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THÈSES CANADIENNES SUR MICROFICHE

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TITLE OF THESIS/TITRE DE LA THÈSE COHERENCE TOPOLOGIES

UNIVERSITY/UNIVERSITÉ UNIVERSITY OF ALBERTA

DEGREE FOR WHICH THESIS WAS PRESENTED/ GRADE POUR LEQUEL CETTE THÈSE FUT PRÉSENTÉE PH.D.

YEAR THIS DEGREE CONFERRED/ANNÉE D'OBTENTION DE CE DEGRÉ FALL, 1974

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THE UNIVERSITY OF ALBERTA

COHERENCE TOPOLOGIES

by



SUBHASHCHANDRA MORESHWAR KARNIK

A THESIS

SUBMITTED TO THE FACULTY OF GRADUATE STUDIES AND RESEARCH  
IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE

OF DOCTOR OF PHILOSOPHY

IN

MATHEMATICS

DEPARTMENT OF MATHEMATICS

EDMONTON, ALBERTA

FALL , 1974

THE UNIVERSITY OF ALBERTA  
FACULTY OF GRADUATE STUDIES AND RESEARCH

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Dedicated to my parents MORESHWAR and SUMATI

## ABSTRACT

Conditions on the topology of a topological space  $X$  which require that it be in some sense coherent with the topologies on certain subspaces of  $X$  have recently received a great deal of attention. Perhaps the most familiar examples are the defining conditions for  $k$  spaces and sequential spaces, although less familiar examples abound. Our endeavour in this thesis has been to establish a general framework for the investigation of these coherence concepts and then to present several new results that will throw light on less investigated classes, for example,  $S_R$  and  $k_R$  spaces.

To be precise, we have introduced very general  $T'$ ,  $T$ ,  $T_R$  spaces by relating their respective topologies to subspaces belonging to a quite arbitrary prechosen class  $\mathcal{T}$  of topological spaces on the same pattern as the topologies of  $k'$ ,  $k$ ,  $k_R$  spaces depend on (a very restrictive) class of compact subspaces.

Our primary results are structure theorems, covering mapping characterizations, and combinatorial and product theorems for  $T'$ ,  $T$  and  $T_R$  spaces; and these are obtained in as general a setting as possible so as to yield many interesting known results as corollaries.

Our new results usually concern  $T_R$  spaces. The centre of

our interest has always been the class of  $S_R$  spaces being a class of spaces of recent interest wider than the traditional class of sequential spaces . Some questions remain unsettled ; they are stated precisely at appropriate places .

## ACKNOWLEDGEMENTS

Foremost thanks are due to my supervisor, Professor Stephen Willard, who suggested the topic of this thesis and guided me with understanding and patience. He offered me encouragement throughout this venture and helped me in every possible way till the end. I am very much grateful to him for all this.

I am also very much thankful to Professor Á. Császár of Budapest who made several important suggestions at various places in the thesis. These suggestions have improved my understanding of certain aspects of the subject matter.

I must mention two of my former teachers. They are Professor M. D. Mavinkurve and Professor S. A. Nainpally. Their influence on me, also, has been very significant. The former introduced me to the beauty of Topology, while the latter attracted me to the research aspect of it. I am equally indebted to them as well.

All these years I received the necessary monetary support from various sources the chief among them being the University of Alberta and personal N. R. C. grants of Professor S. A. Nainpally and Professor S. Willard. At different times as the need arose, I was also supported by Professor M. G. Murdeshwar, Professor A. Sharma and Professor E. F. Steiner from their personal N. R. C. grants. I would like to record my

appreciation for their timely help .

I also wish to thank my colleague and friend, Mrs. Mary Easwaran, for spending her precious time to type this thesis with concern and care .

Lastly, this thesis will be grossly incomplete if I do not acknowledge my wife, Medha, who has always been a fountain of inspiration. But for the courage she created in me I could not have brought this project to its current stage.



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## INTRODUCTION

This thesis began as an investigation of Fréchet, sequential and  $S_R$  spaces, our point of view broadening when it became apparent that most results in this area carry over, without essential change in the arguments, to similar situations (as represented, for example, by the  $k'$ ,  $k$  and  $k_R$  spaces). Thus we begin with a discussion of Fréchet, sequential and  $S_R$  spaces.

A space is Fréchet if the closure of each of its subsets is the set of limits of sequences contained in that subset. Fréchet spaces were introduced by Arhangel'skii [3] and represent a class of spaces broader than the first countable spaces, whose topologies are "sequentially determined". Seizing on this point of view Franklin ([12] and [13]) introduced and studied the still broader class of sequential spaces. (A space is sequential if each sequentially closed set, that is, each set containing all limits of sequences taken from that set, is closed.)

Arhangel'skii showed that the Fréchet spaces were precisely the pseudo-open images of metric spaces, and Franklin established that the sequential spaces were precisely the quotients of metric spaces.

But an even wider class of spaces exists whose topology is

in some sense determined by its convergent sequences . A space is an  $S_R$  space if each sequentially continuous function (one which preserves sequential limits) with Tychonoff range is continuous . (We may note in passing that if one replaces "Tychonoff" , in this definition, with "Hausdorff" , one has an alternate definition of sequential spaces . ) Mazur [18] and Noble [27] have proved an important product theorem for  $S_R$  spaces (see Theorem I.3.2) , but it is significant that, until now, no characterization theorem for  $S_R$  spaces similar to the Arhangel'skii - Franklin results on Fréchet and sequential spaces has been produced . Our Theorem II.4.3 fills this gap .

That theorem, as well as most of the results in this thesis, is cast in a very general setting . We adopted this point of view upon observing that, for example, sequential spaces are defined in the same way  $k$  spaces are defined, and what is more, the standard characterization theorems for these spaces (Franklin's in the case of sequential spaces, Cohen's [9] in the case of  $k$  spaces ) are proved in exactly the same way . The quasi- $k$  spaces of Nagata [25] and cluster spaces (we call them  $c$  spaces ) of Schedler [28] are likewise structurally no different from sequential or  $k$  spaces and are consequently encompassed by our general scheme .

Apart from the fundamental structure theorems, certain relevant combinatorial and product questions are also treated. Occasionally, certain concepts had to be dealt with individually when doing so had a

d finite advantage . Material which could have possibly obstructed the general flow of the presentation is reserved for the last chapter . This mainly consists of certain examples and some results on linearly ordered topological spaces, which have no direct bearing on the development of the general theory .

## CHAPTER I

### PRELIMINARIES

I.1 It is most appropriate to start with definitions of various concepts which we intend to look at in a general setting a little later. For the sake of neatness, we prefer to present them in groups.

Recall that a filter base  $F$  is a non-empty collection of non-empty subsets of a certain set  $Y$  such that for every two members  $F_1$  and  $F_2$  of  $F$  there is a member  $F_3$  of  $F$  such that  $F_3$  is contained in  $F_1 \cap F_2$ . In a topological space  $Y$ , a filter base  $F$  accumulates at a point  $y$  if  $y \in \overline{F}$  for every  $F \in F$ . A decreasing sequence of non-empty subsets - a special filter base - is called a decreasing sequence herein.

We are now ready for the following groups of definitions.

Group I : A space  $Y$  is strongly Fréchet iff whenever a decreasing sequence  $(F_n)$  accumulates at  $y$  in  $Y$ , there exists  $y_n \in F_n$  for each  $n$ , such that  $y_n \rightarrow y$ .

A space  $Y$  is strongly k' (strongly quasi-k', strongly c' respectively) iff whenever a decreasing sequence  $(A_n)$  accumulates at  $y$  in  $Y$ , there is a compact (countably compact, countable respectively) subset  $K$  of  $Y$  such that  $y \in (K \cap A_n)^-$  for every  $n$ .

Group II : A space  $Y$  is Fréchet iff whenever  $y \in \bar{A}$  in  $Y$ , there is a sequence in  $A$  which converges to  $y$ .

A space  $Y$  is  $k'$  (quasi- $k'$ ,  $c'$  respectively) iff whenever  $y \in \bar{A}$  in  $Y$ , there is a compact (countably compact, countable respectively) subset  $K$  of  $Y$  such that  $y \in (K \cap A)^{\bar{\phantom{y}}}$ .

Group III : A space  $Y$  is sequential iff a subset  $A$  of  $Y$  is closed whenever a sequence  $(y_n) \subset A$  and  $y_n \rightarrow y$ , then  $y \in A$ .

A space  $Y$  is  $k$  (quasi- $k$ ,  $c$  respectively) iff a subset  $A$  of  $Y$  is closed whenever  $A \cap K$  is closed in  $K$  for every compact (countably compact, countable respectively) subset  $K$  of  $Y$ .

Group IV : A space  $Y$  is  $S_R$  iff every sequentially continuous real-valued function on  $Y$  is continuous. (A function  $f : Y \rightarrow R$  is sequentially continuous iff whenever  $y_n \rightarrow y$ , then  $f(y_n) \rightarrow f(y)$ .)

A space  $Y$  is  $k_R$  (quasi- $k_R$ ,  $c_R$  respectively) iff every real-valued function on  $Y$  which is continuous on every compact (countably compact, countable respectively) subset of  $Y$  is continuous.

We are now in a position to introduce the general scheme.

The correspondence between the following set of definitions and Groups I through IV is indeed one-to-one and obvious. For example, Group I corresponds to Definition I.1.1, Group II to Definition I.1.2 and so on.

Let  $Y$  be a topological space and  $T$  a class of topological spaces which is closed under homeomorphisms. The statements "A is

a  $T$ -space", " $A$  is a  $T$ -subspace of  $Y$ " and " $A$  is a  $T$ -subset of  $Y$ " will be synonymous and will mean simply that  $A \in T$ .

I.1.1 Definition A space  $Y$  is strongly  $T'$  iff whenever a decreasing sequence  $(A_n)$  accumulates at  $y$  in  $Y$ , there exists a  $T$ -subset  $K$  of  $Y$  such that  $y \in (K \cap A_n)^-$  for every  $n$ .

I.1.2 Definition A space  $Y$  is  $T'$  iff whenever  $y \in \bar{A}$  for a subset  $A$  of  $Y$ , there is a  $T$ -subset  $K$  of  $Y$  such that  $y \in (K \cap A)^-$ .

I.1.3 Definition A space  $Y$  is  $T$  iff whenever  $F \cap K$  is closed in  $K$  for each  $T$ -subspace  $K$  of  $Y$ , then  $F$  is closed in  $Y$ . (A subset  $F$  of  $Y$  with the property that  $F \cap K$  is closed (open) in  $K$  for each  $T$ -subspace  $K$  of  $Y$  will be called  $T$ -closed ( $T$ -open)).

I.1.4 Definition A space  $Y$  is  $T_R$  iff every real-valued function on  $Y$  whose restriction to each  $T$ -subspace of  $Y$  is continuous is continuous on  $Y$ . (A function which is continuous on each  $T$ -subspace of a space  $Y$  will be said to be  $T$ -continuous on  $Y$ .) In view of the fact that every Tychonoff space can be embedded in a product of lines, the condition that functions be real-valued is superfluous here. That is,  $Y$  is a  $T_R$  space iff every  $T$ -continuous function on  $Y$  with arbitrary Tychonoff range is continuous. (If arbitrary Hausdorff ranges are allowed, the class of Hausdorff  $T_R$  spaces coincides with the class of Hausdorff  $T$  spaces. To see that a Hausdorff  $T_R$  space  $X$  is a  $T$  space, consider the identity mapping  $\text{id} : X \rightarrow TX$  (see I.3.1(b)).



I.2 For several different classes  $T$ , the topological spaces in some sense coherently determined by  $T$  have familiar names as listed in Groups I through IV in I.1. It seems most convenient to list them again in an 'implication' diagram as done below. While doing so, we have also taken an opportunity to introduce certain connected coherence topologies.

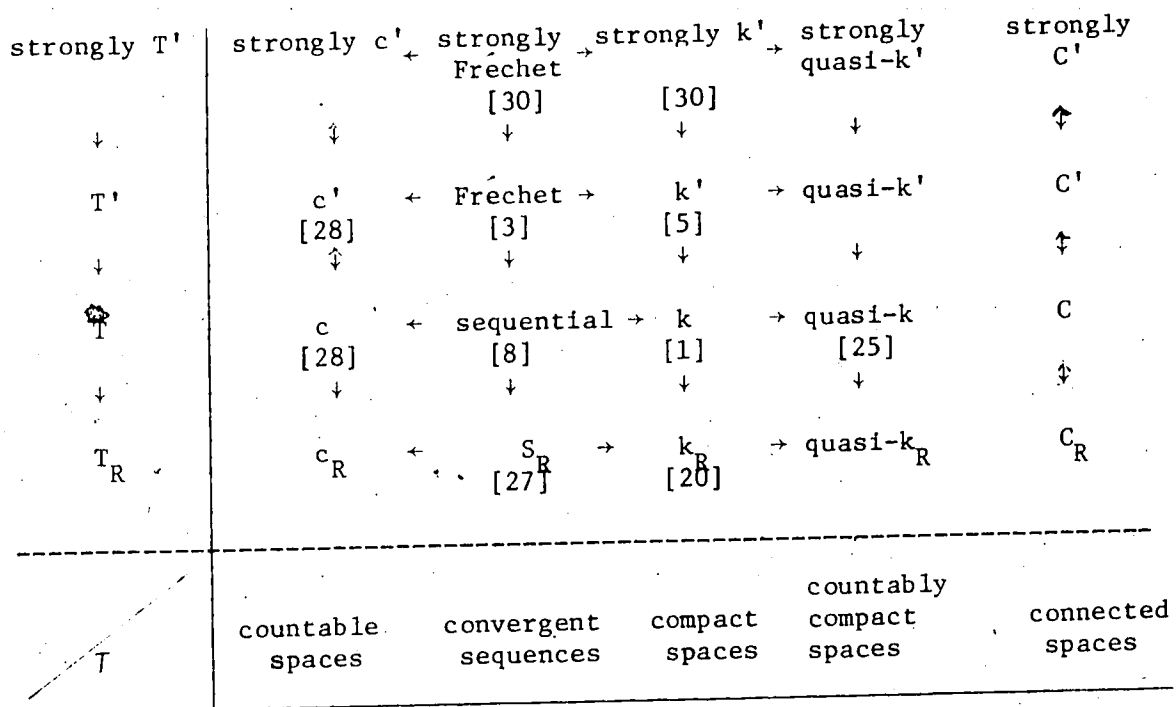


Fig. 1

Where a reference is supplied in the diagram it serves to lead to the earliest mention of the concept to the best of author's knowledge, as well as to indicate that the terminology is not ours. In this connection we might mention that Schedler [28] refers to (what we call)  $c$  spaces as cluster spaces. We should also further add that by 'convergent sequence' we mean the range of a sequence together with its limit.

We have some comments about the use of separation axioms in this thesis. First, note that in general, in the absence of separation axioms, if  $T$  is the class of convergent sequences the concepts of strongly  $T'$ ,  $T''$ ,  $T$  and  $T_R$  spaces do not coincide with those of strongly Fréchet, Fréchet, sequential and  $S_R$  spaces respectively (in fact, the topologies of the former are weaker than the respective topologies of the latter). However, in the class of Hausdorff spaces the distinction between the respective concepts in these two groups vanishes. Hence, whenever we speak of a strongly Fréchet, Fréchet, sequential or  $S_R$  space we will assume Hausdorff separation without explicit mention - there exists no such blanket assumption regarding separation axioms otherwise.

