

1 **Microstructural quantification of rapidly solidified undercooled D2**  
2 **tool steel**

3 **J. Valloton<sup>1,2,\*</sup>, D.M. Herlach<sup>2</sup>, H. Henein<sup>1</sup> and D. Sediako<sup>3</sup>**

4 <sup>1</sup>Department of Chemical and Materials Engineering, University of Alberta, Edmonton,  
5 Canada, T6G 1H9

6 <sup>2</sup>Institut für Materialphysik im Weltraum, Deutsches Zentrum für Luft- und Raumfahrt,  
7 Cologne, Germany, 51170

8 <sup>3</sup>School of Engineering, University of British Columbia, Kelowna, Canada, V1V 1V7.  
9 Formerly with Canadian Neutron Beam Centre, Canadian Nuclear Laboratories, Chalk  
10 River, Canada, K0J 1J0

11  
12 \* Corresponding author: [valloton@ualberta.ca](mailto:valloton@ualberta.ca)  
13

14

15 Abstract

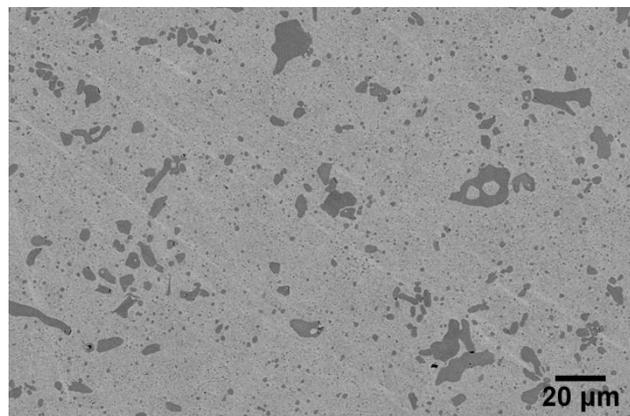
16 Rapid solidification of D2 tool steel is investigated experimentally using Electro-Magnetic  
17 Levitation (EML) under terrestrial and reduced gravity conditions and Impulse Atomization (IA),  
18 a drop tube type of apparatus. IA produces powders 300 to 1400  $\mu\text{m}$  in size. This allows the  
19 investigation of a large range of cooling rate ( $\sim 100\text{-}10,000$  K/s) with a single experiment. On the  
20 other hand EML allows direct measurements of the thermal history, including primary and  
21 eutectic nucleation undercoolings, for samples  $\sim 6\text{-}7$  mm in diameter. The final microstructures  
22 at room temperature consist of retained supersaturated austenite surrounded by eutectic of  
23 austenite and  $\text{M}_7\text{C}_3$  carbides. Rapid solidification effectively suppresses the formation of ferrite  
24 in IA, while a small amount of ferrite is detected in EML samples. High primary phase  
25 undercoolings and high cooling rates tend to refine the microstructure, which results in a better  
26 dispersion of the eutectic carbides. Evaluation of the cell spacing in EML and IA samples show  
27 that the scale of the final microstructure is mainly governed by coarsening. EBSD analysis of IA  
28 samples reveal that IA powders polycrystalline, regardless of the solidification conditions. EBSD  
29 on EML samples reveal strong differences between the microstructure of droplets solidified on  
30 ground and in microgravity conditions. While the former ones are polycrystalline with many  
31 different grains, the EML sample solidified in  $\mu\text{g}$  shows a strong texture with very few much larger  
32 grains having twinning relationships. This indicates that fluid flow has a strong influence on grain  
33 refinement in this system.

34

35

36 1. Introduction

37 D2 tool steels are widely used in industry for dies, punches, forming rolls or blades among others  
38 because of their good wear and abrasion properties [1]. This is due to the high volume fraction  
39 of carbides that precipitate during the eutectic reaction. However, as can be seen in Figure 1,  
40 conventional casting methods result in the formation of coarse carbides. The mechanical  
41 properties can be further improved by reducing the size and evenly distributing carbides [2]. This  
42 can be achieved by using a rapid solidification technique on D2 tool steel to refine the  
43 microstructure.



44  
45 **Figure 1:** Microstructure of as-received D2 tool steel. The light phase is austenite/ferrite, the dark  
46 phase is the M<sub>7</sub>C<sub>3</sub> carbide.

47  
48 Understanding the microstructural evolution during solidification is key to manufacturing  
49 products with desired properties. The microstructure evolution during rapid solidification  
50 processes depends on the velocity of the solid-liquid interface, which in turn depends on the  
51 undercooling  $\Delta T$  prior to solidification of individual phases in the alloy and the mode of heat  
52 extraction. Containerless solidification refers to a class of solidification processes in which large

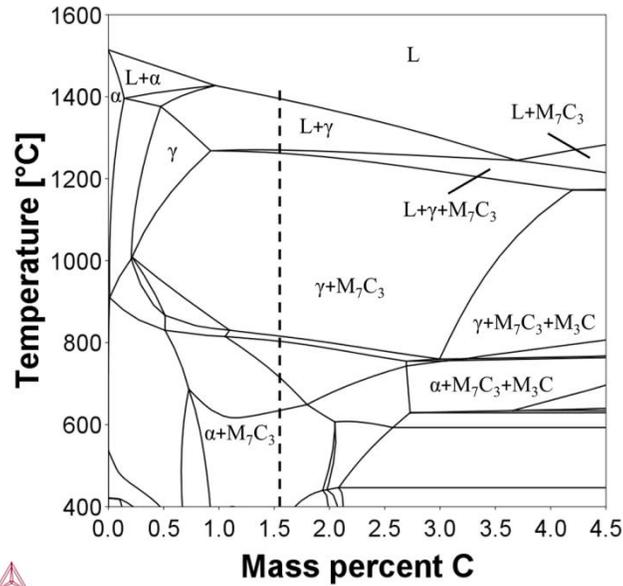
53 undercoolings are achieved by the complete avoidance of heterogeneous nucleation on  
54 container walls. An undercooled melt corresponds to a non-equilibrium state of metastable  
55 liquid. During undercooling, driving forces occur such that in contrast to near-equilibrium  
56 solidification there is more than one solidification pathway. The number of possible solidification  
57 modes increases with undercooling, making accessible a broad range of metastable  
58 microstructures and structurally different phases.

59 In this study, two containerless techniques, Electro-Magnetic Levitation (EML) and Impulse  
60 Atomization (IA), are applied to D2 tool steel. EML enables direct measurement of the primary  
61 and eutectic undercoolings for samples ~ 6-7 mm in diameter. IA produces powders 300 to 1400  
62  $\mu\text{m}$  in size. Since the droplet size directly correlates to the cooling rate it allows the investigation  
63 of a large range of cooling rate (~100-10,000 K/s) with a single run. Comparisons between results  
64 obtained with these two methods allow to investigate the effect of both the undercooling and  
65 the cooling rate on the final microstructure of the samples.

