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1     **Development and Evaluation of an Adaptive Transit Signal Priority Control**  
2                     **with Updated Transit Delay Model**

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36

37 **ABSTRACT**

38 Transit Signal Priority (TSP) strategies are widely used to reduce bus travel delay and increase  
39 bus service reliability. State-of-the-art TSP strategies enable dynamic (and optimal), rather than  
40 predetermined, TSP plans to reflect real-time traffic conditions. These dynamic TSP plans are  
41 called adaptive TSP. Existing adaptive TSP strategies normally use a performance index (PI),  
42 which is a weighted summation of all types of delays, to evaluate each candidate TSP plan and  
43 the weights to reflect the corresponding priority. The performance of adaptive TSP depends on  
44 three factors: delay estimation, weights determination and optimization formulation. In this  
45 context, there are three key academic contributions of this paper: 1. enhance an advance-  
46 detection-based bus delay estimation model; 2. develop a mechanism to dynamically adjust the  
47 PI weights to reflect the changing necessity of TSP under different conditions; and 3. formulate  
48 the TSP optimization into a quadratic programming problem with an enhanced delay-based PI to  
49 obtain global optimization using MATLAB solvers. In addition, an adaptive TSP simulation  
50 platform was developed using a full-scale signal simulator, ASC/3, in VISSIM. The optimal TSP  
51 plans are granted or rejected based on TSP events, such as check-in, check-out and multiple TSP  
52 requests. Through a case study in VISSIM, it was found that, compared with conventional active  
53 TSP strategies, the new adaptive TSP strategy could further reduce bus travel time, while  
54 maintaining a better balance of service on non-TSP approaches along a 7.4 kilometre bus  
55 corridor in Edmonton, Alberta, Canada.

56 **Key words:** Adaptive transit signal priority; Traffic operations; Traffic signal control;  
57 Optimization; Traffic simulation

58

## 59 INTRODUCTION

60 It is widely accepted that Transit Signal Priority (TSP) can reduce unintended bus delays at  
61 signalized intersections through extending the current green or truncating the current red upon  
62 the bus approach. Improving the effectiveness of TSP operations has been the subject of  
63 considerable research. In a previous report, it was estimated that TSP reduces unintended bus  
64 delay by 10-25% in urban areas (1). A major controversy, though, is that TSP may bring  
65 excessive delays on non-TSP approaches, as their assigned greens are shortened. To leverage bus  
66 delay reduction and control delay increase, many researchers and manufacturers developed  
67 adaptive TSP, or dynamic TSP in other literature, to enable the dynamic adjustment of TSP plans  
68 (2-4). Most adaptive TSP algorithms aim to solve an optimization problem, in which the  
69 objective function is the weighted summation of bus delays and traffic delays; variables are the  
70 greens constrained by the structure of signal controllers and other practical issues. Among all the  
71 existing adaptive TSP algorithms, there are three common flaws: 1. the bus delay estimations are  
72 often oversimplified and only represent certain special situations; 2. the overflow condition is  
73 simplified or even ignored; and 3. previous TSP plans are typically aimed at overall control delay,  
74 not just bus delay, at intersections. To address the aforementioned issues, a new bus delay model  
75 was designed based on advanced detection; this model was used to estimate bus delay, which  
76 then serves as a part of the objective function during TSP optimization. Second, the control delay  
77 at each approach (rather than overall control delay) was used in the objective function. There are  
78 key contributions of this paper: an enhanced model, which is suitable for more general traffic  
79 conditions, such as when buses share lanes with other vehicles; and a fully adaptive TSP  
80 algorithm, which includes dynamic adjustments of greens, rather than constant extensions and  
81 truncations, as in most conventional TSP operations.

82 The remainder of this paper is organized into sections: 1. a literature review regarding the  
83 adaptive TSP algorithm; 2. a new adaptive TSP control algorithm is described; 3. the new TSP  
84 algorithm is evaluated and compared with conventional active TSP operations along a 7.4  
85 kilometre (km) bus corridor in Edmonton, Alberta, Canada; and 4. the paper is concluded with a  
86 results discussion and recommended future work.

87

## 88 LITERATURE REVIEW

89 Researchers and practitioners are dedicated to improving TSP performance and developing state-  
90 of-the-art adaptive TSP strategies. In 2000, Furth and Muller classified TSP strategies into three  
91 dimensions (5): 1. passive TSP and active TSP; 2. partial TSP and full TSP; and 3. conditional  
92 TSP and unconditional TSP. These dimensions can be divided into two types: 1. an optimization  
93 problem, which includes objective functions, variables and their constraints: the final optimal  
94 TSP strategy is often reached by sophisticated calculation; 2. ad-hoc TSP strategies that aim to  
95 solve specific problems. Type 1 TSP strategies require advanced computation and the  
96 replacement or retrofitting of existing signal controllers; however, type 1 TSP strategies  
97 maximize the potential of new technologies and related theories. Type 2 TSP strategies require  
98 little changes to existing hardware, but may be less flexible in practice. Duerr optimized an  
99 adaptive TSP control strategy through minimizing the performance index (PI), which is  
100 composed of vehicle delay, vehicle stops, residual queues and overflow impact (6). A major  
101 issue of Duerr's method is that signal timing impact was not considered in the function. Head et  
102 al. developed a decision model to optimize pre-emption (7). In Head et al.'s model, the bus delay  
103 was defined as the time difference between when a bus sends the TSP request, and when the bus  
104 gets the green. He et al. used a heuristic search method to optimize the sequence of simultaneous  
105 pre-emption (8) and address a multimodal pre-emption issue (9). In He et al.'s method, the  
106 authors used the same approach to calculate transit delay as in Head's model. Li developed an  
107 adaptive TSP algorithm using the mixed integer linear program (MILP) model to minimize a  
108 total weighted delay (3). Li's model is as a variant of PI-based TSP optimization, in which the  
109 traffic delay is derived from the classic deterministic queuing model and the bus delay derives  
110 from the cumulative vehicle curve. Christofa et al. used personal delay as their objective, in  
111 which traffic and bus delay were both derived from the cumulative vehicle count curves and then  
112 weighted by occupancy (2, 10). Stevanovic used a simulation-based optimization method and  
113 genetic algorithms to provide optimal TSP operations (11-13). Furth et al. investigated the  
114 integration of bus schedule and signal control at major bus stops in simulation (14).

