

1 **Investigating cryoinjury using simulations and experiments: 1. TF-1 cells during two-step**
2 **freezing (rapid cooling interrupted with a hold time)**

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12 **Keywords**

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15

1 **Abstract**

2 There is significant interest in designing a cryopreservation protocol for hematopoietic stem cells
3 (HSC) which does not rely on dimethyl sulfoxide (Me₂SO) as a cryoprotectant. Computer
4 simulations that describe cellular osmotic responses during cooling and warming can be used to
5 optimize the viability of cryopreserved HSC; however, a better understanding of cellular osmotic
6 parameters is required for these simulations. As a model for HSC, the erythroleukemic human
7 cell line TF-1 was used in this study. Simulations, based on the osmotic properties of TF-1 cells
8 and on the solution properties of the intra- and extracellular compartments, were used to interpret
9 cryoinjury associated with a two-step cryopreservation protocol. Calculated intracellular
10 supercooling was used as an indicator of cryoinjury related to intracellular ice formation.
11 Simulations were applied to the two-step cooling protocol (rapid cooling interrupted with a hold
12 time) for TF-1 cells in the absence of Me₂SO or other cryoprotectants and optimized by
13 minimizing the indicator of cryoinjury. A comparison of simulations and experimental
14 measurements of membrane integrity supports the concept that, for two-step cooling, increasing
15 intracellular supercooling is the primary contributor to potential freezing injury due to the
16 increase in the likelihood of intracellular ice formation. By calculating intracellular supercooling
17 for each step separately and comparing these calculations with cell recovery data, it was
18 demonstrated that it is not optimal simply to limit overall supercooling during two-step freezing
19 procedures. More aptly, appropriate limitations of supercooling differ from the first step to the
20 second step. This study also demonstrates why high cell recovery after cryopreservation could be
21 achieved in the absence of traditional cryoprotectants.

22

1 **Introduction**

2 Successful transplantation of hematopoietic stem cells (HSC) depends critically on the
3 number of functional cells transplanted. With the range of stem cell applications in transplant
4 medicine recently expanding beyond hematopoietic applications to cardiology [26] and
5 neurology [43], and with the increasing use of umbilical cord blood (CB) as a source for stem
6 cell transplants, the need for adequate quantities of stem cells for various applications has
7 become even more apparent. These applications continue to motivate interest in maximizing
8 recovery of stem cells by more effective means of cryopreservation and storage. Specifically,
9 the use of only clinically-approved reagents while achieving high cell recovery and function
10 requires further investigations.

11 The current procedures for the cryopreservation of HSC use dimethyl sulfoxide (Me_2SO) to
12 alter the intracellular and extracellular solution properties in order to achieve high recovery after
13 cooling at a constant rate to low temperatures. Even with the widespread use of cryopreserved
14 HSC in autologous transplantation, there is morbidity and mortality associated with the use of
15 Me_2SO [6,11,47,57]. With Me_2SO not being approved for human use in stem cell
16 transplantation, measures have been taken to reduce or eliminate Me_2SO in the cryopreservation
17 process. One approach has been to wash Me_2SO from the product before infusion, resulting in
18 undesirable cell loss [51,56]. While this loss may not be significant for peripheral blood stem
19 cell samples [51] where an excess number of stem cells can be collected from the patient, the
20 number of stem cells is a limiting factor in applications of CB transplants, so additional cell loss
21 due to washing is unacceptable. Other approaches have simply reduced the amount of Me_2SO
22 used in the cryopreservation protocol and there are reports that a minimum of 5 % Me_2SO is
23 necessary for high post-thaw recovery [1,4]. Others have combined a reduced concentration of

1 Me₂SO with a non-penetrating cryoprotectant such as hydroxyethyl starch (HES) [9,16,20]. In
2 clinical trials, high levels of engraftment were maintained with 3.5 % Me₂SO combined with 5 %
3 HES [16]. While these studies attempted to reduce Me₂SO concentration, the same cooling and
4 warming conditions were used, although it has been demonstrated that the optimal cooling and
5 warming rates depend on the nature and concentration of cryoprotectant [25].

6 Mazur et al.'s 'two-factor hypothesis' [33] proposed that there are two primary
7 mechanisms of damage during cryopreservation: 1) slow cooling injury related to exposure to
8 high solute concentrations as ice forms and solutes concentrate in the residual liquid, and 2) rapid
9 cooling injury related to the presence of ice inside the cell. The current study uses a two-step
10 cooling procedure as a model for rapid cooling to explore associated cryoinjury. This two-step
11 cooling procedure was described by Farrant et. al. [13,35] as a logical method to investigate the
12 effect of freezing injury on cell recovery. The procedure is based on exposure of cells to a
13 subzero temperature in the presence of extracellular ice for various time intervals before either
14 thawing or rapid cooling to a low storage temperature (-196 °C). This procedure has been used to
15 investigate cryoinjury in several cell types, including hamster lung fibroblasts [14,35],
16 lymphocytes [23], mouse embryos [55], renal cortical slices [5] and islets of Langerhans [3].
17 Using this procedure [44], we have verified that there is a pattern of cell recovery that is
18 consistent for most cell types. For cells thawed directly from the subzero hold temperature, there
19 is a progressive decline in viability at lower hold temperatures. Furthermore, for cells plunged
20 into liquid nitrogen from the hold temperatures before thawing, cell recovery is low at high
21 subzero hold temperatures, and increases at intermediate hold temperatures. This increase is
22 limited by damage incurred during the first cooling step. It is then possible to differentiate
23 between damage incurred during the first cooling step, including the hold time, and during the

1 second cooling step. However, our understanding of cryoinjury using empirical studies alone is
2 still limited.

3 In contrast to purely empirical studies, this study proposes a fundamentally different
4 approach - to reduce or avoid the need for cryoprotectants such as Me₂SO by using solution
5 thermodynamic properties (phase diagrams) and knowledge of osmotic transport to generate
6 temperature profiles that will minimize the major causes of cryoinjury. Computer simulations of
7 cellular responses to low temperatures that are based on mathematical calculations of changes in
8 cell volume have previously been used to further understanding of cellular low temperature
9 responses [10,24,49,50,53]. These models use the osmotic properties of the cell plasma
10 membrane and their temperature dependencies, specific to each cell type, to express theoretical
11 cellular responses to the changes in extracellular osmolality that results from ice formation. The
12 permeability characteristics of the cell membrane regulate water transport and, in turn,
13 intracellular osmolality and intracellular freezing point. Traditionally, cryopreservation
14 protocols have been optimized empirically, but some researchers have explored the use of
15 simulations as a means of predicting low temperature responses of various cell types: bull
16 spermatozoa [53]; bovine erythrocytes [24]; yeast [49]; hamster ova [50]; and corneal epithelial,
17 endothelial and stromal cells [10]. Karlsson et al. developed a theoretical model for predicting
18 intracellular ice formation during cryopreservation by coupling crystal-growth, ice nucleation,
19 and water transport models [19].

20 In this study, measured osmotic properties of the TF-1 cells were used in simulations of
21 osmotic responses during two-step cooling procedures to minimize supercooling associated with
22 intracellular ice formation. The erythroleukemic human cell line TF-1 is of particular interest as
23 a model for cryopreservation of HSC, since it expresses the CD34⁺ antigen and is able to

1 differentiate into the various hematopoietic lineages [21,22,29]. In this study, simulated
2 outcomes were compared to the experimental measurements of TF-1 cell recovery after two-step
3 freezing [44]. In calculating intracellular supercooling, these simulations used non-dilute solution
4 thermodynamic descriptions of the extra- and intracellular solutions to better describe the
5 solution properties at the high concentrations that occur during the two-step freezing procedures.
6 The correlation between freezing experiments and simulations was used to further our
7 understanding of two-step freezing and the cryopreservation of stem cells without Me₂SO.

8

9 **Simulation specifications**

10 Our simulations of cellular responses at low temperatures used four main elements: 1) the
11 change in the composition of the extracellular solution as ice forms at low temperatures; 2)
12 cellular osmotic responses to changes in composition of the extracellular solution and the
13 resulting change in the composition of the intracellular solution; 3) the temperature dependence
14 of the cellular osmotic permeability parameters; and 4) changes in sample temperature as a
15 function of time.

16 *1) Osmolality of the intracellular and extracellular solutions*

17 Phase diagram information [8,12,54] was used to calculate concentrations in the liquid
18 phase for the extracellular and/or intracellular components (Table 1a). The extracellular
19 components were assumed to be NaCl and KCl, and the intracellular components were assumed
20 to be NaCl, KCl, and protein. Initial concentrations were calculated using the overall
21 concentrations of electrolytes in a mammalian cell [2] and from the isotonic osmolality of the
22 cell (0.3 Osm/kg) and are given in Table 1a. Parameters for the intracellular protein are
23 discussed in the ‘*Impact of including intracellular protein and nucleation heat*’ section, where

1 simulations with and without intracellular protein are compared. The osmotic virial equation
 2 (OVE) [37] was used to describe the osmolality of binary solutions, taking into account the non-
 3 ideal behaviour of real solutes:

$$\pi = m + B m^2 + C m^3 \quad (1)$$

4 where m is the molality of the solute (moles solute /kg solvent), and B and C are fitting constants
 5 for specific solutes in water (Table 1b). For electrolytes, the molality is multiplied by an
 6 empirically-determined dissociation constant [39-41]. The constant C in the osmotic virial
 7 equation is non-zero only for solutes with highly nonlinear behaviour, such as macromolecules
 8 [15,17,42]. The following multisolute OVE, proposed by Elliott et al. [12], was used to calculate
 9 osmolality of solutions containing n solutes:

$$\pi = \sum_{i=1}^n m_i + \sum_{i=1}^n \sum_{j=1}^n \left(\frac{B_i + B_j}{2} m_i m_j \right) + \sum_{i=1}^n \sum_{j=1}^n \sum_{k=1}^n (C_i C_j C_k)^{1/3} m_i m_j m_k \quad (2)$$

10 where, in addition to the terms arising from the summation of an Eq. 1 for each solute, Eq. 2
 11 includes terms describing interactions between solutes (i, j and k). The freezing point of the
 12 solution is related to the osmolality by:

$$T_{FP} = K_{fp} \pi \quad (3)$$

13 where K_{fp} is the molal freezing point depression constant for water (1.86 °C/(osmole/kg of
 14 solvent)).

