

**Learning to PostProcess: Diffeomorphic Image Registration with Matrix  
Exponential**

by

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# Abstract

Diffeomorphic image registration is important for medical imaging studies because of the properties like invertibility, smoothness of the transformation, and topology preservation/non-folding of the grid. Violation of these properties can lead to destruction of the neighbourhood and the connectivity of anatomical structures during image registration. Most of the recent deep learning methods do not explicitly address this folding problem and try to solve it with a smoothness regularization on the registration field.

We present a postprocessing layer for deformable image registration to make a registration field more diffeomorphic by encouraging Jacobians of the transformation to be positive. It is a differentiable layer which takes any registration field as its input, computes exponential of the Jacobian matrices of the input and reconstructs a new registration field from the exponentiated Jacobian matrices using Poisson reconstruction. Our proposed Poisson reconstruction loss enforces positive Jacobians for the final registration field. Thus, our method acts as a post-processing layer without any learnable parameters of its own and can be placed at the end of any deep learning pipeline to form an end-to-end learnable framework. We show the effectiveness of our proposed method for a popular deep learning registration method Voxelmorph and evaluate it with a dataset containing 3D brain MRI scans. Our results show that our post-processing can effectively decrease the number of non-positive Jacobians by a significant amount without any noticeable deterioration of the registration accuracy, thus making the registration field more diffeomorphic. There are also some practical limitations of our method which we demonstrate through experiments on 2D chest

X-ray registration - pointing towards more future work.

Our code is available online at <https://github.com/Soumyadeep-Pal/Diffeomorphic-Image-Registration-Postprocess>

# Preface

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# List of Symbols

## Registration

$\mathcal{L}_{poisson}$  Poisson Reconstruction Loss

$\mathcal{L}_{reg}$  Regularization Loss

$\mathcal{L}_{sim}$  Similarity Loss (eg. SSD, NCC, NMI etc.)

$\Omega$  Image Domain

$\phi$  Displacement Field

$\psi$  Deformation Field

$F$  Fixed Image

$M$  Moving Image

## Math

$[\cdot, \cdot]$  Lie Bracket

$\mathcal{C}^0(X; Y)$  Set of all continuous mappings from  $X$  to  $Y$

$\mathcal{C}^1(X; Y)$  Set of all once differentiable mappings from  $X$  to  $Y$

$\bar{A}$  Closure of set  $A$

$\partial A$  Boundary of set  $A$

# Chapter 1

## Introduction

### 1.1 Deformable Image Registration

Image registration is a challenging task in computer vision which comprises of finding correspondence between two images and spatially aligning them such that those images match [1]. Typically one image is kept fixed and another image (moving image) is transformed for this matching process. Difference in such images may arise due to images being obtained at different times, from different acquisition devices, different perspectives or different acquisition modalities [1]. Based on the type of transformation associated with this registration problem, it can be classified into global transformations and local or non-rigid or deformable transformations. We delve into this division briefly in Section 2.1.

In this thesis, we focus only on deformable image registration. Deformable image registration is one of the fundamental tasks of medical image analysis that has been an active research topic for decades. It is extremely important for radiation therapy [2], morphometry analysis of highly anatomically variable brain MRI images [3, 4], diagnosis through multimodal medical image fusion [5] etc.

The transformation to align a pair of images is a dense, non-linear transformation. We illustrate deformable image registration through an example in Figure 1.1. As shown in Figure 1.1, our goal for deformable registration is to estimate a non-linear deformation field which is used to warp the moving image to match with the fixed

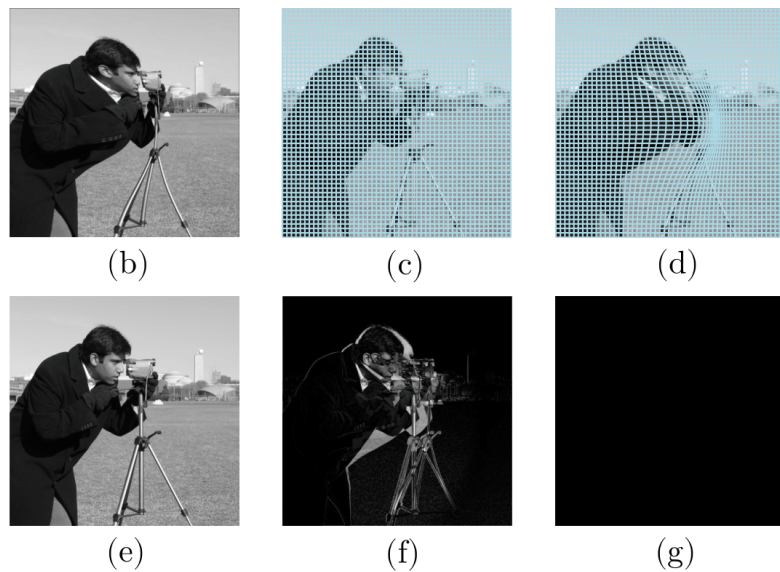
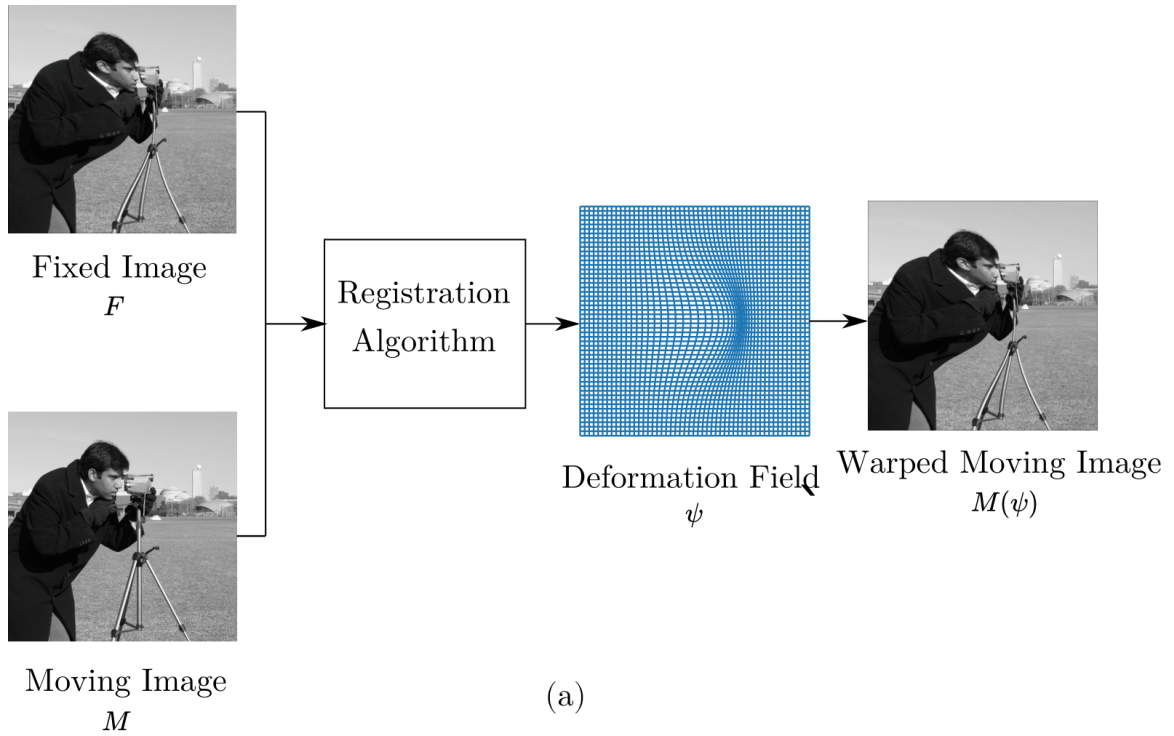


Figure 1.1: Deformable Image Registration: (a) Overview (b) Fixed Image  $F$  (c) Moving Image with Grid (d) Warped Moved Image with Grid (e) Moving Image  $M$  (f)  $|F - M|$  (g)  $|F - M(\psi)|$  Note: 1. This Cameraman Image is for ease of understanding, we will apply deformable image registration to brain MRI and chest X-ray images. 2. The 'Registration Algorithm' may be a classical algorithm or a deep learning pipeline (Section 2.3)

image. In Figure 1.1, we use a simple image for illustrating the concept. The lower rows contain the deformed images along with their grids providing insights into how a deformation grid can warp the moving image. As we observe in the figure, the difference between the fixed image and the warped image is zero denoting a perfect match. We formalise these notions and pose this as an optimisation problem in Section 2.2.

## 1.2 Diffeomorphic Image Registration

One of the desirable properties of the transformations for registration in medical imaging is their one-to-one nature or invertibility, which ensures that there is no folding in the grid. Folding of the registration grid over itself can lead to connected sets becoming disconnected and disconnected sets becoming connected thereby destroying the neighborhood structure that is detrimental for anatomical studies in medical imaging [6].

We give an example of such undesirable effects in Figure 1.2. The way to prevent this phenomenon is to ensure diffeomorphic transformations. We describe this in details in Section 2.2.2 and Section 2.2.3.

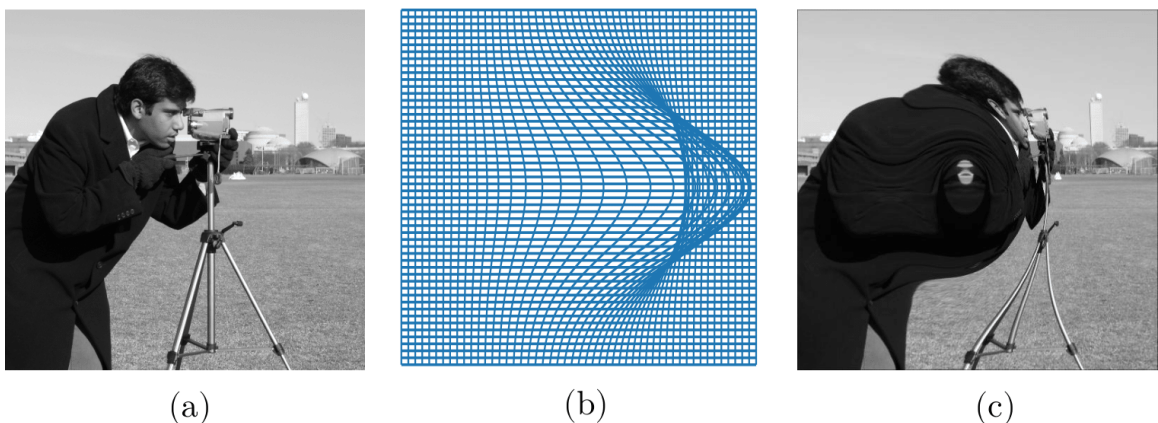


Figure 1.2: Undesirable effects of folding: (a) Moving Image (b) Deformation Grid with folding (c) Warped Moving Image

## 1.3 Challenges and Research Gap

Classical diffeomorphic registration algorithms often use strategies, which ensure smooth, invertible transformations. These traditional registration algorithms are often formulated as an optimization problem where a moving image is warped using a displacement field and the goal is to maximize the similarity between a fixed image and the warped moving image. This is usually solved using an iterative process, which is fairly computationally intensive and time consuming. Moreover, to ensure diffeomorphism, constraints were often applied on the deformation field which may make it more time and computation intensive. We give a brief overview of such algorithms in Section 2.3.1.

Recently, deep learning approaches have been used in solving the deformable registration problem. The advent of deep learning has led to the development of faster and more complex registration algorithms. These approaches maintain similar performance in terms of registration accuracy and are much faster. However, deep learning-based registration methods usually do not explicitly ensure invertibility and non-folding of the transformations. Such foldings in the deformations are usually constrained by enforcing spatial smoothness, which is controlled by a regularization hyperparameter. This is the regularization term  $\mathcal{L}_{reg}$  in Equation 2.1. However, a large value of this hyperparameter can lead to inaccurate registration, while a small value can lead to folding and local errors, which makes it challenging to tune it.

Few efforts have been made to explicitly ensure that the transformations estimated using deep learning algorithms are diffeomorphic - this creates the premise for more work.

## 1.4 Thesis Contributions

In this thesis, we explicitly address the issue of folding with a postprocessing layer, which can be potentially inserted at the end of any registration pipeline giving a de-

formation field as its output. Our postprocessing step takes a deformation field as its input and provides another deformation with reduced foldings as its output with the help of matrix exponential and Poisson reconstruction. Moreover, this postprocessing layer is completely differentiable, hence it can fit in any deep learning pipeline for registration with end-to-end learning. We describe our proposed method in Chapter 3.

