

The genetic signature of rapid range expansions: How dispersal, growth and invasion speed impact heterozygosity and allele surfing

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Abstract

As researchers collect spatiotemporal population and genetic data in tandem, models that connect demography and dispersal to genetics are increasingly relevant. The dominant spatiotemporal model of invasion genetics is the stepping-stone model which represents a gradual range expansion in which individuals jump to uncolonized locations one step at a time. However, many range expansions occur quickly as individuals disperse far from currently colonized regions. For these types of expansion, stepping-stone models are inappropriate. To more accurately reflect wider dispersal in many organisms, we created kernel-based models of invasion genetics based on integrodiffer-

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ence equations. Classic theory relating to integrodifference equations suggests that the speed of range expansions is a function of population growth and dispersal. In our simulations, populations that expanded at the same speed but with spread rates driven by dispersal retained more heterozygosity along axes of expansion than range expansions with rates of spread that were driven primarily by population growth. In addition, mutations that initially occurred at the fronts of expanding population waves reached higher mean abundances in waves driven by wider dispersal kernels than in waves traveling at the same speed but driven by high demographic growth rates. In our models based on random assortative mating, surfing alleles remained at relatively low frequencies and surfed less often compared to previous results based on stepping-stone simulations with asexual reproduction.

Keywords:

Dispersal, Genetic diversity, Heterozygosity, Invasion, Range expansion

1 **1. Introduction**

2 Range expansions explain the wide spatial distribution of many dominant
3 species. Unfortunately however, researchers often have only a snapshot of the
4 extent of a recently expanded range rather than a complete spatiotemporal
5 dataset. Genetic data have been used to elucidate processes underlying range
6 expansions based on these snapshots, from our own planetary conquest (Ra-
7 machandran et al., 2005) to the post-glacial expansion of grasshoppers (He-
8 witt, 1999). Such insights, based on snapshots of genetic patterns on the land-
9 scape, are predicated on models that connect the dynamics, movement and
10 genetics of populations. Thus, spatiotemporal genetic models are increas-

11 ingly relevant as we accumulate large genetic databases. In this research we
12 introduce integrodifference models as an alternative modeling framework in
13 invasion genetics with a sound mathematical and ecological basis. Integrod-
14 iffERENCE equations are discrete-time, continuous-space models that apply to
15 range expansions in which populations have synchronized growth and disper-
16 sal stages (Neubert et al., 1995). Thus, they are useful for many herbaceous,
17 invertebrate, and vertebrate species prone to invasion (Kot et al., 1996).

18

19 Currently, invasion models with analytical solutions for the patterns of
20 genetic diversity that they produce are limited to the island model (Wright,
21 1951; Buerger and Akerman, 2011) and the stepping-stone model (Kimura
22 and Weiss, 1964; Thibault et al., 2009; DeGiorgio et al., 2011; Slatkin and
23 Excoffier, 2012). In the island model, subpopulations receive migrants at a
24 constant rate from a single unchanging source population, whereas in the
25 stepping-stone model, unoccupied demes are colonized sequentially one after
26 another, and only receive migrants from adjacent subpopulations (Kimura and
27 Weiss, 1964; DeGiorgio et al., 2009, 2011). Many dispersing organisms how-
28 ever, can move to locations beyond adjacent unoccupied areas (Levin et al.,
29 2003) and dispersal is an important determinant of the speed of population
30 expansion in space (Kot et al., 1996). For these reasons, neither the island
31 nor the stepping-stone model in their original form is realistic in terms of popula-
32 tion processes or dispersal (Le Corre and Kremer, 1998).

33

34 Realism has been added in modeling studies in a variety of ways. The
35 stepping-stone model has been amended to include more realism by incorpo-

36 rating logistic population growth (Austerlitz et al., 1997). The consequences
37 of Allee effects have also been explored in haploid model systems using the
38 reaction-diffusion framework (Hallatschek and Nelson, 2008; Roques et al.,
39 2012). The impact of stepping-stone, diffusive, and leptokurtic dispersal on
40 genetic patterns has been explored by Nichols and Hewitt (1994) and by
41 Ibrahim et al. (1996) using simulations featuring logistic population growth.
42 Other simulation studies investigated differences between the effect of strat-
43 ified and diffusive dispersal on the genetic structure of maternally inherited
44 genes (Le Corre et al., 1997) and on genetic diversity along axes of range
45 expansion (Bialozyt et al., 2006).

46

47 Results from simulations and simple models with analytical solutions un-
48 derpin our understanding of how heterozygosity within populations decreases
49 along axes of expansion (Austerlitz et al., 1997; Le Corre et al., 1997; Nichols
50 and Hewitt, 1994). Heterozygosity reduction in expanding populations is a
51 consequence of genetic drift that results from population bottlenecks at the
52 front of range expansions (Austerlitz et al., 1997). Heterozygosity loss due
53 to genetic drift can explain how genetic diversity is reduced at the front
54 of expanding populations, but another mechanism called allele surfing (Ed-
55 monds et al., 2004; Hallatschek et al., 2007; Hallatschek and Nelson, 2010;
56 Lehe et al., 2012) may explain why certain alleles persist there. In allele
57 surfing, alleles and mutations that occur near the front of population expan-
58 sions are able to proliferate and achieve higher frequencies than expected in
59 populations at equilibrium (Excoffier and Ray, 2008). Most studies of al-
60 lele surfing have focused on stepping-stone models with maternally inherited

61 alleles, which is equivalent to asexual reproduction (Edmonds et al., 2004;
62 Hallatschek et al., 2007; Hallatschek and Nelson, 2008; Lehe et al., 2012).
63 Therefore, the importance of allele surfing in range expansions with other
64 mating systems and wide dispersal has not been established.

65

66 In part due to wide dispersal, many biological invasions expand quickly
67 rather than at the evolutionary time scales typically associated with human
68 expansion out of Africa (Ramachandran et al., 2005) or with the expansion
69 of oak trees in Europe (Hewitt, 1999). Therefore ecologists are often inter-
70 ested understanding processes that underly expansions that have occurred
71 over ecological time scales of tens of years rather than over thousands of
72 years. The speed at which populations expand in space is determined by
73 demographic growth and dispersal (Kot et al., 1996) and therefore models
74 that clearly connect invasion speeds to these population traits are essential
75 when studying rapid range expansions. Using integrodifference equations as
76 the basis for our investigation of the genetic signature of range expansions
77 allowed us to compute theoretical invasion speeds from demographic growth
78 and dispersal parameters using classic theory (Kot et al., 1996).

79

80 The primary objective of this research was to study genetic diversity
81 patterns arising in rapid range expansions. We therefore used integrodiffer-
82 ence equation-based models to simulate over relatively short time periods
83 with wide dispersal kernels that overlapped many demes. We compared the
84 relative impacts of demographic growth and dispersal on the genetic signa-
85 tures of range expansions spreading at the same speed, explored the genetic

86 consequences of varying diffusivity in expansions with identical demography,
 87 simulated anisotropic range expansions in two spatial dimensions, and com-
 88 pared heterozygosity patterns as well as the distribution of surfing alleles
 89 produced by simulated range expansions with a variety of dispersal kernels.
 90 As much of the previous work on allele surfing in range expansions has fo-
 91 cused on asexual or haploid model systems, we also contrasted results from
 92 simulations with random assortative mating to those with asexual mating.

