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Hepatitis A Virus 3C Proteinase: Inhibitor Design, Synthesis and Testing

by

Manjinder Singh Lall



A thesis submitted to the faculty of Graduate Studies and Research in partial fulfillment of the requirements for the degree of Doctor of Philosophy

Department of Chemistry

Edmonton, Alberta Spring 2000



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To my wife, Baljeet, and children, Navjoth and Amrita

ABSTRACT

Ten types of compounds were designed and synthesized as potential inhibitors of hepatitis A virus (HAV) 3C proteinase. Since this enzyme is essential for viral maturation. successful inhibitors may lead to antiviral therapeutic agents. Preparation of N-phthalimido-N', N'-dimethylglutamine fluoromethyl ketone 9 in six steps (13% overall yield), provided a facile route to ¹³C-labeled Ac-Leu-Ala-Ala-N',N'-dimethyl-glutamine-fluoromethyl ketone 6 for NMR enzyme inactivation studies. Ac-Leu-Ala-Ala-N', N'-Dimethylglutamine α , β -unsaturated methyl ester 10 was synthesized in seven steps (19% overall yield). Compound 10 is a time-dependent irreversible inhibitor of HAV 3C, $k_{inact} / K_{t} = 137 \text{ M}^{-1}\text{s}^{-1}$ (4RS)-4-[(1S)-1-(tert-Butyloxycarbonylamino)-3-(N,N-dimethylcarbamoyl)propyl]-2oxetanone 77 was synthesized in six steps (32% overall yield). (4R or S)-4-[(1S)-1-(tertbutyloxycarbonylamino)-3-(N,N-dimethylcarbamoyl)propyl]-3,3-dimethyl-2-oxetanone 93 was synthesized in seven steps (3% overall yield). Compound 93 is a weak inhibitor of HAV 3C (12% inhibition) at 100 µM. N-Cbz-Serine-β-lactone 13a and its enantiomer 13b were synthesized in two steps (30-40% overall yield). Compound 13a was shown to irreversibly inactivate HAV 3C, $k_{inact} / K_{I} = 63 \text{ M}^{-1} \text{ s}^{-1}$. Mass spectrometric and HMQC NMR studies using $[\beta^{-13}C]$ -13a show that the active site cysteine (Cys-172) thiol of the HAV 3C attacks the β -position (i.e. C-4) of the oxetanone ring, leading to ring opening and alkylation of the sulfur. In contrast, the enantiomer 13b, is a reversible competitive inhibitor (K_i = 1.50 x 10⁻⁶ M). N-Phenethylsulfonyl-serine- β -lactone 14a and its enantiomer 14b are synthesized in four steps (9-11% overall yield). In a similar manner Ntrans- β -styrenesulfonyl-L-serine- β -lactone 128a and its enantiomer 128b were synthesized in three steps 4-5% overall yield. Inhibition studies show the N-sulfonamideserine- β -lactones to be potent inhibitors of HAV 3C: 14a (IC₅₀ = 25 μ M); 14b (IC₅₀ = 4 μ M); 128a (IC₅₀ = 38 μ M); and 128b (IC₅₀ = 3 μ M). N-Phenethylsulfonyl-L-threonine- β -lactone 15a and its stereoisomers D-threo- β -lactone 15b, L-allo-threo- β -lactone 15c and D-allo-threo- β -lactone 15d were synthesized in five steps (35-54% overall yield). Inhibition studies show the N-sulfonamide-threonine-B-lactones to be time-dependent inhibitors of HAV 3C: 15a (IC_{s0} = 168 μ M); 15b (IC_{s0} = 136 μ M); 15c (IC_{s0} = 32 μ M); and 15d (IC₅₀ = 12 μ M). Ac-Leu-Ala-Ala-N',N'-Dimethylglutamine- γ -lactone 16 was synthesized in eight steps (13% overall yield). Compound 16 is a time-dependent inhibitor of HAV 3C proteinase, $k_{inact} / K_{I} = 48 \text{ M}^{-1} \text{s}^{-1}$. Ac-Leu-Ala-Ala-N',N'-Dimethylglutamine- β -hydroxy acid 18 was synthesized in seven steps (47% overall yield). No significant inhibition of HAV 3C was observed at 100 µM. 4-(N'-Methyl)carbamoyl-Nmethylphthalimide 25 was synthesized in two steps (42% overall yield). In addition, 4-(N'-methyl)carbamoyl-N-methylisoindolinone 27a and 5-(N'-methyi)carbamoyl-Nmethylisoindolinone 27b were synthesized in three steps (13% and 4% overall yield, respectively). No significant inhibition of HAV 3C was observed with 25, 27a or 27b at 100 µM. The results show for effective HAV 3C proteinase inhibition, serine or threonine β -lactones which possess a hydrophobic molety such as phenyl attached to the α -amino group as in compounds 13a-b, 14a-b and 15a-d are required. The β -lactone motif represents a new class of inhibitors of cysteine proteinases.

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LIST OF ABBREVIATIONS

[α]	specific rotation
Ac	acetyl
AIDS	acquired immune deficiency syndrome
Ala	alanine
APT	attached proton test
Arg	arginine
Ar	aryl
Bn	benzyl
Boc	butyloxycarbonyl
BOP	benzotriazol-1-yloxytris(dimethylamino)phosphonium
	hexafluorophosphate
BOPCI	bis(2-oxo-3-oxazolidinyl)phosphinic chloride
bp	boiling point
br	broad
BSA	bovine serum albumin
t-BuOK	potassium tert-butoxide
с	concentration
Cbz	benzyloxycarbonyl
CDI	1,1'-carbonyldiimidazole
CI	chemical ionization
CIC ₉₅	culture inhibitor concentration at 95% (antiviral activity)
Cys	cysteine
δ	chemical shift in parts per million downfield from TMS
d	doublet

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Dabcyl	4-(4-dimethylaminophenylazo)benzoyl
DMAD	dimethyl azodicarboxylate
DMF	N,N-dimethylformamide
DMSO	dimethylsulfoxide
DTT	DL-dithiothreitol
Edans	5-[(2-aminoethyl)amino]-naphthalene-1-sulfonic acid
EDTA	ethylenediaminetetraacetic acid
EI	electron impact ionization
Enz	enzyme
ES	electrospray ionization
Et	ethyl
EtOCOCl	ethyl chloroformate
EtOH	ethanol
FAB	fast atom bombardment
Gin	glutamine
Gly	glycine
HAV	hepatitis A virus
HBTU	N-[(1H-benzotriazol-1-yl)-(dimethylamino)methylene]-N-
	methylanaminium hexafluorophosphate N-oxide
HEPES	4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid
HIV	human immunodeficiency virus
His	histidine
HMQC	heteronuclear multiple quantum coherence
HPLC	high performance liquid chromatography
HRV	human rhinovirus
IC ₅₀	inhibitor concentration at 50% (enzyme inhibition)
IR	infrared

•

J	coupling constant
K _t	dissociation constant of mechanism-based inactivator
K,	dissociation constant of enzyme-reversible inhibitor complex
k _{inact}	rate of enzyme inactivation
Leu	leucine
m	multiplet
Me	methyl
MeOH	methanol
MHz	megahertz
mp	melting point
MS	mass spectrometry
m/z	mass to charge ratio
NMR	nuclear magnetic resonance
NOE	nuclear Overhauser effect
Ph	phenyl
Phe	phenylalanine
Ph ₃ P	triphenylphosphine
Pht	phthalimido
ppm	parts per million
Ру	pyridine
q	quartet
Rf	retention factor
RNA	ribonucleic acid
ROESY	rotating frame nuclear Overhauser and exchange spectroscopy
rt	room temperature
S	singlet
Ser	serine

t	triplet
t _{1/2}	half life
TFA	trifluoroacetic acid
THF	tetrahydrofuran
Thr	threonine
TLC	thin layer chromatography
TNBS	2,4,6-trinitrobenzenesulfonic acid
TsOH	p-toluenesulfonic acid
Tr	trityl
UV	ultraviolet
Val	valine

.

INTRODUCTION

Proteinases have long been recognized as valid targets for the development of inhibitors as therapeutics in a number of serious human diseases.¹ For example, the antihypertensive agent Captopril 1 (Figure 1) targets the metalloproteinase angiotension-converting enzyme (ACE),² whereas ICI 200 880 2 is an inhibitor of human leukocyte elastase (HLE), a serine proteinase involved in inflammation and tissue degradation.² Proteinases which have received much attention in recent years are those encoded by viruses, with the focus having been on the aspartyl proteinase of human immunodeficiency virus (HIV).³ The recent approval of HIV proteinase inhibitors such as Indinivar (Merck) **3** and Saquinavir (Roche) **4** for the therapy of acquired immune deficiency syndrome (AIDS), confirms the validity of viral proteinases as promising antiviral targets.¹ The hepatitis A virus (HAV) 3C cysteine proteinase of the viral family Picornaviridae has been of interest in our group.

Figure 1 Several selective proteinase inhibitors



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1. The Picornaviridae Family

The Picornaviridae, among the smallest icosahedral positive-sense single stranded RNA containing viruses known, comprise one of the largest and most important families of human and animal pathogens.⁴ There are more then 200 known viruses which belong to this family, which are classified into six genera containing members such as human poliovirus (HPV), human rhinovirus (HRV), foot-and-mouth disease virus (FMDV), encephalomyocarditis virus (EMCV), hepatitis A virus (HAV) and human echovirus (Table 1).⁵

Tal	ble	1	The	Picom	aviridae	Fami	ly

Genus	<u>Serotypes</u>	Examples	<u>Disease</u>	Proteinase
Entero	93	HPV	myelitis	2A, 3C
Rhino	105	HRV	common cold	2A, 3C
Aphtho	7	FMDV	foot-and-mouth	L, 3C
Cardio	2	EMCV	myocarditis	3C
Hepato	1	HAV	hepatitis A	3C
Orphano	2	echo 22 & 23	myocarditis	3C

Picornaviruses cause a wide variety of diseases in humans, ranging from transient and benign (common cold) to severe and life threatening (polio). In a similar sporadic manner, picornaviral associated disease in North America extends from occasional outbreaks (polio) to endemic pools (common cold), and in many underdeveloped parts of the world HAV associated disease is still epidemic. The largest HAV epidemic in recent years occurred in Shanghai (mollusk-linked) in 1988, involving ~300,000 people.⁶ Although HPV and HAV vaccination have been very effective, subsequent outbreaks in industrialized nations, as recently occurred in Finland, are still a major concern to epidemiologists and public health officials.^{7.8}

Immunization is the major alternative to the development of therapeutic or prophylactic agents for picornaviruses. Vaccine strategies have been successful for poliovirus and hepatitis A virus.^{9,10} However, for many picornaviruses the development of effective vaccines is impractical due to the high mutation rate of the virus capsid proteins, which can lead to the generation of escape mutants capable of infecting previously vaccinated individuals.¹¹ In contrast to the high mutation rate observed in the capsid proteins, the processing proteinases appear relatively invariant and thus provide unique and highly susceptible targets for therapeutic intervention.¹² To date, there are no effective antiviral agents for the treatment or prophylaxis of any picornavirus. Hence, considerable importance lies in the development of antiviral agents to aid in the combat of picornaviral disease. In addition to the medicinal use of antiviral agents, these compounds play a pivotal role as tools in molecular virology to elucidate viral processes such as viral genome replication.¹³

Picornaviruses share the major features of the viral replication cycle, including the central role of the specific proteolytic processing of a viral polyprotein.⁵ Individual details of viral replication and polyprotein processing distinguish the genera of the Picornaviridae family (Table 1).⁵ The life cycle of the Picornaviridae is initiated by the virus attaching to and entering the host cell *via* some form of endocytosis.¹⁴ The virus then uncoats, releasing its positive sense single-stranded RNA into the cytosol, where the latter functions as messenger RNA to direct the synthesis of a single polyprotein of approximately 250 kilodaltons (Figure 2).¹⁵



Figure 2 Generalized schematic representation of polyprotein translation and cleavage in the Picornaviridae

This polyprotein undergoes a co-translational cleavage into a capsid (P1) and nonstructural protein (P2-P3) precursor. In the case of the entro- and rhinoviruses, this is initially mediated by the 2A proteinase.^{16,17} In other Picornaviridae, how this cleavage is accomplished remains unclear. The 3C proteinase is released from the polyprotein and the remainder of the polyprotein is cleaved into its component nonstructural products and capsid proteins, which then assemble into new virions. Studies of chimeric and mutant picornaviruses have demonstrated that interruption of 3C proteolytic processing prevents the formation of new virions.¹⁵

2. Properties of Hepatitis A (HAV) 3C Proteinase

The HAV 3C processing enzyme is a cysteine proteinase, which catalyzes peptidebond cleavage through nucleophilic attack by the sulfur atom of the active site cysteine residue upon the substrate carbonyl carbon atom of the scissile bond to form a covalent tetrahedral intermediate.¹⁸ The wild type HAV 3C enzyme has 219 amino acids with a molecular weight of 24 kilodaltons and exists as an active monomer. For ideal peptide substrates mimicking the 2B / 2C junction, the k_{cat} is typically about 1.8 sec⁻¹ with an approximate K_m of 2.1 mM at pH 7.5. Attempts to crystallize the wild type HAV 3C for structural studies were unsuccessful due to the presence of two cysteines in the molecule (at position 24 and at the active site position 172). However, site-specific mutagenesis generated an inactive C24S-C172A mutant which crystallized and the structure was determined to 2.3 Å resolution.¹⁸ Recently, a refined crystal structure of an active HAV 3C mutant, wherein only the external cysteine-24 has been replaced by alanine, was determined to 2.0 Å resolution (Figure 3).¹⁹ In addition, the crystal structure of the 3C proteinase from human rhinovirus-14 (HRV) has been elucidated, by Matthews et al.,²⁰ at Agouron Pharmaceuticals. In HAV 3C the active site cysteine nucleophile (Cys-172) is in close proximity to a histidine residue (His-44), which acts as a general base to form the thiolate; an ordered water molecule is thought to complete the catalytic triad (Figure 4).²¹ Although the HAV 3C and HRV-14 3C represent different subtypes of the 3C enzyme, the critical active site geometry of the nucleophilic cysteine side chain as well as the histidine general base are virtually superimposable with the equivalent residues, serine-195 and histidine-57 of chymotrypsin.¹⁸ These structural studies show that topologies of the HAV and HRV 3C proteinases resemble β -barrel fold serine proteinases such as chymotrypsin and trypsin, respectively, rather than the papain family of cysteine proteinases.¹⁸

From the standpoint of inhibitor design, it is essential to understand how these enzymes obtain their high degree of specificity. In the case of HAV 3C, synthetic peptides

Figure 3 Ribbon secondary structure of HAV 3C (C24S) proteinase, figure prepared by Dr. Ernst M. Bergmann



Figure 4 Active site of HAV 3C (C24S) proteinase, figure prepared by Dr. Ernst M. Bergmann



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Any small amino acid (Gly, Ala or Ser) is accepted in the P_1 ' (in the nomenclature of Schechter and Berger)²³ position and virtually any amino acid is suitable in the P_2 ' position

with the exception of proline and arginine. Residue discrimination is apparent for the S_4 subsite: large side chains such as the branched aliphatic or aromatic side chains of leucine, isoleucine or tryptophan are preferred. There is high specificity for a glutamine residue at the P₁ cleavage site. The S₁ subsite is a shallow hydrophobic pocket, and at the base of this pocket resides a histidine residue (His-191) in an appropriate position to form a hydrogen bond with the side chain carbonyl oxygen of the glutamine in the substrate (Figure 4).

3. Inhibitor Design: Insight to Drug Discovery

Considerable effort is devoted to study and inhibition of cysteine proteinases because they are targets for the development of therapeutic agents for many diseases including: viral infections, parasitic ailments, arthritis, cancer and osteoporosis.²⁴ Advances in molecular biology have provided availability of protein and protein structures, thus aiding the drug discovery process.²⁵ In an ideal situation, quantitative computational methods would greatly influence the protein structure-based drug design process. However, to date no methods are reliable enough, relative to qualitative human design, to be used in a reliable manner. The process of forming a complex between a small ligand and a protein is a complicated equilibrium process (Figure 6). A solvated ligand may exist as an equilibrium mixture of several conformers, and the protein may also have conformational freedom in solution. Thus, the design process currently relies on an iterative process of chemical synthesis of ligands and tests for binding.

Figure 6 Schematic of protein-ligand complexation



A number of agents have been described as inhibitors of HAV 3C and HRV 3C proteinases as potential therapeutic leads, including peptide aldehydes,^{26,27} peptide fluoromethyl ketones,²⁸ β -lactams,²⁹ isatins,³⁰ homophthalimides,³¹ vinylogous esters and sulfones,³²⁻³⁴ halomethyl carbonyls,^{35,36} and azapeptide compounds.^{35,37,38} These agents were employed to block the formation of mature viral proteins arresting the viral life-cycle, and a few representatives are illustrated in Table 2. These inhibitors can be broadly classified into two categories: peptide-based inhibitors **5-7**; and non-peptide inhibitors **8**.

	Inhibitor	Inhibition		
		HAV 3C	HRV-14 3C	
5		$K_{i}^{*} = 42 \text{ nM}$	~2100 nM	
6		$k_{inact} / K_t = 330 \text{ M}^{-1} \text{s}^{-1}$	n.d.	
7		$k_{inact} / K_{t} = 200 \text{ M}^{-1} \text{s}^{-1}$	n.d.	
8		n.d.	K _i = 11 nM	

Table 2 Effect of inhibitor on the activity of picornavirus 3C proteinase

 K_i^{\bullet} = overall dissociation constant of the tight enzyme-inhibitor (slow binding) complex EI[•] k_{inact} / K_i = rate of enzyme inactivation K_i = overall dissociation constant of the tight enzyme-inhibitor complex EI n.d. = not determined

3.1 Peptide-Based Inhibitors

Since peptide-based inhibitors mimic natural substrates, an attractive feature of these compounds is that the selectivity and specificity can be designed to avoid cross reactivity with other essential human proteinases, thereby minimizing the occurrence of side-effects. Unfortunately, peptides are rapidly cleaved *in vivo*, are rarely bioavailable or orally active drugs. However, in combination with crystallographic studies of enzyme-inhibitor complexes, peptide-based inhibitors can provide a very useful picture of protein-ligand interactions for design of non-peptide drugs.

3.1.1 Peptidyl Halomethyl Ketones

Peptidyl halomethyl ketones behave as affinity labels of cysteine proteinases by blocking the essential thiol group *via* alkylation.³⁹ Although selectivity can be achieved by varying the peptidyl residue, the high chemical reactivity of the halomethyl moiety towards general nucleophilic attack can cause severe toxic effects in cellular systems.⁴⁰ Attempts to overcome nonspecific side-reactions employ fluorine, since the rate of thiol alkylation by fluoromethyl ketone is 0.2% of that observed with a chloromethyl ketone.⁴¹ In addition, peptidyl fluoromethyl ketones have high selectivity toward cysteine proteinases, and display lower reactivity with serine proteinases.⁴²

Recently, the groups of Ringe and Abeles⁴³ reported the mechanism of interaction between chymotrypsin (a serine proteinase) and an α -chloroethyl ketone (Figure 7).



Figure 7 Modes of inhibition for serine and cysteine proteinases by halomethyl ketones

The serine hydroxyl attacks the haloketone carbonyl, internal displacement of halide then proceeds, followed by oxirane ring opening at the less hindered position by the histidine nitrogen. This results in an *N*-alkylated acetal as shown in Figure 7. However, crystallographic studies of the papain-like proteinase cruzain⁴⁴ inhibited by a fluoromethyl ketone, and NMR studies of papain inactivated by a chloromethyl ketone⁴⁵ clearly demonstrate that these cysteine proteinases have the active site sulfhydryl replacing the

halogen to form an α -keto sulfide (Figure 7). This could, in principle, occur *via* a mechanism similar to that proposed for chymotrypsin and chloroethyl α -ketone,⁴³ namely attack of sulfhydryl on the carbonyl and generation of an epoxide, which would then be followed by 1,2-sulfur migration. However, direct halogen displacement by the thiolate, which is much more nucleophilic than the serine hydroxyl appears more likely.

Since HAV 3C employs a nucleophilic thiol (Cys-172) within the enzyme active site, the peptidyl fluoromethyl ketone Ac-Leu-Ala-Ala-Gln(NMe₂)CH₂F **6** (Figure 8) was recently prepared in our group by Drs. Sven Frormann and Christopher Lowe.²⁸





Compound **6** is a P-side inhibitor containing the essential P_4 (Leu) and P_1 (Gln) side chains required for enzyme recognition. In addition, the side-chain primary amide functionality of glutamine at P_1 is protected as the dimethyl amide for synthetic convenience and to prevent cyclization onto the reactive ketone carbonyl. Fluoromethyl ketone **6** is a potent irreversible inhibitor of HAV 3C proteinase, with a rate of enzyme inactivation $k_{inact} / K_I = 330 \text{ M}^{-1}\text{s}^{-1}$ ([E] = 0.07 μ M, [I] = 1.0 μ M).²⁸

3.1.2 Peptidyl Halomethyl Carbonyls (P'-Side Inhibitors)

Peptides that mimic the 3C cleavage site from the P' recognition residues (carboxy terminal side of the scissile bond) also provide the potential for the development of potent inhibitors. Peptidyl *N*-iodoacetamides, recently synthesized in our group by Drs. Sven Frormann and John E. McKendrick,^{36a} are P'-side inhibitors with modified N-termini. Iodoacetamide itself is a cysteine proteinase inhibitor, and hence shows inhibition towards HAV, HRV and poliovirus 3C proteinases.³⁶ Attachment of peptides that mimic the P₁'-P₂' side-chains can increase selectivity. For example, ICH₂CO-Val-Phe-NH₂ 7 (Figure 9) inhibits HAV 3C with a rate of enzyme inactivation k_{inact} / K_t = 200 M⁻¹s⁻¹ ([E] = 0.07 μ M, [I] = 1.0 μ M), sixty times that of the parent iodoacetamide (ICH₂CONH₂) k_{inact} / K_t = 3.1 M⁻¹s⁻¹.^{36a}



Recently, at the University of Alberta in the group of Dr. Michael N. James, a co-crystal structure of inhibitor 7 and an HAV 3C (C24S, F82A) double mutant has been diffracted to 1.9 Å resolution.⁴⁶ The complex has an acetyl-Val-Phe-amide group covalently attached to the sulfur-atom of the active site Cys-172, with the dipeptide side chains bound in their appropriate S_1' and S_2' specificity subsites (Figure 10). The crystal structure reveals that the HAV 3C proteinase possesses a defined S_2' subsite specificity pocket and suggests that the P_2' phenylalanine residue could be an important determinant for the selection of the primary cleavage site in HAV.
Figure 10 Active site of HAV 3C (C24S, F82A) proteinase (light gray) bound to acetyl-Val-Phe-amide (dark gray), figure prepared by Dr. Ernst M. Bergmann



3.2 Non-Peptide Inhibitors

In terms of bioavailability, proteolytic stability and pharmacokinetics, most peptides do not make good drugs. In contrast, mimetics having critical recognition domains may retain specificity for the target and have therapeutic potential.⁴⁷ There has been an increasing effort to design and synthesize active analogues of biologically significant peptides, to attain greater selectivity and fewer side effects than their present-day drugs.⁴⁸ Conformationally constrained molecules (rigid analogues) with appropriate recognition sites pay a lower entropy cost upon binding to their enzyme / receptor and therefore should adhere more strongly.⁴⁹ They may also have better proteolytic *in vivo* stability.⁵⁰

Hydrolytically sensitive carboxamides can be isosterically mimicked by conversion to sulfonamides, esters, urethanes or hydroxyethylenes (Figure 11).⁴⁸

Figure 11 Established isosteric replacements for peptide bonds



3.2.1 Isatins as Non-Peptide Inhibitors of HRV 3C Proteinase

Human rhinovirus (HRV) is the causative agent for more than fifty percent of incidents of the common cold.⁴ The HRV 3C proteinase adopts a similar overall β -barrel topology to the HAV 3C proteinase, and both enzymes prefer a glutamine residue for the S₁ subsite. Having the coordinates for the X-ray crystal structure of HRV-14 3C and using molecular modeling, the group at Agouron Pharmaceuticals has developed selective, low molecular weight, non-peptidic inhibitors of several serotypes of the HRV 3C proteinases.³⁰ They focused their attention to the scissile cleavage, S₁ recognition, and the S₂ subsite. The HRV-14 3C proteinase specifically recognizes the primary carboxamide in the glutamine P₁ side-chain and cleaves the Gln (P₁)-Gly (P₁') amide bond by nucleophilic attack by the active site Cys-146 (serotype 14 numbering). This proteinase prefers the aromatic amino acids Phe and Tyr at P₂. The cyclic α -keto amide isatin structure appears to be a good scaffold which incorporates these features (Figure 12). Peptidyl α -keto amides are known reversible inhibitors of cysteine and serine proteinases.⁵¹ In a similar fashion,

are known reversible inhibitors of cysteine and serine proteinases.³¹ In a similar fashion, the isatin heterocycle possesses an electrophilic ketone carbonyl, but in a conformationally restricted form. If the α -keto group of isatin is superimposed upon the scissile amide carbonyl of an octapeptide (Glu-Thr-Leu-Phe-Gln-Gly-Pro-Val) substrate, a carboxamide group at C-5 can occupy the S₁ recognition site, whereas substitution at N-1 accesses the S₂ binding pocket (Figure 12). Isatin 8 is a potent inhibitor of HRV-14 3C proteinase with K_i = 11 nM. Replacement of the N-1 *trans*-cinnamyl group, required for S₂ subsite recognition, with a methyl group increases the K_i by greater then four fold. Replacement of the C-5 carboxamide, required for S₁ recognition, with hydrogen reduces the inhibition by two thousand times. Unfortunately the most active isatins were toxic to cell cultures

infected with HRV, presumably because they are non-specifically reactive with thiols and amines.

Figure 12 Isatin 8 resemblance to HRV 3C substrate



4. Project Goal: Design and Synthesis of HAV 3C Proteinase Inhibitors

The objective of this thesis is to investigate new types of warheads (thiol reactive functionality) for highly selective HAV 3C proteinase inhibition. Since there are structural features common to all members of the picornavirus family, it is likely that insights gained into the mechanism and specificity of HAV 3C proteinase will aid in the development of specific inhibitors of other human picornaviruses.

Ten types of substrate based inhibitors for HAV 3C were designed, as illustrated in Figure 13 (A-D), 14 (E-I) and 15(J). Target A is a phthalimido-protected intermediate in

the synthesis of the established HAV 3C proteinase fluoromethyl ketone inhibitor **6** (Figure 8).²⁸ Preparation of target **A** using an alternative halogen exchange process,⁵² would provide a facile synthetic route to a ¹³C labeled **6** for enzyme inactivation studies. Target **B** contains an α , β -unsaturated ester to mimic the scissile amide bond in the substrate; thiol attack by the 3C enzyme would be expected to proceed in a Michael fashion to give an enzyme inhibitor adduct.³²⁻³⁴ Targets **C** and **D** are modifications of the P₁ residue bearing an adjacent β -lactone. Since β -lactones are readily opened by thiols by attack at the β -position,⁵³ such peptides could irreversibly alkylate the active site Cys-172 of HAV 3C.

Figure 13 Targets A-D



Targets E-I (Figure 14) also contain the thiol reactive β -lactone warhead, as well as a phenyl side chain to probe the importance of the P₂' side-chain, seen in the co-crystal complex of HAV 3C with compound 7 (Figure 9).⁴⁶ The synthesis and enzyme inhibition properties of target **F** will provide precedence for the preparation of target **G** compounds. The four stereoisomers of target G may provide aqueous stability,⁵³ structural diversity and insight into the preferred trajectory of HAV 3C thiolate attack at the β -position of the oxetanone ring. In addition, γ -lactones such as target H are well-known to undergo ring opening as a result of nucleophilic attack at the carbonyl, but they can also react with nucleophiles (especially thiols) at the γ -position.⁵⁴ Target I, β -hydroxy acids, are precursors to β -lactones and could behave as transition state analogues, mimicking the tetrahedral intermediate of the natural peptide substrate en route to a hydrolytic pathway.

Figure 14 Targets E-I

Target E:

N-Cbz-Serine-β-lactone 13



N-Sulfonamide-serine-β-lacone 14



N-Sulfonamide-threonine-β-lacone 15

CONMe₂

Target J (23-26) employs a rigid phthalimide scaffold, similar to the isatin analogue 8 (Figure 12) for HRV 3C,³⁰ on which a C-4 carboxamide is placed to mimic the essential P_1 glutamine substrate residue for HAV 3C. If HAV 3C inhibition occurs with compound 25, isoindolinones 27a and 27b can be used to probe which imido carbonyl on 25 enters the HAV 3C oxyanion hole. In the following sections, the design and results of both synthetic studies and biological assays of these targets are described.

Figure 15 Target J



Target J: Phthalimide and Isoindolinone 23: A = CO, B = CO, R = Me, X = H, Y = H24: A = CO, B = CO, R = Me, X = Me, Y = Me25: A = CO, B = CO, R = Me, X = Me, Y = H26: A = CO, B = CO, R = NHCOPh, X = Me, Y = Me27a: $A = CH_2, B = CO, R = Me, X = Me, Y = H$ 27b: $A = CO, B = CH_2, R = Me, X = Me, Y = H$

RESULTS AND DISCUSSION

1. Peptide-Based Inhibitors of HAV 3C Proteinase

1.1 Peptidyl Fluoromethyl Ketones Target A

Affinity labeling is a powerful tool for the study of enzyme active sites. A substratelike molecule with structural features adequate to form a complex with the enzyme under consideration, similar to the enzyme-substrate complex, is designed with a reactive group in its structure. Such an active site-directed reagent can then covalently modify the enzyme active site upon binding. Information gained from the enzyme-inhibitor complex can provide insight into enzyme-substrate function, enzyme mediated biological processes and overall understanding of metabolic integration. This powerful technique has been the subject of numerous reviews.⁵⁵⁻⁵⁸

Electron-withdrawing groups adjacent to a carbonyl group enhance the electrophilicity of the carbonyl functionality. Therefore introduction of an electron-withdrawing group next to the cleavage amide of a substrate holds promise in the design of inhibitors of proteinases. Fluorine is a promising electron-withdrawing substituent in this context, because of its electronegativity, minimal steric demands and the overall stability of the target structures.⁵⁹ Peptidyl fluoromethyl ketones have been shown to be highly potent proteinase inhibitors^{60,61} and also show efficacy *in vivo* in several systems.⁶²⁻⁶⁴ As indicated previously, fluoromethyl ketone **6** (Figure 8) is a potent time-dependent, irreversible inhibitor of HAV 3C proteinase, with a rate of enzyme inactivation $k_{inset} / K_{t} = 330 \text{ M}^{-1} \text{s}^{-1}$ ([E] = 0.07 μ M, [I] = 1.0 μ M).²⁸ Inhibitor **6** was prepared according to the method developed previously in our group by Drs. Sven Frormann and Christopher Lowe (Schemes 1 and 2).²⁸

Activation of carboxylic acid 28 with 1,1'-carbonyldiimidazole (CDI) in THF, followed by enolate condensation with magnesium benzyl fluoromalonate 29, affords intermediate 30 as a mixture of diastereoisomers (Scheme 1)⁶⁵. Direct hydrogenation of 30 using 10% palladium on charcoal, without isolation, yields the fluoromethyl ketone monomer 31.⁶⁶ Reduction of ketone 31 with NaBH₄ in ethanol followed by acidic work-up provides alcohol $32.^{67}$ Treatment of 32 with 50% trifluoroacetic acid in dichloromethane gives trifluoroacetate salt 33.

Scheme 1



Coupling of tripeptide 34 to trifluoroacetate salt 33 is accomplished with HBTU, in the presence of triethylamine in DMF, and yields alcohol 35 (Scheme 2).⁶⁸ Treatment of alcohol 35 with Dess-Martin periodinane 36 gives desired fluoromethyl ketone tetrapeptide $6.^{69}$



A convenient method for the detection of covalent enzyme-substrate / inhibitor adducts is nuclear magnetic resonance (NMR) spectroscopy.⁴⁵ This technique, employing carbon-13 as the reporter nuclei has been used to directly observe and characterize several covalent enzyme-inhibitor adducts of proteinases.^{26,28,45,70} To determine experimentally the type of adduct formed between the peptidyl fluoromethyl ketone 6 and the HAV 3C enzyme, the ¹³C-labeled fluoromethyl ketone **6a** (Figure 16) is a useful tool.

Figure 16¹³C-Labeled peptidyl fluoromethyl ketone 6a



The key feature of the fluoromethyl ketone synthesis in Scheme 1 is the use of magnesium benzyl fluoromalonate 29 as a means to introduce the fluoromethyl ketone unit.⁶⁵ Unlabeled magnesium benzyl fluoromalonate 29 is prepared by the lengthy procedure of Ishikawa and Ibrahim (Scheme 3).⁷¹ Ammonolysis of hexafluoropropene gives 2,3,3,3-tetrafluoropropanenitrile. The nitrile is converted to dimethyl fluoromalonate 37 by treatment with sodium methoxide in methanol followed by hydrolysis with HCl.

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Transesterification of **37** with benzyl alcohol gives the dibenzyl fluoromalonate **38**. The half ester **39** is then obtained by treatment with one equivalent of base and is subsequently treated with magnesium ethoxide to generate the magnesium salt **29**. Unfortunately, [2-¹³C]-hexafluoropropene is not commercially available and the synthesis of the corresponding 2-¹³C-labeled magnesium benzyl malonate by this method appeared lengthy and impractical.





Alternatively, synthesis of fluoromethyl ketones using a variation of the halogen exchange method developed by Kolb,⁵² can be used to place a single ¹³C label specifically at the fluoromethyl carbon from the corresponding [¹³C]-diazomethane (Scheme 4). The approach of Kolb and co-workers uses a bromine / fluorine exchange reaction adjacent to the ketone functionality as the key transformation. The required bromo ketone 42 is obtained from the acid chloride 40 of *N*-protected phenylalanine *via* the diazo ketone 41. Halogen exchange is then achieved in 45% yield with dry potassium fluoride in acetonitrile in the presence of 18-crown-6, to afford the fluoroketone 43.

26

Scheme 4



Synthesis of modified dimethyl glutamine analogue 9 (target A) was used as a synthetic model to explore whether the chemistry developed by Kolb (Scheme 4) can provide access to a fluoromethyl ketone with a derivative other than phenylalanine. If the methodology is successful in preparing fluoromethyl ketone 9 it could be applied to the synthesis of ¹³C-labeled fluoromethyl ketone monomer **31a**, en route to the desired ¹³C-labeled fluoromethyl ketone tetrapeptide **6a** (Scheme 5).

Scheme 5



Retrosynthetic analysis of compound 9 (Scheme 6) indicates that its preparation can be realized by two routes A and B; a key intermediate of both routes is acid 49 which provides the starting material to introduce the Kolb methodology (Scheme 4). Route A provides acid 49 from diprotected glutamic acid 44 and route B provides intermediate 49 from benzyl ester protected glutamic acid 50. Both routes outlined in Scheme 6 were attempted in order to obtain key intermediate acid 49.

Scheme 6



1.1.1 Route A: Synthesis of Acid 49

Dimethyl glutamine **45** was synthesized from protected glutamic acid **44** by modification of the literature procedure of Greenstein and Winitz (Scheme 7).⁷² Protected glutamic acid **44** reacts with ethyl chloroformate in the presence of triethylamine to produce the expected mixed anhydride, which upon treatment with dimethylamine hydrochloride affords dimethyl glutamine **45**. Deprotection of **45** with 50% trifluoroacetic acid in

dichloromethane provides trifluoroacetate salt 46. Salt 46 is heated under reflux in the presence of *N*-carbethoxyphthalimide,⁷³ to give phthalimide 48 as the major product and the cyclized side-product γ -lactam 47. Initially, phthalic anhydride⁷⁴ was used to introduce the phthalimido protecting group onto 46, however, unsatisfactory yields of 48 convinced us to abandon this approach. Hydrogenation of phthalimide 48 in the presence of 10% Pd/C catalyst in methanol provides key intermediate acid 49.





1.1.2 Route B: Synthesis of Acid 49

To improve upon overall yield and acquire acid 49 in a more efficient manner, route B (Scheme 8) was explored as an alternative synthetic path. Nitrogen protection of amine 50 proceeds with *N*-carbethoxyphthalimide⁷³ to give protected phthalimido 51 in reasonable yield (80%). Activation of acid 51 with ethyl chloroformate⁷² in the presence of triethylamine gives the mixed anhydride, which is condensed with dimethylamine hydrochloride to give dimethyl glutamine 52. Hydrogenation of dimethyl glutamine 52 in

the presence of 10% Pd/C catalyst in methanol provides acid **49**. The overall yield of route B is 70%, an improvement to the yield of route A (57%). In addition, route B has one less synthetic step. Nevertheless, both routes A and B provide **49** in good yield to test the Kolb methodology⁵² (Scheme 4), for preparation of fluoromethyl ketone **9** (target A, Scheme 5).

Scheme 8



1.1.3 Synthesis of Target A

The Kolb methodology was applied to 49 (Scheme 9).⁵² Acid 49 reacts with ethyl chloroformate in the presence of triethylamine to produce the expected mixed anhydride, which upon treatment with ethereal diazomethane 53 affords diazo ketone 54. Subsequent bromination of 54 with HBr provides bromomethyl ketone 55. Halogen exchange is achieved in 20% yield, with dry potassium fluoride in acetonitrile in the presence of 18-crown-6, to afford fluoromethyl ketone 9 (target A).

Scheme 9



1.1.4 Synthesis of ¹³C-Labeled 6a and NMR Studies

Following this procedure for the preparation of target A, the Kolb methodology was successfully applied to the synthesis of fluoromethyl ketone tetrapeptide **6a** (Scheme 5) by Dr. Sven Frormann in our group (Scheme 10). As the yields in the final step with the *N*-Pht derivative **9** (Scheme 9) were unsatisfactory, the synthesis was repeated with the *N*-Boc-protected derivative (Scheme 10). Initially, unlabeled material was used for the development of the synthesis shown in Scheme 10, then ¹³C-labeled material ([¹³C]-diazomethane) was used in the optimized procedure.

In Scheme 10, the mixed anhydride **56** is prepared by treating the acid with ethyl chloroformate in the presence of triethylamine. An ethereal solution of $[^{13}C]$ -diazomethane (>95% isotopic purity) is condensed with mixed anhydride **56**, to give diazo ketone **57** (42%). Diazo ketone **57** is treated with HBr to afford the bromomethyl ketone **58** in 72% yield. Halogen exchange is achieved in 46% yield, with dry potassium fluoride in

acetonitrile in the presence of 18-crown-6, to afford fluoromethyl ketone **31a**. Reduction of ketone **31a** with sodium borohydride in ethanol gives fluoro alcohol **32a**, as a mixture of diastereoisomers, in 95% yield; subsequent reactions were performed on the diastereomeric mixture. Deprotection of fluoro alcohol **32a** proceeds with 50% trifluoroacetic acid in dichloromethane to afford the trifluoroacetate salt **33a** in 95% yield. Salt **33a** is coupled to tripeptide **34** (Ac-Leu-Ala-Ala-OH) in the presence of BOP reagent and triethylamine in DMF to furnish tetrapeptide fluoro alcohol **35a** (60%). Carbon-13 labeled fluoromethyl ketone **6a** is then produced in 78% yield upon Dess-Martin periodinane oxidation of fluoro alcohol **35a**.

Scheme 10



Two model compounds **59** and **60** were also synthesized (Figure 17) by Dr. Sven Frormann to assist analysis of whether alkylation of HAV 3C occurs with the active site nitrogen (histidine-44) or sulfur (cysteine-172) nucleophile. The chemical shifts of the methylene carbons adjacent to the heteroatoms in the model compounds are 51 ppm for the imidazoloketone **59** and 38 ppm for the (alkylthio)ketone **60**; the latter value is in good agreement with the literature values for such sulfides.⁴⁵ Reaction of HAV 3C with the ¹³Clabeled tetrapeptide fluoromethyl ketone **6a** rapidly releases fluoride ion (¹⁹F NMR chemical shift -120 ppm)^{28.60} and produces an irreversible adduct whose mass spectrum is shifted to higher mass by the expected 471 Da. The ¹³C-NMR spectrum of the enzymeinhibitor complex displays a new peak at 40 ppm, suggesting the formation of an (alkylthio)ketone. Hence, despite an active site geometry which resembles a serine proteinase, the active site sulfhydryl of HAV 3C dominates the inactivation chemistry with fluoromethyl ketone analogous to that of other cysteine proteinases such as papain.^{44,45}





In summary, target A (compound 9) was synthesized. Two synthetic routes were used which gave key intermediate acid 49, the overall yield of route B is 70%, an improvement to route A (57%). The facile and efficient synthesis of 9 using a halogen exchange process provides access to ¹³C labeled fluoromethyl ketone inhibitor **6a** for NMR enzyme inactivation studies. The ¹³C-NMR spectrum of the enzyme-inhibitor complex displays a new peak at 40 ppm, indicating the formation of an (alkylthio)ketone.

1.2 Peptidyl Michael Acceptors

1.2.1 Michael Acceptor Design

Michael acceptors are useful synthetic intermediates and α , β -unsaturated carbonyl and sulfones act as Michael acceptors for soft nucleophiles such as thiol compounds,⁷⁵ leading to the formation of a covalent carbon-sulfur bond. Peptidyl Michael acceptors as inactivators of the cysteine proteinase papain were first introduced by Hanzlik and Thompson.⁷⁶ They showed that papain is inhibited irreversibly by their Michael acceptors but the serine proteinase chymotrypsin is not. Based on this observation and molecular modeling,⁷⁷ it seemed reasonable that a truncated peptide substrate in which the scissile amide carbonyl is replaced with a Michael acceptor might function as an active site irreversible HAV 3C inhibitor (Figure 18). Hence, target **B** incorporates the tetrapeptide analogue **10** as a mimic of the substrate, bearing an α , β -unsaturated ester functionality for specific recognition and binding to HAV 3C (Figure 18).

Figure 18 Rationale for target B



1.2.2 Synthesis of Target B

The strategy for the construction of target **B** (10) is based on the retrosynthetic analysis outlined in Scheme 11. Target molecule 10 can be derived from tripeptide 34 (Ac-Leu-Ala-Ala-OH) and the dimethyl glutamine α,β -unsaturated ester 66. Tripeptide 34 is readily prepared by solid phase peptide synthesis using standard Fmoc chemistry on Wang resin. The key α,β -unsaturated ester 66 could, in principle, be synthesized by a Wittig reaction from aldehyde 63 and a stabilized ylide.

Scheme 11



Thus, the peptidyl Michael acceptor 10 is synthesized by the procedure outlined in Scheme 12. Dimethyl glutamine 45 is prepared as shown previously in Scheme 7. Hydrogenation of benzyl ester 45 in the presence of 10% palladium on charcoal, in methanol, affords acid 28.⁶⁶ Acid 28 is readily converted to thioester 61 by reaction with ethyl chloroformate and ethanethiol in the presence of triethylamine.⁷⁸ Reduction of thioester 61 with triethylsilane and a catalytic amount of 10% palladium on charcoal, in dichloromethane, generates aldehyde 62 in good yield (97%).⁷⁹ The Wittig reaction then

proceeds with aldehyde 62 and methyl (triphenylphosphoranylidene)acetate, in THF, to give α,β -unsaturated ester 63.⁸⁰ Deprotection of 63 in 50% trifluoroacetic acid in dichloromethane affords the trifluoroacetate salt 64. Subsequent coupling of salt 64 with tripeptide 34, *via* the active ester formed on treatment with HBTU, in the presence of triethylamine in DMF, produces the desired peptidyl Michael acceptor 10.