A significant application of deformable registration is the alignment of 3D brain magnetic resonance (MR) images for their analysis. Brain MR images can be acquired from different sensors, different subjects or at different times and thus are misaligned. Moreover, there is often a significant variability [7] between these scans due to different anatomical variations and health states. Deformable image registration is useful in this case for the purpose of comparing different anatomical structures in the brain scans obtained from different sources. A similar application of deformable registration is X-ray chest image analysis - applications being multi-atlas segmentation and pathology classification [8]. In all of these applications, addressing the folding problem is important to maintain anatomical plausibility.

We demonstrate the effectiveness of our method in Chapter 4 by the registration of 3D brain MR scans, which are obtained from [9]. We use our postprocessing layer with a widely used registration method named Voxelmorph [10] and show that it significantly reduces the amount of folding when compared to Voxelmorph.

We further test our method in Chapter 4 using 2D chest X-ray images, obtained from [11, 12]. In these experiments, we discover the limitation of our method - our method, though mathematically sound, can lead to instabilities and may be impractical for training in different settings.



# Chapter 2

## Image Registration

In this chapter, we discuss about image registration - specifically about deformable and diffeomorphic image registration. We provide a general overview on registration, diffeomorphisms and then discuss some related works. We introduce the classic image registration techniques and then move on to discuss about modern deep learning techniques in deformable image registration.

### 2.1 General Overview

Image registration entails estimating a mapping between a pair of images - a stationary or fixed image and the image which is spatially transformed called the moving image. The estimated mapping is essentially a mapping from the pixel / voxel locations of the moving image to corresponding locations in the fixed image. The moving image is warped according to this mapping (through interpolation or resampling) such that it matches with the fixed image.

According to the type of transformation estimated, image registration methods can be very broadly categorised into registration with global transformations and non-rigid or deformable registration. Global transformations for registration involves rotations, translations, shearing, scaling and projective transformations - the transformations for this category are applicable globally to the whole image. We demonstrate such global transformations in Figure 2.1 with increasing degrees of freedom along

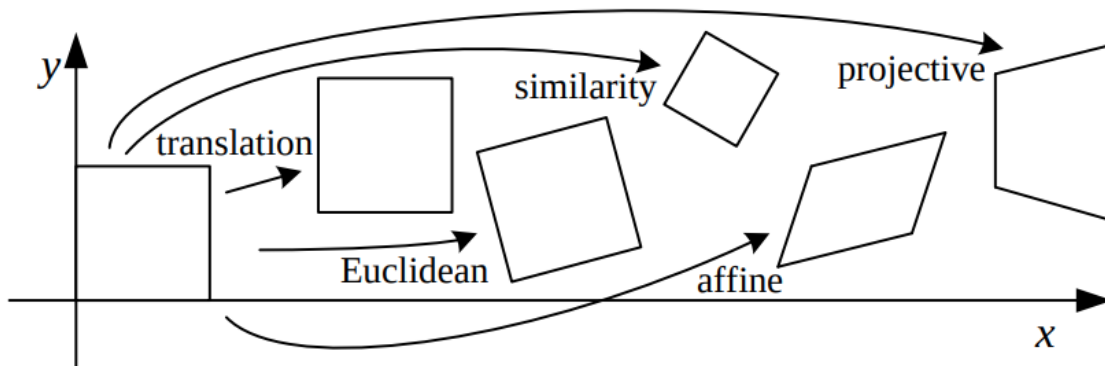


Figure 2.1: Global transformations in registration. Taken from [13]

the right of the x-axis.

In contrast to this, deformable image registration entails warping the moving image with a dense voxel-wise non-linear spatial transformation so that it matches with the fixed image. Thus it is a local operation.

Image registration is particularly useful in the context of medical imaging. Rigid registration is useful in aligning solid structures like bones. However, this does not apply for most of the human body. Non-rigid registration is of particular importance for deformation of soft tissues, neuroanatomy etc. A typical registration process involves a global alignment step between the fixed and moving image and then a deformable registration step. In this thesis, we mainly focus on deformable image registration.

## 2.2 Deformable Image Registration

We consider  $\Omega \subseteq \mathbb{R}^n$  to be the image domain, such that  $n = 2$  for 2D images and  $n = 3$  for 3D images. Let  $F$  be the fixed image and  $M$  be the moving image, where  $M, F : \Omega \rightarrow \mathbb{R}^d$ . In this thesis, we mainly perform experiments on structural images for MRI, where  $d = 1$ . Formally, the goal of deformable registration is to estimate a transformation or deformation field  $\psi : \Omega \rightarrow \Omega$  which is used to warp  $M$  to match with  $F$ .

This can be posed as an optimisation problem - the objective of deformable registration is to find an optimal deformation field:

$$\psi^* = \arg \min_{\psi} \mathcal{L}_{sim}(F, M(\psi)) + \lambda \mathcal{L}_{reg}(\psi) \quad (2.1)$$

where  $\psi^*$  is the optimal deformation field,  $\mathcal{L}_{sim}$  is a dissimilarity loss function and  $\mathcal{L}_{reg}$  is the function that enforces a smoothness regularization.

As seen in Equation 2.1, through the term  $\mathcal{L}_{sim}$ , we aim to decrease the dissimilarity or increase the similarity between the warped and the fixed image. Different such similarity measures have been used in the literature like sum of squared differences (SSD), sum of absolute differences (SAD), normalized cross-correlation (NCC) [14], mutual information (MI) [15], normalized mutual information (NMI) [16], Normalized Gradient Fields (NGF) [17] etc. In our work, we perform our experiments with NCC as a dissimilarity measure - we describe it in details in Section 3.5.1.

### 2.2.1 Regularization

Regularization is of particular importance for the problem of registration. This is because image registration is an ill-posed problem, a concept introduced in mathematical physics by J.Hadamard [18]. A mathematical problem is considered Hadamard well-posed if it satisfies the following conditions [18]:

- a solution exists
- the solution is unique
- the solution's behaviour changes continuously with the initial conditions

Now, it is straightforward to realise that the solution of image registration, like most inverse problems is not unique. We give an example of such non-uniqueness phenomenon in Figure 2.2. We consider global transformations in this example for simplicity - this concept will still be valid for deformable registration.

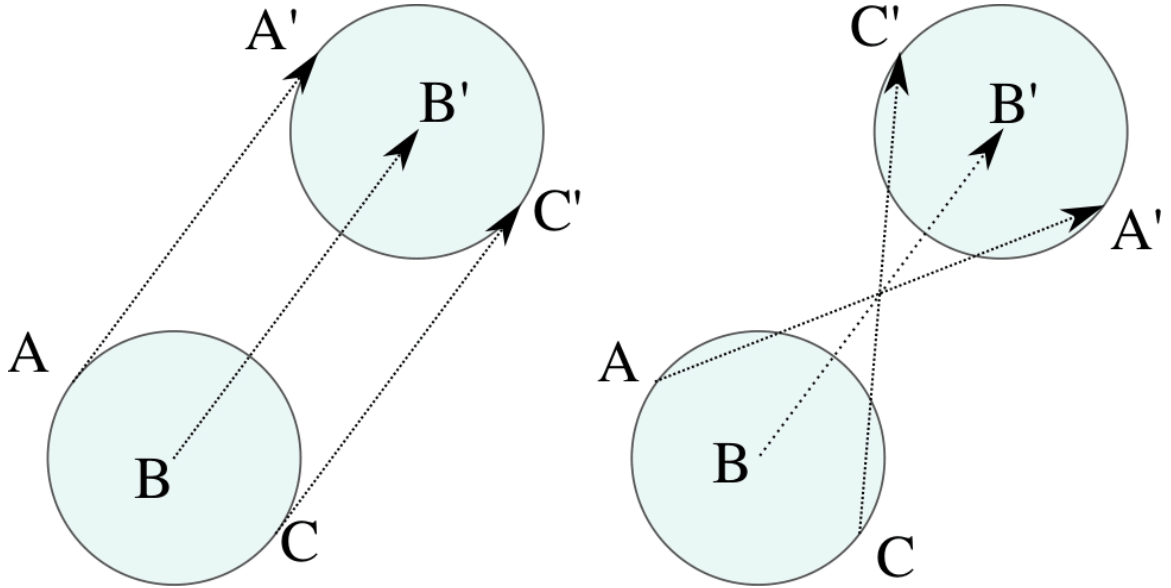


Figure 2.2: Importance of Regularization: We observe that different transformations lead to the same result.  $A'$ ,  $B'$ ,  $C'$  are the points in the transformed object (circle) corresponding to the points  $A$ ,  $B$ ,  $C$  in the moving object. Transformations - Left: Translation Right: Reflection about the line  $BB'$  and translation.

A solution for ill-posed solution is using regularization. Hence, different kinds of regularization methods are used in image registration. The regularization methods can be implicit or explicit. Explicit regularization comprises of including a form of penalty in the loss function as in Equation 2.1 or having the penalty condition as a hard constraint [19]. Implicit regularization may constrain the transformations for registration by parameterizing the deformations (eg. radial basis functions [20]) or by constraining the space of deformations (eg. in LDDMM [6]). We describe such methods in Section 2.3.1.

## 2.2.2 Diffeomorphisms

In this thesis, we focus on developing diffeomorphic registration fields. Diffeomorphisms are important for image registration because of its different convenient properties. We motivate the importance of diffeomorphic registration in this section after defining diffeomorphisms.

Let  $\Omega$  be an open set of  $\mathbb{R}^d$ . An open set  $U$  is essentially a set of elements such

that for every element  $x$  in the set, all elements in a small neighbourhood of  $x$  also lies in  $U$ .

**Definition 2.1** ([21] p.183) *A homeomorphism of  $\Omega$  is a continuous bijection  $\Phi : \Omega \rightarrow \Omega$  such that its inverse,  $\Phi^{-1}$ , is continuous. A diffeomorphism of  $\Phi$  is a continuously differentiable homeomorphism  $\Phi : \Omega \rightarrow \Omega$  such that  $\Phi^{-1}$  is continuously differentiable.*

Now, let us consider a diffeomorphic deformation  $f : \Omega \rightarrow \Omega$  such that for each point  $x \in \Omega$ ,  $y = f(x)$ . In such a setting, because of the bijective nature of diffeomorphism, two key points are enforced that make it an appealing choice for image registration:

- Because the transformation is onto, for every  $y \in \Omega$ , there exists a corresponding  $x \in \Omega$ . Thus this prevents any *holes* in the output.
- Since  $f$  is one-to-one, for any  $x_1, x_2 \in \Omega$ , they do not have the same  $y$ , i.e.  $f(x_1) \neq f(x_2)$ . Thus this prevents *folding*. More precisely, if folding occurs, due to crossing of deformation fields, two different points  $x_1$  and  $x_2$  will be mapped to the same  $y$ .

### 2.2.3 Diffeomorphisms and Orientability

The term orientation-preserving is used to denote mappings whose Jacobian determinants are positive. Precisely, a mapping  $\Phi \in \mathcal{C}^1(\bar{\Omega}; \mathbb{R}^3)$  is an orientation preserving map if it satisfies  $\det \nabla \Phi(x) > 0$  for all  $x \in \bar{\Omega}$  [22]. Under certain boundary conditions, these orientation preserving mappings are shown to be diffeomorphic.

**Theorem 2.1** (adapted from Ciarlet. p.225 [22]) *Let  $\Omega$  be a bounded open connected subset of  $\mathbb{R}^n$ . Let  $\Phi_0 \in \mathcal{C}^0(\bar{\Omega}; \mathbb{R}^n)$  be an injective mapping and let  $\Phi \in \mathcal{C}^0(\bar{\Omega}; \mathbb{R}^n) \cap \mathcal{C}^1(\Omega; \mathbb{R}^n)$  be a mapping that satisfies:*

$$\det \nabla \Phi(x) > 0 \text{ for all } x \in \Omega$$

$$\Phi(x) = \Phi_0(x) \text{ for all } x \in \partial\Omega$$

then the mapping  $\Phi : \bar{\Omega} \rightarrow \Phi(\bar{\Omega})$  is a homeomorphism and  $\Phi : \Omega \rightarrow \Phi(\Omega)$  is a  $\mathcal{C}^1$  diffeomorphism.

In this theorem,  $\mathcal{C}^0(\bar{\Omega}; \mathbb{R}^n)$  denotes the set of all continuous mappings from  $\bar{\Omega}$  to  $\mathbb{R}^n$ , while  $\mathcal{C}^1(\Omega; \mathbb{R}^n)$  denotes the set of all once differentiable continuous mappings from  $\Omega$  to  $\mathbb{R}^n$ .