15 2) Cellular osmotic responses

16 The cellular osmotic parameters used in the simulations are the isotonic volume, the
 17 hydraulic conductivity, and the osmotically-inactive fraction. Jacobs and Stewart [18] used the
 18 following equation to describe the rate of water movement across the plasma membrane :

$$\frac{dV}{dt} = L_p A R T (\pi_i - \pi_e) \rho \quad (4)$$

1 where V is the volume of water in the cell (μm^3), t is the time (min), L_p is the hydraulic
 2 conductivity ($\mu\text{m}^3/\mu\text{m}^2/\text{min}/\text{atm}$), A is the cell surface area (μm^2), R is the universal gas constant
 3 ($\mu\text{m}^3\text{atm}/\text{mol}/\text{K}$), T is the absolute temperature (K), π_e is the extracellular osmolality
 4 (osmoles/kg of water), π_i is the intracellular osmolality (osmoles/kg of water), and ρ is the
 5 density of water (assumed to be constant at $1.0 \times 10^{-15} \text{ kg}/\mu\text{m}^3$). In these calculations, the cell
 6 surface area was calculated from the spherical cell volume, and therefore, assumed to vary with
 7 cell volume.

8 The osmotically-inactive fraction is the fraction of the cell volume not involved in the
 9 osmotic activities of the cell. The Boyle van't Hoff relationship [28] was used to express
 10 equilibrium cell volume in solutions of impermeant solutes:

$$\frac{V}{V_o} = \frac{\pi^o}{\pi}(1-b) + b \quad (5)$$

11 where V is the equilibrium cell volume (μm^3) at osmolality π (osmoles/kg water), V_o is the
 12 isotonic cell volume (μm^3), π^o is the isotonic osmolality (osmoles/kg water), and b is the
 13 osmotically-inactive fraction of the cell volume, a parameter calculated by fitting Eq. 5 to
 14 experimental data.

15 3) Temperature dependence of cellular permeability parameters

16 Previous studies reported that L_p showed a strong temperature dependence but b did not,
 17 so a constant value was assigned for b (Table 1c) [44]. The temperature dependence of L_p is
 18 normally described with an Arrhenius relationship [36]:

$$L_p = k e^{-\frac{E_a}{RT}} \quad (6)$$

19 where k is a pre-exponential factor ($\mu\text{m}^3/\mu\text{m}^2/\text{min}/\text{atm}$), E_a is the Arrhenius activation energy
 20 (kcal/mol), R is the universal gas constant (kcal/mol/K), and T is the absolute temperature (K).

1 Values for E_a and k [44] are reported in Table 1c. The cellular osmotic parameters for TF-1 cells
2 were previously determined from experimental measurements, varying temperature and
3 osmolality, using an electronic particle counter [44].

4 *4) The temperature profile*

5 The two-step freezing procedure (Figure 1) involves rapidly cooling samples to various
6 subzero hold temperatures, holding at that temperature for a duration (“hold time”), and then
7 either thawing samples directly in a 37 °C water bath (direct thaw) or plunging samples into
8 liquid nitrogen first and then thawing (plunge thaw) [44]. Simulations used the actual measured
9 temperature profiles.

10 *5) Numerical methods*

11 A computer program was developed with the Delphi programming language to perform
12 the calculations in Eqs. 2-6, using Euler’s method with sufficiently small discretization to solve
13 the differential equations. Measured temperature profiles in the experimental samples were used
14 in the simulations. Since the cells are initially in osmotic equilibrium with the isotonic
15 extracellular solution, no osmotic changes occur before ice nucleation. The assumptions used in
16 the simulations are a) the simulation begins on ice nucleation at the freezing point of the
17 extracellular solution, b) the extracellular solution remains in equilibrium with extracellular ice.
18 The simulations do not include the formation of intracellular ice; rather, we assume the
19 likelihood of intracellular ice formation increases with supercooling, and therefore use
20 intracellular supercooling as an indicator of the likelihood of intracellular ice formation.

21 Simulations were performed using measured osmotic parameters of TF-1 cells, i.e. the
22 isotonic cell volume, V_o , the osmotically-inactive fraction, b , the pre-exponential factor, A , and
23 the activation energy, E_a , for L_p , previously described in more detail [44] and listed in Table 1c.

1 Results of the simulations were compared with previously-reported two-step freezing
2 experimental results for TF-1 cells [44].

3

4 **Experimental materials and methods**

5 *TF-1 cell freezing experiments*

6 Descriptions of the TF-1 cell culture and freezing experiments have been previously
7 reported in detail [44]. Briefly, TF-1 cells (ATCC, Manassas, Virginia) were cultured according
8 to ATCC guidelines. Samples of 0.2 mL TF-1 cell suspension, in serum-free RPMI, in glass
9 tubes (6x50 mm; Fisher, Edmonton, Canada) were transferred into a stirred methanol bath (FTS
10 Systems, Inc., Stone Ridge, New York) preset at -3, -6, -9, -12, -15, -20, or -30 °C and allowed
11 to equilibrate for 2 minutes at that temperature prior to ice nucleation with cold forceps. After
12 nucleation, samples were held at the experimental temperature for 3 minutes before either
13 thawing in a 37 °C water bath or being plunged into liquid nitrogen. Samples were kept in liquid
14 nitrogen for a minimum of 1 hour prior to being thawed in a 37 °C water bath. SYTO®13
15 (Molecular Probes, Eugene, Oregon) and ethidium bromide (EB) (Sigma, Mississauga, Canada)
16 were used as a cell membrane integrity assay for freeze-thaw injury.

17 *Cooling profiles*

18 Cooling profiles were measured using a Type T thermocouple (Omega, Laval, Canada) in
19 samples paralleling the two-step freezing experiments. Simulations for the two-step freezing
20 technique used measured temperature profiles of the experimental system.

21

22 **Results and discussion**

23 *Two-step cooling simulations*

1 Figure 2 shows the temperature measurements of samples placed in baths at various hold
2 temperatures ranging from $-3\text{ }^{\circ}\text{C}$ to $-30\text{ }^{\circ}\text{C}$, nucleated, and then held for 3 minutes prior to
3 rapidly cooling to liquid nitrogen temperature ($250\text{ }^{\circ}\text{C}/\text{min}$) (profiles shown to $-40\text{ }^{\circ}\text{C}$). These
4 temperature profiles were used in the simulations, beginning at the freezing point of the solution
5 after ice nucleation, as shown in the inset of Figure 2. The first step and the second (plunge) step
6 of the cooling process, as well as the hold temperatures, are indicated on the figure. Using
7 these temperature profiles and the parameters listed in Table 1, cellular osmotic responses were
8 calculated. Figure 3a shows the calculated cell volumes as a function of time for the
9 corresponding cooling profiles shown in Figure 2. Cell volumes decreased with time during the
10 3 minute hold, with the degree of shrinkage dependent on the hold temperature. There was a
11 small additional cell shrinkage during the plunge step. Figure 3b shows the calculated cell
12 volumes as a function of temperature, which allows correlation of changes in cell volume with
13 the stage of the cooling protocol. Cell volumes decreased with hold temperature during the hold
14 time, with a small additional decrease during the plunge step.

15 Figure 4a shows calculated intracellular supercooling as a function of time after
16 nucleation and demonstrates varying degrees of intracellular supercooling during the first
17 cooling step to the hold temperature and during the second step (i.e. supercooling reached in the
18 second step by the time the cells are cooled to $-40\text{ }^{\circ}\text{C}$). For the first step, the lower the hold
19 temperature, the higher the degree of intracellular supercooling. At all hold temperatures there is
20 then a decrease in supercooling during the hold time as water leaves the cell. There is an
21 additional increase in calculated supercooling during the second (plunge) step. Figure 4b shows
22 supercooling as a function of temperature and demonstrates a similar pattern of supercooling for
23 all hold temperatures, with the exception of $-3\text{ }^{\circ}\text{C}$. There is an initial increase in supercooling

1 during the first step, followed by a decrease to 0 °C supercooling during the hold time, and then
2 followed by another increase in supercooling during the second step. This figure illustrates how
3 the increases in supercooling during each step of the two-step freezing procedure differ for
4 different hold temperatures. This is the direct result of the cell not losing as much water during
5 the previous stages of the cooling profile. Cells are likely to freeze intracellularly at higher
6 degrees of supercooling. The simulations were stopped at -40 °C since it has been suggested
7 previously that when no cryoprotectant is present, injury resulting from exposure to low
8 temperatures occurs at temperatures above -40 °C [14,27], and the accuracy of extrapolating
9 simulations to lower temperatures is questionable.

10 The maximum supercooling for all the cooling profiles was calculated for each step of the
11 freezing procedure and plotted in Figure 5a (vertical dashed lines indicating three sections are for
12 reference to Figure 5b). There is a progressive increase in the amount of supercooling reached in
13 the first cooling step with decreasing hold temperature, indicating an increased likelihood of
14 intracellular ice formation. There is also a progressive decrease in the amount of supercooling in
15 the second cooling step with decreasing hold temperature, as cells become increasingly
16 dehydrated at the lower hold temperatures before plunging into liquid nitrogen.