We must point out here the fact that first countable spaces are strongly Fréchet, locally compact (in the sense that every point of the space has a compact neighbourhood) spaces are strongly  $k'$  and that locally countably compact (in the sense that every point of the space has a countably compact neighbourhood) spaces are strongly quasi- $k'$ . Also, by considering characteristic functions of components, one easily sees that  $X$  is  $C_R$  iff each component is open and closed iff  $X$  is a disjoint union of connected spaces. The equivalence of strongly  $C'$  and  $C_R$  spaces follows. (This equivalence with its proof is pointed out by A. Császár.) These spaces coherently determined by connected spaces will be used mainly to illustrate certain points.

Lastly, in order to get quickly to the core of the matter, we have reserved comments such as reversibility of certain implication-arrows in the diagram for the last chapter. Most of the implications, however, follow quite easily. Also, in general there exists no relation

between connected coherence topologies and those other in the diagram.

I.3 There are certain results of basic importance which we mention here. They will be used without explicit reference.

Let  $(X, \mathcal{T})$  be a topological space and  $T$  a class of topological spaces. By  $\mathcal{T}_T$  we mean the topology on  $X$  consisting of all sets which are  $T$ -open in  $(X, \mathcal{T})$ . We often write " $TX$ " for the topological space  $(X, \mathcal{T}_T)$ , reserving " $X$ " for the space  $(X, \mathcal{T})$ .

#### I.3.1 Proposition

- a) The identity map from  $TX$  to  $X$  is continuous.
- b) If  $K$  is a  $T$ -subset of  $X$ , the relativization of  $\mathcal{T}_T$  to  $K$  is identical with that of  $\mathcal{T}$ . Consequently, if  $T$  is closed under continuous bijections, a set is a  $T$ -set in  $X$  iff it is a  $T$ -set in  $TX$ .
- c)  $TX$  is a  $T$  space.
- d) A function on  $TX$  is continuous iff it is  $T$ -continuous on  $X$ .
- e)  $\mathcal{T}_T$  is the largest topology on  $X$  which agrees with  $\mathcal{T}$  on  $T$ -subsets in  $X$ .

Proofs : a) This is easy to prove.

b) To verify that the relativization of  $\mathcal{T}_T$  to  $K$  is the relativization of  $\mathcal{T}$  to  $K$ , let  $G \subset K$  be  $T$ -open in  $K$ . Then there

is a  $T$ -open subset  $O$  of  $X$  such that  $G = O \cap K$ . But then by definition of  $T$ -open sets,  $O \cap K$  is open in  $K$  for every  $T$ -set  $K$  in  $X$ . The result follows.

The second statement is now easy to see. The condition that  $T$  is closed under continuous bijections is indeed essential here. For, if  $T$  is the class of discrete spaces, then  $TX$  is discrete for any  $X$ , whence each of the subspaces of  $TX$  is a  $T$ -subset without being a  $T$ -subset in  $X$ . That the said condition is essential as well as the foregoing example was pointed out by Á. Császár.

c)  $TX$  will be a  $T$  space iff every  $T$ -open subset of  $TX$  is open in  $TX$ . But a subset of  $X$  is  $T$ -open in  $TX$  iff it is  $T$ -open in  $X$ . (This needs (b).) Hence  $TX$  is a  $T$  space.

d) If a function on  $TX$  is continuous, it is also continuous with respect to  $\mathcal{T}_T$  on every  $T$ -subspace  $K$  of  $TX$ . But since  $TX$  and  $X$  induce the same topology on  $K$ , the function under consideration is  $T$ -continuous on  $X$ . Conversely, if  $f : X \rightarrow Y$  be  $T$ -continuous on  $X$ , suppose that  $O$  is an open subset of  $Y$ . To prove that  $f$  is continuous with respect to  $\mathcal{T}_T$  we must prove that  $f^{-1}(O)$  is  $T$ -open in  $X$ . But this is obvious since  $f^{-1}(O) \cap K$  is open in  $K$  for every  $T$ -subset  $K$  of  $X$ .

e) This is easy to prove.

Little work has been done on  $T_R$  spaces in general. The main result in this area is the fundamental theorem of Mazur [18],

improved by Noble [27] , about  $S_R$  spaces.

I.3.2 Theorem (Mazur, Noble)

- a) Every weakly inaccessible\* cardinal is non-sequential.\*\*
- b) If  $X_a$  is non-indiscrete Hausdorff and first countable for every  $a \in A$  , then  $\prod_{a \in A} X_a$  is an  $S_R$  space if the cardinal of  $A$  is non-sequential.

The following results will also be needed in the sequel.

I.3.3 Theorem (Schedler [28] )  $X$  is a  $c'$  space iff  $X$  is a  $c$  space.

I.3.4 Theorem (Arhangel'skii [6] ) A topological space  $X$  is Fréchet iff every subspace of  $X$  is a  $k$  space.

\* A cardinal  $\chi_\alpha$  is said to be weakly inaccessible iff  $\alpha > 0$  is a limit ordinal and  $\sum_{s \in S} m_s < \chi_\alpha$  whenever  $\bar{S} < \chi_\alpha$  and each  $m_s < \chi_\alpha$ .

\*\* A cardinal  $\bar{A}$  is said to be non-sequential iff there does not exist a non-zero real-valued sequentially continuous function  $\sigma : 2^A \rightarrow \mathbb{R}$  which maps finite sets to zero.

I.4 We will define here several classes of mappings which will be used in the Structure Theorems of Chapter II and in the  $T$ -covering characterizations of Chapter III. We will also introduce a new class of mappings wider than the class of quotient mappings which we call  $T$ -weak-quotient mappings. These will be mentioned when their need arises. (Quotient mappings are not defined due to their familiarity.)

I.4.1 Definition A mapping  $f$  from  $X$  onto  $Y$  is called countably bi-quotient mapping if it satisfies either of the following equivalent conditions :

a) Whenever  $y \in Y$  and  $(U_n)$  is an increasing countable cover of  $f^{-1}(y)$  by open subsets of  $X$ , then  $y \in \text{Int. } f(U_n)$  for some  $n$ .

b) Whenever  $(A_n)$  is a decreasing sequence accumulating at  $y$  in  $Y$ , then  $(f^{-1}(A_n))$  accumulates at some  $x \in f^{-1}(y)$ .

(These mappings were introduced by A. H. Stone in [31] and the equivalence of a) and b) is proved by P. Siwiec in [30].)

I.4.2 Definition A mapping  $f$  from  $X$  onto  $Y$  is called hereditarily quotient mapping if it satisfies any one of the following equivalent conditions :

a)  $f \upharpoonright f^{-1}(S) : f^{-1}(S) \rightarrow S$  is quotient mapping for every  $S \subset Y$ .

b) Whenever  $U$  is a neighbourhood of  $f^{-1}(y)$  in  $X$ ,  $f(U)$  is a neighbourhood of  $y$  in  $Y$ . (Neighbourhoods need not be open.)

c) Whenever  $y \in \bar{A}$  in  $Y$ , then  $x \in (f^{-1}(A))^-$  for some  $x \in f^{-1}(y)$ .

(The equivalence of a) and b) was proved by A. V. Arhangel'skii in [3] who also introduced these mappings. The equivalence of b) and c) is due to E. Michael [22]. The concept as at b) above is usually called pseudo-open.)

Obviously, every countably bi-quotient mapping is hereditarily quotient mapping and every hereditarily quotient mapping is quotient mapping.

I.5 We will say that a space  $X$  is locally strongly  $T'$  (locally  $T'$ , locally  $T$ , locally  $T_R$  respectively) iff each point of  $X$  has a neighbourhood whose closure is strongly  $T'$  ( $T'$ ,  $T$ ,  $T_R$  respectively) space. We will say that a space  $X$  is locally  $T$  iff each point of  $X$  has a neighbourhood which as a subspace of  $X$  belongs to  $T$ .

I.5.1 Theorem If  $X$  is locally strongly  $T'$  (locally  $T'$ , locally  $T$ , locally  $T_R$  respectively), then  $X$  is strongly  $T'$  ( $T'$ ,  $T$ ,  $T_R$  respectively) space. Also, if  $X$  is locally  $T$ ,  $X$  is strongly  $T'$ .

Proof : Suppose  $X$  is locally strongly  $T'$ . Let  $(A_n)$  be a decreasing sequence accumulating at a point  $x$  of  $X$ . Let  $U$  be a neighbourhood of  $x$  such that  $\bar{U}$  is a strongly  $T'$  space. But then  $x \in (\bar{U} \cap A_n)^-$  for every  $n$  whence one can find a  $T$ -subset  $K$  of  $\bar{U}$  such that  $x \in (\bar{U} \cap A_n \cap K)^-$  for every  $n$  which proves that  $X$  is strongly  $T'$ .

Now if  $X$  is locally  $T'$ , let  $F \subset X$  and let  $x \in \bar{F}$ . Let  $U$  be a closed neighbourhood of  $x$  which is a  $T'$  space. Then  $x \in Cl_U(F \cap U)$  and hence there is a subspace  $K$  of  $U$  which belongs to  $T$  such that  $x \in Cl_U(F \cap U \cap K)$ . Then certainly  $x \in Cl_X(F \cap K)$ . Thus  $X$  is a  $T'$  space.

For  $T$  spaces, the result has been observed by Mrowka [24].  
(See his Theorem 1.3.)

For  $T_R$  spaces the result follows easily from the fact that a "locally continuous" function is continuous.

The second part of the statement of the result is easy.

Corollary The disjoint union of strongly  $T'$  ( $T'$ ,  $T$ ,  $T_R$  respectively) spaces is a strongly  $T'$  ( $T'$ ,  $T$ ,  $T_R$  respectively) space.

### I.5.2 Theorem

a) If  $T$  is closed under countably bi-quotient mappings, then every countably bi-quotient image of a strongly  $T'$  space is



strongly  $T'$  .

b) If  $T$  is closed under hereditarily quotient mappings, then every hereditarily quotient image of a  $T'$  space is a  $T'$  space.

c) If  $T$  is closed under quotient mappings, then

i) every quotient of a  $T$  space is a  $T$  space

and ii) every quotient of a  $T_R$  space is a  $T_R$  space.

Proofs : a) Let  $f$  be a countably bi-quotient mapping from a strongly  $T'$  space  $X$  onto  $Y$  . Let  $y$  be a point in  $Y$  at which a decreasing sequence  $(A_n)$  in  $Y$  accumulates. Then there exists a point  $x \in f^{-1}(y)$  such that  $x \in (f^{-1}(A_n))^-$  for each  $n$  . But since  $X$  is strongly  $T'$  , there exists a  $T$ -subset  $K$  in  $X$  such that  $x \in (K \cap f^{-1}(A_n))^-$  for every  $n$  . Then  $f(K)$  is a  $T$ -subset in  $Y$  and  $y = f(x) \in (f(K) \cap A_n)^-$  for every  $n$  .

b) Let  $X$  be a  $T'$  space and suppose  $q$  is a hereditarily quotient mapping of  $X$  onto  $Y$  . Let  $F \subset Y$  ,  $y \in \bar{F}$  . Let  $x$  be a point of  $q^{-1}(y) \cap (q^{-1}(F))^-$  . Then for some subspace  $K$  of  $X$  which belongs to  $T$  ,  $x \in (q^{-1}(F) \cap K)^-$  . But then  $y \in (q(q^{-1}(F) \cap K))^-$  which is a subset of  $(F \cap q(K))^-$  . Since  $q(K) \in T$  , we are done.

c) (i) This has been proved by Mrówka ( [24] , proposition

1.8) .

c) (ii) Let  $q$  be a quotient mapping of a  $T_R$  space  $X$  onto  $Y$ . If  $f : Y \rightarrow R$  is  $T$ -continuous, then  $f \circ q$  is  $T$ -continuous and hence continuous. Then  $f$  must be continuous, so  $Y$  is a  $T_R$  space.

The following comments are appropriate at this place :

For Fréchet spaces, Franklin ([12], proposition 2.3) has proved the following converse to I.5.2(b) : if  $X, Y$  are Fréchet spaces and  $q : X \rightarrow Y$  is a quotient mapping, then  $q$  is hereditarily quotient mapping. This result cannot be proved for  $T'$  spaces in general, for every  $c$  space is a  $c'$  space (I.3.3) and according to I.5.2(c)(i) this would imply every quotient mapping of a countable space is necessarily a hereditarily quotient mapping. This is seen to be false in the example below.

**I.5.3 Example** Let  $X_1$  be the space of rationals in  $(0,1)$  and  $X_2$  be the space  $\{0, 1/2, 1/3, \dots\}$  with usual topology. Let  $X$  be the disjoint union of  $X_1$  and  $X_2$ . Let  $Y$  be the space obtained by identifying each  $1/n \in X_1$  with  $1/n \in X_2$ . (This is a modification of [12], Example 1.8). Let  $f : X \rightarrow Y$  be the quotient mapping. Then  $f$  is not hereditarily quotient mapping, for  $X_2$  is a neighbourhood of  $f^{-1}(f(0))$ , but  $f(X_2)$  is not a neighbourhood of  $f(0)$ .

## CHAPTER II

### STRUCTURE THEOREMS

There are available now several theorems of the form taken by Cohen's theorem on  $k$  spaces ([9]): ' $X$  is a  $k$  space iff  $X$  is a quotient of a locally compact space'. Thus

- a) Fréchet spaces are hereditarily quotient images of metric spaces ([12]).
- b) Sequential spaces are quotients of metric spaces ([12]).
- c)  $c$  spaces are quotients of disjoint unions of countable spaces ([28]).

Two of the following theorems exhibit the above as special cases of certain general structure theorems for  $T'$  spaces and  $T$  spaces. This is followed by the development of a structure theorem for  $T_R$  spaces which is, so far as we know, new for any choice of  $T$ . We have also a structure theorem for strongly  $T'$  spaces.

**II.1 Theorem** Let  $T$  be a class of spaces which is closed under countably bi-quotient mappings and includes  $K \cup \{x\}$  whenever  $K \in T$ , and  $x \in \bar{K}$  in the topological space  $K \cup \{x\}$ . Then the following

are equivalent for any topological space  $Y$  :

- a)  $Y$  is a strongly  $T'$  space .
- b)  $Y$  is the countably bi-quotient image of a disjoint union of spaces from  $T$  .
- c)  $Y$  is the countably bi-quotient image of a locally  $T$  space.

Proof : a)  $\rightarrow$  b) : Let  $X$  be the disjoint union of all subspaces of  $Y$  which belong to  $T$  and let  $f : X \rightarrow Y$  be the natural mapping. Now if  $(A_n)$  is a decreasing sequence accumulating at a point  $y$  in  $Y$ , then since  $Y$  is strongly  $T'$ , there is a  $T$ -subset  $K \in Y$  such that  $y \in (K \cap A_n)^-$  for every  $n$ . Considering the summand  $K \cup \{y\}$  of  $X$ , it follows that  $f$  is countably bi-quotient mapping.

b)  $\rightarrow$  c) : Obvious.

c)  $\rightarrow$  a) : Follows from I.5.1 and I.5.2(a) .

**II.2 Theorem** Let  $T$  be a class of spaces which is closed under hereditarily quotient mappings and includes  $K \cup \{x\}$  whenever  $K \in T$ , and  $x \in \bar{K}$  in the topological space  $K \cup \{x\}$ . Then the following are equivalent for any topological space  $Y$  :

- a)  $Y$  is a  $T'$  space.
- b)  $Y$  is the hereditarily quotient image of a disjoint

union of spaces from  $\mathcal{T}$ .

c)  $Y$  is the hereditarily quotient image of a locally  $\mathcal{T}$  space.