Simply put, for a continuous mapping to be diffeomorphism, the theorem poses a condition on the determinant of the jacobian of the mapping being positive and on the mapping to be injective at the boundaries of the input open set.

## 2.3 Related Works

### 2.3.1 Classical Registration

Classical registration algorithms often model transformations as a physical model. We describe some of the main classical approaches to deformable registration.

- In this type of registration, the image to be deformed is often considered to be an elastic body [23]. The elastic body is deformed by the application of two forces: an external force that ensures that the similarity measure between the fixed and moving image is achieved and an internal force that counteracts any movement due to the elasticity properties of the body. These forces compete against each other until an equilibrium is reached. The image is thus considered to be an elastic membrane whose movements are governed by the Navier-Cauchy PDEs. We describe a similar framework based on fluid flow models in more details in the next group of registration algorithms. The basic formulation of registration considering elastic bodies has been extended in various studies [24, 25].

One of the main drawbacks of this model is that the elastic constraint is suitable only for small deformations and also that it resulted in inverse-inconsistent transformations. Inverse consistency implies that when  $F$  and  $M$  in Equation 2.1 are interchanged, the resultant deformation field is the inverse of the

former field  $\phi$ . The inverse consistency problem was tackled with the elastic body framework in [26, 27]. Large deformations were modeled by considering a sequence of small deformations using linear elastic models in [28].

- The second category of physical models used in registration is the viscous fluid flow model. Here the image is considered to be a viscous fluid - thus the displacements for image registration would follow laws governing the flow of viscous fluids. This was explored in [29], where the displacement flow was modeled using the Navier-Stokes Equation. Unlike the previously described elastic models, this allowed for large deformations while maintaining a homeomorphic mapping. The fluid flow is governed by the following Navier-Stokes equation:

$$\mu \nabla^2 \mathbf{v} + (\mu + \lambda) \nabla(\nabla \cdot \mathbf{v}) + b(u) = 0 \quad (2.2)$$

In this PDE,  $\lambda$  and  $\mu$  denote the fluid viscosity terms. The first term is associated with the constant volume viscous flow of the moving image. The second term in these equations denote the acceleration of the flow in space and thus denotes the local growth or shrinkage of the moving image. The third term denotes the body force on the fluid which is determined by the mismatch between the fixed image and the warped moving image. The PDE is solved using iterative successive overrelaxation - this is stopped when the body force reaches a certain threshold i.e. there is a good match in the fixed and warped moving image. This fluid flow framework was explored more in future work in terms of multiresolution [30] and increasing efficiency in computation [31, 32].

- One of the seminal methods of classical deformable registration comprises of the Demons approaches to registration. This is inspired by Maxwell's demons which is a paradox in the second law of thermodynamics that is ensued by the presence of demons or effectors that allow the travel of certain kind of gaseous molecules from one side of a membrane to other. Hence, this essentially is a process of

diffusion. Similar to this phenomenon, this registration approach places demons along objects in the moving image and the moving image is deformed according to the forces associated with the demons.

Concisely, two iterative steps are followed [33]: (a) Estimating the forces associated with the demons (b) Computing the transformation of the deformable field based on such forces. Different choices associated with this process can give rise to different methods - choices being available in selection of demon positions, space of deformations, formula estimating the force of a demon.

This pioneering work was studied and extended based on different optimization [34, 35] and regularization [36, 37] schemes and different similarity measures [38, 39].

- A large body of registration methods use parameterization of displacement fields where a linear parameterization using certain basis functions are used. This presents a form of implicit regularization in the arrangement itself. Considering the displacement for registration to be  $\phi$ , these methods take the general form:

$$\phi(x) = \sum_k p_k B_k(x) \tag{2.3}$$

where  $p_k \in \mathbb{R}^d$  are the optimizable parameters and  $B_k : \Omega \rightarrow \mathbb{R}$  are the basis functions.

Some of the most important families of basis functions include radial basis functions [20], thin plate splines [40, 41], elastic body splines [42], Fourier bases [26], cosine basis [43] etc.

While the above mentioned methods have been successful in image registration, they do not guarantee diffeomorphic transformations. Hence, we consider a body of literature which focuses on diffeomorphic image registration and briefly describe such works as follows:



- One of the main approaches to forming diffeomorphic registration is to consider flows of velocities: a seminal work along this line being the LDDMM framework [6]. The diffeomorphic transformations  $\psi : \Omega \rightarrow \Omega$  are obtained by integrating velocity fields over time. Considering the velocity fields to be  $v : [0, 1] \times \Omega \rightarrow \mathbb{R}^d$ , a transformation  $\psi$  is formulated as follows:

$$\begin{aligned} \frac{\partial \varphi(t, x)}{\partial t} &= v(t, \varphi(t, x)) \\ \varphi(0, x) &= x \quad \forall x \in \Omega \end{aligned} \tag{2.4}$$

Equation 2.4 has a unique solution for  $\psi$  under necessary smoothness conditions for the velocity field [44] - velocity fields are regularized based on Sobolev embedding theory [45]. Thus the ODE is solved till time  $t = 1$  to obtain the final deformation field  $\psi(x) = \varphi(1, x)$ . The solution  $\varphi(t, x)$  obtained on solving the above ODE is called the associated flow [46] of the velocity field  $v$ , which is a diffeomorphism at all time points  $t$  [46](Theorem C.10). Using the constraints of Equation 2.4, the diffeomorphic field is obtained by an optimization similar to Equation 2.1. This is finally solved through two classes of methods namely - relaxation [6] and shooting [47].

- One disadvantage of the LDDMM framework is the high computation cost and the memory complexity associated with the integration of velocity fields. This is combated by the framework DARTEL [48] which considered a stationary i.e. a constant velocity field  $v : \Omega \rightarrow \mathbb{R}^d$ . The final flow associated with the velocity field was estimated using the scaling and squaring approach [49] - this results in an exponentiated flow field thus making the mapping diffeomorphic. The optimization was solved using the Levenberg-Marquardt method [50]. Another advantage of this method is that the inverse transformation can be easily computed simply by backward integration of the negative velocity field  $-v$  using scaling and squaring.
- Other diffeomorphic registration approaches are based on physical models and

constrained optimization approaches. In [51], the deformable template were modeled as hyperelastic or non-linear elastic membranes and the registration was performed using non-linear finite element approach [51]. Further development involving hyperelastic regularization for diffeomorphic registration were done in [52–55]. Other works apply a volume incompressibility constraint i.e. penalizing expansion and contraction. Rohlfing *et al.* [56] introduce a incompressibility based penalty term via the log of the determinant of the Jacobian while Haber and Modersitzki [57] solve the equivalent KKT conditions with a hard constraint of Jacobian determinant being 1.

### 2.3.2 Deep Learning Based Registration

Due to the advent of deep learning, recent works have used convolutional neural networks (CNN) to perform registration. These methods can be broadly divided into supervised and unsupervised ones.

Supervised deep learning methods for deformable registration usually learn a deep learning model estimating displacement fields using ground truth images ([58–62]). However, this may result in transformations biased by ground truths and in practical problems, it is very difficult to obtain a large amount of ground-truth information. Thus, unsupervised deep learning approaches have also been developed, which is the focus of this thesis.

In this section, we describe unsupervised approaches using deep learning for image registration. The advent of Spatial Transformer Networks [63] allowed the end-to-end training of registration by optimizing a similarity metric between a fixed image and a warped image.

Vos *et al.* [64] presented a deformable image registration network (DIRnet) - one of the first end-to-end unsupervised deformable registration framework using deep learning. In this work, the deformation field was parameterized using cubic B-splines [65]. Patches extracted from the moving and fixed image were passed into a convo-

lutional neural network regressor which gave the control points of the cubic spline as its output. These control points were used by the spatial transformer [63] for interpolation and warping of the moving image.

Li and Fan [66] developed on this basic idea by performing unsupervised registration on the full spatial dimension of the image. This was possible by the use of fully convolutional networks (FCN)[67] which were used to learn the full deformation field with the fixed and moving image as its input. This was trained using NCC as the similarity metric and a total variation based regularizer [68].

However, these pioneering methods had certain limitations which were overcome by [10]. Vos *et al.* [64] had an implicit regularization scheme, Li and Fan [66] demonstrated their effectiveness on 3D subregions. Balakrishnan *et al.* [10] developed the Voxelmorph (VM) framework where they proposed a UNet [69] based CNN which predicts the deformation field given an input pair of fixed and moving image. The application of spatial transformation in this context is described in Section 4.3.3. A more extensive analysis of VoxelMorph on different registration settings and a vast collection of datasets is performed in [70]. In this thesis, we consider VoxelMorph as our primary DL pipeline for unsupervised image registration.

In [71], registration is performed with a multiscale and multiresolution approach. Here CNNs are trained to obtain a coarse to fine registration field using a B-Spline deformation model. Other related works for unsupervised image registration include [72–74].

These deep learning methods do not typically consider the diffeomorphic properties of the registration field. There have been few works that look into learning diffeomorphic registration fields.

- The first group of works primarily use the scaling and squaring algorithm for producing diffeomorphisms. Dalca *et al.* [75] use a probabilistic generative CNN model to estimate the mean and covariance of a stationary velocity field. A

diffeomorphic deformation field is obtained from the velocity field by scaling and squaring layers. Their variational inference formulation also assist in giving an uncertainty estimate of the deformation field. This work was extended in [76] with more analysis, experiments and results along with an extension of their method to surface registration. Diffeomorphic deformation fields are also obtained by similar scaling and squaring of SVFs (stationary vector field) found via conditional variational autoencoders [77, 78]. Mok and Chung [79] use a similar scaling and squaring theme, but also develop a symmetric similarity framework considering both the forward and backward transformation between a pair of images.

- The second group of works employ different kinds of regularization and losses to maintain diffeomorphism. Zhang [80] introduced an inverse consistency loss that penalizes the transformations from the respective inverse mappings along with an anti-folding constraint that penalizes gradient of the flow along a point of folding. Kuang and Schmah [81] use a penalty loss to constrain negative Jacobians and train their CNN with a use cross correlation similarity loss. Kim *et al.* [82] ensure cycle or inverse consistency using two neural networks - one network approximating the transformation from moving to fixed and the other from the fixed to moving image.
- The moving mesh grid parameterization is a technique that leads to diffeomorphic image registration [83, 84]. This method does not rely on any regularization - rather it constrains the Jacobian determinant using a monitor function and solves a divergence curl system for obtaining a diffeomorphic mapping [85]. Sheikhsafari *et al.* [86] trained a UNet which outputs the monitor function for constraining the Jacobian. Then the div-curl system is solved and this is trained in an unsupervised manner to obtain a deep learning approach to the moving mesh method.

In this thesis, we focus along this line of work which develops diffeomorphic registration fields using unsupervised end-to-end neural network training. We develop a postprocessing layer that can reduce the number of non-positive Jacobians and can potentially fit in any deep learning registration pipeline.

## Chapter 3

# Postprocess Diffeomorphic Image Registration with Matrix Exponential

In this chapter, we discuss the proposed method for obtaining diffeomorphic image registration using matrix exponential. We describe our method in the case of 3D image volumes. This can also be applied to 2D.

Let  $F, M$  be two 3D image volumes. As mentioned before, the two image volumes are initially aligned with a global deformation as a preprocessing step such that the remaining misalignment between  $F$  and  $M$  is non-linear.

A function  $g_\theta(F, M)$  is modelled by a convolutional neural network (CNN), such that the neural network outputs a displacement field  $\phi$  [10]. The displacement field is a four dimensional vector that determines the displacement between  $F$  and  $M$ . Considering  $Id$  as an identity transform, the transform  $\psi = Id + \phi$  is used to warp the moving image, such that for each voxel location  $q$ ,  $F(q)$  and  $M(\phi(q))$  are identical.

For our experiments, we use the VoxelMorph-2 architecture [10] as our neural network. We insert a postprocessing layer at the end of the neural network, which takes in the displacement field  $\phi$  and gives a new displacement field  $\phi_p$  as an output, which potentially contains much less folding when compared to  $\phi$ .