93

94 **2. Models**

95 *2.1. Population dynamics and spread models*

96 We consider a species with Beverton-Holt population dynamics (Bever-
 97 ton, 1957). The species reproduces synchronously before dispersing in space
 98 according to a dispersal kernel $k(x - y)$, which describes the probability that
 99 an animal moves from location y to location x . The resulting integrodiffer-
 100 ence model is

$$f(N_t(y)) = \frac{R_0(N_t(y))}{1 + (R_0 - 1)N_t(y)/K}, y \in \Omega, \quad (1a)$$

$$N_{t+1}(x) = \int_{\Omega} k(x - y)f(N_t(y))dy, \quad (1b)$$

101 where $N_t(x)$ is the population density in space at time t , R_0 is the geo-
 102 metric growth parameter and K is the carrying capacity. The infinite one-
 103 dimensional spatial domain is represented by Ω .

104

105 The dispersal kernel formulation is very flexible and a variety of dispersal
106 behaviors can be modeled by changing it (Neubert et al., 1995). The assump-
107 tion of spatially homogenous diffusive dispersal is embodied in the Gaussian
108 dispersal kernel:

$$k(x - y) = \frac{1}{\sqrt{4\pi D}} \exp\left(\frac{-(x - y)^2}{4D}\right), \quad (2)$$

109 where D is the diffusion constant. Note our diffusion constant represents Dt
110 in standard formulations of random-walk-based diffusion models (Codling
111 et al., 2008). This diffusion constant can be derived based on the proba-
112 bility that an individual will jump to the right, to the left, or not move
113 (Codling et al., 2008). Although it is tempting to use diffusion to describe
114 all animal movement, dispersal in many species is better approximated using
115 leptokurtic distributions (Walters et al., 2006; Skarpaas and Shea, 2007) in
116 which individuals have a higher probability of dispersing short and long dis-
117 tances than in a Gaussian kernel with the same variance. Therefore, we also
118 simulate range expansions with double exponential (Laplace) and fat-tailed
119 kernels, both of which are leptokurtic.

120

121 The Laplace kernel, when derived based on a diffusive model with con-
122 stant settling (Neubert et al., 1995), has the form

$$k(x - y) = \frac{1}{2} \sqrt{a/D} \exp(-\sqrt{a/D}|x - y|), \quad (3)$$

123 where D is the diffusion constant as before, a is the constant settling rate,
124 and $k(x - y)$ describes the distribution of settled individuals.

125

126 Fat-tailed dispersal kernels are those without exponentially bounded tails.
127 Authors have argued based on simulation studies that longer-distance dis-
128 persal is increasingly selected for over the course of invasions leading to the
129 evolution of fat-tailed kernels (Phillips et al., 2008). A typical fat-tailed
130 kernel comes from Wallace (1966) and Taylor (1978) who described the re-
131 lationship between distance from a release point and density of fruit flies
132 using

$$k(x - y) = \frac{\alpha^2}{4} \exp(-\alpha\sqrt{|x - y|}), \quad (4)$$

133 where α determines the rate of decrease with the square root of distance.

134

135 For kernels with moment-generating functions such as (2) and (3), the
136 model equation (1) has traveling wave solutions that connect the zero equi-
137 librium in front of the wave to the carrying capacity equilibrium at the top
138 of the wave (Kot et al., 1996). For range expansions that have these trav-
139 eling wave solutions, we can compute the minimum traveling wave speed.
140 Locally introduced populations that grow and spread according to the Gaus-
141 sian kernel (2) have a minimum traveling wave speed $c(R_0, D) = 2\sqrt{D\ln(R_0)}$
142 (Kot et al., 1996). The expression for spreading speed for models with the
143 Laplacian kernel (3) is more complicated and must be solved numerically by
144 minimizing $\{(1/s)\text{Ln}(R_0/(1 - s^2D/a))\}$ on the interval $s \in (0, \sqrt{a/D})$ (Kot
145 et al., 1996). In this study, we sometimes standardize the traveling wave
146 speed of simulations to investigate the relative impacts of dispersal and pop-
147 ulation growth on the spatial genetics of range expansions traveling at the
148 same speed.

149

150 Unlike integrodifference equations with kernels that have moment gen-
 151 erating functions, integrodifference equation models with fat-tailed kernels
 152 (4) give rise to continually accelerating invasions with asymptotically infinite
 153 spreading speeds (Kot et al., 1996). This means that spreading speeds in-
 154 crease over time—a phenomenon that may seem counter-intuitive, but which
 155 has been observed in natural invasions and attributed to the evolution of
 156 more frequent long-distance dispersal over the course of the invasion (Phillips
 157 et al., 2008).

158

159 To illustrate the effect of anisotropic dispersal on heterozygosity, we con-
 160 struct a two-dimensional model similar to (1):

$$f(N_t(\mathbf{y})) = \frac{R_0(N_t(\mathbf{y}))}{1 + (R_0 - 1)N_t(\mathbf{y})/K}, \mathbf{y} \in \mathbf{R}^2, \quad (5a)$$

$$N_{t+1}(\mathbf{x}) = \int_{\mathbf{R}^2} k(\mathbf{x} - \mathbf{y})f(N_t(\mathbf{y}))d\mathbf{y}. \quad (5b)$$

161 Here \mathbf{y} is the vector (y_1, y_2) and $k(\mathbf{x} - \mathbf{y})$ is the kernel describing the proba-
 162 bility of moving from \mathbf{y} to location $\mathbf{x} = (x_1, x_2)$:

$$k(\mathbf{x} - \mathbf{y}) = (C)\exp\left(\frac{-[(x_1 - y_1)^2 + b(x_2 - y_2)^2]}{4D}\right), \quad (6)$$

163 which is the two-dimensional analog of (2) except that diffusivity in the x_1 di-
 164 rection is b times that in the x_2 direction and C is the normalization constant
 165 that ensures that the density sums to one. If $b \neq 1$, in (6) the integrodiffer-
 166 ence equation model (5) produces populations expanding at different speeds
 167 in different directions.

168 *2.2. Stochastic discretized model*

169 To simulate (1) on a computer, it is necessary to discretize in space,
 170 leading to a coupled map lattice:

$$f(N_t(y)) = \frac{R_0(N_t(y))}{1 + (R_0 - 1)N_t(y)/K}, y \in \mathbb{Z}, \quad (7a)$$

$$N_{t+1}(x) = \sum_{y=1}^u k(x - y)f(N_t(y)), \quad (7b)$$

171 where the spatial domain is now divided into u equal segments. The two-
 172 dimensional analog of (1) can be discretized in two-dimensional space in an
 173 analogous way.