Scheme 12



1.2.3 Inhibition of HAV 3C Proteinase by Target B

Target **B** (10) was assayed by the standard method,^{81,82} which is described in the experimental section and employs a discontinuous 2,4,6-trinitrobenzenesulfonic acid (TNBS) assay.⁸² The enzyme inhibition studies were performed by Colin Luo (Department of Biochemistry).^{81b} Compound 10 proved to be a time-dependent, irreversible inhibitor of HAV 3C proteinase, with a rate of enzyme inactivation k_{inact} / K_t = 137 M⁻¹s⁻¹ ([E] = 0.07

 μ M, [I] = 10.0 μ M). This promising result warranted further study. Hence, inhibitor 10 was subjected to a continuous fluorogenic assay.⁸³ Only 15% inhibition (no enzyme inhibitor pre-incubation) and 46% inhibition (15 min enzyme inhibitor pre-incubation) of HAV 3C was observed at an enzyme concentration of 0.1 μ M and inhibitor concentration of 100 μ M.

The mode of action of inhibitor 10 on HAV 3C proteinase was not elucidated further. Shortly after this part of the work was completed a flurry of publications (by Dragovich *et al.*^{32,34} at Agouron Pharmaceuticals and Wang *et al.*³³ at Eli Lilly and Company) was released regarding Michael acceptors as inhibitors for HRV 3C proteinase. The studies demonstrate the ability of peptidyl Michael acceptors to function as potent inhibitors of HRV 3C proteinase, irreversibly inhibiting the 3C proteinase from several HRV serotypes and exhibiting antiviral activity when tested against these serotypes in cell culture (Figure 19). In addition, crystallographic analysis of an enzyme-inhibitor complex confirmed the binding orientation of these compounds and revealed that enzymatic thiol attack proceeds in an expected Michael fashion. These studies established Michael acceptor **AG7088** as a highly potent, nontoxic antirhinoviral agent with broad efficacy against multiple virus serotypes. Compound **AG7088** has been formulated for intranasel delivery and has recently entered clinical trails.³⁴

In summary, target **B** compound **10** was synthesized. Inhibition studies showed that it is a potent inhibitor of HAV 3C proteinase with a rate of enzyme inactivation $k_{inact} / K_i = 137 \text{ M}^{-1} \text{s}^{-1}$ ([E] = 0.07 μ M, [I] = 10.0 μ M) when tested using a discontinuous TNBS assay;⁸² and a weak inhibitor diplaying 46% inhibition (15 min enzyme inhibitor preincubation) at an enzyme concentration of 0.1 μ M and inhibitor concentration of 100 μ M using a continuous fluorogenic assay.⁸³





1.3 Peptidyl β -Lactones

1.3.1 β -Lactone Design

B-Lactones occur naturally in a variety of organisms, and many possess potent biological activity.^{53e-g} The ability of thiols to open the four-membered ring by nucleophilic attack at either the carbonyl or at the β -position,^{53a-d} suggests that cysteine proteinases could be irreversibly inactivated by β -lactones having correct substitution and stereochemistry (Figure 20). The β -lactone functionality is generally quite stable below pH 7.5. However, thiolate reacts at the β -position, to form sulfides, under neutral or slightly acidic (pH 5.5) aqueous conditions, or at the carbonyl, to form thiol esters, in non-aqueous environments.⁸⁴ A wide variety of carbon, nitrogen, phosphorous and oxygen nucleophiles are also known to attack the methylene group at the β -position of serine β -lactone.⁵³ It is feasible that the β -lactone functionality may react irreversibly with the thiol group at the active site of HAV 3C proteinase. Therefore, target C (11) (Figure 20) incorporates the tetrapeptide analogue, Ac-Leu-Ala-Ala-Gln(NMe₂), as a mimic of the substrate possessing a β -lactone functionality for specific recognition and binding to the HAV 3C proteinase. Both stereoisomers at C-4 are interesting targets since either could, in principle, form a covalent bond with the active site thiol. Molecular modeling also suggests that such tetrapeptide β -lactones could be potential inhibitors of the HAV 3C enzyme.⁷⁷



1.3.2 Synthetic Studies Towards Target C

Retrosynthetic analysis for the construction of target C (11) is based on the strategy outlined in Scheme 13. The target molecule could be derived from a tripeptide Ac-Leu-Ala-Ala-OH 34 and a dimethyl glutamine β -lactone 65. The key β -lactone 65 could, in principle, be synthesized by cyclization of β -hydroxy carboxylic acid 17.

Scheme 13

:



Over the past fifty years, much attention has been focused on the preparation of β -lactones.⁵³ A major improvement occurred with the introduction by Adam *et al.*⁸⁵ of benzenesulfonyl chloride / pyridine as a lactonizing reagent. The conversion of β -hydroxy acids to β -lactones proceeds *via* a mechanism involving carboxy group activation (formation of a mixed anhydride intermediate) and its attack by the hydroxyl group. For example, β -lactone **68** is prepared, in excellent yield (93%), from acid **66** *via* mixed anhydride **67** (Scheme 14).⁸⁵ Benzenesulfonyl chloride / pyridine is presently the most commonly used reagent for the preparation of β -lactones.^{53g.86}

Scheme 14



Other sulfonyl chlorides have also been successfully used, for example, tosyl chloride / pyridine⁸⁷ and *p*-bromobenzenesulfonyl chloride / pyridine.⁸⁸ Vederas and co-workers observed that the best cyclization conditions for β -hydroxy amino acid derivatives which bear a β -alkyl substituent are *p*-bromobenzenesulfonyl chloride / pyridine at -43 °C to 0 °C.^{88a} Thus, optically pure β -hydroxy amino acid derivative **69** (Ar = *o*-nitrophenyl) cyclizes to the corresponding β -lactone **70** on treatment with *p*-bromobenzenesulfonyl chloride / pyridine, in 56% yield (Scheme 15).

Scheme 15



To adapt this procedure for the preparation of β -lactone synthon 65, the precursor β -hydroxy amino acid derivative 17 was synthesized as shown in Scheme 16.





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Treatment of dibenzyl malonate 71 with aqueous 1N NaOH in 2-propanol, at 45 °C, affords the monobenzyl malonate 72, which is readily converted to the magnesium salt 73 by reaction with magnesium ethoxide in THF. The acid 28 is activated with 1,1'-carbonyl diimidazole in THF and condensed with magnesium salt 73, followed by decarboxylative acidic work-up to provide β -keto ester 74.⁸⁹ Reduction of 74 with NaBH₄ in ethanol, followed by acidic work-up, generates the required β -hydroxy ester 76 and the transesterified side-product 75.⁶⁷ Hydrogenation of benzyl ester 76, catalyzed by 10% palladium on charcoal, in methanol, provides the desired β -hydroxy acid 17.⁶⁶

Cyclization of β -hydroxy acid 17, in the presence of *p*-bromobenzenesulfonyl chloride / pyridine to give β -lactone 77 was monitored by thin layer chromatography (TLC) and IR spectrometry (Scheme 17). Generally, β -lactones appear as a yellow spot on a blue background after heating when developed with alkaline bromocresol green spray.⁹⁰ In addition, β -lactones are characterized by an intense IR absorption at 1840-1820 cm⁻¹, due to the carbonyl stretch, which is at a higher frequency than observed with acyclic esters or larger-ring lactones.⁹¹ However, neither TLC nor IR spectrometry showed any indication for the lactonization of 17 to give β -lactone 77. Therefore, the cyclization approach of 17 with the reagent *p*-bromobenzenesulfonyl chloride in pyridine was abandoned.





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Recently, Corey and co-workers have completed the total synthesis of lactacystin 80 (Scheme 18), an irreversible inhibitor of the proteolytic activity of the 20S proteasome.⁹² An intermediate in the synthesis of lactacystin 80 is β -lactone 79, which is prepared by cyclization of 78 with BOPC1, illustrated in Scheme 18.

Scheme 18



Therefore, an attempt was made to cyclize 17 using the reagent BOPCI (Scheme 19). Unfortunately, treatment of β -hydroxy acid 17 with BOPCI, in the presence of triethylamine in dichloromethane, failed to give any desired β -lactone 77.

Scheme 19



In a final attempt to prepare β -lactone 77, the modified procedure of Liskamp *et al.* was applied.⁹³ Liskamp and co-workers have found success in utilizing BOP reagent for the lactonization of *N*-Tr-serine **81** to its corresponding *N*-Tr-serine- β -lactone **82**, in good yield (Scheme 20).



Hence, treatment of β -hydroxy acid 17 with BOP reagent, in the presence of triethylamine in dichloromethane produces the desired yellow spot on TLC upon staining with bromocresol green spray, in addition to an intense IR carbonyl absorbance at 1830 cm⁻¹; observations which are attributed to the formation of β -lactone 77 (Scheme 21). Although, β -lactone 77 could be characterized, it is quite unstable and prolonged exposure to organic solvent (CHCl₃) results in an insoluble white precipitate of high molecular weight (by electrospray mass spectrometry), which is most likely the product of β -lactone polymerization.⁹⁴

Scheme 21



With β -lactone 77 available, only two steps remain to reach target C (11) in Scheme 13, deprotection of β -lactone 77 and its coupling to tripeptide 34 (Ac-Leu-Ala-Ala-OH). Methodology for N-Boc deprotection of β -lactone amino acids is well precedented in our group, using a mixture of trifluoroacetic acid and *p*-toluenesulfonic acid.^{95,96} Treatment of β -lactone 77 with anhydrous trifluoroacetic acid and one equivalent of *p*-toluenesulfonic acid gave none of the expected product 83 and resulted in loss of the IR carbonyl absorbance at 1830 cm⁻¹ (Scheme 22).

Scheme 22



The instability of β -lactone 77 during *N*-Boc deprotection, compounded by its tendency to polymerize in organic solvent, prompted us to explore the stability of 77 in different solvent environments (Table 3). The presence or absence of β -lactone 77, immediately upon exposure to varying solvent conditions is indirectly detected using the unique IR carbonyl stretch absorbance at 1830 cm⁻¹.

Table 3 Stability of β -lactone 77 in different solvent environments

Solvent	IR carbonyl absorbance at 1830 cm ⁻¹
CHCl ₃	present
$CH_{3}CN / H_{2}O(1:1)$	absent
$CH_3CN / H_2O / TFA (1 : 1 : 0.001)$	absent
$CH_{3}OH / H_{2}O / TFA (1 : 1 : 0.001)$	absent
(CH ₃) ₂ CHOH / H ₂ O / TFA (1 : 1 : 0.001)	absent

It is clear that β -lactone 77 decomposes rapidly when exposed to moisture, possibly as a consequence of very rapid hydrolysis of the β -lactone functionality. As a result of the instability of 77, a different strategy was employed for the construction of target C (11).

An alternative retrosynthetic path to target C (11) is presented in Scheme 23 (route B), which uses common intermediate 17 from route A. Preparation of the β -lactone functionality within the framework of a tetrapeptide could potentially stabilize 11, avoiding the aqueous instability of 77, and minimizing manipulations of compounds bearing this sensitive moiety.





Trifluoroacetate salt 85 is prepared by treating β -hydroxy acid 17 with 50% trifluoroacetic acid in dichloromethane, as outlined in Scheme 24. Coupling of tripeptide 34 with trifluoroacetate salt 85 is accomplished with BOP reagent in the presence of triethylamine in 60% yield. Treatment of tetrapeptide β -hydroxy acid 18 with BOP reagent in the

presence of triethylamine gave no indication, by TLC (bromocresol green spray) or IR spectroscopy, for the formation of β -lactone 11.





The inability to prepare target C (11) by either route A or B outlined in Scheme 23, combined with the instability associated with β -lactone 77 (hydrolysis under aqueous conditions and polymerization in organic solvent) is problematic for drug development. However, if related β -lactone molecules with greater stability can be designed, they may demonstrate inhibition of cysteine proteinases and show promise as drug leads.

1.3.3 Dimethyl β-Lactone Design

In order to stabilize β -lactone molecules such as 11 and 77, methyl substituents can be placed at the α -position of the oxetanone ring to decrease the angle strain of the four membered ring. If two bulky groups attached to a tetrahedral carbon atom are separated by a distance approaching the sum of their van der Waals radii they tend to repel one another, and consequently the bond angle between one pair of groups on the tetrahedral carbon atom is increased to a value greater than 109° 28' causing the bond angle between the other groups to decrease below the tetrahedral angle. This observation is called the *Thorpe-Ingold* effect,⁹⁷ and is shown schematically in Figure 21.

Figure 21 Schematic illustration of the *Thorpe-Ingold* effect



Based on the *Thorpe-Ingold* effect, a modified dimethyl β -lactone target D molecule (12) was designed (Figure 22). The methyl groups at the α -position may potentially provide sufficient steric hindrance at the β -position to direct thiolate attack to the carbonyl during interaction with HAV 3C (path b, Figure 22).



1.3.4 Synthetic Studies Towards Target D

Retrosynthetic analysis of target D (12) is based on the strategy outlined in Scheme 25. The target molecule can be derived from the tripeptide Ac-Leu-Ala-Ala-OH 34 and $Gln(NMe_2)$ dimethyl β -lactone 86. The key dimethyl β -lactone 86 could, in principle, be synthesized by cyclization of β -hydroxy carboxylic acid 19.





Treatment of β -keto ester 74 with potassium *tert*-butoxide in THF, followed by two equivalents of iodomethane, provides dimethyl β -keto ester 88 and monomethyl β -keto

ester 87, in low yields (Scheme 26).⁹⁸ Reduction of 88 with NaBH₄ in ethanol, followed by acidic work-up, generates the required dimethyl β -hydroxy ester as a mixture of diastereoisomers, which are separated by HPLC to afford 89a and 89b.⁶⁷ The relative stereochemistry at the carbon bearing the hydroxyl group for diastereomers 89a and 89b could not be unambiguously assigned by NMR.





The synthesis was continued with diastereoisomer **89a** (Scheme 27). Hydrogenation of benzyl ester **89a** using 10% palladium on charcoal in methanol provides the desired dimethyl β -hydroxy acid **19a**.⁶⁶ Cyclization of β -hydroxy acid **19a**, with BOP reagent in the presence of triethylamine gives *N*-Boc- γ -lactam **90a** with no detectable desired dimethyl β -lactone.


Product 90a may arise from intramolecular nitrogen cyclization of active ester 92 to give the favored five-membered ring (90a) (path a, Figure 23), rather than the desired fourmembered β-lactone ring. However, an alternative structure to 90a is molecule 94, arising from initial formation of the desired dimethyl β-lactone 93 (kinetic product) which then undergoes a rearrangement to oxazoline 94 (thermodynamic product) (path b, Figure 23). Both molecule 90a and 94 have the same molecular formula ($C_{16}H_{28}N_2O_5$), although they can be easily distinguished from one another upon deprotection (Figure 23). Hence, treatment of 90a with 50% trifluoroacetic acid in dichloromethane provides γ-lactam 91a (Scheme 27). γ-Lactam 91a has the molecular formula ($C_{11}H_{20}N_2O_3$), which distinguishes it from 95 ($C_{13}H_{20}N_2O_5$), suggesting that the favored route is path a in Figure 23.



Figure 23 Plausible side-product structures 90a and 94

With no formation of desired dimethyl β -lactone 93 from diastereoisomer 89a (Scheme 27), the analogous synthesis was repeated with diastereoisomer 89b, as shown in Scheme 28. Hydrogenation of benzyl ester 89b, using 10% palladium on charcoal in methanol, provides the desired dimethyl β -hydroxy acid 19b.⁶⁶ Cyclization of β -hydroxy acid 19b then ensues, with BOP reagent in the presence of triethylamine, to give *N*-Boc- γ -lactam 90b and the desired dimethyl β -lactone 93, in 25% and 48% yields respectively. Similar to β -lactone 77, molecule 93 displayed a similar tendency to polymerize⁹⁴ when exposed to organic solvent (CHCl₃) for a long duration, although β -lactone 93 showed enhanced stability to moisture (purified by HPLC in acetonitrile / water).



Although the relative stereochemistry of diastereoisomers 19a / 19b and their transformed products cannot be unambiguously assigned at this stage (Schemes 26-28), insight into their possible stereochemistry can be gained from their product distribution (Figure 24). The *Curtin-Hammett principle*, states that for competing reactions the product distribution is determined by the difference in activation energies for the two paths.⁹⁹ The fact that only one of the 19a / 19b diastereoisomers gives desired dimethyl β -lactone product provides information. In Figure 24, the Newman projections (i) and (ii) suggest that ring strain and steric hindrance in both diastereoisomers hinder the formation of β -lactone from the BOP active ester; the activation energies that lead to dimethyl β -lactones (iv) and (vi) are high in energy. The presence of an alternative reaction path which provides products (iii) and (v), suggests that the activation energies to form these products are not equal to those that lead to the corresponding β -lactones. This may be the result of additional steric hindrance that product (v) encounters as a result of the syn relationship between the hydroxyl group and the adjacent groups, which is present to a lesser degree in

product (iii) (Figure 24). Therefore, the activation energy to generate (iv) must be higher than that giving product (iii), thus accounting for the formation of only product (iii) and no desired dimethyl β -lactone (iv). The situation is very different for products (v) and (vi). Their corresponding activation energies are probably both very high as a result of the syn relationship of the hydroxyl and adjacent groups in the transition-state to form product (v), and the ring strain in the transition-state to form product (vi). This could account for the formation of both products (v and vi) without any product discrimination.





The inferred stereochemical assignments in Schemes 26-28 from the products isolated would be as follows: (i) = 19a, if x = H; (ii) = 19b, if x = H; (iii) = 90a, (v) = 90b, (vi) = 93. Hence, the stereogenic carbon assignment bearing the hydroxyl group for diastereoisomer 89a would be *R*-configuration, and for 89b *S*-configuration (Scheme 26). Unfortunately, such stereochemical assignment from product distribution is not definitive and the above configuration assignments are not without ambiguity.

Nevertheless, continuing with the synthesis of target D (12), an attempt was made to deprotect dimethyl β -lactone 93. Treatment of β -lactone 93 with anhydrous trifluoroacetic acid and one equivalent of *p*-toluenesulfonic acid gave no desired product 96 and resulted in loss of the IR carbonyl absorbance at 1825 cm⁻¹ (Scheme 29).^{95,96}

Scheme 29



With this failure to successfully deprotect dimethyl β -lactone 93 an alternative retrosynthetic path, similar to that tried in the synthesis of target C (11), was investigated. This is outlined in Scheme 30 (route B) and uses a common intermediate (19) from route A. Again, preparation of the dimethyl β -lactone functionality within the framework of the tetrapeptide may induce inherent stability in molecule 12, avoiding instability problems observed with molecule 93.

Scheme 30



Deprotection of individual diastereoisomers 19a and 19b proceeds smoothly upon treatment with 50% trifluoroacetic acid in dichloromethane to afford trifluoroacetate salts 99a and 99b in quantitative yield (Scheme 31). Unfortunately, coupling of tripeptide 34 to either diastereoisomer salt 99a or 99b is unsuccessful in the presence of BOP reagent and triethylamine. The inability to couple either diastereoisomer salt 99a or 99b to tripeptide 34 may reflect the increased steric bulk the dimethyl substituents bestow upon 99a and 99b. Despite inability to couple tripeptide 34 to give 12, monomer Gln(NMe₂) dimethyl β -lactone 93 was assayed against HAV 3C proteinase for inhibition.



1.3.5 Inhibition of HAV 3C Proteinase by Target D Monomer

Target D monomer 93 was assayed against HAV 3C proteinase using a continuous fluorogenic assay,⁸³ which is described in the experimental section. Compound 93 gave a disappointing, 12% inhibition value (no enzyme inhibitor pre-incubation) against HAV 3C at an enzyme concentration of 0.1 μ M and an inhibitor concentration of 100 μ M.

In summary, β -lactone target C and D monomer compounds (77 and 93), were designed as potential inhibitors of HAV 3C proteinase. They were synthesized through key intermediate, β -hydroxy acids 17 and 19b. Target C type compound 77 showed poor stability in aqueous environments, limiting its use for inhibition studies. Whereas, target D compound 93 displayed increased aqueous stability, it showed only a disappointing 12% inhibition of against HAV 3C. Although the exact reasons for the failure to inhibit HAV 3C remain unknown, it may be that the lack of binding is due to lack of the tripeptide (Ac-Leu-Ala-OH) substrate recognition portion. Also the steric bulk introduced by the dimethyl

1.3.6 N-Cbz-Serine-\beta-Lactone Design

The attempted syntheses of target C and target D type β -lactone molecules suggest that the design of a P-side inhibitor is problematic, due to nitrogen-carbonyl cyclization (side-products **90a** and **90b**), polymerization and hydrolytic sensitivity. Therefore, the design of a β -lactone which utilizes essential structural features of the HAV 3C substrate P'-side may show promise as an inhibitor. It seemed that *N*-Cbz-serine- β -lactone **13** in Figure 25, would be a reasonable initial target for 3C proteinase inhibition because its benzyl group may mimic the P₂' phenylalanine side chain in HAV 3C substrates.^{22,36,46} As discussed previously, the enzyme-inhibitor complex formed with peptidyl iodoacetamide 7 (P'-side inhibitor) suggests that this side chain plays a key role in substrate recognition in HAV 3C.⁴⁶ β -Lactone **13** is also appealing because of its facile preparation by Mitsunobu cyclization of *N*-Cbz-serine,⁵³ and the fact that its simple scaffold would permit variation for subsequent structure-activity studies.

Figure 25 Rationale for target E



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1.3.7 Synthesis of Target E and Analogues

N-Cbz-Serine- β -lactone target **E** (13) is not a peptide, although the molecule does contain the core α -amino acid building block. *N*-Acyl- α -amino- β -lactones are useful synthetic intermediates for the synthesis of stereochemically pure β -substituted α -amino acids,¹⁰⁰⁻¹⁰² and are readily available by cyclization of the appropriately protected β hydroxy- α -amino acids.¹⁰²⁻¹⁰⁴

Target E (13) is readily prepared following the literature procedure (Scheme 32).¹⁰² Nitrogen protection is accomplished by treating D-serine 101b with benzyl chloroformate in the presence of aqueous sodium bicarbonate to afford *N*-benzyloxycarbonyl-D-serine 102b. Cyclization of *N*-Cbz-D-serine 102b under modified Mitsunobu conditions, using the preformed adduct of triphenylphosphine and dimethyl azodicarboxylate, gives β -lactone 13b without loss of optical purity. The corresponding *N*-Cbz-L-serine- β -lactone enantiomer 13a was prepared previously in our group by Dr. Lee Arnold, a former graduate student, in a similar manner to that presented in Scheme 32. Polymerization of 13a or 13b was not detected under prolonged exposure to organic solvent (CHCl₃).





As observed earlier with β -lactone 77, a possible concern with β -lactones 13a and 13b is their susceptibility to hydrolysis, since α -amino- β -lactones bearing no β substituent display low stability in basic aqueous media.⁵³ Utilizing IR spectroscopy, the rate of β -lactone hydrolysis in the enzyme buffer solution was determined by following the disappearance of the unique β -lactone carbonyl stretch (~1830 cm⁻¹), as described in the experimental section. The half-life for hydrolysis of 13a in phosphate buffer at pH 7.5 is 76 min, which is sufficiently long for enzyme inhibition studies.

To provide structural diversity and probe the importance of the β -lactone ring for HAV 3C inhibition, *N*-Cbz-homoserine **105a**, **105b** and γ -lactone **104a**, **104b** analogues were prepared by Constantine Karvellas, a summer student in our group (Scheme 33). γ -Lactones are well known to undergo ring opening as a result of nucleophilic attack at the carbonyl,¹⁰⁶ and some show biological activity against serine proteinases¹⁰⁷ as well as thiol containing enzymes.¹⁰⁸ In addition, nucleophilic attack by thiol¹⁰⁹ and hydroxyl¹¹⁰ has also been observed at the γ -position of the γ -lactone ring. Treatment of L-homoserine lactone **103** with benzyl chloroformate, in the presence of triethylamine, produces desired γ -lactone **104a**.¹⁰⁵ Lithium salt **105a** is prepared by hydrolysis of γ -lactone **104a** with lithium hydroxide. The enantiomer γ -lactone **104b** is obtained by treating D-homoserine **106** with benzyl chloroformate in the presence of aqueous sodium bicarbonate, followed by heating under reflux in a Soxhlet apparatus (Scheme 33).¹⁰⁵ Hydrolysis of γ -lactone **104b** with lithium hydroxide affords lithium salt **105b**.



To determine the importance of the benzyl group, the N-Boc-L-serine- β -lactone 107 analogue (Figure 26), which was prepared previously by Dr. Lee Arnold,¹¹¹ in a manner analogous to that in Scheme 32, using N-Boc-L-serine 108 in place of N-Cbz-L-serine, was also tested in inhibition studies with HAV 3C proteinase.

Figure 26 N-Boc-È-Serine- β -lactone



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1.3.8 Inhibition of HAV 3C and HRV-14 3C Proteinases by Target E and Analogues

Target E compounds 13a, 13b and analogues 101a, 101b, 104a, 104b, 105a, 105b, 107 and 108 were assayed with HAV 3C proteinase using a continuous fluorogenic assay,⁸³ as described in the experimental section. The IC_{50} values were measured without pre-incubation with the enzyme, (Table 4).

Table 4 HAV 3C inhibition results for target E β -lactones and analogues

Compd.	n	R	x	Y	α-config.	IC ₅₀ (μM) ^a	t _{1/2} (min) ^b
13a	1	Cbz	0	CO	S	35	76
13b	1	Cbz	0	CO	R	6	n.d.
101a	t	Cbz	OH	CO ₂ H	S	>>100	n.d.
101b	1	Cbz	OH	CO ₂ H	R	>>100	n.d.
104a	2	Cbz	0	CO	S	>>100	n.d.
104b	2	Cbz	0	CO	R	>>100	n.d.
105a	2	Cbz	OH	CO ₂ Li	S	>>100	n.d.
105b	2	Cbz	OH	CO ₂ Li	R	>>100	n.d.
107	1	Boc	0	СО	S	>100	285
108	1	Boc	OH	CO₂H	S	>>100	n.d.

 $\begin{array}{c} n(f - X) \\ R \cdot N \cdot \alpha \\ H \end{array}$

^a ([HAV 3C] = 0.1μ M, [Compd.] = $0.5-500 \mu$ M), see experimental section for details.

^b β -Lactone hydrolysis half-life in phosphate buffer pH 7.5; n.d. = not determined

Despite the absence of the P₁ glutamine side chain important for HAV 3C substrate recognition, β -lactones **13a** and **13b** are potent inhibitors of HAV 3C proteinase with IC₅₀ values of 35 and 6 μ M respectively (Table 4). Further studies revealed that **13a** is a timedependent *irreversible* inhibitor of HAV 3C proteinase (k_{inact} = 0.012 sec⁻¹, K₁ = 1.84 x 10⁻⁴ M, k_{inact} / K₁ = 63 M⁻¹ sec⁻¹) at an enzyme concentration of 0.1 μ M. Interestingly, the enantiomer **13b** is a competitive *reversible* inhibitor of HAV 3C proteinase (K_i = 1.50 x 10⁻⁶ M). The possibility that compound **13b** may in fact be a time-dependent inhibitor, but that this is not observed under the assay conditions, can be eliminated because studies at different pH conditions (e.g. pH 6) and with varying concentrations of inhibitor **13b** also display simple competitive behavior. Clearly the HAV 3C active site shows different modes of binding for enantiomers **13a** and **13b**, with only the former leading to permanent covalent modification of the active site. Further, the inhibitory properties of **13a** and **13b** are not affected by short exposure to 10-fold molar excess of dithiothreitol, suggesting that β -lactones of this type could be specific enzyme inhibitors that would not react inadvertently with ubiquitous biological thiols (e.g. glutathione).

The acyclic analogues 101a, 101b, 105a, 105b and 108, at a concentration of 100 μ M, show no significant inhibition of HAV 3C proteinase. The lack of inhibition could potentially be due to the anionic charge of the carboxylate at pH 7.5, however, the non-charged cyclic analogues 104a and 104b at 100 μ M also fail to show any inhibition of this enzyme. An interesting observation is that *N*-Boc-serine- β -lactone 107 displays weak (IC₅₀ > 100 μ M) time-dependent inhibition of HAV 3C, suggesting that the benzyl group of 13a plays an important binding role in HAV 3C inhibition. This provides further evidence for the essential role the P₂' phenylalanine side-chain may play in recognition of HAV 3C substrates.⁴⁶

The kinetic observations with **13a** are consistent with a rapid covalent inactivation of HAV 3C proteinase. To confirm this, electrospray mass spectrometry was used to examine the enzyme after treatment with **13a**. The spectra of the uncomplexed enzyme and the HAV

3C-13a complex are shown in Figure 27. The mass difference between the enzymeinhibitor complex and the uninhibited enzyme (219 Da) is within experimental error of the calculated mass of the inhibitor (221 Da). A control dialysis experiment was performed on the HAV 3C-13a complex and uninhibited HAV 3C. After dialysis with buffer for 8 h at 4 °C, the uninhibited enzyme retained activity, but the HAV 3C-13a complex showed no recovery of proteinase activity, thus confirming that 13a is probably covalently attached rather than tightly held in a non-covalent complex.

Figure 27 Electrospray mass spectra of HAV 3C proteinase (left spectra: A = 23,880 Da) and HAV 3C-13a enzyme inhibitor complex (right spectra: A = 23,882 Da, B = 24,101 Da).



To determine by NMR spectroscopy the type of adduct formed between 13a and the HAV 3C enzyme, $13a(\beta^{-13}C)$ was synthesized, from the corresponding commercially available labeled L-serine (Scheme 34) by the same procedure used previously.^{72,102}



Cysteine residues in proteins can act as nucleophiles to open β -lactones by attack at the carbonyl, giving acylated products. For example, β -lactones such as the hypocholesterolemic agent (1233A) **109** may acylate a cysteine residue of 3-hydroxy-3-methylglutaryl CoA synthase (HMGS) to give **110**, illustrated in Figure 28.¹¹²





Therefore, model compounds sulfide 112 and thioester 113 were synthesized to assist in ascertaining, by their β -position chemical shifts, whether enzymatic thiolate attack on 13a proceeds at the β -position to give the expected sulfide or at the carbonyl of the β -lactone to form a thioester (Scheme 35). Treatment of S-methyl cysteine 111 with benzyl chloroformate in the presence of aqueous sodium bicarbonate provides sulfide 112. Thioester 113 is prepared by treating N-Cbz-L-serine 102a in dichloromethane with ethyl chloroformate in the presence of triethylamine to form the mixed anhydride, which is then condensed with ethanethiol.

Scheme 35



In addition, it is known that serine or threonine residues in proteins can also act as nucleophiles to open β -lactones by attack at the carbonyl to give acylated products. For instance, tetrahydrolipstatin (Orlistat) **114** inactivates human pancreatic lipase (hPL) by acylating a serine hydroxyl of the enzyme to give **115** (Figure 29)¹¹³ and Omuralide **79**, the β -lactone precursor to lactacystin, has been shown to inhibit the 20S proteasome by *O*-acylation of a threonine residue, to give **116** (Figure 29).¹¹⁴

Figure 29 Acylation of hPL by 114 and 20S proteasome by 79



Hence, the chemical shifts of model serine ether 118 and ester 120 derivatives were also examined (Scheme 36). Treatment of *O*-methyl serine 117 with benzyl chloroformate in the presence of aqueous sodium bicarbonate affords serine ether 118. Model serine ester 120 is prepared in a similar manner to 118 from L-serine methyl ester 119.

Scheme 36



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The carbon chemical shifts of the β -carbons for model NMR compounds $13a(\beta^{-13}C)$, $102a(\beta^{-13}C)$, 112, 113, 118 and 120 are presented in Table 5.

Table 5 Model β -carbon chemical shifts



• = ¹³C-Labeled at β-position. ¹H / ¹³C HMQC Conditions: ^{*a*} D₂O at pD 5.0, 6% DMSO-d₆. ^{*b*} 20mM Na₂PO₄ / D₂O at pD 7.5, 6% DMSO-d₆. ^c Racemic.

The heteronuclear multiple quantum coherence (HMQC) spectrum (Figure 30) of $13a(\beta^{-13}C)$ shows a cross peak at 68 ppm for the labeled methylene carbon; upon addition of HAV 3C proteinase, this signal disappears and a new peak appears at 40 ppm on the carbon chemical shift axis. This signal for the enzyme inhibitor complex, i.e. HAV 3C- $13a(\beta^{-13}C)$, clearly demonstrates formation of a thioether and thus *alkylation* of the active site cysteine by attack at the β -carbon of 13a. The observed chemical shift is in good

agreement with that of the β -carbon of *N*-(benzyloxycarbonyl)-*S*-methyl-L-cysteine 112 (37 ppm) presented in Table 5.

Figure 30 ¹H / ¹³C HMQC spectra of $13a(\beta^{-13}C)$ inhibitor alone (left spectra) and in complex with HAV 3C proteinase (right spectra). Cross peak (A) shows the proton-carbon correlation of the unreacted inhibitor $13a(\beta^{-13}C)$ and peak (B) is the cross peak for the β -thioalkylated adduct, i.e. HAV 3C-13a($\beta^{-13}C$) enzyme inhibitor complex.



Target E compounds 13a and 13b were assayed with HRV-14 3C proteinase using a continuous colorimetric assay,¹¹⁵ as described in the experimental section. Preliminary results with HRV-14 3C proteinase, an enzyme that has similar substrate specificity to HAV 3C and is a potential therapeutic target for the common cold,²² show that β -lactones 13a and 13b have comparable potency. At an inhibitor concentration of 100 μ M and HRV-14 3C concentration of 0.4 μ M, β -lactones 13a and 13b gave 49% and 90% enzyme inhibition, respectively.

In summary, β -lactones 13a, 13b and analogues were synthesized. HAV 3C cysteine proteinase is inactivated by target E β -lactone 13a, *via* nucleophilic ring opening

of the oxetanone ring at the β -position by the cysteine thiolate, path a, Figure 31. β -Lactone 13a is a time-dependent *irreversible* inhibitor of HAV 3C proteinase with k_{inact} = 0.012 min⁻¹, K_t = 1.84 x 10⁻⁴ M, k_{inact} / K_t = 63 M⁻¹ sec⁻¹ at an enzyme concentration of 0.1 μ M. In contrast, the enantiomer 13b is a competitive *reversible* inhibitor of HAV 3C proteinase (K_i = 1.50 x 10⁻⁶ M), presumably because its β -methylene carbon is not correctly placed with respect to the required trajectory of the incoming cysteine thiolate nucleophile. The observation that γ -lactones 104a and 104b do not perturb HAV 3C activity, further exemplifies the unique reactivity of the oxetanone ring towards thiol containing biological molecules. Additional studies on structure-activity relationships for β -lactone inhibition of viral cysteine proteinases will help further define the medicinal potential of this new class of cysteine proteinase inhibitor.

Figure 31 Mode of HAV 3C nucleophilic attack on 13a



1.3.9 N-Sulfonamide-Serine / Threonine-B-Lactone Design

With the successful synthesis of β -lactone leads 13a and 13b, which show efficacy against HAV and HRV 3C proteinases, the next step in inhibitor development is the introduction of enhanced specificity, stability and potency. Ideally, the β -lactone candidate that should be prepared next is β -lactone 121, which introduces the essential P₁ side-chain required for recognition (Figure 32).

Figure 32 β -Lactone 121 resemblance to HAV 3C substrate



To determine, whether alkyl substitution is permitted at the β -position of 13a and 13b, the threonine analogue target G (15) was prepared (Figure 33). The sulfonamide isostere rather than the urethane was selected to avoid azlactone formation in the target G series.

The required carboxy group activation readily forms such unstable azlactones with *N*-acyl protecting groups.¹¹⁶ Prior to the synthesis of targets **G**, the serine sulfonamide target **F** (14) was prepared to ascertain whether the enzyme accepts the sulfonamide for urethane replacement. The four possible stereoisomers of target **G** β -lactones should provide aqueous stability,⁵³ structural diversity and insight into the preferred trajectory of the HAV 3C thiolate attack at the β -position of the oxetanone ring.

Figure 33 Resemblance of targets E, F and G β -lactones



1.3.10 Synthesis of Target F and Analogues

The strategy for the construction of target **F** (14) is based on the retrosynthetic analysis outlined in Scheme 37. The molecule can be derived from β -hydroxy carboxylic acid 122, which in turn originates from the coupling of serine 101 and sulfonyl chloride 123. *trans-\beta*-Styrenesulfonyl chloride 123 is used rather than the unknown (phenethylsulfonyl chloride) saturated analogue in order to avoid intramolecular electrophilic aromatic substitution.¹¹⁷



Stereochemically pure sulfonamides 125a and 125b are available by coupling serine methyl ester 124a and 124b, respectively, to *trans-\beta*-styrenesulfonyl chloride (123) in dichloromethane in the presence of triethylamine (Scheme 38). Initially the sulfonamide formation was attempted with L-serine, rather than serine methyl ester, in THF / H₂O (1 : 3) in the presence of sodium bicarbonate; unfortunately, no desired product was obtained.⁷² Hydrolysis of sulfonamides 125a and 125b with lithium hydroxide followed by acidic work-up affords β -hydroxy acids 126a and 126b, respectively. Hydrogenation of 126a and 126b catalyzed by 10% palladium on charcoal in methanol provides the desired β -hydroxy acids 127a and 127b,⁶⁶ respectively.



With β -hydroxy acids 127a and 127b available, cyclization under modified Mitsunobu conditions using the preformed *N*-phosphonium adduct of triphenylphosphine and dimethyl azodicarboxylate gives sulfonamides β -lactone 14a and 14b, respectively, without loss of optical purity (Scheme 39).¹⁰² To introduce structural diversity into target **F**, the styrenyl sulfonamide β -lactones 128a and 128b (Scheme 39) were prepared in an analogous manner.





To further define the significance of the phenyl group and its potential to mimic the phenylalanine side-chain, the methyl sulfonamide β -lactone 132 was prepared as outlined in Scheme 40. Benzyl ester 129 was treated with methylsulfonyl chloride in the presence of triethylamine, to give sulfonamide 130. Hydrogenation of 130 catalyzed by 10% palladium on charcoal in methanol provides β -hydroxy acid 131.⁶⁶ Cyclization of β -hydroxy acid 131 under modified Mitsunobu conditions using the preformed *N*-phosphonium adduct of triphenylphosphine and dimethyl azodicarboxylate gives sulfonamide β -lactone 132 without loss of optical purity.¹⁰²

Scheme 40



1.3.11 Inhibition of HAV 3C Proteinase by Target F and Analogues

Target F compounds 14a, 14b and analogues 125a, 125b, 126a, 126b, 127a, 127b, 128a, 128b, 131 and 132 were assayed with HAV 3C proteinase using a continuous fluorogenic assay,⁸³ as described in the experimental section. The IC_{50} values were measured without pre-incubation with the enzyme unless otherwise stated (Table 6).

Table 6 HAV 3C inhibition results for target F sulfonamide β -lactones and analogues



Compd.	R	х	Y	α-config.	IC ₅₀ (μM) ^a	t _{1/2} (min) ^b
14a	$Ph(CH_2)_2$	0	CO	S	25	32
14b	$Ph(CH_2)_2$	0	CO	R	4	n.d.
127a	$Ph(CH_2)_2$	OH	CO ₂ H	S	>>100	n.d.
127b	$Ph(CH_2)_2$	OH	CO ₂ H	R	>>100	n.d.
128a	(E) -Ph $(CH)_2$	0	СО	S	38	n.d.
128b	(E) -Ph $(CH)_2$	0	СО	R	3, (0.5) ^c	64
126a	(E) -Ph $(CH)_2$	OH	CO ₂ H	S	>>100	n.d.
126b	(E)-Ph(CH) ₂	OH	CO ₂ H	R	>>100	n.d.
132	Me	0	CO	S	>100	15
120	Me	OH	CO ₂ H	S	>>100	n.d.
125a	(E) -Ph $(CH)_2$	OH	CO ₂ Me	S	>>100	n.d.
125b	(E) -Ph $(CH)_2$	OH	CO ₂ Me	R	>>100	n.d.

^a ([HAV 3C] = 0.1μ M, [Compd.] = $0.1-100 \mu$ M).

^b β -Lactone hydrolysis half-life in phosphate buffer pH 7.5; n.d. = not determined

^c 15 min inhibitor enzyme pre-incubation.

Target F sulfonamide serine β -lactones 14a and 14b are potent inhibitors of HAV 3C proteinase, with IC₅₀ values of 25 and 4 μ M, respectively (Table 6), which is comparable to the corresponding β -lactones 13a and 13b. Unexpectedly, kinetic analysis reveals that neither 14a nor 14b are time-dependent inhibitors of HAV 3C proteinase. Inhibitor 128a (IC₅₀ = 38 μ M) does not display time-dependent behavior. However, its enantiomer 128b

 $(IC_{50} = 3 \mu M)$ does show time-dependent inhibition of HAV 3C proteinase. The HAV 3C enzyme apparently shows different modes of binding for the different β -lactones, as the β -lactone **13a** is an irreversible inhibitor and the enantiomer **13b** is a reversible competitive inhibitor. The methyl sulfonamide β -lactone **132** displays weak (IC₅₀ > 100 μ M) time-independent inhibition of HAV 3C, suggesting that the aromatic group may play an important binding role in HAV 3C inhibition.

The stability of the sulfonamide β -lactones in aqueous buffer ranges from low (132), to reasonably stable (128b), as shown by β -lactone hydrolysis half-life ($t_{1/2}$) in phosphate buffer pH 7.5, Table 6. Introduction of a β -substituent on the oxetanone ring may increase the hydrolytic stability of the β -lactone ring.⁵³

The corresponding carboxylic acids 127a, 127b and 131, show no significant inhibition of HAV 3C proteinase at 100 μ M concentration. In addition, the potential Michael acceptors 126a, 126b, 125a and 125b show no inhibition of HAV 3C proteinase at a inhibitor concentration of 100 μ M. The above results, confirm the importance of the oxetanone ring for inhibitory activity.

In summary, target F β -lactones 14a, 14b, 128a, 128b and 132 have been synthesized by cyclization of the corresponding β -hydroxy acids.¹⁰² The sulfonamide serine β -lactone series does not exhibit enhanced stability in aqueous media compared to their urethane analogues. However, the introduction of an alkyl β -substituent, exemplified in the target G series (Figure 33), may improve the aqueous stability. Inhibition studies show that target F β -lactones are good inhibitors of HAV 3C proteinase.