115 In practice, although optimization can be achieved in computers and simulations, such  
116 optimization solvers are difficult to deploy in the field unless extensive software developments  
117 are made. To overcome this difficulty, for type 2 TSP strategies, a rule-based solution has been

118 designed due to its practicability. Ekeila et al. proposed a Dynamic Transit Signal Priority (DTSP)  
 119 system based on predicted bus arrival times and an evaluation of the candidate strategies (15).  
 120 Ma and Bai developed a service sequence optimizing approach to the issue of multiple bus  
 121 priority requests (16). In their system, the authors used the decision tree to set up the rules, and  
 122 then selected the best “branch” according to the predefined objective function. Later, Zlatkovic  
 123 et al. proposed another rule-based algorithm to resolve the issue of multiple TSP requests (17).

## 124 MODEL DESCRIPTION

### 125 Objective Function

126 The objective of the proposed TSP system is to reduce bus delay at intersections, while  
 127 maintaining an acceptable level of service to all traffic on all approaches. To reflect this  
 128 objective, the objective function was designed, as shown in Equation (1) through Equation (3).  
 129 The first item in Equation (1) refers to the weighted maximum control delay  $d_a$  among all  
 130 approaches, and the second item refers to the weighted total bus delay  $\beta \sum_N d_b$ . Using the  
 131 maximum control delay on one approach instead of the average control delay at intersections  
 132 avoids a situation where using the average control delay at intersections may make the solver  
 133 favor mainline traffic too much and increase the control delay on non-TSP approaches to an  
 134 unacceptable level of service.

$$135 \quad D = \alpha \max(d_{a,i}) + \beta \sum_N d_b \quad (1)$$

136 In Equation (1) through Equation (3), the weighting factor  $\alpha$  and  $\beta$  are dependent on the  
 137 sensitivity analysis.  $N$  stands for the number of simultaneous TSP requests. Some assumptions  
 138 are made to simplify the discussion:

- 139 1. No residual queues in the beginning;
- 140 2. No phase re-service;
- 141 3. Slow buses do not generate moving bottlenecks;
- 142 4. No bus stops between check-in detector and stop line;
- 143 5. Any bus will cross the intersection within two cycles;
- 144 6. Fixed cycle length at the subject intersection;

- 145 7. Uniform traffic arrival and uniform driver behaviors in each traffic state; and  
 146 8. No significant acceleration and deceleration process.

147

148 *Control Delay Estimation*

149 The control delay estimation is based on the models recommended by the Highway Capacity  
 150 Manual 2010 (Equation (2) through Equation (7)). Specifically, the uniform delay is expressed as:

$$151 \quad UD = \frac{1}{2}C \frac{(1 - g/C)^2}{1 - (g/C)\min(X, 1)} \quad (2)$$

152 Following Webster's delay model (18), the random delay is expressed as:

$$153 \quad RD = \frac{1}{2v} \left( \frac{X^2}{1 - X} \right) \quad (3)$$

154 The sum of the uniform delay and the random delay are expressed as:

$$155 \quad d_a = 0.9(UD + RD) \quad (4)$$

156 Once the volume to capacity ratio ( $X$ ) is larger than 1, overflow occurs. Then, an additional item  
 157 needs to be added:

$$158 \quad OD = \frac{T}{2}(X - 1) \quad (5)$$

159 Under the overflow condition, the uniform delay is expressed as:

$$160 \quad UD_0 = \frac{1}{2}C(1 - g/C) \quad (6)$$

161 The average delay becomes:

$$162 \quad d_a = UD_0 + OD \quad (7)$$

163 Where:

- 164 •  $d_a$  : The average traffic delay with a unit of second per vehicle;
- 165 •  $UD$  : The uniform delay;
- 166 •  $RD$  : Random delay;
- 167 •  $OD$  : Overflow delay;

- 168 •  $C$  : Cycle length;
- 169 •  $g$  : Effective green time;
- 170 •  $v$  : Flow rate;
- 171 •  $X$  :  $v/c$  ratio or degree of saturation;
- 172 •  $T$  : Analysis period;

173

174 *Bus Delay Estimation*

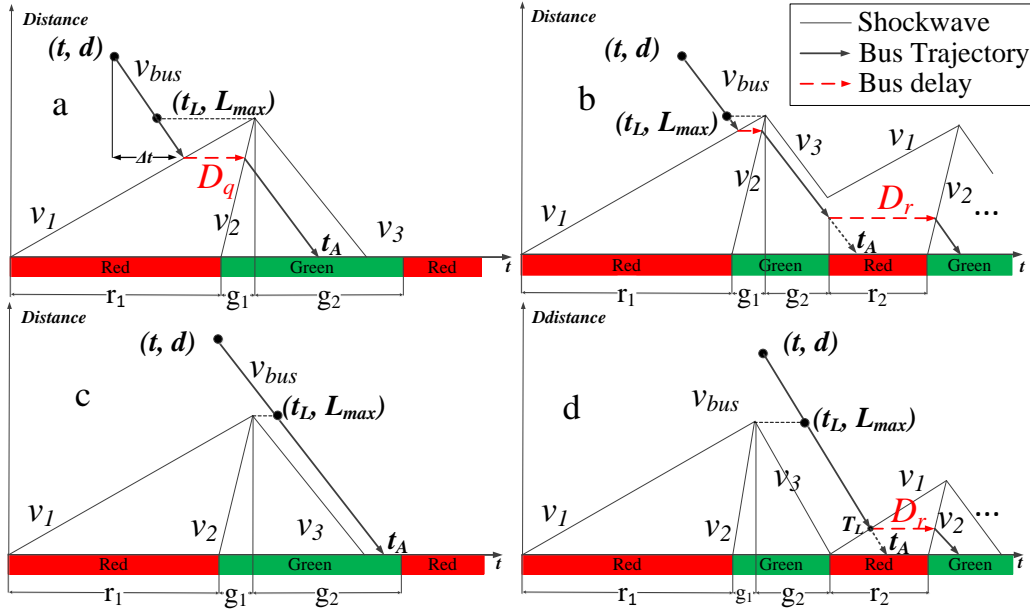
175 The bus delay is estimated through the relationship between projected trajectory, queuing profile  
 176 and signal timing. According to the shockwave theories, there are three shockwaves formed  
 177 under uncongested traffic conditions due to the cyclic changes of traffic signals (19): queue  
 178 formation ( $v_1$ ), queue discharge ( $v_2$ ) and queue clearance ( $v_3$ ). As shown in Figure 2, these three  
 179 shockwaves form two triangle shapes within each cycle. The shockwave speed for these three  
 180 states can be calculated as:

$$181 \quad v_1 = \left| \frac{0 - q_a}{k_j - k_a} \right|; v_2 = \left| \frac{q_m - 0}{k_m - k_j} \right|; v_3 = \left| \frac{q_m - q_a}{k_m - k_a} \right| \quad (8)$$