17 *Comparison of two-step freezing experiments with simulations*

18 The maximum intracellular supercooling calculated for each step of the cooling profile
19 was used to interpret loss of membrane integrity after two-step cooling experiments. Previously
20 published membrane integrity data as a function of hold temperature for TF-1 cells with a 3
21 minute hold time [44] are shown in Figure 5b. This figure divides the temperature scale into 3
22 sections. In section 1, there is minimal loss of membrane integrity for the direct thaw samples;
23 in section 2, there is a decline in membrane integrity for the direct thaw samples with decreasing

1 hold temperatures; and in section 3, there is no further change in membrane integrity with
2 decreasing hold temperatures. The first section (0 to -9 °C) shows minimal loss of membrane
3 integrity for direct-thaw samples and the corresponding section 1 in Figure 5a shows low
4 maximum supercooling in the first step. TF-1 cells can therefore withstand ~4 °C of
5 supercooling for 3 minutes without loss of membrane integrity. The decrease in membrane
6 integrity at lower subzero temperatures (sections 2 and 3) also corresponds to an increase in
7 supercooling in the first step. The membrane integrity decreases to 20 % at 18 °C of
8 supercooling and there is no further decrease at lower hold temperatures.

9 The plunge-thaw membrane integrity results could also be interpreted using calculated
10 maximum supercooling in the second step. In section 1 of Figure 5 (0 to -9 °C), high maximum
11 supercooling in the second step corresponded with ~50 % loss of membrane integrity. Section 2
12 in Figure 5 (-9 to -20 °C) shows an increase in membrane integrity, which is consistent with a
13 decrease in maximum supercooling reached in the second step. However, membrane integrity in
14 this section is limited by damage incurred in the first cooling step, a trend that is continued in
15 section 3 (-20 to -30 °C). Figure 6 shows membrane integrity of plunge-thaw samples as a
16 percentage of the direct-thaw membrane integrity. This analysis demonstrates that, at lower
17 subzero hold temperatures, no additional damage is incurred by the cells during plunge into
18 liquid nitrogen.

19 An overall comparison between maximum supercooling (Figure 5a) and membrane
20 integrity (Figure 5b) indicates that TF-1 cells can withstand a smaller amount of supercooling in
21 the first step than in the second step. For example, TF-1 cells, with a hold temperature of -20 °C
22 for the first step, and supercooled by a maximum of 18 °C during the first step, have low
23 membrane integrity (~30 %). Conversely, TF-1 cells, with a hold temperature of -15 °C, and

1 supercooled by a maximum of 21 °C in the second step are less damaged (membrane integrity
2 ~62 %). Based on experimental observations, Mazur and others have proposed that intracellular
3 ice formation occurs when supercooling is between -5 and -15 °C, when extracellular ice is
4 present [31]. In this study, membrane damage associated with intracellular ice formation starts
5 to occur in some cells when intracellular supercooling is greater than ~4 °C supercooling in the
6 first step (at higher temperatures) and ~20 °C in the second step (at lower temperatures). Hence,
7 it is at intermediate hold temperatures (-6 to -15 °C) for a 3 minute hold time that an optimal
8 range of hold temperatures may occur that minimizes the likelihood of intracellular ice formation
9 after both steps of the freezing protocol.

10 *Impact of including intracellular protein and nucleation heat*

11 Solution properties of the cytoplasm are complex and have yet to be elucidated for cells
12 other than red blood cells. We assumed the non-ideality of the solution thermodynamics of the
13 cytoplasm to be attributed to proteins and electrolytes. We also assumed the protein in TF-1
14 cells to have thermodynamic properties similar to hemoglobin, which are well known. It has
15 been reported that red blood cells contain approximately 7.3 mmol of hemoglobin per kg of
16 intracellular water [8,48,52]. The amount of intracellular protein in nucleated cells has been
17 reported to be more than half the dry weight of the cell [2]. Since the intracellular protein
18 content for TF-1 cells is not known, we used a value of 3.65 mmolal which was 50 % of the
19 molality of hemoglobin in red blood cells. In simulations reported by de Freitas et al. [7], an
20 intracellular protein concentration of 4 mosmol/L was used, which is similar to the concentration
21 used in this study. The inclusion of protein as an intracellular component had a minimal effect
22 on the calculated maximum supercooling in simulations of two-step cooling (Figure 7) [46].

23 Simulations in this study were based on measured temperature profiles from two-step

1 freezing experiments that included the effects of nucleation heat. Figure 8a shows an assumed
2 temperature profile (i.e. which does not include the change in temperature associated with the
3 effect of nucleation heat) as a function of time for the various cooling profiles. The effects of the
4 assumed temperature profile and the measured temperature profile on the calculated maximum
5 supercooling are shown in Figure 8b. Using a measured temperature profile, which includes the
6 effects of nucleation heat, changes the calculated maximum supercooling for both steps of the
7 cooling profile only at high subzero hold temperatures [45].

8

9 **Conclusions**

10 This study uses an approach of calculating supercooling of the cytoplasm in the presence
11 of extracellular ice as an indicator of the likelihood of cryoinjury. A non-dilute solution
12 thermodynamic description [12] of the extra- and intra-cellular solutions was used to calculate
13 intracellular supercooling. Simulations of an empirical approach of two-step freezing were used
14 to examine the role of exposure to subzero hold temperatures and exposure time. A comparison
15 of simulations and experimental measurements of membrane integrity supports the concept that,
16 for two-step cooling, increasing intracellular supercooling is the primary contributor to potential
17 freezing injury due to the increase in the likelihood of intracellular ice formation. These studies
18 also support the upper limit for tolerable intracellular supercooling previously reported by others
19 during the first step of cooling [30,32,38]. However, a comparison of experimental data with
20 simulations showed that TF-1 cells were able to withstand considerably higher degrees of
21 supercooling in the second step than during the first step.

22 Supercooling appears to play a determining role in cell recovery in two-step
23 cryopreservation protocols, particularly for cells plunged from high subzero hold temperatures.

1 By calculating intracellular supercooling for each step separately and comparing these
2 calculations with cell recovery data, it was demonstrated that it is not optimal simply to limit
3 overall supercooling during two-step freezing procedures. More aptly, appropriate limitations of
4 supercooling differ from the first step to the second step. Since water movement across the cell
5 membrane is temperature-dependent, cells need to be exposed to conditions (i.e. subzero hold
6 temperatures) that allow sufficient osmotic water efflux from the cell before cooling to lower
7 subzero temperatures, to prevent high supercooling and the likelihood of intracellular ice
8 formation. During the hold step at high subzero hold temperatures, the incidence of intracellular
9 ice formation is low because supercooling is low. However, during the subsequently plunge
10 step, the amount of supercooling is high because of limited water loss prior to the plunge step.
11 At intermediate subzero hold temperatures, more extracellular ice forms so there is greater water
12 loss. However, due to the lower temperature, supercooling increases. During the plunge step,
13 the additional supercooling before cells reach $-40\text{ }^{\circ}\text{C}$ is low thus decreasing the chance of
14 intracellular ice formation. Therefore, in order to ensure that cells are sufficiently dehydrated
15 before the plunge step, cells must be exposed to higher amounts of supercooling at higher
16 subzero hold temperatures. The trend for the intermediate subzero hold temperatures continues
17 for the lower subzero hold temperature range. The proposed target hold temperature determined
18 from experimental results was suggested to be between $-6\text{ }^{\circ}\text{C}$ and $-15\text{ }^{\circ}\text{C}$ [44], corresponding to a
19 maximum supercooling of less than $10\text{ }^{\circ}\text{C}$ in the first step. In this study using TF-1 cells,
20 calculated supercooling greater than $\sim 4\text{ }^{\circ}\text{C}$ in the first step and $\sim 20\text{ }^{\circ}\text{C}$ in the second step resulted
21 in membrane damage.

22 Based on the sensitivity analysis, the inclusion of protein, as an intracellular component,
23 minimally affected maximum supercooling. This is not surprising as supercooling depends on

1 the osmolality of the cytoplasm and not the concentration of the individual components (i.e.
2 protein concentration) that contribute to that osmolality. Also, using an assumed temperature
3 profile, instead of a measured temperature profile (i.e. including the effects of nucleation heat) in
4 this experimental set-up, changes the calculated maximum supercooling for both steps of the
5 cooling profile at high subzero hold temperatures. This change was minimal, so it would be
6 acceptable to neglect the effects of nucleation heat for two-step simulations without
7 cryoprotectant for small volume systems (such as the 0.2 mL volumes used in this study).
8 However for larger systems, with significantly higher latent heat of fusion, the measured
9 temperature profile should be used.

10 This study demonstrates the usefulness of simulations, combined with two-step freezing
11 experiments, in attempting to provide understanding into the complexities of establishing
12 cryopreservation protocols for a particular cell type. Specifically, two-step freezing provides
13 useful insight into the mechanisms of damage inflicted by cooling over a range of subzero
14 temperatures [34], allowing manipulation of different variables of the cryopreservation protocol
15 for any cell type for which the osmotic parameters are known. The implications for this work
16 extend beyond TF-1 and stem cells, to any cell type with known osmotic parameters. This study
17 also demonstrates the value of a combination of theoretical and empirical work for interpretation
18 of cryopreservation protocols. Based on the results presented in this work, the use of rapid non-
19 linear cooling profiles were integral to understanding the successful results for the
20 cryopreservation of TF-1 cells without Me₂SO.

21

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1 **Figure captions**

2 Figure 1. A schematic of two-step freezing, including initial rapid non-linear cooling to hold
3 temperature, hold time, and either directly thawing (1st step), or plunging, and then thawing (2nd
4 step), following storage time [44].

5 Figure 2. Simulation input temperature as a function of time, measured post-nucleation during
6 equilibration at various subzero hold temperatures (-3°C to -30°C) for 3 minutes, prior to rapid
7 cooling (250°C/min). The nucleation and hold step (1st step), and the plunge step (2nd step) are
8 indicated on the graph. The figure insert shows the entire measured temperature profile
9 including prior to the nucleation step for one set of experimental conditions.