Proof : a)  $\rightarrow$  b) : Let  $X$  be the disjoint union of the subspaces of  $Y$  which belong to  $\mathcal{T}$  and let  $f : X \rightarrow Y$  be the natural mapping. To see that  $f$  is hereditarily quotient mapping, let  $y \in \bar{A}$  in  $Y$ . Then for some  $K \in \mathcal{T}$  in  $Y$ ,  $y \in (A \cap K)^-$ . Then  $y \in \bar{K}$  and hence  $K \cup \{y\} \in \mathcal{T}$ . But then  $K \cup \{y\}$  is a summand in the disjoint union  $X$ . It is easy to see that this summand contains a point common to  $f^{-1}(y)$  and  $(f^{-1}(A))^-$ .

b)  $\rightarrow$  c) : Obvious.

c)  $\rightarrow$  a) : A locally  $\mathcal{T}$  space is a  $\mathcal{T}'$  space and the hereditarily quotient image of  $\mathcal{T}'$  space is, by I.5.2, a  $\mathcal{T}'$  space.

II.3 Theorem Let  $\mathcal{T}$  be a class of spaces which is closed under quotient mappings. Then the following are equivalent for any topological space  $Y$  :

a)  $Y$  is a  $\mathcal{T}$  space.

b)  $Y$  is the quotient of a disjoint union of spaces from  $\mathcal{T}$ .

c)  $Y$  is the quotient of a locally  $\mathcal{T}$  space.

Proof : a)  $\rightarrow$  b) : Let  $X$  be the disjoint union of the subspaces

of  $Y$  which belong to  $T$ , and  $f : X \rightarrow Y$  the natural mapping. It is routine to verify that  $f$  is a quotient mapping.

b)  $\rightarrow$  c) : Obvious.

c)  $\rightarrow$  a) : A locally  $T$  space is a  $T$  space, and the quotient of a  $T$  space is a  $T$  space by I.5.2(c)(i).

II.4 In order to characterize the  $T_R$  space in the spirit of structure theorems just given, we need some concepts which were introduced by McArthur [19]. A linear order  $<$  on a set  $S$  is said to be dense provided whenever  $x < y$  in  $S$ , then  $x < z < y$  for some  $z \in S$ . We write  $A \ll B$  in a topological space  $X$  provided  $\bar{A} \subset B$ . A set  $F \subset X$  is said to be a strong  $G_\delta$ -set in  $X$  provided  $F$  is closed and  $F = \bigcap_{i=1}^{\infty} G_i$  where each  $G_i$  is open and  $\ll$  is a dense linear order on  $\{G_i\}$ .

We introduce now some terms based on the above. A subset  $F$  of a topological space  $X$  will be called a T-g-set if  $F = \bigcap_{i=1}^{\infty} G_i$  where each  $G_i$  is  $T$ -open and  $\ll_T$  is a dense linear order on  $\{G_i\}$  (where  $A \ll_T B$  iff  $Cl_{TX} A \subset B$ ). A  $T$ -closed  $T$ -g-set will be called a T-G-set. Clearly, a  $T$ -G-set in  $X$  is precisely a strong  $G_\delta$ -set in  $TX$ . Finally, we define a class of mappings wider than the class of quotient mappings. We call a continuous mapping  $f$  of  $X$  onto  $Y$  a T-weak-quotient mapping provided every  $T$ -g-set  $A$  is closed in  $Y$  whenever  $f^{-1}(A)$  is closed in  $X$ . Our characterization of  $T_R$  spaces will use  $T$ -weak-quotient mappings, but to prove it efficiently,

we first prove the easy lemma:

II.4.1 Lemma A space  $X$  is a  $T_R$  space if and only if every T-G-set in  $X$  is closed.

Proof : According to McArthur [19], a set  $F$  in  $X$  is a T-G-set if and only if  $F$  is a zero-set in  $TX$ . Thus it suffices to show  $X$  is a  $T_R$  space if and only if zero-sets in  $TX$  are closed in  $X$ .

To prove sufficiency, let  $f : X \rightarrow R$  be T-continuous, and  $Z$  a zero-set in  $R$ . Then  $f^{-1}(Z)$  is a zero-set in  $TX$  and hence closed in  $X$ . It follows easily that the inverse image of any closed set in  $R$  is closed in  $X$ , so  $f$  is continuous.

To prove necessity, let  $Z$  be a zero-set in  $TX$ . Then  $Z = f^{-1}(0)$  for some real-valued T-continuous function  $f$  on  $X$ . But  $f$  is then continuous, so  $Z$  is closed.

II.4.2 Lemma Let  $T$  be a class of spaces which is closed under T-weak quotient mappings.

Then a T-weak quotient of a  $T_R$  space is a  $T_R$  space.

Proof : Let  $f : W \rightarrow Z$  be a T-weak quotient mapping from a  $T_R$  space  $W$  onto  $Z$ . Let  $A$  be a T-G-set in  $Z$ . We must prove that  $A$  is closed. For this, it suffices to prove that  $f^{-1}(A)$  is closed in  $W$ . But, since  $W$  is a  $T_R$  space, one would just show

that  $f^{-1}(A)$  is a T-G-set in  $W$ . We, however, prove that if  $G$  be a T-open set in  $Z$ ,  $f^{-1}(G)$  is T-open in  $W$ , for other details would then follow easily. Hence, consider  $f^{-1}(G)$ . Let  $K$  be any subset of  $W$  which belongs to  $\mathcal{T}$ . Then  $f(K) \in \mathcal{T}$  whence  $f(K) \cap G$  is open in  $f(K)$ . It follows that  $f^{-1}(G) \cap K$  is open in  $K$  which implies  $f^{-1}(G)$  is T-open in  $W$ . This proves the lemma.

**II.4.3 Theorem** Let  $\mathcal{T}$  be a class of spaces which is closed under T-weak quotient mappings. Then the following are equivalent for any topological space  $Y$ :

- a)  $Y$  is a  $T_R$  space.
- b)  $Y$  is the T-weak quotient of a disjoint union of spaces belonging to  $\mathcal{T}$ .
- c)  $Y$  is the T-weak quotient of a locally  $\mathcal{T}$  space.

Proof : a)  $\rightarrow$  b) : Let  $X$  be the disjoint union of all subspaces of  $Y$  which belong to  $\mathcal{T}$  and let  $f$  be the natural mapping of  $X$  onto  $Y$ . Then  $f$  is a T-weak quotient mapping.

To see this, let  $A$  be a T-g-set in  $Y$  such that  $f^{-1}(A)$  is closed in  $X$ . However, since  $f : X \rightarrow Y$  is a quotient mapping,  $A$  is T-closed. Thus  $A$  is a T-G-set and hence closed by II.4.1.

b)  $\rightarrow$  c) : Obvious.



c)  $\rightarrow$  a) : This follows from the fact that a locally  $T$  space is  $T_R$  and that a  $T$ -weak quotient of a  $T_R$  space is a  $T_R$  space by II.4.2.

As special cases of the foregoing theorems one gets structure theorems for all the various coherence topologies mentioned in the implication diagram on page 7.

## CHAPTER III

### $T$ -COVERING MAPPINGS AND COHERENCE TOPOLOGIES

Our main interest here centres around characterizing some of the coherence topologies in terms of  $T$ -covering mappings which generalize the existing 'compact covering mappings' and 'sequence covering mappings'.

III.1 In this section we discuss various covering mappings. First we define  $T$ -covering mappings.

III.1.1 Definition A continuous function  $f$  from  $X$  onto  $Y$  is called a  $T$ -covering mapping iff to every  $T$ -subset  $A$  of  $Y$  there corresponds a  $T$ -subset  $B$  of  $X$  such that  $f(B) = A$ .

When  $T$  consists of compact spaces, a  $T$ -covering mapping is compact covering mapping of Whyburn [35] and Arhangel'skii [3]. Likewise, one gets countably compact-covering mappings when  $T$  is the class of countably compact spaces. When  $T$  is the class of convergent sequences,  $T$ -covering mappings will be called  $S$ -covering mappings.

One might recall at this stage how Siwiec [30] has defined sequence covering mappings. A continuous function from  $X$  onto  $Y$  is called a sequence covering iff whenever  $y_n \rightarrow y$  in  $Y$ , for some  $x_n \in f^{-1}(y_n)$  and  $x \in f^{-1}(y)$ ,  $x_n \rightarrow x$ . The following example shows that  $S$ -covering mappings are not quite the same as sequence covering mappings.

111.1.2 Example Let  $X$  be an uncountable set. Let  $C$  be the co-finite topology on  $X$  while  $T$  be the topology on  $X$  having for its subbasis the family  $\{a\} \cup C$  where  $a$  is a fixed point of  $X$ . Then the identity mapping  $\text{id} : (X, T) \rightarrow (X, C)$  is certainly continuous.

In fact,  $\text{id}$  is an  $S$ -covering mapping. For, if  $S_C$  be any sequence  $(x_n)$  together with a limit, say  $x$  in  $(X, C)$ , consider two cases: (i)  $S_C$  is an infinite set  
(ii)  $S_C$  is a finite set.

Case (i) : Here there are infinite number of points of  $S_C$  which are different from  $a$  (if at all  $a \in S_C$ ). Fix some point of  $S_C$  other than  $a$  and call it  $y$ . Let  $y_1 = a$  (if at all  $a \in S_C$ ) and let  $y_2, \dots, y_n, \dots$  be an enumeration of  $S_C - \{a, y\}$ . Then  $y_n \rightarrow y$  in  $S_T$  where  $S_T$  has the same set as  $S_C$  with the topology inherited from  $(X, T)$ . Then  $S_T$  is a sequence with a limit in  $(X, T)$  such that  $\text{id}(S_T) = S_C$ .

Case (ii) : Here  $S_C$  may be regarded as an eventually stationary sequence with a limit in both the topologies simultaneously.

However,  $\text{id}$  is not a sequence covering mapping. (For, if  $(x_n)$  be a sequence of distinct points such that  $x_n \xrightarrow{C} a$ ,  $x_n \not\xrightarrow{T} a$ . (Note that the spaces in this example are non-Hausdorff.)

The following proposition, however, shows that in the class of Hausdorff spaces the distinction between  $S$ -covering mappings and sequence covering mappings vanishes.

III.1.3 Proposition If  $Y$  is a Hausdorff space, then  $f : X \rightarrow Y$  is a sequence covering mapping if and only if  $f$  is an  $S$ -covering mapping.

Proof : Only if : Easy.

If : Let  $f : X \rightarrow Y$  be an  $S$ -covering mapping. Let  $y_n \rightarrow y$  in  $Y$  and let further  $S_Y = (y_n) \cup (y)$ .

We consider case (i) :  $S_Y$  is a finite set  
and case (ii) :  $S_Y$  is an infinite set.

Case (i) : Here it is easy to find a sequence  $(z_n)$  in  $X$  such that  $z_n \rightarrow z$  in  $X$  where  $z_n \in f^{-1}(y_n)$  and  $z \in f^{-1}(y)$ .

Case (ii) : Here we first note that since  $f$  is an  $S$ -covering mapping there is  $S_X = (x_n) \cup (x)$  such that  $x_n \rightarrow x$  and  $f(S_X) = S_Y$ .

Then  $f(x) = y$ . For, if not, by continuity of  $f$ ,  $f(x_n) \rightarrow f(x)$  whence if  $f(x) \neq y$ , the sequence  $(f(x_n))$  is eventually equal to  $f(x)$  as  $S_Y$  has discrete topology on  $S_Y - \{y\}$ . However, this means that  $S_Y$  is a finite set giving a contradiction.

Now choose a point from  $f^{-1}(y_n) \subset S_X$  and call it  $x'_n$ . It suffices to show that  $x'_n \rightarrow x$ . Let hence  $0$  be an open set containing  $x$ . Then, as  $x_n \rightarrow x$ ,  $x_n \in 0$  whenever  $n > m$  for some  $m$ . But then if  $f\{x_1, \dots, x_m\} = \{y_{k_1}, \dots, y_{k_m}\}$ , one has  $x'_n \in 0$  whenever  $n > \max\{k_1, \dots, k_m\}$  whence  $x'_n \rightarrow x$ .

We now introduce some more concepts.

III.1.4 Definitions A continuous function  $f$  from  $X$  onto  $Y$  is said to be a cluster covering mapping iff whenever  $y_n \rightsquigarrow y$  in  $Y$ , for some  $x_n \in f^{-1}(y_n)$  and  $x \in f^{-1}(y)$ ,  $x_n \rightsquigarrow x$  in  $X$  where ' $\rightsquigarrow$ ' is to be read 'clusters to'. A C-covering mapping will be a  $T$ -covering mapping where  $T$  is the class of all clustering sequences. (By a clustering sequence we will mean the range of a sequence together with one of its cluster points.) A countable covering mapping is obtained by interpreting  $T$  as the class of all countable spaces.

It is easy to see that every cluster covering mapping is a C-covering mapping and that every C-covering mapping is a countable covering mapping. In fact, every continuous surjection is a countable covering mapping and also a C-covering mapping. This can be easily seen. Indeed, we have mentioned these mappings to attain a certain completeness in presentation. To see that a C-covering mapping need not be a cluster covering mapping consider the identity mapping  $id$  from  $(R, C)$  onto  $(R, U)$  where  $U$  is the usual topology of the real line  $R$  and  $C$  is the usual topology on the real line  $R$  with zero discretized.

Also, just note in passing that one gets the same  $T$  and  $T'$  topologies, that is,  $c$  ( $=c'$ ) topology, irrespective of whether one uses the class of countable spaces or the class of clustering sequences for  $T$ .

III.2 We are now in a position to turn to the characterization of certain coherence topologies in terms of  $T$ -covering mappings. We will assume that

(i)  $T$  is closed hereditary

(ii)  $T$  is closed under continuous mappings

and (iii) all  $T$ -subsets of the range space  $Y$  under consideration are closed.

The discussion of cluster covering mappings and cluster coherence topologies is reserved for the end of this chapter. This is because even in very good spaces cluster sets or countable sets are not always closed and, as we will discover later, a  $c$  space in which countable sets are closed reduces to a discrete space. Lastly, we must mention that we could not obtain  $T$ -covering mapping characterization of strongly  $T'$  spaces.

III.2.1 Theorem A topological space  $Y$  is a  $T'$  space if and only if every  $T$ -covering mapping onto  $Y$  is hereditarily quotient mapping.

Proof : If : Let  $Y$  be a topological space such that every  $T$ -covering mapping onto  $Y$  is hereditarily quotient mapping. Let  $X$  be the disjoint union of all subspaces of  $Y$  which belong to  $T$  and let  $f : X \rightarrow Y$  be the natural mapping. Then  $X$  is certainly a  $T'$  space. Further,  $f$  is a  $T$ -covering mapping which then, by hypothesis, is hereditarily quotient mapping. But then, since by I.5.2 (b) a hereditarily quotient image of a  $T'$  space is a  $T'$  space, it follows that  $Y$  is a  $T'$  space.

Only if : Let  $f : X \rightarrow Y$  be a  $T$ -covering mapping onto a  $T'$  space  $Y$  but yet not hereditarily quotient. Then there is a point  $y \in Y$  and an open neighbourhood  $U$  of  $f^{-1}(y)$  such that  $y$  does not belong to  $\text{Int. } f(U)$ . Then  $y \in Y - \text{Int. } f(U) = (Y - f(U))^-$ . Hence there is a  $T$ -subspace  $K$  of  $Y$  such that  $y \in \{(Y - f(U) \cap K)^- = (K - f(U))^-$ . Also, there is a  $T$ -set  $L$  in  $X$  such that  $f(L) = (K - f(U))^-$ . Since  $K - f(U) \subset f(L) - f(U) \subset f(L - U)$  and  $f(L - U)$  is closed,  $f(L) = (K - f(U))^- \subset (f(L - U))^- = f(L - U)$ . Hence  $y$  belongs to  $f(L - U)$  and  $f^{-1}(y) \cap (L - U) \neq \emptyset$ . This is a contradiction since  $f^{-1}(y) \subset U$ .

