzero holomorphic 1-form on  $E$ . Then  $b(z)\omega$  is a meromorphic 1-form on  $E$  with simple poles at  $p$  and  $p - \tau$ . So

$$\text{Res}_p b(z)\omega + \text{Res}_{p-\tau} b(z)\omega = 0.$$

It follows that

$$\sum_{k=0}^{n-1} b(z + k\tau)\omega$$

is a holomorphic 1-form on  $E$  and hence

$$\sum_{k=0}^{n-1} b(z + k\tau)$$

is constant on  $E$ .

Let  $V = H^0(\mathcal{O}_E(p_1 + p_2)) \cong \mathbb{C}^2$  be the vector space of meromorphic functions on  $E$  with at worst simple poles at  $p_1 = p$  and  $p_2 = p - \tau$  and let

$L : V \rightarrow \mathbb{C}$  be the map given by

$$L(b(z)) = \sum_{k=0}^{n-1} b(z + k\tau).$$

Clearly,  $L$  is linear. When  $b(z) \equiv c$  is constant,  $L(b(z)) = nc$  and hence  $L$  is surjective. Thus,  $\ker(L)$  is a one-dimensional subspace of  $V$ . So there exists a unique  $b(z) \in V$ , up to a scalar, such that

$$\sum_{k=0}^{n-1} b(z + k\tau) = 0.$$

Obviously,  $G = \{k\tau : k \in \mathbb{Z}\}$  is a subgroup of  $J(E)_m$  for  $n \mid m$ . So

$$J(E)_m = \bigsqcup_{i=1}^d (\lambda_i + G)$$

for some  $\lambda_1, \lambda_2, \dots, \lambda_d \in J(E)_m$  and  $d = m^2/n$ . Then

$$\sum_{\lambda \in J(E)_m} b(z + \lambda) = \sum_{i=1}^d \sum_{\lambda \in G} b(z + \lambda_i + \lambda)$$

We have proved that

$$\sum_{\lambda \in G} b(z + \lambda)$$

is constant. Therefore,

$$\sum_{\lambda \in G} b(z + \lambda) \equiv \sum_{\lambda \in G} b(z + \lambda_i + \lambda)$$

for all  $i$  and hence

$$\sum_{\lambda \in J(E)_m} b(z + \lambda) = \sum_{i=1}^d \sum_{\lambda \in G} b(z + \lambda_i + \lambda) = d \sum_{\lambda \in G} b(z + \lambda).$$

This proves (2.6). □

Thus, (2.2) becomes

**Proposition 2.2.3.** *For a general elliptic curve  $E$ , every point  $p \in E$ , every  $\tau \in J(E)_{\text{tors}}$  of order  $n \geq 2$  and every  $\eta \in J(E)_{\text{tors}}$  satisfying  $2\eta = \tau$ , we have*

$$b_{\tau,p}(p - \eta) \neq 0$$

where  $b_{\tau,p}(z)$  is the meromorphic function on  $E$  given in Proposition 2.2.2.

Similarly, a more precise statement of (2.4) is

**Proposition 2.2.4.** *Let  $E$  be an elliptic curve, let  $p \in E$  be a point on  $E$  and let  $b_{\tau,p}$  be the meromorphic function on  $E$  given in Proposition 2.2.2 for a nonzero torsion  $\tau \in J(E)_{\text{tors}}$ .*

*For  $E$  general and any two torsions  $\tau_1 \neq \tau_2 \in J(E)_{\text{tors}}$  of orders  $n_1 \geq 2$  and  $n_2 \geq 2$ , respectively, one of the following holds:*

$$\{b_{\tau_1,p}(z) = 0\} \cap \{b_{\tau_2,p}(z) = 0\} = \emptyset \quad (2.8)$$

or

$$(n_1, n_2) = (2, 2) \quad (2.9)$$

or

$$(n_1, n_2) = (6, 6), \langle \tau_1, \tau_2 \rangle = 3 \text{ in } J(E)_6 \text{ and } \text{ord}(\tau_1 - \tau_2) = 6. \quad (2.10)$$

In addition, when  $(n_1, n_2) = (2, 2)$ ,

$$\{b_{\tau_1,p}(z) = 0\} \cap \{b_{\tau_2,p}(z) = 0\} = \{p - \tau_3\} \quad (2.11)$$

where  $\tau_3 \in J(E)_{\text{tors}}$  is a torsion of order 2 different from  $\tau_1$  and  $\tau_2$ .

For  $E$  general and any three distinct nonzero torsions  $\tau_1, \tau_2, \tau_3 \in J(E)_{\text{tors}}$ ,

$$\{b_{\tau_1,p}(z) = 0\} \cap \{b_{\tau_2,p}(z) = 0\} \cap \{b_{\tau_3,p}(z) = 0\} = \emptyset. \quad (2.12)$$

The intersection pairing  $\langle \bullet, \bullet \rangle$  on  $J(E)_n$  will be defined later.

Let us explain how Propositions 2.2.3 and 2.2.4 imply Proposition 2.2.1. Proposition 2.2.3 implies that any pair curves among  $\{\sigma(G) : \sigma \in A\}$  meet transversely and thus  $\sum \sigma(G)$  has only ordinary singularities, i.e., singularities whose local branches are smooth and meet transversely pairwise. Then Proposition 2.2.3 says that no three curves among  $\{\sigma(G) : \sigma \in A\}$  meet at one point with the exceptions (2.9) and (2.10), in which cases no more than three curves among  $\{\sigma(G) : \sigma \in A\}$  meet at one point by (2.12). In case (2.9),  $\tau_1$  and  $\tau_2$  generate  $J(E)_2 \subset A$ . In case (2.10),  $\tau_1$  and  $\tau_2$  generate a subgroup of  $J(E)_6$  of order 12 contained in  $A$ ; such a subgroup clearly contains  $J(E)_2$ .

# Chapter 3

## Torsions on Generic Elliptic Curves

### 3.1 Monodromy of Torsion on BL-K3 surfaces

We will prove Proposition 2.2.3 and 2.2.4 by letting  $E$  vary in a complete family of elliptic curves  $X/B$  with a unique section  $P$ . There are many choices of such  $X$ . Let us choose  $X$  to be a K3 surface with Picard lattice

$$\begin{bmatrix} -2 & 1 \\ 1 & 0 \end{bmatrix}$$

We call such  $X$  a *Bryan-Leung K3* [2]. Such  $X$  admits an elliptic fibration  $\pi : X \rightarrow B = \mathbb{P}^1$ . For  $X$  general, it has 24 nodal fibers over  $S \subset B$ . The  $(-2)$ -curve  $P \subset X$  is the only section of  $\pi$ . For each positive integer  $n$ , let us consider

$$\Sigma_n = \overline{\{q \in X_b : b \notin S, \text{ord}(p - q) = n \text{ for } p = P_b = P \cap X_b\}} \quad (3.1)$$

Clearly,  $\Sigma_n$  is a multi-section of  $X/B$  of degree

$$n^2 \prod_{\substack{p \text{ prime} \\ p|n}} \left(1 - \frac{1}{p^2}\right)$$

We claim that  $\Sigma_n$  is irreducible. This is proved by studying the monodromy action of  $\pi_1(B \setminus S)$  on  $\Sigma_n$ . Actually, the monodromy action of  $\pi_1(B \setminus S)$  on  $\Sigma_n$  is induced by its action on  $H^1(X_b, \mathbb{Z})$ .