## 66 2. Experimental methods

67 D2 tool steel (obtained from Böhler-Uddeholm) is a high carbon, high chromium ferrous alloy  
68 with the following composition: Fe-1.55C-11.8Cr-0.4Mn-0.8Mo-0.8V-0.3Si (all in wt.%). A  
69 pseudo-binary phase diagram calculated using the ThermoCalc software and TCFE8 database is  
70 presented in Figure 2. The dashed line marks the carbon content of D2 tool steel. Under  
71 equilibrium conditions, austenite first solidifies at 1667 K (1394°C), followed by the eutectic  
72 decomposition of the interdendritic liquid into austenite and carbide ( $L \rightarrow \gamma + (\text{Cr,Fe})_7\text{C}_3$ ) at 1543  
73 K (1270°C). At room temperature, the microstructure consists of ferrite and  $(\text{Cr,Fe})_7\text{C}_3$ . However,

74 during rapid solidification of tool steels metastable supersaturated austenite can be retained in  
75 the microstructure [3].

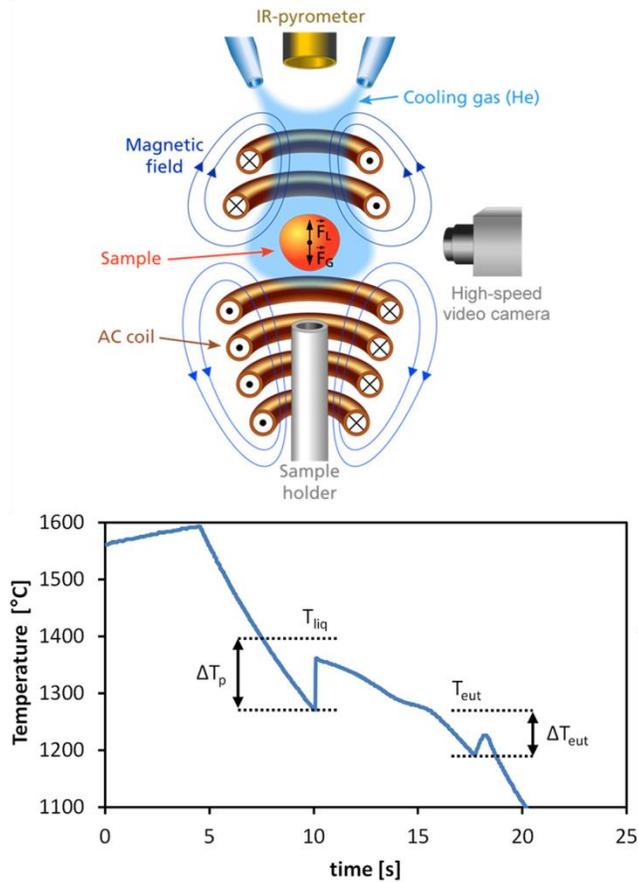


76  **Figure 2:** Calculated pseudo-binary phase diagram for D2 tool steel using ThermoCalc software  
77 (TCFE8 database). The carbon content of D2 tool steel (1.55 wt.%) is marked by the dashed line.  
78 Adapted from [4].  
79

80  
81 Electro-Magnetic Levitation (EML) is a powerful containerless solidification technique for the  
82 processing of electrically conducting samples such as metals and semiconductors with a large  
83 range of undercoolings. A schematic view of the apparatus is shown in Figure 3. An alternating  
84 current flowing through a water-cooled levitation coil produces an alternating electromagnetic  
85 field. A conducting sample placed within this field is levitated by the Lorentz force  $F_L$  of the  
86 induced eddy currents which compensates for the gravitational force  $F_G$ . Simultaneously, the  
87 eddy currents induced in the sample heat and melt the sample by ohmic losses. To solidify the  
88 sample, cooling jets of inert gas are used. The temperature of the sample is monitored  
89 continuously with a two-color pyrometer (Impac IGA10-LO) with an accuracy of  $\pm 5$  K (a typical

90 temperature-time profile is shown in Figure 3), while the rapid propagation of the solidification  
91 front is observed with a high-speed video camera at 42,000 frames per second (Photron  
92 FASTCAM SA5). Detailed information on the EML technique can be found in [5]. EML solidification  
93 experiments in reduced gravity conditions were also carried out using the TEMPUS facility  
94 (Tiegelfreies ElektroMagentisches Prozessieren Unter Schwerelosigkeit, German for  
95 containerless electromagnetic processing in zero-gravity), a portable EML unit specifically  
96 designed for parabolic flights [6].

97 Rods of D2 tool steel were cut into cubes of approximately 5 mm in length (~1 g) and each side  
98 was ground to remove any surface paint, oxide layer, and contamination, ensuring that each  
99 piece of material was clean. Using an alumina holder, each sample was inserted into the levitation  
100 coil in the ultra-high vacuum chamber. The chamber was evacuated to a pressure of  $10^{-7}$  mbar  
101 and backfilled with high purity inert gas (helium 6.0). The droplet was melted and overheated to  
102 a temperature 100-200 K above its liquidus temperature to remove possible residual oxides and  
103 contaminants. To cool the sample below its liquidus temperature and induce solidification, jets  
104 of helium 6.0 were then used. The sphere-like sample of 6 to 7 mm in diameter as solidified was  
105 retrieved for subsequent characterization.



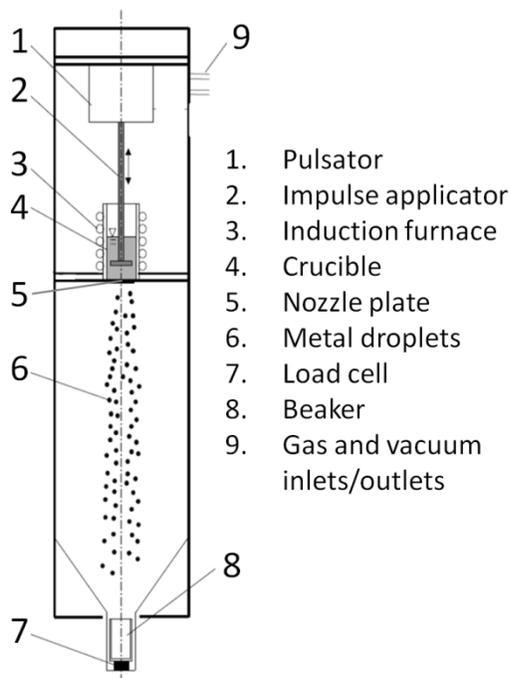
106

107 **Figure 3:** Schematic view of an electromagnetic levitation (EML) apparatus and typical  
 108 temperature-time profile obtained during EML solidification. Primary and eutectic solidification  
 109 are clearly identified by the corresponding recalescence events of undercoolings  $\Delta T_p$  and  $\Delta T_{eut}$   
 110 respectively. Adapted from [4].

111

112 Impulse atomization (IA) is the other containerless solidification technique used in this study  
 113 (Figure 4). It consists in the transformation of a bulk liquid into a spray of liquid droplets. A  
 114 plunger (or impulse applicator) applies a pressure (or impulse) to the melt in order to push it  
 115 through a nozzle plate with several orifices of known size and geometry. Liquid ligaments  
 116 emanate from each orifice, which in turn break up into droplets. Rapid solidification of the  
 117 droplets then occurs during free fall by heat loss to the surrounding gas (usually He, N<sub>2</sub> or Ar).