Corollaries 1) (Siwiec and Mancuso [29]) A Hausdorff space  $Y$  is a  $k'$  space iff every compact covering mapping onto  $Y$  is a hereditarily quotient mapping.

2) (Siwiec [30]) A Hausdorff space  $Y$  is Fréchet iff every sequence covering mapping onto  $Y$  is a hereditarily quotient mapping.

3) If countably compact subsets of  $Y$  are closed, then  $Y$  is quasi- $k'$  iff every countably compact covering mapping onto  $Y$  is hereditarily quotient mapping.

III.2.2 Theorem A topological space  $Y$  is a  $T$  space if and only if every  $T$ -covering mapping onto  $Y$  is a quotient mapping.

Proof : If : The proof of this part is very much similar to that of the 'if' part of III.2.1 and is hence omitted.

Only if : Let  $f : X \rightarrow Y$  be a  $T$ -covering mapping onto a  $T$  space  $Y$ . Let  $B$  be a subset of  $Y$  with  $f^{-1}(B)$  closed in  $X$ . To prove that  $B$  is closed in  $Y$ , we must show that  $B \cap C$  is closed in  $C$  for every  $T$ -set  $C$  in  $Y$ . But  $C = f(K)$  for some  $T$ -set  $K \subset X$ , so that  $f^{-1}(B) \cap K$  is a  $T$ -set since  $T$  is closed hereditary and hence so is its image  $B \cap C$ . It follows that  $B \cap C$  is closed in  $C$ .

Corollaries 1) (Siwiec and Mancuso [29]). A Hausdorff space  $Y$  is a  $k$  space iff every compact covering mapping onto  $Y$  is a quotient mapping.

2) (Siwiec [30]). A Hausdorff space  $Y$  is sequential iff every sequence covering mapping onto  $Y$  is a quotient mapping.

3) If countably compact subsets of a space  $Y$  are closed, then  $Y$  is quasi- $k$  iff every countably compact covering mapping onto  $Y$  is a quotient mapping.

4) If countable subsets of a space  $Y$  are closed, then  $Y$  is a  $c$  space iff every continuous mapping onto  $Y$  is a quotient mapping. In other words,  $Y$  is a discrete topological space iff  $Y$  is a  $c$  space in which every countable set is closed. (Of course, this fact can be seen directly.)

III.2.3 Theorem A topological space  $Y$  is a  $T_R$  space if and only if every  $T$ -covering mapping onto  $Y$  is a  $T$ -weak quotient mapping.



Proof : If : The proof of this part is very much similar to that of the 'if' part of III.2.1 and hence omitted.

Only if : Let  $Y$  be a  $T_R$  space and let  $f : X \rightarrow Y$  be a  $T$ -covering mapping onto  $Y$ . We must show that  $f$  is a  $T$ -weak quotient mapping.

To see this, let  $A$  be a  $T$ -g-set in  $Y$  and let  $f^{-1}(A)$  be closed in  $X$ . We must show that  $A$  is closed in  $Y$ . But since  $Y$  is a  $T_R$  space it suffices to prove that  $A$  is a  $T$ -G-set. That is, in fact it suffices to prove that  $A$  is  $T$ -closed.

Now consider  $f$  as a mapping from  $TX$  onto  $TY$ . Then since  $Y$  and  $TY$  as also  $X$  and  $TX$  have the same  $T$ -subsets, it follows that  $f$  is a  $T$ -covering mapping from  $TX$  onto  $TY$  if we can prove that  $f : TX \rightarrow TY$  is continuous. Hence, let  $G$  be  $T$ -open in  $Y$ . Consider  $f^{-1}(G)$ . Let  $K$  be any  $T$ -set in  $X$ . Then  $f(K) \in T$  whence  $G \cap f(K)$  is open in  $f(K)$ . But then  $f^{-1}(G) \cap K$  is open in  $K$ . Thus  $f^{-1}(G)$  is  $T$ -open in  $X$ . Hence  $f : TX \rightarrow TY$  is a  $T$ -covering mapping. But then by III.2.2  $f : TX \rightarrow TY$  is a quotient mapping. This means that  $A$  is  $T$ -closed as  $f^{-1}(A)$  is known to be closed.

Corollaries 1) A Hausdorff space  $Y$  is a  $k_R$  space iff every compact covering mapping onto  $Y$  is a compact-weak quotient mapping.

2) A Hausdorff space  $Y$  is an  $S_R$  space iff every sequence covering mapping onto  $Y$  is a sequence-weak quotient mapping.

3) If countably compact subsets of a space  $Y$  are closed, then  $Y$  is a quasi- $k_R$  space iff every countably compact covering mapping onto  $Y$  is a countably compact-weak quotient mapping.

4) If countable subsets of a space  $Y$  are closed, then  $Y$  is a  $c_R$  space iff every continuous mapping onto  $Y$  is a countable-weak quotient mapping.

III.3 All discussion in previous section assumed, among other things, that all  $T$ -subsets in the range space  $Y$  were closed. As we have already seen, such a restriction makes the underlying  $c$  space discrete. In fact, one can prove the following without any conditions.

III.3.1 Theorem The following are equivalent in any topological space  $Y$  :

- a)  $Y$  is a  $c$  ( $=c'$ ) space.
- b) Every cluster covering mapping onto  $Y$  is a hereditarily quotient mapping.
- c) Every cluster covering mapping onto  $Y$  is a quotient mapping.

Proof : a)  $\rightarrow$  b) : Let  $Y$  be a  $c$  space and let  $f : X \rightarrow Y$  be a cluster covering mapping onto  $Y$ . Then if  $f$  is not hereditarily quotient mapping, there exists  $y \in Y$  and open neighbourhood  $U$  of  $f^{-1}(y)$  such that  $y \notin \text{Int. } f(U)$ . Then  $y \in (Y - f(U))^-$ . Then there

is a sequence  $(y_n)$  in  $Y - f(U)$  clustering to  $y$ . (Recall that  $c = c'$ .) But then there is a sequence  $(x_n)$  and a point  $x$  of  $X$  such that  $x_n \in f^{-1}(y_n)$  for every  $n$ ,  $x \in f^{-1}(y)$ , and  $x_n \rightsquigarrow x$ . Now since  $x \in f^{-1}(y)$  and  $f^{-1}(y) \subset U$ ,  $x_n \rightsquigarrow x$  implies that  $(x_n)$  is frequently in  $U$ . However,  $(y_n) \subset Y - f(U)$  implies that for every  $n$ ,  $x_n \notin U$ . We thus have a contradiction.

b)  $\rightarrow$  c) : Obvious.

c)  $\rightarrow$  a) : Let  $X$  be the disjoint union of all clustering sequences in  $Y$  and let  $f : X \rightarrow Y$  be the natural mapping. Under the present situation  $f$  turns out to be a quotient mapping from a  $c$  space whence  $Y$  becomes a  $c$  space.

III.3.2 Theorem A topological space  $Y$  is a  $c_R$  space if and only if every cluster covering mapping onto  $Y$  is a countable-weak quotient mapping.

Proof : The proof of this theorem is very much similar to that of III.2.3 and is hence omitted. Of course, while proving it, one will have to use III.3.1 rather than III.2.2.

## CHAPTER IV

### SUBSPACES

IV.1 Franklin [12] has observed that a Fréchet space is hereditarily Fréchet, a sequential space is closed hereditarily sequential, and a hereditarily sequential space is Fréchet. These results can be extended rather nicely to include the  $S_R$  spaces and generalized to  $T'$ ,  $T$ ,  $T_R$  spaces. This is accomplished in the following two theorems. (Mrówka [24] has observed that (a) and (b) in Theorem IV.1.1 are equivalent.)

IV.1.1 Theorem If  $T$  is closed hereditary, then the following are equivalent for any  $T_1$  space  $X$ :

- a)  $X$  is a  $T$  space.
- b) Every closed subspace of  $X$  is a  $T$  space.
- c) Every  $T$ -closed subspace of  $X$  is a  $T_R$  space.

Proof : Clearly  $a) \rightarrow b) \rightarrow c)$ . To show that  $c) \rightarrow a)$ , let  $F \subset X$  be  $T$ -closed and suppose  $x \in \bar{F} - F$ . Then  $F \cup \{x\}$  is  $T$ -closed and hence a  $T_R$  space. If there is no  $T$ -subset  $K$  of  $F \cup \{x\}$  containing  $x$  such that  $x \in (F \cap K)^-$ , then the characteristic function of  $\{x\}$  is  $T$ -continuous but not continuous on  $F \cup \{x\}$ . This is impossible; hence  $F$  is closed.

Note that the equivalence of a) and b) does not need  $T_1$ .

IV.1.2 Theorem If  $T$  is hereditary, the following are equivalent for any  $T_1$  space  $X$  :

- a)  $X$  is a  $T'$  space .
- b) Every subspace of  $X$  is a  $T'$  space .
- c) Every subspace of  $X$  is a  $T$  space .
- d) Every subspace of  $X$  is a  $T_R$  space .

Proof : Clearly  $a) \rightarrow b) \rightarrow c) \rightarrow d)$  . To show that  $d) \rightarrow a)$  , let  $F \subset X$  and let  $x \in \overline{F} - F$  . As in the proof of Theorem IV.1.1 , this would entail the existence of a  $T$ -subset  $K$  of  $F \cup \{x\}$  such that  $x \in (K \cap F)^{\overline{}}$  , which proves that  $X$  is a  $T'$  space .

Note that the equivalence of a) , b) and c) does not need  $T_1$  .

The separation axiom  $T_1$  is really needed in both IV.1.1 and IV.1.2 . To see this, let  $T$  consist of finite spaces so that  $T$  is hereditary. Let  $X$  be a countably infinite set consisting of distinct points  $a, b_1, b_2, \dots, b_n, \dots$  . Topologize  $X$  by calling a subset of  $X$  open iff it is empty or has the form  $\{a, b_k, b_{k+1}, \dots\}$  . Then every subspace of  $X$  is  $T_R$  . But  $X$  is not a  $T$  space, since  $\{b_1, b_2, b_3, \dots\}$  is a  $T$ -closed set in  $X$  which is not closed .

(Note that only constant real-valued functions on  $X$  are continuous .)

The conditions on  $T$  in IV.1.1 and IV.1.2 cannot be significantly weakened. For example, every compact Hausdorff space is a  $k'$  space, but not all subspaces of compact Hausdorff spaces (i.e., not all Tychonoff spaces e.g. Aren's space discussed in VI .2.4) are  $k'$  spaces, or even  $k_R$  spaces, so that IV.1.2 cannot be much improved. Likewise, to see that IV.1.1 cannot be improved, let  $T$  be all connected spaces. Let  $Y$  be any totally disconnected non-discrete  $T_2$  space, and let  $X$  be the cone  $\Delta Y$  over  $Y$ . Then  $X$  is connected and hence a  $T$  space, but  $Y$  is a closed subspace of  $X$  and is not a  $T$  space. Indeed, with this definition of  $T$ , a totally disconnected space will be a  $T$  space iff it is discrete.

The following results now become corollaries to theorems IV.1.1 and IV.1.2:

Corollary a)  $X$  is sequential iff every sequentially closed subspace of  $X$  is an  $S_R$  space.

b)  $X$  is a  $k$  space iff every  $k$ -closed subspace of  $X$  is a  $k_R$  space.

c)  $X$  is a  $c$  space iff every  $c$ -closed subspace of  $X$  is a  $c_R$  space.

d)  $X$  is a quasi- $k$  space iff every quasi- $k$ -closed subspace of  $X$  is a quasi- $k_R$  space.

Corollary a)  $X$  is a Fréchet space iff every subspace of  $X$  is sequential iff every subspace of  $X$  is an  $S_R$  space.

b)  $X$  is a Fréchet space iff every subspace of  $X$  is a  $k$  space iff every subspace of  $X$  is a  $k_R$  space.

c)  $X$  is a  $c$  space iff every subspace of  $X$  is a  $c$  space iff every subspace of  $X$  is a  $c_R$  space.

IV.2 A closed or open subspace of a  $T_R$  space need not be  $T_R$ . In fact, one can give examples to show that, in none of  $S_R$ ,  $c_R$ ,  $k_R$ , or quasi- $k_R$  spaces are closed subspaces necessarily of the same kind.

IV.2.1 Example This example shows that a closed subspace of an  $S_R$  space need not be even  $c_R$  (thus a closed subspace of an  $S_R$  space need not be  $S_R$  and a closed subspace of a  $c_R$  space need not be  $c_R$ ).

Let  $\Omega$  be the ordinals  $\leq \omega_1$ , the first uncountable ordinal. Then  $\Omega$  is a compact non- $c_R$  space (the characteristic function of  $\{\omega_1\}$  is continuous on every countable subspace of  $\Omega$  but not continuous on  $\Omega$ ). But  $\Omega$  is the Stone-Čech compactification of  $\Omega_0 = \Omega - \{\omega_1\}$  and, as such can be embedded as a closed subspace of  $I^{C^*(\Omega_0)}$ , where  $C^*(\Omega_0)$  denotes the set of all real-valued bounded continuous functions on  $\Omega_0$ . Since the cardinal of  $C^*(\Omega_0)$  is "small"  $I^{C^*(\Omega_0)}$  is an  $S_R$  space by the Mazur-Noble Theorem (I.3.2).

IV.2.2 Example This example shows that a closed subspace of a  $k_R$  space need not be even quasi- $k_R$  (thus a closed subspace of a  $k_R$  space need not be  $k_R$  and a closed subspace of a quasi- $k_R$  space need not be quasi- $k_R$ ).

Noble [26] has proved that a Tychonoff space can be always embedded as a closed subspace of a pseudo-compact  $k_R$  space. Since there exist countable Tychonoff spaces which are not  $k_R$ , e.g. Aren's space discussed in VI .2.4, the claim made in the previous paragraph stands. (To see that Aren's space  $X$  is non-quasi- $k_R$ , note first that a subset of  $X$  is compact iff countably compact iff finite. Further, the characteristic function of the set  $\{(0,0)\}$  is continuous on every countably compact set but not continuous.)

These examples raise the question whether 'T-closed' in Theorem IV.1.1 can be replaced by 'closed'. This question remains unanswered.

IV.2.3 Example Let  $T$  denote the class of all connected spaces.

Consider the example of Knaster and Kuratowski [17]. We describe the construction briefly: Consider the Cantor set  $C$  obtained by deleting a countable collection of open intervals ('middle thirds') from the unit interval  $I$ . Let  $Q$  be the set of endpoints of these intervals (so  $Q \subset C$ ) and set  $P = C - Q$ . Let  $p \in R^2$  be the point  $(1/2, 1/2)$  and for each  $x \in C$ , denote by  $L_x$  the straight line segment joining  $p$  and  $x$ . Define



$L_x^* = \{(x_1, x_2) \in L_x : x_2 \text{ is rational}\}$ , if  $x \in Q$ ,  
 and  $L_x^* = \{(x_1, x_2) \in L_x : x_2 \text{ is irrational}\}$ , if  $x \in P$ .  
 Then the subspace  $K = \bigcup_{x \in C} L_x^*$  of  $R^2$  is connected, while  $K - \{p\}$   
 is totally disconnected.