10 Figure 3. Calculated relative cell volume as a function of (a) time after nucleation and (b)
11 temperature for TF-1 cells cooled according to the temperature profiles in Figure 2.

12 Figure 4. Calculated intracellular supercooling as a function of (a) time after nucleation and (b)
13 temperature for TF-1 cells cooled according to the temperature profiles in Figure 2. “Max”
14 indicates where the maximum supercooling occurs during the 1st and 2nd steps (for simulations
15 down to -40 °C, where simulations were stopped) for a particular hold temperature.

16 Figure 5. (a) Maximum intracellular supercooling during the 1st step (solid line) and the 2nd step
17 (dotted line) as a function of hold temperature for TF-1 cells (for simulations down to -40 °C).
18 Vertical dashed lines indicating three sections for comparison with Figure 5b. (b) Membrane
19 integrity for TF-1 cells (\pm SEM; normalized to controls) in serum-free RPMI following two-step
20 freezing with a 3 minute hold time and includes sections on the figure where loss of membrane
21 integrity is a result of either supercooling in the 1st or 2nd step, or both, of the two-step freezing
22 procedure [44].

23 Figure 6. Membrane integrity for TF-1 cells (\pm SEM; normalized to controls) in serum-free

1 RPMI following two-step freezing with a 3 minute hold time and includes a plunge-thaw curve
2 normalized with (i.e. as a percentage of) the direct-thaw data (long dashes).

3 Figure 7. Cell model with and without intracellular protein. Maximum intracellular supercooling
4 during the 1st step (solid line) and the 2nd step (dotted line) as a function of hold temperature for
5 TF-1 cells.

6 Figure 8. Effect of using an assumed temperature profile rather than a measured temperature
7 profile which includes nucleation heat. (a) Simulation input temperature as a function of time
8 and (b) the corresponding calculated maximum supercooling during the 1st step (solid line) and
9 the 2nd step (dotted line) as a function of hold temperature for TF-1 cells.

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Table 1. Parameters used in simulations: (a) isotonic solution composition, (b) solution parameters, and (c) osmotic parameters for TF-1 cells

a) Isotonic solution composition

	<u>Extracellular</u>	<u>Intracellular</u>
NaCl	0.170 molal	0.010 molal
KCl	0.005 molal	0.133 molal
Protein	0	0.004 molal
Total Osmolality	0.300 Osm/kg	0.300 Osm/kg

b) Solution parameters [12]

	<u>B (mol/kg solvent)⁻¹</u>	<u>C (mol/kg solvent)⁻²</u>	<u>K</u>
NaCl	0.02986	0	1.702
KCl	0	0	1.742
Protein [†]	49.3	3.07x10 ⁴	1

c) Osmotic parameters [45]

Isotonic volume, V_o	916 μm^3
Inactive fraction, b	0.361
E_a (Activation Energy for L_p)	14.2 kcal/mol
k (Pre-exponential factor for L_p)	1.33 x 10 ¹⁰ $\mu\text{m}^3/\mu\text{m}^2/\text{min}/\text{atm}$

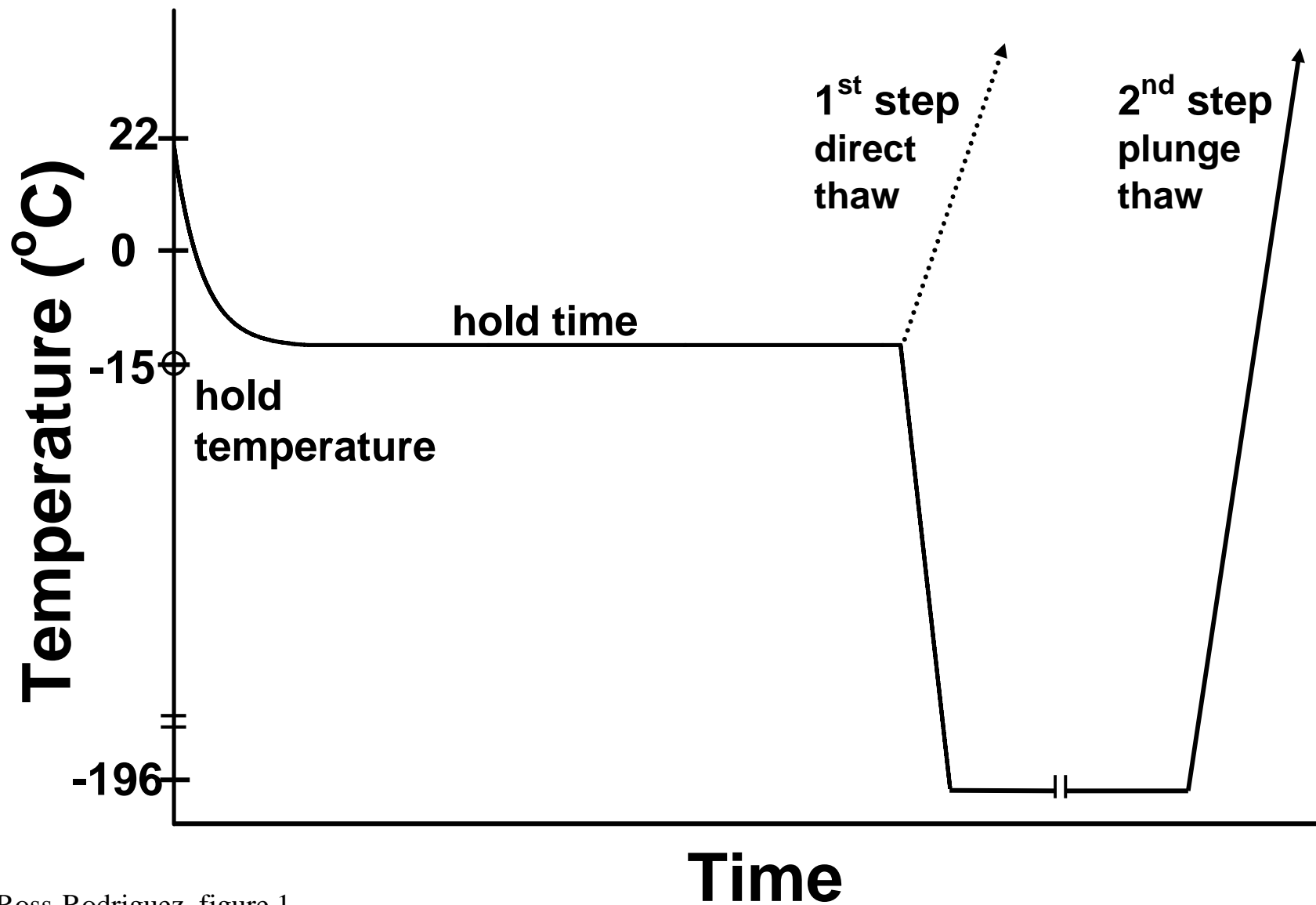
[†]based on values reported for hemoglobin

L_p is the hydraulic conductivity

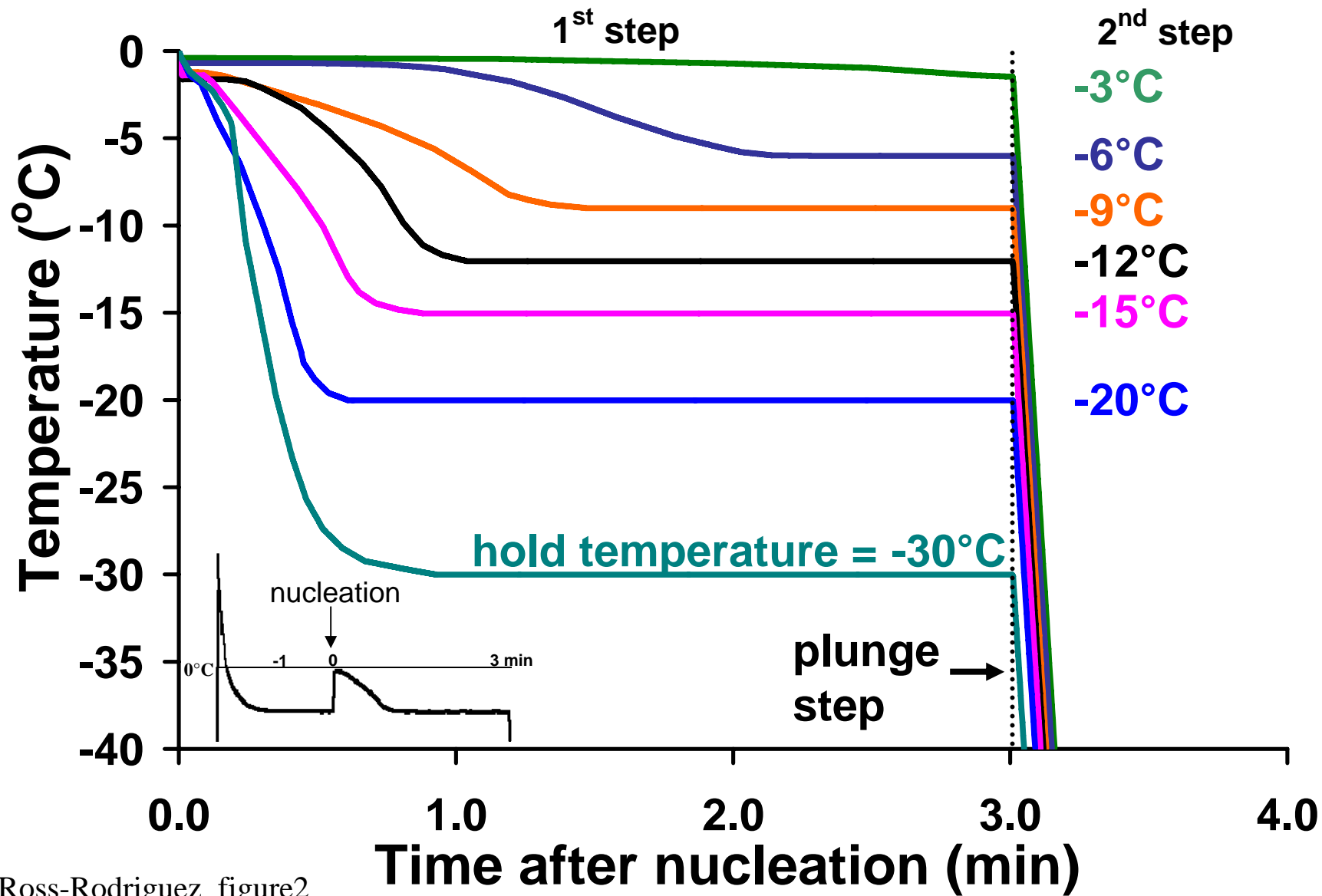
B is the second osmotic virial coefficient

