# High-Velocity Impact Failure Modeling of Armox 500T Steel: Model Validation and Application to Structural Design

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## Abstract

As an advanced fracture model, the Generalized Incremental Stress State dependent damage MOdel (GISSMO) provides non-linear damage accumulation formulation to better predict ductile fracture initiation associated with a wider range of material stress states. In this study, we simulate the high-velocity impact failure of Armox 500T steel through computational finite element modeling in the LS-DYNA/Explicit solver. Here, the GISSMO is used to describe the ballistic responses of the Armox 500T steel under dynamic impacts. Two sets of the most important parameters in the GISSMO (i.e., the fracture and instability surfaces) are determined for the Armox 500T steel. The GISSMO is calibrated in terms of the fade exponent and mesh regularization functions using the force-displacement curve of tensile tests extracted from the literature. The calibrated GISSMO is then scaled with the strain rate and validated by comparing quantitative measurements from ballistic experiments provided in the literature. Once validated, the model is then used to investigate the impact failure behaviors of Armox 500T steel by simulating bi-layer configurations consisting of an Armox 500T steel front plate and a rolled homogeneous armour (RHA) steel backing plate impact from a 20 mm fragment simulating projectile. The roles of the standoff distance and impact angle of obliquity on impact failure behaviors of bi-layered steel systems are explored. Altogether, results from this study provide new capabilities and insights into the design of armor structures with respect to lightweight and ballistic performance, and evaluation of impact failure behaviors of Armox 500T/RHA bi-layered systems.

Keywords: Armox 500T steel, Ballistic impact, GISSMO, Impact failure behavior, LS-DYNA, RHA

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#### 1. Introduction

Armox 500T steel is increasingly used in personal protective equipment and hybrid armor systems within armored vehicle structures due to its high hardness, fracture strength, and impact toughness [1–4]. In the literature, this ballistic of the material has been investigated experimental and numerically (e.g., ballistic

- limit [2], residual stress [5, 6], and energy dissipation [7]), including the effect of structural geometries (e.g., thickness [2], areal density [8]) under various ballistic impact conditions. For instance, Iqbal et al. [2] experimentally studied the ballistic resistance and predicted ballistic limits for both 8 mm and 10 mm thick plates made of Armox 500T steel under high-velocity impact. There are only limited experimental data sets (e.g., velocity and plate thickness ranges [2]) in the literature because of the high cost of materials and limitations in diagnostic methods for extracting impact-related properties and phenomena (e.g., change of mechanisms). Therefore, numerical approaches are often employed to study ballistic responses of the materials in multi-layered configurations [9, 10]. This study provides an improved constitutive model to describe the ballistic performance of Armox 500T steel and could be used to design armor systems more efficiently using finite element simulations.
- The ballistic performance of armor systems is influenced by different factors, including properties of the material [11–13], impactor type and impact velocity [14, 15], impact angle of obliquity, number, order, and thickness of layers [16–18]. Past efforts have been focused on exploring the effectiveness among the monolithic, in-contact, and multi-layered plate configurations [19–23], and the standoff distance in multi-layered plates [24–29]. Corran et al. [19] carried out impact experiments on various target combinations against different
- <sup>20</sup> projectiles (e.g., nose shape and mass) and found that the in-contact plates had better performance compared to the equivalent monolithic plates subjected to impact from a blunt projectile impact. In addition, according to the perforation energy results from their study, the in-contact plates offered better ballistic resistance than plates with a 12 mm standoff distance. In another study, Zhang et al. [27] experimentally studied the ballistic responses of multi-layered metallic targets against blunt projectiles, and they concluded that targets with a
- <sup>25</sup> bigger standoff distance (i.e., 100 mm) had better ballistic resistance than targets with a smaller standoff distance (i.e., 6 mm). Here, this study aims at developing a better understanding of the roles of the standoff distance (i.e., 0 and 20 mm) and angle of obliquity (i.e., 0 and 30 deg) on the impact failure behaviors of Armox 500T steel while considering a lightweight design. A bi-layer armor system is constructed with an Armox 500T steel front plate backed by a rolled homogeneous armor (RHA) steel plate that is impacted by
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a 20 mm fragment simulating projectile (FSP). To better predict the ballistic performance of the system, an appropriate modeling which is capable in describing the material plasticity and fracture-mechanical behavior is required.

In the literature, multiple modeling approaches have been employed to investigate the mechanical responses of ductile materials under dynamic impact. For example, micromechanical-based models, such as

- the Gurson Tvergaard–Needleman (GTN) model [30], have been used for predicting crack initiation and propagation via void nucleation and growth [31, 32]. Alternatively, phenomenological constitutive models, such as the Johnson-Cook (JC) model [33, 34], Zerilli-Armstrong model [35], and Lemaitre model [36], have also been used to describe the fracture behaviors of ductile materials (e.g., Johnson-Cook model for Armox 500T steel [2, 8, 37–42]). However, the JC damage model incorporates the effect of stress triaxiality without
- <sup>40</sup> accounting for the effect of Lode angle parameter, which has been shown to be important by Popławski et al. [4]. In addition, other studies have also identified that Lode angle parameter plays an important role in the ductility description, damage evolution, and fracture prediction of materials [43–46] because it captures the relationship between the three principal stresses and the intermediate stress. For example, the importance on Lode-dependent plasticity behaviors and accuracy on ballistic responses of ZK61m magnesium alloy were
- <sup>45</sup> demonstrated in the study done by Deng et al. [45]. They found that the fracture loci of the material is significantly sensitive to Lode angle and demonstrated that introducing the Lode angle parameter to the plasticity and fracture models can improve the accuracy of the ballistic prediction. In a recent study, Popławski et al. [4] found that the fracture properties of Armox 500T steel were dependent on stress triaxiality and the Lode angle parameter. As an alternative to the JC model, the Generalized Incremental Stress State dependent
- <sup>50</sup> damage MOdel (GISSMO) was proposed to predict the onset of fracture [47, 48] incorporating the stress triaxiality and Lode angle parameter [49]. In the GISSMO framework incorporating the effects of stress triaxiality and Lode angle parameter, the damage is non-linearly accumulated under non-proportional strain paths until fracture; this is important because the stress triaxiality is not constant and equivalent plastic strain at fracture does not remain the same at different stress triaxiality [50]. The accumulated instability
- intensity defines the necking occurrence and initiates the coupling procedure of the damage and stress tensor until the fracture [50]. To date, no studies have developed a GISSMO for Armox 500T steel, thus motivating our current efforts.

Past studies have implemented GISSMO for modeling crashworthiness [51–53], sheet metal forming processes [54], self-pierce riveting process [55, 56], 3D-printed structures applications [57, 58], and blast loading [59, 60]. Dai et al. [53] developed the GISSMO associated with fully characterized stress state for quenched boron steel. In their study [53], the effectiveness of the GISSMO in fracture modeling of the crashworthiness analysis has been demonstrated through both simulated and experimental results on quantitative (i.e., force-displacement curves) and qualitative (i.e., deformation mode) measures. In another study, Polyzois and Toussaint [59] studied the fracture properties of the AlgoTuf 400F steel and accurately reproduced blast

experiments in terms of fractography and target deformation using the GISSMO in LS-DYNA software. These studies [61–66] have shown that the GISSMO enables better fracture prediction in modeling impact events. Altogether, the GISSMO has been chosen in this study as the model framework to predict ductile fracture of Armox 500T steel: (1) its path-dependent fracture and instability criterion captures the stress state-dependent material fracture behavior, resulting in more accurate reproduction of experiments involv-

ing Armox 500T (e.g., tensile testing [50, 67] and ballistic impact [64, 68]), (2) its fade exponent function 70 controls a given state of stress fading and this is important because it governs the rate of energy dissipation [48] (3) its regularization feature can reduce the mesh size sensitivity on fracture prediction, where larger element sizes can be used to reduce the computational time and scale the fracture surface of the material to a range of different element sizes [69], and (4) its fracture parameters can be scaled associated with the strain rate to describe the material behavior under high strain rate conditions [2]. 75