174

175 The birth component of (7a) given by $f(N_t(y))$ is a model for the den-
 176 sity of individuals within a given segment of the discretized domain. To
 177 accommodate the stochastic genetics model we need an integer number of
 178 individuals in each segment. Therefore, we assume that birth is a stochastic
 179 Poisson process within each segment with mean $\lambda_t(y) = f(N_t(y))$. Thus, the
 180 number of individuals in the next generation is a Poisson distributed random
 181 variable $X_{t+1/2}(y)$ resulting in a stochastic coupled map lattice

$$X_{t+1/2}(y) \sim \text{Poisson}(\lambda_t(y) = f(N_t(y))), \quad (8a)$$

$$N_{t+1}(x) = \sum_{y=1}^u k(x - y)X_{t+1/2}(y). \quad (8b)$$

182 *2.3. Genetics model*

183 We overlaid a genetics model based on a hermaphroditic diploid species
 184 in which we considered a single neutral biallelic locus on top of the stochastic

185 coupled map lattice. This is a standard genetics model used for investigating
 186 the dynamics of neutral alleles that avoids the more complicated mating dy-
 187 namics in two-sex systems. The current version of the model does not include
 188 random mutation. Instead, to investigate the fate of mutations that initially
 189 occur in the wave front, we introduced mutations at specific locations at
 190 the front of population expansions, and then followed their distribution over
 191 multiple stochastic simulations of our model (see section 3.4: Simulating
 192 surfing).

193

194 The species mates according to the laws of random assortative mating
 195 meaning that any allele at a particular location is equally likely to pair with
 196 any other allele at the same location (Gillespie, 2004). Thus, to determine
 197 the genotype of each new individual we drew from a multinomial distribution:

$$N_{t+1/2}^{AA,AB,BB}(y) \sim \text{Multinom}(X_{t+1/2}(y), \mathbf{p}) \quad (9)$$

198 where $N_{t+1/2}^{AA,AB,BB}(y)$ is the number of individuals in each genotype (AA, AB, or
 199 BB) at location y , $X_{t+1/2}(y)$ is the Poisson random variable used in (8), and
 200 \mathbf{p} is a vector of probabilities $\mathbf{p} = ([\rho_t(y)]^2, 2[\rho_t(y)][1 - \rho_t(y)], [1 - \rho_t(y)]^2)$.
 201 The frequency of the A allele at time t and location y is $\rho_t(y)$. Now, rather
 202 than redistributing individuals as in (8), the coupled map lattice redistributes
 203 individuals of each genotype as follows:

$$N_{t+1}(x) = \sum_{y=1}^u k(x-y) N_{t+1/2}^{AA,AB,BB}(y). \quad (10)$$

204 After individuals have been redistributed, a new $\rho_{t+1}(x)$ is calculated:

$$\rho_{t+1}(x) = \frac{N_{t+1}^{AA}(x) + 0.5N_{t+1}^{AB}(x)}{N_{t+1}^{AA}(x) + N_{t+1}^{AB}(x) + N_{t+1}^{BB}(x)}, \quad (11)$$

205 where $N_{t+1}^{AA}(x)$ is the number of individuals with the AA genotype at time
 206 $t + 1$ and location x . At the next iteration $\rho_{t+1}(x) \rightarrow \rho_t(y)$, which is a
 207 parameter in (9).

208 3. Methods

209 3.1. Simulation algorithm

210 We simulated the coupled map lattice with overlaid genetics using a
 211 spatial domain running in increments of $800/2^{14}$ from -400 to 400. Fast
 212 Fourier transforms facilitated the computation of the convolution in (10).
 213 The boundaries were reflecting but the size of the domain was chosen such
 214 that the spreading population was far from the domain limits over the en-
 215 tire simulation period. We ran 100 Monte Carlo simulations of each invasion
 216 model to generate mean population and heterozygote densities at each loca-
 217 tion in our spatial domain at each generation. Example R (R Core Team,
 218 2013) code for this simulation parallelized using the parallel package in R is
 219 provided in the online supplement.

220

221 1. set-up

222 (a) We started with a density of K (carrying capacity) individuals
 223 distributed around the center of the spatial domain and defined
 224 an initial allele frequency for these sub-populations ($\rho_0(x_i)$).

- 225 (b) We fast Fourier transformed (FFT) the dispersal kernel using the
226 FFT function in the base installation of R (Singleton, 1969). Note
227 this only needed to be done once and the same FFT transformed
228 dispersal kernel was used in each iterative step described below.
- 229 2. At each time iteration we simulated local population dynamics using
230 (7a), then drew from a Poisson distribution as in (8a) to compute the
231 number of new individuals at each location ($X_{t+1}(y)$).
 - 232 3. We then drew from a multinomial distribution with number of trials
233 equal to $X_{t+1}(y)$ and probability of drawing the A allele given by $\rho_t(y)$
234 as in (9).
 - 235 4. We redistributed individuals of each genotype by convolving their dis-
236 tribution on the landscape with the dispersal kernel. To do this we used
237 the convolution theorem and multiplied the FFT for the dispersal ker-
238 nel by the FFT of the distribution of each genotype before inverse fast
239 Fourier transforming the result and shifting the convolution to center
240 it.
 - 241 5. We then computed the new frequency of the A allele at each location
242 using (11). This allele frequency was then used to initialize the next
243 iteration of random mating (return to step 2).

244 In all of our one-dimensional simulations we initialized the simulations by
245 placing $K = 40$ individuals in the 3 central locations in the one-dimensional
246 domain each with a starting frequency of the A allele of $\rho = 0.5$.

247 3.2. Two-dimensional simulations

248 Our simulation algorithm for our two-dimensional model was similar to
249 the algorithm for our one-dimensional model except that due to increased

250 computational burden, we simulated on a domain running in increments of
251 $50/2^{10}$ from -25 to 25 in both the x and y directions. We chose this do-
252 main size such that the area of our grids, or equivalently the size of our
253 demes, would be equal to the square of the length of our demes in the one-
254 dimensional simulations. Thus heterozygosity patterns generated in our one
255 dimensional simulations could be compared to marginals generated by our
256 two-dimensional simulations in either the x or y direction.

257

258 The simulation algorithm for two-dimensional range expansions is iden-
259 tical to the one-dimensional simulation algorithm except we initialized our
260 two-dimensional simulation by placing 9 $K = 40$ individuals in the 9 central
261 grid squares in our square domain, each with a frequency of the A allele of
262 $\rho = 0.5$.

263 *3.3. Comparing range expansion models*

264 To compare the effect of population growth to the effect of dispersal
265 on heterozygosity within sub-populations, we standardized so that invasions
266 were progressing at the same speed, but one simulation featured faster growth
267 and the other, higher dispersal. However, to compare the genetic signature
268 of Gaussian, Laplace and fat-tailed dispersal kernels, we were unable to stan-
269 dardize in this way because the fat-tailed kernel leads to asymptotically in-
270 finite spreading speeds (Kot et al., 1996). Therefore, we standardized the
271 kernels by matching their second central moments (equivalent to variance).
272 The second central moments of the Gaussian, Laplace and fat-tailed kernels
273 respectively are $2D$, $2D/a$, and $5!/a^4$ where the parameters are the same as
274 defined in (2, 3, and 4).

275

276 We initially simulated range expansions for 50 generations with ker-
277 nels with standardized second central moments. Due to different spreading
278 speeds, the maximum extent of each simulated expansion varied. Most pop-
279 ulation genetics data, however, consist of snapshots of genetic patterns over
280 a given spatial area. For this reason it may sometimes be more relevant to
281 compare patterns generated over the same spatial extent. We therefore also
282 standardized the extent of simulated range expansions generated by the dif-
283 ferent kernels by running the simulations for different numbers of generations.