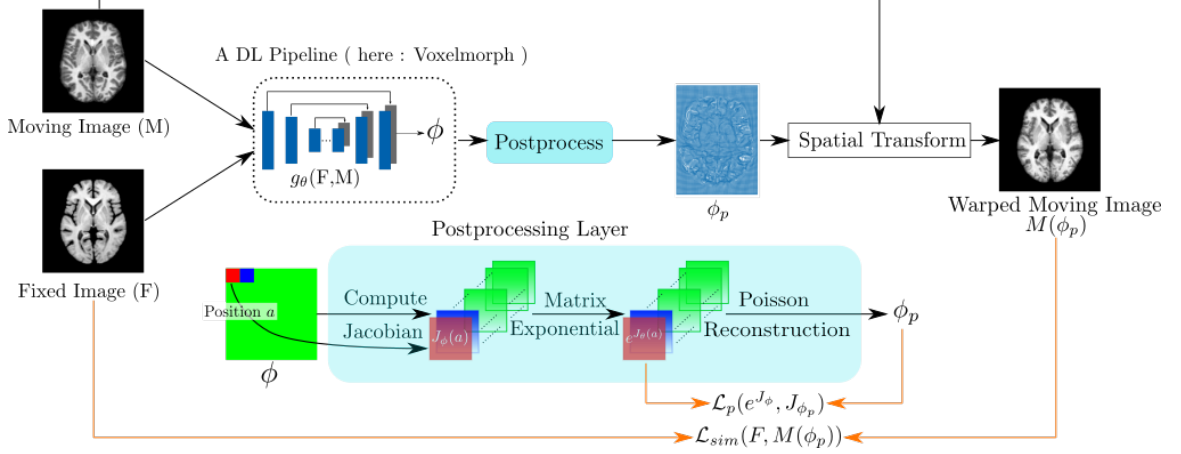


Figure 3.1: Overview of the proposed postprocessing layer for Diffeomorphic Image Registration. The output from the registration pipeline  $\phi$  is the input to our proposed layer which gives  $\phi_p$  as its output.  $J_\phi$  indicate the Jacobian matrices of a displacement field  $\phi$ . We omit the regularization loss for simplicity

### 3.1 Postprocessing Formulation

The postprocessing layer comprises of 3 steps as shown in Figure 3.1:

- Compute the Jacobian matrices at each voxel for displacement field  $\phi$
- Compute the Matrix Exponential of each Jacobian matrix
- Reconstruct the postprocessed displacement field  $\phi_p$  by solving Poisson equations

Concretely, let  $\phi, \phi_p \in \mathbb{R}^{H \times D \times W \times 3}$  be displacement fields such that  $\phi = [\phi_x, \phi_y, \phi_z]$  and  $\phi_p = [\phi_{p_x}, \phi_{p_y}, \phi_{p_z}]$ .  $\phi_i, \phi_{p_i} \in \mathbb{R}^{H \times D \times W}$  denotes the component of the displacement fields along the  $i$ -th axis. Let  $q = (x, y, z)$  be a voxel location.  $H, D, W$  refer to height, width and depth of the image volumes respectively.

The Jacobian matrix of the displacement field  $\phi = (\phi_x, \phi_y, \phi_z)$  at  $q$  is defined as:

$$Jac(\phi(q)) = \begin{bmatrix} \nabla \phi_x(q) \\ \nabla \phi_y(q) \\ \nabla \phi_z(q) \end{bmatrix} = \begin{bmatrix} \frac{\partial \phi_x(q)}{\partial x} & \frac{\partial \phi_x(q)}{\partial y} & \frac{\partial \phi_x(q)}{\partial z} \\ \frac{\partial \phi_y(q)}{\partial x} & \frac{\partial \phi_y(q)}{\partial y} & \frac{\partial \phi_y(q)}{\partial z} \\ \frac{\partial \phi_z(q)}{\partial x} & \frac{\partial \phi_z(q)}{\partial y} & \frac{\partial \phi_z(q)}{\partial z} \end{bmatrix} \quad (3.1)$$

Thus we have one  $3 \times 3$  Jacobian matrix for each voxel location in the image volume, resulting in  $H \times D \times W$  matrices. We compute the matrix exponential of each of these matrices. Thus for voxel location  $q$ , we get the matrix  $J'(q)$  :

$$J'(q) = \begin{bmatrix} J'_x(q) \\ J'_y(q) \\ J'_z(q) \end{bmatrix} = e^{Jac(\phi(q))} \quad (3.2)$$

We compute the matrix exponential of the  $3 \times 3$  matrix  $Jac(\phi(q))$  by a series summation scheme as follows:

$$e^{Jac(\phi(q))} = \sum_{n=0}^{\infty} \frac{(Jac(\phi(q)))^n}{n!} \quad (3.3)$$

This scheme is in line with [87]. We truncate the series after 20 terms and observe that is good enough to give accurate results.

We observe that at a particular voxel location  $q$ , the Laplacian of the postprocessed displacement field is the same as the divergence of the Jacobian at that point. Considering  $J'_x(q) = [J'_{x_1}(q), J'_{x_2}(q), J'_{x_3}(q)]$ , we have:

$$\Delta\phi_{p_x}(q) = \frac{\partial J'_{x_1}(q)}{\partial x} + \frac{\partial J'_{x_2}(q)}{\partial y} + \frac{\partial J'_{x_3}(q)}{\partial z} = \nabla \cdot J'_x(q) \quad (3.4)$$

Thus, the final postprocessed displacement field is reconstructed from  $J'$  by solving the following Poisson equations:

$$\begin{aligned} \Delta\phi_{p_x} &= \nabla \cdot J'_x \\ \Delta\phi_{p_y} &= \nabla \cdot J'_y \\ \Delta\phi_{p_z} &= \nabla \cdot J'_z \end{aligned} \quad (3.5)$$

## 3.2 Solving the Poisson Equations

We solve the Poisson equations using a fast FFT solver adapting from [88]. In this subsection, we provide a comprehensive overview of the relevant numerical steps and their justification.



### 3.2.1 Linear System from discretizing Poisson Equations

Let us consider the first equation in Equation 3.5. The other two equations pertaining to the y-axis and z-axis can be solved similarly. The first equation can be written as follows:

$$\begin{aligned}
 \Delta\phi_{p_x} &= \nabla \cdot J'_x \\
 \Rightarrow \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \phi_{p_x} &= \nabla \cdot J'_x \\
 \Rightarrow \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \phi_{p_x} &= \frac{\partial J'_{x_1}}{\partial x} + \frac{\partial J'_{x_2}}{\partial y} + \frac{\partial J'_{x_3}}{\partial z}
 \end{aligned} \tag{3.6}$$

We can compute the right hand side of the above Equation because  $J'_x$  is known from Equation 3.2.

We consider the solution of the Poisson Equations on a rectangular grid of dimension  $H \times W \times D$ , corresponding to the image volumes. Because of the rectangular mesh arrangement, we can consider discrete Laplacian using finite differences for the left hand side of Equation 3.6.

For the rectangular mesh, we take second order differences along x, y and z direction for the discrete Laplacian. Specifically, these differences are of the form -1,2,1 along each direction, which combine to form a "7 point molecule". Considering the finite differences around a voxel  $q = (i, j, k)$ , we have the following discrete Poisson equation:

$$\begin{aligned}
 6\phi_{p_x}(i, j, k) - \phi_{p_x}(i - 1, j, k) - \phi_{p_x}(i + 1, j, k) - \phi_{p_x}(i, j - 1, k) \\
 - \phi_{p_x}(i, j + 1, k) - \phi_{p_x}(i, j, k - 1) - \phi_{p_x}(i, j, k + 1) = \nabla \cdot J'_x(i, j, k)
 \end{aligned} \tag{3.7}$$

Now, we recall that  $\phi_{p_x}$  is a grid of size  $H \times W \times D$ . Excluding the boundaries, we convert  $\phi_{p_x}$  into a vector  $\mathbf{U}$ . Similarly,  $\nabla \cdot J'_x$  is vectorized as  $\mathbf{F}$ . Thus we get the following linear system:

$$(\text{K3D})\mathbf{U} = \mathbf{F} \tag{3.8}$$

$$\mathbf{U}, \mathbf{F} \in \mathbb{R}^M, M = (H - 2) \times (W - 2) \times (D - 2)$$

where K3D is a matrix of size  $M \times M$  and Equation 3.7 corresponds to one row of this linear equation.

### 3.2.2 Eigenvalues and Eigenvectors of K3D

The matrix K3D has a special structure - it is a sparse matrix with 7 non-zero entries which are six -1's and a +6 according to Equation 3.7. This  $M \times M$  matrix has  $M$  eigenvectors and corresponding  $M$  eigenvalues:

$$(K3D)y_{lmn} = \lambda_{lmn}y_{lmn}$$

$$l = 1, \dots, H', m = 1, \dots, W', n = 1, \dots, D'$$

$$H' = H - 2, W' = W - 2, D' = D - 2$$

where  $y_{lmn}$  is an eigenvector and  $\lambda_{lmn}$  are the eigenvalues.

The eigenvectors and eigenvalues of K3D are as follows:

$$(i, j, k) \text{ component of } y_{lmn} = y_{lmn}^{ijk} = \sin \frac{i l \pi}{H' + 1} \sin \frac{j m \pi}{W' + 1} \sin \frac{k n \pi}{D' + 1}$$

$$\lambda_{lmn} = \left(2 - 2 \cos \frac{l \pi}{H' + 1}\right) + \left(2 - 2 \cos \frac{m \pi}{W' + 1}\right) + \left(2 - 2 \cos \frac{n \pi}{D' + 1}\right)$$

We can verify the eigenvectors and eigenvalues of the matrix due to the special sparse structure of the matrix K3D.

### 3.2.3 Fast Solver using DST

We recall that  $\mathbf{F}$  is the vectorized form of  $\nabla \cdot J'_x$  which is a 3D array of size  $(H - 2) \times (W - 2) \times (D - 2)$ . Thus we can index  $\mathbf{F}$  as  $\mathbf{F}_{ijk}$  which denotes the  $(i, j, k)^{th}$  element of  $\nabla \cdot J'_x$ . With this premise, we perform the 3D Discrete Sine Transform of  $\nabla \cdot J'_x$  to get the following:

$$\mathbf{F}_{ijk} = \sum_{l=1}^{H'} \sum_{m=1}^{W'} \sum_{n=1}^{D'} a_{lmn} \sin \frac{i l \pi}{H' + 1} \sin \frac{j m \pi}{W' + 1} \sin \frac{k n \pi}{D' + 1} \quad (3.9)$$

We observe that the sin terms of Equation 3.9 together also resemble the  $(i, j, k)$  component of the eigenvector of the K3D matrix. Thus from Equation 3.9:

$$\mathbf{F}_{ijk} = \sum_{l=1}^{H'} \sum_{m=1}^{W'} \sum_{n=1}^{D'} a_{lmn} y_{lmn}^{ijk}$$

$$\implies \mathbf{F} = \sum_{l=1}^{H'} \sum_{m=1}^{W'} \sum_{n=1}^{D'} a_{lmn} y_{lmn}$$
(3.10)

Moreover, by the property of eigenvalues, we have

$$(\text{K3D})^{-1}y_{lmn} = \frac{1}{\lambda_{lmn}}y_{lmn} \quad (3.11)$$

Our goal here is to compute the vector  $\mathbf{U}$  which is equivalent to the  $\phi_{p_x}$ . We also note that the eigenvalues of the K3D matrix is always greater than zero - thus the matrix is always invertible. So, from Equation 3.8 we have:

$$\begin{aligned} \mathbf{U} &= (\text{K3D})^{-1}\mathbf{F} \\ &= (\text{K3D})^{-1} \sum_{l=1}^{H'} \sum_{m=1}^{W'} \sum_{n=1}^{D'} a_{lmn} y_{lmn} \quad [\text{from Equation 3.15}] \\ &= \sum_{l=1}^{H'} \sum_{m=1}^{W'} \sum_{n=1}^{D'} a_{lmn} (\text{K3D})^{-1} y_{lmn} \quad (3.12) \\ &= \sum_{l=1}^{H'} \sum_{m=1}^{W'} \sum_{n=1}^{D'} \frac{a_{lmn}}{\lambda_{lmn}} y_{lmn} \quad [\text{from Equation 3.17}] \end{aligned}$$

Thus we can compute  $a_{lmn}$  using a Discrete Sine Transform of  $\nabla \cdot J'_x$ .  $y_{lmn}$  and  $\lambda_{lmn}$  can be obtained from the eigenvector and eigenvalue formulation of the K3D matrix. This is fast because we can go from the frequency space of  $\mathbf{F}$  to that of  $\mathbf{U}$  by a simple division of scalar values of  $\lambda_{lmn}$ .

### 3.3 Postprocess Analysis

In our proposed postprocessing formulation, we exploit the properties of matrix exponential and Poisson reconstruct to attain a reduced number of non-positive Jacobians.

