Benign-by-Design: Synthesis of Engineered Silicon Nanoparticles and their Application to Oil Sands Water Contaminant Remediation

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Oil Sands Research and Information Network

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REPORT SUMMARY

Oil sands are naturally occurring mixtures of sand or clay, water, fine silts, and bitumen. The oil sands extraction process consumes large volumes of water (i.e., *ca.* 3 barrels of fresh water for every 1 barrel of oil). Following the extraction of bitumen from the oil sands, a tailings slurry is produced that consists of oil sands process-affected water (OSPW), sand, silt, clay particles and trace quantities of unrecovered bitumen. This slurry is hydraulically transported to large containment facilities (i.e., open tailings ponds) that, in Alberta, currently occupy approximately 130 km² with 200 million litres of mature fine tailings produced each day. These vast storage facilities pose a risk to the environment, wildlife, and society.

There are many candidate technologies that could be applied to the treatment of OSPW. Advanced oxidation processes (AOPs) are particularly useful for degrading biologically toxic or non-degradable materials such as aromatics, pesticides, petroleum constituents, and volatile organic compounds in wastewater.

In this report, we investigate the use of advanced oxidation processes via photocatalysts based on nanoparticles. Silicon nanoparticles were specifically engineered for water remediation by making them water soluble and more potent towards contaminant removal. Si nanoparticles of different sizes and morphologies were investigated for model contaminant (methanol) removal in the presence of UV light. A medium pressure UV lamp was used for the purpose. Control experiments were also performed to ascertain the extent of remediation by the Si nanoparticles. Effect of methanol concentration, nanoparticle concentration and exposure time of UV were systematically studied to optimize the remediation parameters. Moreover, a cost effective and high yielding synthetic protocol was also developed for large scale synthesis of Si nanoparticles which is crucial for scale up. Quantum yield calculations were performed on different Si nanoparticles and compared with titanium dioxide (TiO₂), the most commonly proposed nanoparticle system.

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1 INTRODUCTION

Detrimental anthropogenic effects of modern society on the global environment are becoming increasingly evident in the 21^{st} century. Many of the environmental contaminants stem from society's reliance on hydrocarbon fuels. As traditional stocks of fossil fuels dwindle, new sources that require substantial processing are becoming more important. An archetypical example of this is the extraction of bitumen from oil sands deposits. Oil sands are naturally occurring mixtures of sand or clay, water, fine silts, and bitumen. Oil sands extraction processes at mines consume large volumes of water (*ca.* 3 barrels of fresh water for every 1 barrel of oil – Allen 2008, Canadian Association of Petroleum Producers 2012). Following bitumen extraction a tailings slurry is produced that consists of oil sands process-affected water (OSPW), sand, silt, clay particles, and trace quantities of unrecovered bitumen. This slurry is hydraulically transported to large area containment facilities (i.e., tailings ponds) that occupy approximately 130 km² with 200 million litres of mature fine tailings produced each day (Allen 2008). These vast storage facilities pose a potential risk to the environment, wildlife, and society (Misiti et al. 2013, Wang et al. 2013a).

Common constituents of OSPW include suspended solids, hydrocarbons, salts, chloride ions, ammonium ions, dissolved organic species (e.g., polycyclic aromatic hydrocarbons, benzothiophenes, dibenzothiophenes, and naphthenic acids), and trace heavy metals (Holowenko et al. 2002). Naphthenic acids (NAs; see Figure 1) are released from bitumen during caustic hot water digestion of oil sands ore, and are present at concentrations of approximately 40 to 120 mg/L (Headley and McMartin 2004, Holowenko et al. 2002, Kannel and Gan 2012). NAs are among the greatest environmental concerns associated with oil sands process-affected water (OSPW) because they are environmentally-persistent and acutely toxic toward aquatic life at the concentrations found in tailings pond water (Anderson et al. 2012, Melvin et al. 2013, Rogers et al. 2002, Sansom et al. 2013, Smits et al. 2012, Tollefsen et al. 2012, Wiseman et al. 2013).



Figure 1. Representative naphthenic acid structures.

Responsible environmental stewardship of oil sands fossil fuel sources requires mitigation of the OSPW. Unfortunately no technologies currently exist that can effectively address this pressing challenge and the current state of political upheaval in other jurisdictions is increasing the demand for Canada's politically "safe" oil. Clearly, a solution to this problem is of *strategic* importance and novel benign-by-design technologies for remediating OSPW must be developed.