C is the third osmotic virial coefficient

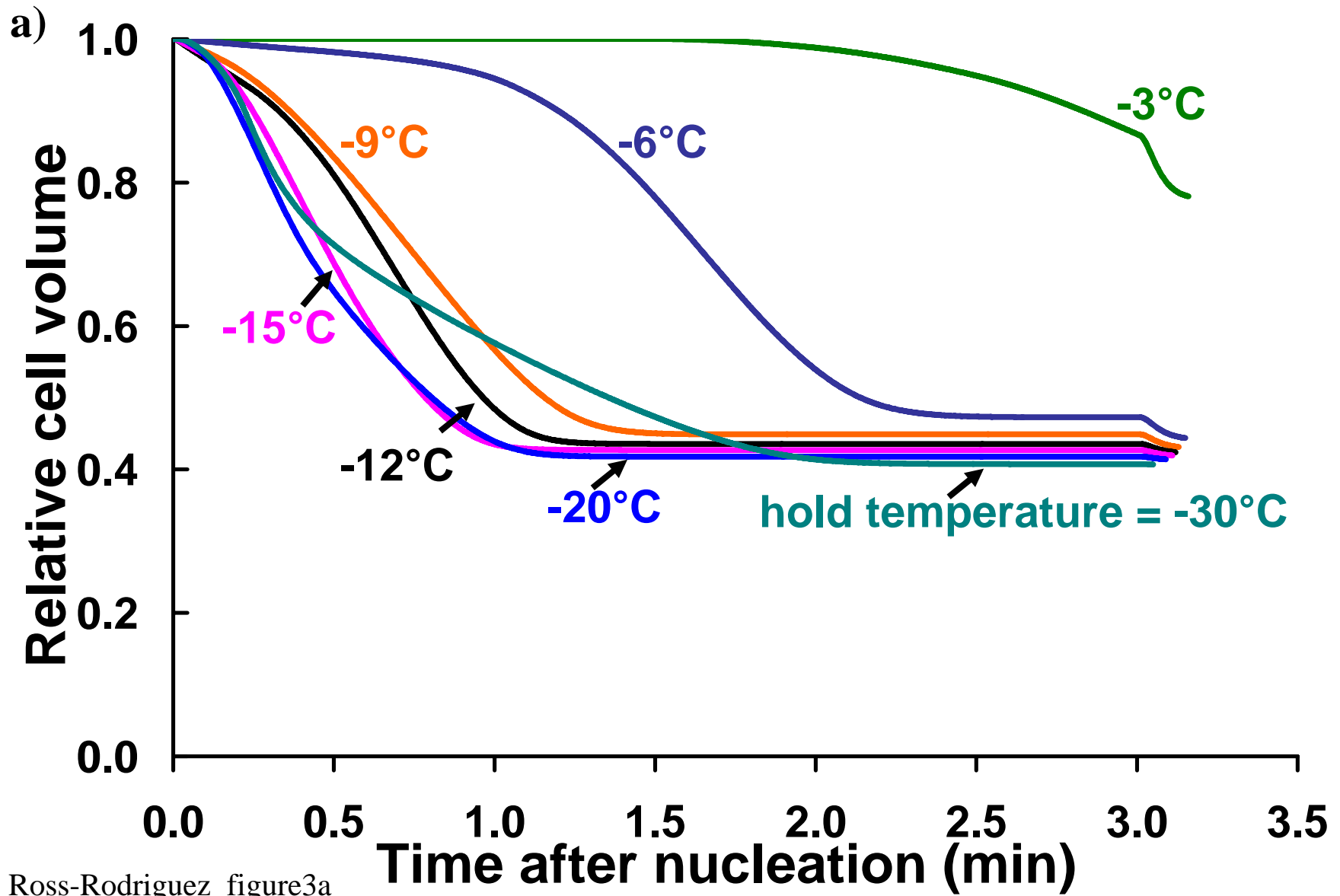
K is the dissociation constant for electrolytes

