Statistical prediction of the vitrifiability and glass stability of 1 multi-component cryoprotective agent solutions 2 Andrew D. Weiss MSc¹, J. Fraser Forbes PhD², A. Scheuerman², Garson K. Law MSc¹, 3 Janet A.W. Elliott PhD², Locksley E. McGann PhD³, Nadr M. Jomha MD, PhD¹ 4 5 ¹ Department of Surgery, University of Alberta, Edmonton Canada 6 ² Department of Chemical and Materials Engineering, University of Alberta, Edmonton 7 Canada 8 ³ Department of Laboratory Medicine and Pathology, University of Alberta, Edmonton 9 Canada 10 11 Corresponding Author: 12 13 Nadr Jomha 2D2.32 WMC Department of Surgery 14 University of Alberta Hospital 15 16 Edmonton, Alberta 17 Canada T6G 2B7 Ph: 17804072816 18 19 Fax: 1 780 407 2819 Email: njomha@ualberta.ca 20

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22 Abstract

Long-term biologic storage of articular cartilage has proven elusive due to cellular 23 degradation over time or acute damage during attempts at cryopreservation. 24 25 Vitrification is one option that may result in successful cryopreservation but difficulty with cryoprotective agent (CPA) toxicity at high concentrations of a single 26 27 cryoprotectant has hindered development of successful protocols. This study was designed to determine the vitrifyability and glass stability of solutions containing 28 combinations of commonly used CPAs and to document CPA interactions that occur. 29 One hundred and sixty-four multi-CPA combination solutions of 6-9M were evaluated 30 for vitrifyability and glass stability using direct visualization after immersion in liquid 31 32 nitrogen for 30 minutes and upon warming. Binary and ordinal logistic regression analysis was used to statistically analyze each CPA for its ability to vitrify and its effect 33 34 on glass stability in multi-component CPA solutions. Propylene glycol has the greatest incremental contribution to vitrification while formamide had the least contribution. A 35 threshold was established whereby the ability of a solution to vitrify could be 36 determined by calculation. Glass stability was not as clearly defined due to variability in 37 38 the results; however, contributions of interactions between CPAs to the glass stability of 39 solutions were determined. This study provided values that predict if a solution will 40 vitrify. Furthermore, the glass stability of solutions containing multiple CPAs do not 41 behave as linear additions of binary solutions and interactions between CPAs have a 42 significant effect on the glass stability of these solutions. These variables should be considered when designing vitrification solutions. 43

Key Words: vitrification, glass stability, cryoprotective agents, logistic regression,
articular cartilage, dimethyl sulphoxide, propylene glycol, glycerol, ethylene glycol,
formamide

48 Introduction

49	There is significant medical need for replacement tissue and organs, whether from
50	donors or tissue engineering techniques[24; 27]. Long-term biological storage with
51	maintenance of cellular viability and tissue function has proven elusive[17], due to
52	cellular degradation over time when stored at low supra-zero temperatures[32] or acute
53	damage during attempts at cryopreservation[22; 23]. In articular cartilage, this acute
54	damage during cryopreservation results from ice formation in the cartilage matrix when
55	low concentrations of cryoprotective agents (CPA) are present[23] and due to toxicity
56	when high concentrations of cryoprotective agents are used to prevent ice
57	formation[14]. Ideally, solutions would vitrify, avoiding ice formation, without
58	containing any CPAs; however, this would require cooling and warming the tissue at
59	rates not possible in larger tissues (>10 ⁶ K/s)[31]. Thermal conductivity of physiological
60	solutions limits cooling rates to 10^2 K/min in volumes sufficient to contain
61	transplantable tissue such as articular cartilage, decreasing towards 60 K/min as CPA
62	concentrations are increased[23]. The variation in achievable cooling rates with CPA
63	concentration is likely related to differences in convective currents as viscosity
64	increases. It is clear that vitrification without the presence of CPAs is not an option, so
65	CPAs must be used.
66	The use of multiple CPAs within a solution can result in decreased toxicity compared to

- 67 solutions with a single CPA[17], but development of appropriate combinations that
- 68 prevent ice formation while limiting toxicity requires an understanding of the solutions'