There are many candidate technologies that could be applied to the treatment of OSPW. Advanced oxidation processes (AOPs) are particularly useful for degrading biologically toxic or non-degradable materials such as aromatics, pesticides, petroleum constituents, and volatile organic compounds in wastewater. It has been reported by several researchers that exposing NAs to 254 nm ultraviolet (UV) radiation reduced their concentration and the overall aquatic toxicity (Gogate and Pandit 2004, Kannel and Gan 2012). Unfortunately, these approaches are very energy intensive and methods to increase both the efficacy and energy efficiency of AOPs must be explored. One avenue to achieve this is through the application of thoughtfully designed photocatalysts based on nanomaterials.

1.1 Engineered Nanoparticles for Contaminant Removal

Engineered nanoparticles (ENPs) continue to be the focus of extensive fundamental and practical scientific study partly because of their size and shape dependent optical, electronic, and chemical properties (Qu et al. 2013, Veinot 2006, Xu et al. 2013). The size dependency of these ENP characteristics is best exemplified by the optical response of semiconductor nanocrystals (NCs), which can be readily tuned throughout the electromagnetic spectrum by controlling particle size (Talapin et al. 2009) as shown in Figure 2.



Figure 2. Photoluminescent response of hydride surface terminated Si engineered nanoparticles. Particle size increases from left to right.

An often under-appreciated aspect of ENPs is their high surface area to volume ratio that makes it possible to tailor chemical compatibility (e.g., solubility), surface reactivity, and by extension

their interaction with their surroundings. Most importantly, the vast array of candidate materials and ENP properties offer scientists the unique opportunity to embrace the responsible "benignby-design" approach noted above when preparing functional materials for specific applications; very simply, this revolutionary paradigm simply requires making the correct material choices.

In light of the exquisite tunability of ENPs and their properties, these materials are ideal candidates as active systems for remediation of anthropogenic environmental contaminants (Friedmann et al. 2010, Fujishima et al. 2008, Jaesang et al. 2011). Already, relatively simple "first generation" ENPs with limited tailoring of size and/or surface chemistry have provided important proof-of-concept in this regard. ENPs can also react with and/or induce reactions of molecules in solution. For example, photoexcitation of TiO₂ ENPs produces reactive oxygen species (ROS) such as (O_2^{\bullet}) , singlet oxygen (¹O₂), hydroxyl ('OH) and hydroperoxyl (HO₂') radicals, and hydrogen peroxide (H₂O₂) (McMartin et al. 2004, Wang et al. 2013b). The generally accepted mechanism for this process is summarized in Figure 3(a).



Figure 3. Two generally accepted mechanism for light induced degradation of organic compounds by semiconductor ENP.

Photoinduced reactions result from a band gap transition induced by exposure to light of an appropriate energy. For anatase TiO₂ (band gap *ca.* 3.2 eV), UV light possesses enough energy to promote an electron (e⁻) across the band gap leaving a hole (h⁺) in the valence band (VB). It has been proposed these "free" carriers are rapidly (i.e., on a picosecond time scale) trapped at various sites within the ENP core or at its surface. These excited-state ENPs can subsequently oxidize organic compounds via two pathways: (1) Direct oxidation at the ENP surface by reaction with the VB hole; and (2) Indirect oxidation by reactive oxygen species generated via reduction (i.e., reaction with a conduction band (CB) electron) of dissolved O₂. Reactive oxygen species formed at the engineered nanoparticle surface can diffuse away and the indirect oxidation reactions also occur with dye modified/sensitized semiconductor nanoparticles upon excitation with visible light (Figure 3(b)), suggesting the magnitude of the band gap energy is not a limiting factor in the production of ROS, rather the absolute energy of the surface trap states in which the electrons reside (Tachikawa and Majima 2009).

It has also been reported that the introduction of an insulating layer between the semiconductor core particle and a surface bonded dye moiety leads to improved activity in photochemical remediation (Chen et al. 2010).

These reported findings alone indicate it will be possible to treat OSPW effectively with ENPs and very likely lower the energy requirements of these processes and dramatically improve process efficiency through judicious choice of surface groups and controlling surface oxidation. Catalytic photoinduced oxidation of water-borne organic compounds (e.g., pharmaceuticals (An et al. 2010, Yang et al. 2010) and naphthenic acids (Kannel and Gan 2012)) has been demonstrated for surface modified and "naked" TiO₂ ENPs; unfortunately the quantum yield for the generation of hydroxyl radicals is relatively low (i.e., 0.04 to 0.05) (Sun and Bolton 1996). For this reason, it is necessary to rationally design future ENPs specifically for OSPW remediation.