182 Where,

- 183 •  $q_a, k_a$ , arriving traffic volume and density;
- 184 •  $k_j$ , jam density;
- 185 •  $q_m, k_m$ , capacity volume and density;





**FIGURE 1 Four Bus Delay Scenarios for Estimation.**

186

187

188 Depending on when the bus reaches the detector, four possible scenarios could occur, as shown  
 189 in FIGURE 1. Three types of bus delays could possibly be generated: the bus queuing delay ( $D_q$ ),  
 190 which is caused by the bus joining and waiting in the queue; the bus waiting delay (experienced  
 191 red) ( $D_r$ ), which is when buses cannot cross within one cycle and have to wait for the next cycle;  
 192 and the bus moving delay ( $D_d$ ), which is generated when the bus's desired speed is higher than  
 193 the capacity speed, in which case buses must slow down and join the moving queue. In summary,  
 194 the bus delay can be expressed as:

$$195 \quad d_b = \theta_1 D_q + \theta_2 D_r + \lambda D_d \quad (9)$$

196  $\theta$  and  $\lambda$  are flag parameters with a value of (0,1).  $\lambda$  is equal to 1 only if the traffic is under a  
 197 high-speed-limit condition ( $v_{bus} > v_m$ ).  $\theta$  is determined by when the bus reaches the location of  
 198 maximum queue length ( $t_L$ ) and when the bus arrives at the stop line ( $t_A$ ).  $\theta$ 's value can be  
 199 expressed as:

- 200 • If ( $t_L < r_1 + g_1$ )  
 201 Then  $\theta_1 = 1$  otherwise  $\theta_1 = 0$ ;
- 202 • If ( $t_A < r_1 + g_1 + g_2$ )  
 203 Then  $\theta_2 = 0$  otherwise  $\theta_2 = 1$ ;
- 204 • If ( $t_A > r_1 + g_1 + g_2$ )

205 Then  $\theta_1=0$  otherwise  $\theta_2=0$ ;

206  $t_L$  and  $t_A$  can be calculated, as shown in Equation (12) and (15):

$$207 \quad t_L = t + \frac{(d - L_{\max})}{v_{bus}} \quad (10)$$

208  $L_{\max}$ , which represents the maximum queue length, can be derived as:

$$209 \quad v_1(r_1 + g_1) = v_2 g_1 \Rightarrow g_1 = \frac{v_1 r_1}{v_2 - v_1} \Rightarrow L_{\max} = \frac{v_1 v_2 r_1}{v_2 - v_1} \quad (11)$$

$$210 \quad d = v_1(t + \Delta t) + v_{bus} \Delta t \Rightarrow \Delta t = \frac{d - v_1 t}{(v_1 + v_{bus})} \quad (12)$$

$$211 \quad t_A = \begin{cases} t + D_q + \frac{d}{v_{bus}}, & v_{bus} \leq v_m \\ t + \Delta t + D_q + \frac{v_1(t + \Delta t)}{v_m}, & v_{bus} > v_m \end{cases} \quad (13)$$

212 The waiting bus delay  $D_q$  is calculated as:

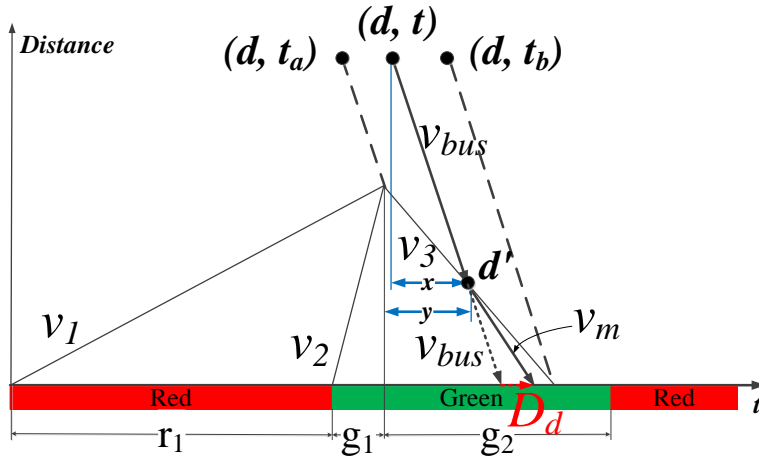
$$213 \quad D_q = \frac{v_1(t + \Delta t)}{v_2} + r_1 - (t + \Delta t) = r_1 - \left( \frac{v_2 - v_1}{v_2} \right) \left( \frac{v_{bus} t + d}{v_1 + v_{bus}} \right) \quad (14)$$

214 The waiting delay (experienced red)  $D_r$  is calculated as:

$$215 \quad d = (T_L - r_1 - g_1 - g_2)v_1 + (T_L - t)v_{bus} \Rightarrow T_L = \frac{d + (r_1 + g_1 + g_2)v_1 + v_{bus}t}{v_1 + v_{bus}} \quad (15)$$

$$216 \quad D_r = \begin{cases} r_2 + \frac{(t_A - r_1 - g_1 - g_2 - r_2)v_m}{v_2} & (t_L < r_1 + g_1) \\ \frac{(T_L - r_1 - g_1 - g_2)v_1}{v_2} + r_1 + g_1 + g_2 + r_2 - T_L & (t_L > r_1 + g_1) \end{cases} \quad (16)$$

217 In high-speed-limit traffic conditions, a bus tends to drive at a higher speed  $v_{bus}$ . However once  
 218 the bus joins the queue, the bus will have to follow the capacity speed  $v_m$ , lower than  $v_{bus}$ ,  
 219 generating the moving bus delay,  $D_d$ , as illustrated in FIGURE 2.  $v_m$  can be either directly  
 220 observed in the field or calculated according to traffic stream models.