For any complex square matrix  $A$ , the following identity holds true [89, p.41]:

$$\det(e^A) = e^{\text{tr}(A)} \quad (3.13)$$

where  $\text{tr}(A)$  represents the trace of  $A$ . Since we compute  $J'$  through matrix exponential of the Jacobian matrices as in Equation 3.2, using the above identity:

$$\det(J'(q)) = \det(e^{\text{Jac}(\phi(q))}) = e^{\text{tr}(\text{Jac}(\phi(q)))} > 0 \quad (3.14)$$

Thus, if the matrices  $J'$  are valid Jacobian matrices, then a perfect reconstruction of the field results in a postprocessed field with positive Jacobian matrices in all voxel locations. However, it is not always certain that the exponentiated matrices will be valid Jacobian matrices and thus integrable. Our framework can combat this issue because of the variational properties of the Poisson equation. In the following section, we investigate the conditions required for perfect integrability and also the relevant properties of the Poisson equation that help our framework to reduce non-positive Jacobians.

### 3.4 Integrability of exponentiated Jacobian matrices $J'$

A Jacobian matrix for a displacement field (like  $\phi$  or  $\phi_p$ ) consists of three rows of vector fields which are the gradients of the x,y and z components of the corresponding displacement field. For example, from Equation 3.1, the Jacobian matrix for the displacement field  $\phi$  is made of three rows which are gradients of  $\phi_x$ ,  $\phi_y$  and  $\phi_z$ . Thus, for  $J'$  to be integrable, we need  $J'_x$ ,  $J'_y$  and  $J'_z$  to be vector fields which are valid gradients of a function.

**Theorem 3.1 (Hubbard, 2015. p.571 [90])** *If  $U \in \mathbb{R}^3$  is convex, and  $\vec{F}$  is a vector field on  $U$ , then  $\vec{F}$  is the gradient of a function  $f$  defined on  $U$  if and only if  $\text{curl}\vec{F} = 0$ .*

In our case, the domain of the vector field is the image domain  $\Omega$ , which essentially lies in a solid parallelepiped. Hence it is a convex set. Thus the vector fields  $J'_x$ ,  $J'_y$  and  $J'_z$  need to have the following conditions for them to be valid gradients, resulting in  $J'$  to be integrable:

$$\begin{aligned} \nabla \times J'_x &= 0 \\ \nabla \times J'_y &= 0 \\ \nabla \times J'_z &= 0 \end{aligned} \tag{3.15}$$

Hence we need the above special structure on the exponentiated matrices for perfect integrability, which will result in a reconstructed displacement field with theoretically guaranteed positive Jacobians. However, as we will see in Section 3.4.2, satisfying Equation 3.15, though possible, is non-trivial. However, we can still achieve a reconstructed displacement field which is close to a field with theoretically guaranteed positive Jacobians due to the properties of Poisson equations.

### 3.4.1 Integrability and Poisson Equations

We get the final postprocessed displacement by solving the Poisson equations given by Equation 3.5 and computing the matrix exponential of the Jacobian matrices of the starting displacement field,  $\phi$ . We consider the variational formulation of the Poisson equation in Equation 3.5 using the Euler-Lagrange equations.

We consider the first equation of Equation 3.5. The solution of the following minimization problem:

$$\min_{\phi_{p_x}} \int_{\Omega} \|\nabla \phi_{p_x} - J'_x\|_2^2 \text{ with } \phi_{p_x}|_{\partial\Omega} = \phi_{p_x}^*|_{\partial\Omega} \quad (3.16)$$

is the unique solution of the Poisson equation with the Dirichlet boundary condition [91]:

$$\Delta \phi_{p_x} = \nabla \cdot J'_x \text{ with } \phi_{p_x}|_{\partial\Omega} = \phi_{p_x}^*|_{\partial\Omega}$$

Here  $\partial\Omega$  denotes the boundary of the set  $\Omega$  which is our image domain. Thus, the solution of the Poisson equations in Equation 3.5 signifies minimizing the difference between the exponentiated Jacobian and the Jacobian of the resultant field  $\phi_{p_x}$ .

### 3.4.2 Condition for perfect Integrability

In this section, we derive the conditions on the initial displacement field  $\phi$ , which results in  $J'$  to be an integrable Jacobian i.e. the Jacobian of conservative vector fields.

**Lemma 3.2** *If  $YX = ZY$ , where  $X, Y, Z \in \mathbb{R}^{d \times d}$  are square matrices, the following are true:*

- $[X, Y] = (X - Z)Y = A_1Y$
- $[X, [X, Y]] = (X^2 - 2XZ + Z^2)Y = A_2Y$
- $[X, \underbrace{[X, \dots, [X, [X, Y]] \dots]}_{(n-2) \text{ times}}] = \left( \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r \right) Y = A_nY$

for  $n = 2, 3, \dots$ . Here,  $[\cdot, \cdot]$  denotes the Lie bracket and we consider  $A_n = \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r$

**Proof.**

- $[X, Y] = XY - YX = XY - ZY = (X - Z)Y = A_1Y$
- $[X, [X, Y]] = [X, AY] = XAY - AYX = XAY - AZY = (XA - AZ)Y = (X(X - Z) - (X - Z)Z)Y = (X^2 - 2XZ + Z^2)Y = A_2Y$
- We will prove the general case by induction. We consider the induction hypothesis to be the given statement i.e. the predicate  $P(n)$  is the third statement of the lemma.

**Base Case.** We consider the base case when  $n = 2$ . Then

$$\begin{aligned} [X, \underbrace{[X, \dots, [X, [X, Y]] \dots]}_{(2-2) \text{ times}}] &= [X, [X, Y]] \\ &= (X^2 - 2XZ + Z^2)Y \\ &= \sum_{r=0}^2 \binom{2}{r} X^{2-r} (-Z)^r \end{aligned}$$

**Inductive Step.** We assume  $P(n)$  to be true. Our proof will be complete if

$P(n + 1)$  is true.

$$\begin{aligned}
& [X, \underbrace{[X, \dots, [X, [X, Y]]}_{((n+1)-2) \text{ times}} \dots]] \\
&= [X, \underbrace{[X, [X, \dots, [X, [X, Y]]]}_{((n-2) \text{ times}} \dots)] \\
&= [X, \left( \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r \right) Y] \\
&= X \left( \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r \right) Y - \left( \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r \right) YX \\
&= X \left( \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r \right) Y - \left( \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r \right) ZY \\
&= \left( \sum_{r=0}^n \binom{n}{r} X^{n+1-r} (-Z)^r - \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^r Z \right) Y \\
&= \left( \sum_{r=0}^n \binom{n}{r} X^{n+1-r} (-Z)^r + \sum_{r=0}^n \binom{n}{r} X^{n-r} (-Z)^{r+1} \right) Y \\
&= \left( \sum_{r=0}^{n+1} \binom{n+1}{r} X^{n+1-r} (-Z)^r \right) Y
\end{aligned}$$

which proves  $P(n + 1)$ . So it follows by induction that  $P(n)$  is true for all  $n \geq 2$ . ■

**Proposition 3.3** *If  $J$  is a Jacobian matrix of a vector field, then  $e^J$  will also be a Jacobian matrix of a vector field, when there is a  $3 \times 3$  matrix  $A$ , such that  $\frac{\partial J}{\partial x} J = A \frac{\partial J}{\partial x}$ ,  $\frac{\partial J}{\partial y} J = A \frac{\partial J}{\partial y}$ , and  $\frac{\partial J}{\partial z} J = A \frac{\partial J}{\partial z}$ .*

**Proof.**

Let  $J$  be the Jacobian of the vector field  $\phi = [\phi_x, \phi_y, \phi_z]$ . Rewriting Equation 3.1, Jacobian at voxel location  $q$ :

$$J(q) = \begin{bmatrix} \frac{\partial \phi_x(q)}{\partial x} & \frac{\partial \phi_x(q)}{\partial y} & \frac{\partial \phi_x(q)}{\partial z} \\ \frac{\partial \phi_y(q)}{\partial x} & \frac{\partial \phi_y(q)}{\partial y} & \frac{\partial \phi_y(q)}{\partial z} \\ \frac{\partial \phi_z(q)}{\partial x} & \frac{\partial \phi_z(q)}{\partial y} & \frac{\partial \phi_z(q)}{\partial z} \end{bmatrix} \quad (3.17)$$

Let us consider:

$$e^{J(q)} = \begin{bmatrix} F_x \\ F_y \\ F_z \end{bmatrix} = \begin{bmatrix} F_{x1} & F_{x2} & F_{x3} \\ F_{y1} & F_{y2} & F_{y3} \\ F_{z1} & F_{z2} & F_{z3} \end{bmatrix} \quad (3.18)$$

For  $e^J$  to be a valid Jacobian matrix, from Equation 3.15, we need the following to hold true:

$$\begin{aligned} \nabla \times F_x &= \begin{vmatrix} i & j & k \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ F_{x1} & F_{x2} & F_{x3} \end{vmatrix} = 0 \\ \nabla \times F_y &= 0 \\ \nabla \times F_z &= 0 \end{aligned} \tag{3.19}$$

The above conditions give rise to a set of 9 equations, which can be equivalently rewritten as:

$$\begin{aligned} \frac{\partial e^{J(q)}}{\partial x} \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} - \frac{\partial e^{J(q)}}{\partial y} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} &= 0 \\ \frac{\partial e^{J(q)}}{\partial x} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} - \frac{\partial e^{J(q)}}{\partial z} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} &= 0 \\ \frac{\partial e^{J(q)}}{\partial y} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} - \frac{\partial e^{J(q)}}{\partial z} \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} &= 0 \end{aligned} \tag{3.20}$$

Thus  $e^{J(q)}$  is a valid Jacobian matrix if Equation 3.23 hold true. We also observe that using Lemma 3.2, for  $t = x, y, z$ :

$$\begin{aligned} \frac{\partial J}{\partial t} J &= A \frac{\partial J}{\partial t} \\ \implies [J, \frac{\partial J}{\partial t}] &= (J - A) \frac{\partial J}{\partial t} \\ \text{and } [J, [J, \frac{\partial J}{\partial t}]] &= (J^2 + A^2 - 2JA) \frac{\partial J}{\partial t} \\ \dots \text{ and so on} \end{aligned} \tag{3.21}$$

We consider  $Q_n = \sum_{r=0}^n \binom{n}{r} J^{n-r} (-A)^r$



Now, using the differentiation formula [89, p.115], we get:

$$\begin{aligned}
\frac{\partial}{\partial t} e^{J(q)} &= e^{J(q)} \frac{I - e^{-ad_J}}{ad_J} \frac{\partial J(q)}{\partial t} \\
&= e^{J(q)} \left( \frac{\partial J(q)}{\partial t} - \frac{1}{2!} [J(q), \frac{\partial J(q)}{\partial t}] + \frac{1}{3!} [J(q), [J(q), \frac{\partial J(q)}{\partial t}]] - \dots \right) \\
&= e^{J(q)} \left( \frac{\partial J(q)}{\partial t} - \frac{1}{2!} Q_1 \frac{\partial J(q)}{\partial t} + \frac{1}{3!} Q_2 \frac{\partial J(q)}{\partial t} - \dots \right) \\
&= e^{J(q)} \left( I - \frac{1}{2!} Q_1 + \frac{1}{3!} Q_2 - \dots \right) \frac{\partial J(q)}{\partial t} \\
&= e^{J(q)} Q \frac{\partial J(q)}{\partial t}, \quad t = x, y, z,
\end{aligned} \tag{3.22}$$

We prove that the series  $(I - \frac{1}{2!}Q_1 + \frac{1}{3!}Q_2 - \dots)$  converges to  $Q$  in Section A.1.

Using the above result,

$$\begin{aligned}
&\frac{\partial e^{J(q)}}{\partial x} \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} - \frac{\partial e^{J(q)}}{\partial y} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \\
&= e^{J(q)} Q \frac{\partial J(q)}{\partial x} \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} - e^{J(q)} Q \frac{\partial J(q)}{\partial y} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \\
&= e^{J(q)} Q \left( \frac{\partial J(q)}{\partial x} \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} - \frac{\partial J(q)}{\partial y} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \right) \\
&= e^{J(q)} Q \left( \begin{bmatrix} \frac{\partial^2 \phi_x(q)}{\partial y \partial x} & 0 & 0 \\ \frac{\partial^2 \phi_y(q)}{\partial y \partial x} & 0 & 0 \\ \frac{\partial^2 \phi_z(q)}{\partial y \partial x} & 0 & 0 \end{bmatrix} - \begin{bmatrix} \frac{\partial^2 \phi_x(q)}{\partial x \partial y} & 0 & 0 \\ \frac{\partial^2 \phi_y(q)}{\partial x \partial y} & 0 & 0 \\ \frac{\partial^2 \phi_z(q)}{\partial x \partial y} & 0 & 0 \end{bmatrix} \right) \\
&= 0 \text{ [ Clairaut's theorem ]}
\end{aligned} \tag{3.23}$$

Now, we can easily verify that the matrix  $e^J$  is curl-free. ■

Even though the set of conditions in the lemma is technical, it is quite general and we point out that such conditions hold for a large family of functions. For example, for the 2D cases, we can verify that any harmonic function and its conjugate [92] together obey these conditions and provide us with such conservative vectors fields. Non-trivial families of functions following these conditions also exist in 3D.