Based on the accepted mechanism noted above, efficient trapping of electrons at ENP surfaces is essential, and it is desirable to locate target organic compounds (e.g., naphthenic acids) in close proximity to the ENP surface if reactivity is to be maximized.

To our knowledge, no efforts have been made to employ surface chemistry to locate target organic molecules close to the ENP surface. Clearly, semiconductor ENPs hold great promise in water treatment, including removing toxic organic compounds from OSPW and potentially solving the seemingly insurmountable problems associated with the toxic oil sands tailings ponds. Unfortunately, TiO_2 ENPs are environmentally persistent and have deleterious effects on biological systems (Marcone et al. 2012, Miller et al. 2012, Tong et al. 2013). Alternative semiconductor ENPs must be explored – silicon is environmentally and biologically benign and as a nanoparticle has a band gap *ca*. 2.5 eV making it an excellent candidate to replace TiO_2 .

At the same time, synthesis of Si nanoparticles at a large scale is not commercially viable with the current methodologies (Veinot 2006). Thus, a cost effective and high yielding synthesis protocol needs to be developed for large scale applications (e.g., OSPW treatment) of Si nanoparticles.

2 SI NANOPARTICLE SYNTHESIS, FUNCTIONALIZATION AND CHARACTERIZATION

Si nanoparticles (Si NPs) of different size, crystallinity, and morphology were used to prepare candidate Si NP catalysts. The following particles were employed for the present study:

- 1. 3 nm Si NPs (3 nm)
- 2. 9 nm Si NPs (9 nm)
- 3. 100 nm Si NPs (100 nm)
- 4. >500 nm Si NPs (Si nanosponge)

General procedures for preparing Si NPs are summarized in Figure 4a. Small (i.e., diameter = 3 and 9 nm) Si NPs were obtained from thermal processing of hydrogen silsesquioxane (HSQ) (Hessel et al. 2006, Veinot 2006). Commercially available 100 nm Si particles were annealed in

a standard tube furnace at 1,100 °C in an Ar atmosphere, and Si nanosponge was synthesized by magnesiothermic reduction (Dasog et al. 2012).

All Si nanoparticle types (1 to 4) were etched using aqueous hydrofluoric acid (HF) to remove any silica and provide hydride surface groups that were further modified upon thermally induced hydrosilylation with dodecene (Hessel et al. 2006, 2007). The resulting alkyl surface terminated Si NPs were purified using standard procedures and are soluble in standard non-polar solvents (Figure 4(b)). Alkyl terminated Si NPs were rendered water soluble upon coating/intercalating with a known amphiphilic polymer (Figure 4(c)) (Lin et al. 2008).



Water soluble Si NPs

Figure 4. General scheme summarizing the preparation of water soluble Si nanoparticles.
(a) Precursors for Si NPs (b) Thermal hydrosilylation and (c) Intercalation with amphiphilic polymer. (Note: EtOH = Ethanol, EtO = CH₃CH₂O, HF= Hydrofluoric acid).

All Si NPs were comprehensively characterized using Fourier-Transform Infrared Spectroscopy (FT-IR), scanning electron microscopy (SEM), transmission electron microscopy (TEM) and X-ray powder diffraction (XRD).

3 RESULTS AND DISCUSSION: SI NANOPARTICLE PREPARATION

All polymer-modified Si NPs absorb in the near-IR, UV and visible spectral regions (Figure 5).



Figure 5. Photoluminescent emission spectra of water soluble Si nanoparticles upon excitation at 350 nm.

FT-IR analysis confirms all sizes of Si NPs modified via hydrosilylation with dodecene are alkyl surface terminated with negligible residual Si-H moieties and surface oxidation (Figure 6). Following dodecene functionalization, signature absorptions attributable to Si-H_x at 2,100 cm⁻¹ are replaced by strong aliphatic C-H_x at 2,957, 2,925, and 2,853 cm⁻¹ as well as C-CH₃ at 1,464 and 1,378 cm⁻¹ (Henderson et al. 2009, Hessel et al. 2006).



Figure 6. FT-IR spectra of alkyl functionalized Si NPs (a) 3nm (b) 9 nm (c) 100 nm and (d) Si nanosponge.

The FT-IR spectra of Si nanosponge shows evidence of trace oxide species and small quantities of Si-H_x (Figure 6(d)). In addition, we note the presence of characteristic C-H_x stretches and C-CH₃ deformations confirming these particles are also functionalized with dodecene.