221

222

**FIGURE 2 Illustration of Additional Delay Under High-Speed Conditions.**

223

$D_d$  can be calculated as:

$$D_d = \begin{cases} \frac{v_1(t + \Delta t)}{v_m} - \frac{v_1(t + \Delta t)}{v_{bus}}, & t_L < r_1 + g_1 \\ \frac{d - v_{bus}x}{v_m} - \frac{d - v_{bus}x}{v_{bus}}, & t_L > r_1 + g_1 \end{cases} \quad (17)$$

$$x = \frac{d - L_{max} + v_3(t - r_1 - g_1)}{v_{bus} - v_3} \quad (18)$$

226 All symbols in Equations (11) through Equation (20) are defined, as shown in FIGURE 1 and

227 FIGURE 2.

228 Among the three types of bus delays, the bus delay model by Head et al. covers the waiting delay

229 (7, 8) and the bus delay models by Li et al. (20) and Christofa et al. cover the queuing delay and

230 waiting delay. No previous model covers the moving delay, and at high-speed intersections, the

231 bus moving delay may be significant.

### 232 Constraints

233 The variables in the optimization are green durations. The constraints are composed of the

234 physical structure of signal controllers and actual traffic conditions. In North America, the

235 commonly accepted constraints are composed of three parts: maximum and minimum greens;

236 pedestrian settings; and cycle length and NEMA dual ring structure. The green duration

237 constraints have been extensively defined in other literature (7); green duration constraints can  
 238 be expressed as:

$$239 \quad \max\left(\lambda\left(g_{walk} + g_{pedclearance}\right), g_i^{\min}\right) \leq g_i \leq \max\left(\lambda\left(g_{walk} + g_{pedclearance}\right), g_i^{\max}\right) \quad (19)$$

(i = 1, 2, 3, ..., 8)

240

241 Where:

- 242 •  $g_i$  : green duration time;
- 243 •  $g_i^{\min}$  : minimum green;
- 244 •  $g_i^{\max}$  : the maximum green;
- 245 •  $g_{walk}$  : walk time;
- 246 •  $g_{pedclearance}$  : pedestrian clearance; and
- 247 •  $\lambda$  : flag variable (0: no pedestrian call; 1: pedestrian call);

248 In the standard ring structure, the total green time in each ring should be equal to the cycle length  
 249 as:

$$250 \quad \sum_{i=1}^4 (g_i + y + ar) = C; \quad (20)$$

$$\sum_{j=5}^8 (g_j + y + ar) = C;$$

251 The barrier constraint restricts ring 1 and 2; the same side of the barrier should have the same  
 252 duration, as shown in:

$$253 \quad \begin{cases} g_1 + g_2 = g_5 + g_6 \\ g_3 + g_4 = g_7 + g_8 \end{cases} \quad (21)$$

254 Where:

- 255 •  $C$  : Cycle length;
- 256 •  $g_i$  : Green duration of phase  $i$ ;
- 257 •  $y$  : Yellow time; and
- 258 •  $ar$  : All-red time;

## 259 Optimization Formulation

260 The objective function in Equation (1) is approximately quadratic and all the constraints are  
 261 linear. A sequential quadratic programming (SQP) solver in Matlab, an iterative optimizing  
 262 method (1,000 iterations were used during optimization) was used to obtain the real-time optimal  
 263 TSP plan. The necessary inputs for the optimization were retrieved through VISSIM COM and  
 264 the optimal TSP plans were downloaded to the ASC/3 controller. The optimization problem was  
 265 formulated as:

$$\begin{aligned}
 \min_{\mathbf{g}} \quad & D = \alpha \max(d_a(\mathbf{g})) + \beta \sum_N d_b(\mathbf{g}) \\
 \text{subject to:} \quad & \left\{ \begin{array}{l}
 g_i - \max(\lambda(g_{walk} + g_{pedclearance}), g_i^{\max}) \leq 0 \\
 -g_i + \min(\lambda(g_{walk} + g_{pedclearance}), g_i^{\min}) \leq 0 \\
 \sum_{i=1}^4 (g_i + y + ar) - C = 0 \\
 \sum_{j=5}^8 (g_j + y + ar) - C = 0 \\
 g_1 + g_2 - g_5 - g_6 = 0 \\
 g_3 + g_4 - g_7 - g_8 = 0
 \end{array} \right. \quad (22)
 \end{aligned}$$

267 The control delay and bus delay were calculated using Equation (2) through Equation (18). The  
 268 weights were determined according to a sensitivity study. In practice, users may develop their  
 269 own solvers or use alternative solvers to reach the optimal TSP plan.