Fix a smooth fiber  $E = X_b$  of  $X$  over  $b \in B^\circ = B \setminus S$  and let us consider the monodromy action of  $\pi_1(B^\circ)$  on  $J(E)_{\text{tors}}$  and  $H^1(E, \mathbb{Z})$ . From the exponential sequence, we have the diagram

$$\begin{array}{ccccccc}
& & & & & & J(E)_n \\
& & & & & & \downarrow \\
0 & \longrightarrow & H^1(E, \mathbb{Z}) & \longrightarrow & H^1(\mathcal{O}_E) & \longrightarrow & J(E) \longrightarrow 0 \\
& & \downarrow \times n & & \parallel \times n & & \downarrow \times n \\
0 & \longrightarrow & H^1(E, \mathbb{Z}) & \longrightarrow & H^1(\mathcal{O}_E) & \longrightarrow & J(E) \longrightarrow 0 \\
& & \downarrow & & & & \\
& & H^1(E, \mathbb{Z})/nH^1(E, \mathbb{Z}) & & & & 
\end{array}$$

Thus, we have

$$J(E)_n \cong H^1(E, \mathbb{Z})/nH^1(E, \mathbb{Z})$$

and the action of  $\pi_1(B^\circ)$  on  $J(E)_{\text{tors}}$  is induced by its action of  $H^1(E, \mathbb{Z})$ .

The action  $\pi_1(B^\circ)$  on  $H^1(E, \mathbb{Z})$  preserves the intersection product of  $H^1(E, \mathbb{Z})$ . Thus, it is given by a group homomorphism

$$\pi_1(B^\circ) \longrightarrow \text{Aut}(H^1(E, \mathbb{Z})) \cong \text{SL}_2(\mathbb{Z})$$

where  $\text{Aut}(H^1(E, \mathbb{Z}))$  is the automorphism of  $H^1(E, \mathbb{Z})$  as a lattice. Thus, the induced action of  $\pi_1(B^\circ)$  on  $\Sigma_n$  is given by the group homomorphism

$$\begin{array}{ccc}
\pi_1(B^\circ) & \longrightarrow & \text{SL}_2(\mathbb{Z}) \\
& \searrow & \downarrow \\
& & \text{SL}_2(\mathbb{Z}/n\mathbb{Z})
\end{array}$$

**Proposition 3.1.1.** *Let  $\pi : X \rightarrow B = \mathbb{P}^1$  be a Bryan-Leung K3 surface with 24 nodal fibers. Then the monodromy action  $\pi_1(B^\circ) \rightarrow \text{SL}_2(\mathbb{Z}/n\mathbb{Z})$  is*

surjective and  $\Sigma_n$  is irreducible for all  $n \in \mathbb{Z}^+$  with  $\Sigma_n \subset X$  defined by (3.1).

The action of  $\pi_1(B^\circ)$  on  $H^1(E, \mathbb{Z})$  is well understood. At each  $b_i \in \{b_1, b_2, \dots, b_{24}\}$ , the loop around  $b_i$  acts on  $H^1(E, \mathbb{Z})$  by a Lefschetz-Picard transform (cf. [7]):

$$T_{\delta_i}(\lambda) = \lambda + \langle \lambda, \delta_i \rangle \delta_i$$

where  $\delta_i \in H^1(E, \mathbb{Z})$  is called the *vanishing cycle* at the node of  $X_{b_i}$  for  $i = 1, 2, \dots, 24$  and  $\langle \bullet, \bullet \rangle$  is the intersection pairing on  $H^1(E, \mathbb{Z})$ . The monodromy action of  $\pi_1(B^\circ)$  on  $H^1(E, \mathbb{Z})$  is the subgroup of  $\text{Aut}(H^1(E, \mathbb{Z}))$  generated by  $T_{\delta_1}, T_{\delta_2}, \dots, T_{\delta_{24}}$ . Clearly,  $T_{\delta_i}$  lift to actions on  $H^1(E, \mathbb{Z})/nH^1(E, \mathbb{Z})$ . We start with a simple observation:

**Lemma 3.1.2.** *Let  $\delta_1, \delta_2, \dots, \delta_{24} \in H^1(X_b, \mathbb{Z})$  be the vanishing cycles associated to a Bryan-Leung K3 surface  $\pi : X \rightarrow B = \mathbb{P}^1$  with 24 nodal fibers. Then*

1.  $\delta_i$  are indivisible, i.e., there do not exist  $\eta \in H^1(X_b, \mathbb{Z})$  and an integer  $m \geq 2$  such that  $\delta_i = m\eta$ ;
2. for every indivisible  $\lambda \in H^1(X_b, \mathbb{Z})$ ,

$$\gcd(\langle \lambda, \delta_1 \rangle, \langle \lambda, \delta_2 \rangle, \dots, \langle \lambda, \delta_{24} \rangle) = 1.$$

*Proof.* It is well known that  $\delta_i$  are indivisible (cf. [7, Example 6.6, p. 72]), as a consequence of the smoothness of  $X$ . Here we give another argument based on torsion points.

Suppose that  $\delta/m \in H^1(E, \mathbb{Z})$  for some  $\delta = \delta_i$  and  $m \geq 2$ . For simplicity, let us assume that  $m$  is prime. Then  $H^1(E, \mathbb{Z})/mH^1(E, \mathbb{Z})$  is fixed by  $T_\delta$  so  $\Sigma_m$  is the union  $Q_1 \cup Q_2 \cup \dots \cup Q_{m^2-1}$  of  $m^2 - 1$  local sections over a disk  $U \subset B$  around the point  $s = b_i \in S$ . Since  $X$  is smooth, each  $Q_j$  meets  $X_s$  at a point away from the node  $x$  of  $X_s$ . Let  $f : X \dashrightarrow X$  be the rational map given by  $f(q) = p + m(q - p)$  for  $q \in X_b$ ,  $b \in B^\circ$  and  $p = P \cap X_b$ . Then  $f$  can be extended to a regular, quasi-finite and unramified morphism

$$X \setminus \{x_1, x_2, \dots, x_{24}\} \xrightarrow{f} X$$

where  $x_1, x_2, \dots, x_{24}$  are the nodes of the 24 fibers  $X_S = \pi^{-1}(S)$ . Then

$$X_U \cap f^{-1}(P) = P \cup Q_1 \cup Q_2 \cup \dots \cup Q_{m^2-1}$$

for  $X_U = \pi^{-1}(U)$ . Since  $f$  is unramified,  $P, Q_1, Q_2, \dots, Q_{m^2-1}$  are disjoint. Therefore,  $p = P \cap X_s$  and  $q_j = Q_j \cap X_s$  are  $m^2$  distinct points on  $X_s \setminus \{x\}$ . But there are only  $m$  distinct points  $q$  on  $X_s \setminus \{x\}$  such that  $m(q - p) = 0$  in  $\text{Pic}^0(X_s) \cong \mathbb{C}^*$ , which is a contradiction.