X-ray powder diffraction (XRD) data for all investigated Si NPs show reflections at 28° , 48° , 56° , 69° and 77° corresponding to reflections from the (111), (220), (311), (400) and (331) crystallographic planes of diamond cubic Si, indicating the Si NP core was not compromised during functionalization (Hessel et al. 2007). Diffraction signals arising from d = 100 nm and >500 nm Si nanosponge (Figure 7) are intense and sharp, consistent with the size of the particles. The diffraction pattern obtained from d = 3 nm and 9 nm Si NPs show broad signals indicative of their smaller dimensions (Hessel et al. 2010).



Figure 7. XRD patterns of Si nanoparticles. (a) 3 nm (b) 9 nm (c) 100 nm NPs and (d) Si nanosponge.

Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) were employed to evaluate the morphology and size of the Si NPs. As expected, the dimensions of pseudospherical Si NPs obtained from thermal processing of hydrogen silsesquioxane (HSQ) were dependent upon thermal processing temperature and samples with average diameters of 3 and 9 nm were obtained (See Figure 8a,b) (Hessel et al. 2006, 2007).

Si NPs obtained from HF etching of a commercial Si powder (Strem Chemicals Inc.) was also pseudospherical with average diameters of *ca*. 100 nm (Figure 8c).



Figure 8. TEM images of Si nanoparticles.(a) 3 nm (b) 9 nm (c) 100 nm (d) SEM image of Si nanosponge and (e) TEM image of Si nanosponge.

An alternative nanoscale morphology (i.e., nanosponge) of Si was obtained from Mg reductions of sol-gel derived SiO₂ templates. SEM analysis shows comparatively larger particles (d = hundreds of nm) possessing randomly distributed pseudospherical pores (i.e., $d \sim 25$ nm) (Figure 8d and e).

4 **REMEDIATION STUDIES ON CONTAMINANTS**

To evaluate the activity of the Si nanomaterials for light induced remediation of organic contaminants in water, a standard model contaminant (i.e., methanol) was employed. The intent of this study was to establish appropriate treatment conditions that lead to production of reactive oxygen species (ROS) and optimize reactivity. Advanced oxidation process (AOP) based contaminant removal was carried out using the Si NPs.

4.1 Experimental Design

A quasi-collimated beam UV apparatus (Calgon Carbon Corporation, Pittsburgh, USA) equipped with a 1 kW medium pressure lamp (HNG, Hanau, Germany) was used to generate a quasi-parallel beam of UV light as shown in Figure 9.



Figure 9. (a) A quasi-collimated beam UV apparatus and (b) a schematic of remediation experiment in a quasi-collimated beam.

The incident irradiance at the water surface for each sample was quantified using a radiometer (International Light, Model IL1400, Massachusetts, USA) and UV detector (International Light, Model SEL240, Massachusetts, USA). The relative sensitivity of the detector was determined to be between 200 and 400 nm by the supplier. The irradiance was measured by placing the UV detector at the center of the collimated beam and adjusting the calibration marker on the detector to the height of the solution surface.

A known volume (i.e., 10 mL) of a solution containing predetermined concentrations of Si NPs (i.e., 50 mg/L and 100 mg/L) and methanol (Table 1) was placed in a 20 mL beaker with a 3.0 cm internal diameter and thermostated at $25 \pm 2^{\circ}$ C. The solution was then mechanically stirred using a magnetic stir bar (3 × 10 mm) to ensure homogenous and complete mixing during the reaction. For all evaluations the distance between the lamp solution surfaces was maintained at 30 cm. Solutions were exposed to the UV for 10 and 30 minutes. A schematic of the remediation experiment set up used is presented in Figure 9(b).

Table 1. Methanol concentrations employed during model remediation studies.

| Methanol | mg/L | 2.5 | 5 | 10 | 20 | 30 | 40 | 50 |
|---------------|------|-------|--------|-------|-------|-------|-------|-------|
| concentration | mМ | 0.078 | 0.0156 | 0.312 | 0.624 | 0.936 | 1.248 | 1.561 |

4.2 Sample Analysis

Methanol oxidizes to formaldehyde and the amount of formaldehyde can be determined by means of Nash colorimetric test (Chan et al. 2012, Nash 1953). The Nash reagent was prepared by mixing 2 M ammonium acetate, 0.05 M acetic acid and 0.02 M acetylacetone in 1L of deionized water. Five millilitres of freshly prepared Nash reagent was added to five millilitres of

"remediated" samples and the mixture was incubated at 40 °C for 60 minutes. The amount of diacetyl-dihydro-lutidine (DDL) produced was determined by evaluating the absorption spectrum of the solution at 412 nm and comparing with the standard curve data shown in Figure 10 (a).