This study built on previous efforts on failure modeling of Armox 500T steel [2, 4] to implement the strain rate- and stress state-dependent GISSMO in ballistic impact events. The framework of this paper is as follows: firstly, the computational models used in LS-DYNA simulations are described (see Section 2). Secondly, model parameters of the Armox 500T steel consisting of the fracture and instability surface data are extracted from the literature [4], and implemented into the GISSMO in LS-DYNA finite element code (see Section 3.1). Thirdly, a quasi-static tensile simulation is performed to determine the fade exponent and mesh regularization functions in the GISSMO (see Section 3.1). Then, the fracture parameters of the calibrated model are scaled using the fracture strain versus strain rate results of Iqbal et al. [2], and then ballistic impact experiments presented in [2] are reproduced (see Section 3.2). Finally, the validated model is used to study structural design of bi-layered Armox 500T armor with considerations for minimizing the back plate thickness and system weight (see Section 3.3 and 4).

2. Methodology

In this study, the LS-DYNA/Explicit R11.1 software is chosen for computational finite element modeling because it is well-suited for simulating structural-scale ballistic impact cases [70]. The phenomenological Generalized Incremental Stress State dependent damage MOdel (GISSMO), which has been integrated into 90 the LS-DYNA finite element code, is used to describe the fracture behavior of Armox 500T steel and to predict impact failure undergoing strain rate- and stress state-dependent loading [2, 4]. The present study focuses on the GISSMO parameterization for the Armox 500T steel and its validation with ballistic simulations. In the following sections, parameters determination and calibration are shown (see Section 3.1), and model validation is demonstrated (see Section 3.2).

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# 2.1. Generalized Incremental Stress State Dependent Damage MOdel (GISSMO)

The stress triaxiality dependent GISSMO was proposed by Neukamm et al. [47, 48], and the Lode angle dependence was studied by Basaran et al. [49]. The GISSMO describes ductile damage evolution and predicts the fracture initiation incorporating incremental damage and instability formulations. The GISSMO algorithm defines an evolution law of the phenomenological damage factor (D) in an exponential equivalent

plastic strain formulation shown in Equation (1).

$$D = \left(\frac{\varepsilon^{pl}}{\varepsilon_f(\eta, \bar{\theta})}\right)^n \tag{1}$$

where *n* is the damage exponent that defines the non-linearity of damage evolution,  $\varepsilon^{pl}$  is the current equivalent plastic strain, and  $\varepsilon_f(\eta, \bar{\theta})$  is the equivalent plastic strain at fracture as a function of stress triaxiality  $(\eta)$ , and Lode angle parameter  $(\bar{\theta})$ . When D = 0, the material is intact, and when D = 1, failure occurs. The stress triaxiality  $(\eta)$  defines the ratio of the hydrostatic stress  $(\sigma_m)$  or hydrostatic pressure (p)to the von Mises stress  $(\sigma_{vm})$  [71], and the Lode angle parameter  $(\bar{\theta})$  is defined as the third deviatoric stress invariant to quantify the stress deviation state [72], as defined in Equation (2) and (3), respectively:

$$\eta = \frac{\sigma_m}{\sigma_{vm}} = -\frac{p}{\sigma_{vm}} \tag{2}$$

$$\bar{\theta} = \cos\left(3\theta\right) = \frac{27}{2} \frac{\det(s)}{\sigma_{vm}^3} \tag{3}$$

where  $\sigma_m = \frac{\sigma_1 + \sigma_2 + \sigma_3}{3}$ ,  $\sigma_{vm} = \sqrt{\frac{(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2}{2}}$  with  $\sigma_1, \sigma_2, \sigma_3$  being three principal stresses, s is the deviatoric stress tensor, and  $\theta$  is the Lode angle.

In the GISSMO algorithm, the damage factor (D) and instability factor (F) can be differentiated with respect to time for non-proportional loading:

$$\Delta D = \frac{n}{\varepsilon_f(\eta,\bar{\theta})} D^{\left(1-\frac{1}{n}\right)} \Delta \varepsilon^{pl} \tag{4}$$

$$\Delta F = \frac{n}{\varepsilon_{crit} \left(\eta, \bar{\theta}\right)} F^{\left(1 - \frac{1}{n}\right)} \Delta \varepsilon^{pl} \tag{5}$$

In Equations (4) and (5), the incremental damage and instability formulations serve as functions of the current damage factor and instability measure, respectively.  $\varepsilon_{crit}$  is the critical strain (i.e., equivalent plastic strain at the onset of necking) to serve as a weighting function of the actual stress state, and  $\Delta \varepsilon^{pl}$  is the incremental equivalent plastic strain. Generally defined at the onset of diffuse necking, the instability factor reaches unity so that accumulated damage initiates coupling to the stress tensor, and this allows for material softening by deriving the Lemaitre's classical principle of effective stress [36, 73]:

$$\sigma_m^* = \sigma_c \left( 1 - D^* \right) \tag{6}$$

$$D^* = \begin{cases} 0 & \text{if } F < 1\\ \left(\frac{D - D_{crit}}{1 - D_{crit}}\right)^m & \text{if } F = 1 \end{cases}$$

$$\tag{7}$$

where  $\sigma_m^*$  is the modified stress coupled to damage,  $\sigma_c$  is the current stress, and  $D_{crit}$  is the critical threshold damage value corresponding to the unity instability factor. Then, the modified stress can be calculated by:

$$\sigma^* = \sigma_c \left( 1 - \left( \frac{D - D_{crit}}{1 - D_{crit}} \right)^m \right) \text{ for } D \ge D_{crit}$$
(8)

where m is the fade exponent, which can be defined as a function of mesh sizes to govern the stress fading and control the energy dissipation.

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#### 2.2. Rationale of the GISSMO Implementation

The GISSMO incorporates the effect of the stress triaxiality and Lode angle parameter to predict the onset of fracture of ductile materials [47–49]. In our study, the overall procedure to establish the GISSMO is displayed in Figure 1. In the GISSMO parameterization (see Section 3.1), designing and testing different geometries of specimens is to characterize the stress state of the material. Specifically, formulated by the 105 equivalent plastic strain at fracture as a function of the stress triaxiality and Lode angle parameter, the fracture surface is to describe the fracture at different stress triaxialities with considerations for non-proportional strain paths [74]. The instability surface is established by the critical strain as a function of stress triaxiality and Lode angle parameter. It describes the appearance of necking and coupling initiation of the damage and stress tensor of the material under the non-proportional loading [75]. Next, in the GISSMO calibration (see 110 Section 3.1), the damage exponent, fade exponent curve, mesh regularization curve, and strain rate scale curve are determined. The damage exponent allows for non-linear damage accumulation [48, 69]. The fade exponent governs the stress fading rate of the material and the post-necking behavior of the material until fracture (i.e., the rate of energy dissipation), which is defined as a function of mesh sizes [75]. The mesh regularization curve is used to regularize the fracture surface [74], energy dissipation over deformation [75], 115 and update the damage and equivalent plastic strain calculated from the reference mesh size to the used mesh size by multiplying the mesh regularization factor [53, 70, 76]. This is needed to provide a similar

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work principles of mesh regularization in the GISSMO. The strain rate scale curve is established by the normalized value as a function of strain rate to scale the fracture surface at different strain rates [66]. Then, we conduct the ballistic impact simulation to validate the calibrated GISSMO (see Section 3.2) and explore the structural design using the validated GISSMO (see Section 3.3 and 4).

fracture prediction when different mesh sizes are used [69, 74], which will improve consistency in fracture behavior realization. The governing equations are shown in the literature [70, 77] to further describe the



Figure 1: The flow chart for the GISSMO implementation.