For (2), if

$$\gcd(\langle \lambda, \delta_1 \rangle, \langle \lambda, \delta_2 \rangle, \dots, \langle \lambda, \delta_{24} \rangle) = m \geq 2,$$

then  $\lambda \in H^1(E, \mathbb{Z})/mH^1(E, \mathbb{Z})$  is fixed by  $T_{\delta_i}$  for all  $i$ . Therefore,  $\Sigma_m$  contains a section. But  $P$  is the only section of  $X/B$ , which is a contradiction.  $\square$

*Proof of Proposition 3.1.1.* If  $n = n_1 n_2$  for two coprime integers  $n_1$  and  $n_2$ , then the surjectivity of  $\pi_1(B^\circ) \rightarrow \text{SL}_2(\mathbb{Z}/n\mathbb{Z})$  follows from those of  $\pi_1(B^\circ) \rightarrow \text{SL}_2(\mathbb{Z}/n_i\mathbb{Z})$  for  $i = 1, 2$  via the group isomorphism

$$\text{SL}_2(\mathbb{Z}/n\mathbb{Z}) \xrightarrow{\sim} \text{SL}_2(\mathbb{Z}/n_1\mathbb{Z}) \times \text{SL}_2(\mathbb{Z}/n_2\mathbb{Z})$$

So by induction on the number of prime divisors of  $n$ , it suffices to prove the proposition for  $n = p^e$  with  $p$  prime.

For simplicity, suppose that  $\delta_1 = e_1$ , where  $\{e_1, e_2\}$  is the standard basis of  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ . By Lemma 3.1.2,

$$\gcd(\langle \delta_1, \delta_2 \rangle, \langle \delta_1, \delta_3 \rangle, \dots, \langle \delta_1, \delta_{24} \rangle) = 1$$

So there exists  $2 \leq i \leq 24$  such that  $p \nmid \langle \delta_1, \delta_i \rangle$ . We may assume that  $p \nmid \langle \delta_1, \delta_2 \rangle$ . Assume that

$$\delta_i = \begin{bmatrix} a_i \\ b_i \end{bmatrix}.$$

The corresponding Picard-Lefschetz transformation is given by the following

$$T_{\delta_i} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 - a_i b_i & a_i^2 \\ -b_i^2 & 1 + a_i b_i \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

We have

$$T_{\delta_1} = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

Also for each  $T_{\delta_i}$ , We notice that

$$T_{\delta_i}^k = \begin{bmatrix} 1 - ka_i b_i & ka_i^2 \\ -kb_i^2 & 1 + ka_i b_i \end{bmatrix}$$

Since  $\gcd(b_2, p) = 1$ , we may find  $k$ , such that  $kb = 1 + an$ . Then we have the following:

$$\begin{aligned} T_{\delta_2}^{k^2} &= \begin{bmatrix} 1 - k^2 a_2 b_2 & k^2 a_2^2 \\ -k^2 b_2^2 & 1 + k^2 a_2 b_2 \end{bmatrix} \\ &\equiv \begin{bmatrix} 1 - ka_2 & k^2 a_2^2 \\ -1 & 1 + ka_2 \end{bmatrix} \pmod{n} \end{aligned}$$

Let  $m = 1 - ka_2$ , we have

$$\begin{aligned} T_{\delta_1}^m \circ T_{\delta_2}^{k^2} &\equiv \begin{bmatrix} 1 & m \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 - ka_2 & k^2 a_2^2 \\ -1 & 1 + ka_2 \end{bmatrix} \\ &\equiv \begin{bmatrix} 0 & 1 \\ -1 & 1 + ka_2 \end{bmatrix} \pmod{n} \end{aligned}$$

Again letting  $t = -(1 + ka_2)$ , we have

$$\begin{bmatrix} 0 & 1 \\ -1 & 1 + ka_2 \end{bmatrix} \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix} \equiv \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \pmod{n}$$

It is well known that  $\mathrm{SL}_2(\mathbb{Z})$  is generated by two matrices:

$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

and hence  $\pi_1(B^\circ) \rightarrow \mathrm{SL}_2(\mathbb{Z}/n\mathbb{Z})$  is surjective.  $\square$

Let us consider the degeneration of the function  $b_{\tau,p}(z)$  when  $X_t$  degenerates to  $X_0$  for some  $0 \in S$ .

**Proposition 3.1.3.** *Let  $\pi : X \rightarrow \Delta$  be a flat projective family of curves over the unit disk  $\Delta$  such that  $X$  is smooth,  $X_t$  is a smooth elliptic curve for  $t \neq 0$  and  $X_0$  is a rational curve with a node, where  $X_t$  is the fiber of  $X$  over  $t \in \Delta$ . Let  $P$  and  $Q$  be two sections of  $X/\Delta$  such that  $P_t - Q_t$  is a torsion class in  $J(X_t)$  of order  $n \geq 2$  for  $t \neq 0$ . Then there exists an integral curve  $Z \subset X$  flat of degree 2 over  $\Delta$  such that  $Z_0$  is supported on the node of  $X_0$  and*

$$\{b_{\tau,p}(z) = 0\} = Z_t \quad (3.2)$$

for  $t \neq 0$ , where  $b_{\tau,p}(z)$  is the meromorphic function on  $X_t$  given in Proposition 2.2.2 with  $\tau = P_t - Q_t$  and  $p = P_t$ .

*Proof.* Since  $P$  and  $Q$  are sections of  $X/\Delta$  and  $X$  is smooth,  $P$  and  $Q$  meet  $X_0$  at smooth points  $P_0$  and  $Q_0$  of  $X_0$ . By the argument in the proof of Lemma 3.1.2,  $P_0 - Q_0$  is a torsion class in  $\text{Pic}^0(X_0) \cong \mathbb{C}^*$  of order  $n$ .

Let us consider  $\pi_*\mathcal{O}_X(P + Q)$ . This is a rank 2 vector bundle over  $\Delta$  since  $h^0(\mathcal{O}_{X_t}(P + Q)) = 2$  for all  $t$ . Therefore,

$$H^0(\pi_*\mathcal{O}_X(P + Q)) = H^0(\mathcal{O}_X(P + Q))$$

is a rank 2 free module over  $\mathbb{C}[[t]]$ .

Let  $o$  be the node of  $X_0$ . Then  $X_0 \setminus \{o\} \cong \mathbb{C}^*$ . We may assume that  $P_0 = 1$  and  $Q_0 = \eta = \exp(2\pi i/n)$ . Then  $H^0(\mathcal{O}_{X_0}(P_0 + Q_0))$  is spanned by the constant function 1 and

$$s_0(z) = \frac{z}{(z-1)(z-\eta)}$$

over  $\mathbb{C}$ . We can choose  $s \in H^0(\mathcal{O}_X(P + Q))$  such that  $s_0$  is the restriction of  $s$  to  $X_0$ , i.e.,  $s_0(z) = s(0, z)$ , where we consider  $s = s(t, z)$  as a meromorphic function on  $X$  with simple poles along  $P$  and  $Q$ . Then  $H^0(\mathcal{O}_X(P + Q))$  is generated by 1 and  $s$  over  $\mathbb{C}[[t]]$ .

Let  $\phi : X \setminus \{o\} \rightarrow X \setminus \{o\}$  be the automorphism given by  $\phi(z) = z + (p - q)$

for  $z \in X_t$ ,  $p = P_t$  and  $q = Q_t$ . Then

$$\sum_{k=0}^{n-1} s(t, \phi^k(z))$$

is constant for each fixed  $t \neq 0$  by Proposition 2.2.2. For  $t = 0$ , we have

$$\sum_{k=0}^{n-1} s(0, \phi^k(z)) = \sum_{k=0}^{n-1} \frac{\eta^k z}{(\eta^k z - 1)(\eta^k z - \eta)} = 0.$$

Therefore,

$$f(t) = \sum_{k=0}^{n-1} s(t, \phi^k(z))$$

for some  $f(t) \in \mathbb{C}[[t]]$  with  $f(0) = 0$ . Then  $ns(t, z) - f(t)$  is a section of  $\mathcal{O}_X(P + Q)$  whose restriction to  $X_t$  is exactly the function  $b_{\tau, p}(z)$ .