It is obvious that with  $T$  as above,  $K$  is strongly  $T'$ .  
 However,  $K - \{p\}$  is non-discrete totally disconnected and hence  
 cannot be  $T_R$ . (In fact, a totally disconnected\* space  $X$  is a  $T_R$   
 space where  $T$  stands for the class of all connected spaces iff  $X$  is  
 discrete. To see this, consider the identity mapping of  $X$  onto  
 itself where the domain  $X$  has the given totally disconnected topology  
 while the range  $X$  has the discrete topology and recall that a topo-  
 logical space is a  $T_R$  space iff every  $T$ -continuous function defined  
 on it with values in any arbitrary Tychonoff space is continuous.)

Thus, in general an open subspace of a strongly  $T'$  space  
 need not be even  $T_R$ . However, we do not know whether an open sub-  
 space of an  $S_R$ ,  $C_R$ ,  $k_R$ , or quasi- $k_R$  space has to be the same kind.

IV.2.4 There are non-sequential  $S_R$  spaces whose every open  
 subspace is  $S_R$ : in fact,  $2^R$  is an  $S_R$  space by Mazur-Noble theorem  
 where  $2$  denotes the two-element discrete space, and since every basic  
 open set in  $2^R$  is homeomorphic to  $2^R$ , each such basic open set is an  
 $S_R$  space. Hence every open set in  $2^R$  is locally an  $S_R$  space and  
 hence (see I.5.1) is an  $S_R$  space. Hence the question here is: For  
 what spaces is every  $T$ -open subspace a  $T_R$  space?

As already seen, a  $T_R$  space can have a closed or an open non- $T_R$  subspace. However, the following theorem holds.

IV.2.5 Theorem If  $T$  is open hereditary and closed hereditary, every subspace  $Y$  of a  $T_R$  space  $X$  which is both  $T$ -open and  $T$ -closed is  $T_R$ .

Proof : If  $F \subset Y$  is a  $T$ - $G$ -subset of  $Y$ , it can be easily seen that  $F$  is a  $T$ - $G$ -set in  $X$ . The result then follows by II.4.1.

The following example given by Á. Császár shows that IV.2.5 cannot hold in general without suitable conditions on  $T$  :

Let  $T$  denote the class of uncountable spaces and finite spaces. If  $X = (Q \cap (0,1)) \cup (2,3)$  where  $Q$  is the set of rationals, with the usual topology, then  $X$  is  $T_R$  but  $Q \cap (0,1)$  (which is both open and closed subspace of  $X$ ) is not.

IV.3 Weddinton [34] has proved several facts about subspaces<sup>o</sup> of  $k$  and  $k'$  spaces. We will show in this section that  $T$  versions of his proofs yield similar results for subspaces of  $T$  and  $T'$  spaces. However, to do so we need a condition on the class  $T$  of spaces under consideration and also a condition on the spaces we will consider. Hence throughout this section, we will work in a setting in which

i)  $T$  is closed hereditary

and ii) every space considered below has all its  $T$ -subspaces

closed.

IV.3.1 Definition Let  $X$  be a topological space and  $A \subset X$ . Then  $A$  will be said to have the property T if a subset of  $A$  is closed in  $A$  whenever it intersects every  $T$ -subset  $K$  of  $X$  in a set closed in  $A \cap K$ .

IV.3.2 Proposition A subspace  $A$  of  $X$  is a  $T$  space iff

- i)  $A$  has property  $T$ ,
- and ii)  $A \cap K$  is a  $T$  space for each  $T$ -subset  $K$  of  $X$ .

Proof : Only if : i) holds obviously. Further,  $A$  meets each  $T$ -subset of  $X$  in a closed subset of  $A$  and hence in a closed subspace of  $A$ . But since  $A$  is a  $T$  space, the intersection of  $A$  with such a  $T$ -subset is a  $T$  space. (ii) follows.

If : Let  $U$  be a subset of  $A$  which intersects every  $T$ -subset of  $A$  in a closed set and let  $C$  be a  $T$ -subset of  $X$ . We have then  $A \cap C$  to be a  $T$  space. But then  $U \cap C$  is closed in  $A \cap C$ . (For, if  $D$  be a  $T$ -subset of  $A \cap C$ ,  $D$  is also a  $T$ -subset of  $A$ . But then  $U \cap D$  is closed in  $D$ . Hence  $U \cap C$  is closed in  $A \cap C$ .) Since  $A$  has property  $T$ ,  $U$  is closed in  $A$  and  $A$  is a  $T$  space.

Corollary 1: Every open subspace of a  $T$  space in which  $T$ -subsets are regular is a  $T$  space.

Proof : Let  $V$  be an open subspace of a  $T$  space  $X$  and  $U$  be a subset of  $V$  such that  $U \cap K$  is open in  $V \cap K$  for every  $T$ -subset  $K$  of  $X$ . Then since  $V$  is open,  $U \cap K$  is open in  $K$ . But then since  $X$  is a  $T$  space,  $U$  is open in  $X$  and hence open in  $V$ , proving that  $V$  has property  $T$ . Also, by regularity of  $T$ -subspaces of  $X$  and closed-heredity of  $T$ , one sees that  $V \cap K$  is locally  $T$  and hence certainly a  $T$  space. The corollary now becomes obvious.

Corollary 2 : If  $X$  is a topological space in which every  $T$ -subspace is regular, and if further every point of  $X$  is interior to a  $T$  subspace of  $X$ , then  $X$  is a  $T$  space.

Proof : Let  $A$  be a  $T$ -closed subset of  $X$ . Let  $x \in \bar{A}$ . Then since every point is interior to a  $T$  subspace of  $X$ , it follows by Corollary 1 that there is an open  $T$  subspace  $U$  of  $X$  containing  $x$ .

Now let  $K$  be a  $T$ -subset of  $U \cap \bar{A}$ . Since  $K \cap A$  is closed,  $K \cap (A \cap U)$  is closed in  $U \cap \bar{A}$ .

Now  $U \cap \bar{A}$  is a closed subspace of a  $T$  space  $U$  and hence a  $T$  space by the fact that if  $T$  is closed hereditary, a closed subspace of a  $T$  space is a  $T$  space. Hence since  $K \cap (A \cap U)$  is closed in  $U \cap \bar{A}$  for every  $T$ -subset  $K$ ,  $A \cap U$  is closed in  $U \cap \bar{A}$ . Therefore, since  $x \in U \cap \bar{A} \cap (A \cap U)^- = A \cap U$ , it follows that  $A$  is closed which was to be proved.

IV.3.3 Proposition A topological space  $X$  is a  $T'$  space if and only if every subset of  $X$  has property  $T$ .

Proof : Only if : Let  $A$  be a subset of  $X$  which is a  $T'$  space. Let  $U$  be a subset of  $A$  such that  $U \cap K$  is closed in  $A \cap K$  for every  $T$ -subset  $K$  of  $X$ . If  $x \in$  closure of  $U$  in  $A$ ,  $x$  also belongs to the closure of  $U$  in  $X$ . Hence since  $X$  is a  $T'$  space, there exists a  $T$ -subset  $K$  of  $X$  such that  $x \in (U \cap K)^-$ , closure being with respect to  $X$ . But  $U \cap K$  is closed in  $A$  (as  $U \cap K$  is a subset closed in  $A \cap K$  and  $A \cap K$  is closed in  $A$  - recall that  $K$  is closed in  $X$ .) Hence  $x \in U \cap K$  from which it follows that  $x \in U$ , that is,  $U$  is closed in  $A$ . The property  $T$  is thus established.

If : Suppose that  $X$  is not a  $T'$  space. Then there is a subset  $A$  of  $X$  and a point  $x \in \bar{A}$  such that  $x \notin (A \cap K)^-$  for every  $T$ -subset  $K$  of  $X$ . If  $K$  is a  $T$ -subset of  $X$ , then  $A \cap K = (A \cap K)^- \cap \{K \cap (A \cup \{x\})\}$ . Since  $A \cup \{x\}$  has property  $T$ ,  $A$  is closed in  $A \cup \{x\}$  which contradicts  $x \in \bar{A}$ .

IV.3.4 Proposition A subspace  $A$  of a  $T'$  space  $X$  is  $T'$  if and only if  $A \cap K$  is a  $T'$  space for each  $T$ -subset  $K$  of  $X$ .

Proof : Only if : Let  $A$  be a  $T'$  subspace of a  $T'$  space  $X$ . Let  $K$  be a  $T$ -subset of  $X$ . Let  $B$  be a subset of  $A \cap K$ . If  $x$  belongs to the closure of  $B$  in  $A \cap K$ ,  $x$  belongs to the closure of  $B$  in  $A$ . Then it follows that  $A \cap K$  is a  $T'$  space.

If : Let  $B \subset A$  and  $x \in$  the closure of  $B$  in  $A$ . Then since  $X$  is a  $T'$  space, there exists a  $T$ -subset  $K$  of  $X$  such

that  $x \in (B \cap K)^{-}$ . Then one has  $x \in (B \cap K)^{-} \cap A \subset \bar{B} \cap (A \cap K)$ . Thus there is a  $T$ -subset  $C$  of  $A$  for which  $x \in (B \cap C)^{-}$ . (This is because  $A \cap K$  is a  $T'$  space.) It follows that  $A$  is  $T'$  space.

Corollary 1: If  $X$  is a  $T'$  space, then every open subspace of  $X$  is also a  $T'$  space, it being assumed that  $T$ -subspaces are regular.

Proof: Let  $O$  be an open subspace of  $X$ . To prove that  $O$  is a  $T'$  space it is sufficient to prove that  $O \cap K$  is a  $T'$  space for every  $T$ -subset  $K$  of  $X$ .

Let, hence,  $A \subset O \cap K$  where  $K$  is a  $T$ -subset of  $X$  and let  $x$  be a closure point of  $A$  in  $O \cap K$ . We must prove that there is a  $T$ -set  $K'$  in  $O \cap K$  such that  $x \in$  closure of  $K' \cap A$  in  $O \cap K$ .

There is a set  $N_x$  open in  $O \cap K$  containing  $x$  such that  $\bar{N}_x \subset O \cap K$ . Then  $\bar{N}_x \cap K$  is a  $T$ -set in  $O \cap K$ . Hence we are done if we prove that  $x \in \{(\bar{N}_x \cap K) \cap A\}^{-}$ . But since  $A \subset \bar{N}_x$ ,  $\{(\bar{N}_x \cap K) \cap A\}^{-} = (\bar{N}_x \cap A)^{-}$ . Now if  $G$  is a neighbourhood of  $x$ ,  $(G \cap N_x) \cap A \neq \emptyset$  whence  $G \cap (\bar{N}_x \cap A) \neq \emptyset$ . It follows that  $x$  belongs to  $(\bar{N}_x \cap A)^{-} = \{(\bar{N}_x \cap K) \cap A\}^{-}$ .

Corollary 2: If each point of  $X$  is interior to a  $T'$  space,  $X$  is a  $T'$  space, it being assumed that  $T$ -subspaces are regular.

Proof: To prove that  $X$  is a  $T'$  space it suffices to prove that every subset  $A$  of  $X$  has the property  $T$  by IV.3.3. Hence consider

a subset  $A$  of  $X$ . Let  $B \subset A$  be such that  $B \cap K$  is closed in  $A \cap K$  for every  $T$ -subset  $K$  of  $X$ . We must prove that  $B$  is closed in  $A$ .

Let  $x$  be a closure point of  $B$  in  $A$ . Then, firstly, since each point of  $X$  is interior to a  $T'$  space by hypothesis, it follows by Corollary 1 above that there is an open subspace  $G$  of  $X$  containing  $x$  which is a  $T'$  space. Then, since  $x \in (G \cap B)^-$ , there exists a  $T$ -set  $K'$  such that  $x \in \{(G \cap B) \cap K'\}^-$ .

Thus,  $x \in (B \cap K')^-$ . But then, since  $B \cap K'$  is closed in  $A \cap K'$  which is closed in  $A$ , it follows that  $x \in B \cap K' \subset B$ . Hence  $B$  is closed in  $A$  which was to be proved.

## CHAPTER V

### PRODUCTS

V.1 The behaviour of products of  $T_R$  spaces is very disappointing. Not only is it true that the product of two  $S_R$ ,  $k_R$  or quasi- $k_R$  spaces is not in general of the same kind, but the product of familiar countable Fréchet spaces need not be even a quasi- $k_R$  space. The following example illustrates this fact.

V.1.1 Example This example is indeed due to Franklin [12]. Thanks are, however, due to Professor S. Willard for showing the author that this example possesses the properties mentioned previously.

For the sake of simplicity, we break consideration into two parts.

I Let  $Q$  be the rationals in  $(-1,1)$ ,  $Q'$  the rationals in  $\mathbb{R}$  with integers identified, and let  $X = Q \times Q'$ .  $X$  then is the product of two Fréchet spaces.

Let now  $(x_n)$  be a sequence of irrationals  $< 1$  converging monotonically downward to 0. For  $n = 0, 1, 2, \dots$ , let  $T_n$  be interior of plane triangle determined by points  $(x_n, n)$ ,  $(1, n + \frac{1}{2})$ ,  $(1, n - \frac{1}{2})$  and  $T'_n$  the reflection of  $T_n$  in the y-axis. Let  $R_n$  be interior of rhombus determined by points  $(-x_n, n)$ ,  $(0, n + \frac{1}{2})$ ,  $(x_n, n)$  and  $(0, n - \frac{1}{2})$ . Then  $W_n = T_n \cup R_n \cup T'_n$  is an open subset of the



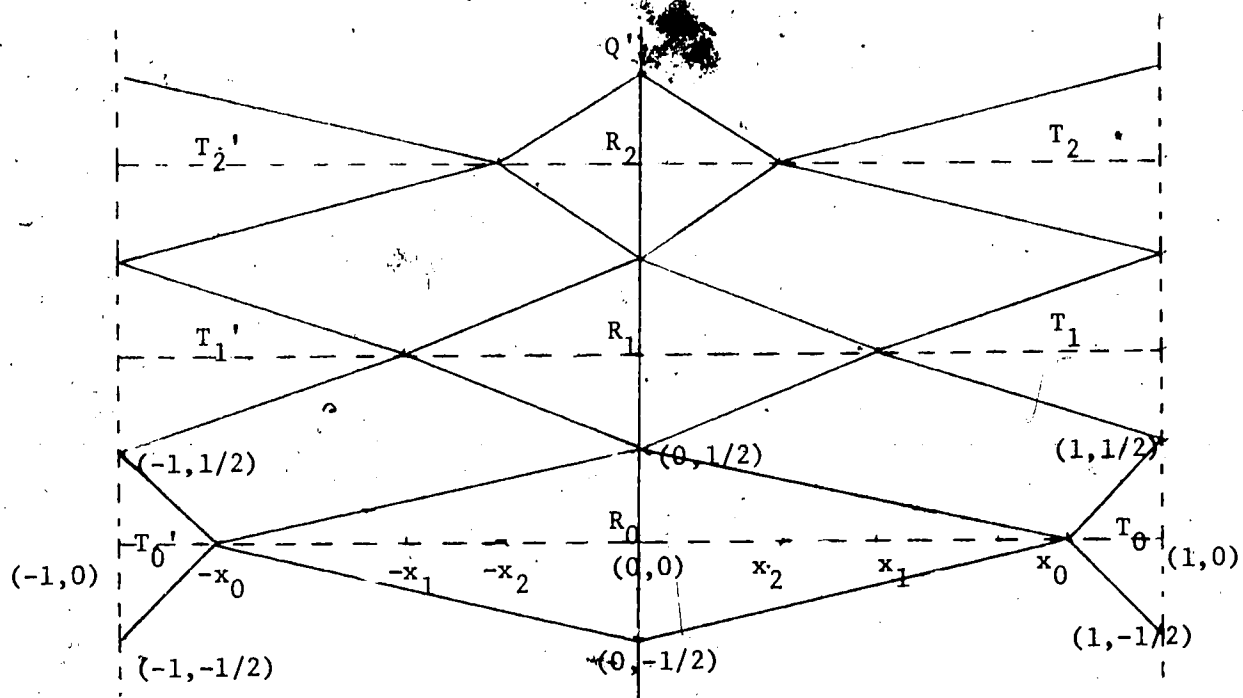


Fig. 2

plane. Thinking of  $X$  as a subset of the plane with horizontal integer lines identified, let  $W = X \cap (\bigcup_{n=-\infty}^{\infty} W_n)$  where  $W_{-n} = T_{-n} \cup R_{-n} \cup T_{-n}'$ ,  $T_{-n}$ ,  $R_{-n}$ ,  $T_{-n}'$  being reflections of  $T_n$ ,  $R_n$ ,  $T_n'$  respectively in the  $x$ -axis.