## 3.5 End to End Pipeline

In this thesis, we propose a postprocessing layer, which can be potentially inserted at the end of a general deep learning registration framework and then the system can be trained end-to-end. Along with the postprocessing layer, we also propose an additional Poisson reconstruction loss for training. The full training pipeline is depicted in Figure 3.1.

In our experiments, we formulate the loss used for training according to the form of Equation 2.1. The loss consists of three components:  $\mathcal{L}_{sim}$ , which discourages dissimilarity between the moving and fixed image,  $\mathcal{L}_{reg}$ , that penalizes large local variations in the displacement field and  $\mathcal{L}_{poisson}$ , that helps our postprocessing layer in reducing non-positive Jacobians.

### 3.5.1 Cross-Correlation Loss

In our experiments, we take  $\mathcal{L}_{sim}$  to the cross-correlation loss as in [10]. Essentially, we consider the negative local normalized cross correlation loss as the similarity measure. Considering the fixed image to be  $F$  and the moving image to be  $M$ , the moving image is spatially transformed using the deformation field  $\phi_p$ . Thus we find the similarity measure between  $F$  and  $M(\phi_p)$ .

The cross-correlation is computed locally i.e. for a voxel location  $p$ , we consider a local cubic volume  $V$  of size  $n^3$ . Considering such a volume  $V$  around  $p$ , we compute the local mean ( we consider the fixed image  $F$  here):

$$\hat{F}(p) = \frac{\sum_{i \in V} F(p_i)}{n^3}$$

Similarly, local means around any voxel location is also found for the warped image. Now, the cross-correlation loss is given by [10]:

$$CC(F, M(\phi_p)) = \sum_{p \in \Omega} \frac{\left( \sum_{p_i} (F(p_i) - \hat{F}(p))(M(\phi_p(p_i)) - \hat{M}(\phi_p(p))) \right)^2}{\left( \sum_{p_i} (F(p_i) - \hat{F}(p))^2 \right) \left( \sum_{p_i} (M(\phi_p(p_i)) - \hat{M}(\phi_p(p)))^2 \right)} \quad (3.24)$$

Here  $p_i$  iterates over the cubic volume of size  $n^3$  as mentioned before. For our experiments, we consider  $n = 9$ . Now, it is to be noted that conventional cross-correlation would be defined as the square root of the terms summed in Equation 3.24. However, the aforementioned form is commonly used in the image registration literature [14].

**Efficient Computation.** The local cross-correlation loss mentioned in Equation 3.24 is computed efficiently using convolution operation. Specifically, we consider a convolution kernel of size  $9 \times 9 \times 9$  and convolve it over the image volumes with stride 1 and a padding of 4. This allows us to compute a volume containing  $\sum_{p_i}(F(p_i))$  in a single convolution pass. The local means are obtained by simply dividing the above by  $n^3$ . Similar computation is applicable for  $\sum_{p_i}(M(\phi_p(p_i)))$ . Thus this helps in efficient computation of the similarity measure.

### 3.5.2 Diffusion Regularizer

We use the diffusion regularizer as  $\mathcal{L}_{reg}$ , which is defined as follows [10]:

$$\mathcal{L}_{reg} = \sum_{p \in \Omega} \|\nabla \phi_p(p)\|^2$$

The diffusion regularizer is a commonly used regularizer in image registration [10, 93, 94] and was inspired from the field of optical flow [95]. Essentially, the registration field in an neighbourhood in an image should vary smoothly. Thus this regularizer adds a smoothness constraint by penalizing the square of the magnitude of the gradient of the deformation field.

### 3.5.3 Poisson Reconstruction Loss

The Poisson reconstruction step plays a crucial role in reducing the non-positive Jacobians. Note that a lower resultant value in Equation 3.16 implies a better integrability condition for the matrix  $J'$ . Hence, adding such three terms for x, y and z components, we introduce a Poisson reconstruction loss  $\mathcal{L}_{poisson}$  as follows:

$$\mathcal{L}_{poisson} = \sum_q \|e^{Jac(\phi(q))} - Jac(\phi_p(q))\|_2^2 \quad (3.25)$$

### 3.5.4 Complete Loss

Using the individual components mentioned above, the complete loss function  $\mathcal{L}(F, M)$  is as follows:

$$\mathcal{L}(F, M) = -CC(F, M(\phi_p)) + \lambda \sum_p \|\nabla \phi_p(p)\|^2 + \lambda_p \mathcal{L}_{poisson}, \quad (3.26)$$

Here  $\lambda$  is the hyperparameter that controls the strength of the diffusion regularizer and  $\lambda_p$  is the hyperparameter determining the strength of the Poisson reconstruction loss.

Thus every component mentioned in our method is differentiable and we train this pipeline end-to-end using the above loss.

# Chapter 4

## Experiments and Results

### 4.1 Dataset

In this work, we primarily use the open-access OASIS dataset [9] to evaluate our postprocess step. The dataset contains 414 T1-weighted brain MRI scans from subjects aged 18 to 96. We obtain the preprocessed dataset from [96]. The MRI scans were preprocessed [97] using Freesurfer [98] by standard steps like resampling, bias correction, skull stripping, affine normalization and center cropping into volumes of  $160 \times 192 \times 224$ . For our experiments we split the dataset into training, validation and test set of sizes 255, 15 and 144 respectively.

We perform atlas-based registration for our experiments i.e. we aim to establish anatomical correspondence between the moving images and the reference image/atlas. An atlas can be a single volume or an average of volumes in the same image space. Atlas-based registration is commonly applied to register inter-subject images. In this paper, we use an atlas constructed from a different dataset [99] and also used in the official implementation of Voxelmorph [10].

### 4.2 Evaluation Metric

We evaluate the performance of our postprocessing layer with two metrics: Dice Score (DS) and the percentage of non-positive Jacobian determinants ( $|J_{\phi_p}| \leq 0$ ) [79].

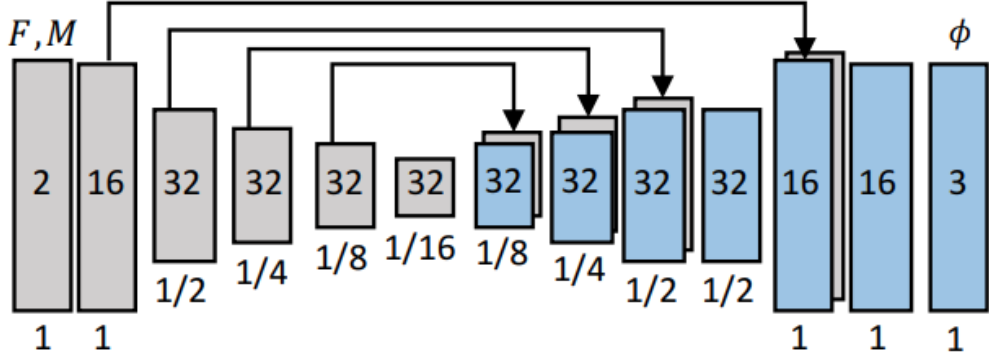


Figure 4.1: Architecture of VoxelMorph-2. Taken from [10]

### 4.2.1 Dice Score

The Dice Score measures the volume overlap of different segmented anatomical structures. Considering  $S_F^k$  and  $S_{M(\phi_p)}^k$  to be the sets of voxels for an anatomical structure  $k$  for  $F$  and  $M(\phi_p)$ , respectively, the Dice Score [100] is given by :

$$DS(S_F^k, S_{M(\phi_p)}^k) = 2 \times \frac{S_F^k \cap S_{M(\phi_p)}^k}{|S_F^k| + |S_{M(\phi_p)}^k|} \quad (4.1)$$

For our analysis, we consider 30 anatomical structures for the computation of Dice Score [70]. A Dice Score of 1 is highest since it indicates complete overlap and no overlap gives the lowest score of 0.

### 4.2.2 Non-positive Jacobian determinants

The goal of our postprocessing step is to reduce the number of non-positive Jacobians to ensure a diffeomorphic transformation (Section 2.2.3). Hence we also measure the percentage of voxels which have non-positive Jacobian determinants to evaluate our layer.

## 4.3 Implementation Details

### 4.3.1 Architecture

We use the VM2 architecture [10] as the neural network pipeline ( $g_\theta(F, M)$ ) for our experiments. We concatenate the fixed and moving image to obtain an input of di-

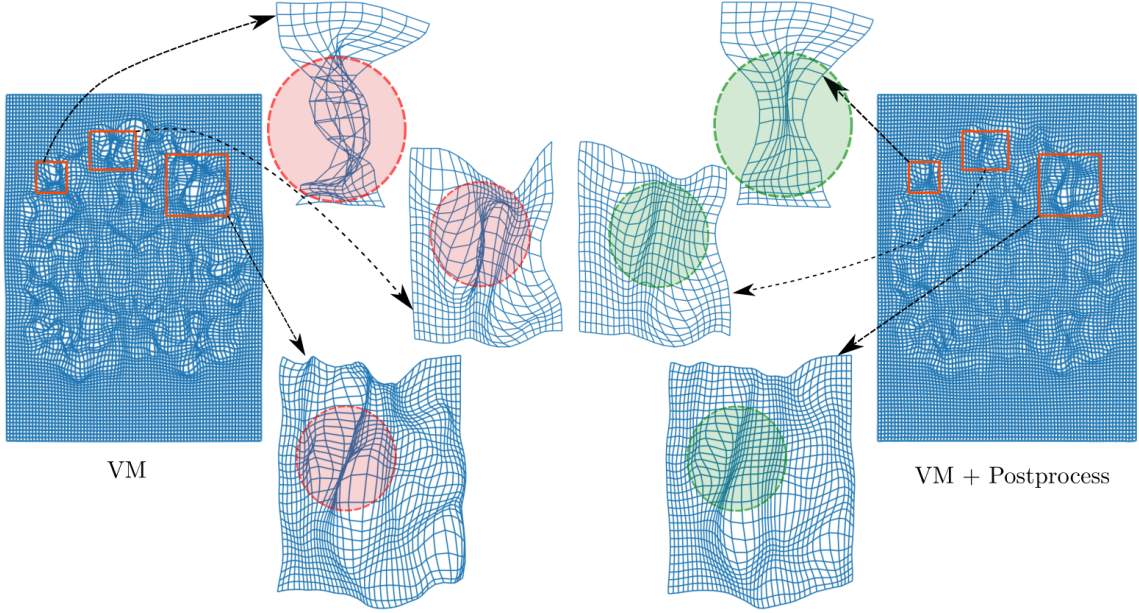


Figure 4.2: Illustration showing the effect of the Postprocessing Layer on foldings in the grid used for registration for one slice. VM = Voxelmorph. Red circles indicate folding of the grid over itself. Green circles indicate no foldings in same region.

mension  $160 \times 192 \times 224 \times 2$ . The convolutional neural network architecture is based on UNet [69], which contains an encoder stage and a decoder stage which are connected via skip connections. In the VM2 architecture, both the encoder and decoder network consists of convolutional blocks, which typically consist of a convolution with a kernel size of  $3 \times 3 \times 3$  followed by a Leaky ReLU activation with a negative slope of 0.2. The encoder networks use the convolutions with a stride of 2 because that reduces the spatial dimensions by half in each layer. As shown in Figure 4.1, the encoder network consists of 5 layers with 16, 32, 32, 32 and 32 channels. Due to the strided convolutions the spatial size of the input volumes along each dimension are reduced till  $\frac{1}{16}$  of the original size at the last encoder stage. The decoder network consists of 6 layers of 32, 32, 32, 32, 16 and 16 channels where the spatial dimension is upsampled gradually as shown in Figure 4.1. Like UNet, skip connections are employed to pass features learned in the encoder stage directly to the decoder stage.