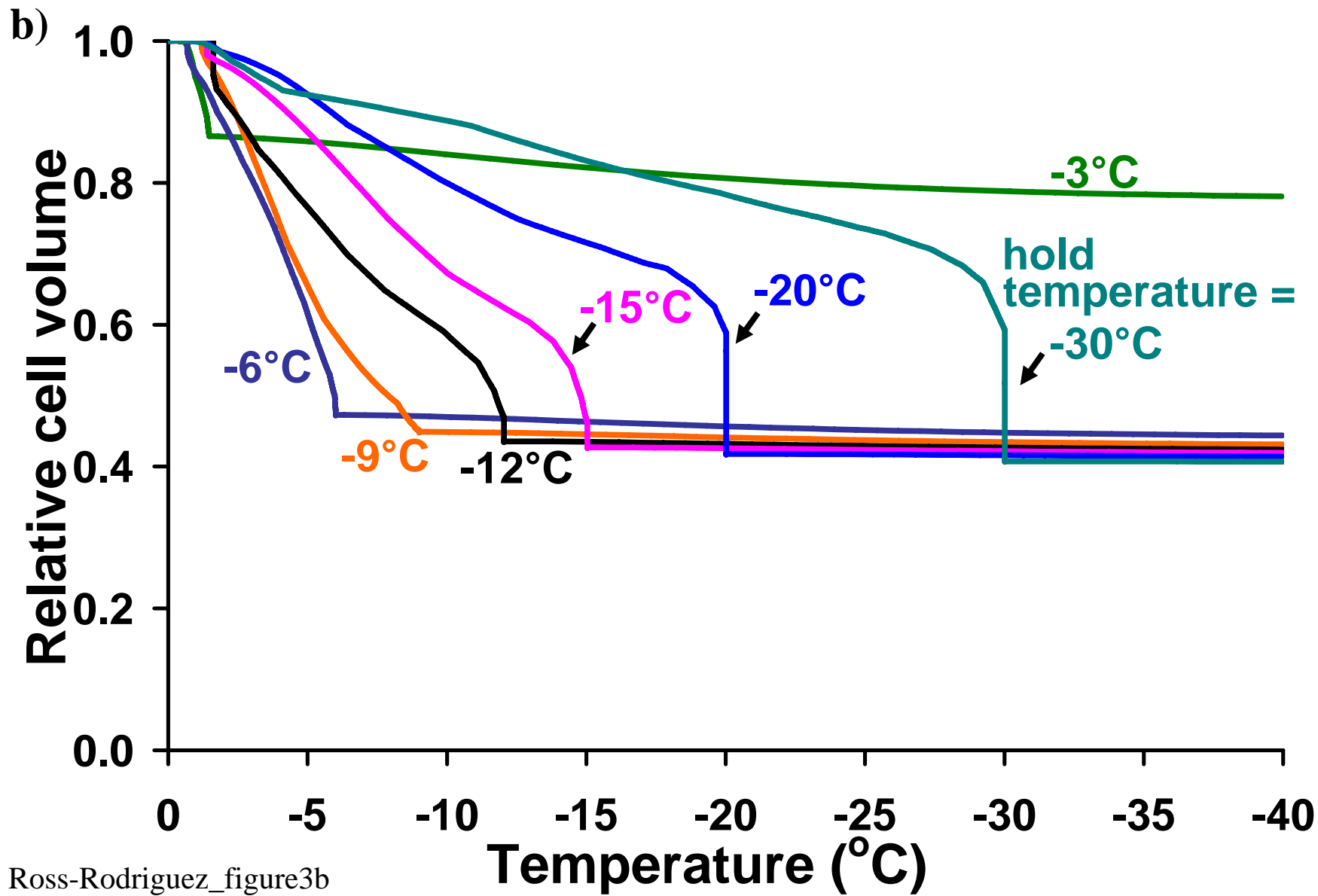


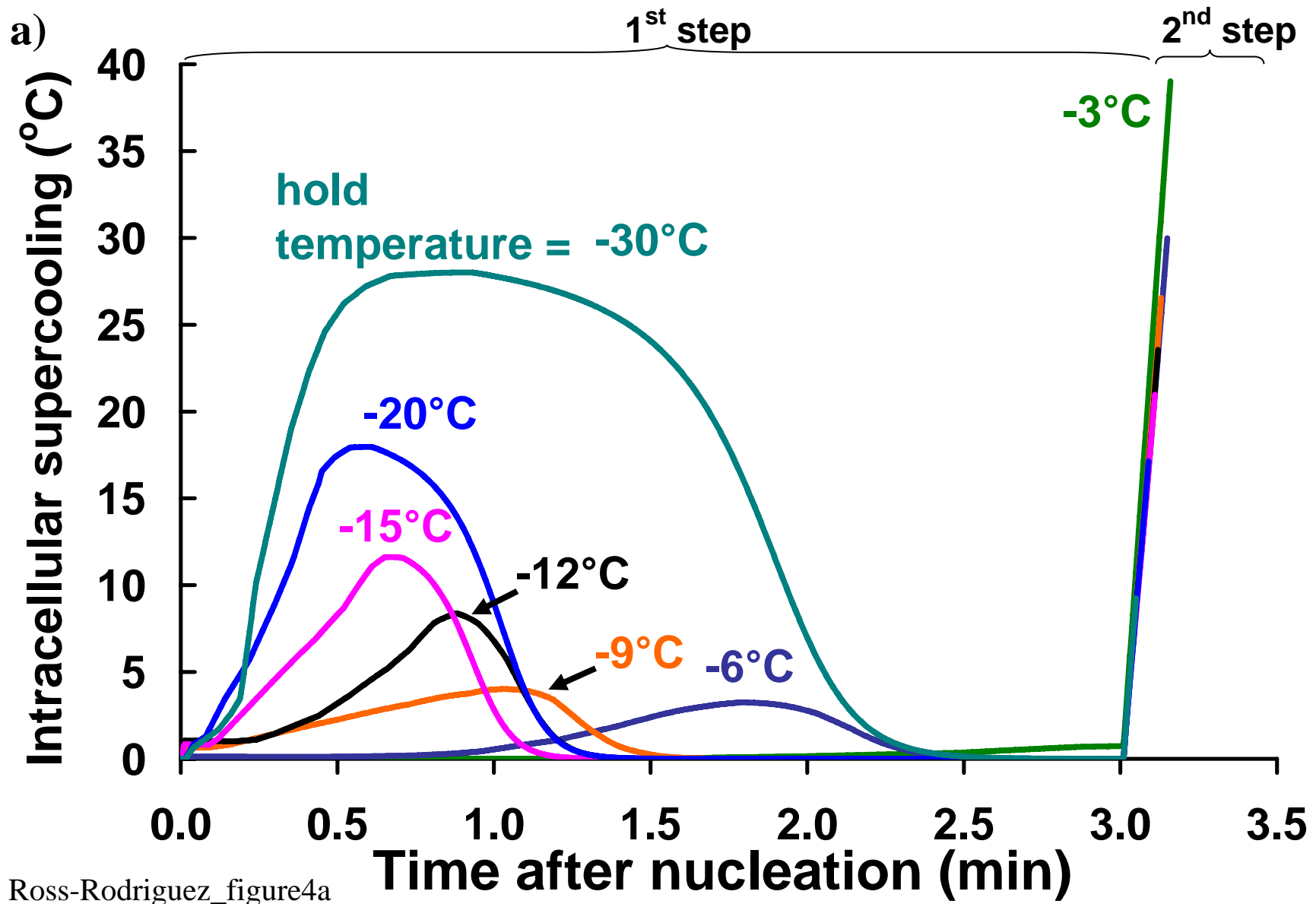
Ross-Rodriguez_figure 1

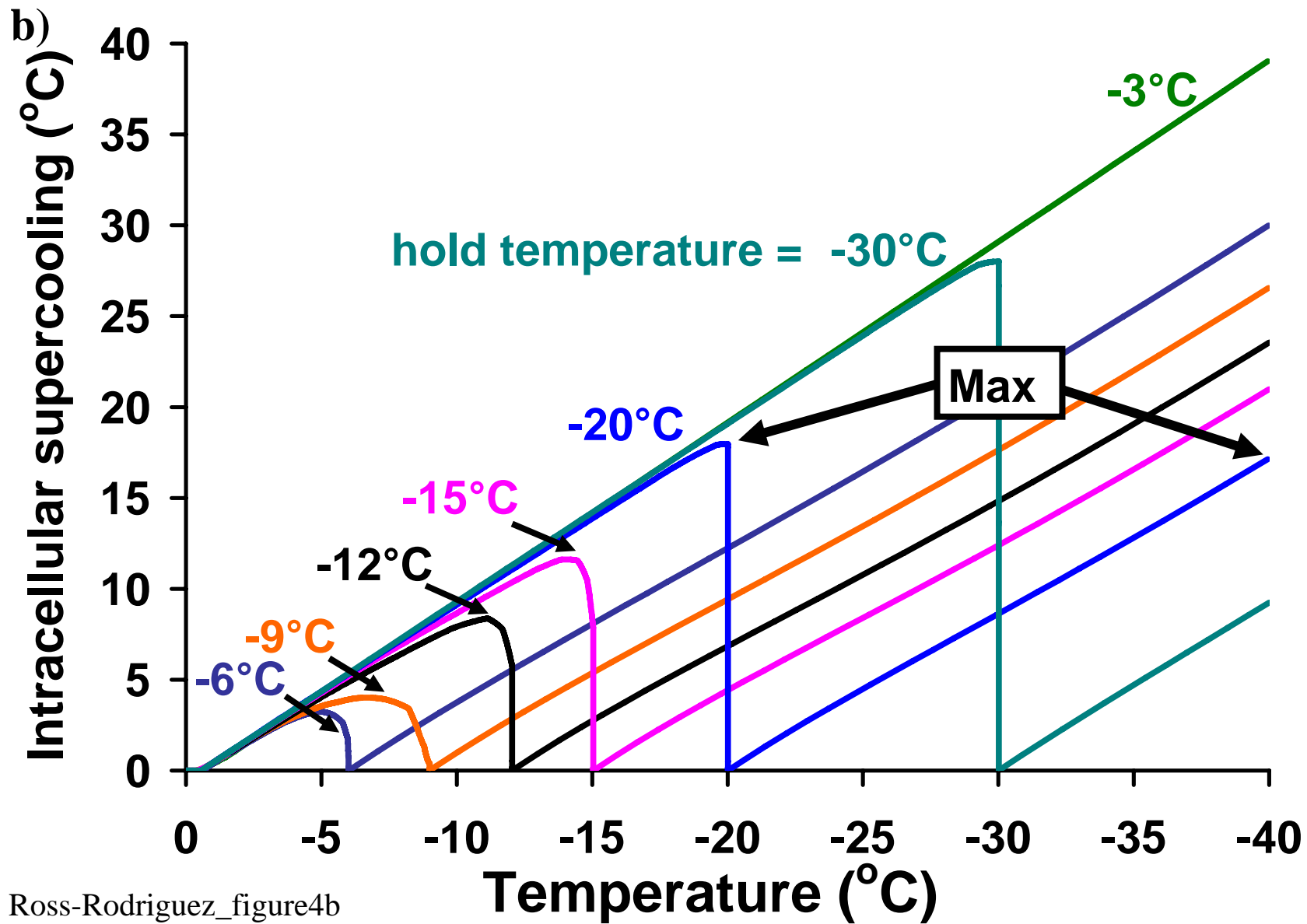


Ross-Rodriguez_figure2