The factors which determine whether a given β -lactone functions as a timedependent or competitive inhibitor are currently not understood. Interestingly, a similar situation was observed with δ -lactone 133 which is a reversible inhibitor of HRV 3C (Figure 34), whereas, the corresponding γ -lactone 134 (Figure 34) is an irreversible inhibitor of HRV 3C.³²

Figure 34 Reversible 133 and irreversible 134 Michael acceptors of HRV 3C proteinase



133 ($K_i = 30 \text{ nM}$)

:



134 ($k_{inact} / K_i = 10\ 900\ M^{-1}\ s^{-1}$)

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1.3.12 Synthesis of Target G and Analogues

The successful syntheses of sulfonamide serine β -lactones and the results of inhibition studies provide precedent for the synthesis of target **G**, sulfonamide threonine β -lactone. The presence of the methyl substituent at the β -position in threonine β -lactone should improve the hydrolytic stability in basic media⁵³ and would introduce structural diversity. In contrast to serine derivatives, cyclization of β -alkyl substituted β -hydroxy- α -amino acids (e.g. threonine) requires carboxy group activation to avoid decarboxylative elimination.⁹⁵ Hence, strategy for the construction of target **G** (15) is based on the retrosynthetic analysis outlined in Scheme 41. Target molecule 15 can be derived from β -hydroxy carboxylic acid 135, which in turn originates from the coupling of threonine 136 and sulfonyl chloride 123.





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Treatment of each of the threonine stereoisomers **136a-d** with thionyl chloride in methanol provides the corresponding threonine methyl esters **137a-d** in good yield (Scheme 42).¹¹⁸

Scheme 42

	R₄ ◀ OH	SOCI2	_	R₄ → OH
	R ₁ CO ₂ H	MeOH		R ₁ R ₂ CO ₂ Me
136a:	R ₁ = H ₂ N R ₂ = H, R ₃ = H, R ₄ = Me		137a :	R ₁ = HCI•H ₂ N R ₂ = H, R ₃ = H, R ₄ = Me; 98%
136b:	$R_1 = H, R_3 = Me, R_4 = H$ $R_2 = H_2N$		137b:	R ₁ = H, R ₃ = Me, R ₄ = H R ₂ = HCI•H ₂ N; 99%
136c:	R ₁ = H ₂ N R ₂ = H, R ₃ = Me, R ₄ = H		137c:	R ₁ = HCI•H ₂ N R ₂ = H, R ₃ = Me, R ₄ = H; 99%
136d:	R ₁ = H, R ₃ = H, R ₄ = Me R ₂ = H ₂ N		137d:	R ₁ = H, R ₃ = H, R ₄ = Me R ₂ = HCI•H ₂ N; 98%

Coupling of esters 137a-d to *trans-\beta*-styrenesulfonyl chloride (123) in the presence of triethylamine affords the corresponding threonine sulfonamides 138a-d (Scheme 43). Hydrolysis of sulfonamides 138a-d with lithium hydroxide followed by acidic work-up affords β -hydroxy acids 139a-d. Hydrogenation of β -hydroxy acids 139a-d catalyzed by 10% palladium on charcoal in methanol provides desired acids 140a-d.⁶⁶

	$R_4 \xrightarrow{R_3} OH$ $R_1 \xrightarrow{R_2} CO_2 Me$	Ph 123 CH ₂ Cl ₂ /NEt ₃		$R_4 \xrightarrow{R_3 OH} R_1 \xrightarrow{R_2 CO_2 Me}$
137a:	R ₁ = HCI•H ₂ N R ₂ = H, R ₃ = H, R ₄ = Me		138a:	R ₁ = <i>(E)-</i> Ph(CH) ₂ SO ₂ HN R ₂ = H, R ₃ = H, R ₄ = Me; 80%
1 37b :	$R_1 = H, R_3 = Me, R_4 = H$ $R_2 = HCI H_2N$		138b:	R ₁ = H, R ₃ = Me, R ₄ = H R ₂ =(E)-Ph(CH) ₂ SO ₂ HN; 61%
1 37c :	R ₁ = HCI•H ₂ N R ₂ = H, R ₃ = Me, R ₄ = H		138c:	R ₁ = <i>(E)-</i> Ph(CH) ₂ SO ₂ HN R ₂ = H, R ₃ = Me, R ₄ = H; 58%
137d:	R ₁ = H, R ₃ = H, R ₄ = Me R ₂ = HCI•H ₂ N		138d:	R ₁ = H, R ₃ = H, R ₄ = Me R ₂ = <i>(E)</i> -Ph(CH) ₂ SO ₂ HN; 64%
				LiOH THF/H2O
	$R_4 \xrightarrow{R_3} OH$ $R_1 \xrightarrow{s} CO_2H$	H2 Pd/C MeOH		$ \begin{array}{c} R_4 \stackrel{R_3}{\longrightarrow} OH \\ R_1 \stackrel{R_2}{\longrightarrow} CO_2H \end{array} $
1 40a :	$R_1 = Ph(CH_2)_2SO_2HN$ $R_2 = H, R_3 = H, R_4 = Me_3$; 93%	139a:	R ₁ = <i>(E)</i> -Ph(CH) ₂ SO ₂ HN R ₂ = H, R ₃ = H, R ₄ = Me; 99%
1 40b :	R ₁ = H, R ₃ = Me, R ₄ = H R ₂ = Ph(CH ₂) ₂ SO ₂ HN; 8	1%	139b:	R ₁ = H, R ₃ = Me, R ₄ = H R ₂ =(<i>E</i>)-Ph(CH) ₂ SO ₂ HN; 96%
1 40c :	$R_1 = Ph(CH_2)_2SO_2HN$ $R_2 = H, R_3 = Me, R_4 = H$; 87%	139c:	R ₁ = <i>(E)</i> -Ph(CH) ₂ SO ₂ HN R ₂ = H, R ₃ = Me, R ₄ = H; 96%

- **140d:** $R_1 = H, R_3 = H, R_4 = Me$ $R_2 = Ph(CH_2)_2SO_2HN; 87\%$
- **139d:** $R_1 = H, R_3 = H, R_4 = Me$ $R_2 = (E)-Ph(CH)_2SO_2HN; 98\%$

Treatment of **140b** with *p*-bromobenzenesulfonyl chloride / pyridine¹¹⁶ did not give desired β -lactone **15b** (Scheme 44).

Scheme 44



However, treatment of β -hydroxy acids **140a-d** with BOP reagent generates stereochemically pure sulfonamide L-, D-, L-*allo*-, and D-*allo*-threonine- β -lactones **15a-d**, respectively, (Scheme 45).⁹³

Scheme 45

	R₄ → OH	BOP/NEt ₃	_	R₃ R₄ ∽ ⊂O
	R ₁ CO ₂ H	CH ₂ Cl ₂		R ₁ R ₂ O
140 a :	R ₁ = Ph(CH ₂) ₂ SO ₂ HN R ₂ = H, R ₃ = H, R ₄ = N	le	1 5a :	R ₁ = Ph(CH ₂) ₂ SO ₂ HN R ₂ = H, R ₃ = H, R ₄ = Me; 75%
140b:	R ₁ = H, R ₃ = Me, R ₄ = R ₂ = Ph(CH ₂) ₂ SO ₂ HN	н	15b:	R ₁ = H, R ₃ = Me, R ₄ = H R ₂ = Ph(CH ₂) ₂ SO ₂ HN; 75%
140c:	R ₁ = Ph(CH ₂) ₂ SO ₂ HN R ₂ = H, R ₃ = Me, R ₄ =	н	15 0 .	R ₁ = Ph(CH ₂) ₂ SO ₂ HN R ₂ = H, R ₃ = Me, R ₄ = H; 78%
140d:	R ₁ = H, R ₃ = H, R ₄ = N R ₂ = Ph(CH ₂) ₂ SO ₂ HN	Ле	15 d :	R ₁ = H, R ₃ = H, R ₄ = Me R ₂ = Ph(CH ₂) ₂ SO ₂ HN; 89%

1.3.13 Inhibition of HAV 3C Proteinase by Target G and Analogues

Target G compounds 15a-d and the carboxylic acids 140a-d were assayed with HAV 3C proteinase using a continuous fluorogenic assay,⁸³ as described in the experimental section. The IC_{50} values were measured without pre-incubation with the enzyme, unless otherwise stated (Table 7).

Table 7 HAV 3C inhibition results for target G sulfonamide β -lactones and analogues

Compd.	R	X	Y	α,β-config	$g IC_{50}(\mu M)^a$	t _{1/2} (min)
15a	Ph(CH ₂) ₂	0	CO	S,R	168	n.d.
15b	$Ph(CH_2)_2$	0	CO	R,S	136	358
15c	$Ph(CH_2)_2$	0	CO	S,S	32	136
15d	$Ph(CH_2)_2$	0	СО	R,R	12(1.4) ^c	n.d.
140a	$Ph(CH_2)_2$	OH	CO ₂ H	S,R	>>100	n.d.
140b	$Ph(CH_2)_2$	OH	CO ₂ H	R,S	>>100	n.d.
140c	Ph(CH ₂) ₂	OH	CO ₂ H	S,S	>>100	n.d.
140d	Ph(CH ₂) ₂	OH	CO₂H	R,R	>>100	n.d.

^a ([HAV 3C] = 0.1μ M, [Compd.] = $0.25-100 \mu$ M).

^b β -Lactone hydrolysis half-life in phosphate buffer pH 7.5; n.d. = not determined

^c 15 min inhibitor enzyme pre-incubation.

Target G sulfonamide threenine- β -lactones 15a-d are time-dependent inhibitors of HAV

3C. The most potent inhibitors in the series are the *allo*-threonine- β -lactones 15c and 15d

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with IC₅₀ values of 32 and 12, respectively (Table 7). In contrast, the threonine- β -lactones **15a** and **15b** are less active against HAV 3C, with IC₅₀ values of 168 and 136, respectively. In general, alkyl β -substitution on the oxetanone ring decreases susceptibility to hydrolysis. Compound **15b** with *syn*-configuration about the β -lactone ring, has a longer half-life (t_{1/2} = 358 min) than its *anti*-configuration diastereoisomer **15c** (t_{1/2} = 136 min). The data presented in Table 7, suggests that β -lactone inhibitors of HAV 3C proteinase should have *R*-configuration at the α and β -positions, with a hydrophobic moiety attached to the α -amino group (eg. **15d**). However, comparison of (Tables 4, 6 and 7) indicates that the most hydrolysis-susceptible β -lactones are also the most potent HAV 3C proteinase inhibitors.

In summary, target G β -lactones 15a-d and analogues have been synthesized. The synthetic strategy is based on the lactonization of the corresponding β -hydroxy acids using carboxy group activation.⁹³ The sulfonamide D-*allo*-threonine- β -lactone 15d exhibits potent time-dependent inhibition of HAV 3C proteinase (IC₅₀ = 12 μ M), in addition to enhanced stability in basic aqueous media ($t_{1/2} \sim 136$ min) compared to the parent urethane β -lactone 13a (IC₅₀ = 35 μ M, $t_{1/2}$ = 76 min). These results show that β -substituted β -lactones retain inhibitory properties and have increased stability in aqueous media. Furthermore, β -lactone time-dependent HAV 3C inhibition appears to be dictated by the electrophilic nature of the oxetanone ring.
1.4 Peptidyl y-Lactones

1.4.1 y-Lactone Design

A number of γ -lactones are flavor components, insect sex-attractant pheromones and plant-growth regulators.¹¹⁹⁻¹²¹ γ -Lactones undergo ring opening as a result of nucleophilic attack at the carbonyl,¹⁰⁶ and some show biological activity against serine proteinases¹⁰⁷ as well as thiol containing enzymes.¹⁰⁸ In addition, nucleophilic attack by thiol¹⁰⁹ and hydroxyl¹¹⁰ has also been observed at the γ -position. The weak HAV 3C inhibition found with *N*-Cbz-homoserine- γ -lactones (**104a** and **104b**) may be due to poor recognition by the enzyme. Therefore, target **H** (**16**) (Figure 35) incorporates the tetrapeptide analogue as a mimic of the substrate. The target could form a covalent bond with the active site thiol, *via* γ -attack (path a) or carbonyl attack (path b).⁷⁷





1.4.2 Synthesis of Target H

The strategy for the construction of target H (16) is based on the retrosynthetic analysis outlined in Scheme 46. Target molecule 16 can be derived from tripeptide 34 and the dimethyl glutamine γ -lactone 141. The key γ -lactone 141 could in principle be synthesized by cyclization of γ -hydroxy carboxylic acid 142.

Scheme 46



Treatment of β -keto ester 74, in THF, with potassium *tert*-butoxide followed by ethyl bromoacetate, provides ethyl ester 143 in good yield (Scheme 47).⁹⁸ Hydrogenation of ethyl ester 143 provides γ -keto ester 144.⁶⁶ Reduction of 144 with NaBH₄ in ethanol followed by acidic work-up generates the desired γ -lactone monomer 145a plus the γ -hydroxy ester 145b.⁶⁷



Deprotection of γ -lactone 145a with 50% trifluoroacetic acid in dichloromethane affords the trifluoroacetate salt 146 (Scheme 48). Subsequent coupling of salt 146 with tripeptide 34 using BOP reagent in the presence of triethylamine in DMF produces the desired peptidyl γ -lactone 16.





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1.4.3 Inhibition of HAV 3C Proteinase by Target H

Target **H** (16) was assayed by the standard method,^{81.82} which is described in the experimental section and employs a discontinuous TNBS assay.⁸² The enzyme inhibition studies were performed by Colin Luo (Department of Biochemistry).^{81b} Compound 16 proved to be a time-dependent, irreversible inhibitor of HAV 3C proteinase, with a rate of enzyme inactivation $k_{inact} / K_{I} = 48 \text{ M}^{-1}\text{s}^{-1}$ ([E] = 0.07 μ M, [I] = 50.0 μ M). This promising result warranted further study, and thus 16 was subjected to continuous fluorogenic assay.⁸³ Only 17% inhibition (no enzyme inhibitor pre-incubation) and 39% inhibition (15 min enzyme inhibitor pre-incubation) of HAV 3C were observed at enzyme concentration of 0.1 μ M and inhibitor concentration of 100 μ M, respectively.

In summary, the results show that a γ -lactone on the substrate peptide backbone does not effectively inhibit the HAV 3C enzyme. Such compounds may potentially be effective against other cysteine proteinases, including other picornaviral 3C proteinases.

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1.5 Peptidyl \beta-Hydroxy Acids

1.5.1 β-Hydroxy Acid Design

Transition-state isosteres that mimic intermediates in hydrolysis of the amide bond are important proteinase inhibitors used to develop therapeutic agents and to generate catalytic antibodies.¹²² Peptidyl hydroxyethylenes, have been employed as transition-state isosteres for aspartyl proteinases (Figure 36).^{123,124}

Figure 36 Hydroxyethylene unit for amide transition-state



For example, Indinivar 3 (Figure 37), is a potent competitive inhibitor of HIV-1 (human immunodeficiency virus-1) proteinase with a $K_i = 0.14 \text{ nM}^{125}$ and displays antiviral activity (CIC₉₅ = 25-50 nM) in cell cultures.¹²³ The HIV proteinase employs enzyme-bound water as the nucleophile to cleave the peptide bond.^{125,126}

Figure 37 Indinivar 3 HIV-1 proteinase inhibitor



The precursors to β -lactones are β -hydroxy acids (or hydroxyethylene carboxylic acids), which bear a structural resemblance to the hydroxyethylene unit (Figure 38). An attractive feature of using a β -hydroxy acid as a "war-head" rather than a β -lactone is that the former is relatively chemically inert compared to the latter. Although the N-Cbz-serine, N-sulfonamide-serine and threonine acids show no enzyme inhibition of HAV 3C, the presence of the amide backbone and P₁ side-chain may enhance binding. To examine whether the β -hydroxy acid unit can inhibit cysteine proteinases, several previously prepared peptidyl β -lactone precursors (17, 18, 19a and 19b) were tested as potential inhibitors of HAV 3C.

Figure 38 β -Hydroxy acid transition-state analogue

,со₂н

Hydroxyethylene

B-Hydroxy acid

In addition, target I β -hydroxy acids 20, 21 and 22 (Figure 39) were synthesized. All of these compounds possess an L-glutamine-related P₁ side-chain required for recognition.

Figure 39 Target I β-hydroxy acids



1.5.2 Synthetic Studies Towards Target I

Taking advantage of the side-product 87 shown in Scheme 26, β -hydroxy acid 20 was prepared as illustrated in Scheme 49. Reduction of β -keto ester 87 with NaBH₄ in ethanol followed by acidic work-up generates β -hydroxy ester 147 as a mixture of diastereoisomers.⁶⁷ Hydrogenation of 147, using 10% palladium on charcoal in methanol provides the desired β -hydroxy acid 20.⁷⁶

Scheme 49



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Compound 21 was synthesized from side-product 75 (Scheme 16) as shown in Scheme 50. Trifluoroacetate salt 148 is prepared by treating β -hydroxy ester 75 with 50% trifluoroacetic acid in dichloromethane. Coupling of trifluoroacetate salt 148 with benzyl chloroformate is accomplished in the presence of triethylamine in dichloromethane to afford *N*-Cbz protected 149. Hydrolysis of 149 with lithium hydroxide followed by acidic workup provides β -hydroxy acid 21.





Analogue 22 was prepared as presented in Scheme 51. *N*-Boc-L-Glutamine 150 is activated with CDI in THF and condensed with magnesium salt 73 which is followed by decarboxylative acidic work-up to give β -keto ester 151.⁸⁹ Reduction of 151 with NaBH₄ in ethanol with acidic work-up generates β -hydroxy ester 152.⁶⁷ Hydrogenation of 152

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catalyzed by 10% palladium on charcoal in methanol, provides the desired β -hydroxy acid 22.⁶⁶

Scheme 51



To explore further the structural requirements for inhibition, compound 154 which lacks the hydroxyl group was synthesized (Scheme 52). The previously prepared unsaturated ester 63 (Scheme 12) was hydrogenated to provide ester 153.¹²⁷ Hydrolysis of ester 153 with lithium hydroxide gives desired acid 154.

Scheme 52



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1.5.3 Inhibition of HAV 3C Proteinase by Target I and Analogues

Target I β -hydroxy acids 17, 18, 19a, 19b, 20, 21, 22, 74, 75 and 154 (Table 8) were assayed against HAV 3C using a continuous fluorogenic assay.⁸³ The results show that the β -hydroxy acid moiety and its derivatives are poor inhibitors of HAV 3C.

Table 8 HAV 3C Inhibition results for target I β -hydroxy acids and analogues

Compd.	R	R'	R''	Xª	Y	% Inh.⁵
17	Boc	Me	CH ₂	СНОН	Н	16
18	Ac-LAA	Me	CH ₂	СНОН	Н	13
19a	Boc	Me	C(Me) ₂	СНОН	Н	11
19b	Boc	Me	C(Me) ₂	СНОН	Н	20
20	Boc	Me	CHMe	СНОН	н	8
21	Cbz	Mie	CH ₂	СНОН	Н	20
22	Boc	н	CH ₂	СНОН	Н	27
154	Boc	Me	CH ₂	CH ₂	н	20
74	Boc	Me	CH ₂	CO	Bn	5
76	Boc	Me	CH ₂	CHOH	Et	17

^a R/S configuration except for 74 and 154. ^b ([HAV 3C] = 0.1 μ M, [Comp.] = 100 μ M).



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2. Phthalimide and Isoindolinone Inhibitors of HAV 3C Proteinase

2.1 Phthalimides Target J

2.1.1 Phthalimide Design

Conformationally constrained inhibitors of proteinases are found to bind much more tightly than the unconstrained inhibitors.^{49,50,128} Replacement of peptide bonds by their isosteric equivalents can also greatly increase bioavailability by decreasing susceptibility to hydrolytic enzymes.⁴⁸ Based on these concepts, molecular modeling and a publication that described successful inactivation of HRV 3C proteinase by isatins (Figure 12),³⁰ target **J** phthalimides were designed for HAV 3C proteinase inhibition (Figure 40).

Figure 40 Rationale for target J



Thus, the conformationally restricted scaffold mimics the P_1 glutamine side-chain and positions an amide into the S_1 recognition site. Similarly, the 2-carbonyl group of the phthalimides is superimposable over the P_1 scissile amide carbonyl in the natural HAV 3C substrate.

2.1.2 Synthesis of Target J and Analogues

Retrosynthetic analysis (Scheme 53) of target J compounds (23-26) indicates that the starting building block is trimellitic anhydride 160. This could be coupled with amine 161 or hydrazide 162 to produce the key phthalimide carboxylic acids 155 and 156, respectively. Further reaction 155 and 156 with specific amines (157-159) would give the desired target J phthalimides 23-26.





Synthesis of phthalimides 23-25 is presented in Scheme 54. Preparation of intermediate 155 is accomplished by treating trimellitic anhydride 160 with methylamine hydrochloride 161 in the presence of triethylamine in THF under reflux.⁷⁴ Acid 155 reacts

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with ethyl chloroformate in the presence of triethylamine to produce the expected mixed anhydride, which upon treatment with diaminomethane dihydrochloride produces desired phthalimide 23 plus side-product 163.¹²⁹ Initially, the primary amide of 23 was introduced by activation of acid 155 with CDI followed by treatment with ammonium hydroxide.¹³⁰ However, this route was abandoned due to unsatisfactory yields (11%). Similarly, compounds 24 and 25 can be synthesized by preparing the mixed anhydride of 155, followed by condensation with dimethylamine and methylamine hydrochloride, respectively.

Scheme 54



In order to probe which imido carbonyl on target J (25) is the preferred carbonyl entering the HAV 3C oxyanion hole, isoindolinones 27a and 27b were synthesized (Scheme 55). Treatment of phthalimide 25 with tin powder in glacial acetic acid and concentrated hydrochloric acid under reflux affords isoindolinones 27a and 27b.¹³¹



Structural isomers 27a and 27b are distinguishable by ¹H ROESY experiments (Figure 41). The two dimensional ¹H ROESY spectrum of isoindolinone 27a shows a distinct cross-peak between protons H_6 and H_7 on the proton chemical shift axis and the ROESY spectrum of 27b shows a cross-peak between protons H_3 and H_2 , providing evidence for the structural assignment.

Figure 41 NOE cross-peaks observed for isoindolinones 27a and 27b



Molecular modeling⁷⁷ suggested that phthalimide 26 would bind favorably in the active site of HAV 3C, and its synthesis is presented in Scheme 56. Benzoic acid 164 is treated with ethyl chloroformate in the presence of triethylamine in dichloromethane to generate the mixed anhydride, which is condensed with hydrazine to give hydrazide 165. Treatment of 165 with trimellitic anhydride 160 in the presence of triethylamine in THF

under reflux, affords 166. Acid 166 is activated with ethyl chloroformate in the presence of triethylamine and treated with dimethylamine hydrochloride to produce desired phthalimide 26.

Scheme 56



In Scheme 56, plausible alternative structures to 166 in the phthaloylation step, are the phthalhydrazide structural isomers (167 and 168) shown in Figure 42.¹³² However, an X-ray crystallographic analysis of the product isolated confirms the structure of 166.

168

167



Target J phthalimides 23-26, and isoindolinones 27a and 27b (Table 9) were assayed against HAV 3C proteinase using a continuous fluorogenic assay⁸³ and HRV-14 3C proteinase using a continuous colorimetric assay,¹¹⁵ which is described in the experimental section. Inhibitors tested were assayed at a concentration of 100 μ M and enzyme concentration of 0.1 μ M and 0.4 μ M, for HAV 3C and HRV-14 3C, respectively. Phthalimides 23-26 and isoindolinone compounds 27a and 27b gave a disappointing 12-30% inhibition range (no enzyme inhibitor pre-incubation) against HAV 3C; however, phthalimide 23 shows reasonable inhibition (42%) against HRV-14 3C proteinase. Further structural elaboration of phthalimide 23 may provide improved inhibitory potency against HRV 3C.

Figure 42 Phthalhydrazide structural isomers 167, 168 and X-ray structure of 166

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Compd.	A	В	R	x	Y	% Inhibition	
						HAV 3Cª	HRV 3C⁵
23	СО	со	Me	Н	Н	19	42
24	СО	CO	Me	Me	Me	15	n.d.
25	СО	CO	Me	Me	Н	30	n.d.
26	CO	CO	NHCOPh	Me	Me	12	n.d.
27a	CH ₂	CO	Me	Me	Н	18	n.d.
27b	CO	CH ₂	Me	Me	н	15	n.d.

Table 9 HAV and HRV 3C inhibition results of target J phthalimides and isoindolinones

^a Percent inhibition at ([HAV 3C] = 0.1μ M, [Comp.] = 100μ M).

^b Percent inhibition at ([HRV 3C] = 0.4μ M, [Comp.] = 100μ M).

n.d. = not determined

In summary, target J compounds 23-26 and isoindolinones 27a and 27b were synthesized. The results show that these types of rigidified backbones are insufficient to inhibit either HAV or HRV 3C proteinases. A possible difficulty may be unfavorable positioning of vital pharmacophoric groups required for inhibition, as a result of conformational constraints imposed by the phthalimide and isoindolinone scaffolds. Nevertheless, such compounds may be effective against other cysteine proteinases, including other picornaviral proteinases.

Summary and Future Work

A series of inhibitors of HAV 3C proteinase have been synthesized and tested as potential lead compounds for the design of therapeutic agents for human picornaviral pathogens. This research shows that thiol reactive groups such as fluoromethyl ketones, α,β -unsaturated esters, γ -lactones and β -lactones can be used as effective tools to inhibit the HAV 3C enzyme. Various active site directed P-side tetrapeptide inhibitors were designed based on substrate specificity at P, (Gln) and P, (Leu). Preparation of fluoromethyl ketone 9, provided a facile route to ¹³C-labeled tetrapeptide fluoromethyl ketone 6 for NMR enzyme inactivation studies. The ¹³C-NMR spectrum of the enzymeinhibitor complex displays a new peak at 40 ppm, suggesting the formation of an (alkylthio)ketone. The α , β -unsaturated ester 10 proved to be a time-dependent irreversible inhibitor of HAV 3C proteinase, with a rate of enzyme inactivation $k_{inact} / K_i = 137 \text{ M}^{-1} \text{s}^{-1}$ ([E] = 0.07 μ M, [I] = 10.0 μ M). A similar α , β -unsaturated ester, compound AG7088, was shown by Agouron Pharmaceuticals^{32.34} to be a highly potent, nontoxic antirhinoviral agent with broad efficacy against multiple HRV serotypes. Compound AG7088 has been formulated for intranasel delivery and has recently entered clinical trails.^{34d} γ-Lactone 16 was a time-dependent irreversible inhibitor of HAV 3C proteinase, with a rate of enzyme inactivation $k_{inact} / K_{I} = 48 \text{ M}^{-1}\text{s}^{-1}$ ([E] = 0.07 μ M, [I] = 50.0 μ M). Further functionalization of the γ -lactone ring possible by the addition of appropriately placed electron with-drawing groups may provide more potent inhibitors of HAV 3C.

In addition, active site directed P'-side inhibitors were designed based on substrate specificity at P₂' (Phe). β -Lactone 13a is a time-dependent irreversible inhibitor of HAV 3C proteinase with $k_{inact} = 0.012 \text{ s}^{-1}$, $K_t = 1.84 \times 10^{-4} \text{ M}$, $k_{inact} / K_t = 63 \text{ M}^{-1} \text{ s}^{-1}$. HAV 3C

proteinase is inactivated by β -lactone 13a, *via* nucleophilic ring opening of the oxetanone ring at the β -position by the cysteine thiolate. In contrast, the enantiomer 13b is a competitive reversible inhibitor of HAV 3C proteinase (K_i = 1.50 x 10⁻⁶ M). Additional studies on β -lactone 13 structure-activity relationships identified sulfonamide D-*allo*threonine- β -lactone 15d as a potent time-dependent inhibitor of HAV 3C proteinase (IC₅₀ = 12 μ M). Compound 15d showed enhanced stability in basic aqueous media (t_{1/2} ~ 136 min) compared to the parent urethane β -lactone 13a (t_{1/2} = 76 min). These results show that β -substituted β -lactones retain inhibitory properties and have increased stability in aqueous media. Furthermore, β -lactone time-dependent HAV 3C inhibition appears to be dictated by the electrophilic nature of the oxetanone ring.

Work is currently in progress to obtain an enzyme-inhibitor complex of HAV 3C proteinase with β -lactone **13a** to confirm β -attack on the oxetanone ring by the sulfur atom of Cys-172, in addition to advancing the inhibitor design process.

EXPERIMENTAL PROCEDURES

General Procedures

All processes involving air or moisture sensitive reactants were performed under an atmosphere of dry argon using oven-dried glassware. Reagents and solvents were reagent grade and used as supplied unless otherwise stated. Solvents for anhydrous reactions were dried according to Perrin *et al.*¹³³ Tetrahydrofuran (THF) and diethyl ether were distilled over sodium under an argon atmosphere. Acetonitrile, dichloromethane, triethylamine and pyridine were distilled over calcium hydride. *N,N*-Dimethylformamide (DMF) was distilled under reduced pressure over calcium hydride. Methanol and ethanol were distilled over magnesium turnings and a catalytic amount of iodine. Dimethyl sulfoxide (DMSO) was distilled over calcium hydride and stored over CaH₂. Water was obtained from a Milli-Q reagent water system. "Brine" refers to a saturated aqueous solution of NaCl. Unless otherwise specified, solutions of HCl, NaHCO₃, KOH and NaOH refer to aqueous solutions. Solvent evaporation was performed under reduced pressure below 40 °C using a Büchi rotary evaporator, followed by evacuation (<0.1 torr) to constant sample weight. Isotopically labeled L-serine (3-¹³C, 99%) was purchased from Cambridge Isotope Laboratories (Andover MA) and was used directly without further purification.

Reactions and fractions from column chromatography were monitored and analyzed by thin-layer chromatography (TLC) using glass plates with a UV fluorescent indicator (silica gel, Merck 60 F_{254} ; Merck RP-8 and Merck RP-18 F_{254}). One or more of the following methods were used for visualization: UV absorption by fluorescence quenching; iodine staining; phosphomolybdic acid / ceric sulfate / sulfuric acid (10 g : 1.25 g : 8% 250 mL) spray; Ninhydrin / methanol (1 g : 100 mL) spray; bromocresol green / ethanol / sodium hydroxide (0.04 g : 100 mL : 0.1 N added until the blue color appears) spray. Flash column chromatography was performed by the method of Still¹³⁴ using 230-400 mesh silica (Merck, silica gel).

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Melting points were determined on a Thomas-Hoover oil immersion apparatus using open capillary tubes and are uncorrected. Infrared spectra (IR) were recorded on a Nicolet Magna 750 FT-IR spectrometer. Cast refers to the evaporation of a solution on a NaCl plate and (µscope) refers to microscope. Mass spectra (MS) were recorded on Kratos AEIMS-50 high resolution mass spectrometry (HRMS), electron impact ionization (EI), MS-12 chemical ionization ((CI), NH₃), MS-9 fast atom bombardment ((FAB), argon) and Micromass ZabSpec Hybrid Sector-TOF positive mode electrospray ionization ((ES), 0.5% solution of formic acid in acetonitrile : water (1 : 1) instruments. Cleland matrix was used in FAB experiments and refers to a 5 : 1 mixture of dithiothreitol and dithioerythritol. Microanalyses were obtained on Perkin Elmer 240 or Carlo Erba 1180 elemental analyzers. Nuclear magnetic resonance (NMR) spectra were obtained on Bruker WH-200, AM-300, WM-360, WH-400 and Inova Varian 300, 500 and 600 instruments. ¹H NMR chemical shifts are reported in parts per million (ppm) downfield relative to tetramethylsilane (TMS) using the solvent resonance as the reference: CDCl₃, δ 7.24; CD₂Cl₂, δ 5.32; D₂O, δ 4.72; CD₃OD, δ 3.30; *N*,*N*-(CD₃)₂NCDO, δ 2.74; (CD₃)₂SO, δ 2.49; (CD₃)₂CO, δ 2.04; CD₃CN, δ 1.93 and C₄D₈O, δ 1.73. ¹³C NMR shifts are reported relative to: CDCl₃, δ 77.0; C₄D₈O, δ 67.4; CD₂Cl₂, δ 53.8; CD₃OD, δ 49.0; (CD₃)₂CO, δ 39.5; N,N- $(CD_3)_2NCDO$, δ 30.1; $(CD_3)_2CO$, δ 29.8 and CD_3CN , δ 1.3. Selective homonuclear decoupling, attached proton test (APT), ¹H-¹H, ¹H-¹³C and nuclear Overhauser effect (NOE) correlation experiments were occasionally used for signal assignments. ¹H NMR data are reported in the following order: multiplicity (s, singlet; d, doublet; t, triplet; q, quartet; and m, multiplet), number of protons, coupling constant(s) in Hertz (Hz), and assignment. When appropriate the multiplicity is preceded by br, indicating that the signal was broad. All literature compounds had IR, ¹H NMR and mass spectra consistent with the reported data.



(4*S*)-*N*,*N*-Dimethyl-4-(acetylleucylalanylalanyl)amino-6-fluoro-5oxohexanamide (6).²⁸ Dess-Martin periodinane 36 (31 mg, 72.9 μmol) was added to a solution of the fluoroalcohol 35 (11.9 mg, 24.3 μmol) in DMF (0.5 mL) at room temperature. The mixture was stirred for 2 h, then evaporated *in vacuo*. The residue was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-30% acetonitrile : water, t_R 17.0 min) to yield the fluoroketone 6 (9.4 mg, 80%) as a white solid: IR (CHCl₃ cast) 3286, 2918, 1694, 1651, 1537, 1150, 1054, 667 cm⁻¹; ¹H NMR (360 MHz, D₂O) δ 5.09 (dd, 2H, J = 46, 11 Hz, CFH₂), 4.2-4.0 (m, 4H, α-<u>H</u> Leu, 2α-<u>H</u> Ala and α-<u>H</u> dimethyl Gln), 2.89 (s, 3H, NCH₃), 2.77 (s, 3H, NCH₃), 2.29 (m, 2H, COCH₂), 2.08 (m, 1H, CH₂ dimethyl Gln), 1.98 (m, 1H, CH₂ dimethyl Gln), 1.88 (s, 3H, COCH₃), 1.56 (m, 1H, CH Leu), 1.43 (m, 2H, CH₂ Leu), 1.27 (d, 3H, J = 7 Hz, CH₃ Ala), 1.25 (d, 3H, J = 7 Hz, CH₃ Ala), 0.79 (d, 3H, J = 6 Hz, CH₃ Leu), 0.72 (m, 3H, J = 6 Hz, CH₃ Leu); MS (FAB) *m/z* (relative intensity) 488.0 (MH⁺, 60%).



(4S)-N,N-Dimethyl-6-fluoro-5-oxo-4-phthalimidohexanamide (9). Potassium fluoride (145 mg, 2.5 mmol, dried at 100 °C under high vacuum for 24 h) and 18-crown-6 (16.4 mg, 0.06 mmol) were heated in acetonitrile (10 mL) for 30 min with vigorous stirring (oil bath at 90 °C). The mixture was allowed to cool and then the bromoketone 55 (237 mg, 0.62 mmol) was added. The mixture was heated with vigorous stirring (in an oil bath at 90 °C) for an additional 15 h. The mixture was allowed to cool and then the solvent was evaporated *in vacuo*. Purification by HPLC (Resolve C-18 Prepak R 25 x 100 mm, 15 mL min⁻¹ gradient elution, 8-44% acetonitrile : water) gave the fluoroketone 9 (40.5 mg, 20%) as a yellow oil: IR (CH₂Cl₂ cast) 3500, 2933, 1778, 1740, 1737, 1639, 1468, 1386, 724 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.88 (m, 2H, H₁ and H₄), 7.78 (m, 2H, H₂ and H₃), 5.09 (m, 1H, C<u>H</u>), 5.08 (dd, 1H, *J* = 47, 16 Hz, CF<u>H</u>), 4.95 (dd, 1H, *J* = 47, 16 Hz, CF<u>H</u>), 2.95 (s, 3H, NC<u>H₃), 2.85 (s, 3H, NC<u>H₃), 2.59 (m, 1H, C<u>H</u>₂), 2.41 (m, 1H, C<u>H</u>₂), 2.38 (m, 2H, COC<u>H₂); ¹³C NMR (100 MHz, CDCl₃) δ 200.1 (d, ²*J*_{C-F} = 18 Hz), 171.1, 167.7, 134.4, 131.6, 123.7, 84.4 (d, *J*_{C-F} = 185 Hz), 56.1, 37.1, 35.4, 29.2, 23.1; HRMS (ES) Calcd for C₁₆H₁₈N₂O₄F 321.1251, found 321.1247.</u></u></u>



Methyl (2E,4S)-4-(acetylleucylalanylalanyl)amino-7-(N, N-dimethylamino)-7-oxohepten-2-oate (10). Triethylamine (4.0 µl, 29.2 µmol) was added to a solution of N-acetylleucylalanylalanine 34 (4.5 mg, 14.1 µmol) and HBTU (5.0 mg, 14.6 µmol) in DMF (1.0 mL) at 0 °C. The solution was stirred at 0 °C for 5 min, then added dropwise over 10 min to a solution of the trifluoroacetate salt 64 (4.8 mg, 14.6 µmol) and triethylamine (4.0 µl, 29.2 µmol) in DMF (1.0 mL) also at 0 °C. The mixture was stirred at 0 °C for 2 h, then the cold bath was removed and stirring was continued for an additional 3 h. The mixture was dried*in vacuo* $overnight, and the residue was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-30% acetonitrile : water, t_R 28.2 min) and recrystallized from CHCl₃-hexane to yield 10 (5.7 mg, 78%) as a white solid: mp 154-156 °C; <math>[\alpha]_D^{26}$ -21.62° (c 3.0, CHCl₃); IR (µscope)

3274, 2954, 1726, 1690, 1627, 1535, 1091 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.80-7.00 (br s, 4H, N<u>H</u> Leu, N<u>H</u> Ala, N<u>H</u> Ala, N<u>H</u> dimethyl Gln), 6.85 (dm, 1H, J = 16 Hz, C<u>H</u>CHCO₂Me), 5.98 (dm, 1H, J = 16 Hz, CHC<u>H</u>CO₂Me), 4.60-4.40 (m, 4H, α -<u>H</u> Leu, 2 α -<u>H</u> Ala and α -<u>H</u> dimethyl Gln), 3.77 (2, 3H, CO₂C<u>H</u>₃), 3.05 (s, 3H, NC<u>H</u>₃), 2.95 (s, 3H, NC<u>H</u>₃), 2.40 (m, 2H, COC<u>H</u>₂ dimethyl Gln), 2.02 (s, 3H, COC<u>H</u>₃), 1.50-1.80 (m, 5H, C<u>H</u> Leu, C<u>H</u>₂ Leu and C<u>H</u>₂ dimethyl Gln), 1.42 (m, 3H, C<u>H</u>₃ Ala), 1.42 (m, 3H, C<u>H</u>₃ Ala), 0.95 (m, 3H, C<u>H</u>₃ Leu), 0.95 (m, 3H, C<u>H</u>₃ Leu); ¹³C NMR (125 MHz, CD₃OD) δ 173.4, 173.2, 173.3, 172.3, 173.4, 166.7, 146.7, 132.4, 69.5, 51.8, 51.7, 50.6, 49.9, 40.7, 29.3, 24.9, 24.5, 23.5, 22.9, 22.8, 22.5, 21.9, 21.5, 17.7; HRMS (ES) Calcd for C₂₄H₄₂N₅O₇ 512.3084, found 512.3081.



[3-¹³C]-*N*-(Benzyloxycarbonyi)-L-serine- β -lactone, (13a(β -¹³C)).^{53a} A 25 mL round-bottom flask was equipped with a magnetic stirring bar, argon inlet adaptor, low temperature thermometer and rubber septum. The flask was charged with THF (5 mL) and triphenylphosphine (0.17 g, 0.67 mmol), the triphenylphosphine was dissolved with stirring and the flask was cooled to -78 °C. Dimethyl azodicarboxylate (80.0 μ L, 0.73 mmol) was added dropwise with a syringe over 10 min. The resulting pale yellow solution was stirred at -78 °C for 10 min at which point a milky white slurry was obtained. The rubber septum on the flask was replaced with a pressure equalizing dropping funnel containing a solution of [3-¹³C]-*N*-Cbz-L-serine **102a**(β -¹³C) (0.16 g, 0.67 mmol) in THF (2 mL), which was added dropwise to the mixture over 30 min. After completion of the addition, the mixture was stirred at -78 °C for 20 min, the cooling bath was removed and the mixture was slowly warmed with stirring to room temperature over 2.5 h. The solvent was removed *in vacuo*, the residual pale yellow syrup was suspended in hexane-ethyl

acetate (4 : 1) and purified by flash chromatography (hexane-ethyl acetate, 4 : 1) which gave 13a(β -¹³C) (45.4 mg, 31%) as a white solid after recrystallization from (CHCl₃hexane): mp 133-134 °C (lit. mp 133-134 °C)^{53a}; [α]²⁶_D -6.45° (*c* 1.6, CHCl₃); IR (µscope) 3366, 1842, 1686, 1532, 1268, 751, 701 cm⁻¹; ¹H NMR (360 MHz, CD₂Cl₂) δ 7.38 (m, 5H, Ph), 5.54 (br s, 1H, NH), 5.14 (br s, 2H, PhCH₂), 5.06 (m, 1H, CH), 4.43 (dm, 2H, ¹J_{13C-H} = 160 Hz, [•]CH₂); ¹³C NMR (125 MHz, CDCl₃) δ 168.5, 155.2, 135.5, 128.7, 128.6, 128.4, 67.9, 66.4, 59.5; HRMS (EI) Calcd for ¹³CC₁₀H₁₁NO₄ 222.0722, found 222.0721.



N-(**Benzyloxycarbonyl**)-**D**-serine-β-lactone (13b).^{53a} Cyclization of *N*-Cbz-D-serine **102b** (5.0g, 20.0 mmol) by Mitsunobu procedure^{53a} (dimethyl azodicarboxylāře (2.30 mL, 20.0 mmol) and triphenylphosphine (5.50 g, 20.0 mmol)) as described for **13a**(β-¹³C) gave β-lactone **13b** (1.82 g, 40%): mp 133-134 °C (lit. mp 133-134 °C)^{53a}; $[\alpha]_D^{26}$ +3.45° (*c* 6, CHCl₃); IR (µscope) 3361, 1827, 1684, 1529, 1266, 753, 702 cm⁻¹; ¹H NMR (360 MHz, CD₂Cl₂) δ 7.36 (m, 5H, Ph), 5.49 (br s, 1H, NH), 5.14 (br s, 2H, PhCH₂), 5.06 (m, 1H, CH), 4.44 (m, 2H, CH₂); ¹³C NMR (125 MHz, CDCl₃) δ 168.6, 155.2, 135.5, 128.6, 128.5, 128.4, 67.8, 66.3, 59.7; HRMS (EI) Calcd for C₁₁H₁₁NO₄ 221.0688, found 221.0693; Anal. Calcd for C₁₁H₁₁NO₄: C, 59.72; H, 5.01; N, 6.33. Found: C, 59.71; H, 4.87; N, 6.29.



N-(Phenethylsulfonyl)-L-serine- β -lactone (14a). Cyclization of N-(phenethylsulfonyl)-L-serine 127a (0.50 g, 1.85 mmol) by Mitsunobu procedure^{53a} (dimethyl azodicarboxylate (0.20 mL, 1.85 mmol) and triphenylphosphine (0.49 g, 1.85

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mmol)) as described for **13a**(β-¹³C) gave β-lactone **14a** (0.15 g, 32%): mp 119-120 °C; $[\alpha]_D^{26}$ -35.21° (*c* 1.5, CHCl₃); IR (CHCl₃ cast) 3300, 3050, 2990, 1827, 1496, 1343, 1150, 743, 699 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.35 (m, 5H, Ph), 5.16 (ddd, 1H, *J* = 7, 6, 4 Hz, C<u>H</u>), 5.13 (d, 1H, *J* = 7 Hz, N<u>H</u>), 4.58 (dd, 1H, *J* = 11, 6 Hz, C<u>H₂</u>), 4.31 (dd, 1H, *J* = 11, 4 Hz, C<u>H₂</u>), 3.48 (m, 2H, PhCH₂C<u>H₂</u>), 3.22 (m, 2H, PhC<u>H₂CH₂</u>); ¹³C NMR (125 MHz, CDCl₃) δ 168.7, 137.8, 129.7, 129.3, 127.9, 68.2, 61.4, 56.7, 30.6; HRMS (EI) Calcd for C₁₁H₁₃NO₄S 255.0565, found 255.0562; Anal. Calcd for C₁₁H₁₃NO₄S: C, 51.75; H, 5.13; N, 5.49. Found: C, 51.63; H, 5.04; N, 5.42.