284

285 To compute the number of generations needed for the simulated pop-
286 ulations to expand over similar spatial extents, we compared the distance
287 covered by simulated range expansion featuring each of the dispersal kernels
288 after 50 generations. After 50 generations the numerical solutions for sim-
289 ulations featuring each kernel were traveling wave solutions. Therefore the
290 inflection point of each wave profile (where the wave profile was equal to
291 half the carrying capacity), could be used to determine relative expansion
292 in the different simulations. Using these inflection points, we computed the
293 difference between the distance travelled after 50 generations by simulations
294 with the fat-tailed kernel and Gaussian and Laplace kernels. Then, knowing
295 the theoretical spreading speeds of range expansions featuring Gaussian and
296 Laplace kernels, we were able to compute how many additional generations
297 were required for these slower range expansions to cover the same extent as
298 the fat-tailed simulation. A table detailing the various standardizations used
299 in the figures is provided in the Appendix.

300 *3.4. Simulating surfing*

301 To simulate surfing we initialized populations as described in our simula-
302 tion algorithm above with one difference. Instead of initializing with $\rho = 0.5$,
303 we initialized with only B alleles ($\rho = 0$) such that all individuals were ho-
304 mozygous for the B allele. We simulated range expansions with Gaussian and
305 Laplace kernels until generation 11. By generation 11 all of our simulations
306 had reached constant spreading speeds and had traveling wave solutions. We
307 then introduced a single A allele at a location in the traveling wave where the
308 population density was one individual per unit length of our spatial domain
309 at the very front of our traveling wave. We were able to track the location
310 of the descendants of this introduced allele over time. We simulated for only
311 20 generations and we were therefore able to use a smaller spatial domain
312 running from -100 to 100 divided into increments of $200/2^{12}$. All other details
313 were identical to those described above.

314

315 For comparison, we also simulated surfing for an asexually reproduct-
316 ing haploid organism by modifying our simulation algorithm as follows. In-
317 stead of drawing from a multinomial distribution, we drew from a bino-
318 mial distribution to determine the number of individuals in the next gen-
319 eration that possessed the A allele: $N_{t+1/2}^A(y) = \text{Binom}(X_{t+1/2}(y), \mu_t(y))$,
320 where $\mu_t(y)$ is the frequency of the A allele at location y given by $\mu_{t+1}(y) =$
321 $N_{t+1}^A(y)/(N_{t+1}^A(y) + N_{t+1}^B(y))$. We then redistributed individuals possessing
322 either the A or B allele using a convolution as before and computed the new
323 frequency of the A allele at each location to proceed to the next iteration
324 of the model.

325 **4. Calculations**

326 When simulating over only a few generations, as we have done for surfing,
 327 it is worthwhile to compare deterministic solutions for the prevalence of the
 328 surfing allele to stochastic simulations. To compute deterministic solutions,
 329 we ignore genetic drift to arrive at the following system of integrodifference
 330 equations for a range expansion with individuals mating at random:

$$f(N_t(y)) = \frac{R_0(N_t(y))}{1 + (R_0 - 1)N_t(y)/K}, y \in \Omega, \quad (12a)$$

$$AA_{t+1}(x) = \int_{\Omega} k(x - y)(\rho_t(y))^2 f(N_t(y)) dy, \quad (12b)$$

$$AB_{t+1}(x) = \int_{\Omega} k(x - y)2\rho_t(y)(1 - \rho_t(y))f(N_t(y))dy, \quad (12c)$$

$$BB_{t+1}(x) = \int_{\Omega} k(x - y)(1 - \rho_t(y))^2 f(N_t(y))dy, \quad (12c)$$

$$N_{t+1}(x) = AA_{t+1}(x) + AB_{t+1}(x) + BB_{t+1}(x), \quad (12d)$$

$$\rho_{t+1}(x) = \frac{2AA_{t+1}(x) + AB_{t+1}(x)}{2N_{t+1}(x)}, \quad (12e)$$

331 where $AA_{t+1}(x)$, $AB_{t+1}(x)$ and $BB_{t+1}(x)$ are the density of AA, AB and
 332 BB genotypes at location x and time $t + 1$. Deterministic solutions of this
 333 system can be compared to stochastic simulations to determine the impact
 334 of stochasticity on the location and abundance of rare alleles introduced at
 335 the wave front.

336

337 Similarly for an asexual haploid population we can write the following
 338 system of equations

$$f(N_t(y)) = \frac{R_0(N_t(y))}{1 + (R_0 - 1)N_t(y)/K}, y \in \Omega, \quad (13a)$$

$$A_{t+1}(x) = \int_{\Omega} k(x - y)\mu_t(y)f(N_t(y))dy, \quad (13b)$$

$$B_{t+1}(x) = \int_{\Omega} k(x - y)(1 - \mu_t(y))f(N_t(y))dy, \quad (13c)$$

$$N_{t+1}(x) = A_{t+1}(x) + B_{t+1}(x), \quad (13d)$$

$$\mu_{t+1}(x) = A_{t+1}(x)/(N_{t+1}(x)). \quad (13e)$$

339 5. Results

340 5.1. Gradients in expected heterozygosity

341 During and after invasions simulated using our kernel-based models, het-
 342 erozgosity always decreased along the axis of expansion in the direction of
 343 spread. In invasions traveling at the same speed, heterozygosity declined
 344 more gradually in expansions driven by population growth than in expan-
 345 sions driven by dispersal (Fig. 1). Eventually, because no mutation restored
 346 genetic diversity in the population, the heterozygotes went extinct near the
 347 expansion front (Fig. 1f). As a result, mean heterozygosity at the front
 348 of the expansion monotonically approached zero, and in the long term, the
 349 spatial pattern of heterozygosity resembled a normal distribution (Fig. 1f).

350

351 The leptokurtic double exponential kernel led to faster range expansions
 352 (Fig. 2b) and more heterozygosity retained along the axis of spread (Fig.
 353 2b and Fig. 2e) than did diffusive kernels with the same second moment
 354 (Fig. 2a and Fig. 2d). This effect was even stronger for leptokurtic fat-tailed

355 kernel (Fig. 2c and Fig. 2f).

356

357 In expansions with the same growth parameters but different dispersal
358 parameters the slower invaders dispersed less extensively and therefore, lost
359 heterozygosity relatively quickly along the axis of expansion compared to
360 an invasion in which organisms were more dispersive (Fig. 3). Similarly, in
361 our anisotropic dispersal simulations in two spatial dimensions, steeper de-
362 clines in heterozygosity occurred in directions that corresponded to slower
363 expansion rates (Fig. 4). Heterozygosity gradients along transects in our
364 two-dimensional simulations were, however, much less pronounced than in
365 comparable one-dimensional simulations (Fig. 4 versus Fig. 3).

366

367 Regions that were visually separable due to differences in allele frequency
368 were evident when plots of the frequency of the A allele were plotted after
369 a single stochastic realization of a range expansion (Fig. 5). However, these
370 patterns were smoothed over when we averaged over 100 Monte Carlo simu-
371 lations and computed heterozygosity as we have done in the majority of our
372 graphics.