Let

$$Z = \left\{ ns(t, z) - f(t) = 0 \right\} \tag{3.3}$$

be the vanishing locus of  $ns(t, z) - f(t)$ . Then (3.2) follows from our choice of  $f(t)$ . In addition, since  $ns(0, z) - f(0) = ns_0(z)$  and  $s_0$  only vanishes at the node  $o$  of  $X_0$ , we see that  $Z_0$  is supported at  $o$ .

We know that  $Z$  is a closed subscheme of  $X$  of pure dimension one and flat of degree 2 over  $\Delta$ . So it must be one of the following:

- $Z$  is supported on a section of  $X/\Delta$  with multiplicity 2;
- $Z$  is a union of two distinct sections of  $X/\Delta$ ;
- $Z$  is an irreducible multi-section of degree 2 over  $\Delta$ .

Since  $Z_0$  is supported on the node  $o$  of  $X_0$  and  $X$  is smooth,  $Z$  cannot contain any section of  $X/\Delta$ . Thus,  $Z$  must be an integral curve flat of degree 2 over  $\Delta$ .  $\square$

Proposition 2.2.3 follows immediately from the above proposition.

*Proof of Proposition 2.2.3.* Suppose that  $b_{\tau,p}(p - \eta) = 0$  on a general elliptic curve  $E$  for some torsion class  $\tau \in J(E)$  of order  $n \geq 2$  and  $2\eta = \tau$ . Then by Proposition 3.1.1, this holds for every torsion class  $\tau$  of order  $n$ .

Let  $\pi : X \rightarrow B = \mathbb{P}^1$  be a Bryan-Leung K3 surface with 24 nodal fibers over  $S \subset B$ . We choose a point  $s \in S$  and let  $U \subset B$  be an open disk about  $s$ . Then there exists a section  $Q$  of  $X_U = \pi^{-1}(U)$  over  $U$  such that  $P_t - Q_t$  is a torsion class of order  $n$  for all  $t \in U$ . It follows from Proposition 3.1.3 that  $b_{\tau,p}(z)$  has two distinct zeros on  $X_t$  for  $\tau = P_t - Q_t$  and  $p = P_t$ , which is a contradiction.  $\square$

# Chapter 4

## Type of Singularities on Curves

### 4.1 Proof of Proposition 2.2.4

In this section, we will prove Proposition 2.2.4. Combined with Proposition 2.2.3, we obtain Proposition 2.2.1. Then Theorem 1.2.1 follows.

We will prove the following two statements in sequence:

**Proposition 4.1.1.** *For a general elliptic curve  $E$ , a point  $p \in E$  and a pair  $\tau_1 \neq \tau_2 \in J(E)_{\text{tors}}$  of torsions of orders  $n_1 \geq 2$  and  $n_2 \geq 2$ , respectively, if*

$$\{b_{\tau_1,p}(z) = 0\} \cap \{b_{\tau_2,p}(z) = 0\} \neq \emptyset$$

then either

$$\{p - q : b_{\tau_i,p}(q) = 0\} \subset J(E)_{\text{tors}} \quad (4.1)$$

for  $i = 1, 2$  or

$$n_1 = n_2 = 6, \langle \tau_1, \tau_2 \rangle = 3 \text{ in } J(E)_6 \text{ and } \text{ord}(\tau_1 - \tau_2) = 6. \quad (4.2)$$

**Proposition 4.1.2.** *For a general elliptic curve  $E$ , a point  $p \in E$  and a pair  $\tau_1 \neq \tau_2 \in J(E)_{\text{tors}}$  of nonzero torsions, if*

$$\begin{aligned} \{b_{\tau_1,p}(z) = 0\} \cap \{b_{\tau_2,p}(z) = 0\} \neq \emptyset \quad \text{and} \\ \{p - q : b_{\tau_i,p}(q) = 0\} \subset J(E)_{\text{tors}} \quad \text{for } i = 1, 2, \end{aligned} \quad (4.3)$$

then  $\text{ord}(\tau_1) = \text{ord}(\tau_2) = 2$ .

Our main tool is the monodromy action of  $\pi_1(B^\circ)$  on  $J(E)_{\text{tors}}$ . We fix a Bryan-Leung K3 surface  $X \rightarrow B = \mathbb{P}^1$  with 24 nodal fibers over  $S \subset B$  and a general fiber  $E = X_t$  of  $X/B$ . We extend the monodromy action on  $J(E)_{\text{tors}}$  to the triples  $(\tau, q_1, q_2)$  with  $\tau \in J(E)_{\text{tors}}$  and  $\{b_{\tau,p}(z) = 0\} = \{q_1, q_2\}$ .

Let us consider the curve

$$\begin{aligned} \{(\tau, q_1, q_2) : \tau \in J(X_t)_n, t \in B \setminus S, q_1, q_2 \in X_t, \text{ and} \\ \{b_{\tau,p}(z) = 0\} = \{q_1, q_2\} \text{ for } p = P_t\} \subset \text{Pic}^0(X/B) \times_B X \times_B X \end{aligned} \quad (4.4)$$

By Proposition 2.2.3, for each fixed  $n \geq 2$ , there exists a finite set  $S_n \subset B$  such that for every  $t \notin S \cup S_n$ ,  $b_{\tau,p}(z)$  has no double zeros on  $X_t$ . So the curve defined by (4.4) is unramified over  $B \setminus (S \cup S_n)$  and we have a well-defined monodromy action of  $\pi_1(B \setminus (S \cup S_n))$  on such triples  $(\tau, q_1, q_2)$  on a general fiber  $E = X_t$ . Let us use the notation  $\lambda(\tau)$  and  $\lambda(\tau, q_1, q_2)$  to denote the action of  $\lambda \in \pi_1(B \setminus (S \cup S_n))$  on  $\tau \in J(E)_{\text{tors}}$  and  $(\tau, q_1, q_2)$ .

We start with a few observations.

**Lemma 4.1.3.** *Let  $X \rightarrow B = \mathbb{P}^1$  be a Bryan-Leung K3 surface with 24 nodal fibers and let  $E = X_t$  be a general fiber of  $X/B$ . Let  $\tau \in J(E)_{\text{tors}}$  be a torsion of order  $n \geq 2$  and let  $q_1, q_2 \in E$  be two points given by*

$$\{b_{d\tau,p}(z) = 0\} = \{q_1, q_2\}$$

for some integer  $d$  with  $d\tau \neq 0$ . If  $\lambda \in \pi_1(B \setminus (S \cup S_n))$  acts on  $J(E)_n$  by

$$\lambda(\eta) = \eta + \langle \eta, \tau \rangle \tau$$

for all  $\eta \in J(E)_n$ , then

$$\lambda(d\tau, q_1, q_2) = (d\tau, q_2, q_1).$$

*Proof.* Fix a point  $0 \in S$  and let  $\delta$  be the vanishing cycle associated to the nodal fiber  $X_0$ . If  $\tau = \delta$  in  $J(E)_n$ , then we must have  $\lambda = T_\delta$  in  $\text{SL}_2(\mathbb{Z}/n\mathbb{Z})$ ,

where  $T_\delta$  is the Picard-Lefschetz transform associated to  $\delta$ . Since

$$T_\delta(d\tau) = d\tau,$$

there is a local section  $Q \subset X_U = X \times_B U$  over a simply connect open neighborhood  $U$  of 0 such that  $P_t - Q_t = d\tau$ . Then the lemma follows from Proposition 3.1.3.