N-(**Phenethylsulfonyl**)-**D**-serine-β-lactone (14b). Cyclization of *N*-(phenethylsulfonyl)-D-serine 127b (0.50 g, 1.85 mmol) by Mitsunobu procedure^{53a} (dimethyl azodicarboxylate (0.20 mL, 1.85 mmol) and triphenylphosphine (0.49 g, 1.85 mmol)) as described for 13a(β-¹³C) gave β-lactone 14b (0.17 g, 37%): mp 119-120 °C; $[\alpha]_D^{26}$ +29.19° (*c* 1.4, CHCl₃); IR (CHCl₃ cast) 3281, 3050, 2990, 1829, 1496, 1320, 1150, 743, 699 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.35 (m, 5H, <u>Ph</u>), 5.16 (ddd, 1H, *J* = 9, 6, 5 Hz ,CH), 5.13 (d, 1H, *J* = 9 Hz, NH), 4.58 (dd, 1H, *J* = 11, 6 Hz, CH₂), 4.31 (dd, 1H, *J* = 11, 5 Hz, CH₂), 3.48 (m, 2H, PhCH₂CH₂), 3.22 (m, 2H, PhCH₂CH₂); ¹³C NMR (125 MHz, CDCl₃) δ 168.9, 137.9, 129.7, 129.3, 127.8, 68.2, 61.4, 56.7, 30.6; HRMS (EI) Calcd for C₁₁H₁₃NO₄S 255.05653, found 255.05464; Anal. Calcd for C₁₁H₁₃NO₄S: C, 51.75; H, 5.13; N, 5.49. Found: C, 51.42; H, 5.37; N, 5.81.



N-(**Phenethylsulfonyl**)-**L**-threonine-β-lactone (15a). A suspension of *N*-(phenethylsulfonyl)-L-threonine-β-lactone **140a** (0.5 g, 1.74 mmol) in CH₂Cl₂ (30 mL) was cooled to 0 °C and treated with triethylamine (0.72 mL, 5.22 mmol) followed by BOP (0.92 g, 2.11 mmol). The cooling bath was removed and the reaction mixture was stirred at room temperature for 3 h. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate : hexane, 1 : 1), followed by recrystallization from (CHCl₃-hexane) to give β-lactone **15a** (0.35 g, 75%) as a white solid: mp 139-141 °C; $[\alpha]_{12}^{26}$ -25.0° (*c* 1.2, CHCl₃); IR (CHCl₃ cast) 3319, 3050, 2980, 1813, 1497, 1338, 1150, 750, 698 cm⁻¹; ¹H NMR (360 MHz, CD₂Cl₂) δ 7.31 (m, 5H, Ph), 5.19 (dd, 1H, *J* = 10, 6 Hz, NC<u>H</u>), 5.65 (d, 1H, *J* = 10 Hz, N<u>H</u>), 4.23 (quintet, 1H, *J* = 6 Hz, C<u>H</u>(OH)), 3.46 (m, 2H, PhCH₂C<u>H₂</u>), 3.15 (m, 2H, PhCH₂CH₂), 1.47 (d, 3H, *J* = 6 Hz, CH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CDCl₃) δ 169.0, 137.8, 129.7, 129.3, 128.0, 75.0, 62.3, 56.4, 30.7, 16.0; HRMS (EI) Calcd for C₁₂H₁₅NO₄S 269.0722, found 269.0719; Anal. Calcd for C₁₂H₁₅NO₄S: C, 53.52; H, 5.61; N, 5.20. Found: C, 53.53; H, 5.52; N, 5.16.



N-(**Phenethylsulfonyl**)-L-threonine-β-lactone (15b). Cyclization of *N*-(phenethylsulfonyl)-D-threonine 140b (0.50 g, 1.74 mmol) using BOP (0.92 g, 2.11 mmol) and triethylamine (0.72 mL, 5.22 mmol) as described for 15a gave β-lactone 15b (0.35 g, 75%) as a white solid: mp 139-141 °C; $[\alpha]_{1D}^{26}$ -12.50° (*c* 0.8, CHCl₃); IR (CHCl₃ cast) 3317, 3025, 2979, 1808, 1497, 1329, 1146, 748, 696 cm⁻¹; ¹H NMR (360 MHz, CD₂Cl₂) δ 7.29 (m, 5H, Ph), 5.19 (m, 1H, NCH), 5.18 (br s, 1H, NH), 4.88 (dq, 1H, J = 6, 3 Hz, C<u>H</u>(OH)), 3.43 (m, 2H, PhCH₂C<u>H₂</u>), 3.15 (m, 2H, PhC<u>H₂</u>CH₂), 1.45 (d, 3H, J = 6 Hz, CH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CDCl₃) δ 168.9, 137.8, 129.3, 128.9, 127.4, 74.9, 61.9, 56.0, 30.2, 15.5; HRMS (EI) Calcd for C₁₂H₁₅NO₄S 269.0722, found 269.0712; Anal. Calcd for C₁₂H₁₅NO₄S: C, 53.52; H, 5.61; N, 5.20. Found: C, 53.46; H, 5.48; N, 5.12.



N-(**Phenethylsulfonyl**)-L-*allo*-threonine-β-lactone (15c). Cyclization of *N*-(phenethylsulfonyl)-L-*allo*-threonine 140c (0.50 g, 1.74 mmol) using BOP (0.92 g, 2.11 mmol) and triethylamine (0.72 mL, 5.22 mmol) as described for 15a gave β-lactone 15c (0.36 g, 78%) as a white solid: mp 130-132 °C; $[\alpha]_D^{26}$ -70.0° (*c* 1.1, CHCl₃); IR (CHCl₃ cast) 3302, 3030, 2971, 1828, 1496, 1360, 1147, 760, 696 cm⁻¹; ¹H NMR (360 MHz, CD₂Cl₂) δ 7.31 (m, 5H, <u>Ph</u>), 5.01 (d, 1H, *J* = 8 Hz, N<u>H</u>), 4.63 (dq, 1H, *J* = 6, 4 Hz, C<u>H</u>(OH)), 4.54 (dd, 1H, *J* = 8, 4 Hz, NC<u>H</u>), 3.46 (m, 2H, PhCH₂CH₂), 3.15 (m, 2H, PhC<u>H</u>₂CH₂), 1.59 (d, 3H, *J* = 6 Hz, CH(C<u>H</u>₃)); ¹³C NMR (125 MHz, CDCl₃) δ 167.8, 137.9, 129.7, 129.2, 127.9, 78.6, 66.0, 56.6, 30.7, 19.3; HRMS (ES) Calcd for C₁₂H₁₅NO₄SNa 292.0611, found 292.0623; Anal. Calcd for C₁₂H₁₅NO₄S: C, 53.52; H, 5.61; N, 5.20. Found: C, 53.16; H, 5.44; N, 5.05.



N-(**Phenethylsulfonyl**)-D-allo-threonine- β -lactone (15d). Cyclization of *N*-(phenethylsulfonyl)-D-allo-threonine 140d (0.50 g, 1.74 mmol) using BOP (0.92 g, 2.11 mmol) and triethylamine (0.72 mL, 5.22 mmol) as described for 15a gave β -lactone 15d (0.42 g, 89%) as a white solid: mp 130-132 °C; $[\alpha]_D^{26}$ +41.54° (c 1.3, CHCl₃); IR

(CHCl₃ cast) 3303, 3031, 2972, 1838, 1497, 1326, 1148, 762, 697 cm⁻¹; ¹H NMR (360 MHz, CD₂Cl₂) δ 7.31 (m, 5H, <u>Ph</u>), 5.00 (d, 1H, *J* = 9 Hz, N<u>H</u>), 4.63 (dq, 1H, *J* = 6, 4 Hz, C<u>H</u>(OH)), 4.54 (dd, 1H, *J* = 9, 4 Hz, NC<u>H</u>), 3.46 (m, 2H, PhCH₂C<u>H₂), 3.15 (m, 2H, PhCH₂CH₂), 1.59 (d, 3H, *J* = 6 Hz, CH(C<u>H₃)); ¹³C NMR (125 MHz, CDCl₃) δ 167.1, 137.2, 129.1, 128.6, 127.3, 77.9, 65.4, 55.9, 30.0, 18.7; HRMS (EI) Calcd for C₁₂H₁₅NO₄S 269.0722, found 269.0709; Anal. Calcd for C₁₂H₁₅NO₄S: C, 53.52; H, 5.61; N, 5.20. Found: C, 53.14; H, 5.49; N, 5.09.</u></u>



(5RS)-5-[(1S)-1-(acetylleucylalanylalanyl)amino-3-(N,N-

dimethylcarbamoyl)propyl]oxolan-2-one (16). Triethylamine (15.0 μ l, 0.10 mmol) was added to a solution of *N*-acetylleucylalanylalanine 34 (20.0 mg, 0.061 mmol) and BOP (28.0 mg, 0.063 mmol) in DMF (2.0 mL) at 0 °C. The solution was stirred at 0 °C for 5 min, then added dropwise over 10 min to a solution of the trifluoroacetate salt 146 (30.1 mg, 0.091 mmol) and triethylamine (15.0 μ l, 0.10 mmol) in DMF (2.0 mL) also at 0 °C. The mixture was stirred at 0 °C for 2 h, then the cooling bath was removed and stirring was continued for an additional 3 h. The mixture was dried *in vacuo* overnight, and the residue was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-20% acetonitrile : water, t_R 23.5 min) and recrystallized from (CHCl₃-diethyl ether) to yield 16 (19.7 mg, 61%) as a white solid mixture of diastereoisomers. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 2 : 1): IR (µscope) 3280, 2955, 1777, 1648, 1295, 1169, 1035 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 7.60-6.80 (br s, 4H, N<u>H</u> Leu, N<u>H</u> Ala, N<u>H</u> Ala, N<u>H</u> dimethyl Gln), 4.70-4.00 (m, 5H, α -<u>H</u> Leu, 2 α -<u>H</u> Ala, α -<u>H</u> dimethyl Gln and

CH₂CH₂CO₂CH), 3.09 (s, 3H, NCH₃), 2.93 (s, 3H, NCH₃), 2.60-2.20 (m, 6H, $CH_2CH_2CO_2CH$, $COCH_2$ dimethyl Gln, $CH_2CH_2CO_2CH$), 2.20 (s, 3H, $COCH_3$), 1.95 (m, 1H, CH₂ dimethyl Gln), 1.80-1.60 (m, 4H, CH Leu, CH₂ Leu, CH₂ dimethyl Gln), 1.40 (m, 6H, CH₃ Ala, CH₃ Ala), 0.95 (m, 6H, CH₃ Leu, CH₃ Leu); (isomer B) δ 7.60-6.80 (br s, 5H, NH Leu, NH Ala, NH Ala, NH dimethyl Gln), 4.70-4.00 (m, 5H, α-H Leu, $2\alpha - \underline{H}$ Ala, $\alpha - \underline{H}$ dimethyl Gln and $CH_2CH_2CO_2C\underline{H}$), 3.09 (s, 3H, NCH₃), 2.93 (s, 3H. NCH_3 , 2.60-2.20 (m, 6H, $CH_2CH_2CO_2CH$, $COCH_2$ dimethyl Gln, CH₂CH₂CO₂CH), 2.00 (s, 3H, COCH₃), 1.95 (m, 1H, CH₂ dimethyl Gln), 1.80-1.60 (m, 4H, CH Leu, CH₂ Leu, CH₂ dimethyl Gln), 1.40 (m, 6H, CH₃ Ala, CH₃ Ala), 0.95 (m, 3H, CH₃ Leu), 0.95 (m, 3H, CH₃ Leu); ¹³C NMR (125 MHz, CD₃OD) (isomer A) δ 177.7, 177.2, 174.4, 173.6, 173.4, 173.1, 81.9, 52.5, 51.9, 50.2, 49.8, 45.4, 40.2, 36.8, 29.7, 28.5, 25.0, 24.9, 24.5, 22.9, 22.8, 17.5, 16.8, 15.5; (isomer B) δ 177.7, 177.2, 174.4, 173.6, 173.4, 172.4, 81.4, 50.3, 50.2, 49.9, 49.6, 45.4, 40.2, 36.8, 29.7, 28.5, 25.0, 24.9, 24.5, 22.9, 22.8, 17.5, 16.8, 15.5; HRMS (ES) Calcd for $C_{24}H_{42}N_5O_7$ 512.3084, found 512.3092.



(3RS, 4S)-4-(*tert*-Butyloxycarbonylamino)-7-(*N*,*N*-dimethylamino)-3-hydroxy-7-oxoheptanoic acid (17). To a solution of β -hydroxy benzyl ester 76 (1.05 g, 2.57 mmol) in methanol (20 mL) under argon was added 10% palladium on charcoal (0.10 g). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give the β -hydroxy acid 17 (0.82 g, quantitative) as a white solid. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (CHCl₃ cast) 3356, 2976, 1707, 1688, 1624, 1452, 1249, 1168, 1044, cm⁻¹; ¹H NMR (360 MHz, CD₃OD) (isomer A) δ 3.63 (m, 1H, NC<u>H</u>), 3.18 (m, 1H, C(OH)<u>H</u>), 2.79 (s, 3H, NC<u>H₃</u>), 2.65 (s, 3H, NC<u>H₃</u>), 2.22 (m, 2H, CH(OH)C<u>H₂</u>), 2.15 (m, 2H, NCOC<u>H₂</u>), 1.75 (m, 1H, NCHC<u>H₂</u>), 1.35 (m, 1H, NCHC<u>H₂</u>), 1.14 (s, 9H, C(C<u>H₃</u>)₃); (isomer B) δ 3.94 (m, 1H, NC<u>H</u>), 3.45 (m, 1H, C(OH)<u>H</u>), 2.79 (s, 3H, NC<u>H₃</u>), 2.65 (s, 3H, NC<u>H₃</u>), 2.22 (m, 2H, CH(OH)C<u>H₂</u>), 2.15 (m, 2H, NCOC<u>H₂</u>), 1.79 (m, 1H, NCHC<u>H₂</u>), 1.69 (m, 1H, NCHC<u>H₂</u>), 1.14 (s, 9H, C(C<u>H₃</u>)₃); ¹³C NMR (75 MHz, CD₃CN) (isomer A) δ 174.5, 174.1, 157.3, 79.6, 71.6, 55.8, 39.5, 37.7, 35.8, 30.1, 28.6, 26.0; (isomer B) δ 174.5, 174.1, 157.3, 79.6, 70.5, 55.1, 39.5, 37.7, 35.8, 30.3, 28.6, 26.0; HRMS (ES) Calcd for C₁₄H₂₆N₂O₆Na 341.1689, found 341.1695.



(3RS,4S)-N,N-Dimethyl-4-(acetylleucylalanylalanyl)amino-3-

hydroxy-7-oxoheptanoic acid (18). Triethylamine (21.35 μ l, 0.16 mmol) was added to a solution of *N*-acetylleucylalanylalanine 34 (27.6 mg, 0.088 mmol) and BOP (40.6 mg, 0.091 mmol) in DMF (1.5 mL) at 0 °C. The solution was stirred at 0 °C for 5 min, then added dropwise over 10 min to a solution of the trifluoroacetate salt 85 (30.1 mg, 0.091 mmol) and triethylamine (21.35 μ l, 0.16 mmol) in DMF (2.5 mL) also at 0 °C. The mixture was stirred at 0 °C for 2 h, then the cold bath was removed and stirring was continued for an additional 3 h. The mixture was dried *in vacuo* overnight, and the residue was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-40% acetonitrile : water (0.1% TFA), t_R 19.7 min) and recrystallized from CH₂Cl₂diethyl ether to yield 18 (27.3 mg, 60%) as a white solid mixture of diastereoisomers. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (µscope) 3291, 2958, 1714, 1655, 1648, 1547, 1253, 1174 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) (isomer A) δ 4.29-3.89 (m, 4H, α -<u>H</u> Leu, 2α -<u>H</u> Ala and α -<u>H</u> dimethyl Gln), 3.75 (m, 1H, CH(OH)), 2.94 (s, 3H, NCH₃), 2.83 (s, 3H, NCH₃), 2.57 (m, 2H, CH(OH)CH₂), 2.31 (m, 2H, COCH₂ dimethyl Gln), 2.02 (m, 1H, CH₂ dimethyl Gln), 1.94 (s, 3H, COCH₃), 1.62 (m, 1H, CH₂ dimethyl Gln), 1.49 (m, 1H, CH Leu), 1.39 (m, 2H, CH₂ Leu), 1.31 (m, 3H, CH₃ Ala), 1.31 (m, 3H, CH₃ Ala), 0.85 (m, 3H, CH₃ Leu), 0.85 (m, 3H, CH₃ Leu); (isomer B) δ 4.29-3.89 (m, 4H, α -H Leu, 2 α -H Ala and α - <u>H</u> dimethyl Gln), 3.75 (m, 1H, C<u>H(OH)</u>), 2.94 (s, 3H, NC<u>H₃</u>), 2.83 (s, 3H, NCH₃), 2.57 (m, 2H, CH(OH)CH₂), 2.31 (m, 2H, COCH₂ dimethyl Gln), 2.02 (m, 1H, CH₂ dimethyl Gln), 1.94 (s, 3H, COCH₃), 1.62 (m, 1H, CH₂ dimethyl Gln), 1.49 (m, 1H, CH Leu), 1.39 (m, 2H, CH₂ Leu), 1.31 (m, 3H, CH₃ Ala), 1.31 (m, 3H, CH₃ Ala), 0.85 (m, 3H, CH₃ Leu), 0.85 (m, 3H, CH₃ Leu); ¹³C NMR (125 MHz, CD₃OD) (isomer Α) δ 175.8, 175.7, 175.2, 175.1, 174.8, 174.6, 54.8, 53.9, 53.1, 51.4, 50.9, 41.6, 37.8, 35.8, 30.6, 26.7, 25.9, 23.5, 22.9, 22.5, 21.7, 17.8, 16.8; (isomer B) δ 175.8, 175.7, 175.2, 175.1, 174.8, 174.6, 54.8, 53.9, 53.1, 51.4, 50.7, 40.0, 37.8, 35.8, 30.5, 26.5, 25.9, 23.3, 22.9, 22.5, 21.7, 17.8, 16.8; HRMS (ES) Calcd for C₂₃H₄₂N₅O₈ 516.3033, found 516.3027.



(3R or S,4S)-4-(tert-Butyloxycarbonylamino)-7-(N,Ndimethylamino)-3-hydroxy-2,2-dimethyl-7-oxoheptanoic acid (19a). To a solution of β -hydroxy benzyl ester 89a (67.6 mg, 0.155 mmol) in methanol (1 mL) under argon was added 10% palladium on charcoal (6.76 mg). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give crude β - hydroxy acid. Purification by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-30% acetonitrile : water (0.1% TFA), t_R 10.7 min) gave β-hydroxy acid **19a** (47.9 mg, 89%) as a clear oil: $[\alpha]_D^{26}$ -6.86° (*c* 1.8, CHCl₃); IR (CHCl₃ cast) 3313, 2977, 1694, 1627, 1476, 1255, 1168, 1042 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 3.73 (d, 1H, J = 9 Hz, C(OH)<u>H</u>), 3.57 (m, 1H, NC<u>H</u>), 3.07 (s, 3H, NC<u>H₃), 2.65 (s, 3H, NC<u>H₃), 2.38 (m, 2H, NCOCH₂), 2.14 (m, 1H, NCHCH₂), 1.58 (m, 1H, NCHC<u>H₂), 1.44 (s, 9H, C(CH₃)₃), 1.60 (s, 3H, C(C<u>H₃)₂), 1.50 (s, 3H, C(C<u>H₃)₂); ¹³C NMR (75 MHz, CD₃CN) δ 181.2, 175.6, 157.6, 80.2, 78.3, 53.6, 46.9, 37.8, 35.9, 30.5, 29.4, 28.8, 25.0, 18.8; HRMS (ES) Calcd for C₁₆H₃₁N₂O₆ 347.2182, found 347.2188.</u></u></u></u></u>



(3*R* or S,4S)-4-(*tert*-Butyloxycarbonylamino)-7-(*N*,*N*dimethylamino)-3-hydroxy-2,2-dimethyl-7-oxoheptanoic acid (19b). Reaction of β-hydroxy ester 89b (54.0 mg, 0.12 mmol) as described for 19a gave the title compound 19b (43.1 mg, quantitative) as a light-brown oil: $[\alpha]_D^{26}$ -9.26° (*c* 1.8, CHCl₃); IR (CHCl₃ cast) 3320, 2977, 1694, 1651, 1633, 1496, 1260, 1169, 1076 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 3.62 (m, 1H, NCH), 3.59 (br s, 1H, C(OH)H), 2.94 (s, 3H, NCH₃), 2.82 (s, 3H, NCH₃), 2.29 (m, 2H, NCOCH₂), 1.69 (m, 2H, NCHCH₂), 1.34 (s, 9H, C(CH₃)₃), 1.12 (s, 3H, C(CH₃)), 1.07 (s, 3H, C(CH₃)); ¹³C NMR (75 MHz, CD₃CN) δ 180.9, 175.3, 157.7, 80.1, 77.9, 51.7, 47.3, 37.7, 35.8, 31.3, 30.5, 28.7, 22.4, 22.1; HRMS (ES) Calcd for C₁₆H₃₁N₂O₆ 347.2182, found 347.2188.



(2RS,3RS,4S)-4-(tert-Butyloxycarbonylamino)-7-(N,N-

dimethylamino)-3-hydroxy-2-methyl-7-oxoheptanoic acid (20). To a solution of β -hydroxy benzyl ester 147 (11.0 mg, 0.026 mmol) in methanol (1.0 mL) under argon was added 10% palladium on charcoal (1.0 mg). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give the β -hydroxy acid 20 (8.1 mg, 93%) as a colorless oil. Spectroscopic characterization was performed on a mixture of diastereoisomers: IR (CHCl₃ cast) 3326, 2977, 1699, 1633, 1456, 1249, 1170, 1045 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 3.73 (m, 1H, NC<u>H</u>), 3.59 (m, 1H, C(OH)<u>H</u>), 2.99 (s, 3H, NC<u>H</u>₃), 2.85 (s, 3H, NC<u>H</u>₃), 2.50 (m, 1H, C<u>H</u>(CH₃)), 2.34 (m, 2H, NCOC<u>H</u>₂), 2.05 (m, 1H, NCHC<u>H</u>₂), 1.58 (m, 1H, NCHC<u>H</u>₂), 1.35 (s, 9H, C(C<u>H</u>₃)₃), 1.04 (d, 3H, *J* = 7 Hz, CH(C<u>H</u>₃)); ¹³C NMR (125 MHz, CD₃CN) δ 175.6, 165.5, 158.1, 80.1, 75.4, 53.9, 43.6, 37.4, 35.8, 28.8, 28.0, 25.7, 11.4; HRMS (ES) Calcd for C₁₅H₂₉N₂O₆ 333.2026, found 333.2030.



(3RS,4S)-4-(Benzyloxycarbonylamino)-7-(N, N-dimethylamino)-3hydroxy-7-oxoheptanoic acid (21). To a solution of β -hydroxy ester 149 (14.0 mg, 36.7 μ mol) in THF (1.0 mL) and water (1.0 mL) at room temperature was added lithium hydroxide monohydrate (1.85 mg, 44.1 μ mol). The mixture was stirred at room temperature for 2 h. The solvent was removed *in vacuo* and the residue was purified by flash chromatography (ethyl acetate : methanol : acetic acid, 100 : 5 : 1) to give 21 (4.0 mg,

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31%) as a clear oil. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (µscope) 3373, 3050, 2924, 1695, 1685, 1651, 1450, 1025, 750, 690 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 7.28 (m, 5H, Ph), 5.10 (d. 1H, J = 13 Hz, PhCH₂), 4.95 (d, 1H, J = 13 Hz, PhCH₂), 3.83 (m, 1H, NCH), 3.51 (m, 1H, C(OH)H), 2.84 (s, 3H, NCH₃), 2.80 (s, 3H, NCH₃), 2.28 (m, 2H, CH(OH)CH₂), 1.95 (m, 2H, NCOCH₂), 1.55 (m, 2H, NCHCH₂); (isomer B) δ 7.28 (m, 5H, Ph), 5.10 (d, 1H, J = 13 Hz, PhCH₂), 4.95 (d, 1H, J = 13 Hz, PhCH₂), 3.83 (m, 1H, NCH), 3.51 (m, 1H, C(OH)H), 3.00 (s, 3H, NCH₃), 2.78 (s, 3H, NCH₃), 2.28 (m, 2H, CH(OH)CH₂), 1.95 (m, 2H, NCOCH₂), 1.55 (m, 2H, NCHCH₂); ¹³C NMR (125 MHz, CD₃OD) (isomer A) δ 175.3, 174.5, 158.9, 138.5, 129.4, 128.9, 128.8, 72.5, 67.5, 56.2, 35.8, 33.1, 30.7, 26.9, 26.8; (isomer B) δ 175.3, 174.5, 158.9, 138.5, 129.9, 128.8, 127.9, 72.5, 67.5, 56.5, 35.8, 33.1, 30.3, 26.8, 23.7; MS (ES) *m/z* (relative intensity) 353.5 (MH⁺, 100%).



(3RS,4S)-7-(amido)-4-(tert-Butyloxycarbonylamino)-3-

hydroxyheptanoic acid (22). To a solution of β-hydroxy benzyl ester 152 (56.1 mg, 15 mmol) in methanol (5 mL) under argon was added 10% palladium on charcoal (5.6 mg). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give the β-hydroxy acid 22 (42.0 mg, quantitative) as a white solid. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (CHCl₃ cast) 3350, 2973, 1676, 1624, 1447, 1171, 1045, cm⁻¹; ¹H NMR (360 MHz, CD₃OD) (isomer A) δ 3.77 (m, 1H, NC<u>H</u>), 3.32 (m, 1H, C(OH)<u>H</u>), 2.35 (m, 2H, CH(OH)C<u>H₂), 2.20 (m, 2H, NCOC<u>H₂), 1.92 (m, 1H</u>,</u>

NCHC<u>H</u>₂), 1.50 (m, 1H, NCHC<u>H</u>₂), 1.32 (s, 9H, C(C<u>H</u>₃)₃); (isomer B) δ 3.90 (m, 1H, NC<u>H</u>), 3.42 (m, 1H, C(OH)<u>H</u>), 2.35 (m, 2H, CH(OH)C<u>H</u>₂), 2.20 (m, 2H, NCOC<u>H</u>₂), 1.92 (m, 1H, NCHC<u>H</u>₂), 1.50 (m, 1H, NCHC<u>H</u>₂), 1.32 (s, 9H, C(C<u>H</u>₃)₃); ¹³C NMR (75 MHz, CD₃CN) (isomer A) δ 178.7, 175.9, 158.4, 80.2, 72.0, 56.1, 40.2, 33.1, 28.8, 27.6; (isomer B) δ 178.7, 175.9, 158.3, 80.2, 70.7, 55.4, 39.9, 33.3, 28.8, 27.6; HRMS (ES) Calcd for C₁₂H₂₂N,O₆Na 313.1376, found 313.1380.



4-Carbamoyl-*N*-methylphthalimide (23) and 4-Ethyloxycarbonyl-*N*-methylphthalimide (163). Carboxylic acid 155 (20.0 mg, 97.1 μ mol) was dissolved in dry CH₂Cl₂ (5 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (16.0 μ L, 0.12 mmol) was added, followed by ethyl chloroformate (11.0 μ L, 0.12 mmol). The mixture was stirred for 20 min at 0 °C, followed by the addition of diaminomethane dihydrochloride (11.6 mg, 97.2 μ mol) and triethylamine (40.0 μ L, 0.29 mmol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued for 3 h. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate) to give the title compound 23 (6.7 mg, 34%) and 163 (4.2 mg, 18%), both as white solids.

For 23: mp 257-260 °C; IR (μ scope) 3322, 2950, 1777, 1672, 1525, 1070, 727, 545 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 8.19 (d, 1H, J = 8 Hz, H₅), 8.17 (s, 1H, H₃), 7.87 (d, 1H, J = 8 Hz, H₆), 6.95 (br s, 1H N<u>H</u>), 6.20 (br s, 1H N<u>H</u>), 3.09 (s, 3H, NC<u>H₃</u>); ¹³C NMR (75 MHz, d₇-DMF) δ 168.3, 168.2, 167.2, 140.7, 134.9, 134.2, 133.2, 123.5, 122.3, 24.1; HRMS (EI) Calcd for C₁₀H₈N₂O₃ 204.0535, found 204.0536.
For **163**: mp 75-77 °C; IR (μ scope) 3350, 2981, 1776, 1715, 1603, 1077, 727, 650 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 8.35 (dd, 1H, J = 8, 1 Hz, H₅), 8.31 (d, 1H, J = 1 Hz, H₃), 7.89 (d, 1H, J = 8 Hz, H₆), 4.40 (q, 2H, J = 7 Hz, OCH₂CH₃), 3.09 (s, 3H, NCH₃), 1.40 (t, 3H, J = 7 Hz, OCH₂CH₃); ¹³C NMR (125 MHz, CD₃OD) δ 168.1, 166.5, 165.3, 136.3, 136.1, 135.4, 133.1, 123.8, 123.4, 62.3, 23.5, 13.8; HRMS (EI) Calcd for C₁₂H₁₁NO₄ 233.0688, found 233.0689.



4-(N',N'-Dimethyl)carbamoyl-N-methylphthalimide (24).¹³⁵ Carboxylic acid 155 (20.0 mg, 97.4 μmol) was dissolved in dry CH₂Cl₂ (5 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (16.0 μL, 0.12 mmol) was added, followed by ethyl chloroformate (11.0 μL, 0.12 mmol). The mixture was stirred for 20 min at 0 °C, followed by the addition of dimethylamine hydrochloride (9.50 mg, 0.12 mmol) and triethylamine (32.0 μL, 0.23 mmol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued for 3 h. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate) to give the title compound 24 (21.7 mg, 96%) as a white solid: mp 95-97 °C; IR (CHCl₃ cast) 2927, 1776, 1634, 1504, 1065, 745, 666 cm⁻¹; ¹H NMR (360 MHz, CD₃OCD₃) δ 7.89 (d, 1H, *J* = 8 Hz, H₅), 7.84 (s, 1H, H₃), 7.82 (d, 1H, *J* = 8 Hz, H₆), 3.12 (s, 3H, NCH₃), 3.07 (s, 3H, N(CH₃)₂), 2.98 (s, 3H, N(CH₃)₂); ¹³C NMR (75 MHz, CD₃OD) δ 171.6, 169.3, 169.1, 143.2, 134.3, 133.9, 133.7, 124.3, 122.5, 39.8, 35.7, 24.1; HRMS (EI) Calcd for C₁₂H₁₂N₂O₃ 232.0848, found 232.0842.



4-(*N*'-Methyl)carbamoyl-*N*-methylphthalimide (25). Carboxylic acid 155 (20.0 mg, 97.4 μmol) was dissolved in dry CH₂Cl₂ (5 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (16.0 μL, 0.12 mmol) was added, followed by ethyl chloroformate (11.0 μL, 0.12 mmol). The mixture was stirred for 20 min at 0 °C, followed by the addition of methylamine hydrochloride (7.90 mg, 0.12 mmol) and triethylamine (32.0 μL, 0.23 mmol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued for 3 h. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate) to give the title compound **25** (18.4 mg, 94%) as a white solid: mp 205-207 °C; IR (CHCl₃ cast) 3396, 2939, 1773, 1668, 1539, 1088, 750, 665 cm⁻¹; ¹H NMR (400 MHz, CD₃CN) δ 8.15 (dd, 1H, *J* = 8, 1 Hz, H₅), 8.13 (s, 1H, H₃), 7.86 (dd, 1H, *J* = 8, 1 Hz, H₆), 7.20 (br s, 1H, N<u>H</u>), 3.09 (s, 3H, NC<u>H₃</u>), 2.89 (s, 3H, NH(C<u>H₃</u>)); ¹³C NMR (75 MHz, CD₃OD) δ 169.5, 169.1, 168.5, 141.3, 135.7, 134.2, 133.9, 124.2, 122.6, 27.0, 24.1; HRMS (EI) Calcd for C₁₁H₁₀N₂O₃ 218.06914, found 218.06883.



N-Benzamido-4-(*N*',*N*'-dimethyl)carbamoylphthalimide (26). Hydrazide 166 (20.0 mg, 64.4 μ mol) was dissolved in dry CH₂Cl₂ (2 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (10.7 μ L, 77.0 μ mol) was added, followed by ethyl chloroformate (7.4 μ L, 77.0 μ mol). The mixture was stirred for 20 min at 0 °C, followed by the addition of dimethylamine hydrochloride (6.3 mg, 77.0 μ mol) and triethylamine (10.7 μ L, 77.0 μ mol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued overnight. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate : hexane, 1 : 1) to give the title compound **26** (7.3 mg, 34%) as a white solid: mp 260-262 °C; IR (CHCl₃ cast) 3187, 3055, 2961, 1740, 1685, 1513, 1069, 754, 693 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 9.48 (br s, 1H, CON<u>H</u>), 7.95 (d, 1H, *J* = 8 Hz, H₅), 7.95 (d, 1H, *J* = 7 Hz, H₁₁), 7.95 (d, 1H, *J* = 7 Hz, H₁₅), 7.88 (s, 1H, H₃), 7.84 (d, 1H, *J* = 7 Hz, H₆), 7.67 (t, 1H, *J* = 7 Hz, H₁₃), 7.58 (t, 1H, *J* = 7 Hz, H₁₂), 7.56 (t, 1H, *J* = 7 Hz, H₁₄), 3.10 (s, 3H, NCH₃), 2.90 (s, 3H, NCH₃); ¹³C NMR (75 MHz, CD₃OD) δ 171.5, 168.3, 165.5, 158.5, 135.5, 135.2, 134.7, 134.0, 129.9, 129.7, 128.9, 128.7, 126.5, 125.5, 39.8, 35.7; HRMS (EI) Calcd for C₁₈H₁₅N₃O₄ 337.1063, found 337.1064.



4-(N'-Methyl)carbamoyl-N-methylisoindolinone (27a) and 5-(N'methyl)carbamoyl-N-methylisoindolinone (27b). To a 5 mL round-bottom flask charged with phthalimide 25 (71.0 mg, 0.33 mmol) was added glacial acetic acid (0.25 mL), concentrated HCl (0.25 mL) and tin powder (92.7 g, 0.78 mmol). The creamy slurry was heated in an oil bath under reflux for 2 h with stirring. The solution was filtered hot and the tin shavings were washed with acetic acid (5 mL), the solvent was removed *in vacuo*. The residue was taken up in ethyl acetate (15 mL) and washed with saturated NaHCO₃ (2 x 10 mL). The organic extracts were washed with brine (10 mL), dried over MgSO₄, filtered and concentrated *in vacuo*. Purification by flash chromatography (CHCl₃ : methanol, 10 : 1) gave the isoindolinone isomers **27a** (19.8 mg, 30%) and **27b** (5.9 mg, 9%), both as white solids. For **27a**: IR (μ scope) 3343, 2929, 1692, 1656, 1552, 1106, 756 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 8.17 (d, 1H, J = 1 Hz, H₃), 7.98 (dd, 1H, J = 8, 1 Hz, H₅), 7.58 (d, 1H, J = 8 Hz, H₆), 7.10 (br s, 1H, N<u>H</u>), 4.44 (s, 2H, H₇), 3.12 (s, 3H, NC<u>H₃</u>), 2.89 (s, 3H, NH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CD₃OD) δ 169.2, 168.9, 145.6, 135.2, 133.2, 131.1, 123.7, 121.9, 52.6, 28.9, 26.3; HRMS (EI) Calcd for C₁₁H₁₂N₂O₂ 204.0899, found 204.0889.

For **27b**: IR (μ scope) 3440, 2922, 1682, 1622, 1591, 1050, 750 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.90 (d, 1H, J = 1 Hz, H₃), 7.81 (dd, 1H, J = 8, 1 Hz, H₅), 7.76 (d, 1H, J = 8 Hz, H₆), 7.05 (br s, 1H, N<u>H</u>), 4.44 (s, 2H, H₂), 3.12 (s, 3H, NC<u>H₃</u>), 2.89 (s, 3H, NH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CD₃OD) δ 169.2, 169.1, 142.8, 138.2, 135.4, 127.4, 123.4, 122.5, 52.6, 29.0, 26.3; HRMS (EI) Calcd for C₁₁H₁₂N₂O₂ 204.0899, found 204.0897.



N-(*tert*-Butyloxycarbonyl)-γ-(*N*,*N*-dimethyl)-L-glutamine (28).¹³⁶ To a solution of benzyl ester 45 (4.9 g, 13.7 mmol) in methanol (100 mL) under argon was added 10% palladium on charcoal (0.49 g). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give 28 (3.7 g, quantitative) as a white solid: mp 119-121 °C; $[\alpha]_{1D}^{26}$ -4.74° (*c* 2.5, CHCl₃); IR (µscope) 3323, 2977, 1709, 1612, 1510, 1251 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.04 (dd, 1H, *J* = 8, 9 Hz, CH), 2.97 (s, 3H, NCH₃), 2.89 (s, 3H, NCH₃), 2.38 (m, 2H, CH₂CO), 2.08 (m, 1H, CH₂), 1.83 (m, 1H, CH₂), 1.36 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CDCl₃) δ 173.9, 173.7, 155.7, 79.9, 53.3, 37.5, 35.9, 30.2, 28.9, 28.3; HRMS (EI) Calcd for $C_{12}H_{22}N_2O_5$ 274.15286, found 274.15197; Anal. Calcd for $C_{12}H_{22}N_2O_5$: C, 52.54; H, 8.08; N, 10.21. Found: C, 52.12; H, 8.32 N, 10.01.



Benzyl fluoromalonate, magnesium salt (29).²⁸ A solution of the monoester **39** (3.0 g, 14.3 mmol) in THF (20 mL) was treated with magnesium ethoxide (0.82 g, 7.08 mmol). The mixture was stirred vigorously for 2 h and then filtered through a Celite pad. The solid was washed with THF (2 x 7 mL). Hexane (150 mL) was added dropwise over a period of 30 min to the THF filtrate with vigorous stirring. The white precipitate was immediately filtered, washed with hexane (2 x 10 mL), and the filter cake was dried under vacuum overnight, to give **29** (3.03 g, 48%) as a white solid: IR (CHCl₃ cast) 3441, 1752, 1671, 1454, 1272, 1181, 738, 698 cm⁻¹; ¹H NMR (360 MHz, D₂O) δ 7.39 (m, 5H, Ph), 5.24 (d, 1H, *J* = 50 Hz, CH), 5.13 (s, 2H, CH₂); MS (FAB) *m/z* (relative intensity) 446.7 (MH⁺, 0.31%); Anal. Calcd for C₂₀H₁₆F₂MgO₈: C, 53.78; H, 3.61. Found: C, 54.06; H, 3.71.



(4S)-N,N-Dimethyl-4-tert-butyloxycarbonylamino-6-fluoro-5-oxo-

hexanamide (31).²⁸ 1,1'-Carbonyldiimidazolide (0.74 g, 4 mmol) was added to a solution of **28** (1.1 g, 4 mmol) in THF (20 mL) and stirred for 1 h. Magnesium salt **29** (0.94 g, 2 mmol) was added as a fine powder, and the mixture was stirred for 6 h. The solution was washed with 1 N HCl (4 mL). The aqueous layer was extracted with toluene (2 x 8 mL), the combined organic extracts were washed with saturated aqueous NaHCO₃ (4 mL) and brine (4 mL), dried over MgSO₄ and concentrated *in vacuo* to approximately 8

mL. The resulting solution in toluene was hydrogenated overnight under an atmosphere of hydrogen in the presence of palladium catalyst (200 mg, 10% on charcoal). The solution was filtered, washed with 1 N HCl (50 mL), saturated aqueous NaHCO₃ (30 mL) and brine (30 mL), dried over MgSO₄ and concentrated *in vacuo* to give the fluoroketone **31** (0.26 g, 22% over both steps) as a clear oil: IR (CHCl₃ cast) 3303, 2977, 1740, 1635, 1507, 1252, 1168 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 5.65 (br s, 1H, N<u>H</u>), 5.10 (dd, 2H, *J* = 47, 16 Hz, CF<u>H</u>₂), 4.51 (m, 1H, NC<u>H</u>), 3.03 (s, 3H, NC<u>H</u>₃), 2.97 (s, 3H, C<u>H</u>₃), 2.48 (m, 2H, COC<u>H</u>₂), 2.21 (m, 1H, C<u>H</u>₂), 1.99 (m, 1H, C<u>H</u>₂), 1.48 (s, 9H, C(C<u>H</u>₃)₃); MS (FAB) *m/z* (relative intensity) 291.0 (MH⁺, 43.5%).



(4S,5RS)-N,N-Dimethyl-4-tert-butyloxycarbonylamino-6-fluoro-5-

hydroxyhexanamide (32).²⁸ Sodium borohydride (16.2 mg, 0.42 mmol) in ethanol (2 mL) was added to a cooled (0 °C) solution of the ketone 31 (298.5 mg, 1.03 mmol) in ethanol (2 mL). The mixture was stirred at room temperature for 1 h, concentrated *in vacuo*, dissolved in water (2.5 mL) and acidified to pH 1.5 with 1 N sulfuric acid. The mixture was immediately extracted with ethyl acetate (3 x 5 mL). The combined organic layers were washed with brine (5 mL), then dried over MgSO₄ and the solvent evaporated *in vacuo* to yield crude fluoroalcohol 32 as a mixture of diastereoisomers. The crude mixture was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 8-32% acetonitrile : water) and collected as a mixture of diastereoisomers, removal of solvent *in vacuo* gave 32 (161.3 mg, 54%) as a white solid. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 3 : 1): IR (µscope) 3331, 2982, 1698, 1625, 1562, 1252, 1165, 1030 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 5.19 (br s, 1H, NH), 4.51 (dm, 2H, J = 47 Hz, CFH₂), 3.82

(m, 1H, NC<u>H</u>), 3.71 (m, 1H, C(OH)<u>H</u>), 3.05 (s, 3H, NC<u>H</u>₃), 2.95 (s, 3H, NC<u>H</u>₃), 2.42 (m, 2H, COC<u>H</u>₂), 1.96 (m, 2H, C<u>H</u>₂), 1.41 (s, 9H, C(C<u>H</u>₃)₃); (isomer B) δ 5.05 (br s, 1H, N<u>H</u>), 4.51 (dm, 2H, J = 47 Hz, CF<u>H</u>₂), 3.82 (m, 1H, NC<u>H</u>), 3.71 (m, 1H, C(OH)<u>H</u>), 3.05 (s, 3H, NC<u>H</u>₃), 2.98 (s, 3H, NC<u>H</u>₃), 2.42 (m, 2H, COC<u>H</u>₂), 1.96 (m, 2H, C<u>H</u>₂), 1.41 (s, 9H, C(C<u>H</u>₃)₃); MS (FAB) *m*/z (relative intensity) 293.0 (MH⁺, 53.9%).