118 Cooling rate is a function of both the droplet size and the gas in the atomization tower. The  
119 solidified samples can finally be collected at the bottom of the tower. More information on IA is  
120 available in [7].



121  
122 **Figure 4:** Schematic view of an impulse atomization apparatus.

123  
124 Rods of D2 tool steel were cut into small pieces and cleaned. The alloy was melted and held in an  
125 alumina crucible for 30 min at 1873 K (1600°C, superheat of ~200 K). Then, the liquid was pushed  
126 through orifices at the bottom of the crucible to create droplets via atomization. The falling liquid  
127 droplets were then cooled in either high purity helium or nitrogen atmospheres having a  
128 maximum oxygen content of 8 ppm. The droplets were solidified by the time they reached a high  
129 temperature oil quench bath 4 meters below the atomizing nozzle. Subsequently, the IA D2 tool  
130 steel powders were washed using toluene and methanol and sieved into different size ranges

131 based on MPIF Standard [8]. Size ranges of 300-355  $\mu\text{m}$ , 600-710  $\mu\text{m}$ , and 1000-1400  $\mu\text{m}$  were  
132 chosen from the IA powders for further characterization.

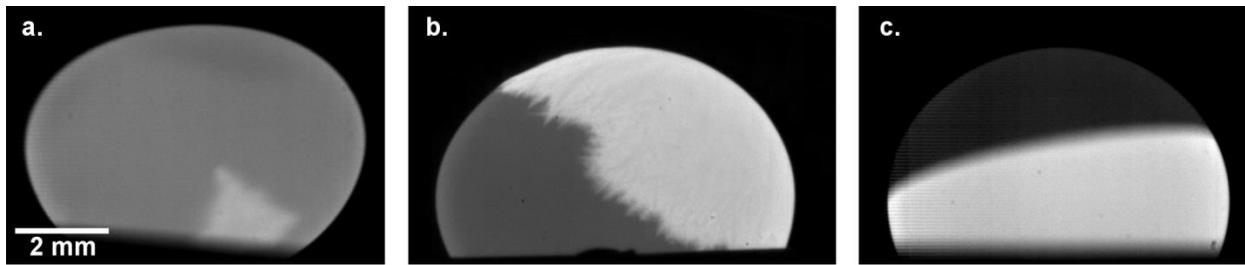
133 Contrary to X-Rays, the high penetration of neutrons allows for the analysis of a whole EML  
134 sample or an entire population of IA droplets. Neutron diffraction measurements on both EML  
135 and IA samples were carried out on the C2 neutron diffractometer of the Canadian Neutron Beam  
136 Centre located at the Canadian Nuclear Laboratories in Chalk River, Canada. Measurements were  
137 performed using a wavelength of 1.33  $\text{\AA}$  from a Si531 monochromator at  $92.7^\circ 2\theta$ .

138 In order to reveal the microstructure of the samples, EML and IA samples were mounted in epoxy  
139 resin. Grinding was first carried out using silicon carbide papers up to grit 1000 (P2500) , followed  
140 by mechanical polishing with 3 and 1  $\mu\text{m}$  diamond particles on soft cloths. Final polishing was  
141 performed with a 0.05  $\mu\text{m}$  alumina slurry. The microstructures were then characterized using  
142 scanning electron microscopy (SEM) with a Zeiss Sigma FE-SEM running at 20 kV and equipped  
143 with an HKL system for Electron Backscattered Diffraction (EBSD).

### 144 3. Results and Discussion

145 Figure 5 shows high-speed video snapshots of electromagnetic levitated samples solidified under  
146 different primary undercoolings  $\Delta T_p$ . The dark grey area is the undercooled liquid. The light grey  
147 region corresponds to the growing solid, which appears brighter due to the release of latent heat  
148 during recalescence. Tracking of the solid-liquid interface allows for the determination of the  
149 growth velocity of the solidification front. At  $\Delta T_p \approx 45$  K, a single coarse equiaxed dendrite is  
150 growing through the undercooled liquid at a relatively slow velocity,  $v \approx 0.03$  m/s (a). At higher  
151 undercooling,  $\Delta T_p \approx 179$  K, the growth front consists of several fine dendrites originating from a

152 single nucleation point (b). The growth velocity increases by two orders of magnitude at  $v \approx 1.3$   
153 m/s. At very high undercooling,  $\Delta T_p \approx 272$  K, the front becomes spherical with no observable  
154 dendritic features (c). The growth velocity increases again by almost an order of magnitude to  $v$   
155  $\approx 8.4$  m/s.

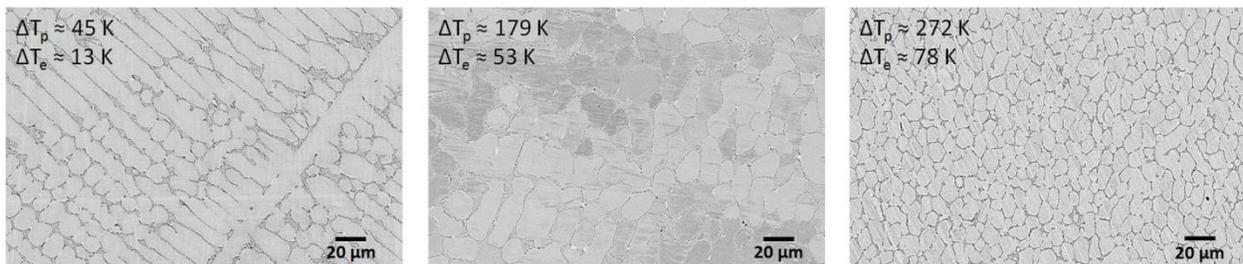


156 **Figure 5:** High-speed video images of electromagnetic levitated samples solidified at different  
157 primary undercoolings  $\Delta T_p$ . The dark grey area is the undercooled liquid. The light grey region  
158 corresponds to the growing solid, which appears brighter due to the release of latent heat during  
159 recalescence. a.  $\Delta T_p \approx 45$  K,  $v \approx 0.03$  m/s. b.  $\Delta T_p \approx 179$  K,  $v \approx 1.3$  m/s. c.  $\Delta T_p \approx 272$  K,  $v \approx 8.4$  m/s.  
160 A transition from coarse to fine dendrites to spherical front is observed. Adapted from [4].  
161