If  $P_1 : X \rightarrow Q$  and  $P_2 : X \rightarrow Q'$  are the projections, for any neighbourhoods  $U$  and  $U'$  of  $0$  in  $Q$  and  $Q'$  respectively,  $P_1^{-1}(U) \cap P_2^{-1}(U')$  cannot be contained in  $W$ . Hence  $(0,0)$  is not an interior point of  $W$  which, therefore, cannot be open.

Now on each  $S_n$  define  $f_n : S_n \rightarrow [0, 1/2]$  as described below,  $S_n$  being a strip of  $X$  as shown in the figure which follows:

$$f_n(x,y) = 1/2, \text{ when } (x,y) \in \ell, \ell \text{ or the unshaded region}$$

$$\text{and } f_n(x,y) = 0, \text{ when } y = n, n-1$$

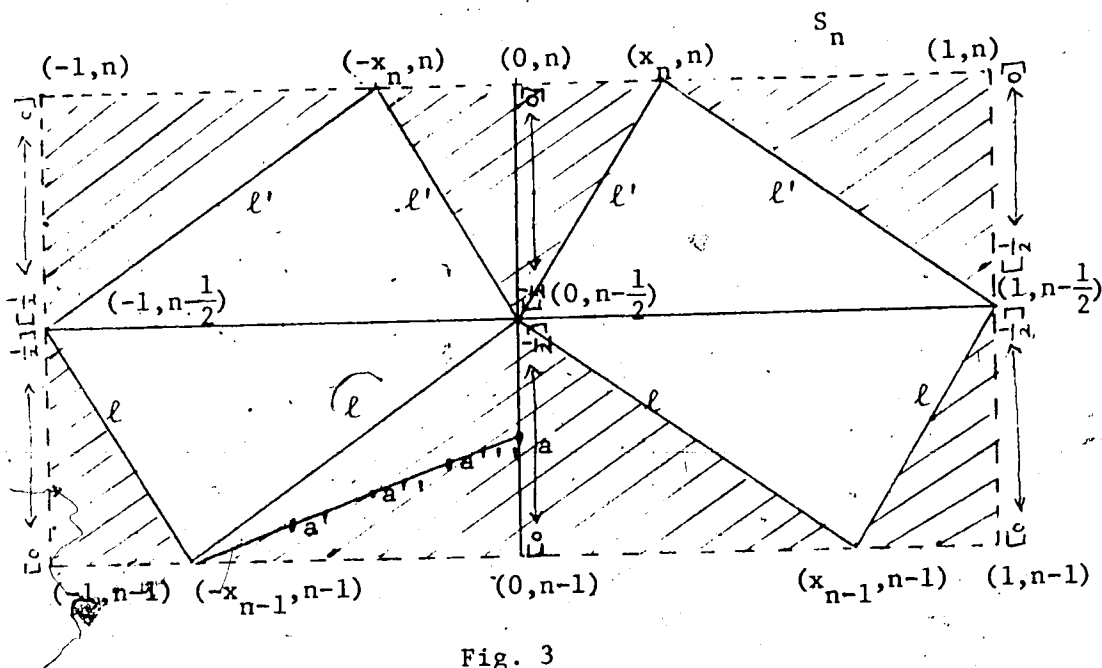


Fig. 3

At the points  $(x, y)$  not covered in the above, define  $f_n$  by means of projections from the points  $(-x_{n-1}, n-1)$ ,  $(x_{n-1}, n-1)$ ,  $(-x_n, n)$ ,  $(x_n, n)$  as shown in the figure, certain vertical segments being identified with  $[0, 1/2]$ . For example, the points  $a'$ ,  $a''$ ,  $a'''$ , etc. are mapped onto a real number in  $[0, 1/2]$  with which the point  $a$  is identified for the purpose of defining  $f_n$ .

It can be easily verified that  $f_n$  thus defined is continuous on  $S_n$ .

Now define  $f : X \rightarrow [0, 1/2]$  by setting  $f|_{S_n} = f_n$  for every  $n$ .

$f$  then is not continuous, since  $f^{-1}\{[0, 1/2]\} = W$  which is not open.

Now to prove that  $Q \times Q'$  is not a quasi- $k_R$  space we must show that  $f$  is continuous on every compact subset  $K \subset Q \times Q'$ . (Note

that  $Q \times Q'$  being countable there is no distinction between compact and countably compact subsets.) For this, it is sufficient to observe that every compact set  $K$  of  $Q \times Q'$  will intersect  $\{S_n - \text{top \& bottom edges}\}$  non-trivially for only finitely many  $n$ 's, since  $f|_{S_n} = f_n$  is continuous for every  $n$ . To prove the above observation, suppose it does not hold. Then one can pick up one point from  $K \cap \{S_n - \text{top \& bottom edges}\}$  for infinitely many  $n$ . These points form a closed subset of  $K$  and is hence compact. However, one can easily see that this set is indeed non-compact, giving the necessary contradiction.

Thus  $Q \times Q'$  is a product of two Fréchet spaces which is not quasi- $k_R$ .

II We are now in  $Q' \times Q'$ . Here first we extend the construction in part I to the whole of the plane by taking reflection into the line  $x = 1$  of the portion between the lines  $x = 0$  and  $x = 1$  and repeating this process till the whole right half-plane is covered. Do the same for the left half-plane. Then identify the vertical lines  $x = 0, \pm 1, \pm 2, \dots$ .

Now each  $f_n$  will be defined on the infinite strip  $S_n^\infty$  by a process similar to that described in part I. Again proceeding the same way as in part I, one gets a function  $f$  which is continuous on every countably compact subset of  $Q' \times Q'$  but not continuous on  $Q' \times Q'$ .

Thus the square of a Fréchet space need not be even quasi- $k_R$ .

One might, however, note that it follows by I.5.2 that whenever  $T$  is closed under quotient maps, if  $\prod_{i \in I} X_i$  is  $T_R$ , so is each  $X_i$ .

V.2 When every factor in a product is first countable the situation is much different. This is most effectively illustrated by the theorem of Mazur and Noble (Theorem I.3.2). Noble has, in fact, proved his theorem for wider class of spaces which he calls spaces of  $C^*$  type. The relevant definitions are given in the next paragraph.

V.2.1 Let  $Y$  be any topological space. Let  $C(Y)$  denote the collection of compact subsets of  $Y$  which, as subsets, have countable neighbourhood bases. (For  $K \subset Y$  a neighbourhood base for  $K$  is a collection of open sets  $U_a$  such that for  $V \supset K$  and  $V$  open,  $K \subset U_a \subset V$  for some  $a$ .) Let  $C^*(Y) = \{K \in C(Y) : \text{as a space, } K \text{ is first countable}\}$ . A space  $Y$  is said to be of type C if there is a subcollection  $C_0(Y)$  of  $C(Y)$  such that for each  $y$  in  $Y$ , each  $C$  in  $C_0(Y)$  with  $y$  in  $C$ , and each neighbourhood  $U$  of  $y$ , there exists a  $C'$  in  $C_0(Y)$  with  $y \in C' \subset U \cap C$ . We say that  $Y$  is of type  $C^*$  if  $C_0(Y)$  can be chosen as a subcollection of  $C^*(Y)$ .

Every first countable space is of type  $C^*$  and every space of type  $C^*$  is of type  $C$ .

On the pattern of sequential cardinals of Noble [27] we define  $T$ -cardinals below.

V.2.2 Definition A cardinal  $\alpha$  is called a T-cardinal if there exists a non-zero real-valued T-continuous function  $\sigma : 2^A \rightarrow \mathbb{R}$  which maps finite sets (of  $A$ ) to zero where  $A$  is any set whose cardinality is  $\alpha$  and  $2^A$  is the power set of  $A$  with the topology on  $2^A$  having for its subbase  $\{(B \subset A / x \in B) / x \in A\} \cup \{(B \subset A / x \notin B) / x \in A\}$ .

Since every quasi- $k$  continuous function is  $k$ -continuous and every  $k$ -continuous function is sequentially continuous, it follows that every non-sequential cardinal is non- $k$  and that every non- $k$  cardinal is non-quasi- $k$ . One can similarly see that every non-sequential cardinal is non- $c$ . However, we do not know the relation of the non- $c$  cardinal with the other three mentioned. Also, since  $2^A$  is totally disconnected, it follows that every infinite cardinal is a connected cardinal. In general, the question of existence of the T-cardinals could be difficult.

V.2.3 We need two more concepts. A subspace of  $X = \prod_{a \in A} X_a$  is called a  $\Sigma$ -subspace if it has the form  $\{x \in X : \delta(x,y) \text{ is countable}\}$  for some fixed  $y$  in  $X$ , where  $\delta(x,y) = \{a : x_a \neq y_a\}$ . (Such subspaces are studied in Corson [10].) A  $\Sigma$ -subspace is a  $\Sigma^0$ -subspace if each  $\delta(x,y)$  is finite. A function defined on a product space  $X = \prod_{a \in A} X_a$  will be called  $\Sigma$ -continuous (respectively  $\Sigma^0$ -continuous) if its restriction to each  $\Sigma$ -subspace (respectively  $\Sigma^0$ -subspace) is continuous. Also, call a function 2-continuous if it is continuous when restricted to each subspace of the form  $\prod_{a \in A} Y_a$  where for each  $a$ ,  $1 \leq \text{card.}(Y_a) \leq 2$ .

Extending the arguments of Noble we prove a very general theorem:

**V.2.4 Theorem** Let  $T$  be closed under continuous mappings. Let  $X = \prod_{a \in A} X_a$  be such that every  $\Sigma^0$ -subspace is a  $T_R$  space where each  $X_a$  is  $T_1$ . Suppose further that for every  $Y_a \subset X_a$  with  $1 \leq \text{card } Y_a \leq 2$ ,  $\prod_{a \in A} Y_a$  is such that every  $T$ -continuous function on  $\prod_{a \in A} Y_a$  is continuous on some  $\Sigma$ -subspace of  $\prod_{a \in A} Y_a$ .

Then whenever  $\text{card } A$  is non- $T$ ,  $X$  is a  $T_R$  space.

Proof : It is enough to show that every  $T$ -continuous function  $f : X \rightarrow R$  is 2-continuous. For, since every  $\Sigma^0$ -subspace of  $X$  is  $T_R$ ,  $f$  is  $\Sigma^0$ -continuous. But then this fact together with 2-continuity of  $f$  would imply continuity of  $f$  by Theorem 1.1 of Noble [27], according to which a function on a product of topological spaces into a regular space which is  $\Sigma^0$ -continuous and 2-continuous is continuous.

Let  $Y_a \subset X_a$  with  $1 \leq \text{card } Y_a \leq 2$  for every  $a$ . Then  $f : \prod_{a \in A} Y_a \rightarrow R$  is  $T$ -continuous. Hence by hypothesis  $f$  is continuous on some  $\Sigma$ -subspace  $Y$  of  $\prod_{a \in A} Y_a$ . Then by Theorem 1 of Engelking [11] (by which if  $X$  is a product of a family of  $T_1$  spaces such that product of every finite number of them is Lindelöf and if  $Y$  is a Hausdorff space such that the diagonal of  $Y \times Y$  is a  $G_\delta$ -set, then a continuous function from a  $\Sigma$ -subspace of  $X$  into  $Y$  extends to  $X$  continuously)  $f|_Y$  extends to a continuous function  $f^*$  from

$\prod_{a \in A} Y_a$  into  $R$ .

Fix some  $x \in Y$  and let  $y$  be any point in  $\prod_{a \in A} Y_a$  and define  $\sigma : 2^A \rightarrow R$  by the rule  $\sigma(B) = f(xBy) - f^*(xBy)$  where  $xBy$  is a point with co-ordinates  $y_a$  for  $a \in B$  and  $x_a$  otherwise.

Since  $f$  and  $f^*$  coincide on  $Y$ ,  $\sigma$  maps finite (indeed countable) sets to zero.

Also,  $\sigma$  is  $T$ -continuous. (To see this, let  $\rho : 2^A \rightarrow \prod_{a \in A} Y_a$  be defined by  $\rho(B) = xBy$ , where  $xBy$  has the same meaning as given before. Then  $\rho$  is continuous. ....(1)

{To see this, let  $\Pi R_a \subset \prod Y_a$  be a subbasic open set of  $\prod Y_a$ . Then  $R_a = Y_a$  for all  $a$  except some  $a_0$ . ( $\Pi R_a$  stands for  $\prod_{a \in A} R_a$ , etc.)

There are the following possibilities:

- i)  $R_{a_0} = Y_{a_0}$
- ii)  $R_{a_0}$  consists of a single point ( $\bar{Y}_{a_0}$  being  $2$ ).

i) Here  $\rho^{-1}(\Pi R_a) = 2^A$  and hence is open in  $2^A$ .

- ii) There are two cases here: (A)  $x_{a_0} \neq y_{a_0}$
- (B)  $x_{a_0} = y_{a_0}$ .

- (A) has two subcases: (A<sub>1</sub>)  $R_{a_0} = \{y_{a_0}\}$
- (A<sub>2</sub>)  $R_{a_0} = \{x_{a_0}\}$ .

(A<sub>1</sub>) : Here  $\rho^{-1}(\Pi R_a) =$  the class of all subsets con-

taining  $a_0$ . For, if  $a_0 \in B$ , then  $\rho(B) = xBy = a$  point  $z$  with  $z_{a_0} = y_{a_0}$ . Also, if  $z$  is such that  $z_{a_0} = y_{a_0}$ , then  $z = xBy$  holds only if  $a_0 \in B$ .

Also, the class of all subsets of  $A$  containing a certain point of  $A$  is a subbasic open set of  $2^A$ .

(A<sub>2</sub>) : Here  $\rho^{-1}(\Pi R_a) =$  the class of all subsets of  $A$  not containing  $a_0$ . For, if  $a_0 \notin B$ ,  $\rho(B) = xBy = a$  point  $z$  with  $z_{a_0} = x_{a_0}$ . Also, if  $z$  is such that  $z_{a_0} = x_{a_0}$ , then  $z = xBy$  holds only if  $a_0 \notin B$ .

Again, the class of all subsets of  $A$  not containing a certain point of  $A$  is also a subbasic open set of  $2^A$ .