(4S,5RS)-N,N-Dimethyl-4-amino-6-fluoro-5-hydroxyhexanamide,

trifluoroacetate salt (33).²⁸ Trifluoroacetic acid (0.9 mL) was added to a cooled (0 °C) solution of 32 (103.4 mg, 0.353 mmol) in CH₂Cl₂ (3.7 mL). The solution was stirred and warmed to 10 °C over 1 h. The solvent was evaporated in vacuo and the residue was dissolved in CH₂Cl₂ (3.7 mL) and cooled to 0 °C. Further trifluoroacetic acid (0.9 mL) was added and the mixture was allowed to warm to room temperature over 1.5 h. The solvent was evaporated in vacuo, toluene (3 mL) and diethyl ether (3 mL) were added and evaporated and the residue was dried under high vacuum to yield the trifluoroacetate salt 33 (117.3 mg, quantitative) as a white solid mixture of diastereoisomers. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 3 : 1): IR (CHCl₃ cast) 3200, 2950, 1677, 1626, 1504, 1263, 1183, 1063 cm⁻¹; ¹H NMR $(360 \text{ MHz}, \text{CDCl}_3)$ (isomer A) δ 8.05 (br s, 3H, NH₃), 4.55 (dm, 2H, J = 47 Hz, CFH₂), 4.12 (m, 1H, NCH), 3.39 (m, 1H, C(OH)H), 3.05 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.78 (m, 1H, C<u>H₂</u>), 2.55 (m, 1H, C<u>H₂</u>), 1.98 (m, 2H, COC<u>H₂</u>); (isomer B) δ 8.05 (br s, $3H, NH_3$, 4.55 (dm, 2H, J = 47 Hz, CFH_2) 3.91 (m, 1H, NCH), 3.27 (m, 1H, C(OH)H), 2.99 (s, 3H, NCH₃), 2.89 (s, 3H, NCH₃), 2.78 (m, 1H, CH₂), 2.55 (m, 1H, CH_2 , 1.98 (m, 2H, COCH₂); MS (FAB) m/z (relative intensity) 193.0 (MH⁺, 100%).



Acetyl-L-leucyl-L-alanyl-L-alanine (34).²⁶ Peptide 34 was prepared on a Rainin PS-3 solid-phase peptide synthesizer using standard Fmoc chemistry on Wang resin. The N-terminus was capped using acetic anhydride. Purification by HPLC (gradient elution over 40 min of acetonitrile and 0.1% TFA in water, from 0-30%, t_R 12.1 min) gave peptide 34 (42%) as a white solid: IR (µscope) 3333, 3061, 2975, 1730, 1655, 1580, 1265, 1162, 608 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.2-4.0 (m, 3H, α -H Leu and 2 α -H Ala), 1.72 (s, 3H, COCH₃), 1.45 (m, 1H, CH Leu), 1.33 (m, 2H, CH₂ Leu), 1.14 (d, 3H, *J* = 7 Hz, CH₃ Ala), 1.12 (d, 3H, *J* = 7 Hz, CH₃ Ala), 0.75 (d, 3H, *J* = 6 Hz, CH₃ Leu), 0.65 (d, 3H, *J* = 6 Hz, CH₃ Leu); MS (FAB) *m*/z (relative intensity) 316.0 (MH⁺, 22.8%).



(4S,5RS)-N,N-Dimethyl-4-(acetylleucylalanylalanyl)amino-6-fluoro-5-hydroxyhexanamide (35).²⁸ Triethylamine (86.5 µl, 0.624 mmol) was added to a solution of N-acetylleucylalanylalanine 34 (95.3 mg, 0.302 mmol) and HBTU (118.6 mg, 0.313 mmol) in DMF (2.5 mL) at 0 °C. The solution was stirred at 0 °C for 5 min, then added dropwise over 10 min to a solution of the trifluoroacetate salt 33 (95.8 mg, 0.312 mmol) and triethylamine (86.5 µl, 0.624 mmol) in DMF (2.5 mL) also at 0 °C. The mixture was stirred at 0 °C for 2 h, then the cold bath was removed and stirring was continued for an additional 3 h. The mixture was dried *in vacuo* overnight, and the residue

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was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-40% acetonitrile : water, t_R 12.1 min) to yield **35** (12 mg, 8%) as a white solid mixture of diastereoisomers. Spectroscopic characterization was performed on a mixture of diastereoisomers: IR (CHCl₃ cast) 3286, 2956, 1651, 1574, 1245, 1161 cm⁻¹: ¹H NMR (360 MHz, D₂O) δ 4.38 (dm, 2H, J = 47 Hz, CFH₂), 4.2-4.0 (m, 4H, α -H Leu and 2 α -H Ala and α -H dimethyl Gln), 3.75 (m, 1H, C(OH)H), 2.92 (s, 3H, NCH₃), 2.78 (s, 3H, NCH₃), 2.32 (m, 2H, COCH₂), 2.28 (m, 1H, CH₂ dimethyl Gln), 1.98 (m, 1H, CH₂ dimethyl Gln), 1.88 (s, 3H, COCH₃), 1.58 (m, 1H, CH Leu), 1.45 (m, 2H, CH₂ Leu), 1.27 (m, 3H, CH₃ Ala), 1.25 (m, 3H, CH₃ Ala), 0.79 (m, 3H, CH₃ Leu), 0.72 (m, 3H, CH₃ Leu); MS (FAB) *m*/z (relative intensity) 490.4 (MH⁺, 3.83%).



1,1,1-Triacetoxy-1,1-dihydro-1,2-benziodoxol-3(1*H*)-one (**36**).⁶⁹ To a suspension of 2-iodobenzoic acid (5.65 g, 22.6 mmol) in a solution of 1 N sulfuric acid (35 mL) was added KBrO₃ (4.95 g, 29.5 mmol) over a period of 30 min. The temperature was maintained below 55 °C with an ice bath. The round-bottom flask was equiped with a reflux condenser and the temperature of the solution was raised to 65 °C with an oil bath, stirring was continued for 4 h. Bromine was given off as copious brown fumes which were dispersed with a stream of argon. The mixture was cooled to 0 °C and filtered. The solid was washed with water (50 mL), ethanol (2 x 5 mL) and diethyl ether (2 x 5 mL), and dried *in vacuo* to give the Dess-Martin precursor oxide (hydroxyiodinane oxide) as a white solid (5.41 g, 85%).

CAUTION! The Dess-Martin precursor oxide was reported to be explosive under excessive heating (>200 °C) or impact.¹³⁷

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To a solution of *p*-toluenesulfonic acid (60 mg, 0.315 mmol) in acetic anhydride (48 mL) was added the previously prepared hydroxyiodinane oxide (5.40 g, 19.31 mmol). The mixture was heated in an oil bath maintained at 80 °C for 2 h. The brown solution was cooled in an ice bath and the precipitate collected by filtration to afford a white powder. This was washed with diethyl ether (5 x 5 mL) to give Dess-Martin periodinane **36** (4.88 g, 60%) which was stored under argon with refrigeration: IR (μ scope) 3067, 2989, 1636, 1564, 1248, 1164, 781 cm⁻¹; ¹H NMR (300 MHz, D₂O) δ 8.91 (dd, 1H, *J* = 8, 0.9 Hz, H₇), 8.03 (dd, 1H, *J* = 7, 1.5 Hz, H₄), 7.92 (ddd, 1H, *J* = 8, 7, 1.5 Hz, H₆), 7.75 (ddd, 1H, *J* = 8, 7, 0.9 Hz, H₅), 1.95 (s, 9H, 3(CH₃)); MS (FAB) *m/z* (relative intensity) 424.9 (MH⁺, 0.23%).



Dimethyl fluoromalonate (37).⁷¹ To a solution of dry methanol (125 mL) at 0 °C was added sodium (12.7 g, 0.55 mmol) over 30 min with stirring. 2,3,3,3tetrafluoropropanenitrile (20 g, 0.16 mol) was added dropwise, maintaining the temperature below 10 °C with an icebath. The reaction mixture was allowed to warm to room temperature and stirring was continued for 1 h. The resulting solution was acidified to pH 2 with concentrated HCl over a period of 1 h. After stirring at room temperature for another 1 h the mixture was poured into ice water. The oily product was extracted with diethyl ether (2 x 20 mL), the combined organic layers were washed with brine (20 mL), dried over MgSO₄ and filtered. The filtrate was distilled under reduced pressure to give **37** (8.62 g, 36%) as a light yellow liquid: bp 90-92 °C / 15 mmHg (lit. bp 80-83 °C / 13 mmHg)⁷¹; IR (neat) 2963, 1774, 1439, 1209, 1117, 706 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 5.28 (d, 1H, J = 42 Hz, CH), 3.86 (s, 6H, 2(CH₃)).



Dibenzyl fluoromalonate (38).²⁸ A mixture of dimethyl ester 37 (4.67 g, 31.08 mmol), toluene (13 mL), benzyl alcohol (16 mL, 151 mmol) and *p*-toluenesulfonic acid (0.35 g, 1.84 mmol) in a 3-neck 50 mL round-bottom flask connected to a Dean-Stark apparatus was heated under reduced pressure (45 °C / 30 mmHg) until all of the toluene had distilled, then for an additional 5 h at 115 °C / 70 mmHg. The mixture was allowed to cool to 55 °C and isopropanol (7.5 mL) was added, followed by hexane (15 mL). The product crystallized and was placed in the freezer overnight. The product was filtered, washed with hexane (2 x 10 mL), and dried overnight *in vacuo* to afford dibenzyl ester **38** (8.2 g, 87%) as a white solid: mp 45.5-47.5 °C; IR (CH₂Cl₂ cast) 2990, 1744, 1455, 1271, 1186, 1176, 740, 696 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.39 (m, 10H, 2<u>Ph</u>), 5.38 (d, 1H, *J* = 48 Hz, C<u>H</u>), 5.23 (s, 4H, 2(C<u>H₂)); HRMS (EI) Calcd for C₁₇H₁₅FO₄ 302.0954, found 302.0956; Anal. Calcd for C₁₇H₁₅FO₄: C, 67.54; H, 5.00. Found: C, 67.82; H, 4.88.</u>



Benzyl fluoromalonate (39).²⁸ Dibenzyl ester 38 (7.3 g, 24.2 mmol) was suspended in isopropanol (40 mL) and heated with stirring to 45 °C, by which time the solid material had dissolved. Over a 1 h period, 1 N aqueous NaOH (25 mL, 25.4 mmol) was added dropwise. After an additional 10 min, the solution was concentrated *in vacuo* to a volume of approximately 17 mL. Water (25 mL) was added, and the pH of the solution was adjusted to 8.5 using saturated aqueous NaHCO₃. The mixture was washed with CH₂Cl₂ (2 x 10 mL) to remove the benzyl alcohol. The pH of the aqueous layer was adjusted to 2 with 6 N HCl. The mixture was extracted with ethyl acetate (20 mL). The pH

of the aqueous layer was adjusted to 2 with 2 N HCl, and a second ethyl acetate (20 mL) extraction was performed. The combined extracts were washed with brine (15 mL), dried over MgSO₄, filtered and evaporated to dryness. The oily residue was triturated with hexane (25 mL) for 1 h, which gave **39** (2.75 g, 54%) as a white solid: mp 121 °C dec; IR (CH₂Cl₂ cast) 3200, 2961, 1760, 1456, 1277, 1191, 751, 697 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 9.27 (br s, 1H, O<u>H</u>), 7.41 (m, 5H, <u>Ph</u>), 5.42 (d, 1H, *J* = 48 Hz, C<u>H</u>), 5.34 (s, 2H, C<u>H</u>₂); HRMS (EI) Calcd for C₁₀H₉FO₄ 212.0485, found 212.0487; Anal. Calcd for C₁₀H₉FO₄: C, 56.61; H, 4.27. Found: C, 56.97; H, 4.07.



N-(*tert*-Butyloxycarbonyl)- γ -(N,N-dimethyl)-L-glutamine benzyl ester (45).¹³⁶ N-t-Boc-L-Glutamic acid α-benzyl ester 44 (Sigma) (1.0 g, 3.0 mmol) was dissolved in dry CH₂Cl₂ (10 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (0.40 mL, 3.0 mmol) was added, followed by ethyl chloroformate (0.30 mL, 3.0 mmol). The mixture was stirred for 20 min at 0 °C, followed by the addition of dimethylamine hydrochloride (0.25 g, 0.30 mmol) and triethylamine (0.40 mL, 3.0 mmol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued overnight. The solvent was removed in vacuo, the residue was partitioned between ethyl acetate (10 mL) and water (10 mL). The aqueous phase was extracted with ethyl acetate (2 x 5 mL) and the combined organic extracts were washed with saturated aqueous NaHCO₃ (5 mL) and brine (5 mL), dried over MgSO₄ and concentrated in vacuo to give a white solid. Purification by recrystallization (CH₂Cl₂-hexane) gave the title compound 45 (1.04 g, 96%) as a white solid: mp 93-95 °C; $[\alpha]_{p}^{26}$ +9.02° (c 1.2, CHCl₃); IR (CHCl₃ cast) 3305, 2976, 1744, 1711, 1640, 1500, 1251, 751, 698 cm⁻¹; ¹H NMR $(360 \text{ MHz}, \text{CDCl}_3) \delta 7.35 \text{ (m, 5H, Ph)}, 5.42 \text{ (d, 1H, } J = 6 \text{ Hz}, \text{ NH}), 5.19 \text{ (d, H, } J = 12$

Hz, PhC<u>H</u>₂), 5.17 (d, H, J = 12 Hz, PhC<u>H</u>₂), 4.31 (m, 1H, C<u>H</u>), 2.88 (s, 3H, NC<u>H</u>₃), 2.86 (s, 3H, NC<u>H</u>₃), 2.37 (m, 2H, C<u>H</u>₂CO), 2.21 (m, 1H, C<u>H</u>₂), 2.11 (m, 1H, C<u>H</u>₂), 1.43 (s, 9H, C(C<u>H</u>₃)₃); ¹³C NMR (75 MHz, CDCl₃) δ 172.3, 171.8, 155.6, 135.5, 128.6, 128.4, 128.3, 79.8, 67.0, 53.5, 37.1, 35.6, 29.3, 28.3, 27.6; HRMS (EI) Calcd for C₁₉H₂₈N₂O₅ 364.1998, found 364.1997; Anal. Calcd for C₁₉H₂₈N₂O₅: C, 62.62; H, 7.74; N, 7.69. Found: C, 62.45; H, 7.94; N, 7.40.



L-Glutamic acid- α -benzyl ester- γ -dimethylamide, trifluoroacetate salt (46). To a solution of *N*-(*tert*-butyloxycarbonyl)- γ -(*N*,*N*-dimethyl)-L-glutamine benzyl ester 45 (0.50 g, 1.40 mmol) in CH₂Cl₂ (10 mL) at 0 °C was added trifluoroacetic acid (10 mL); the mixture was stirred for 1 h at 0 °C. The ice bath was removed and the solution was allowed to warm to room temperature over a 1 h period. The solvent was removed *in vacuo* and the residue was triturated with hexane (3 x 10 mL) and dried under high vacuum to give the trifluoroacetate salt 46 (0.47 g, 91%) as a yellow oil: IR (CH₂Cl₂ cast) 3036, 2946, 1779, 1673, 1556, 1260, 1084, 754, 701 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 8.38 (br s, 3H, NH₃), 7.39 (m, 5H, Ph), 5.15 (d, 1H, *J* = 12 Hz, PhCH₂), 5.34 (d, 1H, *J* = 12 Hz, PhCH₂), 4.21 (m, 1H, CH), 2.89 (s, 6H, (NCH₃)₂), 2.55 (m, 1H, CH₂), 2.45 (m, 1H, CH₂), 2.26 (m, 2H, COCH₂); HRMS (EI) Calcd for C₁₄H₂₀N₂O₃ 264.1474, found 264.1468.



(5S)-5-Benzyloxycarbonyl-2-azolidinone (47) and N-phthaloyl-Lglutamic acid- α -benzyl ester- γ -dimethylamide (48).¹³⁸ To a solution of the trifluoroacetate salt 46 (1.0 g, 2.64 mmol) in THF (25 mL) was added triethylamine (0.52 mL, 3.75 mmol) and N-carbethoxyphthalimide (0.58 g, 2.65 mmol) at room temperature. The mixture was heated at reflux, under argon for 24 h and then concentrated *in vacuo*. Purification by flash chromatography (ethyl acetate) gave the side-product L-glutamine α benzyl ester- γ -lactam 47 (40 mg, 7%) as a clear oil and compond 48 (0.68 g, 65%) as a white solid.

For 47: IR (CH₂Cl₂ cast) 3226, 3091, 2957, 1704, 1498, 1193, 750, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.39 (m, 5H, <u>Ph</u>), 6.15 (br s, 1H, N<u>H</u>), 5.19 (s, 2H, PhC<u>H</u>₂), 4.28 (dd, 1H, \ddot{J} = 9, 5 Hz, C<u>H</u>), 2.49 (m, 1H, C<u>H</u>₂), 2.36 (m, 2H, COC<u>H</u>₂), 2.27 (m, 1H, C<u>H</u>₂); ¹³C NMR (125 MHz, CDCl₃) δ 128.5, 66.5, 55.5, 29.7; HRMS (EI) Calcd for C₁₂H₁₃NO₃ 219.0895, found 219.0877.

For **48**: mp 63-65 °C; IR (CH₂Cl₂ cast) 3090, 2937, 1775, 1743, 1716, 1644, 1387, 753, 698 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 7.85 (m, 2H, H₁ and H₄), 7.72 (m, 2H, H₂ and H₃), 7.27 (m, 5H, <u>Ph</u>), 5.19 (d, 1H, *J* = 13 Hz, PhC<u>H₂</u>), 5.12 (d, 1H, *J* = 13 Hz, PhC<u>H₂</u>), 5.01 (dd, 1H, *J* = 6, 4 Hz, C<u>H</u>), 2.89 (s, 3H, NC<u>H₃</u>), 2.83 (s, 3H, NC<u>H₃</u>), 2.64 (m, 1H, C<u>H₂</u>), 2.55 (m, 1H, C<u>H₂</u>), 2.37 (m, 2H, COC<u>H₂</u>); HRMS (EI) Calcd for C₂₂H₂₂N₂O₅ 394.1529, found 394.1525; Anal. Calcd for C₂₂H₂₂N₂O₅: C, 66.99; H, 5.62; N, 7.10. Found: C, 66.77; H, 5.96; N, 7.08.



N-Phthaloyl-L-glutamic acid-γ-dimethylamide (49). To a solution of benzyl ester 48 (1.63 g, 4.13 mmol) in methanol (30 mL) under argon was added 10% palladium on charcoal (0.16 g). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give 49 (1.24 g, quantitative) as a white solid: mp 134-136 °C; IR (CH₂Cl₂ cast) 3434, 3026, 2935, 1720, 1620, 1387, 723 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 7.89 (m, 2H, H₁ and H₄), 7.71 (m, 2H, H₂ and H₃), 5.18 (br s, 1H, CO₂H), 4.88 (dd, 1H, J = 6, 4 Hz, CH), 3.03 (s, 3H, NCH₃), 2.96 (s, 3H, NCH₃), 2.69 (m, 1H, CH₂), 2.51 (m, 2H, COCH₂), 2.46 (m, 1H, CH₂); HRMS (EI) Calcd for C₁₅H₁₆N₂O₅ 304.1059, found 304.1058; Anal. Calcd for C₁₅H₁₆N₂O₅: C, 59.21; H, 5.29; N, 9.20. Found: C, 59.28; H, 4.91; N, 9.04.



N-Phthaloyl-L-glutamic acid- α -benzyl ester (51).¹³⁹ To a solution of Lglutamic acid- α -benzyl ester 50 (3.0 g, 12.6 mmol) in THF (100 mL) was added *N*carbethoxyphthalimide (3.33 g, 15.2 mmol) and then triethylamine (2.1 mL, 15.2 mmol). The solution was heated at reflux for 24 h under argon. The solvent was removed *in vacuo*, the residue was dissolved in 10% aqueous NaHCO₃ (15 mL) and washed with ethyl acetate (3 x 10 mL). The aqueous layer was acidified to pH 2.5 with 5 N HCl and extracted with ethyl acetate (3 x 10 mL), dried over Na₂SO₄ and concentrated *in vacuo* to yield 51 (3.7 g,

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80%) as a yellow oil: IR (CH₂Cl₂ cast) 3064, 2950, 1777, 1743, 1716, 1455, 1388, 1193 720, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.82 (m, 2H, H₁ and H₄), 7.72 (m, 2H, H₂ and H₃), 7.29 (m, 5H, <u>Ph</u>), 5.21 (d, 1H, *J* = 13 Hz, PhC<u>H₂</u>), 5.17 (d, 1H, *J* = 13 Hz, PhC<u>H₂</u>), 5.01 (dd, 1H, *J* = 7, 4 Hz, C<u>H</u>), 2.63 (m, 1H, C<u>H₂</u>), 2.57 (m, 1H, C<u>H₂</u>), 2.38 (m, 2H, COC<u>H₂</u>); HRMS (ES) Calcd for C₂₀H₁₈N₁O₆ 368.1134, found 368.1137.



N-Phthaloyl-L-glutamic acid-α-benzyl ester-γ-dimethylamide (52). Reaction of *N*-phthaloyl-L-glutamic acid-α-benzyl ester **51** (3.39 g, 9.23 mmol) with triethylamine (1.6 mL, 11.5 mmol), ethyl chloroformate (1.1 mL, 11.5 mmol), and dimethylamine hydrochloride (0.94 g, 11.5 mmol) with triethylamine (1.6 mL, 11.5 mmol) as described for **45** gave the title compound **52** (3.16 g, 87%) as a white solid; data as **48**: mp 63-65 °C; IR (CH₂Cl₂ cast) 3090, 2934, 1775, 1743, 1716, 1644, 1387, 753, 698 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 7.85 (m, 2H, H₁ and H₄), 7.75 (m, 2H, H₂ and H₃), 7.27 (m, 5H, Ph), 5.21 (d, 1H, J = 13 Hz, PhCH₂), 5.18 (d, 1H, J = 13 Hz, PhCH₂), 5.03 (dd, 1H, J = 6, 4 Hz, CH), 2.89 (s, 3H, NCH₃), 2.83 (s, 3H, NCH₃), 2.63 (m, 1H CH₂), 2.55 (m, 1H CH₂), 2.37 (m, 2H, COCH₂); HRMS (EI) Calcd for C₂₂H₂₂N₂O₅ 394.1529, found 394.1522; Anal. Calcd for C₂₂H₂₂N₂O₅: C, 66.99; H, 5.62; N, 7.10. Found: C, 66.73; H, 5.45; N, 6.95.

$H_2C=N=N$

Diazomethane (53).¹⁴⁰ Only non-ground glassware was used. To a solution of 2-ethoxy ethanol (10.5 mL) in diethyl ether (15.5 mL) was added a solution of KOH (2.11 g, 37.7 mmol) in water (3.5 mL), the mixture was heated under reflux. A solution of

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Diazald (*N*-methyl-*N*-nitroso-*p*-toluenesulfonamide) (7.43 g, 34.7 mmol) in diethyl ether (78.5 mL) was added dropwise to the solution at reflux, producing a yellow ethereal solution of diazomethane (ca. 0.37 M) which was distilled into a receiving flask cooled at 0 °C. The ethereal solution of diazomethane was used immediately.



(4S)-N, N-Dimethyl-6-diazo-5-oxo-4-phthalimidohexanamide (54). Triethylamine (0.58 mL, 4.3 mmol) and ethyl chloroformate (1.1 mL, 4.2 mmol) were added to a cooled (0 °C) solution of N-phthaloyl-L-glutamic acid- γ -dimethylamide **49** (1.05 g, 3.5 mmol) in THF (50 mL). The mixture was stirred at 0 °C for 5 min and then filtered quickly into a ca. 0.3 M ethereal diazomethane solution **53** (47 mL, ca. 17 mmol) at 0 °C. The yellow mixture slowly paled on warming to room temperature overnight. The solvent was removed *in vacuo* and the residue was dissolved in ethyl acetate / water (20 mL, 1 : 1) and washed with ethyl acetate (3 x 10 mL). The sample was dried over MgSO₄ and concentrated *in vacuo*, giving the diazoketone **54** (1.05 g, 92%) as a yellow oil: IR (CH₂Cl₂ cast) 3093, 2108, 1713, 1638, 1385, 1339, 720 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.88 (m, 2H, H₁ and H₄), 7.79 (m, 2H, H₂ and H₃), 5.61 (s, 1H, N₂CH), 4.92 (dd, 1H, *J* = 6, 4 Hz, CH), 2.95 (s, 3H, NCH₃), 2.89 (s, 3H, NCH₃), 2.59 (m, 2H, COCH₂), 2.39 (m, 2H, CH₂); HRMS (ES) Calcd for C₁₆H₁₆N₄O₄Na 351.1064, found 351.1070.



(4S)-N,N-Dimethyl-6-bromo-5-oxo-4-phthalimidohexanamide (55). Aqueous hydrobromic acid (48%) (0.23 mL, 2.05 mmol) was added to a cooled (0 °C), vigorously stirred solution of the diazoketone 54 (0.561 g, 1.71 mmol) in THF (20 mL). The solution was stirred for 1 h until gas evolution ceased, and then CH_2Cl_2 (20 mL) was added. The solution was washed with water (3 x 10 mL), dried over MgSO₄ and the solvent evaporated *in vacuo* to give the bromoketone 55 (0.638 g, 98%) as an orange oil: IR (CHCl₃ cast) 3050, 2938, 1774, 1714, 1641, 1412, 1384, 721, 529 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.89 (m, 2H, H₁ and H₄), 7.78 (m, 2H, H₂ and H₃), 5.19 (dd, 1H, *J* = 6, 4 Hz, CH), 4.09 (d, 1H, *J* = 11 Hz, CBrH), 4.05 (d, 1H, *J* = 11 Hz, CBrH), 2.91 (s, 3H, NCH₃), 2.81 (s, 3H, NCH₃), 2.62 (m, 1H CH₂), 2.48 (m, 1H, CH₂), 2.38 (m, 2H, COCH₂); HRMS (ES) Calcd for C₁₆H₁₈BrN₂O₄ 381.0450, found 381.0445.



N-(*tert*-Butyloxycarbonyl)- γ -(*N*,*N*-dimethyl)-L-glutamine α -ethyl thioester (61).²⁶ *N*-(*tert*-butyloxycarbonyl)- γ -(*N*,*N*-dimethyl)-L-glutamine 28 (1.0 g, 3.65 mmol) was dissolved in dry CH₂Cl₂ (20 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (0.60 mL, 4.40 mmol) was added, followed by ethyl chloroformate (0.42 mL, 4.40 mmol). The mixture was stirred for 20 min at 0 °C, followed by the addition of ethane thiol (0.32 ml, 4.40 mmol) and triethylamine (0.60 mL, 4.40 mmol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued overnight. To the mixture was added CH₂Cl₂ (20 mL) then the

solution was washed with 0.5 M HCl (2 x 5 mL), saturated aqueous NaHCO₃ (5 mL) and brine (5 mL), and dried over MgSO₄. The solvent was removed *in vacuo* to give crude product which was recrystallized from (CH₂Cl₂-hexane) to yield the title compound **61** (1.12 g, 97%) as a white solid: mp 145-146 °C; $[\alpha]_{10}^{26}$ -10.97° (*c* 1.6, CHCl₃); IR (CHCl₃ cast) 3214, 2980, 1711, 1674, 1617, 1545, 1254, 1164 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 5.72 (d, 1H, *J* = 7 Hz, NH), 4.31 (m, 1H, CH), 2.99 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.84 (q, 2H, *J* = 7 Hz, SCH₂CH₃), 2.43 (m, 2H, CH₂CO), 2.19 (m, 1H, CH₂), 2.02 (m, 1H, CH₂), 1.43 (s, 9H, C(CH₃)₃), 1.22 (t, 3H, *J* = 7 Hz, SCH₂CH₃); ¹³C NMR (125 MHz, CDCl₃) δ 202.4, 172.7, 156.2, 80.5, 61.2, 37.8, 36.3, 29.9, 29.1, 28.9, 27.9, 23.7; MS (FAB) *m*/z (relative intensity) 319.0 (MH⁺, 100%); Anal. Calcd for C₁₄H₂₆N₂O₄S: C, 52.80; H, 8.23; N, 8.80. Found: C, 52.40; H, 8.42; N, 8.68.



N-(*tert*-Butyloxycarbonyl)-γ-(*N*,*N*-dimethyl)-L-glutaminal (62). To a stirred solution of thioester 61 (0.10 g, 0.31 mmol) in CH₂Cl₂ (2 mL) at room temperature was added 10% palladium on charcoal (5.0 mg) under argon and then triethylsilane (0.25 mL, 1.57 mmol). The mixture was stirred for 2 h. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give a clear oil. Purification by flash chromatography (ethyl acetate) gave aldehyde 62 (64.8 mg, 80%) as a white solid: mp 142-144 °C; $[\alpha]_D^{26}$ +23.20° (*c* 8.5, CHCl₃); IR (CHCl₃ cast) 3300, 2976, 1733, 1707, 1634, 1511, 1055 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 9.60 (s, 1H, CHO), 5.59 (br s, 1H, NH), 4.18 (m, 1H, CH), 3.02 (s, 3H, NCH₃), 2.92 (s, 3H, NCH₃), 2.43 (m, 2H, CH₂CO), 2.24 (m, 1H, CH₂), 1.99 (m, 1H, CH₂), 1.44 (s, 9H, C(CH₃)₃); ¹³C NMR (125 MHz, CDCl₃) δ 200.8, 172.7, 156.6, 80.4, 60.1, 37.8, 36.1, 29.3, 29.0,

26.6; MS (FAB) *m*/z (relative intensity) 258.9 (MH⁺, 100%); Anal. Calcd for C₁₂H₂₂N₂O₄: C, 55.80; H, 8.58; N, 10.85. Found: C, 55.41; H, 8.52; N, 10.52.



Methyl (2*E*,4*S*)-4-(*tert*-butyloxycarbonylamino)-7-(*N*,*N*dimethylamino)-7-oxohepten-2-oate (63). To a solution of 62 (35.9 mg, 0.14 mmol) in THF (2 mL) at room temperature under argon was added methyl (triphenylphosphoranylidene)acetate (51.0 mg, 0.15 mmol). The reaction mixture was stirred overnight. The solvent was removed *in vacuo* and the residue was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-30% acetonitrile : water, t_R 25.9 min) to give 63 (12.8 mg, 30%) as a white solid: mp 137-139 °C; $[\alpha]_D^{26}$ -4.23° (*c* 2.1, CHCl₃); IR (CHCl₃ cast) 3221, 2981, 1723, 1710, 1658, 1539, 1027 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 6.84 (dd, 1H, *J* = 15, 5 Hz, C<u>H</u>CHCO₂Me), 5.95 (dd, 1H, *J* = 15, 1 Hz, CHC<u>H</u>CO₂Me), 5.10 (br s, 1H, N<u>H</u>), 4.38 (m, 1H, NC<u>H</u>), 3.73 (s, 3H, CO₂C<u>H₃</u>), 3.00 (s, 3H, NC<u>H₃</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.41 (m, 2H, C<u>H₂CO), 1.97 (m, 2H, C<u>H₂</u>), 1.43 (s, 9H, C(C<u>H₃)₃</u>); ¹³C NMR (125 MHz, CDCl₃) δ 174.5, 167.4, 156.1, 149.1, 121.3, 95.4, 52.3, 30.2, 30.1, 29.8, 29.1, 29.1, 29.0; HRMS (EI) Calcd for C₁₅H₂₆N₂O₅ 314.1842, found 314.1843.</u>



Methyl (2E,4S)-4-amino-7-(N,N-dimethylamino)-7-oxohepten-2oate, trifluoroacetate salt (64). To a solution of α,β -unsaturated ester 63 (45.0 mg, 0.14 mmol) in CH₂Cl₂ (0.5 mL) at 0 °C was added trifluoroacetic acid (0.5 mL), and the mixture was stirred for 1 h at 0 °C. The ice bath was removed and the solution was allowed to warm to room temperature over a 1 h period. The solvent was removed *in vacuo* and the residue dried under high vacuum to give the trifluoroacetate salt **64** (42.70 g, 91%) as a yellow oil: $[\alpha]_D^{26}$ +9.92° (*c* 2.1, H₂O); IR (CHCl₃ cast) 3220, 2954, 1726, 1676, 1623, 1507, 1202, 1034 cm⁻¹; ¹H NMR (360 MHz, D₂O) δ 6.72 (dd, 1H, *J* = 16, 8 Hz, CHCHCO₂Me), 6.08 (dd, 1H, *J* = 16, 1 Hz, CHCHCO₂Me), 3.95 (ddd, 1H, *J* = 8, 5, 3 Hz, NCH), 3.65 (s, 3H, CO₂CH₃), 2.93 (s, 3H, NCH₃), 2.79 (s, 3H, NCH₃), 2.40 (m, 2H, CH₂CO), 2.04 (m, 1H, CH₂), 1.93 (m, 1H, CH₂); ¹³C NMR (125 MHz, D₂O) δ 174.4, 168.4, 142.4, 125.9, 53.2, 52.3, 37.9, 36.2, 29.1, 28.0; HRMS (ES) Calcd for C₁₀H₁₉N₂O₃ 215.1396, found 215.1400.



Benzyl malonate (72).¹⁴¹ Reaction of dibenzyl malonate (16.83 g, 52.2 mmol) and 1 N aqueous NaOH (62.2 mL, 62.2 mmol) as described for **39** gave the title compound **72** (10.2 g, 89%) as a white solid: mp 38-42 °C; IR (CH₂Cl₂ cast) 3035, 2950, 1746, 1498, 1215, 1105, 750, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.28 (m, 5H, Ph), 5.17 (s, 2H, PhCH₂), 3.42 (s, 2H, CH₂); ¹³C NMR (75 MHz, CDCl₃) δ 171.9, 166.4, 134.9, 128.6, 128.5, 128.3, 67.5, 40.9; HRMS (EI) Calcd for C₁₀H₁₀O₄ 194.05791, found 194.05704; Anal. Calcd for C₁₀H₁₀O₄: C, 61.85; H, 5.19. Found: C, 62.20; H, 5.49.



Benzyl malonate, magnesium salt (73).¹⁴¹ Reaction of benzyl malonate (9.66 g, 49.75 mmol) and magnesium ethoxide (2.85 g, 24.88 mmol) as described for 29

gave the title compound **73** (9.89 g, 97%) as a white solid: mp 130-135 °C; IR (CH₂Cl₂ cast) 3500, 3064, 2959, 1721, 1659, 1498, 1228, 1106, 754, 682 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.33 (m, 5H, <u>Ph</u>), 5.07 (s, 2H, PhC<u>H₂</u>), 3.22 (s, 2H, C<u>H₂</u>); ¹³C NMR (75 MHz, CDCl₃) δ 173.9, 171.1, 135.6, 128.8, 128.6, 128.2, 67.3, 20.8; MS (FAB) *m/z* (relative intensity) 411.0 (MH⁺, 1.5%); Anal. Calcd for C₂₀H₁₈MgO₈: C, 58.49; H, 4.42. Found: C, 58.41; H, 4.55.



Benzyl (4S)-4-(tert-butyloxycarbonylamino)-7-(N,Ndimethylamino)-3,7-dioxoheptanoate (74). To a solution of N-(tertbutyloxycarbonyl)-y-(N,N-dimethyl)-L-glutamine 28 (3.40 g, 12.38 mmol) in THF (60 mL) was added 1,1'-carbonyl diimidazole (2.41 g, 14.85 mmol). The clear solution was stirred for 1 h at room temperature under argon. Magnesium benzyl malonate 73 (6.1 g, 14.85 mmol) was added. The mixture was stirred overnight at room temperature. The pH was adjusted to 2 with 0.5 N HCl, and the product was extracted with ethyl acetate (2 x 50 mL). The combined extracts were washed with saturated aqueous NaHCO₃ (25 mL), and brine (25 mL), dried over MgSO4 and concentrated in vacuo. Recrystallization (CH2Cl2hexane) gave benzyl ester 74 (4.88 g, 97%) as a white solid: mp 94-96 °C; IR (CHCl₃ cast) 3296, 2976, 1747, 1712, 1634, 1505, 1252, 1165, 1024, 750, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.38 (m, 5H, Ph), 5.65 (d, 1H, J = 6 Hz, NH), 5.17 (s, 2H, PhCH₂), 4.36 (m, 1H, CH), 3.68 (s, 2H, COCH₂CO), 2.97 (s, 3H, NCH₃), 2.93 (s, 3H, NCH₃), 2.50 (m, 1H, NCOCH₂), 2.37 (m, 1H, NCOCH₂), 2.19 (m, 1H, NCHCH₂), 1.96 (m, 1H, NCHCH₂), 1.41 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CDCl₃) δ 202.4, 172.1, 166.9, 155.8, 135.4, 128.6, 128.6, 128.3, 80.0, 67.1, 59.7, 45.9, 37.1, 35.6,





Ethyl (3RS,4S)-4-(*tert*-butyloxycarbonylamino)-3-hydroxy-7-(N,Ndimethylamino)-7-oxoheptanoate (75) and benzyl (3RS,4S)-4-(*tert*butyloxycarbonylamino)-3-hydroxy-7-(N,N-dimethylamino)-7-

oxoheptanoate (76). To a stirred solution of β-keto ester 74 (3.01g, 7.38 mmol) in ethanol (37 mL) under argon at 0 °C, was added dropwise a solution of NaBH₄ in absolute ethanol (0.1 M, 73 mL). The reaction mixture was stirred at 0 °C for 30 min, then at room temperature for 30 min. The solution was acidified to pH 2 with 1 N KHSO₄ and the solvent was removed *in vacuo*. The residue was dissolved in water (50 mL), extracted with ethyl acetate (3 x 25 mL), dried over MgSO₄ and concentrated *in vacuo* to yield crude alcohol. Purification by flash chromatography (ethyl acetate : hexane, 9 : 1) gave 75 (0.15 g, 6%) and β-hydroxy esters 76 (2.57 g, 85%) as a clear oil and white solid, respectively. For 75: Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (CHCl₃ cast) 3345, 2977, 1732, 1711, 1694, 1249, 1043 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 5.20 (br s, 1H, N<u>H</u>), 4.18 (q, 2H, *J* = 7 Hz, OCH₂CH₃), 3.99 (m, 1H, NC<u>H</u>), 3.47 (m, 1H, C(OH)<u>H</u>), 3.01 (s, 3H, NC<u>H₃), 2.97 (s, 3H, NCH₃), 2.50 (m, 2H, CH(OH)CH₂), 2.40 (m, 2H, NCOCH₂), 1.99 (m, 1H, NCHC<u>H₂</u>), 1.81 (m, 1H, NCHC<u>H₂</u>), 1.41 (s, 9H, C(CH₃)₃), 1.23 (t, 3H, *J* = 7 Hz,</u>

 OCH_2CH_3 ; (isomer B) δ 5.20 (br s, 1H, NH), 4.17 (q, 2H, J = 7 Hz, OCH_2CH_3), 4.01 (m, 1H, NCH), 3.47 (m, 1H, C(OH)H), 3.01 (s, 3H, NCH_3), 2.97 (s, 3H, NCH_3), 2.50 (m, 2H, CH(OH)CH_2), 2.40 (m, 2H, NCOCH_2), 1.99 (m, 1H, NCHCH_2), 1.81 (m, 1H, 1H), 1.00 (m, 2H, NCOCH_2), 1.99 (m, 2H, NCHCH_2), 1.81 (m, 2H), 1.

NCHC<u>H</u>₂), 1.41 (s, 9H, C(C<u>H</u>₃)₃), 1.21 (t, 3H, J = 7 Hz, OCH₂C<u>H</u>₃); ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 172.9, 172.6, 156.2, 79.2, 70.9, 60.6, 54.6, 38.8, 37.1, 35.5, 29.6, 28.3, 24.9, 14.1; (isomer B) δ 172.9, 172.4, 156.1, 79.0, 68.7, 60.5, 54.0, 38.5, 37.1, 35.5, 29.6, 28.3, 24.9, 0.9; HRMS (EI) Calcd for C₁₆H₃₀N₂O₆ 346.2104, found 346.2140.

For 76: Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (CHCl₃ cast) 3331, 3030, 2974, 1719, 1710, 1649, 1500, 1247, 1163, 1043, 738, 694 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 7.39 (m, 5H, Ph), 5.18 (s, 2H, PhCH₂), 5.13 (br s, 1H, NH), 3.99 (m, 1H, NCH), 3.59 (m, 1H, C(OH)H), 3.01 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.61 (m, 2H, CH(OH)CH₂), 2.41 (m, 2H, NCOCH₂), 1.99 (m, 1H, NCHCH₂), 1.82 (m, 1H, NCHCH₂), 1.43 (s, 9H, C(CH₃)₃); (isomer B) δ 7.39 (m, 5H, Ph), 5.18 (s, 2H, PhCH₂), 4.98 (br s, 1H, NH), 3.99 (m, 1H, NCH), 3.59 (m, 1H, C(OH)H), 3.01 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.961 (m, 2H, CH(OH)CH₂), 2.41 (m, 2H, NCOCH₂), 1.43 (s, 9H, C(OH)H), 3.01 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.61 (m, 2H, CH(OH)CH₂), 2.41 (m, 2H, NCOCH₂), 1.99 (m, 1H, NCHCH₂), 1.82 (m, 1H, NCHCH₂), 1.43 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 172.9, 172.7, 156.5, 135.7, 128.6, 128.3, 128.2, 79.5, 68.5, 66.5, 54.2, 38.4, 37.2, 35.7, 29.6, 28.4, 25.0; (isomer B) δ 172.9, 172.7, 156.5, 135.7, 128.6, 128.3, 128.2, 79.5, 68.5, 66.5, 54.2, 38.4, 37.2, 35.7, 29.6, 28.4, 25.0; (isomer B) δ 172.9, 172.7, 156.5, 135.7, 128.6, 128.3, 128.2, 79.5, 68.5, 66.5, 54.2, 38.4, 37.2, 35.7, 29.6, 28.4, 25.0; (isomer B) δ 172.9, 172.7, 156.5, 135.7, 128.6, 128.3, 128.2, 79.5, 68.5, 66.5, 54.2, 38.4, 37.2, 35.7, 29.6, 28.4, 25.0; (isomer B) δ 172.9, 172.7, 156.5, 135.7, 128.6, 128.3, 128.2, 79.5, 68.5, 66.5, 54.2, 38.4, 37.2, 35.7, 29.6, 28.4, 25.0; HRMS (EI) Calcd for C₂₁H₃₂N₂O₆ 408.2260, found 408.2248; Anal. Calcd for C₂₁H₃₂N₂O₆: C, 61.75; H, 8.14; N, 6.86. Found: 61.52; H, 8.27; N, 7.04.