Figure 10. (a) Standardization curve to determine the formaldehyde concentration and (b) a representative UV-Vis plot of Si NPs upon incubation with Nash reagent.

4.3 Determination of Quantum Yield and Hydroxyl Radical Yield Factor

The quantum yield for the generation of 'OH radicals plays a very important role in determining the efficiency of the photodegradation of pollutants. Similarly, the rate constant determines the rate of photoreaction at a particular UV dose.

The quantum yield (QY) of the Si NP-induced formation of formaldehyde was determined using the following standard procedure as reported by (Bolton and Stefan 2002, Jin et al. 2011, Sun and Bolton 1996):

$$\Phi = \frac{\text{moles of product formed or reactant removed}}{\text{einsteins of photons absorbed}}$$
(1)

$$K_1' = \ln\left(\frac{c_0}{c_F}\right)/F\tag{2}$$

$$F = E'_{op}U_{\lambda}(WF)(DF)(PF)(RF)t = E'_{op}(avg)U_{\lambda}t$$
(3)

$$\Phi_{\rm c} = 10K_1' U_{\lambda} / [\ln(10) \varepsilon_C] \tag{4}$$

Where,

 Φ = quantum yield

 K'_1 = fluence-based first-order 'rate constant' (m² J⁻¹)

 ε_{C} = molar absorption coefficient (M⁻¹ cm⁻¹) for methanol

 C_0 and C_F = the initial and final concentrations of methanol under photolysis

 $F = fluence (J m^{-2})$

 E'_{op} = incident photon fluence rate (einstein s⁻¹ m⁻²)

 E'_{op} (avg) = average photon fluence rate in the solution

 U_{λ} = molar photon energy (J einstein⁻¹)

The water factor (WF), divergence factor (DF), Petri factor (PF), and reflection factor (RF) were calculated using the procedure previously reported (Bolton and Linden 2003).

The formation of hydroxyl radicals (\cdot OH) is directly related to the UV photolysis process. The concentration of the \cdot OH radicals generated may be quantified by evaluating the formation of formaldehyde in the presence of excess methanol (Sun and Bolton 1996). Formaldehyde concentration quantification was achieved using the Nash method (see above). Yield factor (η) can be quantified as follows:

$$\eta = \frac{\Delta[\cdot \text{OH}]}{\Delta[\text{HCOH}]} \tag{5}$$

where the hydroxyl radical concentration $[\cdot OH]$ is determined from the concentration of formaldehyde produced during photolysis.

5 RESULTS AND DISCUSSION: CONTAMINANT REMEDIATION

Various parameters affecting the quantum yield (QY) and fluence-based first-order rate constant (K'_1) were methodically investigated including NP size and morphology, NP concentration, methanol concentration, and exposure time.

5.1 Quantum Yields (QYs) and K'₁ of Si Nanoparticles

Two mass concentrations (100 and 50 mg/L) of functionalized 3 nm Si NPs and exposure times (10 min and 30 min) were employed for photocatalytic oxidation of methanol to formaldehyde. The QYs and fluence-based rate constants K'_1 were determined.

The analysis shows 100 mg/L solutions of 3 nm Si NPs and exposure times of 10 minutes consistently showed significantly higher QYs and K'_1 for all concentrations of methanol tested (Figure 11). Solutions of 3 nm Si NPs of 50 mg/L and 100 mg/L concentrations exhibited near identical QYs and K'_1 when exposed for 10 and 30 minutes, respectively, while 50 mg/L solutions of 3 nm Si NPs exposed for 30 minutes showed the lowest performance metrics for all concentrations of methanol tested.



Figure 11. Effect of Si nanoparticle concentration on (a) QY and (b) K'_1 .

Based upon these results it was determined that 100 mg/L was the optimum Si NP concentration and was used for all subsequent experiments.

The influence of Si NP size was evaluated for 100 mg/L NP solutions by evaluating methanol photolysis QYs. Data are summarized in Figure 12. To our surprise, the 100 nm Si NPs showed highest QYs regardless of exposure time. However, Si NPs exposed to UV irradiation for 10 minutes showed significantly higher QYs (i.e., 2 to 3 times) when compared to the 30 minute exposures for all sizes.



Figure 12. Effect of size on the QYs of Si NPs when exposed for (a) 10 minutes (b) 30 minutes to UV light.