## 270 SIMULATION CASE STUDY: PERFORMANCE EVALUATION OF ADAPTIVE TSP 271 SYSTEMS

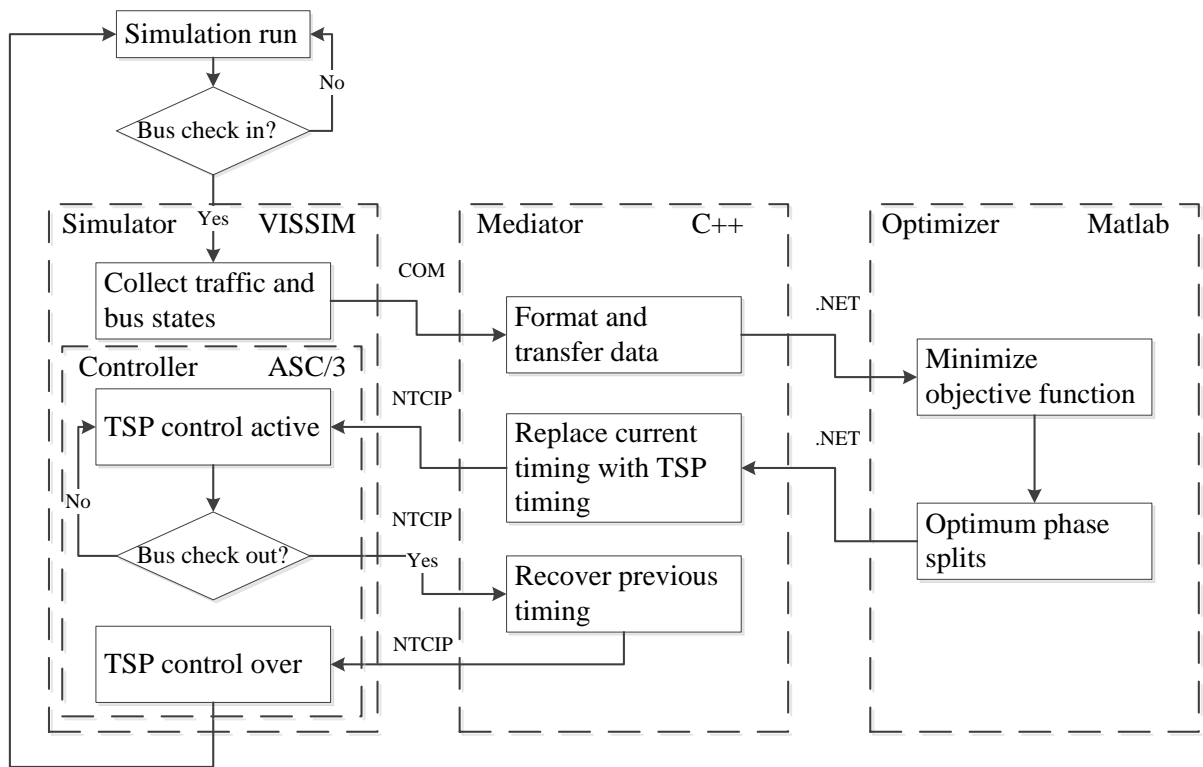
272 This task aims to evaluate the performance of the proposed adaptive TSP and compare it to a  
 273 conventional active TSP strategy with green extension or red truncation. Since deploying such an  
 274 innovative system in the field is unrealistic at this time, all the performance analyses and  
 275 evaluations were conducted in a fine-grained simulation engine. The evaluation and comparison  
 276 are divided into two categories: 1. the corridor level, which focuses on the total bus travel time  
 277 and average bus delay along a 7.4 kilometre bus corridor in Edmonton, Alberta; and 2. individual  
 278 intersections, including traffic control delay, bus delay, etc.

## 279 **Simulation Platform Architecture**

280 The simulation platform architecture is illustrated in FIGURE 3. VISSIM with the ASC/3  
281 module, a full-scale signal emulator, works as a traffic simulator. The real-time bus data for  
282 optimizing signal timing was sent and received via COM interfaces. Whenever a bus was  
283 detected by the fixed-spot advance bus detector, the “Mediator” module collected all necessary  
284 traffic data via VISSIM and signal timings via the NTCIP standards supported by the ASC/3  
285 module (21). Once such information was collected, it was sent to the “Optimizer” module to  
286 obtain the optimal signal timing to minimize the PI. The optimizer updated the quadratic  
287 problem, obtained the optimal TSP plans and then sent that new TSP timing back to the Mediator  
288 via the .NET framework. Finally, the Mediator module sent the optimal TSP plans back to the  
289 simulator through a series of NTCIP messages. Specifically, the current timing plan was first  
290 saved in a different split plan in ASC/3 and then replaced with the new optimal TSP signal  
291 timing. Once the TSP timing plans expired (e.g., buses check out or maximum timer is reached),  
292 the Mediator recovers the original signal timings. In case of multiple TSP requests, the optimizer  
293 recalculated the optimal TSP timing based on the events of TSP calls and updated the signal  
294 timing accordingly. As a result, a granted TSP may be cancelled within the same cycle if the  
295 buses on other approaches appear to have a higher TSP need. Once a TSP request is granted and  
296 finished, the controller recovers the original signal timing and inhibits the TSP requests for 2  
297 cycles to ensure that the general traffic can cross the intersection efficiently. During TSP  
298 operations, the cycle length and offset were not changed; therefore, the coordination on the  
299 mainline was maintained.

300 In this study, new TSP timings did not change the phasing sequence nor skip phases. Future  
301 studies will focus on more aggressive adaptive TSP strategies.