373 *5.2. Mutant alleles*

374 Dispersal-dominated range expansions retained more mutant alleles than
375 growth-dominated range expansions traveling at the same speed (Fig. 5) af-
376 ter they were introduced in wave fronts. In dispersal-dominated expansions,
377 introduced mutant alleles followed along with advancing waves for a few gener-
378 ations as can be seen in Fig 5a)-c) in the right-skewed distribution of mutant
379 alleles. Thus, mutants that initially occurred in waves driven by dispersal

380 kernels with larger diffusion constants are able to persist in the wave longer
381 (Fig. 5a-c)) than mutants that initially occurred in waves driven by popu-
382 lation growth (Fig. 5e-f)). Note that even in the simulation experiment in
383 which the mutant allele persisted much longer (Fig. 5a-c)), its maximum
384 frequency at any location was much less than the frequency at which it was
385 originally introduced in the population ($\rho = 1/2$).

386

387 In 100 Monte Carlo simulations of the range expansion shown in Fig. 5c),
388 surfers successfully remained in the wave front in only approximately 1-2%
389 of simulations (Fig. 7). Thus, if the success of surfers is based on their
390 ability to propagate in the wave front, surfing success was exceedingly low
391 in kernel-based range expansions featuring random assortative mating and
392 wide dispersal kernels. Even in the simulation which resulted in a surfing
393 allele keeping up with the wave front (Fig. 7), the maximum frequency of
394 the mutant allele was less than 0.05.

395

396 Rare alleles occurring at the front of traveling waves of asexually repro-
397 ducing organisms increase more than in organisms reproducing by random
398 assortative mating (Fig. 8a) even when the mutant initially occurs further
399 behind the front of the wave such that the initial frequency of the mutant is
400 0.5 as in the diploid surfing simulations (Fig. 8b).

401

402 In both the random assortative mating and the asexual surfing simula-
403 tions, the mean spatial distribution of mutant alleles at any time was very
404 well described by deterministic solutions of equations (12 and 13 respectively)

405 (Fig. 8a)&b)). Thus, cases in which the mutant allele surfed to frequencies
406 above those predicted by the deterministic integrodifference equation were
407 balanced by cases in which the mutant allele decreased to frequencies below
408 those predicted by the deterministic model leading to the concordance be-
409 tween the predictions of the deterministic model and the expected density of
410 mutant alleles at any location.

411 **6. Discussion**

412 Population growth and dispersal are important determinants of the speed
413 of traveling waves in integrodifference models of range expansions. In our
414 simulations, fast range expansions resulted in higher heterozygosity reten-
415 tion along the axis of spread than slow range expansions. The amount of
416 heterozygosity retained depended not only on the speed of expansion, but
417 also on whether the spread rate was primarily dispersal driven or growth
418 driven. Population growth and dispersal were also important determinants
419 of the eventual abundance of mutant alleles that originated in the wave front.
420 Dispersal-dominated range expansions traveling at the same speed as growth-
421 dominated range expansions had higher mean abundances of mutant alleles
422 at any time after they were introduced. Mean abundances of mutant alleles
423 must be distinguished from rare surfing alleles that are able to remain in
424 the population wave. For these surfing alleles, we found that in expanding
425 populations with genetic recombination and kernel-based redistribution of in-
426 dividuals, the frequency of surfing alleles in the wave front was much lower
427 than surfing results reported for stepping-stone models with asexual repro-
428 duction.

429

430 The shape of the dispersal kernel underlying population range expansions
431 changes both the invasion speed and the rate of heterozygosity loss along the
432 axis of range expansion. Gaussian redistribution kernels with larger dif-
433 fusion terms (larger variance) resulted in slower heterozygosity loss as the
434 range expansion progressed than narrower Gaussian kernels even when inva-
435 sions were traveling the same speed. Because leptokurtic dispersal kernels
436 permit demes further behind the expansion front to contribute more genetic
437 material to demes located at the wave front, range expansions with the same
438 growth parameters and leptokurtic kernels resulted in higher heterozygosity
439 retention than diffusive kernels with the same variance. As demes behind the
440 wave-front are generally more heterozygous, leptokurtic kernels enable better
441 mixing in pushed population waves, thereby reducing heterozygosity decay.
442 Dispersal in many plants and insects is leptokurtic with dispersal character-
443 istics resembling those in our simulations (Kot et al., 1996; Walters et al.,
444 2006; Skarpaas and Shea, 2007). Consequently, when species with leptokurtic
445 dispersal expand their ranges, we expect to see little loss of heterozygosity—
446 especially when range expansions are sudden.

447

448 Range expansion with leptokurtic kernels produced gradually decreasing
449 heterozygosity suggesting a smooth pattern in the distribution of genotypes
450 on the landscape. This finding contrasts the findings of Ibrahim et al. (1996)
451 whose simulation results suggested that leptokurtic kernels led to pockets of
452 similar genotypes on the landscape. Differences between our findings and
453 those of Ibrahim et al. (1996) are likely due to our use of Monte Carlo tech-

454 niques to remove variability from overall trends. Examining a few outcomes
455 of stochastic simulations as Ibrahim et al. (1996) have done reveals trends
456 that are the result of stochastic interactions whereas Monte Carlo approaches
457 smooth over the stochasticity and reveal the deterministic drivers of overall
458 patterns. In addition, stochasticity is slightly different in our models than in
459 those of Ibrahim et al. (1996). In their models, whether or not individuals
460 leave their current demes is also random, and individuals had a relatively
461 low probability of dispersing (0.05), whereas in our models all individuals
462 dispersed according to the deterministic dispersal kernel. Consequently, our
463 models are likely more representative of broad trends in highly dispersive
464 species while the models of Ibrahim et al. (1996) are likely more representi-
465 tive of fine scale patterns generated by less vagile species.

466

467 Many organisms disperse asymmetrically in space (Gammon and Mau-
468 rer, 2002; Munoz et al., 2004; Austerlitz et al., 2007; Morin et al., 2009) and
469 therefore, their populations expand faster in some directions than in others.
470 This occurs naturally when organisms are dispersing outwards from a port
471 of entry or within a wind field. Mountain pine beetles (*Dendroctonus pon-*
472 *derosae* Hopkins) in western Canada provide a good example of anisotropic
473 expansion because they are undergoing a slow post-glacial range expansion
474 to the North while rapidly invading eastward (Samarasekera et al., 2012).
475 In our two-dimensional simulations, we found that heterozygosity retention
476 was high in directions of faster range expansion relative to heterozygosity
477 retention in directions of slower spread. Therefore, by sampling heterozy-
478 gosity along transects, researchers may be able to infer the directions of

479 fastest and slowest spread. Our findings suggest, however, that gradients
480 in two-dimensional range expansions are much more subtle in the direction
481 of spread, than in one dimensional range expansions. This is largely be-
482 cause a dispersal kernel with a given variance can lead to gene flow between
483 many more demes in two-dimensional simulations than in one-dimensional
484 simulations. Thus, our two-dimensional results reaffirm that deme intercon-
485 nectedness through dispersal is an important determinant of genetic diversity
486 in expanding populations. In real populations, deme interconnectedness is
487 likely impacted by factors such as landscape heterogeneity, the presence of
488 movement corridors, and the size of the smallest habitable patch of land for
489 a subpopulation.