In addition to possible size effects, several additional factors can contribute to the photocatalytic activity of Si NPs, chief among them are photoluminescent (PL) response, band gap, surface

structure and surface chemistry. It is reasonable that photoluminescent Si nanocrystals (i.e., d = 3 nm and 9 nm) will exhibit lower remediation efficiency metrics because some energy (at least 5% based upon established PL QYs) is lost through the radiative recombination processes. However, another important factor is the presence of surface structure. It is expected that large (d = 100 nm) Si NPs have a more complex surface structure leading to more reactive sites. In addition, the narrower band gap of the larger particles (i.e., 1.1 eV) will facilitate absorption of more incident light energy. Photoexcited Si NPs can, in turn oxidize organic compounds *via* direct oxidation at the nanocrystal surface by valence band hole, or indirect oxidation by reactive oxygen species (ROS) through reaction with a defect state electron or valence band electron (Panayotov et al. 2012, 2013, Qu and Duan 2010, 2013, Wang et al. 2013b).

Finally, coating the surfaces of Si nanoparticles with the amphiphilic polymer provides medium compatibility and partitioning of pollutants close to the reactive surface. The partitioning of the pollutant was confirmed by adding a known concentration of Rhodamine 6G dye (20 mg/L) to 10 mL of Si NP solutions (100 mg/L). The solution was incubated for 30 min and then centrifuged. Most of the dye partitioned with the Si NPs and precipitated out (Figure 13) confirming that pollutants are getting partitioned near the NP surfaces.



Figure 13. Partitioning of Rhodamine 6G with 100 nm Si NPs.
(a) Under regular light and (b) under UV illumination at 365 nm.
Samples on the left in (a) and (b) are before centrifugation while samples on the right are after centrifugation. (Note: the color is arising from the dye not from the Si NPs).
Rhodamine is used as a surrogate for how organic contaminants would be affected.

Our results indicate longer UV exposure does not necessarily translate into higher QYs. We attribute this to the majority of the methanol being photocatalytically converted to formaldehyde during the initial 10 minutes of exposure. In this context, the energy from photons absorbed after 10 minutes of reaction is largely dissipated.

As expected, similar trends to those noted for QY evaluation were seen in the evaluation/evolution of the pseudo first order constant K'_1 for all NP systems (Figure 14).



Figure 14. Effect of size on K'_1 of Si NPs when exposed for (a) 10 minutes (b) 30 minutes to UV light.

5.2 Yield Factors (η) for the Generation of \cdot OH Radicals

To investigate the \cdot OH radical production and photolysis yield factor, the production of formaldehyde was again evaluated for the samples that contained methanol. The \cdot OH radical formation can be determined by the amount of formaldehyde produced (equation 5). The relationship between formaldehyde production and methanol concentration is shown in Figure 15. Yield factors are provided as numeric annotations for 0.94 mM (30 mg/L) of methanol and indicated exposure times.

We note that in all cases the formaldehyde production increased with methanol concentration increases; however, with time this process slows down once methanol becomes the dominant reactant with the Si NPs. These results indicate that at high concentrations of methanol, production of formaldehyde becomes pseudo independent of methanol concentration.



Figure 15. Formaldehyde production as function of methanol concentration (mM) and yield factors (η) of \cdot OH radical production in the photolysis when UV exposure time was (a) 10 min and (b) 30 min.

The yield factors for the samples were calculated by evaluating the ratio of \cdot OH produced and methanol decomposed during the photolysis. As is evident from Figure 15, yield factors increased with an increase in methanol concentration.

The increase in yield factors slowed for all sizes of Si NPs when methanol concentrations were increased above 0.4 mM during photolysis for 10 minutes. 100 nm Si NPs showed comparatively higher yield factors versus their 3 and 9 nm counterparts. In contrast, when exposed for 30 minutes, 100 nm Si NPs showed consistently higher yield factors (>10) for methanol concentrations higher than 0.4 mM while the yield factors for 3 and 9 nm Si NPs were comparable to those observed for 10 minutes of photolysis.

Of important note, the yield factors determined here are two to three times higher than equivalent values obtained for various TiO_2 nanoparticles systems (e.g., bare particles or modified TiO_2 nanocomposites or metal-semiconductor) (Wang et al. 2000, Wang et al. 2002, Wang et al. 2013b).