302



303

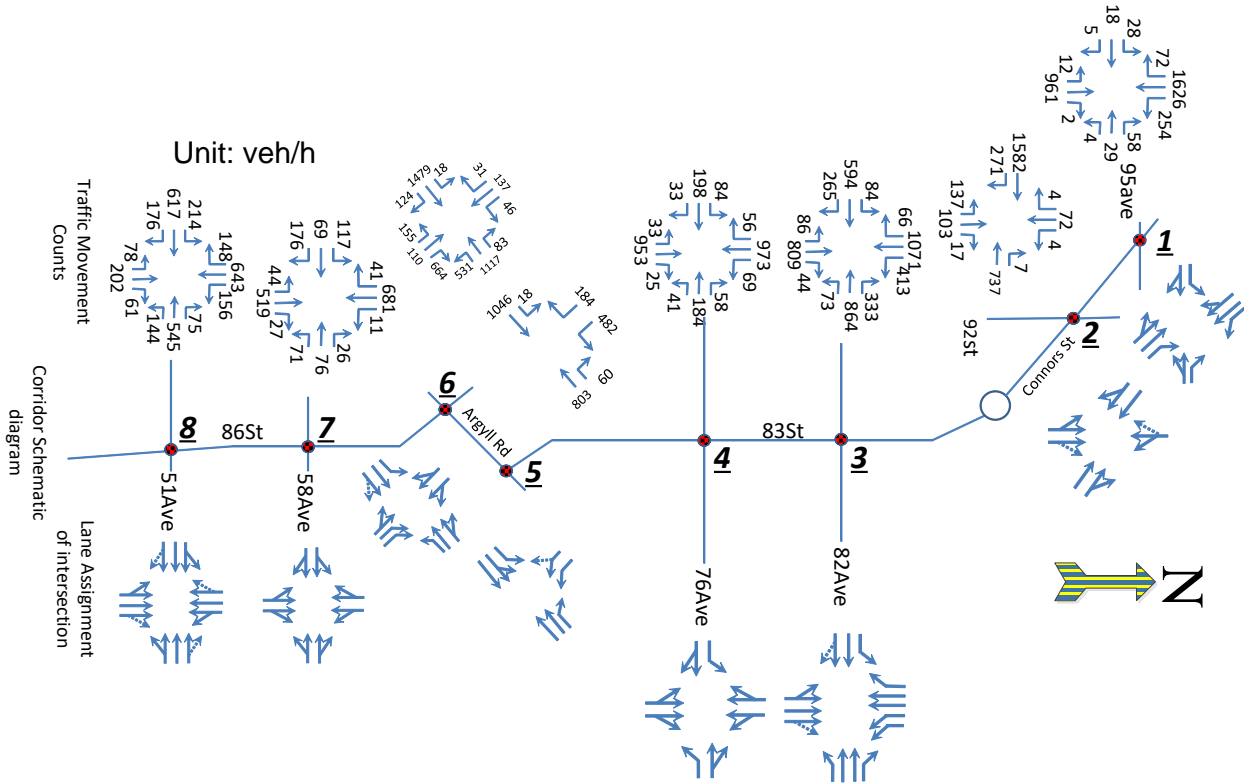
304

**FIGURE 3 Architecture of the Proposed Adaptive TSP Systems.**

### 305 Case Study in Simulation: the Southeast TSP Corridor in Edmonton, Alberta, Canada

306 Figure 3 shows the scope of the southeast bus corridor, which starts from the Low Level Bridge  
 307 and runs to the Millgate Transit Centre; it is 7.4 kilometre. On the corridor, there are eight  
 308 signalized intersections separated by fair distances; the phasing sequence is shown in Table 1.  
 309 The traffic turning movements and signal timings were obtained from the Edmonton Transit  
 310 System (ETS) of the City of Edmonton. The selected study period was for the transit PM peak  
 311 hours from 15:30-17:30 when pedestrian calls are very low. The corresponding bus schedule was  
 312 also retrieved from ETS. In total, there are 25 bus routes that run through the whole or part of the  
 313 study corridor and average headway during peak hours is 15 minutes. Thirty buses are equipped  
 314 with TSP transponders. The simulation network was also well calibrated by adjusting the driver  
 315 behaviors to ensure the link travel times and maximum queue lengths at key intersections under  
 316 the current traffic conditions and signal timings were consistent with field observations.  
 317 According to the provided traffic volumes and field observations, the maximum queue lengths at

318 all intersections were all shorter than 200 meters; therefore, the advance bus detectors were  
 319 uniformly placed 250 meters from the stop lines. This will also leave enough time for the solvers  
 320 to reach the optimal TSP timings.



321

322 **FIGURE 4 The Scope of Southeast TSP Corridor in Edmonton, Alberta, Canada.**

323

**TABLE 1 Signal Timing at Each Intersection.**

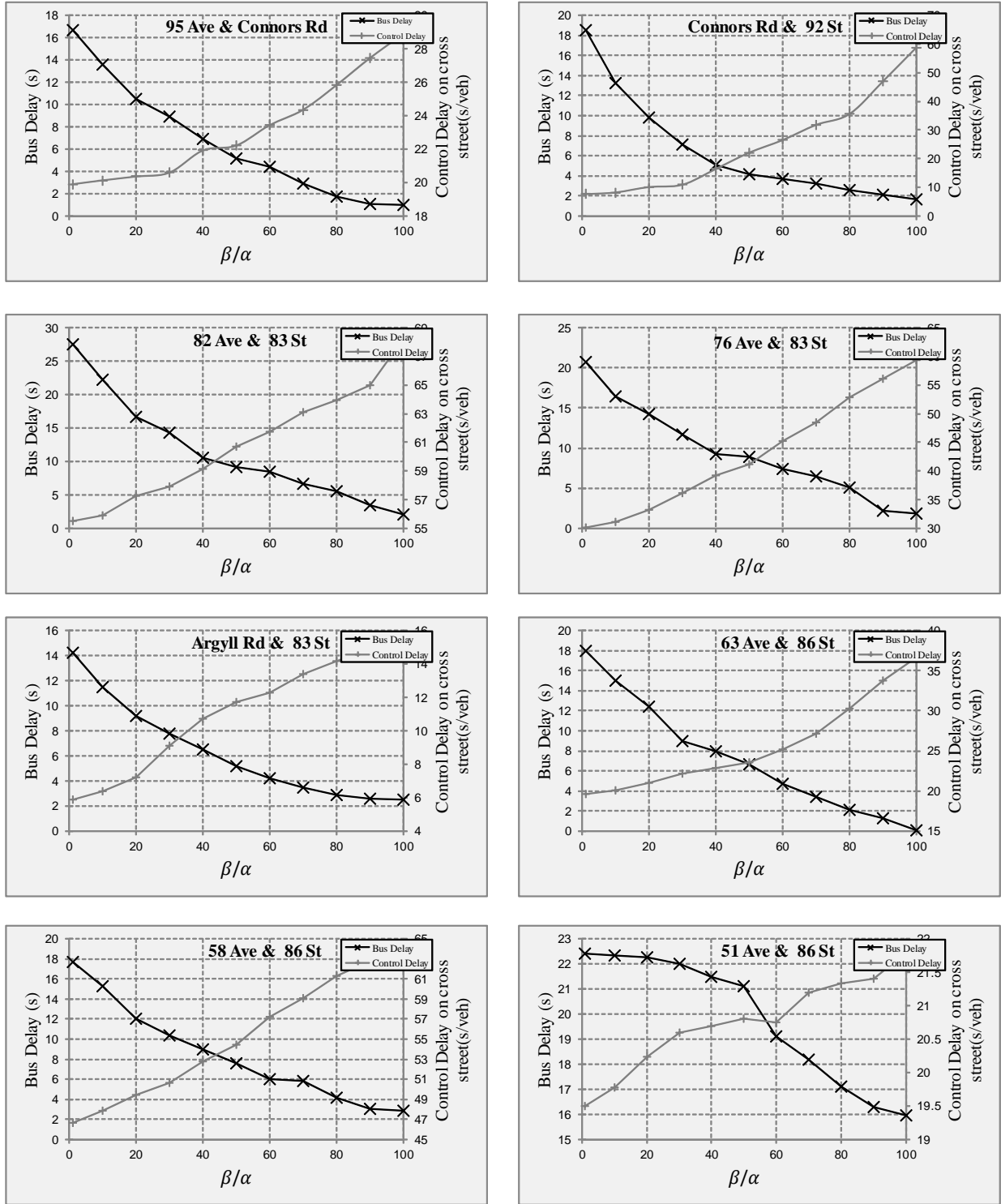
No	Intersection	Cycle	Offset	Timing Plan			
				Φ2	Φ4	Φ6	Φ8
1	95ave& Connors Rd	100	79	90s	10s		
				29s	61s	10s	
2	92st& Connors Rd	100	0	12s	88s		
				12s	88s		
3	82ave& 83st	100	96	69s	31s		
				17s	52s	31s	
4	76ave& 83st	50	44	34s	16s		
				34s	16s		
5	Argyll& 83st	100	24	23s	54s	23s	
					77s		
6	Argyll& 86st	100	92	28s	8s	64s	
				28s	33s	39s	
7	58ave& 86st	50	8	29s	21s		
				29s	21s		