(B) also has two subcases : (B<sub>1</sub>)  $R_{a_0} = \{y_{a_0}\} = \{x_{a_0}\}$   
 (B<sub>2</sub>)  $R_{a_0} \neq \{y_{a_0}\} = \{x_{a_0}\}$ .

(B<sub>1</sub>) : Here  $\rho^{-1}(\Pi R_a) =$  the class of all subsets containing  $a_0$  as in case (A<sub>1</sub>).

(B<sub>2</sub>) : Here  $\rho^{-1}(\Pi R_a) = \phi$  obviously :)

Further,  $f - f^*$  is  $T$ -continuous on  $\Pi Y_a$ . .....(2)

Also,  $T$  is closed under continuous-mappings. ....(3)

From (1), (2) and (3) it follows that  $\sigma$  is

$T$ -continuous.)



But since  $\text{card } A$  is non- $T$ , it follows that  $\sigma$  is identically zero. Hence  $0 = \sigma(A) = f(xAy) - f^*(xAy) = f(y) - f^*(y)$ . Since  $y$  was arbitrary,  $f = f^*$ . Hence  $f$  is continuous on  $\prod_{a \in A} Y_a$ , that is,  $f$  is 2-continuous which was to be proved.

Corollaries 1) (Noble [27]) The product  $\prod_{a \in A} X_a$  of  $T_2$  spaces each of type  $C^*$  is an  $S_R$  space if  $\text{card } A$  is non-sequential.

2) The product  $\prod_{a \in A} X_a$  of  $T_1$  spaces each of type  $C^*$  is a  $c_R$  space if  $\text{card } A$  is non- $c$ .

Incidentally, Noble [27] has proved that arbitrary product of  $C$  type spaces is always  $k_R$ .

Proofs of corollaries: The corollaries will be clear from the following theorems of Noble:

1) Each  $\Sigma$ -subspace of a product of first countable spaces is a Fréchet space. (Noble [27] Theorem 2.1).

2) Each  $\Sigma$ -subspace of a product of spaces of type  $C^*$  is a sequential space. (Noble [27] Theorem 2.4).

V.3 The following results in connection with products may be of interest:

V.3.1 Proposition A  $T_1$  space  $X$  is discrete if and only if  $X \times Y$

is a quasi- $k'$  space for every Fréchet space  $Y$ .

Proof : Only if : This is easy to see. In fact, the product of a discrete space and a Fréchet space can be easily seen to be Fréchet.

If : It is sufficient to prove that if  $X$  is a non-discrete  $T_1$  space, then there is a Fréchet space  $Y$  such that  $X \times Y$  is not a quasi- $k'$  space. We will prove this below.

Let  $\{x_a : a \in A\}$  be a net converging to  $x$  such that  $x_a \neq x$  for any  $a$  belonging to  $A$ . Let  $Y_1 = \{(a, n) : a \in A \text{ and } n = 1, 2, 3, \dots\}$ . Further, let  $Y = Y_1 \cup \{z\}$ . The topology on  $Y$  is as follows:  $Y_1$  is discrete and the open sets containing  $z$  contain all but a finite number of elements of each set  $D_a$  where by  $D_a$  we denote the set  $\{(a, n) : n = 1, 2, 3, \dots\}$ . Then  $Y_1$  is a Fréchet space. For, if  $y \in Y$  and if  $(y_\lambda)$  be a net such that  $y_\lambda \rightarrow y$ , then one can easily get a sequence  $(y_n)$  of points of  $(y_\lambda)$  such that  $y_n \rightarrow y$ . (If  $y$  is an element of  $Y_1$ , one can trivially carry this out. If  $y = z$ ,  $\{y_\lambda : y_\lambda \in (y_\lambda)\} \cap D_a$  consists of infinitely many points at least for one  $a$ , say  $a'$ . If  $D_{a'}$  intersects  $\{y_\lambda : y_\lambda \in (y_\lambda)\}$  in the set, say  $\{(a', n_k) : k = 1, 2, \dots\}$  then the sequence  $(a', n_k)$  converges to  $z$  (where we have already assumed without loss of generality that  $n_k \neq n_{k'}$  when  $k \neq k'$ )).

On the other hand  $(x, z)$  is an accumulation point of  $C = \{(x_a, (a, n)) : a \in A \text{ and } n = 1, 2, 3, \dots\}$ . (We are now in the product space  $X \times Y$  where  $X$  is non-discrete  $T_1$  space.) However, as we will see below  $(x, z)$  is not a closure point of  $C \cap K$  for any countably compact

set  $K$ .

Suppose there is a countably compact set  $K$  such that  $(x, z)$  is a closure point of  $C \cap K$ . Then  $P_Y(K)$  where  $P_Y$  is the projection onto  $Y$  is countably compact. And since each countably compact subset intersects only finitely many of the sets  $D_a$ , it follows that  $P_X(C \cap K) = \{x_{a_1}, \dots, x_{a_m}\}$ , that is,  $P_X(C \cap K)$  is a finite set. But then  $(x, z)$  cannot be a closure point of  $C \cap K$ , for, if it is,  $x$  must be a closure point of  $P_X(C \cap K)$  which cannot happen since  $P_X(C \cap K)$  is finite. The contradiction proves the point.

The Proposition V.3.1 is in fact only a slight improvement of a theorem of Bagley and Weddington [7] who have proved that a  $T_1$  space  $X$  is discrete if  $X \times Y$  is a  $k'$  space for every  $k'$  space. Our proof is only a very small modification of their proof.

Note the following characterization of quasi- $k$  space; it will be needed later:

**V.3.2 Proposition** A topological space  $X$  is a quasi- $k$  space if and only if for each subset  $A$  and  $x \in \bar{A}$ , there is a closed quasi- $k$  subspace  $C$  such that  $x \in (A \cap C)^-$ .

**Proof :** If  $X$  is a quasi- $k$  space and if  $x \in \bar{A}$ , consider  $\bar{A}$ . Since a closed subspace of a quasi- $k$  space is quasi- $k$ , one has just to take  $C = \bar{A}$ .

Now suppose that for each subset  $A$  and  $x \in \bar{A}$  there is a closed quasi- $k$  subspace  $C$  such that  $x \in (A \cap C)^-$ . To prove that  $X$  is a quasi- $k$  space, let  $R$  be a subset of  $X$  such that  $R \cap K$  is closed in  $K$  for every countably compact subset  $K$  of  $X$ . We must prove that  $R$  is closed.

Consider a point  $x$  of  $\bar{R}$ . Then there is a closed quasi- $k$  subspace,  $C$  such that  $x \in (R \cap C)^-$ .

Now let  $K'$  be a countably compact subset of  $C$ . Then  $K'$  is certainly countably compact in  $X$ . Hence  $R \cap K'$  is closed in  $K'$ . In other words,  $(R \cap C) \cap K'$  is closed in  $K'$  for every countably compact subset  $K'$  of  $C$ . But then since  $C$  is quasi- $k$  subspace of  $X$ , it follows that  $R \cap C$  is closed in  $C$ . Further, since  $C$  itself is closed in  $X$ ,  $R \cap C$  is closed in  $X$  which means that  $x$  belongs to  $R \cap C$  and hence to  $R$  which was to be proved.

We are now in a position to prove the following proposition.

**V.3.3 Proposition** If  $X$  is a  $T_1$   $k'$  space and  $Y$  is a  $T_1$  quasi- $k'$  space and further if  $X \times Y$  has a nested neighbourhood base at each point, then  $X \times Y$  is a  $T_1$  quasi- $k'$  space.

Proof : We will need the following result from Bagley and Weddington [7] :

If  $X \times Y$  has a nested neighbourhood base at  $(x,y) \in \bar{A} - A$

and if there are neighbourhoods  $U$  of  $x$  and  $V$  of  $y$  such that  $\{x\} \times V \cap A = \emptyset$  and  $U \times \{y\} \cap A = \emptyset$ , then there is a net  $\{(x_a, y_a) : a \in D\}$  in  $A$  which converges to  $(x, y)$  and, for each  $a_0 \in D$ , there are neighbourhoods  $R$  of  $x$  and  $S$  of  $y$  such that  $x_a \notin R$ ,  $y_a \notin S$  for  $a \prec a_0$ . Further, this net  $\{(x_a, y_a)\}$  has a property that every net consisting of points of the set  $\{x_a\}$  (respectively  $\{y_a\}$ ) which converges to  $x$  (respectively  $y$ ) is a subnet of the net  $\{x_a\}$  (respectively  $\{y_a\}$ ).

Consider a subset  $A$  of  $X \times Y$ . Let  $(x, y)$  be a point of  $\bar{A} - A$ .

If the neighbourhoods  $U$  and  $V$  as in the result quoted above do not exist, then for every neighbourhood  $U$  of  $x$  ( $U \times \{y\}$ ) intersects  $A$  non-trivially or for every neighbourhood  $V$  of  $y$  ( $\{x\} \times V$ ) intersects  $A$  non-trivially. Suppose that for every neighbourhood  $U$  of  $x$ ,  $(U \times \{y\}) \cap A \neq \emptyset$ . This means that  $X \times \{y\}$  is a closed subspace of  $X \times Y$  (as  $X$  and  $Y$  are  $T_1$ ) and  $(x, y)$  is a closure point of  $(X \times \{y\}) \cap A$ . But then since  $X \times \{y\}$  is a  $k'$  space, there is a compact subset  $K$  of  $X \times \{y\}$  such that  $(x, y)$  belongs to the closure of  $(X \times \{y\}) \cap A \cap K$  in  $X \times \{y\}$ , that is,  $(x, y) \in (A \cap K)^-$ . But since  $K$  is compact in  $X \times Y$  also, it follows that the condition in the definition of quasi- $k'$  spaces is satisfied for  $(x, y)$  in this case. (If we were to suppose that for every neighbourhood  $V$  of  $y$ ,  $(\{x\} \times V) \cap A \neq \emptyset$ , then the same proof would work with 'compact subset  $K$ ' being replaced by 'countably compact subset  $K$ '. This does not affect the argument.)

Now suppose that the neighbourhoods  $U$  and  $V$  as in the result of Bagley and Weddington quoted above exist. Then there is a net  $\{(x_a, y_a)\}_{a \in D}$  in  $A$  converging to  $(x, y)$  and such that for each  $a_0 \in D$ , there are neighbourhoods  $R$  of  $x$  and  $S$  of  $y$  for which  $x_a \notin R$  and  $y_a \notin S$  for  $a < a_0$ . Since  $X$  is a  $k'$  space, there is a compact subset  $K$  of  $X$  such that  $x \in (\{x_a\} \cap K)^-$ . Thus there is a net  $\{x_d\}$  in  $\{x_a\} \cap K$  which converges to  $x$ . Then by the latter part of the result of Bagley and Weddington,  $\{x_d\}$  is a subnet of the net  $\{x_a\}$  and hence  $\{y_d\}$  also converges to  $y$ , it being a subnet of  $\{y_a\}$ . Since  $Y$  is a quasi- $k'$  space, there exists a countably compact subset  $C$  of  $Y$  such that  $y \in (\{y_d\} \cap C)^-$ . Finally, we obtain a subnet of  $\{(x_a, y_a)\}$  in  $(K \times C) \cap A$ . Thus  $(x, y)$  belongs to the closure of  $(K \times C) \cap A$ . Since  $K \times C$  is countably compact, it follows that  $X \times Y$  is a quasi- $k'$  space.

One might note at this point that investigation of products of topological spaces coherently determined by the class of countable spaces and the class of connected spaces is, as of the date of writing this thesis, very much desired. However, it is easy to see that the product of even locally connected spaces need not be  $C_R$ , by considering  $\{0, 1\}^{\chi_0}$ ,  $\{0, 1\}$  being a two-point discrete space. The reason  $\{0, 1\}^{\chi_0}$  is not  $C_R$  is that  $\{0, 1\}^{\chi_0}$  is totally disconnected but not discrete as every totally disconnected  $C_R$  space should be.

## CHAPTER VI

### MISCELLANEOUS MATTERS AND EXAMPLES

VI.1 Before presenting examples, we prove some results concerning linearly ordered topological spaces.

Let  $(X, <)$  be a LOTS (= linearly ordered topological space). Throughout this section, for every  $a$  belonging to  $X$ ,  $La$  will denote the subset  $\{x \in X / x \leq a\}$  and  $Ra$  will denote the subset  $\{x \in X / a \leq x\}$ .

VI.1.1 Proposition Let  $(X, <)$  be a  $T_R$  LOTS. If  $a \in X$  is non-isolated in  $La$  ( $Ra$ ), then there exists a  $T$ -subset  $K$  of  $X$  in  $La$  ( $Ra$ ) such that  $a$  is an accumulation point of  $K$ , it being assumed that  $T$  is closed hereditary.

Proof : Suppose that there exists an  $a \in X$  which is non-isolated in  $La$  but there is no  $T$ -subset  $C$  of  $X$  contained in  $La$  of which  $a$  is an accumulation point.

Let  $f$  be the characteristic function of  $La - \{a\}$  on

X. This function is not continuous at  $a$ . However, it is continuous on every  $T$ -subset of  $X$ . For, if  $C$  be a  $T$ -subset contained in

either  $La - \{a\}$  or  $Ra$ , then  $f$  is trivially continuous on  $C$ .

Further, if  $C$  be a  $T$ -subset of  $X$  such that  $(La - \{a\}) \cap C \neq \emptyset$  and  $Ra \cap C \neq \emptyset$ , then  $A = (La - \{a\}) \cap C$  does not contain any net converging to  $a$ . (For, if  $A$  contains a net converging to  $a$ ,  $a$  will be an accumulation point of a  $T$ -subset  $A \cup \{a\}$  which is contained in  $La$ . This will contradict our assumption that there is no  $T$ -subset  $C$  of  $X$  contained in  $La$  of which  $a$  is an accumulation point.) Further, if a net of points of  $C$  converges to a point in  $A$  then it is eventually in  $A$  and it then cannot converge to a point of  $Ra$ . Also, if a net of points of  $C$  converges to a point  $x$  in  $Ra \cap C$ , then it is eventually in  $Ra \cap C$ . (This is obvious when  $x \in Ra - \{a\}$ . If  $x = a$ , the net  $(x_\lambda) \subset C$  converging to  $a$  must be again eventually in  $Ra \cap C$ . For, if not,  $(x_\lambda)$  will be frequently in  $A$  which will in turn imply that  $a$  is an accumulation point of a  $T$ -subset  $A \cup \{a\}$  contained in  $La$  and this will then contradict our assumption that there is no  $T$ -subset  $C$  of  $X$  contained in  $La$  of which  $a$  is an accumulation point.) Also, such a net certainly cannot converge to a point of  $A$ . All this consideration shows that  $f$  is continuous on  $T$ -subsets of  $X$ .

We have thus shown that there exists a real-valued function on  $X$  which is continuous on  $T$ -subsets of  $X$  though not continuous on  $X$ . This contradicts the fact that  $X$  is a  $T_R$  space and proves the proposition.



Corollary A LOTS is first countable if and only if it is  $c_R$ .

VI .2. We now present examples:

VI .2.1 Example The spaces  $2^R$ ,  $I^I$ ,  $R^R$  constitute easier examples of  $S_R$  spaces which are not sequential. To see that these are  $S_R$  spaces we use Mazur-Noble theorem (I.3.2) while to see that they are not sequential we note that  $E = \{(x_a) : x_a = 0 \text{ or } 1 \text{ and } x_a = 0 \text{ only countably often}\}$  is a sequentially closed subset which is not closed. In fact,  $(o_a)$  where  $o_a = 0$  for every  $a$  is a closure point of  $E$  which does not belong to  $E$ . ( $R^R$  is not even a  $k$  space.)