Table 4.1: Average Dice Score (higher is better) and Average Percentage of Non-Positive Jacobians (lower is better) with  $\lambda = 1, 2$  and increasing  $\lambda_p$ . VM = Voxelmorph. Standard Deviation Given in Parenthesis

<b>Method</b>	$\lambda$	$\lambda_p$	<b>Avg. Dice</b>	<b>% of <math> J_{\phi_p}  \leq 0</math></b>
VM	1.0	-	0.8056 (0.0084)	0.6895 (0.0950)
		0	0.8051 (0.0081)	0.2964 (0.0461)
VM + Postprocess	1.0	0.01	0.8053 (0.0082)	0.2589 (0.0411)
		0.05	0.8045 (0.0087)	0.2255 (0.0378)
		0.1	0.8024 (0.0095)	0.1154 (0.0280)
VM	2.0	-	0.8048 (0.0086)	0.2881 (0.0501)
		0	0.8025 (0.0092)	0.0639 (0.0187)
VM + Postprocess	2.0	0.01	0.8032 (0.0100)	0.0609 (0.0190)
		0.05	0.8043 (0.0096)	0.0672 (0.0201)
		0.1	0.8025 (0.0103)	0.0207 (0.0001)

Table 4.2: Average Run Time in secs for Registration of Pair of Images (lower is better). VM = Voxelmorph. Standard Deviation Given in Parenthesis

<b>Method</b>	<b>Time in sec</b>
VM	0.60 (0.10)
VM+Postprocess	1.87 (0.20)

### 4.3.2 Training details

Since we propose a postprocessing layer in this paper, we compare the results between an existing framework, namely the VoxelMorph framework (VM) [10] and that of our layer used in conjunction with the VoxelMorph framework. We implement our method using Pytorch [101]. We train our models using the Adam optimizer [102] with a learning rate of  $1e^{-4}$  for 300 epochs and a batch size of 1. We train both VM and VM in conjunction with our proposed postprocess layer and tune the respective hyperparameters with grid search. Based on the best dice score from the validation set, we get the best result for our setting using  $\lambda = 1.0$  and  $\lambda_p = 0.01$ .



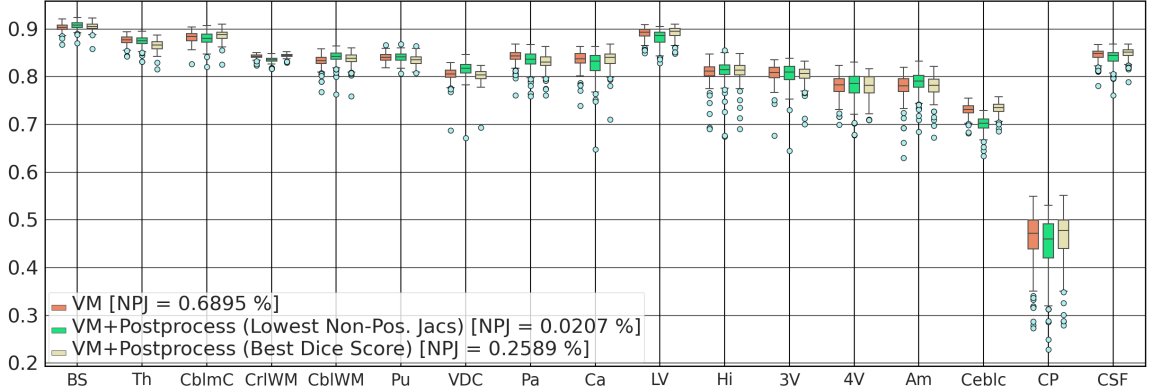


Figure 4.3: Box Plot of Dice Scores of different anatomical structures for VM, model of VM with Postprocessing Layer giving best Dice Score and VM with Postprocessing Layer giving lowest percentage of non-positive Jacobians. NPJ = Percentage of non-positive Jacobians. Structures with left and right hemispheres are combined into one for this illustration. Anatomical structures: Brain Stem (BS), Thalamus (Th), Cerebellum Cortex (CblmC), Cerebral White Matter (CriWM), Cerebellum WM (CblWM), Putamen (Pu), Ventral-DC (VDC), Pallidum (Pa), Caudate (Ca), Lateral Ventricle (LV), Hippocampus (Hi), 3rd Ventricle (3V), 4th Ventricle (4V), Amygdala (Am), Cerebral Cortex (Ceblc), Choroid Plexus (CP) and CSF. Table corresponding to this Box Plot is given in Section A.2.

### 4.3.3 Spatial Transform function

The spatial transformation function, inspired from spatial transformer networks [63] is an integral part of the unsupervised registration DL pipeline. It helps us in computing  $M(\phi_p)$  from  $\phi_p$ , which we obtain as an output of the neural network or as an output of our postprocessing layer.

For a voxel location  $p$ , we compute  $M(\phi_p(p))$  using the eight neighbouring voxels of  $p$  (denoted as  $\mathcal{Z}(\phi_p(p))$ ):

$$M(\phi_p(p)) = \sum_{q \in \mathcal{Z}(\phi_p(p))} M(q) \prod_{d \in x,y,z} (1 - |\phi_{p_d}(p) - q_d|)$$

These operations are almost differentiable everywhere - enabling us to train the pipeline end-to-end through backpropagation.

## 4.4 Results

### 4.4.1 Registration Performance

Table 4.1 shows the average dice score and the average percentage of voxels with non positive Jacobians for all subjects in the test set for our experiments for different values of  $\lambda$  and  $\lambda_p$ . We observe that adding our postprocessing layer does not noticeably alter the dice score performance, however it reduces the percentage of non-positive Jacobians by a significant amount. Thus our proposed postprocessing layer can reduce folding (see Figure 4.2 for an example) of the registration grid while maintaining a high registration accuracy (in terms of dice score), thus giving more diffeomorphic transformations.

In Figure 4.3, we also show the average dice score for different anatomical structures in the brain as a boxplot. We demonstrate that for VM, VM with postprocessing layer giving the best Dice and VM with postprocessing layer giving the lowest percentage of non-positive jacobians.

### 4.4.2 Effect of the Poisson Reconstruction Loss

We also demonstrate the effect the proposed reconstruction loss in Table 4.1. We show the average percentage of non-positive jacobians for increasing values of  $\lambda_p$  with the gradient regularization  $\lambda = 1, 2$ . As the weight of the reconstruction term increases through  $\lambda_p$ , we observe that amount of non-positive Jacobians decreases; however there is not much of a decrease in Dice score. Thus, with increasing  $\lambda_p$ , the reconstruction loss tries to reconstruct a displacement field  $\phi_p$ , whose Jacobian is increasingly closer to  $e^{J\phi}$  and thus is more diffeomorphic. Hence, our proposed loss is successful in making the deformations more diffeomorphic without sacrificing too much registration accuracy.

### 4.4.3 Runtime Analysis

Table 4.2 shows the average time required to register a pair of images when we use a VM trained model and when we use a VM model trained along with our layer. We perform the deformable registration of a MRI scan of a test subject to the atlas using a NVIDIA Tesla P100 GPU and an Intel Xeon (E5-2683 v4) CPU. The runtime for registration when we add our layer is greater than that for VM by just about 1.2 seconds. Thus, it maintains the advantage of deep learning methods being faster than traditional registration methods.

## 4.5 Limitation

In this section we explore a limitation of our work revealed through more experiments. For these experiments, we consider 2D chest X-ray images with high inter-subject anatomical variability for registration using our proposed postprocessing layer and Voxelmorph.

### 4.5.1 Dataset

For this experiment, we consider the Shenzhen Hospital chest X-ray [8, 11, 12] database which was collected in Shenzhen No.3 Peoples Hospital, Guangdong Medical College, Shenzhen, China. It consists of 662 X-ray images of variable sizes with the width and height ranging from 2400 to 3000 pixels. The dataset is essentially a tuberculosis dataset containing 326 normal and 336 pathological images. Manual lung segmentations for each image are present in the dataset, which help us to evaluate our method. We resize each image to  $1504 \times 1504$  for ease of computation and for uniformity of size among images. For our experiments, we split the dataset into 542, 60 and 60 images as training, validation and test set respectively.

## 4.5.2 Experimental Setting

As discussed in Section 4.2, we use Dice Score and percentage of non-positive Jacobian as our main evaluation criterion for our method. The dataset contains masks for lung segmentations for each image. Hence, we calculate Dice Score based on such masks.

For our experiments, we use the same VM2 architecture [10] as mentioned in Section 4.1. We train our models using Adam [102] with a learning rate of 0.001 for 300 epochs and a batch size of 1 and use the mean squared error as the loss function for registration.

## 4.5.3 Results

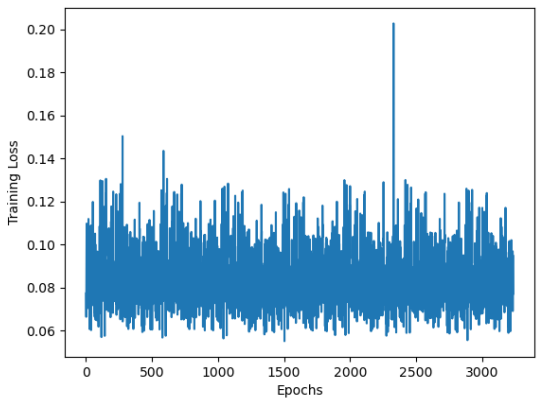
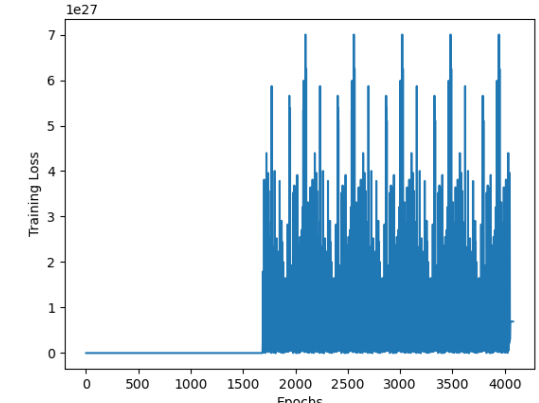
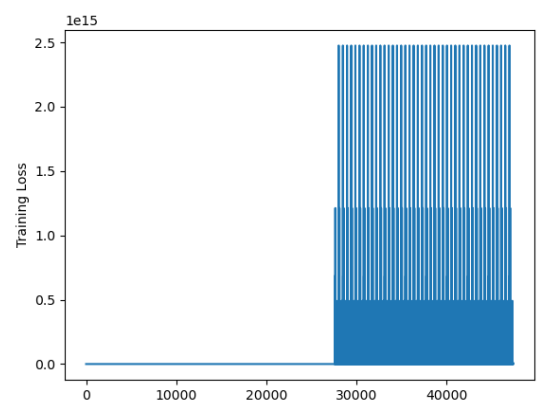
We initially use only Voxelmorph for registration with the Shenzen dataset to understand the viability of Voxelmorph as the underlying deep learning pipeline. In Table 4.3, we present the dice scores for different hyperparameters of  $\lambda$ . We observe that for  $\lambda = 0.01$ , we attain an acceptable registration performance [103] for the Shenzen dataset.

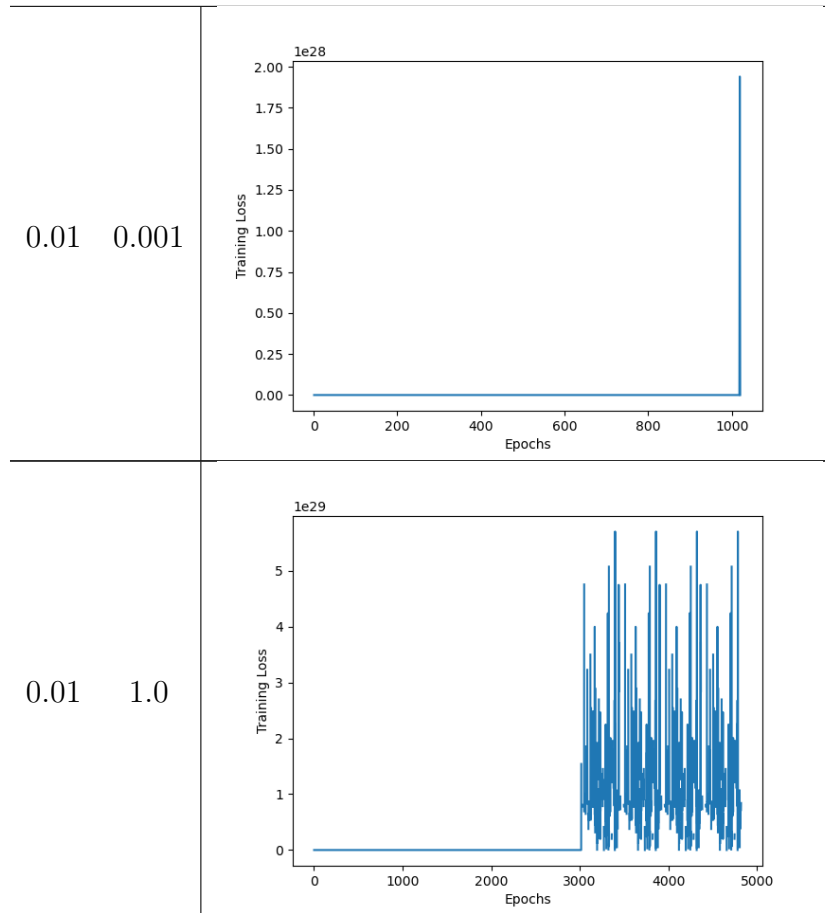
Table 4.3: Dice Score for VM with Shenzen Dataset

$\lambda$	Dice Score
1.0	0.62
0.5	0.63
0.1	0.80
0.01	0.86

Thus Voxelmorph can serve as an acceptable pipeline for our postprocessing layer. We observe the performance of the postprocessing layer in Table 4.4 - the addition of our layer leads to instability in training. We have demonstrated the training curves for different hyperparameter settings in Table 4.4.