More generally, by Proposition 3.1.1, there exists  $\alpha \in \pi_1(B \setminus (S \cup S_n))$  such that  $\alpha(\delta) = \tau$ . Then  $T_\delta = \alpha^{-1} \circ \lambda \circ \alpha$  since

$$\begin{aligned} \alpha^{-1} \circ \lambda \circ \alpha(\eta) &= \alpha^{-1}(\alpha(\eta) + \langle \alpha(\eta), \alpha(\delta) \rangle \alpha(\delta)) \\ &= \alpha^{-1}(\alpha(\eta) + \langle \eta, \delta \rangle \alpha(\delta)) \\ &= \alpha^{-1} \circ \alpha(\eta + \langle \eta, \delta \rangle \delta) = T_\delta(\eta). \end{aligned}$$

Thus, the lemma follows. □

**Lemma 4.1.4.** *Let  $X \rightarrow B = \mathbb{P}^1$  be a Bryan-Leung K3 surface with 24 nodal fibers and let  $E = X_t$  be a general fiber of  $X/B$ . Let  $\tau_1$  and  $\tau_2 \in J(E)_{tors}$  be two torsions of the same order  $n \geq 2$  with  $m = \langle \tau_1, \tau_2 \rangle$  in  $J(E)_n$ , let  $n_1, n_2$  be two integers such that  $n \nmid n_i$  and let*

$$\{b_{n_1\tau_1,p}(z) = 0\} = \{q_1, q_2\}.$$

*If  $b_{n_2\tau_2,p}(q_1) = 0$ , then*

$$\begin{aligned} b_{n_2(\tau_2+km\tau_1),p}(q_1) &= 0 && \text{for } 2 \mid k \text{ and} \\ b_{n_2(\tau_2+km\tau_1),p}(q_2) &= 0 && \text{for } 2 \nmid k \end{aligned} \tag{4.5}$$

*If, in addition,  $(2 \gcd(mn_2, n)) \nmid n$ , then  $n_1\tau_1 = n_2\tau_2$ .*

*Proof.* By Proposition 3.1.1, we can find  $\lambda \in \pi_1(B \setminus (S \cup S_n))$  such that

$$\lambda(\alpha) = \alpha + \langle \alpha, \tau_1 \rangle \tau_1$$

for all  $\alpha \in J(E)_n$ . Then  $\lambda(\tau_1) = \tau_1$ . Hence

$$\begin{aligned}\lambda^k(n_1\tau_1, q_1, q_2) &= (n_1\tau_1, q_1, q_2) && \text{for } 2 \mid k \text{ and} \\ \lambda^k(n_1\tau_1, q_1, q_2) &= (n_1\tau_1, q_2, q_1) && \text{for } 2 \nmid k\end{aligned}\tag{4.6}$$

by Lemma 4.1.3. Obviously,

$$\lambda^k(\tau_2) = \tau_2 - km\tau_1\tag{4.7}$$

for all integers  $k$ . Combining (4.6) and (4.7), we obtain (4.5).

If  $(2 \gcd(mn_2, n)) \nmid n$ , then  $k_0 = n / \gcd(mn_2, n)$  is odd. Setting  $k = k_0$  in (4.5), we obtain

$$b_{n_2\tau_2, p}(q_2) = b_{n_2(\tau_2 + k_0m\tau_1), p}(q_2) = 0.$$

On the other hand, we assume that  $b_{n_2\tau_2, p}(q_1) = 0$ . So

$$\{b_{n_i\tau_i, p}(z) = 0\} = \{q_1, q_2\}$$

for  $i = 1, 2$ . This implies

$$n_1\tau_1 = (p - q_1) + (p - q_2) = n_2\tau_2.$$

□

**Lemma 4.1.5.** *Let  $E$  be an elliptic curve, let  $p$  be a point on  $E$  and let  $\tau \in J(E)_{\text{tors}}$  be a torsion of order 2. Then*

$$\{b_{\tau, p}(z) = 0\} = \{q_1, q_2\}$$

*such that  $\tau$ ,  $p - q_1$  and  $p - q_2$  are the three distinct 2-torsions.*

*Proof.* Let  $\tau$ ,  $\tau_1$  and  $\tau_2$  be the three distinct 2-torsions. Clearly,

$$\tau = \tau_1 + \tau_2.$$

So there exist a rational function  $b(z)$  on  $E$  with simple poles at  $p$  and  $p - \tau$  and simple zeros at  $p - \tau_1$  and  $p - \tau_2$ . Note that  $b(z + \tau)$  also has simple poles

at  $p$  and  $p - \tau$  and simple zeros at  $p - \tau_1$  and  $p - \tau_2$ . Therefore,

$$b(z + \tau) \equiv cb(z)$$

for a constant  $c$ . And since  $b(z) + b(z + \tau)$  is a constant by Proposition 2.2.2, we must have  $c = -1$  and

$$b(z) + b(z + \tau) \equiv 0.$$

Therefore,  $b_{\tau,p}(z) \equiv \lambda b(z)$  for a constant  $\lambda \neq 0$  by the uniqueness of  $b_{\tau,p}(z)$  and the lemma follows.  $\square$

**Lemma 4.1.6.** *Let  $E$  be an elliptic curve, let  $p$  be a point on  $E$  and let  $\tau_1 \neq \tau_2 \in J(E)_{\text{tors}}$  be two distinct nonzero torsions. If*

$$\begin{aligned} \{b_{\tau_1,p}(z) = 0\} &= \{q_1, q_2\} \\ \{b_{\tau_2,p}(z) = 0\} &= \{q_1, q_3\} \end{aligned}$$

then

$$b_{\tau_1 - \tau_2,p}(q_2) = 0.$$

*Proof.* Note that

$$q_2 = q_3 - (\tau_1 - \tau_2)$$

since

$$\begin{aligned} \tau_1 &= (p - q_1) + (p - q_2) \quad \text{and} \\ \tau_2 &= (p - q_1) + (p - q_3). \end{aligned}$$

Let us consider the meromorphic function  $b_{\tau_2,p}(z + (\tau_1 - \tau_2))$ . It has simple poles at  $p - (\tau_1 - \tau_2)$  and  $(p - \tau_2) - (\tau_1 - \tau_2) = p - \tau_1$  and a zero at

$$q_3 - (\tau_1 - \tau_2) = q_2.$$

Therefore,

$$b(z) = b_{\tau_1,p}(z) + cb_{\tau_2,p}(z + (\tau_1 - \tau_2))$$

has simple poles at  $p$  and  $p - (\tau_1 - \tau_2)$  and a zero at  $q_2$  for the constant  $c$  given

by

$$c = -\frac{\operatorname{Res}_{p-\tau_1} b_{\tau_1,p}(z)\omega}{\operatorname{Res}_{p-\tau_1} b_{\tau_2,p}(z + (\tau_1 - \tau_2))\omega}.$$

where  $\omega$  is a nonvanishing holomorphic 1-form on  $E$ .