#### 3. Numerical Simulations with the GISSMO Implementation

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This section demonstrates the GISSMO implementation in LS-DYNA applied for ballistic simulations with Armox 500T steel plates. Experimental and numerical data of Armox 500T steel from Poplawski et al. [4] are used to determine the model parameters and calibrate the GISSMO. Experimental impact data from Iqbal et al. [2] is then used to validate the dynamic ballistic behavior of the Armox 500T steel subjected to impact by 12.7 mm and 7.62 mm projectiles. The validated model is then applied in high-velocity impact applications. In this study, the projectile and RHA backing are modeling using the Johnson-Cook model and constants from the literature [2, 78–80]. The Johnson-Cook model descriptions are documented in Appendix A since they are not the focus of this study.

#### 3.1. Parameter Determination and Calibration for the GISSMO

The fracture surface and instability surface are determined for the Armox 500T steel using the data from <sup>135</sup> Popławski et al. [4]. The fracture surface is formed to quantify the fracture initiation using the equivalent plastic strain at the moment of fracture in the stress space of the material [70]. The stress state of the material is defined based on the stress triaxiality and the Lode angle parameter. The stress triaxiality is positive in the tension state and negative when the material is under a compressive state. In addition, the Lode angle parameter ranges from -1, representing the state of axisymmetric compression, to 1, representing the state of axisymmetric tension, with details are provided in Rad et al. [81].

Figure 2 (a) shows the fracture surface of Armox 500T steel that is produced as the GISSMO input by using the data from Popławski et al. [4]. Motivated by the literature [4, 82], the biharmonic spline interpolation method is used in this study for generating the fracture surface in MATLAB [83]. Next, the instability surface (see Figure 2 (b)) is constructed by initiating coupling of the damage to stress tensor for

- <sup>145</sup> which the strain at the necking point is usually taken as the critical strain for a measure of instability [4, 54]. Note that the shear and compression state data is not included in the instability surface parameterization for Armox 500T steel since no physical necking phenomena occurs on the conducted shear and compression experiments from the work done by Popławski et al. [4]. Then, surface discretization is conducted for both fracture and instability surfaces to generate incremental points using as GISSMO inputs into the LS-DYNA
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software. The DEFINE\_CURVE function is used to produce the equivalent plastic strain at fracture/critical strain and stress triaxiality curve for each Lode angle parameter value, and the DEFINE\_TABLE function is applied to construct the Lode angle parameter.



Figure 2: Parameterization of the GISSMO input surfaces: (a) fracture surface of the Armox 500T steel and (b) instability surface of the Armox 500T steel. The surfaces are produced using the biharmonic spline interpolation function in MATLAB based on the experimental data from Poplawski et al. (2020) [4].

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strain rate scale curve are required. In the GISSMO, the damage exponent is set to 2 allowing for non-linear damage accumulation, which is consistent with numerical settings from the literature [47, 69]. The effect of strain rate is necessary to be accounted for in the GISSMO framework to capture the responses of Armox 500T steel undergoing ballistic impact. In our study, the experimental equivalent plastic strains at fracture captured at different strain rates (0.0001/s to 10000 /s) derived from the experimental data of Iqbal et al. [2] are used and implemented into the GISSMO. In Figure 3, the experimental data [2] is fitted using a first-degree polynomial equation with a determined 0.873 R-Square value (y = ax + b, where a = 0.069 and b = 0.90). Next, the experimental equivalent plastic strain rate is then normalized with respect to the value at a strain rate of 0.1/s. Hence, the normalized value as a function of strain rate is then implemented into the GISSMO for Armox 500T steel.

Next, before calibrating the GISSMO, two numerical settings consisting of the damage exponent and



Figure 3: Equivalent plastic strain at fracture data from Iqbal et al. (2016) [2] used to account for strain rate effect into the established GISSMO.

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Figure 4 shows the 1/8 symmetric finite element (FE) model used for the GISSMO calibration by reproducing uniaxial tension tests with the same geometries and dimensions as the experimental work done by Popławski et al. [4]. The von Mises criterion-based model (MAT\_PIECEWISE\_LINEAR\_PLASTICITY [70]) serves as the strength model to describe the elastic-plastic behavior in Armox 500T steel. A hardening curve defines the true stress and plastic strain relation of Armox 500T steel, and the experimental data from the study of Popławski et al. [4] is used to parameterize our simulation parameters. The important parameters (density is 7850  $kg/m^3$ , Young's modulus is 207 GPa, and Poisson's ratio is 0.3 [4]) are also defined in this strength model, and these are also taken from the study of Popławski et al. [4]. Then, the

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GISSMO serves as the fracture model to predict the fracture initiation of Armox 500T steel. The magnified view in Figure 4 indicates the FE model is controlled by symmetric plane boundaries. A constant strain rate of 0.1/s is converted as the loading rate for representing a quasi-static condition [4]. The displacement controlled loading rate is assigned to the model in the positive x-axis direction. The FE model is meshed using the constant stress solid elements (ELFORM = 1), and these are controlled by the hourglass function (IQH = 4, QM = 0.03) in order to prevent the excitation of zero-energy degrees of freedom [70].



Figure 4: Tensile model configuration in LS-DYNA with symmetric boundary conditions used for the GISSMO calibration. Geometry and dimensions of the model are from Popławski et al. (2020) [4].

As shown in Figure 1, to calibrate the GISSMO, the fade exponent curve and mesh regularization curve are then determined using uniaxial tensile simulations, following works by Poplawski et al. [4]. The different mesh sizes (i.e., 0.1 mm, 0.2 mm, 0.25 mm, 0.35 mm, and 0.5 mm) are used in the simulations. First, it is 180 necessary to model the post-necking behavior of Armox 500T steel as it describes the procedure of stressstrain localization [84]. In our current study, the fade exponent is extracted by running iterative uniaxial tension simulations, and then matching the simulations with the experimental curves after the onset of necking at different mesh sizes. Second, Armox 500T steel has been shown to be sensitive to mesh size in the study done by Iqbal et. al [2], therefore, the mesh regularization function of the GISSMO is needed to reduce 185 the mesh size dependency and improve computational efficiency in the simulation [77]. Hence, a larger mesh size from the established mesh regularization curve can be used in our ballistic impact simulations. In our study, the displacement-at-fracture at different mesh sizes is simulated to establish the mesh regularization curve as shown in Figure 5 (c).

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Figure 5: Force-displacement curve of numerical results for different element sizes plotted against experimental results from Poplawski et al. (2020) [4]: (a) before applying the mesh regularization function, (b) fade and mesh regularization curve used in the GISSMO calibration, (c) after applying the mesh regularization function, and (d) comparison of numerical results for an alternative boundary condition with a smaller clamping area.