490

491 Heterozygosity gradients may be obscured in empirical data due to sec-
492 toring. The sectoring phenomenon, in which sectors of a spatial domain
493 are dominated by different genotypes in the absence of selection, has been
494 observed in petri-dish experiments of spreading bacteria as well as in two-
495 dimensional simulations (Hallatschek et al., 2007; Hallatschek and Nelson,
496 2010). Sectoring can lead to stronger changes in allelic distribution along
497 transects perpendicular to axes of expansion than in the direction of expan-
498 sion (Francois et al., 2010). Because we used Monte Carlo simulations to
499 average over random changes in allelic frequency from one simulation to an-
500 other, these sectoring patterns were not evident in our plots of simulation
501 averages. However, they became evident when we plotted allelic distribution
502 after a single stochastic run of our two-dimensional model. Therefore, to de-
503 tect heterozygosity gradients along axes of range expansion in the presence of

504 these stronger perpendicular gradients in allelic composition, researchers will
505 need to average heterozygosity across many independently assorting loci,
506 such as non-linked single nucleotide polymorphisms to remove stochastic
507 sectoring patterns that will occur at any particular locus. Averaging over
508 multiple independent loci in empirical data should yield similar results to
509 averaging over multiple stochastic simulations as we have done.

510

511 Mutations in organisms that reproduce according to the laws of random
512 mating were much less likely to reach frequencies higher than five percent
513 than in simulations of range expansions in asexually reproducing organisms.
514 Klopstein et al. (2006) found that in 60% of new mutations occurring in the
515 wave front of a simulation with similar maximum deme sizes ($K = 50$), mu-
516 tants increased to levels of 5–50% whereas we found that only 1–2% of new
517 mutations reached a frequency near 5% or higher. Stochastic birth processes
518 in combination with kernels that widely distributed mutant alleles in our
519 simulations resulted in low probabilities that a mutant allele would occur at
520 levels high enough for it to flourish. To a lesser extent, this effect may have
521 been observed in simulations reported by Klopstein et al. (2006) who found
522 that increased migration between demes decreased the prevalence of surfing
523 mutant alleles. Our simulations imitate a highly connected and highly vagile
524 species. In such systems, allele surfing seems to be less influential than in
525 systems with narrow dispersal and asexual reproduction.

526

527 Distinguishing allele surfing from selection in empirical data remains diffi-
528 cult because allele surfing may generate false signals of selection. Our findings

529 suggest that in genetic data arising from organisms that mate sexually and
530 disperse widely, allele surfing should be much less prevalent than in asexually
531 producing organisms with very localized dispersal. Therefore, in these types
532 of organisms, researchers can be more confident in selection results based on
533 outlier detection even when both selection and surfing are possible. Posi-
534 tive selection, however, may enable rare alleles to surf where they otherwise
535 would not, leading to interactive effects and further confusion. Surfing in
536 combination with selection has been investigated in simulation studies (Travis
537 et al., 2007; Hallatschek and Nelson, 2010).

538

539 It is important to distinguish between the rare occurrence of surfers that
540 remain in the wave front and the overall distribution of mutant alleles after
541 they occur at the front of range expansions. The latter can be represented
542 using distributions that describe the mean behaviour of mutant alleles in
543 the population. In our simulations, distributions of mutant alleles at any
544 time after they were introduced in the population wave were very well ap-
545 proximated using deterministic solutions of our integrodifference equation
546 models. Therefore, any individual simulation in which alleles surfed to rel-
547 atively high frequencies was balanced by a simulation where the same allele
548 nearly drifted out of the population. When looking at a variety of inde-
549 pendently assorting loci, for example in a single nucleotide polymorphism
550 dataset in which linked loci have been removed, we expect that the mean
551 frequency of any mutation will be well-represented by a deterministic model
552 such as those described in our calculation section.

553

554 The distribution and diversity of neutral markers on the landscape can
555 elucidate the history of populations as events and population characteristics
556 become embedded in their collective DNA. Early on, researchers established
557 the importance of population growth, and population mixing, in determin-
558 ing how much diversity is retained on landscapes (Wright, 1951; Nei et al.,
559 1975; Malecot, 1975). These two components interact to determine the rate
560 at which populations expand in space. As expansion tends to be anisotropic
561 in real populations, direction-dependent information pertaining to invasion
562 speed is therefore coded in their genetics—both in the loss of heterozygos-
563 ity along the expansion axis, as well as in the prevalence of surfing and
564 non-surfing mutations. Thus, interactions between growth and dispersal de-
565 termine the genetic signature of range expansions such that in directions of
566 fast invasion populations exhibit more gradual heterozygosity loss than in
567 directions of slow expansion.

568

569 **Appendix A. Standardizations**

570 **Table 1:** Standardizations used to compare range expansions with a variety
571 of demographic growth parameters, dispersal parameters and redistribution
572 kernels.

Standardization	Calculation	Figures
Speed	$2\sqrt{D\ln R_0}$	Fig. 1, Fig. 6
Variance	Given in text	Fig. 2
Spatial extent	Location of half maximum population size obtained numerically	Fig. 2e)-f)
Generations	—	Fig. 1, Fig. 2a)-c), Fig. 3, Fig. 4d), Fig. 7, Fig. 8

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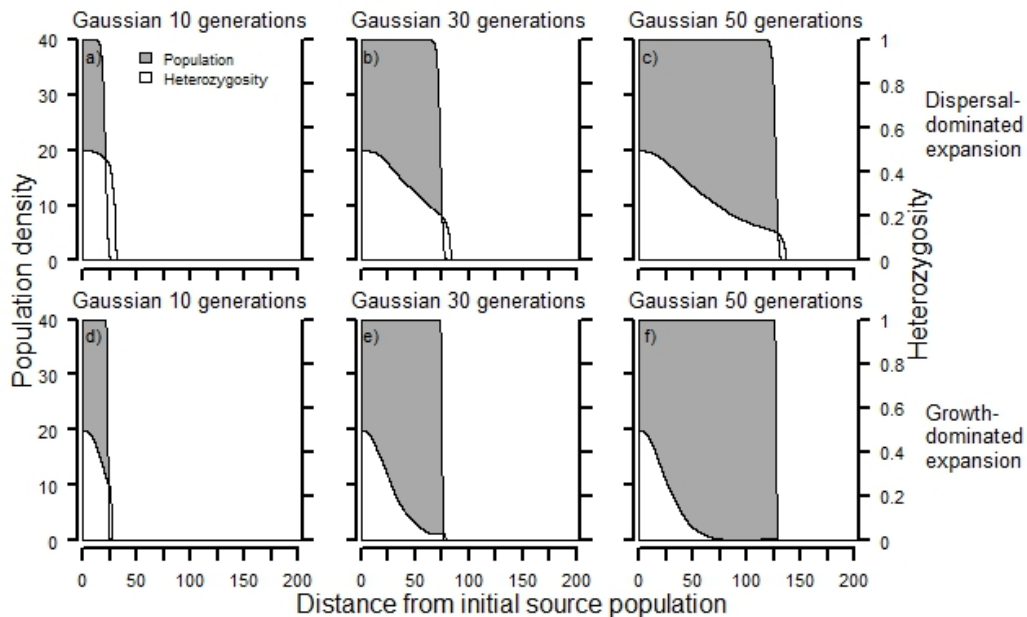


Figure 1: Dispersal-dominated range expansions exhibit less loss of heterozygosity along the axis of expansion than growth-dominated range expansions. Numerical solutions of equations (2), (8) - (11) are shown with the dispersal-dominated range expansion a)-c) simulated with $R_0 = 10$, $K = 40$, and $D = 0.8$, while the growth-dominated range expansion d)-f) was simulated with $R_0 = 10000$, $K = 40$, and $D = 0.2$. Both range expansions have theoretical invasion speeds of 2.71 units/generation and were initialized with 40 individuals at the origin and 40 individuals on either side of the origin all with a frequency of the A allele of 0.5.