Table 4.4: Training Curves demonstrating instability when training VM2+postprocessing layer with Shenzen Dataset

$\lambda$	$\lambda_p$	Training Curves
1.0	0.0	
1.0	0.001	
0.1	0.001	



We observe that either there is no decrease in training loss with sudden spikes in the loss or the training loss completely blows up to an extremely high value. We observe such behaviour across a range of different hyperparameters.

To understand this phenomenon in depth, we choose one such hyperparameter combination i.e. learning rate = 0.001,  $\lambda = 0.01$  and  $\lambda_p = 0.001$ . We track the L2 norm of the gradient with respect to parameters and different stages of our pipeline as shown in Figure 4.4. We observe that the all the tracked norm of gradients except one (gradient of mean of  $J_\phi$  with respect to parameters of the network) become unstable and rise exponentially at around epoch 1000. This is the same time that the loss blows up as seen in Table 4.4 row 4.

Our intuition is that the instability may also arise from unboundedness of the norm of the Jacobian  $J_\phi$ . Hence, we similarly track the L2 norm of the Jacobian in

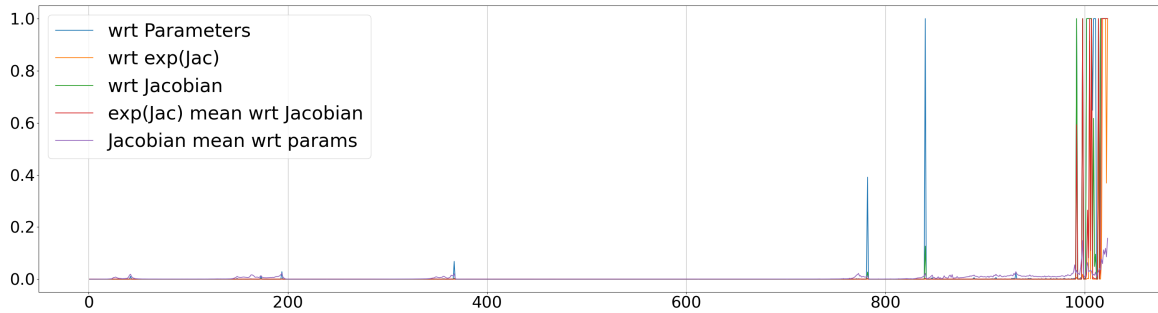


Figure 4.4: Tracking L2 norm of gradients. wrt 'A' denotes L2 norm of gradients of loss with respect to 'A'. 'B' wrt 'A' denotes L2 norm of gradients of 'B' with respect to 'A'. Jacobian =  $J_\phi$ .  $\text{exp}(\text{Jac}) = J'$ .

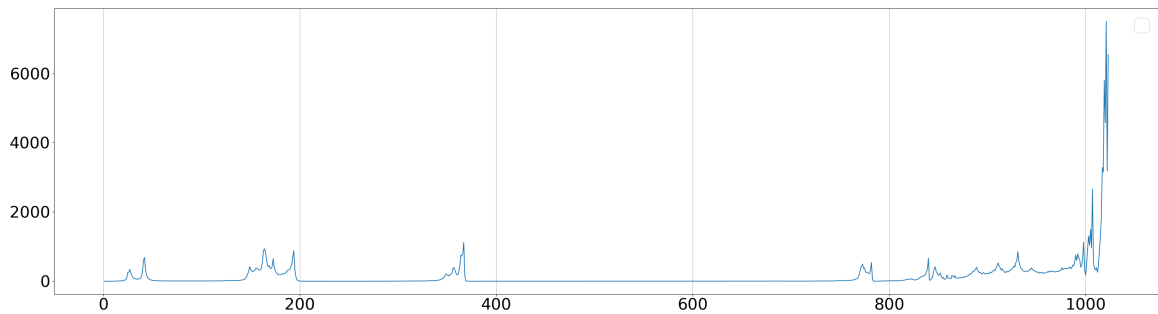


Figure 4.5: Tracking mean L2 norm of Jacobian matrix  $J_\phi$ . Mean is taken over the voxels.

Figure 4.5. We observe that a similar phenomenon occur i.e. the norm increases in an unstable manner around the same epoch.

This presents a practical limitation in our current approach and points towards the need of further investigation.

# Chapter 5

## Conclusion

Deformable image registration is an important problem in medical image applications. A very important requirement of such deformable registrations is diffeomorphism - diffeomorphic registration preserves topology, prevents singularity. Classical registration literature have solved the diffeomorphic problem by either constraining the space of velocity fields, certain forms of regularization etc. However, classical registration performs slow pairwise registration. The advent of deep learning has enabled fast registration during test time - which is a desirable property. However, due to such advances being recent, there are few efforts to attain diffeomorphic image registration using deep learning.

In this thesis, we have presented a postprocessing layer which can fit in a deep learning registration framework with end-to-end learning. The purpose of our layer is to reduce the number of non-positive jacobians in the displacement field obtained from the DL framework - thus obtaining more diffeomorphic mappings. We evaluate our layer using large scale brain MR dataset with the Voxelmorph framework and show that our layer is successful in reducing folding in the registration grid and maintaining high registration accuracy. Even though we employ a Poisson equation solver, our layer still maintains the advantage of fast registration, a desirable characteristic of deep learning algorithms. We hope that our postprocessing layer can be used in other registration frameworks desiring more diffeomorphic registration fields.



The exponentiated Jacobian is not always integrable, but under certain conditions it can give a valid Jacobian as explored in 3.3 and that will lead to a theoretical guarantee of strictly positive Jacobians. Thus, for future work, we hope to develop constrained registration fields that can lead to a theoretically guaranteed, fully diffeomorphic registration.

Additionally, we demonstrate a limitation of our work based on experiments of 2D chest X-ray data. Addition of our layer makes the training unstable - we find that this occurs due to gradient explosion and is possibly correlated with explosion of norm of Jacobians. Hence this presents a stage for future work which can properly investigate and mitigate this phenomenon, thus helping in development of a general postprocessing layer leading to diffeomorphic registration.

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# Appendix A:

## A.1 Convergence of series in 3.3

Here we prove the convergence of the series  $(I - \frac{1}{2!}Q_1 + \frac{1}{3!}Q_2 - \dots)$  where  $Q_n = \sum_{r=0}^n \binom{n}{r} J^{n-r} (-A)^r$

**Proof.** We know that if a series converges absolutely, the series converges. So we look at the convergence of the following:

$$\begin{aligned}
& \|I\| + \left\| -\frac{1}{2!}Q_1 \right\| + \left\| \frac{1}{3!}Q_2 \right\| + \left\| -\frac{1}{4!}Q_3 \right\| + \dots \\
&= \|I\| + \left\| \frac{1}{2!}Q_1 \right\| + \left\| \frac{1}{3!}Q_2 \right\| + \left\| \frac{1}{4!}Q_3 \right\| + \dots \\
&= \|I\| + \frac{1}{2!}\|(J - A)\| + \frac{1}{3!}\|(J^2 - 2JA + A^2)\| + \frac{1}{4!}\|(J^3 - 3J^2A + 3JA^2 - A^3)\| + \dots \\
&\leq \|I\| + \frac{1}{2!}(\|J\| + \| - A\|) + \frac{1}{3!}(\|J^2\| + \| - 2JA\| + \|A^2\|) \\
&+ \frac{1}{4!}(\|J^3\| + \| - 3J^2A\| + \|3JA^2\| + \| - A^3\|) + \dots \text{ [Triangle Inequality]} \\
&\leq \|I\| + \frac{1}{2!}(\|J\| + \|A\|) + \frac{1}{3!}(\|J\|^2 + 2\|J\|\|A\| + \|A\|^2) \\
&+ \frac{1}{4!}(\|J\|^3 + 3\|J\|^2\|A\| + 3\|J\|\|A\|^2 + \|A\|^3) + \dots \text{ [Submultiplicative Property]} \\
&= \|I\| + \frac{1}{2!}(\|J\| + \|A\|) + \frac{1}{3!}(\|J\| + \|A\|)^2 + \frac{1}{4!}(\|J\| + \|A\|)^3 + \dots \\
&= \|I\| + \frac{1}{(\|J\| + \|A\|)} \left( \frac{1}{2!}(\|J\| + \|A\|)^2 + \frac{1}{3!}(\|J\| + \|A\|)^3 + \frac{1}{4!}(\|J\| + \|A\|)^4 + \dots \right) \\
&= \|I\| + \frac{1}{(\|J\| + \|A\|)} \left( \frac{1}{2!}(\|J\| + \|A\|)^2 + \frac{1}{3!}(\|J\| + \|A\|)^3 + \frac{1}{4!}(\|J\| + \|A\|)^4 + \dots \right) \\
&= \|I\| + \frac{1}{(\|J\| + \|A\|)} (e^{(\|J\| + \|A\|)} - (\|J\| + \|A\|) - 1)
\end{aligned}$$

Thus the series is absolutely convergent, hence convergent. ■

## A.2 Table corresponding to Figure 4.3

Table A.1: Table of Average Dice Scores (higher is better) of different anatomical structures for Voxelmorph, model of Voxelmorph with Postprocessing Layer giving best Dice Score and Voxelmorph with Postprocessing Layer giving lowest percentage of non-positive Jacobians. NPJ = Percentage of non-positive Jacobians. Structures with left and right hemispheres are combined into one. Anatomical structures: Brain Stem (BS), Thalamus (Th), Cerebellum Cortex (CblmC), Cerebral White Matter (CrIWM), Cerebellum WM (CblIWM), Putamen (Pu) , Ventral-DC (VDC), Pallidum (Pa), Caudate (Ca), Lateral Ventricle (LV), Hippocampus (Hi), 3rd Ventricle (3V), 4th Ventricle (4V), Amygdala (Am), Cerebral Cortex (CebIc), Choroid Plexus (CP) and CSF. VM = Voxelmorph. Standard Deviation Given in Parenthesis.

Anatomical Structures	VM	VM + Postprocess (Lowest NPJ)	VM + Postprocess (Best Dice)
BS	0.9026 (0.0074)	0.9074 (0.0076)	0.9045 (0.0079)
Th	0.8761 (0.0092)	0.8740 (0.0109)	0.8645 (0.0113)
CblmC	0.8818 (0.0107)	0.8798 (0.0128)	0.8857 (0.0104)
CrIWM	0.8420 (0.0044)	0.8352 (0.0053)	0.8441 (0.0039)
CblIWM	0.8320 (0.0127)	0.8405 (0.0133)	0.8365 (0.0130)
Pu	0.8400 (0.0088)	0.8409 (0.0097)	0.8340 (0.0096)
VDC	0.8043 (0.0149)	0.8152 (0.0168)	0.8020 (0.0135)
Pa	0.8405 (0.0151)	0.8336 (0.0195)	0.8298 (0.0166)
Ca	0.8353 (0.0182)	0.8244 (0.0283)	0.8357 (0.0185)
LV	0.8903 (0.0117)	0.8814 (0.0155)	0.8922 (0.0119)
Hi	0.8083 (0.0222)	0.8109 (0.0242)	0.8108 (0.0215)
3V	0.8056 (0.0192)	0.8050 (0.0245)	0.8036 (0.0192)
4V	0.7789 (0.0230)	0.7794 (0.0298)	0.7775 (0.0250)
Am	0.7778 (0.0263)	0.7873 (0.0237)	0.7788 (0.0237)
CebIc	0.7304 (0.0131)	0.7002 (0.0159)	0.7341 (0.0127)
CP	0.4605 (0.0543)	0.4486 (0.0569)	0.4644 (0.0546)
CSF	0.8447 (0.0130)	0.8393 (0.0165)	0.8482 (0.0122)