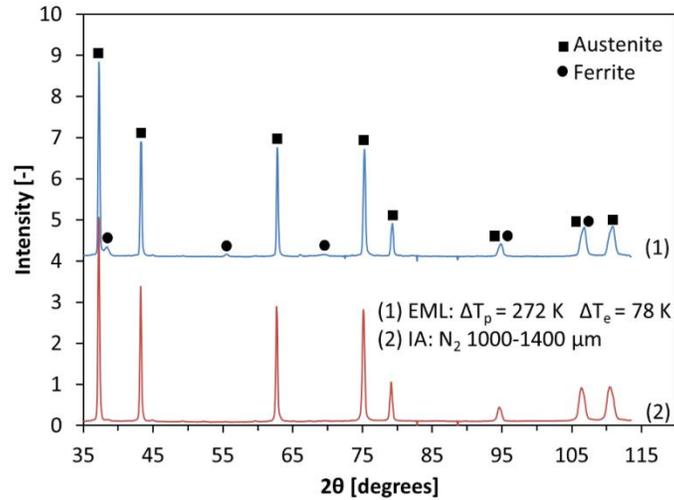
162

163 Micrographs of the microstructures of these three samples are presented in Figure 6 with both  
164 the primary and eutectic undercoolings indicated ( $\Delta T_p$  and  $\Delta T_e$  respectively). In all cases, primary  
165 austenite and interdendritic austenite + carbide eutectic can be identified. Rapid solidification of  
166 D2 seems to suppress completely the formation of ferrite and martensite. However, neutron  
167 diffraction experiments performed on 5 different EML samples (1g and  $\mu$ g) with different levels  
168 of undercooling yielded small ferrite peaks (see typical spectrum in Figure 7 from the sample  
169 shown in Figure 6.c). Rietveld refinement of the spectra showed that a small amount of ferrite is  
170 present, about 5-6% in all cases. The level of undercooling does not affect the amount of  
171 transformed ferrite. It is thus possible that some ferrite is present in the samples shown in Figure  
172 6, but it could not be identified. At  $\Delta T_p = 45$  K a classic coarse grained dendritic microstructure

173 is observed. Clear dendritic features are found in all samples with a primary undercooling lower  
174 than 130 K. At higher undercoolings ( $\Delta T_p > 160$  K), these dendritic features disappear in favor of  
175 a cellular morphology. This is an indication of spontaneous grain refinement, a rapid solidification  
176 phenomenon observed in metals where transitions between coarse columnar and fine equiaxed  
177 grain structures are observed at well-defined values of undercooling [9]. However, in the present  
178 experiments on D2 tool steel no samples with  $130 \text{ K} < \Delta T_p < 160 \text{ K}$  were successfully obtained so  
179 that the temperature of this transition cannot be accurately determined. It should also be noted  
180 that, unlike in other systems [10], no grain refined equiaxed microstructure is observed at smaller  
181 undercoolings. High undercoolings give rise to much finer microstructures, with the cell spacing  
182 decreasing from  $16.8 \pm 2.0 \text{ }\mu\text{m}$  at  $\Delta T_p = 179 \text{ K}$  to  $8.3 \pm 0.8 \text{ }\mu\text{m}$  at  $\Delta T_p = 272 \text{ K}$  due to the higher  
183 growth velocities involved. This results in a better dispersion of the  $(\text{Cr,Fe})_7\text{C}_3$  carbides  
184 throughout the microstructure. From the high speed video recordings, samples b and c present  
185 a very different solidification front morphology. However, the resulting microstructures appear  
186 similar with only a difference in their scale. This suggests that the spherical front depicted in  
187 Figure 5.c is actually composed of very fine dendrites whose features are too small to distinguish  
188 due to the resolution of the camera.



190 **Figure 6:** SEM micrographs of cross sections of D2 samples solidified in EML at  $\Delta T_p = 45 \text{ K}$ ,  $179 \text{ K}$ ,  
191 and  $272 \text{ K}$  respectively. Dendrites or cells of austenite can be observed, surrounded by the  
192 eutectic. Adapted from [4].

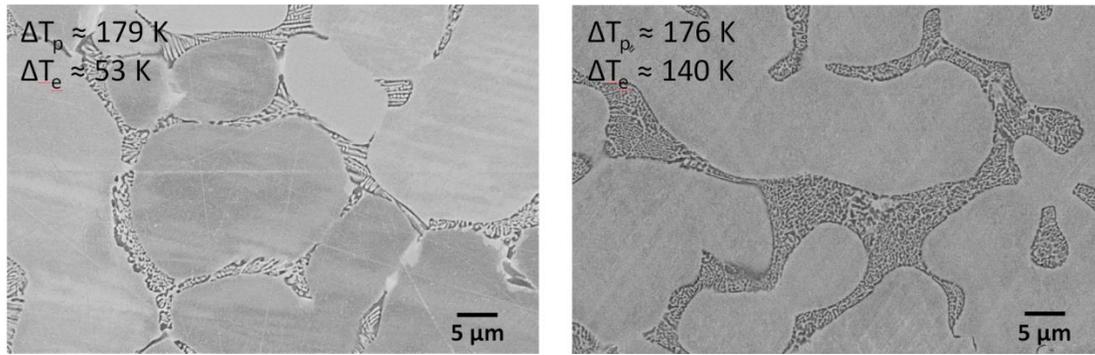


193

194 **Figure 7:** Typical neutron diffraction spectra of EML and IA samples. A small amount of ferrite is  
 195 detected in the EML sample (~5.2% according to Rietveld refinement).

196

197 Eutectic lamellar spacing ( $\lambda_{eut}$ ) measurements were performed on high magnification  
 198 micrographs. The results in Table 1 show that  $\lambda_{eut}$  is the same for EML samples regardless of the  
 199 undercoolings and processing conditions. However, samples with high eutectic undercoolings see  
 200 their morphology change from a regular lamellar eutectic to an anomalous globular eutectic in  
 201 some parts of the samples (Figure 8). A lower attachment-kinetics coefficient is expected for  
 202  $M_7C_3$ , an ordered compound, than for austenite, a disordered solid solution. The resulting  
 203 difference in growth velocity at high eutectic undercoolings can suppress the coupled growth  
 204 conditions. Hence, the leading phase austenite overgrows the more sluggish  $M_7C_3$  and decoupled  
 205 growth occurs to yield an anomalous eutectic [11][12].

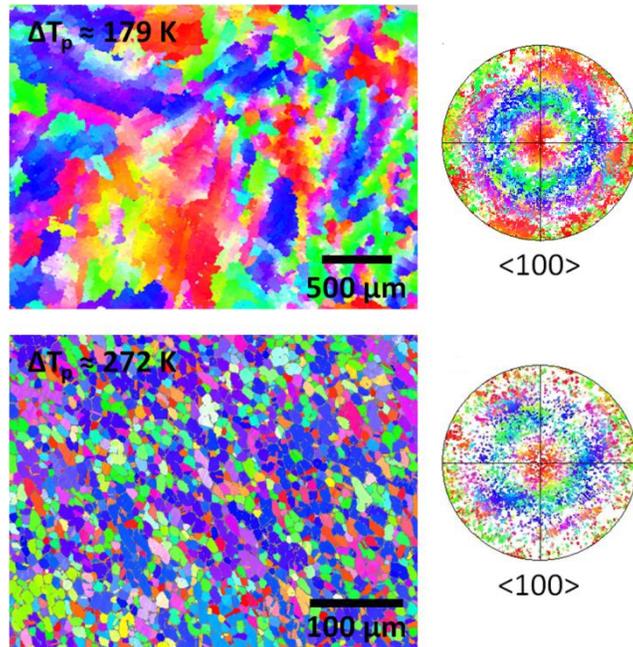


206

207 **Figure 8:** SEM micrographs of cross sections of D2 samples solidified in EML at  $\Delta T_e = 53$  K and 140  
 208 K respectively. A change from regular lamellar eutectic to anomalous globular eutectic is  
 209 observed.