6 CONCLUSIONS AND RECOMMENDATIONS

6.1 Conclusions

Si NPs of different sizes were synthesized by means of established procedures, functionalized and later employed for advanced oxidation processes by means of photolysis. A cost effective and high yielding magnesiothermic reduction protocol for the synthesis of Si NPs has been developed. The new Mg-reduction method paves the way for large scale synthesis (i.e., kilograms) of Si nanoparticles of tailored size and shape, which in turn opens up possibilities of industrial scale Si NP applications. UV advanced oxidation processes (AOPs) are often used as an effective mitigation protocol to address the impact of organic pollutants. The combination of UV photolysis and hydroxyl (OH) radical reactions ensures the removal of a wide range of compounds. In this study, the UV/Si NPs system was used for the oxidation of a model contaminant, methanol. It was determined that UV exposure of 10 minutes in the presence of Si nanoparticles is suitable to degrade the model contaminant with appreciably higher quantum yields and reaction rates than standard TiO₂ systems. The yield factors (η) obtained also exceeded those reported in the literature for TiO₂ based systems. Contrary to our original hypothesis that the NP band gap would dictate remediation efficiency, larger Si NPs (100 nm) exhibited consistently higher QYs and yield factors compared to their smaller Si NP counterparts. This observation is postulated to result from a manifestation of energy losses incurred during photoluminescence processes. We also suggest here that the presence of surface suboxides and surface structure may contribute to the increased efficacy of the 100 nm diameter particles in photocatalytic applications. In this context, we conclude that the intrinsic nanoparticle properties (e.g., crystallinity, defects, surface structure, suboxides, among others) play crucial roles in Si nanoparticle photocatalysis that can override nanoparticle size effects.

The quantum yields obtained for Si NPs are unprecedented and provide comprehensive evidence that Si nanoparticles are highly suitable for wastewater treatment. Adding to their appeal, Si nanoparticles are bio-inert, possess highly tunable surface chemistry, and have a tunable bandgap. These properties alone make Si nanoparticles a material of choice for environmental remediation and an ideal replacement for TiO₂ based systems. The use of Si nanoparticles helps to overcome several disadvantages of TiO₂-based photocatalysts; for example, TiO₂ can only absorb 5% of solar spectrum energy due to its wide band gap and faster recombination rates making it an inefficient solar-based photocatalyst. The band gap of large Si nanoparticles allows absorption of more than 50% of the solar spectrum (Shockley and Queisser 1961) making passive solar induced remediation of organic pollutants a viable option.

This study is the first detailed investigation of the photocatalytic efficiency of Si nanoparticles for the oxidation of organic contaminants. The results are very promising and Si NPs have emerged as potential candidate for applications in OSPW treatment.

6.2 Recommendations

The following recommendations are put forth based on the current results and understanding of photocatalytic activity of Si nanoparticles.

 It is important that the scope of current studies be expanded to more complex molecules such as cyclohexanoic acid (CHA) or acids with multiple aromatic rings that serve as more accurate analogues of the naphthenic acids present in OSPW. The optimal concentrations of Si NPs and exposure times obtained here for methanol oxidation serve as guides in choosing the appropriate conditions for more advanced treatment. The degradation by-products of CHA and related compounds should be thoroughly investigated using mass spectrometry (LC-MS) techniques.

- 2. The pH of OSPW is approximately 8 to 8.4. In this context, the remediation of CHA and other complex models should be tested at appropriate OSPW pH conditions. Similarly, the presence of various salts or ions (e.g., Cl^- and/or CO_3^{2-}) have been implicated in the scavenging of OH radicals. It is paramount that detailed studies are carried out to evaluate the photocatalytic efficiency of Si NPs in the presence of these scavengers and other environmentally relevant chemicals.
- 3. Authentic OSPW samples obtained from different sources should also be tested using UV/Si nanoparticle AOP processes. The degradation by-products are expected to be very complex mixtures owing to the complex nature of organics present in OSPW. However, these by-products can be identified and quantified by means of mass spectrometry.
- 4. It is also recommended that suitable technology be developed for homogenous additions of Si nanoparticles into flowthrough reactors and that scalable hydraulically efficient reactors with suitable nanomaterial injection systems are designed. The design and fabrication of laboratory-scale reactors will allow for effective evaluation of the efficacy of nanoparticles in OSPW degradation. A number of important parameters must be evaluated: (i) the intensity/energy of light requirements for the degradation of naphthenic acids by nanoparticles, (ii) reaction kinetics using various co-reactants, (iii) effectiveness or QYs of Si nanoparticles for naphthenic acid degradation, and (iv) evaluation of reaction by-products.