The following example due to E. Michael [23] is more instructive:

VI .2.2. Example Michael has given this example to exhibit a  $k_R$  space which is not  $k$ . However, as we will see in fact it serves to exhibit an  $S_R$  space which is not even quasi- $k$ .

First, we note a definition: Let  $B \subset R^2$  with usual topology  $T_0$  say and let  $x \in B$ . Then a function  $f : B \rightarrow R$  is called separately continuous at  $x$  if  $f|L \cap B$  is  $T_0$ -continuous at  $x$  where  $L$  is either the horizontal or the vertical line through  $x$  in  $R^2$ .

In what follows in this example, whenever  $x \in \mathbb{R}^2$ ,  $x_1$  and  $x_2$  will denote the first and the second co-ordinates of  $x$  respectively. A sequence will be denoted by, say  $\{x(n)\}$ . Thus the  $i$ 'th co-ordinate of  $x(n)$  will be denoted by  $x_i(n)$ .

Let  $X$  be the plane  $\mathbb{R}^2$  and  $\mathcal{T}_0$  its usual topology.

Let  $A \subset X$  be the  $x$ -axis. Let  $F$  be the set of all functions  $f : X \rightarrow \mathbb{R}$  which are  $\mathcal{T}_0$ -continuous on  $X - A$  and separately continuous at every point of  $A$ . Let  $\mathcal{T}$  be the coarsest topology making every  $f \in F$  continuous. Clearly,  $(X, \mathcal{T})$  is a Tychonoff space with  $\mathcal{T}_0 \subset \mathcal{T}$ . We will prove that  $(X, \mathcal{T})$  is an  $S_R$  space which is not quasi-k.

First observe that on every horizontal and every vertical line  $X$ ,  $\mathcal{T}$  agrees with  $\mathcal{T}_0$ .

Let  $f : X \rightarrow \mathbb{R}$  be  $\mathcal{T}$ -sequentially continuous (i.e. sequentially continuous with respect to the topology  $\mathcal{T}$  on  $X$ ). Since  $\mathcal{T}_0$  and  $\mathcal{T}$  agree on  $X - A$ , it is clear that  $f$  is  $\mathcal{T}_0$ -sequentially continuous on  $X - A$  which indeed means that  $f$  is  $\mathcal{T}_0$ -continuous on  $X - A$ . To see that  $f$  is  $\mathcal{T}_0$ -separately continuous at every  $x \in A$ , it will suffice to show that if  $L$  is a horizontal or a vertical line in  $X$ , then  $f|_L$  is  $\mathcal{T}_0$ -continuous, but  $f|_L$  is  $\mathcal{T}$ -sequentially continuous on  $L$  (since  $f$  is  $\mathcal{T}$ -sequentially continuous on  $X$  and  $\mathcal{T}_0$  and  $\mathcal{T}$  coincide on  $L$ ). But then  $f|_L$  is  $\mathcal{T}_0$ -continuous on  $L$ . It follows that  $f \in F$  and hence  $f$  is  $\mathcal{T}$ -continuous on  $X$ .  $(X, \mathcal{T})$  is thus an  $S_R$  space.

We now need some lemmas:

Lemma 1 Let  $x(n) \rightarrow x$  in  $(X, \mathcal{T})$  with  $x \in A$ . Then there exists an  $n$  such that  $x_1(n) = x_1$  or  $x_2(n) = x_2$ .

Proof : See Michael [23] Lemma 3.3.

Below, a subset  $Y \subset X$  will be said to be  $\mathcal{T}_0$  countably compact when it is countably compact in  $(X, \mathcal{T}_0)$  and  $\mathcal{T}$  countably compact when it is countably compact in  $(X, \mathcal{T})$ .

Lemma 2 If  $C \subset X$  is  $\mathcal{T}$  countably compact, then there exists an  $\epsilon > 0$  and a finite  $A' \subset A$  such that if  $y \in C$  and  $0 < |y_2| < \epsilon$ , then  $y_1 = x_1$  for some  $x \in A'$ .

Proof : Replace 'compact' by 'countably compact' in the proof of Lemma 3.4 of Michael [23].

Lemma 3 There exists a  $B \subset X - A$  such that

- a) if  $\epsilon > 0$ , then  $\{x \in B : |x_2| > \epsilon\}$  is finite
- b)  $B$  intersects each vertical line at most once
- and c) if  $x \in A$ , each  $\mathcal{T}_0$  neighbourhood of  $x$  intersects  $B$ .

Proof : See Michael [23] Lemma 3.5.

Lemma 4 The set  $B$  above is quasi- $k$  closed in  $(X, T)$ .

Proof : This follows from Lemma 2 and a) and b) of Lemma 3.

Now if  $B$  were  $T$ -closed,  $X - B$  would be  $T$ -open with the result that if  $y \in A \subset X - B$ , there would exist by regularity of  $T$ , a  $T$ -neighbourhood  $U$  of  $y$  such that  $y \in U \subset \bar{U} \subset X - B$ . Hence to contradict our assumption that  $B$  is  $T$ -closed, we will show that if  $y \in A$  and if  $U$  is a  $T$ -open neighbourhood of  $y$ , then  $\bar{U}$  -- the  $T$ -closure of  $U$  in  $X$  -- is also a  $T_0$ -neighbourhood in  $X$  of some  $x \in A$ . The contradiction will be then clear in view of property c) of the set  $B$ .

Hence we prove the following Lemma.

Lemma 5 If  $y \in A$  and if  $U$  is a  $T$ -open neighbourhood of  $y$  in  $X$ , then  $\bar{U}$  -- the  $T$ -closure of  $U$  in  $X$  -- is a  $T_0$ -neighbourhood in  $X$  of some  $x \in A$ .

(This result appears in Michael [23] slightly differently.)

Proof : Recall first that  $T_0$  agrees with  $T$  on each horizontal and each vertical line  $L$  so  $U \cap L$  is  $T_0$ -open in  $L$  and  $\bar{U} \cap L$  is  $T_0$ -closed in  $X$ .

Let  $V = \{s \in R : (s, 0) \in U\}$ . Then  $V$  is open in  $R$  and  $V \neq \emptyset$  since  $y \in V$ .

Now for each  $n$  let

$$E_n = \{s \in \mathbb{R} \mid (s,t) \in U \text{ whenever } |t| < 1/n\}.$$

$$\text{Then } \bigcup_{n=1}^{\infty} E_n = V.$$

(To see this, first suppose  $s \in E_n$ . Then consider  $(s,0)$ . From the definition of  $E_n$ , it follows that  $(s,0) \in U$  and hence by the definition of  $V$ ,  $s \in V$ . Thus  $\bigcup_{n=1}^{\infty} E_n \subset V$ . On the other hand, if  $s$  belongs to  $V$ , then  $(s,0) \in U$ . Since  $U$  is  $T$ -open in  $X$ ,  $U \cap L$  is open in  $L$  where  $L$  is the vertical through  $(s,0)$ . Hence there exists an  $m$  such that  $\{s\} \times (-1/m, 1/m) \subset U \cap L$ . This means that  $s \in E_m$ . It follows that  $V \subset \bigcup_{n=1}^{\infty} E_n$ .)

Since  $V$  is open in  $\mathbb{R}$ , the Baire Category Theorem implies that there is an  $m$  such that  $\bar{E}_m$  has an interior point  $s_0$  in  $\mathbb{R}$ . Let  $x = (s_0, 0)$  and let  $W = \bar{E}_m \times (-1/m, 1/m)$  where  $\bar{E}_m$  may be thought of as  $T$ -closure of  $E_m$  in  $X$ . Then  $W$  is a  $T_0$ -neighbourhood of  $x$  in  $X$ , and to complete the proof we will show that  $W \subset \bar{U}$ .

Let  $|t| < 1/m$ . Then  $E_m \times \{t\} \subset U$ . Let  $F = \mathbb{R} \times \{t\}$ . Since  $\bar{U} \cap L$  is  $T_0$ -closed in  $L$ , and since  $L$  is  $T_0$ -closed,  $\bar{U} \cap L$  is  $T_0$ -closed in  $X$ .

Now since  $E_m \times \{t\} \subset \bar{U} \cap L$ , one has  $\bar{E}_m \times \{t\} \subset \bar{U} \cap L$  ( $\bar{E}_m$  denotes closure with respect to  $T_0$ ) which implies that  $\bar{E}_m \times \{t\} \subset \bar{U} \cap L$  (as  $\bar{U} \cap L$  is a  $T_0$ -closed set) which in turn implies that  $\bar{E}_m \times \{t\} \subset \bar{U} \cap L$ . But since  $T_0 \subset T$ ,  $\bar{E}_m \subset \bar{E}_m$ . It

follows that  $\bar{E}_m \times \{t\} \subset \bar{U} \cap L$ .

Thus if  $|t| < 1/m$ ,  $\bar{E}_m \times \{t\} \subset \bar{U} \cap L \subset \bar{U}$ . It follows that  $W = \bar{E}_m \times (-1/m, 1/m) \subset \bar{U}$ .

We have thus exhibited a quasi-k-closed subset in  $(X, \mathcal{T})$  which is not closed. We have hence proved that  $(X, \mathcal{T})$  is not a quasi-k space.

VI .2.3 Example This is an example of an  $S_R$  space (and hence a  $c_R$  space) which is not a  $c$  space.

Consider  $I^{C^*(\Omega_0)}$ . This is an  $S_R$  space by Mazur-Noble Theorem (I.3.2). But  $\Omega$  which is a closed subspace of  $I^{C^*(\Omega_0)}$  is not  $c_R$  (since the characteristic function of  $\{\omega_1\}$  on  $\Omega$  is not continuous though continuous on every countable subset of  $\Omega$ ). Since every subspace of a  $c$  space is a  $c$  space (Schedler [28]), it follows that  $I^{C^*(\Omega_0)}$  is not a  $c$  space.

VI .2.4 Example This is an example of a  $c$  space which is not  $S_R$ .

Consider the following space of  $\mathbb{R}$ . Arens [2]: Let  $X$  be the set of all pairs of non-negative integers with the topology described as follows: For each point  $(m, n)$  other than  $(0, 0)$  the set  $\{(m, n)\}$  is open. A set  $U$  is a neighbourhood of  $(0, 0)$  iff for all except a finite number of integers  $m$  the set  $\{n : (m, n) \in U\}$  is finite. Since every countable space is a  $c$  space, it follows that  $X$  is a  $c$  space. However, since the charac-

teristic function of  $\{(0,0)\}$  is sequentially continuous but not continuous it follows that  $X$  is not an  $S_R$  space. Indeed,  $(0,0)$  is a non-isolated point of  $X$  to which no non-trivial sequence converges. (In fact, any countable space which is non- $S_R$  is an example of the point.)

VI .2.5 Example This is an example of a compact space which is not  $S_R$ .

Consider the space  $\Omega$  of the ordinals  $\leq \omega_1$ , the first uncountable ordinal. This is a compact space which is non- $S_R$ , since the characteristic function of  $\{\omega_1\}$  is sequentially continuous but not continuous.

VI .2.6 Example The following is a countable sequential space which is not quasi- $k'$ . This example which is in reality a modification of the above quoted example of Arens is taken from Franklin [13] (his Ex. 5.1).

Let  $M = (N \times N) \cup N \cup \{0\}$  with each  $(m,n) \in N \times N$  an isolated point, where  $N$  denotes the set of natural numbers. For a basis of neighbourhoods at  $n_0 \in N$ , take all sets of the form  $\{n_0\} \cup \{(m,n_0) \mid m \geq m_0\}$ .  $U$  will be a neighbourhood of  $0$  iff  $0 \in U$  and  $U$  is a neighbourhood of all but finitely many  $n \in N$ .

Franklin has shown that  $M$  is sequential. We will verify that  $M$  is not quasi- $k'$ .

Before proceeding further we observe that compact subsets of  $M$  are precisely countably compact subsets of  $M$ . Also, for the sake of convenience we will denote  $N \times N$  by  $A$ .

Here  $0 \in \bar{A}$ . We will show that there exists no compact subset  $K$  of  $M$  such that  $0 \in (K \cap A)^-$ .

If a compact subset  $K$  of  $M$  be such that  $K \cap A$  is finite, then obviously  $0 \notin (K \cap A)^-$ . If a compact subset  $K$  of  $M$  contains only a finite number of points on every horizontal line in  $A$ , then one can find a neighbourhood of  $0$  which excludes all the points of  $K \cap A$  which would imply that  $0 \notin (K \cap A)^-$ . Even if a compact subset  $K$  contains an infinite number of points on only a finite number of horizontal lines in  $A$ , there would exist a neighbourhood of  $0$  which would exclude all the points of  $K \cap A$  whence  $0 \notin (K \cap A)^-$ . Hence if there is a compact subset  $K$  of  $M$  such that  $0 \in (K \cap A)^-$  then  $K$  must contain infinite number of points on infinite number of horizontal lines in  $A$ , say  $y = n_j$  ( $j = 1, 2, 3, \dots$ ). But then if  $(f^j, n_j)$  is the first point on the line  $y = n_j$  which belongs to  $K$ , then consider the open covering  $\{(f^j, n_j)\}_{j=1,2,\dots} \cup \{M - \bigcup_{j=1}^{\infty} (f^j, n_j)\}$ . This is an open covering of  $K$  from which no finite subcovering can be obtained whence the compactness of  $K$  is contradicted. It follows that  $M$  is not quasi- $k'$ .

VI .2.7 Example This is an example of a countably compact Tychonoff space which is not even  $k_R$ .



By 3.1.5 of Frolik [14] there exists a countably compact space  $P$  such that  $N \subsetneq P \subsetneq \beta(N)$  with  $\text{card. } P \leq 2^{\aleph_0}$ , where  $N$  is the discrete space of integers. Since every infinite closed subset of  $\beta(N)$  has potency  $2^{\aleph_0}$ , the space  $P$  contains no infinite compact set.

Choose a non-isolated point of the space  $P$  and call it  $x$ . Consider the characteristic function of the point  $x$  defined on the space  $P$ . This function is continuous on every compact subset of  $P$  but not continuous on  $P$ . This shows that  $P$  is not a  $k_R$  space.

In fact, Michael [22] has pointed out (see his Ex. 10.6) that  $P$  is not a  $k$  space.

The following examples show that there is no relation between the connected coherence topologies and the other coherence topologies mentioned in the implication diagram on page 7.

VI .2.8 Example Consider the Cantor ternary set  $C$ . This is a totally disconnected compact (metric) subspace of the real line. However, since it is non-discrete it cannot be a  $C_R$  space, as a totally disconnected space which is  $C_R$  must be discrete.

VI .2.9 Example Let  $X$  be the real line,  $T_1$  the usual topology on  $X$  and  $T_2$  the topology of countable complements on  $X$ . Let  $T$  be the smallest topology generated by  $T_1 \cup T_2$ .  $(X, T)$  is connected but not even a quasi- $k_R$  space, since whatever be a non-isolated point  $x$  in  $(X, T)$ , one cannot find a countably compact subset  $K$  of

$(X, \mathcal{T})$  of which  $x$  is an accumulation point with the result that the characteristic function of  $\{x\}$  is continuous on every countably compact subspace of  $(X, \mathcal{T})$  but not continuous on  $(X, \mathcal{T})$ . (Note that a subset of  $(X, \mathcal{T})$  is countably compact iff it is finite.) This is example 63 in "Counter-examples in Topology" of L.A. Steen and J.A. Seebach, Jr.

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