Let  $n$  be a positive integer such that  $\tau_1, \tau_2 \in J(E)_n$ . Then

$$\begin{aligned} \sum_{\lambda \in J(E)_n} b(z + \lambda) &= \sum_{\lambda \in J(E)_n} b_{\tau_1,p}(z + \lambda) + c \sum_{\lambda \in J(E)_n} b_{\tau_2,p}(z + (\tau_1 - \tau_2) + \lambda) \\ &= \sum_{\lambda \in J(E)_n} b_{\tau_1,p}(z + \lambda) + c \sum_{\lambda \in J(E)_n} b_{\tau_2,p}(z + \lambda) \equiv 0 \end{aligned}$$

by Proposition 2.2.2. Then by the uniqueness of  $b_{\tau_1-\tau_2,p}(z)$ , we must have  $b_{\tau_1-\tau_2,p}(z) \equiv ab(z)$  for some constant  $a \neq 0$  and the lemma follows.  $\square$

**Lemma 4.1.7.** *Let  $E$  be an elliptic curve, let  $n$  be a positive integer satisfying  $4 \mid n$  and  $8 \nmid n$  and let  $\alpha_1 \neq \alpha_2 \in J(E)_{\text{tors}}$  be two torsions of order  $n$ . If*

$$\begin{aligned} \langle \alpha_1, \alpha_2 \rangle &= \frac{n}{2} \quad \text{in } J(E)_n \text{ and} \\ 4(d_1\alpha_1 - d_2\alpha_2) &= 0 \end{aligned}$$

for some odd integers  $d_1$  and  $d_2$ , then

$$\operatorname{ord}(d_1\alpha_1 - d_2\alpha_2) = 2.$$

*Proof.* Let  $m = n/2$ . We may assume that

$$\alpha_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \text{and} \quad \alpha_2 = \begin{bmatrix} a \\ m \end{bmatrix}$$

in  $J(E)_n \cong \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ , where  $\gcd(a, m) = 1$  and hence  $a$  is odd. Then

$$d_1\alpha_1 - d_2\alpha_2 = \begin{bmatrix} d_1 - ad_2 \\ -d_2m \end{bmatrix}$$

and  $2m \mid 4(d_1 - ad_2)$ . And since  $d_1 - ad_2$  is even and  $4 \nmid m$ , we see that  $2m \mid 2(d_1 - ad_2)$  and hence  $d_1\alpha_1 - d_2\alpha_2$  has order 2.  $\square$

Now we are ready to prove Propositions 4.1.1 and 4.1.2.

*Proof of Proposition 4.1.1.* Suppose that  $E$  is a general fiber of a Bryan-Leung K3 surface  $\pi : X \rightarrow B = \mathbb{P}^1$  with 24 nodal fibers. Let

$$n = \text{lcm}(n_1, n_2), \quad d_1 = \frac{n}{n_1} \text{ and } d_2 = \frac{n}{n_2}.$$

Suppose that

$$\{b_{\tau_1,p}(z) = 0\} = \{q_1, q_2\} \quad \text{and} \quad \{b_{\tau_2,p}(z) = 0\} = \{q_1, q_3\}.$$

It suffices to prove that one of  $p - q_1, p - q_2$  and  $p - q_3$  is torsion.

Since  $\text{ord}(\tau_i) = n_i$ ,  $\tau_i = d_i \alpha_i$  for  $i = 1, 2$  and some  $\alpha_i \in J(E)_{\text{tors}}$  of order  $n$ . Let  $m = \langle \alpha_1, \alpha_2 \rangle \in \mathbb{Z}/n\mathbb{Z}$ .

By Lemma 4.1.4,

$$\begin{aligned} b_{\tau_2+kd_2m\alpha_1,p}(q_1) &= 0 && \text{for } 2 \mid k \text{ and} \\ b_{\tau_2+kd_2m\alpha_1,p}(q_2) &= 0 && \text{for } 2 \nmid k \end{aligned}$$

If  $k_0 = n/\text{gcd}(d_2m, n)$  is odd, then  $\tau_1 = \tau_2$  by Lemma 4.1.4, which is a contradiction. Therefore,  $k_0$  and  $n$  are even. If  $k_0 \neq 2$ , we have two cases:

- Suppose that  $4 \mid k_0$ . We have

$$b_{\tau_2,p}(q_1) = b_{\tau_2+(k_0/2)d_2m\alpha_1,p}(q_1) = 0.$$

Let

$$\tau'_1 = \tau_2 + (k_0/2)d_2m\alpha_1 \quad \text{and} \quad \tau'_2 = \tau_2.$$

Suppose that

$$\begin{aligned} \{b_{\tau'_1,p}(z) = 0\} &= \{q_1, q'_2\} \quad \text{and} \\ \{b_{\tau'_2,p}(z) = 0\} &= \{q_1, q'_3\}. \end{aligned}$$

By Lemma 4.1.6,

$$b_{\tau'_1-\tau'_2,p}(q'_2) = 0.$$

Obviously,  $\text{ord}(\tau'_1 - \tau'_2) = 2$ . Therefore,  $p - q'_2 \in J(E)_{\text{tors}}$  by Lemma

4.1.5. It follows that  $p - q_1 \in J(E)_{\text{tors}}$  and we are done.

- Suppose that  $4 \nmid k_0$  and  $k_0 > 2$ . We have

$$b_{\tau_2, p}(q_1) = b_{\tau_2 + 2d_2 m \alpha_1, p}(q_1) = 0.$$

Let

$$\tau'_1 = \tau_2 + 2d_2 m \alpha_1 \quad \text{and} \quad \tau'_2 = \tau_2.$$

We see that  $\tau'_1 \neq \tau'_2$ ,

$$\text{ord}(\tau'_1) \mid n_2 = \text{ord}(\tau'_2)$$

and

$$\langle \tau'_1, \tau'_2 \rangle = m' = 2(d_2 m)^2$$

with  $n_2 / \gcd(m', n_2)$  odd. Then  $\tau'_1 = \tau'_2$  by Lemma 4.1.4, which is a contradiction.

So we have  $k_0 = 2$ . That is,

$$n = 2 \gcd(d_2 m, n).$$

Similarly, we have

$$n = 2 \gcd(d_1 m, n).$$

So we have

$$d_2 m \equiv d_1 m \equiv \frac{n}{2} \pmod{n}.$$

And since  $\gcd(d_1, d_2) = 1$ , we conclude that

$$m \equiv \frac{n}{2} \pmod{n}$$

and  $d_1$  and  $d_2$  are both odd. That is, we have reduced the proposition to the case that

$$2 \mid n, \quad 2 \nmid d_1 d_2 \quad \text{and} \quad m = \frac{n}{2}. \tag{4.8}$$

Note that under these assumptions,

$$m \tau_j = d_i m \alpha_j = m \alpha_j$$

for all  $i, j = 1, 2$ .

If one of  $\tau_i$  is a 2-torsion, then it follows immediately from Lemma 4.1.5 that  $p - q_1 \in J(E)_{\text{tors}}$  and we are done. So we may assume that  $n_i \geq 3$  for  $i = 1, 2$ .

By Lemma 4.1.6,

$$b_{\tau_1 - \tau_2, p}(q_2) = 0.$$

If  $\tau_1 - \tau_2$  is a 2-torsion, then  $p - q_2 \in J(E)_{\text{tors}}$  by Lemma 4.1.5. We are again done. So we may assume that none of  $\tau_1$ ,  $\tau_2$  and  $\tau_1 - \tau_2$  are 2-torsions. That is, we may assume that

$$n_1 \geq 3, n_2 \geq 3 \text{ and } \text{ord}(\tau_1 - \tau_2) \geq 3 \quad (4.9)$$

in addition to (4.8).