An important component of a reactor system of this type would immobilize optimized Si nanoparticles on porous ceramic membranes and provide a continuous system for contaminant degradation in flow through reactors.

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8 GLOSSARY

8.1 **Definitions**

Advanced Oxidation Processes (AOPs)

Advanced Oxidation Processes (AOPs) are based on reactions that utilize powerful oxidizing intermediates (e.g., the hydroxyl radical ·OH) to oxidize primarily organic pollutants from contaminated air and water. The term 'advanced' is used because the chemical reactions involved are essentially the same (except billions of times faster) as those that take place very slowly if these organic pollutants are dispersed into the air or water.

Amphiphile/Amphiphilic

A chemical compound possessing both hydrophilic (water-loving, polar) and hydrophobic (non-polar) characteristics.

Anatase

Anatase is one of the three mineral forms of titanium dioxide (TiO₂).

Band Gap

The band gap of a semiconductor is the minimum energy required to excite an electron that is stuck in its bound state into a free state where it can participate in conduction.

Conduction Band

The energy level at which an electron can be considered free.

Fluence

The flux (radiative power) of the all wavelengths (of light) passing from all directions through a very small sphere over time.

Hydrosilylation

The addition of Si-H (silicon – hydrogen) bonds across unsaturated bonds (e.g., alkenes, alkynes, etc.).

Intercalation

Reversible inclusion of a molecule (or group) between two other molecules (or groups).

Magnesiothermic

A process in which magnesium (Mg) is used to reduce a compound at elevated temperature.

Moiety(ies)

A part or functional group of a molecule.

Nanocomposite

A two phase material that contains nanomaterials as fillers or reinforcement.

Nanocrystal

A solid particle that is a single crystal in the nanometer size range.

Nanoparticle

A solid particle or a material that has at least one dimension in the range of 1 to 100 nm.

Nanosponge

A highly porous material which is composed of nanoparticles.

Photocatalysis

A reaction which uses light to activate a substance which modifies the rate of a chemical reaction without being involved itself.

Photocatalyst

A chemical compound or substance that can modify the rate of a chemical reaction using light irradiation.

Photoexcitation

The process of electron excitation by light (photon) absorption.

Photoinduced

Any process caused by absorption of light.

Photoluminescence

Light emission from any form of matter after the absorption of light (photon).

Scavenger

A substance added to a mixture to remove or inactivate impurities.

Tunability

The ability to tune or control properties of a material.

Valence Band (VB) Electron

The electrons present in the valence band (ground state).

Valence Band Hole

The hole (an empty state) created in the valence band after the electron jumps from valence band to the conduction band.

| 8.2 Acronyms | |
|--------------|--|
| AOP | Advanced Oxidation Processes |
| ca. | circa (about) |
| СВ | Conduction Band |
| ENPs/NPs | Engineered Nanoparticles/Nanoparticles |
| eV | Electron Volt |
| FT-IR | Fourier-Transform Infrared Spectroscopy |
| IR | Infrared |
| LC-MS | Liquid Chromatography – Mass Spectrometry |
| MS | Mass Spectrometry |
| NC | Nanocrystal |
| NPs | Nanoparticles |
| OSPW | Oil Sands Process Water |
| OSRIN | Oil Sands Research and Information Network |
| PL | Photoluminescence |
| QY/QYs | Quantum Yield/s |
| ROS | Reactive Oxygen Species |
| SEE | School of Energy and the Environment |
| SEM | Scanning Electron Microscopy |
| TEM | Transmission Electron Microscopy |
| UV | Ultraviolet |
| VB | Valence Band |
| XPS | X-ray Photoelectron Spectroscopy |
| XRD | X-ray Diffraction |
| | |

| 8.3 | Chemicals/Chemistry |
|-----|---------------------|
|-----|---------------------|

| Ar | Argon |
|-----------------------------|------------------------------------|
| СНА | Cyclohexanoic Acid |
| DDL | diacetyl-dihydro-lutidine |
| H_2O_2 | Hydrogen Peroxide |
| HF | Hydrofluoric Acid |
| HO ₂ • | Hydroperoxyl radical |
| HSQ | Hydrogen Silsesquioxane |
| Mg/L | Miligrams per litre |
| mM | Millimolar |
| NA / NAs | Naphthenic Acid / Naphthenic Acids |
| •ОН | Hydroxyl radical |
| ¹ O ₂ | Singlet oxygen |
| O_2 | Superoxide |
| Si | Silicon |
| TiO ₂ | Titanium dioxide |

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