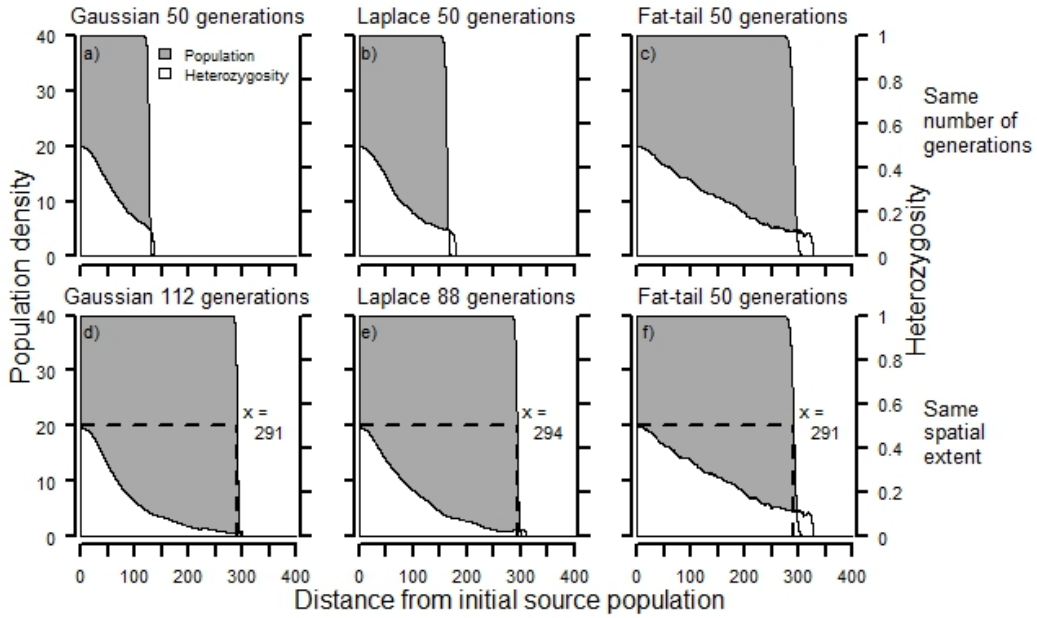


Figure 2: Range expansions with leptokurtotic and fat-tailed dispersal kernels exhibit less loss of heterozygosity along the axis of expansion than range expansions with Gaussian kernels with the same variance. Numerical solutions of equations (8) - (11) with the Gaussian kernel (2) with $D = 0.8$, the Laplace kernel (3) with $D = 0.8$ and $a = 1$, and the fat-tailed kernel (4) with $\alpha = 2.94$, Simulations with each kernel were run for 50 generations a) - c) or until the inflection point of the traveling population wave corresponded to $x \approx 291$ d) - f). All range expansion were simulated with $R_0 = 10$, $K = 40$ and were initialized with 40 individuals at the origin and 40 individuals on either side of the origin all with a frequency of the A allele of 0.5.

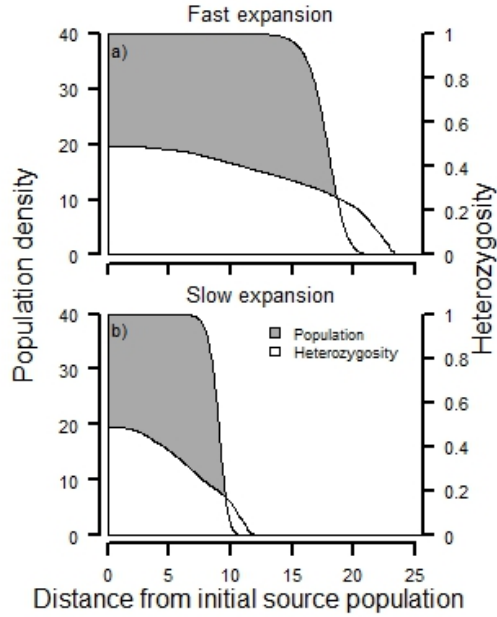


Figure 3: Range expansions with Gaussian kernels with lower diffusivity exhibit more rapid loss of heterozygosity along the axis of expansion than range expansions with Gaussian kernels with higher diffusivity. Numerical solutions of equations (2), (8) - (11) with a) $R_0 = 2$, $K = 40$, $D = 0.1$, b) $R_0 = 2$, $K = 40$, $D = 0.025$ and c) their heterozygosities. Fast and slow invasions had theoretical invasion speeds of 0.53 and 0.26 units/generation respectively. Both simulations were initialized with 40 individuals at the origin and 40 individuals on either side of the origin all with a frequency of the A allele of 0.5. The fast and slow expansion simulations were both run for 40 generations.

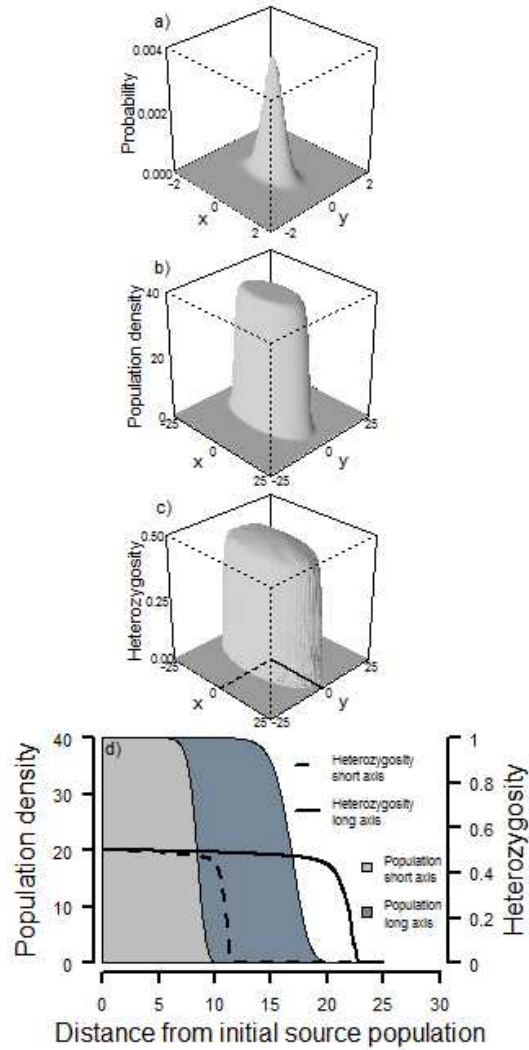


Figure 4: An a) anisotropic dispersal kernel (equation 6 with $D = 0.1$, and $b = 4$) combined with Beverton-Holt population dynamics with $R_0 = 2$ and $K = 40$ leads to b) an anisotropic range expansion with c)-d) steeper declines in heterozygosity in directions of slow expansion than in directions of fast expansion. Lines at the base of c) represent transects in directions of fastest and slowest expansion. The surface plots b) and c) show numerical solutions of a spatially discretized version of (5) with stochastic population growth as in (8) and genetics as in equations (9) - (11). The model was simulated for 40 generations after it was initialized with 40 individuals in each of the nine central grid squares around the origin and with a frequency of the A allele of 0.5.