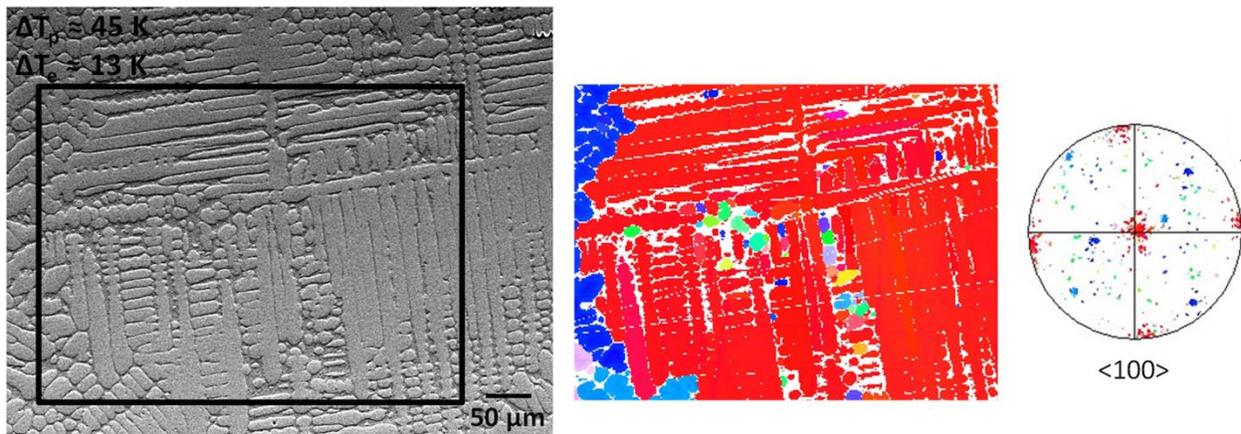
210

211 Figure 9 shows EBSD maps and the corresponding pole figures for the two high  $\Delta T_p$  EML samples  
 212 of Figure 6. Both samples exhibit an equiaxed polycrystalline microstructure. At  $\Delta T_p = 179$  K the  
 213 grain size ranges from 100 to 500  $\mu\text{m}$  while at  $\Delta T_p = 272$  K the range decreases to 10 to 50  $\mu\text{m}$ ; a  
 214 stronger grain refinement is observed in the highly undercooled sample. Grain refinement is  
 215 assumed to be the consequence of fragmentation, i.e. caused by the remelting of the dendrite  
 216 arms following recalescence [10]. Indeed, looking at the microstructure of the sample solidified  
 217 at  $\Delta T_p = 45$  K in Figure 10, one can again see a dendritic microstructure. However, even at this  
 218 low primary undercooling there is evidence of fragmentation of the dendrites, with arms  
 219 seemingly detached from the trunk and surrounded by eutectic. As the undercooling increases,  
 220 the tip radius decreases, leading to an increase in capillarity effect. Meanwhile, the degree of  
 221 solute supersaturation increases significantly as the growth velocity of the dendrites increases.  
 222 Both effects of high undercoolings result in the driving forces for fragmentation becoming large.  
 223 The refinement of the microstructure observed at high undercoolings is then a consequence of  
 224 severe fragmentation of the dendrites and subsequent spheroidization of the fragments [13].



225

226 **Figure 9:** EBSD maps and corresponding pole figures of cross sections of D2 samples solidified in  
 227 EML at  $\Delta T_p = 179$  K (top) and 272 K (bottom). Adapted from [4].



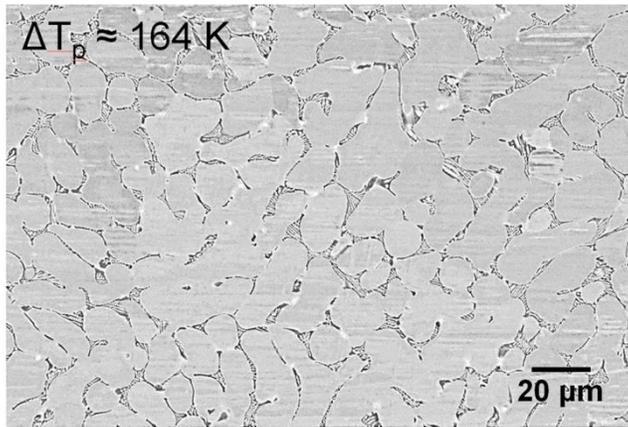
228

229 **Figure 10:** SEM micrograph, EBSD map and corresponding pole figure of a cross section of a D2  
 230 samples solidified at  $\Delta T_p = 45$  K. Fragmentation of the dendrite arm is observed.

231

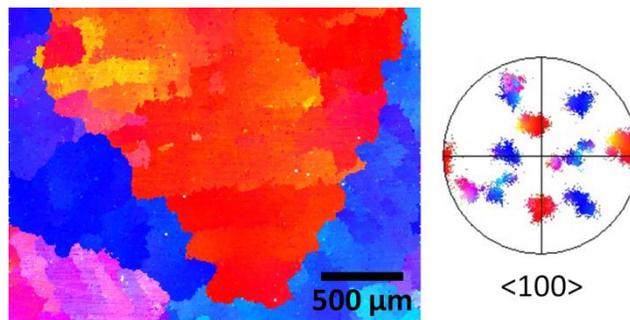
232 Using the TEMPUS facility in a parabolic flight campaign [6], EML experiments were performed  
 233 under reduced gravity conditions to observe the influence of convection on the rapid  
 234 solidification of D2 tool steel. Fluid flow in EML samples processed in microgravity ( $\mu g$ ) is reduced

235 to about 0.05 m/s, compared to 0.3 m/s during ground-based experiments [14]. A typical  
236 micrograph of a sample solidified under  $\mu g$  conditions at an undercooling of  $\Delta T_p = 164$  K is shown  
237 in Figure 11. At first glance the microstructure appears similar to that of the sample solidified on  
238 ground at a comparable undercooling ( $\Delta T_p = 179$  K in Figure 6), with cells of primary austenite  
239 surrounded by the eutectic. Furthermore, the cell size in these two samples is similar (). However,  
240 diffraction results are strikingly different. The EBSD map in Figure 12 reveals a strongly textured  
241 structure, with only four different grains. Remarkably, these grains have a twin relationship with  
242 respect to each other. It is unclear if twinning occurred at nucleation or if solidification started  
243 with one single grain with subsequent stacking faults. The size of the largest grain is about 2 mm,  
244 potentially more as it is not fully contained within the EBSD map. Such large grains have not been  
245 observed in D2 samples solidified at undercoolings of the same magnitude during ground-based  
246 experiments. This clearly indicates that fluid flow in the sample during EML solidification plays a  
247 major role in grain refinement in this system. This can be explained by considering the grain  
248 refinement mechanism described above. From the EBSD map in Figure 10 it can be seen that  
249 while most fragments keep the parent orientation of the main  $\langle 100 \rangle$  dendrite (in red), some  
250 fragments do have a different orientation. The latter are mostly found in eutectic-rich region. It  
251 is therefore likely that after being completely detached, those fragments were able to rotate  
252 freely in the liquid before the eutectic reaction. In microgravity experiments, such fragments  
253 would be in a much more quiescent melt. Due to the quasi-absence of fluid flow, the fragments  
254 would remain more or less in place and thus keep their original orientation until the eutectic  
255 temperature is reached. It is still unclear whether fluid flow also promotes fragmentation of the  
256 dendrites via mechanical shear or local remelting due to solute redistribution.