- Figure 5 (a) shows the numerical force-displacement curve for different mesh sizes before applying the 190 mesh regularization function. It is observed that the numerical force-displacement curve and experimental curve are in good agreement before the onset of necking. Once necking occurs, the numerical curve (i.e., fracture displacement) deviates from the experimental fracture displacement, and they are highly meshdependent. Figure 5 (b) shows the extracted fade exponent and the mesh regularization factor associated
- with each mesh size. The smoothing spline function in MATLAB is applied because it obtains the best-fit 195 to the data points. Note that the mesh regularization factor is calculated based on the curve with a 0.2 mm mesh size because of its closeness to the experimental data and extrapolated to a 0.05 mesh size to cover the mesh size range from 0.05 mm to 0.5 mm [4]. The mesh regularization curve is constructed to allow simulated force-displacement using different mesh sizes in accordance with the experimental measurements.
- Next, Figure 5 (c) shows the regularized numerical force-displacement curves, and they are in good agreement 200 with the experimental results after applying fade exponent and mesh regularization curves into the GISSMO. Lastly, Figure 5 (d) shows numerical results for an alternative boundary condition with a smaller clamping area, and the comparison results demonstrate that changing the boundary condition will not influence the calibration results. Altogether, the GISSMO is properly calibrated for further validations, and the 0.5 mm mesh size determined from the established mesh regularization curve can be used to model the Armox 500T

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# steel plate in ballistic impact simulations.

# 3.2. GISSMO Validation

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The first validation case presents a 10 mm thick Armox 500T steel plate subjected to impact by a 12.7 mm armor piercing incendiary (API) projectile and uses data from Iqbal et al. [2] (see Experiment A and B in Table 1). The second validation case is conducted using an 8 mm thick Armox 500T steel plate subjected to impact by a 7.62 mm API projectile and uses data from Iqbal et al. [2] (see Experiment C in Table 1). The third validation case aims to compare the results presented in the study of Iqbal et al. [2] with those of the ballistic limit and Recht-Ipson model [85] for a 10 mm thick Armox 500T steel plate.

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First, Figure 6 shows the configuration of the FE model setup and dimensions of the target plate used as a high-velocity ballistic impact validation case. The Armox 500T steel target plate with a 200 mm  $\times$  200 mm span and a 10 mm thickness is impacted by a 12.7 mm API projectile at the plate center at velocities of 832 m/s and 842 m/s, following the work by Iqbal et al. [2]. The 100 mm  $\times$  100 mm  $\times$  10 mm impact site of the Armox 500T steel target is discretized with constant stress solid elements with a 0.5 mm element size and variable meshing in other locations is considered to reduce the computational cost.

Figure 6 demonstrates the detailed geometry and dimensions of the deformable steel core of the 12.7 mm 220 API projectile modeled using the JC model (see Appendix A), which has 52.6 mm in total length, 10.9 mm in shank diameter, 7.65 mm in tail diameter, and 19.1 mm in nose length. The API projectile tip site is consistently meshed with a 0.5 mm element size. The hourglass control and artificial viscosity function

are applied to the projectile (IHQ = 5, QM = 0.15, Q1 = 1.5, Q2 = 0.06) and ballistic target (IHQ = 4, QM = 0.03, Q1 = 1.5, Q2 = 0.06). Table A.3 provides the JC strength and damage model parameters for the 12.7 mm API projectile corresponding to Mie–Grüneisen equations of state parameters from Kury et al. [86]. Following the recommendation of Iqbal et al. [2], the ERODING\_SURFACE\_TO\_SURFACE function is applied as the kinematic segment-based contact between the projectile served as the master segments and the target employed as the slave segments with a dynamic friction coefficient of 0.02 [87, 88]. The impact

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velocities of 832 m/s and 842 m/s with the positive x-axis direction are assigned to the projectile. The nodes in the periphery of the target are fixed in six degrees of freedom. The node at the rear center of the projectile is traced to measure the projectile residual velocity. Finally, in the second ballistic impact validation case from Iqbal et al. [2], the same Armox 500T steel plate mesh is used except that the plate is now 8 mm thick. The 7.62 mm API projectile is modeled with a steel core, lead fillet, and copper jacket. The projectile impact velocity is 824 m/s, and the residual velocities given in Table 1 are compared with the results of Iqbal et al. [2] to confirm successful validation.



Figure 6: Numerical setups for ballistic impact of a 10 mm thick Armox 500T steel plate subjected to impact by the 12.7 mm armor piercing incendiary projectile. Geometries with dimensions are replicated from Iqbal et al. (2016) [2].

In the first two validation cases, Table 1 summarizes the experimental and simulated ballistic impact results comparing impact velocity, projectile residual velocity, and the percentage errors between numerical and experimental data [2]. It is observed that the final projectile residual velocity is within 3% error between

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the experimental data and numerical predictions, and this outperforms the JC model presented in Table 1. In addition, the typical impact failure behaviors after impact are also reproduced in LS-DYNA simulations, including the frontal spallation, a bulge at the back plate surface, and a circular hole on the target. A good agreement is found between the diameters of circular holes of 13.1 mm and 8.1 mm reported in the experiments [2], and 13.2 mm and 8.2 mm measured in the LS-DYNA simulations for Armox 500T steel plates subjected to impact by the 12.7 mm and 7.62 mm projectile, respectively.

In the last validation case, multiple impact velocities are employed as recommended by Iqbal et al. [2]. In the current study, the ballistic limit is determined to be 494 m/s, and this is in good agreement with the one reported in the study of 501 m/s [2]. The simulated results are then fitted using the least square method with a determined 0.998 R-Square value to calibrate the Recht-Ipson model parameters (a = 1.0 and p = 1.94)

[85]. Figure 7 illustrates good agreement between the simulated projectile residual velocity and the results from Iqbal et al. [2] for a 10 mm thick plate impacted by a 12.7 mm API projectile. Overall, both qualitative observations (i.e., fracture behaviors with plate spalling and bulging) and quantitative measurements (e.g., projectile residual velocity and hole size after impact) confirm the GISSMO is validated and able to capture the impact response of the Armox 500T steel.

Table 1: Comparison of projectile residual velocity and perforation hole diameter between experimental ballistic results from Iqbal et al. (2016) [2] and LS-DYNA simulation results.

Ballistic Experiments	nents Impact Projectile Residual		% Error	Reported Perforation	
	Velocity	Velocity [m/s]		Hole Diameter [mm]	
	[m/s]				
Experiment A	832	664 (Simulation from [2]: 692)	4.2		
LS-DYNA Simulation	832	678	2.1	Exp. Reported: 13.1	
Experiment B	842	686 (Simulation from [2]: 709)	3.4	Sim. Measured: 13.2	
LS-DYNA Simulation	842	688	0.3		
Experiment C	824	334 (Simulation from [2]: 350)	4.8	Exp. Reported: 8.1	
LS-DYNA Simulation	824	334	0.0	Sim. Measured: 8.2	



Figure 7: Comparison of projectile residual velocity in LS-DYNA simulation and numerical results from Iqbal et al. (2016) [2] and calculated results from the Recht–Ipson model [85].

#### <sup>255</sup> 3.3. Numerical Setups of the Structural Design Application

This section demonstrates the application of the GISSMO in structural design by investigating the impact behaviors of a bi-layer plate system against a fragment simulating projectile (FSP) using the LS-DYNA hydrocode. The roles of the standoff distance and impact angle of obliquity on the performance of the steel armor system are explored in this section. Table 2 shows the four configurations and numerical setups implemented in this study. Specifically, the 20 mm FSP with the mass of 54.5 grams is used to simulate the features of fragmentation during penetration of a multi-layered target [89]. The bi-layer systems consist of a 10 mm thick Armox 500T steel front plate and a 12.7 mm thick RHA back plate. Following the assumption of Iqbal et al. [2] and to prevent the damage of the plates interfering with the boundary, 200 mm  $\times$  200 mm targets are created and weigh 7.13 kg. The 20 mm standoff distance is chosen to allow enough space between plates to prevent bulging of the front plate interfering with the back plate [28]. The 30-degree inclined angle and the impact velocity of 1000 m/s are selected to prevent projectile sliding from the front plate and to allow the front plate to be fully penetrated, respectively.