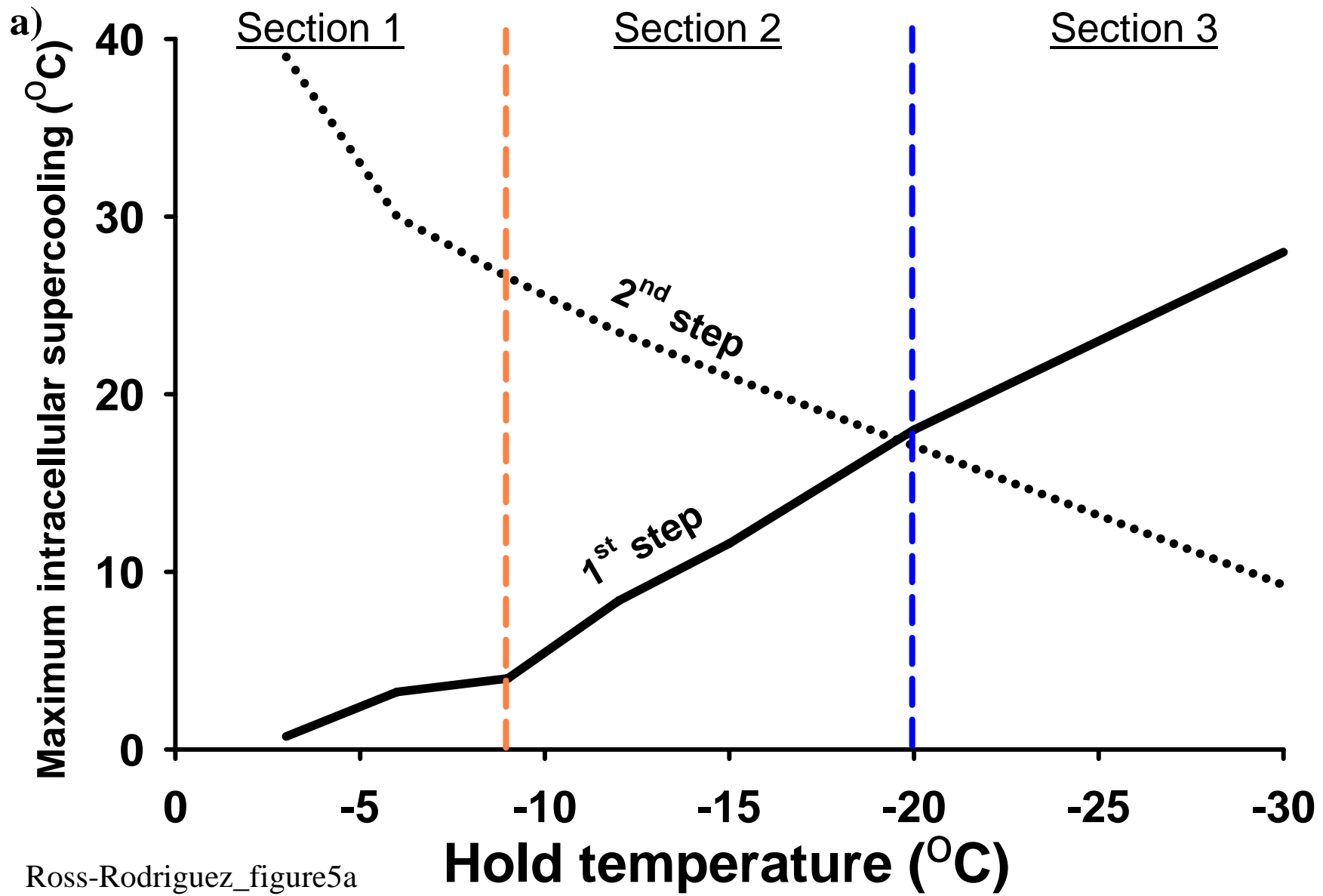




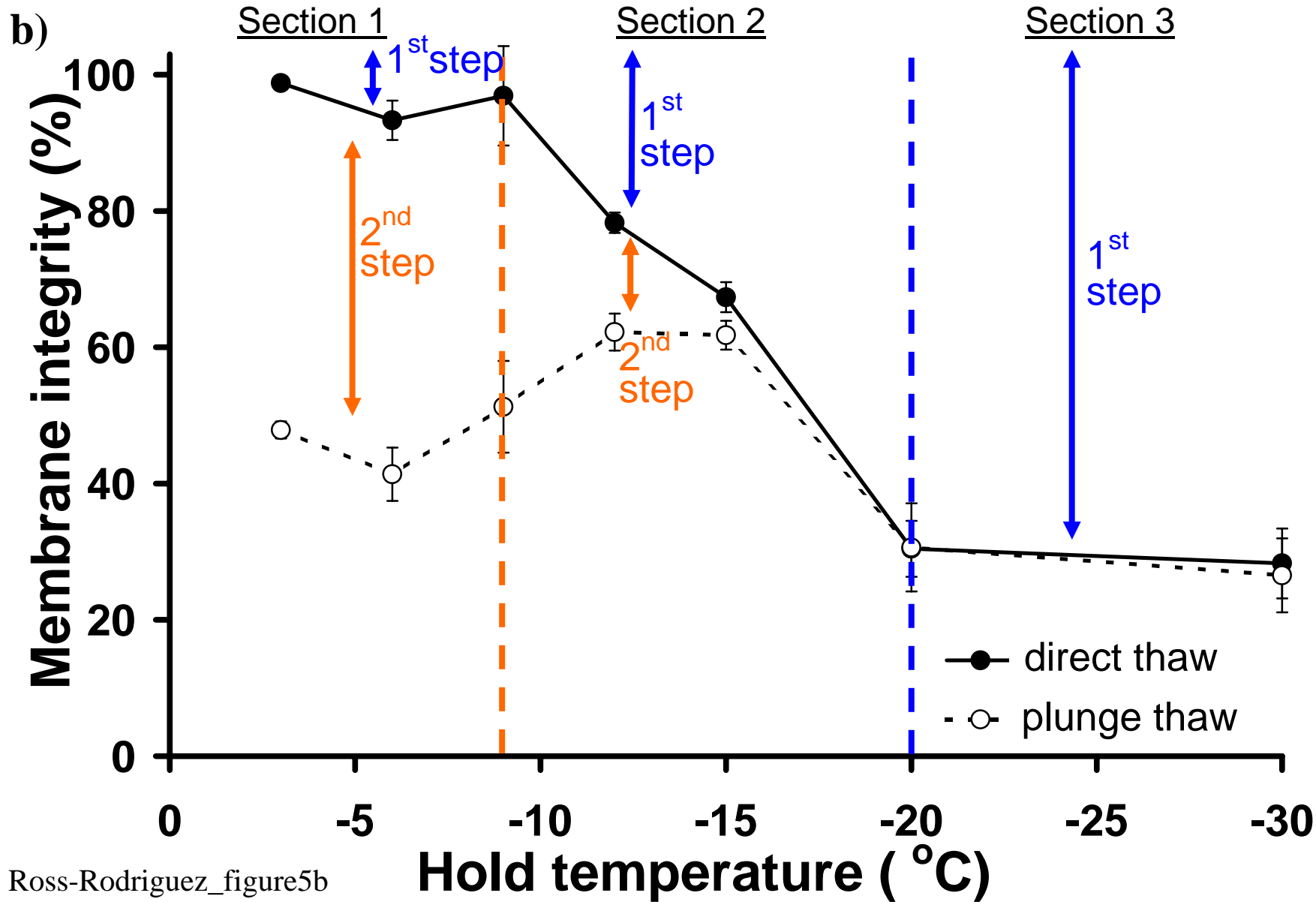


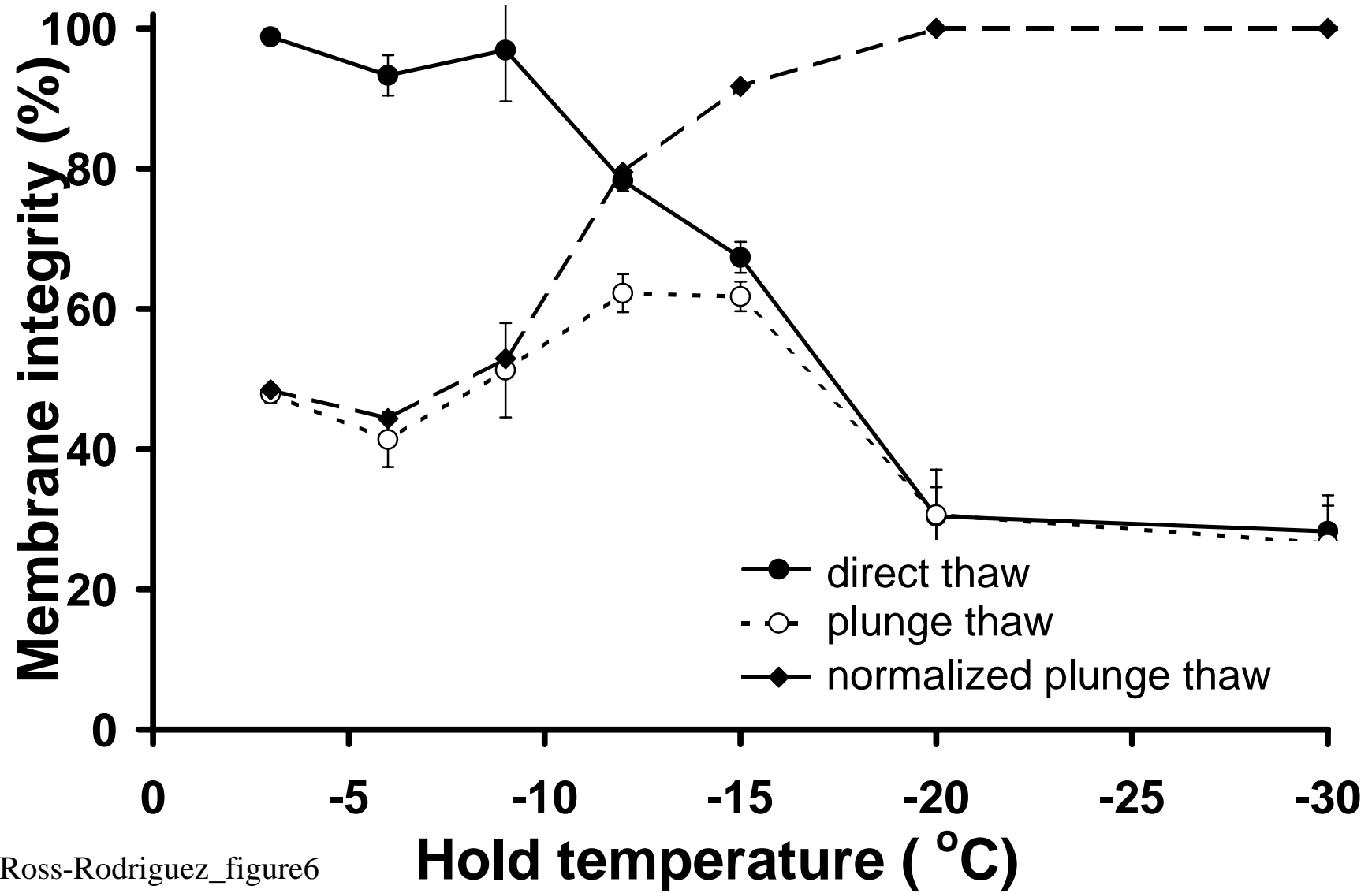


Ross-Rodriguez_figure4b

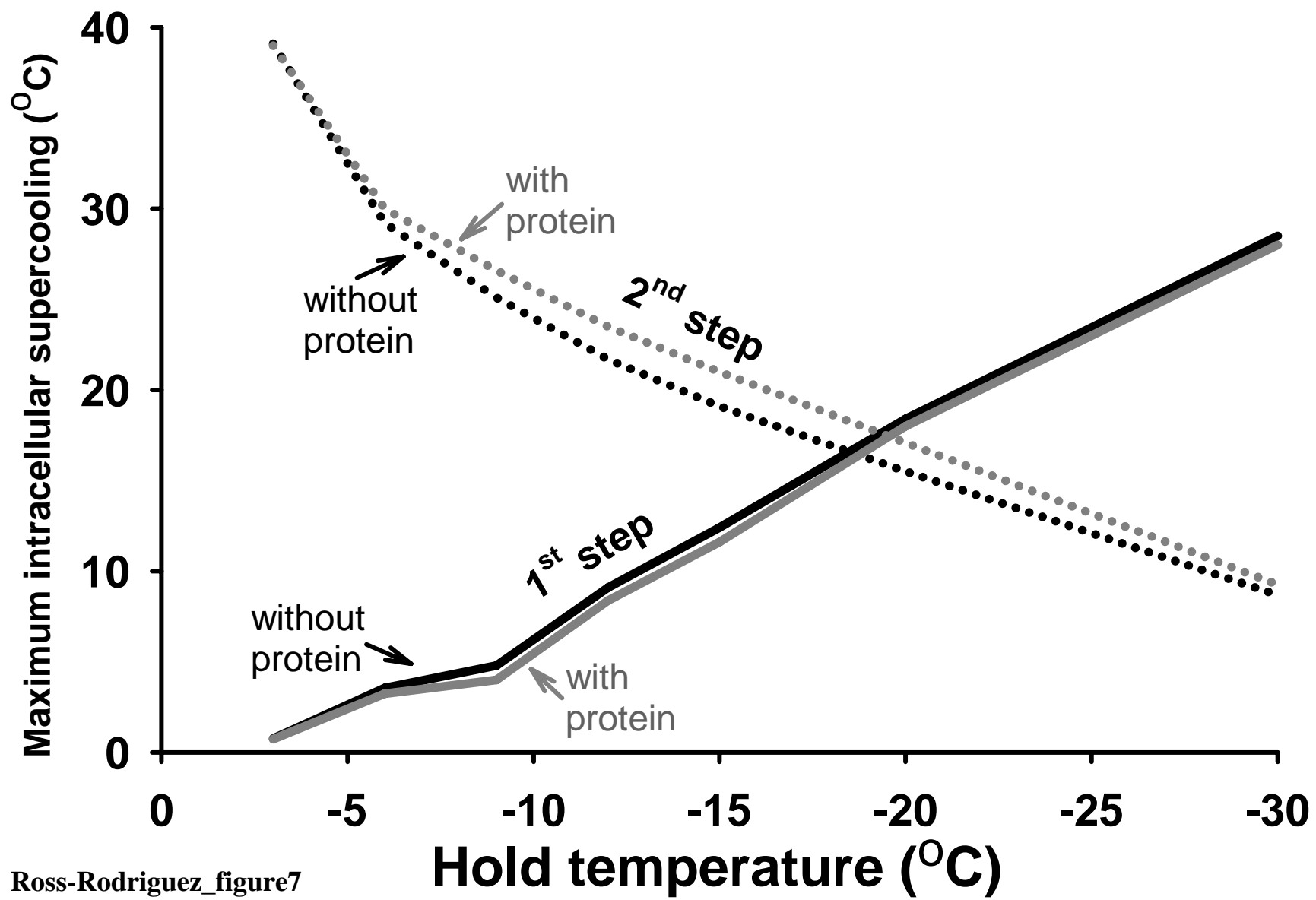