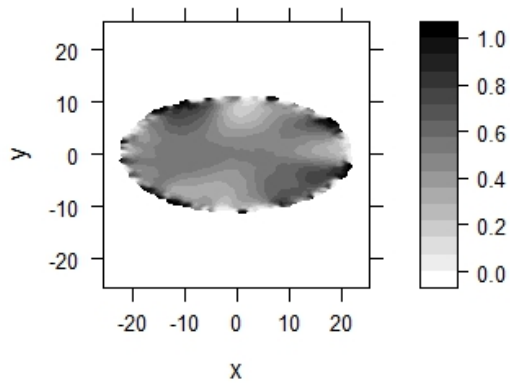


Figure 5: After a single realization of the anisotropic two-dimensional range expansion with parameter values as in Fig. 4, sectors of genetically similar regions in the colonized spatial domain were evident only if a single simulation is depicted (without averaging over multiple simulations).

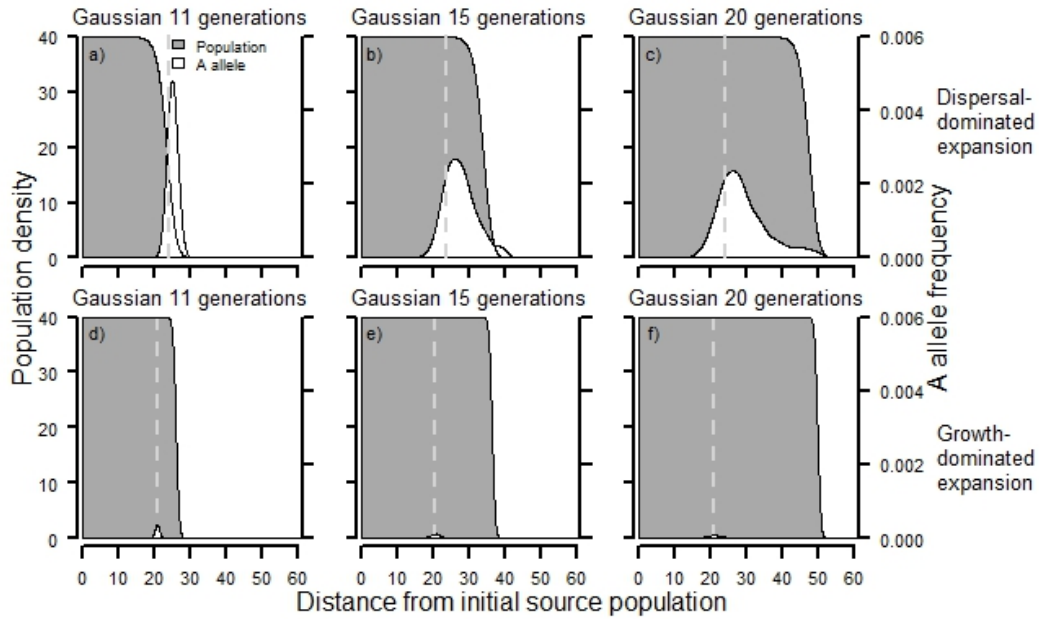


Figure 6: Rare alleles or mutations that occur at the front of the traveling wave persist longer and in larger numbers in dispersal-dominated expansions than in growth-dominated expansions. Numerical solutions of equations (2), (8) - (11) are shown with the dispersal-dominated range expansion a)-c) simulated with $R_0 = 10$, $K = 40$, and $D = 0.8$, while the growth-dominated range expansion d)-f) was simulated with $R_0 = 10000$, $K = 40$, and $D = 0.2$. Both range expansions have theoretical invasion speeds of 2.71 units/generation and were initialized with 40 individuals at the origin and 40 individuals on either side of the origin all with a frequency of the A allele of 0 (All individuals possessed only the B allele). In generation 11, a single A allele was introduced at the location in the traveling wave where the population density was approximately one individual per unit length of the spatial domain as indicated by the vertical dashed line.

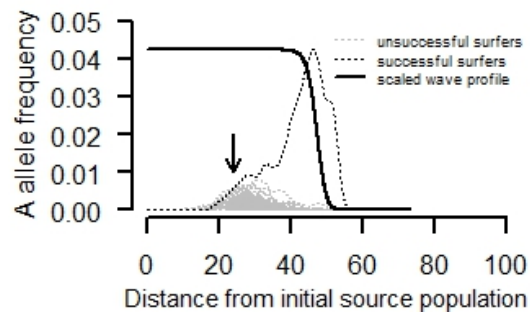


Figure 7: In only one out of 100 stochastic simulations with a Gaussian dispersal kernel, did the mutant allele keep up with the front of the traveling wave nine generations after it was introduced in the wave front. The figure shows stochastic realizations of the range expansion shown in Fig. 6c) after 20 generations are shown. Simulation parameters were $R_0 = 10$, $K = 40$, and $D = 0.8$. In generation 11, a single A allele was introduced at the location indicated by the vertical arrow which represents the point in the traveling wave where the population density was approximately one individual per unit length of the spatial domain.

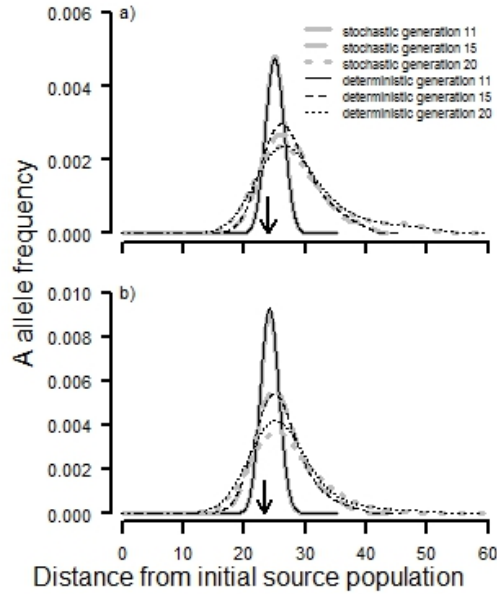


Figure 8: The mean distribution of rare alleles that were initially introduced at the wave front is well predicted by deterministic models. Deterministic solutions (equations 12 and 13) are plotted over means of 100 stochastic simulations of range expansions in which all individuals initially possessed only the B allele. The dispersal kernel was a Gaussian kernel with $D = 0.8$ and demographic growth parameters were $R_0 = 10$, $K = 40$. Analogous range expansions were simulated with a) random assortative mating and b) asexual reproduction. Simulations were initialized with 40 individuals at the origin and 40 individuals on either side of the origin all with a frequency of the A allele of 0. In generation 11, a single A allele was introduced at the location in the traveling wave where the population density was approximately one individual per unit length for the simulation with random assortative mating and where the population density was approximately two individuals per unit length for the simulation featuring asexual reproduction. Thus, the initial frequency at which the A allele was introduced was $\rho = 0.5$.