257

258 **Figure 11:** SEM micrograph of a cross section of a D2 sample solidified at  $\Delta T_p = 164$  K under reduced  
 259 gravity conditions.



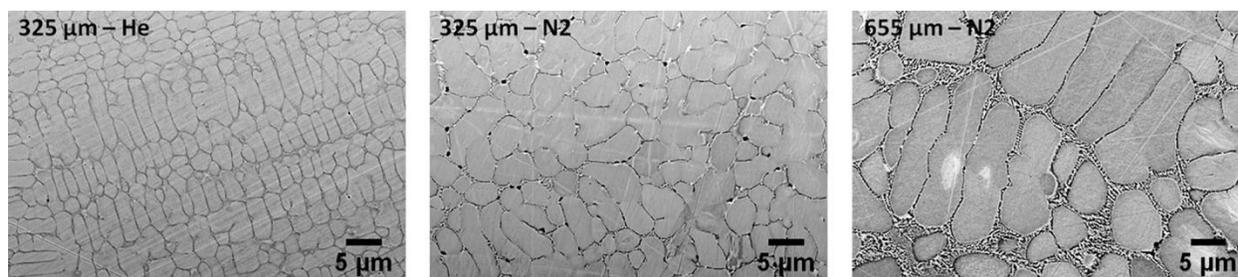
260

261 **Figure 12:** EBSD map and pole figure of a cross section of a D2 sample solidified at  $\Delta T_p = 164$  K  
 262 under reduced gravity conditions.

263

264 SEM micrographs of three D2 tool steel droplets produced by IA are shown in Figure 13: a 325  
 265  $\mu\text{m}$  particle atomized in helium (left), a 325  $\mu\text{m}$  particle atomized in nitrogen (middle) and a 655  
 266  $\mu\text{m}$  particle atomized in nitrogen (right). As in EML samples, austenite and  $(\text{Cr,Fe})_7\text{C}_3$  carbides can  
 267 be identified [15]. Furthermore, neutron diffraction indicates that no transformation of austenite  
 268 to ferrite occurred in 1000-1400  $\mu\text{m}$  droplets solidified in nitrogen (Figure 7). As these  
 269 experienced the lowest cooling rate of all IA droplets analyzed in this study ( $\sim 200$  K/s for 1000-  
 270 1400  $\mu\text{m}$  droplets in  $\text{N}_2$ , an order of magnitude higher than EML experiments), it is expected that  
 271 formation of ferrite is completely suppressed in IA due to the higher cooling rates compared to

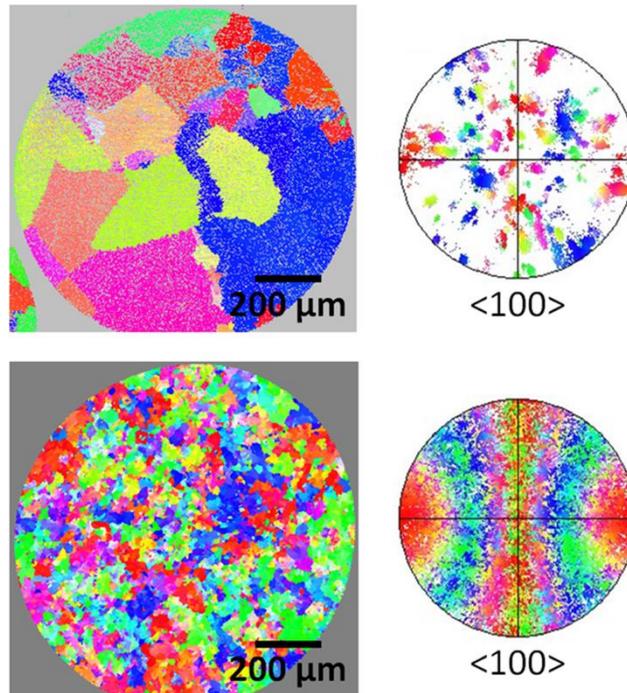
272 EML. A comparison of the 325  $\mu\text{m}$  particles in Figure 13 shows that the microstructure is finer for  
273 particles atomized in helium versus those solidified in nitrogen. The thermal conductivity of  
274 helium is significantly higher than that of nitrogen [16]. Thus, droplets atomized in helium solidify  
275 faster, resulting in a finer microstructure. Comparing the two particles atomized in nitrogen, it  
276 can be seen that the larger particle has a comparatively coarser microstructure. This is because  
277 heat transfer, and hence cooling rate, is higher in smaller particles due to their larger  
278 surface/volume ratio. It is worth noting that for all IA particles, the average cell spacing is an  
279 order of magnitude smaller than what is obtained in EML (Table 1). Hence the dispersion of the  
280  $(\text{Cr,Fe})_7\text{C}_3$  carbides throughout the microstructure is further improved. The eutectic spacing is  
281 also smaller than in the EML samples (Table 1), but is the same order of magnitude. However,  
282 higher undercoolings are expected to decrease the lamellar spacing [17]. The small differences  
283 observed between the various samples stem from the fact that most of the eutectic likely  
284 solidifies after recalescence under quasi-equilibrium conditions. Due to their small sizes, it is  
285 more likely to observe the eutectic that formed during recalescence in the IA droplets, thus  
286 explaining why the average eutectic spacing is slightly smaller than in EML samples. An in-depth  
287 study of the eutectic kinetics in this system will be presented in a subsequent paper.



288  
289 **Figure 13:** SEM micrographs of cross sections of three D2 particles solidified in IA under different  
290 conditions. The microstructure is finer than in EML solidification.

291

292 As solidification of IA droplets occurs during free fall, microstructures similar to what is observed  
293 in the EML sample solidified in microgravity were expected. However, EBSD analyses reveal that  
294 D2 droplets present equiaxed polycrystalline microstructures similar to ground-based EML  
295 experiments, regardless of the experimental conditions (Figure 14). As the free-falling droplets  
296 are almost devoid of convection, it is unlikely that the various crystallographic orientations found  
297 in the microstructure result from fragmentation and coarsening of dendrite arms. Furthermore,  
298 previous studies of Al-Fe and Al-Cu samples have shown that IA droplets are usually  
299 monocrystalline or have a strong texture [18][19]. It is believed that these polycrystalline  
300 structures stem from multiple nucleation events in the undercooled liquid, as observed in Al-Ni  
301 droplets [20]. The absence of columnar dendrites at the surface supports nucleation events  
302 through the bulk of the samples but the reason for multiple nucleation remains unclear. Droplets  
303 solidified in helium (Figure 14 top) exhibit larger and fewer grains than droplets solidified in  
304 nitrogen (Figure 14 bottom). As mentioned above, droplets atomized in helium experience  
305 higher cooling rates than in nitrogen due to the difference in gas thermal conductivities. The  
306 nucleation density being inversely proportional to the cooling rate, droplets solidified in N<sub>2</sub> would  
307 then see a higher number of stable clusters, leading to the formation of numerous small grains  
308 throughout their volume.