Repeatedly applying Lemma 4.1.4, we obtain

$$\begin{aligned} \{b_{\tau_1, p}(z) = 0\} &= \{q_1, q_2\} \\ \{b_{\tau_2, p}(z) = 0\} &= \{q_1, q_3\} \\ \{b_{\tau_2 + m\alpha_1, p}(z) = 0\} &= \{q_2, q_4\} \\ \{b_{\tau_1 + m\alpha_2, p}(z) = 0\} &= \{q_3, q_5\} \end{aligned}$$

Continuing this process, we obtain

$$b_{\tau_1 + m(\alpha_2 + m\alpha_1), p}(q_4) = 0.$$

Suppose that  $4 \mid n$ , i.e.,  $2 \mid m$ . Then  $m(\alpha_2 + m\alpha_1) = m\alpha_2$  and hence

$$b_{\tau_1 + m\alpha_2, p}(q_4) = 0.$$

Since  $\{b_{\tau_1 + m\alpha_2, p}(z) = 0\} = \{q_3, q_5\}$ , we have either  $q_3 = q_4$  or  $q_4 = q_5$ .

- If  $q_3 = q_4$ , then

$$\begin{aligned} \{b_{\tau_1, p}(z) = 0\} &= \{q_1, q_2\} \\ \{b_{\tau_2, p}(z) = 0\} &= \{q_1, q_3\} \\ \{b_{\tau_2 + m\alpha_1, p}(z) = 0\} &= \{q_2, q_3\} \end{aligned}$$

and hence

$$\begin{aligned}(p - q_1) + (p - q_2) &= \tau_1 \in J(E)_{\text{tors}} \\ (p - q_1) + (p - q_3) &= \tau_2 \in J(E)_{\text{tors}} \\ (p - q_2) + (p - q_3) &= \tau_2 + m\alpha_1 \in J(E)_{\text{tors}}\end{aligned}$$

It follows that  $p - q_1, p - q_2, p - q_3 \in J(E)_{\text{tors}}$ . We are done.

- If  $q_4 = q_5$ , then

$$\begin{aligned}\{b_{\tau_1,p}(z) = 0\} &= \{q_1, q_2\} \\ \{b_{\tau_2,p}(z) = 0\} &= \{q_1, q_3\} \\ \{b_{\tau_2+m\alpha_1,p}(z) = 0\} &= \{q_2, q_4\} \\ \{b_{\tau_1+m\alpha_2,p}(z) = 0\} &= \{q_3, q_4\}\end{aligned}\tag{4.10}$$

and hence

$$\begin{aligned}(p - q_1) + (p - q_2) &= \tau_1 \\ (p - q_1) + (p - q_3) &= \tau_2 \\ (p - q_2) + (p - q_4) &= \tau_2 + m\alpha_1 = \tau_2 + m\tau_1 \\ (p - q_3) + (p - q_4) &= \tau_1 + m\alpha_2 = \tau_1 + m\tau_2\end{aligned}$$

It follows that

$$(m - 2)(\tau_1 - \tau_2) = 0 \Rightarrow \gcd(m - 2, n)(\tau_1 - \tau_2) = 0.$$

Since  $\gcd(m - 2, n) = \gcd(m - 2, 2m)$  is either 2 or 4, the order of  $\tau_1 - \tau_2$  is either 2 or 4. By our hypothesis (4.9),  $\text{ord}(\tau_1 - \tau_2) \neq 2$ . So  $\text{ord}(\tau_1 - \tau_2) = 4$ . Then  $\gcd(m - 2, 2m) = 4$  and  $4 \nmid m$ . This contradicts Lemma 4.1.7.

So far we have proved the proposition when  $m$  is even. Suppose that  $2 \nmid m$ . Then  $m(\alpha_2 + m\alpha_1) = m(\alpha_1 + \alpha_2)$  and hence

$$b_{\tau_1+m(\alpha_1+\alpha_2),p}(q_4) = 0.$$

Continuing applying Lemma 4.1.4, we obtain

$$\begin{aligned}
\{b_{\tau_1,p}(z) = 0\} &= \{q_1, q_2\} \\
\{b_{\tau_2,p}(z) = 0\} &= \{q_1, q_3\} \\
\{b_{\tau_2+m\alpha_1,p}(z) = 0\} &= \{q_2, q_4\} \\
\{b_{\tau_1+m\alpha_2,p}(z) = 0\} &= \{q_3, q_5\} \\
\{b_{\tau_1+m(\alpha_1+\alpha_2),p}(z) = 0\} &= \{q_4, q_6\} \\
\{b_{\tau_2+m(\alpha_1+\alpha_2),p}(z) = 0\} &= \{q_5, q_7\}
\end{aligned}$$

Applying Lemma 4.1.4 to  $(\tau_1 + m(\alpha_1 + \alpha_2), \tau_2 + m\alpha_1)$ , we obtain

$$b_{\tau_2+m(\alpha_1+\alpha_2),p}(q_6) = 0.$$

Similarly,

$$b_{\tau_1+m(\alpha_1+\alpha_2),p}(q_7) = 0.$$

That is,  $q_6 \in \{q_5, q_7\}$  and  $q_7 \in \{q_4, q_6\}$ . Since  $\{q_5, q_7\} \neq \{q_4, q_6\}$ , we must have  $q_6 = q_7$ . Then from

$$\begin{aligned}
\{b_{\tau_1,p}(z) = 0\} &= \{q_1, q_2\} \\
\{b_{\tau_2,p}(z) = 0\} &= \{q_1, q_3\} \\
\{b_{\tau_2+m\alpha_1,p}(z) = 0\} &= \{q_2, q_4\} \\
\{b_{\tau_1+m\alpha_2,p}(z) = 0\} &= \{q_3, q_5\} \\
\{b_{\tau_1+m(\alpha_1+\alpha_2),p}(z) = 0\} &= \{q_4, q_6\} \\
\{b_{\tau_2+m(\alpha_1+\alpha_2),p}(z) = 0\} &= \{q_5, q_6\}
\end{aligned} \tag{4.11}$$

we obtain

$$3(\tau_1 - \tau_2) = m(\alpha_1 - \alpha_2).$$

Hence  $\tau_1 - \tau_2$  has order 2 or 6.

By our hypothesis (4.9),  $\text{ord}(\tau_1 - \tau_2) \neq 2$ . So  $\tau_1 - \tau_2$  has order 6. Hence  $6 \mid n$ ,  $3 \mid m$  and  $3 \mid n_1 n_2$ .

Since  $d_1$  and  $d_2$  are odd,  $n_1 = n/d_1$  and  $n_2 = n/d_2$  are even. So at least one of  $n_1$  and  $n_2$  is divisible by 6. Without the loss of generality, let us assume

that  $6 \mid n_1$ . Then

$$n_1(\tau_1 - \tau_2) = 0 \Rightarrow n_1\tau_2 = 0 \Rightarrow n_2 \mid n_1 \Rightarrow n = n_1.$$

Let

$$\tau'_1 = \tau_1 \quad \text{and} \quad \tau'_2 = \tau_1 - \tau_2.$$

By Lemma 4.1.6,

$$b_{\tau'_1, p}(q_2) = b_{\tau'_2, p}(q_2) = 0.$$

Applying the whole argument to  $(\tau'_1, \tau'_2)$ , we again arrive at

$$\text{ord}(\tau'_1 - \tau'_2) = 6.$$

That is,  $n_2 = \text{ord}(\tau_2) = 6$ . Then this implies that  $\tau_1 = \tau_2 + (\tau_1 - \tau_2)$  also has order 6. So we have (4.2).  $\square$

# Chapter 5

## Singularities on curves of $g \geq 2$

### 5.1 Proof of Theorem 1.2.1 for $g \geq 2$

It remains to prove Theorem 1.2.1 for  $g \geq 2$ . As mentioned before, we will reduce it to the case  $g = 1$  by a degeneration argument.