69	vitrifiability and glass stability. Vitrifiability is the tendency for a solution to form an
70	amorphous solid upon cooling and is often measured by calculating the critical cooling
71	rate of solutions (rate that avoids ice formation)[25; 26; 29]. Glass stability is the
72	resistance to devitrification upon re-warming and is often measured by calculating the
73	critical warming rate of solutions. Vitrifiability and glass stability parameters have been
74	measured for many CPAs[1; 2; 3; 4; 5; 6; 7; 8; 9; 10; 11; 12; 13; 19; 20; 28], but these
75	have focused on milligram quantities of aqueous solutions with only one or two CPAs.
76	The properties of solutions in large quantities can be significantly different[16], and
77	solutions with more than two CPAs need to be tested in order to understand how these
78	more complex solutions behave. An important obstacle is that the gold standard for the
79	assessment of solution properties is to create a phase diagram, but phase diagrams
80	require significant data collection and a new phase diagram must be created whenever
81	a solution with different constituents is investigated[25; 26]. The more appropriate
82	alternative is to use approaches requiring fewer resources (labour and physical
83	resources) to survey available options and then use more detailed approaches (such as
84	the building of phase diagrams) for solutions of particular interest[26].
85	This study investigated the vitrifiability and glass stability of 164 solutions composed of
86	3-5 CPAs in bulk volumes, evaluating the solutions optically. Multiple logistic regression
87	was used to analyze the data collected. It was hypothesized that this statistical
88	technique would allow the estimation of the contributions of individual CPAs to the
89	vitrifiability and glass stability of solutions containing multiple CPAs.

92 Materials and experimental methods

93	One hundred and sixty four solutions of cryoprotective agents in 1x Dulbecco's
94	phosphate buffered saline (pH= 7.0)(DPBS, Gibco, BRL, MD) were prepared using
95	dimethyl sulphoxide (range of 0-4 mol/L concentrations) and propylene glycol, ethylene
96	glycol, glycerol and formamide (range of 0-3 mol/L concentrations for each). Each
97	solution contained a total of 6-9 mol/L of CPA. CPAs were ACS grade from Sigma-Aldrich
98	(Sigma-Aldrich Canada Ltd., Oakville, Ontario). Solution volumes were 5mL contained in
99	10mL polypropylene centrifuge tubes (Simport plastics, Beloeil, Quebec). The
100	experimental solutions were cooled rapidly (between 60-80K/min[23]) by plunging the
101	centrifuge tubes into liquid nitrogen where they were immersed for 30 minutes. Upon
102	retrieval the solutions were visually examined and given a binary score (Table 1). The
103	centrifuge tubes were then immersed in a 37°C water bath until the solution had
104	completely liquefied and given a score on an ordinal scale (Table 2) to evaluate their
105	overall glass stability.

106 Table 1: Scoring rubric used following plunge of solutions into liquid ni	trogen
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Vitrification upon	Binary score
Visible Ice	0
No visible Ice	1

111 Table 2: Scoring rubric used following transfer of solutions into a 37°C water bath

Devitrification upon warming	Ordinal score
No vitrification	0
Complete devitrification	1
Partial devitrification	2
Devitrification at edges	3
No devitrification	4

¹¹²

113 Statistical methods:

This experiment seeks to gain insight into the relative contributions of individual 114 115 cryoprotective agents to the vitrifiability and glass stability of multi-CPA solutions so 116 regression analysis was used. Since the vitrifiability and glass stability data are discontinuous the most appropriate statistical tool is logistic regression. In the case of 117 vitrifiability binary logistic regression is most appropriate, while for the evaluation of 118 119 glass stability ordinal logistic regression is the most appropriate technique. The vitrifiability of solutions was evaluated by creating a binary logistic regression model 120 121 using binary logistic regression to evaluate vitrification upon cooling (using STATASE by 122 Statacorp, College Station, Texas). Binary logistic regression uses least squares 123 regression to fit coefficients to the independent variables that are being used to predict a binary dependent variable. The model used to predict vitrification upon cooling takes 124 the form: 125