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element with the size of 0.5 mm is consistently used to discretize the 100 mm  $\times$  100 mm impact site of front and back plates. The hourglass control (IHQ = 4, QM = 0.03) and artificial viscosity (Q1 = 1.5 and Q2 = 0.06) function are used for both plates. The validated GISSMO is used to model the Armox 500T steel plate and Table A.4 provides the JC model parameters for the RHA plate associated with the Mie–Grüneisen equations of state parameters from the study by Kohn et al. [90]. Note that the JC strength model parameters are taken based on the 3-20 mm thickness of the RHA class 1 plate in the study done by

Figure 8 shows the model configuration in ballistic simulations. Specifically, the constant stress type

- <sup>275</sup> Neuberger et al. [78]. The JC damage model parameters of the RHA are obtained from work by Johnson and Cook [34], and this set of damage parameters are also used in the Joo et al. study [91]. Moreover, the geometries with dimensions of the 20 mm FSP follow the standard from MIL-DTL-46593B (MR) [92]. To maintain consistency, the shank site of the projectile is also meshed with an element size of 0.5 mm and controlled by hourglass and artificial viscosity functions (IHQ = 4, QM = 0.03, Q1 = 1.5, Q2 = 0.06). Table
- A.4 also provides the JC model parameters for the 4340 steel FSP given by Ng et al. [79] and Serjouei et al. [80] and Mie–Grüneisen equations of state parameters from work by Thurber et al. [93]. In addition, following the recommendation of Iqbal et al. [2], the nodes in the periphery of both plates are fixed, and the kinematic segment-based ERODING SURFACE\_TO\_SURFACE contact is used between the projectile (serving as the master segments) and the targets (employed as the slave segments) with a dynamic friction coefficient of
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- 0.02 [87, 88]. The static friction coefficient of 0.5 in the AUTOMATIC\_SURFACE\_TO\_SURFACE contact setting is suggested if the plates are in-contact [26].

Table 2: Impact configurations to investigate the role of the standoff distance and angle of obliquity on the ballistic performance of the steel armor system. The maximum depth of penetration for a 12.7 mm thick RHA plate, minimum RHA plate thickness required to stop the projectile, and mass of the bi-layer plates are compared for the different noted impact configurations.

	Configuration	Standoff	Inclined	Maximum	Minimum	Mass of
		Distance	Angle	Depth of	RHA Plate	Bi-layer
				Penetration	Thickness	Plates
Configuration 1	-	$0 \mathrm{~mm}$	0 deg	7.80 mm	4.70 mm	4.61 kg
Configuration 2	-	$20 \mathrm{~mm}$	0 deg	8.29 mm	4.75 mm	4.63 kg
Configuration 3	-/	$0 \mathrm{~mm}$	30 deg	4.57 mm	3.50 mm	4.24 kg
Configuration 4	-//	20 mm	30 deg	5.08 mm	4.00 mm	4.40 kg



Figure 8: Ballistic simulation configuration consisting of a 10 mm thick Armox 500T steel front plate and 12.7 mm thick RHA back plate with no standoff distance under a normal impact from a 20 mm fragment simulating projectile. Geometries of the FSP are replicated from MIL-DTL-46593B (MR) [92].

#### 4. Results and Discussion

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This section explores the role of selected standoff distances and impact angles of obliquity on the resulting ballistic performance of bi-layered armor systems impacted by a fragment simulating projectile. In this study, the maximum depth of penetration (DOP), minimum thickness for the RHA back plate required to stop the projectile, and failure modes on different impact configurations are compared across the different bi-layer system configurations (defined previously in Table 2).

#### 4.1. Impact Failure Behaviors of Bi-Layered Steel Systems

This sub-section describes the impact failure behaviors of Armox 500T steel front plate and RHA back plate bi-layer systems (see Figure 8). Figure 9 shows the projectile kinetic energy as a function of time with 295 corresponding insets at noted time instances showing the impact behavior of a system consisting of a 10 mm thick Armox 500T steel front plate and 12.7 mm thick RHA back plate. Figure 9 (a) represents the bi-layered steel system under a normal impact from a FSP. The key findings include: (1) compared to the plates with a 20 mm standoff distance (i.e., Configuration 2), a greater decrease in the rate of the projectile kinetic energy is found for the in-contact steel system (i.e., Configuration 1), and this may be related to 300

- impact phenomena such as a greater global deformation of the front plate, the back plate withstanding the deformation of the front plate, and in-contact plate promoting energy dissipation [22], (2) from the insets (i.e., Figure 9 (a1) and (a2)), the interference of the in-contact plates results in a greater deformation of the front plate in Figure 9 (a1) than its in Figure 9 (a2), and this is similar to the observations in Dey et al. [22], and (3) a greater deformation is observed in the projectile for the in-contact systems, and the projectile 305

has the typical mushrooming behaviors that are consistent with experimental and simulated observations in the literature [94, 95].

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plates with a 20 mm standoff distance in Figure 9 (a2) and (b2), the projectile kinetic energy for a 30 deg impact angle has a more rapid decrease during penetration into the front plate. This can be explained by noting the thickness of the plate in the impact direction (i.e., normal to the 30-degree impact angle) is larger when compared to the thickness in the normal impact direction, for which will further reduce the projectile kinetic energy. From the insets (i.e., Figure 9 (b1) and (b2)) showing the global impact behaviors of the steel plate system under a normal impact, the bulging of the back plate is observed but much less while compared to the steel plate system under an oblique impact shown in Figure 9 (a1) and (a2). This is explained by:

Figure 9 (b) shows the bi-layered steel system under a 30 deg oblique impact from a FSP. Compared to

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(1) larger size of the ejected debris can be observed from the projectile after the impact, and the back plate withstands the impact from the projectile with less kinetic energy. Similar observations are found in the literature [96, 97], (2) the yaw of the projectile affects deformations of the back plate and results in lower penetration depth [98], and (3) after fully penetrating the front plate, the projectile significantly deflects,

and this reduces its ability to further penetrate the back plate. Lastly, it is observed that the projectile kinetic energy has a more rapid decrease for the plates at normal impact in Figure 9 (a) than the plates at oblique impact in Figure 9 (b), and this may be related to the observed phenomena such as a greater deformation of the projectile and a considerable amount of energy conversion from the kinetic energy of the projectile to rotational kinetic energy at oblique impact [99].



Figure 9: Projectile kinetic energy plotted against time with corresponding impact failure behaviors at noted time instances for a configuration of a 10 mm thick Armox 500T steel front plate and 12.7 mm thick RHA back plate impacted by a FSP at 1000 m/s: (a1) plates with no standoff distance under a normal impact and (a2) plates with a 20 mm standoff distance under a normal impact, and (b1) plates with no standoff distance under a 30 deg oblique impact and (b2) plates with a 20 mm standoff distance distance under a 30 deg oblique impact and (b2) plates with a 20 mm standoff distance under a 30 deg oblique impact.

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Table 2 shows the measured maximum depth of penetration (DOP) results of the four configurations with a 10 mm thick Armox 500T steel backed with a 12.7 mm RHA steel. Here, the DOP values for each impact configuration are measured from the deepest position of the keyhole in the plate produced by the projectile. From Table 2, it is observed that: (1) the lowest DOP value occurs for the in-contact bi-layer plate under a 30 deg oblique impact from a FSP, (2) the highest DOP value is observed at a 20 mm standoff distance between the plates, and this is related to the greater plate deformation caused by the projectile and frontal plate fragments that are observed in Figure 9 (a), and (3) with the Armox 500T steel being 10 mm, the minimum thickness of the RHA back plate is the smallest and largest for the third and second configuration, respectively.