(4RS)-4-[(1S)-1-(tert-Butyloxycarbonylamino)-3-(N,Ndimethylcarbamoyl)propyl]-2-oxetanone (77). A suspension of 17 (0.11 g, 0.32 mmol) in CH₂Cl₂ (5 mL) was cooled to 0 °C and treated with triethylamine (0.14 mL, 0.97 mmol) followed by BOP (0.17 g, 0.39 mmol). The cooling bath was removed and the reaction mixture was stirred at room temperature for 24 h. The reaction was quenched with water (6 mL) and extracted with CH₂Cl₂ (3 x 5 mL). The combined organic extracts were dried over MgSO₄ and concentrated in vacuo. Purification by flash chromatography (ethyl acetate) followed by crystallization from (CHCl₃-hexane) gave β -lactone 77 (1.25 g, 41%) as a white solid. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 9 :1): IR (CHCl₁ cast) 3299, 2975, 1830 1710, 1630, 1624, 1248, 1168, 1049, cm⁻¹; ¹H NMR (500 MHz, CDCl₃) (isomer A) δ 5.12 (br s, 1H, NH), 4.34 (m, 1H, CH₂OCOCH), 3.85 (m, 1H, NCH), 3.48 (m, 2H, CH₂OCOCH), 3.02 (s, 3H, NCH₃), 2.96 (s, 3H, NCH₃), 2.44 (m, 1H, NCOCH₂), 2.03 (m, 1H, NCHCH₂), 1.88 (m, 1H, NCHCH₂), 1.43 (s, 9H, C(CH₃)₃); (isomer B) δ 5.12 (br s, 1H, NH), 4.34 (m, 1H, CH₂OCOCH), 3.85 (m, 1H, NCH), 3.48 (m, 2H, CH₂OCOCH), 3.05 (s, 3H, NCH₃), 2.95 (s, 3H, NCH₃), 2.44 (m, 1H, NCOCH₂), 2.03 (m, 1H, NCHCH₂), 1.88 (m, 1H, NCHCH₂), 1.47 (s, 9H, $C(CH_3)_3$); ¹³C NMR-(HMQC) $(125 \text{ MHz}, \text{CDCl}_3)$ (isomer A) δ 71.9, 53.1, 41.2, 37.5, 36.3, 28.9, 27.8, 24.1; (isomer B) δ 71.9, 53.1, 41.2, 37.5, 36.3, 28.9, 27.8, 24.1; HRMS (ES) Calcd for $C_{14}H_{24}N_2O_5Na$ 323.1583, found 323.1583.



(3RS,4S)-4-amino-7-(N,N-dimethylamino)-3-hydroxy-7-

oxoheptanoic acid, trifluoroacetate salt (85). To a solution of β -hydroxy acid 17 (0.10 g, 0.31 mmol) in CH₂Cl₂ (5 mL) at 0 °C was added trifluoroacetic acid (5 mL), the mixture was stirred for 1 h at 0 °C. The ice bath was removed and the solution was allowed to warm to room temperature over a 1 h period. The solvent was removed *in vacuo* and the residue was crystallized from methanol-CHCl₃ and dried under high vacuum to give the

trifluoroacetate salt **85** (81.0 mg, quantitative) as a white solid. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (μ scope) 3261, 2945, 1725, 1666, 1612, 1417, 1204, 1066 cm⁻¹; ¹H NMR (360 MHz, D₂O) (isomer A) δ 4.23 (m, 1H, NC<u>H</u>), 3.29 (m, 1H, C<u>H</u>(OH)), 2.93 (s, 3H, NC<u>H</u>₃), 2.81 (s, 3H, NC<u>H</u>₃), 2.53 (m, 2H, CH(OH)C<u>H</u>₂), 2.52 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 1H, NCHC<u>H</u>₂); (isomer B) δ 4.23 (m, 1H, NC<u>H</u>), 3.29 (m, 1H, NCHC<u>H</u>₂), 2.52 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 2.52 (m, 2H, NCOC<u>H</u>₂), 1.75 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 1H, NCHC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 1H, NCHC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 2H, NCOC<u>H</u>₂), 1.87 (m, 1H, NCHC<u>H</u>₂), 1.75 (m, 1H, NCHC<u>H</u>₂); (isomer B) δ 175.5, 174.8, 67.9, 55.7, 38.1, 37.9, 36.3, 30.0, 23.2; (isomer B) δ 175.5, 174.8, 67.5, 55.7, 38.1, 37.9, 36.3, 29.7, 25.6; HRMS (ES) Calcd for C₉H₁₉N₂O₄ 219.1345, found 219.1343.



Benzyl(2RS,4S)-4-(tert-butyloxycarbonylamino)-7-(N,N-dimethylamino)-2-methyl-3,7-dioxoheptanoate(87)andbenzyl(4S)-4-(tert-butyloxycarbonylamino)-7-(N,N-dimethylamino)-2,2-dimethyl-3,7-dioxoheptanoate(88). To a solution of benzyl(4S)-4-(tert-butyloxycarbonylamino)-7-

(N,N-dimethylamino)-3,7-dioxoheptanoate 74 (15.0 g, 36.9 mmol) in THF (200 mL) was added potassium *tert*-butoxide (8.28 g, 73.8 mmol), the solution was stirred for 10 min at 0 °C under argon. Iodomethane (4.59 mL, 73.8 mmol) was added dropwise over 15 min, the mixture was stirred for 30 min at 0 °C and then warmed to room temperature overnight. The solvent was removed *in vacuo* to give a residue which was suspended in water (100 mL) and washed with ethyl acetate (3 x 50 mL). The combined organic layers were dried over MgSO₄, filtered and concentrated *in vacuo* to give a brown oil. Purification by flash chromatography (ethyl acetate : hexane, 1 : 1) produced the mono-methyl analogue 87 (1.7 g, 11%) and compound 88 (2.18 g, 13.6%), both as white solids after recrystallization from diethyl ether-hexane.

For **87**: Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 1 : 1): IR (CHCl₃ cast) 3303, 2975, 1744, 1708, 1640, 1455, 1170, 1088, 751, 699 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 7.33 (m, 5H, Ph), 5.48 (br s, 1H, NH), 5.13 (m, 2H, PhCH₂), 4.49 (m, 1H, CH), 3.97 (m, 1H, CH(CH₃)) 3.01 (s, 3H, NCH₃), 2.99 (s, 3H, NCH₃), 2.45 (m, 1H, NCHCH₂), 2.34 (m, 2H, NCOCH₂), 1.73 (m, 1H, NCHCH₂), 1.48 (s, 9H, C(CH₃)₃); 1.12 (s, 3H, CH(CH₃)); (isomer B) δ 7.33 (m, 5H, Ph), 5.45 (br s, 1H, NH), 5.13 (m, 2H, PhCH₂), 4.49 (m, 1H, CH), 3.97 (m, 1H, CH(CH₃)) 2.92 (s, 3H, NCH₃), 2.88 (s, 3H, NCH₃), 2.45 (m, 1H, NCHCH₂), 2.34 (m, 2H, NCOCH₂), 1.73 (m, 1H, NCHCH₂), 1.47 (s, 9H, C(CH₃)₃); 1.09 (s, 3H, CH(CH₃)); ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 205.5, 171.9, 170.1, 155.2, 135.6, 128.4, 128.3, 128.2, 67.2, 67.0, 57.3, 54.8, 37.4, 35.6, 28.9, 28.0, 26.4, 17.7; (isomer B) δ 205.5, 171.9, 170.1, 155.2, 135.6, 128.4, 128.3, 128.2, 67.2, 67.0, 57.3, 54.8, 37.1, 35.6, 28.9, 28.3, 26.0, 19.1; HRMS (EI) Calcd for C₂₂H₃₂N₂O₆ 420.2260, found 420.2269.

For **88**: mp 77-79 °C; $[\alpha]_D^{26}$ -14.29° (*c* 0.7, CHCl₃); IR (CHCl₃ cast) 3300, 2977, 1742, 1708, 1643, 1498, 1165, 1082, 752, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.37 (m, 5H, Ph), 5.45 (br s, 1H, NH), 5.18 (s, 2H, PhCH₂), 4.62 (m, 1H, CH), 2.96 (s, 6H, N(CH₃)₂), 2.34 (m, 2H, NCOCH₂), 2.17 (m, 1H, NCHCH₂), 1.79 (m, 1H, NCHCH₂), 1.46 (s, 3H, C(CH₃)), 1.43 (s, 3H, C(CH₃)) 1.41 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CDCl₃) δ 208.0, 172.8, 171.9, 155.4, 135.6, 128.6, 128.3, 128.2, 79.8, 67.1, 55.5, 54.8, 37.1, 35.6, 28.9, 28.3, 27.7, 22.4, 22.1; HRMS (EI) Calcd for C₂₃H₃₄N₂O₆ 434.24170, found 406.24100; Anal. Calcd for C₂₃H₃₄N₂O₆: C, 63.57; H, 7.89; N, 6.45. Found: 63.20; H, 7.93; N, 6.54.



Benzyl (3R or S,4S)-4-(tert-butyloxycarbonylamino)-3-hydroxy-7-(N,N-dimethylamino)-2,2-dimethyl-7-oxoheptanoate (89a & 89b). To a stirred solution of β-keto ester 88 (2.16 g, 4.98 mmol) in ethanol (25 mL) under argon at 0 °C. was added dropwise a solution of NaBH₄ (0.1 M, 50 mL) in absolute ethanol. The reaction mixture was stirred at 0 °C for 30 min, followed by 30 min at room temperature. The solution was acidified to pH 2 with 1 N KHSO4 and the solvent was removed in vacuo. The residue was dissolved in water (25 mL), extracted with ethyl acetate (3 x 15 mL), dried over MgSO₄, filtered and concentrated in vacuo to yield crude alcohol 89. Purification by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-30% acetonitrile : water, t_R 30.2 and 32.6 min) separated the β -hydroxy ester diastereoisomers 89a (230 mg, 11%) and 89b (110 mg, 5%) respectively, as yellow oils. For 89a: $[\alpha]_{D}^{26}$ -36.93° (c 1.8, CHCl₃); IR (CHCl₃ cast) 3363, 3030, 2975, 1710, 1632, 1261, 1167, 1041, 753, 697 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 7.37 (m, 5H, Ph), 5.19 $(d, 1H, J = 12 Hz, PhCH_2)$, 5.12 $(d, 1H, J = 12 Hz, PhCH_2)$, 4.69 (br s, 1H, NH), 3.73 (m, 1H, NCH), 3.69 (br s, 1H, C(OH)H), 2.99 (s, 3H, NCH₃), 2.93 (s, 3H, NCH₃), 2.34 (m, 2H, NCOCH₂), 1.92 (m, 1H, NCHCH₂), 1.69 (m, 1H, NCHCH₂), 1.43 (s, 9H, C(CH₃)₃), 1.29, (s, 3H, C(CH₃)), 1.22, (s, 3H, C(CH₃)); ¹³C NMR (75 MHz, $CDCl_3$) δ 177.9, 172.9, 155.4, 135.9, 128.6, 128.1, 127.9, 79.2, 78.2, 66.4, 52.2, 45.4, 37.2, 35.6, 29.2, 28.4, 26.6, 23.5, 20.5; HRMS (EI) Calcd for C23H36N2O6 436.2573, found 436.2573.

For **89b**: $[\alpha]_D^{26}$ -76.60° (*c* 0.43, CHCl₃); IR (CHCl₃ cast) 3432, 3032, 2975, 1708, 1650, 1626, 1260, 1167, 1050, 752, 698 cm⁻¹; ¹H NMR (300 MHz, CDCl₃) δ 7.38 (m, 5H,

<u>Ph</u>), 5.19 (d, 1H, J = 12 Hz, PhC<u>H</u>₂), 5.09 (d, 1H, J = 12 Hz, PhC<u>H</u>₂), 4.92 (d, 1H, N<u>H</u>), 3.84 (m, 1H, NC<u>H</u>), 3.64 (br s, 1H, C(OH)<u>H</u>), 2.97 (s, 3H, NC<u>H</u>₃), 2.92 (s, 3H, NC<u>H</u>₃), 2.35 (m, 2H, NCOC<u>H</u>₂), 1.96 (m, 2H, NCHC<u>H</u>₂), 1.44 (s, 9H, C(C<u>H</u>₃)₃), 1.29, (s, 3H, C(C<u>H</u>₃)), 1.21, (s, 3H, C(C<u>H</u>₃)); ¹³C NMR (75 MHz, CDCl₃) δ 177.8, 173.2, 155.7, 135.8, 128.6, 128.2, 127.8, 79.2, 78.5, 66.6, 50.3, 46.1, 37.3, 35.7, 29.9, 25.5, 28.4, 23.1, 20.8; HRMS (EI) Calcd for C₂₃H₃₆N₂O₆ 436.2573, found 436.2578.



(4R or S,5S)-1-(tert-Butyloxycarbonyl)-4-hydroxy-3,3-dimethyl-5-[(2-N,N-dimethylcarbamoyl)ethyl]azolidin-2-one (90a). A suspension of 19a (47.6 mg, 0.14 mmol) in CH₂Cl₂ (5 mL) was cooled to 0 °C and treated with triethylamine (57 µL, 0.41 mmol) followed by BOP (73.0 mg, 0.16 mmol). The cold bath was removed and the reaction mixture was stirred at room temperature for 24 h. The reaction was quenched with water (6 mL) and extracted with CH_2Cl_2 (3 x 5 mL). The combined organic layers were dried over MgSO₄, filtered and concentrated in vacuo. Purification by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-20% acetonitrile : water, t_R 18.5 min) gave 90a (19.4 mg, 44%) as a white solid: $[\alpha]_{D}^{26}$ +39.82° (c 3.4, CHCl₃); IR (CHCl₃ cast) 3338, 2975, 1775, 1714, 1628, 1257, 1154, 1087 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 4.96 (br s, 1H, CH(O<u>H</u>)), 3.79 (d, 1H, J = 9 Hz, C(OH)<u>H</u>), 3.59 (ddd, 1H, J = 9, 6, 3 Hz, NCH), 3.08 (s, 3H, NCH₃), 2.97 (s, 3H, NCH₃), 2.68 (m, 1H, NCOCH₂), 2.58 (m, 1H, NCOCH₂), 2.19 (m, 1H, NCHCH₂), 2.01 (m, 1H, NCHC<u>H</u>₂), 1.57 (s, 9H, C(C<u>H</u>₃)₃), 1.23 (s, 3H, C(C<u>H</u>₃)), 1.12 (s, 3H, C(C<u>H</u>₃)); 13 C NMR (75 MHz, CD₃CN) δ 173.4, 171.5, 150.9, 83.4, 78.4, 62.3, 45.7, 37.4, 35.8, 30.8, 28.7, 28.1, 23.2, 18.5; HRMS (ES) Calcd for C₁₆H₂₈N₂O₅Na 351.1896, found 351.1902.



(4*R* or *S*,*5S*)-1-(*tert*-Butyloxycarbonyl)-4-hydroxy-3,3-dimethyl-5-[(2-*N*,*N*-dimethylcarbamoyl)ethyl]azolidin-2-one (90b) and (4*R* or *S*)-4-[(1*S*)-1-(*tert*-butyloxycarbonylamino)-3-(*N*,*N*-dimethylcarbamoyl)propyl]-3,3-dimethyl-2-oxetanone (93). A suspension of 19b (43.1 mg, 0.12 mmol) in CH₂Cl₂ (5 mL) was cooled to 0 °C and treated with triethylamine (52 μ L, 0.37 mmol) followed by BOP (66.0 mg, 0.15 mmol). The cooling bath was removed and the reaction mixture was stirred at room temperature for 24 h. The reaction was quenched with water (6 mL) and extracted with CH₂Cl₂ (3 x 5 mL). The combined organic layers were dried over MgSO₄, filtered and concentrated *in vacuo*. Purification by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-20% acetonitrile : water, t_R 26.9 and 30.5 min) gave **90b** (10.0 mg, 25%) and β-lactone **93** (19.7 mg, 48%) respectively, as white solids.

For **90b**: $[\alpha]_D^{26}$ +11.35° (*c* 2.3, CHCl₃); IR (CHCl₃ cast) 3355, 2970, 1756, 1714, 1633, 1255, 1155, 1094 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 4.03 (d, 1H, *J* = 9 Hz, C(OH)<u>H</u>), 3.91 (ddd, 1H, *J* = 9, 7, 3 Hz, NC<u>H</u>), 3.07 (s, 3H, NC<u>H</u>₃), 2.98 (s, 3H, NC<u>H</u>₃), 2.72 (m, 1H, NCOC<u>H</u>₂), 2.59 (m, 1H, NCOC<u>H</u>₂), 1.75 (m, 1H, NCHC<u>H</u>₂), 1.57 (s, 9H, C(C<u>H</u>₃)₃), 1.42 (m, 1H, NCHC<u>H</u>₂), 1.21 (s, 3H, C(C<u>H</u>₃)), 1.17 (s, 3H, C(C<u>H</u>₃)); ¹³C NMR (75 MHz, CD₃CN) δ 175.1, 171.5, 150.9, 84.5, 73.4, 60.8, 47.7, 37.8, 35.8, 31.7, 28.7, 25.3, 24.2, 19.3; HRMS (ES) Calcd for C₁₆H₂₈N₂O₅Na 351.1896, found 351.1902.

For 93: $[\alpha]_D^{26}$ +6.19° (c 1.1, CH₃OH); IR (CHCl₃ cast) 3313, 2972, 1825, 1711, 1651, 1247, 1168, 1047 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 4.65 (d, 1H, J = 9 Hz, N<u>H</u>), 4.18

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(d, 1H, J = 5 Hz, OCOC<u>H</u>), 3.99 (m, 1H, NC<u>H</u>), 3.02 (s, 3H, NC<u>H₃</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.42 (m, 2H, NCOC<u>H₂</u>), 1.89 (m, 1H, NCHC<u>H₂</u>), 1.79 (m, 1H, NCHC<u>H₂</u>), 1.43 (s, 3H, C(C<u>H₃</u>)), 1.41 (s, 9H, C(C<u>H₃</u>)), 1.32 (s, 3H, C(C<u>H₃</u>)); ¹³C NMR (75 MHz, CD₃CN) δ 174.7, 171.9, 155.6, 83.7, 73.5, 53.7, 49.5, 37.1, 35.6, 28.7, 28.5, 28.3, 23.4, 16.1; HRMS (ES) Calcd for C₁₆H₂₈N₂O₅Na 351.1896, found 351.1887.



(4R)S,5S)-4-Hydroxy-3,3-dimethyl-5-[(2-N,Nor dimethylcarbamoyl)ethyl]azolidin-2-one (91a). A 5 mL single-necked roundbottom flask was equipped with a magnetic stirring bar and an argon inlet. The flask was charged with a mixture of 90a (8.50 mg, 25.80 µmol) and anhydrous p-toluenesulfonic acid (4.70 mg, 27.1 µmol), the flask was cooled in an ice bath for 15 min. Anhydrous TFA (0.3 mL) was added via a syringe over 5 min. The pale yellow solution was stirred at 0 °C for 25 min, the TFA was removed in vacuo below 30 °C and the resulting yellow oil was placed under high vacuum. The residue was purified by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-30% acetonitrile : water, t_R 6.2 min) to yield γ -lactam 91a (3.80 mg, 64%) as a clear oil: $[\alpha]_D^{26}$ +35.71° (c 0.84, H₂O); IR (CHCl₃ cast) 3275, 2933, 1778, 1679, 1123, 1034 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 6.18 (br s, 1H, NH), 3.67 (d, 1H, J = 7 Hz, C(OH)H), 3.38 (m, 1H, NCH), 3.09 (s, 3H, NCH₃), 2.97 (s, 3H, NCH₃), 2.51 (m, 2H, NCOCH₂), 1.99 (m, 2H, NCHCH₂), 1.19 (s, 3H, C(CH₃)), 1.04 (s, 3H, C(CH₃)); ¹³C NMR (75 MHz, CD₃CN) δ 175.3, 157.1, 85.2, 59.2, 34.4, 33.1, 29.1, 26.1, 23.9, 21.4, 18.9; HRMS (ES) Calcd for C₁₁H₂₀N₂O₃Na 251.1372, found 251.1369.



(3*R* or *S*,4*S*)-4-amino-7-(*N*,*N*-dimethylamino)-3-hydroxy-2,2dimethyl-7-oxoheptanoic acid, trifluoroacetate salt (99a). To a solution of βhydroxy acid 19a (17.1 mg, 49.3 µmol) in CH₂Cl₂ (0.5 mL) at 0 °C was added trifluoroacetic acid (0.5 mL), the mixture was stirred for 1 h at 0 °C. The ice bath was removed and the solution was allowed to warm to room temperature over a 1 h period. The solvent was removed *in vacuo* and the residue dried under high vacuum to give the trifluoroacetate salt 99a (81.0 mg, quantitative) as a yellow oil: $[\alpha]_D^{26}$ -1.92° (*c* 4.7, H₂O); IR (CHCl₃ cast) 3350, 2934, 1674, 1625, 1407, 1137, 1059 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 3.59 (d, 1H, *J* = 2 Hz, C(OH)H), 3.34 (ddd, 1H, *J* = 6, 4, 2 Hz, NCH), 3.02 (s, 3H, NCH₃), 2.87 (s, 3H, NCH₃), 2.48 (m, 2H, NCOCH₂), 1.84 (m, 2H, NCHCH₂), 1.19 (s, 3H, C(CH₃)), 1.16 (s, 3H, C(CH₃)); ¹³C NMR (75 MHz, CD₃CN) δ 185.5, 174.8, 76.8, 52.8, 37.9, 36.0, 30.9, 30.7, 29.2, 23.3, 18.3; HRMS (ES) Calcd for C₁₁H₂₃N₂O₄ 247.1658, found 247.16626.



(3*R* or *S*,4*S*)-4-amino-7-(*N*,*N*-dimethylamino)-3-hydroxy-2,2dimethyl-7-oxoheptanoic acid, trifluoroacetate salt (99b). Reaction of βhydroxy acid 19b (22.0 mg, 63.5 µmol) as described for 19a gave the title compound 99b (23.0 mg, quantitative) as a light-brown oil: $[\alpha]_D^{26}$ -21.27° (*c* 6.6, H₂O); IR (CHCl₃ cast) 3450, 2961, 1725, 1694, 1651, 1427, 1140 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 3.52 (d, 1H, *J* = 7 Hz, C(OH)<u>H</u>), 3.36 (m, 1H, NC<u>H</u>), 2.94 (s, 3H, NC<u>H₃), 2.85 (s, 3H, NC<u>H₃), 2.48 (m, 2H, NCOCH₂), 1.85 (m, 1H, NCHC<u>H₂), 1.73 (m, 1H,</u></u></u> NCHC<u>H</u>₂), 1.04 (s, 3H, C(C<u>H</u>₃)), 0.92 (s, 3H, C(C<u>H</u>₃)); ¹³C NMR (75 MHz, CD₃CN) δ 185.5, 172.5, 62.6, 58.7, 37.8, 36.1, 30.5, 29.3, 28.5, 22.9, 18.1; HRMS (ES) Calcd for C₁₁H₂₃N₂O₄ 247.1658, found 247.16620.



 $[3-^{13}C]-N-(Benzyloxycarbonyl)-L-serine$ $(102a(\beta-^{13}C)).^{142}$ This material was prepared from the modified procedure of Greenstein and Winitz.¹⁴³ To a suspension of NaHCO₃ (0.30 g, 3.53 mmol) in THF (2 mL) and water (4 mL) at room temperature was carefully added L-serine $101a(\beta^{-13}C)$ (3-¹³C, 99%) (Cambridge Isotope Laboratories) (0.15 g, 1.41 mmol). After cessation of gas evolution, benzyl chloroformate (0.22 mL, 1.56 mmol) was added dropwise over a 30 min period and stirring was continued for a further 1 h. The reaction mixture was washed with diethyl ether (2 x 2 mL), and acidified to pH 2 by careful additon of 1 N HCl. The slurry was extracted with ethyl acetate (2 x 2 mL) and the organic phases were combined and washed with 1 N HCl (2 x 2 mL) and brine (3 mL), dried over MgSO₄, filtered and evaporated in vacuo to give $102a(\beta^{-13}C)$ (176.6 mg, 52%) as a white solid: mp 117-119 °C (lit. mp 117-119 °C)^{143b}; $[\alpha]_D^{26}$ +7.29° (c 2.5, CH₃CN); IR (µscope) 3317, 3028, 2950, 1748, 1690, 1533, 1247, 1056, 1018, 749, 696 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 7.35 (m, 5H, <u>Ph</u>), 5.09 (br s, 2H, Ph<u>CH₂</u>), 4.28 (dd, 1H, J = 8, 4 Hz, C<u>H</u>), 3.85 (dddd, 2H, ${}^{I}J_{I3C-H} = 145$ Hz, J = 11, 8, 4 Hz, [•]C<u>H</u>₂); ¹³C NMR (75 MHz, CD₃CN) δ 172.3, 157.2, 138.1, 129.4, 128.9, 128.7, 67.8, 62.7, 56.7; HRMS (ES) Calcd for ${}^{13}C_{1}{}^{12}C_{10}H_{13}N_{1}O_{5}Na$ 263.0725, found 263.0729.



N-(**Benzyloxycarbonyl**)-**D**-serine (102b).¹⁴³ Reaction of D-serine 101b (Sigma) (5.0 g, 47.65 mmol), NaHCO₃ (10.0 g, 119.35 mmol) and benzyl chloroformate (7.5 mL, 52.5 mmol) as described for $13a(\beta^{-13}C)$ gave the title compound 102b (9.38 g, 82%) as a white solid: mp 117-119 °C (lit. mp 117-119 °C)^{143b}; IR (µscope) 3336, 3317, 3208, 3061, 1747, 1690, 1534, 1248, 1060, 1029, 750, 697 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 7.38 (m, 5H, Ph), 5.08 (br s, 2H, Ph<u>CH₂</u>), 4.27 (dd, 1H, J = 4, 4 Hz, C<u>H</u>), 3.88 (dd, 1H, J = 11, 4 Hz, C<u>H₂</u>), 3.83 (dd, 1H, J = 11, 4 Hz, C<u>H₂</u>); ¹³C NMR (75 MHz, CD₃CN) δ 172.3, 157.2, 138.1, 129.4, 128.9, 128.7, 67.2, 62.7, 56.9; HRMS (EI) Calcd for C₁₁H₁₃NO₅ 239.0793, found 239.0788; Anal. Calcd for C₁₁H₁₃NO₅: C, 55.22; H, 5.47; N, 5.85. Found: C, 55.21; H, 5.31; N, 5.82.



N-(**Benzyloxycarbonyl**)-**L**-homoserine γ-lactone (104a).¹⁴⁴ To a suspension of L-homoserine lactone hydrochloride 103 (Sigma) (0.1 g, 0.73 mmol) in CH₂Cl₂ (20 mL) at 0 °C was added triethylamine (0.2 mL, 1.44 mmol), and benzyl chloroformate (0.125 mL, 0.88 mmol) dropwise. The reaction mixture was vigorously stirred for 3 h. The solution was washed with 1 N HCl (2 x 20 mL) and water (10 mL), dried over MgSO₄, filtered and evaporated *in vacuo* to give crude product as an off-white solid. Recrystallization from (chloroform-hexane) gave the title compound 104a (40.0 mg, 23%) as a white solid: mp 118-120 °C; $[\alpha]_D^{26}$ -1.05° (*c* 2.85, CHCl₃); IR (CHCl₃ cast) 3329, 2949, 1777, 1692, 1543, 1298, 1074, 778, 693 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.38 (m, 5H, Ph), 5.39 (br s, 1H, NH), 5.13 (s, 2H, PhCH₂), 4.42 (m, 2H, OCH₂), 4.22 (m, 1H, CH), 2.79 (m, 1H, CH₂), 2.21 (dddd, 1H, *J* = 12, 12, 12, 9 Hz, CH₂); ¹³C

NMR (75 MHz, CDCl₃) δ 174.9, 158.1, 135.9, 128.6, 128.4, 128.2, 67.4, 65.8, 50.6, 30.6; HRMS (EI) Calcd for C₁₂H₁₃NO₄ 235.0845, found 235.0845; Anal. Calcd for C₁₂H₁₃NO₄: C, 61.27; H, 5.57; N, 5.95. Found: C, 60.85; H, 5.25; N, 5.92.



N-(Benzyloxycarbonyl)-D-homoserine δ -lactone (104b).¹⁴⁴ To a suspension of NaHCO₃ (0.5 g, 5.95 mmol) in THF (2.5 mL) and water (5 mL) at room temperature was carefully added D-homoserine 106 (Sigma) (0.25 g, 2.09 mmol). After the cessation of gas evolution, benzyl chloroformate (0.375 mL, 2.71 mmol) was added dropwise over 30 min and stirring was continued for a further 1h. The reaction mixture was then washed with diethyl ether (2 x 2.5 mL), and acidified to pH 2 by careful addition of 1 N HCl. The slurry was extracted with ethyl acetate (2 x 2.5 mL) and the ethyl acetate layers were pooled. The combined ethyl acetate layer was washed with 1 N HCl (2 x 2.5 mL) and then brine (2.5 mL), dried over MgSO₄ and evaporated in vacuo to give crude δ lactone 104b plus N-(benzyloxycarbonyl)-D-homoserine as a white solid. This material was dissolved in toluene (10 mL) and heated at reflux with a soxhlet containing CaH₂ for 4 h. The solution was evaporated in vacuo, redissolved in EtOAc (5 mL), washed with saturated aqueous NaHCO₃ (2.5 mL) and brine (2.5 mL), dried over MgSO₄ filtered and evaporated in vacuo to give 104b (0.1 g, 50%) as a white solid: mp 118-120 °C; $[\alpha]_{D}^{26}$ +1.75° (c 2.6, CHCl₃); IR (CHCl₃ cast) 3327, 2940, 1777, 1693, 1542, 1298, 1073, 741, 693 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.38 (m, 5H, <u>Ph</u>), 5.39 (br s, 1H, N<u>H</u>), 5.13 (s, 2H, PhCH₂), 4.42 (m, 2H, OCH₂), 4.22 (m, 1H, CH), 2.79 (m, 1H, CH₂), 2.21 (dddd, 1H, J = 12, 12, 12, 9 Hz, CH₂); ¹³C NMR (75 MHz, CDCl₃) δ 174.9, 156.1, 135.9, 128.6, 128.3, 128.2, 67.4, 65.8, 50.5, 30.3; HRMS (EI) Calcd for C₁₂H₁₃NO₄

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235.08446, found 235.08462; Anal. Calcd for $C_{12}H_{13}NO_4$: C, 61.27; H, 5.57; N, 5.95. Found: C, 61.20; H, 5.49 N, 5.85.



N-(**Benzyloxycarbonyl**)-**L**-homoserine, lithium salt (105a). A solution of *N*-(benzyloxycarbonyl)-L-homoserine δ -lactone 104a (50.0 mg, 0.197 mmol) suspended in THF / H₂O (20 mL, 1 : 1) was treated with lithium hydroxide monohydrate (8.3 mg, 0.197 mmol). The reaction mixture was stirred at room temperature for 2 h. The solution was evaporated *in vacuo* to give a white solid, this material was redissolved in water (10 mL) and extracted with diethyl ether (2 x 5 mL). The aqueous layer was evaporated *in vacuo* to afford the salt 105a (20.0 mg, 40%) as a pale yellow oil: $[\alpha]_D^{26}$ -8.54° (*c* 4.7, H₂O); IR (MeOH cast) 3316, 2955, 1694, 1599, 1538, 1258, 1061, 697 cm⁻¹; ¹H NMR (360 MHz, D₂O) δ 7.28 (m, 5H, Ph), 4.99 (d, 1H, *J* = 12 Hz, PhCH₂), 4.93 (d, 1H, *J* = 12 Hz, PhCH₂), 3.87 (dd, 1H, *J* = 9, 4 Hz, NCH), 3.48 (m, 2H, OCH₂), 1.88 (m, 1H, CH₂), 1.67 (m, 1H, CH₂); ¹³C NMR (75 MHz, D₂O) δ 180.4, 158.8, 137.4, 129.6, 129.1, 128.5, 67.7, 59.4, 54.6, 34.9; HRMS (ES) Calcd for C₁₂H₁₅N₁O₅Li 260.1110, found 260.1110.



N-(Benzyloxycarbonyl)-D-homoserine, lithium salt (105b). Reaction of *N*-(benzyloxycarbonyl)-D-homoserine γ -lactone 104b (0.10 g, 0.394 mmol) and lithium hydroxide monohydrate (17.0 mg, 0.394 mmol) as described for 105a afforded the salt 105b (40.0 mg, 40%) as a pale yellow oil: $[\alpha]_{D}^{26}$ +10.21° (*c* 30.3, H₂O); IR (MeOH cast)
3378, 2956, 1695, 1598, 1538, 1261, 1060, 697 cm⁻¹; ¹H NMR (360 MHz, D₂O) δ 7.28 (m, 5H, <u>Ph</u>), 4.98 (d, 1H, J = 12 Hz, PhC<u>H₂</u>), 4.92 (d, 1H, J = 12 Hz, PhC<u>H₂</u>), 3.88 (dd, 1H, J = 9, 4 Hz, NC<u>H</u>), 3.49 (m, 2H, OC<u>H₂</u>), 1.88 (m, 1H, C<u>H₂</u>), 1.67 (m, 1H, C<u>H₂</u>); ¹³C NMR (75 MHz, D₂O) δ 180.4, 158.7, 137.4, 129.6, 129.1, 128.5, 67.7, 59.4, 54.6, 34.9; HRMS (ES) Calcd for C₁₂H₁₅N₁O₅Li 260.1110, found 260.1110.



N-(**Benzyloxycarbonyl**)-*S*-methyl-L-cysteine (112).¹⁴⁵ Reaction of *S*methyl-L-cysteine 111 (Aldrich) (1 g, 7.39 mmol), NaHCO₃ (1.55 g, 18.53 mmol) and benzyl chloroformate (1.16 mL, 8.15 mmol) as described for 102a(β-¹³C) gave cysteine analogue 112 (1.76 g, 89%) as an oil: $[\alpha]_D^{26}$ -25.64° (*c* 44, MeOH); IR (CHCl₃ cast) 3311, 2920, 1718, 1586, 1215, 774, 697, 611 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 7.35 (m, 5H, Ph), 5.12 (br s, 2H, PhCH₂), 4.38 (dd, 1H, *J* = 8, 5 Hz, CH), 2.98 (dd, 1H, *J* = 14, 5 Hz, SCH₂), 2.82 (dd, 1H, *J* = 14, 8 Hz, SCH₂), 2.11 (s, 3H, SCH₃); ¹³C NMR (75 MHz, CD₃CN) δ 172.3, 156.0, 136.9, 128.3, 127.7, 127.6, 65.4, 53.5, 34.8, 15.1; MS (ES) *m/z* (relative intensity) 270 (MH⁺, 80%); Anal. Calcd for C₁₂H₁₅NO₄S: C, 53.51; H, 5.61; N, 5.20. Found: C, 53.11; H, 5.73; N, 5.36.



N-(Benzyloxycarbonyl)-L-serine ethyl thioester (113). A solution of N-(benzyloxycarbonyl)-L-serine 102a (1.0 g, 4.18 mmol) in CH₂Cl₂ (25mL) under argon at 0 °C was treated with triethylamine (0.7 mL, 5.01 mmol) and ethyl chloroformate (0.48 mL, 5.01 mmol) and stirred for 20 min. Upon formation of a white precipitate ethanethiol (0.37 mL, 5.01 mmol) was added followed by an additional equivalent of triethylamine (0.7 mL, 5.01 mmol). The solution was stirred at 0 °C for an additional 30 min, then the ice-bath was removed and stirring was continued overnight. To the reaction mixture was added CH₂Cl₂ (25 mL), the solution was washed with 0.5 N HCl (2 x 10 mL) and then saturated aqueous NaHCO₃ (10 mL) which gave an emulsion. The emulsion was filtered through a pad of Celite and the organic layer was washed with brine (5 mL), dried over MgSO₄ filtered and concentrated *in vacuo*. Purification by flash chromatography (EtOAc : Hex, 1 : 4) gave the title compound **113** (77.1 mg, 7%) as a solid: mp 49-51 °C; $[\alpha]_D^{26}$ - 26.75° (*c* 1.6, CHCl₃); IR (CHCl₃ cast) 3351, 2931, 1684, 1520, 1261, 1059, 737, 697 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.27 (m, 5H, Ph), 5.68 (br s, 1H, NH), 5.11 (s, 2H, Ph<u>CH</u>₂), 4.42 (m, 1H, C<u>H</u>), 4.08 (dd, 1H, *J* = 11, 4 Hz, C<u>H</u>₂OH); 3.79 (dd, 1H, *J* = 11, 4 Hz, C<u>H</u>₂OH), 2.88 (q, 2H, *J* = 7 Hz, SC<u>H</u>₂), 1.83 (br s, 1H, O<u>H</u>), 1.21 (t, 3H, *J* = 7 Hz, C<u>H</u>₃); ¹³C NMR (75 MHz, CDCl₃) δ 200.0, 156.2, 136.1, 128.6, 128.3, 128.2, 67.4, 63.2, 62.4, 23.6, 14.3; HRMS (ES) Calcd for C₁₃H₁₇NO₄S 284.0956, found 284.0955; Anal. Calcd for C₁₃H₁₇NO₄S: C, 55.10; H, 6.05; N, 4.94. Found: C, 54.91; H, 6.14; N, 4.90.



N-(**Benzyloxycarbonyl**)-*O*-methyl-DL-serine (118).¹⁴⁶ Reaction of *O*methyl-DL-serine 117 (Sigma) (1 g, 8.39 mmol), NaHCO₃ (1.76 g, (21.03 mmol) and benzyl chloroformate (1.32 mL, 9.25 mmol) as described for $102a(\beta$ -¹³C) gave 118 (1.85 g, 87%) as a yellow oil: IR (CHCl₃ cast) 3313, 2936, 1723, 1521, 1213, 775, 698, 623 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 7.34 (m, 5H, Ph), 5.11 (s, 2H, PhCH₂), 4.37 (dd, 1H, *J* = 4, 4 Hz, CH), 3.76 (dd, 1H, *J* =10, 4 Hz, CH₂), 3.64 (dd, 1H, *J* = 10, 4 Hz, CH₂), 3.38 (s, 3H, CH₃); ¹³C NMR (75 MHz, (CD₃)₂SO) δ 171.7, 156.0, 136.9, 128.3, 127.8, 127.7, 71.4, 65.5, 58.2, 54.1; MS (ES) *m/z* (relative intensity) 254 (MH⁺, 100%); Anal. Calcd for C₁₂H₁₅NO₅: C, 56.91; H, 5.97; N, 5.53. Found: C, 56.83; H, 6.07; N, 5.69.



N-(**Benzyloxycarbonyl**)-L-serine methyl ester (120).¹⁴⁷ Reaction of Lserine methyl ester hydrochloride 119 (Aldrich) (1 g, 6.43 mmol), NaHCO₃ (1.34 g, (16.1 mmol) and benzyl chloroformate (1.01 mL, 7.08 mmol) as described for 102a(β-¹³C). Purification by flash chromatography gave 120 (1.51 g, 71%) as a pale yellow oil: $[\alpha]_{D}^{26}$ +8.05° (*c* 14.7, CHCl₃); IR (CHCl₃ cast) 3373, 2955, 1722, 1527, 1214, 1062, 776, 698, 577 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.39 (m, 5H, Ph), 5.76 (br s, 1H, NH), 5.17 (s, 2H, PhCH₂), 4.48 (m, 1H, CH), 4.03 (dd, 1H, *J* = 11, 4 Hz, CH₂), 3.95 (dd, 1H, *J* = 11, 3 Hz, CH₂), 3.78 (s, 3H, CH₃); ¹³C NMR (75 MHz, CDCl₃) δ 171.1, 156.3, 136.1, 128.6, 128.3, 128.1, 67.3, 63.2, 56.1, 52.7; MS (ES) *m/z* (relative intensity) 254 (MH⁺, 53%); Anal. Calcd for C₁₂H₁₅NO₅: C, 56.91; H, 5.97; N, 5.53. Found: C, 56.65; H, 6.01; N, 5.47.



N-(*trans*- β -Styrenesulfonyl)-L-serine methyl ester (125a). To a solution of L-serine methyl ester hydrochloride 124a (Aldrich) (5.0 g, 32.14 mmol) in CH₂Cl₂ (50 mL) at room temperature was added triethylamine (11.14 mL, 80.34 mmol). The solution was stirred for 5 min and then *trans*- β -styrenesulfonyl chloride 123 (7.8 g, 38.57 mmol) dissolved in CH₂Cl₂ (20 mL) was added dropwise over 10 min. The resulting solution was stirred overnight. The reaction mixture was extracted with 1 N HCl (3 x 20 mL), washed with brine (20 mL), dried over MgSO₄ filtered and evaporated *in vacuo* to give a crude

yellow oil. Purification by flash chromatography (ethyl acetate : hexane, 1 : 1) gave the title compound **125a** (3.37 g, 37%) as a pale yellow solid: mp 76-78 °C; $[\alpha]_{D}^{26}$ +14.29° (*c* 0.7, CHCl₃); IR (CHCl₃ cast) 3501, 3280, 2954, 1740, 1615, 1436, 1215, 1072, 747, 690 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.44 (m, 5H, <u>Ph</u>), 7.43 (d, 1H, *J* = 15 Hz, PhC<u>H</u>CH), 6.82 (d, 1H, *J* = 15 Hz, PhCHC<u>H</u>), 5.86 (d, 1H, *J* = 8 Hz, N<u>H</u>), 4.11 (ddd, 1H, *J* = 8, 8, 4, Hz, C<u>H</u>), 3.89 (br d, 2H, *J* = 4 Hz, C<u>H</u>₂), 3.72 (s, 3H, C<u>H</u>₃); ¹³C NMR (125 MHz, CDCl₃) δ 171.5, 142.7, 133.1, 131.7, 129.8, 129.1, 125.7, 64.5, 58.4, 53.8; HRMS (ES) Calcd for C₁₂H₁₅NO₅SNa 308.0569, found 308.0565; Anal. Calcd for C₁₂H₁₅NO₅S: C, 50.51; H, 5.29; N, 4.91. Found: C, 50.44; H, 5.21; N, 4.85.



N-(*trans*-β-Styrenesulfonyl)-D-serine methyl ester (125b). Reaction of D-serine methyl ester hydrochloride 124b (Aldrich) (4.50 g, 28.92 mmol), triethylamine (10.0 mL, 72.31 mmol) and *trans*-β-styrenesulfonyl chloride 123 (7.8 g, 38.57 mmol) as described for 125a gave 125b (3.21 g, 40%) as a pale yellow solid: mp 63-65 °C; $[\alpha]_D^{26}$ - 8.79° (*c* 14.10, CHCl₃); IR (CHCl₃ cast) 3503, 3280, 2954, 1741, 1616, 1436, 1216, 1072, 747, 690 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.45 (m, 5H, Ph), 7.43 (d, 1H, *J* = 15 Hz, PhCHCH), 6.82 (d, 1H, *J* = 15 Hz, PhCHCH), 5.86 (d, 1H, *J* = 8 Hz, NH), 4.11 (ddd, 1H, *J* = 8, 4, 4, Hz, CH), 3.89 (br d, 2H, *J* = 4 Hz, CH₂), 3.72 (s, 3H, CH₃); ¹³C NMR (75 MHz, CDCl₃) δ 170.9, 141.9, 132.5, 131.0, 129.1, 128.4, 125.1, 63.8, 57.8, 53.1; HRMS (ES) Calcd for C₁₂H₁₅NO₅SNa 308.0569, found 308.0566; Anal. Calcd for C₁₂H₁₅NO₅S: C, 50.51; H, 5.29; N, 4.91. Found: C, 50.24; H, 5.20; N, 4.85.