Ross-Rodriguez_figure5a

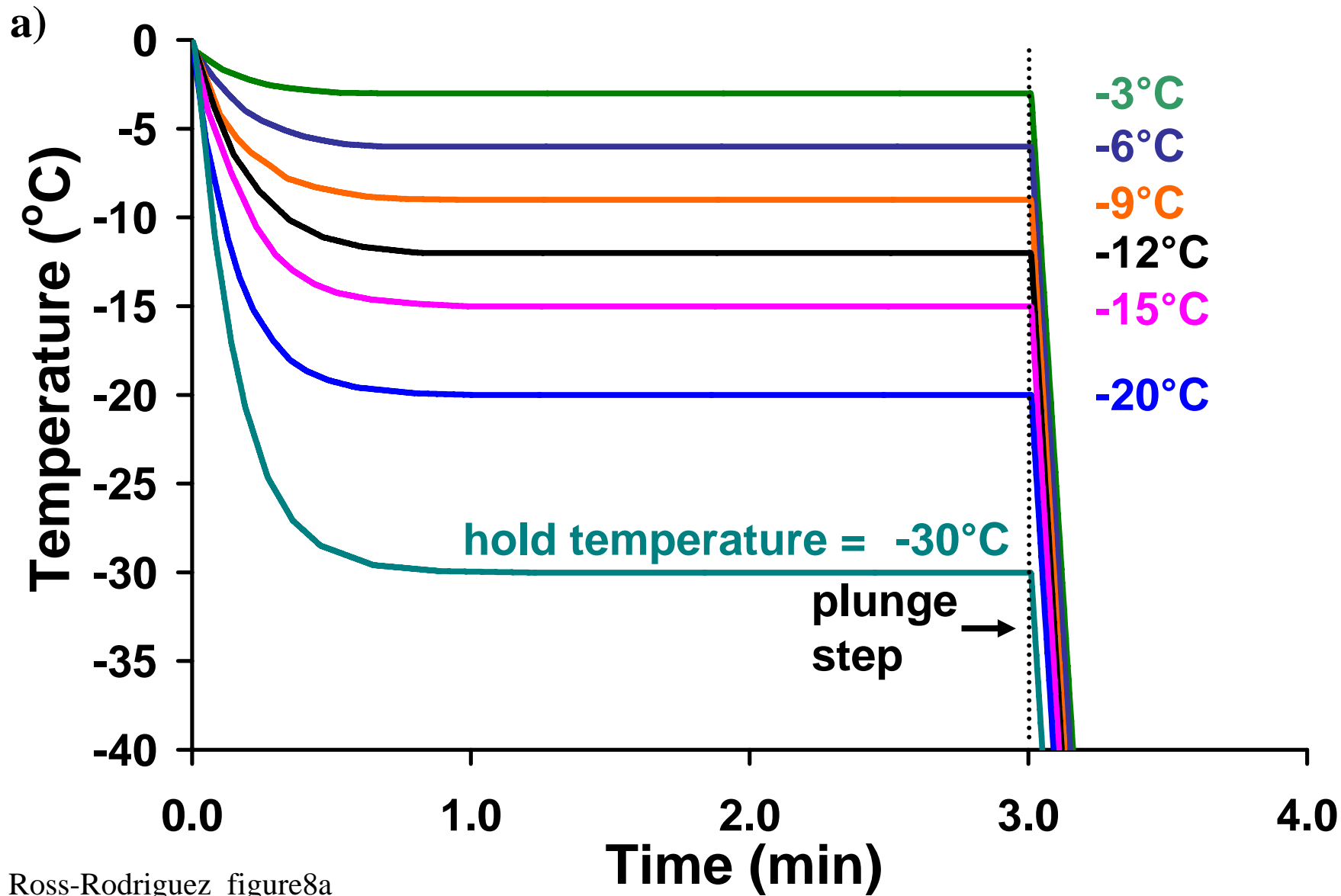


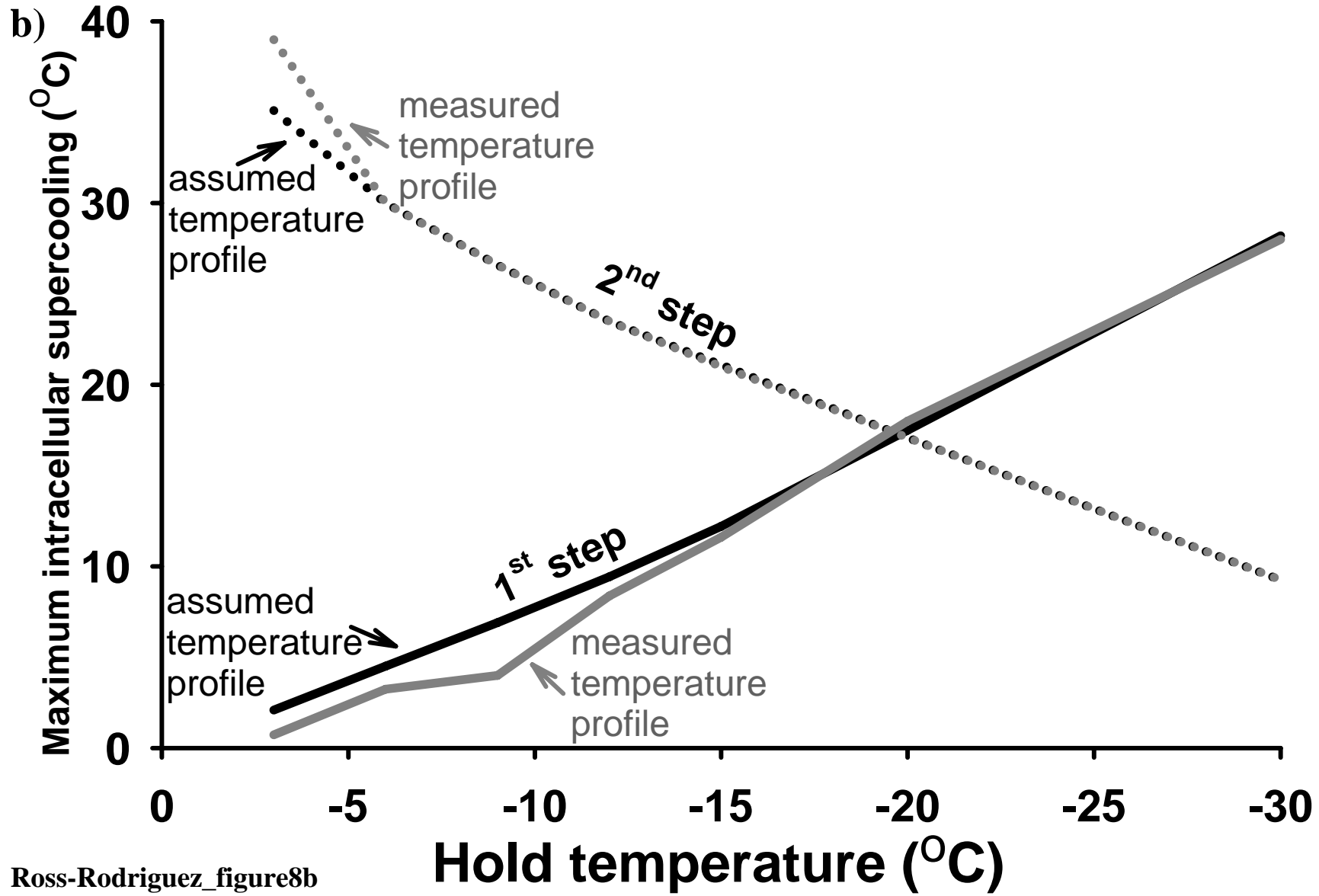


Ross-Rodriguez_figure6



Ross-Rodriguez_figure7





Ross-Rodriguez_figure8b