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Figure 10 presents the typical impact failure behaviors of the bi-layered steel system and the FSP at noted time instances for the four configurations. Here, the Armox 500T front plate thickness is 10 mm and the RHA back plate thickness is chosen as the minimum thickness required to stop the projectile (v=0 m/s) for a given configuration (thicknesses noted in Table 2). Results on Configuration 1 (i.e., Figure 10 (a)) and Configuration 2 (i.e., Figure 10 (b)) are compared to investigate the typical impact failure behaviors of the plates under a normal impact from a FSP, and Configuration 3 (i.e., Figure 10 (c)) and Configuration 4

- (i.e., Figure 10 (d)) are compared to study the impact failure behaviors of the plates under a 30 deg oblique impact from a FSP. A 0.025 ms time for the in-contact plates is selected for visualization as this corresponds to when the front plate being completely penetrated. A 0.065 ms time for the plates with a 20 mm standoff distance is selected for visualization for when the projectile and frontal plate fragments reach to the back plate.
- The key observations as shown in Figure 10 include: (1) at noted time instances, larger sizes of the plugs by Armox 500T steel and RHA plates are observed in Configuration 1 than Configuration 2, and this is similar to experimental observations in Dolinski and Rittel [100], from which plugging failure is caused by stretching deformation during penetration [28]. In Figure 10 (a), the sizes of plugs from the front and back plates are similar because the plug formation of the back plate is mainly contributed by the frontal plug, (2) in Figure 10 (b), a reduced diameter plug is formed and ejected from the back plate, and this
- is attributed by stretching thinning and necking in the tensile zone, where the fracture strain cannot be achieved in necking region [28], (3) in Figure 10 (a) and (b), it is observed that the Armox 500T steel plate has a greater deformation [22]. This has similar observations in the literature [101, 102], (4) a greater global deformation of Armox 500T steel plate has been observed for the in-contact plates than the one for the plates
- with a 20 mm standoff distance. This is consistent with the experimental observation in the literature [22], (5) in Figure 10 (c), the hole size of the back plate is similar to the plug size ejected from the front plate because material failure is caused by bending deformation, and (6) overall, we see the basic impact failure behaviors of plug formation [102, 103], separation [102], frontal spallation [104], shear dominated fracture [105, 106], and bulging of the plates [107] in Configurations 1 and 2. Finally, impact failure behaviors of the
- <sup>360</sup> bi-layered steel system under a 30 deg oblique impact from a FSP in Configuration 3 (i.e., Figure 10 (c)) and Configuration 4 (i.e., Figure 10 (d)) involve petaling [108, 109], plugging [110, 111], and vertical cut mode [112, 113]. Specifically, larger plugs results in larger petals and larger structural deformations of the RHA plate when comparing Configurations 3 and 4. Importantly, the yaw behavior of the projectile is more significant under oblique impact simulations (Configurations 3 and 4) than normal impacts (Configurations 3).
- <sup>365</sup> 1 and 2), and this will be explored quantitatively later.



Figure 10: Impact failure behaviors at noted time instances for different configurations for a 1000 m/s impact from a fragment simulating projectile: (a) Configuration 1, (b) Configuration 2, (c) Configuration 3, and (d) Configuration 4. Configurations 1 and 2 shows plug formation as the dominant impact failure mechanism. Larger size of plugs are formed and observed in Configuration 1 than Configuration 2 as the projectile penetrates. The basic impact failure behaviors of the plates impacted by the FSP can be identified as frontal spallation, shear dominated fracture, delamination of layers, and bulging of the plates. Moreover, the failure behaviors of Configurations 3 and 4 involves petaling and plugging. It is observed that larger plugs results in larger petals and larger structural deformations of the RHA plate in Configuration 3.

#### 4.2. Impact Failure Behaviour of the Projectile

This sub-section explores the yaw and deflection of the projectile to better understand results from Figure 9 to Figure 10. Here, for the four configurations, the Armox 500T steel front plate thickness is 10 mm and the RHA back plate thickness is chosen as the minimum thickness required to stop the projectile (v = 0 m/s and thicknesses for a given configuration noted are in Table 2). The yaw is measured by tracing the deflection 370 angle from the mid-sectional plane of the projectile through discrete points in projectile displacement. Figure 11 also shows the residual velocity of the projectile plotted against time with insets associated with the deformed projectiles at noted time instances. The colors shown in Figure 11 correspond to the four impact configurations from Table 2. The key findings include: (1) the projectile has a similar behavior (i.e., a small increase rate of yaw angles) among four configurations at the projectile displacement of 30 mm. Then, the 375 projectile yaw angle in Configuration 3 rapidly increases at a small increment of the projectile displacement. A similar trend in Configuration 4 is observed once the projectile reaches to the back plate, (2) larger yaw angles are measured for Configurations 3 and 4 when compared to Configurations 1 and 2. This is attributed to impact failure behaviors such as plugging and petaling (observed previously in Figure 10), and normal impact has less effects on changes of the yaw angle of the projectile. Observations and discussions are 380 consistent with the literature [103, 114–117], and (3) oblique impact can not only significantly increase the yaw angle but also deformed projectile, which has larger corrosion and debris ejection, and this is consistent with a similar experimental observation in the study by Gee and Littlefield [118]. The significant changes in yaw angle, larger corrosion and debris ejection are attributed to the thickness of the plate in the impact direction of the projectile being thicker than for a normal impact when the projectile impacts the target at 385 an angle (i.e., Configurations 3 and 4).

# 4.3. The Role of Standoff Distance and Angle of Obliquity on Ballistic Responses of the Bi-Layered Steel Systems

This final sub-section discusses the role of standoff distance and angle of obliquity on ballistic responses of the Armox 500T steel and RHA by a FSP. The numerical simulations are conducted based on a 10 mm thick Armox 500T steel front plate and a 3 mm thick RHA back plate. A 3 mm thick RHA back plate is selected to allow the RHA back plate to be fully penetrated and the JC model parameters in Table A.4 is suitable to use for 3 - 20 mm thickness of the RHA plate. Figure 12 shows the residual velocity of the projectile as a function of time. The insets show impact failure behaviors at noted time instances for the

<sup>395</sup> four impact configurations (labeled in color) defined in Table 2. A 0.5 ms time is selected based on when the projectile completely penetrates the RHA back plate. The node at the rear center of the projectile is traced to measure the residual velocity of the projectile. From Figure 12, the key findings include: (1) the final projectile residual velocities are similar in magnitude between the in-contact (i.e., Configuration 1) and plates with a 20 mm standoff distance (i.e., Configuration 2) under a normal impact from a FSP, and this is because of the high projectile impact velocity and insignificant yaw behavior of the projectile, (2) a larger residual velocity of the projectile difference is found in the plates undergoing a 30 deg oblique impact regardless of its in-contact (i.e., Configuration 3) and 20 mm standoff distance (i.e., Configuration 4). Altogether, the standoff distance has a slight influence on projectile residual velocity for the plates under a normal impact from a FSP but a significant effect for the plates under a 30 deg oblique impact. It is believed that the standoff distance and angle of obliquity magnify the yaw behavior of the projectile for a 30 deg impact angle. Hence, the projectile has significant yaw that greatly decreases the impact energy transmission from the projectile to plates, resulting in the lower residual velocity of the projectile, and (3) it is also observed that final projectile residual velocities of Configurations 2 and 4 are greater than Configurations 1 and 3,

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with a standoff distance, and this is consistent with the literature [25, 114, 119]. Overall, Configuration 3 (i.e., the plates with no standoff distance under an oblique impact) is considered to be best performing among the selected configurations because of lowest DOP value, smallest thickness of the RHA back plate, and lowest residual velocity.

respectively, indicating that the in-contact plate systems have a better ballistic resistance than the plates



Figure 11: Projectile yaw angle plotted against projectile displacement and projectile residual velocity plotted against time with corresponding insets of the deformed projectiles at noted time instances for a 1000 m/s impact from a fragment simulating projectile: (a) Configuration 1, (b) Configuration 2, (c) Configuration 3, and (d) Configuration 4. The four configurations consist of the 10 mm thick Armox 500T steel front plate and the RHA back plate with the minimum thickness required to stop the projectile (v = 0 m/s and thicknesses for a given configuration noted in Table 2). A large yaw angle is measured for Configurations 3 and 4, and this indicates the angle of obliquity could lead to greater resulting time-evolved yaw angles. Comparing Configurations 1 and 2, and 3 and 4, the angle of obliquity has more effects on the changes of the deflection angle of the projectile than the standoff distance.