$$Logit[P] = \alpha + \sum_{i=1}^{k} \beta_{i} x_{i} \qquad eq. (1)$$

126

127 [30]. It should be used in cases such as ours, where the dependent variable has two 128 distinct outcomes with no intermediate outcomes. In eq.(1), β_i represents the 129 contribution of a CPA to the vitrifiability of a solution per molar increase in CPA 130 concentration, x_i represents the molar concentration of a CPA and α represents the 131 threshold that separates a statistically vitrifiable solution from an ice forming solution. P 132 is the probability that no ice will occur in the solution and is treated as a binary variable: 0 (ice formation); 1 (vitrification). Logistic regression has the same assumptions as linear 133 134 regression: that the data are normally distributed and that the errors are independent 135 of each other[30]. Logit is a mathematical transformation of a logarithmic regression formula that allows analysis of the regression coefficients as though they were derived 136 137 from linear regression formula, though the constraints of logistic regression remain[30]. The glass stability of solutions was evaluated by creating an ordered regression model, 138 139 using proportional odds ordered logistic regression to evaluate overall glass stability of 140 the solutions. Proportional odds logistic regression uses least squares regression to fit coefficients to the independent variables that are being used to predict an ordinal 141 142 dependant variable. The model used to predict glass stability upon warming takes the form: 143

$$Logit[P] = \alpha_n + \sum_{i=1}^{l} \left[\beta_i x_i + \sum_{j=1}^{i} \beta_{ij} x_i x_j \right] \qquad eq. (2)$$
144

used in cases where the dependant variable has more than two states, but is not continuous. In *eq.* (2) α_n represents the threshold that distinguishes which score a

147	solution is likely to receive and <i>P</i> is the probability that a solution will not devitrify.
148	When <i>Logit</i> [P] is greater than or equal to one, the equation predicts that the solution
149	will vitrify. If <i>Logit</i> [<i>P</i>] is less than one, the equation predicts that the solution will not
150	vitrify. The $\boldsymbol{\theta}_i$ represent the contributions of each CPA to the glass stability of a solution
151	per molar increase in CPA concentration The eta_{ij} represent interactions between
152	components of the solution. The x_i and $x_i x_j$ represents the molar concentration of a
153	CPA and the product of the molar concentrations of two CPAs respectively. The $eta_{ij} \; x_i x_j$
154	term is used to model first-order interactions between CPAs, including interactions of a
155	CPA with itself. The proportional odds ordinal logistic regression model creates several
156	binary regression models, creating binary variables by splitting the ordinal scale at each
157	discrete value. For example, a solution with a score that meets or exceeds the threshold
158	α_3 is predicted to meet or exceed a score of 3. The single ordered model is created by
159	fitting a single set of $ heta$ coefficients to this collection of binary models.
160	Models were constructed by adding blocks of variables (individual CPAs, interactions) to
161	the model-in-progress and numerically testing to ensure that there was a statistically
162	significant (set at p=0.95 using F-tests) decrease in the value of the residuals (sum of the
163	squares of the difference between the data and the model). Individual variables of
164	blocks that were added to the model were then tested to ensure that their removal
165	decreased the fit of the model significantly. If this was not the case, the variable was
166	removed per good statistical practice[30].

167 Results

168	Table 3 lists the parameters of the binary multiple logistic regression model. The CPA
169	with the greatest incremental contribution to the vitrifiability of a multi-component
170	solution was propylene glycol with a coefficient of 225. Glycerol and dimethyl
171	sulphoxide had the second greatest contribution, both possessing a score of 188.
172	Ethylene glycol had the second smallest contribution to the vitrifiability of a solution
173	with a coefficient of 150 and formamide contributed the least to the vitrifiability of a
174	solution with a coefficient of 75. The parameter α is a threshold; when the sum of the
175	products of the molarities of the CPAs with their coefficients is greater than the absolute
176	value of α , eq. (1) will result in a P=1, predicting that the solution will vitrify.

Table 3: Parameters of model predicting vitrification of solutions upon quenching inliquid nitrogen

Parameter	Estimate
α	-1070 ± 0
β_{PG}	225 ± 0
β_{Glyc}	188 ± 0
β _{DMSO}	188 ± 0
β_{EG}	150 ± 0
β_{Form}	75 ± 0

179

In the multiple CPA solutions tested, a large difference in contribution to the
vitrifiability was evident between CPAs, which was evident when the greatest and least
effective CPAs were compared. For example, increasing the concentration of propylene
glycol in a solution by one molar increased the probability of that solution vitrifying by
three times more than does increasing the concentration of formamide in the solution