309

310 **Figure 14:** EBSD maps and corresponding pole figures of a 1000-1400  $\mu\text{m}$  IA droplet solidified in  
 311 He (top) and a 1000-1400  $\mu\text{m}$  droplet solidified in  $\text{N}_2$  (bottom).

312

313 It is well known that the secondary dendrite arm spacing  $\lambda_2$  also reveals the solidification cooling

314 rate to a certain extent.  $\lambda_2$  is shown to be related to the average cooling rate  $\dot{T}$  by a power law

315  $\lambda_2 = A (\dot{T})^{-n}$  [21]. In order to compare samples solidified in EML and in IA, the secondary

316 dendrite arm spacing (or cell spacing)  $\lambda_2$  is plotted as a function of the cooling rate in Figure 15.

317 A reasonable fit is obtained with  $A = 27.9$  and  $n = 0.276$ . The exponential factor is close to the

318 theoretical value of  $1/3$  but is lower than the  $n$  values reported for various steels. However, no

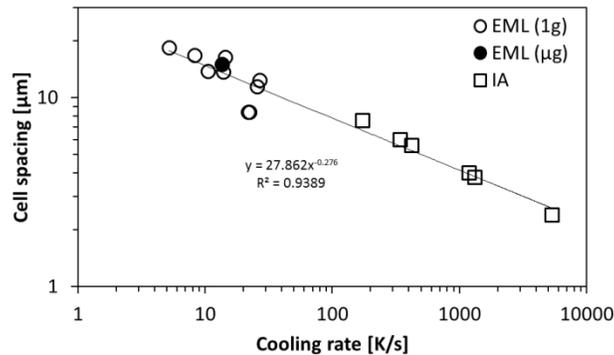
319 such data concerning D2 tool steel could be found [21][22][23]. The observed decrease of  $\lambda_2$  with

320 cooling rate indicates that the final microstructure of the rapidly solidified samples is controlled

321 in part by coarsening. However, a scatter in the EML data is evident. This is likely due to grain

322 refinement which alters the original microstructure.  $\lambda_2$  has been measured on dendrites or

323 dendritic remnant when present. However, as mentioned above, highly undercooled EML  
324 samples do not exhibit any dendritic features so that only a cell spacing could be measured. This  
325 shows that the effects of undercooling on the final microstructure become important at lower  
326 cooling rates.



327

328 **Figure 15:** Cell spacing as a function of cooling rate for EML and IA samples.

329

#### 330 4. Conclusion

331 A microstructural study of rapidly solidified D2 tool steel, an industrial alloy, was carried out.  
332 Droplets of D2 were solidified over a wide range of undercoolings using Electro-Magnetic  
333 levitation and over a wide range of cooling rates using Impulse Atomization. The resulting  
334 microstructures consist of dendrites or cells of retained supersaturated austenite surrounded by  
335 eutectic of austenite and  $M_7C_3$  carbides. Neutron diffraction confirms the absence of ferrite in IA  
336 powders while a small amount is present in EML samples due to the smaller cooling rates  
337 involved. EML samples solidified at high primary undercoolings exhibit a fine microstructure with  
338 strong grain refinement. Evidence of dendrite fragmentation at low undercooling supports a  
339 grain refinement mechanism at high undercoolings consisting of severe fragmentation of the

340 dendrites and subsequent spheroidization of the fragments. IA results show that higher cooling  
341 rates also yield smaller cell spacing and thinner eutectic lamellar spacing. High primary phase  
342 undercoolings and high cooling rates tend to refine the microstructure, which results in a better  
343 dispersion of the eutectic carbides. EBSD analysis reveals striking differences in microstructures  
344 between EML samples solidified on ground and under reduced gravity conditions. Ground-  
345 processed samples are found to be polycrystalline with many different grains, while the EML  
346 droplet solidified in microgravity exhibits a strong texture, with only a few much larger grains.  
347 This indicates that grain refinement in this system is strongly influenced by fluid flow. A steady  
348 decrease of cell spacing with increasing cooling rate is observed in IA powders. This shows that  
349 the final microstructure is controlled mostly by coarsening. The same trend is observed in EML  
350 samples but with more scatter, due to grain refinement which alters the original microstructure  
351 at high undercoolings.

## 352 Acknowledgment

353 The authors are grateful to the TEMPUS crew performing the experiment during parabolic flights.  
354 The authors also gratefully acknowledge the neutron beamtime and expert support provided by  
355 the Canadian Nuclear Laboratories. Dr. M. Kolbe, Dr. T. Volkmann, Dr. J. Gegner, and Dr. C.  
356 Karrasch are acknowledged for support and fruitful discussions. Financial support from the  
357 Natural Sciences and Engineering Research Council of Canada, the Canadian Space Agency FAST  
358 program, and the European Space Agency within the CCEMLCC project under contract  
359 #4200020277 is acknowledged.

360

361 References

- 362 [1] G. Roberts, G. Krauss and R. Kennedy: *Tool Steels*, 5<sup>th</sup> ed., ASM International, Materials  
363 Park, OH, 1998.
- 364 [2] Y. Luan, N. Song, Y. Bai, X. Kang, D. Li: *J. Mater. Process. Technol.*, 2010, vol. 210, pp.  
365 536-541.
- 366 [3] A. K. Bhargava and A. N. Tiwari: *Int. J. Rapid Solidification*, 1992, vol. 7, pp. 51-66.
- 367 [4] J. Valloton, D. M. Herlach, H. Henein: *IOP Conf. Ser. Mat. Sci.*, 2016, vol. 117, art. no.  
368 012058.
- 369 [5] D. M. Herlach and D. M. Matson: *Solidification of Containerless Undercooled Melts*, Wiley-  
370 VCH, Weinheim, 1<sup>st</sup> ed, 2012, pp. 9-16.
- 371 [6] G. Lohöfer and J. Piller, *Proc. 40th AIAA Aerospace Sciences Meeting & Exhibit*, 2002, paper  
372 no.: AIAA 2002-0764.
- 373 [7] H. Henein: *Mater. Sci. Eng.*, 2002, vol. A326, pp. 92-100.
- 374 [8] Metal Powder Industries Federation, *Standard test methods for metal powders and*  
375 *powder metallurgy products*, Metal Powder Industry, Princeton, NJ, 2012.
- 376 [9] M. Schwarz, A. Karma, K. Eckler and D. M. Herlach: *Phys. Rev. Let.*, 1994, vol. 73, pp. 1380-  
377 83.
- 378 [10] D. M. Herlach, K. Eckler, A. Karma and M. Schwarz: *Mater. Sci. Eng A*, 2001, vol. 304-306,  
379 pp. 20-25.
- 380 [11] B. Wei and D. M. Herlach: *Mater. Sci. Eng. A*, 1994, vol. 181-182, pp. 1150-1155.
- 381 [12] M. Li and K. Kuribayashi: *Metall. Mater. Trans. A*, 2003, vol. 34, pp. 2999-3008.
- 382 [13] M. Behúlová, J. Mesárošová and P. Grgáč: *J. Alloys Compd.*, 2014, vol. 615, pp. S217-S223.
- 383 [14] J. Lee, D. M. Matson, S. Binder, M. Kolbe, D. M. Herlach and R. W. Hyers: *Metall. Mater.*  
384 *Trans. B*, 2014, vol. 4, pp. 1018-1023.
- 385 [15] P. D. Khatibi, A.B. Phillion and H. Henein: *Powder Metall.*, 2014, vol. 57, pp. 70-78.
- 386 [16] W. M. Rohsenow and J. P. Hartnett: *Handbook of heat transfer*, 3rd ed., McGraw-Hill, New  
387 York, NY, 1998.
- 388 [17] J. A. Dantzing and M. Rappaz: *Solidification*, EPFL Press, Lausanne, 1<sup>st</sup> ed, 2009.
- 389 [18] M. Bedel, G. Reinhart, A.-A. Bogno, Ch.-A. Gandin, S. Jacomet, E. Boller, H. Nguyen-Thi and  
390 H. Henein: *Acta Mater.*, 2015, vol. 89, pp. 234-246.
- 391 [19] J. Valloton, A.-A. Bogno, J. Chen, R. Lengsdorf, H. Henein, D. M. Herlach, U. Dahlborg and  
392 M. Calvo-Dahlborg: *TMS 2016: 145 Annual Meeting & Exhibition: Supplemental*  
393 *Proceedings (ed TMS)*, John Wiley & Sons, Inc., Hoboken, NJ, pp. 51-57.
- 394 [20] A. Ilbagi and H. Henein: *Metall. Mater. Trans. A*, 2014, vol. 45, pp. 2152-2160.
- 395 [21] W. Kurz and D. J. Fisher: *Fundamentals of solidification*, 4<sup>th</sup> ed., Trans Tech Publications,  
396 Aedermannsdorf, 1984.
- 397 [22] M. Boccalini and H. Goldenstein: *Int. Mat. Rev.*, 2001, vol. 46, pp. 92-115.
- 398 [23] O. Volkova, H.-P. Heller and D. Jank: *ISIJ International*, 2003, vol. 43, pp. 1724-1732.
- 399