N-(*trans*-β–Styrenesulfonyl)-L-serine (126a). To a solution of *N*-(*trans*-β-styrenesulfonyl)-L-serine methyl ester 125a (3.16 g, 11.08 mmol) in THF and water (1 : 1, 50 mL) at room temperature was added lithium hydroxide monohydrate (0.93 g, 22.15 mmol). The resulting solution was stirred for 2 h. The solvent was removed *in vacuo*, the residue dissolved in saturated aqueous NaHCO₃ (20 mL), washed with diethyl ether (3 x 15 mL), acidified to pH 2 with 1 N HCl, extracted with ethyl acetate (3 x 15 mL), washed with brine (15 mL), dried over MgSO₄, filtered and concentrated *in vacuo* to give 126a (2.73 g, 91%) as a white solid: mp 187-188 °C; $[\alpha]_{10}^{25}$ +3.33° (*c* 1.5, CH₃CN); IR (CH₃CN cast) 3266, 3059, 2933, 1732, 1614, 1448, 1197, 1066, 745, 689 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.49 (m, 5H, <u>Ph</u>), 7.42 (d, 1H, *J* = 15 Hz, PhCHCH), 6.92 (d, 1H, *J* = 15 Hz, PhCHCH), 5.85 (d, 1H, *J* = 8 Hz, NH), 3.99 (ddd, 1H, *J* = 11, 4, 4, Hz, CH), 3.82 (dd, 1H, *J* = 11, 4 Hz, CH₂), 3.75 (dd, 1H, *J* = 11, 4 Hz, CH₂); ¹³C NMR (125 MHz, CD₃CN) δ 171.7, 141.3, 133.8, 131.5, 129.8, 129.1, 127.2, 63.7, 58.4; HRMS (EI) Calcd for C₁₁H₁₃NO₅S 271.0515, found 271.0507; Anal. Calcd for C₁₁H₁₃NO₅S: C, 48.70; H, 4.83; N, 5.16. Found: C, 48.71; H, 4.83; N, 5.13.



N-(*trans*-β-Styrenesulfonyl)-D-serine (126b). Reaction of *N*-(*trans*-β-styrenesulfonyl)-D-serine methyl ester 125b (2.10 g, 7.36 mmol) and lithium hydroxide monohydrate (0.62 g, 14.72 mmol) as described for 126a gave 126b (1.7 g, 85%) as a white solid: mp 187-188 °C; $[\alpha]_{D}^{26}$ +5.26° (*c* 0.95, CH₃CN); IR (CH₃CN cast) 3305, 3050, 2960, 1727, 1612, 1449, 1145, 1064, 746, 689 cm⁻¹; ¹H NMR (360 MHz,

CD₃CN) δ 7.50 (m, 5H, <u>Ph</u>), 7.42 (d, 1H, J = 15 Hz, PhC<u>H</u>CH), 6.92 (d, 1H, J = 15 Hz, PhCHC<u>H</u>), 5.84 (d, 1H, J = 8 Hz, N<u>H</u>), 3.99 (ddd, 1H, $J = 12, 4, 4, \text{Hz}, C\underline{\text{H}}$), 3.82 (dd, 1H, J = 12, 4 Hz, C<u>H₂</u>), 3.75 (dd, 1H, J = 12, 4 Hz, C<u>H₂</u>); ¹³C NMR (75 MHz, CD₃CN) δ 171.9, 141.4, 134.0, 131.6, 130.0, 129.3, 127.3, 63.9, 58.6; HRMS (EI) Calcd for C₁₁H₁₃NO₅S 271.0515, found 271.0508; Anal. Calcd for C₁₁H₁₃NO₅S: C, 48.70; H, 4.83; N, 5.16. Found: C, 48.58; H, 4.74; N, 5.05.



N-(**Phenethylsulfonyl**)-**L**-serine (127a). To a solution of *N*-(*trans-β*styrenesulfonyl)-L-serine 126a (1.93 g, 7.11 mmol) in methanol (50 mL) under argon was added 10% palladium on carbon (0.5 g) at room temperature. The flask was evacuated and flushed with hydrogen, then stirred at room temperature under hydrogen overnight. The mixture was filtered through a pad of Celite and evaporated *in vacuo*. This procedure was repeated three times to yield a pale white solid which was recrystallized from (methanol / CHCl₃ / hexane) to give 127a (1.68 g, 87%) as a white solid: mp 168-170 °C; $[\alpha]_D^{26}$ -4.65° (*c* 1.3, MeOH); IR (CHCl₃ cast) 3426, 3300, 2925, 1727, 1311, 1149, 1064, 741, 698 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.28 (m, 5H, Ph), 5.85 (d, 1H, *J* = 8 Hz, NH), 4.11 (ddd, 1H, *J* = 8, 5, 5, Hz, CH), 3.85 (dd, 1H, *J* = 11, 5 Hz, CH₂), 3.75 (dd, 1H, *J* = 11, 5 Hz, CH₂), 3.29 (m, 2H, PhCH₂CH₂), 3.09 (m, 2H, PhCH₂CH₂); ¹³C NMR (125 MHz, CD₃CN) δ 173.7, 139.9, 129.7, 129.5, 127.6, 64.4, 59.6, 55.9, 30.9; HRMS (ES) Calcd for C₁₁H₁₅NO₅SNa 296.0569, found 296.0565.



N-(**Phenethylsulfonyl**)-**D**-serine (127b). Reaction of *N*-(*trans*-β-styrenesulfonyl)-D-serine 126b (1.0 g, 3.67 mmol) and 10% palladium on carbon (0.25 g) as described for 127a gave 127b (0.91 g, 90%) as a white solid: mp 168-170 °C; $[\alpha]_D^{26}$ +8.14° (*c* 2.2, MeOH); IR (CHCl₃ cast) 3438, 3302, 2946, 1727, 1498, 1322, 1151, 1064, 736, 697 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.28 (m, 5H, <u>Ph</u>), 5.85 (d, 1H, *J* = 8 Hz, N<u>H</u>), 4.11 (ddd, 1H, *J* = 8, 5, 5, Hz, C<u>H</u>), 3.85 (dd, 1H, *J* = 11, 5 Hz, C<u>H₂</u>), 3.75 (dd, 1H, *J* = 11, 5 Hz, C<u>H₂</u>), 3.29 (m, 2H, PhCH₂C<u>H₂</u>), 3.09 (m, 2H, PhC<u>H₂CH₂</u>); ¹³C NMR (125 MHz, CD₃CN) δ 172.3, 139.7, 129.6, 129.5, 127.6, 64.1, 58.8, 55.2, 30.5; HRMS (ES) Calcd for C₁₁H₁₅NO₅SNa 296.0569, found 296.0570.



N-(*trans*-β–Styrenesulfonyl)-L-serine-β-lactone (128a). Cyclization of *N*-(*trans*-β–styrenesulfonyl)-L-serine 126a (0.50 g, 1.85 mmol) by Mitsunobu procedure^{53a} (dimethyl azodicarboxylate (0.20 mL, 1.85 mmol) and triphenylphosphine (0.49 g, 1.85 mmol)) as described for 13a(β-¹³C) gave β-lactone 128a (70.1 mg, 15%): mp 113-114 °C; $[\alpha]_D^{26}$ -44.12° (*c* 0.7, CHCl₃); IR (CHCl₃ cast) 3280, 3059, 2923, 1832, 1576, 1323, 1146, 745, 688 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.58 (d, 1H, *J* = 15 Hz, PhCHCH), 7.49 (m, 5H, Ph), 6.90 (d, 1H, *J* = 15 Hz, PhCHCH), 5.27 (d, 1H, *J* = 9 Hz, NH), 5.14 (ddd, 1H, *J* = 9, 7, 5 Hz, CH), 4.58 (dd, 1H, *J* = 12, 7 Hz, CH₂), 4.39 (dd, 1H, *J* = 12, 5 Hz, CH₂); ¹³C NMR (125 MHz, CDCl₃) δ 168.2, 143.8, 132.7, 129.8, 129.2, 128.9, 125.4, 68.4, 61.4; HRMS (ES) Calcd for C₁₁H₁₁NO₄SNa 276.0307, found 276.0308.



N-(*trans*-β–Styrenesulfonyl)-D-serine-β-lactone (128b). Cyclization of *N*-(*trans*-β–styrenesulfonyl)-D-serine 126b (0.45 g, 1.67 mmol) by Mitsunobu procedure^{53a} (dimethyl azodicarboxylate (0.18 mL, 1.67 mmol) and triphenylphosphine (0.44 g, 1.67 mmol)) as described for 13a(β-¹³C) gave β-lactone 128b (60.0 mg, 14%): mp 113-114 °C; $[\alpha]_D^{25}$ -64.0° (*c* 1.0, CHCl₃); IR (CHCl₃ cast) 3285, 3059, 2950, 1831, 1576, 1322, 1145, 745, 689 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.58 (d, 1H, *J* = 15 Hz, PhCHCH), 7.49 (m, 5H, Ph), 6.90 (d, 1H, *J* = 15 Hz, PhCHCH), 5.27 (d, 1H, *J* = 8 Hz, N<u>H</u>), 5.14 (ddd, 1H, *J* = 8, 6, 5 Hz, C<u>H</u>), 4.58 (dd, 1H, *J* = 12, 6 Hz, C<u>H</u>₂), 4.39 (dd, 1H, *J* = 12, 5 Hz, C<u>H</u>₂); ¹³C NMR (125 MHz, CDCl₃) δ 168.4, 143.7, 132.7, 132.1, 129.9, 129.3, 125.4, 68.3, 61.4; HRMS (ES) Calcd for C₁₁H₁₁NO₄SNa 276.0307, found 276.0305.



N-(Methylsulfonyl)-L-serine benzyl ester (130). To a solution of L-serine benzyl ester 129 (2.5 g, 10.79 mmol) in CH₂Cl₂ (50 mL) at 0 °C was added triethylamine (3.6 mL, 25.9 mmol), and then methylsulfonyl chloride (1.0 mL, 12.95 mmol) dropwise over 10 min. The mixture was stirred and warmed to room temperature over 1 h. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate : hexane, 1 : 1) to yield sulfonamide 130 (0.88 g, 30%) as a white solid: mp 68-70 °C; $[\alpha]_D^{26}$ -17.40° (*c* 11.3, CHCl₃); IR (CHCl₃ cast) 3501, 3033, 2938, 1739, 1498, 1326, 1153, 1066, 753, 699 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.39 (m, 5H, Ph), 5.62 (d, 1H, *J* = 7 Hz, NH), 5.24 (d, 1H, *J* = 13 Hz, PhCH₂), 5.21 (d, 1H, *J* = 13 Hz, PhCH₂), 4.25 (ddd, 1H, *J* = 4, 4, 7 Hz, CH), 4.05 (dd, 1H, *J* = 11, 4 Hz, CH₂), 3.95 (dd, 1H, *J* =

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11, 4 Hz, C<u>H</u>₂), 2.98 (s, 3H, C<u>H</u>₃), 2.24 (br s, 1H, CH₂(O<u>H</u>)); ¹³C NMR (75 MHz, CDCl₃) δ 170.3, 134.9, 128.8, 128.4, 128.4, 68.0, 63.8, 58.1, 41.6; HRMS (EI) Calcd for C₁₁H₁₅NO₅S 273.0671, found 273.0668; Anal. Calcd for C₁₁H₁₅NO₅S: C, 48.34; H, 5.53; N, 5.12. Found: C, 48.26; H, 5.61; N, 5.03.



N-(Methylsulfonyl)-L-serine (131). To a solution of sulfonamide 130 (0.68 g, 2.48 mmol) in methanol (10 mL) under argon was added 10% palladium on charcoal (7.0 mg). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give the *N*-sulfonamide serine 131 (0.37 g, 82%) as a white solid: mp 159-161 °C; $[\alpha]_D^{26}$ -17.30° (*c* 10.4, CHCl₃); IR (µscope) 3431, 2947, 1741, 1462, 1326, 1168, 1026 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.25 (m, 1H, C<u>H</u>), 3.79 (dd, 1H, *J* = 13, 5 Hz, C<u>H</u>₂), 3.72 (dd, 1H, *J* = 13, 7 Hz, C<u>H</u>₂), 2.81 (s, 3H, C<u>H</u>₃); ¹³C NMR (75 MHz, CD₃OD) δ 173.5, 64.3, 59.6, 41.5; MS (CI) *m/z* (relative intensity) 201.4 (MH⁺ + NH₃, 100%); Anal. Calcd for C₄H₉NO₅S: C, 26.23; H, 4.95; N, 7.65. Found: C, 26.34; H, 4.74; N, 7.33.



N-(Methylsulfonyl)-L-serine-β-lactone (132). Cyclization of *N*methylsulfonyl-L-serine 131 (0.20 g, 1.09 mmol) by Mitsunobu procedure^{53a} (dimethyl azodicarboxylate (0.12 mL, 1.09 mmol) and triphenylphosphine (0.29 g, 1.09 mmol)) as described for 13a(β-¹³C) gave β-lactone 132 (6.4 mg, 4%): mp 107-109 °C; $[\alpha]_D^{26}$ -17.14° (*c* 0.7, CHCl₃); IR (µscope) 3283, 2934, 1828, 1348, 1151, 1071 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) 6.41 (brs, 1H, N<u>H</u>), 5.19 (m, 1H, C<u>H</u>), 4.53 (dd, 1H, *J* = 12, 7 Hz, CH_2), 4.28 (dd, 1H, J = 12, 5 Hz, CH_2), 3.03 (s, 3H, CH_3); ¹³C NMR (125 MHz, CD₃CN) δ 170.4, 68.4, 61.7, 42.6; HRMS (EI) Calcd for C₄H₇NO₄S 165.0096, found 165.0097.



L-Threonine methyl ester hydrochloride (137a).¹⁴⁸ Methanol (25 mL) was cooled in an ice-NaCl bath, and thionyl chloride (1.80 mL, 25.20 mmol) was added dropwise. To the resultant solution of HCl in methanol was added L-threonine 136a (3.0 g, 25.20 mmol) and the reaction mixture was heated under reflux for 1 h. The solvent was removed *in vacuo* and another 25 mL of a 2 M solution of HCl in methanol, prepared in the same manner as before, was added and the reaction mixture was heated under reflux for another 1 h. The solvent was removed *in vacuo* to yield 137a (4.2 g, 98%) as a white solid: mp 160-163 °C; $[\alpha]_D^{26}$ -10.0° (*c* 1.0, CH₃OH); IR (µscope) 3366, 3050, 2958, 1746, 1442, 1047 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.27 (dq, 1H, *J* = 7, 4 Hz, C<u>H</u>(OH)), 3.92 (d, 1H, *J* = 4 Hz, NC<u>H</u>), 3.84 (s, 3H, CO₂C<u>H₃), 1.31 (d, 3H, *J* = 7 Hz, CH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CD₃OD) δ 169.6, 66.3, 59.9, 53.7, 20.5; HRMS (EI) Calcd for C₅H₁₂NO₃ 134.0817, found 134.0810.</u>



D-Threonine methyl ester hydrochloride (137b).¹⁴⁸ Reaction of Dthreonine 136b (5.0 g, 41.14 mmol) and thionyl chloride (3.0 mL, 41.14 mmol) in methanol (100 mL) as described for 137a gave 137b (7.1 g, 99%) as a white solid: mp 159-162 °C; $[\alpha]_{D}^{26}$ +7.78° (c 0.9, CH₃OH); IR (CH₃CN cast) 3350, 3050, 2956, 1744, 1440, 1042 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.27 (dq, 1H, J = 7, 4 Hz, CH(OH)), 3.92 (d, 1H, J = 4 Hz, NC<u>H</u>), 3.84 (s, 3H, CO₂C<u>H₃</u>), 1.31 (d, 3H, J = 7 Hz, CH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CD₃OD) δ 169.6, 66.4, 59.9, 53.7, 20.5; HRMS (EI) Calcd for C₅H₁₂NO₃ 134.0817, found 134.0856; Anal. Calcd for C₅H₁₂ClNO₃: C, 35.41; H, 7.13; N, 8.26. Found: C, 35.07; H, 7.42; N, 8.01.



L-allo-Threonine methyl ester hydrochloride (137c).¹⁴⁸ Reaction of Lallo-threonine 136c (3.0 g, 25.20 mmol) and thionyl chloride (1.80 mL, 25.20 mmol) in methanol (25 mL) as described for 137a gave 137c (4.22 g, 99%) as a white solid: mp 95-97 °C; $[\alpha]_D^{26}$ +28.0° (*c* 1.0, CH₃OH); IR (µscope) 3354, 3050, 2895, 1735, 1440, 1059 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.24 (dq, 1H, J = 7, 4 Hz, CH(OH)), 4.08 (d, 1H, J = 4 Hz, NCH), 3.83 (s, 3H, CO₂CH₃), 1.26 (d, 3H, J = 7 Hz, CH(CH₃)); ¹³C NMR (125 MHz, CD₃OD) δ 168.8, 66.5, 59.4, 53.5, 18.7; MS (ES) *m/z* (relative intensity) 134 (MH⁺, 100%); Anal. Calcd for C₅H₁₂ClNO₃: C, 35.41; H, 7.13; N, 8.26. Found: C, 35.13; H, 7.24; N, 8.13.



D-allo-Threonine methyl ester hydrochloride (137d).¹⁴⁸ Reaction of Dallo-threonine 136d (3.0 g, 25.20 mmol) and thionyl chloride (1.80 mL, 25.20 mmol) in methanol (25 mL) as described for 137a gave 137d (4.20 g, 98%) as a white solid: mp 95-97 °C; $[\alpha]_D^{26}$ -26.0° (c 1.5, CH₃OH); IR (µscope) 3357, 3050, 2898, 1740, 1440, 1060 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 4.24 (dq, 1H, J = 6, 3 Hz , CH(OH)), 4.08 (d, 1H, J = 3 Hz, NC<u>H</u>), 3.84 (s, 3H, CO₂CH₃), 1.26 (d, 3H, J = 6 Hz, CH(CH₃)); ¹³C NMR (75MHz, CD₃OD) δ 168.8, 66.5, 59.4, 53.5, 18.6; HRMS (EI) Calcd for C₅H₁₂NO₃ 134.0817, found 134.0813.



N-(trans- β -Styrenesulfonyl)-L-threonine methyl ester (138a). To a solution of L-threonine methyl ester hydrochloride 137a (4.32 g, 25.47 mmol) in CH₂Cl₂ (40 mL) at room temperature was added triethylamine (8.73 mL, 62.98 mmol), the solution was stirred for 5 min and then *trans-B*-styrenesulfonyl chloride 123 (6.20 g, 30.59 mmol) dissolved in CH₂Cl₂ (20 mL) was added over 10 min. The resulting solution was stirred overnight. The reaction mixture was washed with 1 N HCl (3 x 20 mL) and brine (20 mL). dried over MgSO₄ filtered and concentrated in vacuo to give a crude yellow oil. Purification by flash chromatography (ethyl acetate : hexane, 1:1) followed by recrystallization from (CHCl₃-hexane) gave the title compound 138a (6.08 g, 80%) as a white solid: mp 100- $102 \,^{\circ}C; \, [\alpha]_{D}^{26} + 13.33^{\circ} (c \ 1.5, CHCl_3); IR (\mu scope) 3526, 3275, 3025, 2981, 1738, 1387,$ 1149, 1082, 742, 687 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.44 (d, 1H, J = 15 Hz, PhCHCCH), 7.42 (m, 5H, Ph), 6.79 (d, 1H, J = 15 Hz, PhCHCH), 5.32 (d, 1H, J = 9Hz, N<u>H</u>), 4.11 (dq, 1H, J = 6, 3 Hz, C<u>H</u>(OH)), 3.88 (dd, 1H, J = 9, 3 Hz, NC<u>H</u>), 3.68 (s, 3H, CO₂C<u>H₃</u>), 1.95 (br s, 1H, CH(O<u>H</u>)), 1.37 (d, 3H, J = 6 Hz, CH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CDCl₃) δ 171.4, 141.9, 132.5, 131.0, 129.2, 128.3, 125.2, 68.3, 60.9, 52.9, 20.1; HRMS (EI) Calcd for C13H18NO5S 300.0906, found 300.0893; Anal. Calcd for C₁₃H₁₇NO₅S: C, 52.16; H, 5.72; N, 4.68. Found: C, 51.76; H, 5.70; N, 4.64.



N-(*trans*-β-Styrenesulfonyl)-D-threonine methyl ester (138b). Reaction of D-threonine methyl ester hydrochloride 137b (4.94 g, 29.13 mmol), triethylamine (10.0 mL, 72.83 mmol) and *trans*-β-styrenesulfonyl chloride 123 (7.08 g, 34.96 mmol) as described for 138a gave 138b (5.29 g, 61%) as a white solid: mp 100-102 °C; $[\alpha]_D^{26}$ -20.0° (*c* 0.8, CHCl₃); IR (CHCl₃ cast) 3498, 3278, 3059, 2953, 1739, 1383, 1141, 1026, 747, 691 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.43 (d, 1H, *J* = 15 Hz, PhC<u>H</u>CH), 7.42 (m, 5H, Ph), 6.79 (d, 1H, *J* = 15 Hz, PhCHC<u>H</u>), 5.39 (d, 1H, *J* = 9 Hz, N<u>H</u>), 4.25 (dq, 1H, *J* = 6, 3 Hz, C<u>H</u>(OH)), 3.88 (dd, 1H, *J* = 9, 3 Hz, NC<u>H</u>), 3.65 (s, 3H, CO₂C<u>H</u>₃), 1.88 (br s, 1H, CH(O<u>H</u>)), 1.37 (d, 3H, *J* = 6 Hz, CH(C<u>H</u>₃)); ¹³C NMR (125 MHz, CDCl₃) δ 172.2, 142.5, 133.2, 131.7, 129.8, 128.9, 125.9, 69.0, 61.6, 53.6, 20.8; HRMS (ES) Calcd for C₁₃H₁₇NO₅SNa 322.0725, found 322.0728; Anal. Calcd for C₁₃H₁₇NO₅S: C, 52.16; H, 5.72; N, 4.68. Found: C, 52.40; H, 5.74; N, 4.67.



N-(*trans*-β-Styrenesulfonyl)-L-*allo*-threonine methyl ester (138c). Reaction of L-*allo*-threonine methyl ester hydrochloride 137c (4.37 g, 25.79 mmol), triethylamine (8.85 mL, 64.48 mmol) and *trans*-β-styrenesulfonyl chloride 123 (6.27 g, 30.95 mmol) as described for 138a gave 138c (4.46 g, 58%) as a white solid: mp 94-96 $^{\circ}$ C; [α]_D²⁶ +22.22° (*c* 0.9, CHCl₃); IR (µscope) 3431, 3264, 3021, 2974, 1737, 1496, 1381, 1154, 1031, 746, 690 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.49 (d, 1H, *J* = 15 Hz, PhCHCH), 7.43 (m, 5H, Ph), 6.78 (d, 1H, *J* = 15 Hz, PhCHCH), 5.49 (d, 1H, *J* = 9 Hz, N<u>H</u>), 4.18 (dq, 1H, *J* = 6, 4 Hz, C<u>H</u>(OH)), 4.02 (dd, 1H, *J* = 9, 4 Hz, NC<u>H</u>), 3.67 (s, 3H, CO₂C<u>H₃</u>), 2.23 (br s, 1H, CH(O<u>H</u>)), 1.22 (d, 3H, J = 6 Hz, CH(C<u>H₃</u>)); ¹³C NMR (125 MHz, CDCl₃) δ 170.7, 142.5, 132.3, 131.1, 129.1, 128.3, 124.6, 68.6, 60.9, 52.9, 19.0; HRMS (EI) Calcd for C₁₃H₁₈NO₅S 300.0906, found 300.0896; Anal. Calcd for C₁₃H₁₇NO₅S: C, 52.16; H, 5.72; N, 4.68. Found: C, 52.02; H, 5.72; N, 4.58.



N-(*trans*-β-Styrenesulfonyl)-D-allo-threonine methyl ester (138d). Reaction of D-allo-threonine methyl ester hydrochloride 137d (4.0 g, 23.62 mmol), triethylamine (8.18 mL, 59.04 mmol) and *trans*-β-styrenesulfonyl chloride 123 (5.74 g, 28.34 mmol) as described for 138a gave 138d (4.51 g, 64%) as a white solid: mp 94-96 $^{\circ}$ C; [α]_D²⁶ -37.0° (*c* 1.0, CHCl₃); IR (µscope) 3391, 3263, 3041, 2973, 1737, 1576, 1336, 1153, 1095, 750, 690 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.50 (d, 1H, *J* = 15 Hz, PhCHCH), 7.42 (m, 5H, Ph), 6.78 (d, 1H, *J* = 15 Hz, PhCHCH), 5.49 (d, 1H, *J* = 6, 4 Hz, CH(OH)), 4.02 (dd, 1H, *J* = 9, 4 Hz, NCH), 3.67 (s, 3H, CO₂CH₃), 2.23 (br s, 1H, CH(OH)), 1.22 (d, 3H, *J* = 6 Hz, CH(CH₃)); ¹³C NMR (75 MHz, CDCl₃) δ 170.8, 142.5, 132.4, 131.1, 129.2, 128.4, 124.7, 68.6, 61.0, 52.9, 19.1; HRMS (EI) Calcd for C₁₃H₁₈NO₅S 300.0906, found 300.0891; Anal. Calcd for C₁₃H₁₇NO₅S: C, 52.16; H, 5.72; N, 4.68. Found: C, 52.21; H, 5.61; N, 4.58.



N-(*trans*- β -Styrenesulfonyl)-L-threonine (139a). To a solution of *N*-(*trans*- β -styrenesulfonyl)-L-threonine methyl ester 138a (3.0 g, 10.02 mmol) in THF and water (1 : 1, 150 mL) at room temperature was added lithium hydroxide monohydrate (0.84 g, 20.04 mmol). The resulting solution was stirred for 4 h. The solvent was removed

in vacuo, the residue dissolved in saturated aqueous NaHCO₃ (30 mL), washed with diethyl ether (3 x 20 mL), acidified to pH 2 with 1 N HCl, extracted with ethyl acetate (3 x 20 mL), washed with brine (20 mL), dried over MgSO₄ filtered and concentrated *in vacuo* to give **139a** (2.83 g, 99%) as a white solid: mp 145-147 °C; $[\alpha]_D^{26}$ +45.0° (*c* 1.0, CH₃OH); IR (µscope) 3447, 3304, 3026, 2982, 1720, 1576, 1449, 1372, 1132, 1030, 746, 690 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.50 (m, 5H, <u>Ph</u>), 7.41 (d, 1H, *J* = 15 Hz, PhCHCH), 6.91 (d, 1H, *J* = 15 Hz, PhCHCH), 5.69 (d, 1H, *J* = 9 Hz, NH), 4.19 (dq, 1H, *J* = 6, 3 Hz, CH(OH)), 3.81 (dd, 1H, *J* = 9, 3 Hz, NCH), 2.25 (br s, 1H, CH(OH)), 1.21 (d, 3H, *J* = 6 Hz, CH(CH₃)); ¹³C NMR (125 MHz, CD₃CN) δ 172.2, 141.3, 133.9, 131.6, 130.0, 129.3, 127.4, 68.5, 61.9, 20.3; HRMS (EI) Calcd for C₁₂H₁₆NO₅S 286.0749, found 286.0739; Anal. Calcd for C₁₂H₁₅NO₅S: C, 50.52; H, 5.30; N, 4.91. Found: C, 50.24; H, 5.25; N, 4.88.

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N-(*trans*-β-Styrenesulfonyl)-D-threonine (139b). Reaction of *N*-(*trans*-β-styrenesulfonyl)-D-threonine methyl ester 138b (3.0 g, 10.02 mmol) and lithium hydroxide monohydrate (0.84 g, 20.04 mmol) as described for 139a gave 139b (2.74 g, 96%) as a white solid: mp 145-147 °C; $[\alpha]_{D}^{26}$ -37.67° (*c* 0.6, CH₃OH); IR (µscope) 3445, 3302, 3026, 2960, 1718, 1576, 1449, 1371, 1131, 1029, 745, 689 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.50 (m, 5H, Ph), 7.41 (d, 1H, *J* = 15 Hz, PhCHCH), 6.91 (d, 1H, *J* = 15 Hz, PhCHCH), 5.69 (d, 1H, *J* = 9 Hz, NH), 4.19 (dq, 1H, *J* = 6, 3 Hz, CH(OH)), 3.81 (dd, 1H, *J* = 9, 3 Hz, NCH), 2.20 (br s, 1H, CH(OH)), 1.21 (d, 3H, *J* = 6 Hz, CH(CH₃)); ¹³C NMR (125 MHz, CD₃CN) δ 172.1, 141.3, 133.9, 131.5, 130.0, 129.2, 127.3, 68.4, 61.8, 20.2; HRMS (EI) Calcd for C₁₂H₁₆NO₅S 286.0749, found 286.0749;

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Anal. Calcd for C₁₂H₁₅NO₅S: C, 50.52; H, 5.30; N, 4.91. Found: C, 50.45; H, 5.37; N, 4.82.



N-(*trans*-β-Styrenesulfonyl)-L-*allo*-threonine (139c). Reaction of *N*-(*trans*-β-styrenesulfonyl)-L-*allo*-threonine methyl ester 138c (3.0 g, 10.02 mmol) and lithium hydroxide monohydrate (0.84 g, 20.04 mmol) as described for 139a gave 139c (2.74 g, 96%) as a white solid: mp 140-142 °C; $[\alpha]_D^{26}$ +26.67° (*c* 1.8, CH₃OH); IR (µscope) 3430, 3255, 3050, 2982, 1719, 1576, 1449, 1327, 1135, 1031, 745, 690 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.50 (m, 5H, Ph), 7.41 (d, 1H, *J* = 15 Hz, PhC<u>H</u>CH), 6.90 (d, 1H, *J* = 15 Hz, PhCHC<u>H</u>), 5.83 (d, 1H, *J* = 9 Hz, N<u>H</u>), 4.00 (quintet, 1H, *J* = 6 Hz, C<u>H</u>(OH)), 3.84 (dd, 1H, *J* = 9, 6 Hz, NC<u>H</u>), 1.18 (d, 3H, *J* = 6 Hz, CH(C<u>H₃)); ¹³C NMR (75 MHz, CD₃CN) δ 171.8, 141.6, 134.0, 131.7, 130.0, 129.3, 127.3, 68.8, 62.3, 19.6; HRMS (ES) Calcd for C₁₂H₁₆NO₅S 286.0749, found 286.0747.</u>



N-(*trans*-β-Styrenesulfonyl)-D-*allo*-threonine (139d). Reaction of *N*-(*trans*-β-styrenesulfonyl)-D-*allo*-threonine methyl ester 138d (3.0 g, 10.02 mmol) and lithium hydroxide monohydrate (0.84 g, 20.04 mmol) as described for 139a gave 139d (2.80 g, 98%) as a white solid: mp 140-142 °C; $[\alpha]_D^{26}$ -32.63° (*c* 1.9, CH₃OH); IR (µscope) 3523, 3286, 3062, 2985, 1722, 1576, 1449, 1321, 1157, 1083, 746, 689 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.50 (m, 5H, Ph), 7.41 (d, 1H, *J* = 15 Hz, PhCHCH), 6.90 (d, 1H, *J* = 15 Hz, PhCHC<u>H</u>), 5.83 (d, 1H, *J* = 9 Hz, N<u>H</u>), 3.99 (dq, 1H, *J* = 6, 5 Hz, C<u>H</u>(OH)), 3.84 (dd, 1H, *J* = 9, 5 Hz, NC<u>H</u>), 1.18 (d, 3H, *J* = 6 Hz, CH(CH₃)); ¹³C NMR (125 MHz, CD₃CN) δ 171.8, 141.6, 133.9, 131.7, 130.0, 129.3, 127.2, 68.8, 62.3, 19.6; HRMS (EI) Calcd for C₁₂H₁₆NO₅S 286.0749, found 286.0753; Anal. Calcd for C₁₂H₁₅NO₅S: C, 50.52; H, 5.30; N, 4.91. Found: C, 50.77; H, 5.23; N, 4.84.



N-(**Phenethylsulfonyl**)-**L**-threonine (140a). To a solution of *N*-(*trans-β*styrenesulfonyl)-L-threonine 139a (2.0 g, 7.01 mmol) in methanol (50 mL) under argon was added 10% palladium on carbon (0.5 g) at room temperature. The flask was evacuated and flushed with hydrogen, then stirred at room temperature under hydrogen overnight. The mixture was filtered through a pad of Celite and evaporated *in vacuo*. This procedure was repeated three times to yield a pale white solid which was recrystallized from (methanol / CHCl₃ / hexane) to give 140a (1.88 g, 93%) as a white solid: mp 157-159 °C; $[\alpha]_{1D}^{26}$ -19.0° (*c* 1.0, CH₃OH); IR (µscope) 3470, 3303, 2979, 1718, 1335, 1152, 1068, 750, 690 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.28 (m, 5H, Ph), 5.65 (d, 1H, *J* = 9 Hz, NH), 4.23 (dq, 1H, *J* = 6, 3 Hz, CH(OH)), 3.93 (dd, 1H, *J* = 9, 3 Hz, NCH), 3.26 (m, 2H, PhCH₂CH₂), 3.09 (m, 2H, PhCH₂CH₂), 1.21 (d, 3H, *J* = 6 Hz, CH(CH₃)); ¹³C NMR (125 MHz, CD₃CN) δ 172.6, 139.7, 129.6, 129.5, 127.6, 68.5, 62.1, 55.2, 30.5, 20.3; HRMS (EI) Calcd for C₁₂H₁₈NO₅S 288.0906, found 288.0898; Anal. Calcd for C₁₂H₁₇NO₅S: C, 50.16; H, 5.96; N, 4.87. Found: C, 49.88; H, 5.97; N, 4.90.



N-(**Phenethylsulfonyl**)-**D**-threonine (140b). Reaction of *N*-(*trans*- β -styrenesulfonyl)-D-threonine 139b (1.97 g, 6.90 mmol) and 10% palladium on carbon (0.5 g) as described for 140a gave 140b (1.61 g, 81%) as a white solid: mp 157-159 °C;

[α]_D²⁶ +10.0° (*c* 1.0, CH₃OH); IR (µscope) 3470, 3302, 2979, 1717, 1325, 1152, 1068, 747, 700 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.28 (m, 5H, <u>Ph</u>), 5.68 (d, 1H, *J* = 9 Hz, N<u>H</u>), 4.23 (dq, 1H, *J* = 6, 3 Hz, C<u>H</u>(OH)), 3.93 (dd, 1H, *J* = 9, 3 Hz, NC<u>H</u>), 3.28 (m, 2H, PhCH₂C<u>H</u>₂), 3.09 (m, 2H, PhC<u>H</u>₂CH₂), 1.21 (d, 3H, *J* = 6 Hz, CH(C<u>H</u>₃)); ¹³C NMR (75 MHz, CD₃CN) δ 172.6, 139.7, 129.6, 129.5, 127.6, 68.5, 62.1, 55.2, 30.5, 20.3; HRMS (EI) Calcd for C₁₂H₁₈NO₅S 288.0906, found 288.0899; Anal. Calcd for C₁₂H₁₇NO₅S: C, 50.16; H, 5.96; N, 4.87. Found: C, 49.84; H, 6.14; N, 4.92.



N-(**Phenethylsulfonyl**)-L-*allo*-threonine (140c). Reaction of *N*-(*trans*-βstyrenesulfonyl)-L-*allo*-threonine 139c (1.79 g, 6.28 mmol) and 10% palladium on carbon (0.5 g) as described for 140a gave 140c (1.57 g, 87%) as a white solid: mp 122-124 °C; $[\alpha]_D^{26}$ +4.34° (*c* 4.61, CO(CH₃)₂); IR (µscope) 3482, 3291, 2973, 1726, 1350, 1127, 1087, 745, 697 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.28 (m, 5H, Ph), 5.74 (d, 1H, *J* = 9 Hz, NH), 4.08 (quintet, 1H, *J* = 6, 5 Hz, CH(OH)), 4.01 (dd, 1H, *J* = 9, 5 Hz, NCH), 3.29 (m, 2H, PhCH₂CH₂), 3.06 (m, 2H, PhCH₂CH₂), 1.18 (d, 3H, *J* = 6 Hz, CH(CH₃)); ¹³C NMR (125 MHz, CD₃CN) δ 171.9, 139.6, 129.5, 129.4, 127.5, 68.6, 62.3, 55.1, 30.4, 19.1; HRMS (EI) Calcd for C₁₂H₁₈NO₅S 288.0906, found 288.0880; Anal. Calcd for C₁₂H₁₇NO₅S: C, 50.16; H, 5.96; N, 4.87. Found: C, 49.69; H, 6.03; N, 4.93.



N-(**Phenethylsulfonyl**)-D-allo-threonine (140d). Reaction of *N*-(*trans*- β -styrenesulfonyl)-D-allo-threonine 139d (2.0 g, 7.01 mmol) and 10% palladium on carbon

(0.5 g) as described for **140a** gave **140d** (1.74 g, 87%) as a white solid: mp 122-124 °C; $[\alpha]_{D}^{26}$ -3.80° (*c* 5.3, CO(CH₃)₂); IR (µscope) 3483, 3292, 2973, 1726, 1351, 1127, 1087, 748, 698 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.27 (m, 5H, Ph), 5.72 (d, 1H, *J* = 9 Hz, N<u>H</u>), 4.07 (m, 1H, C<u>H</u>(OH)), 4.02 (m, 1H, NC<u>H</u>), 3.29 (m, 2H, PhCH₂C<u>H</u>₂), 3.06 (m, 2H, PhC<u>H₂CH₂), 1.18 (d, 3H, *J* = 6 Hz, CH(C<u>H</u>₃)); ¹³C NMR (125 MHz, CD₃CN) δ 172.0, 139.7, 129.6, 129.5, 127.6, 68.7, 62.3, 55.1, 30.5, 19.2; HRMS (EI) Calcd for C₁₂H₁₇NO₅S 287.0827, found 287.0710.</u>



Ethyl

(3RS,5S)-3-(benzyloxycarbonyl)-5-(tert-

butyloxycarbonylamino)-8-(*N*,*N*-**dimethylamino)-4,8-dioxooctanoate** (143). To a solution of benzyl (4*S*)-4-(*tert*-butyloxycarbonylamino)-7-(*N*,*N*-dimethylamino)-3,7dioxoheptanoate **74** (4.0 g, 9.8 mmol) in THF (80 mL) cooled in an ice bath at 0 °C was added potassium *tert*-butoxide (1.33 g, 11.8 mmol). The solution was stirred for 10 min at 0 °C under argon. Ethyl bromoacetate (1.31 mL, 11.8 mmol) was added dropwise over 15 min, the mixture was stirred for 30 min at 0 °C and then warmed to room temperature overnight. The solvent was removed *in vacuo* to give a residue, which was suspended in water (100 mL) and washed with ethyl acetate (3 x 50 mL). The combined organic layers were dried over MgSO₄, filtered and concentrated *in vacuo* to give a lime-green oil. Purification by flash chromatography (ethyl acetate) produced the title compound 143 (4.4 g, 91%) as a clear oil. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 2 : 1): IR (CHCl₃ cast) 3364, 2979, 1735, 1643, 1498, 1499, 1167, 1096, 752, 699 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 7.35 (m, 5H, Ph), 5.62 (br s, 1H, NH), 5.19 (d, 1H, *J* = 11 Hz, PhCH₂), 5.17 (d, 1H, *J* = 11 Hz, PhCH₂), 4.17 (m, 2H, OCH₂CH₃), 4.39 (m, 1H, COCHCO), 4.03 (m, 1H, NCH),

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2.99 (m, 2H, C<u>H₂CO₂Et</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.94 (s, 3H, NC<u>H₃</u>), 2.28 (m, 2H, NCOC<u>H₂</u>), 1.97 (m, 2H, NCHC<u>H₂</u>), 1.42 (s, 9H, C(C<u>H₃</u>)₃), 1.23 (m, 3H, OCH₂C<u>H₃</u>); (isomer B) δ 7.35 (m, 5H, <u>Ph</u>), 5.62 (br s, 1H, N<u>H</u>), 5.18 (d, 1H, *J* = 11 Hz, PhC<u>H₂</u>), 5.16 (d, 1H, *J* = 11 Hz, PhC<u>H₂</u>), 4.39 (m, 1H, COC<u>H</u>CO), 4.03 (m, 1H, NC<u>H</u>), 4.17 (m, 2H, OC<u>H₂CH₃</u>), 2.99 (m, 2H, C<u>H₂CO₂Et</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.94 (s, 3H, NC<u>H₃</u>), 2.28 (m, 2H, NCOC<u>H₂</u>), 1.97 (m, 2H, NCHC<u>H₂</u>), 1.42 (s, 9H, C(C<u>H₃</u>)₃), 1.23 (m, 3H, OCH₂C<u>H₃</u>); ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 203.5, 170.3, 168.1, 167.8, 155.2, 134.9, 128.3, 128.2, 128.1, 79.6, 67.2, 60.7, 55.4, 50.5, 37.9, 37.8, 32.8, 28.1, 26.2, 25.3, 13.8; (isomer B) δ 203.5, 170.9, 170.6, 169.2, 155.2, 134.9, 128.3, 128.2, 128.1, 79.6, 57.9, 38.2, 32.8, 28.1, 26.2, 25.3, 13.8; (isomer B) δ 203.5, 37.9, 38.2, 32.8, 28.1, 26.2, 25.3, 13.8; (isomer B) δ 203.5, 170.9, 170.6, 169.2, 155.2, 134.9, 128.3, 128.2, 128.1, 79.6, 67.2, 60.7, 55.4, 50.5, 37.9, 37.8, 32.8, 128.2, 128.1, 79.6, 67.8, 60.7, 55.4, 50.5, 37.9, 38.2, 32.8, 28.1, 26.2, 25.3, 13.8; (isomer B) δ 203.5, 170.9, 170.6, 169.2, 155.2, 134.9, 128.3, 128.2, 128.1, 79.6, 67.2, 60.7, 55.4, 50.5, 37.9, 37.8, 32.8, 128.2, 128.1, 79.6, 67.8, 60.7, 55.4, 50.5, 37.9, 38.2, 32.8, 28.1, 26.2, 25.3, 13.8; (isomer B) δ 203.5, 170.9, 170.6, 169.2, 155.2, 134.9, 128.3, 128.2, 128.1, 79.6, 67.9, 37.9, 38.2, 32.8, 28.1, 26.2, 25.3, 13.8; HRMS (EI) Calcd for C₂₅H₃₆N₂O₈ 492.2472, found 492.2462.