185	by one molar. This binary model perfectly predicted the vitrification status of all 164
186	solutions with no variation, resulting in standard errors of 0. Since a single set of these
187	164 solutions was tested there were no duplicate data points that could have produced
188	variation in the data and hence the model. Nonetheless, it is possible that if multiple
189	sets of the 164 solutions were tested that the model could be identical, with standard
190	errors of zero since the outcome is binary and based upon primarily physical processes.
191	Table 4 lists the thresholds that the ordinal model predicts a solution must pass in order
192	for the solution to receive a score of 1-4 (Table 2). Standard errors are an estimate of
193	the standard deviation present within the underlying data, the true mean could occur
194	anywhere within the range of the standard error (or within several multiples of the
195	range of the standard error depending on the certainty desired)[30]. Since the standard
196	errors of the estimates were greater than the differences between the thresholds,
197	statistically they cannot be considered to be completely distinct without other tests
198	being completed.

199 Table 4: Thresholds of ordinal model predicting degree of devitrification

Parameter	Estimate (± SE)
α_1	167.6 ± 29.2
α ₂	184.0 ± 31.5
α ₃	186.8 ± 31.8
α_4	190.5 ± 32.0

²⁰⁰

Table 5 lists the contribution of individual CPAs to the glass stability of solutions. The coefficients in this glass stability model followed the same relative CPA order as in the vitrifiability model with the exception that dimethyl sulphoxide had the second smallest

- 204 contribution to the glass stability of a solution while in the vitrifiability model it had the
- second highest contribution to the vitrifiability. Note that the coefficients of propylene
- 206 glycol, glycerol, ethylene glycol and dimethyl sulphoxide all fell within each other's 95%
- 207 confidence intervals and are not distinguishable at that confidence level. All four were
- significantly different from the glass stability coefficient of formamide, which
- 209 contributed the least to the glass stability of solutions that contain it. This suggests that
- formamide is the least effective of these 5 CPAs at preventing ice formation in multi-
- 211 component solutions.
- Table 5: Linear contributions of CPAs in ordinal model predicting degree ofdevitrification

Parameter	Estimate (± SE)
β_{PG}	57.1 ± 10.6
β_{Glyc}	45.9 ± 8.6
β_{EG}	39.9 ± 8.0
β _{DMSO}	36.4 ± 6.7
β_{Form}	12.3 ± 2.3

- Table 6 lists the coefficients representing the contribution of the interactions between
- 216 CPAs to the glass stability of solutions. The addition of the interaction terms to the
- 217 model significantly improved the precision of the model using p=0.05; however, the
- 218 interactions of dimethyl sulphoxide with formamide and of formamide with itself did
- not significantly improve the model, resulting in their removal from the model, per
- standard statistical practices[30].
- 221

222 Table 6: Interactive contributions of CPAs in ordinal model predicting degree of

223 devitrification

Parameter	Estimate (±SE)
$\beta_{PG Glvc}$	-7.0 ± 1.4
$\beta_{PG EG}$	-5.9 ± 1.3
β _{DMSO PG}	-5.9 ± 1.2
$\beta_{EG Glyc}$	-5.0 ± 1.1
β _{DMSO Glyc}	-4.3 ± 0.9
$\beta_{PG_{PG}}$	-4.1 ± 0.9
β _{dmso eg}	-3.7 ± 0.9
$\beta_{Glyc Glyc}$	-2.9 ± 0.7
$\beta_{EG EG}$	-2.3 ± 0.6
$\beta_{PG Form}$	-2.0 ± 0.5
β_{EG_Form}	-1.7 ± 0.5
β _{DMSO} DMSO	-1.4 ± 0.4
β_{Glvc} Form	-1.2 ± 0.4

224

All interaction terms were negative and a trend was apparent in the interaction terms. When CPAs had larger linear contributions to the glass stability of a solution, they also tended to have more negative coefficients of interaction with other CPAs. Negative interaction coefficients indicate a reduction in the probability of a solution avoiding devitrification as the concentration of a solution increases; this has the effect of offsetting the increase in the probability of a solution avoiding devitrification resulting from the positive linear coefficients of the CPAs as shown in *eq.* (2)[21].