8	51ave& 86st	100	90	$\Phi^1$ 10s	$\Phi^2$ 33s	$\Phi^3$ 18s	$\Phi^4$ 39s
				$\Phi^5$ 17s	$\Phi^6$ 26s	$\Phi^7$ 14s	$\Phi^8$ 43s

### 324 Sensitivity Analysis to Determine the Weighting Factor

325 The first step was to find a suitable value for the weighting factor  $\alpha$  and  $\beta$ . The reasonable  
326 approach is to conduct a sensitivity test and determine the most appropriate relationship between  
327  $\alpha$  and  $\beta$  to balance the bus benefits and general traffic interferences. The value of  $\beta/\alpha$   
328 determines the priority of buses; therefore, the optimal TSP timings. To investigate how the  
329 factors perform, a series of preliminary simulation runs was conducted. The test range of  $\beta/\alpha$  is  
330 from 10-100 with 10 increments. Set  $\beta/\alpha = 1$  as the reference case, because in this case, the bus  
331 is the same as a general vehicle.



332

333

334

**FIGURE 5 Sensitivity Analysis of the Weighting Factor.**

335 FIGURE 5 shows the relationship between the  $\beta/\alpha$  and the bus and the control delay for each  
336 intersection, assuming all buses could be granted TSP operations. As the  $\beta/\alpha$  increases, the bus  
337 delay (also the general vehicles' delay on the mainline) decreases because of the higher weight  
338 (priority) given to the bus. However, at the same time, the control delay increased and became  
339 faster and faster. Therefore, the best value of  $\beta/\alpha$  belongs to the location where the bus delay  
340 reduction and control delay increment are balanced.

### 341 **Results Analysis**

342 Three scenarios were analyzed: 1) baseline signal timing (the current signal timing without TSP);  
343 2) current signal timing with the conventional active TSP system; 3) current signal timing  
344 equipped with the new adaptive TSP system. The conventional active TSP strategy has a typical  
345 setting of 10-second maximum green extension and 5-second guaranteed green on other phases,  
346 which can be set up in the ASC/3 emulator. Each scenario was simulated 10 times with a  
347 common set of random seeds. The selected  $\beta/\alpha$  values were varied at different intersections.  
348 There were three Measures of Effectiveness (MOEs): 1. the total bus travel time along the bus  
349 corridor; 2. the bus delay at each intersection; and 3. the worst control delay among all  
350 approaches at each intersection.

351 The results are shown in TABLE 2, TABLE 3, and TABLE 4. Comparing the non-TSP scenario  
352 to both the active and adaptive TSP scenario shows significant bus travel time savings (see  
353 TABLE 2). The mean value of the total travel time shows adaptive TSP saves about 60-80  
354 seconds more than active TSP on the whole corridor. It was also found that both active TSP and  
355 adaptive TSP reduce bus delay, as shown in TABLE 3. On the other hand, as shown in TABLE 4,  
356 it was found that, compared to non-TSP scenarios, TSP scenarios can cause an increase of  
357 control delay at some intersections; however, adaptive TSP strategies are able to mitigate this  
358 problem. To investigate the significance of improvement by the proposed adaptive TSP, a  
359 statistical study was conducted: the t-test. In the t-test, it was assumed that the sample of the  
360 results followed the normal distribution and 0.05 was selected as the significance level. The  
361 comparisons of MOEs can also be found in TABLE 2, TABLE 3, and TABLE 4.

362

363 As shown in TABLE 2, both the active TSP and the proposed adaptive TSP strategies  
 364 significantly reduce bus travel time on the corridor, and the adaptive TSP strategy significantly  
 365 outperforms the active TSP strategy. As shown in TABLE 4, the adaptive TSP strategy has a  
 366 significantly better performance in bus delay reduction. As shown in TABLE 5, the active TSP  
 367 strategy increases the control delay on non-TSP approaches, whereas the proposed adaptive TSP  
 368 strategy mitigates this problem by balancing the control delay on the mainline and on the side  
 369 streets.

370 **TABLE 2 Total Bus Travel Times on the Southeast Corridor.**

Control Type	Southbound		Northbound	
	Average total travel time (s)	Time saving	Average total travel time (s)	Time saving
Baseline	1089.7	N/A	1086.6	N/A
Active	999.7	90	1024.4	62.2
Adaptive	1010.5	79.2	1046.6	40
<i>t value</i>	5.98		2.83	
<i>t critical value (two tail)</i>	2.13		2.13	
<i>Confidence Level</i>	95%		95%	
<i>p value</i>	1.12e-4		1.81e-4	
Significant improvement?	Yes		Yes	