Ethyl (5S)-5-(*tert*-butyloxycarbonylamino)-8-(*N*,*N*-dimethylamino)-4,8-dioxooctanoate (144). To a solution of 143 (3.76 g, 7.64 mmol) in methanol (75 mL) under argon was added 10% palladium on charcoal (0.37 g). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give ketone 144 (2.73 g, quantitative) as a clear oil: $[\alpha]_D^{26}$ +18.67° (*c* 3.0, CHCl₃); IR (CHCl₃ cast) 3300, 2977, 1709, 1637, 1651, 1167, 1056 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 5.62 (br s, 1H, N<u>H</u>), 4.30 (m, 1H, NC<u>H</u>), 4.13 (q, 2H, *J* = 7 Hz, OC<u>H</u>₂CH₃), 2.99 (s, 3H, NC<u>H</u>₃), 2.92 (s, 3H, NC<u>H</u>₃), 2.82 (m, 1H, C<u>H</u>₂CH₂CO₂Et), 2.63 (m, 2H, CH₂C<u>H</u>₂CO₂Et), 2.48 (m, 1H, C<u>H</u>₂CH₂CO₂Et), 2.31 (m, 2H, NCOC<u>H</u>₂), 2.25 (m, 1H, NCHC<u>H</u>₂), 1.88 (m, 1H, NCHC<u>H</u>₂), 1.44 (s, 9H, C(C<u>H</u>₃)₃) 1.21 (t, 3H, *J* = 7 Hz, OCH₂C<u>H</u>₃); ¹³C NMR (75 MHz, CDCl₃) δ 209.3, 171.9, 170.1, 155.3 79.7, 60.3, 57.1, 36.6, 34.9, 34.1, 33.5, 28.6, 27.7, 25.7, 13.6; HRMS (EI) Calcd for $C_{17}H_{30}N_2O_6$ 358.2104, found 358.2104.



(5RS)-5-[(1S)-1-(tert-butyloxycarbonylamino)-3-(N,N-

dimethylcarbamoyl)propyl]oxolan-2-one (145a) and ethyl (4RS,5S)-5-(tertbutyloxycarbonylamino)-8-(N,N-dimethylamino)-4-hydroxy-8-

oxooctanoate (145b). To a stirred solution of γ-keto ester 144 (0.75 g, 2.10 mmol) in ethanol (15 mL) under argon at 0 °C, was added dropwise a solution of NaBH₄ (0.1 M, 20 mL) in absolute ethanol. The reaction mixture was stirred at 0 °C for 30 min, followed by 30 min at room temperature. The solution was acidified to pH 2 with 1 N KHSO₄ and the solvent was removed *in vacuo*. The residue was dissolved in water (15 mL), extracted with ethyl acetate (3 x 10 mL), dried over MgSO₄, filtered and concentrated *in vacuo*. Purification by HPLC (Waters Resolve C-18 reverse phase, 8 x 200 mm, gradient elution, 0-20% acetonitrile : water, t_R 39.2 and 46.4 min) gave 145a (172 mg, 26%) and 145b (249 mg, 33%) respectively, as white solids.

For 145a: Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 2 : 1): IR (CHCl₃ cast) 3315, 2975, 1776, i708, 1632, 1248, 1169, 1047 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 5.04 (br s, 1H, NH), 4.15 (m, 1H, CH₂CH₂CO₂CH), 3.70 (m, 1H, NCH), 2.99 (s, 6H, N(CH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, CH₂CH₂CO₂CH), 2.02 (m, 1H, NCHCH₂), 1.82 (m, 1H, NCHCH₂), 1.42 (s, 9H, C(CH₃)₃); (isomer B) δ 5.04 (br s, 1H, NH), 4.15 (m, 1H, CH₂CH₂CO₂CH), 2.99 (s, 6H, N(CH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.99 (s, 6H, N(CH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.99 (s, 6H, N(CH₃)₃); (isomer B) δ 5.04 (br s, 1H, NH), 4.15 (m, 1H, CH₂CH₂CO₂CH), 3.70 (m, 1H, NCHC), 2.99 (s, 6H, N(CH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₂), 2.27 (m, 2H, NCOCH₃)₂), 2.48 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₃), 2.27 (m, 2H, NCOCH₃)₃); (isomer B) δ 5.04 (br s, 1H, NH), 4.15 (m, 2H, CH₂CH₂CO₂CH), 2.42 (m, 2H, NCOCH₃), 2.27 (m, 2H, NCOCH₃)₃); (isomer B) δ 5.04 (br s, 1H, NH), 4.15 (m, 2H, CH₂CH₃CO₃CH), 2.42 (m, 2H, NCOCH₃), 2.27 (m, 2H, NCOCH₃)₃); (isomer B) δ 5.04 (m, 2H, NCOCH₃)₃); (iso

 $C_{H_2}CH_2CO_2CH$), 2.02 (m, 1H, NCHCH₂), 1.82 (m, 1H, NCHCH₂), 1.42 (s, 9H, $C(C_{H_3})_3$); ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 176.9, 172.6, 172.0, 156.0, 82.4, 53.6, 37.2, 35.7, 29.3, 28.6, 28.3, 25.1, 24.4; (isomer B) δ 176.9, 172.6, 172.0, 156.0, 82.3, 52.8, 37.2, 35.7, 29.3, 28.6, 28.3, 25.1, 24.3; HRMS (ES) Calcd for $C_{15}H_{26}N_2O_5Na$ 337.1739, found 337.1741.

For 145b: Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 2 : 1): IR (CHCl₃ cast) 3300, 2977, 1731, 1708, 1635, 1248, 1169, 1095 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 5.16 (br s, 1H, N<u>H</u>), 4.17 (q, 2H, *J* = 7 Hz, OCH₂CH₃), 4.15 (m, 1H, NC<u>H</u>), 3.70 (m, 1H, C<u>H</u>(OH)), 3.01 (s, 3H, NC<u>H₃</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.85 (m, 2H, CH₂CH₂CO₂Et), 2.40 (m, 2H, C<u>H₂CH₂CO₂Et), 2.40 (m, 2H, NCOCH₂), 2.01 (m, 1H, NCHC<u>H₂</u>), 1.82 (m, 1H, NCHC<u>H₂</u>), 1.42 (s, 9H, C(C<u>H₃</u>)₃), 1.24 (t, 3H, *J* = 7 Hz, OCH₂CH₃); (isomer B) δ 5.16 (br s, 1H, N<u>H</u>), 4.17 (q, 2H, *J* = 7 Hz, OCH₂CH₃), 4.15 (m, 1H, NCH), 3.70 (m, 1H, C<u>H</u>(OH)), 3.01 (s, 3H, NC<u>H₃</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.85 (m, 2H, CH₂C<u>H₂CO₂Et), 2.40 (m, 2H, NCOCH₂), 4.15 (m, 1H, NC<u>H</u>), 3.70 (m, 1H, C<u>H</u>(OH)), 3.01 (s, 3H, NC<u>H₃</u>), 2.97 (s, 3H, NC<u>H₃</u>), 2.85 (m, 2H, CH₂C<u>H₂CO₂Et), 2.40 (m, 2H, CH₂CH₂CO₂Et), 2.40 (m, 2H, NCOC<u>H₂</u>), 1.42 (s, 9H, C(C<u>H₃</u>), 1.24 (t, 3H, *J* = 7 Hz, OCH₂C<u>H₃</u>); ^{1.3}C NMR (75 MHz, CDCl₃) (isomer A) δ 175.7, 170.9, 156.2, 86.3, 79.8, 60.9, 52.9, 37.9, 37.2, 36.7, 35.7, 29.5, 28.3, 25.1, 14.1; (isomer B) δ 175.5, 171.9, 155.9, 86.2, 79.6, 60.9, 51.1, 34.7, 34.3, 33.7, 33.5, 29.3, 28.2, 25.1, 14.1; MS (CI, NH₃) *m/z* (relative intensity) 361.3 (MH⁺, 100%).</u></u></u>



(5RS)-5-[(1S)-1-amino-3-(N,N-dimethylcarbamoyl)propyl]oxolan-2one, trifluoroacetate salt (146). To a solution of γ-lactone 145a (15.5 mg, 49.3

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 μ mol) in CH₂Cl₂ (0.5 mL) at 0 °C was added trifluoroacetic acid (0.5 mL), the mixture was stirred for 1 h at 0 °C. The ice bath was removed and the solution was allowed to warm to room temperature over a 1 h period. The solvent was removed in vacuo and the residue dried under high vacuum to give the trifluoroacetate salt 146 (20.0 mg, quantitative) as a lime-green oil. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 2 : 1): IR (CHCl₃ cast) 3300, 2941, 1783, 1678, 1626, 1420, 1201, 1178, 1033 cm⁻¹; ¹H NMR (360 MHz, D₂O) (isomer A) δ 4.80 (m, 1H, NCH), 3.58 (m, 1H, CH₂CH₂CO₂CH), 2.95 (s, 3H, NCH₃), 2.81 (s, 3H, NCH₃), 2.60 (m, 2H, CH₂CH₂CO₂CH), 2.60 (m, 2H, NCOCH₂), 2.35 (m, 1H, NCHCH₂), 2.05 (m, 1H, NCHCH₂), 1.90 (m, 1H, CH₂CH₂CO₂CH), 1.77 (m, 1H, CH₂CH₂CO₂CH); (isomer B) δ 4.80 (m, 1H, NC<u>H</u>), 3.58 (m, 1H, CH₂CH₂CO₂C<u>H</u>), 2.95 (s, 3H, NC<u>H₃</u>), 2.81 (s, 3H, NCH₃), 2.60 (m, 2H, CH₂CH₂CO₂CH), 2.60 (m, 2H, NCOCH₂), 2.35 (m, 1H, NCHCH₂), 2.05 (m, 1H, NCHCH₂), 1.90 (m, 1H, CH₂CH₂CO₂CH), 1.77 (m, 1H, $C_{H_2}CH_2CO_2CH$; ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 181.1, 174.9, 81.0, 53.5, 38.1, 36.4, 30.1, 29.8, 23.1, 22.7; (isomer B) δ 180.9, 174.5, 80.9, 55.3, 36.3, 35.4, 30.0, 29.6, 24.2, 23.3; HRMS (ES) Calcd for C₁₀H₁₈N₂O₃ 215.1396, found 215.1394.



Benzyl (2RS,3RS,4S)-4-(tert-butyloxycarbonylamino)-7-(N,Ndimethylamino)-3-hydroxy-2-methyl-7-oxoheptanoate (147). To a stirred $solution of <math>\beta$ -keto ester 87 (50.0 mg, 0.12 mmol) in ethanol (0.60 mL), under argon at 0 °C, was added dropwise a solution of NaBH₄ (0.1 M, 1.20 mL) in absolute ethanol. The reaction mixture was stirred at 0 °C for 30 min, then at room temperature for 30 min. The solution was acidified to pH 2 with 1 N KHSO₄ and the solvent was removed *in vacuo*. The residue was dissolved in water (2 mL), extracted with ethyl acetate (3 x 2 mL), dried over MgSO₄, filtered and concentrated *in vacuo* to yield crude alcohol **147**. Purification by flash chromatography (ethyl acetate) gave β-hydroxy ester **147** (25.0 mg, 50%) as a clear oil. Spectroscopic characterization was performed on a mixture of diastereoisomers: IR (CHCl₃ cast) 3372, 3030, 2975, 1732, 1696, 1651, 1560, 1170, 1042, 752, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 7.38 (m, 5H, <u>Ph</u>), 5.15 (s, 2H, PhC<u>H</u>₂), 4.86 (br s, 1H, N<u>H</u>), 3.82 (m, 1H, NC<u>H</u>), 3.61 (m, 1H, C(OH)<u>H</u>), 3.02 (s, 3H, NC<u>H</u>₃), 2.98 (s, 3H, NC<u>H</u>₃), 2.61 (m, 1H, C<u>H</u>(CH₃)), 2.39 (m, 2H, NCOC<u>H</u>₂), 2.11 (m, 1H, NCHC<u>H</u>₂), 1.84 (m, 1H, NCHC<u>H</u>₂), 1.43 (s, 9H, C(C<u>H</u>₃)₃), 1.29 (d, 3H, *J* = 7 Hz, CH(C<u>H</u>₃)); ¹³C NMR (125 MHz, CDCl₃) δ 176.0, 173.3, 155.9, 135.9, 128.6, 128.3, 128.2, 79.5, 74.2, 66.5, 52.8, 42.3, 37.4, 35.8, 29.6, 28.4, 26.2, 11.2; HRMS (EI) Calcd for C₂₂H₃₄N₂O₆ 422.2417, found 422.2421.



Ethyl (3RS,4S)-4-amino-7-(N,N-dimethylamino)-3-hydroxy-7oxoheptanoic acid, trifluoroacetate salt (148). To a solution of β-hydroxy ester 75 (33.7 mg, 0.097 mmol) in CH₂Cl₂ (1 mL) at 0 °C was added trifluoroacetic acid (1 mL), the mixture was stirred for 1 h at 0 °C. The ice bath was removed and the solution was allowed to warm to room temperature over a 1 h period. The solvent was removed *in vacuo* and the residue was dried under high vacuum to give the trifluoroacetate salt 148 (33.6 mg, 96%) as an orange oil. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (µscope) 3350, 2936, 1731, 1677, 1406, 1201, 1062 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) (isomer A) δ 4.16 (m, 1H, NC<u>H</u>), 4.02 (q, 2H, J = 7 Hz, OC<u>H₂CH₃</u>), 3.22 (m, 1H, C(OH)<u>H</u>), 2.93 (s. 3H, NC<u>H₃</u>), 2.83 (s, 3H, NC<u>H₃</u>), 2.52 (m, 2H, CH(OH)C<u>H₂</u>), 2.49 (m, 2H, NCOC<u>H₂</u>), 1.90 (m, 1H, NCHC<u>H₂</u>), 1.72 (m, 1H, NCHC<u>H₂</u>), 1.14 (t, 3H, J = 7 Hz, OCH₂CH₃); (isomer B) δ 4.02 (q, 2H, J = 7 Hz, OCH₂CH₃), 3.99 (m, 1H, NCH), 3.10 (m, 1H, C(OH)H), 2.93 (s, 3H, NCH₃), 2.83 (s, 3H, NCH₃), 2.52 (m, 2H, CH(OH)CH₂), 2.49 (m, 2H, NCOCH₂), 1.90 (m, 1H, NCHCH₂), 1.72 (m, 1H, NCHCH₂), 1.14 (t, 3H, J = 7 Hz, OCH₂CH₃); ¹³C NMR (75 MHz, D₂O) (isomer A) δ 174.3, 172.5, 68.5, 61.9, 56.6, 38.6, 37.6, 35.9, 30.7, 23.9, 14.5; (isomer B) δ 174.3, 172.5, 67.9, 61.9, 56.4, 40.0, 37.6, 35.9, 30.4, 26.7, 14.5; HRMS (ES) Calcd for C₁₁H₂₃N₂O₄ 247.1658, found 247.1658.



(3RS,4S)-4-(benzyloxycarbonylamino)-7-(N,N-Ethyl dimethylamino)-3-hydroxy-7-oxoheptanoate (149). To a solution of trifluoroacetate salt 148 (14.6 mg, 40.5 µmol) in CH₂Cl₂ (1.0 mL) at 0 °C was added triethylamine (20.0 μ L, 142.0 μ mol). The solution was stirred for 5 min and then benzyl chloroformate (7.0 µL, 48.6 µmol) was added dropwise over 5 min. The mixture was stirred at 0 °C for 30 min and then at room temperature for 2 h. The solvent was removed in vacuo and the residue was purified by flash chromatography (ethyl acetate) to yield 149 (15.2 mg, 99%) as a clear oil. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (µscope) 3331, 3050, 2932, 1717, 1629, 1044, 740, 698 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) (isomer A) δ 7.38 (m, 5H, Ph), 5.57 (d, 1H, J = 8 Hz, NH), 5.05 (d, 1H, J = 12 Hz, PhCH₂), 5.01 (d, 1H, J = 12 Hz, $PhCH_2$, 4.18 (q, 2H, J = 7 Hz, OCH_2CH_3), 4.01 (m, 1H, NCH), 3.70 (m, 1H, C(OH)H), 2.95 (s, 3H, NCH₃), 2.91 (s, 3H, NCH₃), 2.58 (m, 2H, CH(OH)CH₂), 2.39 $(m, 2H, NCOCH_2), 2.00 (m, 1H, NCHCH_2), 1.88 (m, 1H, NCHCH_2), 1.23 (t, 3H, J =$ 7 Hz, OCH₂CH₃); (isomer B) δ 7.38 (m, 5H, Ph), 5.28 (d, 1H, J = 8 Hz, NH), 5.05 (d, 1H, J = 12 Hz, PhCH₂), 5.01 (d, 1H, J = 12 Hz, PhCH₂), 4.18 (q, 2H, J = 7 Hz, OCH2CH3), 3.98 (m, 1H, NCH), 3.68 (m, 1H, C(OH)H), 2.95 (s, 3H, NCH3), 2.91 (s, 3H, NC<u>H₃</u>), 2.58 (m, 2H, CH(OH)C<u>H₂</u>), 2.39 (m, 2H, NCOC<u>H₂</u>), 2.00 (m, 1H, NCHC<u>H₂</u>), 1.88 (m, 1H, NCHC<u>H₂</u>), 1.25 (t, 3H, J = 7 Hz, OCH₂C<u>H₃</u>); ¹³C NMR (125 MHz, CDCl₃) (isomer A) δ 172.9, 172.8, 156.8, 136.6, 128.5, 128.1, 128.0, 70.8, 68.3, 61.1, 55.3, 38.6, 35.5, 33.5, 29.5, 24.7, 14.2; (isomer B) δ 172.9, 172.8, 156.8, 136.6, 128.5, 128.1, 127.9, 69.7, 68.3, 60.7, 55.3, 38.3, 35.5, 33.5, 29.5, 24.7, 14.2; HRMS (ES) Calcd for C₁₉H₂₈N₂O₆Na 403.1845, found 403.1856.



(4S)-7-(amido)-4-(tert-butyloxycarbonylamino)-3-Benzyl oxoheptanoate (151). To a solution of N-(tert-butyloxycarbonyl)-L-glutamine 150 (0.5 g, 2.03 mmol) in THF (10 mL) was added 1,1'-carbonyl diimidazole (0.40 g, 2.44 mmol). The clear solution was stirred for 1 h at room temperature under argon. Magnesium benzyl malonate 73 (1.0 g, 2.44 mmol) was added. The mixture was stirred overnight at room temperature. The pH was adjusted to 2 with 0.5 N HCl, and the product was extracted with ethyl acetate (2 x 5 mL). The combined extracts were washed with saturated aqueous NaHCO₃ (5 mL) and brine (5 mL), dried over MgSO₄, filtered and concentrated in vacuo. Purification by flash chromatography (ethyl acetate) gave β -keto ester 151 (131.4 mg, 17%) as a white solid: IR (CHCl₃ cast) 3346, 2976, 1709, 1671, 1512, 1164, 1047, 750, 698 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 7.38 (m, 5H, Ph), 6.10 (br s, 1H, NH₂), 6.01 (d, 1H, J = 6 Hz, NH), 5.63 (br s, 1H, NH₂), 5.18 (s, 2H, PhCH₂), 4.08 (m, 1H, CH), 3.62 (s, 2H, COCH₂CO), 2.22 (m, 1H, NCOCH₂), 2.37 (m, 1H, NCOCH₂), 2.09 (m, 1H, NCHCH₂), 1.96 (m, 1H, NCHCH₂), 1.78 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CDCl₃) δ 203.9, 175.2, 168.1, 157.5, 137.1, 129.5, 129.2, 129.1, 79.5, 67.5, 60.8, 46.6, 31.8, 28.5, 25.9; HRMS (ES) Calcd for C19H26N2O6Na 401.1689, found 401.1700.



Benzyl (3RS,4S)-7-(amido)-4-(tert-butyloxycarbonylamino)-3hydroxyheptanoate (152). To a stirred solution of β -keto ester 151 (0.13 g, 0.35 mmol) in isopropanol / THF (5 mL, 1 : 1) under argon at 0 °C, was added dropwise a solution of NaBH₄ (0.1 M, 3.47 mL) in isopropanol. The reaction mixture was stirred at 0 °C for 30 min, then at room temperature for 30 min. The solution was acidified to pH 2 with 1 N HCl and the solvent was removed in vacuo. The residue was dissolved in water (5 mL), extracted with ethyl acetate (3 x 5 mL), dried over MgSO₄, filtered and concentrated in vacuo to yield crude alcohol. Purification by flash chromatography (ethyl acetate : hexane, 9 : 1) gave β -hydroxy ester 152 (74.4 g, 56%) as a white solid. Spectroscopic characterization was performed on a mixture of diastereoisomers (isomer A : isomer B, 5 : 1): IR (CHCl₃ cast) 3397, 3050, 2935, 1730, 1782, 1652, 1529, 1246, 1166, 1019, 748, 696 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) (isomer A) δ 7.39 (m, 5H, <u>Ph</u>), 6.13 (br s, 1H, NH₂), 5.55 (br s, 1H, NH₂), 5.34 (br s, 1H, J = 9 Hz, NH), 5.09 (s, 2H, PhCH₂), 3.89 (m, 1H, NCH), 3.56 (m, 1H, C(OH)H), 2.42 (m, 2H, CH(OH)CH₂), 2.15 (m, 2H, NCOCH₂), 1.82 (m, 1H, NCHCH₂), 1.59 (m, 1H, NCHCH₂), 1.40 (s, 9H, $C(CH_3)_3$; (isomer B) δ 7.39 (m, 5H, Ph), 6.13 (br s, 1H, NH₂), 5.55 (br s, 1H, NH₂), 5.34 (br s, 1H, J = 9 Hz, NH), 5.09 (s, 2H, PhCH₂), 3.99 (m, 1H, NCH), 3.42 (m, 1H, C(OH)H), 2.42 (m, 2H, CH(OH)CH₂), 2.15 (m, 2H, NCOCH₂), 1.82 (m, 1H, NCHCH₂), 1.59 (m, 1H, NCHCH₂), 1.40 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CDCl₃) (isomer A) δ 177.5, 173.3, 158.4, 137.6, 129.5, 129.2, 129.1, 80.3, 70.7, 67.4, 55.4, 40.0, 33.1, 28.8, 27.6; (isomer B) δ 177.5, 173.3, 158.4, 137.6, 129.5, 129.2, 129.1, 80.3, 72.1, 67.4, 56.2, 40.5, 33.1, 28.8, 27.6; HRMS (ES) Calcd for C₁₉H₂₈N₂O₆Na 403.1845, found 403.1844.



Methyl (4S)-4-(*tert*-butyloxycarbonylamino)-7-(*N*,*N*dimethylamino)-7-oxoheptanoate (153). To a solution of α, β-unsaturated ester 63 (25.0 mg, 0.080 mmol) in methanol (2.0 mL) under argon was added 10% palladium on charcoal (2.50 mg). The mixture was stirred under an atmosphere of hydrogen until gas absorption ceased. The catalyst was removed by filtration through a column of Celite and the filtrate was concentrated *in vacuo* to give the β-hydroxy acid 153 (21.7 mg, 86%) as a white solid: mp 92-94 °C; IR (CHCl₃ cast) 3312, 2974, 1737, 1708, 1638, 1052 cm⁻¹; ¹H NMR (360 MHz, CDCl₃) δ 4.60 (br s, 1H, N<u>H</u>), 3.67 (s, 3H, CO₂C<u>H</u>₃), 3.59 (m, 1H, NC<u>H</u>), 3.00 (s, 3H, NC<u>H</u>₃), 2.97 (s, 3H, NC<u>H</u>₃), 2.41 (m, 2H, C<u>H</u>₂CO₂Me), 2.40 (m, 2H, C<u>H</u>₂CON), 1.87 (m, 2H, C<u>H</u>₂CH₂CON), 1.72 (m, 2H, C<u>H</u>₂CH₂CO₂Me), 1.40 (s, 9H, C(C<u>H</u>₃)₃); ¹³C NMR (75 MHz, CDCl₃) δ 173.9, 172.7, 155.9, 79.1, 51.7, 50.6, 37.2, 35.6, 31.0, 30.8, 30.6, 29.9, 28.4; HRMS (ES) Calcd for C₁₅H₂₈N₂O₅Na 339.1896, found 339.1911.



(4S)-4-(*tert*-Butyloxycarbonylamino)-7-(*N*,*N*-dimethylamino)-7oxoheptanoic acid (154). To a solution of ester 153 (16.1 mg, 50.8 µmol) in THF (1.0 mL) and water (1.0 mL) at room temperature was added lithium hydroxide monohydrate (2.56 mg, 60.9 µmol). The mixture was stirred at room temperature for 2 h. The solvent was removed *in vacuo* and the residue was dissolved in water (3 mL) and acidified to pH 2 with 1 N HCl. The aqueous solution was extracted with ethyl acetate (2 x

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2 mL) and the combined organic layers were washed with brine (2 mL) and dried over MgSO₄. The solvent was removed *in vacuo* and the residue purified by flash chromatography (ethyl acetate : methanol : acetic acid, 100 : 5 : 1) to give **154** (8.2 mg, 53%) as a yellow oil: $[\alpha]_{D}^{26}$ +2.50° (*c* 2.0, CH₃OH); IR (CHCl₃ cast) 3313, 2926, 1707, 1708, 1634, 1450, 1248, 1059 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 5.23 (br s, 1H, J = 7 Hz, NH), 3.45 (m, 1H, NCH), 2.93 (s, 3H, NCH₃), 2.85 (s, 3H, NCH₃), 2.40-2.20 (m, 4H, CH₂CO₂H, CH₂CON), 2.00-1.50 (m, 4H, CH₂CH₂CON, CH₂CH₂CO₂H), 1.40 (s, 9H, C(CH₃)₃); ¹³C NMR (75 MHz, CD₃CN) δ 175.5, 173.4, 157.1, 79.1, 51.7, 38.1, 37.5, 30.9, 30.2, 28.6, 27.3, 25.7; HRMS (ES) Calcd for C₁₄H₂₆N₂O₅Na 325.1739, found 325.1737.



4-Carboxyl-*N*-methylphthalimide (155). To a solution of methylamine hydrochloride 161 (1.75 g, 26.0 mmol) in THF (200 mL) was added triethylamine (8.6 mL, 62.0 mmol) at room temperature with stirring. Trimellitic anhydride 160 (5.0 g, 26.0 mmol) was added and the mixture was heated under reflux for 6 h. The solvent was removed *in vacuo* and the residue was purified by flash chromatography (CHCl₃ : methanol : acetic acid, 100 : 10 : 1) to yield phthalimide 155 (2.42 g, 45%) as a white solid: mp 227-230 °C; IR (CHCl₃ cast) 3472, 3050, 2955, 1772, 1626, 1516, 1072, 775, 611 cm⁻¹; ¹H NMR (360 MHz, CD₃OD) δ 8.33 (d, 1H, J = 8 Hz, H₅), 8.30 (s, 1H, H₃), 7.86 (d, 1H, J = 8 Hz, H₆), 3.08 (s, 3H, NCH₃); ¹³C NMR (75 MHz, d₇-DMF) δ 167.9, 166.5, 162.7, 137.1, 136.1, 135.8, 133.2, 123.7, 123.6, 24.1; HRMS (EI) Calcd for C₁₀H₇NO₄ 205.0375, found 205.0366; Anal. Calcd for C₁₀H₇NO₄: C, 58.54; H, 3.44; N, 6.83. Found: C, 58.39; H, 3.20; N, 6.69.

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Benzoic hydrazide (165).¹⁴⁹ Benzoic acid 164 (1.0 g, 8.20 mmol) was dissolved in dry CH₂Cl₂ (40 mL), with cooling to 0 °C under an argon atmosphere. Triethylamine (1.36 mL, 9.83 mmol) was added, followed by ethyl chloroformate (0.94 mL, 9.83 mmol). The mixture was stirred for 20 min at 0 °C, followed by the addition of hydrazine (0.31 mL, 9.83 mmol) and triethylamine (1.36 mL, 9.83 mmol). After 30 min at 0 °C, the reaction mixture was warmed to room temperature and stirring was continued overnight. The solvent was removed in vacuo, the residue was partitioned between ethyl acetate (20 mL) and water (20 mL). The aqueous phase was extracted with ethyl acetate (2 x 10 mL) and the combined organic extracts were washed with saturated aqueous NaHCO3 (20 mL) and brine (20 mL), dried over MgSO₄, filtered and concentrated in vacuo to give a white solid. Purification by flash chromatography (ethyl acetate : ammonium hydroxide, 100: 1) gave the title compound 165 (0.42 g, 38%) as a white solid: mp 114-117 °C; IR (CHCl₃ cast) 3294, 3050, 1636, 1499, 1052, 750, 698 cm⁻¹; ¹H NMR (300 MHz, CD₃CN) δ 8.12 (br s, 1H, CONH), 7.74 (m, 2H, meta-Ph), 7.48 (m, 3H, ortho and para-<u>Ph</u>), 4.10 (br s, 2H, NH₂); ¹³C NMR (75 MHz, CD₃OD) δ 169.6, 134.2, 132.6, 129.5, 128.1; HRMS (EI) Calcd for C7HgN2O 136.0637, found 136.0636; Anal. Calcd for C₇H₈N₂O: C, 61.75; H, 5.92; N, 20.57. Found: C, 61.46; H, 5.81; N, 20.32.



N-Benzamido-4-carboxylphthalimide (166). To a solution of benzoic hydrazide 165 (1.0 g, 7.34 mmol) in THF (50 mL) was added triethylamine (3 mL, 22.03 mmol) at room temperature with stirring. Trimellitic anhydride 160 (1.70 g, 8.81 mmol) was added and the mixture was heated under reflux for 6 h. The solvent was removed in

vacuo and the residue was purified by flash chromatography (CHCl₃ : methanol : acetic acid, 100 : 10 : 1) and recrystallized from methanol to yield hydrazide **166** (1.57 g, 70%) as a white solid: mp 255-257 °C; IR (CHCl₃ cast) 3304, 3053, 1750, 1653, 1508, 1076, 787, 698 cm⁻¹; ¹H NMR (360 MHz, CD₃CN) δ 9.28 (br s, 1H, CON<u>H</u>), 8.49 (d. 1H, *J* = 8 Hz, H₅), 8.42 (s, 1H, H₃), 8.04 (d, 1H, *J* = 8 Hz, H₆), 7.92 (d, 1H, *J* = 7 Hz, H₁₁), 7.92 (d, 1H, *J* = 7 Hz, H₁₅), 7.68 (t, 1H, *J* = 7 Hz, H₁₃), 7.55 (t, 1H, *J* = 7 Hz, H₁₄); ¹³C NMR (75 MHz, CD₃OD) δ 168.8, 167.4, 165.9, 165.9, 138.6, 137.3, 134.7, 134.0, 132.4, 131.8, 129.9, 128.9, 125.5, 125.0; HRMS (EI) Calcd for C₁₆H₁₀N₂O₅ 310.0590, found 310.0585; Anal. Calcd for C₁₆H₁₀N₂O₅ (plus 1 mol of MeOH): C, 59.65; H, 4.12; N, 8.18. Found: C, 59.76; H, 4.01; N, 8.13.

Crystallographic data for N-benzamido-4-carboxylphthalimide (166). Data were acquired on a Bruker P4/RA/SMART 1000 CCD diffractometer. All intensity measurements were performed using graphite monochromated Mo-K α radiation ($\lambda =$ 0.71073 Å). N-Benzamido-4-carboxylphthalimide 166 (C₁₇H₁₄N₂O₆ (C₁₆H₁₀N₂O₅-CH₃OH)) was obtained as white crystals, space group C2/c (No. 15), a = 31.114 (3), b =7.0781 (6), c = 14.387 (2) Å, V = 3158.1 (5) Å³, Z = 8, T = -60 °C, $\rho_{calcd} = 1.440$ g cm⁻³,

 $\mu = 0.111 \text{ mm}^{-1}$. A total of 2836 reflections were collected, of these, 2781 were unique. The low value of the linear absorption coefficient and the range of transmission coefficients for a Gaussaian integration (face-indexed) absorption correction (0.9907-0.9838) suggested that an absorption correction was not warranted. The structure was solved by direct methods (*SHELXS-86*),¹⁵⁰ and refined by full-matrix least-squares methods on F^2 (*SHELXL-93*).¹⁵¹ In the final refinement cycle 2781 reflections with $F_o^2 \ge -3\sigma(F_o^2)$ were used and 231 parameters varied; the model converged with unweighted and weighted agreement factors $R_1 = 0.0714$, (for 2781 data with $F_o^2 \ge 2\sigma(F_o^2)$) and $xR_2 = 0.2187$ (for all data), with a goodness-of-fit indicator (S) of 1.034. Atomic coordinates, bond lengths and angles, and thermal parameters have been deposited at the Cambridge Crystallographic Data Center.

Materials and methods for inhibition studies with HAV 3C proteinases.

Proteinase Production and Purification. Recombinant C24S HAV 3C proteinase (a mutant in which the nonessential surface cysteine has been replaced with serine and which exhibits identical catalytic parameters to wild-type enzyme) was expressed in *E. coli* and purified.⁸² Purity of the enzyme sample was greater than 90% as determined spectrophotometrically $\varepsilon_{280} = 1.2 \text{ mg/mL}$.

Colorimetric Proteinase Assay. Peptide substrate was synthesized using solid phase Fmoc chemistry on Rink resin as previously described.²⁶ All peptides were purified by reverse-phase HPLC (C-18, 5 x 25 cm, Vydac, 2% / min linear gradient of 0.1% TFA / water adding 0.1% TFA / acetonitrile). Peptide structures were verified by NMR and mass spectrometry.

Peptide proteolysis was monitored using the 2,4,6-trinitrobenzenesulfonic acid (TNBS) assay as previously described.⁸² Reaction mixtures were incubated in reaction buffer at 20 °C. Aliquots (10 μ L) were removed from the reaction mixture at timed intervals and peptide hydrolysis was quenched with 50 μ L of 0.25 M sodium borate, pH 10. A solution of freshly prepared 0.14 M TNBS (12.5 μ L) (Johnson-Mattey, Ward Hill, MA) in 0.25 M sodium borate solution was added to the quenched reaction mixture and incubated for 10 min at 20 °C. The color was stabilized by adding 200 μ L of 3.5 mM Na₂SO₃ in 0.2 M KH₂PO₄. The concentration of free amine generated during peptide hydrolysis was determined by measuring the absorbance at 405 nm using a microtitre plate reader (Biorad, Richmond, CA).

Proteinase inactivation was quantitatively evaluated by progress curve analysis¹⁵² as previously described.²⁶ The extent of peptide proteolysis (release of α -amino groups) was

monitored using the TNBS assay as described above. The concentration of inhibitor was varied from 0.5 to 500 μ M; substrate (Ac-ELRTQSFS-amide) concentration was 2 mM and HAV 3C proteinase (C24S mutant) concentration was 0.07 μ M. Enzyme was dialyzed against reaction buffer to remove DTT immediately prior to use. Reactions were initiated with enzyme and absorbances were converted into μ moles of product using a glycine standard curve. All determinations were performed in triplicate with different enzyme and inhibitor preparations.

Progress curves were fitted using least squares non-linear regression analysis using Mac Curve Fit 1.0.7, (K. Raner) to:

$$P = \frac{v_o(1 - e^{-kt})}{k}$$

where v_o is the initial velocity and k is the apparent first order rate constant (k_{obs}) for the inactivation process. Parameter estimates from individual experiments (weighted by standard error) were averaged to obtain the final value.

Continuous Fluorogenic Assay. Enzyme was dialyzed against reaction buffer to remove DTT immediately prior to use. Cleavage reactions (700 μ l) were performed at 25 °C in a solution containing 100 mM K₃PO₄ at pH 7.5, 2 mM EDTA, 0.1 mg / mL BSA, 10 μ M fluorogenic substrate Dabcyl-GLRTQSFS-Edans (Bachem), 0.1 μ M 3C proteinase and 1% DMF. Reactions were initiated by the addition of enzyme or substrate, depending on the kinetic assay performed. Fluorescence was continuously monitored by excitation at 336 nm and emission at 472 nm at bandwidths of 3 nm with a Shimadzu RF-5301PC spectrofluorophotometer.⁸³ DMF, THF or DMSO, in which the substrate and inhibitors were dissolved, did not have a significant effect on the 3C proteinase activity when used at a concentration of 10% or less. For proteinase inhibition studies, rates were derived from the initial 3 min of the reaction, inhibitor stock solutions were prepared at 10 mM in DMF and serial dilutions made in DMF. At least five different inhibitor concentrations were examined along with the control sample containing no inhibitor under the conditions described above. The HAV 3C proteinase activity in the presence of the specified inhibitor was expressed as a percentage of that obtained from the respective control samples. For inhibitors displaying dose-dependent inhibition of the proteinase activity, IC_{50} values were determined from plots of the relative proteinase activity versus log inhibitor concentration. Time-dependent loss of enzyme activity was determined by the protocol of Silverman.¹⁵³ The rate of inactivation of β -lactone **13a** was determined by the method of Kitz and Wilson.¹⁵⁴ The competitive inhibition constant (K_i) for β -lactone **13b** was determined from a slope replot of the Lineweaver-Burke plot.¹⁵⁵

The sensitivity of inhibitors 13a and 13b to DTT was evaluated using reactions similar to those described in the previous paragraph, but with the addition of up to 500 μ M DTT to the inhibitor-containing mixture followed by the addition of enzyme.

Dialysis experiments with 13a involved the preparation of two 0.1 μ M enzyme solutions identical in all respects other than one contained inhibitor (100 μ M of 13a) and the other solution contained no inhibitor. The two solutions were independently assayed for initial enzyme activity and again after 8 h dialysis using a Centriprep-10 (Amicon) centricon ultrafiltration unit.

Materials and methods for inhibition studies with HRV 3C proteinases.

Proteinase Production and Purification. Recombinant HRV-14 3C protease was purified using the procedure published previously.¹⁵⁶

Continuous Colorimetric Proteinase Assay. Peptide substrate was designed using the native 2C / 3A cleavage site of the viral polyprotein and was custom synthesized by American Peptide Co. (CA, USA). Peptide sequence was confirmed by amino acid sequence analysis and mass spectrometry.

A typical HRV 3C protease assay¹¹⁵ was performed at 30 °C for the time indicated (15-30 min) in a 1 mL reaction mixture containing 50 mM Hepes, pH 7.5, 150 mM NaCl, 1 mM EDTA, 250 μ M peptide substrate EALFQ-pNA (American Peptide Co.), and 3C

proteinase at 0.4 μ M. The reaction was started by the addition of either substrate or 3C proteinase. Cleavage of pNA peptides between glutamine at P1 and pNA at P1' by the proteinase releases yellow-colored free pNA, absorbance of free pNA was measured using a GBC Cintra 40 UV spectrometer at a visible wavelength (405 nm) against a blank where either substrate or enzyme was not included in the reaction mixture. DMSO, in which the substrate and inhibitors were dissolved, did not have a significant effect on the 3C proteinase activity when used at a concentration of 10% or less. For proteinase inhibition studies, rates were derived from initial velocity measurements at the time points when the cleavage reaction was proceeding in a linear fashion, inhibitor stock solutions were prepared at 10 mM in DMSO and serial dilutions made in DMSO. The HRV 3C proteinase activity in the presence of the specified inhibitor was expressed as a percentage of that obtained from the respective control samples.

Rate of Hydrolysis of β -Lactones in Phosphate Buffer.

Assuming pseudo-first order kinetics, the hydrolysis of β -lactone was followed by FT-IR with a Nicolet Magna 750 FT-IR instrument using a 0.1 mm IR-Trans 4 cell (Kodak, polycrystalline ZnS). A solution containing 100 mM K₃PO₄ pH 7.5, 2 mM EDTA, 20 mM β -lactone, and 20% DMF was prepared, an aliquot was removed and placed in the IR cell at 22 °C and the disappearance of the β -lactone carbonyl stretch (1830 cm⁻¹) was monitored over a 1 h period.

Mass Spectrometry of HAV 3C-13a Inhibitor Complex.

HAV 3C proteinase was dialyzed against a solution containing 2 mM EDTA and 100 mM K_3PO_4 at pH 7.5 to remove DTT using a Centriprep-10 (Amicon) centricon ultrafiltration unit. Dialyzed HAV 3C proteinase (0.3 mM) was incubated with (10 equivalents) of **13a** and 1% DMF at 25 °C for 1 h with mixing. The HAV 3C-**13a** complex was then dialyzed against H₂O for 1 h using a Centriprep-10 (Amicon) centricon

ultrafiltration unit, to a volume of approximately 300 μ L. In addition, a control parallel experiment was performed on the enzyme alone without inhibitor **13a**. Mass spectrometric analysis was performed by positive mode electrospray ionization on a Micromass ZabSpec Hybrid Sector-TOF. The liquid carrier used was a 0.5% solution of formic acid in acetonitrile : water (1 : 1), infused into the electrospray source by means of a Harvard syringe pump at a flow rate of 10 μ L / min. An aliquot of the sample to be analyzed was dissolved in 0.5% solution of formic acid in acetonitrile : water (1 : 1) and introduced *via* a 1 μ L-loop-injector. Prepurified nitrogen was used as a spray pneumatic aid and filtered air as the bath gas, heated at *ca*. 60 °C. The low resolution mass spectra were acquired by full scan with the magnet from 300 to 3000 daltons, at a rate of 5 sec / decade. The obtained data, corresponding to a series of multiple charged ions, were processed (smoothed, peak detection, production of centroid spectra, series calculation and data transformation) to produce average molecular weights. Data acquisition and processing was achieved by using the OPUS software package on a Digital Alpha station with VMS operating system.

¹H / ¹³C HMQC Spectroscopy of Model Compounds, $13a(\beta$ -¹³C), $102a(\beta$ -¹³C), 112, 113, 118, and 120; HAV 3C and HAV 3C-13a(β -¹³C) Inhibitor Complex.

Solutions of individual compounds $13a(\beta^{-13}C)$, $102a(\beta^{-13}C)$ and 112, 113, 118, and 120 contained 1.2 mM of the model compound, 6% DMSO-d₆ in 20 mM K₃PO₄ / D₂O at pD 7.5 to give a total volume of 700 µL. Due to significant hydrolysis in buffer solution at pD 7.5 during the HMQC NMR acquisition of $13a(\beta^{-13}C)$, the NMR sample solution was altered to contain 1.2 mM of $13a(\beta^{-13}C)$, 6% DMSO-d₆ in D₂O at pD 5.0 (adjusted with 20% solution of DCl; D = 99.5%) to give a total volume of 700 µL. Prior to use, DTT was removed from the enzyme preparation by dialysis with a Centriprep-10 (Amicon) centricon ultrafiltration unit with 20 mM Na₃PO₄ / D₂O at pD 7.5. The resulting enzyme solution (1.2 mM) was inactivated with inhibitor $13a(\beta^{-13}C)$ (1.2 mM) and 1%
THF-d₈. Model compounds $13a(\beta^{-13}C)$, $102a(\beta^{-13}C)$, 112, 113, 118, and 120, HAV 3C alone and the HAV 3C- $13a(\beta^{-13}C)$ enzyme inhibitor complex were analyzed by HMQC NMR using an Inova 600 Varian instrument. The parameters for model compound $13a(\beta^{-13}C)$: temperature: 27 °C, solvent: D₂O, number of transients: 4, number of increments: 512, number of data point: 2368, acquisition time: 0.247 sec, sweep width in F1: 30172.3 Hz. The parameters for the HAV 3C- $13a(\beta^{-13}C)$ enzyme inhibitor complex: temperature: 27 °C, solvent: D₂O, number of transients: 98, number of increments: 512, number of data point: 2368, acquisition time: 0.247 sec, sweep width in F2: 4801.9 Hz, sweep width in F1: 30172.3 Hz. The parameters for the HAV 3C- $13a(\beta^{-13}C)$ enzyme inhibitor complex: temperature: 27 °C, solvent: D₂O, number of transients: 98, number of increments: 512, number of data point: 2368, acquisition time: 0.247 sec, sweep width in F2: 4801.9 Hz, sweep width in F1: 30172.3 Hz. Solvent presaturation was used for 1.2 sec, and ¹H, ¹³C decoupling was applied. The chemical shifts were referenced to 1% external acetone.

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