Figure 12: Projectile residual velocity plotted against time for a 10 mm thick Armox 500T steel front plate and a 3 mm thick RHA back plate with corresponding failure modes at noted time instances for a 1000 m/s impact from a fragment simulating projectile: (a) plates with no standoff distance under a normal impact, (b) plates with a 20 mm standoff distance under a normal impact, (c) plates with no standoff distance under a 30 deg oblique impact, and (d) plates with a 20 mm standoff distance 2 and 4 are greater than Configurations 1 and 3, respectively, and this indicates the in-contact plate system has a better ballistic resistance than the plates with a standoff distance.

#### 5. Conclusions

- This study investigates the fracture-mechanical behavior of Armox 500T steel through the computational finite element framework from the LS-DYNA explicit solver. The Generalized Incremental Stress State dependent damage MOdel (GISSMO), incorporating the stress state-dependent behavior of the material and the effect of strain rate, is implemented in the uniaxial tension [4] and ballistic impact simulations [2]. A good agreement between the ballistic experimental and numerical results demonstrates the implemented
- 420 GISSMO offers good predictions on the fracture behaviors (e.g., spalling and bulging) and quantitative measurements (e.g., projectile residual velocity and hole size after impact) for Armox 500T steel [2]. The mesh regularization reduces the mesh size dependency and improves the computational efficiency of ballistic impact simulations [120], which can be shown in Section 3.1 and Appendix B. Once validated, the GISSMO is used in a structural-scale design application by simulating a bi-layer steel system impacted by a 20 mm

fragment simulating projectile (FSP). From simulated results, the implemented GISSMO enables the failure mechanisms of Armox 500T steel to be captured, such as plug formation [100, 102], frontal spallation [104], shear dominated fracture [106], and petaling [108]. The key findings of the structural design are summarized below:

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(1) The lowest values on the maximum depth of penetration and minimum thickness for the RHA back plate to stop the projectile are congruent and the overall results demonstrate that plates with no standoff distance under a 30 deg oblique impact from a FSP offer the best ballistic performance.

(2) Through impact failure behaviors for four configurations, the plug formation, frontal spallation, shear dominated fracture, and bulging of the plate are observed. Large sizes of plugs are observed for the plates with no standoff distance under a normal and 30 deg oblique impact from a FSP. The petaling behavior of plates mainly occurs in plates with no standoff distance and a 20 mm standoff distance under a 30 deg oblique impact from a FSP.

(3) A large increase of the projectile yaw and deflection are observed and measured from plates with no standoff distance and a 20 mm standoff distance under a 30 deg oblique impact from a FSP compared with the normal impact, and this is attributed to the petaling behavior of the plates and thickness difference of the RHA plate related to four impact configurations. Normal impact has less effects on the yaw behavior of the projectile. More projectile erosion and debris ejection are observed in the plates under a 30 deg oblique impact, and this is attributed to the thickness of the plate relative to the impact direction of the projectile.

(4) The final projectile residual velocity obtained are similar for the plates under a normal impact but there is a significant difference for the plates under a 30 deg oblique impact. This demonstrates that the standoff distance has a larger influence on projectile residual velocity when the FSP impacts the plate at an oblique angle of 30 deg. The lowest value of the projectile residual velocity is obtained with the plates with no standoff distance, demonstrating that this impact configuration has the best ballistic performing against

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a FSP under the simulated conditions in the current study.

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(5) As potential future work, it is recommended to parameterize the instability surface of Armox 500T steel by designing, for example, hat-shaped specimens from work done by Herzig et al. [121] to characterize the negative value range of stress triaxiality ( $-1 < \eta < 0$ ) and Lode angle parameter ( $-1 < \overline{\theta} < 0$ ) for Armox 500T steel. In addition, experiments at elevated temperatures could also be performed and temperature-dependent properties incorporated into the GISSMO formulation [120].

#### 6. Credit authorship contribution statement

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Kyle Mao: Conceptualization, Methodology, Software, Calibration, Validation, Visualization, Numerical analysis, Writing - original draft. Geneviève Toussaint: Conceptualization, Validation, Writing – review & editing. Alexandra Komrakova: Writing – review & editing, Supervision. James D. Hogan: Conceptualization, Writing – review & editing, Supervision.

## 7. Declaration of competing interest

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The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Constitutive Model Description

#### Appendix A.1. Johnson-Cook Material Model

The Johnson-Cook (JC) model (MAT\_JOHNSON\_COOK) is employed to model the 7.62 mm and 12.7 mm armor piercing incendiary projectiles [2] (see Figure 6), fragment simulating projectile (FSP) [79, 80] and rolled homogeneous armor (RHA) steel back plate [34, 78] (see Figure 8). The corresponding model properties and constants are presented in Table A.3 and Table A.4.

The JC strength model [33] describes the flow stress,  $\bar{\sigma}$ , of the material based on the von Mises plasticity, as shown in Equation (A.1).

$$\bar{\sigma} = \left[A + B\left(\varepsilon^{pl}\right)^{n}\right] \left[1 + Cln\frac{\dot{\varepsilon}^{pl}}{\dot{\varepsilon}_{0}}\right] \left[1 - \left(\frac{T_{c} - T_{room}}{T_{m} - T_{room}}\right)^{m}\right]$$
(A.1)

where material constants, A, B, C, n, and m are well introduced by Johnson and Cook [33]. In Equation (A.1),  $\varepsilon^{pl}$  is the equivalent plastic strain,  $\dot{\varepsilon}^{pl}$  is the equivalent plastic strain rate,  $\dot{\varepsilon}_0$  is the strain rate at the reference state,  $T_c$  is the current working temperature,  $T_m$  is the melting temperature, and  $T_{room}$  is the room temperature. Here, the first term defines the strain hardening, the second term accounts for the effect of the strain rate on yield stress in a normalized form, and the third term describes the temperature effect on the material deformation.

In addition, the JC damage model [34] is employed to predict material responses subjected to external loads, and its fracture criterion defines damage in a linear accumulated level (n=1 in Equation (4)) [55, 122]. The equivalent plastic strain at fracture is defined as a multiplicative function of stress triaxiality, strain rate, and temperature [34].

$$\varepsilon_f = \left[ D_1 + D_2 e^{D_3 \eta} \right] \left[ 1 + D_4 ln \frac{\dot{\varepsilon}^{pl}}{\dot{\varepsilon}_0} \right] \left[ 1 + D_5 \left( \frac{T_c - T_{room}}{T_m - T_{room}} \right) \right]$$
(A.2)

where  $D_1$  to  $D_5$  are damage parameters. In this study, the model constants for the RHA [34, 78] in Table A.4 and the 4340 steel [79, 80] in Table A.4 are taken from the literature.