371 **TABLE 3 Bus Delay at Individual Intersections.**

No.	1	2	3	4	5	6	7	8
Intersection	95 Ave & Connors Rd	Connors Rd & 92 St	82 Ave & 83 St	76 Ave & 83 St	Argyll Rd & 83 St	63 Ave & 86 St	58 Ave & 86 St	51 Ave & 86 St
Delay (sec)								
Direction	SB	SB	SB	SB	SB	SB	SB	SB
Baseline	11	7.3	15.8	10.4	6.2	25.4	6.4	25
Active TSP	6.7	2.5	8.3	5.2	3.6	17.8	3	15.7
Saving	4.3	4.8	7.5	5.2	2.6	7.6	3.4	9.3
Adaptive	8	4.7	9.3	7.2	6.2	20.4	5.3	18.1
Saving	3	2.6	6.5	3.2	0	5	1.1	6.9
<i>t value</i>	2.03	4.22	3.47	1.5	0.02	1.54	1.06	2.71
<i>t critical value (two tail)</i>	2.1							
<i>Confidence Level</i>	95%							
<i>p value</i>	5.9E-03	8.9E-04	5.0E-03	1.5E-01	9.8E-01	1.4E-01	3.1E-01	1.8E-02
Significant improvement?	No	Yes	Yes	No	No	No	No	Yes
Delay (sec)								
Direction	NB	NB	NB	NB	NB	NB	NB	NB
Baseline	19.8	7.8	19.7	7.4	5.4	13.4	6.9	36.7
Active	10.8	2.1	13	6.9	3.7	4.1	5.4	23.7
Saving	9	5.7	6.7	0.5	1.7	9.3	1.5	13

Adaptive	12	3.2	14.1	4.2	3.1	13.5	4	25.6
Saving	7.8	4.6	5.6	3.2	2.3	-0.1	2.9	11.1
<i>t value</i>	4.83	5.92	2.28	1.77	2.76	0.38	3.93	2.78
<i>t critical value (one tail)</i>	2.1							
<i>Confidence Level</i>	95%							
<i>p value</i>	2.6E-04	1.0E-04	3.6E-02	9.7E-02	1.5E-02	7.1E-01	1.7E-03	1.6E-02
Significant improvement?	Yes	Yes	Yes	No	Yes	No	Yes	Yes

372

373

**TABLE 4 Intersection Level of Service and Control Delay.**

No.	1				2				3			
Intersection	95 Ave & Connors Rd				Connors Rd & 92 St				82 Ave & 83 St			
	Average Vehicle Control Delay(seconds/vehicle)											
	LOS	Main Line	LOS	Side Street	LOS	Main Line	LOS	Side Street	LOS	Main Line	LOS	Side Street
Baseline	A	8.6	C	24	B	11.45	C	34.2	C	27.7	E	58.7
Active	A	7.75	C	28.8	A	9.25	D	42.7	C	24.3	E	76.25
Saving		0.85		-4.8		2.2		-8.5		3.4		-17.55
Adaptive	A	8.45	C	23.4	B	10.2	C	32.95	C	25	E	52.5
Saving		0.15		0.6		1.25		1.25		2.7		6.2
<i>t value</i>		0.19		0.04		1.04		0.039		0.67		0.63
<i>t critical value (two tail)</i>	2.1											
<i>Confidence Level</i>	95%											
<i>p value</i>		0.84		0.96		0.31		0.97		0.51		0.53
Significant change?		No		No		No		No		No		No
No.	4				5				6			
Intersection	76 Ave & 83 St				Argyll Rd & 83 St				63 Ave & 86 St			
	Average Vehicle Control Delay(seconds/vehicle)											
	LOS	Main Line	LOS	Side Street	LOS	Main Line	LOS	Side Street	LOS	Main Line	LOS	Side Street
Baseline	A	7.25	D	43.1	B	11.7	A	7.95	C	25.6	C	33.6
Active	A	3.4	D	49	B	10.35	A	8.55	C	20.85	D	45
Saving		3.85		-5.9		1.35		-0.6		4.75		-11.4
Adaptive	A	6.4	D	41.5	B	10.4	A	7.2	C	23.35	C	27.5
Saving		0.85		1.6		1.25		0.75		2.25		6.05
		3.4		49		10.35		8.55		20.85	D	45
<i>t value</i>		0.03		0.208		1.13		0.84		1.63		1.41
<i>t critical value (two tail)</i>	2.1											
<i>Confidence Level</i>	95%											
<i>p value</i>		No		No		No		No		No		No
Significant change?	A	7.25	D	43.1	B	11.7	A	7.95	C	25.6	C	33.6
No.	7				8							
Intersection	58 Ave & 86 St				51 Ave & 86 St							
	Average Vehicle Control Delay(seconds/vehicle)											
	LOS	Main Line	LOS	Cross Street	LOS	Main Line	LOS	Cross Street				

Baseline	A	8.5	E	68.9	C	28.6	C	29.6
Active	A	2.65	E	72.85	B	19.0	C	25.5
Saving		1.25		-12.3		2.8		-5.25
Adaptive	A	5.25	E	52.75	B	19.6	C	21.95
Saving		-1.35		7.8		2.2		-1.7
<i>t</i> value		0.74		1.42		0.51		0.8
<i>t</i> critical value (two tail)	2.1							
Confidence Level	95%							
<i>p</i> value		0.099		0.62		0.70		0.20
Significant change?		No		No		No		No

374

375 **CONCLUSIONS AND FUTURE WORK**

376 In this paper, an optimal TSP strategy was formulated into a quadratic programming problem. In  
377 the objective function, a new bus delay estimation method was developed based on advanced bus  
378 detectors, the weighted summation of the largest control delay on all approaches and the total bus  
379 delay. A simulation platform was developed to implement the adaptive TSP strategy via ASC/3  
380 software, a full-scale signal emulator in VISSIM. In the case study, the performance of  
381 conventional active TSP and the proposed adaptive TSP was compared along a 7.4 kilometre bus  
382 corridor in Edmonton, Alberta. The results show that the adaptive TSP strategy significantly  
383 outperforms the conventional active TSP in reducing bus travel times and leveraging the control  
384 delays on bus approaches and non-bus approaches.

385 Since the queuing profile was derived from the assumption of uniform traffic arrivals, the  
386 proposed adaptive TSP strategy will be more effective at isolated or far-spaced intersections than  
387 at coordinated intersections. Future studies will extend this adaptive TSP strategy to cover those  
388 intersections where the traffic arrives in platoons.

389 During simulation, each TSP optimization took around 3-5 seconds. It barely met the  
390 requirements because the travel time from advanced bus detectors to the queue end was mostly  
391 longer than 5 seconds. Future studies will explore more efficient optimizing algorithms to reduce  
392 the optimizing time for more complex situations, such as taking the phasing sequence  
393 optimization into account or coordinated adaptive TSP strategies at multiple intersections.

394

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