232

233 Discussion

- 234 The addition of interaction terms to the glass stability model significantly improved the
- 235 model as measured using F-tests. Previous work investigating the glass stability

236 properties of multi-component CPA solutions, primarily with ternary systems composed 237 of two CPAs in water, assumed that interactions were negligible or did not occur[6; 8; 238 10; 11; 15]. This may remain true within that experimental system, though this should 239 be verified experimentally; however, since we have found that interactions significantly 240 affected the glass stability of multi-component solutions of CPAs containing 241 physiological levels of salt, the impact of these interactions must not be ignored during the design of multi-component vitrification solutions. These interaction terms were all 242 243 negative, which indicated that as the concentration of a solution increased the 244 incremental improvement to the glass stability of the solution decreased. This is 245 intuitive if we think of what is happening in solution; increasing the concentration of a 246 solution from 5 Molar to 6 Molar increases the CPA proportion of the solution by a greater amount than will increasing the concentration of the solution from 6 Molar to 7 247 248 Molar. CPAs that have large primary glass stabilities also tended to have the most 249 negative interaction terms, suggesting that there was potential benefit in having 250 solutions with several components at low concentrations rather than only a few 251 components at higher concentrations, as interaction terms become multiplicatively 252 more significant to the solution. This is a key insight as many current approaches focus 253 on single or binary solutions for use as vitrifying CPA solutions[18]. The precision of the vitrifiability model was greater than that of the glass stability 254 255 model. This was to be expected as the process of evaluating the presence or absence of ice at a single temperature point was more precise than the process of evaluating the 256 257 amount of ice formed over a period of several minutes and over a range of

258 temperatures as a solution was warmed in a water bath. Since the glass stability 259 measurement has a more subjective grading scheme, we expected more observation 260 error and therefore more error in the resulting model. The order of the CPA vitrifiability 261 coefficients largely agreed with published critical cooling rates for the same CPAs in 262 binary solutions[1], with the notable exception of glycerol. Published critical cooling rates for formamide could not be found. Surprisingly, glycerol had the second largest 263 vitrifiability coefficient, while its binary critical cooling rate was the lowest of dimethyl 264 265 sulphoxide, propylene glycol, ethylene glycol and glycerol. There are possible reasons 266 for this. The published critical cooling rates were collected using binary solutions of a single CPA and water, while our data was collected using solutions of multiple CPAs in 267 268 DPBS. It is possible that glycerol interacts with other solutes in the solution, resulting in more effective vitrification. A second possible reason for this difference is due to the 269 270 method of measurement, the data used to derive the published binary critical cooling 271 rates was collected using samples of a few milligrams[1]; whereas this study used samples that were three orders of magnitude larger. Whereas the vitrifiability 272 273 coefficients are most readily compared to critical cooling rates as they are both benchmarks of the relative ability of solutions to avoid crystallization upon cooling, the 274 275 glass stability coefficients can be compared to critical warming rates. The published 276 critical warming rates of binary CPA solutions suggest that the relative glass stability of the CPAs (at 45% solute w/w) is, in descending order, propylene glycol (80°C/min), 277 dimethyl sulphoxide (3.3x10³ °C/min), ethylene glycol (3.3x10⁵ °C/min) and glycerol 278 (5.6x10⁸ °C/min)[1]. Our glass stability model coefficients followed the same order but 279

dimethyl sulphoxide and glycerol exchanged positions. Nonetheless, care should be

taken not to place undue weight on this difference as the 95% confidence intervals of

these four coefficients overlapped and so they were not statistically different from one

another at this confidence level. This results point to the need to collect a larger data set

to narrow the confidence intervals and allow stronger conclusions to be drawn.

285 Conclusion

We have found that the glass stability of solutions of multiple CPAs do not behave as 286 linear additions of binary solutions but that interactions between CPAs have a significant 287 effect on the glass stability of these solutions. This should be considered when designing 288 289 vitrification solutions. We have provided values that predict if a solution will vitrify; the rank order of the CPAs' tendency to cause a solution containing multiple CPAs to vitrify 290 291 agrees with the CPAs' tendency to vitrify in single CPA solutions[1], with the exception 292 of glycerol. From a theoretical standpoint, it is desirable to have multiple solutes in order to avoid crystal formation, since the more distinct solutes are present the less 293 294 likely it is that molecules of the same substance (whether water or CPA) will be present in sufficient numbers in localized areas to allow crystal growth[18]. 295

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