# Appendix A.2. Equations of State

The Mie–Grüneisen equations of state (EOS\_GRUNEISEN) are used to describe the pressure-temperaturevolume thermodynamic relations in solids under shock loading [86]. This is important to include because it depicts hydrodynamic response and thermodynamic properties of material at the macroscale. The hydrostatic pressure, P, is defined as the following for compressed materials in Equation (A.3) and for expanded materials in Equation (A.4), respectively [70]:

$$P = \frac{\rho_0 C^{\prime 2} \mu (1 + (1 - \gamma_0/2)\mu - (a/2)\mu^2)}{[1 - (S_1 - 1)\mu - S_2 \mu^2/(\mu + 1) - S_3 \mu^3/(1 + \mu)^2]} + (\gamma_0 + a\mu) E^{\prime}$$
(A.3)

$$P = \rho_0 C^{'^2} \mu + (\gamma_0 + a\mu) E^{'} \tag{A.4}$$

where  $S_1$  to  $S_3$  are the slope coefficients of the shock and particle velocity curve, E' is the internal energy per unit reference specific volume, C' is the bulk speed of sound,  $\gamma_0$  is the Grüneisen gamma coefficient,  $\rho_0$ is the density at the reference state, a is the volume correction factor, and  $\mu = \rho/\rho_0 - 1$  is the volumetric strain defining current density to reference density.

Property/Constant	Value	Unit
$\overline{\text{Density } (\rho)}$	7850 [2]	$kg/m^3$
Young's Modulus $(E)$	200 [2]	GPa
Shear Modulus $(G)$	76.92[2]	GPa
Poisson's Ratio $(v)$	$0.3 \ [2]$	-
I. Johnson-Cook Strength Model		
$\overline{\text{Static Yield Stress } (A)}$	1.65771 [2]	GPa
Strain Hardening Constant $(B)$	20.8556 [2]	GPa
Strain Rate Constant $(C)$	0.0076 [2]	-
Strain Hardening Coefficient $(n)$	0.651~[2]	-
Thermal Softening Coefficient $(m)$	0.35~[2]	-
Working Temperature $(T_{room})$	293 [2]	К
Melting Temperature $(T_m)$	1800 [2]	К
Specific Heat $(c_p)$	455 [2]	J/kg-K
Reference Strain Rate $(\dot{\varepsilon}_0)$	1 [2]	$s^{-1}$
II. Johnson-Cook Damage Model		
Damage Constant 1 $(D_1)$	0.0301 [2]	-
Damage Constant 2 $(D_2)$	0.0142 [2]	-
Damage Constant 3 $(D_3)$	-2.192 [2]	-
Damage Constant 4 $(D_4)$	0 [2]	-
Damage Constant 5 $(D_5)$	0.35~[2]	-
III. Mie–Grüneisen Equation of State		
Elastic Wave Velocity $(C^{'})$	4570 [86]	m/s
Slope Values 1 $(S_1)$	1.49 [86]	-
Slope Values 2 $(S_2)$	0 [86]	-
Slope Values 3 $(S_3)$	$0 \ [86]$	-
Grüneisen Coefficient $(\gamma_0)$	$1.93 \ [86]$	-
Volume Correction Factor $(a)$	$0.5 \ [86]$	-

Table A.3: Material model properties and constants of the 12.7 mm armor piercing incendiary projectile.

Property/Constant	RHA	4340 Steel	Unit
$\overline{\text{Density } (\rho)}$	7850 [78]	7770 [79, 80]	$\rm kg/m^3$
Young's Modulus $(E)$	210 [78]	200 [79, 80]	GPa
Shear Modulus $(G)$	82 [78]	$77 \ [79, \ 80]$	GPa
Poisson's Ratio $(v)$	0.28 [78]	$0.29 \ [79,  80]$	-
I. Johnson-Cook Strength Model			
$\overline{\text{Static Yield Stress } (A)}$	0.95 [78]	$0.95 \ [79, \ 80]$	GPa
Strain Hardening Constant $(B)$	0.56 [78]	0.725 [79, 80]	GPa
Strain Rate Constant $(C)$	0.014 [78]	0.015 [79, 80]	-
Strain Hardening Coefficient $(n)$	0.26 [78]	0.375 [79, 80]	-
Thermal Softening Coefficient $(m)$	1 [78]	0.625 [79, 80]	-
Working Temperature $(T_{room})$	300 [34]	$300 \ [79, \ 80]$	Κ
Melting Temperature $(T_m)$	1793 [34]	$1793 \ [79, \ 80]$	Κ
Specific Heat $(c_p)$	477 [34]	477 [79, 80]	J/kg-K
Reference Strain Rate $(\dot{\varepsilon}_0)$	1 [34]	$1 \ [79, \ 80]$	$s^{-1}$
II. Johnson-Cook Damage Model			
Damage Constant 1 $(D_1)$	0.05 [34]	-0.8 [79, 80]	-
Damage Constant 2 $(D_2)$	3.44 [34]	$2.1 \ [79, \ 80]$	-
Damage Constant 3 $(D_3)$	-2.12 [34]	-0.5 [79, 80]	-
Damage Constant 4 $(D_4)$	0.002 [34]	0.002 [79, 80]	-
Damage Constant 5 $(D_5)$	0.61 [34]	$0.61 \ [79,  80]$	-
III. Mie–Grüneisen Equation of State			
Elastic Wave Velocity $(C^{'})$	4356 [90]	4578 [93]	m/s
Slope Values 1 $(S_1)$	2.18 [90]	$1.33 \ [93]$	-
Slope Values 2 $(S_2)$	0 [90]	0 [93]	-
Slope Values 3 $(S_3)$	0 [90]	0 [93]	-
Grüneisen Coefficient $(\gamma_0)$	1.69 [90]	1.67 [93]	-
Volume Correction Factor $(a)$	0 [90]	$0.43 \ [93]$	-

Table A.4: Material model properties and constants of the rolled homogeneous armor steel and the 4340 steel.

#### Appendix B. Mesh Sensitivity Analysis

The GISSMO model introduces a mesh regularization curve aimed at scaling the fracture surface of the material to provide consistent fracture predictions at different mesh sizes [69, 74]. In our impact simulations, we conducted a mesh sensitivity analysis to demonstrate that the implemented GISSMO can produce comparable fracture patterns for Armox 500T steel across different mesh sizes. Specifically, a bi-layered steel system, consisting of a 10 mm Armox 500T steel front plate and a 4.70 mm rolled homogeneous armor (RHA) steel back plate with no standoff distance, is simulated under a normal impact from a 20 mm fragment simulating projectile. The different mesh sizes (i.e., 0.2 mm, 0.35 mm, and 0.5 mm) are used to discretize the plates. Figure 6 shows the measured hole sizes of the Armox 500T steel plate after the impact at different mesh sizes. The normalized distance represents the measured position from the rear surface to the impact surface of the Armox 500T steel plate. The outcomes of our impact simulations in Figure B.13 indicate that similar fracture patterns (i.e., hole size and plug size) of the Armox 500T steel plate at different mesh sizes are consistently simulated using the GISSMO. Consequently, according to the results in Figure 5 and Figure B.13, the GISSMO can reduce the mesh size dependency to generate insensitive results and it is feasible to use the larger mesh size from the determined mesh regularization curve in ballistic impact simulations for Armox 500T steel.



Figure B.13: A mesh sensitivity study (i.e., mesh size 0.2 mm, 0.35 mm, and 0.5 mm) using the GISSMO in the simulations consisting of a 10 mm thick Armox 500T steel front plate and 4.70 mm thick rolled homogeneous armor back plate with no standoff distance under a normal impact from a 20 mm fragment simulating projectile. The simulated hole size of the Armox 500T steel plate and formulated plug made by the projectile are compared at different mesh sizes.

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