Let  $E$  be a smooth elliptic curve. We first construct a smooth projective family  $X$  of surfaces over  $\Delta = \mathbb{A}^1$  such that  $X_0 \cong E \times \mathbb{P}^1$  and  $X_t \cong \mathbb{P}\mathcal{E}$  for  $t \neq 0$ , where  $\mathcal{E}$  is the rank 2 vector bundle on  $E$  given by a nonzero vector in  $\text{Ext}(\mathcal{O}_E, \mathcal{O}_E)$ .

Let  $\mathcal{V}$  be a rank 2 vector bundle over  $E \times \Delta$  given by

$$t \in \text{Ext}(\mathcal{O}_{E \times \Delta}, \mathcal{O}_{E \times \Delta}) = H^1(\mathcal{O}_{E \times \Delta}) = \mathbb{C}[t]$$

and let  $X = \mathbb{P}\mathcal{V}$ . Clearly,  $X$  is such a family.

There is an effective divisor  $D \subset X$ , flat over  $\Delta$ , such that  $D_t$  is the section of  $X_t/E$  with  $D_t^2 = 0$ . Fix a point  $p \in E$  and let  $L = mD + \pi^*p$ , where  $\pi$  is the projection  $X \rightarrow E$ .

For  $t \neq 0$ , the Severi variety  $V_{X_t, L, g}$  has expected dimension  $g$ . If we fix  $g$  general points on  $X_t$ , there exist finitely many  $[C] \in V_{X_t, L, g}$  such that  $C$  passes through these points. Let us fix  $g$  general sections  $P_1, P_2, \dots, P_g \subset X$  of  $X/\Delta$ . Then after a base change, there exists a flat projective family  $C \subset X$  of curves over  $\Delta$  such that  $C_t$  is an integral curve in  $|L|$  on  $X_t$  passing through  $P_i \cap X_t$  for  $i = 1, 2, \dots, g$  and  $t \neq 0$ . Here we replace  $\Delta$  by an analytic disk or a smooth

affine curve finite over  $\mathbb{A}^1$ .

Furthermore, we may choose the base change such that there exists a family of stable maps  $\varphi : \mathcal{C} \rightarrow X$  over  $\Delta$  such that  $\varphi$  maps  $\mathcal{C}$  birationally onto  $C$ .

On  $X_0$ , the linear system  $|L|$  is completely reducible in the sense that

$$H^0(\mathcal{O}_{X_0}(L)) = \text{Sym}^m H^0(\mathcal{O}_{X_0}(D)) \otimes H^0(\mathcal{O}_{X_0}(\pi^*p)).$$

Therefore,

$$C_0 = m_1 D_1 + m_2 D_2 + \dots + m_g D_g + F$$

where  $D_i$  are the sections of  $X_0/E$  passing through  $P_i \cap X_0$  for  $i = 1, 2, \dots, g$ ,  $F$  is the fiber of  $\pi : X_0 \rightarrow E$  over  $p$  and  $m_i$  are positive integers such that  $\sum m_i = m$ .

Clearly,  $C_t$  has only singularities in open neighborhoods of  $D_i$ . So it suffices to show that  $C_t$  has only nodes and ordinary triple points as singularities in an analytic neighborhood of each  $D_i$  for  $i = 1, 2, \dots, g$ , if  $E$  is general.

Since  $\mathcal{C}_t$  is a smooth projective curve of genus  $g$  for  $t \neq 0$ , there are exactly  $g$  irreducible components  $\Gamma_1, \Gamma_2, \dots, \Gamma_g$  of  $\mathcal{C}_0$  such that each  $\Gamma_i$  is a smooth elliptic curve dominating  $D_i$  for  $i = 1, 2, \dots, g$ .

Let us fix  $i$ . If  $m_i = 1$ , there is nothing to do. Otherwise, Suppose that  $m_i \geq 2$ . Let  $\psi : \widehat{X} \rightarrow X$  be the blowup of  $X$  along  $D_i$ . Then the central fiber  $\widehat{X}_0 = S \cup R$  is a union of two smooth projective surfaces  $S$  and  $R$ , where  $S$  is the proper transform of  $X_0$ ,  $R$  is the exceptional divisor of  $\psi$  and  $S$  and  $R$  meet transversely along a curve over  $D_i$ , which we still denote by  $D_i$ . Let  $\widehat{C}$  be the proper transform of  $C$  under  $\psi$ .

The rational map  $\psi^{-1} \circ \varphi : \mathcal{C} \dashrightarrow \widehat{X}$  is regular at a general point of  $\Gamma_i$ . We claim that

$$\psi^{-1} \circ \varphi(\Gamma_i) \not\subset D_i = S \cap R.$$

Otherwise, we choose a local section  $Q$  of  $\mathcal{C}/\Delta$  passing through a general point of  $\Gamma_i$ . Then  $\varphi(Q)$  is a local section of  $\widehat{X}/\Delta$  meeting  $D_i = S \cap R$ , which is impossible since  $\widehat{X}$  is smooth. So  $\psi^{-1} \circ \varphi$  maps  $\Gamma_i$  to an irreducible curve on  $R$  other than  $D_i$ . That is,  $\widehat{C}_0$  does not contain  $D_i$ .

We have either  $R \cong \mathbb{P}^{\mathcal{E}}$  or  $R \cong E \times \mathbb{P}^1$ .

- A. If  $R \cong \mathbb{P}^{\mathcal{E}}$ , then  $\widehat{C} \cap R$  must be an integral curve in  $|m_i \widehat{D} + \widehat{\pi}^* p|$  of geometric genus 1, where  $\widehat{D}$  is the proper transform of  $D$  and  $\widehat{\pi} = \pi \circ \psi$  is the projection  $\widehat{X} \rightarrow E$ . Then by Theorem 1.2.1,  $\widehat{C} \cap R$  has only nodes and ordinary triple points as singularities and the same holds for  $C_t$  in an open neighborhood of  $D_i$ .
- B. If  $R \cong E \times \mathbb{P}^1$ , then  $\widehat{C} \cap R = m_i \widehat{D}_i + \widehat{F}$ , where  $\widehat{D}_i$  is the section  $R/E$  passing through the point  $\widehat{P}_i \cap R$  with  $\widehat{P}_i$  being the proper transform of  $P_i$  under  $\psi$  and  $\widehat{F}$  is the fiber of  $R$  over  $p \in E$ . So we continue to blow up  $\widehat{X}$  along  $\widehat{D}_i$ . By embedded resolution of singularities, there exists a sequence blowups over  $D_i$ , say  $f : X' \rightarrow X$ , such that the proper transform  $C'$  of  $C$  is smooth over a general point of  $D_i$ . Then by Zariski's main theorem, the map  $f^{-1} \circ \varphi : \mathcal{C} \dashrightarrow X'$  has connected fiber over  $f^{-1}(D_i)$ . This means that  $C'_0$  is smooth over a general point of  $D_i$ . So we will eventually end up in case A after a sequence of blowups over  $D_i$ .

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