400

401

402 **Table 1:** Cell spacing ( $\lambda_{\text{cell}}$ ) and eutectic spacing ( $\lambda_{\text{eut}}$ ) as function of solidification conditions.

<b>EML</b>	$\Delta T_{\text{prim}}$ [K]	$\Delta T_{\text{eut}}$ [K]	$\lambda_{\text{cell}}$ [ $\mu\text{m}$ ]	$\lambda_{\text{eut}}$ [ $\mu\text{m}$ ]
	45	13	$19.5 \pm 1.9$	$0.37 \pm 0.07$
	179	53	$16.8 \pm 2.0$	$0.38 \pm 0.08$
	176	140	$16.4 \pm 1.8$	$0.38 \pm 0.07$
	272	78	$8.3 \pm 0.8$	$0.37 \pm 0.06$
<b><math>\mu\text{g}</math></b>	164	13	$17.3 \pm 1.7$	$0.41 \pm 0.09$
<b>IA</b>	Gas	Size range [ $\mu\text{m}$ ]	$\lambda_{\text{cell}}$ [ $\mu\text{m}$ ]	$\lambda_{\text{eut}}$ [ $\mu\text{m}$ ]
		300-355	$2.4 \pm 0.3$	$0.17 \pm 0.04$
	He	600-710	$4.0 \pm 0.7$	-
		1000-1400	$5.6 \pm 1.0$	$0.23 \pm 0.03$
		300-355	$3.8 \pm 0.1$	$0.26 \pm 0.04$
	N <sub>2</sub>	600-710	$6.0 \pm 1.1$	-
		1000-1400	$7.6 \pm 1.0$	$0.21 \pm 0.05$

403

404 Figure 1: Microstructure of as-received D2 tool steel. The light phase is austenite/ferrite, the dark phase  
 405 is the  $\text{M}_7\text{C}_3$  carbide..... 3  
 406 Figure 2: Calculated pseudo-binary phase diagram for D2 tool steel using ThermoCalc software (TCFE8  
 407 database). The carbon content of D2 tool steel (1.55 wt.%) is marked by the dashed line. Adapted from  
 408 [4]. ..... 5  
 409 Figure 3: Schematic view of an electromagnetic levitation (EML) apparatus and typical temperature-time  
 410 profile obtained during EML solidification. Primary and eutectic solidification are clearly identified by the  
 411 corresponding recalescence events of undercoolings  $\Delta T_p$  and  $\Delta T_{\text{eut}}$  respectively. Adapted from [4]. ..... 7  
 412 Figure 4: Schematic view of an impulse atomization apparatus. .... 8  
 413 Figure 5: High-speed video images of electromagnetic levitated samples solidified at different primary  
 414 undercoolings  $\Delta T_p$ . The dark grey area is the undercooled liquid. The light grey region corresponds to the  
 415 growing solid, which appears brighter due to the release of latent heat during recalescence. a.  $\Delta T_p \approx 45$   
 416 K,  $v \approx 0.03$  m/s. b.  $\Delta T_p \approx 179$  K,  $v \approx 1.3$  m/s. c.  $\Delta T_p \approx 272$  K,  $v \approx 8.4$  m/s. A transition from coarse to fine  
 417 dendrites to spherical front is observed. Adapted from [4]. ..... 10  
 418 Figure 6: SEM micrographs of cross sections of D2 samples solidified in EML at  $\Delta T_p = 45$  K, 179 K, and 272  
 419 K respectively. Dendrites or cells of austenite can be observed, surrounded by the eutectic. Adapted  
 420 from [4]. ..... 11  
 421 Figure 7: Typical neutron diffraction spectra of EML and IA samples. A small amount of ferrite is  
 422 detected in the EML sample ( $\sim 5.2\%$  according to Rietveld refinement). ..... 12  
 423 Figure 8: SEM micrographs of cross sections of D2 samples solidified in EML at  $\Delta T_e = 53$  K and 140 K  
 424 respectively. A change from regular lamellar eutectic to anomalous globular eutectic is observed..... 13  
 425 Figure 9: EBSD maps and corresponding pole figures of cross sections of D2 samples solidified in EML at  
 426  $\Delta T_p = 179$  K (top) and 272 K (bottom). Adapted from [4]. ..... 14

427	Figure 10: SEM micrograph, EBSD map and corresponding pole figure of a cross section of a D2 samples	
428	solidified at $\Delta T_p = 45$ K. Fragmentation of the dendrite arm is observed.....	14
429	Figure 11: SEM micrograph of a cross section of a D2 sample solidified at $\Delta T_p = 164$ K under reduced	
430	gravity conditions.....	16
431	Figure 12: EBSD map and pole figure of a cross section of a D2 sample solidified at $\Delta T_p = 164$ K under	
432	reduced gravity conditions. ....	16
433	Figure 13: SEM micrographs of cross sections of three D2 particles solidified in IA under different	
434	conditions. The microstructure is finer than in EML solidification. ....	17
435	Figure 14: EBSD maps and corresponding pole figures of a 1000-1400 $\mu\text{m}$ IA droplet solidified in He (top)	
436	and a 1000-1400 $\mu\text{m}$ droplet solidified in $\text{N}_2$ (bottom).....	19
437	Figure 15: Cell spacing as a function of cooling rate for EML and IA samples.....	20
438		